# 3GPP TSG-T (Terminals) Meeting #24 Seoul, Korea 2 - 4 June, 2004

Agenda Item: 5.3.3

Source: T3

Title: CRs for TS 31.121

**Document for:** approval

This document contains following change requests that were approved by 3GPP TSG T3 and are forwarded to 3GPP TSG T#24 for approval:

| Doc-<br>2nd-  |        |     | Re |       |  | С  | Version | Version |     |
|---------------|--------|-----|----|-------|--|----|---------|---------|-----|
| Level         | Spec   | CR  | ٧  | Phase | Subject  | at | Current | -New    | WI  |
| T3-           |        |     |    |       | Removal of<br>EF RPLMNACT                      |    |         |         |     |
| 040337        | 31.121 | 030 |    | R99   | and related tests                              | F  | 3.8.0   | 3.9.0   | TEI |
| T3-<br>040338 | 31.121 | 031 |    | Rel-4 | Removal of<br>EF_RPLMNACT<br>and related tests | A  | 4.7.0   | 4.8.0   | TEI |
| T3-<br>040339 | 31.121 | 032 |    | R99   | Security related tests                         | F  | 3.8.0   | 3.9.0   | TEI |
| T3-<br>040340 | 31.121 | 033 |    | Rel-4 | Security related tests                         | А  | 4.7.0   | 4.8.0   | TEI |

# 3GPP TSG T WG3 Meeting #31 Berlin, Germany, 27<sup>th</sup> – 30<sup>th</sup> April 2004

T3-040337

(revised T3-040230)

|                            | •   | •   |                         |            |                 | `   |        |  |  |  |
|----------------------------|---|---|-------------------------|------------|-----------------|---|--------|--|--|--|
| CHANGE REQUEST             |   |   |                         |            |                 |   |        |  |  |  |
| æ                          | 31.121 CR                                 | 030   | жrev                    | <b>-</b> # | Current ver     | 3.8.0   | ¥      |  |  |  |
| For HELP or Proposed chang | n using this form, se<br>te affects: UICC | ee bottom of this   |                         | _          | the pop-up tex  |   | mbols. |  |  |  |
|                            | 策 CR 31.121 R9<br>策 T3                    | 9: Removal of   | EF_RPLM                 | NACT       | and related tes | ets   |        |  |  |  |
| Work item code:            | 策 <mark>TEI</mark>                        |   |                         |            | Date: ៖         | 29/04/2004  |        |  |  |  |
| Category:                  | <b>B</b> (addition of                     | n) nds to a correction of feature), I modification of a modification) ions of the above | n in an ear<br>feature) |            | 2               | R99 f the following rel (GSM Phase 2) (Release 1996) (Release 1997) (Release 1998) (Release 1999) (Release 4) (Release 5) |        |  |  |  |

| Reason for change: # EF_RPLMNACT was deleted in 3GPP TS 31.102 R99 |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |  |  |
| Summary of change: #   | EF_RPLMACT deleted and test 7.2.3 modified and tests 7.2.4 (now already covered by 7.2.2) and 7.5 deleted. |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |
| Consequences if # not approved:                                    | Inconsistency between 3GPP TS 31.102 and 3GPP TS 31.121  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |
| Clauses affected: #  | 3.3, 4.1.1, 4.1.1.13, 7, 7.2.3, 7.2.4, 7.5   |  |  |  |  |  |  |  |
|  | YN   |  |  |  |  |  |  |  |
| Other specs #  | X Other core specifications  |  |  |  |  |  |  |  |
| affected:  | X Test specifications  |  |  |  |  |  |  |  |
|  | X O&M Specifications   |  |  |  |  |  |  |  |

Rel-6

(Release 6)

## How to create CRs using this form:

Other comments:

Comprehensive information and tips about how to create CRs can be found at <a href="http://www.3gpp.org/specs/CR.htm">http://www.3gpp.org/specs/CR.htm</a>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked \$\mathbb{X}\$ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <a href="ftp://ftp.3gpp.org/specs/">ftp://ftp.3gpp.org/specs/</a> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

#### 3.3 **Abbreviations**

For the purposes of the present document, the following abbreviations apply:

3G 3<sup>rd</sup> Generation

3<sup>rd</sup> Generation Partnership Project 3GPP

**ACcess Class** ACC **APN Control List ACL** Accumulated Call Meter ACM **ACMmax** ACM maximal value ACT ACcess Technology Application Dedicated File **ADF** 

AoC Advice of Charge Advice of Charge Charging **AoCC** 

Access Point Name APN Answer To Reset

ATR **Broadcast Control Channel BCCH** Binary Coded Decimal **BCD** 

**BDN** Barred Dialling Number CCI Capability / Configuration Identifier

CCM Current Call Meter CK Cipher key DF Dedicated File EF Elementary File

**EMMI** Electrical Man Machine Interface

Ext n Extension n

**FDN** Fixed Dialling Number **FPLMN** Forbidden PLMN

Global System for Mobile communications **GSM** 

**HPLMN** Home PLMN

Integrated Circuit Card **ICC** 

**IDentifier** ID

**IEC** International Electrotechnical Commission

ΙK Integrity key

**IMSI** International Mobile Subscriber Identity International Organization for Standardization ISO

KSI Key Set Identifier Location Area Code LAC Location Area Information LAI LSB Least Significant Bit **MCC** Mobile Country Code

MF Master File

Man Machine Interface MMI Mobile Network Code **MNC** Most Significant Bit **MSB** NAS Non Access Stratum NPI Numbering Plan Identifier **OFM** Operational Feature Monitor OSI **Open System Interconnection** 

P1 Parameter 1 P2 Parameter 2 P3 Parameter 3

PIN Personal Identification Number **PLMN** Public Land Mobile Network

PS Packet switched RACH
RFU
Reserved for Future Use
RPLMN
RRC
Radio Resource Control
SS
System Simulator (GSM)
TE
Terminal Equipment
TLV
Tag Length Value

TMSI Temporary Mobile Subscriber Identity

TON Type Of Number UE User Equipment

USIM Universal Subscriber Identity Module

USS UMTS System Simulator

UTRAN UMTS Terrestrial Radio Access Network

VPLMN Visitor PLMN

## 4.1.1 Values of the EF's (Default UICC)

[..]

## 4.1.1.13 <u>Void</u>EF<sub>RPLMNACT</sub> (RPLMN Last used Access Technology)

Logically: No information about the last used ACT available.

Coding: B1 B2 Hex 00 00

[..]

# 7 PLMN related tests

[..]

# 7.2.3 UE recognising the priority order of the User controlled PLMN selector list using a ACT preference.

## 7.2.3.1 Definition and applicability

The User controlled PLMN selector list gives in priority order the preferred PLMNs of the User on which the UE shall register. The Radio Access Technology identifier defines the Radio network in which the UE shall register. The list is stored on the USIM in the  $EF_{PLMNwACT}$ . Update and deletion of User controlled PLMNs may be performed by the subscriber by the use of the PIN.

This test applies to a GSM/UMTS dual mode UE accessing both UTRAN and GSM using either ID-1 or Plug-in UICC.

## 7.2.3.2 Conformance requirement

When registering onto a VPLMN the UE shall take into account the priority of the ACT identifier in the preferred list on the USIM. After the successful registration the Registered PLMN, the last used ACcess Technology field EF<sub>RPLMNACT</sub> shall be updated.

- TS 22.011, subclause 3.2.2;
- TS 31.102, subclauses 4.2.5 and 5.1.2.

#### 7.2.3.2.1 Test purpose

To verify that the ACT with the higher priority (defined by its position in  $EF_{PLMNwACT}$ ) takes precedence over the UPLMN with the lower priority when the UE performs a network selection-and that the  $EF_{RPLMNACT}$  is correct updated.

#### 7.2.3.3 Method of test

#### 7.2.3.3.1 Initial conditions

For this test both a GSM SS and a UTRAN USS is needed.

The GSM SS transmit on BCCH, with the following network parameters:

- Attach/detach: disabled.

- LAI (MCC/MNC/LAC): 244/081/0001.

- Access control: unrestricted.

The UMTS USS transmit on BCCH, with the following network parameters:

- Attach/detach: disabled.

- LAI (MCC/MNC/LAC): 244/082/0001.

- Access control: unrestricted.

The default UICC is used with the following exception:

EF<sub>RPLMNACT</sub> (Registered PLMN last used ACcess Technology)

Logically: Last registered ACT set to UTRAN

Coding: B1 B2 Hex 80 00

The UICC is installed into the Terminal and the UE is set to automatic PLMN selection mode.

#### 7.2.3.3.2 Procedure

- a) The UE is powered on.
- b) After receipt of a CHANNEL REQUEST from the UE, the SS sends IMMEDIATE ASSIGNMENT to the UE.
- c) After receipt of a LOCATION UPDATE REQUEST from the UE, the SS sends LOCATION UPDATE ACCEPT with:

LAI (MCC/MNC): 244/081

TMSI: "34567890"

to the UE.

- d) After receipt of a TMSI REALLOCATION COMPLETE from the UE, the SS sends CHANNEL RELEASE to the UE.
- e) The UE is soft powered down.

## 7.2.3.4 Acceptance criteria

- 1) After step b) the UE shall send LOCATION UPDATE REQUEST containing an MCC/MNC of 244/081 to the SS.
- 2) After step c) the UE shall respond with TMSI REALLOCATION COMPLETE.
- 3) After step e) the USIM shall contain the following values:

#### **EF**<sub>LOCI</sub> (Location Information)

Logically: LAI-MCC: 244

LAI-MNC: 081

TMSI: "34567890"

Coding: B1 B2 В3 B4 B5 B7 B8 B9 B10 B11 78 80 Hex 56 90 42 OΩ XX XX XX

## EF<sub>RPLMNACT</sub> (Registered PLMN last used ACcess Technology)

Logically: Last registered ACT set to GSM

 Coding:
 B1
 B2

 Hex
 00
 80

# 7.2.4 <u>VoidUE recognising the priority order of the User controlled</u> PLMN selector list using a ACT preference; accessing UTRAN

### 7.2.4.1 Definition and applicability

The User controlled PLMN selector list gives in priority order the preferred UPLMNs on which the UE shall register. The Radio Access Technology identifier defines the Radio network in which the UE shall register. The list is stored on the USIM in the EF<sub>PLMNwACT</sub>. Update and deletion of UPLMNs may be performed by the subscriber by the use of the PIN.

This test applies to Terminals accessing UTRAN. This test does not apply, if the previous test is performed.

#### 7.2.4.2 Conformance requirement

When registering onto a VPLMN the UE shall take into account the priority of the ACT identifier in the preferred list on the USIM. After the successful registration the Registered PLMN, the last used ACcess Technology field EF<sub>RPLMNACT</sub> shall be updated

```
TS 22.011, subclause 3.2.2;
  TS 31.102, subclauses 4.2.5 and 5.1.2.
7.2.4.2.1 Test purpose
To verify that the ACT with the higher priority (defined by its position in EF<sub>PLMNwACT</sub>) takes precedence
over the UPLMN with the lower priority when the UE performs a network selection and that the
EF<sub>RPLMNACT</sub> is correct updated.
7.2.4.3 Method of test
7.2.4.3.1
                Initial conditions
The USS transmits on two BCCH, with the following network parameters:
   Attach/detach: disabled.
   LAI (MCC/MNC/LAC): 244/082/0001.
   Access control: unrestricted.
  Attach/detach: disabled.
   - LAI (MCC/MNC/LAC): 244/003/0001.
    Access control:
                            unrestricted.
The default UICC is used with the following exception:
EF<sub>RPLMNACT</sub> (Registered PLMN last used ACcess Technology)
   Logically: Last registered ACT shall be set to GSM
Coding: B1
                <del>B2</del>
          <del>00</del>
                  80
Hex
The UICCC is installed into the Terminal and the UE is set to automatic PLMN selection mode.
7.2.4.3.2 Procedure
  a) The UE is powered on.
  b) After receipt of a RRC CONNECTION REQUEST from the UE, the SS sends RRC
      CONNECTION SETUP to the UE, followed by RRC CONNECTION SETUP COMPLETE sent by
      the UE to the USS.
  c) After receipt of a LOCATION UPDATE REQUEST from the UE, the SS sends LOCATION
      UPDATE ACCEPT with:
```

<u>LAI (MCC/MNC):</u> 244/082

TMSI:

to the UE.

"34567890"

- d) After receipt of a TMSI REALLOCATION COMPLETE from the UE, the SS sends RRC CONNECTION RELEASE to the UE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS.
- e) The UE is soft powered down.

### 7.2.4.4 Acceptance criteria

- 1) After step b) the UE shall send LOCATION UPDATE REQUEST containing an MCC/MNC of 244/081 to the SS.
- 2) After step c) the UE shall respond with TMSI REALLOCATION COMPLETE.
- 3) After step e) the USIM shall contain the following values:

#### **EF**<sub>LOCI</sub> (Location Information)

| Logically: | LAI MCC: | <del>-244</del> |
|------------|----------|-----------------|
|            | LAI-MNC: | <del>082</del>  |
|            | TMSI:    | "34567890"      |

| Coding: | <del>B1</del> | <del>B2</del> | <del>B3</del> | <del>B4</del> | <del>B5</del> | <del>B6</del> | <del>B7</del> | <del>B8</del> | <del>B9</del> | <del>B10</del> | <del>B11</del> |
|---------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|----------------|----------------|
| Hex     | <del>34</del> | <del>56</del> | <del>78</del> | <del>90</del> | <del>42</del> | <del>24</del> | <del>80</del> | <del>XX</del> | <del>XX</del> | XX             | <del>00</del>  |

#### EF<sub>RPLMNACT</sub> (Registered PLMN last used ACcess Technology)

Logically: Last registered ACT shall be set to UTRAN

 Coding:
 B1
 B2

 Hex
 80
 00

[..]

# 7.5 VoidRPLMNACT handling

# 7.5.1 UE recognising the last registered ACT

### 7.5.1.1 Definition and applicability

The RPLMNACT identifies the last Access Technology in which the UE was registered. Together with the identification of the last registered PLMN. This two lists shall be used for the network selection in the case the UE is within coverage (at switch on) or returns to coverage of the PLMN on which it is already registered (as indicated by the registered PLMN stored in the USIM), the UE shall perform a location update to a new location area if necessary.

NOTE: According to TS 22.011 subclause 3.2.2.2, the last registered network take precedence even over the HPLMN.

This test applies to a GSM/UMTS dual mode UE accessing both UTRAN and GSM using either ID-1 or Plug in UICC.

## 7.5.1.2 Conformance requirement

- 1) Recognising the network, in which the UE was last registered.
- 2) Recognising the Access Technology, in which the UE has last used.
- 3) AT the time of power on, from all available network the above network and Access Technology shall be first selected.

```
TS 22.011, subclauses 3.2.2 and 3.2.2.2;
```

-TS 31.102, subclause 5.1.1.

## 7.5.1.3 Test purpose

To verify that the last registered network together with the last used Access technology takes precedence over all other available network.

### 7.5.1.4 Method of test

#### 7.5.1.4.1 Initial conditions

For this test both a GSM SS and an UTRAN USS is needed.

The USS transmits on two BCCH, with the following network parameters:

Attach/detach: disabled.

LAI (MCC/MNC/LAC): 246/081/0001.

Access control: unrestricted.

Attach/detach: disabled.

— LAI (MCC/MNC/LAC): 242/001/0001.

Access control: unrestricted.

The GSM SS transmits on the BCCH with the following network parameters:

Attach/detach: disabled.

LAI (MCC/MNC/LAC): 242/001/0001.

- Access control: unrestricted.

The default UICC shall be used with the following exception:

#### **EF**<sub>LOCI</sub> (Location Information)

| Logically: | LAI MCC: | <del>-242</del> |
|------------|----------|-----------------|
|            | LAI MNC: | <del>-001</del> |
|            | LAI LAC: | 9999            |
|            | TMSI:    | "12345678"      |

| <del>Coding:</del> | <del>B1</del> | <del>B2</del> | <del>B3</del> | <del>B4</del> | <del>B5</del> | <del>B6</del> | <del>B7</del> | <del>B8</del> | <del>B9</del> | <del>B10</del> | <del>B11</del> |
|--------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|----------------|----------------|
| Hex                | <del>12</del> | <del>34</del> | <del>56</del> | <del>78</del> | <del>42</del> | <del>12</del> | <del>00</del> | 99            | 99            | FF             | <del>00</del>  |

#### EF<sub>RPLMNACT</sub> (Registered PLMN Access Technology)

Logically: set to GSM

Coding: B1 B2 Hex 00 80

The UICC shall be installed into the Terminal and the UE shall be set to automatic PLMN selection mode.

### 7.5.1.4.2 Procedure

- a) The UE shall be powered on.
- b) After receipt of a CHANNEL REQUEST from the UE, the SS shall send IMMEDIATE ASSIGNMENT to the UE.
- c) After receipt of a LOCATION UPDATE REQUEST from the UE, the SS sends LOCATION UPDATE ACCEPT with:

LAI (MCC/MNC): 242/001

\_\_LAC: 0001

TMSI: "34567890"

to the UE.

- d) After receipt of a TMSI REALLOCATION COMPLETE from the UE, the SS sends CHANNEL RELEASE to the UE.
- e) The UE is soft powered down.

## 7.5.1.5 Acceptance criteria

After step e) the USIM shall contain the following values:

#### **EF**<sub>LOCI</sub> (Location Information)

| Logically: | LAI MCC: | <del>-242</del> |
|------------|----------|-----------------|
|            | LAI MNC: | <del>001</del>  |
|            | LAI LAC: | <del>0001</del> |
|            | TMSI:    | "34567890"      |

 Coding:
 B1
 B2
 B3
 B4
 B5
 B6
 B7
 B8
 B9
 B10
 B11

 Hex
 34
 56
 78
 90
 42
 12
 00
 00
 01
 FF
 00

# 3GPP TSG T WG3 Meeting #31 Berlin, Germany, 27<sup>th</sup> – 30<sup>th</sup> April 2004

T3-040338

(revised T3-040231)

| CHANGE REQUEST   |   |   |  |   |               |       |                   |  |   |         | CR-Form-v7 |
|--|---|---|--|---|---------------|-------|-------------------|--|---|---------|------------|
| *  | 31  | .121  | CR   | 031   | жrev          | -     | Ħ                 | Current vers   | sion:   | 4.7.0   | ¥          |
| For <u>HELP</u> on using this form, see bottom of this page or look at the pop-up text over the % symbols. |   |   |  |   |               |       |                   |  |   |         |            |
| Proposed change affects: UICC apps# X ME X Radio Access Network Core Network                               |   |   |  |   |               |       |                   |  |   |         |            |
| Title:   | ₩ CR  | 31.12   | 21 Rel-4   | : Removal                                     | of EF_RPL     | MNA   | CT a              | ind related te   | sts   |         |            |
| Source:  | <b>光 T3</b>   |   |  |   |               |       |                   |  |   |         |            |
| Work item code   | :# TE   | ļ   |  |   |               |       |                   | Date: ₩  | 29/   | 04/2004 |            |
| Category:  | Deta  | F (cord<br>A (cord<br>B (add<br>C (fund<br>D (edi<br>iled exp | rection) responds dition of fectional motorial modulantion | eature),<br>odification o<br>dification)      | tion in an ea |       | elease            | Release: #<br>Use <u>one</u> of<br>2<br>e) R96<br>R97<br>R98<br>R99<br>Rel-4<br>Rel-5<br>Rel-6 | the for<br>(GSN<br>(Relea<br>(Relea<br>(Relea<br>(Relea<br>(Relea<br>(Relea |         |            |
| Reason for char  | nge: ૠ  | EF_I  | RPLMNA   | ACT was de                                    | eleted in 30  | SPP 1 | ΓS 3 <sup>-</sup> | 1.102 Rel-4  |   |         |            |
| Summary of cha   | Summary of change: # EF_RPLMACT deleted and test 7.2.3 modified and tests 7.2.4 (now already covered by 7.2.2) and 7.5 deleted. |   |  |   |               |       |                   |  |   |         |            |
| Consequences in not approved:  | if ∺  | Inco  | nsistenc   | y between                                     | 3GPP TS 3     | 31.10 | 2 and             | d 3GPP TS 3  | 1.121   |         |            |
| Clauses affected   | d: ₩  | 3.3,  | 4.1.1, 4.  | 1.1.13, 7, 7                                  | 7.2.3, 7.2.4, | 7.5   |                   |  |   |         |            |
| Other specs affected:  | ¥   | Y N<br>X<br>X   | Other of   | core specifi<br>pecification<br>specification | ications<br>s | ¥     |                   |  |   |         |            |

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Other comments:

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

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For the purposes of the present document, the following abbreviations apply:

3G 3<sup>rd</sup> Generation

3GPP 3<sup>rd</sup> Generation Partnership Project

ACC ACcess Class
ACL APN Control List
ACM Accumulated Call Meter
ACMmax ACM maximal value
ACT ACcess Technology
ADF Application Dedicated File

AoC Advice of Charge

AoCC Advice of Charge Charging

APN Access Point Name ATR Answer To Reset

BCCH Broadcast Control Channel BCD Binary Coded Decimal BDN Barred Dialling Number

CCI Capability / Configuration Identifier

CCM Current Call Meter

CK Cipher key
DF Dedicated File
EF Elementary File

EMMI Electrical Man Machine Interface

Ext n Extension n

FDN Fixed Dialling Number FPLMN Forbidden PLMN

GSM Global System for Mobile communications

HPLMN Home PLMN

ICC Integrated Circuit Card

ID IDentifier

IEC International Electrotechnical Commission

IK Integrity key

IMSI International Mobile Subscriber Identity
ISO International Organization for Standardization

KSI Key Set Identifier
LAC Location Area Code
LAI Location Area Information
LSB Least Significant Bit
MCC Mobile Country Code

MF Master File

MMI Man Machine Interface
MNC Mobile Network Code
MSB Most Significant Bit
NAS Non Acess Stratum
NPI Numbering Plan Identifier
OFM Operational Feature Monitor
OSI Open System Interconnection

P1 Parameter 1 P2 Parameter 2 P3 Parameter 3

PIN Personal Identification Number PLMN Public Land Mobile Network

PS Packet switched

RACH
RFU
Reserved for Future Use
RPLMN
RRC
Radio Resource Control
SS
System Simulator (GSM)
TE
Terminal Equipment
TLV
Tag Length Value

TMSI Temporary Mobile Subscriber Identity

TON Type Of Number UE User Equipment

USIM Universal Subscriber Identity Module

USS UMTS System Simulator

UTRAN UMTS Terrestrial Radio Access Network

VPLMN Visitor PLMN

## 4.1.1 Values of the EF's (Default UICC)

[..]

## 4.1.1.13 VoidEF<sub>RPLMNACT</sub> (RPLMN Last used Access Technology)

Logically: No information about the last used ACT available.

Coding: B1 B2 Hex 00 00

[..]

# 7 PLMN related tests

[..]

# 7.2.3 UE recognising the priority order of the User controlled PLMN selector list using a ACT preference.

## 7.2.3.1 Definition and applicability

The User controlled PLMN selector list gives in priority order the preferred PLMNs of the User on which the UE shall register. The Radio Access Technology identifier defines the Radio network in which the UE shall register. The list is stored on the USIM in the  $EF_{PLMNwACT}$ . Update and deletion of User controlled PLMNs may be performed by the subscriber by the use of the PIN.

This test applies to a GSM/UMTS dual mode UE accessing both UTRAN and GSM using either ID-1 or Plug-in UICC

## 7.2.3.2 Conformance requirement

When registering onto a VPLMN the UE shall take into account the priority of the ACT identifier in the preferred list on the USIM. After the successful registration the Registered PLMN, the last used ACcess Technology field EF<sub>RPLMNACT</sub> shall be updated.

- TS 22.011, subclause 3.2.2;
- TS 31.102, subclauses 4.2.5 and 5.1.2.

#### 7.2.3.2.1 Test purpose

To verify that the ACT with the higher priority (defined by its position in  $EF_{PLMNwACT}$ ) takes precedence over the UPLMN with the lower priority when the UE performs a network selection and that the  $EF_{RPLMNACT}$  is correct updated.

#### 7.2.3.3 Method of test

#### 7.2.3.3.1 Initial conditions

For this test both a GSM SS and a UTRAN USS is needed.

The GSM SS transmit on BCCH, with the following network parameters:

- Attach/detach: disabled.

- LAI (MCC/MNC/LAC): 244/081/0001.

- Access control: unrestricted.

The UMTS USS transmit on BCCH, with the following network parameters:

- Attach/detach: disabled.

- LAI (MCC/MNC/LAC): 244/082/0001.

- Access control: unrestricted.

The default UICC is used\_with the following exception:

EF<sub>RPLMNACT</sub> (Registered PLMN last used ACcess Technology)

Logically: Last registered ACT set to UTRAN

Coding: B1 B2 Hex 80 00

The UICC is installed into the Terminal and the UE is set to automatic PLMN selection mode.

#### 7.2.3.3.2 Procedure

- a) The UE is powered on.
- b) After receipt of a CHANNEL REQUEST from the UE, the SS sends IMMEDIATE ASSIGNMENT to the UE.
- c) After receipt of a LOCATION UPDATE REQUEST from the UE, the SS sends LOCATION UPDATE ACCEPT with:

LAI (MCC/MNC): 244/081

TMSI: "34567890"

to the UE.

- d) After receipt of a TMSI REALLOCATION COMPLETE from the UE, the SS sends CHANNEL RELEASE to the UE.
- e) The UE is soft powered down.

### 7.2.3.4 Acceptance criteria

- 1) After step b) the UE shall send LOCATION UPDATE REQUEST containing an MCC/MNC of 244/081 to the SS.
- 2) After step c) the UE shall respond with TMSI REALLOCATION COMPLETE.
- 3) After step e) the USIM shall contain the following values:

### **EF**<sub>LOCI</sub> (Location Information)

Logically: LAI-MCC: 244

LAI-MNC: 081

TMSI: "34567890"

Coding: B<sub>1</sub> B2 **B**3 R4 B5 B7 B9 B10 B11 B8 Hex 56 78 90 42 80 00 XX XX

#### EF<sub>RPLMNACT</sub> (Registered PLMN last used ACcess Technology)

Logically: Last registered ACT set to GSM

Coding: B1 B2 Hex 00 80

# 7.2.4 <u>VoidUE recognising the priority order of the User controlled</u> PLMN selector list using a ACT preference; accessing UTRAN

## 7.2.4.1 Definition and applicability

The User controlled PLMN selector list gives in priority order the preferred UPLMNs on which the UE shall register. The Radio Access Technology identifier defines the Radio network in which the UE shall register. The list is stored on the USIM in the EF<sub>PLMNwACT</sub>. Update and deletion of UPLMNs may be performed by the subscriber by the use of the PIN.

This test applies to Terminals accessing UTRAN. This test does not apply, if the previous test is performed.

#### 7.2.4.2 Conformance requirement

When registering onto a VPLMN the UE shall take into account the priority of the ACT identifier in the preferred list on the USIM. After the successful registration the Registered PLMN, the last used ACcess Technology field EF<sub>RPLMNACT</sub> shall be updated

TS 22.011, subclause 3.2.2;

TS 31.102, subclauses 4.2.5 and 5.1.2.

#### 7.2.4.2.1 Test purpose

To verify that the ACT with the higher priority (defined by its position in  $EF_{PLMNWACT}$ ) takes precedence over the UPLMN with the lower priority when the UE performs a network selection and that the  $EF_{RPLMNACT}$  is correct updated.

#### 7.2.4.3 Method of test

#### 7.2.4.3.1 Initial conditions

The USS transmits on two BCCH, with the following network parameters:

- Attach/detach: disabled.

LAI (MCC/MNC/LAC): 244/082/0001.

Access control: unrestricted.

- Attach/detach: disabled.

LAI (MCC/MNC/LAC): 244/003/0001.

Access control: unrestricted.

The default UICC is used with the following exception:

### EF<sub>RPLMNACT</sub> (Registered PLMN last used ACcess Technology)

Logically: Last registered ACT shall be set to GSM

 Coding:
 B1
 B2

 Hex
 00
 80

The UICCC is installed into the Terminal and the UE is set to automatic PLMN selection mode.

#### 7.2.4.3.2 Procedure

- a) The UE is powered on.
- b) After receipt of a RRC CONNECTION REQUEST from the UE, the SS sends RRC CONNECTION SETUP to the UE, followed by RRC CONNECTION SETUP COMPLETE sent by the UE to the USS.
- e) After receipt of a LOCATION UPDATE REQUEST from the UE, the SS sends LOCATION UPDATE ACCEPT with:

LAI (MCC/MNC): 244/082

TMSI: "34567890"

to the UE.

- d) After receipt of a TMSI REALLOCATION COMPLETE from the UE, the SS sends RRC CONNECTION RELEASE to the UE, followed by RRC CONNECTION RELEASE COMPLETE sent by the UE to the USS.
- e) The UE is soft powered down.

### 7.2.4.4 Acceptance criteria

- 1) After step b) the UE shall send LOCATION UPDATE REQUEST containing an MCC/MNC of 244/081 to the SS.
- 2) After step c) the UE shall respond with TMSI REALLOCATION COMPLETE.
- 3) After step e) the USIM shall contain the following values:

## **EF**<sub>LOCI</sub> (Location Information)

| Logically: | LAI MCC: | <del>- 244</del> |
|------------|----------|------------------|
|            | LAI-MNC: | <del>082</del>   |
|            | TMSI:    | "34567890"       |

| Coding: | <del>B1</del> | <del>B2</del> | <del>B3</del> | <del>B</del> 4 | <del>B5</del> | <del>B6</del> | <del>B7</del> | <del>B8</del> | <del>B9</del> | <del>B10</del> | <del>B11</del> |
|---------|---------------|---------------|---------------|----------------|---------------|---------------|---------------|---------------|---------------|----------------|----------------|
| Hex     |               |               |               |                |               |               |               |               |               |                |                |

### EF<sub>RPLMNACT</sub> (Registered PLMN last used ACcess Technology)

Logically: Last registered ACT shall be set to UTRAN

 Coding:
 B1
 B2

 Hex
 80
 00

# 7.5 VoidRPLMNACT handling

# 7.5.1 UE recognising the last registered ACT

## 7.5.1.1 Definition and applicability

The RPLMNACT identifies the last Access Technology in which the UE was registered. Together with the identification of the last registered PLMN. This two lists shall be used for the network selection in the case the UE is within coverage (at switch on) or returns to coverage of the PLMN on which it is already registered (as indicated by the registered PLMN stored in the USIM), the UE shall perform a location update to a new location area if necessary.

NOTE: According to TS 22.011 subclause 3.2.2.2, the last registered network take precedence even over the HPLMN.

This test applies to a GSM/UMTS dual mode UE accessing both UTRAN and GSM using either ID-1 or Plug in UICC.

#### 7.5.1.2 Conformance requirement

- 1) Recognising the network, in which the UE was last registered.
- 2) Recognising the Access Technology, in which the UE has last used.
- 3) AT the time of power on, from all available network the above network and Access Technology shall be first selected.

```
TS 22.011, subclauses 3.2.2 and 3.2.2.2;
```

-TS 31.102, subclause 5.1.1.

### 7.5.1.3 Test purpose

To verify that the last registered network together with the last used Access technology takes precedence over all other available network.

#### 7.5.1.4 Method of test

#### 7.5.1.4.1 Initial conditions

For this test both a GSM SS and an UTRAN USS is needed.

The USS transmits on two BCCH, with the following network parameters:

Attach/detach: disabled.

- LAI (MCC/MNC/LAC): 246/081/0001.

Access control: unrestricted.

Attach/detach: disabled.

- LAI (MCC/MNC/LAC): 242/001/0001.

Access control: unrestricted.

The GSM SS transmits on the BCCH with the following network parameters:

- Attach/detach: disabled.

- LAI (MCC/MNC/LAC): 242/001/0001.

- Access control: unrestricted.

The default UICC shall be used with the following exception:

#### **EF**<sub>LOCI</sub> (Location Information)

| Logically | LAIMCC              | 242                   |
|-----------|---------------------|-----------------------|
| Eugicany. | LAI-NICC.           | <del>242</del>        |
|           | LAI-MNC:            | <del>001</del>        |
|           |                     |                       |
|           | <del>LAI LAC:</del> | <del>9999</del>       |
|           |                     |                       |
|           | <del>TMSI:</del>    | <del>"12345678"</del> |

 Coding:
 B1
 B2
 B3
 B4
 B5
 B6
 B7
 B8
 B9
 B10
 B11

 Hex
 12
 34
 56
 78
 42
 12
 00
 99
 99
 FF
 00

### EF<sub>RPLMNACT</sub> (Registered PLMN Access Technology)

```
Logically: set to GSM
```

Coding: B1 B2 Hex 00 80

The UICC shall be installed into the Terminal and the UE shall be set to automatic PLMN selection mode.

#### 7.5.1.4.2 Procedure

a) The UE shall be powered on.

- b) After receipt of a CHANNEL REQUEST from the UE, the SS shall send IMMEDIATE ASSIGNMENT to the UE.
- e) After receipt of a LOCATION UPDATE REQUEST from the UE, the SS sends LOCATION UPDATE ACCEPT with:

LAI (MCC/MNC): 242/001

LAC: 0001

TMSI: "34567890"

— to the UE.

- d) After receipt of a TMSI REALLOCATION COMPLETE from the UE, the SS sends CHANNEL RELEASE to the UE.
- e) The UE is soft powered down.

## 7.5.1.5 Acceptance criteria

After step e) the USIM shall contain the following values:

### **EF**<sub>LOCI</sub> (Location Information)

| Logically: | LAI MCC: | <del>242</del>  |
|------------|----------|-----------------|
|            | LAI MNC: | <del>001</del>  |
|            | LAI LAC: | <del>0001</del> |
|            | TMSI:    | "34567890"      |

 Coding:
 B1
 B2
 B3
 B4
 B5
 B6
 B7
 B8
 B9
 B10
 B11

 Hex
 34
 56
 78
 90
 42
 12
 00
 00
 01
 FF
 00

# 3GPP TSG T WG3 Meeting #31 Berlin, Germany, 27<sup>th</sup> – 30<sup>th</sup> April 2004

T3-040339

(revised T3-40234)

| CHANGE REQUEST |           |     |      |   |   |                  |       |   |  |  |
|----------------|-----------|-----|------|---|---|------------------|-------|---|--|--|
| *              | 31.121 CR | 032 | жrev | - | ¥ | Current version: | 3.8.0 | ¥ |  |  |
| - 455          |           |     |      |   |   |                  |       |   |  |  |

| *                    | 31.121 CR 032 # rev - #   | Current version: 3.8.0 #                       |
|----------------------|---|--|
| For <b>HELP</b> on u | sing this form, see bottom of this page or look at the                        | pop-up text over the \( \mathbb{H} \) symbols. |
|                      |   | . , .  |
|                      |   |  |
| Proposed change      | <b>iffects:</b> UICC apps策 <mark>X</mark> ME <mark>X</mark> Radio Ac          | cess Network Core Network                      |
|                      |   |  |
| Title: ж             | CR 31.121 R99: Security related tests   |  |
|                      | ·   |  |
| Source: #            | Т3  |  |
| Work item code: ₩    | TEI   | Date: 第 <mark>29/04/2004</mark>                |
| Category: ж          | F   | Release: # R99                                 |
|                      | Use one of the following categories:  | Use <u>one</u> of the following releases:      |
|                      | F (correction)  | 2 (GSM Phase 2)                                |
|                      | A (corresponds to a correction in an earlier release B (addition of feature), | ) R96 (Release 1996)<br>R97 (Release 1997)     |
|                      | C (functional modification of feature)  | R98 (Release 1998)                             |
|                      | <b>D</b> (editorial modification)   | R99 (Release 1999)                             |
|                      | Detailed explanations of the above categories can                             | Rel-4 (Release 4)                              |
|                      | be found in 3GPP <u>TR 21.900</u> .   | Rel-5 (Release 5)<br>Rel-6 (Release 6)         |
|                      |   | rici o (ricicase o)                            |
| Reason for change    |   | with emergency call and insufficient           |
|                      | PIN related tests   |  |
| Summary of chang     | e:  ## 1) FDN and BDN test related to emergency                               | calls corrected                                |
|                      | 2) Tests for Universal PIN inserted   |  |
|                      | 3) Implicit testing when the SFI value of EF                                  | ACM is different to the                        |
|                      | recommended value introduced  |  |
|                      | 4) Update of references   |  |
|                      |   |  |
| Consequences if      | 第 Incorrect and insufficient security related tests                           | S  |
| not approved:        |   |  |
| Clauses affected:    | <b>%</b> 3.3, 4, 4.1.1, 4.1.1.7, 4.1.1.11, 4.1.1.14, 4.1.1                    | .15, 4.1.1.16, 4.1.1.17, 4.1.1.18.             |
|                      | 6.1, 6.1.1.2, 6.1.2.2, 6.1.3.2, 6.1.4.2, 6.1.5.2,                             | 6.1.6.2, 6.2.1.2, 6.2.1.3, 6.2.1.4.2,          |
|                      | 6.2.1.5, 6.2.2.2, 6.2.3.2, 6.3.1.2, 6.3.1.4.2, 6.3                            |  |
|                      | 6.4.2.4.1, 6.4.2.4.2, 6.4.3.2, 6.4.3.3, 6.4.3.4.1                             | , 6.4.3.4.2, 6.4.4.2, 6.4.4.3,                 |
|                      | 6.4.4.4.1, 6.4.4.4.2  |  |
|                      | YN  |  |
| Other specs          | ★ X Other core specifications ★   |  |
| affected:            | X Test specifications   |  |

|                 | X O&M Specifications |  |
|-----------------|----------------------|--|
|                 |                      |  |
| Other comments: | $\mathbf x$          |  |

### How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <a href="http://www.3gpp.org/specs/CR.htm">http://www.3gpp.org/specs/CR.htm</a>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked \$\mathbb{X}\$ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <a href="ftp://ftp.3gpp.org/specs/">ftp://ftp.3gpp.org/specs/</a> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document in the same Release as the present document.
- ISO/IEC 7816-1 (1998): "Identification cards Integrated circuit(s) cards with [1] contacts - Part 1: Physical characteristics". [2] ISO/IEC 7816-6 (1996): "Identification cards - Integrated circuit(s) cards with contacts - Part 6: Interindustry data elements". [3] 3GPP TS 23.038: "Alphabets and language-specific information". [4] 3GPP TS 31.102: "Characteristics of the USIM application". [5] ETSI TS 102 221: "UICC-Terminal interface; Physical and logical characteristics". 3GPP TS 22.011: "Service accessibility". [6] [7] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications". [8] 3GPP TS 22.024: "Description of Charge Advice Information (CAI)". [9] 3GPP TS 23.086: "Advice of Charge (AoC) Supplementary Service - Stage 2". [10] 3GPP TS 24.086: "Advice of Charge (AoC) Supplementary Service - Stage 3". 3GPP TS 22.101: "Service aspects; Service principles". [11] [12] 3GPP TS 22.030: "Man-Machine Interface (MMI) of the User Equipment (UE)". 3GPP TS 23.040: "Technical realization of the Short Message Service (SMS)". [13] [14] 3GPP TS 23.003: "Numbering, Addressing and Identification". [15] 3GPP TS 44.018: "Mobile radio interface layer 3 specification; Radio Resource Control Protocol". [16] 3GPP TS 24.008: "Mobile radio interface Layer 3 specification; Core Network protocols; Stage 3". [17] 3GPP TS 24.080: "Mobile radio Layer 3 supplementary service specification; Formats and coding". [18] 3GPP TS 22.086: "Advice of Charge (AoC) supplementary services; Stage 1". [19] 3GPP TS 21.111: "USIM and IC card requirements". [20] 3GPP TS 25.331 "Radio Resource Control (RRC); Protocol Specification"

## 3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

3G 3<sup>rd</sup> Generation

3GPP 3<sup>rd</sup> Generation Partnership Project

ACC ACcess Class
ACL APN Control List
ACM Accumulated Call Meter
ACMmax ACM maximal value
ACT ACcess Technology
ADF Application Dedicated File

AoC Advice of Charge

AoCC Advice of Charge Charging

APN Access Point Name ATR Answer To Reset

BCCH Broadcast Control Channel
BCD Binary Coded Decimal
BDN Barred Dialling Number

CCI Capability / Configuration Identifier

CCM Current Call Meter CK Cipher key DF Dedicated File EF Elementary File

EMMI Electrical Man Machine Interface

Ext n Extension n

FDN Fixed Dialling Number FPLMN Forbidden PLMN

GSM Global System for Mobile communications

HPLMN Home PLMN

ICC Integrated Circuit Card

ID IDentifier

IEC International Electrotechnical Commission

IK Integrity key

IMSI International Mobile Subscriber Identity
ISO International Organization for Standardization

KSI Key Set Identifier
LAC Location Area Code
LAI Location Area Information
LSB Least Significant Bit
MCC Mobile Country Code

MF Master File

MMI Man Machine Interface
MNC Mobile Network Code
MSB Most Significant Bit
NAS Non Acess Stratum
NPI Numbering Plan Identifier
OFM Operational Feature Monitor
OSI Open System Interconnection

P1 Parameter 1 P2 Parameter 2 P3 Parameter 3

PIN Personal Identification Number

PLMN Public Land Mobile Network
PS Packet switched
PACH

RACH Random Access Channel
RFU Reserved for Future Use
RRC Radio Resource Control
SFI Short File Identifier
SS System Simulator (GSM)
TE Terminal Equipment
TLV Tag Length Value

TMSI Temporary Mobile Subscriber Identity

TON Type Of Number UE User Equipment

USIM Universal Subscriber Identity Module

USS UMTS System Simulator

UTRAN UMTS Terrestrial Radio Access Network

VPLMN Visitor PLMN

# 4 Default Values

All Test defined in the subsequent clauses applies to Terminal using both type of currently specified UICC (ID-1 UICC or Plug-in UICC) in TS 102 221[5], clause 4 unless otherwise stated.

The following sequence of tests confirms:

- a) the correct interpretation of data read from the USIM (Universal Subscriber Identification Module) by the Terminal;
- b) the correct writing of data to the USIM by the Terminal;
- c) the initiation of appropriate procedures by the Terminal;
- d) High level protocols.

All tests apply to the USIM application on the UICC.

A USIM simulator will be required as part of the USS. Alternatively, to perform the logical tests, USIMs programmed with specific data may be used. The USIM data is not defined within the initial conditions of the tests unless it differs from the default values defined below.

# 4.1 Definition of default values for USIM-Terminal interface testing (Default UICC)

A USIM containing the following default values is used for all tests of this present document unless otherwise stated.

For each data item, the logical default values and the coding within the elementary files (EF) of the USIM follow.

NOTE 1: Bx represents byte x of the coding.

NOTE 2: Unless otherwise defined, the coding values are hexadecimal.

# 4.1.1 Values of the EF's (Default UICC)

[..]

## 4.1.1.7 EF<sub>FPLMN</sub> (Forbidden PLMNs)

Besides of the 4 mandatory EF<sub>FPLMN</sub> 2 optional EF<sub>FPLMN</sub> are defined according to TS 31.102[4]. subclause 4.2.16.

| Logically: PLMN1: PLMN2: PLMN3: PLMN4: PLMN5: PLMN6: |           |           | 2:<br>3:<br>4:<br>5: | 234 001 (MCC MNC)<br>234 002<br>234 003<br>234 004<br>234 005<br>234 006 |           |           |          |          |          |           |           |           |
|--|-----------|-----------|----------------------|--|-----------|-----------|----------|----------|----------|-----------|-----------|-----------|
| Coding:<br>Hex                                       | B1<br>32  | B2<br>14  | B3<br>00             | B4<br>32   | B5<br>24  | B6<br>00  | B7<br>32 | B8<br>34 | B9<br>00 | B10<br>32 | B11<br>44 | B12<br>00 |
|  | B13<br>32 | B14<br>54 | B15<br>00            | B16<br>32  | B17<br>64 | B18<br>00 |          |          |          |           |           |           |

# 4.1.1.11 EF<sub>PLMNwACT</sub> (User Controlled PLMN Selector with Access Technology)

Besides of the 8 mandatory PLMNwACT entries 4 optional PLMNwACT entries are defined according to TS 31.102[4], subclause 4.2.5. The Radio Access Technology identifier for the first two PLMN (1<sup>st</sup> PLMN and 2<sup>nd</sup> PLMN) are set to both UTRAN and GSM, all other PLMN to UTRAN only.

| Logically: | 1 <sup>st</sup> PLMN:  | 244 081 (MCC MNC) |
|------------|------------------------|-------------------|
|            | 1 <sup>st</sup> ACT:   | UTRAN             |
|            | 2 <sup>nd</sup> PLMN:  | 244 081           |
|            | 2 <sup>nd</sup> ACT:   | GSM               |
|            | 3 <sup>rd</sup> PLMN:  | 244 082           |
|            | 3 <sup>rd</sup> ACT:   | UTRAN             |
|            | 4 <sup>th</sup> PLMN:  | 244 082           |
|            | 4 <sup>th</sup> ACT:   | GSM               |
|            | 5 <sup>th</sup> PLMN:  | 244 003           |
|            | 5 <sup>th</sup> ACT:   | UTRAN             |
|            | 6 <sup>th</sup> PLMN:  | 244 004           |
|            | 6 <sup>th</sup> ACT:   | UTRAN             |
|            | 7 <sup>th</sup> PLMN:  | 244 005           |
|            | 7 <sup>th</sup> ACT:   | UTRAN             |
|            | 8 <sup>th</sup> PLMN:  | 244 006           |
|            | 8 <sup>th</sup> ACT:   | UTRAN             |
|            | 9 <sup>th</sup> PLMN:  | 244 007           |
|            | 9 <sup>th</sup> ACT:   | UTRAN             |
|            | 10 <sup>th</sup> PLMN: | 244 008           |
|            | 10 <sup>th</sup> ACT:  | UTRAN             |
|            | 11 <sup>th</sup> PLMN: | 244 009           |
|            | 11 <sup>th</sup> ACT:  | UTRAN             |
|            | 12 <sup>th</sup> PLMN: | 244 010           |
|            | 12 <sup>th</sup> ACT:  | UTRAN             |

| Coding: | B1  | B2  | B3  | B4  | B5  | B6  | B7  | B8  | B9  | B10 | B11 | B12 | B13 | B14 | B15 |
|---------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Hex     | 42  | 14  | 80  | 80  | 00  | 42  | 14  | 80  | 00  | 80  | 42  | 24  | 80  | 80  | 00  |
|         | B16 | B17 | B18 | B19 | B20 | B21 | B22 | B23 | B24 | B25 | B26 | B27 | B28 | B29 | B30 |
|         | 42  | 24  | 80  | 00  | 80  | 42  | 34  | 00  | 80  | 00  | 42  | 44  | 00  | 80  | 00  |
|         | B31 | B32 | B33 | B34 | B35 | B36 | B37 | B38 | B39 | B40 | B41 | B42 | B43 | B44 | B45 |
|         | 42  | 54  | 00  | 80  | 00  | 42  | 64  | 00  | 80  | 00  | 42  | 74  | 00  | 80  | 00  |
|         | B46 | B47 | B48 | B49 | B50 | B51 | B52 | B53 | B54 | B55 | B56 | B57 | B58 | B59 | B60 |
|         | 42  | 84  | 00  | 80  | 00  | 42  | 94  | 00  | 80  | 00  | 42  | 04  | 10  | 80  | 00  |

## 4.1.1.14 PIN

## Key reference: 01

Logically: 2468

Coding: В1 B2 B3 В4 B5 B6 В7 B8 Hex 32 34 36 38 FF FF FF FF

## 4.1.1.15 PIN2

### Key reference: 81

Logically: 3579

B5 FF B6 FF Coding: B1 B2 В3 В4 B7 В8 FF FF 39 37 Hex 33 35

## 4.1.1.16 Unblock PIN

## Key reference: 01

Logically: 13243546

Coding: В1 B2 ВЗ В6 В7 В8 В4 B5 Hex 31 33 32 34 35 34 36

## 4.1.1.17 Unblock PIN2

## Key reference: 81

Logically: 08978675

Coding: B1 B2 В3 B4 B5 B6 В7 B8 39 38 36 37 35 Hex 30 38 37

### 4.1.1.18 Other Values of the USIM

All other values of EFs provided by the USIM shall be set to the default values defined in the annex E of TS 31.102[4]. Some EFs (like the GSM Access files) may necessary for some tests and apply only to those test cases.

## 4.1.1.19 EF<sub>PSLOCI</sub> (Packet Switch Location Information)

Logically: RAI-MCC: 246

RAI-MNC: 081 RAI-LAC: 0001 RAI-RAC: 05

P-TMSI: "FF....FF"

P-TMSI signature value: "FF...FF"

B2 B11 Coding: В1 В3 B4 B5 B7 B8 B9 B10 FF FF FF FF FF FF FF 42 16 80 00 Hex

Coding: B12 B13 B14 Hex 01 05 00

## 4.1.1.20 Universal PIN

### Key reference: 11

Logically: 2839

 Coding:
 B1
 B2
 B3
 B4
 B5
 B6
 B7
 B8

 Hex
 32
 38
 33
 39
 FF
 FF
 FF
 FF

## 4.1.1.21 Unblock Universal PIN

#### Key reference: 11

Logically: 0203040506

 Coding:
 B1
 B2
 B3
 B4
 B5
 B6
 B7
 B8

 Hex
 30
 32
 30
 33
 30
 34
 30
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# 6 Security related Tests

# 6.1 PIN handling

## 6.1.1 Entry of PIN

## 6.1.1.1 Definition and applicability

The PIN is a number used to authenticate the user to the UICC for security. Entry of the correct PIN allows PIN-protected data to be accessed over the UICC-Terminal interface.

This test applies to all 3G Terminals.

## 6.1.1.2 Conformance requirement

Following insertion of the UICC and switching on the UE, the Terminal shall check the state of the PIN. If the PIN is enabled, the Terminal asks the user for PIN verification.

The VERIFY PIN function verifies the PIN presented by the Terminal to the UICC.

#### Reference:

- TS 102 221[5], subclauses 9 and 11.1.9;
- TS 31.102[4], clause 6;
- TS 22.030 [12], subclause 6.6.1.

### 6.1.1.3 Test purpose

- 1) To verify that the PIN verification procedure is performed by the Terminal correctly.
- 2) To verify that the basic public MMI string is supported.

#### 6.1.1.4 Method of test

## 6.1.1.4.1 Initial conditions

The Terminal is connected to a UICC or UICC simulator with the PIN enabled, and powered off.

The default UICC is used.

#### 6.1.1.4.2 Procedure

- a) The Terminal is powered on.
- b) When the UE is in the "PIN check" mode, the sequence "2468#" shall be entered.

## 6.1.1.5 Acceptance criteria

- 1) After step b) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "01".
- 2) After step b) the UE shall give an indication "OK", following a successful execution of the command.

# 6.1.2 Change of PIN

### 6.1.2.1 Definition and applicability

The PIN may be changed by the user, by entering the old and new PIN. The length of the PIN is between 4 and 8 digits.

This test applies to all 3G Terminals.

### 6.1.2.2 Conformance requirement

The Terminal shall support the change of PIN procedure as defined in TS 102 221[5], subclause 11.1.10.

Reference:

- TS 102 221[5], subclauses 9 and 11.1.10;
- TS 31.102[4], clause 6;
- TS 22.030 [12], subclause 6.6.2.

## 6.1.2.3 Test purpose

- 1) To verify that the PIN substitution procedure is performed correctly by the Terminal.
- 2) To verify that the basic public MMI string is supported.

#### 6.1.2.4 Method of test

#### 6.1.2.4.1 Initial conditions

The Terminal is connected to a UICC or UICC simulator with the PIN enabled.

The default UICC is used.

The Terminal is powered-on, with the correct PIN entered.

#### 6.1.2.4.2 Procedure

- a) Enter "\*\*04\*2468\*01234567\*01234567#".
- b) The UE is switched off and on.
- c) When the UE is in the "PIN check" mode, the sequence "2468#" shall be entered.
- d) The UE is switched off and on.
- e) When the UE is in the "PIN-check", mode the sequence "01234567#" shall be entered.

### 6.1.2.5 Acceptance criteria

- 1) After step a), the Terminal shall send a CHANGE PIN command to the UICC, with the parameter P2 set to "01".
- 2) Following the successful execution of the command, the UE shall give an indication that the new PIN is accepted.
- 3) After step c), the UE shall give an indication that the entered PIN is not accepted.
- 3) After step e), the UE shall give an indication "OK".

#### 6.1.3 Unblock PIN

## 6.1.3.1 Definition and applicability

After three consecutive wrong entries of the PIN, the PIN shall become blocked. The Unblock PIN command is used to unblock the PIN. This function may be performed whether or not the PIN is blocked.

This test applies to 3G Terminals.

#### 6.1.3. 2 Conformance requirement

The Terminal shall support the Unblock PIN command, as defined in TS 102 221[5] subclause 11.1.13.

Reference:

- TS 102 221[5], subclauses 9 and 11.1.13;
- TS 31.102[4], clause 6;
- TS 22.030 [12], subclause 6.6.3.

## 6.1.3.3 Test purpose

- 1) To verify that the PIN unblocking procedure is performed correctly.
- 2) To verify that the basic public MMI string is supported.

#### 6.1.3.4 Method of test

#### 6.1.3.4.1 Initial conditions

The Terminal is connected to the UICC simulator.

The default UICC is used.

#### 6.1.3.4.2 Procedure

- a) The Terminal is powered on and the correct PIN is entered.
- b) Enter "\*\*05\*13243546\*1234\*1234#".
- c) The Terminal is powered off and on.
- d) Enter the new PIN: "1234#".

- e) The Terminal is powered off and on.
- f) Enter a wrong PIN three times.
- g) Enter "\*\*05\*13243546\*2468\*2468#".
- h) The Terminal is powered off and on.
- i) Enter the new PIN: "2468#".

#### 6.1.3.5 Acceptance criteria

- 1) After step b), the Terminal shall send an UNBLOCK PIN command to the UICC, with parameter P2 = "01".
- 2) After step d), the Terminal shall indicate that the PIN has been accepted.
- 3) After step f), the Terminal shall indicate that the PIN has been blocked.
- 4) After step g), the Terminal shall send an UNBLOCK PIN command to the UICC, with parameter P2 = "01".
- 5) After step j), the Terminal shall indicate that the PIN has been accepted.

# 6.1.4 Entry of PIN2

## 6.1.4.1 Definition and applicability

The PIN2 is a number used to authenticate the user to the UICC for security. Entry of the correct PIN2 allows PIN2-protected data to be accessed over the UICC-Terminal interface.

This test applies to all 3G Terminals supporting a feature requiring PIN2 entry (such as e.g. AoC or FDN).

## 6.1.4.2 Conformance requirement

Before allowing the access to PIN2 protected data, the Terminal shall ask the user for PIN2 verification. Only after presenting the PIN2, the user shall get access to these data.

The VERIFY PIN function verifies the PIN2 presented by the Terminal to the UICC.

#### Reference:

- TS 102 221[5], subclauses 9 and 11.1.9;
- TS 31.102[4], clause 6;
- TS 22.030 [12], subclause 6.6.1.

## 6.1.4.3 Test purpose

- 1) To verify that the PIN2 verification procedure is performed by the Terminal correctly.
- 2) To verify that the basic public MMI string is supported.

#### 6.1.4.4 Method of test

#### 6.1.4.4.1 Initial conditions

The Terminal is connected to a UICC or UICC simulator with the PIN enabled, and powered off.

The default UICC is used.

NOTE: To perform the UPDATE FDN data (as described in the procedure below), the default FDN UICC may be used.

#### 6.1.4.4.2 Procedure

- a) The Terminal is powered on and the correct PIN is entered.
- b) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN).
- c) When the UE is in the "PIN2 check" mode, the sequence "3579#" shall be entered.

## 6.1.4.5 Acceptance criteria

- 1) After step b) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "81".
- 2) After step b) the UE shall give an indication "OK", following a successful execution of the command.

## 6.1.5 Change of PIN2

## 6.1.5.1 Definition and applicability

The PIN2 may be changed by the user, by entering the old and new PIN2. The length of the PIN2 is between 4 and 8 digits.

This test applies to all 3G Terminals supporting a feature requiring PIN2 entry (such as e.g. AoC or FDN).

### 6.1.5.2 Conformance requirement

The Terminal shall support the change of PIN2 procedure as defined in TS 102 221[5], subclause 11.1.10.

Reference:

- TS 102 221[5], subclauses 9 and 11.1.10;
- TS 31.102[4], clause 6;
- TS 22.030 [12], subclause 6.6.2.

### 6.1.5.3 Test purpose

- 1) To verify that the PIN2 substitution procedure is performed correctly by the Terminal.
- 2) To verify that the basic public MMI string is supported.

#### 6.1.5.4 Method of test

#### 6.1.5.4.1 Initial conditions

The Terminal is connected to a UICC or UICC simulator with the PIN2 enabled.

The default UICC is used.

NOTE: To perform the UPDATE FDN data (as described in the procedure below), the default FDN UICC may be used.

The Terminal is powered-on, with the correct PIN entered.

#### 6.1.5.4.2 Procedure

- a) Enter "\*\*042\*3579\*12345678\*12345678#".
- b) The UE is switched off and on and the correct PIN is entered.
- c) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN).
- d) When the UE is in the "PIN2 check" mode, the sequence "3579#" shall be entered.
- e) The UE is switched off and on and the correct PIN is entered.
- f) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN).
- g) When the UE is in the "PIN2-check", mode the sequence "12345678#" shall be entered.

### 6.1.5.5 Acceptance criteria

- 1) After step a), the Terminal shall send a CHANGE PIN2 command to the UICC, with the parameter P2 set to "81".
- 2) Following the successful execution of the command, the UE shall give an indication that the new PIN2 is accepted.
- 3) After step d), the UE shall give an indication that the entered PIN2 is not accepted.
- 3) After step g), the UE shall give an indication "OK".

#### 6.1.6 Unblock PIN2

#### 6.1.6.1 Definition and applicability

After three consecutive wrong entries of the PIN2, the PIN2 shall become blocked. The Unblock PIN2 command is used to unblock the PIN2. This function may be performed whether or not the PIN2 is blocked.

This test applies to all 3G Terminals supporting a feature requiring PIN2 entry (such as e.g. AoC or FDN).

## 6.1.6.2 Conformance requirement

The Terminal shall support the Unblock PIN2 command, as defined in TS 102 221[5], subclause 11.1.13.

Reference:

- TS 102 221[5], subclauses 9 and 11.1.13;
- TS 31.102[4], clause 6;
- TS 22.030 [12], subclause 6.6.3.

## 6.1.6.3 Test purpose

- 1) To verify that the PIN2 unblocking procedure is performed correctly.
- 2) To verify that the basic public MMI string is supported.

#### 6.1.6.4 Method of test

#### 6.1.6.4.1 Initial conditions

The Terminal is connected to the UICC simulator.

The default UICC is used.

NOTE: To perform the UPDATE FDN data (as described in the procedure below), the default FDN UICC may be used.

#### 6.1.6.4.2 Procedure

- a) The Terminal is powered on and the correct PIN is entered.
- b) Enter "\*\*052\*089675\*1234\*1234#".
- c) The Terminal is powered off and on and the correct PIN is entered.
- d) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN).
- e) Enter the new PIN2: "1234#".
- f) The Terminal is powered off and on and the correct PIN is entered.
- g) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN).
- h) Enter a wrong PIN2 three times.
- i) Enter "\*\*052\*089675\*3579\*3579#".
- j) The Terminal is powered off and on and the correct PIN is entered.
- k) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN).
- 1) Enter the new PIN2: "3579#".

### 6.1.6.5 Acceptance criterias

- 1) After step b), the Terminal shall send an UNBLOCK PIN command to the UICC, with parameter P2 = "81".
- 2) After step e), the Terminal shall indicate that the PIN2 has been accepted.
- 3) After step h), the Terminal shall indicate that the PIN2 has been blocked.

- 4) After step i), the Terminal shall send an UNBLOCK PIN command to the UICC, with parameter P2 = "81".
- 5) After step 1), the Terminal shall indicate that the PIN2 has been accepted.

## 6.1.7 Replacement of PIN

#### 6.1.7.1 Definition and applicability

The Universal PIN may be used to replace a PIN used to authenticate the user to the UICC for security. In this case entry of the correct Universal PIN allows PIN-protected data to be accessed over the UICC-Terminal interface. TS 102 221[5] defines the range of "01" to "08" as key reference of the PIN on a multiverification capable UICC.

This test applies to all 3G Terminals.

## 6.1.7.2 Conformance requirement

The Terminal shall support the usage of the Universal PIN as replacement PIN and the replacement procedure as defined in TS 102 221 [5], subclause 11.1.11, as well as the procedure to disable the replacement defined in TS 102 221 [5], subclause 11.1.12.

#### Reference:

- TS 102 221 [5], subclauses 9, 11.1.11 and 11.1.12;
- TS 31.102 [4], clause 6;

# 6.1.7.3 Test purpose

- 1) To verify that the PIN replacement is supported by the Terminal correctly.
- 2) To verify that the PIN replacement procedure is performed by the Terminal correctly.
- 3) To verify that the procedure to disable the PIN replacement is performed by the Terminal correctly.

#### 6.1.7.4 Method of test

## 6.1.7.4.1 Initial conditions

The Terminal is connected to the UICC simulator with the PIN enabled, and powered off.

The default UICC is used.

#### 6.1.7.4.2 Procedure

- a) The Terminal is powered on.
- b) When the Terminal is in the "PIN check" mode, the sequence "2468#" shall be entered.
- c) The user shall initiate an MMI dependent procedure to replace the PIN by the Universal PIN.
- <u>d)</u> The Terminal is powered off and on and when the Terminal is in "PIN check" mode the sequence "2468#" shall be entered.
- e) The correct Universal PIN is entered.

- f) The user shall initiate an MMI dependent procedure to disable the replacement of the PIN by the Universal PIN.
- g) The Terminal is powered off and on and when the Terminal is in "PIN check" mode the sequence "2839#" shall be entered.
- h) The correct PIN is entered.

#### 6.1.7.5 Acceptance criteria

- 1) After step b) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "01".
- 2) After step c), the Terminal shall send a DISABLE PIN command to the UICC, with parameter P1="91" and P2 = "01".
- 3) After step d) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "11" and after unsuccessful execution of the command the Terminal shall indicate that the PIN has not been accepted.
- 4) After step e) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "11" and after successful execution of the command the Terminal shall indicate that the PIN has been accepted.
- 5) After step f), the Terminal shall send an ENABLE PIN command to the UICC, with parameter P2 = "01".
- 6) After step g) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "01" and after unsuccessful execution of the command the Terminal shall indicate that the PIN has not been accepted.
- 7) After step e) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "01" and after successful execution of the command the Terminal shall indicate that the PIN has been accepted.

## 6.1.8 Change of Universal PIN

## 6.1.8.1 Definition and applicability

The Universal PIN may be changed by the user, by entering the old and new Universal PIN. The length of the Universal PIN is between 4 and 8 digits.

This test applies to all 3G Terminals.

## 6.1.8.2 Conformance requirement

The Terminal shall support the change of PIN procedure as defined in TS 102 221 [5], subclause 11.1.10.

#### Reference:

- TS 102 221 [5], subclauses 9 and 11.1.10;
- TS 31.102 [4], clause 6.

## 6.1.8.3 Test purpose

To verify that the PIN substitution procedure is performed by the Terminal correctly.

#### 6.1.8.4 Method of test

#### 6.1.8.4.1 Initial conditions

The Terminal is connected to a UICC or UICC simulator with the PIN enabled.

The default UICC is used with the following exception:

The Universal PIN is used as a replacement of the PIN.

The Terminal is powered-on, with the correct Universal PIN entered.

#### 6.1.8.4.2 Procedure

- a) The user shall initiate an MMI dependent procedure to change the Universal PIN to "01234567".
- b) The UE is switched off and on.
- c) When the UE is in the "PIN check" mode, the sequence "2839#" shall be entered.
- d) The UE is switched off and on.
- e) When the UE is in the "PIN-check", mode the sequence "01234567#" shall be entered.

#### 6.1.8.5 Acceptance criteria

- 1) After step a), the Terminal shall send a CHANGE PIN command to the UICC, with the parameter P2 set to "11".
- 2) Following the successful execution of the command, the UE shall give an indication that the new (Universal) PIN is accepted.
- 3) After step c), the UE shall give an indication that the entered (Universal) PIN is not accepted.
- 4) After step e), the UE shall give an indication "OK".

## 6.1.9 Unblock Universal PIN

#### 6.1.9.1 Definition and applicability

After three consecutive wrong entries of the PIN, the PIN shall become blocked. The Unblock PIN command is used to unblock the PIN. This function may be performed whether or not the PIN is blocked.

This test applies to all 3G Terminals.

#### 6.1.9.2 Conformance requirement

The Terminal shall support the Unblock PIN command, as defined in TS 102 221 [5], subclause 11.1.13.

#### Reference:

• TS 102 221 [5], subclause 11.1.13;

• TS 31.102 [4], clause 6.

## 6.1.9.3 Test purpose

To verify that the PIN unblocking procedure is performed correctly.

#### 6.1.9.4 Method of test

#### 6.1.9.4.1 Initial conditions

The Terminal is connected to the UICC simulator.

The default UICC is used.

#### 6.1.9.4.2 Procedure

- a) The Terminal is powered on and the correct PIN is entered.
- b) The user shall initiate an MMI dependent procedure to unblock the Universal PIN and set the new Universal PIN value to "1234".
- c) The Terminal is powered off and on.
- d) Enter the new PIN: "1234#".
- e) The Terminal is powered off and on.
- f) Enter a wrong PIN three times.
- g) The user shall initiate an MMI dependent procedure to unblock the Universal PIN and set the new Universal PIN value to "2839".
- h) The Terminal is powered off and on.
- i) Enter the new PIN: "2839#".

#### 6.1.9.5 Acceptance criteria

- 1) After step b), the Terminal shall send an UNBLOCK PIN command to the UICC, with parameter P2 = "11".
- 2) After step d), the Terminal shall indicate that the (Universal) PIN has been accepted.
- 3) After step f), the Terminal shall indicate that the (Universal) PIN has been blocked.
- 4) After step g), the Terminal shall send an UNBLOCK PIN command to the UICC, with parameter P2 = "11".
- 5) After step j), the Terminal shall indicate that the (Universal) PIN has been accepted.

# 6.2 Fixed Dialling Numbers (FDN) handling

# 6.2.1 Terminal and USIM with FDN enabled, EF<sub>ADN</sub> readable and updateable

#### 6.2.1.1 Definition and applicability

Fixed Dialling Numbers (FDN) is a service defined for the USIM. An enabled FDN service results in call restrictions for the UE. The call restrictions are controlled by the Terminal. To ascertain the type of USIM and state of FDN the UE runs the FDN capability request procedure during UICC-Terminal initialisation. During the initialisation the Terminal shall request the Emergency call codes of the USIM  $EF_{ECC}$ .

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting FDN.

## 6.2.1.2 Conformance requirement

- Recognising the state of the USIM (FDN enabled) the UE shall perform the UICC initialisation procedure as specified.
- 2) The UE allows call set-up to a directory number as stored in EF<sub>FDN</sub>.
- The UE allows call set-up to a directory number as stored in EF<sub>FDN</sub> and extended by digits in the end.
- 4) The UE does not allow call set-up to a directory number stored in EF<sub>FDN</sub> but with missing digits at the end.
- 5) The UE does not allow call set-up to a directory number having no reference in EF<sub>FDN</sub>.
- 6) The UE <u>does not</u> allows call set-up of an emergency call using the emergency number stored in the Terminal except "112", "911" and the emergency numbers stored on the SIM/USIM.
- 7) The UE allows call set-up of an emergency call using the emergency number stored in the USIM.

#### Reference:

- TS 22.101 [11], clauses 8 and A.24;
- TS 31.102[4], subclauses 4.4.2, 4.2.24, 5.1.1 and 5.3.2.

## 6.2.1.3 Test purpose

- 1) To verify that the Terminal allows call set-up to a FDN number.
- 2) To verify that the Terminal allows call set-up to a FDN number extended by some digits in the end.
- 3) To verify that the Terminal rejects call set-up to number having no reference in EF<sub>FDN</sub>.
- 4) To verify that the Terminal rejects call set-up to a FDN number not completely corresponding to an entry in  $EF_{FDN}$ .
- 5) To verify that the Terminal <u>does not</u> allows emergency call set-up using the emergency number stored in the Terminal <u>except "112", "911" and the emergency numbers stored on the SIM/USIM</u>.

6) To verify that the Terminal allows emergency call set-up using the emergency number stored in the UISM.

#### 6.2.1.4 Method of test

#### 6.2.1.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters:

Attach/detach: disabled.

- LAI (MCC/MNC/LAC): 246/081/0001.

Access control: unrestricted.

The default FDN UICC with FDN service enabled and  $EF_{ADN}$  readable and updateable is installed into the Terminal.

#### 6.2.1.4.2 Procedure

- a) The UE is powered on and PIN is entered.
- b) Using the MMI a call set-up to the fixed dialling number 1 (record 1) is attempted.
- c) Using the MMI a call set-up to the fixed dialling number 2 (record 2) extended by "123" in the end is attempted.
- d) Using the MMI a call set-up to a number which is equal to the fixed dialling number 3 (record 3) without the last digit is attempted, e.g. by recalling the fixed dialling number 3 and deleting the last digit (only in display).
- e) Using the MMI a call set-up to the number "1234567" is attempted.
- f) Using the MMI an emergency call set-up is attempted using the an emergency call code stored in the Terminal, but not "112", "911" nor one of the emergency numbers stored on the SIM/USIM.
- g) Using the MMI an emergency call set-up is attempted using either "112" or "911".
- gh) Using the MMI an emergency call set-up is attempted using the emergency call code stored in the USIM (i.e. "122").

NOTE: For step f) one of the emergency call codes according to TS 22.101 [11], subclause 8.1, except "112" and "911", shall be used (i.e. "000", "08", 112, "110", "118", "119" 911 or "999").

### 6.2.1.5 Acceptance criteria

- 1) After step a) the UE is registered and in idle state.
- 2) After steps b) and c) the UE shall allow call set-up and send the requested number across the air interface.
- 3) After steps d), e) and ef) the UE shall prevent call set-up.
- 4) After steps £g) and gh) the UE shall allow emergency call by indicating the call setup as "Emergency Call".

## 6.2.2 Terminal and USIM with FDN disabled

## 6.2.2.1 Definition and applicability

Fixed Dialling Numbers (FDN) is a service defined for the USIM. An enabled FDN service results in call restrictions for the UE. Only directory numbers which are stored in the  $\mathrm{EF}_{\mathrm{FDN}}$  may be dialled by the UE. The call restrictions are controlled by the Terminal. To ascertain the type of USIM and state of FDN the UE runs the FDN capability request procedure during UICC-Terminal initialisation. Deactivation of the service by the subscriber is possible under the control of PIN2 and switches the USIM into a "normal", non restrictive USIM.

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting FDN.

## 6.2.2.2 Conformance requirement

- 1) Recognising the state of the USIM (FDN disabled) the UE correctly performs the UICC initialisation procedure.
- 2) The UE allows call set-up to a directory number as stored in EF<sub>FDN</sub>.
- 3) The UE allows call set-up to a directory number as stored in EF<sub>ADN</sub>.
- 4) The UE allows call set-up to a directory number given in manually.

#### Reference:

- TS 22.101 [11], clauses 8 and A.24;
- TS 31.102[4], subclauses 4.4.2.3, 4.2.24, 4.2.47, 5.1.1 and 5.3.2.

## 6.2.2.3 Test purpose

- To verify that the Terminal as a result of the state of the USIM correctly performs the UICC-Terminal initialisation procedure.
- 2) To verify that the Terminal allows call set-up to a FDN number.
- 3) To verify that the Terminal allows call set-up to a ADN number.
- 4) To verify that the Terminal allows call set-up to manually given number.

#### 6.2.2.4 Method of test

#### 6.2.2.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters:

- Attach/detach: disabled.

- LAI (MCC/MNC/LAC): 246/081/0001.

Access control: unrestricted.

The default FDN UICC is used with the following exception:

#### **EF**<sub>EST</sub> (Enable Service Table)

Logically: Fixed Dialling Numbers disabled.

Barred Dialling Numbers disabled. APN Control list (ACL) disabled.

Coding: B1

Binary 0000 0000

The UICC is installed into the Terminal and the UE is powered on.

#### 6.2.2.4.2 Procedure

- a) Using the MMI a call set-up to the fixed dialling number 1 is attempted.
- b) Using the MMI a call set-up to the abbreviated dialling number 1 is attempted.
- c) Using the MMI a call set-up to the number "1234567" is attempted.

## 6.2.2.5 Acceptance criteria

After steps a), b) and c) the UE shall allow call set-up and send the requested number across the air interface.

# 6.2.3 Enabling, disabling and updating of FDN

## 6.2.3.1 Definition and applicability

FDN may be enabled and disabled by the subscriber under control of PIN2. Fixed dialling numbers are read with PIN and updated under control of PIN2.

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting FDN.

## 6.2.3.2 Conformance requirement

- 1) Recognising the state of the USIM (FDN enabled) the UE shall perform the UICC initialisation procedure as specified.
- 2) The UE shall allow updating of EF<sub>FDN</sub> by the use of PIN2.
- 3) The UE provides means to disable the FDN service by the use of PIN2.
- 4) The UE shall allow the use of EF<sub>ADN</sub> after disabling of FDN.

#### Reference:

- TS 22.101 [11], clause 8 and A.24;
- TS 31.102 [4], subclauses 4.4.2.3, 4.2.24, 4.2.47, 5.1.1 and 5.3.2.

## 6.2.3.3 Test purpose

1) To verify that the Terminal correctly performs the update of a number in EF<sub>FDN</sub>.

- 2) To verify that the Terminal correctly disables FDN service.
- 3) To verify that the Terminal recognises disabling of FDN and allows access to EF<sub>ADN</sub>.

## 6.2.3.4 Method of test

#### 6.2.3.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters:

- Attach/detach: disabled.

- LAI (MCC/MNC/LAC): 246/081/0001.

- Access control: unrestricted.

The default FDN UICC with FDN service enabled is installed into the Terminal.

#### 6.2.3.4.2 Procedure

- a) The UE is powered on and PIN is entered.
- b) Using the MMI the directory number "+876543210" is stored in EF<sub>FDN</sub> as fixed dialling number 1 (record 1). The alpha identifier is not changed. On request of the UE PIN2 is entered.
- c) Using the MMI the FDN disabling procedure is performed. On request of the UE PIN2 is entered.
- d) Using the MMI a call set-up to the abbreviated dialling number 1 (record 1) is attempted.
- e) The UE is soft-powered down.

## 6.2.3.5 Acceptance criteria

- 1) After step a) the UE is registered and in idle state.
- 2) After step c) the UE shall indicate that the FDN disabling procedure has been successful.
- 3) After step d) the UE shall allow call set-up and send the requested number across the air interface.
- 4) After step e) record 1 in EF<sub>FDN</sub>, shall contain the following values:

| Hex | B1<br>46  | B2<br>44  |           | B4<br>31  |           |           |           |  | B10<br>56 | B11<br>34 | B13<br>F0 |
|-----|-----------|-----------|-----------|-----------|-----------|-----------|-----------|--|-----------|-----------|-----------|
|     | B14<br>FF | B15<br>FF | B16<br>FF | B17<br>FF | B18<br>FF | B19<br>FF | B20<br>FF |  |           |           |           |

# 6.3 Barred Dialling numbers (BDN) handling

## 6.3.1 Terminal and USIM with BDN enabled

#### 6.3.1.1 Definition and applicability

Barred Dialling Numbers (BDN) is a service defined for the USIM. An enabled BDN service results in call restrictions for the UE. The call restrictions are controlled by the Terminal. To ascertain the type of USIM and state of BDN the UE runs the BDN capability request procedure during UICC-Terminal initialisation.

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting BDN.

### 6.3.1.2 Conformance requirement

- 1) Recognising the state of the USIM (BDN enabled) the UE shall perform the UICC initialisation procedure as specified.
- 2) The UE shall prevent call set-up to a any number stored in  $EF_{BDN}$ .
- 3) The UE allows call set-up of an emergency call, even if this number is stored in the USIM.

#### Reference:

- TS 22.101 [11], clause 8 and A.19;
- TS 31.102 [4], subclauses 4.2.44, 4.4.2.3, 5.1.1 and 5.3.2.

## 6.3.1.3 Test purpose

- 1) To verify that the Terminal rejects call set-up to any number that has an entry in  $EF_{BDN}$ .
- 2) To verify that the Terminal allows call set-up to any number not stored in  $EF_{BDN}$ .
- 3) To verify that the Terminal allows emergency call set-up even if the number is stored in  $EF_{BDN}$ .

## 6.3.1.4 Method of test

#### 6.3.1.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters:

- Attach/detach: disabled.
- LAI (MCC/MNC/LAC): 246/081/0001.
- Access control: unrestricted.

The default BDN UICC with BDN service enabled is installed into the Terminal.

## 6.3.1.4.2 Procedure

- a) The UE is powered on and PIN is entered.
- b) Using the MMI a call set-up to the barred dialling number 1 (record 1) is attempted.

- c) Using the ADN entry a call set-up to the abbreviated dialling number 1 (record 1) end is attempted.
  - d) Using the MMI a call set-up to the number "123456" is attempted.
  - Using the MMI an emergency call set-up is attempted using the emergency call code stored in the Terminal
  - f) Using the MMI an emergency call set-up is attempted using the emergency call code stored in the USIM (i.e. "122").

NOTE: For step e) one of the emergency call codes, which are available when a SIM/USIM is present, according to 22.101 [11], subclause 8 is used (i.e. 000, 08, "112", 110, or "911" or 900).

## 6.3.1.5 Acceptance criteria

- 1) After step a) the UE is registered and in idle state.
- 2) After steps b) the UE shall prevent call set-up.
- 3) After steps c) and d) the UE shall allow call set-up and send the requested number across the air interface.
- 4) After step f) and g) the UE shall allow emergency call by indicating the call setup as "Emergency Call".

#### 6.3.2 Terminal and USIM with BDN disabled

## 6.3.2.1 Definition and applicability

Barred Dialling Numbers (BDN) is a service defined for the USIM. An enabled BDN service results in call restrictions for the UE. No numbers which are stored in the  $EF_{BDN}$  may be dialled by the UE. The call restrictions are controlled by the Terminal. To ascertain the type of USIM and state of BDN the UE runs the BDN capability request procedure during UICC-Terminal initialisation. Deactivation of the service by the subscriber is possible under the control of PIN2 and switches the USIM into a "normal", non restrictive USIM. When the BDN is disabled no special controls are specified. The BDN may be read as if they were normal ADN. However a modification or deletion of the a BDN is under PIN2 control.

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting BDN.

## 6.3.2.2 Conformance requirement

- 1) Recognising the state of the USIM (BDN disabled) the UE correctly performs the UICC initialisation procedure.
- 2) The UE allows call set-up to a directory number as stored in  $EF_{BDN}$ .
- 3) Any change to the  $EF_{BDN}$  does requests PIN2.

## Reference:

- TS 22.101 [11], clauses 8 and A.19;
- TS 31.102[4], subclauses 4.2.44, 5.1.1 and 5.3.2.

## 6.3.2.3 Test purpose

- To verify that the Terminal as a result of the state of the USIM correctly performs the UICC-Terminal initialisation procedure.
- 2) To verify that the Terminal allows call set-up to a BDN number.
- 3) The UE shall allow updating of  $EF_{BDN}$  by the use of PIN2.

#### 6.3.2.4 Method of test

#### 6.3.2.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters:

- Attach/detach: disabled.

- LAI (MCC/MNC/LAC): 246/081/0001.

- Access control: unrestricted.

The default FDN UICC is used with the following exception:

#### **EF**<sub>EST</sub> (Enable Service Table)

Logically: Fixed Dialling Numbers disabled.

Barred Dialling Numbers disabled. APN Control list (ACL) disabled.

Coding: B1

Binary 0000 0000

The UICC is installed into the Terminal and the UE is powered on.

#### 6.3.2.4.2 Procedure

- a) Using the MMI a call set-up to the barred dialling number 1 is attempted.
- b) Using the MMI the directory number "+876543210" is stored in EF<sub>BDN</sub> as barred dialling number 1 (record 1). The alpha identifier is not changed. On request of the UE PIN2 is entered.

## 6.3.2.5 Acceptance criteria

- 1) After step a) the UE shall allow call set-up and send the requested number across the air interface.
- 2) After step b) record 1 in  $EF_{BDN}$ , shall contain the following values:

| Coding:<br>Hex | B1<br>42  | B2<br>44  | _         | B4<br>31  | B5<br>31  | B6<br>31  | B7<br>06  | B8<br>91 | _ | B10<br>56 | B11<br>34 | B12<br>12 | B13<br>F0 |
|----------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|----------|---|-----------|-----------|-----------|-----------|
|                | B14<br>FF | B15<br>FF | B16<br>FF | B17<br>FF | B18<br>FF | B19<br>FF | B20<br>FF |          |   |           |           |           |           |

# 6.4 Advice of charge (AoC) handling

# 6.4.1 AoC not supported by USIM

## 6.4.1.1 Definition and applicability

If the Terminal under test supports Advice of Charge Charging, it shall still look at the capability of the USIM, before responding to any AoCC information from the network.

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting AoCC.

#### 6.4.1.2 Conformance requirement

- 1) An UE not supporting AoCC and in the outgoing call / U4 call delivered state, on receipt of a CONNECT message containing AoCC information shall acknowledge the CONNECT message but ignore and not acknowledge the AoCC information sent within the CONNECT.
- An UE not supporting AoCC and in the outgoing call / U4 call delivered state, on receipt of a FACILITY message containing AoCC information shall ignore and not acknowledge the AoCC information sent within the FACILITY.
- 3) An UE not supporting AoCC and in the incoming call / U9 call confirmed state, on receipt of a FACILITY message containing AoCC information shall ignore and not acknowledge the AoCC information sent within the FACILITY.
- 4) An UE not supporting AoCC and in the U10 call active state, on receipt of a FACILITY message containing AoCC information, shall ignore and not acknowledge the AoCC information sent within the FACILITY.

#### References:

- TS 24.008 [16], subclause 5.1.2.1;
- TS 23.086 [9], subclauses 1.2, 1.3, 2.2 and 2.3;
- TS 24.086 [10], clause 2.

## 6.4.1.3 Test purpose

- 1) To verify that an UE not supporting AoCC (where the Terminal does support AoCC but the USIM does not) and in the outgoing call / U4 call delivered state, on receipt of a CONNECT message containing AoCC information shall acknowledge the CONNECT message but ignore and not acknowledge the AoCC information sent within the CONNECT.
- 2) To verify that an UE not supporting AoCC (where the Terminal does support AoCC but the USIM does not) and in the outgoing call / U4 call delivered state, on receipt of a FACILITY message containing AoCC information shall ignore and not acknowledge the AoCC information sent within the FACILITY.
- 3) To verify that an UE not supporting AoCC (where the Terminal does support AoCC but the USIM does not) and in the incoming call / U9 call confirmed state, on receipt of a FACILITY message containing AoCC information shall ignore and not acknowledge the AoCC information sent within the FACILITY.

4) To verify that an UE not supporting AoCC (where the Terminal does support AoCC but the USIM does not) and in the U10 call active state, on receipt of a FACILITY message containing AoCC information, shall ignore and not acknowledge the AoCC information sent within the FACILITY.

#### 6.4.1.4 Method of test

#### 6.4.1.4.1 Initial conditions

The Terminal shall be installed with a UICC or USIM simulator, with all elementary files coded as for the default UICC, with the exception of:

#### EF<sub>UST</sub> (USIM Service Table)

Logically: Local Phone Book available.

User controlled PLMN selector available.

Fixed dialling numbers available. The GSM Access available.

The Group Identifier level 1 and level 2 not available.

AoC not available.

Service n 33 (Packed Switched Domain) shall be set to '1'.

 Coding:
 B1
 B2
 B3
 B4
 B5

 Binary
 xxxx xx11
 Xxx0 xxxx
 xxxx 1x00
 xxxx x1xx
 xxxx xxx1

The coding of EF<sub>UST</sub> shall conform with the capabilities of the USIM used.

The generic call set up procedures are followed up to and including the reception, or transmission of the ALERTING message by the UE.

#### 6.4.1.4.2 Procedure

- a) For an MO call in the U4 state the USS transmits CONNECT containing AoCC information.
- b) For an MO call in the U4 state the USS transmits FACILITY containing AoCC information.
- c) For an MT call in the U9 state the USS transmits FACILITY containing AoCC information.
- d) For an MO call in the U10 state the USS transmits FACILITY containing AoCC information.

#### 6.4.1.5 Acceptance criteria

In all cases, the UE shall ignore the AoCC information sent to it in the Facility information elements as part of the CONNECT/FACILITY messages and not send any AoCC information acknowledgement. It shall be checked for 15 s that the UE does not transmit any AoCC information acknowledgement after the receipt of AoCC information.

# 6.4.2 Maximum frequency of ACM updating

## 6.4.2.1 Definition and applicability

The ACM shall be updated at the end of every interval, where the interval length is given by parameter e2. The Terminal shall update the ACM not more frequently than once every 5 s, even if the interval is less than 5 s. More frequent updating may affect the USIMs read/write cycles.

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting AoCC.

## 6.4.2.2 Conformance requirement

The ACM shall be incremented when the CCM is incremented or once every 5 s, whichever is the longer period.

When used the value '1C' shall be used as SFI for EF<sub>ACM</sub>, for compatibility reasons the terminal shall accept other values.

#### Reference:

- TS 22.024 [8], subclause 4.3, part h-:
- TS 31.102 [4], subclauses 4.2.9, 5.3.4 and Annex H.1.

## 6.4.2.3 Test purpose

1) To verify that the interval between increments is 5 s.

2) To verify that the Terminal is able to handle other values than '1C' as SFI of EF<sub>ACM</sub>.

#### 6.4.2.4 Method of test

#### 6.4.2.4.1 Initial conditions

The Terminal shall be connected to the USIM simulator, with all elementary files coded as default with the exception of:

#### EF<sub>UST</sub> (USIM Service Table)

Logically: Local Phone Book available.

User controlled PLMN selector available.

Fixed dialling numbers available. The GSM Access available.

The Group Identifier level 1 and level 2 not available.

AoC available.

Service n 33 (Packed Switched Domain) shall be set to '1'.

 Coding:
 B1
 B2
 B3
 B4
 B5

 Binary
 xxxx xx11
 Xxx1 xxxx
 xxxx 1x00
 xxxx x1xx
 xxxx xxx1

The coding of  $EF_{\text{UST}}$  shall conform with the capabilities of the USIM used.

#### EF<sub>ACM</sub> (Accumulated call meter)

Logically: 50 units

The SFI of EF<sub>ACM</sub> shall be set to '18'.

## $EF_{ACMmax}$ (Accumulated call meter maximum)

Logically: 150 units

The USS transmits on the BCCH, with the following network parameters:

- Attach/detach: disabled.

- LAI (MCC/MNC/LAC): 246/081/0001.

- Access control: unrestricted.

#### User Equipment:

- The UE is in MM-state "idle, updated".

#### 6.4.2.4.2 Procedure

- a) The UE is made to initiate a call. The call establishment shall be performed according to the procedures defined in TS 24.008 [16], subclause 7.2.3.2.3 extended by the messages of the AoCC. The call is established with AoCC e-parameters sent in a Facility IE in the CONNECT message, as given below. The UE returns the AoCC acknowledgement within 1 s of the CONNECT message. It is an implementation option whether the AoCC acknowledge is sent by the UE before or after the CONNECT ACKNOWLEDGE.
- b) The call is maintained for 90 s, then terminated by the USS. During the call, the USIM-simulator monitors the time intervals between successive INCREMENT commands.

Maximum Duration of Test:

2 minutes.

**Expected Sequence:** 

| Step | Direction | Message                         | Comments   |
|------|-----------|---------------------------------|--|
| 1    | UE        |                                 | The UE is made to initiate a call  |
| 2    | UE -> USS | RRC CONNECTION REQUEST          |  |
| 3    | USS -> UE | RRC CONNECTION SETUP            |  |
| 4    | UE -> USS | RRC CONNECTION SETUP            |  |
|      |           | COMPLETE                        |  |
| 5    |           | CM SERVICE REQUEST              |  |
| 6    | USS -> UE | AUTHENTICATION REQUEST          | MM procedure, to ensure the successful start of integrity in step 8                    |
| 7    | UE -> USS | AUTHENTICATION RESPONSE         | ·  |
| 8    | USS -> UE | SECURITY MODE COMMAND           | RRC procedure, start of integrity is mandatory during call setup                       |
| 9    | UE -> USS | SECURITY MODE COMPLETE          |  |
| 10   | UE -> USS | SETUP                           |  |
| 11   | USS -> UE | CALL PROCEEDING                 |  |
| 12   | USS -> UE | RADIO BEARER SETUP              | To a supported channel type  |
| 13   | UE -> USS | RADIO BEARER SETUP              |  |
| 14   | USS -> UE | ALERTING                        |  |
| 15   | USS -> UE | CONNECT                         | As default message except contains Facility IE with                                    |
|      |           |                                 | contents as indicated in i) below  |
|      |           |                                 | Either A or B branch is taken  |
| A16  | UE -> USS | CONNECT ACKNOWLEDGE             |  |
| A17  | UE -> USS | FACILITY                        | As default message except contains Facility IE with contents as indicated in ii) below |
| B16  | UE -> USS | FACILITY                        | As default message except contains Facility IE with contents as indicated in ii) below |
| B17  | UE -> USS | CONNECT ACKNOWLEDGE             | ,  |
| 18   |           |                                 | call duration 90 s after CAI information sent by USS,                                  |
| 19   |           | DISCONNECT                      |  |
| 20   | UE -> USS | _                               |  |
| 21   |           | RELEASE COMPLETE                |  |
| 22   |           | CHANNEL RELEASE                 | All connections of RRC are released.   |
| 23   | UE -> USS | RRC CONNECTION RELEASE COMPLETE |  |

Specific Message Contents:

i) **FACILITY Information Element** with **Invoke = ForwardChargeInformation** component type as defined in TS 24.080 [17], subclauses 3.6.1 table 3.3.

For ASN.1 description see default message contents in subclause 31.6.1.3.

The values of the e-parameters within the parameter part of the Facility Information Element shall be set as below:

#### e-parameters:

parameter: e1 e2 e3 e4 e5 e6 e7 Value 1 1 1 0 0 0 0

Values shown in table are in the format and have units as in TS 22.024 [8], clause 3.

ii) **FACILITY Information Element** with **Return Result** component type as defined in TS 24.080 [17], subclause 3.6.1 table 3.4.

For ASN.1 description see default message contents in subclause 31.6.1.3.

## 6.4.2.5 Acceptance criteria

The UE shall send INCREMENT commands to the USIM every 5 s.

# 6.4.3 Call terminated when ACM greater than ACMmax

## 6.4.3.1 Definition and applicability

ACMmax gives the maximum value of ACM, at which the current chargeable calls shall be terminated and no further calls may be made (except emergency calls).

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting AoCC.

## 6.4.3.2 Conformance requirement

ACM shall be incremented by the value of CCM.

If the ACMmax is valid, and the ACM becomes equal to or exceeds the value of the ACMmax, then all calls in progress, chargeable to the user, shall be terminated by the UE, once the chargeable interval determined by the CAI has elapsed, (except emergency calls).

When used the value '1C' shall be used as SFI for EF<sub>ACM</sub>, for compatibility reasons the terminal shall accept other values.

#### Reference:

- TS 22.024 [8], subclauses 4.2.2 and 4.3 (part h);
- TS 102 221[5], subclause 14.1.3;
- TS 31.102 [4], subclause 4.2.9, 5.3.4 and Annex H.1.

## 6.4.3.3 Test purpose

- 1) To verify that the Terminal increments the ACM by the correct number of units, even though this may take ACM above ACMmax.
- 2) To verify that the Terminal terminates the call.
- 3) To verify that the INCREMENT EF<sub>ACM</sub> command is performed correctly by the terminal.
- 4) To verify that the Terminal is able to handle other values then '1C' as SFI of EF<sub>ACM</sub>.

#### 6.4.3.4 Method of test

#### 6.4.3.4.1 Initial conditions

The Terminal shall be connected to a UICC or the USIM simulator, with all elementary files coded as default with the exception of:

## EF<sub>UST</sub> (USIM Service Table)

Logically: Local Phone Book available;

User controlled PLMN selector available; Fixed dialling numbers available;

The GSM Access available;

The Group Identifier level 1 and level 2 not available;

AoC available;

Service n 33 (Packed Switched Domain) shall be set to '1'.

 Coding:
 B1
 B2
 B3
 B4
 B5

 Binary
 xxxx xx11
 Xxx1 xxxx
 xxxx 1x00
 xxxx x1xx
 xxxx xxx1

The coding of  $\text{EF}_{\text{UST}}$  shall conform with the capabilities of the USIM used.

#### EF<sub>ACM</sub> (Accumulated call meter)

Logically: 80 units

Coding: B1 B2 B3

Binary 0000 0000 0000 0101 0000

The SFI of EF<sub>ACM</sub> shall be set to '18'.

#### EF<sub>ACMmax</sub> (Accumulated call meter maximum)

Logically: 94 units

Coding: B1 B2 B3

Binary 0000 0000 0000 0101 1110

The USS transmits on the BCCH, with the following network parameters:

- Attach/detach: disabled.

- LAI (MCC/MNC/LAC): 246/081/0001.

- Access control: unrestricted.

User Equipment:

The UE is in MM-state "idle, updated".

#### 6.4.3.4.2 Procedure

- a) The UE is made to initiate a call. The call establishment shall be performed according to the procedures defined in TS 24.008 [16], subclause 7.2.3.2.3 extended by the messages of the AoCC. The call is established with AoCC e-parameters sent in a Facility IE in the CONNECT message, as given below. The UE returns the AoCC acknowledgement within 1 s of the CONNECT message. It is an implementation option whether the AoCC acknowledge is sent by the UE before or after the CONNECT ACKNOWLEDGE.
- b) The call is maintained until cleared by the UE (after 30 s).
- c) The contents of ACM are checked.

Maximum Duration of Test:

2 minutes.

#### **Expected Sequence:**

| Step    | Direction   | Message                 | Comments  |
|---------|-------------|-------------------------|---|
| 1       | UE          |                         | The UE is made to initiate a call   |
| 2       | UE -> USS   | RRC CONNECTION REQUEST  |   |
| 3       | USS -> UE   | RRC CONNECTION SETUP    |   |
| 4       | UE -> USS   | RRC CONNECTION SETUP    |   |
|         |             | COMPLETE                |   |
| 5       |             | CM SERVICE REQUEST      |   |
| 6       | USS -> UE   | AUTHENTICATION REQUEST  | MM procedure, to ensure the successful start of integrity in step 8                   |
| 7       | UE -> USS   | AUTHENTICATION RESPONSE |   |
| 8       | USS -> UE   | SECURITY MODE COMMAND   | RRC procedure, start of integrity is mandatory during call                            |
|         |             |                         | setup   |
| 9       |             | SECURITY MODE COMPLETE  |   |
| 10      | UE -> USS   |                         |   |
| 11      |             | CALL PROCEEDING         |   |
| 12      |             | RADIO BEARER SETUP      | To a supported channel type   |
| 13      | UE -> USS   | RADIO BEARER SETUP      |   |
| 4.4     | LICC LIE    | COMPLETE                |   |
| 14      |             | ALERTING                | As default masses as support contains Facility IF with                                |
| 15      | USS -> UE   | CONNECT                 | As default message except contains Facility IE with contents as indicated in i) below |
|         |             |                         | Either A or B branch is taken   |
| A16     | LIE -> LISS | CONNECT ACKNOWLEDGE     | Ettier // Or B Braneri is taken   |
| A17     | UE -> USS   |                         | As default message except contains Facility IE with                                   |
| / / / / | 02 > 000    | T AGIETT                | contents as indicated in ii) below  |
| B16     | UE -> USS   | FACILITY                | As default message except contains Facility IE with                                   |
|         |             |                         | contents as indicated in ii) below  |
| B17     | UE -> USS   | CONNECT ACKNOWLEDGE     |   |
| 18      |             |                         | call duration 30 s after CAI information sent by USS                                  |
| 19      | UE -> USS   | DISCONNECT              |   |
| 20      | USS -> UE   | _                       |   |
| 21      |             | RELEASE COMPLETE        |   |
| 22      |             | RRC CONNECTION RELEASE  | All connections of RRC are released.  |
| 23      | UE -> USS   | RRC CONNECTION RELEASE  |   |
|         |             | COMPLETE                |   |

Specific Message Contents:

i) **FACILITY Information Element** with **Invoke = ForwardChargeInformation** component type as defined in TS 24.080 [17], subclause 3.6.1 table 3.3.

For ASN.1 description see default message contents in subclause 31.6.1.3.

The values of the e-parameters within the parameter part of the Facility Information Element shall be set as below:

#### e-parameters:

parameter: e1 e2 e3 e4 e5 e6 e7 Value 10 10 1 0 0 0 0

Values shown in table are in the format and have units as in TS 22.024 [8], clause 3.

ii) FACILITY Information Element with Return Result component type as defined in TS 24.080 [17], subclause 3.6.1 table 3.4.

For ASN.1 description see default message contents in subclause 31.6.1.3.

## 6.4.3.5 Acceptance criteria

- 1) The UE shall terminate the call correctly 30 s after CAI was sent.
- 2) The value of ACM shall be 100 units.

# 6.4.4 Response codes of increase command of ACM

## 6.4.4.1 Definition and applicability

ACM has a maximum value in terms of coding, and an attempt by the Terminal to exceed that value by sending an INCREASE command shall result in an error message from the USIM. As the maximum of the ACM is equal to the maximum value of ACMmax, all current chargeable calls shall be terminated and no further calls may be made (except emergency calls).

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting AoCC.

## 6.4.4.2 Conformance requirement

The Terminal shall perform the increasing procedure, sending the amount to be increased.

The running accumulated charge shall be stored in the ACM of the USIM.

Where this charge cannot be stored in the UE, use of the telecommunications service shall be prevented.

At the time ACM exceeds it's maximum value, then all calls in progress, chargeable to the user, shall be terminated by the UE, once the chargeable interval determined by the CAI has elapsed, (except emergency calls)

When used the value '1C' shall be used as SFI for EF<sub>ACM</sub>, for compatibility reasons the terminal shall accept other values.

#### References:

- TS 31.102 [4], subclauses 4.2.9, 5.3.4 and Annex H.1;
- TS 22.086 [18], subclauses 2.1 and 2.2.1.

## 6.4.4.3 Test purpose

- 1) To verify that the Terminal clears a charged call if the USIM indicates that the ACM cannot be increased.
- 2) To verify that the Terminal is able to handle other values then '1C' as SFI of EF<sub>ACM</sub>.

#### 6.4.4.4 Method of test

#### 6.4.4.4.1 Initial conditions

The Terminal shall be connected to the USIM simulator, with all elementary files coded as default with the exception of:

## EF<sub>UST</sub> (USIM Service Table)

Logically: Local Phone Book available;

User controlled PLMN selector available;

Fixed dialling numbers available; The GSM Access available;

The Group Identifier level 1 and level 2 not available;

AoC available;

Service n 33 (Packed Switched Domain) shall be set to '1'.

 Coding:
 B1
 B2
 B3
 B4
 B5

 Binary
 xxxx xx11
 xxx1 xxx
 xxxx 1x00
 xxxx x1xx
 xxxx xxx1

The coding of EF<sub>UST</sub> shall conform with the capabilities of the USIM used.

#### EF<sub>ACM</sub> (Accumulated call meter)

Logically: (Maximum value - 10) units

Coding: B1 B2 B3

The SFI of EF<sub>ACM</sub> shall be set to '18'.

## EF<sub>ACMmax</sub> (Accumulated call meter maximum)

Logically: (Maximum value - 2) units

Coding: B1 B2 B3

Binary 1111 1111 1111 1111 1111 1101

The USS transmits on the BCCH, with the following network parameters:

- Attach/detach: disabled.

- LAI (MCC/MNC/LAC): 246/081/0001.

- Access control: unrestricted.

User Equipment:

The UE is in MM-state "idle, updated".

#### 6.4.4.4.2 Procedure

a) The UE is made to initiate a call. The call establishment shall be performed according to the procedures defined in TS 24.008 [16], subclause 7.2.3.2.3 extended by the messages of the AoCC. The call is established with AoCC e-parameters sent in a Facility IE in the CONNECT message, as given below. The UE returns the AoCC acknowledgement within 1 s of the CONNECT message. It

is an implementation option whether the AoCC acknowledge is sent by the UE before or after the CONNECT ACKNOWLEDGE.

- b) After an interval has elapsed, the Terminal increments the ACM. When an INCREASE command is received, the USIM-simulator sends back the error "98 50".
- c) Conditions are reset to those described in the initial conditions. Steps a) and b) of the test are repeated, except that the error code sent by the USIM simulator at step b) is now "6F xx".
- d) Conditions are reset to those described in the initial conditions. Steps a) and b) of the test are repeated, except that the error code sent by the USIM simulator at step b) is now "65 81".

## References:

• TS 102 221[5], subclause 10.2.1.

Maximum Duration of Test:

3 minutes.

#### **Expected Sequence:**

| Step | Direction  | Message                 | Comments  |
|------|------------|-------------------------|---|
| 1    | UE         |                         | The UE is made to initiate a call                                   |
| 2    | UE -> USS  | RRC CONNECTION REQUEST  |   |
| 3    | USS -> UE  | RRC CONNECTION SETUP    |   |
| 4    | UE -> USS  | RRC CONNECTION SETUP    |   |
|      |            | COMPLETE                |   |
| 5    |            | CM SERVICE REQUEST      |   |
| 6    | USS -> UE  | AUTHENTICATION REQUEST  | MM procedure, to ensure the successful start of integrity in step 8 |
| 7    | UE -> USS  | AUTHENTICATION RESPONSE |   |
| 8    | USS -> UE  | SECURITY MODE COMMAND   | RRC procedure, start of integrity is mandatory during call setup    |
| 9    | UF -> USS  | SECURITY MODE COMPLETE  | Joseph  |
| 10   | UE -> USS  |                         |   |
| 11   | USS -> UE  | CALL PROCEEDING         |   |
| 12   | USS -> UE  | ASSIGNMENT COMMAND      | to a supported channel type   |
| 13   | UE -> USS  | RADIO BEARER SETUP      |   |
|      |            | COMPLETE                |   |
| 14   |            | ALERTING                |   |
| 15   | USS -> UE  | CONNECT                 | As default message except contains Facility IE with                 |
|      |            |                         | contents as indicated in i) below                                   |
| 4.40 |            | 00111507 401410141505   | Either A or B branch is taken                                       |
| A16  |            | CONNECT ACKNOWLEDGE     | As defend on a second and the Early to IE with                      |
| A17  | UE -> USS  | FACILITY                | As default message except contains Facility IE with                 |
| D40  | LIE . LICO | FACILITY                | contents as indicated in ii) below                                  |
| B16  | UE -> USS  | FACILITY                | As default message except contains Facility IE with                 |
| B17  | UE -> USS  | CONNECT ACKNOWLEDGE     | contents as indicated in ii) below                                  |
| 18   |            |                         | call duration 10s after CAI information sent by USS                 |
| 19   | UE -> USS  | DISCONNECT              |   |
| 20   | USS -> UE  |                         |   |
| 21   |            | RELEASE COMPLETE        |   |
| 22   |            | RRC CONNECTION RELEASE  | All connections of RRC are released.                                |
| 23   | UE -> USS  | RRC CONNECTION RELEASE  |   |
|      |            | COMPLETE                |   |

Specific Message Contents:

i) **FACILITY Information Element** with **Invoke = ForwardChargeInformation** component type as defined in TS 24.080 [17], subclause 3.6.1 table 3.3.

The values of the e-parameters within the parameter part of the Facility Information Element shall be set as below:

## e-parameters:

| parameter: | e1 | e2 | e3 | e4 | e5 | e6 | е7 |
|------------|----|----|----|----|----|----|----|
| Value      | 20 | 10 | 1  | 0  | 0  | 0  | 0  |

Values shown in table are in the format and have units as in TS 22.024 [8], clause 3.

ii) **FACILITY Information Element** with **Return Result** component type as defined in TS 24.080 [17], subclause 3.6.1 table 3.4.

## 6.4.4.5 Acceptance criteria

- 1) The UE shall terminate the call correctly 10 s after CAI was sent.
- 2) In each of the three cases, as described in steps b), c) and d) of the procedure, the UE shall terminate the call correctly when it receives an indication from the USIM that the ACM cannot be incremented.

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T3-040340

| Berlin, Germany                    | , 27 <sup>th</sup> – 3   | 0 <sup>th</sup> Apri  | il 2004  |  |                  |                |  | (revised   | T3-040234    |
|------------------------------------|--|---|--|--|------------------|----------------|--|--|--------------|
|                                    |  | CI  | HANGI  | E REQ  | UE:              | ST             |  |  | CR-Form-v7   |
| *                                  | 31.121   | CR  | 033  | жrev   | -                | $\mathfrak{H}$ | Current version  | on: 4.7.0  | #            |
| For <u>HELP</u> on us              | sing this for  | m, see b  | ottom of th  | is page or   | look a           | at the         | e pop-up text o  | over the   | mbols.       |
|                                    |  |   |  |  |                  |                |  |  |              |
| Proposed change a                  | nffects: \   | JICC app  | os郑 <mark>X</mark>   | ME X   | Rad              | lio A          | ccess Network  | Core N   | etwork       |
| Title: 第                           | CR 31.12   | 21 Rel-4:   | Security re  | elated tests   |                  |                |  |  |              |
| Source: #                          | Т3   |   |  |  |                  |                |  |  |              |
| Work item code: ₩                  | TEI  |   |  |  |                  |                | Date: 郑  | 29/04/2004   |              |
| Reason for change Summary of chang | A (cor<br>B (add<br>C (fun<br>D (edi<br>Detailed exp<br>be found in<br>: 米 Corr<br>insuf<br>e:米 1) F<br>2) F<br>a<br>h | rection) responds responds dition of fe ctional mod blanations 3GPP TR rection of ficient PI FDN and PIN relate evailable candling mplicit te | to a correctivature), polification of the above 21.900.  FDN and EN related to the ed tests enhanced to the control on multiple of the control on the contro | feature) e categories BDN tests in tests elated to en the canced to the categories the SFI valintroduced | n rela           | ency<br>orrec  | 2 (0<br>R96 (I<br>R97 (I<br>R98 (I<br>R99 (I<br>ReI-4 (I<br>ReI-5 (I         | ne following re<br>GSM Phase 2<br>Release 1996,<br>Release 1997,<br>Release 1999,<br>Release 4)<br>Release 5)<br>Release 6)<br>Cy call codes | and          |
| Consequences if not approved:      | ₩ <mark>Inco</mark>  | rect and  | insufficient   | t security re  | elatec           | d tes          | ts   |  |              |
| Clauses affected:                  | 6.1.1<br>6.2.1<br>6.4.2  | .2, 6.1.2<br>.5, 6.2.2  | .2, 6.1.3.2,<br>.2, 6.2.3.2,<br>.2.4.2, 6.4.3  | 6.1.4.2, 6.<br>6.3.1.2, 6.   | 1.5.2,<br>3.1.4. | 6.1.<br>2, 6.  | 1.15, 4.1.16, 4.<br>6.2, 6.2.1.2, 6.<br>3.2.2, 6.4.1.2,<br>1, 6.4.3.4.2, 6.4 | .2.1.3, 6.2.1.<br>6.4.2.2, 6.4.  | 4.2,<br>2.3, |
| Other specs affected:              | Y N    X   |   | ore specific   |  | ¥                |                |  |  |              |

|                 | X O&M Specifications |  |
|-----------------|----------------------|--|
|                 |                      |  |
| Other comments: | <b>光</b>             |  |

#### How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <a href="http://www.3gpp.org/specs/CR.htm">http://www.3gpp.org/specs/CR.htm</a>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked \$\mathbb{H}\$ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <a href="ftp://ftp.3gpp.org/specs/">ftp://ftp.3gpp.org/specs/</a> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document in the same Release as the present document.
- ISO/IEC 7816-1 (1998): "Identification cards Integrated circuit(s) cards with [1] contacts - Part 1: Physical characteristics". [2] ISO/IEC 7816-6 (1996): "Identification cards - Integrated circuit(s) cards with contacts - Part 6: Interindustry data elements". [3] 3GPP TS 23.038: "Alphabets and language-specific information". [4] 3GPP TS 31.102: "Characteristics of the USIM application". [5] ETSI TS 102 221: "UICC-Terminal interface; Physical and logical characteristics". 3GPP TS 22.011: "Service accessibility". [6] [7] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications". [8] 3GPP TS 22.024: "Description of Charge Advice Information (CAI)". [9] 3GPP TS 23.086: "Advice of Charge (AoC) Supplementary Service - Stage 2". [10] 3GPP TS 24.086: "Advice of Charge (AoC) Supplementary Service - Stage 3". 3GPP TS 22.101: "Service aspects; Service principles". [11] [12] 3GPP TS 22.030: "Man-Machine Interface (MMI) of the User Equipment (UE)". 3GPP TS 23.040: "Technical realization of the Short Message Service (SMS)". [13] [14] 3GPP TS 23.003: "Numbering, Addressing and Identification". [15] 3GPP TS 44.018: "Mobile radio interface layer 3 specification; Radio Resource Control Protocol". [16] 3GPP TS 24.008: "Mobile radio interface Layer 3 specification; Core Network protocols; Stage 3". [17] 3GPP TS 24.080: "Mobile radio Layer 3 supplementary service specification; Formats and coding". [18] 3GPP TS 22.086: "Advice of Charge (AoC) supplementary services; Stage 1". [19] 3GPP TS 21.111: "USIM and IC card requirements". [20] 3GPP TS 25.331 "Radio Resource Control (RRC); Protocol Specification"

## 3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

3G 3<sup>rd</sup> Generation

3GPP 3<sup>rd</sup> Generation Partnership Project

ACC ACcess Class
ACL APN Control List
ACM Accumulated Call Meter
ACMmax ACM maximal value
ACT ACcess Technology

ADF Application Dedicated File

AoC Advice of Charge

AoCC Advice of Charge Charging
APN Access Point Name

APN Access Point Name
ATR Answer To Reset

BCCH Broadcast Control Channel BCD Binary Coded Decimal BDN Barred Dialling Number

CCI Capability / Configuration Identifier

CCM Current Call Meter
CK Cipher key
DF Dedicated File
EF Elementary File

EMMI Electrical Man Machine Interface

Ext n Extension n

FDN Fixed Dialling Number FPLMN Forbidden PLMN

GSM Global System for Mobile communications

HPLMN Home PLMN

ICC Integrated Circuit Card

ID IDentifier

IEC International Electrotechnical Commission

IK Integrity key

IMSI International Mobile Subscriber Identity
ISO International Organization for Standardization

KSI Key Set Identifier
LAC Location Area Code
LAI Location Area Information
LSB Least Significant Bit
MCC Mobile Country Code

MF Master File

MMI Man Machine Interface
MNC Mobile Network Code
MSB Most Significant Bit
NAS Non Acess Stratum
NPI Numbering Plan Identifier
OFM Operational Feature Monitor
OSI Open System Interconnection

P1 Parameter 1 P2 Parameter 2 P3 Parameter 3

PIN Personal Identification Number PLMN Public Land Mobile Network

PS Packet switched

RACH Random Access Channel

**RFU** Reserved for Future Use **RPLMN** last Registered PLMN **RRC** Radio Resource Control SFI **Short File Identifier** SS System Simulator (GSM) TE Terminal Equipment TLV Tag Length Value **TMSI** 

Temporary Mobile Subscriber Identity

TON Type Of Number UE User Equipment

Universal Subscriber Identity Module **USIM** 

USS **UMTS System Simulator** 

**UTRAN** UMTS Terrestrial Radio Access Network

Visitor PLMN **VPLMN** 

#### **Default Values** 4

All Test defined in the subsequent clauses applies to Terminal using both type of currently specified UICC (ID-1 UICC or Plug-in UICC) in TS 102 221 [5], clause 4 unless otherwise stated.

The following sequence of tests confirms:

- a) the correct interpretation of data read from the USIM (Universal Subscriber Identification Module) by the Terminal;
- b) the correct writing of data to the USIM by the Terminal;
- c) the initiation of appropriate procedures by the Terminal;
- d) High level protocols.

All tests apply to the USIM application on the UICC.

A USIM simulator will be required as part of the USS. Alternatively, to perform the logical tests, USIMs programmed with specific data may be used. The USIM data is not defined within the initial conditions of the tests unless it differs from the default values defined below.

## 4.1 Definition of default values for USIM-Terminal interface testing (Default UICC)

A USIM containing the following default values is used for all tests of this present document unless otherwise stated.

For each data item, the logical default values and the coding within the elementary files (EF) of the USIM follow.

NOTE 1: Bx represents byte x of the coding.

NOTE 2: Unless otherwise defined, the coding values are hexadecimal.

# 4.1.1 Values of the EF's (Default UICC)

[..]

## 4.1.1.7 EF<sub>FPLMN</sub> (Forbidden PLMNs)

Besides of the 4 mandatory EF<sub>FPLMN</sub> 2 optional EF<sub>FPLMN</sub> are defined according to TS 31.102 [4], subclause 4.2.16.

| Logica         | lly:      | PLMN1:<br>PLMN2:<br>PLMN3:<br>PLMN4:<br>PLMN5:<br>PLMN6: |           | 234 001 (MCC MNC)<br>234 002<br>234 003<br>234 004<br>234 005<br>234 006 |           |           |          |          |          |           |           |           |  |
|----------------|-----------|--|-----------|--|-----------|-----------|----------|----------|----------|-----------|-----------|-----------|--|
| Coding:<br>Hex | B1<br>32  | B2<br>14   | B3<br>00  | B4<br>32   | B5<br>24  | B6<br>00  | B7<br>32 | B8<br>34 | B9<br>00 | B10<br>32 | B11<br>44 | B12<br>00 |  |
|                | B13<br>32 | B14<br>54  | B15<br>00 | B16<br>32  | B17<br>64 | B18<br>00 |          |          |          |           |           |           |  |

# 4.1.1.11 EF<sub>PLMNwACT</sub> (User Controlled PLMN Selector with Access Technology)

Besides of the 8 mandatory PLMNwACT entries 4 optional PLMNwACT entries are defined according to TS 31.102 [4], subclause 4.2.5. The Radio Access Technology identifier for the first two PLMN (1st PLMN and 2nd PLMN) are set to both UTRAN and GSM, all other PLMN to UTRAN only.

```
1<sup>st</sup> PLMN:
Logically:
                                          244 081 (MCC MNC)
                       1<sup>st</sup> ACT:
                                          UTRAN
                       2<sup>nd</sup> PLMN:
                                          244 081
                       2<sup>nd</sup> ACT:
                                          GSM
                       3<sup>rd</sup> PLMN:
                                          244 082
                       3^{rd} ACT:
                                          UTRAN
                       4<sup>th</sup> PLMN:
                                          244 082
                       4<sup>th</sup> ACT:
                                          GSM
                       5<sup>th</sup> PLMN:
                                          244 003
                       5<sup>th</sup> ACT:
                                          UTRAN
                       6<sup>th</sup> PLMN:
                                          244\ 004
                       6<sup>th</sup> ACT:
                                          UTRAN
                       7<sup>th</sup> PLMN:
                                          244 005
                       7<sup>th</sup> ACT:
                                          UTRAN
                       8<sup>th</sup> PLMN:
                                          244 006
                       8<sup>th</sup> ACT:
                                          UTRAN
                       9<sup>th</sup> PLMN:
                                          244 007
                       9<sup>th</sup> ACT:
                                          UTRAN
                       10<sup>th</sup> PLMN: 244 008
                       10<sup>th</sup> ACT:
                                          UTRAN
                       11<sup>th</sup> PLMN: 244 009
                       11<sup>th</sup> ACT:
                                          UTRAN
                       12<sup>th</sup> PLMN: 244 010
                       12<sup>th</sup> ACT:
                                          UTRAN
```

| Coding: | B1  | B2  | B3  | B4  | B5  | B6  | B7  | B8  | B9  | B10 | B11 | B12 | B13 | B14 | B15 |
|---------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Hex     | 42  | 14  | 80  | 80  | 00  | 42  | 14  | 80  | 00  | 80  | 42  | 24  | 80  | 80  | 00  |
|         | B16 | B17 | B18 | B19 | B20 | B21 | B22 | B23 | B24 | B25 | B26 | B27 | B28 | B29 | B30 |
|         | 42  | 24  | 80  | 00  | 80  | 42  | 24  | 00  | 80  | 00  | 42  | 44  | 00  | 80  | 00  |
|         | B31 | B32 | B33 | B34 | B35 | B36 | B37 | B38 | B39 | B40 | B41 | B42 | B43 | B44 | B45 |
|         | 42  | 54  | 00  | 80  | 00  | 42  | 64  | 00  | 80  | 00  | 42  | 74  | 00  | 80  | 00  |
|         | B46 | B47 | B48 | B49 | B50 | B51 | B52 | B53 | B54 | B55 | B56 | B57 | B58 | B59 | B60 |
|         | 42  | 84  | 00  | 80  | 00  | 42  | 94  | 00  | 80  | 00  | 42  | 04  | 10  | 80  | 00  |

## 4.1.1.14 PIN

## Key reference: 01

Logically: 2468

Coding: В1 B2 B3 В4 B5 B6 В7 B8 Hex 32 34 36 38 FF FF FF FF

## 4.1.1.15 PIN2

## Key reference: 81

Logically: 3579

B5 FF B6 FF Coding: B1 B2 В3 В4 B7 В8 FF FF 39 Hex 33 35 37

## 4.1.1.16 Unblock PIN

## Key reference: 01

Logically: 13243546

Coding: В1 B2 ВЗ В6 B7 B8 B4 B5 Hex 31 33 32 34 35 34 36

## 4.1.1.17 Unblock PIN2

## Key reference: 81

Logically: 08978675

Coding: B1 B2 В3 B4 B5 B6 В7 B8 39 37 38 36 37 35 Hex 30 38

#### 4.1.1.18 Other Values of the USIM

All other values of EFs provided by the USIM shall be set to the default values defined in the annex E of TS 31.102 [4]. Some EFs (like the GSM Access files) may necessary for some tests and apply only to those test cases.

## 4.1.1.19 EF<sub>PSLOCI</sub> (Packet Switch Location Information)

Logically: RAI-MCC: 246

RAI-MNC: 081 RAI-LAC: 0001 RAI-RAC: 05

P-TMSI: "FF....FF"

P-TMSI signature value: "FF...FF"

B2 ВЗ B11 Coding: B5 B7 **B8** B9 B10 FF FF FF FF FF FF FF 42 16 80 00 Hex

Coding: B12 B13 B14 Hex 01 05 00

## 4.1.1.20 Universal PIN

#### Key reference: 11

Logically: 2839

 Coding:
 B1
 B2
 B3
 B4
 B5
 B6
 B7
 B8

 Hex
 32
 38
 33
 39
 FF
 FF
 FF
 FF

## 4.1.1.21 Unblock Universal PIN

Key reference: 11

<u>Logically: 0203040506</u>

 Coding:
 B1
 B2
 B3
 B4
 B5
 B6
 B7
 B8

 Hex
 30
 32
 30
 33
 30
 34
 30
 35

# 6 Security related Tests

# 6.1 PIN handling

# 6.1.1 Entry of PIN

# 6.1.1.1 Definition and applicability

The PIN is a number used to authenticate the user to the UICC for security. Entry of the correct PIN allows PIN-protected data to be accessed over the UICC-Terminal interface.

This test applies to all 3G Terminals.

## 6.1.1.2 Conformance requirement

Following insertion of the UICC and switching on the UE, the Terminal shall check the state of the PIN. If the PIN is enabled, the Terminal asks the user for PIN verification.

The VERIFY PIN function verifies the PIN presented by the Terminal to the UICC.

#### Reference:

- TS 102 221[5], subclauses 9 and 11.1.9;
- TS 31.102\_[4], clause 6;
- TS 22.030 [12], subclause 6.6.1.

## 6.1.1.3 Test purpose

- 1) To verify that the PIN verification procedure is performed by the Terminal correctly.
- 2) To verify that the basic public MMI string is supported.

#### 6.1.1.4 Method of test

#### 6.1.1.4.1 Initial conditions

The Terminal is connected to a UICC or UICC simulator with the PIN enabled, and powered off.

The default UICC is used.

#### 6.1.1.4.2 Procedure

- a) The Terminal is powered on.
- b) When the UE is in the "PIN check" mode, the sequence "2468#" shall be entered.

## 6.1.1.5 Acceptance criteria

- 1) After step b) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "01".
- 2) After step b) the UE shall give an indication "OK", following a successful execution of the command.

# 6.1.2 Change of PIN

## 6.1.2.1 Definition and applicability

The PIN may be changed by the user, by entering the old and new PIN. The length of the PIN is between 4 and 8 digits.

This test applies to all 3G Terminals.

## 6.1.2.2 Conformance requirement

The Terminal shall support the change of PIN procedure as defined in TS 102 221 [5], subclause 11.10.

Reference:

- TS 102 221 [5], subclauses 9 and 11.1.10;
- TS 31.102 [4], clause 6;
- TS 22.030 [12], subclause 6.6.2.

## 6.1.2.3 Test purpose

- 1) To verify that the PIN substitution procedure is performed correctly by the Terminal.
- 2) To verify that the basic public MMI string is supported.

#### 6.1.2.4 Method of test

#### 6.1.2.4.1 Initial conditions

The Terminal is connected to a UICC or UICC simulator with the PIN enabled.

The default UICC is used.

The Terminal is powered-on, with the correct PIN entered.

#### 6.1.2.4.2 Procedure

- a) Enter "\*\*04\*2468\*01234567\*01234567#".
- b) The UE is switched off and on.
- c) When the UE is in the "PIN check" mode, the sequence "2468#" shall be entered.
- d) The UE is switched off and on.
- e) When the UE is in the "PIN-check", mode the sequence "01234567#" shall be entered.

## 6.1.2.5 Acceptance criteria

- 1) After step a), the Terminal shall send a CHANGE PIN command to the UICC, with the parameter P2 set to "01".
- 2) Following the successful execution of the command, the UE shall give an indication that the new PIN is accepted.
- 3) After step c), the UE shall give an indication that the entered PIN is not accepted.
- 3) After step e), the UE shall give an indication "OK".

#### 6.1.3 Unblock PIN

## 6.1.3.1 Definition and applicability

After three consecutive wrong entries of the PIN, the PIN shall become blocked. The Unblock PIN command is used to unblock the PIN. This function may be performed whether or not the PIN is blocked.

This test applies to 3G Terminals.

#### 6.1.3.2 Conformance requirement

The Terminal shall support the Unblock PIN command, as defined in TS 102 221 [5], subclause 11.13.

Reference:

- TS 102 221 [5], subclauses 9 and 11.1.13;
- TS 31.102 [4], clause 6;
- TS 22.030 [12], subclause 6.6.3.

## 6.1.3.3 Test purpose

- 1) To verify that the PIN unblocking procedure is performed correctly.
- 2) To verify that the basic public MMI string is supported.

#### 6.1.3.4 Method of test

#### 6.1.3.4.1 Initial conditions

The Terminal is connected to the UICC simulator.

The default UICC is used.

#### 6.1.3.4.2 Procedure

- a) The Terminal is powered on and the correct PIN is entered.
- b) Enter "\*\*05\*13243546\*1234\*1234#"
- c) The Terminal is powered off and on.
- d) Enter the new PIN: "1234#".

- e) The Terminal is powered off and on.
- f) Enter a wrong PIN three times.
- g) Enter "\*\*05\*13243546\*2468\*2468#".
- h) The Terminal is powered off and on.
- i) Enter the new PIN: "2468#".

### 6.1.3.5 Acceptance criteria

- 1) After step b), the Terminal shall send an UNBLOCK PIN command to the UICC, with parameter P2 = "01".
- 2) After step d), the Terminal shall indicate that the PIN has been accepted.
- 3) After step f), the Terminal shall indicate that the PIN has been blocked.
- 4) After step g), the Terminal shall send an UNBLOCK PIN command to the UICC, with parameter P2 = "01".
- 5) After step j), the Terminal shall indicate that the PIN has been accepted.

# 6.1.4 Entry of PIN2

## 6.1.4.1 Definition and applicability

The PIN2 is a number used to authenticate the user to the UICC for security. Entry of the correct PIN2 allows PIN2-protected data to be accessed over the UICC-Terminal interface.

This test applies to all 3G Terminals supporting a feature requiring PIN2 entry (such as e.g. AoC or FDN).

## 6.1.4.2 Conformance requirement

Before allowing the access to PIN2 protected data, the Terminal shall ask the user for PIN2 verification. Only after presenting the PIN2, the user shall get access to these data.

The VERIFY PIN function verifies the PIN2 presented by the Terminal to the UICC.

#### Reference:

- TS 102 221 [5], subclauses 9 and 11.1.9;
- TS 31.102 [4], clause 6;
- TS 22.030 [12], subclause 6.6.1.

## 6.1.4.3 Test purpose

- 1) To verify that the PIN2 verification procedure is performed by the Terminal correctly.
- 2) To verify that the basic public MMI string is supported.

### 6.1.4.4 Method of test

### 6.1.4.4.1 Initial conditions

The Terminal is connected to a UICC or UICC simulator with the PIN enabled, and powered off.

The default UICC is used.

NOTE: To perform the UPDATE FDN data (as described in the procedure below), the default FDN UICC may be used.

### 6.1.4.4.2 Procedure

- a) The Terminal is powered on and the correct PIN is entered.
- b) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN)
- c) When the UE is in the "PIN2 check" mode, the sequence "3579#" shall be entered.

# 6.1.4.5 Acceptance criteria

- 1) After step b) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "81".
- 2) After step b) the UE shall give an indication "OK", following a successful execution of the command.

# 6.1.5 Change of PIN2

# 6.1.5.1 Definition and applicability

The PIN2 may be changed by the user, by entering the old and new PIN2. The length of the PIN2 is between 4 and 8 digits.

This test applies to all 3G Terminals supporting a feature requiring PIN2 entry (such as e.g. AoC or FDN)

# 6.1.5.2 Conformance requirement

The Terminal shall support the change of PIN2 procedure as defined in TS 102 221[5], subclause 11.1.10.

Reference:

- TS 102 221 [5], subclauses 9 and 11.1.10;
- TS 31.102 [4], clause 6;
- TS 22.030 [12], subclause 6.6.2.

# 6.1.5.3 Test purpose

- 1) To verify that the PIN2 substitution procedure is performed correctly by the Terminal.
- 2) To verify that the basic public MMI string is supported.

### 6.1.5.4 Method of test

### 6.1.5.4.1 Initial conditions

The Terminal is connected to a UICC or UICC simulator with the PIN2 enabled.

The default UICC is used.

NOTE: To perform the UPDATE FDN data (as described in the procedure below), the default FDN UICC may be used.

The Terminal is powered-on, with the correct PIN entered.

### 6.1.5.4.2 Procedure

- a) Enter "\*\*042\*3579\*12345678\*12345678#".
- b) The UE is switched off and on and the correct PIN is entered.
- c) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN).
- d) When the UE is in the "PIN2 check" mode, the sequence "3579#" shall be entered.
- e) The UE is switched off and on and the correct PIN is entered.
- f) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN).
- g) When the UE is in the "PIN2-check", mode the sequence "12345678#" shall be entered.

# 6.1.5.5 Acceptance criteria

- 1) After step a), the Terminal shall send a CHANGE PIN2 command to the UICC, with the parameter P2 set to "81".
- 2) Following the successful execution of the command, the UE shall give an indication that the new PIN2 is accepted.
- 3) After step d), the UE shall give an indication that the entered PIN2 is not accepted.
- 3) After step g), the UE shall give an indication "OK".

### 6.1.6 Unblock PIN2

### 6.1.6.1 Definition and applicability

After three consecutive wrong entries of the PIN2, the PIN2 shall become blocked. The Unblock PIN2 command is used to unblock the PIN2. This function may be performed whether or not the PIN2 is blocked.

This test applies to all 3G Terminals supporting a feature requiring PIN2 entry (such as e.g. AoC or FDN)

# 6.1.6.2 Conformance requirement

The Terminal shall support the Unblock PIN2 command, as defined in TS 102 221 [5], subclause 11.1.13.

Reference:

- TS 102 221 [5], subclauses 9 and 11.1.13;
- TS 31.102 [4], clause 6;
- TS 22.030 [12], subclause 6.6.3.

# 6.1.6.3 Test purpose

- 1) To verify that the PIN2 unblocking procedure is performed correctly.
- 2) To verify that the basic public MMI string is supported.

### 6.1.6.4 Method of test

### 6.1.6.4.1 Initial conditions

The Terminal is connected to the UICC simulator.

The default UICC is used.

NOTE: To perform the UPDATE FDN data (as described in the procedure below), the default FDN UICC may be used.

### 6.1.6.4.2 Procedure

- a) The Terminal is powered on and the correct PIN is entered.
- b) Enter "\*\*052\*089675\*1234\*1234#"
- c) The Terminal is powered off and on and the correct PIN is entered.
- d) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN).
- e) Enter the new PIN2: "1234#".
- f) The Terminal is powered off and on and the correct PIN is entered.
- g) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN).
- h) Enter a wrong PIN2 three times.
- i) Enter "\*\*052\*089675\*3579\*3579#".
- j) The Terminal is powered off and on and the correct PIN is entered.
- k) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN).
- 1) Enter the new PIN2: "3579#".

# 6.1.6.5 Acceptance criterias

- 1) After step b), the Terminal shall send an UNBLOCK PIN command to the UICC, with parameter P2 = "81".
- 2) After step e), the Terminal shall indicate that the PIN2 has been accepted.
- 3) After step h), the Terminal shall indicate that the PIN2 has been blocked.

- 4) After step i), the Terminal shall send an UNBLOCK PIN command to the UICC, with parameter P2 = "81".
- 5) After step 1), the Terminal shall indicate that the PIN2 has been accepted.

# 6.1.7 Replacement of PIN

## 6.1.7.1 Definition and applicability

The Universal PIN may be used to replace a PIN used to authenticate the user to the UICC for security. In this case entry of the correct Universal PIN allows PIN-protected data to be accessed over the UICC-Terminal interface. TS 102 221[5] defines the range of "01" to "08" as key reference of the PIN on a multiverification capable UICC.

This test applies to all 3G Terminals.

# 6.1.7.2 Conformance requirement

The Terminal shall support the usage of the Universal PIN as replacement PIN and the replacement procedure as defined in TS 102 221 [5], subclause 11.1.11, as well as the procedure to disable the replacement defined in TS 102 221 [5], subclause 11.1.12.

### Reference:

- TS 102 221 [5], subclauses 9, 11.1.11 and 11.1.12;
- TS 31.102 [4], clause 6;

# 6.1.7.3 Test purpose

- 1) To verify that the PIN replacement is supported by the Terminal correctly.
- 2) To verify that the PIN replacement procedure is performed by the Terminal correctly.
- 3) To verify that the procedure to disable the PIN replacement is performed by the Terminal correctly.

### 6.1.7.4 Method of test

## 6.1.7.4.1 Initial conditions

The Terminal is connected to the UICC simulator with the PIN enabled, and powered off.

The default UICC is used.

### 6.1.7.4.2 Procedure

- a) The Terminal is powered on.
- b) When the Terminal is in the "PIN check" mode, the sequence "2468#" shall be entered.
- c) The user shall initiate an MMI dependent procedure to replace the PIN by the Universal PIN.
- d) The Terminal is powered off and on and when the Terminal is in "PIN check" mode the sequence "2468#" shall be entered.
- e) The correct UPIN is entered.

- f) The user shall initiate an MMI dependent procedure to disable the replacement of the PIN by the Universal PIN.
- g) The Terminal is powered off and on and when the Terminal is in "PIN check" mode the sequence "2839#" shall be entered.
- h) The correct PIN is entered.

### 6.1.7.5 Acceptance criteria

- 1) After step b) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "01".
- 2) After step c), the Terminal shall send a DISABLE PIN command to the UICC, with parameter P1="91" and P2 = "01".
- 3) After step d) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "11" and after unsuccessful execution of the command the Terminal shall indicate that the PIN has not been accepted.
- 4) After step e) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "11" and after successful execution of the command the Terminal shall indicate that the PIN has been accepted.
- 5) After step f), the Terminal shall send an ENABLE PIN command to the UICC, with parameter P2 = "01".
- 6) After step g) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "01" and after unsuccessful execution of the command the Terminal shall indicate that the PIN has not been accepted.
- 7) After step e) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "01" and after successful execution of the command the Terminal shall indicate that the PIN has been accepted.

# 6.1.8 Change of Universal PIN

# 6.1.8.1 Definition and applicability

The Universal PIN may be changed by the user, by entering the old and new Universal PIN. The length of the Universal PIN is between 4 and 8 digits.

This test applies to all 3G Terminals.

# 6.1.8.2 Conformance requirement

The Terminal shall support the change of PIN procedure as defined in TS 102 221 [5], subclause 11.1.10.

### Reference:

- TS 102 221 [5], subclauses 9 and 11.1.10;
- TS 31.102 [4], clause 6.

# 6.1.8.3 Test purpose

To verify that the PIN substitution procedure is performed correctly by the Terminal.

### 6.1.8.4 Method of test

### 6.1.8.4.1 Initial conditions

The Terminal is connected to a UICC or UICC simulator with the PIN enabled.

The default UICC is used with the following exception:

The Universal PIN is used as a replacement of the PIN.

The Terminal is powered-on, with the correct Universal PIN entered.

### 6.1.8.4.2 Procedure

- a) The user shall initiate an MMI dependent procedure to change the Universal PIN to "01234567".
- b) The UE is switched off and on.
- c) When the UE is in the "PIN check" mode, the sequence "2839#" shall be entered.
- d) The UE is switched off and on.
- e) When the UE is in the "PIN-check", mode the sequence "01234567#" shall be entered.

### 6.1.8.5 Acceptance criteria

- 1) After step a), the Terminal shall send a CHANGE PIN command to the UICC, with the parameter P2 set to "11".
- 2) Following the successful execution of the command, the UE shall give an indication that the new (Universal) PIN is accepted.
- 3) After step c), the UE shall give an indication that the entered (Universal) PIN is not accepted.
- 4) After step e), the UE shall give an indication "OK".

# 6.1.9 Unblock Universal PIN

### 6.1.9.1 Definition and applicability

After three consecutive wrong entries of the PIN, the PIN shall become blocked. The Unblock PIN command is used to unblock the PIN. This function may be performed whether or not the PIN is blocked.

This test applies to all 3G Terminals.

### 6.1.9.2 Conformance requirement

The Terminal shall support the Unblock PIN command, as defined in TS 102 221 [5], subclause 11.13.

### Reference:

• TS 102 221 [5], subclause 11.1.13;

• TS 31.102 [4], clause 6.

# 6.1.9.3 Test purpose

To verify that the PIN unblocking procedure is performed correctly.

### 6.1.9.4 Method of test

### 6.1.9.4.1 Initial conditions

The Terminal is connected to the UICC simulator.

The default UICC is used.

### 6.1.9.4.2 Procedure

- a) The Terminal is powered on and the correct PIN is entered.
- b) The user shall initiate an MMI dependent procedure to unblock the Universal PIN and set the new Universal PIN value to "1234".
- c) The Terminal is powered off and on.
- d) Enter the new PIN: "1234#".
- e) The Terminal is powered off and on.
- f) Enter a wrong PIN three times.
- g) The user shall initiate an MMI dependent procedure to unblock the Universal PIN and set the new Universal PIN value to "2839".
- h) The Terminal is powered off and on.
- i) Enter the new PIN: "2839#".

### 6.1.9.5 Acceptance criteria

- 1) After step b), the Terminal shall send an UNBLOCK PIN command to the UICC, with parameter P2 = "11".
- 2) After step d), the Terminal shall indicate that the (Universal) PIN has been accepted.
- 3) After step f), the Terminal shall indicate that the (Universal) PIN has been blocked.
- 4) After step g), the Terminal shall send an UNBLOCK PIN command to the UICC, with parameter P2 = "11".
- 5) After step j), the Terminal shall indicate that the (Universal) PIN has been accepted.

# 6.1.10 Entry of PIN on multi-verification capable UICCs

# 6.1.10.1 Definition and applicability

The PIN is a number used to authenticate the user to the UICC for security. Entry of the correct PIN allows PIN-protected data to be accessed over the UICC-Terminal interface. TS 102 221[5] defines the range of "01" to "08" as key reference of the PIN on a multi-verification capable UICC.

This test applies to all 3G Terminals.

## 6.1.10.2 Conformance requirement

Following insertion of the UICC and switching on the UE, the Terminal shall check the state of the PIN. If the PIN is enabled, the Terminal asks the user for PIN verification.

The VERIFY PIN function verifies the PIN presented by the Terminal to the UICC.

### Reference:

- TS 102 221[5], subclauses 9 and 11.1.9;
- TS 31.102 [4], clause 6;
- TS 22.030 [12], subclause 6.6.1.

# 6.1.10.3 Test purpose

- 1) To verify that the PIN verification procedure is performed by the Terminal correctly.
- 2) To verify that the basic public MMI string is supported.
- 3) To verify that the Terminal supports key references in the range of "01" to "08" as PIN.

# 6.1.10.4 Method of test

### 6.1.10.4.1 Initial conditions

The Terminal is connected to the UICC simulator with the PIN enabled, and powered off.

The default UICC is used with the following exceptions:

The UICC shall be configured to use "07" as the key reference of the PIN and "87" as key reference of the PIN2 with the following values:

**PIN** 

Key reference: 07

Logically: 8642

 Coding:
 B1
 B2
 B3
 B4
 B5
 B6
 B7
 B8

 Hex
 38
 36
 34
 32
 FF
 FF
 FF
 FF

**Unblock PIN** 

Key reference 07

| Logica         | ally:                  | 64534                  | 231                    |                        |                        |                        |                        |                        |
|----------------|------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|
| Coding:<br>Hex | <u>B1</u><br><u>36</u> | <u>B2</u><br><u>34</u> | <u>B3</u><br><u>35</u> | <u>B4</u><br><u>33</u> | <u>B5</u><br><u>34</u> | <u>B6</u><br><u>32</u> | <u>B7</u><br><u>33</u> | <u>B8</u><br><u>31</u> |
| PIN2           |                        |                        |                        |                        |                        |                        |                        |                        |
| Key refere     | ence 87                |                        |                        |                        |                        |                        |                        |                        |
| Logica         | ally:                  | 9753                   |                        |                        |                        |                        |                        |                        |
| Coding:<br>Hex | <u>B1</u><br><u>39</u> | <u>B2</u><br><u>37</u> | <u>B3</u><br><u>35</u> | <u>B4</u><br><u>33</u> | <u>B5</u><br><u>FF</u> | <u>B6</u><br><u>FF</u> | <u>B7</u><br><u>FF</u> | <u>B8</u><br><u>FF</u> |
| Unblock I      | PIN2                   |                        |                        |                        |                        |                        |                        |                        |
| Key refere     | ence 87                |                        |                        |                        |                        |                        |                        |                        |
| Logica         | ally:                  | 57687                  | <u>980</u>             |                        |                        |                        |                        |                        |
| Coding:<br>Hex | <u>B1</u><br><u>35</u> | <u>B2</u><br><u>37</u> | <u>B3</u><br><u>36</u> | <u>B4</u><br><u>38</u> | <u>B5</u><br><u>37</u> | <u>B6</u><br>39        | <u>B7</u><br><u>38</u> | <u>B8</u><br><u>30</u> |

### 6.1.10.4.2 Procedure

- a) The Terminal is powered on.
- b) When the UE is in the "PIN check" mode, the sequence "8642#" shall be entered.

## 6.1.10.5 Acceptance criteria

- 1) After step b) the Terminal shall send a VERIFY PIN command to the UICC, with parameter  $P2 = \frac{"07"}{}$ .
- 2) After step b) the UE shall give an indication "OK", following a successful execution of the command.

# 6.1.11 Change of PIN on multi-verification capable UICCs

### 6.1.11.1 Definition and applicability

The PIN may be changed by the user, by entering the old and new PIN. The length of the PIN is between 4 and 8 digits. TS 102 221[5] defines the range of "01" to "08" as key reference of the PIN on a multiverification capable UICC.

This test applies to all 3G Terminals.

# 6.1.11.2 Conformance requirement

The Terminal shall support the change of PIN procedure as defined in TS 102 221[5], subclause 11.1.10.

### Reference:

• TS 102 221 [5], subclauses 9 and 11.1.10;

- TS 31.102 [4], clause 6;
- TS 22.030 [12], subclause 6.6.2.

# 6.1.11.3 Test purpose

- 1) To verify that the PIN substitution procedure is performed correctly by the Terminal.
- 2) To verify that the basic public MMI string is supported.
- 3) To verify that the Terminal supports key references in the range of "01" to "08" as PIN.

# 6.1.11.4 Method of test

# 6.1.11.4.1 Initial conditions

The Terminal is connected to the UICC simulator with the PIN enabled.

The default UICC is used with the following exceptions:

8642

64534231

The UICC shall be configured to use "07" as the reference of the PIN and "87" as reference of the PIN2 with the following values:

PIN

Key reference: 07

Logically:

| Coding: | <u>B1</u> | <u>B2</u> | <u>B3</u> | <u>B4</u> | <u>B5</u> | <u>B6</u> | <u>B7</u> | B8 |
|---------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|----|
| Hex     | 38        | 36        | 34        | 32        | FF        | FF        | FF        | FF |

### **Unblock PIN**

Key reference 07

Logically:

| Coding: | <u>B1</u> | <u>B2</u> | <u>B3</u> | <u>B4</u> | <u>B5</u> | <u>B6</u> | <u>B7</u> | <u>B8</u> |
|---------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Hex     |           |           |           |           |           |           |           |           |

### PIN2

Key reference 87

| Logica         | ally:           | 9753      |                 |                 |          |          |          |           |
|----------------|-----------------|-----------|-----------------|-----------------|----------|----------|----------|-----------|
| Coding:<br>Hex | <u>B1</u><br>39 | <u>B2</u> | <u>B3</u><br>35 | <u>B4</u><br>33 | B5<br>FF | B6<br>FF | B7<br>FF | <u>B8</u> |

## **Unblock PIN2**

Key reference 87

<u>Logically:</u> 57687980

 Coding:
 B1
 B2
 B3
 B4
 B5
 B6
 B7
 B8

 Hex
 35
 37
 36
 38
 37
 39
 38
 30

The Terminal is powered-on, with the correct PIN entered.

### 6.1.11.4.2 Procedure

- a) Enter "\*\*04\*8642\*01234567\*01234567#".
- b) The UE is switched off and on.
- c) When the UE is in the "PIN check" mode, the sequence "8642#" shall be entered.
- d) The UE is switched off and on.
- e) When the UE is in the "PIN-check", mode the sequence "01234567#" shall be entered.

### 6.1.11.5 Acceptance criteria

- 1) After step a), the Terminal shall send a CHANGE PIN command to the UICC, with the parameter P2 set to "07".
- 2) Following the successful execution of the command, the UE shall give an indication that the new PIN is accepted.
- 3) After step c), the UE shall give an indication that the entered PIN is not accepted.
- 4) After step e), the UE shall give an indication "OK".

# 6.1.12 Unblock PIN on multi-verification capable UICCs

### 6.1.12.1 Definition and applicability

After three consecutive wrong entries of the PIN, the PIN shall become blocked. The Unblock PIN command is used to unblock the PIN. This function may be performed whether or not the PIN is blocked. TS 102 221[5] defines the range of "01" to "08" as key reference of the PIN on a multi-verification capable UICC.

This test applies to all 3G Terminals.

## 6.1.12.2 Conformance requirement

The Terminal shall support the Unblock PIN command, as defined in TS 102 221 [5], subclause 11.1.13.

### Reference:

- TS 102 221 [5], subclauses 9 and 11.1.13;
- TS 31.102 [4], clause 6;
- TS 22.030 [12], subclause 6.6.3.

# 6.1.12.3 Test purpose

- 1) To verify that the PIN unblocking procedure is performed correctly.
- 2) To verify that the basic public MMI string is supported.
- 3) To verify that the Terminal supports key references in the range of "01" to "08" as PIN.

# 6.1.12.4 Method of test

# 6.1.12.4.1 Initial conditions

The Terminal is connected to the UICC simulator.

8642

64534231

The default UICC is used with the following exceptions:

The UICC shall be configured to use "07" as the reference of the PIN and "87" as reference of the PIN2 with the following values:

<u>B8</u> FF

PIN

Key reference: 07
Logically:

|         | -         |           |           |           |           |           |           |
|---------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Coding: | <u>B1</u> | <u>B2</u> | <u>B3</u> | <u>B4</u> | <u>B5</u> | <u>B6</u> | <u>B7</u> |
| Hex     |           | <u>36</u> | <u>34</u> | <u>32</u> | FF        | FF        | FF        |

### **Unblock PIN**

Key reference 07

Logically:

| Coding: | <u>B1</u> | <u>B2</u> | <u>B3</u> | <u>B4</u> | <u>B5</u> | <u>B6</u> | <u>B7</u> | <u>B8</u> |
|---------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Hex     |           |           |           |           |           |           |           |           |

PIN2

Key reference 87

| Logica  | Logically: 97: |           |           |           |           |           |           |           |
|---------|----------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Coding: | <u>B1</u>      | <u>B2</u> | <u>B3</u> | <u>B4</u> | <u>B5</u> | <u>B6</u> | <u>B7</u> | <u>B8</u> |
| Hex     | <u>39</u>      | <u>37</u> | <u>35</u> | <u>33</u> | <u>FF</u> | <u>FF</u> | <u>FF</u> | <u>FF</u> |

**Unblock PIN2** 

Key reference 87

<u>Logically: 57687980</u>

 Coding:
 B1
 B2
 B3
 B4
 B5
 B6
 B7
 B8

 Hex
 35
 37
 36
 38
 37
 39
 38
 30

### 6.1.12.4.2 Procedure

- a) The Terminal is powered on and the correct PIN is entered.
- b) Enter "\*\*05\*64534231\*1234\*1234#"
- c) The Terminal is powered off and on.
- d) Enter the new PIN: "1234#".
- e) The Terminal is powered off and on.
- f) Enter a wrong PIN three times.
- g) Enter "\*\*05\*64534231\*8642\*8642#".
- h) The Terminal is powered off and on.
- i) Enter the new PIN: "8642#".

### 6.1.12.5 Acceptance criteria

- 1) After step b), the Terminal shall send an UNBLOCK PIN command to the UICC, with parameter P2 = "07".
- 2) After step d), the Terminal shall indicate that the PIN has been accepted.
- 3) After step f), the Terminal shall indicate that the PIN has been blocked.
- 4) After step g), the Terminal shall send an UNBLOCK PIN command to the UICC, with parameter P2 = "07".
- 5) After step j), the Terminal shall indicate that the PIN has been accepted.

# 6.1.13 Entry of PIN2 on multi-verification capable UICCs

### 6.1.13.1 Definition and applicability

The PIN2 is a number used to authenticate the user to the UICC for security. Entry of the correct PIN2 allows PIN2-protected data to be accessed over the UICC-Terminal interface. TS 102 221[5] defines the range of "81" to "88" as key reference of the PIN2 on a multi-verification capable UICC.

This test applies to all 3G Terminals supporting a feature requiring PIN2 entry (such as e.g. AoC or FDN).

# 6.1.13.2 Conformance requirement

Before allowing the access to PIN2 protected data, the Terminal shall ask the user for PIN2 verification. Only after presenting the PIN2, the user shall get access to these data.

The VERIFY PIN function verifies the PIN2 presented by the Terminal to the UICC.

Reference:

- TS 102 221[5], subclauses 9 and 11.1.9;
- TS 31.102 [4], clause 6;
- TS 22.030 [12], subclause 6.6.1.

# 6.1.<u>13.3 Test purpose</u>

- 1) To verify that the PIN2 verification procedure is performed by the Terminal correctly.
- 2) To verify that the basic public MMI string is supported.
- 3) To verify that the Terminal supports key references in the range of "81" to "88" as PIN2.

### 6.1.13.4 Method of test

# 6.1.13.4.1 Initial conditions

The Terminal is connected to the UICC simulator with the PIN enabled, and powered off.

The default UICC is used with the following exceptions:

8642

64534231

The UICC shall be configured to use "07" as the reference of the PIN and "87" as reference of the PIN2 with the following values:

**PIN** 

### Key reference: 07

Logically:

| Coding: | <u>B1</u> | <u>B2</u> | <u>B3</u> | <u>B4</u> | <u>B5</u> | <u>B6</u> | <u>B7</u> | B8 |
|---------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|----|
| Hex     |           |           |           |           |           |           |           |    |

### **Unblock PIN**

### Key reference 07

Logically:

| Coding: | <u>B1</u> | <u>B2</u> | <u>B3</u> | <u>B4</u> | <u>B5</u> | <u>B6</u> | <u>B7</u> | <u>B8</u> |
|---------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Hex     | <u>36</u> | <u>34</u> | <u>35</u> | <u>33</u> | 34        | <u>32</u> | <u>33</u> | 31        |

# PIN2

# Key reference 87

| Logica         | Logically:      |           |                 |                 |          |           |           |                 |
|----------------|-----------------|-----------|-----------------|-----------------|----------|-----------|-----------|-----------------|
| Coding:<br>Hex | <u>B1</u><br>39 | <u>B2</u> | <u>B3</u><br>35 | <u>B4</u><br>33 | B5<br>FF | <u>B6</u> | <u>B7</u> | <u>B8</u><br>FF |

### Unblock PIN2

### Key reference 87

<u>Logically: 57687980</u>

 Coding:
 B1
 B2
 B3
 B4
 B5
 B6
 B7
 B8

 Hex
 35
 37
 36
 38
 37
 39
 38
 30

NOTE: To perform the UPDATE FDN data (as described in the procedure below), the default FDN UICC may be used. In this case the above mentioned exceptions shall apply.

## 6.1.13.4.2 Procedure

- a) The Terminal is powered on and the correct PIN is entered.
- b) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN)
- c) When the UE is in the "PIN2 check" mode, the sequence "9753#" shall be entered.

## 6.1.13.5 Acceptance criteria

- 1) After step b) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "87".
- 2) After step b) the UE shall give an indication "OK", following a successful execution of the command.

# 6.1.14 Change of PIN2 on multi-verification capable UICCs

# 6.1.14.1 Definition and applicability

The PIN2 may be changed by the user, by entering the old and new PIN2. The length of the PIN2 is between 4 and 8 digits. TS 102 221[5] defines the range of "81" to "88" as key reference of the PIN2 on a multi-verification capable UICC.

This test applies to all 3G Terminals supporting a feature requiring PIN2 entry (such as e.g. AoC or FDN)

### 6.1.14.2 Conformance requirement

The Terminal shall support the change of PIN2 procedure as defined in TS 102 221 [5], subclause 11.1.10.

### Reference:

- TS 102 221 [5], subclauses 9 and 11.1.10;
- TS 31.102 [4], clause 6;
- TS 22.030 [12], subclause 6.6.2.

### 6.1.14.3 Test purpose

- 1) To verify that the PIN2 substitution procedure is performed correctly by the Terminal.
- 2) To verify that the basic public MMI string is supported.
- 3) To verify that the Terminal supports key references in the range of "81" to "88" as PIN2.

# <u>6.1.14.4</u> Method of test

# 6.1.14.4.1 Initial conditions

The Terminal is connected to the UICC simulator with the PIN2 enabled.

The default UICC is used with the following exceptions:

The UICC shall be configured to use "07" as the reference of the PIN and "87" as reference of the PIN2 with the following values:

**PIN** 

Key reference: 07

| <u> </u>               |  |  |  |   |  |   |   |  |
|------------------------|--|--|--|---|--|---|---|--|
| ılly:                  | 8642   |  |  |   |  |   |   |  |
| <u>B1</u><br><u>38</u> | <u>B2</u><br><u>36</u>                                       | <u>B3</u><br><u>34</u>   | <u>B4</u><br><u>32</u>   | <u>B5</u><br><u>FF</u>  | <u>B6</u><br><u>FF</u>   | <u>B7</u><br><u>FF</u>  | B8<br>FF  |  |
| <u>PIN</u>             |  |  |  |   |  |   |   |  |
| ence 07                |  |  |  |   |  |   |   |  |
| lly:                   | 64534  | <u> 1231</u>   |  |   |  |   |   |  |
| <u>B1</u><br><u>36</u> | <u>B2</u><br><u>34</u>                                       | <u>B3</u><br><u>35</u>   | <u>B4</u><br><u>33</u>   | <u>B5</u><br><u>34</u>  | <u>B6</u><br><u>32</u>   | <u>B7</u><br><u>33</u>  | <u>B8</u><br><u>31</u>  |  |
|                        |  |  |  |   |  |   |   |  |
| ence 87                |  |  |  |   |  |   |   |  |
| ılly:                  | 9753   |  |  |   |  |   |   |  |
| <u>B1</u><br><u>39</u> | <u>B2</u><br><u>37</u>                                       | <u>B3</u><br><u>35</u>   | <u>B4</u><br><u>33</u>   | <u>B5</u><br><u>FF</u>  | <u>B6</u><br><u>FF</u>   | <u>B7</u><br><u>FF</u>  | B8<br>FF  |  |
| PIN2                   |  |  |  |   |  |   |   |  |
| Key reference 87       |  |  |  |   |  |   |   |  |
| ılly:                  | 57687  | 7980   |  |   |  |   |   |  |
|                        | B1 38 PIN PINCE 07 Illy: B1 36 PIN2 PIN2 PIN2 PIN2 PIN2 PIN2 | B1 B2 36  PIN  ence 07  elly: 64534  B1 B2 36  PIN  ence 87  elly: 9753  B1 B2 34  PIN2  ence 87 | B1 B2 B3 36 34  PIN  ence 07  elly: 64534231  B1 B2 B3 36 34  Sence 87  ence 87  ence 87  ence 87  ence 87 | B1 B2 B3 B4 36 34 32  PIN  ence 07  Illy: 64534231  B1 B2 B3 B4 36 34 35 33  ence 87  Illy: 9753  B1 B2 B3 B4 39 37 35 33 | B1 B2 B3 B4 B5 PIN  ence 07  elly: 64534231  B1 B2 B3 B4 B5 36 34 35 33 34  ence 87  ence 87  ence 87  ence 87 | B1 B2 B3 B4 B5 FF  PIN  PINCE 07  Illy: 64534231  B1 B2 B3 B4 B5 B6 | B1 B2 B3 B4 B5 B6 B7  Since 07  Illy: 64534231  B1 B2 B3 B4 B5 B6 B7 36 34 35 33 34 32 33  B1 B2 B3 B4 B5 B6 B7 36 B7 38 B1 B2 B3 B4 B5 B6 B7 39 37 35 B1 B5 B6 B7  Illy: 9753  B1 B2 B3 B4 B5 B6 B7 39 37 BF FF FF |  |

NOTE: To perform the UPDATE FDN data (as described in the procedure below), the default FDN UICC may be used. In this case the above mentioned exceptions shall apply.

30

The Terminal is powered-on, with the correct PIN entered.

# 6.1.14.4.2 Procedure

Coding: Hex

a) Enter "\*\*042\*9753\*12345678\*12345678#".

b) The UE is switched off and on and the correct PIN is entered.

- c) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN).
- d) When the UE is in the "PIN2 check" mode, the sequence "9753#" shall be entered.
- e) The UE is switched off and on and the correct PIN is entered.
- f) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN).
- g) When the UE is in the "PIN2-check", mode the sequence "12345678#" shall be entered.

# 6.1.14.5 Acceptance criteria

- 1) After step a), the Terminal shall send a CHANGE PIN2 command to the UICC, with the parameter P2 set to "87".
- 2) Following the successful execution of the command, the UE shall give an indication that the new PIN2 is accepted.
- 3) After step d), the UE shall give an indication that the entered PIN2 is not accepted.
- 3) After step g), the UE shall give an indication "OK".

# 6.1.15 Unblock PIN2 on multi-verification capable UICCs

### 6.1.15.1 Definition and applicability

After three consecutive wrong entries of the PIN2, the PIN2 shall become blocked. The Unblock PIN2 command is used to unblock the PIN2. This function may be performed whether or not the PIN2 is blocked. TS 102 221[5] defines the range of "81" to "88" as key reference of the PIN2 on a multiverification capable UICC.

This test applies to all 3G Terminals supporting a feature requiring PIN2 entry (such as e.g. AoC or FDN)

### 6.1.15.2 Conformance requirement

The Terminal shall support the Unblock PIN2 command, as defined in TS 102 221 [5], subclause 11.1.13.

### Reference:

- TS 102 221 [5], subclauses 9 and 11.1.13;
- TS 31.102 [4], clause 6;
- TS 22.030 [12], subclause 6.6.3.

# 6.1.15.3 Test purpose

- 1) To verify that the PIN2 unblocking procedure is performed correctly.
- 2) To verify that the basic public MMI string is supported.
- 3) To verify that the Terminal supports key references in the range of "81" to "88" as PIN2.

# <u>6.1.15.4</u> Method of test

# 6.1.15.4.1 Initial conditions

The Terminal is connected to the UICC simulator.

The default UICC is used with the following exceptions:

The UICC shall be configured to use "07" as the reference of the PIN and "87" as reference of the PIN2 with the following values:

**PIN** 

Key reference: 07

| ricy reserv    | <u> </u>               |                        |                        |                        |                        |                        |                        |                        |
|----------------|------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|
| Logica         | ally:                  | 8642                   |                        |                        |                        |                        |                        |                        |
| Coding:<br>Hex | <u>B1</u><br><u>38</u> | <u>B2</u><br><u>36</u> | <u>B3</u><br><u>34</u> | <u>B4</u><br><u>32</u> | <u>B5</u><br><u>FF</u> | <u>B6</u><br><u>FF</u> | <u>B7</u><br><u>FF</u> | B8<br>FF               |
| Unblock I      | <u>PIN</u>             |                        |                        |                        |                        |                        |                        |                        |
| Key refere     | ence 07                |                        |                        |                        |                        |                        |                        |                        |
| Logica         | ally:                  | 64534                  | 1231                   |                        |                        |                        |                        |                        |
| Coding:<br>Hex | <u>B1</u><br><u>36</u> | <u>B2</u><br><u>34</u> | <u>B3</u><br><u>35</u> | <u>B4</u><br><u>33</u> | <u>B5</u><br><u>34</u> | <u>B6</u><br><u>32</u> | <u>B7</u><br><u>33</u> | <u>B8</u><br><u>31</u> |
| PIN2           |                        |                        |                        |                        |                        |                        |                        |                        |
| Key refere     | ence 87                |                        |                        |                        |                        |                        |                        |                        |
| Logica         | ally:                  | 9753                   |                        |                        |                        |                        |                        |                        |
| Coding:<br>Hex | <u>B1</u><br><u>39</u> | <u>B2</u><br><u>37</u> | <u>B3</u><br><u>35</u> | <u>B4</u><br><u>33</u> | <u>B5</u><br><u>FF</u> | <u>B6</u><br><u>FF</u> | <u>B7</u><br><u>FF</u> | B8<br>FF               |
| Unblock I      | PIN2                   |                        |                        |                        |                        |                        |                        |                        |
| Key refere     | ence 87                |                        |                        |                        |                        |                        |                        |                        |
| Logica         | ally:                  | 57687                  | <u> 7980</u>           |                        |                        |                        |                        |                        |

NOTE: To perform the UPDATE FDN data (as described in the procedure below), the default FDN UICC may be used. In this case the above mentioned exceptions shall apply.

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# 6.1.15.4.2 Procedure

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Coding:

<u>Hex</u>

- a) The Terminal is powered on and the correct PIN is entered.
- b) Enter "\*\*052\*57687980\*1234\*1234#"

<u>B2</u> <u>37</u>

36

c) The Terminal is powered off and on and the correct PIN is entered.

- d) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN).
- e) Enter the new PIN2: "1234#".
- f) The Terminal is powered off and on and the correct PIN is entered.
- g) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN).
- h) Enter a wrong PIN2 three times.
- i) Enter "\*\*052\*57687980\*9753\*9753#".
- j) The Terminal is powered off and on and the correct PIN is entered.
- k) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN).
- 1) Enter the new PIN2: "9753#".

# 6.1.15.5 Acceptance criterias

- 1) After step b), the Terminal shall send an UNBLOCK PIN command to the UICC, with parameter P2 = "87".
- 2) After step e), the Terminal shall indicate that the PIN2 has been accepted.
- 3) After step h), the Terminal shall indicate that the PIN2 has been blocked.
- 4) After step i), the Terminal shall send an UNBLOCK PIN command to the UICC, with parameter P2 = "87".
- 5) After step 1), the Terminal shall indicate that the PIN2 has been accepted.

# 6.1.16 Replacement of PIN with key reference "07"

# 6.1.16.1 Definition and applicability

The Universal PIN may be used to replace a PIN used to authenticate the user to the UICC for security. In this case entry of the correct Universal PIN allows PIN-protected data to be accessed over the UICC-Terminal interface.

This test applies to all 3G Terminals.

### 6.1.16.2 Conformance requirement

The Terminal shall support the usage of the Universal PIN as replacement PIN and the replacement procedure as defined in TS 102 221 [5], subclause 11.1.11, as well as the procedure to disable the replacement defined in TS 102 221 [5], subclause 11.1.12.

### Reference:

- TS 102 221 [5], subclauses 9, 11.1.11 and 11.1.12;
- TS 31.102 [4], clause 6;

### 6.1.16.3 Test purpose

1) To verify that the PIN replacement is supported by the Terminal correctly.

- 2) To verify that the PIN replacement procedure is performed by the Terminal correctly.
- 3) To verify that the procedure to disable the PIN replacement is performed by the Terminal correctly.
- 4) To verify that the Terminal supports key references in the range of "01" to "08" as PIN.

# <u>6.1.16.4</u> Method of test

# 6.1.16.4.1 Initial conditions

The Terminal is connected to the UICC simulator with the PIN enabled, and powered off.

The default UICC is used with the following exceptions:

The UICC shall be configured to use "07" as the reference of the PIN and "87" as reference of the PIN2 with the following values:

### PIN

### Key reference: 07

Logically: 8642

Logically: 64534231

9753

57687080

| Coding: | <u>B1</u> | <u>B2</u> | <u>B3</u> | <u>B4</u> | <u>B5</u> | <u>B6</u> | <u>B7</u> | B8 |
|---------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|----|
| Hex     | 38        | 36        | <u>34</u> | <u>32</u> | <u>FF</u> | <u>FF</u> | <u>FF</u> | FF |
|         |           |           |           |           |           |           |           |    |

### **Unblock PIN**

## Key reference 07

| Coding: | <u>B1</u> | <u>B2</u> | <u>B3</u> | <u>B4</u> | <u>B5</u> | <u>B6</u> | <u>B7</u> | <u>B8</u> |
|---------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Hex     |           |           |           |           |           |           |           |           |

### PIN2

## Key reference 87

Logically:

|                | •         |           |           |           |           |           |           |           |
|----------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Coding:<br>Hex | <u>B1</u> | <u>B2</u> | <u>B3</u> | <u>B4</u> | <u>B5</u> | <u>B6</u> | <u>B7</u> | <u>B8</u> |
| <u>Hex</u>     | <u>39</u> | <u>37</u> | <u>35</u> | <u>33</u> | <u>FF</u> | <u>FF</u> | <u>FF</u> | <u>FF</u> |

## **Unblock PIN2**

# Key reference 87

| Logic   | any.      | 3708      | <u> 1960</u> |           |           |           |           |           |
|---------|-----------|-----------|--------------|-----------|-----------|-----------|-----------|-----------|
| Coding: | <u>B1</u> | <u>B2</u> | <u>B3</u>    | <u>B4</u> | <u>B5</u> | <u>B6</u> | <u>B7</u> | <u>B8</u> |
| Hex     | 35        | 37        | 36           | 38        | 37        | 39        | 38        | 30        |

## 6.1.16.4.2 Procedure

a) The Terminal is powered on.

- b) When the Terminal is in the "PIN check" mode, the sequence "8642#" shall be entered.
- c) The user shall initiate an MMI dependent procedure to replace the PIN by the Universal PIN.
- d) The Terminal is powered off and on and when the Terminal is in "PIN check" mode the sequence "8642#" shall be entered.
- e) The correct Universal PIN is entered.
- f) The user shall initiate an MMI dependent procedure to disable the replacement of the PIN by the Universal PIN.
- g) The Terminal is powered off and on and when the Terminal is in "PIN check" mode the sequence "2839#" shall be entered.
- h) The correct PIN is entered.

### 6.1.16.5 Acceptance criteria

- 1) After step b) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "07".
- 2) After step c), the Terminal shall send a DISABLE PIN command to the UICC, with parameter P1="91" and P2 = "07".
- 3) After step d) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "11" and after unsuccessful execution of the command the Terminal shall indicate that the PIN has not been accepted.
- 4) After step e) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "11" and after successful execution of the command the Terminal shall indicate that the PIN has been accepted.
- 5) After step f), the Terminal shall send an ENABLE PIN command to the UICC, with parameter P2 = "07".
- 6) After step g) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "07" and after unsuccessful execution of the command the Terminal shall indicate that the PIN has not been accepted.
- 7) After step e) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "07" and after successful execution of the command the Terminal shall indicate that the PIN has been accepted.

# 6.2 Fixed Dialling Numbers (FDN) handling

# 6.2.1 Terminal and USIM with FDN enabled, EF<sub>ADN</sub> readable and updateable

# 6.2.1.1 Definition and applicability

Fixed Dialling Numbers (FDN) is a service defined for the USIM. An enabled FDN service results in call restrictions for the UE. The call restrictions are controlled by the Terminal. To ascertain the type of USIM

and state of FDN the UE runs the FDN capability request procedure during UICC-Terminal initialisation. During the initialisation the Terminal shall request the Emergency call codes of the USIM  $EF_{ECC}$ . At the time an emergency call is setup using the emergency call code read from the  $EF_{ECC}$ , the UE shall use the category of the emergency service indicated.

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting FDN.

# 6.2.1.2 Conformance requirement

- 1) Recognising the state of the USIM (FDN enabled) the UE shall perform the UICC initialisation procedure as specified.
- 2) The UE allows call set-up to a directory number as stored in EF<sub>FDN</sub>.
- 3) The UE allows call set-up to a directory number as stored in  $EF_{FDN}$  and extended by digits in the end
- 4) The UE does not allow call set-up to a directory number stored in EF<sub>FDN</sub> but with missing digits at the end.
- 5) The UE does not allow call set-up to a directory number having no reference in EF<sub>FDN</sub>.
- 6) The UE <u>does not</u> allows call set-up of an emergency call using the emergency number stored in the Terminal except "112", "911" and the emergency numbers stored on the SIM/USIM.
- 7) The UE allows call set-up of an emergency call using the emergency number stored in the USIM.
- 8) The UE shall indicate the emergency service category as "Mountain Rescue", when using the emergency number stored in the USIM.

### Reference:

- TS 22.101 [11], clauses 8-9 and A.254;
- TS 31.102 [4], subclauses 4.4.2, 4.2.24, 5.1.1 and 5.3.2;
- TS 24.008 [16], subclause 10.5.4.33.

# 6.2.1.3 Test purpose

- 1) To verify that the Terminal allows call set-up to a FDN number.
- 2) To verify that the Terminal allows call set-up to a FDN number extended by some digits in the end.
- 3) To verify that the Terminal rejects call set-up to number having no reference in EF<sub>FDN</sub>.
- 4) To verify that the Terminal rejects call set-up to a FDN number not completely corresponding to an entry in EF<sub>FDN</sub>.
- 5) To verify that the Terminal does not allows emergency call set-up using the emergency number stored in the Terminal except "112", "911" and the emergency numbers stored on the SIM/USIM.
- To verify that the Terminal allows emergency call set-up using the emergency number stored in the UISM.
- 7) To verify that the Terminal reads correctly the emergency service category.

### 6.2.1.4 Method of test

### 6.2.1.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters

- Attach/detach: disabled.

- LAI (MCC/MNC/LAC): 246/081/0001.

Access control: unrestricted.

The default FDN UICC with FDN service enabled and  $EF_{ADN}$  readable and updateable is installed into the Terminal.

### 6.2.1.4.2 Procedure

- a) The UE is powered on and PIN is entered.
- b) Using the MMI a call set-up to the fixed dialling number 1 (record 1) is attempted.
- c) Using the MMI a call set-up to the fixed dialling number 2 (record 2) extended by "123" in the end is attempted.
- d) Using the MMI a call set-up to a number which is equal to the fixed dialling number 3 (record 3) without the last digit is attempted, e.g. by recalling the fixed dialling number 3 and deleting the last digit (only in display).
- e) Using the MMI a call set-up to the number "1234567" is attempted.
- f) Using the MMI an emergency call set-up is attempted using the an emergency call code stored in the Terminal, but not "112", "911" nor one of the emergency numbers stored on the SIM/USIM.
- g) Using the MMI an emergency call set-up is attempted using either "112" or "911".
- gh) Using the MMI an emergency call set-up is attempted using the emergency call code stored in the USIM (i.e. "122").

NOTE: For step f) one of the emergency call codes according to TS 22.101 [11], subclause 98.1, except "112" and "911", shall be used (i.e. "000", "08", 112, "110", "118", "119", 911 or 999).

### 6.2.1.5 Acceptance criteria

- 1) After step a) the UE is registered and in idle state.
- 2) After steps b) and c) the UE shall allow call set-up and send the requested number across the air interface.
- 3) After steps d), e) and fe) the UE shall prevent call set-up.
- 4) After steps  $\frac{fg}{g}$  and  $\frac{gh}{g}$  the UE shall allow emergency call by indicating the call setup as "Emergency Call".
  - 5) After step g) the UE shall send the emergency service category correctly as "Mountain Rescue".

# 6.2.2 Terminal and USIM with FDN disabled

# 6.2.2.1 Definition and applicability

Fixed Dialling Numbers (FDN) is a service defined for the USIM. An enabled FDN service results in call restrictions for the UE. Only directory numbers which are stored in the  $\mathrm{EF}_{\mathrm{FDN}}$  may be dialled by the UE. The call restrictions are controlled by the Terminal. To ascertain the type of USIM and state of FDN the UE runs the FDN capability request procedure during UICC-Terminal initialisation. Deactivation of the service by the subscriber is possible under the control of PIN2 and switches the USIM into a "normal", non restrictive USIM.

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting FDN.

# 6.2.2.2 Conformance requirement

- 1) Recognising the state of the USIM (FDN disabled) the UE correctly performs the UICC initialisation procedure.
- 2) The UE allows call set-up to a directory number as stored in EF<sub>FDN</sub>.
- 3) The UE allows call set-up to a directory number as stored in EF<sub>ADN</sub>.
- 4) The UE allows call set-up to a directory number given in manually.

### Reference:

- TS 22.101 [11], clauses 89 and A.245;
- TS 31.102 [4], subclauses 4.4.2.3, 4.2.24, 4.2.47, 5.1.1 and 5.3.2.

# 6.2.2.3 Test purpose

- To verify that the Terminal as a result of the state of the USIM correctly performs the UICC-Terminal initialisation procedure.
- 2) To verify that the Terminal allows call set-up to a FDN number.
- 3) To verify that the Terminal allows call set-up to a ADN number.
- 4) To verify that the Terminal allows call set-up to manually given number.

## 6.2.2.4 Method of test

### 6.2.2.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters:

- Attach/detach: disabled.

- LAI (MCC/MNC/LAC): 246/081/0001.

- Access control: unrestricted.

The default FDN UICC is used with the following exception:

## **EF**<sub>EST</sub> (Enable Service Table)

Logically: Fixed Dialling Numbers disabled.

Barred Dialling Numbers disabled. APN Control list (ACL) disabled.

Coding: B1

binary 0000 0000

The UICC is installed into the Terminal and the UE is powered on.

### 6.2.2.4.2 Procedure

- a) Using the MMI a call set-up to the fixed dialling number 1 is attempted.
- b) Using the MMI a call set-up to the abbreviated dialling number 1 is attempted.
- c) Using the MMI a call set-up to the number "1234567" is attempted.

# 6.2.2.5 Acceptance criteria

After steps a), b) and c) the UE shall allow call set-up and send the requested number across the air interface.

# 6.2.3 Enabling, disabling and updating of FDN

# 6.2.3.1 Definition and applicability

FDN may be enabled and disabled by the subscriber under control of PIN2. Fixed dialling numbers are read with PIN and updated under control of PIN2.

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting FDN.

### 6.2.3.2 Conformance requirement

- 1) Recognising the state of the USIM (FDN enabled) the UE shall perform the UICC initialisation procedure as specified.
- 2) The UE shall allow updating of EF<sub>FDN</sub> by the use of PIN2.
- 3) The UE provides means to disable the FDN service by the use of PIN2.
- 4) The UE shall allow the use of EF<sub>ADN</sub> after disabling of FDN.

### Reference:

- TS 22.101 [11], clause 89 and A.254;
- TS 31.102 [4], subclauses 4.4.2.3, 4.2.24, 4.2.47, 5.1.1 and 5.3.2.

### 6.2.3.3 Test purpose

- 1) To verify that the Terminal correctly performs the update of a number in  $EF_{FDN}$ .
- 2) To verify that the Terminal correctly disables FDN service.
- 3) To verify that the Terminal recognises disabling of FDN and allows access to EF<sub>ADN</sub>.

### 6.2.3.4 Method of test

### 6.2.3.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters

- Attach/detach: disabled.

- LAI (MCC/MNC/LAC): 246/081/0001.

- Access control: unrestricted.

The default FDN UICC with FDN service enabled is installed into the Terminal.

### 6.2.3.4.2 Procedure

- a) The UE is powered on and PIN is entered.
- b) Using the MMI the directory number "+876543210" is stored in EF<sub>FDN</sub> as fixed dialling number 1 (record 1). The alpha identifier is not changed. On request of the UE PIN2 is entered.
- c) Using the MMI the FDN disabling procedure is performed. On request of the UE PIN2 is entered.
- d) Using the MMI a call set-up to the abbreviated dialling number 1 (record 1) is attempted.
- e) The UE is soft-powered down.

# 6.2.3.5 Acceptance criteria

- 1) After step a) the UE is registered and in idle state.
- 2) After step c) the UE shall indicate that the FDN disabling procedure has been successful.
- 3) After step d) the UE shall allow call set-up and send the requested number across the air interface.
- 4) After step e) record 1 in EF<sub>FDN</sub>, shall contain the following values:

| Hex | B1<br>46  | B2<br>44  | _         | B4<br>31  | _ | _         |           | B8<br>91 | _ | B10<br>56 | B12<br>12 | B13<br>F0 |
|-----|-----------|-----------|-----------|-----------|---|-----------|-----------|----------|---|-----------|-----------|-----------|
|     | B14<br>FF | B15<br>FF | B16<br>FF | B17<br>FF | _ | B19<br>FF | B20<br>FF |          |   |           |           |           |

# 6.3 Barred Dialling numbers (BDN) handling

## 6.3.1 Terminal and USIM with BDN enabled

# 6.3.1.1 Definition and applicability

Barred Dialling Numbers (BDN) is a service defined for the USIM. An enabled BDN service results in call restrictions for the UE. The call restrictions are controlled by the Terminal. To ascertain the type of USIM and state of BDN the UE runs the BDN capability request procedure during UICC-Terminal initialisation. At the time an emergency call is setup using the emergency call code read from the  $EF_{ECC}$ , the UE shall use the category of the emergency service indicated.

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting BDN.

# 6.3.1.2 Conformance requirement

- Recognising the state of the USIM (BDN enabled) the UE shall perform the UICC initialisation procedure as specified.
- 2) The UE shall prevent call set-up to any number stored in EF<sub>BDN</sub>.
- 3) The UE allows call set-up of an emergency call, even if this number is stored in the USIM.

#### Reference:

- TS 22.101 [11], clause 89 and A.1920;
- TS 31.102 [4], subclauses 4.2.44, 4.4.2.3, 5.1.1 and 5.3.2;
- TS 24.008 [16], subclause 10.5.4.33.

### 6.3.1.3 Test purpose

- 1) To verify that the Terminal rejects call set-up to any number that has an entry in EF<sub>BDN</sub>.
- 2) To verify that the Terminal allows call set-up to any number not stored in EF<sub>BDN</sub>.
- 3) To verify that the Terminal allows emergency call set-up even if the number is stored in  $EF_{BDN}$ .
- 4) To verify that the Terminal reads correctly the emergency service category stored in EF<sub>ECC</sub>.

### 6.3.1.4 Method of test

# 6.3.1.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters

- Attach/detach: disabled.

- LAI (MCC/MNC/LAC): 246/081/0001.

- Access control: unrestricted.

The default BDN UICC with BDN service enabled is installed into the Terminal.

### 6.3.1.4.2 Procedure

- a) The UE is powered on and PIN is entered.
- b) Using the MMI a call set-up to the barred dialling number 1 (record 1) is attempted.
- c) Using the ADN entry a call set-up to the abbreviated dialling number 1 (record 1) end is attempted.
  - d) Using the MMI a call set-up to the number "123456" is attempted.
  - e) Using the MMI an emergency call set-up is attempted using the emergency call code stored in the Terminal

f) Using the MMI an emergency call set-up is attempted using the emergency call code stored in the USIM (i.e. "122").

NOTE: For step e) one of the emergency call codes, which are available when a SIM/USIM is present, according to TS 22.101 [11], subclause 89 is used (i.e. 000, 08, "112", 110, or "911" or 999).

## 6.3.1.5 Acceptance criteria

- 1) After step a) the UE is registered and in idle state.
- 2) After steps b) the UE shall prevent call set-up.
- 3) After steps c) and d) the UE shall allow call set-up and send the requested number across the air interface.
- 4) After steps e) and f) the UE shall allow an emergency call by indicating the call setup as "Emergency Call".
- 5) After step f) the UE shall send the emergency service category correctly as "Mountain Rescue".

# 6.3.2 Terminal and USIM with BDN disabled

# 6.3.2.1 Definition and applicability

Barred Dialling Numbers (BDN) is a service defined for the USIM. An enabled BDN service results in call restrictions for the UE. No numbers which are stored in the  $EF_{BDN}$  may be dialled by the UE. The call restrictions are controlled by the Terminal. To ascertain the type of USIM and state of BDN the UE runs the BDN capability request procedure during UICC-Terminal initialisation. Deactivation of the service by the subscriber is possible under the control of PIN2 and switches the USIM into a "normal", non restrictive USIM. When the BDN is disabled no special controls are specified. The BDN may be read as if they were normal ADN. However a modification or deletion of the a BDN is under PIN2 control.

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting BDN.

### 6.3.2.2 Conformance requirement

- 1) Recognising the state of the USIM (BDN disabled) the UE correctly performs the UICC initialisation procedure.
- 2) The UE allows call set-up to a directory number as stored in EF<sub>BDN</sub>.
- 3) Any change to the  $EF_{BDN}$  does requests PIN2.

### Reference:

- TS 22.101 [11], clauses 89 and A.1920;
- TS 31.102 [4], subclauses 4.2.44, 5.1.1 and 5.3.2.

# 6.3.2.3 Test purpose

 To verify that the Terminal as a result of the state of the USIM correctly performs the UICC-Terminal initialisation procedure.

- 2) To verify that the Terminal allows call set-up to a BDN number.
- 3) The UE shall allow updating of  $EF_{BDN}$  by the use of PIN2.

# 6.3.2.4 Method of test

### 6.3.2.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters

- Attach/detach: disabled.

- LAI (MCC/MNC/LAC): 246/081/0001.

- Access control: unrestricted.

The default FDN UICC is used with the following exception:

# EF<sub>EST</sub> (Enable Service Table)

Logically: Fixed Dialling Numbers disabled.

Barred Dialling Numbers disabled. APN Control list (ACL) disabled.

Coding: B1

binary 0000 0000

The UICC is installed into the Terminal and the UE is powered on.

### 6.3.2.4.2 Procedure

- a) Using the MMI a call set-up to the barred dialling number 1 is attempted.
- b) Using the MMI the directory number "+876543210" is stored in EF<sub>BDN</sub> as barred dialling number 1 (record 1). The alpha identifier is not changed. On request of the UE PIN2 is entered.

# 6.3.2.5 Acceptance criteria

- 1) After step a) the UE shall allow call set-up and send the requested number across the air interface.
- 2) After step b) record 1 in  $EF_{BDN}$ , shall contain the following values:

| Coding: | B1        | B2        | B3        | B4        | B5        | B6        | B7        | B8 | B9 | B10 | B11 | B12 | B13 |
|---------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|----|----|-----|-----|-----|-----|
| Hex     | 42        | 44        | 4E        | 31        | 31        | 31        | 06        | 91 | 78 | 56  | 34  | 12  | F0  |
|         | B14<br>FF | B15<br>FF | B16<br>FF | B17<br>FF | B18<br>FF | B19<br>FF | B20<br>FF |    |    |     |     |     |     |

# 6.4 Advice of charge (AoC) handling

# 6.4.1 AoC not supported by USIM

# 6.4.1.1 Definition and applicability

If the Terminal under test supports Advice of Charge Charging, it shall still look at the capability of the USIM, before responding to any AoCC information from the network.

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting AoCC.

## 6.4.1.2 Conformance requirement

- 1) An UE not supporting AoCC and in the outgoing call / U4 call delivered state, on receipt of a CONNECT message containing AoCC information shall acknowledge the CONNECT message but ignore and not acknowledge the AoCC information sent within the CONNECT.
- An UE not supporting AoCC and in the outgoing call / U4 call delivered state, on receipt of a FACILITY message containing AoCC information shall ignore and not acknowledge the AoCC information sent within the FACILITY.
- 3) An UE not supporting AoCC and in the incoming call / U9 call confirmed state, on receipt of a FACILITY message containing AoCC information shall ignore and not acknowledge the AoCC information sent within the FACILITY.
- 4) An UE not supporting AoCC and in the U10 call active state, on receipt of a FACILITY message containing AoCC information, shall ignore and not acknowledge the AoCC information sent within the FACILITY.

### References:

- TS 24.008 [16], subclause 5.1.2.1;
- TS 23.086 [9], subclauses 1.2, 1.3, 2.2 and 2.3;
- TS 24.086 [10], clause 2.

# 6.4.1.3 Test purpose

- 1) To verify that an UE not supporting AoCC (where the Terminal does support AoCC but the USIM does not) and in the outgoing call / U4 call delivered state, on receipt of a CONNECT message containing AoCC information shall acknowledge the CONNECT message but ignore and not acknowledge the AoCC information sent within the CONNECT.
- 2) To verify that an UE not supporting AoCC (where the Terminal does support AoCC but the USIM does not) and in the outgoing call / U4 call delivered state, on receipt of a FACILITY message containing AoCC information shall ignore and not acknowledge the AoCC information sent within the FACILITY.
- 3) To verify that an UE not supporting AoCC (where the Terminal does support AoCC but the USIM does not) and in the incoming call / U9 call confirmed state, on receipt of a FACILITY message containing AoCC information shall ignore and not acknowledge the AoCC information sent within the FACILITY.

4) To verify that an UE not supporting AoCC (where the Terminal does support AoCC but the USIM does not) and in the U10 call active state, on receipt of a FACILITY message containing AoCC information, shall ignore and not acknowledge the AoCC information sent within the FACILITY.

### 6.4.1.4 Method of test

### 6.4.1.4.1 Initial conditions

The Terminal shall be installed with a UICC or USIM simulator, with all elementary files coded as for the default UICC, with the exception of:

### EF<sub>UST</sub> (USIM Service Table)

Logically: Local Phone Book available;

User controlled PLMN selector available;

Fixed dialling numbers available; The GSM Access available;

The Group Identifier level 1 and level 2 not available;

AoC not available.

Service n 33 (Packed Switched Domain) shall be set to '1'

 Coding:
 B1
 B2
 B3
 B4
 B5

 binary
 xxxx xx11
 xxx0 xxxx
 xxxx 1x00
 xxxx x1xx
 xxxx xxx1

The coding of EF<sub>UST</sub> shall conform with the capabilities of the USIM used.

The generic call set up procedures are followed up to and including the reception, or transmission of the ALERTING message by the UE.

### 6.4.1.4.2 Procedure

- a) For an MO call in the U4 state the USS transmits CONNECT containing AoCC information.
- b) For an MO call in the U4 state the USS transmits FACILITY containing AoCC information.
- c) For an MT call in the U9 state the USS transmits FACILITY containing AoCC information.
- d) For an MO call in the U10 state the USS transmits FACILITY containing AoCC information.

### 6.4.1.5 Acceptance criteria

In all cases, the UE shall ignore the AoCC information sent to it in the Facility information elements as part of the CONNECT/FACILITY messages and not send any AoCC information acknowledgement. It shall be checked for 15 s that the UE does not transmit any AoCC information acknowledgement after the receipt of AoCC information.

# 6.4.2 Maximum frequency of ACM updating

# 6.4.2.1 Definition and applicability

The ACM shall be updated at the end of every interval, where the interval length is given by parameter e2. The Terminal shall update the ACM not more frequently than once every 5 s, even if the interval is less than 5 s. More frequent updating may affect the USIMs read/write cycles.

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting AoCC.

# 6.4.2.2 Conformance requirement

The ACM shall be incremented when the CCM is incremented or once every 5 s, whichever is the longer period.

When used the value '1C' shall be used as SFI for EF<sub>ACM</sub>, for compatibility reasons the terminal shall accept other values.

### Reference:

- TS 22.024 [8], subclause 4.3, part h:
- TS 31.102 [4], subclauses 4.2.9, 5.3.4 and Annex H.1.

# 6.4.2.3 Test purpose

1) To verify that the interval between increments is 5 s.

2) To verify that the Terminal is able to handle other values than '1C' as SFI of EF<sub>ACM</sub>.

### 6.4.2.4 Method of test

### 6.4.2.4.1 Initial conditions

The Terminal shall be connected to the USIM simulator, with all elementary files coded as default with the exception of:

## EF<sub>UST</sub> (USIM Service Table)

Logically: Local Phone Book available;

User controlled PLMN selector available;

Fixed dialling numbers available; The GSM Access available;

The Group Identifier level 1 and level 2 not available;

AoC available.

Service n 33 (Packed Switched Domain) shall be set to '1'

 Coding:
 B1
 B2
 B3
 B4
 B5

 binary
 xxxx xx11
 xxx1 xxxx
 xxxx 1x00
 xxxx x1xx
 xxxx xxx1

The coding of  $EF_{\text{UST}}$  shall conform with the capabilities of the USIM used.

### EF<sub>ACM</sub> (Accumulated call meter)

Logically: 50 units

The SFI of EF<sub>ACM</sub> shall be set to '18'.

## EF<sub>ACMmax</sub> (Accumulated call meter maximum)

Logically: 150 units

The USS transmits on the BCCH, with the following network parameters:

- Attach/detach: disabled.

- LAI (MCC/MNC/LAC): 246/081/0001.

- Access control: unrestricted.

User Equipment:

The UE is in MM-state "idle, updated".

### 6.4.2.4.2 Procedure

- a) The UE is made to initiate a call. The call establishment shall be performed according to the procedures defined in TS 24.008 [16], subclause 7.2.3.2.3 extended by the messages of the AoCC. The call is established with AoCC e-parameters sent in a Facility IE in the CONNECT message, as given below. The UE returns the AoCC acknowledgement within 1 s of the CONNECT message. It is an implementation option whether the AoCC acknowledge is sent by the UE before or after the CONNECT ACKNOWLEDGE.
- b) The call is maintained for 90 s, then terminated by the USS. During the call, the USIM-simulator monitors the time intervals between successive INCREMENT commands.

Maximum Duration of Test:

2 minutes.

**Expected Sequence:** 

| Step     | Direction | Message                                 | Comments  |
|----------|-----------|---|---|
| 1        | UE        |   | The UE is made to initiate a call                                   |
| 2        | UE -> USS | RRC CONNECTION REQUEST                  |   |
| 3        | USS -> UE | RRC CONNECTION SETUP                    |   |
| 4        | UE -> USS | RRC CONNECTION SETUP                    |   |
|          |           | COMPLETE                                |   |
| 5        |           | CM SERVICE REQUEST                      |   |
| 6        | USS -> UE | AUTHENTICATION REQUEST                  | MM procedure, to ensure the successful start of integrity in step 8 |
| 7        |           | AUTHENTICATION RESPONSE                 |   |
| 8        | USS -> UE | SECURITY MODE COMMAND                   | RRC procedure, start of integrity is mandatory during call          |
| 9        | HE VIICE  | SECURITY MODE COMPLETE                  | setup   |
| 10       | UE -> USS |   |   |
| 11       |           | CALL PROCEEDING                         |   |
| 12       |           | RADIO BEARER SETUP                      | To a supported channel type   |
| 13       |           | RADIO BEARER                            | To a supported strainier type                                       |
|          |           | SETUPCOMPLETE                           |   |
| 14       | USS -> UE | ALERTING                                |   |
| 15       | USS -> UE | CONNECT                                 | As default message except contains Facility IE with                 |
|          |           |   | contents as indicated in i) below                                   |
|          |           |   | Either A or B branch is taken                                       |
| A16      |           | CONNECT ACKNOWLEDGE                     |   |
| A17      | UE -> USS | FACILITY                                | As default message except contains Facility IE with                 |
|          |           |   | contents as indicated in ii) below                                  |
| B16      | UE -> USS | FACILITY                                | As default message except contains Facility IE with                 |
|          |           |   | contents as indicated in ii) below                                  |
| B17      | UE -> USS | CONNECT ACKNOWLEDGE                     |   |
| 18       |           | DIOCONNECT                              | call duration 90 s after CAI information sent by USS,               |
| 19       |           | DISCONNECT                              |   |
| 20       | UE -> USS |   |   |
| 21<br>22 |           | RELEASE COMPLETE RRC CONNECTION RELEASE | All connections of RRC are released.                                |
| 22       |           | RRC CONNECTION RELEASE                  | All connections of KKC are released.                                |
| 23       | 02 -> 033 | COMPLETE                                |   |

Specific Message Contents:

i) **FACILITY Information Element** with **Invoke = ForwardChargeInformation** component type as defined in TS 24.080 [17], subclause 3.6.1 table 3.3.

For ASN.1 description see default message contents in subclause 31.6.1.3.

The values of the e-parameters within the parameter part of the Facility Information Element shall be set as below:

# e-parameters:

parameter: e1 e2 e3 e4 e5 e6 e7 value 1 1 1 0 0 0 0

Values shown in table are in the format and have units as in TS 22.024 [8], clause 3.

**ii) FACILITY Information Element** with **Return Result** component type as defined in TS 24.080 [17], subclause 3.6.1 table 3.4.

For ASN.1 description see default message contents in subclause 31.6.1.3.

# 6.4.2.5 Acceptance criteria

The UE shall send INCREMENT commands to the USIM every 5 s.

# 6.4.3 Call terminated when ACM greater than ACMmax

# 6.4.3.1 Definition and applicability

ACMmax gives the maximum value of ACM, at which the current chargeable calls shall be terminated and no further calls may be made (except emergency calls).

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting AoCC.

# 6.4.3.2 Conformance requirement

ACM shall be incremented by the value of CCM.

If the ACMmax is valid, and the ACM becomes equal to or exceeds the value of the ACMmax, then all calls in progress, chargeable to the user, shall be terminated by the UE, once the chargeable interval determined by the CAI has elapsed, (except emergency calls).

When used the value '1C' shall be used as SFI for EF<sub>ACM</sub>, for compatibility reasons the terminal shall accept other values.

#### Reference:

- TS 22.024 [8], subclauses 4.2.2 and 4.3 (part h);
- TS 102 221 [5], subclause 14.1.3;
- TS 31.102 [4], subclause 4.2.9, 5.3.4 and Annex H.1.

# 6.4.3.3 Test purpose

- 1) To verify that the Terminal increments the ACM by the correct number of units, even though this may take ACM above ACMmax.
- 2) To verify that the Terminal terminates the call.
- 3) To verify that the INCREMENT EF<sub>ACM</sub> command is performed correctly by the terminal.
- 4) To verify that the Terminal is able to handle other values then '1C' as SFI of EF<sub>ACM</sub>.

### 6.4.3.4 Method of test

### 6.4.3.4.1 Initial conditions

The Terminal shall be connected to a UICC or the USIM simulator, with all elementary files coded as default with the exception of:

## EF<sub>UST</sub> (USIM Service Table)

Logically: Local Phone Book available;

User controlled PLMN selector available; Fixed dialling numbers available;

The GSM Access available;

The Group Identifier level 1 and level 2 not available;

AoC available.

Service n 33 (Packed Switched Domain) shall be set to '1'

 Coding:
 B1
 B2
 B3
 B4
 B5

 binary
 xxxx xx11
 xxx1 xxx
 xxxx 1x00
 xxxx x1xx
 xxxx xxx1

The coding of  $\text{EF}_{\text{UST}}$  shall conform with the capabilities of the USIM used.

### EF<sub>ACM</sub> (Accumulated call meter)

Logically: 80 units

Coding: B1 B2 B3

binary 0000 0000 0000 0101 0000

The SFI of EF<sub>ACM</sub> shall be set to '18'.

### EF<sub>ACMmax</sub> (Accumulated call meter maximum)

Logically: 94 units

Coding: B1 B2 B3

binary 0000 0000 0000 0101 1110

The USS transmits on the BCCH, with the following network parameters:

- Attach/detach: disabled.

- LAI (MCC/MNC/LAC): 246/081/0001.

- Access control: unrestricted.

User Equipment:

The UE is in MM-state "idle, updated".

## 6.4.3.4.2 Procedure

- a) The UE is made to initiate a call. The call establishment shall be performed according to the procedures defined in TS 24.008 [16], subclause 7.2.3.2.3 extended by the messages of the AoCC. The call is established with AoCC e-parameters sent in a Facility IE in the CONNECT message, as given below. The UE returns the AoCC acknowledgement within 1 s of the CONNECT message. It is an implementation option whether the AoCC acknowledge is sent by the UE before or after the CONNECT ACKNOWLEDGE.
- b) The call is maintained until cleared by the UE (after 30 s).
- c) The contents of ACM are checked.

Maximum Duration of Test:

2 minutes.

**Expected Sequence:** 

| Step | Direction  | Message                         | Comments  |
|------|------------|---------------------------------|---|
| 1    | UE         |                                 | The UE is made to initiate a call                                   |
| 2    | UE -> USS  | RRC CONNECTION REQUEST          |   |
| 3    | USS -> UE  | RRC CONNECTION SETUP            |   |
| 4    | UE -> USS  | RRC CONNECTION SETUP            |   |
|      |            | COMPLETE                        |   |
| 5    | USS -> UE  | AUTHENTICATION REQUEST          | MM procedure, to ensure the successful start of integrity in step 8 |
| 6    | UE -> USS  | AUTHENTICATION RESPONSE         |   |
| 7    | USS -> UE  | SECURITY MODE COMMAND           | RRC procedure, start of integrity is mandatory during call          |
|      | LIE . LICC | SECURITY MODE COMPLETE          | setup   |
| 8 9  | UE -> USS  | SECURITY MODE COMPLETE          |   |
| 10   |            | CALL PROCEEDING                 |   |
| 11   |            | RADIO BEARER SETUP              | To a supported channel type   |
| 12   |            | RADIO BEARER SETUP              | To a supported charmer type   |
| 12   | 02 > 000   | COMPLETE                        |   |
| 13   | USS -> UF  | ALERTING                        |   |
| 14   |            | CONNECT                         | As default message except contains Facility IE with                 |
|      |            |                                 | contents as indicated in i) below                                   |
|      |            |                                 | Either A or B branch is taken                                       |
| A15  | UE -> USS  | CONNECT ACKNOWLEDGE             |   |
| A16  | UE -> USS  | FACILITY                        | As default message except contains Facility IE with                 |
|      |            |                                 | contents as indicated in ii) below                                  |
| B15  | UE -> USS  | FACILITY                        | As default message except contains Facility IE with                 |
|      |            |                                 | contents as indicated in ii) below                                  |
| B16  | UE -> USS  | CONNECT ACKNOWLEDGE             |   |
| 17   |            |                                 | call duration 30 s after CAI information sent by USS                |
| 18   |            | DISCONNECT                      |   |
| 19   | USS -> UE  |                                 |   |
| 20   |            | RELEASE COMPLETE                | l   |
| 21   |            | RRC CONNECTION RELEASE          | All connections of RRC are released.                                |
| 22   | UE -> USS  | RRC CONNECTION RELEASE COMPLETE |   |
|      | 1          | 00., LL   L                     | 1   |

Specific Message Contents:

i) **FACILITY Information Element** with **Invoke = ForwardChargeInformation** component type as defined in TS 24.080 [17], subclause 3.6.1 table 3.3.

For ASN.1 description see default message contents in subclause 31.6.1.3.

The values of the e-parameters within the parameter part of the Facility Information Element shall be set as below:

### e-parameters:

parameter: e1 e2 e3 e4 e5 e6 e7 value 10 10 1 0 0 0 0

Values shown in table are in the format and have units as in TS 22.024 [8], clause 3.

ii) **FACILITY Information Element** with **Return Result** component type as defined in TS 24.080 [17], subclause 3.6.1 table 3.4.

For ASN.1 description see default message contents in subclause 31.6.1.3.

# 6.4.3.5 Acceptance criteria

- 1) The UE shall terminate the call correctly 30 s after CAI was sent.
- 2) The value of ACM shall be 100 units.

# 6.4.4 Response codes of increase command of ACM

# 6.4.4.1 Definition and applicability

ACM has a maximum value in terms of coding, and an attempt by the Terminal to exceed that value by sending an INCREASE command shall result in an error message from the USIM. As the maximum of the ACM is equal to the maximum value of ACMmax, all current chargeable calls shall be terminated and no further calls may be made (except emergency calls).

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting AoCC.

# 6.4.4.2 Conformance requirement

The Terminal shall perform the increasing procedure, sending the amount to be increased.

The running accumulated charge shall be stored in the ACM of the USIM.

Where this charge cannot be stored in the UE, use of the telecommunications service shall be prevented.

At the time ACM exceeds it's maximum value, then all calls in progress, chargeable to the user, shall be terminated by the UE, once the chargeable interval determined by the CAI has elapsed, (except emergency calls).

When used the value '1C' shall be used as SFI for EF<sub>ACM</sub>, for compatibility reasons the terminal shall accept other values.

### References:

- TS 31.102 [4], subclause 4.2.9, 5.3.4 and Annex H.1;
- TS 22.086 [18], subclauses 2.1 and 2.2.1.

# 6.4.4.3 Test purpose

- 1) To verify that the Terminal clears a charged call if the USIM indicates that the ACM cannot be increased.
- 2) To verify that the Terminal is able to handle other values then '1C' as SFI of EF<sub>ACM</sub>.

### 6.4.4.4 Method of test

### 6.4.4.4.1 Initial conditions

The Terminal shall be connected to the USIM simulator, with all elementary files coded as default with the exception of:

### EF<sub>UST</sub> (USIM Service Table)

Logically: Local Phone Book available;

User controlled PLMN selector available;

Fixed dialling numbers available;

The GSM Access available;

The Group Identifier level 1 and level 2 not available;

AoC available.

Service n 33 (Packed Switched Domain) shall be set to '1'

 Coding:
 B1
 B2
 B3
 B4
 B5

 binary
 xxxx xx11
 xxx1 xxxx
 xxxx 1x00
 xxxx x1xx
 xxxx xxx1

The coding of EF<sub>UST</sub> shall conform with the capabilities of the USIM used.

### EF<sub>ACM</sub> (Accumulated call meter)

Logically: (Maximum value - 10) units

Coding: B1 B2 B3

binary 1111 1111 1111 1111 1111 0101

The SFI of EF<sub>ACM</sub> shall be set to '18'.

### EF<sub>ACMmax</sub> (Accumulated call meter maximum)

Logically: (Maximum value - 2) units

Coding: B1 B2 B3

binary 1111 1111 1111 1111 11101

The USS transmits on the BCCH, with the following network parameters:

Attach/detach: disabled.

- LAI (MCC/MNC/LAC): 246/081/0001.

- Access control: unrestricted.

User Equipment:

The UE is in MM-state "idle, updated".

### 6.4.4.4.2 Procedure

- a) The UE is made to initiate a call. The call establishment shall be performed according to the procedures defined in TS 24.008 [16], subclause 7.2.3.2.3 extended by the messages of the AoCC. The call is established with AoCC e-parameters sent in a Facility IE in the CONNECT message, as given below. The UE returns the AoCC acknowledgement within 1 s of the CONNECT message. It is an implementation option whether the AoCC acknowledge is sent by the UE before or after the CONNECT ACKNOWLEDGE.
- b) After an interval has elapsed, the Terminal increments the ACM. When an INCREASE command is received, the USIM-simulator sends back the error "98 50".
- c) Conditions are reset to those described in the initial conditions. Steps a) and b) of the test are repeated, except that the error code sent by the USIM simulator at step b) is now "6F xx".

d) Conditions are reset to those described in the initial conditions. Steps a) and b) of the test are repeated, except that the error code sent by the USIM simulator at step b) is now "65 81".

### References:

• TS 102 221 [5], subclause 10.2.1.

Maximum Duration of Test:

3 minutes.

# **Expected Sequence:**

| Step   | Direction | Message                 | Comments   |
|--------|-----------|-------------------------|--|
| 1      | UE        |                         | The UE is made to initiate a call  |
| 2      | UE -> USS | RRC CONNECTION REQUEST  |  |
| 3      | USS -> UE | RRC CONNECTION SETUP    |  |
| 4      | UE -> USS | RRC CONNECTION SETUP    |  |
|        |           | COMPLETE                |  |
| 5      | USS -> UE | AUTHENTICATION REQUEST  | MM procedure, to ensure the successful start of integrity in step 8                    |
| 6      | UE -> USS | AUTHENTICATION RESPONSE |  |
| 7      | USS -> UE | SECURITY MODE COMMAND   | RRC procedure, start of integrity is mandatory during call setup                       |
| 8<br>9 | UE -> USS | SECURITY MODE COMPLETE  | ·  |
| 10     | UE -> USS | SETUP                   |  |
| 11     | USS -> UE | CALL PROCEEDING         |  |
| 12     | USS -> UE | RADIO BEARER SETUP      | To a supported channel type  |
| 13     | UE -> USS | RADIO BEARER SETUP      |  |
|        |           | COMPLETE                |  |
| 14     | USS -> UE | ALERTING                |  |
| 15     | USS -> UE | CONNECT                 | As default message except contains Facility IE with                                    |
|        |           |                         | contents as indicated in i) below  |
|        |           |                         | Either A or B branch is taken  |
| A16    |           | CONNECT ACKNOWLEDGE     |  |
| A17    | UE -> USS | FACILITY                | As default message except contains Facility IE with contents as indicated in ii) below |
| B16    | UE -> USS | FACILITY                | As default message except contains Facility IE with contents as indicated in ii) below |
| B17    | UE -> USS | CONNECT ACKNOWLEDGE     | ,  |
| 18     |           |                         | call duration 10s after CAI information sent by USS                                    |
| 19     | UE -> USS | DISCONNECT              |  |
| 20     | USS -> UE | RELEASE                 |  |
| 21     | UE -> USS | RELEASE COMPLETE        |  |
| 22     | UE -> USS | RRC CONNECTION RELEASE  | All connections of RRC are released.   |
| 23     | UE -> USS | RRC CONNECTION RELEASE  |  |
|        |           | COMPLETE                |  |

# Specific Message Contents:

i) **FACILITY Information Element** with **Invoke = ForwardChargeInformation** component type as defined in TS 24.080 [17], subclause 3.6.1 table 3.3.

The values of the e-parameters within the parameter part of the Facility Information Element shall be set as below:

e-parameters:

parameter: e1 e2 e3 e4 e5 e6 e7 value 20 10 1 0 0 0

Values shown in table are in the format and have units as in TS 22.024 [8], clause 3.

**ii) FACILITY Information Element** with **Return Result** component type as defined in TS 24.080 [17], subclause 3.6.1 table 3.4.

# 6.4.4.5 Acceptance criteria

- 1) The UE shall terminate the call correctly 10 s after CAI was sent.
- 2) In each of the three cases, as described in steps b), c) and d) of the procedure, the UE shall terminate the call correctly when it receives an indication from the USIM that the ACM cannot be incremented.