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**Source:** Axalto  
**Title:** GBA\_U ME-UICC interface and Ks\_ext storage  
**Document for:** Discussion and decision  
**Agenda Item:** GBA

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## 1 Introduction

After some discussions on this issue an agreement was not reached. The following alternatives were evoked/discussed during the GBA\_U evening session.

- 1) Storage of Ks\_ext and derivation of Ks\_ext\_NAF in the UICC.
- 2) Storage of Ks\_ext and derivation of Ks\_ext\_NAF in the ME.
- 3) Storage of Ks\_ext and derivation of Ks\_ext\_NAF in both the ME & UICC.

Proposed CRs are attached in this contribution for each of the three alternatives.

Comparing the three alternatives, alternative 1 provides enhanced security, portability and extended key life time. Additionally, it will require the support of GBA\_U specific functions in the ME.

Alternative 2 will limit the impact in ME to Ks\_ext derivation and B-Tid/Key Life Time storage but will not provide any of the above advantages.

Alternative 3 will provide portability an extended key life time enhancements but none of the security improvements. However, it will slightly reduce the implementation needs in the ME.

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## 2 Proposal

As it could be seen in the attached documents the differences on implementing option 1,2,3 are minimal compared with the effort of supporting GBA\_ME in the terminal. It is considered that there are not technical issues avoiding that the recognized security/usage enhancements are not supported in all GBA capable MEs if an operator decides to implement this GBAU enhancements in the UICC and the network.

Considering this, it is proposed that SA3 adopts alternative one approving the corresponding attached CR and the CR in S3-040533

It is also proposed to inform T3 about the details of this interface.

## CHANGE REQUEST

⌘ **33.220 CR** ⌘ rev - ⌘ Current version: **6.1.0** ⌘

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Y	N										
X											
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BEGIN OF CHANGE

## Annex D (normative): GBA\_U UICC-ME interface

This section describes the UICC-ME interface to be used when a GBA\_U aware UICC application is active and the ME is involved in a GBA bootstrapping procedure. When the UICC application is not GBA\_U aware, the ME uses AUTHENTICATE command in non-GBA\_U security context (i.e. UMTS security context in case of USIM application and IMS security context in case of the ISIM) as defined in 31.102 [ ] and 31.103 [ ].

### D.1. GBA\_U Bootstrapping procedure

This procedure is part of the Bootstrapping procedure as described in section 5.3.2

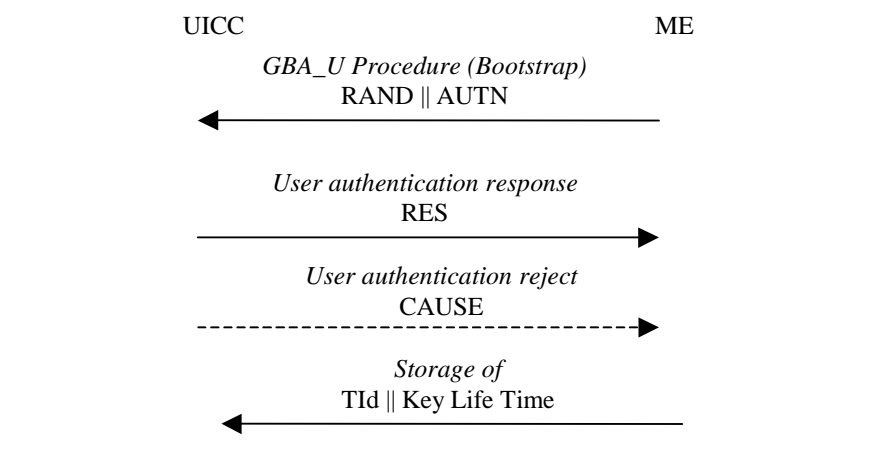
The ME sends RAND and AUTN to the UICC and performs the Ks\_ext and Ks\_int derivation as described in 5.3.2.

The UICC then stores Ks\_ext and Ks\_int. The UICC also stores the used RAND to identify the current bootstrapped values. RAND value in the UICC shall be further accessible by the ME.

The ME then, finalizes the Bootstrapping procedure and stores in the UICC the Transaction Identifier (B-Tid) and Key Life Time associated with the previous bootstrapped keys (i.e. Ks\_int and Ks\_ext). Transaction Identifier and Key Life Time values in the UICC shall be further accessible by the ME.

At the end of the GBA\_U bootstrapping procedure the UICC stores Ks\_ext, Ks\_int, Transaction Identifier, Key Life Time and the RAND.

A new bootstrapping procedure replaces Ks\_ext, Ks\_int, Tid, Key LifeTime and RAND values of the previous bootstrapping procedure.



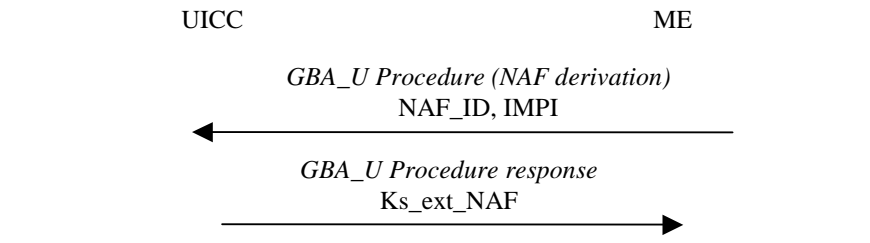
**Figure x: GBA\_U Bootstrap Procedure**

### D.2. GBA\_U NAF Derivation procedure

This procedure is part of the Procedures using bootstrapped Security Association as described in section 5.3.3

The ME sends NAF\_ID and IMPI to the UICC. The UICC then performs Ks\_ext\_NAF and Ks\_int\_NAF derivation as described in 5.3.2. The UICC uses the RAND, Ks\_ext and Ks\_int values stored from the previous bootstrapping procedure. The UICC returns Ks\_ext\_NAF to the ME and stores Ks\_int\_NAF together with NAF\_Id.

Note: A previous GBA\_U Bootstrap needs to be undertaken before. If a Ks\_int, Ks\_ext pair is not available in the UICC, the command will answer with the appropriate error message.



**Figure x: GBA\_U NAF derivation procedure**

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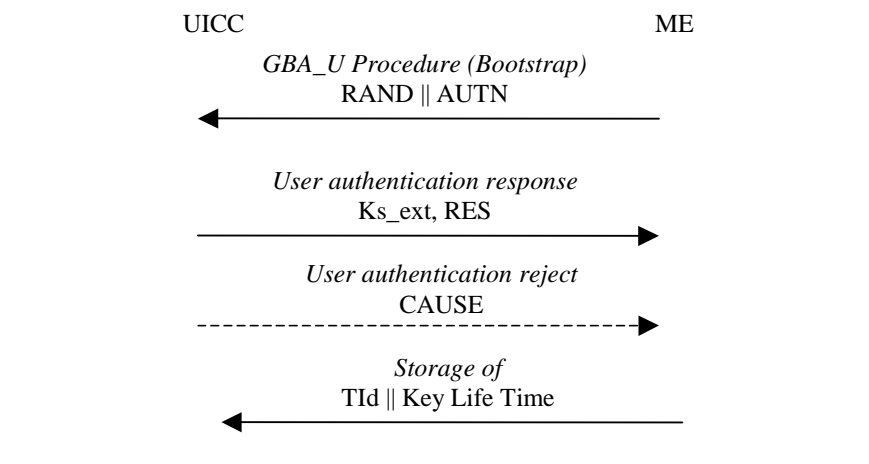
The UICC then stores Ks\_int. The UICC also stores the used RAND to identify the current bootstrapped values. RAND value in the UICC shall be further accessible by the ME.

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At the end of the GBA\_U bootstrapping procedure the UICC stores Ks\_int, Transaction Identifier, Key Life Time and the RAND.

The UICC sends Ks\_ext (in the format of CK' || IK') and RES to the ME.

A new bootstrapping procedure replaces Ks\_int, Tid, Key LifeTime and RAND values of the previous bootstrapping procedure.



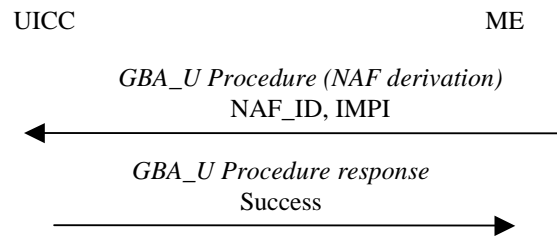
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This procedure is part of the Procedures using bootstrapped Security Association as described in section 5.3.3

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Note: A previous GBA\_U Bootstrap needs to be undertaken before. If Ks\_int is not available in the UICC, the command will answer with the appropriate error message.



**Figure x: GBA\_U NAF derivation procedure**

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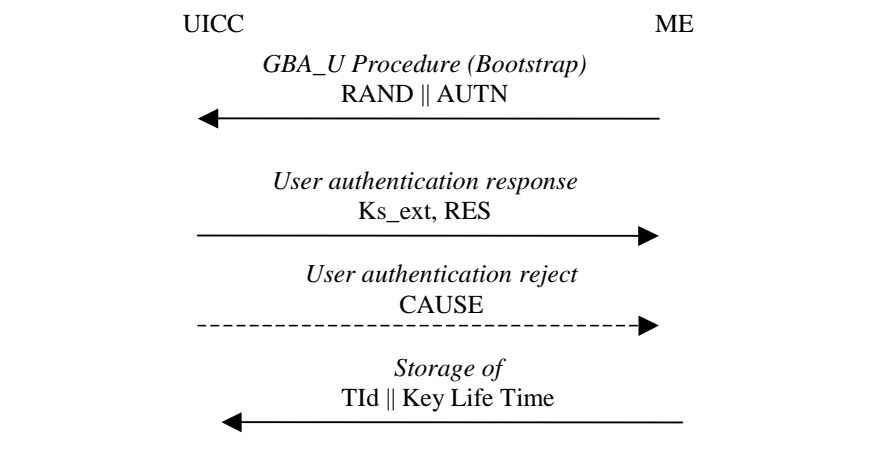
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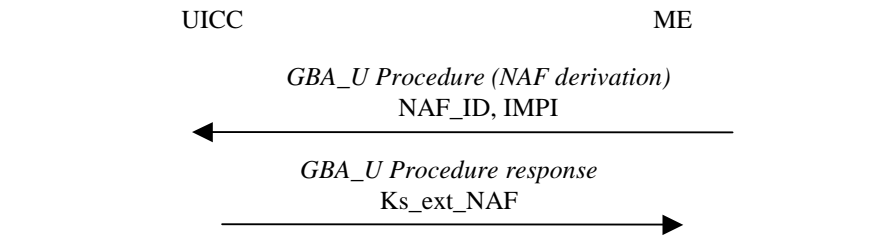
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