

**Source:** T3  
**Title:** Change Requests to TS 21.111 "USIM and IC card requirements"  
**Agenda item:** 5.3.3  
**Document for:** Approval

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This document contains one change request to TS 21.111 v3.0.1 agreed by T3.

<b>T3 Doc</b>	<b>Spec</b>	<b>CR</b>	<b>Rv</b>	<b>Cat</b>	<b>Rel</b>	<b>Subject</b>
T3-000095	21.111	002		F	R99	Alignment with 33.102 regarding the data integrity of signalling elements and user identity confidentiality

## CHANGE REQUEST

Please see embedded help file at the bottom of this page for instructions on how to fill in this form correctly.

**21.111 CR 002**

Current Version: **3.0.0**

GSM (AA.BB) or 3G (AA.BBB) specification number ↑

↑ CR number as allocated by MCC support team

For submission to: **TSG-T #7**  
list expected approval meeting # here ↑

for approval   
for information

strategic   
non-strategic  (for SMG use only)

Form: CR cover sheet, version 2 for 3GPP and SMG The latest version of this form is available from: <ftp://ftp.3gpp.org/Information/CR-Form-v2.doc>

**Proposed change affects:**

(at least one should be marked with an X)

(U)SIM  ME  UTRAN / Radio  Core Network

**Source:**

T3

**Date:** 22.02.00

**Subject:**

Alignment with 33.102, alignment with terminology

**Work item:**

**Category:**

(only one category shall be marked with an X)

F Correction   
A Corresponds to a correction in an earlier release   
B Addition of feature   
C Functional modification of feature   
D Editorial modification

**Release:** Phase 2   
Release 96   
Release 97   
Release 98   
Release 99   
Release 00

**Reason for change:**

1. The location of the UIA (3GPP integrity algorithm) and was changed in 33.102 (security architecture) and alignment is required. 2. The user identity is called IMSI (not IMUI).

**Clauses affected:**

5.5, 5.6

**Other specs affected:**

Other 3G core specifications  → List of CRs:  
Other GSM core specifications  → List of CRs:  
MS test specifications  → List of CRs:  
BSS test specifications  → List of CRs:  
O&M specifications  → List of CRs:

**Other comments:**



help.doc

<----- double-click here for help and instructions on how to create a CR.

- [7] GSM 11.11: "Digital cellular telecommunications system (Phase 2+); Specification of the Subscriber Identity Module - Mobile Equipment (SIM - ME) interface".
- [8] GSM 11.12 (ETS 300 641): "Digital cellular telecommunications system (Phase 2); Specification of the 3 Volt Subscriber Identity Module - Mobile Equipment (SIM - ME) interface".
- [9] GSM 11.14: "Digital cellular telecommunications system (Phase 2+); Specification of the SIM Application Toolkit for the Subscriber Identity Module - Mobile Equipment (SIM - ME) interface".
- [10] GSM 11.18: "Digital cellular telecommunications system (Phase 2+); Specification of the 1.8 Volt Subscriber Identity Module - Mobile Equipment (SIM - ME) interface".
- [11] 3G TS 33.102: "3G security: Security Architecture".

## 2.2 Informative references

- [20] GSM 02.48: "Digital cellular telecommunications system (Phase 2+); Security Mechanisms for the SIM application toolkit; Stage 1".
- [21] GSM 03.48: "Digital cellular telecommunications system (Phase 2+); Security Mechanisms for the SIM application toolkit; Stage 2".

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## 3 Definitions, symbols and abbreviations

### 3.1 Definitions

For the purposes of the present document, the following definitions apply:

**UICC:** A removable IC card containing a USIM.

**USIM:** A 3GPP application on an IC card.

### 3.2 Symbols

V<sub>pp</sub> Programming voltage

### 3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

ADN	Abbreviated Dialling Number
ATR	Answer To Reset
DF	Dedicated File
EF	Elementary File
FFS	For Further Study
ICC	Integrated Circuit Card
IK	Integrity Key
<del>IMUI</del>	<del>International Mobile User Identity</del>
<u>IMSI</u>	<u>International Mobile Subscriber Identity</u>
ME	Mobile Equipment
MF	Master File
PIN	Personal Identification Number
PPS	Protocol and Parameter Selection
SIM	Subscriber Identity Module
UIA	3GPP Integrity Algorithm
USIM	Universal Subscriber Identity Module

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## 5 Security Requirements

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### 5.3 User data stored in ME

Subject to the exception below, all user related information transferred into the ME during network operations shall be deleted from the ME after removal of the UICC, deselection of the USIM, deactivation of the ME, or following an electrical reset of the UICC. [This includes any data that was transferred to the ME by SIM Application Toolkit commands. FFS]

User related security codes such as PIN and Unblock PIN may only be stored by the ME during the procedures involving such a code and shall be discarded by the ME immediately after completion of the procedure.

Optionally, an ME may retain some less security-critical data at UICC removal, USIM deselection or ME switch-off. Such data are SMS, ADN/SSC, FDN/SSC, LND. These data, when stored in the ME, shall only be readable/retrievable if the same USIM is reactivated (as determined by the ~~IMUI~~IMSI). If the ~~IMUI~~IMSI is retained in the ME for this purpose, it shall be stored securely and shall not be able to be read out.

### 5.4 Authentication

A means shall be specified to mutually authenticate the USIM and the network by showing knowledge of a secret key K which is shared between and available only to the USIM and in the user's Home Environment. The method is composed of a challenge/response protocol identical to the GSM user authentication and key establishment protocol combined with a sequence number-based one-pass protocol for network authentication.

### 5.5 Data integrity of signalling elements

Some signalling information elements are considered sensitive and must be integrity protected. An integrity function shall be applied on certain signalling information elements transmitted between the ME and the network.

~~The 3GPP Integrity Algorithm (UIA) shall be implemented in the USIM.~~

The [3GPP Integrity Algorithm \(UIA\)](#) ~~shall be~~ is used with an Integrity Key (IK) to compute a message authentication code for a given message. The setting of IK is triggered by the authentication procedure. [IK shall be stored on the USIM.](#)

### 5.6 User identity confidentiality

A mechanism shall be specified to provide user identity confidentiality by means of a temporary identity. If a temporary identity is not available in the serving network, a means of encrypting the permanent user identity (~~IMSI~~IMUI) with a group key may be used. ~~The requirement for this mechanism is still under study by TSG SA WG3.~~