TSGS#27(05)0141

Technical Specification Group Services and System Aspects Meeting #27, 14 - 17 March 2005, Tokyo, Japan

Source: SA WG3

Title: Three CRs to TS 33.222 (Rel-6)

Document for: Approval

Agenda Item: 7.3.3

The following CRs were agreed by SA WG3 and are presented to TSG SA for approval.

TSG SA Doc	Spec	CR	Rev	Phase	Subject	Cat	Version-Current		Work item
number								number	
SP-050141	33.222	015	3	Rel-6	Keeping PSK TLS in 3GPP Rel-6	F	6.2.0	S3-050145	SEC1-SC
SP-050141	33.222	016	1	Rel-6	Clarification to TS 33.222	D	6.2.0	S3-050144	SEC1-SC
SP-050141	33.222	017	2		Clarify the GBA requirements for https supporting applications at Ua reference point	F	6.2.0	S3-050175	GBA-SSC

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References 2

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document in the same Release as the present document.
- [1] 3GPP TS 23.002: "Network architecture". [2] 3GPP TS 22.250: "IP Multimedia Subsystem (IMS) group management"; Stage 1". [3] 3GPP TS 33.220: "3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; Generic Authentication Architecture (GAA); Generic Bootstrapping Architecture". 3GPP TR 33.919: "3rd Generation Partnership Project; Technical Specification Group Services [4] and System Aspects; Generic Authentication Architecture (GAA); System description". [5] 3GPP TS 33.141: "3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; Presence Service; Security". [6] IETF RFC 2246 (1999): "The TLS Protocol Version 1". [7] IETF RFC 3268 (2002): "Advanced Encryption Standard (AES) Ciphersuites for Transport Layer Security (TLS)". [8] IETF RFC 3546 (2003): "Transport Layer Security (TLS) Extensions". [9] IETF RFC 2818 (2000): "HTTP Over TLS". IETF RFC 2617 (1999): "HTTP Authentication: Basic and Digest Access Authentication". [10] [11] IETF RFC 3310 (2002): "Hypertext Transfer Protocol (HTTP) Digest Authentication Using Authentication and Key Agreement (AKA)".
- [12] IETF RFC 2616 (1999): "Hypertext Transfer Protocol (HTTP) – HTTP/1.1".
- [13] 3GPP TS 33.210: "3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; 3G Security; Network Domain Security; IP network layer security".
- [14] OMA WAP-219-TLS, 4.11.2001: http://www.openmobilealliance.org/tech/affiliates/wap/wap-219-tls-20010411-a.pdf.
- [15] IETF Internet-Draft: "Pre-Shared Key Ciphersuites for Transport Layer Security (TLS)", November December 2004, URL: http://www.ietf.org/internet-drafts/draft-ietf-tls-psk-045.txt.
- 3GPP TS 33.221: "3rd Generation Partnership Project; Technical Specification Group Services [16] and System Aspects; Generic Authentication Architecture (GAA); Support for subscriber certificates".
- [17] OMA WAP-211-WAPCert, 22.5.2001: http://www.openmobilealliance.org/tech/affiliates/wap/wap-211-wapcert-20010522-a.pdf.

[18] 3GPP TS 24.109: "3rd Generation Partnership Project; Technical Specification Group Core Network; Bootstrapping interface (Ub) and network application function interface (Ua); Protocol details".

==== BEGIN NEXT CHANGE =====

5.4 Shared key-based mutual authentication between UE and NAF

The authentication mechanism described in this section is optional to implement in UE and NAF.

Editor's note: If the "Pre Shared Key Ciphersuites for TLS" Internet Draft [15] does not reach the RFC status by the time when Release 6 is frozen, this subclause shall be removed and the support for the Pre Shared Key TLS is postponed to Release 7.

The HTTP client and server may authenticate each other based on the shared key generated during the bootstrapping procedure. The shared key shall be used as a master key to generate TLS session keys, and also be used as the proof of secret key possession as part of the authentication function. The exact procedure is specified in Pre-Shared Key Ciphersuites for Transport Layer Security (TLS) [15].

Editor's note: The exact procedure of "Pre Shared Key Ciphersuites for TLS" is under inspection in IETF. When the procedure is ready in IETF, the description how it is used in GAA should be added to TS 24.109, and this subclause should refer to it. The following gives general guidelines for how the TLS handshake may be accomplished using a GBA based shared secret. The exact definitions of the message fields are left to the stage 3 specifications.

This section explains how a GBA-based shared secret that is established between the UE and the BSF as specified in TS 33.220 [3] is used with Pre-Shared Key (PSK) Ciphersuites for TLS as specified in IETF Internet-Draft [15].

- 1. When an UE contacts a NAF, it may indicate to the NAF that it supports PSK-based TLS by adding one or more PSK-based ciphersuites to the ClientHello message. The UE shall include ciphersuites other than PSK-based ciphersuites in the ClientHello message. The UE shall send the hostname of the NAF using the server_name extension to the ClientHello message as specified in IETF RFC 3546 [8].
- NOTE 1: The ability to send the hostname of the NAF is particularly necessary if a NAF can be addressed using different hostnames, and the NAF cannot otherwise discover what is the hostname that the UE used to contact the NAF. The hostname is needed by the BSF during key derivation.
- NOTE 2: When the UE adds one or more PSK-based ciphersuites to the ClientHello message, this can be seen as an indication that the UE supports PSK-based TLSGBA based authentication. If the UE supports PKSPSK-based ciphersuites but not GBA-based authentication, the TLS handshake will fail if the NAF selected the PSK-based ciphersuite and suggested to use GBA (as described in step 2). In this case, the UE should attempt to establish the TLS tunnel with the NAF without including PSK-based ciphersuites to the CientHello message, according to the procedure specified in clause 5.3. This note does not limit the use of PSK TLS to HTTP-based services.
- 2. If the NAF is willing to establish a TLS tunnel using a PSK-based ciphersuite, it shall select one of the PSK-based ciphersuites offered by the UE, and send the selected ciphersuite to the UE in the ServerHello message. The NAF shall send the ServerKeyExchange message with a <u>list of PSK-identity hints.that shall contain a A</u> constant string "3GPP-bootstrapping" toshall indicate the GBA as the required authentication method. <u>Also other PSK-identity hints may be supported, however, they are out of the scope of this specification.</u> The NAF finishes the reply to the UE by sending a ServerHelloDone message.
- NOTE 3: If the NAF does not wish to establish a TLS tunnel using a PSK-based ciphersuite, it shall select a non-PSK-based ciphersuite and continue TLS tunnel establishment based on the procedure described either in clause 5.3 or clause 5.5.
- 3. The UE shall use a GBA-based shared secret for PSK TLS, if the NAF has sent a ServerHello message containing a PSK-based ciphersuite, and a ServerKeyExchange message containing a constant string "3GPP-bootstrapping" as the PSK identity hint. If the UE does not have a valid GBA-based shared secret it shall obtain one by running the bootstrapping procedure with the BSF over the Ub reference point as specified in TS 33.220 [3].

The UE derives the TLS premaster secret from the NAF specific key (Ks_NAF) as specified in IETF Internet Draft [15].

The UE shall send a ClientKeyExchange message with the B TID as the PSK identity. The PSK identity in the ClientKeyExchange message shall include a prefix indicating the PSK-identity name space that was selected, and the B-TID. The prefix must match one of the PSK-identity hints that NAF offered in ServerKeyExchange message. The precise format of the PSK identity is specified in 3GPP TS 24.109 [18]. The UE concludes the TLS handshake by sending the ChangeCipherSuite and Finished messages to the NAF.

4. When the NAF receives the "3GPP-bootstrapping" prefix and the B-TID in the ClientKeyExchange messages it fetches the NAF specific shared secret (Ks_NAF) from the BSF using the B-TID.

The NAF derives the TLS premaster secret from the NAF specific key (Ks_NAF) as specified in IETF Internet Draft [15].

The NAF concludes the TLS handshake by sending the ChangeCipherSuite and Finished messages to the UE.

The UE and the NAF have established a TLS tunnel using GBA-based shared secret, and then may start to use the application level communication through this tunnel.

5.4.1 TLS Profile

If the PSK TLS based authentication mechanism is supported, the UE or the NAF shall support the TLS version as specified in RFC 2246 [6], WAP-219-TLS [14], PSK TLS [15], or higher. Earlier versions are not allowed.

The UE and the NAF shall support the server_name TLS extension. All other TLS extensions as specified in RFC 3546 [8] are optional for implementation.

NOTE 2: If the NAF is doing virtual name based hosting (e.g. in the case of authentication proxy, see Annex A), the NAF needs to be able to discover the correct server name to indicate the correct NAF_ID to the BSF.

Otherwise the BSF is not able derive the correct Ks_NAF.

5.4.1.1 Protection mechanisms

The UE shall support the CipherSuite TLS_PSK_WITH_AES_128_CBC_SHA. All other Cipher Suites as defined in PSK TLS [15] are optional for implementation for the UE.

The NAF shall support the CipherSuite TLS PSK WITH AES 128 CBC SHA. All other Cipher Suites as defined in PSK TLS [15] are optional for implementation for the NAF.

Cipher Suites with NULL encryption may be used. The UE shall always include at least one cipher suite that supports encryption during the handshake phase.

Cipher Suites with NULL integrity protection (or HASH) are not allowed.

5.4.1.2 Authentication of the AP/AS

The AP/AS is authenticated by the Client as specified in PSK TLS [15].

5.4.1.3 Authentication Failures

If there is no response within a given time limit from a network initiated re-authentication request an authentication failure has occurred after that the request has been attempted for a limited number of times. This failure can be due to several reasons, e.g. that the UE has powered off or due to that the message was lost due to a bad radio channel. The AP/AS shall then still assume that if a TLS session is still valid that it can be re-used by the UE at a later time. Should then the UE re-use an existing session then the AP/AS shall re-authenticate the UE and not give access to the AP/AS unless the authentication was successful.

5.4.1.4 Set-up of Security parameters

The TLS Handshake Protocol negotiates a session, which is identified by a Session ID. The Client and the AP/AS shall allow for resuming a session. This facilitates that a Client and Server may resume a previous session or duplicate an

existing session. The lifetime of a Session ID is the lifetime of the GAA shared secret or maximum of 24 hours. The Session ID shall only be used under its lifetime and shall be considered by both the Client and the Server as obsolete when the Lifetime has expired.

===== END CHANGE =====

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CR-Form-v7.1 CHANGE REQUEST							
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For <u>HELP</u> on u	sing this form, see bottom of this page or look at the pop-up text over the 🕱 symbols	<u> </u>					
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Category:	Release: ★ Rel-6Use one of the following categories:Use one of the following categories:Use one of the following releases.F (correction)Ph2 (GSM Phase 2)A (corresponds to a correction in an earlier release)R96 (Release 1996)B (addition of feature),R97 (Release 1997)C (functional modification of feature)R98 (Release 1998)D (editorial modification)R99 (Release 1999)Detailed explanations of the above categories can be found in 3GPP TR 21.900.Rel-4 (Release 4)Rel-5 (Release 5)Rel-6 (Release 6)Rel-7 (Release 7)						
	Here are situations when UE are AP may end-up having parallel TLS connections, e.g. if two applications in the UE are able to share the same TLS connection.						
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6 Use of Authentication Proxy

An Authentication Proxy (AP) is an HTTP proxy which takes the role of a NAF for the UE. It handles the TLS security relation with the UE and relieves the application server (AS) of this task. Based on GBA the AP can assure the ASs that the request is coming from an authorized subscriber of the MNO.

6.1 Architectural view

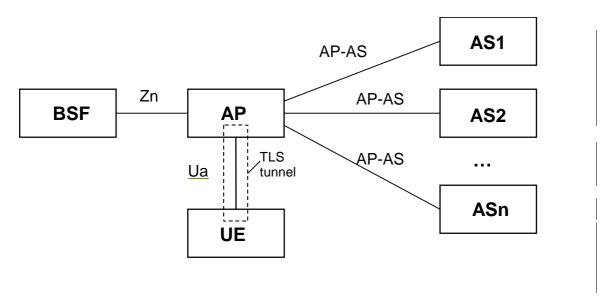


Figure 2: Environment and reference points of AP

The use of an authentication proxy (AP) is fully compatible with the architecture specified in TS 33.220 [3] and in clauses 4 and 5 of this specification. When an AP is used in this architecture, the AP takes the role of a NAF. When an HTTPS request is destined towards an application server (AS) behind an AP, the AP terminates the TLS tunnel and performs UE authentication. The AP proxies the HTTP requests received from UE to one or many application servers. The AP may add an assertion of identity of the subscriber for use by the AS, when the AP forwards the request from the UE to the AS.

Figure 3 presents an architectural view of using Authentication Proxy, for example, for IMS SIP based services. The UE shall manipulate own data such as groups, through the Ua/Ut reference point. The reference point Ut specified in TS 23.002 [1] shall be applicable to data manipulation of IMS based SIP services, such as Presence, Messaging and Conferencing services. The stage 1 requirements are specified in TS 22.250 [2].

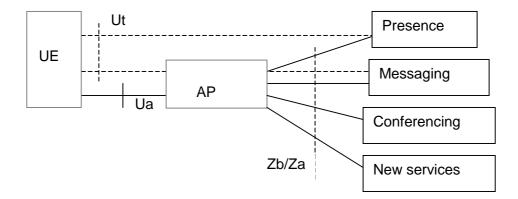


Figure 3: The architectural view using Authentication Proxy for IMS SIP based services

Management of UE identities is described in clause 6.5.

Annex A contains further guidance on technical solutions for authentication proxies.

6.2 Requirements and principles

The authentication proxy may reside between the UE and the NAF as depicted in figure 2. The usefulness of an Authentication Proxy may be to reduce the consumption of authentication vectors and/or to minimize SQN synchronization failures. Also the AP relieves the AS of security tasks.

The following requirements apply for the use of an Authentication Proxy:

- authentication proxy shall be able to authenticate the UE using the means of Generic Bootstrapping Architecture, as specified in TS 33.220 [3];
- if the application server requires an authenticated identity of the UE the authentication proxy shall send it to the application server belonging to the trust domain with every HTTP request;
- if required, the authentication proxy may not reveal the authenticated identity of the UE to the application server not belonging to the trust domain;
- the authenticated identity management mechanism shall not prevent the application server to use an appropriate session management mechanisms with the client;
- the UE shall be able to create multiple parallel HTTP sessions via the authentication proxy towards different application servers;

NOTE 1: The used session management mechanism is out of the scope of 3GPP specifications.

NOTE 2: One motivation for having AP between UE and AS's is to minimize the number of TLS connections.

However, there are situations when UE and AP may end-up having parallel TLS connections, e.g. if two applications in the UE are not able to share the same TLS connection.

- implementation of check of asserted user identity in the AS is optional;
- activation of transfer of asserted user identity shall be configurable in the AP on a per AS basis.

The use of an authentication proxy should be such that there is no need to manage the authentication proxy configuration in the UE.

NOTE 32: This requirement implies that the authentication proxy should be a reverse proxy in the following sense: A reverse proxy is a web server system that is capable of serving web pages sourced from other web servers - in addition to web pages on disk or generated dynamically by CGI - making these pages look like they originated at the reverse proxy.

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S3-050175

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5.2 General requirements and principles

This document is based on the architecture specified in TS 33.220 [3]. All notions not explained here can be found in TS 33.220 [3]. For the purposes of this document Ks_(ext)_NAF refers to the key shared between the UE and a NAF. In the case of GBA_U, Ks_(ext)_NAF refers to Ks_ext_NAF, and in the case of GBA_ME, Ks_(ext)_NAF refers to Ks_NAF.

Editor's note: The impacts of the use of ks_int_NAF for HTTPs have to be studied for SA3#38.

5.2.1 Requirements on the UE

To utilise GBA as described in this document the UE shall be equipped with a HTTPS capable client (e.g. browser) implementing the particular features of GBA as specified in TS 33.220 [3].

5.2.2 Requirements on the NAF and BSF

To utilise GBA as described in this document the NAF and BSF shall support the features of GBA as specified in TS 33.220 [3].

Note: The support of GBA U is optional for the NAF. However, as indicated in TS 33.220 [3], the use of Ks ext NAF is supported by NAFs, which are GBA U unaware.

Additionally in the scope of this specification, HTTP and TLS shall be supported by the NAF for the UE-NAF reference point (Ua).

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5.3 Shared key-based UE authentication with certificate-based NAF authentication

The authentication mechanism described in this section is mandatory to implement in UE and NAF.

This section explains how the procedures specified in TS 33.220 [3] have to be enhanced when HTTPS is used between a UE and a NAF. The following gives the complementary description with respect to the procedure specified in clauses 4.5.3 and 5.3.3 of TS 33.220 [3]. This document specifies the logical information carried in some header fields. The exact definition of header fields is left to stage 3 specifications.

- 1) When the UE starts communication via Ua reference point with the NAF, it shall establish a TLS tunnel with the NAF. The NAF is authenticated to the UE by means of a public key certificate. The UE shall verify that the server certificate corresponds to the FQDN of the NAF it established the tunnel with. No client authentication is performed as part of TLS (no client certificate necessary).
- 2) The UE sends an HTTP request to the NAF inside the TLS tunnel (HTTPS, i.e. HTTP over TLS). The UE shall indicate to the NAF that GBA-based authentication is supported by adding a constant string "3gpp-gba" to the "User-Agent" HTTP header as a product token as specified in IETF RFC 2616 [12]. The UE shall send the hostname of the NAF in "Host" HTTP header.

NOTE 1: The ability to send the hostname of the NAF is particularly necessary if a NAF can be addressed using different hostnames, and the NAF cannot otherwise discover what is the hostname that the UE used to contact the NAF. The hostname is needed by the BSF during key derivation.

- 3) In response to the HTTP request received from UE over the Ua reference point, the NAF shall invoke HTTP digest as specified in RFC 2617 [10] with the UE in order to perform client authentication using the shared key as specified in section-clauses 4.5.3 and 5.3.3 of TS 33.220 [3]. The realm attribute of the WWW-Authenticate header field shall contain the constant string "3GPP-bootstrapping" and the FQDN of the NAF, to indicate the GBA as the required authentication method.
- 4) On receipt of the response from the NAF, the UE shall verify that the FQDN in the realm attribute corresponds to the FQDN of the NAF it established the TLS connection with. On failure the UE shall terminate the TLS connection with the NAF.
- 5) In the following request to NAF the UE sends a response with an Authorization header field where Digest is inserted using the B-TID as username and the NAF-specific key session key (Ks (ext) NAF) as password.
- 6) On receipt of this request the NAF shall verify the value of the password attribute by means of the <u>NAF-specific keyKs_NAF (Ks_(ext)_NAF)</u> retrieved from BSF over Zn using the B-TID received as user name attribute in the query.
- 7) After the completion of step 6), UE and NAF are mutually authenticated as the TLS tunnel endpoints.
- NOTE 2: RFC 2617 [10] mandates in section 3.3 that all further HTTP requests to the same realm must contain the Authorization request header field, otherwise the server has to send a new "401 Unauthorized" with a new WWW-Authenticate header. In principle it is not necessary to send an Authorization header in each new HTTP request for security reasons as long as the TLS tunnel exists, but this would not conform to RFC 2617 [10].

In addition, there may be problems with the lifetime of a TLS session, as the TLS session may time-out at unpredictable (at least for the UE) times, so any request sent by UE can be the first request inside a newly established TLS tunnel requiring the NAF to re-check user credentials.

It shall be possible for the AP/AS to request a re-authentication of an active UE, see TS 33.220 [11], clauses 4.5.3 and 5.3.3.

===== END CHANGE =====	
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5.4 Shared key-based mutual authentication between UE and NAF

The authentication mechanism described in this section is optional to implement in UE and NAF.

Editor's note: If the "Pre-Shared Key Ciphersuites for TLS" Internet Draft [15] does not reach the RFC status by the time when Release 6 is frozen, this subclause shall be removed and the support for the Pre-Shared Key TLS is postponed to Release 7.

The HTTP client and server may authenticate each other based on the shared key generated during the bootstrapping procedure. The shared key shall be used as a master key to generate TLS session keys, and also be used as the proof of secret key possession as part of the authentication function. The exact procedure is specified in Pre-Shared Key Ciphersuites for Transport Layer Security (TLS) [15].

Editor's note: The exact procedure of "Pre-Shared Key Ciphersuites for TLS" is under inspection in IETF. When the procedure is ready in IETF, the description how it is used in GAA should be added to TS 24.109, and this subclause should refer to it. The following gives general guidelines for how the TLS handshake may be accomplished using a GBA-based shared secret. The exact definitions of the message fields are left to the stage 3 specifications.

This section explains how a GBA-based shared secret that is established between the UE and the BSF as specified in TS 33.220 [3] is used with Pre-Shared Key (PSK) Ciphersuites for TLS as specified in IETF Internet-Draft [15].

1. When an UE contacts a NAF, it may indicate to the NAF that it supports PSK-based TLS by adding one or more PSK-based ciphersuites to the ClientHello message. The UE shall include ciphersuites other than PSK-based

ciphersuites in the ClientHello message. The UE shall send the hostname of the NAF using the server_name extension to the ClientHello message as specified in IETF RFC 3546 [8].

- NOTE 1: The ability to send the hostname of the NAF is particularly necessary if a NAF can be addressed using different hostnames, and the NAF cannot otherwise discover what is the hostname that the UE used to contact the NAF. The hostname is needed by the BSF during key derivation.
- NOTE 2: When the UE adds one or more PSK-based ciphersuites to the ClientHello message, this can be seen as an indication that the UE supports GBA-based authentication. If the UE supports PKS-based ciphersuites but not GBA-based authentication, the TLS handshake will fail if the NAF selected the PSK-based ciphersuite and suggested to use GBA (as described in step 2). In this case, the UE should attempt to establish the TLS tunnel with the NAF without including PSK-based ciphersuites to the CientHello message, according to the procedure specified in clause 5.3. This note does not limit the use of PSK TLS to HTTP-based services.
- 2. If the NAF is willing to establish a TLS tunnel using a PSK-based ciphersuite, it shall select one of the PSK-based ciphersuites offered by the UE, and send the selected ciphersuite to the UE in the ServerHello message. The NAF shall send the ServerKeyExchange message with a PSK-identity that shall contain a constant string "3GPP-bootstrapping" to indicate the GBA as the required authentication method. The NAF finishes the reply to the UE by sending a ServerHelloDone message.
- NOTE 3: If the NAF does not wish to establish a TLS tunnel using a PSK-based ciphersuite, it shall select a non-PSK-based ciphersuite and continue TLS tunnel establishment based on the procedure described either in clause 5.3 or clause 5.5.
- 3. The UE shall use a GBA-based shared secret for PSK TLS, if the NAF has sent a ServerHello message containing a PSK-based ciphersuite, and a ServerKeyExchange message containing a constant string "3GPP-bootstrapping" as the PSK identity hint. If the UE does not have a valid GBA-based shared secret it shall obtain one by running the bootstrapping procedure with the BSF over the Ub reference point as specified in TS 33.220 [3].
 - The UE derives the TLS premaster secret from the NAF specific key (Ks_(ext)_NAF) as specified in IETF Internet Draft [15].
 - The UE shall send a ClientKeyExchange message with the B-TID as the PSK identity. The UE concludes the TLS handshake by sending the ChangeCipherSuite and Finished messages to the NAF.
- 4. When the NAF receives the B-TID in the ClientKeyExchange messages it fetches the NAF specific shared secret-(Ks_(ext)_NAF) from the BSF using the B-TID.
 - The NAF derives the TLS premaster secret from the NAF specific key (Ks_(ext)_NAF) as specified in IETF Internet Draft [15].

The NAF concludes the TLS handshake by sending the ChangeCipherSuite and Finished messages to the UE.

The UE and the NAF have established a TLS tunnel using GBA-based shared secret, and then may start to use the application level communication through this tunnel.

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