

CR-Form-v7

CHANGE REQUEST

⌘ **33.220 CR CRNum** ⌘ rev **-** ⌘ Current version: **6.1.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ Unaware GBA_U MEs, which are GBA_ME aware only shall be allowed		
Source:	⌘ Siemens		
Work item code:	⌘ GBA	Date:	⌘ 29/06/2004
Category:	⌘ F	Release:	⌘ Rel-6
	Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change:	⌘ Particular Rel-6 application protocols (e.g. Ut-reference point access via http digest authentication) have been designed to use GBA_ME only. ME's that only support these type of Rel-6 application protocols have no advantage in implementing GBA_U interface procedures towards the UICC when there is no application that uses the generated Ks_int_NAF.
Summary of change:	⌘ Removal of Editor's Note
Consequences if not approved:	⌘ Editor's Note cannot be removed and the Rel-6 feature GBA cannot be completed.

Clauses affected:	⌘ 5.3.3						
Other specs affected:	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">Y</td> <td style="width: 20px; text-align: center;">N</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> Other core specifications	Y	N	<input type="checkbox"/>	<input checked="" type="checkbox"/>	⌘	
Y	N						
<input type="checkbox"/>	<input checked="" type="checkbox"/>						
	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">Y</td> <td style="width: 20px; text-align: center;">N</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> Test specifications	Y	N	<input type="checkbox"/>	<input checked="" type="checkbox"/>	⌘	
Y	N						
<input type="checkbox"/>	<input checked="" type="checkbox"/>						
	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">Y</td> <td style="width: 20px; text-align: center;">N</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> O&M Specifications	Y	N	<input type="checkbox"/>	<input checked="" type="checkbox"/>	⌘	
Y	N						
<input type="checkbox"/>	<input checked="" type="checkbox"/>						
Other comments:	⌘						

===== BEGIN CHANGE =====

5.3.3 Procedures using bootstrapped Security Association

Before communication between the UE and the NAF can start, the UE and the NAF first have to agree whether to use shared keys obtained by means of the GBA. If the UE does not know whether to use GBA with this NAF, it uses the Initiation of Bootstrapping procedure described in clause 5.3.1.

Once the UE and the NAF have established that they want to use GBA then every time the UE wants to interact with a NAF the following steps are executed as depicted in figure 5.3.

Next, the UE and the NAF have to agree, which type of keys to use, *Ks_ext_NAF* or *Ks_int_NAF*, or both. The default is the use of *Ks_ext_NAF* only. This use is also supported by MEs and NAFs, which are GBA_U unaware. If *Ks_int_NAF*, or both, are to be used, this use has to be agreed between UE and NAF prior to the execution of the procedure described in the remainder of this clause 5.3.3. How this agreement is reached is application-specific and is not within the scope of this document.

NOTE 1: Such an agreement could e.g. be reached by manual configuration, or by an application-specific protocol step.

~~Editors' Note: The support of unaware GBA_U MEs, which are GBA_ME aware only is FFS.~~

In general, UE and NAF will not yet share the key(s) required to protect the Ua reference point. If they do not, the UE proceeds as follows:

- if *Ks_ext_NAF* is required and a key *Ks_ext* is available in the UE, the UE derives the key *Ks_ext_NAF* from *Ks_ext*, as specified in clause 5.3.2;
- if *Ks_int_NAF* is required and a key *Ks_int* is available in the UICC, the ME requests the UICC to derive the key *Ks_int_NAF* from *Ks_int*, as specified in clause 5.3.2;

NOTE 2: If it is not desired by the UE to use the same *Ks_ext/int* to derive more than one *Ks_ext/int_NAF* then the UE should first agree on new keys *Ks_ext* and *Ks_int* with the BSF over the Ub reference point, as specified in clause 5.3.2, and then proceeds to derive *Ks_ext_NAF* or *Ks_int_NAF*, or both, as required.

- if *Ks_ext* and *Ks_int* are not available in the UE, the UE first agrees on new keys *Ks_ext* and *Ks_int* with the BSF over the Ub reference point, as specified in clause 5.3.2, and then proceeds to derive *Ks_ext_NAF* or *Ks_int_NAF*, or both, as required;
- if the NAF shares a key with the UE, but the NAF requires an update of that key, it shall send a suitable bootstrapping renegotiation request to the UE and terminate the protocol used over Ua reference point. The form of this indication depends on the particular protocol used over Ua reference point. If the UE receives a bootstrapping renegotiation request, it starts a run of the protocol over Ub, as specified in clause 5.3.2, in order to obtain new keys.

NOTE 3: If the shared keys between UE and NAF become invalid, the NAF can set deletion conditions to the corresponding security association for subsequent removal.

NOTE 4: If it is not desired by the NAF to use the same *Ks* to derive more than one *Ks_int/ext_NAF* then the NAF should always reply to the first request sent by a UE by sending a key update request to the UE.

UE and NAF can now start the communication over Ua reference point using the keys *Ks_ext_NAF* or *Ks_int_NAF*, or both, as required. They proceed as follows:

- The UE supplies the Transaction Identifier to the NAF, as specified in clause 5.3.2, to allow the NAF to retrieve the corresponding keys from the BSF

NOTE 5: To allow for consistent key derivation in BSF and UE, both have to use the same FQDN for derivation (cf. NOTE 2 of clause 4.5.2). For each protocol used over Ua it shall be specified if only cases (1) and (2) of NOTE 2 of clause 4.5.2 are allowed for the NAF or if the protocol used over Ua shall transfer also the FQDN used for key derivation by UE to NAF.

NOTE 6: The UE may adapt the keys *Ks_ext_NAF* or *Ks_int_NAF* to the specific needs of the Ua reference point. This adaptation is outside the scope of this specification.

- when the UE is powered down, or when the UICC is removed, any GBA_U keys shall be deleted from storage in the ME. There is no need to delete keys Ks_int and Ks_int_NAF from storage in the UICC;

NOTE 7: After each run of the protocol over the Ub reference point, new keys Ks_ext and Ks_int, associated with a new transaction identifier, are derived in the UE according to clause 5.3.2, so that it can never happen, that keys Ks_ext and Ks_int with different transaction identifiers simultaneously exist in the UE.

- When new keys Ks_ext and Ks_int are agreed over the Ub reference point and new NAF-specific keys need to be derived for one NAF_Id, then both, Ks_ext_NAF and Ks_int_NAF (if present), shall be updated for this NAF_Id, but further keys Ks_ext_NAF or Ks_int_NAF relating to other NAF_Ids, which may be stored on the UE, shall not be affected;

NOTE 8: This rule ensures that the keys Ks_ext_NAF and Ks_int_NAF are always in synch at the UE and the NAF.

NAF now starts communication over the Zn reference point with the BSF.

- The NAF requests from the BSF the keys corresponding to the Transaction Identifier, which was supplied by the UE to the NAF over the Ua reference point. If the NAF is GBA_U aware it indicates this by including a corresponding flag in the request. If the NAF has several FQDNs, which may be used in conjunction with this specification, then the NAF shall transfer in the request over Zn the same FQDN, which was used over Ua (see note above on key derivation in this clause).
- With the keys request over the Zn reference point, the NAF shall supply NAF's public hostname that UE has used to access NAF to BSF, and BSF shall be able to verify that NAF is authorized to use that hostname.
- The BSF derives the keys Ks_ext_NAF, and Ks_int_NAF (if additionally required), as specified in clause 5.3.2. If the NAF indicated in its request that it is GBA_U aware, the BSF supplies to NAF both keys, Ks_ext_NAF, and Ks_int_NAF, otherwise the BSF supplies only Ks_ext_NAF. In addition, the BSF supplies the lifetime time of these keys. If the key identified by the Transaction Identifier supplied by the NAF is not available at the BSF, the BSF shall indicate this in the reply to the NAF. The NAF then indicates a bootstrapping renegotiation request (See figure 4.5) to the UE.

NOTE: The NAF may adapt the keys Ks_ext_NAF and Ks_int_NAF to the specific needs of the Ua reference point in the same way as the UE did. This adaptation is outside the scope of this specification.

The NAF now continues with the protocol used over the Ua reference point with the UE.

Once the run of the protocol used over Ua reference point is completed the purpose of bootstrapping is fulfilled as it enabled the UE and NAF to use Ua reference point in a secure way.

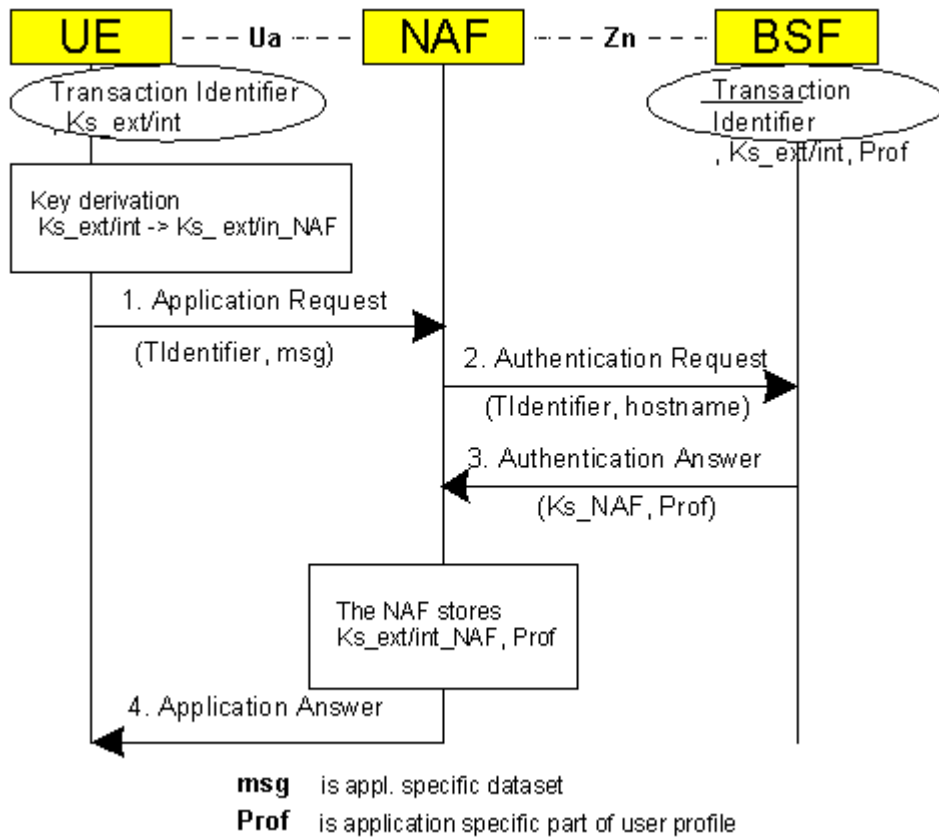


Figure 5.3: The bootstrapping usage procedure with UICC-based enhancements

===== END CHANGE =====