

**Source:** TSG SA WG2  
**Title:** CRs on 23.107 (QoS Concept and Architecture)  
**Agenda Item:** 7.2.3

The following Change Requests (CRs) have been approved by TSG SA WG2 and are requested to be approved by TSG SA plenary #23.  
Note: the source of all these CRs is now S2, even if the name of the originating company(ies) is still reflected on the cover page of all the attached CRs.

S2 doc #	Title	Spec	CR #	cat	Version in	REL	WI	S2 meeting	Clauses affected
<a href="#">S2-041006</a>	Correction to the use of delivery order set to yes	23.107	<b>148r2</b>	F	5.11.0	5	TEI5	S2 #38	6.4.3.1, 6.4.4.1
<a href="#">S2-041007</a>	Correction to the use of delivery order set to yes	23.107	<b>149r2</b>	A	6.0.0	6	TEI5	S2 #38	6.4.3.1, 6.4.4.1
<a href="#">S2-041029</a>	ARP Clarification	23.107	<b>150r2</b>	F	6.0.0	6	QoS1	S2 #38	6.4.3.1, 6.4.4.1
<a href="#">S2-040903</a>	Removal of reliability class 1	23.107	<b>151r1</b>	F	6.0.0	6	TEI6	S2 #38	6.4.1, 6.5.1, 9.1.2.2

## CHANGE REQUEST

# 23.107 CR 148 # rev 2 # Current version: 5.11.0 #

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

**Proposed change affects:** UICC apps#  ME  Radio Access Network  Core Network

<b>Title:</b>	# Correction to the use of delivery order set to yes		
<b>Source:</b>	# SA2 (Vodafone UK)		
<b>Work item code:</b>	# TEI5	<b>Date:</b>	# 16/02/2004
<b>Category:</b>	# <b>F</b>	<b>Release:</b>	# Rel-5
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	<b>F</b> (correction)		2 (GSM Phase 2)
	<b>A</b> (corresponds to a correction in an earlier release)		R96 (Release 1996)
	<b>B</b> (addition of feature),		R97 (Release 1997)
	<b>C</b> (functional modification of feature)		R98 (Release 1998)
	<b>D</b> (editorial modification)		R99 (Release 1999)
	Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .		Rel-4 (Release 4)
			Rel-5 (Release 5)
			Rel-6 (Release 6)

<b>Reason for change:</b>	# With PDP Contexts of type 'IPv4' or 'IPv6', the higher layer protocols above IP are capable of performing recovery from either out-of-sequence or lost packets. Therefore in-sequence delivery appears to be redundant and may cause failure of RAB assignment and also increase transfer delay encountered on the user plane. Currently, It is unclear when in-sequence delivery of packets is required.
<b>Summary of change:</b>	# Addition of sentence stating that delivery order should be set to 'no' for PDP type = IPv4 or IPv6.
<b>Consequences if not approved:</b>	# If delivery order is set to 'yes' unnecessarily, there will be an impact on buffer dimensioning in the SGSN and RNC and overall load due to increased number of re-transmission requests due to queued or dropped SDUs. Also a conflict between operator policies whilst a subscriber is roaming may cause unnecessary failure at RAB Assignment.

<b>Clauses affected:</b>	# 6.4.3.1, 6.4.4.1										
<b>Other specs affected:</b>	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">Y</td> <td style="width: 20px; text-align: center;">N</td> </tr> <tr> <td style="text-align: center;">#</td> <td style="text-align: center;">X</td> </tr> <tr> <td style="text-align: center;">#</td> <td style="text-align: center;">X</td> </tr> <tr> <td style="text-align: center;">#</td> <td style="text-align: center;">X</td> </tr> </table>	Y	N	#	X	#	X	#	X	Other core specifications	#
Y	N										
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		Test specifications	#								
		O&M Specifications	#								
<b>Other comments:</b>	#										

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- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 6.4.3 UMTS Bearer Service Attributes

### 6.4.3.1 List of attributes

#### Traffic class ('conversational', 'streaming', 'interactive', 'background')

Definition: type of application for which the UMTS bearer service is optimised

*[Purpose: By including the traffic class itself as an attribute, UMTS can make assumptions about the traffic source and optimise the transport for that traffic type.]*

#### Maximum bitrate (kbps)

Definition: maximum number of bits delivered by UMTS and to UMTS at a SAP within a period of time, divided by the duration of the period. The traffic is conformant with Maximum bitrate as long as it follows a token bucket algorithm where token rate equals Maximum bitrate and bucket size equals Maximum SDU size.

The conformance definition should not be interpreted as a required implementation algorithm. The token bucket algorithm is described in annex B.

The Maximum bitrate is the upper limit a user or application can accept or provide. All UMTS bearer service attributes may be fulfilled for traffic up to the Maximum bitrate depending on the network conditions.

*[Purpose: Maximum bitrate can be used to make code reservations in the downlink of the radio interface. Its purpose is 1) to limit the delivered bitrate to applications or external networks with such limitations 2) to allow maximum wanted user bitrate to be defined for applications able to operate with different rates (e.g. applications with adapting codecs).]*

#### Guaranteed bitrate (kbps)

Definition: guaranteed number of bits delivered by UMTS at a SAP within a period of time (provided that there is data to deliver), divided by the duration of the period. The traffic is conformant with the guaranteed bitrate as long as it follows a token bucket algorithm where token rate equals Guaranteed bitrate and bucket size equals Maximum SDU size.

The conformance definition should not be interpreted as a required implementation algorithm. The token bucket algorithm is described in annex B.

UMTS bearer service attributes, e.g. delay and reliability attributes, are guaranteed for traffic up to the Guaranteed bitrate. For the traffic exceeding the Guaranteed bitrate the UMTS bearer service attributes are not guaranteed.

*[Purpose: Describes the bitrate the UMTS bearer service shall guarantee to the user or application. Guaranteed bitrate may be used to facilitate admission control based on available resources, and for resource allocation within UMTS.]*

#### Delivery order (y/n)

Definition: indicates whether the UMTS bearer shall provide in-sequence SDU delivery or not.

*[Purpose: the attribute is derived from the user protocol (PDP type) and specifies if out-of-sequence SDUs are acceptable or not. This information cannot be extracted from the traffic class. Whether out-of-sequence SDUs are dropped or re-ordered depends on the specified reliability]*

[Note: Delivery order should be set to 'no' for PDP Type = 'IPv4' or 'IPv6'.](#)

#### Maximum SDU size (octets)

Definition: the maximum SDU size for which the network shall satisfy the negotiated QoS.

*[Purpose: The maximum SDU size is used for admission control and policing and/or optimising transport (optimized transport in for example the RAN may be dependent on the size of the packets). Handling by the network of packets larger than Maximum SDU size is implementation specific (e.g. they may be dropped or forwarded with decreased QoS).]*

*Note: The Maximum Transfer Unit (MTU) of the IP layer and the Maximum SDU Size have no relationship; in particular the GGSN should not perform IP fragmentation based on the Maximum SDU Size.*

**SDU format information (bits)**

Definition: list of possible exact sizes of SDUs

*[Purpose: RAN needs SDU size information to be able to operate in transparent RLC protocol mode, which is beneficial to spectral efficiency and delay when RLC re-transmission is not used. Thus, if the application can specify SDU sizes, the bearer is less expensive.]*

**SDU error ratio**

Definition: Indicates the fraction of SDUs lost or detected as erroneous. SDU error ratio is defined only for conforming traffic.

NOTE 1: By reserving resources, SDU error ratio performance is independent of the loading conditions, whereas without reserved resources, such as in Interactive and Background classes, SDU error ratio is used as target value.

*[Purpose: Used to configure the protocols, algorithms and error detection schemes, primarily within RAN.]*

**Residual bit error ratio**

Definition: Indicates the undetected bit error ratio in the delivered SDUs. If no error detection is requested, Residual bit error ratio indicates the bit error ratio in the delivered SDUs.

*[Purpose: Used to configure radio interface protocols, algorithms and error detection coding.]*

**Delivery of erroneous SDUs (y/n/-)**

Definition: Indicates whether SDUs detected as erroneous shall be delivered or discarded.

NOTE 2: 'yes' implies that error detection is employed and that erroneous SDUs are delivered together with an error indication, 'no' implies that error detection is employed and that erroneous SDUs are discarded, and '-' implies that SDUs are delivered without considering error detection.

*[Purpose: Used to decide whether error detection is needed and whether frames with detected errors shall be forwarded or not.]*

**Transfer delay (ms)**

Definition: Indicates maximum delay for 95<sup>th</sup> percentile of the distribution of delay for all delivered SDUs during the lifetime of a bearer service, where delay for an SDU is defined as the time from a request to transfer an SDU at one SAP to its delivery at the other SAP.

*[Purpose: relates to the delay tolerated by the application. In conjunction with the SDU error ratio attribute, care needs to be taken in deriving the value for the 95th percentile when an application desires, for example, that 99.9% of all transmitted packets are delivered within a certain time. This attribute allows RAN to set transport formats and ARQ parameters.]*

NOTE 3: Transfer delay of an arbitrary SDU is not meaningful for a bursty source, since the last SDUs of a burst may have long delay due to queuing, whereas the meaningful response delay perceived by the user is the delay of the first SDU of the burst.

**Traffic handling priority**

Definition: specifies the relative importance for handling of all SDUs belonging to the UMTS bearer compared to the SDUs of other bearers.

*[Purpose: Within the interactive class, there is a definite need to differentiate between bearer qualities. This is handled by using the traffic handling priority attribute, to allow UMTS to schedule traffic accordingly. By definition, priority is an alternative to absolute guarantees, and thus these two attribute types cannot be used together for a single bearer.]*

**Allocation/Retention Priority**

Definition: specifies the relative importance compared to other UMTS bearers for allocation and retention of the UMTS bearer. The Allocation/Retention Priority attribute is a subscription attribute which is not negotiated from the mobile terminal.

NOTE 4: The addition of a user-controlled Allocation/Retention Priority attribute is for further study in future releases.

*[Purpose: Priority is used for differentiating between bearers when performing allocation and retention of a bearer. In situations where resources are scarce, the relevant network elements can use the Allocation/Retention Priority to prioritize bearers with a high Allocation/Retention Priority over bearers with a low Allocation/Retention Priority when performing admission control.]*

#### **Source statistics descriptor ('speech'/'unknown')**

Definition: specifies characteristics of the source of submitted SDUs.

*[Note: The number of different source statistics descriptors that should be allowed is FFS.]*

*[Purpose: Conversational speech has a well-known statistical behaviour (or the discontinuous transmission (DTX) factor). By being informed that the SDUs for a UMTS bearer are generated by a speech source, RAN, the SGSN and the GGSN and also the UE may, based on experience, calculate a statistical multiplex gain for use in admission control on the relevant interfaces.]*

#### **Signalling Indication (Yes/No)**

Definition: Indicates the signalling nature of the submitted SDUs. This attribute is additional to the other QoS attributes and does not over-ride them. This attribute is only defined for the interactive traffic class. If signalling indication is set to 'Yes', the UE should set the traffic handling priority to '1'.

*[Purpose: Signalling traffic can have different characteristics to other interactive traffic, eg higher priority, lower delay and increased peakiness. This attribute permits enhancing the RAN operation accordingly. An example use of the Signalling Indication is for IMS signalling traffic.]*

Note: this indication is sent by the UE in the QoS IE.

\*\*\*\*\* [NEXT MODIFIED SECTION](#) \*\*\*\*\*

### 6.4.4.1 List of attributes

#### **Traffic class ('conversational', 'streaming', 'interactive', 'background')**

Definition: type of application for which the Radio Access Bearer service is optimised.

*[Purpose: By including the traffic class itself as an attribute, RAN can make assumptions about the traffic source and optimise the transport for that traffic type. In particular, buffer allocation may be based on traffic class.]*

#### **Maximum bitrate (kbps)**

Definition: maximum number of bits delivered by RAN and to RAN at a SAP within a period of time, divided by the duration of the period. The traffic is conformant with the Maximum bitrate as long as it follows a token bucket algorithm where token rate equals Maximum bitrate and bucket size equals Maximum SDU size.

The conformance definition should not be interpreted as a required implementation algorithm. The token bucket algorithm is described in annex B.

The Maximum bitrate is the upper limit a user or application can accept or provide. All RAB attributes may be fulfilled for traffic up to the Maximum bitrate depending on the network conditions.

*[Purpose: 1) to limit the delivered bitrate to applications or external networks with such limitations, 2) to allow maximum wanted RAB bitrate to be defined for applications able to operate with different rates (e.g. applications with adapting codecs.)]*

#### **Guaranteed bitrate (kbps)**

Definition: guaranteed number of bits delivered at a SAP within a period of time (provided that there is data to deliver), divided by the duration of the period. The traffic is conformant with the Guaranteed bitrate as long as it follows a token bucket algorithm where token rate equals Guaranteed bitrate and bucket size equals Maximum SDU size.

The conformance definition should not be interpreted as a required implementation algorithm. The token bucket algorithm is described in annex B.

RAB attributes, e.g. delay and reliability attributes, are guaranteed for traffic up to the Guaranteed bitrate. For the traffic exceeding the Guaranteed bitrate the RAB attributes are not guaranteed.

*[Purpose: Describes the bitrate the RAB shall guarantee to the user or application. Guaranteed bitrate may be used to facilitate admission control based on available resources, and for resource allocation within RAN.. The guaranteed bitrate at the RAB level may be different from that on UMTS bearer level, for example due to header compression.]*

#### **Delivery order (y/n)**

Definition: indicates whether the UMTS bearer shall provide in-sequence SDU delivery or not.

*[Purpose: specifies if out-of-sequence SDUs are acceptable or not. This information cannot be extracted from the traffic class. Whether out-of-sequence SDUs are dropped or re-ordered depends on the specified reliability.]*

Note: Delivery order should be set to 'no' for PDP Type = 'IP v4' or 'IPv6'

#### **Maximum SDU size (octets)**

Definition: the maximum SDU size for which the network shall satisfy the negotiated QoS.

*[Purpose: The maximum SDU size is used for admission control and policing and/or optimising transport (optimized transport in for example the RAN may be dependent on the size of the packets). Handling by the network of packets larger than Maximum SDU size is implementation specific (e.g. they may be dropped or forwarded with decreased QoS).]*

#### **SDU format information (bits)**

Definition: list of possible exact sizes of SDUs. If unequal error protection shall be used by a Radio Access Bearer service, SDU format information defines the exact subflow format of the SDU payload. SDU format information also supports definition of allowed subflow bitrates.

NOTE 1: SDU format information is used by RAN to define which bits of the payload that belongs to each subflow. Exact syntax of SDU format information attribute is the task of RAN WG3.

*[Purpose: RAN needs SDU format information to be able to operate in transparent RLC protocol mode, which is beneficial to spectral efficiency and delay when RLC re-transmission is not used. Thus, if the application can specify SDU sizes, the bearer is less expensive. Moreover, in case of unequal error protection, RAN needs to know the exact format of SDU payload to be able to demultiplex the SDU onto different radio bearer services. When rate control is applied to services having a constant SDU size, e.g. CS data, the subflow bitrate is used to calculate the allowed inter PDU transmission interval (IPTI).]*

#### **SDU error ratio**

Definition: Indicates the fraction of SDUs lost or detected as erroneous. SDU error ratio is defined only for conforming traffic. In case of unequal error protection., SDU error ratio is set per subflow and represents the error ratio in each subflow. SDU error ratio is only set for subflows for which error detection is requested.

NOTE 2: By reserving resources, SDU error ratio performance is independent of the loading conditions, whereas without reserved resources, such as in Interactive and Background classes, SDU error ratio is used as target value.

*[Purpose: Used to configure protocols, algorithms and error detection schemes, primarily within RAN.]*

#### **Residual bit error ratio**

Definition: Indicates the undetected bit error ratio for each subflow in the delivered SDUs. For equal error protection, only one value is needed. If no error detection is requested for a subflow, Residual bit error ratio indicates the bit error ratio in that subflow of the delivered SDUs.

*[Purpose: Used to configure radio interface protocols, algorithms and error detection coding. For services requiring unequal error protection, residual bit error ratio is given for each subflow.]*

#### **Delivery of erroneous SDUs (y/n/-)**

Definition: Indicates whether SDUs with detected errors shall be delivered or not. In case of unequal error protection, the attribute is set per subflow.

NOTE 3: 'yes' implies that error detection is employed and that erroneous SDUs are delivered together with an error indication, 'no' implies that error detection is employed and that erroneous SDUs are discarded, and '-' implies that SDUs are delivered without considering error detection.

In case of unequal protection, different subflows may have different settings. Whenever there is a detected error in a subflow with 'no', the SDU is discarded, irrespective of settings in other subflows. For an SDU with multiple subflows with a 'yes' setting, there may be one error indication per subflow, or, if there is only one error indication per SDU, it indicates that an error was detected in at least one of these subflows. Exact definitions are the task of RAN3.

*[Purpose: Used to decide whether error detection is needed and whether frames with detected errors shall be forwarded or discarded.]*

### **Transfer delay (ms)**

Definition: Indicates maximum delay for 95<sup>th</sup> percentile of the distribution of delay for all delivered SDUs during the lifetime of a bearer service, where delay for an SDU is defined as the time from a request to transfer an SDU at one SAP to its delivery at the other SAP.

*[Purpose: permits the derivation of the RAN part of the total transfer delay for the UMTS bearer. In conjunction with the SDU error ratio attribute, care needs to be taken in deriving the value for the 95th percentile when an application desires, for example, that 99.9% of all transmitted packets are delivered within a certain time. This attribute allows RAN to set transport formats and ARQ parameters.]*

### **Traffic handling priority**

Definition: specifies the relative importance for handling of all SDUs belonging to the radio access bearer compared to the SDUs of other bearers.

*[Purpose: Within the interactive class, there is a definite need to differentiate between bearer qualities. This is handled by using the traffic handling priority attribute, to allow RAN to schedule traffic accordingly. By definition, priority is an alternative to absolute guarantees, and thus these two attribute types cannot be used together for a single bearer.]*

### **Allocation/Retention Priority**

Definition: specifies the relative importance compared to other Radio access bearers for allocation and retention of the Radio access bearer. The Allocation/Retention Priority attribute is a subscription parameter which is not negotiated from the mobile terminal.

NOTE 4: The addition of a user-controlled Allocation/Retention Priority attribute is for further study in future releases.

*[Purpose: Priority is used for differentiating between bearers when performing allocation and retention of a bearer. In situations where resources are scarce, the relevant network elements can use the Allocation/Retention Priority to prioritize bearers with a high Allocation/Retention Priority over bearers with a low Allocation/Retention Priority when performing admission control.]*

### **Source statistics descriptor ('speech'/'unknown')**

Definition: specifies characteristics of the source of submitted SDUs.

*[Purpose: Conversational speech has a well-known statistical behaviour (or the discontinuous transmission (DTX) factor). By being informed that the SDUs for a RAB are generated by a speech source, RAN may, based on experience, calculate a statistical multiplex gain for use in admission control on the radio and RAN Access interfaces.]*

### **Signalling Indication (Yes/No)**

Definition: Indicates the signalling nature of the submitted SDUs. This attribute is additional to the other QoS attributes and does not over-ride them.

*[Purpose: Signalling traffic can have different characteristics to other interactive traffic, eg higher priority, lower delay and increased peakiness. This attribute permits enhancing the RAN operation accordingly. An example use of the Signalling Indication is for IMS signalling traffic. ]*



\*\*\*\*\* END OF CHANGES \*\*\*\*\*

## CHANGE REQUEST

⌘ **23.107 CR 149** ⌘ rev **2** ⌘ Current version: **6.0.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

**Proposed change affects:** UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	⌘ Correction to the use of delivery order set to yes		
<b>Source:</b>	⌘ SA2 (Vodafone UK)		
<b>Work item code:</b>	⌘ TEI5	<b>Date:</b>	⌘ 16/02/2004
<b>Category:</b>	⌘ <b>A</b>	<b>Release:</b>	⌘ Rel-6
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	F (correction)	2	(GSM Phase 2)
	A (corresponds to a correction in an earlier release)	R96	(Release 1996)
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	C (functional modification of feature)	R98	(Release 1998)
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	Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .	Rel-4	(Release 4)
		Rel-5	(Release 5)
		Rel-6	(Release 6)

<b>Reason for change:</b>	⌘ With PDP Contexts of type 'IPv4' or 'IPv6', the higher layer protocols above IP are capable of performing recovery from either out-of-sequence or lost packets. Therefore in-sequence delivery appears to be redundant and may cause failure of RAB assignment and also increase transfer delay encountered on the user plane. Currently, It is unclear when in-sequence delivery of packets is required.
<b>Summary of change:</b>	⌘ Addition of sentence stating that delivery order should be set to 'no' for PDP type = IPv4 or IPv6.
<b>Consequences if not approved:</b>	⌘ If delivery order is set to 'yes' unnecessarily, there will be an impact on buffer dimensioning in the SGSN and RNC and overall load due to increased number of re-transmission requests due to queued or dropped SDUs. Also a conflict between operator policies whilst a subscriber is roaming may cause unnecessary failure at RAB Assignment.

<b>Clauses affected:</b>	⌘ 6.4.3.1, 6.4.4.1						
<b>Other specs affected:</b>	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">Y</td> <td style="width: 20px; text-align: center;">N</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table>	Y	N	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Other core specifications	⌘
Y	N						
<input type="checkbox"/>	<input checked="" type="checkbox"/>						
	<input checked="" type="checkbox"/>	Test specifications					
	<input checked="" type="checkbox"/>	O&M Specifications					
<b>Other comments:</b>	⌘						

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 6.4.3 UMTS Bearer Service Attributes

### 6.4.3.1 List of attributes

#### Traffic class ('conversational', 'streaming', 'interactive', 'background')

Definition: type of application for which the UMTS bearer service is optimised

*[Purpose: By including the traffic class itself as an attribute, UMTS can make assumptions about the traffic source and optimise the transport for that traffic type.]*

#### Maximum bitrate (kbps)

Definition: maximum number of bits delivered by UMTS and to UMTS at a SAP within a period of time, divided by the duration of the period. The traffic is conformant with Maximum bitrate as long as it follows a token bucket algorithm where token rate equals Maximum bitrate and bucket size equals Maximum SDU size.

The conformance definition should not be interpreted as a required implementation algorithm. The token bucket algorithm is described in annex B.

The Maximum bitrate is the upper limit a user or application can accept or provide. All UMTS bearer service attributes may be fulfilled for traffic up to the Maximum bitrate depending on the network conditions.

*[Purpose: Maximum bitrate can be used to make code reservations in the downlink of the radio interface. Its purpose is 1) to limit the delivered bitrate to applications or external networks with such limitations 2) to allow maximum wanted user bitrate to be defined for applications able to operate with different rates (e.g. applications with adapting codecs).]*

#### Guaranteed bitrate (kbps)

Definition: guaranteed number of bits delivered by UMTS at a SAP within a period of time (provided that there is data to deliver), divided by the duration of the period. The traffic is conformant with the guaranteed bitrate as long as it follows a token bucket algorithm where token rate equals Guaranteed bitrate and bucket size equals Maximum SDU size.

The conformance definition should not be interpreted as a required implementation algorithm. The token bucket algorithm is described in annex B.

UMTS bearer service attributes, e.g. delay and reliability attributes, are guaranteed for traffic up to the Guaranteed bitrate. For the traffic exceeding the Guaranteed bitrate the UMTS bearer service attributes are not guaranteed.

*[Purpose: Describes the bitrate the UMTS bearer service shall guarantee to the user or application. Guaranteed bitrate may be used to facilitate admission control based on available resources, and for resource allocation within UMTS.]*

#### Delivery order (y/n)

Definition: indicates whether the UMTS bearer shall provide in-sequence SDU delivery or not.

*[Purpose: the attribute is derived from the user protocol (PDP type) and specifies if out-of-sequence SDUs are acceptable or not. This information cannot be extracted from the traffic class. Whether out-of-sequence SDUs are dropped or re-ordered depends on the specified reliability]*

[Note: Delivery order should be set to 'no' for PDP Type = 'IPv4' or 'IPv6'](#)

#### Maximum SDU size (octets)

Definition: the maximum SDU size for which the network shall satisfy the negotiated QoS.

*[Purpose: The maximum SDU size is used for admission control and policing and/or optimising transport (optimized transport in for example the RAN may be dependent on the size of the packets). Handling by the network of packets larger than Maximum SDU size is implementation specific (e.g. they may be dropped or forwarded with decreased QoS).]*

*Note: The Maximum Transfer Unit (MTU) of the IP layer and the Maximum SDU Size have no relationship; in particular the GGSN should not perform IP fragmentation based on the Maximum SDU Size.*

**SDU format information (bits)**

Definition: list of possible exact sizes of SDUs

*[Purpose: RAN needs SDU size information to be able to operate in transparent RLC protocol mode, which is beneficial to spectral efficiency and delay when RLC re-transmission is not used. Thus, if the application can specify SDU sizes, the bearer is less expensive.]*

**SDU error ratio**

Definition: Indicates the fraction of SDUs lost or detected as erroneous. SDU error ratio is defined only for conforming traffic.

NOTE 1: By reserving resources, SDU error ratio performance is independent of the loading conditions, whereas without reserved resources, such as in Interactive and Background classes, SDU error ratio is used as target value.

*[Purpose: Used to configure the protocols, algorithms and error detection schemes, primarily within RAN.]*

**Residual bit error ratio**

Definition: Indicates the undetected bit error ratio in the delivered SDUs. If no error detection is requested, Residual bit error ratio indicates the bit error ratio in the delivered SDUs.

*[Purpose: Used to configure radio interface protocols, algorithms and error detection coding.]*

**Delivery of erroneous SDUs (y/n/-)**

Definition: Indicates whether SDUs detected as erroneous shall be delivered or discarded.

NOTE 2: 'yes' implies that error detection is employed and that erroneous SDUs are delivered together with an error indication, 'no' implies that error detection is employed and that erroneous SDUs are discarded, and '-' implies that SDUs are delivered without considering error detection.

*[Purpose: Used to decide whether error detection is needed and whether frames with detected errors shall be forwarded or not.]*

**Transfer delay (ms)**

Definition: Indicates maximum delay for 95<sup>th</sup> percentile of the distribution of delay for all delivered SDUs during the lifetime of a bearer service, where delay for an SDU is defined as the time from a request to transfer an SDU at one SAP to its delivery at the other SAP.

*[Purpose: relates to the delay tolerated by the application. In conjunction with the SDU error ratio attribute, care needs to be taken in deriving the value for the 95th percentile when an application desires, for example, that 99.9% of all transmitted packets are delivered within a certain time. This attribute allows RAN to set transport formats and ARQ parameters.]*

NOTE 3: Transfer delay of an arbitrary SDU is not meaningful for a bursty source, since the last SDUs of a burst may have long delay due to queuing, whereas the meaningful response delay perceived by the user is the delay of the first SDU of the burst.

**Traffic handling priority**

Definition: specifies the relative importance for handling of all SDUs belonging to the UMTS bearer compared to the SDUs of other bearers.

*[Purpose: Within the interactive class, there is a definite need to differentiate between bearer qualities. This is handled by using the traffic handling priority attribute, to allow UMTS to schedule traffic accordingly. By definition, priority is an alternative to absolute guarantees, and thus these two attribute types cannot be used together for a single bearer.]*

**Allocation/Retention Priority**

Definition: specifies the relative importance compared to other UMTS bearers for allocation and retention of the UMTS bearer. The Allocation/Retention Priority attribute is a subscription attribute which is not negotiated from the mobile terminal.

NOTE 4: The addition of a user-controlled Allocation/Retention Priority attribute is for further study in future releases.

*[Purpose: Priority is used for differentiating between bearers when performing allocation and retention of a bearer. In situations where resources are scarce, the relevant network elements can use the Allocation/Retention Priority to prioritize bearers with a high Allocation/Retention Priority over bearers with a low Allocation/Retention Priority when performing admission control.]*

#### **Source statistics descriptor ('speech'/'unknown')**

Definition: specifies characteristics of the source of submitted SDUs.

*[Note: The number of different source statistics descriptors that should be allowed is FFS.]*

*[Purpose: Conversational speech has a well-known statistical behaviour (or the discontinuous transmission (DTX) factor). By being informed that the SDUs for a UMTS bearer are generated by a speech source, RAN, the SGSN and the GGSN and also the UE may, based on experience, calculate a statistical multiplex gain for use in admission control on the relevant interfaces.]*

#### **Signalling Indication (Yes/No)**

Definition: Indicates the signalling nature of the submitted SDUs. This attribute is additional to the other QoS attributes and does not over-ride them. This attribute is only defined for the interactive traffic class. If signalling indication is set to 'Yes', the UE should set the traffic handling priority to '1'.

*[Purpose: Signalling traffic can have different characteristics to other interactive traffic, eg higher priority, lower delay and increased peakiness. This attribute permits enhancing the RAN operation accordingly. An example use of the Signalling Indication is for IMS signalling traffic.]*

Note: this indication is sent by the UE in the QoS IE.

\*\*\*\*\* [NEXT MODIFIED SECTION](#) \*\*\*\*\*

### 6.4.4.1 List of attributes

#### **Traffic class ('conversational', 'streaming', 'interactive', 'background')**

Definition: type of application for which the Radio Access Bearer service is optimised.

*[Purpose: By including the traffic class itself as an attribute, RAN can make assumptions about the traffic source and optimise the transport for that traffic type. In particular, buffer allocation may be based on traffic class.]*

#### **Maximum bitrate (kbps)**

Definition: maximum number of bits delivered by RAN and to RAN at a SAP within a period of time, divided by the duration of the period. The traffic is conformant with the Maximum bitrate as long as it follows a token bucket algorithm where token rate equals Maximum bitrate and bucket size equals Maximum SDU size.

The conformance definition should not be interpreted as a required implementation algorithm. The token bucket algorithm is described in annex B.

The Maximum bitrate is the upper limit a user or application can accept or provide. All RAB attributes may be fulfilled for traffic up to the Maximum bitrate depending on the network conditions.

*[Purpose: 1) to limit the delivered bitrate to applications or external networks with such limitations, 2) to allow maximum wanted RAB bitrate to be defined for applications able to operate with different rates (e.g. applications with adapting codecs.)]*

#### **Guaranteed bitrate (kbps)**

Definition: guaranteed number of bits delivered at a SAP within a period of time (provided that there is data to deliver), divided by the duration of the period. The traffic is conformant with the Guaranteed bitrate as long as it follows a token bucket algorithm where token rate equals Guaranteed bitrate and bucket size equals Maximum SDU size.

The conformance definition should not be interpreted as a required implementation algorithm. The token bucket algorithm is described in annex B.

RAB attributes, e.g. delay and reliability attributes, are guaranteed for traffic up to the Guaranteed bitrate. For the traffic exceeding the Guaranteed bitrate the RAB attributes are not guaranteed.

*[Purpose: Describes the bitrate the RAB shall guarantee to the user or application. Guaranteed bitrate may be used to facilitate admission control based on available resources, and for resource allocation within RAN.. The guaranteed bitrate at the RAB level may be different from that on UMTS bearer level, for example due to header compression.]*

#### **Delivery order (y/n)**

Definition: indicates whether the UMTS bearer shall provide in-sequence SDU delivery or not.

*[Purpose: specifies if out-of-sequence SDUs are acceptable or not. This information cannot be extracted from the traffic class. Whether out-of-sequence SDUs are dropped or re-ordered depends on the specified reliability.]*

Note: Delivery order should be set to 'no' for PDP Type = 'IPv4' or 'IPv6'

#### **Maximum SDU size (octets)**

Definition: the maximum SDU size for which the network shall satisfy the negotiated QoS.

*[Purpose: The maximum SDU size is used for admission control and policing and/or optimising transport (optimized transport in for example the RAN may be dependent on the size of the packets). Handling by the network of packets larger than Maximum SDU size is implementation specific (e.g. they may be dropped or forwarded with decreased QoS).]*

#### **SDU format information (bits)**

Definition: list of possible exact sizes of SDUs. If unequal error protection shall be used by a Radio Access Bearer service, SDU format information defines the exact subflow format of the SDU payload. SDU format information also supports definition of allowed subflow bitrates.

NOTE 1: SDU format information is used by RAN to define which bits of the payload that belongs to each subflow. Exact syntax of SDU format information attribute is the task of RAN WG3.

*[Purpose: RAN needs SDU format information to be able to operate in transparent RLC protocol mode, which is beneficial to spectral efficiency and delay when RLC re-transmission is not used. Thus, if the application can specify SDU sizes, the bearer is less expensive. Moreover, in case of unequal error protection, RAN needs to know the exact format of SDU payload to be able to demultiplex the SDU onto different radio bearer services. When rate control is applied to services having a constant SDU size, e.g. CS data, the subflow bitrate is used to calculate the allowed inter PDU transmission interval (IPTI).]*

#### **SDU error ratio**

Definition: Indicates the fraction of SDUs lost or detected as erroneous. SDU error ratio is defined only for conforming traffic. In case of unequal error protection., SDU error ratio is set per subflow and represents the error ratio in each subflow. SDU error ratio is only set for subflows for which error detection is requested.

NOTE 2: By reserving resources, SDU error ratio performance is independent of the loading conditions, whereas without reserved resources, such as in Interactive and Background classes, SDU error ratio is used as target value.

*[Purpose: Used to configure protocols, algorithms and error detection schemes, primarily within RAN.]*

#### **Residual bit error ratio**

Definition: Indicates the undetected bit error ratio for each subflow in the delivered SDUs. For equal error protection, only one value is needed. If no error detection is requested for a subflow, Residual bit error ratio indicates the bit error ratio in that subflow of the delivered SDUs.

*[Purpose: Used to configure radio interface protocols, algorithms and error detection coding. For services requiring unequal error protection, residual bit error ratio is given for each subflow.]*

#### **Delivery of erroneous SDUs (y/n/-)**

Definition: Indicates whether SDUs with detected errors shall be delivered or not. In case of unequal error protection, the attribute is set per subflow.

NOTE 3: 'yes' implies that error detection is employed and that erroneous SDUs are delivered together with an error indication, 'no' implies that error detection is employed and that erroneous SDUs are discarded, and '-' implies that SDUs are delivered without considering error detection.

In case of unequal protection, different subflows may have different settings. Whenever there is a detected error in a subflow with 'no', the SDU is discarded, irrespective of settings in other subflows. For an SDU with multiple subflows with a 'yes' setting, there may be one error indication per subflow, or, if there is only one error indication per SDU, it indicates that an error was detected in at least one of these subflows. Exact definitions are the task of RAN3.

*[Purpose: Used to decide whether error detection is needed and whether frames with detected errors shall be forwarded or discarded.]*

### **Transfer delay (ms)**

Definition: Indicates maximum delay for 95<sup>th</sup> percentile of the distribution of delay for all delivered SDUs during the lifetime of a bearer service, where delay for an SDU is defined as the time from a request to transfer an SDU at one SAP to its delivery at the other SAP.

*[Purpose: permits the derivation of the RAN part of the total transfer delay for the UMTS bearer. In conjunction with the SDU error ratio attribute, care needs to be taken in deriving the value for the 95th percentile when an application desires, for example, that 99.9% of all transmitted packets are delivered within a certain time. This attribute allows RAN to set transport formats and ARQ parameters.]*

### **Traffic handling priority**

Definition: specifies the relative importance for handling of all SDUs belonging to the radio access bearer compared to the SDUs of other bearers.

*[Purpose: Within the interactive class, there is a definite need to differentiate between bearer qualities. This is handled by using the traffic handling priority attribute, to allow RAN to schedule traffic accordingly. By definition, priority is an alternative to absolute guarantees, and thus these two attribute types cannot be used together for a single bearer.]*

### **Allocation/Retention Priority**

Definition: specifies the relative importance compared to other Radio access bearers for allocation and retention of the Radio access bearer. The Allocation/Retention Priority attribute is a subscription parameter which is not negotiated from the mobile terminal.

NOTE 4: The addition of a user-controlled Allocation/Retention Priority attribute is for further study in future releases.

*[Purpose: Priority is used for differentiating between bearers when performing allocation and retention of a bearer. In situations where resources are scarce, the relevant network elements can use the Allocation/Retention Priority to prioritize bearers with a high Allocation/Retention Priority over bearers with a low Allocation/Retention Priority when performing admission control.]*

### **Source statistics descriptor ('speech'/'unknown')**

Definition: specifies characteristics of the source of submitted SDUs.

*[Purpose: Conversational speech has a well-known statistical behaviour (or the discontinuous transmission (DTX) factor). By being informed that the SDUs for a RAB are generated by a speech source, RAN may, based on experience, calculate a statistical multiplex gain for use in admission control on the radio and RAN Access interfaces.]*

### **Signalling Indication (Yes/No)**

Definition: Indicates the signalling nature of the submitted SDUs. This attribute is additional to the other QoS attributes and does not over-ride them.

*[Purpose: Signalling traffic can have different characteristics to other interactive traffic, eg higher priority, lower delay and increased peakiness. This attribute permits enhancing the RAN operation accordingly. An example use of the Signalling Indication is for IMS signalling traffic. ]*



\*\*\*\*\* END OF CHANGES \*\*\*\*\*

CR-Form-v7	
<b>CHANGE REQUEST</b>	
# <b>23.107 CR 150</b> # rev <b>2</b> #	Current version: <b>6.0.0</b> #

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

**Proposed change affects:** UICC apps#  ME  Radio Access Network  Core Network

<b>Title:</b>	# ARP clarification		
<b>Source:</b>	# SA2 (Nokia)		
<b>Work item code:</b>	# QoS1	<b>Date:</b>	# 16/02/2004
<b>Category:</b>	# <b>F</b>	<b>Release:</b>	# Rel-6
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	<b>F</b> (correction)		2 (GSM Phase 2)
	<b>A</b> (corresponds to a correction in an earlier release)		R96 (Release 1996)
	<b>B</b> (addition of feature),		R97 (Release 1997)
	<b>C</b> (functional modification of feature)		R98 (Release 1998)
	<b>D</b> (editorial modification)		R99 (Release 1999)
	Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .		Rel-4 (Release 4)
			Rel-5 (Release 5)
			Rel-6 (Release 6)

<b>Reason for change:</b>	# Currently the Allocation/Retention Priority attribute definition in TS 23.107 specifies the subscription as only source for the Allocation/Retention Priority attribute, but elsewhere the TS defines also other source for the attribute i.e. in R97/98 - R99 interworking case the Allocation/Retention Priority attribute is mapped from the R97/98 Precedence Class attribute. Thus although the parameter is not negotiated from the mobile terminal, it is negotiable inside the network.
<b>Summary of change:</b>	# Definition of ARP clarified.
<b>Consequences if not approved:</b>	# Inconsistent specification.

<b>Clauses affected:</b>	# 6.4.3.1, 6.4.4.1												
<b>Other specs affected:</b>	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">Y</td> <td style="width: 20px; text-align: center;">N</td> </tr> <tr> <td style="text-align: center;">#</td> <td style="text-align: center;">#</td> </tr> <tr> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table>	Y	N	#	#	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Other core specifications	#
Y	N												
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<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>												
		Test specifications	#										
		O&M Specifications	#										
<b>Other comments:</b>	#												

**How to create CRs using this form:**

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.

- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## < First amended chapter >

### 6.4.3 UMTS Bearer Service Attributes

#### 6.4.3.1 List of attributes

##### **Traffic class ('conversational', 'streaming', 'interactive', 'background')**

Definition: type of application for which the UMTS bearer service is optimised

*[Purpose: By including the traffic class itself as an attribute, UMTS can make assumptions about the traffic source and optimise the transport for that traffic type.]*

##### **Maximum bitrate (kbps)**

Definition: maximum number of bits delivered by UMTS and to UMTS at a SAP within a period of time, divided by the duration of the period. The traffic is conformant with Maximum bitrate as long as it follows a token bucket algorithm where token rate equals Maximum bitrate and bucket size equals Maximum SDU size.

The conformance definition should not be interpreted as a required implementation algorithm. The token bucket algorithm is described in annex B.

The Maximum bitrate is the upper limit a user or application can accept or provide. All UMTS bearer service attributes may be fulfilled for traffic up to the Maximum bitrate depending on the network conditions.

*[Purpose: Maximum bitrate can be used to make code reservations in the downlink of the radio interface. Its purpose is 1) to limit the delivered bitrate to applications or external networks with such limitations 2) to allow maximum wanted user bitrate to be defined for applications able to operate with different rates (e.g. applications with adapting codecs).]*

##### **Guaranteed bitrate (kbps)**

Definition: guaranteed number of bits delivered by UMTS at a SAP within a period of time (provided that there is data to deliver), divided by the duration of the period. The traffic is conformant with the guaranteed bitrate as long as it follows a token bucket algorithm where token rate equals Guaranteed bitrate and bucket size equals Maximum SDU size.

The conformance definition should not be interpreted as a required implementation algorithm. The token bucket algorithm is described in annex B.

UMTS bearer service attributes, e.g. delay and reliability attributes, are guaranteed for traffic up to the Guaranteed bitrate. For the traffic exceeding the Guaranteed bitrate the UMTS bearer service attributes are not guaranteed.

*[Purpose: Describes the bitrate the UMTS bearer service shall guarantee to the user or application. Guaranteed bitrate may be used to facilitate admission control based on available resources, and for resource allocation within UMTS.]*

##### **Delivery order (y/n)**

Definition: indicates whether the UMTS bearer shall provide in-sequence SDU delivery or not.

*[Purpose: the attribute is derived from the user protocol (PDP type) and specifies if out-of-sequence SDUs are acceptable or not. This information cannot be extracted from the traffic class. Whether out-of-sequence SDUs are dropped or re-ordered depends on the specified reliability]*

##### **Maximum SDU size (octets)**

Definition: the maximum SDU size for which the network shall satisfy the negotiated QoS.

*[Purpose: The maximum SDU size is used for admission control and policing and/or optimising transport (optimized transport in for example the RAN may be dependent on the size of the packets). Handling by the network of packets larger than Maximum SDU size is implementation specific (e.g. they may be dropped or forwarded with decreased QoS).]*

*Note: The Maximum Transfer Unit (MTU) of the IP layer and the Maximum SDU Size have no relationship; in particular the GGSN should not perform IP fragmentation based on the Maximum SDU Size.*

**SDU format information (bits)**

Definition: list of possible exact sizes of SDUs

*[Purpose: RAN needs SDU size information to be able to operate in transparent RLC protocol mode, which is beneficial to spectral efficiency and delay when RLC re-transmission is not used. Thus, if the application can specify SDU sizes, the bearer is less expensive.]*

**SDU error ratio**

Definition: Indicates the fraction of SDUs lost or detected as erroneous. SDU error ratio is defined only for conforming traffic.

NOTE 1: By reserving resources, SDU error ratio performance is independent of the loading conditions, whereas without reserved resources, such as in Interactive and Background classes, SDU error ratio is used as target value.

*[Purpose: Used to configure the protocols, algorithms and error detection schemes, primarily within RAN.]*

**Residual bit error ratio**

Definition: Indicates the undetected bit error ratio in the delivered SDUs. If no error detection is requested, Residual bit error ratio indicates the bit error ratio in the delivered SDUs.

*[Purpose: Used to configure radio interface protocols, algorithms and error detection coding.]*

**Delivery of erroneous SDUs (y/n/-)**

Definition: Indicates whether SDUs detected as erroneous shall be delivered or discarded.

NOTE 2: 'yes' implies that error detection is employed and that erroneous SDUs are delivered together with an error indication, 'no' implies that error detection is employed and that erroneous SDUs are discarded, and '-' implies that SDUs are delivered without considering error detection.

*[Purpose: Used to decide whether error detection is needed and whether frames with detected errors shall be forwarded or not.]*

**Transfer delay (ms)**

Definition: Indicates maximum delay for 95<sup>th</sup> percentile of the distribution of delay for all delivered SDUs during the lifetime of a bearer service, where delay for an SDU is defined as the time from a request to transfer an SDU at one SAP to its delivery at the other SAP.

*[Purpose: relates to the delay tolerated by the application. In conjunction with the SDU error ratio attribute, care needs to be taken in deriving the value for the 95th percentile when an application desires, for example, that 99.9% of all transmitted packets are delivered within a certain time. This attribute allows RAN to set transport formats and ARQ parameters.]*

NOTE 3: Transfer delay of an arbitrary SDU is not meaningful for a bursty source, since the last SDUs of a burst may have long delay due to queuing, whereas the meaningful response delay perceived by the user is the delay of the first SDU of the burst.

**Traffic handling priority**

Definition: specifies the relative importance for handling of all SDUs belonging to the UMTS bearer compared to the SDUs of other bearers.

*[Purpose: Within the interactive class, there is a definite need to differentiate between bearer qualities. This is handled by using the traffic handling priority attribute, to allow UMTS to schedule traffic accordingly. By definition, priority is an alternative to absolute guarantees, and thus these two attribute types cannot be used together for a single bearer.]*

**Allocation/Retention Priority**

Definition: specifies the relative importance compared to other UMTS bearers for allocation and retention of the UMTS bearer. The Allocation/Retention Priority attribute is a subscription attribute which is not negotiated from the mobile terminal, [but the value might be changed either by the SGSN or the GGSN network element.](#)

NOTE 4: The addition of a user-controlled Allocation/Retention Priority attribute is for further study in future releases.

*[Purpose: Priority is used for differentiating between bearers when performing allocation and retention of a bearer. In situations where resources are scarce, the relevant network elements can use the Allocation/Retention Priority to prioritize bearers with a high Allocation/Retention Priority over bearers with a low Allocation/Retention Priority when performing admission control.]*

#### **Source statistics descriptor ('speech'/'unknown')**

Definition: specifies characteristics of the source of submitted SDUs.

*[Note: The number of different source statistics descriptors that should be allowed is FFS.]*

*[Purpose: Conversational speech has a well-known statistical behaviour (or the discontinuous transmission (DTX) factor). By being informed that the SDUs for a UMTS bearer are generated by a speech source, RAN, the SGSN and the GGSN and also the UE may, based on experience, calculate a statistical multiplex gain for use in admission control on the relevant interfaces.]*

#### **Signalling Indication (Yes/No)**

Definition: Indicates the signalling nature of the submitted SDUs. This attribute is additional to the other QoS attributes and does not over-ride them. This attribute is only defined for the interactive traffic class. If signalling indication is set to 'Yes', the UE should set the traffic handling priority to '1'.

*[Purpose: Signalling traffic can have different characteristics to other interactive traffic, eg higher priority, lower delay and increased peakiness. This attribute permits enhancing the RAN operation accordingly. An example use of the Signalling Indication is for IMS signalling traffic.]*

Note: this indication is sent by the UE in the QoS IE.

## **< Next amended chapter >**

### **6.4.4 Radio Access Bearer Service Attributes**

Radio Access Bearer Service Attributes shall be applied to both CS and PS domains.

#### **6.4.4.1 List of attributes**

##### **Traffic class ('conversational', 'streaming', 'interactive', 'background')**

Definition: type of application for which the Radio Access Bearer service is optimised.

*[Purpose: By including the traffic class itself as an attribute, RAN can make assumptions about the traffic source and optimise the transport for that traffic type. In particular, buffer allocation may be based on traffic class.]*

##### **Maximum bitrate (kbps)**

Definition: maximum number of bits delivered by RAN and to RAN at a SAP within a period of time, divided by the duration of the period. The traffic is conformant with the Maximum bitrate as long as it follows a token bucket algorithm where token rate equals Maximum bitrate and bucket size equals Maximum SDU size.

The conformance definition should not be interpreted as a required implementation algorithm. The token bucket algorithm is described in annex B.

The Maximum bitrate is the upper limit a user or application can accept or provide. All RAB attributes may be fulfilled for traffic up to the Maximum bitrate depending on the network conditions.

*[Purpose: 1) to limit the delivered bitrate to applications or external networks with such limitations, 2) to allow maximum wanted RAB bitrate to be defined for applications able to operate with different rates (e.g. applications with adapting codecs.)]*

##### **Guaranteed bitrate (kbps)**

Definition: guaranteed number of bits delivered at a SAP within a period of time (provided that there is data to deliver), divided by the duration of the period. The traffic is conformant with the Guaranteed bitrate as long as it follows a token bucket algorithm where token rate equals Guaranteed bitrate and bucket size equals Maximum SDU size.

The conformance definition should not be interpreted as a required implementation algorithm. The token bucket algorithm is described in annex B.

RAB attributes, e.g. delay and reliability attributes, are guaranteed for traffic up to the Guaranteed bitrate. For the traffic exceeding the Guaranteed bitrate the RAB attributes are not guaranteed.

*[Purpose: Describes the bitrate the RAB shall guarantee to the user or application. Guaranteed bitrate may be used to facilitate admission control based on available resources, and for resource allocation within RAN.. The guaranteed bitrate at the RAB level may be different from that on UMTS bearer level, for example due to header compression.]*

### **Delivery order (y/n)**

Definition: indicates whether the UMTS bearer shall provide in-sequence SDU delivery or not.

*[Purpose: specifies if out-of-sequence SDUs are acceptable or not. This information cannot be extracted from the traffic class. Whether out-of-sequence SDUs are dropped or re-ordered depends on the specified reliability.]*

### **Maximum SDU size (octets)**

Definition: the maximum SDU size for which the network shall satisfy the negotiated QoS.

*[Purpose: The maximum SDU size is used for admission control and policing and/or optimising transport (optimized transport in for example the RAN may be dependent on the size of the packets). Handling by the network of packets larger than Maximum SDU size is implementation specific (e.g. they may be dropped or forwarded with decreased QoS).]*

### **SDU format information (bits)**

Definition: list of possible exact sizes of SDUs. If unequal error protection shall be used by a Radio Access Bearer service, SDU format information defines the exact subflow format of the SDU payload. SDU format information also supports definition of allowed subflow bitrates.

NOTE 1: SDU format information is used by RAN to define which bits of the payload that belongs to each subflow. Exact syntax of SDU format information attribute is the task of RAN WG3.

*[Purpose: RAN needs SDU format information to be able to operate in transparent RLC protocol mode, which is beneficial to spectral efficiency and delay when RLC re-transmission is not used. Thus, if the application can specify SDU sizes, the bearer is less expensive. Moreover, in case of unequal error protection, RAN needs to know the exact format of SDU payload to be able to demultiplex the SDU onto different radio bearer services. When rate control is applied to services having a constant SDU size, e.g. CS data, the subflow bitrate is used to calculate the allowed inter PDU transmission interval (IPTI).]*

### **SDU error ratio**

Definition: Indicates the fraction of SDUs lost or detected as erroneous. SDU error ratio is defined only for conforming traffic. In case of unequal error protection., SDU error ratio is set per subflow and represents the error ratio in each subflow. SDU error ratio is only set for subflows for which error detection is requested.

NOTE 2: By reserving resources, SDU error ratio performance is independent of the loading conditions, whereas without reserved resources, such as in Interactive and Background classes, SDU error ratio is used as target value.

*[Purpose: Used to configure protocols, algorithms and error detection schemes, primarily within RAN.]*

### **Residual bit error ratio**

Definition: Indicates the undetected bit error ratio for each subflow in the delivered SDUs. For equal error protection, only one value is needed. If no error detection is requested for a subflow, Residual bit error ratio indicates the bit error ratio in that subflow of the delivered SDUs.

*[Purpose: Used to configure radio interface protocols, algorithms and error detection coding. For services requiring unequal error protection, residual bit error ratio is given for each subflow.]*

**Delivery of erroneous SDUs (y/n/-)**

Definition: Indicates whether SDUs with detected errors shall be delivered or not. In case of unequal error protection, the attribute is set per subflow.

NOTE 3: 'yes' implies that error detection is employed and that erroneous SDUs are delivered together with an error indication, 'no' implies that error detection is employed and that erroneous SDUs are discarded, and '-' implies that SDUs are delivered without considering error detection.

In case of unequal protection, different subflows may have different settings. Whenever there is a detected error in a subflow with 'no', the SDU is discarded, irrespective of settings in other subflows. For an SDU with multiple subflows with a 'yes' setting, there may be one error indication per subflow, or, if there is only one error indication per SDU, it indicates that an error was detected in at least one of these subflows. Exact definitions are the task of RAN3.

*[Purpose: Used to decide whether error detection is needed and whether frames with detected errors shall be forwarded or discarded.]*

**Transfer delay (ms)**

Definition: Indicates maximum delay for 95<sup>th</sup> percentile of the distribution of delay for all delivered SDUs during the lifetime of a bearer service, where delay for an SDU is defined as the time from a request to transfer an SDU at one SAP to its delivery at the other SAP.

*[Purpose: permits the derivation of the RAN part of the total transfer delay for the UMTS bearer. In conjunction with the SDU error ratio attribute, care needs to be taken in deriving the value for the 95th percentile when an application desires, for example, that 99.9% of all transmitted packets are delivered within a certain time. This attribute allows RAN to set transport formats and ARQ parameters.]*

**Traffic handling priority**

Definition: specifies the relative importance for handling of all SDUs belonging to the radio access bearer compared to the SDUs of other bearers.

*[Purpose: Within the interactive class, there is a definite need to differentiate between bearer qualities. This is handled by using the traffic handling priority attribute, to allow RAN to schedule traffic accordingly. By definition, priority is an alternative to absolute guarantees, and thus these two attribute types cannot be used together for a single bearer.]*

**Allocation/Retention Priority**

Definition: specifies the relative importance compared to other Radio access bearers for allocation and retention of the Radio access bearer. The Allocation/Retention Priority attribute is a subscription parameter which is not negotiated from the mobile terminal, but the value might be changed either by the SGSN or the GGSN network element.

NOTE 4: The addition of a user-controlled Allocation/Retention Priority attribute is for further study in future releases.

*[Purpose: Priority is used for differentiating between bearers when performing allocation and retention of a bearer. In situations where resources are scarce, the relevant network elements can use the Allocation/Retention Priority to prioritize bearers with a high Allocation/Retention Priority over bearers with a low Allocation/Retention Priority when performing admission control.]*

**Source statistics descriptor ('speech'/'unknown')**

Definition: specifies characteristics of the source of submitted SDUs.

*[Purpose: Conversational speech has a well-known statistical behaviour (or the discontinuous transmission (DTX) factor). By being informed that the SDUs for a RAB are generated by a speech source, RAN may, based on experience, calculate a statistical multiplex gain for use in admission control on the radio and RAN Access interfaces.]*

**Signalling Indication (Yes/No)**

Definition: Indicates the signalling nature of the submitted SDUs. This attribute is additional to the other QoS attributes and does not over-ride them.



*[Purpose: Signalling traffic can have different characteristics to other interactive traffic, eg higher priority, lower delay and increased peakiness. This attribute permits enhancing the RAN operation accordingly. An example use of the Signalling Indication is for IMS signalling traffic. ]*

## CHANGE REQUEST

# **23.107 CR 151** # rev **1** # Current version: **6.0.0** #

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

**Proposed change affects:** UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	# Removal of reliability class 1		
<b>Source:</b>	# SA2 (Siemens AG)		
<b>Work item code:</b>	# TEI6	<b>Date:</b>	# 16/02/2004
<b>Category:</b>	# <b>F</b>	<b>Release:</b>	# Rel-6
	<i>Use <u>one</u> of the following categories:</i> <b>F</b> (correction) <b>A</b> (corresponds to a correction in an earlier release) <b>B</b> (addition of feature), <b>C</b> (functional modification of feature) <b>D</b> (editorial modification) Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .		<i>Use <u>one</u> of the following releases:</i> <b>2</b> (GSM Phase 2) <b>R96</b> (Release 1996) <b>R97</b> (Release 1997) <b>R98</b> (Release 1998) <b>R99</b> (Release 1999) <b>Rel-4</b> (Release 4) <b>Rel-5</b> (Release 5) <b>Rel-6</b> (Release 6)

<b>Reason for change:</b>	# Acknowledged GTP was removed from GPRS in R99 (see TS 03.60/23.060 and TS 09.60/29.060), because in practise it was not needed and not implemented. At the last CN1 Meeting, the codepoint for the reliability class 1 was changed to 'unused' in TS 24.008 (N1-031525, CR#826).
<b>Summary of change:</b>	# To align TS 23.107 with the other specifications, it is proposed to update the mapping tables by deleting of any occurrence of reliability class 1 from the mapping tables. In addition two more corrections are proposed: In section 6.4.1. describing assymmetric bearers, the attribute tranfer delay is deleted from the list of attributes that could have separate values for uplink and downlink. According to the encoding specified in TS 24.008 there is just one field for the transfer delay specified. The minimum value of the transfer delay of the streaming traffic class is corrected in table 5 of section 6.5.1 because the value of 280ms is not possible (according to the encoding specified by TS 24.008).
<b>Consequences if not approved:</b>	# Misalignment between specifications.

<b>Clauses affected:</b>	# 6.4.1, 6.5.1, 9.1.2.2										
<b>Other specs affected:</b>	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">Y</td> <td style="width: 20px; text-align: center;">N</td> </tr> <tr> <td style="text-align: center;">#</td> <td style="text-align: center;">X</td> </tr> <tr> <td style="text-align: center;">#</td> <td style="text-align: center;">X</td> </tr> <tr> <td style="text-align: center;">#</td> <td style="text-align: center;">X</td> </tr> </table>	Y	N	#	X	#	X	#	X	Other core specifications # Test specifications # O&M Specifications #	
Y	N										
#	X										
#	X										
#	X										

**Other comments:** ☹

**How to create CRs using this form:**

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ☹ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

**Start of 1<sup>st</sup> modified section**

### 6.4.1 Asymmetric Bearers

Uni-directional and bi-directional bearer services shall be supported. For bi-directional bearer services, the attributes Maximum bitrate, and Guaranteed bitrate, ~~and Transfer delay~~ should be possible to set separately for uplink/downlink in order to support asymmetric bearers.

**End of 1<sup>st</sup> modified section**

**Start of 2<sup>nd</sup> modified section**

### 6.5.1 Ranges of UMTS Bearer Service Attributes

The following table lists the value ranges of the UMTS bearer service attributes. The value ranges reflect the capability of UMTS network.

**Table 4: Value ranges for UMTS Bearer Service Attributes**

Traffic class	Conversational class	Streaming class	Interactive class	Background class
Maximum bitrate (kbps)	<= 16 000 (2)	<= 16 000 (2)	<= 16 000 - overhead (2) (3)	<= 16 000 - overhead (2) (3)
Delivery order	Yes/No	Yes/No	Yes/No	Yes/No
Maximum SDU size (octets)	<=1 500 or 1 502 (4)	<=1 500 or 1 502 (4)	<=1 500 or 1 502 (4)	<=1 500 or 1 502 (4)
SDU format information	(5)	(5)		
Delivery of erroneous SDUs	Yes/No/- (6)	Yes/No/- (6)	Yes/No/- (6)	Yes/No/- (6)
Residual BER	$5 \cdot 10^{-2}, 10^{-2}, 5 \cdot 10^{-3}, 10^{-3}, 10^{-4}, 10^{-5}, 10^{-6}$	$5 \cdot 10^{-2}, 10^{-2}, 5 \cdot 10^{-3}, 10^{-3}, 10^{-4}, 10^{-5}, 10^{-6}$	$4 \cdot 10^{-3}, 10^{-5}, 6 \cdot 10^{-8}$ (7)	$4 \cdot 10^{-3}, 10^{-5}, 6 \cdot 10^{-8}$ (7)
SDU error ratio	$10^{-2}, 7 \cdot 10^{-3}, 10^{-3}, 10^{-4}, 10^{-5}$	$10^{-1}, 10^{-2}, 7 \cdot 10^{-3}, 10^{-3}, 10^{-4}, 10^{-5}$	$10^{-3}, 10^{-4}, 10^{-6}$	$10^{-3}, 10^{-4}, 10^{-6}$
Transfer delay (ms)	100 – maximum value	<del>280</del> 300 (8) – maximum value		
Guaranteed bit rate (kbps)	<= 16 000 (2)	<= 16 000 (2)		
Traffic handling priority			1,2,3 (9)	
Allocation/Retention priority	1,2,3	1,2,3	1,2,3	1,2,3
Source statistic descriptor	Speech/unknown	Speech/unknown		
Signalling Indication			Yes/No (9)	

- 1) Void.
- 2) The granularity of the bit rate attributes shall be studied. Although the UMTS network has capability to support a large number of different bitrate values, the number of possible values shall be limited not to unnecessarily increase the complexity of for example terminals, charging and interworking functions. Exact list of supported values shall be defined together with S1, N1, N3 and R2.
- 3) Impact from layer 2 protocols on maximum bitrate in non-transparent RLC protocol mode shall be estimated.
- 4) In case of PDP type = PPP, maximum SDU size is 1502 octets. In other cases, maximum SDU size is 1 500 octets.
- 5) Definition of possible values of exact SDU sizes for which RAN can support transparent RLC protocol mode, is the task of RAN WG3.

- 6) If *Delivery of erroneous SDUs* is set to 'Yes' error indications can only be provided on the MT/TE side of the UMTS bearer. On the CN Gateway side error indications can not be signalled outside of UMTS network in release 1999.
- 7) Values are derived from CRC lengths of 8, 16 and 24 bits on layer 1.
- 8) If the UE requests a transfer delay value lower than the minimum value, this shall not cause the network (SGSN and GGSN) to reject the request from the UE. The network may negotiate the value for the transfer delay.
- 9) If signalling indication is set to 'Yes', the UE should set the traffic handling priority to '1'.

**End of 2<sup>nd</sup> modified section**

**Start of 3<sup>rd</sup> modified section**

### 9.1.2.2 Determining R99 attributes from R97/98 attributes

This mapping is applicable in the following cases:

- hand over of PDP Context from GPRS R97/98 SGSN to GPRS R99 or UMTS SGSN;
- PDP Context Activation in a serving R99 SGSN with a R97/98 GGSN. When GGSN respond to the PDP Context Activation, mapping of the changed R97/98 QoS attributes received from the GGSN to R99 QoS attributes is performed in the serving SGSN.

This mapping is also applicable if a R99 UE allows an application to request a PDP Context Activation with R97/98 QoS attributes, e.g. via AT command.

**Table 6: Rules for determining R99 attributes from R97/98 attributes**

Resulting R99 Attribute		Derived from R97/98 Attribute	
Name	Value	Value	Name
Traffic class	Interactive	1, 2, 3	Delay class
	Background	4	
Traffic handling priority	1	1	Delay class
	2	2	
	3	3	
SDU error ratio	10 <sup>-6</sup>	1, 2	Reliability class
	10 <sup>-4</sup>	3	
	10 <sup>-3</sup>	4, 5	
Residual bit error ratio	10 <sup>-5</sup>	1, 2, 3, 4	Reliability class
	4*10 <sup>-3</sup>	5	
Delivery of erroneous SDUs	'no'	1, 2, 3, 4	Reliability class
	'yes'	5	
Maximum bitrate [kbps]	8	1	Peak throughput class
	16	2	
	32	3	
	64	4	
	128	5	
	256	6	
	512	7	
	1024	8	
2048	9		
Allocation/Retention priority	1	1	Precedence class
	2	2	
	3	3	
Delivery order	'yes'	'yes'	Reordering Required (Information in the SGSN and the GGSN PDP Contexts)
	'no'	'no'	
Maximum SDU size	1 500 octets	(Fixed value)	

NOTE: As the allocation/retention priority attribute is not available in the UE (see 6.4.4.1) the mapping of the allocation/retention priority attribute is not relevant for the UE.

As the reordering required attribute is not available in the MS the MS shall set the R99 delivery order attribute to the value "subscribed" (see 3GPP TS 24.008).

**End of 3<sup>rd</sup> modified section**