CR-Form-v7.1

CHANGE REQUEST

⌘   **33.234** CR **061**   ⌘**rev** **1** ⌘   Current version: **6.3.0** ⌘

For **HELP** *on using this form, see bottom of this page or look at the pop-up text over the* ⌘ *symbols.*

Proposed change affects: | UICC apps⌘ [ ]   ME [ ]   Radio Access Network [ ]   Core Network **[X]**

Title: ⌘	Detecting the start of a WLAN Direct IP Access session based on Wa/Wd Accounting Messages
Source: ⌘	NOKIA
Work item code:⌘ WLAN	***Date:*** ⌘ 5/02/2005

Category: ⌘ **F**

Use <u>one</u> of the following categories:
F (correction)
A (corresponds to a correction in an earlier release)
B (addition of feature),
C (functional modification of feature)
D (editorial modification)
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Release: ⌘ Rel-6

Use <u>one</u> of the following releases:
Ph2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)
Rel-7 (Release 7)

Reason for change: ⌘	In WLAN direct IP access if there is an ongoing WLAN Access session for the subscriber there is no way to distinguish whether a new authentication attempt is valid when it has same MAC addresses as the ongoing WLAN Access session, but with different WLAN radio networks information, because it may be a request of setting up a simultaneous session or a pre-authentication.
Summary of change:⌘	The Diameter/RADIUS accounting start message can be used to detect that a WLAN Direct IP Access session is created. In the case described above if there is an accounting start message sent from WLAN AN after the new authentication procedure completes, this simultaneous session is a fraud one and should be stopped.
Consequences if not approved: ⌘	There is still no method to distinguish simultaneous session from pre-authentication in WLAN direct IP access if the new authentication attempt has same MAC addresses as the ongoing WLAN Access session, but with different WLAN radio networks information, so that there may exist a fraud simultaneous session if the new authentication attempt isn't a pre-authentication.

Clauses affected: ⌘	5.7, 6.1.1, 6.1.2, a new added section 6.1.6

	Y	N		
Other specs ⌘	X		Other core specifications ⌘	23.234, 24.234
affected:		X	Test specifications	
		X	O&M Specifications	

Other comments: ⌘	

*** BEGIN OF CHANGE ***

5.7   Simultaneous access control

The home network operator needs to be aware of how the user is accessing the WLAN network. If the user is making the SIM or UICC card available for several devices that have WLAN access capabilities, the home network operator may decide, at any time, to allow or bar t he access of two or more network devices simultaneously.

WLAN direct IP access

The control of simultaneous sessions in WLAN direct IP access can be performed, under some circumstances, using the MAC address of the user's device.

After a number of successful authentications, if a subsequent authentication attempt is being performed by another device, the MAC address will be different and the AAA server will be able to detect it. However, this mechanism has some limitations. One of them is that if the two devices are accessing two different WLAN access points (assuming that a WLAN access point has a independent control of MAC address space), the MAC address of one of them can be spoofed and made equal to the other one. This is a fraud situation the home network should avoid. However, it may happen that the user is accessing other WLAN access point and a pre-authentication is performed in this new access point. In this case there is no fraud attempt. Then, in this situation (same MAC addresses, different WLAN radio networks) the AAA server should check if there is a AAA accounting start message sent from WLAN AN after the authentication procedure completes. If there is such accounting start message and the number of simultaneous sessions for the subscriber has already been reached, it is considered to be a fraud attempt and the AAA server should send a message to WLAN AN to stop this simultaneous session. will not be able to distinguish between a legal and a fraud situation and shall not reject the authentication process.

*** NEXT CHANGE ***

6.1.1.1   EAP/AKA Procedure

The EAP-AKA authentication mechanism is specified in ref. [4]. The present section describes how this mechanism is used in the WLAN-3GPP interworking scenario.
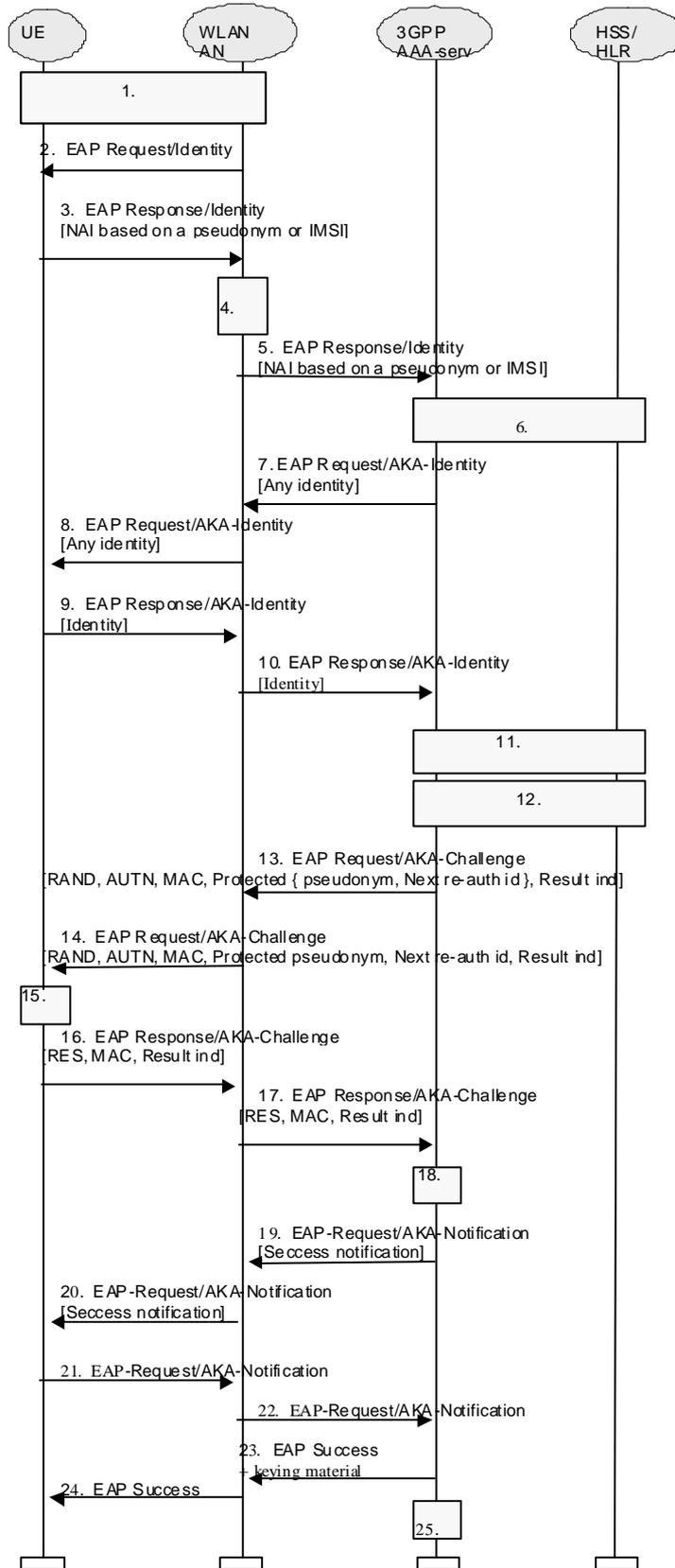
Figure 4: Authentication based on EAP AKA scheme

1. A connection is established between the WLAN-UE and the WLAN-AN, using a Wireless LAN technology specific procedure (out of scope for this specification).

2. The WLAN-AN sends an EAP Request/Identity to the WLAN-UE.

    EAP packets are transported over the Wireless LAN interface encapsulated within a Wireless LAN technology specific protocol.

3. The WLAN-UE sends an EAP Response/Identity message. The WLAN-UE sends its identity complying with Network Access Identifier (NAI) format specified in RFC 2486. NAI contains either a pseudonym allocated to the WLAN-UE in previous authentication or, in the case of first authentication, the IMSI.

NOTE 1: Generating an identity conforming to NAI format from IMSI is defined in EAP/AKA [4].

4.   The message is routed towards the proper 3GPP AAA Server based on the realm part of the NAI. The routing path may include one or several AAA proxies (not shown in the figure).

NOTE 2: Diameter referral can also be applied to find the AAA server.

5. The 3GPP AAA server receives the EAP Response/Identity packet that contains the subscriber identity. The identifier of the WLAN radio network, VPLMN Identity and the MAC address of the WLAN-UE shall also be received by the 3GPP AAA server in the same message.

6. 3GPP AAA Server identifies the subscriber as a candidate for authentication with EAP-AKA, based on the received identity. The 3GPP AAA Server then checks that it has an unused authentication vector available for that subscriber . If not, a set of new authentication vectors is retrieved from HSS/HLR. A mapping from the temporary identifier to the IMSI may be required.

    The HSS/HLR shall check if there is a 3GPP AAA server already registered to serve for this subscriber In case the HSS/HLR detects that another 3GPP AAA server has already registered for this subscriber, it shall provide the current 3GPP AAA server with the previously registered AAA server address. The authentication signalling is then routed to the previously registered 3GPP AAA server with Diameter-specific mechanisms, e.g., the current 3GPP AAA server transfers the previously registered AAA server address to the AAA proxy or the WLAN AN, or the current 3GPP AAA server acts as a AAA proxy and forwards the authentication message to the previously registered 3GPP AAA server.

NOTE 3: It could also be the case that the 3GPP AAA Server first obtains an unused authentication vector for the subscriber and, based on the type of authenticator vector received (i.e. if a UMTS authentication vector is received), it regards the subscriber as a candidate for authentication with EAP-AKA.

7. The 3GPP AAA server requests again the user identity, using the EAP Request/AKA Identity message. This identity request is performed as the intermediate nodes may have changed or replaced the user identity received in the EAP Response Identity message, as specified in ref. [4]. However, this new request of the user identity can be omitted by the home operator if there exist the certainty that the user identity could not be changed or modifies by any means in the EAP Response Identity message.

8. The WLAN AN forwards the EAP Request/AKA Identity message to the WLAN UE.

9. The WLAN UE responds with the same identity it used in the EAP Response Identity message.

10. The WLAN AN forwards the EAP Response/AKA Identity to the 3GPP AAA server. The identity received in this message will be used by the 3GPP AAA server in the rest of the authentication process. If an inconsistency is found between the identities received in the two messages (EAP Response Identity and EAP Response/AKA Identity) so that the user profile and authentication vectors previously retrieved from HSS/HLR are not valid, these data shall be requested again to HSS/HLR (step 6 shall be repeated before continuing with step 11).

NOTE 4: In order to optimise performance, the identity re-request process (the latter four steps) should be performed when the 3GPP AAA server has enough information to identify the user as an EAP-AKA user, and before user profile and authentication vectors retrieval, although protocol design in Wx interface may not allow to perform these four steps until the whole user profile has been downloaded to the 3GPP AAA server.

11. 3GPP AAA server checks that it has the WLAN access profile of the subscriber available. If not, the profile is retrieved from HSS. 3GPP AAA Server verifies that the subscriber is authorized to use the WLAN service.

Although this step is presented after step 6 in this example, it could be performed at some other point, however before step 14. (This will be specified as part of the Wx interface.)

12. New keying material is derived from IK and CK., cf. [4]. This keying material is required by EAP-AKA, and some extra keying material may also be generated for WLAN technology specific confidentiality and/or integrity protection.

    A new pseudonym and/or re-authentication ID may be chosen and protected (i.e. encrypted and integrity protected) using EAP-AKA generated keying material.

13. 3GPP AAA Server sends RAND, AUTN, a message authentication code (MAC) and two user identities (if they are generated): protected pseudonym and/or protected re-authentication id to WLAN-AN in EAP Request/AKA-Challenge message. The sending of the re-authentication id depends on 3GPP operator's policies on whether to allow fast re-authentication processes or not. It implies that, at any time, the AAA server decides (based on policies set by the operator) to include the re-authentication id or not, thus allowing or disallowing the triggering of the fast re-authentication process.

    The 3GPP AAA Server may send as well a result indication to the WLAN UE, in order to indicate that it wishes to protect the success result message at the end of the process (if the outcome is successful). The protection of result messages depends on home operator's policies.

14. The WLAN-AN sends the EAP Request/AKA-Challenge message to the WLAN-UE.

15. The WLAN-UE runs UMTS algorithm on the USIM. The USIM verifies that AUTN is correct and hereby authenticates the network. If AUTN is incorrect, the terminal rejects the authentication (not shown in this example). If the sequence number is out of synch, terminal initiates a synchronization procedure, c.f. [4]. If AUTN is correct, the USIM computes RES, IK and CK.

    The WLAN UE derives required additional new keying material from the new computed IK and CK from the USIM, checks the received MAC with the new derived keying material.

    If a protected pseudonym and/or re-authentication identity were received, then the WLAN-UE stores the temporary identity(s) for future authentications.

16. The WLAN UE calculates a new MAC value covering the EAP message with the new keying material. WLAN-UE sends EAP Response/AKA-Challenge containing calculated RES and the new calculated MAC value to WLAN-AN.

    The WLAN UE shall include in this message the result indication if it received the same indication from the 3GPP AAA server. Otherwise, the WLAN-UE shall omit this indication.

17. WLAN-AN sends the EAP Response/AKA-Challenge packet to 3GPP AAA Server

18. The 3GPP AAA Server checks the received MAC and compares XRES to the received RES.

19. If all checks in step 18 are successful, the 3GPP AAA Server shall send the message EAP Request/AKA-Notification, previous to the EAP Success message, if the 3GPP AAA Server requested previously to use protected successful result indications. This message is MAC protected.

20. The WLAN AN forwards the message to the WLAN-UE.

21. The WLAN-UE sends the EAP Response/AKA-Notification.

22. The WLAN AN forwards the EAP Response/AKA-Notification message to the 3GPP AAA server. The 3GPP AAA Server shall ignore the contents of this message

23. The 3GPP AAA Server sends the EAP Success message to WLAN-AN (perhaps preceded by an EAP Notification, as explained in step 20). If some extra keying material was generated for WLAN technology specific confidentiality and/or integrity protection then the 3GPP AAA Server includes this keying material in the underlying AAA protocol message (i.e. not at the EAP level). The WLAN-AN stores the keying material to be used in communication with the authenticated WLAN-UE.

24. The WLAN-AN informs the WLAN-UE about the successful authentication with the EAP Success message. Now the EAP AKA exchange has been successfully completed, and the WLAN-UE and the WLAN-AN share keying material derived during that exchange.

25. ~~If there is no other ongoing WLAN Access session for the subscriber detected by the 3GPP AAA server, and the WLAN registration for this subscriber is not performed previously, then the 3GPP AAA server shall initiate the WLAN registration to the HSS/HLR. Otherwise, the AAA server shall compare the MAC address, VPLMN Identity and the WLAN access network information of the authentication exchange with the same information of the ongoing sessions. If the information is the same as with an ongoing session, then the authentication exchange is related to the ongoing session, so there is no need to do anything for old sessions. If it is the same subscriber but with a different MAC address, or with a different VPLMN identity or with different radio network information that is received than in any ongoing session, the 3GPP AAA server then considers that the authentication exchange is related to a new WLAN Access session. It shall terminate an old WLAN Access session after the successful authentication of the new WLAN Access session, based on the policy whether simultaneous sessions are not allowed, or whether the number of allowed sessions has been exceeded. The exception in this process is when the MAC addresses (the old one and the new one) are equal and the WLAN radio network information received is different from the old one. In that case the authentication process continues normally.~~The procedure of WLAN registration for this subscriber is described in clause 6.1.6.

The authentication process may fail at any moment, for example because of unsuccessful checking of MACs or no response from the WLAN-UE after a network request. In that case, the EAP AKA process will be terminated as specified in ref. [4] and an indication shall be sent to HSS/HLR.

*** NEXT CHANGE ***

6.1.2.1   EAP SIM procedure

The EAP-SIM authentication mechanism is specified in ref. [5]. The present section describes how this mechanism is used in the WLAN-3GPP interworking scenario.
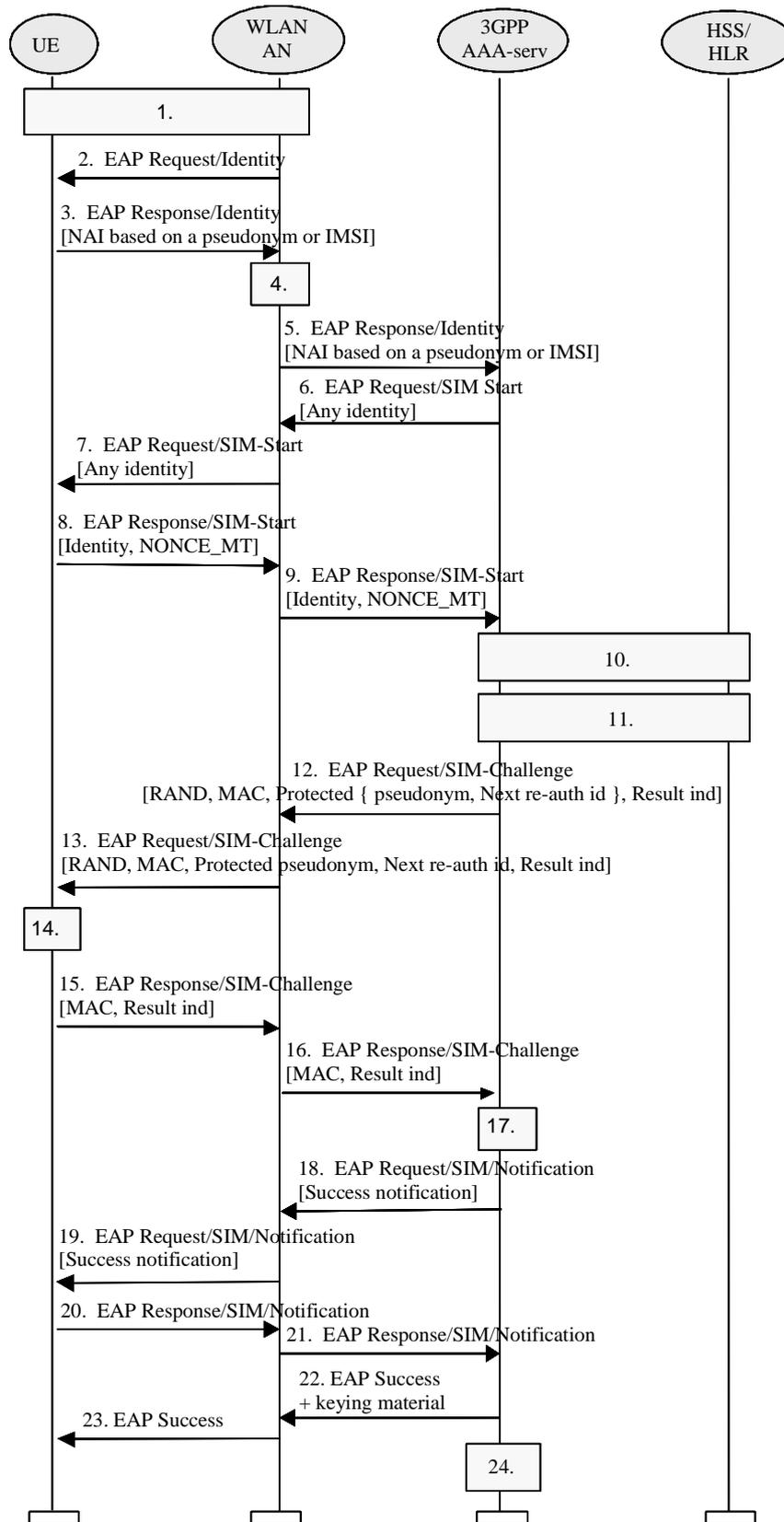
Figure 5: Authentication based on EAP SIM scheme

1. A connection is established between the WLAN-UE and the WLAN-AN, using a Wireless LAN technology specific procedure (out of scope for this specification).

2. The WLA-AN sends an EAP Request/Identity to the WLAN-UE.

    EAP packets are transported over the Wireless LAN interface encapsulated within a Wireless LAN technology specific protocol.

3. The WLAN-UE sends an EAP Response/Identity message. The WLAN-UE sends its identity complying with the Network Access Identifier (NAI) format specified in RFC 2486. NAI contains either a pseudonym allocated to WLAN-UE in previous authentication or, in the case of first authentication, the IMSI.

NOTE 1: Generating an identity conforming to NAI format from IMSI is defined in EAP/SIM.

4. The message is routed towards the proper 3GPP AAA Server based on the realm part of the NAI. The routing path may include one or several AAA proxies (not shown in the figure).

NOTE 2: Diameter referral can also be applied to find the AAA server.

5. The 3GPP AAA server receives the EAP Response/Identity packet that contains the subscriber identity. The identifier of the WLAN radio network, VPLMN Identity and the MAC address of the WLAN-UE shall also be received by the 3GPP AAA server in the same message.

6. The 3GPP AAA Server, identifies the subscriber as a candidate for authentication with EAP-SIM, based on the received identity, and then it sends the EAP Request/SIM-Start packet to WLAN-AN. The 3GPP AAA server requests again the user identity. This identity request is performed as the intermediate nodes may have changed or replaced the user identity received in the EAP Response Identity message, as specified in ref. [5]. However, this new request of the user identity can be omitted by the home operator if there exist the certainty that the user identity could not be changed or modified by any means in the EAP Response Identity message.

NOTE 3: It could also be the case that the 3GPP AAA Server first obtains an authentication vector for the subscriber and, based on the type of authenticator vector received (i.e. if a GSM authentication vector is received), it regards the subscriber as a candidate for authentication with EAP-SIM.

7. WLAN-AN sends the EAP Request/SIM-Start packet to WLAN-UE

8. The WLAN-UE chooses a fresh random number NONCE_MT. The random number is used in network authentication. The WLAN UE includes the same user identity it used in the EAP Response Identity message.

    The WLAN-UE sends the EAP Response/SIM-Start packet, containing NONCE_MT and the user identity, to WLAN-AN.

9. WLAN-AN sends the EAP Response/SIM-Start packet to 3GPP AAA Server. The identity received in this message will be used by the 3GPP AAA server in the rest of the authentication process. If an inconsistency is found between the identities received in the two messages (EAP Response Identity and EAP Response/SIM Start) so that any user data retrieved previously from HSS/HLR are not valid, these data shall be requested again to HSS/HLR.

10. The AAA server checks that it has available N unused authentication vectors for the subscriber. Several GSM authentication vectors are required in order to generate keying material with effective length equivalent to EAP-AKA. If N authentication vectors are not available, a set of authentication vectors is retrieved from HSS/HLR. A mapping from the temporary identifier to the IMSI may be required.

    Although this step is presented after step 9 in this examples, it could be performed at some other point, for example after step 5, however before step 12. (This will be specified as part of the Wx interface).

    The HSS/HLR shall check if there is a 3GPP AAA server already registered to serve for this subscriber. In case the HSS/HLR detects that another 3GPP AAA server has already registered for this subscriber, it shall provide the current 3GPP AAA server with the previously registered AAA server address. The authentication signalling is then routed to the previously registered 3GPP AAA server with Diameter-specific mechanisms, e.g., the current 3GPP AAA server transfers the previously registered AAA server address to the AAA proxy or the WLAN AN, or the current 3GPP AAA server acts as a AAA proxy and forwards the authentication message to the previously registered 3GPP AAA server.

11. The AAA server checks that it has the WLAN access profile of the subscriber available. If not, the profile is retrieved from HSS/HLR. 3GPP AAA Server verifies that the subscriber is authorized to use the WLAN service.

    Although this step is presented after step 10 in this example, it could performed at some other point, however before step 18. (This will be the specified as part of the Wx interface).

12. New keying material is derived from NONCE_MT and N Kc keys. This keying material is required by EAP-SIM, and some extra keying material may also be generated for WLAN technology specific confidentiality and/or integrity protection.

    A new pseudonym and/or a re-authentication identity may be chosen and protected (i.e. encrypted and integrity protected) using EAP-SIM generated keying material.

    A message authentication code (MAC) is calculated over the EAP message using an EAP-SIM derived key. This MAC is used as a network authentication value.

    3GPP AAA Server sends RAND, MAC, protected pseudonym and protected re-authentication identity (the two latter in case they were generated) to WLAN-AN in EAP Request/SIM-Challenge message. The sending of the re-authentication id depends on 3GPP operator's policies on whether to allow fast re-authentication processes or not. It implies that, at any time, the AAA server decides (based on policies set by the operator) to include the re-authentication id or not, thus allowing or disallowing the triggering of the fast re-authentication process.

    The 3GPP AAA Server may send as well a result indication to the WLAN-UE, in order to indicate that it wishes to protect the success result message at the end of the process (if the outcome is successful). The protection of result messages depends on home operator's policies.

13. The WLAN sends the EAP Request/SIM-Challenge message to the WLAN-UE.

14. WLAN-UE runs N times the GSM A3/A8 algorithms in the SIM, once for each received RAND.

    This computing gives N SRES and Kc values.

    The WLAN-UE derives additional keying material from N Kc keys and NONCE_MT.

    The WLAN-UE calculates its copy of the network authentication MAC with the newly derived keying material and checks that it is equal with the received MAC. If the MAC is incorrect, the network authentication has failed and the WLAN-UE cancels the authentication (not shown in this example). The WLAN-UE continues the authentication exchange only if the MAC is correct.

    The WLAN-UE calculates a new MAC with the new keying material covering the EAP message concatenated to the N SRES responses.

    If a protected pseudonym and/or re-authentication identity were received, then the WLAN-UE stores the temporary identity(s) for future authentications.

15. WLAN-UE sends EAP Response/SIM-Challenge containing calculated MAC to WLAN-AN.

    The WLAN-UE shall include in this message the result indication if it received the same indication from the 3GPP AAA server. Otherwise, the WLAN-UE shall omit this indication.

16. WLAN-AN sends the EAP Response/SIM-Challenge packet to 3GPP AAA Server.

17. 3GPP AAA Server compares its copy of the response MAC with the received MAC.

18. Once the comparison in step 17 is successful, the 3GPP AAA Server shall send the message EAP Request/SIM/Notification, previous to the EAP Success message, if the 3GPP AAA Server requested previously to use protected success result indications. The message EAP Request/SIM/Notification is MAC protected.

19. The WLAN AN forwards the message to the WLAN-UE.

20. The WLAN-UE sends the EAP Response/SIM/Notification.

21. The WLAN AN forwards the EAP Response/SIM/Notification message to the 3GPP AAA server. The 3GPP AAA Server shall ignore the contents of this message.

22. The 3GPP AAA Server sends the EAP Success message to WLAN-AN (perhaps preceded by an EAP Notification, as explained in step 20). If some extra keying material was generated for WLAN technology specific confidentiality and/or integrity protection, then the 3GPP AAA Server includes this derived keying material in the underlying AAA protocol message. (i.e. not at EAP level). The WLAN-AN stores the keying material to be used in communication with the authenticated WLAN-UE.

23. WLAN-AN informs the WLAN-UE about the successful authentication with the EAP Success message. Now the EAP SIM exchange has been successfully completed, and the WLAN-UE and the WLAN_AN may share keying material derived during that exchange.

24. ~~If there is no other ongoing WLAN Access session for the subscriber detected by the 3GPP AAA server, and the WLAN registration for this subscriber is not performed previously, then the 3GPP AAA server shall initiate the WLAN registration to the HSS/HLR.~~

~~Otherwise, the AAA server shall compare the MAC address, VPLMN Identity and the WLAN access network information of the authentication exchange with the same information of the ongoing sessions. If the information is the same as with an ongoing session, then the authentication exchange is related to the ongoing session, so there is no need to do anything for old sessions. If it is the same subscriber but with a different MAC address, or with a different VPLMN identity, or with different radio network information that is received than in any ongoing session, the 3GPP AAA server then considers that the authentication exchange is related to a new WLAN Access session. It shall terminate an old WLAN Access session after the successful authentication of the new WLAN Access session, based on whether simultaneous sessions are not allowed, or whether the number of allowed sessions has been exceeded. The exception in this process is when the MAC addresses (the old one and the new one) are equal and the WLAN radio network information received is different from the old one. In that case the authentication process continues normally.~~ The procedure of WLAN registration for this subscriber is described in clause 6.1.6.
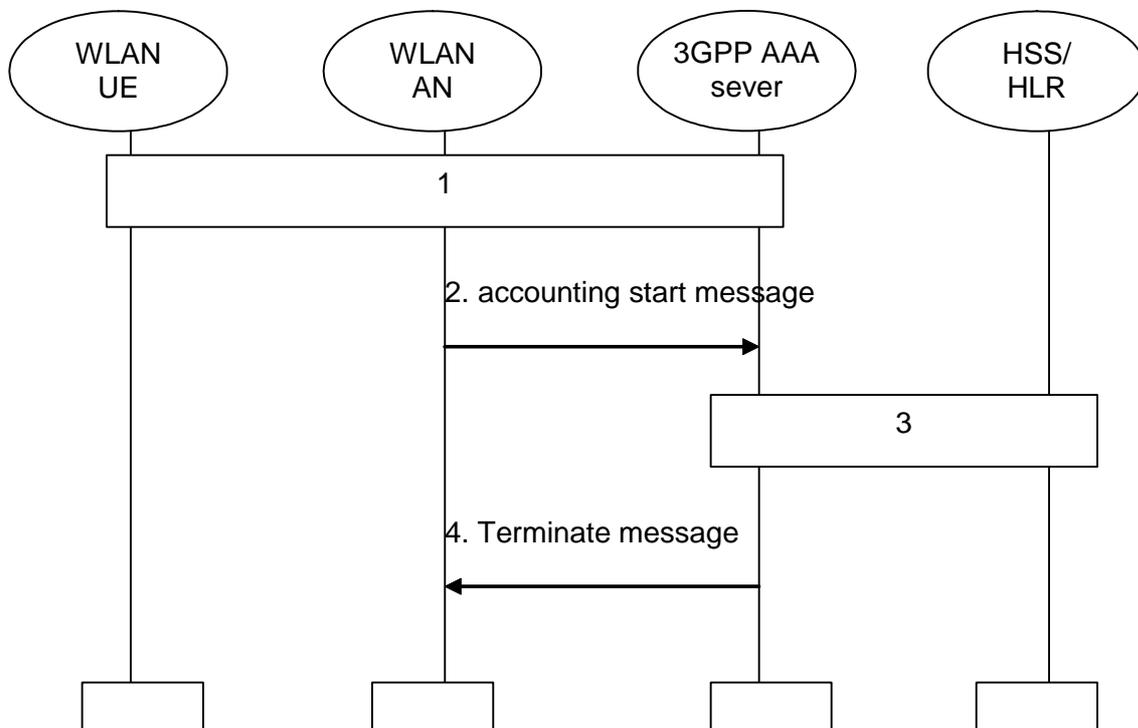
NOTE 4: The derivation of the value of N is for further study.

The authentication process may fail at any moment, for example because of unsuccessful checking of MACs or no response from the WLAN-UE after a network request. In that case, the EAP SIM process will be terminated as specified in ref. [5] and an indication shall be sent to HSS/HLR.

*** NEXT CHANGE ***

6.1.6 WLAN Direct IP Session Start

This section describes how to use AAA accounting start message to detect a fraud simultaneous session in WLAN Direct IP Access.

```
  WLAN          WLAN          3GPP AAA         HSS/
   UE            AN             sever          HLR

    |_____|_____|_____|
    |             |       1       |             |
    |             |               |             |
    |             |               |             |
    |             | 2. accounting start message |
    |             |-------------->|             |
    |             |               |_____   |
    |             |               |     3    |  |
    |             |               |_____|  |
    |             | 4. Terminate message        |
    |             |<--------------|             |
    |             |               |             |
    |_____|       |_____|         |_____|       |_____|
```

EAP/AKA or EAP/SIM procedure completes.

1.   3GPP AAA server receives an accounting start message from WLAN AN.

2.   3GPP AAA server verifies that a corresponding authentication procedure has been completed. If there is no other ongoing WLAN Access session for the subscriber detected by the 3GPP AAA server, and the WLAN registration for this subscriber is not performed previously, then the 3GPP AAA server shall initiate the WLAN registration to the HSS/HLR. Otherwise, the AAA server shall compare the MAC address, VPLMN Identity and the WLAN access network information of the authentication exchange with the same information of the ongoing sessions. If the information is the same as with an ongoing session, then the authentication exchange is related to the ongoing session, so there is no need to do anything for old sessions. If it is the same subscriber but with a different MAC address, or with a different VPLMN identity or with different radio network information that is received than in any ongoing session, the 3GPP AAA server then considers that the authentication exchange is related to a new WLAN Access session. It shall terminate an old WLAN Access session after the successful authentication of the new WLAN Access session, based on the policy whether simultaneous sessions are not allowed, or whether the number of allowed sessions has been exceeded. If the MAC addresses (the old one and the new one) are equal and the WLAN radio network information received is different from the old one, the new session is considered to be a fraudulent one.

3.   If in step 3 the new session is considered to be a fraudulent one, 3GPP AAA server terminates the new session.

*** END OF CHANGE ***