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*Technical Specification*

## **3rd Generation Partnership Project; Technical Specification Group SA3; Access security for IP-based services (Release 5)**



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Keywords

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## Foreword

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

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- z the third digit is incremented when editorial only changes have been incorporated in the document.

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## Introduction

*This clause is optional. If it exists, it is always the third unnumbered clause.*

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# 1 Scope

The scope for this technical specification is to specify the security features and mechanisms for secure access to the IM CN subsystem for the 3G mobile telecommunication system.

The IM CN SS in UMTS will support IP Multimedia applications such as video, audio and multimedia conferences. 3GPP has chosen SIP, Session Initiation Protocol, as the signaling protocol for creating and terminating Multimedia sessions, cf. [6]. This specification only deals with how the SIP signaling is protected, how the subscriber is authenticated and how the subscriber authenticates the IM CN SS network.

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# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- [1] 3G TS 33.102: "3<sup>rd</sup> Generation Partnership Project (3GPP); Technical Specification Group (TSG) SA; 3G Security; Security Architecture".
- [2] 3G TS 22.228: "3<sup>rd</sup> Generation Partnership Project (3GPP); Technical Specification Group (TSG) SA; Service Requirements for the IP Multimedia Core Network".
- [3] 3G TS 23.228: "3<sup>rd</sup> Generation Partnership Project (3GPP); Technical Specification Group (TSG) SA; IP Multimedia (IM) Subsystem".
- [4] 3G TS 21.133: "3<sup>rd</sup> Generation Partnership Project (3GPP); Technical Specification Group (TSG) SA; Security Threats and Requirements".
- [5] 3G TS 33.210: "3<sup>rd</sup> Generation Partnership Project (3GPP); Technical Specification Group (TSG) SA; 3G Security; Network domain security; IP network layer security".
- [6] IETF RFC 2543bis-03 (2001) "SIP: Session Initiation Protocol"
- [7] IETF RFC 2284 (1998) "PPP Extensible Authentication Protocol (EAP)"
- [8] IETF Draft (2001) "draft-arkko-pppext-eap-aka-00.txt"
- [9] IETF Draft (2001) "draft-http-eap-basic-01.txt"
- [10] IETF RFC 2716 (1999) "PPP EAP TLS Authentication Protocol"
- [11] IETF Draft (2001) "draft-haverinen-pppext-eap-sim-01.txt"

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# 3 Definitions, symbols and abbreviations

## 3.1 Definitions

For the purposes of the present document, the following terms and definitions apply.

**Confidentiality:** The property that information is not made available or disclosed to unauthorised individuals, entities or processes.

**Data integrity:** The property that data has not been altered in an unauthorised manner.

**Data origin authentication:** The corroboration that the source of data received is as claimed.

**Entity authentication:** The provision of assurance of the claimed identity of an entity.

**Key freshness:** A key is fresh if it can be guaranteed to be new, as opposed to an old key being reused through actions of either an adversary or authorised party.

**USIM – User Services Identity Module.** In a security context, this module is responsible for performing UMTS subscriber and network authentication and key agreement. It should also be capable of performing GSM authentication and key agreement to enable the subscriber to roam easily into a GSM Radio Access Network.

**ISIM – IM Services Identity Module.** In a security context, this module is responsible for performing subscriber and network authentication and key agreement in IM CN SS. The ISIM resides on the UICC.

## 3.2 Symbols

For the purposes of the present document, the following symbols apply:

## 3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

AAA	Authentication Authorisation Accounting
AKA	Authentication and key agreement
CSCF	Call State Control Function
GGSN	Gateway GPRS Support Node
HN	Home Network
HSS	Home Subscriber Server
HTTP	Hypertext Transfer Protocol
IM	IP Multimedia
IMPI	IM Private Identity
IMPU	IM Public Identity
ISIM	IM Services Identity Module
MAC	Message Authentication Code
ME	Mobile Equipment
PPP	Point to Point Protocol
PS	Packet Switched
SEG	Security Gateway
SDP	Session Description Protocol
SGSN	Serving GPRS Support Node
SIP	Session Initiation Protocol
UA	User Agent
UAC	UA Client
UAS	UA Server
UE	User Equipment
UICC	UMTS IC Card
USIM	User Services Identity Module
VN	Visited Network

## 4 Overview of the security architecture

[Editor's note This section shall have a figure of the overall architecture for the IM CN SS and explaining text on the trust relations, possible threats and a brief overview of the provided security features.]

In the PS domain, the service is not provided until a security association is established between the mobile equipment and the network. IM CN subsystem is essentially an overlay to the PS-Domain and is not embedded in the SGSN or GGSN nodes consequently a second security association is required between the multimedia client and IM CN subsystem before access is granted to multimedia services. The IM CN Subsystem Security Architecture is shown in the following figure. The ISIM is responsible for the handling of keys, SQN etc that are tailored to IM CN SS. The keys, SQN etc handled by the ISIM are all independent of the similar parameters that exist in the USIM.

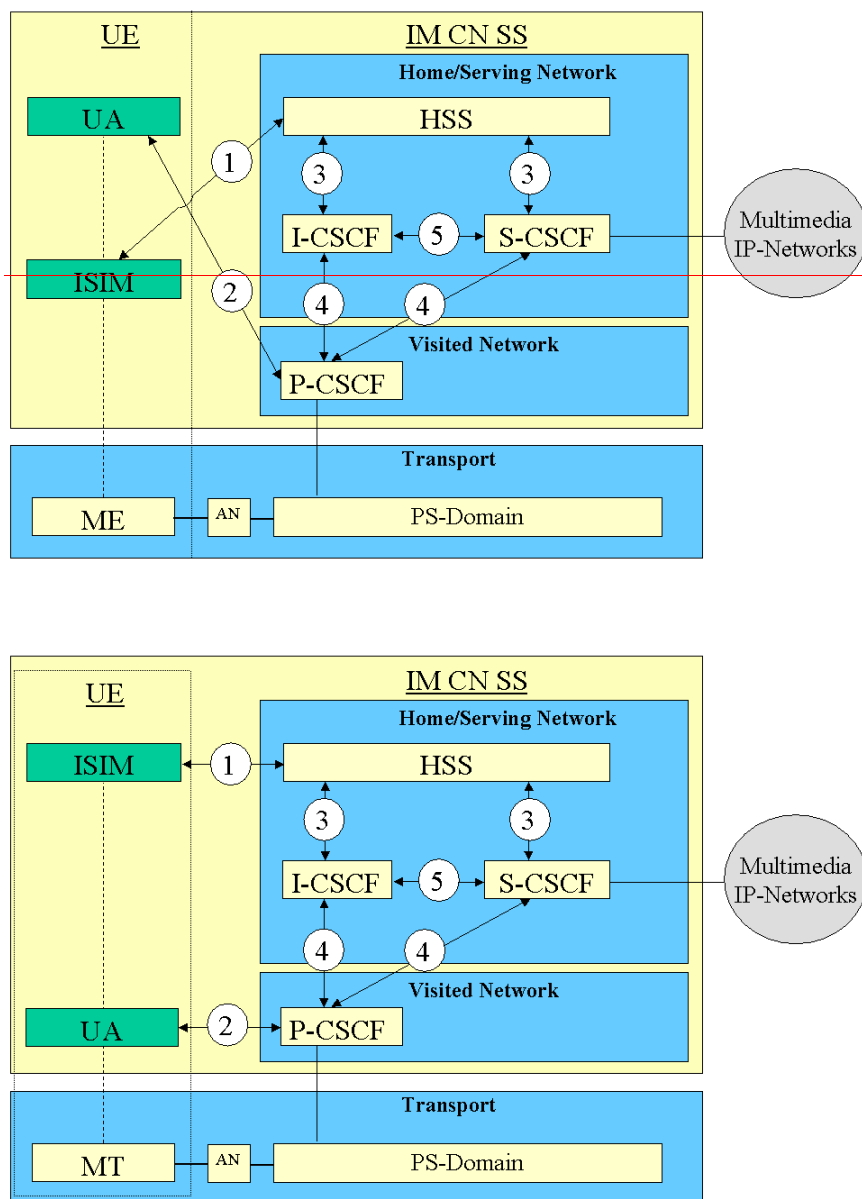


Figure 1. This is the security architecture for the IM CN Subsystem.



There are five different security associations and different needs for security protection for IM CN SS and they are numbered 1,2, 3, 4 and 5 in figure 1 where:

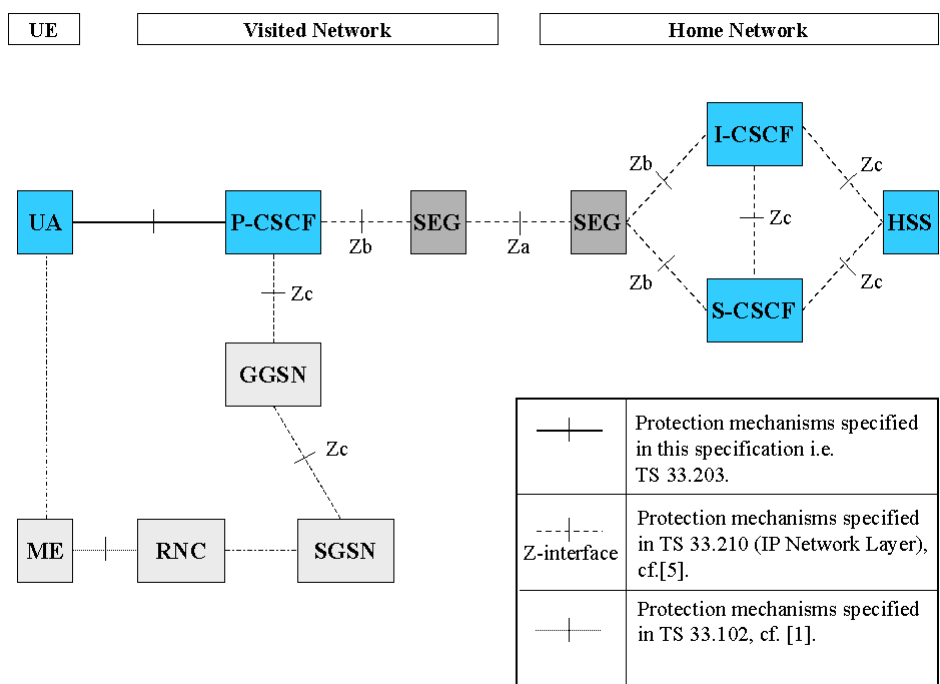
1. Provides mutual authentication. The HSS delegates the performance of subscriber authentication to the S-CSCF. However the HSS is responsible for generating keys and challenges. The long-term key in the ISIM and the HSS is associated with the IMPI.
2. Provides a secure link and a security association between the UE and a P-CSCF.
3. Provides security within the network domain internally for the Cx-interface. This part is not covered in this specification instead [5] specifies what security measures shall be defined in the internal network over the Cx-interface.
4. Provides security between different networks for SIP capable nodes. This part is not covered in this specification instead [5] specifies what security measures shall be defined for these type of interfaces.
5. Provides security within the network internally between SIP capable nodes. This part is not covered in this specification instead [5] specifies what security measures shall be defined for these types of interfaces.

*[Editors Note: Security measures for application servers (OSA and SIP AS) and IM SSF is FFS but it seems that this is covered by NDS]*

Mutual authentication is required between the UE and the HN.

The mechanisms specified in this technical specification are independent of the mechanisms defined for the CS- and PS-domain.

An independent IM CN Subsystem security mechanism provides additional protection against security breaches. For example, if the PS-Domain security is breached the IM CN Subsystem would continue to be protected by it's own security mechanism.



**Figure 2. This figure gives an overview of the security architecture for IM CN SS and the relation with Network Domain security, cf. [5].**

The confidentiality and integrity protection for SIP-signaling is provided in a hop-by-hop fashion, cf. Figure 2. The first hop i.e. between the UE and the P-CSCF is specified in this technical specification. The other hops, inter-domain and intra-domain are specified in [5].

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## 5 Security features

*[Editor's note: This section shall explain the provided security features in detail]*

### 5.1 Secure access to IM CN SS

#### 5.1.1 Authentication of the subscriber and the network

*[Editor's note: This section shall deal with subscriber identity and authentication of the subscriber and Home Network/Serving Network]*

An IM-subscriber will have its subscriber profile located in the HSS in the Home Network. The exact details of the subscriber profile are FFS but it will contain information on the subscriber that may not be revealed to an external partner, cf. [3]. At registration an S-CSCF is assigned to the subscriber by the I-CSCF. The subscriber profile will be downloaded to the S-CSCF over the Cx-reference point from the HSS (Cx-Pull). When a subscriber requests an IM-service the S-CSCF will check, by matching the request with the subscriber profile, if the subscriber is allowed to continue with the request or not i.e. Home Control (Authorization of IM-services).

All SIP-signaling will take place over the PS-domain in the user plane i.e. IM-services are essentially an overlay to the PS-domain. Hence the Visited Network will have control of all the subscribers in the PS-domain i.e. Visited Control (Authorization of bearer resources) since the Visited Network provides with a transport service and QoS.

For IM-services a new security association is required between the mobile and the IM CN SS before access is granted to IM-services. The Home Network or a 3rd party even (which does not have to be an UMTS operator) provides the user with the IM-services.

The mechanism for mutual authentication in UMTS is called UMTS AKA. It is a challenge response protocol and the AuC in the Home Stratum derives the challenge. A Quintet containing the challenge is sent from the Home Stratum to the Serving Network. The Quintet contains the expected response XRES and also a message authentication code MAC. The Serving Network compares the response from the UE with the XRES and if they match the UE has been authenticated. The UE calculates an expected MAC, XMAC, and compares this with the received MAC and if they match the UE has authenticated the Serving Network.

The AKA-protocol is a secure protocol developed for UMTS and it will be reused for IM-services and then called IMS AKA.

The Home Network authenticates the subscriber at registrations or re-registrations only. In order to re-authenticate a subscriber the Home Network can force a re-registration by using e.g. a re-registration timer.

*[Editors Note: It has been discussed whether session establishments shall be authenticated or not. It is a standard SIP feature to do this by using the fact that the S-CSCF is not only a registrar but also a proxy server and hence can send a 407 Proxy Authentication Required towards the UE and require authentication at every n:th INVITE. It is also open how to perform authentication at long calls without interrupting the call. The requirements are not clear and has to be defined.]*  
*[Editors Note: Authentication shall according to the current requirements only take place at (Re-)Registrations.]*

#### 5.1.2 Confidentiality protection

*[Editor's note: This section shall deal with what confidentiality protection that is provided between different nodes both inter domain, intra domain and the UE]*

IP-based services will get protection by the confidentiality protection defined in R'99 at the bearer level. In R'99 confidentiality protection is provided for signaling data and user data between the UE and the serving RNC. The serving RNC retrieves the cipher key CK from the SN. The ciphering protection for UMTS is optional to use.

For UMTS access confidentiality protection for SIP signaling can rely on the confidentiality mechanisms provided by UMTS and mechanisms provided by Network Domain Security, cf. [5].

[Editor's note: It is optional to implement confidentiality protection and it should be applied at the same level as the integrity protection.]

### 5.1.3 Integrity protection

[Editor's note: This section shall deal with what integrity protection that is provided between different nodes both inter domain, intra domain and the UE]

Integrity protection shall be used between the UE and the P-CSCF for protecting the SIP signaling. The following mechanisms are provided.

1. The UE and the P-CSCF shall negotiate what integrity algorithm that shall be used for the session, specified in chapter 7.
2. The UE and the P-CSCF shall agree on an integrity key,  $IK_{IM}$  that shall be used when calculating a MAC. The mechanism is based on IMS AKA and specified in chapter 6.1.
3. The UE and the P-CSCF shall both make a MAC check to verify that the data received originates from a node which has the agreed session key,  $IK_{IM}$ . This check is also used for detecting if the data has been tampered with by a man-in-the-middle.

[Editor's note: It is FFS at what layer the SIP signaling shall be protected. It can be placed from the IP-Level up to the SIP-level.]

## 5.2 Visibility and configurability

[Editor's note: This section shall contain what the subscriber shall be able to configure and what is visible for the subscriber regarding the actual protection the subscriber is provided with.]

The user shall be informed which level of protection that is in use.

### 5.3 Network topology hiding

The operational details of an operator's network are sensitive business information that operators are reluctant to share with their competitors. While there may be situations (partnerships or other business relations) where the sharing of such information is appropriate, the possibility should exist for an operator to determine whether or not the internals of its network need to be hidden.

It shall be possible to hide the network topology from other operators, which includes the hiding of the number of S-CSCFs, the capabilities of the S-CSCFs and the capability of the network.

[Editor's note: The hiding requirements for the P-CSCFs are FFS]

The I-CSCF shall have the capability to encrypt the address of an S-CSCF in SIP Via, Record-Route, Route and Path headers and then decrypt the address when handling the response to a request. The P-CSCF may receive routing information that is encrypted but the P-CSCF will not have the key to decrypt this information.

The mechanism shall support the scenario that different I-CSCFs in the HN may encrypt and decrypt the address of the S-CSCFs.

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## 6 Security mechanisms

[Editor's note: This section shall describe the security mechanisms that are provided inter domain, intra domain and to the UE.]

## 6.1 Authentication and key agreement

*[Editor's note: This section shall describe in detail how the authentication is performed and how the keys, IK and CK, are derived and delivered to the different nodes.]*

The scheme for authentication and key agreement in the IM CN SS is called IMS AKA. The IMS AKA achieves mutual authentication between the ISIM and the HN, cf. Figure 3. Furthermore a security association is established between the UE and the P-CSCF. The ISIM and the HSS keeps track of the counters SQN<sub>UE</sub> and SQN<sub>HSS</sub> for the IM-domain. The handling of the SQN can be as in [1]. IMS AKA is based on EAP, cf. [7], and the AKA extension to EAP and HTTP, cf. [8] and [9] respectively.

~~EAP, PPP Authentication Extensible Protocol, is defined for PPP and is a general authentication protocol which supports several authentication schemes and it is straightforward to extend EAP with any new desired scheme. Examples of authentication schemes that EAP supports are Public based authentication through EAP-TLS, cf. [10] and GSM Authentication through EAP-SIM see [11]. EAP can not negotiate authentication scheme but that is in this specification contemplated as a security feature since then the protocol is secure against 'bidding-down' attacks. The HN shall choose the EAP AKA scheme for authenticating an IM subscriber accessing through UMTS. The security parameters e.g. keys generated by the AKA scheme are transported by SIP and embedded in EAP.~~

*[Editors Note: Shall the HN choose EAP AKA for 3GPP-access or is it to be an option for the HN to choose either EAP AKA or perhaps any other mechanism e.g. HTTP digest depending on policy?]*

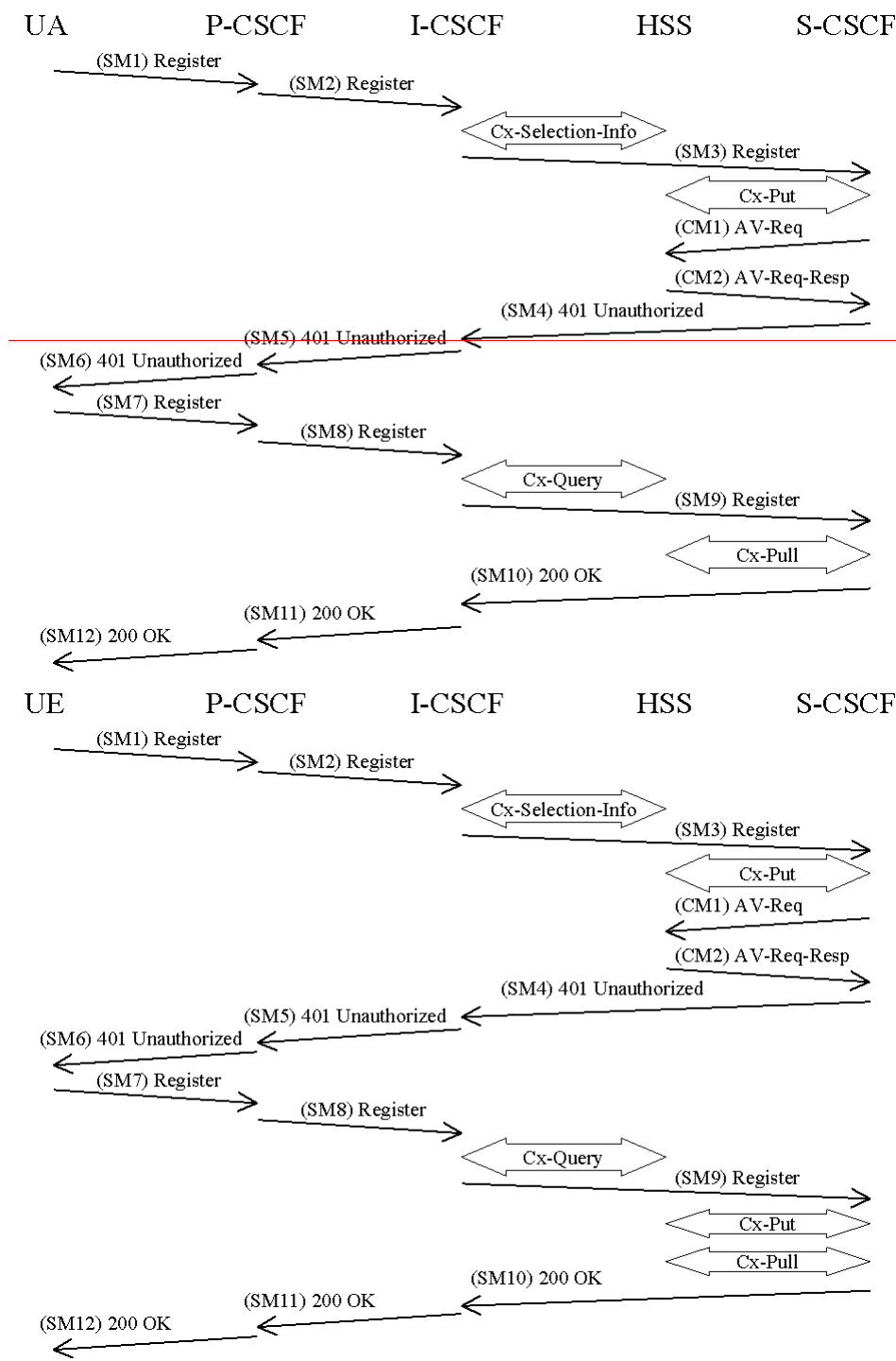
The generation of the authentication vector AV that includes RAND, XRES, CK, IK and AUTN shall be done in the same way as specified in [1]. For each user it is the HSS that keeps track of the counter SQN<sub>HSS</sub>. The requirements on the SQN handling both in the Home Network i.e. the HSS and the ISIM are specified in [1]. The AMF field can be used in the same way as in [1].

The identity used for authenticating a subscriber is the private identity, IMPI, which has the form of a NAI, cf. [3]. The HSS and the ~~ISIM-domain-part~~ share a long-term key associated with the IMPI.

### 6.1.1 Registration of an IM-subscriber

Before a user can get access to the IM services he needs to be registered and authenticated in the IM CN SS at application level. In order to get registered the UEA sends a SIP REGISTER message towards the SIP registrar server i.e. the S-CSCF, cf. Figure 3, which will perform the authentication of the user.

~~*[Editor's note: Currently there are more than one alternative available when to send key(s) which are under discussion. Therefore no keys have been included in the flow below. However during the authentication process the P-CSCF will need key(s). The IK and optionally the CK could be sent either in the 401 message or in the 200 message.]*~~



**Figure 3: The IMS Authentication and Key Agreement for an unregistered IM subscriber and successful mutual authentication with no synchronization error.**

**The flows in more detail**

SMn stands for SIP Message n and CMm stands for Cx message m which has a relation to the authentication process:

SM1:

REGISTER sip: ----  
 Via: ----  
 From: IMPI  
 To: IMPU  
 Call-ID: ----  
 Cseq: 1 REGISTER  
 Content-Length: 0

~~SM1:~~

~~REGISTER sip: ----  
 Via: ----  
 From: IMPI  
 To: IMPU  
 Call-ID: ----  
 Cseq: 1 REGISTER  
 Content-Length: 0~~

[Editor's note: This example covers the case when only one public identity is registered. It is still FFS how to treat the case when the subscriber registers several public identities.]

The P-CSCF and the I-CSCF forwards the SIP REGISTER towards the S-CSCF and adds a Via header with their addresses included, i.e. SM2 and SM3. Upon receiving the SIP REGISTER the S-CSCF will need one AV which includes the challenge. ~~As an option the S-CSCF can require or more than one several AVs in order to send a challenge to the user. If t~~The S-CSCF has no valid AV then the S-CSCF shall send a request for the AV(s) to the HSS in, CM1 together with the number n of AVs wanted where n is at least one but less than or equal to nmax.

~~CM1:~~

~~Cx-AV-Req(IMPI, IMPU, n)~~

~~CM1:-~~

~~Cx-AV-Req(IMPI, IMPU, n)~~

If the HSS has no pre-computed AVs tThe HSS creates the needed AVs on demand for that user and sends it to the S-CSCF in, CM2.

~~CM2:~~

~~Cx-AV-Req-Resp(IMPI, IMPU, n, RAND<sub>1</sub>||AUTN<sub>1</sub>||XRES<sub>1</sub>||CK<sub>1</sub>||IK<sub>1</sub>,..., RAND<sub>n</sub>||AUTN<sub>n</sub>||XRES<sub>n</sub>||CK<sub>n</sub>||IK<sub>n</sub>)~~

~~CM2:-~~

~~Cx-AV-Req-Resp(IMPI, IMPU, n, RAND<sub>1</sub>||AUTN<sub>1</sub>||XRES<sub>1</sub>||CK<sub>1</sub>||IK<sub>1</sub>,..., RAND<sub>n</sub>||AUTN<sub>n</sub>||XRES<sub>n</sub>||CK<sub>n</sub>||IK<sub>n</sub>)~~

The S-CSCF sends a SIP 401 Unauthorized to the UEA including the challenge RAND<sub>1</sub> and the authentication token AUTN in, SM4 and the integrity key IK and optionally the cipher key CK.

SM4:SIP/2.0 401 UnauthorizedVia: ----From: IMPITo: IMPUCall-ID: ----Cseq: 1 REGISTERWWW-Authenticate: eap paramaters:RAND//AUTNKey parameters: IK(//CK)Content-Length: 0SM4:SIP/2.0 401 UnauthorizedVia: ----From: IMPITo: IMPUCall-ID: ----Cseq: 1 REGISTERWWW-Authenticate: eap paramaters: RAND//AUTNContent-Length: 0*[Editor's note: The use of KSI i.e. Key Set Identifier for IMS is FFS.]*

When the P-CSCF receives SM5 it shall store the key(s) and remove that information and forward the rest of the message to the UE i.e.

SM6:SIP/2.0 401 UnauthorizedVia: ----From: IMPITo: IMPUCall-ID: ----Cseq: 1 REGISTERWWW-Authenticate: eap paramaters:RAND//AUTNContent-Length: 0

Upon receiving the challenge, SM6, the UEA takes the ~~AUTN which~~AUTN, which includes a MAC and the SQN. The UE calculates the XMAC and checks that XMAC=MAC and that the SQN is in the correct range as in [1]. If both these checks are successful the UE then calculates the response, RES, puts it into the Authorization header and sends it back to the registrar in SM7.

SM7:SIP/2.0 401 UnauthorizedVia: ----From: IMPITo: IMPUCall-ID: ----Cseq: 1 REGISTERAuthorization: eap parameters: RESContent-Length: 0

The P-CSCF forwards the RES in SM8 to the I-CSCF which queries the HSS to find the address of the S-CSCF. In SM9 the I-CSCF forwards the RES to the S-CSCF.

SM7:

REGISTER sip: ----  
 Via: ----  
 From: IMPI  
 To: IMPU  
 Call-ID: ----  
 Cseq: 1 REGISTER  
 Authorization: eap parameters: RES  
 Content-Length: 0

Upon receiving the response, RES, the S-CSCF retrieves the active XRES for that user and checks if XRES=RES. If the check is successful then the user has been authenticated and the IMPU is registered in the S-CSCF.

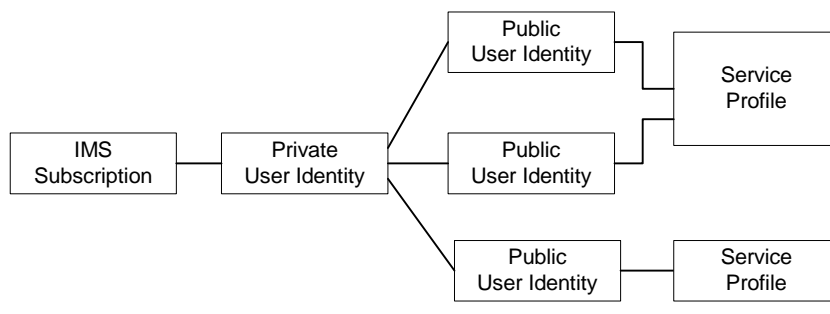
When a subscriber has been registered this registration will be valid for some period of time. Both the UEA and the S-CSCF will keep track on a timer for this purpose but the expiration time in the UE is smaller than the one in the S-CSCF in order to make it possible for the UE to be registered and reachable without interruptions. This feature is FFS in [3]. The re-registration feature opens up a potential denial-of-service attack in the sense that an attacker could re-register a subscriber and respond with the wrong RES and the HN could then de-register the subscriber. This shall be avoided by letting the subscriber be registered with the old set of parameters until a re-registration is successfully authenticated.

*[Editor's note: It is FFS if this way of protecting the user from DoS attack is feasible or not. The current assumption by SA3 is that DoS attacks are difficult to standardize against e.g. error messages shall not be integrity protected.]*

The re-registration looks the same as the registration case except that CM1 and CM2 can be omitted as long as the S-CSCF has valid AV(s).

*[Editor's note: Potential failure scenarios and potential extra requirements needed for the handling several AV(s) in the S-CSCF are left FFS.]*

*[Editor's note: The current assumption has been that all IMPUs will be registered in the same S-CSCF. This however is not the general scenario adopted by SA2. It is left FFS how the current solutions need to be adapted to the general scope as shown in the figure:]*



According to the SA1 requirement this is the scenario that should be supported by the IMS in Release 5. All public user identities that are associated with the same profile should have the same set of services. Public user identities that are associated with a different profile could have a different set of services.

*[Editor's note: It is FFS if re-use and re-transmission of RAND and AUTN is allowed. If allowed the mechanisms have to be defined.]*

*[Editor's note: The exact mechanisms for re-synchronisation are FFS.]*

The lengths of the IMS AKA parameters are specified in chapter 6.3.7 in [1].

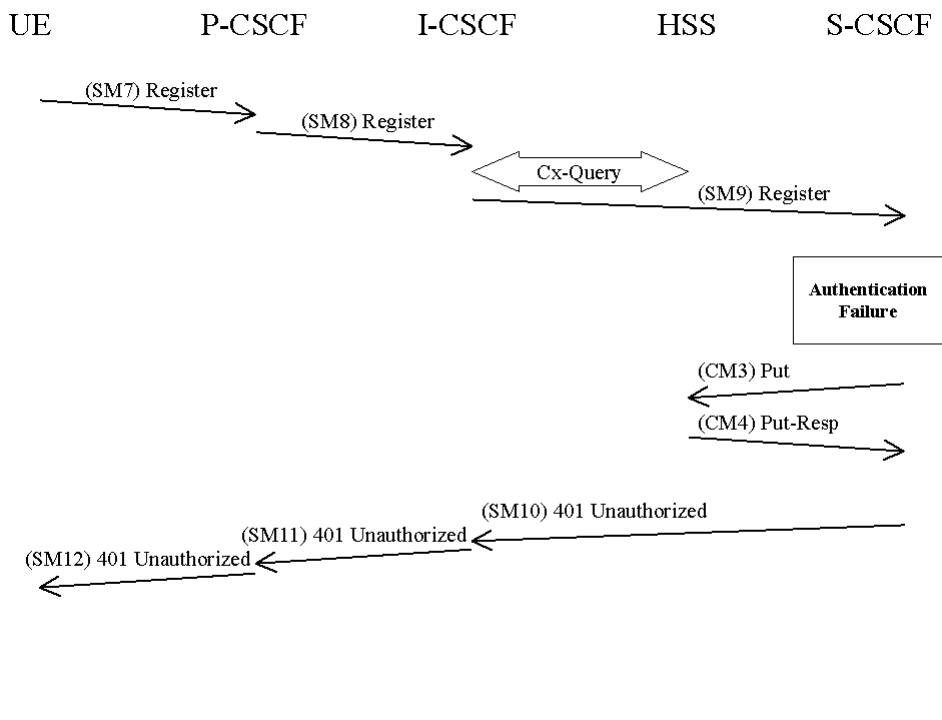


## 6.1.23 Authentication failures

[Editor's note: This subsection shall deal with the requirements for network and user authentication failures.]

### 6.1.2.1 User authentication failure

When the check of the RES in the S-CSCF fails the user can not be authenticated and hence registration fails. The flow is identical as for the successful registration in 6.1.1 up to SM9.



CM3:

Cx-AV-Req(IMPI, IMPU, Clear S-CSCF name)

The S-CSCF sends a Cx-Put (CM3) to the HSS, which indicates that authentication failed and that, the S-CSCF should be cleared for that particular IMPU. The HSS responds with a Cx-Put-Resp in CM4. In SM10 the S-CSCF sends a 401 unauthorized towards the UE, no security parameters shall be included in this message.

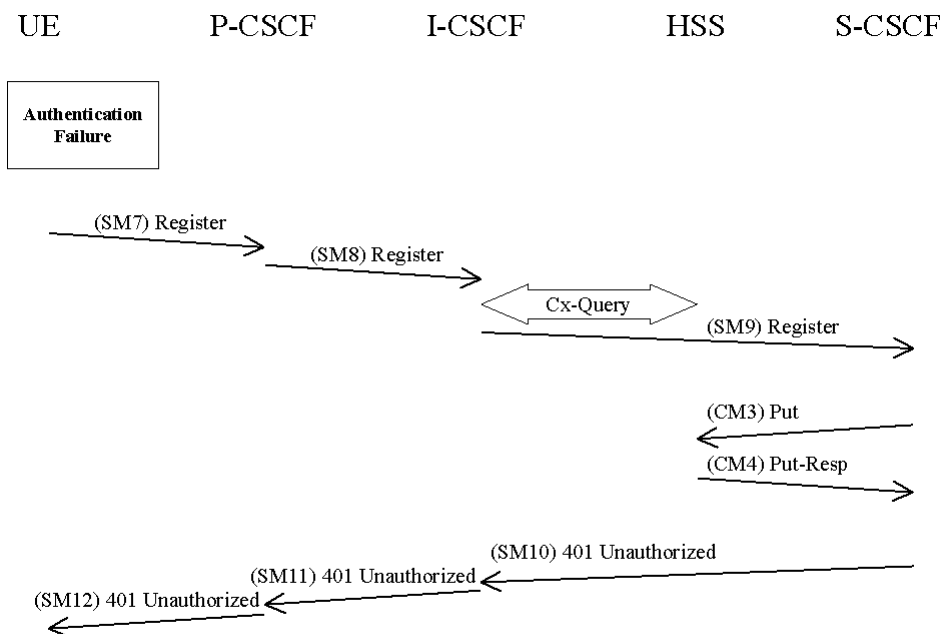
SM10:

SIP/2.0 401 Unauthorized  
Via: ----  
From: IMPI  
To: IMPU  
Call-ID: ----  
Cseq: 1 REGISTER  
Content-Length: 0

Upon receiving SM10 the I-CSCF shall clear any registration information related to the IMPU.

### 6.1.3.2 Network authentication failure

In this section the case when the authentication of the network is not successful is specified. When the check of the MAC in the UE fails the network can not be authenticated and hence registration fails. The flow is identical as for the successful registration in 6.1.1 up to SM6.



The UE shall send a Register message towards the HN including an indication of the cause of failure in SM7. The P-CSCF and the I-CSCF forward this message to the S-CSCF.

SM7:

REGISTER sip: ----  
 Via: ----  
 From: IMPI  
 To: IMPU  
 Call-ID: ----  
 Cseq: 1 REGISTER

Failure: AuthenticationFailure

Content-Length: 0

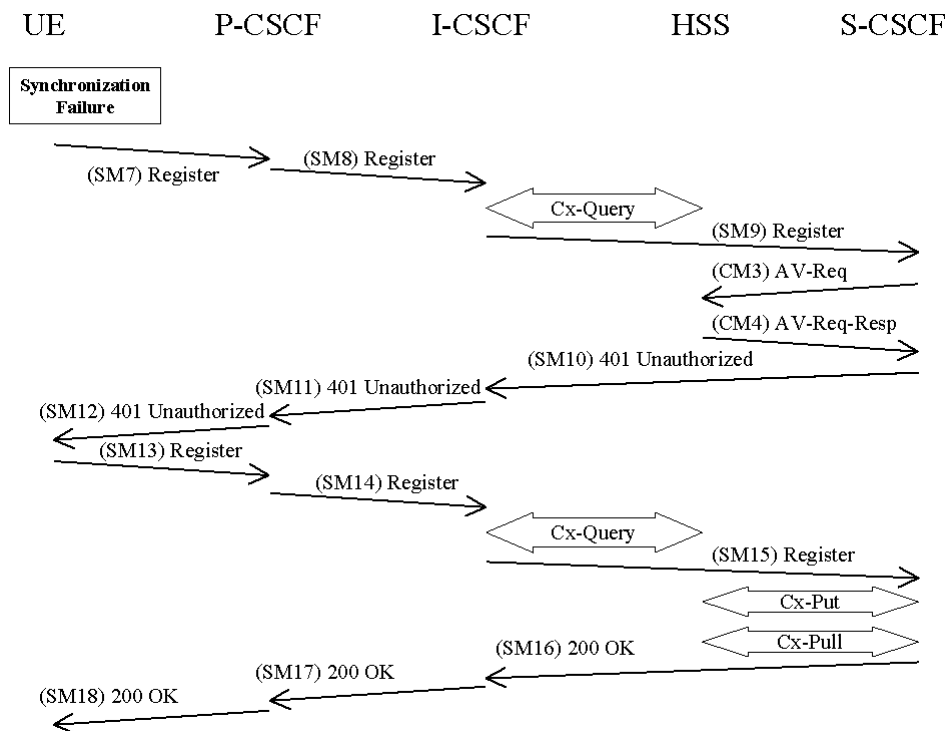
Upon receiving SM9, which includes the cause of authentication failure, the S-CSCF sends a Cx-Put in CM3 and receives a Cx-Put-Resp in CM4. The S-CSCF sends a 401 Unauthorized towards the UE. The messages CM3, CM4 and SM10-SM12 shall be the same as in 6.1.2.1.

### 6.1.43 Synchronization failure

[Editor's note: This subsection shall deal with the requirements for the case when the SQNs in the ISIM and the HSS are not in synch.]

In this section the case of an authenticated registration with synchronization failure is described. After re-synchronization, authentication may be successfully completed, but it may also happen that in subsequent attempts other failure conditions (i.e. user authentication failure, network authentication failure) occur. In below only the case of

synchronization failure with subsequent successful authentication is shown. The other cases can be derived by combination with the flows for the other failure conditions.



The flow equals the flow in 6.1.3.1 up to SM6. When the UE receives SM6 it detects that the SQN is out of range and sends a synchronization failure back to the S-CSCF in SM7.

SM7:

SIP/2.0 401 Unauthorized

Via: ----

From: IMPI

To: IMPU

Call-ID: ----

Cseq: 1 REGISTER

Failure: SynchFailure//AUTS

Content-Length: 0

Upon receiving the Synchronization Failure and the AUTS the S-CSCF sends an Av-Req to the HSS in CM3 including the required number of Avs, n.

CM3:

Cx-AV-Req(IMPI, IMPU, RAND,AUTS, n)

The HSS checks the AUTS as in section 6.3.5 in [1]. If the check is successful and potentially after updating the SQN the HSS creates and sends new AVs to the S-CSCF in CM4.

CM4:

Cx-AV-Req-Resp(IMPI, IMPU,n,RAND<sub>1</sub>||AUTN<sub>1</sub>||XRES<sub>1</sub>||CK<sub>1</sub>||IK<sub>1</sub>,...,RAND<sub>n</sub>||AUTN<sub>n</sub>||XRES<sub>n</sub>||CK<sub>n</sub>||IK<sub>n</sub>)

The rest of the messages i.e. SM10-SM18 including the Cx messages are exactly the same as SM4-SM12 and the corresponding Cx messages in 6.1.1.

## 6.2 Confidentiality mechanisms

*[Editor's note: This section shall deal with cipher algorithms]*

For access to IMS through UMTS no cipher algorithms are specified for IM CN SS other than those provided by UMTS R'99 i.e. [1] and Network Domain Security [5].

*[Editor's note: No other accesses than UMTS are within the scope of R5. Since it is optional to implement the text above seems too stringent. Hence the editor believes that it would be good if also confidentiality mechanisms were defined.]*

## 6.3 Integrity mechanisms

*[Editor's note: This section shall deal with integrity algorithms]*

*[Editor's note: the following mechanisms are FFS:*

*data integrity protection method*

*etc]*

## 6.4 Hiding mechanisms

The Hiding Mechanism is optional for implementation. All I-CSCFs in the HN shall share the same encryption and decryption key Kv. If the mechanism is used and the operator policy states that the topology shall be hidden the I-CSCF shall encrypt the address of the S-CSCF. An IV of 128-bit is needed at the encryption and decryption phase and it shall be appended to the encrypted information. The information shall also be MAC protected with a block cipher in CBC-MAC mode.

When the I-CSCF decrypts the information it shall verify the integrity.

*[Editor's note: The above text is very brief and the mechanisms have to be described in more detail.]*

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## 7 Security mode set-up

*[Editor's note: the following mechanisms are FFS:*

*Key settings*

*Mechanisms for ciphering and integrity mode negotiation*

*Key lifetime*

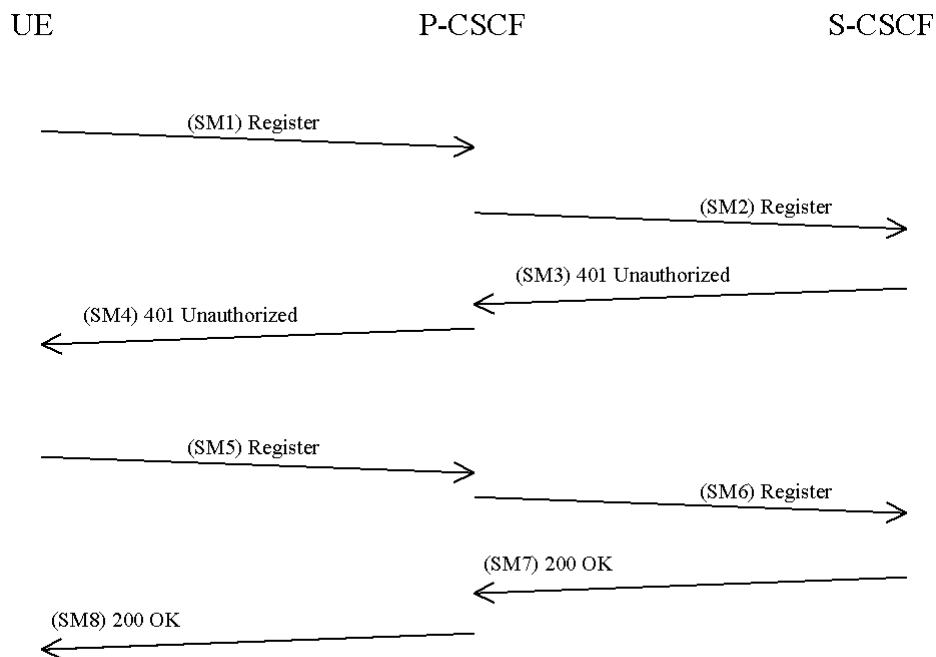
*Key identification*

*When to start encryption and integrity protection]*

The security mode setup procedure is necessary in order to decide when and how the security services start. In the IM CN SS authentication of users is performed during registration as in Section 6.1. Subsequent signaling communications in this session will be integrity protected based on keys derived during the authentication process.

## 7.1 Set-up of security services

In this section the normal case is specified i.e. when no failures occurs. Note that for simplicity some of the nodes and messages have been omitted.



The UE sends a Register message towards the S-CSCF for authentication purposes. This has been described in 6.1. In order to setup the security services the UE shall include a proposed set of security algorithms. In this case n integrity algorithms are proposed.

**SM1:**

REGISTER sip: ----

Via: ----

From: IMPI

To: IMPU

Call-ID: ----

Cseq: 1 REGISTER

Security-setup: Integrity-Algorithm-1, Integrity-Algorithm-2, ..., Integrity-Algorithm-n

Content-Length: 0

The P-CSCF shall choose one of the proposed algorithms based on the policy that applies and send the selected algorithm to the UE in SM4:

*[Editors Note: It is FFS if the HN shall take part in the negotiation of algorithms.]*

**SM4:**

SIP/2.0 401 Unauthorized

Via: ----

From: IMPI

To: IMPU

Call-ID: ----

Cseq: 1 REGISTER

Security-setup: Integrity-Algorithm-m

Content-Length: 0

The UE shall in SM5 start the integrity protection of the whole SIP-message by using the Integrity-Algorithm-m and the IK and include a MAC. Furthermore the proposed set of algorithms that where sent in SM1 shall be included:

SM5:

REGISTER sip: ----

Via: ----

From: IMPI

To: IMPU

Call-ID: ----

Cseq: 1 REGISTER

Security-setup: Integrity-Algorithm-1, Integrity-Algorithm-2, ..., Integrity-Algorithm-n

MAC

Content-Length: 0

*[Editors Note: The security mode setup shall be generic such that for future needs confidentiality algorithms can be negotiated and applied. At this the NULL algorithm shall be assumed to be the confidentiality algorithm i.e. the system will rely on existing confidentiality mechanisms defined for UMTS and R'99.]*

*[Editors Note: It is FFS if the HN shall take part in the negotiation process.]*

## 7.2 Failures in the set-up process

Failures related to authentication failures and synchronization failures are specified in 6.1. However when a failure occurs the SIP failure messages shall not be integrity protected. The integrity algorithm shall only be applied in the successful cases.

*[Editors Note: It is FFS if this is appropriate taking DoS attacks into account.]*

### 7.2.1 Unacceptable proposal set

When the P-CSCF receives a proposal set in a SIP REGISTER message in SM1 that is not acceptable it shall modify the message such that the S-CSCF sends an error message back to the UE in SM3 and the registration process is finished.

SM2:

REGISTER sip: ----

Via: ----

From: IMPI

To: IMPU

Call-ID: ----

Cseq: 1 REGISTER

Security-setup: Integrity-Algorithm-1, Integrity-Algorithm-2, ..., Integrity-Algorithm-n

Failure: NoCommonIntegrityAlgorithm

Content-Length: 0

*[Editors Note: It is FFS how the exact mechanism shall be for the Unacceptable proposal set case. The editor believes that the S-CSCF is the registrar and hence the P-CSCF should only be able to modify the headers and not send back responses. The failure response should be sent by the S-CSCF. This however has not been agreed.]*

## 7.2.2 Failure of integrity check

When the P-CSCF receives a SIP message, which is integrity, protected and the integrity check fails the P-CSCF shall silently discard that message.

[Editors Note: It is still FFS how failures related to MAC failures shall be handled in detail. This includes the behavior of both the P-CSCF and the UE.]

Annexes are only to be used where appropriate:

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Annex <A> (normative):  
<Normative annex title>



## Annex <X> (informative): Change history

*It is usual to include an annex (usually the final annex of the document) for specifications under TSG change control which details the change history of the specification using a table as follows:*

Change history							
Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	Old	New
2000-10	SA3#15bis	33.2xx		0.1.0	Initial version of the specification		
2000-11	SA3#16			0.1.1	Input from AdHoc meeting		
2001-03	SA3#17	33.203		0.2.0	Input from the SA3#17 meeting in Göteborg		
2001-04		33.203		0.2.1	Termination of confidentiality in the P-CSCF moved to an editors note. Kept the R'99 mechanism in the main document. Where to terminate is FFS.		
2001-05	SA3#17bis	33.203		0.3.0	Input from the SA3#17bis meeting in Madrid.		
<del>2001-06</del>	<del>SA3#18</del>	<del>33.203</del>		<del>0.4.0</del>	<del>Input from the SA3#18 meeting in Phoenix.</del>		
<del>2001-08</del>	<del>SA3#19</del>	<del>33.203</del>		<del>0.5.0</del>	<del>Input from the SA3#19 meeting in Newbury.</del>		
<del>2001-06</del>	<del>SA3#18</del>	<del>33.203</del>		<del>0.4.0</del>	<del>Input from the SA3#18 meeting in Phoenix.</del>		

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