Technical Specification Group Services and System Aspects

TSGS#17(02)0506

Meeting #17, Biarritz, France

Source: SA WG3

Title: Final deliverables SAGE Task Force for GSM A5/3 and GEA3 design

55.216, 55.217, 55.218 and 55.919

**Document for:** Approval

Agenda Item: 7.3.3

Document 3:

The attached deliverables from the 3GPP SAGE Task Force were approved by SA WG3 at meeting #24. They are hereby presented to TSG SA for approval (in PDF format only \*NOTE):

Document 1: TS 55.216: Specification of the A5/3 Encryption Algorithms for GSM and EDGE, and the

GEA3 Encryption Algorithm for GPRS. Document 1: A5/3 and GEA3 Specifications.

Document 2: TS 55.217: Specification of the A5/3 Encryption Algorithms for GSM and EDGE, and the

GEA3 Encryption Algorithm for GPRS. Document 2: Implementors' Test Data.

TS 55.218: Specification of the A5/3 Encryption Algorithms for GSM and EDGE, and the GEA3 Encryption Algorithm for GPRS. Document 3: Design Conformance Test Data.

Document 4: TR 55.919: Design and Evaluation report.

\*NOTE: It was agreed that ALL these documents shall be openly published by ETSI, 3GPP and/or the

GSMA. The SAGE Task Force recommended to only publish these documents as PDF files,

rather than Word files.

# 3GPP TS 55.216 V1.0.0 (2002-07)

Technical Specification

3rd Generation Partnership Project;
Technical Specification Group Services and System Aspects;
3G Security;
Specification of the A5/3 Encryption Algorithms for GSM and
EDGE, and the GEA3 Encryption Algorithm for GPRS;
Document 1: A5/3 and GEA3 Specifications
(Release 6)





The present document has been developed within the 3<sup>rd</sup> Generation Partnership Project (3GPP TM) and may be further elaborated for the purposes of 3GPP.

Keywords

3GPP, GPRS, security, algorithm

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## **Foreword**

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

#### where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

## 0 Scope

This specification has been prepared by the 3GPP Task Force, and gives a detailed specification of the **A5/3** encryption algorithms for GSM and EDGE, and of the **GEA3** encryption algorithm for GPRS.

This document is the first of three, which between them form the entire specification of the A5/3 and GEA3 algorithms:

- Specification of the A5/3 Encryption Algorithms for GSM and EDGE, and the GEA3 Encryption Algorithm for GPRS; Document 1: A5/3 and GEA3 Specifications.
- Specification of the A5/3 Encryption Algorithms for GSM and EDGE, and the GEA3 Encryption Algorithm for GPRS; Document 2: Implementors' Test Data.
- Specification of the A5/3 Encryption Algorithms for GSM and EDGE, and the GEA3 Encryption Algorithm for GPRS; Document 3: Design Conformance Test Data.

The normative part of the specification of the **A5/3** and **GEA3** algorithms is in the main body of this document. The annexes to this document are purely informative. Annex A gives a specification of the 3GPP **f8** confidentiality algorithm, bringing out the (deliberate) commonality between it and the **A5/3** and **GEA3** algorithms. Annex B contains illustrations of functional elements of the algorithms, while Annex C contains an implementation program listing of the cryptographic algorithms specified in the main body of this document, written in the programming language C.

Documents 2 and 3 above are also purely informative.

The normative part of the specification of the block cipher (**KASUMI**) on which the **A5/3** and **GEA3** algorithms are based can be found in TS 35.202 [5].

## NORMATIVE SECTION

This part of the document contains the normative specifications of the A5/3 and GEA3 encryption algorithms.

## 1 Outline of the normative part

Section 2 introduces the algorithms and describes the notation used in the subsequent sections.

Section 3 specifies a core function **KGCORE**.

Section 4 specifies the encryption algorithm A5/3 for GSM in terms of the function KGCORE.

Section 5 specifies the encryption algorithm A5/3 for EDGE in terms of the function KGCORE.

Section 6 specifies the encryption algorithm GEA3 for GPRS in terms of the function KGCORE.

#### 1.1 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1]	TS 55.216: "3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; 3G Security; Specification of the A5/3 Encryption Algorithms for GSM and EDGE, and the GEA3 Encryption Algorithm for GPRS; Document 1: A5/3 and GEA3 Specifications".
[2]	TS 55.217: "3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; 3G Security; Specification of the A5/3 Encryption Algorithms for GSM and EDGE, and the GEA3 Encryption Algorithm for GPRS; Document 2: Implementors' Test Data".
[3]	TS 55.218: "3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; 3G Security; Specification of the A5/3 Encryption Algorithms for GSM and EDGE, and the GEA3 Encryption Algorithm for GPRS; Document 3: Design Conformance Test Data".
[4]	3GPP TS 35.201: "3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; 3G Security; Specification of the 3GPP Confidentiality and Integrity Algorithms; Document 1: <i>f</i> 8 and <i>f</i> 9 Specification".
[5]	3GPP TS 35.202: "3rd Generation Partnership Project; Technical Specification Group Services

and System Aspects; 3G Security; Specification of the 3GPP Confidentiality and Integrity

## 2 Introductory information

#### 2.1 Introduction

In this document are specified three ciphering algorithms: A5/3 for GSM, A5/3 for EDGE, and GEA3 for GPRS. The algorithms are stream ciphers that are used to encrypt/decrypt blocks of data under a confidentiality key  $K_C$ . Each of these algorithms is based on the **KASUMI** algorithm that is specified in reference TS 35.202 [5]. **KASUMI** is a block cipher that produces a 64-bit output from a 64-bit input under the control of a 128-bit key. The algorithms defined here use **KASUMI** in a form of output-feedback mode as a keystream generator.

The three algorithms are all very similar. We first define a core keystream generator function **KGCORE** (section 3); we then specify each of the three algorithms in turn (sections 4, 5 and 6) in terms of this core function.

#### 2.1 Notation

#### 2.1.1 Radix

We use the prefix **0x** to indicate **hexadecimal** numbers.

#### 2.1.2 Conventions

We use the assignment operator '=', as used in several programming languages. When we write

Algorithms; Document 2: KASUMI Specification".

$$<$$
variable $>$  =  $<$ expression $>$ 

we mean that <variable> assumes the value that <expression> had before the assignment took place. For instance,

$$x = x + y + 3$$

means

(new value of x) becomes (old value of x) + (old value of y) + 3.

### 2.1.3 Bit/Byte ordering

All data variables in this specification are presented with the most significant bit (or byte) on the left hand side and the least significant bit (or byte) on the right hand side. Where a variable is broken down into a number of sub-strings, the left most (most significant) sub-string is numbered 0, the next most significant is numbered 1 and so on through to the least significant.

For example an n-bit **STRING** is subdivided into 64-bit substrings  $SB_0,SB_1...SB_i$  so if we have a string:

0x0123456789ABCDEFFEDCBA987654321086545381AB594FC28786404C50A37...

we have:

 $\mathbf{SB_0} = 0 \times 0123456789 \text{ABCDEF}$   $\mathbf{SB_1} = 0 \times \text{FEDCBA}9876543210$   $\mathbf{SB_2} = 0 \times 86545381 \text{AB5}94 \text{FC2}$  $\mathbf{SB_3} = 0 \times 8786404 \text{C50A}37...$ 

In binary this would be:

## 2.1.4 List of Symbols

= The assignment operator.

⊕ The bitwise exclusive-OR operation

The concatenation of the two operands.

KASUMI[x]<sub>k</sub> The output of the **KASUMI** algorithm applied to input value x

using the key k.

X[i] The i<sup>th</sup> bit of the variable **X**.  $(\mathbf{X} = \mathbf{X}[0] \parallel \mathbf{X}[1] \parallel \mathbf{X}[2] \parallel \dots)$ .

Y{i} The i<sup>th</sup> octet of the variable Y.  $(Y = Y\{0\} || Y\{1\} || Y\{2\} || .....)$ .

 $Z_i$  The i<sup>th</sup> 64-bit block of the variable **Z**. ( $Z = Z_0 \parallel Z_1 \parallel Z_2 \parallel ...$ ).

## 2.3 List of Variables

A a 64-bit register that is used within the **KGCORE** function to hold an intermediate value.

BLKCNT a 64-bit counter used in the **KGCORE** function.

BLOCK1 a string of keystream bits output by the **A5/3** algorithm — 114 bits for GSM, 348 bits for EDGE.

BLOCK2 a string of keystream bits output by the A5/3 algorithm — 114 bits for GSM, 348 bits for EDGE.

BLOCKS an integer variable indicating the number of successive applications of **KASUMI** that need to be

performed.

CA an 8-bit input to the **KGCORE** function.

CB a 5-bit input to the **KGCORE** function.

CC a 32-bit input to the **KGCORE** function.

CD a 1-bit input to the **KGCORE** function.

CE a 16-bit input to the **KGCORE** function.

CK a 128-bit input to the **KGCORE** function.

CL an integer input to the **KGCORE** function, in the range  $1...2^{19}$  inclusive, specifying the number of

output bits for KGCORE to produce.

CO the output bitstream (**CL** bits) from the **KGCORE** function.

COUNT a 22-bit frame dependent input to both the GSM and EDGE **A5/3** algorithms.

DIRECTION a 1-bit input to the **GEA3** algorithm, indicating the direction of transmission (uplink or downlink).

INPUT a 32-bit frame dependent input to the **GEA3** algorithm.

K<sub>C</sub> the cipher key that is an input to each of the three cipher algorithms defined here. Although at the

time of writing the standards specify that  $K_C$  is 64 bits long, the algorithm specifications here

allow it to be of any length between 64 and 128 inclusive, to allow for possible future

enhancements to the standards.

KLEN the length of  $K_C$  in bits, between 64 and 128 inclusive (see above).

KM a 128-bit constant that is used to modify a key. This is used in the **KGCORE** function.

KS[i] the i<sup>th</sup> bit of keystream produced by the keystream generator in the **KGCORE** function.

KSB; the i<sup>th</sup> block of keystream produced by the keystream generator in the **KGCORE** function. Each

block of keystream comprises 64 bits.

M an input to the **GEA3** algorithm, specifying the number of octets of output to produce.

OUTPUT the stream of output octets from the **GEA3** algorithm.

## 3 Core function KGCORE

### 3.1 Introduction

In this section we define a general-purpose keystream generation function **KGCORE**. The individual encryption algorithms for GSM, GPRS and EDGE will each be defined in subsequent sections by mapping the relevant inputs to the inputs of **KGCORE**, and mapping the output of **KGCORE** to the relevant output.

## 3.2 Inputs and Outputs

The inputs to **KGCORE** are given in table 1, the output in table 2:

**Table 1: KGCORE inputs** 

Parameter	Comment		
CA	8 bits CA[0]CA[7]		
СВ	5 bits CB[0]CB[4]		
CC	32 bits CC[0]CC[31]		
CD	A single bit CD[0]		
CE	16 bits CE[0]CE[15] (see Note 1 below)		
CK	128 bits CK[0]CK[127]		
CL	An integer in the range 12 <sup>19</sup> inclusive, specifying the number		
	of output bits to produce		

**Table 2: KGCORE output** 

Parameter	Comment
CO	CL bits CO[0]CO[CL-1]

NOTE 1: All the algorithms specified in this document assign a constant, all-zeroes value to **CE**. More general use of **CE** is, however, available for possible future uses of **KGCORE**.

## 3.3 Components and Architecture

(See figure B.1, Annex B).

The function **KGCORE** is based on the block cipher **KASUMI** that is specified in TS 35.202 [5]. **KASUMI** is used in a form of output-feedback mode and generates the output bitstream in multiples of 64 bits.

The feedback data is modified by static data held in a 64-bit register A, and an (incrementing) 64-bit counter BLKCNT.

#### 3.4 Initialisation

In this section we define how the keystream generator is initialised with the input variables before the generation of keystream bits as output.

We set the 64-bit register A to  $CC \parallel CB \parallel CD \parallel 0 \parallel CA \parallel CE$ 

i.e. A = CC[0]...CC[31] CB[0]...CB[4] CD[0] 0 0 CA[0]...CA[7] CE[0]...CE[15]

We set KSB<sub>0</sub> to zero.

One operation of **KASUMI** is then applied to the register **A**, using a modified version of the confidentiality key.

$$A = KASUMI[A]_{CK \oplus KM}$$

## 3.5 Keystream Generation

Once the keystream generator has been initialised in the manner defined in section 3.4, it is ready to be used to generate keystream bits. The keystream generator produces bits in blocks of 64 at a time, but the number **CL** of output bits to produce may not be a multiple of 64; between 0 and 63 of the least significant bits are therefore discarded from the last block, depending on the total number of bits specified by **CL**.

So let **BLOCKS** be equal to (CL/64) rounded up to the nearest integer. (For instance, if CL = 128 then **BLOCKS** = 2; if CL = 129 then **BLOCKS** = 3.)

To generate each keystream block (**KSB**) we perform the following operation:

For each integer **n** with  $1 \le \mathbf{n} \le \mathbf{BLOCKS}$  we define:

$$KSB_n = KASUMI[A \oplus BLKCNT \oplus KSB_{n-1}]_{CK}$$

where BLKCNT = n-1

The individual bits of the output are extracted from  $KSB_1$  to  $KSB_{BLOCKS}$  in turn, most significant bit first, by applying the operation:

For  $\mathbf{n} = 1$  to **BLOCKS**, and for each integer i with  $0 \le i \le 63$  we define:

$$CO[((n-1)*64)+i] = KSB_n[i]$$

## 4 A5/3 algorithm for GSM encryption

#### 4.1 Introduction

The GSM A5/3 algorithm produces two 114-bit keystream strings, one of which is used for uplink encryption/decryption and the other for downlink encryption/decryption.

We define this algorithm in terms of the core function **KGCORE**.

## 4.2 Inputs and Outputs

The inputs to the algorithm are given in table 3, the output in table 4:

Table 3: GSM A5/3 inputs

Parameter	Size (bits)	Comment
COUNT	22	Frame dependent input COUNT[0]COUNT[21]
Kc	64–128	Cipher key K <sub>C</sub> [0] K <sub>C</sub> [KLEN-1], where KLEN is in the range
		64128 inclusive (see Notes 1 and 2 below)

Table 4. GSM A5/3 outputs

Parameter	Size (bits)	Comment
BLOCK1	114	Keystream bits BLOCK1[0]BLOCK1[113]
BLOCK2	114	Keystream bits BLOCK2[0]BLOCK2[113]

NOTE 1: At the time of writing, the standards specify that **K**<sub>C</sub> is 64 bits long. This specification of the **A5/3** algorithm allows for possible future enhancements to support longer keys.

NOTE 2: It must be assumed that **K**<sub>C</sub> is unstructured data — it must not be assumed, for instance, that any bits of **K**<sub>C</sub> have predetermined values.

#### 4.3 Function Definition

(See figure B.2, Annex B).

We define the function by mapping the GSM A5/3 inputs onto the inputs of the core function KGCORE, and mapping the output of KGCORE onto the outputs of GSM A5/3.

So we define:

CA[0]...CA[7] = 000011111

CB[0]...CB[4] = 00000

CC[0]...CC[9] = 00000000000

CC[10]...CC[31] = COUNT[0]...COUNT[21]

CD[0] = 0

CE[0]...CE[15] = 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0

 $CK[0]...CK[KLEN-1] = K_C[0]...K_C[KLEN-1]$ 

If KLEN < 128 then

 $CK[KLEN]...CK[127] = K_C[0]...K_C[127 - KLEN]$ 

(So in particular if **KLEN** = 64 then  $\mathbf{CK} = \mathbf{K}_{\mathbf{C}} \parallel \mathbf{K}_{\mathbf{C}}$ )

CL = 228

Apply **KGCORE** to these inputs to derive the output **CO[0]...CO[227]**.

Then define:

BLOCK1[0]...BLOCK1[113] = CO[0]...CO[113] BLOCK2[0]...BLOCK2[113] = CO[114]...CO[227]

## 5 A5/3 algorithm for EDGE encryption

#### 5.1 Introduction

The EDGE **A5/3** algorithm produces two 348-bit keystream strings, one of which is used for uplink encryption/decryption and the other for downlink encryption/decryption.

We define this algorithm in terms of the core function **KGCORE**.

## 5.2 Inputs and Outputs

The inputs to the algorithm are given in table 5, the output in table 6:

Table 5: EDGE A5/3 inputs

Parameter	Size (bits)	Comment
COUNT	22	Frame dependent input COUNT[0]COUNT[21]
Kc	64–128	Cipher key Kc[0] Kc[KLEN-1], where KLEN is in the range
		64128 inclusive (see Notes 1 and 2 below)

Table 6: EDGE A5/3 outputs

Parameter	Size (bits)	Comment
BLOCK1	348	Keystream bits BLOCK1[0]BLOCK1[347]
BLOCK2	348	Keystream bits BLOCK2[0]BLOCK2[347]

NOTE 1: At the time of writing, the standards specify that **K**<sub>C</sub> is 64 bits long. This specification of the **A5/3** algorithm allows for possible future enhancements to support longer keys.

NOTE 2: It must be assumed that **K**<sub>C</sub> is unstructured data — it must not be assumed, for instance, that any bits of **K**<sub>C</sub> have predetermined values.

## 5.3 Function Definition

(See figure B.3, Annex B).

We define the function by mapping the EDGE A5/3 inputs onto the inputs of the core function KGCORE, and mapping the output of KGCORE onto the outputs of EDGE A5/3.

So we define:

CA[0]...CA[7] = 11110000

CB[0]...CB[4] = 00000

CC[0]...CC[9] = 00000000000

CC[10]...CC[31] = COUNT[0]...COUNT[21]

CD[0] = 0

 $CK[0]...CK[KLEN-1] = K_C[0]...K_C[KLEN-1]$ 

If KLEN < 128 then

 $CK[KLEN]...CK[127] = K_C[0]...K_C[127 - KLEN]$ 

(So in particular if **KLEN** = 64 then  $\mathbf{CK} = \mathbf{K}_{\mathbf{C}} \parallel \mathbf{K}_{\mathbf{C}}$ )

CL = 696

Apply KGCORE to these inputs to derive the output CO[0]...CO[695].

Then define:

BLOCK1[0]...BLOCK1[347] = CO[0]...CO[347]

BLOCK2[0]...BLOCK2[347] = CO[348]...CO[695]

## 6 GEA3 algorithm for GPRS encryption

#### 6.1 Introduction

The GPRS GEA3 algorithm produces an M-byte keystream string. M can vary; in this specification we assume that M will never exceed  $2^{16} = 65536$ .

We define this algorithm in terms of the core function **KGCORE**.

## 6.2 Inputs and Outputs

The inputs to the algorithm are given in table 7, the output in table 8:

Table 7: GEA3 inputs

Parameter	Size (bits)	Comment
INPUT	32	Frame dependent input INPUT[0]INPUT[31]
DIRECTION	1	Direction of transmission indicator DIRECTION[0]
Kc	64–128	Cipher key K <sub>c</sub> [0] K <sub>c</sub> [KLEN-1], where KLEN is in the range
		64128 inclusive (see Notes 1 and 2 below)
M		Number of octets of output required, in the range 1 to 65536
		inclusive

Table 8: GEA3 outputs

Parameter	Size (bits)	Comment
OUTPUT	8 <b>M</b>	Keystream octets OUTPUT{0}OUTPUT{M-1}

NOTE 1: At the time of writing, the standards specify that **K**<sub>C</sub> is 64 bits long. This specification of the **GEA3** algorithm allows for possible future enhancements to support longer keys.

NOTE 2: It must be assumed that **K**<sub>C</sub> is unstructured data — it must not be assumed, for instance, that any bits of **K**<sub>C</sub> have predetermined values.

## 6.3 Function Definition

(See figure B.4, Annex B).

We define the function by mapping the **GEA3** inputs onto the inputs of the core function **KGCORE**, and mapping the output of **KGCORE** onto the outputs of **GEA3**.

So we define:

(So in particular if **KLEN** = 64 then **CK** =  $\mathbf{K}_{\mathbf{C}} \parallel \mathbf{K}_{\mathbf{C}}$ )

CL = 8M

Apply **KGCORE** to these inputs to derive the output **CO[0]...CO[8M-1**].

Then for  $0 \le i \le M-1$  define:

$$OUTPUT{i} = CO[8i]...CO[8i + 7]$$

where CO[8i] is the most significant bit of the octet.

## INFORMATIVE SECTION

This part of the document is purely informative and does not form part of the normative specification of A5/3 and GEA3.

# Annex A (informative): Specification of the 3GPP Confidentiality Algorithm *f*8

## A.1 Introduction

The algorithms defined in this specification have been designed to have much in common with the 3GPP confidentiality algorithm, to ease simultaneous implementation of multiple algorithms. To clarify this, a specification of **f8** is given here in terms of the core function **KGCORE**. For the definitive specification of **f8**, the reader is referred to TS 35.202 [5].

## A.2 Inputs and Outputs

The inputs to the algorithm are given in table A.1, the output in table A.2:

Table A.1: f8 inputs

Parameter	Size (bits)	Comment
COUNT	32	Frame dependent input COUNT[0]COUNT[31]
BEARER	5	Bearer identity BEARER[0]BEARER[4]
DIRECTION	1	Direction of transmission DIRECTION[0]
CK	128	Confidentiality key CK[0]CK[127]
LENGTH		The number of bits to be encrypted/decrypted
		(1-20000)

Table A.2: f8 output

Parameter	Size (bits)	Comment
KS	1-20000	Keystream bits KS[0]KS[LENGTH-1]

NOTE:

The definitive specification of **18** includes a bitstream **IBS** amongst the inputs, and gives the output as a bitstream **OBS**; both of these bitstreams are **LENGTH** bits long. **OBS** is obtained by the bitwise exclusive-or of **IBS** and **KS**. We present just the keystream generator part of **18** here, for closer comparison with **A5/3** and **GEA3**.

## A.3 Function Definition

(See figure 5, Annex B).

We define the function by mapping the **f8** inputs onto the inputs of the core function **KGCORE**, and mapping the output of **KGCORE** onto the outputs of **f8**.

So we define:

CA[0]...CA[7] = 00000000

CB[0]...CB[4] = BEARER[0]...BEARER[4]

CC[0]...CC[31] = COUNT[0]...COUNT[31]

CD[0] = DIRECTION[0]

CE[0]...CE[15] = 00000000000000000

CK[0]...CK[127] = CK[0]...CK[127]

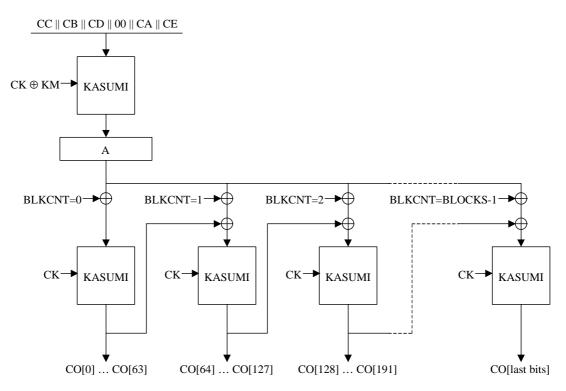
CL = LENGTH

Apply KGCORE to these inputs to derive the output CO[0]...CO[LENGTH-1].

Then define:

KS[0]...KS[LENGTH-1] = CO[0]...CO[LENGTH-1]

# Annex B (informative): Figures of the Algorithms



NOTE: **BLKCNT** is specified as a 64-bit counter so there is no ambiguity in the expression

 $A \oplus BLKCNT \oplus KSB_{n-1}$  where all operands are of the same size. In a practical implementation, where the keystream generator is required to produce no more than a certain number of bits, only the least significant few bits of the counter need to be realised.

Figure B.1: KGCORE Core Keystream Generator Function

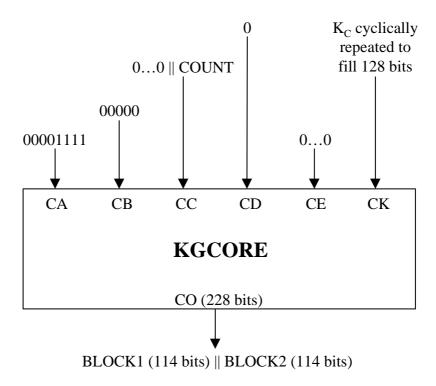


Figure B.2: GSM A5/3 Keystream Generator Function

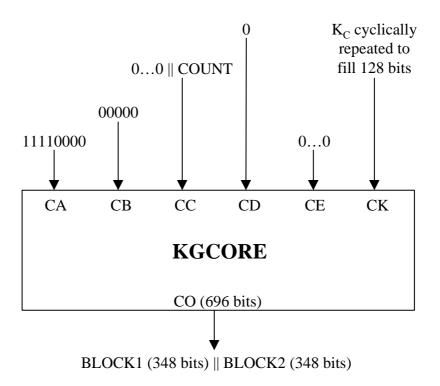


Figure B.3: EDGE A5/3 Keystream Generator Function

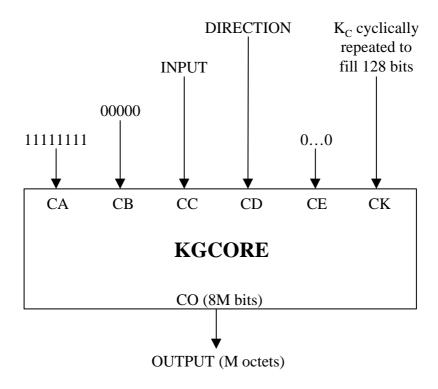


Figure B.4: GEA3 Keystream Generator Function

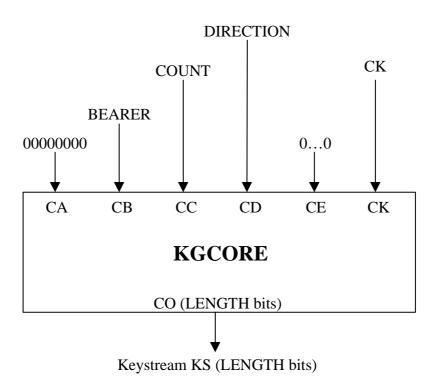


Figure B.5: 3GPP f8 Keystream Generator Function

Table B.1: GSM A5/3, EDGE A5/3, GEA3 and f8 in terms of KGCORE

	GSM A5/3	EDGE A5/3	GEA3	f8	
CA	00001111	11110000	11111111	00000000	
СВ	00000	00000	00000	BEARER	
CC	00  COUNT	00  COUNT	INPUT	COUNT	
CD	0	0	DIRECTION	DIRECTION	
CE	0000000000000				
CK	KC repeated to fill 128 bits			CK	
CO	BLOCK1  BLOCK2	BLOCK1  BLOCK2	OUTPUT	KS	

## Annex C (informative): Simulation Program Listings

#### kasumi.h

#### kasumi.c

```
Kasumi.c
  A sample implementation of KASUMI, the core algorithm for the
 * 3GPP Confidentiality and Integrity algorithms.
 * This has been coded for clarity, not necessarily for efficiency.
 * This will compile and run correctly on both Intel (little endian)
 * and Sparc (big endian) machines. (Compilers used supported 32-bit ints).
 * Version 1.1
               08 May 2000
 *____*
/*----- 16 bit rotate left -----*/
\#define ROL16(a,b) (u16)((a<< b) | (a>>(16-b)))
/*---- unions: used to remove "endian" issues -----*/
typedef union {
   u32 b32;
   u16 b16[2];
   u8 b8[4];
} DWORD;
typedef union {
   u16 b16;
   u8 b8[2];
/*----- globals: The subkey arrays -----*/
static u16 KLi1[8], KLi2[8];
static u16 KOi1[8], KOi2[8], KOi3[8];
static u16 KIi1[8], KIi2[8], KIi3[8];
* FI()
      The FI function (fig 3). It includes the S7 and S9 tables.
```

```
Transforms a 16-bit value.
static ul6 FI( ul6 in, ul6 subkev )
    ul6 nine, seven;
    static u16 S7[] = {
        54, 50, 62, 56, 22, 34, 94, 96, 38, 6, 63, 93, 2, 18,123, 33, 55,113, 39,114, 21, 67, 65, 12, 47, 73, 46, 27, 25,111,124, 81,
        53,\ 9,121,\ 79,\ 52,\ 60,\ 58,\ 48,101,127,\ 40,120,104,\ 70,\ 71,\ 43,
        20,122, 72, 61, 23,109, 13,100, 77, 1, 16, 7, 82, 10,105, 98,
        117,116, 76, 11, 89,106, 0,125,118, 99, 86, 69, 30, 57,126, 87,
        112, 51, 17, 5, 95, 14, 90, 84, 91, 8, 35,103, 32, 97, 28, 66, 102, 31, 26, 45, 75, 4, 85, 92, 37, 74, 80, 49, 68, 29,115, 44,
        64,107,108, 24,110, 83, 36, 78, 42, 19, 15, 41, 88,119, 59, 3};
    static u16 S9[] = {
        167,239,161,379,391,334, 9,338, 38,226, 48,358,452,385, 90,397,
        175,241,489, 37,206, 17, 0,333, 44,254,378, 58,143,220, 81,400,
         95, 3,315,245, 54,235,218,405,472,264,172,494,371,290,399, 76,
        165,197,395,121,257,480,423,212,240, 28,462,176,406,507,288,223,501,407,249,265, 89,186,221,428,164, 74,440,196,458,421,350,163,
        232,158,134,354, 13,250,491,142,191, 69,193,425,152,227,366,135,
        344,300,276,242,437,320,113,278, 11,243, 87,317, 36, 93,496, 27,
        487,446,482, 41, 68,156,457,131,326,403,339, 20, 39,115,442,124,
        475,384,508, 53,112,170,479,151,126,169, 73,268,279,321,168,364,
        363,292, 46,499,393,327,324, 24,456,267,157,460,488,426,309,229,
        439,506,208,271,349,401,434,236, 16,209,359, 52, 56,120,199,277,
        465,416,252,287,246, 6, 83,305,420,345,153,502, 65, 61,244,282,
        173,222,418, 67,386,368,261,101,476,291,195,430, 49, 79,166,330,
        280,383,373,128,382,408,155,495,367,388,274,107,459,417, 62,454,
        132,225,203,316,234, 14,301, 91,503,286,424,211,347,307,140,374,
         35,103,125,427, 19,214,453,146,498,314,444,230,256,329,198,285,
         50,116, 78,410, 10,205,510,171,231, 45,139,467, 29, 86,505, 32, 72, 26,342,150,313,490,431,238,411,325,149,473, 40,119,174,355,
        185,233,389, 71,448,273,372, 55,110,178,322, 12,469,392,369,190,
          1,109,375,137,181, 88, 75,308,260,484, 98,272,370,275,412,111,
        336,318, 4,504,492,259,304, 77,337,435, 21,357,303,332,483, 18,
         47, 85, 25, 497, 474, 289, 100, 269, 296, 478, 270, 106, 31, 104, 433, 84,
        414,486,394, 96, 99,154,511,148,413,361,409,255,162,215,302,201,
        266,351,343,144,441,365,108,298,251, 34,182,509,138,210,335,133,
        311,352,328,141,396,346,123,319,450,281,429,228,443,481, 92,404,
        485,422,248,297, 23,213,130,466, 22,217,283, 70,294,360,419,127,
        312,377, 7,468,194, 2,117,295,463,258,224,447,247,187, 80,398,
        284,353,105,390,299,471,470,184, 57,200,348, 63,204,188, 33,451,
         97, 30,310,219, 94,160,129,493, 64,179,263,102,189,207,114,402,
        438,477,387,122,192, 42,381, 5,145,118,180,449,293,323,136,380,
         43, 66, 60, 455, 341, 445, 202, 432, 8, 237, 15, 376, 436, 464, 59, 461};
    /* The sixteen bit input is split into two unequal halves,
     * nine bits and seven bits - as is the subkey
    nine = (u16)(in>>7);
    seven = (u16)(in&0x7F);
    /* Now run the various operations */
    nine = (u16)(S9[nine] ^ seven);
    seven = (u16)(S7[seven] ^ (nine & 0x7F));
    seven ^= (subkey>>9);
   nine ^= (subkey&0x1FF);
    nine = (u16)(S9[nine] ^ seven);
    seven = (u16)(S7[seven] ^ (nine & 0x7F));
    in = (u16)((seven << 9) + nine);
   return( in );
}
 * FO()
        The FO() function.
        Transforms a 32-bit value. Uses <index> to identify the
       appropriate subkeys to use.
static u32 FO( u32 in, int index )
```

```
u16 left, right;
   /* Split the input into two 16-bit words */
   left = (u16)(in>>16);
   right = (u16) in;
   /* Now apply the same basic transformation three times */
   left ^= KOi1[index];
   left = FI( left, KIi1[index] );
   left ^= right;
   right ^= KOi2[index];
   right = FI( right, KIi2[index] );
right ^= left;
   left ^= KOi3[index];
   left = FI( left, KIi3[index] );
   left ^= right;
   in = (((u32)right) << 16) + left;
   return( in );
}
/*-----
 * FL()
       The FL() function.
       Transforms a 32-bit value. Uses <index> to identify the
       appropriate subkeys to use.
                                -----*/
static u32 FL( u32 in, int index )
   u16 l, r, a, b;
   /* split out the left and right halves */
   1 = (u16)(in>>16);
   r = (u16)(in);
   /* do the FL() operations
   a = (u16) (1 \& KLi1[index]);
   r = ROL16(a,1);
   b = (u16)(r \mid KLi2[index]);
   1 ^= ROL16(b,1);
   /* put the two halves back together */
   in = (((u32)1) << 16) + r;
   return( in );
}
 /*_____
      the Main algorithm (fig 1). Apply the same pair of operations four times. Transforms the 64-bit input.
void Kasumi( u8 *data )
   u32 left, right, temp;
   DWORD *d;
   /* Start by getting the data into two 32-bit words (endian corect) */
   d = (DWORD*)data;
   left = (((u32)d[0].b8[0]) << 24) + (((u32)d[0].b8[1]) << 16)
+(d[0].b8[2]<<8)+(d[0].b8[3]);
   right = (((u32)d[1].b8[0]) << 24) + (((u32)d[1].b8[1]) << 16)
+(d[1].b8[2]<<8)+(d[1].b8[3]);
          temp = FL( left, n );
       temp = FO( temp, n++);
       right ^= temp;
```

```
temp = FO( right, n );
       temp = FL(temp, n++);
       left ^= temp;
   }while( n<=7 );
   /* return the correct endian result */
   d[0].b8[0] = (u8)(left>>24); d[1].b8[0] = (u8)(right>>24); d[0].b8[1] = (u8)(left>>16); d[1].b8[1] = (u8)(right>>16);
   d[0].b8[2] = (u8)(left>>8); d[1].b8[2] = (u8)(right>>8);
   d[0].b8[3] = (u8)(left);
                                  d[1].b8[3] = (u8)(right);
}
 * KeySchedule()
     Build the key schedule. Most "key" operations use 16-bit
       subkeys so we build u16-sized arrays that are "endian" correct.
void KeySchedule( u8 *k )
   static u16 C[] = {
       0x0123,0x4567,0x89AB,0xCDEF, 0xFEDC,0xBA98,0x7654,0x3210 };
   u16 key[8], Kprime[8];
   WORD *k16;
   int n;
   /* Start by ensuring the subkeys are endian correct on a 16-bit basis */
   k16 = (WORD *)k;
   for( n=0; n<8; ++n )
       key[n] = (u16)((k16[n].b8[0] << 8) + (k16[n].b8[1]));
   /* Now build the K'[] keys */
   for( n=0; n<8; ++n )
       Kprime[n] = (u16)(key[n] ^ C[n]);
   /* Finally construct the various sub keys */
   for( n=0; n<8; ++n )
       KLi1[n] = ROL16(key[n],1);
       KLi2[n] = Kprime[(n+2)&0x7];
       KOi1[n] = ROL16(key[(n+1)&0x7],5);
       KOi2[n] = ROL16(key[(n+5)&0x7],8);
       KOi3[n] = ROL16(key[(n+6)&0x7],13);
       KIi1[n] = Kprime[(n+4)&0x7];
       KIi2[n] = Kprime[(n+3)&0x7];
       KIi3[n] = Kprime[(n+7)&0x7];
            end of kasumi.c
```

#### kgcore.c

```
-----
* KGcore()
  Given ca, cb, cc, cd, ck, cl generate c0
void KGcore( u8 ca, u8 cb, u32 cc, u8 cd, u8 *ck, u8 *co, int cl )
   REGISTER64 A; /* the modifier */
REGISTER64 temp; /* The working register */
    int i, n;
    u8 key[16],ModKey[16]; /* Modified key
   ul6 blkcnt; /* The block counter */
    /* Copy over the key */
    for( i=0; i<16; ++i )
       key[i] = ck[i];
    /* Start by building our global modifier */
    temp.b32[0] = temp.b32[1] = 0;
A.b32[0] = A.b32[1] = 0;
    /* initialise register in an endian correct manner*/
   A.b8[0] = (u8) (cc>>24);
A.b8[1] = (u8) (cc>>16);
    A.b8[2] = (u8) (cc>>8);
   A.b8[3] = (u8) (cc);
A.b8[4] = (u8) (cb<<3);
    A.b8[4] = (u8) (cd << 2);
    A.b8[5] = (u8) ca;
    /* Construct the modified key and then "kasumi" A */
    for( n=0; n<16; ++n )
        ModKey[n] = (u8)(ck[n] ^ 0x55);
    KeySchedule( ModKey );
    Kasumi( A.b8 ); /* First encryption to create modifier */
    /* Final initialisation steps */
    blkcnt = 0;
    KeySchedule( key );
    /* Now run the key stream generator */
    while (cl > 0)
        /* First we calculate the next 64-bits of keystream */
        /* XOR in A and BLKCNT to last value */
        temp.b32[0] ^= A.b32[0];
        temp.b32[1] ^= A.b32[1];
temp.b8[7] ^= blkcnt;
        /* KASUMI it to produce the next block of keystream */
        Kasumi( temp.b8 );
        /* Set <n> to the number of bytes of input data *
        * we have to modify. (=8 if length <= 64)
        if(cl >= 64)
           n = 8;
        else
           n = (c1+7)/8;
        /\,\mbox{*} copy out the keystream \mbox{*}/\,
        for( i=0; i<n; ++i )
           *co++ = temp.b8[i];
        cl -= 64; /* done another 64 bits */
++blkcnt; /* increment BLKCNT */
}
/*-----
```

```
a53f.c
           A5/3
  A sample implementation of A5/3, the functions of the
 * A5/3 algorithm set.
 ^{\star} \, This has been coded for clarity, not necessarily for
 * efficiency.
 * This will compile and run correctly on both Intel
 \mbox{\ensuremath{\star}} (little endian) and Sparc (big endian) machines.
 * Version 0.1 13 March 2002
 *----*/
#include "kasumi.h"
#include <stdlib.h>
void KGcore( u8 ca, u8 cb, u32 cc, u8 cd, u8 *ck, u8 *co, int cl );
 * BuildKey()
 ^{\star} The KGcore() function expects a 128-bit key. This
 ^{\star} \, function builds that key from shorter length keys.
static u8 *BuildKey( u8 *k, int len )
   static u8 ck[16];
                        /* Where the key is built */
   int i, n, sf;
   u8 mask[]=\{0x1,0x3,0x7,0xF,0x1F,0x3F,0x7F,0xFF\};
   i = (len+7)/8;
                          /* Round to nearest byte */
   if (i > 16)
   ck[n] = k[n];
                          /* Any odd key length? */
   sf = len%8;
   /* If the key is less than 128-bits we need to replicate *
    * it as many times as is necessary to fill the key.
   if( len < 128 )
       n = 0;
       if( sf )
                /* Doesn't align to byte boundaries */
           ck[i-1] &= mask[sf];
           ck[i-1] += ck[0] << sf;
           while( i < 16 )
              ck[i] = (ck[n] >> (8-sf)) + (ck[n+1] << sf);
              ++n;
              ++i;
           }
           while( i<16 )
              ck[i++] = ck[n++];
   return( ck );
}
                  _____
 * The basic A5/3 functions.
 * These follow a standard layout:
 ^{\star}\,\, - From the supplied key build the 128-bit required by
 * - Call the KGcore() function with the appropriate
   parameters
```

end of KGcore.c

```
* - Take the generated Keystream and repackage it
     in the required format.
 * The standard GSM function
void GSM( u8 *key, int klen, int count, u8 *block1, u8 *block2 )
    u8 *ck, data[32];
   int i;
    ck=BuildKey( key, klen );
    KGcore( 0x0F, 0, count, 0, ck, data, 228 );
    for( i=0; i<15; ++i )
        block1[i] = data[i];
        block2[i] = (data[i+14]<<2) + (data[i+15]>>6);
    block1[14] &= 0xC0;
    block2[14] &= 0xC0;
}
 * The standard GSM EDGE function
 *----*/
void EDGE( u8 *key, int klen, int count, u8 *block1, u8 *block2 )
    u8 *ck, data[87];
   int i;
    ck=BuildKey( key, klen );
    KGcore( 0xF0, 0, count, 0, ck, data, 696 );
for( i=0; i<44; ++i )</pre>
        block1[i] = data[i];
       block2[i] = (data[i+43]<<4) + (data[i+44]>>4);
    block1[43] &= 0xF0;
    block2[43] &= 0xF0;
}
/*-----
 * The standard GEA3 function
void GEA3( u8 *key, int klen, u32 input, u8 direction, u8 *block, int m )
    u8 *ck, *data;
    int i;
    data = malloc( m );
    ck=BuildKey( key, klen );
KGcore( 0xFF, 0, input, direction, ck, data, m*8 );
    for( i=0; i<m; ++i )
       block[i] = data[i];
    free( data );
}
 * E n d o f A 5 3 f . c
a53f.h
void GSM( u8 *key, int klen, int count, u8 *block1, u8 *block2 );
void EDGE( u8 *key, int klen, int count, u8 *block1, u8 *block2); void GEA3( u8 *key, int klen, u32 input, u8 direction, u8 *block, int m);
```

## Annex D (informative): Change history

Change history							
Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	Old	New
2002-05	-	-	-	-	ETSI SAGE first publication		SAGE V1.0
2002-07	-	-	-	-	Agreed at SA WG3 #24 for presentation to TSG SA #17 for approval. Converted into 3GPP TS format as TS 55.216 (Technically equivalent to SAGE V1.0)	SAGE V1.0	1.0.0

# 3GPP TS 55.217 V1.0.0 (2002-07)

Technical Specification

3rd Generation Partnership Project;
Technical Specification Group Services and System Aspects;
3G Security;
Specification of the A5/3 Encryption Algorithms for GSM and
EDGE, and the GEA3 Encryption Algorithm for GPRS;
Document 2: Implementors' Test Data
(Release 6)





The present document has been developed within the 3<sup>rd</sup> Generation Partnership Project (3GPP TM) and may be further elaborated for the purposes of 3GPP.

Keywords

3GPP, GPRS, security, algorithm

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## **Foreword**

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

## 0 Scope

This specification has been prepared by the 3GPP Task Force, and gives a detailed specification of the **A5/3** encryption algorithms for GSM and EDGE, and of the **GEA3** encryption algorithm for GPRS.

This document is the second of three, which between them form the entire specification of the A5/3 and GEA3 algorithms:

- Specification of the A5/3 Encryption Algorithms for GSM and EDGE, and the GEA3 Encryption Algorithm for GPRS; Document 1: A5/3 and GEA3 Specifications.
- Specification of the A5/3 Encryption Algorithms for GSM and EDGE, and the GEA3 Encryption Algorithm for GPRS; Document 2: Implementors' Test Data.
- Specification of the A5/3 Encryption Algorithms for GSM and EDGE, and the GEA3 Encryption Algorithm for GPRS; Document 3: Design Conformance Test Data.

The normative part of the specification of the A5/3 and GEA3 algorithms is in the main body of Document 1. The annexes to this document are purely informative.

Documents 2 (this document) and 3 above are also purely informative.

The normative part of the specification of the block cipher (**KASUMI**) on which the A5/3 and GEA3 algorithms are based can be found in TS 35.202 [5].

## 1 Outline of the implementors' test data

Section 2 introduces the algorithm and describes the notation used in the subsequent sections.

Section 3 provides test data for the encryption algorithm A5/3 for GSM.

Section 4 provides test data for the encryption algorithm A5/3 for EDGE.

Section 5 provides test data for the encryption algorithm GEA3 for GPRS.

#### 1.1 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- [1] TS 55.216: "3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; 3G Security; Specification of the A5/3 Encryption Algorithms for GSM and EDGE, and the GEA3 Encryption Algorithm for GPRS; Document 1: A5/3 and GEA3 Specifications".
- [2] TS 55.217: "3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; 3G Security; Specification of the A5/3 Encryption Algorithms for GSM and EDGE, and the GEA3 Encryption Algorithm for GPRS; Document 2: Implementors' Test Data".

[3]	TS 55.218: "3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; 3G Security; Specification of the A5/3 Encryption Algorithms for GSM and EDGE, and the GEA3 Encryption Algorithm for GPRS; Document 3: Design Conformance Test Data".
[4]	3GPP TS 35.201 version 4.1.0: "3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; 3G Security; Specification of the 3GPP Confidentiality and Integrity Algorithms; Document 1: f8 and f9 Specification".
[5]	3GPP TS 35.202 version 4.0.0: "3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; 3G Security; Specification of the 3GPP Confidentiality and Integrity Algorithms; Document 2: KASUMI Specification".
[6]	3GPP TS 35.203 version 4.0.0: "3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; 3G Security; Specification of the 3GPP Confidentiality and Integrity Algorithms; Document 3: Implementors' Test Data".

## 2 Introductory information

#### 2.1 Introduction

In this document the implementors' test data are given for three ciphering algorithms: A5/3 for GSM, A5/3 for EDGE, and **GEA3** for GPRS. The algorithms are stream ciphers that are used to encrypt/decrypt blocks of data under a confidentiality key  $K_C$ . Each of these algorithms is based on the **KASUMI** algorithm that is specified in reference TS 35.202 [5]. **KASUMI** is a block cipher that produces a 64-bit output from a 64-bit input under the control of a 128-bit key. The algorithms defined in TS 55.216 [1] use **KASUMI** in a form of output-feedback mode as a keystream generator.

The three algorithms are all very similar. In Document 1 (TS 55.216 [1]) they are specified in terms of a core function **KGCORE** (section 3); The implementors' test data will reflect this as it will show the input/output data of **KGCORE** as well as important steps in the calculation inside **KGCORE**.

#### 2.2 Notation

#### 2.2.1 Radix

We use the prefix **0x** to indicate **hexadecimal** numbers.

#### 2.2.2 Conventions

We use the assignment operator '=', as used in several programming languages. When we write

$$<$$
variable $>$  =  $<$ expression $>$ 

we mean that <variable> assumes the value that <expression> had before the assignment took place. For instance,

$$x = x + y + 3$$

means

(new value of x) becomes (old value of x) + (old value of y) + 3.

## 2.2.3 Bit/Byte ordering

All data variables in this specification are presented with the most significant bit (or byte) on the left hand side and the least significant bit (or byte) on the right hand side. Where a variable is broken down into a number of sub-strings, the left most (most significant) sub-string is numbered 0, the next most significant is numbered 1 and so on through to the least significant.

For example an n-bit **STRING** is subdivided into 64-bit substrings  $SB_{0},SB_{1}...SB_{i}$  so if we have a string:

0x0123456789ABCDEFFEDCBA987654321086545381AB594FC28786404C50A37...

we have:

 $\mathbf{SB_0} = 0 \times 0123456789 \text{ABCDEF}$   $\mathbf{SB_1} = 0 \times \text{FEDCBA}9876543210$   $\mathbf{SB_2} = 0 \times 86545381 \text{AB5}94 \text{FC2}$  $\mathbf{SB_3} = 0 \times 8786404 \text{C50A}37...$ 

In binary this would be:

## 2.2.4 Presentation of input/output data

The basic data processed by the algorithm A5/3 are blocks of two times 114 bits (GSM) resp. 348 bits (EDGE). In general in this document the data is presented in hexadecimal format as bytes, thus the last byte shown as part of an input or output data block may include 0 to 6 bits that are ignored once the block size has been reached (the least significant bits of the byte are ignored).

#### 2.3 List of Variables

A a 64-bit register that is used within the **KGCORE** function to hold an intermediate value.

BLKCNT a 64-bit counter used in the **KGCORE** function.

BLOCK1 a string of keystream bits output by the **A5/3** algorithm — 114 bits for GSM, 348 bits for EDGE.

BLOCK2 a string of keystream bits output by the A5/3 algorithm — 114 bits for GSM, 348 bits for EDGE.

CA an 8-bit input to the **KGCORE** function.

CB a 5-bit input to the **KGCORE** function.

CC a 32-bit input to the **KGCORE** function.

CD a 1-bit input to the **KGCORE** function.

CE a 16-bit input to the **KGCORE** function reserved for future use. This input will not be shown in

this document.

CK a 128-bit input to the **KGCORE** function.

CL an integer input to the **KGCORE** function, in the range 1...2<sup>19</sup> inclusive, specifying the number of

output bits for KGCORE to produce.

COUNT a 22-bit frame dependent input to both the GSM and EDGE **A5/3** algorithms.

DIRECTION a 1-bit input to the **GEA3** algorithm, indicating the direction of transmission (uplink or downlink).

INPUT a 32-bit frame dependent input to the **GEA3** algorithm.

 $K_C$  the cipher key that is an input to each of the three cipher algorithms defined here. Although at the

time of writing the standards specify that K<sub>C</sub> is 64 bits long, the algorithm specifications here

allow it to be of any length between 64 and 128 inclusive, to allow for possible future

enhancements to the standards.

KLEN the length of  $K_C$  in bits, between 64 and 128 inclusive (see above).

M an input to the **GEA3** algorithm, specifying the number of octets of output to produce.

OUTPUT the stream of output octets from the **GEA3** algorithm.

## 3 Algorithm A5/3 for GSM

#### 3.1 Overview

The test data sets presented here are for the algorithm A5/3 for GSM. No detailed data of internal states of Kasumi are presented here as these are covered in section 3 of document TS 35.203 [6].

For GSM, the DIRECTION bit is not applicable and is set to zero. The COUNT variable is 22 bits in length.

#### 3.2 Format

Each test set starts by showing the various inputs to the function and their mapping to KGCORE inputs. Thereafter the input/output values of the initial KASUMI operation are shown. Finally the feedback and the resulting keystream block is shown in a table.

The first test set will also give the results in binary format to explicitly show the relationship between the hexadecimal and binary format.

## 3.3 Test Set 1

Input A5/3		
KLEN	64 Bits	
Kc	0x2BD6459F82C5BC00	
COUNT	0x24F20F	
Input KGC		
Key	0x2BD6459F82C5BC002BD6459F82C5BC00	
CA	0x0F	
СВ	0	
CC	0x0024F20F	
CD	0	
CL	228	
Init		
Initial A	0x0024F20F000F0000	
Key used	0x7E8310CAD790E9557E8310CAD790E955	
Modified A	0x91B5F53F0EFCA154	
Key now	0x2BD6459F82C5BC002BD6459F82C5BC00	
Feedback		
BLKCNT	KASUMI Input	Keystream
0	0x91B5F53F0EFCA154	0x889EEAAF9ED1BA1A
1	0x192B1F90902D1B4F	0xBBD8436232E45728
2	0x2A6DB65D3C18F67E	0xD01AA89133DA73C1
3	0x41AF5DAE3D26D296	0x1EAB68B7D89BC841

BLOCK1: 0x889EEAAF9ED1BA1ABBD8436232E440

BLOCK2: 0x5CA3406AA244CF69CF047AADA2DF40

00101101111101

## 3.4 Test Set 2

Input A5/3		
KLEN	64 Bits	
Kc	0x952C49104881FF48	
COUNT	0x061272	
Input KGC		
Key	0x952C49104881FF48952C49104881FF48	
CA	0x0F	
СВ	0	
CC	0x00061272	
CD	0	
CL	228	
Init		
Initial A	0x00061272000F0000	
Key used	0xC0791C451DD4AA1DC0791C451DD4AA1D	
Modified A	0x3E6A82C79F192DC7	
Key now	0x952C49104881FF48952C49104881FF48	
Feedback		
BLKCNT	KASUMI Input	Keystream
0	0x3E6A82C79F192DC7	0xFB4D5FBCEE13A333
1	0xC527DD7B710A8EF5	0x89285686E9A5C942
2	0xB742D44176BCE487	0x40DE38150115F15F
3	0x7EB4BAD29E0CDC9B	0x8D9D98B91A94B296

BLOCK1: 0xFB4D5FBCEE13A33389285686E9A5C0
BLOCK2: 0x25090378E0540457C57E367662E440

## 3.5 Test Set 3

Input A5/3		
KLEN	64 Bits	
Kc	0xEFA8B2229E720C2A	
COUNT	0x33FD3F	
Input KGC		
Key	0xEFA8B2229E720C2AEFA8B2229E720C2A	
CA	0x0F	
СВ	0	
CC	0x0033FD3F	
CD	0	
CL	228	
Init		
Initial A	0x0033FD3F000F0000	
Key used	0xBAFDE777CB27597FBAFDE777CB27597F	
Modified A	0x46C50F2C98B65D25	
Key now	0xEFA8B2229E720C2AEFA8B2229E720C2A	
Feedback		
BLKCNT	KASUMI Input	Keystream
0	0x46C50F2C98B65D25	0x0E4015755A336469
1	0x48851A59C285394D	0xC3DD8680E3035BC4
2	0x851889AC7BB506E3	0x19A78AD3862C1090
3	0x5F6285FF1E9A4DB6	0xC68A391FE8A6ADEB

BLOCK1: 0x0E4015755A336469C3DD8680E30340
BLOCK2: 0x6F10669E2B4E18B042431A28E47F80

## 3.6 Test Set 4

Input A5/3		
KLEN	80 Bits	
Kc	0x5ACB1D644C0D51204EA5	
COUNT	0x156B26	
Input KGC		
Key	0x5ACB1D644C0D51204EA55ACB1D644C0D	
CA	0x0F	
СВ	0	
CC	0x00156B26	
CD	0	
CL	228	
Init		
Initial A	0x00156B26000F0000	
Key used	0x0F9E4831195804751BF00F9E48311958	
Modified A	0x3071F1EC67B203EB	
Key now	0x5ACB1D644C0D51204EA55ACB1D644C0D	
Feedback		
BLKCNT	KASUMI Input	Keystream
0	0x3071F1EC67B203EB	0xE095306AD5086E2E
1	0xD0E4C186B2BA6DC4	0xAC7F3107DE4FA22D
2	0x9C0EC0EBB9FDA1C4	0xC1DFC97D5BC5661D
3	0xF1AE38913C7765F5	0xD6096F476AEDC64B

BLOCK1: 0xE095306AD5086E2EAC7F3107DE4F80
BLOCK2: 0x88B7077F25F56F1598775825BD1D80

## 3.7 Test Set 5

Input A5/3		
KLEN	128 Bits	
Kc	0xD3C5D592327FB11C4035C6680AF8C6D1	
COUNT	0x0A59B4	
Input KGC		
Key	0xD3C5D592327FB11C4035C6680AF8C6D1	
CA	0x0F	
СВ	0	
CC	0x000A59B4	
CD	0	
CL	228	
Init		
Initial A	0x000A59B4000F0000	
Key used	0x869080C7672AE4491560933D5FAD9384	
Modified A	0x0CAAEC5C175B5A03	
Key now	0xD3C5D592327FB11C4035C6680AF8C6D1	
Feedback		
BLKCNT	KASUMI Input	Keystream
0	0x0CAAEC5C175B5A03	0xDCE64362AB5F89C1
1	0xD04CAF3EBC04D3C3	0x1EF0B305166570F4
2	0x125A5F59013E2AF5	0x889D5511E9E3575D
3	0x8437B94DFEB80D5D	0x062B5CED6039506A

BLOCK1: 0xDCE64362AB5F89C11EF0B305166540
BLOCK2: 0xC3D222755447A78D5D7418AD73B580

## 4 Algorithm A5/3 for EDGE

#### 4.1 Overview

The test data sets presented here are for the algorithm A5/3 for EDGE. No detailed data of the internal states of Kasumi are presented here as these are covered in section 4 of document TS 35.203 [6].

For EDGE, the DIRECTION bit is not applicable and is set to zero. The COUNT variable is 22 bits in length. EDGE allows block sizes up to 348 bits for BLOCK1 and BLOCK2. As A5/3 for EDGE always produces two times 348 bits, the superfluous bits of each output block have to be discarded.

#### 4.2 Format

Each test starts by showing the various inputs to the function and their mapping to KGCORE inputs. Thereafter the input/output values of the initial KASUMI operation are shown. Finally the feedback and the resulting keystream block is shown in a table.

The first test set will also give the results in binary format to explicitly show the relationship between the hexadecimal and binary format.

#### 4.3 Test Set 1

Input A5/3		
KLEN	64 Bits	
Kc	0x2BD6459F82C5BC00	
COUNT	0x24F20F	
Input KGC		
Key	0x2BD6459F82C5BC002BD6459F82C5BC00	
CA	0xF0	
СВ	0	
CC	0x0024F20F	
CD	0	
CL	696	
Init		
Initial A	0x0024F20F00F00000	
Key used	0x7E8310CAD790E9557E8310CAD790E955	
Modified A	0xF3B3A9E4CDB3EA39	
Key now	0x2BD6459F82C5BC002BD6459F82C5BC00	
Feedback		
BLKCNT	KASUMI Input	Keystream
0	0xF3B3A9E4CDB3EA39	0xF75E663ACEA21EC9
1	0x04EDCFDE0311F4F1	0xD0BDE98B6C33B819
2	0x230E406FA1805222	0x299E830A1A2E2F91
3	0xDA2D2AEED79DC5AB	0x4326BEF515089B6D
4	0xB0951711D8BB7150	0xB0F271AFB9609F90
5	0x4341D84B74D375AC	0x5202CDCF51426D17
6	0xA1B1642B9CF18728	0x2DB47BFED3E6D83D
7	0xDE07D21A1E553203	0x14F4876366CCCD5B
8	0xE7472E87AB7F276A	0xFAE85B27C9B49F2F
9	0x095BF2C30407751F	0x7775B0B504905F27
10	0x84C61951C923B514	0xB5AE62B8269EA9BB

## 4.4 Test Set 2

Input A5/3		
KLEN	64 Bits	
Kc	0x952C49104881FF48	
COUNT	0x061272	
Input KGC		
Key	0x952C49104881FF48952C49104881FF48	
CA	0xF0	
СВ	0	
CC	0x00061272	
CD	0	
CL	696	
Init		
Initial A	0x0006127200F00000	
Key used	0xC0791C451DD4AA1DC0791C451DD4AA1D	
Modified A	0x50736152B21A65A1	
Key now	0x952C49104881FF48952C49104881FF48	
Feedback		
BLKCNT	KASUMI Input	Keystream
0	0x50736152B21A65A1	0xE1876AA5B250B2B8
1	0xB1F40BF7004AD718	0xD58ADE52844E84E1
2	0x85F9BF003654E142	0x09A38FF6A87FCC7B
3	0x59D0EEA41A65A9D9	0x72FC8387494086DB
4	0x228FE2D5FB5AE37E	0xA2D2A1EE189DB569
5	0xF2A1C0BCAA87D0CD	0xA9245157CDD323EA
6	0xF95730057FC9464D	0x3518270A162C054E
7	0x656B4658A43660E8	0x120F5C703AE0AB32
8	0x427C3D2288FACE9B	0x4498D40D56268745
9	0x14EBB55FE43CE2ED	0xC41BC58D71DD255C
10	0x9468A4DFC3C740F7	0xCAC6BDA3B244397E

BLOCK1: 0xE1876AA5B250B2B8D58ADE52844E84E109A38FF6A87FCC7B72FC8387494086DBA2D2A1EE189DB569A9245150

 $\verb+BLOCK2: 0x7CDD323EA3518270A162C054E120F5C703AE0AB324498D40D56268745C41BC58D71DD255CCAC6BDA3B244390B100C6268745C41BC58D71DD255CCAC6BDA3B244390B100C6268745C41BC58D71DD255CCAC6BDA3B244390B100C6268745C41BC58D71DD255CCAC6BDA3B244390B100C6268745C41BC58D71DD255CCAC6BDA3B244390B100C6268745C41BC58D71DD255CCAC6BDA3B244390B100C6268745C41BC58D71DD255CCAC6BDA3B244390B100C6268745C41BC58D71DD255CCAC6BDA3B244390B100C6268745C41BC58D71DD255CCAC6BDA3B244390B100C6268745C41BC58D71DD255CCAC6BDA3B244390B100C6268745C41BC58D71DD255CCAC6BDA3B244390B100C6268745C41BC58D71DD255CCAC6BDA3B244390B100C6268745C41BC58D71DD255CCAC6BDA3B244390B100C6268745C41BC58D71DD255CCAC6BDA3B244390B100C6268745C41BC58D71DD255CCAC6BDA3B244390B100C6268745C41BC58D71DD255CCAC6BDA3B244390B100C6268745C41BC58D71DD255CCAC6BDA3B244390B100C6268745C41BC58D71DD255CCAC6BDA3B244390B100C6268745C41BC58D71D0255CCAC6BDA3B244390B100C6268745C41BC58D71D0255CCAC6BDA3B244390B100C6268745C41BC58D71D0255C41BC$ 

## 4.5 Test Set 3

Input A5/3		
KLEN	64	
Kc	0xEFA8B2229E720C2A	
COUNT	0x33FD3F	
Input KGC		
Key	0xEFA8B2229E720C2AEFA8B2229E720C2A	
CA	0xF0	
СВ	0	
CC	0x0033FD3F	
CD	0	
CL	696	
Init		
Initial A	0x0033FD3F00F00000	
Key used	0xBAFDE777CB27597FBAFDE777CB27597F	
Modified A	0x0950A4B18725EF90	
Key now	0xEFA8B2229E720C2AEFA8B2229E720C2A	
Feedback		
BLKCNT	KASUMI Input	Keystream
0	0x0950A4B18725EF90	0x09B49CE620E4A36B
1	0x00E43857A7C14CFA	0x7956186C8F248B61
2	0x7006BCDD080164F3	0x50DC2362B3F41F6F
3	0x598C87D334D1F0FC	0x28F486D9A80BB879
4	0x21A422682F2E57ED	0xDA4FE349E72EF975
5	0xD31F47F8600B16E0	0x5A5015902B17EE1D
6	0x5300B121AC32018B	0xF32D9302567E470E
7	0xFA7D37B3D15BA899	0xA3A26B0FFCDE60DF
8	0xAAF2CFBE7BFB8F47	0xB8A28C10609AEC74
9	0xB1F228A1E7BF03ED	0xCA1EEDF3BAA3334C
10	0xC34E49423D86DCD6	0x28E7E4DDA38A4AEE

 $\verb|BLOCK1|: 0x09B49CE620E4A36B7956186C8F248B6150DC2362B3F41F6F28F486D9A80BB879DA4FE349E72EF9755A501590| \\$ 

 $\texttt{BLOCK2:} \ \ 0 \times 0 \\ \texttt{2B17EE1DF32D9302567E470EA3A26B0FFCDE60DFB8A28C10609AEC74CA1EEDF3BAA3334C28E7E4DDA38A4A00}$ 

## 4.6 Test Set 4

Input A5/3		
KLEN	80	
Kc	0x5ACB1D644C0D51204EA5	
COUNT	0x156B26	
Input KGC		
Key	0x5ACB1D644C0D51204EA55ACB1D644C0D	
CA	0xF0	
СВ	0	
CC	0x00156B26	
CD	0	
CL	696	
Init		
Initial A	0x00156B2600F00000	
Key used	0x0F9E4831195804751BF00F9E48311958	
Modified A	0x4653A8F67463F66B	
Key now	0x5ACB1D644C0D51204EA55ACB1D644C0D	
Feedback		
BLKCNT	KASUMI Input	Keystream
0	0x4653A8F67463F66B	0x0E5874E1CB66E2C0
1	0x480BDC17BF0514AA	0x438D49C5744C966F
2	0x05DEE133002F6006	0x001845E736CF365E
3	0x464BED1142ACC036	0xF842FAFFE4D28733
4	0xBE11520990B1715C	0x7C4A5FE5ACB03A39
5	0x3A19F713D8D3CC57	0x52810E30BB4AEDC7
6	0x14D2A6C6CF291BAA	0xA066C740F8D8A862
7	0xE6356FB68CBB5E0E	0x157E2A18F7E35173
8	0x532D82EE8380A710	0x6DF7CC7728172CA1
9	0x2BA464815C74DAC3	0xFE2736DE2DB08FBE
10	0xB8749E2859D379DF	0x14A0FF88C1AF790A

 $\verb|BLOCK1|: 0x0E5874E1CB66E2C0438D49C5744C966F001845E736CF365EF842FAFFE4D287337C4A5FE5ACB03A3952810E30| \\$ 

 $\verb+BLOCK2: 0x0BB4AEDC7A066C740F8D8A862157E2A18F7E351736DF7CC7728172CA1FE2736DE2DB08FBE14A0FF88C1AF790$ 

#### 4.7 Test Set 5

Input A5/3		
KLEN	128	
Kc	0xD3C5D592327FB11C4035C6680AF8C6D1	
COUNT	0x0A59B4	
Input KGC		
Key	0xD3C5D592327FB11C4035C6680AF8C6D1	
CA	0xF0	
СВ	0	
CC	0x000A59B4	
CD	0	
CL	696	
Init		
Initial A	0x000A59B400F00000	
Key used	0x869080C7672AE4491560933D5FAD9384	
Modified A	0x4278E040B3401A23	
Key now	0xD3C5D592327FB11C4035C6680AF8C6D1	
Feedback		
BLKCNT	KASUMI Input	Keystream
0	0x4278E040B3401A23	0x9887368E48257E17
1	0xDAFFD6CEFB656435	0x2EFF14BABC114DB5
2	0x6C87F4FA0F515794	0x159C2E3D0521AFCD
3	0x57E4CE7DB661B5ED	0x04487995989C35F8
4	0x463099D52BDC2FDF	0xF26C005D4CBDE2E3
5	0xB014E01DFFFDF8C5	0x9F18572D6DD0D2FA
6	0xDD60B76DDE90C8DF	0x85CF7C5FF04CDEC1
7	0xC7B79C1F430CC4E5	0x2318F01D418F4BBB
8	0x6160105DF2CF5190	0xF5FAFF8DFEA66C92
9	0xB7821FCD4DE676B8	0x47A8F64DEBF71A36
10	0x05D0160D58B7001F	0x4FBB36F39161ECB9

## 5 Algorithm GEA3 for GPRS

### 5.1 Overview

The test data sets presented here are for the algorithm GEA3 for GPRS. No detailed data of the internal states of Kasumi are presented here as these are covered in section 3 of document TS 35.203 [6].

### 5.2 Format

Each test starts by showing the various inputs to the function and their mapping to KGCORE inputs. Thereafter the input/output values of the initial KASUMI operation are shown. Finally the feedback and the resulting keystream block is shown in a table.

The first test set will also give the results in binary format to explicitly show the relationship between the hexadecimal and binary format.

### 5.3 Test Set 1

Input GEA3		
KLEN	64 Bits	
Kc	0x2BD6459F82C5BC00	
INPUT	0x5124F20F	
DIRECTION	1	
M	51	
Input KGC		
Key	0x2BD6459F82C5BC002BD6459F82C5BC00	
CA	0xff	
СВ	0	
CC	0x5124F20F	
CD	1	
CL	408	
Init		
Initial A	0x5124F20F04FF0000	
Key used	0x7E8310CAD790E9557E8310CAD790E955	
Modified A	0xDF1F9CA88B8F3248	
Key now	0x2BD6459F82C5BC002BD6459F82C5BC00	
Feedback		
BLKCNT	KASUMI Input	Keystream
0	0xDF1F9CA88B8F3248	0xF0270AAF26851D2A
1	0x2F389607AD0A2F63	0x4E88CC48CBFC740D
2	0x919750E040734647	0x94ACAB8495D27A7E
3	0x4BB3372C1E5D4835	0x154F5DA9E991EF8A
4	0xCA50C101621EDDC6	0x4198C7369655E5B9
5	0x9E875B9E1DDAD7F4	0x72DA2B05CF4CD394
6	0xADC5B7AD44C3E1DA	0xB132EBAD0F8F793E

#### OUTPUT:

0xF0270AaF26851D2A4E88CC48CBFC740D94ACAB8495D27A7E154F5DA9E991EF8A4198C7369655E5B972DA2B05CF4CD394B132EB

## 5.4 Test Set 2

Input GEA3		
KLEN	64 Bits	
Kc	0x952C49104881FF48	
INPUT	0xD3861272	
DIRECTION	0	
M	51	
Input KGC		<del></del>
Key	0x952C49104881FF48952C49104881FF48	
CA	0xFF	
СВ	0	
CC	0xD3861272	
CD	0	
CL	408	
Init		<del></del>
Initial A	0xD386127200FF0000	
Key used	0xC0791C451DD4AA1DC0791C451DD4AA1D	
Modified A	0x619D4068C2D10D43	
Key now	0x952C49104881FF48952C49104881FF48	
Feedback		
BLKCNT	KASUMI Input	Keystream
0	0x619D4068C2D10D43	0x9B7B516B15FB65E2
1	0xFAE61103D72A68A0	0x83B722DBE3A2CFCB
2	0xE22A62B32173C28A	0x0B255CFB38D529B9
3	0x6AB81C93FA0424F9	0x61BC04129D5C6565
4	0x0021447A5F8D6822	0xAA25C31E63D10A04
5	0xCBB88376A1000742	0x8191BC1F17E67ECA
6	0xE00CFC77D537738F	0xAA509A78B1A8ABEF

#### DUTPUT:

## 5.5 Test Set 3

Input GEA3		
KLEN	64 Bits	
Kc	0xEFA8B2229E720C2A	
INPUT	0x4AB3FD3F	
DIRECTION	0	
M	51	
Input KGC		
Key	0xEFA8B2229E720C2AEFA8B2229E720C2A	
CA	0xff	
СВ	0	
CC	0x4AB3FD3F	
CD	0	
CL	408	
Init		
Initial A	0x4AB3FD3F00FF0000	
Key used	0xBAFDE777CB27597FBAFDE777CB27597F	
Modified A	0x3A06D4477FA3DF28	
Key now	0xEFA8B2229E720C2AEFA8B2229E720C2A	
Feedback		
BLKCNT	KASUMI Input	Keystream
0	0x3A06D4477FA3DF28	0x0306B1F1E6286F27
1	0x390065B6998BB00E	0x148FF4F081164EA3
2	0x2E8920B7FEB59189	0x05E3296121F56491
3	0x3FE5FD265E56BBBA	0xA3BBEAB48EF824B3
4	0x99BD3EF3F15BFB9F	0x64D304946DCA4677
5	0x5ED5D0D31269995A	0x3F3A548642C68545
6	0x053C80C13D655A6B	0xC0FEE047AA50EBDF

#### :TUTTUC

 $0 \\ \times 030681 \\ \text{F1E6286F27148FF4F081164EA305E3296121F56491A3BBEAB48EF824B364D304946DCA46773F3A548642C68545C0} \\ \text{FEE0}$ 

## 5.6 Test Set 4

Input GEA3		
KLEN	80 Bits	
Kc	0x5ACB1D644C0D51204EA5	
INPUT	0xA1056B26	
DIRECTION	1	
M	51	
Input KGC		
Key	0x5ACB1D644C0D51204EA55ACB1D644C0D	
CA	0xFF	
CB	0	
CC	0xA1056B26	
CD	1	
CL	408	
Init		
Initial A	0xA1056B2604FF0000	
Key used	0x0F9E4831195804751BF00F9E48311958	
Modified A	0xA4B67C463FF7B4D5	
Key now	0x5ACB1D644C0D51204EA55ACB1D644C0D	
Feedback		
BLKCNT	KASUMI Input	Keystream
0	0xA4B67C463FF7B4D5	0xAA7906987B717A55
1	0x0ECF7ADE4486CE81	0xD58DA45465C74030
2	0x713BD8125A30F4E7	0xDA5AB70DAD711ECA
3	0x7EECCB4B9286AA1C	0x119EE76FFAA8D228
4	0xB5289B29C55F66F9	0x7EEE1314CD5E5333
5	0xDA586F52F2A9E7E3	0xCAB78DF6DACE4B86
6	0x6E01F1B0E539FF55	0x2814AD2CB44D715F

#### OUTPUT:

0xaa7906987B717a55D58Da45465C74030Da5aB70DaD711ECa119EE76FFaa8D2287EEE1314CD5E5333CAB78DF6DaCE4B8628

## 5.7 Test Set 5

Input GEA3		
KLEN	128 Bits	
Kc	0xD3C5D592327FB11C4035C6680AF8C6D1	
INPUT	0x0A3A59B4	
DIRECTION	0	
M	51	
Input KGC		
Key	0xD3C5D592327FB11C4035C6680AF8C6D1	
CA	0xff	
СВ	0	
CC	0x0A3A59B4	
CD	0	
CL	408	
Init		
Initial A	0x0A3A59B400FF0000	
Key used	0x869080C7672AE4491560933D5FAD9384	
Modified A	0x5AEE7A85947015B1	
Key now	0xD3C5D592327FB11C4035C6680AF8C6D1	
Feedback		
BLKCNT	KASUMI Input	Keystream
0	0x5AEE7A85947015B1	0x6E217CE41EBEFB5E
1	0x34CF06618ACEEEEE	0xC8094C1597429006
2	0x92E73690033285B5	0x5E42BABC9AE35654
3	0x04ACC0390E9343E6	0xA53085CE68DFA442
4	0xFFDEFF4BFCAFB1F7	0x6A2FF0AD4AF33410
5	0x30C18A28DE8321A4	0x06A3F84B7613ACB4
6	0x5C4D82CEE263B903	0xFBDC342DCFF787DA

#### OUTPUT:

0x6E217CE41EBEFB5EC8094C15974290065E42BABC9AE35654A53085CE68DFA4426A2FF0AD4AF3341006A3F84B7613ACB4FBDC34

## Annex A: Change history

	Change history						
Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	Old	New
2002-05	-	-	-	-	ETSI SAGE first publication		SAGE V1.0
2002-07	-	-	-		Agreed at SA WG3 #24 for presentation to TSG SA #17 for approval. Converted into 3GPP TS format (TS 55.217) (Technically equivalent to SAGE V1.0)	SAGE V1.0	1.0.0

# 3GPP TS 55.218 V1.0.0 (2002-07)

Technical Specification

3rd Generation Partnership Project;
Technical Specification Group Services and System Aspects;
3G Security;
Specification of the A5/3 Encryption Algorithms for GSM and
EDGE, and the GEA3 Encryption Algorithm for GPRS;
Document 3: Design Conformance Test Data
(Release 6)





The present document has been developed within the 3<sup>rd</sup> Generation Partnership Project (3GPP TM) and may be further elaborated for the purposes of 3GPP.

Keywords

3GPP, GPRS, security, algorithm

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### **Foreword**

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

#### where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

## 0 Scope

This specification has been prepared by the 3GPP Task Force, and gives a detailed specification of the **A5/3** encryption algorithms for GSM and EDGE, and of the **GEA3** encryption algorithm for GPRS.

This document is the third of three, which between them form the entire specification of the A5/3 and GEA3 algorithms:

- Specification of the A5/3 Encryption Algorithms for GSM and EDGE, and the GEA3 Encryption Algorithm for GPRS; Document 1: A5/3 and GEA3 Specifications.
- Specification of the A5/3 Encryption Algorithms for GSM and EDGE, and the GEA3 Encryption Algorithm for GPRS; Document 2: Implementors' Test Data.
- Specification of the A5/3 Encryption Algorithms for GSM and EDGE, and the GEA3 Encryption Algorithm for GPRS; Document 3: Design Conformance Test Data.

The normative part of the specification of the A5/3 and GEA3 algorithms is in the main body of Document 1. The annexes to this document are purely informative. Documents 2 and 3 (this document) are also purely informative.

The normative part of the specification of the block cipher (**KASUMI**) on which the **A5/3** and **GEA3** algorithms are based can be found in TS 35.202 [5].

## Outline of the design conformance test data

Section 2 introduces the algorithms and describes the notation used in the subsequent sections.

Section 3 provides test data for the encryption algorithm A5/3 for GSM.

Section 4 provides test data for the encryption algorithm A5/3 for EDGE.

Section 5 provides test data for the encryption algorithm GEA3 for GPRS.

#### 1.1 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- [1] TS 55.216: "3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; 3G Security; Specification of the A5/3 Encryption Algorithms for GSM and EDGE, and the GEA3 Encryption Algorithm for GPRS; Document 1: A5/3 and GEA3 Specifications".
- [2] TS 55.217: "3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; 3G Security; Specification of the A5/3 Encryption Algorithms for GSM and EDGE, and the GEA3 Encryption Algorithm for GPRS; Document 2: Implementors' Test Data".
- [3] TS 55.218: "3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; 3G Security; Specification of the A5/3 Encryption Algorithms for GSM and EDGE, and the GEA3 Encryption Algorithm for GPRS; Document 3: Design Conformance Test Data".

[4]	3GPP TS 35.201 version 4.1.0: "3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; 3G Security; Specification of the 3GPP Confidentiality and Integrity Algorithms; Document 1: f8 and f9 Specification".
[5]	3GPP TS 35.202 version 4.0.0: "3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; 3G Security; Specification of the 3GPP Confidentiality and Integrity Algorithms; Document 2: KASUMI Specification".
[6]	3GPP TS 35.203 version 4.0.0: "3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; 3G Security; Specification of the 3GPP Confidentiality and Integrity Algorithms; Document 3: Implementors' Test Data".

## 2 Introductory information

#### 2.1 Introduction

In this document black box test data are given for three ciphering algorithms: A5/3 for GSM, A5/3 for EDGE, and GEA3 for GPRS. The algorithms are stream ciphers that are used to encrypt/decrypt blocks of data under a confidentiality key  $K_C$ . Each of these algorithms is based on the KASUMI algorithm that is specified in reference TS 35.202 [5]. KASUMI is a block cipher that produces a 64-bit output from a 64-bit input under the control of a 128-bit key. The algorithms defined in TS 55.216 [1] use KASUMI in a form of output-feedback mode as a keystream generator. No test data will be given for KASUMI, as these can be found in TS 35.203 [6].

#### 2.2 Notation

#### 2.2.1 Radix

We use the prefix **0x** to indicate **hexadecimal** numbers.

### 2.2.2 Bit/Byte ordering

All data variables in this specification are presented with the most significant bit (or byte) on the left hand side and the least significant bit (or byte) on the right hand side. Where a variable is broken down into a number of sub-strings, the left most (most significant) sub-string is numbered 0, the next most significant is numbered 1 and so on through to the least significant.

For example an n-bit **STRING** is subdivided into 64-bit substrings **SB**<sub>0</sub>, **SB**<sub>1</sub>...**SB**<sub>i</sub> so if we have a string:

0x0123456789ABCDEFFEDCBA987654321086545381AB594FC28786404C50A37...

we have:

 $\mathbf{SB_0} = 0 \times 0123456789 \text{ABCDEF}$   $\mathbf{SB_1} = 0 \times \text{FEDCBA}9876543210$   $\mathbf{SB_2} = 0 \times 86545381 \text{AB5}94 \text{FC2}$  $\mathbf{SB_3} = 0 \times 8786404 \text{C50A}37...$ 

In binary this would be:

#### 2.2.3 Presentation of input/output data

The basic data processed by the algorithm A5/3 are blocks of two times 114 bits (GSM) resp. 348 bits (EDGE). In general in this document the data is presented in hexadecimal format as bytes, thus the last byte shown as part of an input or output data block may include 0 to 6 bits that are ignored once the block size has been reached (the least significant bits of the byte are ignored).

#### 2.3 List of Variables

BLOCK1 a string of keystream bits output by the A5/3 algorithm — 114 bits for GSM, 348 bits for EDGE.

BLOCK2 a string of keystream bits output by the **A5/3** algorithm — 114 bits for GSM, 348 bits for EDGE.

COUNT a 22-bit frame dependent input to both the GSM and EDGE A5/3 algorithms.

DIRECTION a 1-bit input to the **GEA3** algorithm, indicating the direction of transmission (uplink or downlink).

INPUT a 32-bit frame dependent input to the **GEA3** algorithm.

K<sub>C</sub> the cipher key that is an input to each of the three cipher algorithms defined here. Although at the

time of writing the standards specify that  $K_C$  is 64 bits long, the algorithm specifications here allow it to be of any length between 64 and 128 inclusive, to allow for possible future

enhancements to the standards.

KLEN the length of  $K_C$  in bits, between 64 and 128 inclusive (see above).

M an input to the **GEA3** algorithm, specifying the number of octets of output to produce.

OUTPUT the stream of output octets from the **GEA3** algorithm.

### 2.4 Coverage

For each of the algorithms the test data have been selected such that, provided the entire set of tests is run:

- Each key bit will have been in both the '1' and the '0' states.
- Each bit of the initialisation fields (COUNT, DIRECTION) will have been in both the '1' and the '0' states.
- Every entry in the internal S-boxes of **KASUMI** will have been used.

The **KASUMI** coverage is already being reached with the 64 bit test sets of each algorithm.

## 3 Algorithm A5/3 for GSM

#### 3.1 Overview

The test data sets presented here are for the algorithm A5/3 for GSM. For GSM, the DIRECTION bit is not applicable and is set to zero.

#### 3.2 Format

Each test starts by showing the various inputs ( $K_C$ , COUNT) to the function. Thereafter both keystream blocks are shown. The first test set will also list all values in their binary representations.

### 3.3 Test Set 1

### 3.3.1 Binary Representation

KLEN	64
Kc	001010111101011001011001111111000001011000101
COUNT	1001001111001000001111

#### BLOCK1:

#### BLOCK2:

### 3.3.2 Hexadecimal Representation

KLEN	64
Kc	0x2BD6459F82C5BC00
COUNT	0x24F20F

BLOCK1: 0x889EEAAF9ED1BA1ABBD8436232E440
BLOCK2: 0x5CA3406AA244CF69CF047AADA2DF40

### 3.4 Test Set 2

KLEN	64
Kc	0x952C49104881FF48
COUNT	0x061527

BLOCK1: 0xAB7DB38A573A325DAA76E4CB800A40
BLOCK2: 0x4C4B594FEA9D00FE8978B7B7BC1080

## 3.5 Test Set 3

KLEN	64
Kc	0xEFA8B2229E720C2A
COUNT	0x33FD3F

BLOCK1: 0x0E4015755A336469C3DD8680E30340 BLOCK2: 0x6F10669E2B4E18B042431A28E47F80

### 3.6 Test Set 4

KLEN	64
Kc	0x3451F23A43BD2C87
COUNT	0x0E418C

BLOCK1: 0x75F7C4C51560905DFBA05E46FB54C0
BLOCK2: 0x192C95353CDF979E054186DF15BF00

### 3.7 Test Set 5

KLEN	64
Kc	0xCAA2639BE82435CF
COUNT	0x2FF229

BLOCK1: 0x301437E4D4D6565D4904C631606EC0
BLOCK2: 0xF0A3B8795E264D3E1A82F684353DC0

## 3.8 Test Set 6

KLEN	64
Kc	0x7AE67E87400B9FA6
COUNT	0x2F24E5

BLOCK1: 0xF794290FEF643D2EA348A7796A2100
BLOCK2: 0xCB6FA6C6B8A705AF9FEFE975818500

### 3.9 Test Set 7

KLEN	64
Kc	0x58AF69935540698B
COUNT	0x05446B

BLOCK1: 0x749CA4E6B691E5A598C461D5FE4740
BLOCK2: 0x31C9E444CD04677ADAA8A082ADBC40

### 3.10 Test Set 8

KLEN	64
Kc	0x017F81E5F236FE62
COUNT	0x156B26

BLOCK1: 0x2A6976761E60CC4E8F9F52160276C0
BLOCK2: 0xA544D8475F2C78C35614128F1179C0

## 3.11 Test Set 9

KLEN	64
Kc	0x1ACA8B448B767B39
COUNT	0x0BC3B5

BLOCK1: 0xA4F70DC5A2C9707F5FA1C60EB10640
BLOCK2: 0x7780B597B328C1400B5C74823E8500

#### 3.12 Test Set 10

KLEN	80
Kc	0x5ACB1D644C0D512041A5
COUNT	0x1D5157

BLOCK1: 0x8EFAEC49C355CCD995C2BF649FD480
BLOCK2: 0xF3A2910CAEDF587E976171AAF33B80

### 3.13 Test Set 11

KLEN	80
Kc	0x9315819243A043BEBE6E
COUNT	0x2E196F

BLOCK1: 0xAA08DB46DD3DED78A612085C529D00
BLOCK2: 0x0250463DA0E3886F9BC2E3BB0D73C0

#### 3.14 Test Set 12

KLEN	128
Kc	0x3D43C388C9581E337FF1F97EB5C1F85E
COUNT	0x35D2CF

BLOCK1: 0xA2FE3034B6B22CC4E33C7090BEC340
BLOCK2: 0x170D7497432FF897B91BE8AECBA880

#### 3.15 Test Set 13

KLEN	128
Kc	0xA4496A64DF4F399F3B4506814A3E07A1
COUNT	0x212777

BLOCK1: 0x89CDEE360DF9110281BCF57755A040
BLOCK2: 0x33822C0C779598C9CBFC49183AF7C0

## 4 Algorithm A5/3 for EDGE

#### 4.1 Overview

The test data sets presented here are for the algorithm A5/3 for EDGE.

For EDGE, the DIRECTION bit is not applicable and is set to zero. EDGE allows block sizes up to 348 bits for BLOCK1 and BLOCK2. As A5/3 for EDGE always produces two times 348 bits, the superfluous bits of each output block have to be discarded.

#### 4.2 Format

Each test starts by showing the various inputs  $(K_C, COUNT)$  to the function. Thereafter both keystream blocks are shown. The first test set will also list all values in their binary representations.

#### 4.3 Test Set 1

### 4.3.1 Binary Representation

KLEN	64
Kc	001010111101011001011001111111000001011000101
COUNT	1001001111001000001111

#### BLOCK1

#### BLOCK2:

### 4.3.2 Hexadecimal Representation

KLEN	64
Kc	0x2BD6459F82C5BC00
COUNT	0x24F20F

BLOCK1: 0xF75E663ACEA21EC9D0BDE98B6C33B819299E830A1A2E2F914326BEF515089B6DB0F271AFB9609F905202CDC0

BLOCK2: 0xF51426D172DB47BFED3E6D83D14F4876366CCCD5BFAE85B27C9B49F2F7775B0B504905F27B5AE62B8269EA90

### 4.4 Test Set 2

KLEN	64
Kc	0x952C49104881FF48
COUNT	0x061271

BLOCK2: 0x056D9F4C43D82878A6EA70C6007DF5BC27FF134A06889E5164AFCEE6ED99D2DEF25BC0DDB25B7C77E9210910

#### 4.5 Test Set 3

KLEN	64
Kc	0xEFA8B2229E720C2A
COUNT	0x33FD3F

 $\texttt{BLOCK1:} \ 0 \times 0 \\ 9 \\ \texttt{B49CE620E4A36B7956186C8F248B6150DC2362B3F41F6F28F486D9A80BB879DA4FE349E72EF9755A501590}$ 

BLOCK2: 0x02B17EE1DF32D9302567E470EA3A26B0FFCDE60DFB8A28C10609AEC74CA1EEDF3BAA3334C28E7E4DDA38A4A0

#### 4.6 Test Set 4

KLEN	64
Kc	0x3451F23A43BD2C87
COUNT	0x0041BC

BLOCK1: 0x1257046374CDC415B8B920FBBA0B5AC14165A157704F0C0ADB14F457708BF71B2B19291C796395AECE0512C0

### 4.7 Test Set 5

KLEN	64
Kc	0xCAA2639BE82435CF
COUNT	0x1FF209

BLOCK1: 0x1640244FFF0A22021A3B8B7604661B518ADEACE830191F024D16E18081687799129E37466C67B4805E71D4E0

 $\verb+BLOCK2: 0xE62268E32C9A61FF2386849D6330A09D4A8AB99D9D905D0E4191B8D6DFAD3E924FBB026B214D5AC5E3D9CCC0+ (A Comparison of the Comparison of$ 

#### 4.8 Test Set 6

KLEN	80
Kc	0x5ACB1D644C0D512041A5
COUNT	0x156B26

BLOCK2: 0x1995B34B89FB53BF9278FED919EE8CCE20AE54E2EF295D92DD74D871D34482A40ECE60ECB9ED15CCD9337C90

### 4.9 Test Set 7

KLEN	80
Kc	0x9315819243A043BEBE6E
COUNT	0x2E196F

BLOCK1: 0xB4AF6C69B33BD7A3921BDE4C7780FADDE7B169D82D63DC969577588C37BAC61E5C07C10B18F4E466E244AB70

 $\verb+BLOCK2: 0x376F8B04E7F675844CD704F207D5D60ACD2050D4D4A94E37C3E911758735419894BF2213F910D8F3DCCBE97010F3DCCBE970$ 

### 4.10 Test Set 8

KLEN	128
Kc	0x3D43C388C9581E337FF1F97EB5C1F85E
COUNT	0x35D2CF

#### 4.11 Test Set 9

KLEN	128
Kc	0xA4496A64DF4F399F3B4506814A3E07A1
COUNT	0x212777

BLOCK1: 0x9440D02F6267722222FF55767A15679A446A9F1BB84EE1B25792BC6E2EFC0A3D7A423C506808021AB401E020

## 5 Algorithm GEA3 for GPRS

#### 5.1 Overview

The test data sets presented here are for the algorithm GEA3 for GPRS.

#### 5.2 Format

Each test starts by showing the various inputs (K<sub>C</sub>, COUNT, DIRECTION, M) to the function. Thereafter both keystream blocks are shown. The first test set will also list all values in their binary representations.

#### 5.3 Test Set 1

### 5.3.1 Binary Representation

KLEN	64
Kc	001010111101011001011001111111000001011000101
INPUT	10001110100101000010000110100011
DIRECTION	0
M	59

#### OUTPUT:

#### 5.3.2 Hexadecimal Representation

KLEN	64
Kc	0x2BD6459F82C5BC00
INPUT	0x8E9421A3
DIRECTION	0
M	59

#### OUTPUT:

 $0 \times 5 \\ F359709 \\ DE950 \\ D0105 \\ B17 \\ B6C90194280 \\ F880 \\ B48DCCDC2 \\ AFEED415 \\ DEF4354 \\ EEBB21 \\ D073CCB \\ BFB2 \\ D706 \\ BD7AFFD371 \\ FC96E390 \\ D143DCB2624054826$ 

#### 5.4 Test Set 2

KLEN	64
Kc	0x952C49104881FF48
INPUT	0x5064DB71
DIRECTION	0
M	59

#### OUTPUT:

0x FDC 03D 738C8E 14FF 0320E 59AAF 75760799E 9DA 78DD 8F888471C4AEAAC 1849633A 26CD 84F459D 265B83D 7D9B9A0B 1E54F4D75E 331640D F19E0DB0E 0

### 5.5 Test Set 3

KLEN	64
Kc	0xEFA8B2229E720C2A
INPUT	0x4BDBD5E5
DIRECTION	1
M	59

#### OUTPUT:

0x4718A2ADFC90590949DDADAB406EC3B925F1AF1214673909DAAB96BB4C18B1374BB1E99445A81CC856E47C6E49E9DBB9873D0831B2175CA1E109BA

### 5.6 Test Set 4

KLEN	64
Kc	0x3451F23A43BD2C87
INPUT	0x893FE14F
DIRECTION	0
М	59

#### OUTPUT:

 $0 \\ \times B46B1E284E3F8B63B86D9DF0915CFCEDDF2F061895BF9F82BF2593AE4847E94A4626C393CF8941CE15EA7812690D8415B88C5730FE1F5D410E16A2$ 

### 5.7 Test Set 5

KLEN	64
Kc	0xCAA2639BE82435CF
INPUT	0x8FE17885
DIRECTION	1
М	59

#### OUTPUT:

 $0 \\ \times 9 \\ \text{FEFAF155A26CF35603E727CDAA87BA067FD84FF98A50B7FF0EC8E95A0FB70E79CB93DEE2B7E9AB59D050E1262401571F349C68229DDF0DECC4E85}$ 

### 5.8 Test Set 6

KLEN	64
Kc	0x1ACA8B448B767B39
INPUT	0x4F7BC3B5
DIRECTION	0
М	59

#### OUTPUT:

0x514F6C3A3B5A55CA190092F7BB6E80EF3EDB738FCDCE2FF90BB387DDE75BBC32A04A67B898A3DFB8198FFFC37D437CF69E7F9C13B51A868720E750

### 5.7 Test Set 7

KLEN	80
Kc	0x5ACB1D644C0D512041A5
INPUT	0xF0A7F9D0
DIRECTION	1
M	59

#### OUTPUT:

0x1CC337BCFA4E339713BD8B4C42C2E7571BE86B6B7C56EDB662199B1705BACB692D377DB61812B31B58A923F7F13AEFD21AAFBB28739979124A3EE5

### 5.10 Test Set 8

KLEN	80
Kc	0x9315819243A043BEBE6E
INPUT	0x0B5B6901
DIRECTION	0
M	59

#### OUTPUT:

 $0 \times 23 \\ D335 \\ BE02460 \\ D89 \\ AB609 \\ C32E2 \\ DF8CB04F336FB358FB74778 \\ ACO331EBE00FFAE8D218EEE5 \\ CD181B3BC1580B6D0D7FD6DAC2DFF34654 \\ AD9545 \\ EB293$ 

## 5.11 Test Set 9

KLEN	128
Kc	0x3D43C388C9581E337FF1F97EB5C1F85E
INPUT	0x48571AB9
DIRECTION	0
M	59

#### OUTPUT:

0xFC7314EF00A63ED0116F236C5D25C54EEC56A5B71F9F18B4D7941F84E422ACBDE5EEA9A204679002D14F312F3DEE2A1AC917C3FBDC3696143C0F5D

### 5.12 Test Set 10

KLEN	128
Kc	0xA4496A64DF4F399F3B4506814A3E07A1
INPUT	0xEB04ADE2
DIRECTION	1
М	59

#### OUTPUT:

 $0 \times 20 \times 20 \times 10^{10} \times$ 

## Annex A: Change history

	Change history						
Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	Old	New
2002-05	-	-	-	-	ETSI SAGE first publication		SAGE V1.0
2002-07	-	-	-		Agreed at SA WG3 #24 for presentation to TSG SA #17 for approval. Converted into 3GPP TS format (TS 55.218) (Technically equivalent to SAGE V1.0)	SAGE V1.0	1.0.0

# 3GPP TR 55.919 V1.0.0 (2002-07)

Technical Report

3rd Generation Partnership Project;
Technical Specification Group Services and System Aspects;
3G Security;
Specification of the A5/3 Encryption Algorithms for GSM and
EDGE, and the GEA3 Encryption Algorithm for GPRS;
Document 4: Design and Evaluation Report
(Release 6)





The present document has been developed within the 3<sup>rd</sup> Generation Partnership Project (3GPP TM) and may be further elaborated for the purposes of 3GPP.

Keywords

3GPP, GPRS, security, algorithm

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### **Foreword**

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

### Introduction

This Report has been produced by ETSI SAGE Task Force for the design of the GSM A5/3 and GEA3 encryption algorithms.

The work described in this report was undertaken in response to a request made by Security Group GSM Association. The work was done under supervision of the ETSI Mobile Competence Centre (MCC) and the GSM Association.

## 1 Scope

This Technical Report has been prepared by the ETSI SAGE GSM A5/3 Task Force, and gives a detailed report on the design and evaluation of the **A5/3** encryption algorithms for GSM and EDGE, and of the **GEA3** encryption algorithm for GPRS.

This document is an accompanying report to the specification and test data documents listed below. Together with the 3GPP Kasumi specification, ref [7], these documents form the entire specifications of the A5/3 and GEA3 algorithms:

- Specification of the A5/3 Encryption Algorithms for GSM and EDGE, and the GEA3 Encryption Algorithm for GPRS; Document 1: A5/3 and GEA3 Specifications.
- Specification of the A5/3 Encryption Algorithms for GSM and EDGE, and the GEA3 Encryption Algorithm for GPRS; Document 2: Implementors' Test Data.
- Specification of the A5/3 Encryption Algorithms for GSM and EDGE, and the GEA3 Encryption Algorithm for GPRS; Document 3: Design Conformance Test Data.

This public report contains a detailed summary of the work performed during the design and evaluation of the GSM A5/3 algorithm for GSM and EDGE and the GEA3 Encryption algorithm for GPRS. It contains all results and findings from this work and should be read as a supplement to the formal specification documents, ref. [3] - [5]. Some of the results in this report were initially published in the 3GPP Report on the Evaluation of 3GPP Standard Confidentiality and Integrity Algorithms, ref. [8].

### 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document.*
- [1] GSM 03.20: "Digital cellular telecommunications system (Phase 2+); Security related network functions".
- [2] GSM Association Specification for A5/3. "Requirements Specification for the GSM A5/3 Encryption Algorithm (Version 2.0 final)".
- [3] TS 55.216: "3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; 3G Security; Specification of the A5/3 Encryption Algorithms for GSM and EDGE, and the GEA3 Encryption Algorithm for GPRS; Document 1: A5/3 and GEA3 Specifications".
- [4] TS 55.217: "3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; 3G Security; Specification of the A5/3 Encryption Algorithms for GSM and EDGE, and the GEA3 Encryption Algorithm for GPRS; Document 2: Implementors' Test Data".
- [5] TS 55.218: "3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; 3G Security; Specification of the A5/3 Encryption Algorithms for GSM and EDGE, and the GEA3 Encryption Algorithm for GPRS; Document 3: Design Conformance Test Data".
- [6] 3GPP TS 35.201 version 4.1.0: "3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; 3G Security; Specification of the 3GPP Confidentiality and Integrity Algorithms; Document 1: f8 and f9 Specification".

[7]	3GPP TS 35.202 version 4.0.0: "3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; 3G Security; Specification of the 3GPP Confidentiality and Integrity Algorithms; Document 2: KASUMI Specification".
[8]	"Security Algorithms Group of Experts (SAGE); Report on the Evaluation of 3GPP Standard Confidentiality and Integrity Algorithms". Version 2.0, 2000-10-06.
[9]	ISO/IEC 9797-1:1999(E): "Information technology – Security techniques – Message Authentication Codes (MACs) – Part 1".
[10]	ISO/IEC 10116:1996: "Information technology – Security techniques – Modes of operation for an

Additional references to external documents are provided in Annex A.

*n*-bit block cipher algorithm".

## 3 Abbreviations

For the purpose of the present report, the following abbreviations apply:

**APN** Almost perfect non-linear A5/3Encryption algorithm for GSM and EDGE **BLCKCNT** Blockcounter used in A5/3 and GEA3 Input parameter to the KGCORE function – 8 bit CA Input parameter to the KGCORE function – 5 bit CB **CBC** Cipher Block Chaining CCInput parameter to the KGCORE function – 32 bit Input parameter to the KGCORE function – 1 bit CD  $C_{i}$ Round constant used in KASUMI key scheduling CK Cipher Key CO Output bitstream from the KGCORE function

DSP Digital Signal Processor

EDGE Enhanced Data rates for GSM Evolution

ETSI European Telecommunications Standards Institute

FI Component function of KASUMI FL Component function of KASUMI FO Component function of KASUMI

f8 UMTS confidentiality (encryption) algorithm

f9 UMTS integrity algorithm
GEA3 Encryption algorithm for GPRS
GF(q) The finite field of q elements
3GPP 3<sup>rd</sup> Generation Partnership Project
GPRS General Packet Radio Service

GSM Global System for Mobile Communication

 $\begin{array}{ll} GSMA & GSM \ Association \\ IV & Initialisation \ Vector \\ K_C & GSM \ Cipher \ Key \end{array}$ 

IEC International Electrotechnical Commission
ISO International Organization for Standardization

KI Component of round key in KASUMI KL Component of round key in KASUMI KO Component of round key in KASUMI

MAC Message Authentication Code MCC Mobile Competence Centre

MS Mobile Station
LP Linear probability
OFB Output feedback mode

SAGE Security Algorithms Group of Experts

SAGE TF 3GPP SAGE Task Force for the design of the standard 3GPP Confidentiality and Integrity Algorithms

S7 Substitution box used in KASUMI S9 Substitution box used in KASUMI

UE User Equipment

UMTS Universal Mobile Telecommunications System

USIM User Services Identity Module XOR Exclusive Or operation

# 4 Structure of this report

The material presented in this report is organised in the subsequent clauses, as follows:

- Clause 5 provides background information on KASUMI and the development of GSM A5/3 and GEA3;
- Clause 6 provides a summary of the algorithm requirements;
- Clause 7 provides a summary of design and evaluation criteria;
- Clause 8 provides a brief description of the KASUMI block cipher and the A5/3 and GEA3 modes of use;
- Clause 9 provides some background information on the chosen design;
- Clause 10 gives an overview of the evaluation work carried out by ETSI SAGE Task Force and other parties and the conclusions of the evaluations;
- Clause 11 lists the specific quality measures taken during the project.
- Annex A includes a list of external references that are related to the results in this report.

## 5 Background to the design and evaluation work

The development of new standardised encryption algorithms for use in GSM (including EDGE) and GPRS systems was conducted by an enlarged ETSI SAGE task force in response to a request from the GSMA security group. The purpose was to develop a modern and strong encryption algorithm for use in these systems based upon previous work done for 3GPP (ref. [8]). It was especially mentioned in the requirements that the algorithm should be based on the 3GPP algorithm KASUMI. It was also clear that available resources would not allow to develop a new algorithm from scratch.

The ETSI SAGE group decided on the following strategies for the work:

- Invite external experts from the 3GPP task force to enlarge the ETSI SAGE group for the project.
- Make re-use of results and analysis from the 3GPP project, but achieve necessary cryptographic separation between the different designs.
- Invite interested manufacturers to comment on the needs for compliance with the 3GPP f8 confidentiality function.
- Try to avoid or minimise the need for additional statistical testing and external evaluation.

# 6 Summary of algorithm requirements

This section gives a summary of the algorithms requirements described in ref. [2].

## 6.1 Use of the algorithm

The algorithm shall only be used for GSM, EDGE and GPRS encryption as described in ref.[1].

More specifically the use of the algorithm is as follows:

- The algorithm is used to encrypt user and signalling data over the air interface;
- The algorithm will be used for EDGE, enhanced data in GSM;

- The algorithm will be used for GPRS packet radio service in GSM.

## 6.2 Types of implementation

The normal method for implementing the algorithm is in hardware or DSP.

## 6.3 Type and parameters of algorithm

The type and parameters of the algorithm are identical to those of the A5 algorithm, which are specified in ref.[1]. Also some additional requirements applied. The requirements are summarised here.

- The algorithm shall be based on KASUMI as defined by 3GPP
- The algorithm is a binary key stream generator.
- The inputs are the key K<sub>c</sub>, a time variant parameter COUNT and optionally a direction bit DIRECTION.
- The outputs of the ciphering algorithm are two binary blocks BLOCK1 and BLOCK2.

Relation of the input and output parameters is illustrated in figure 1.

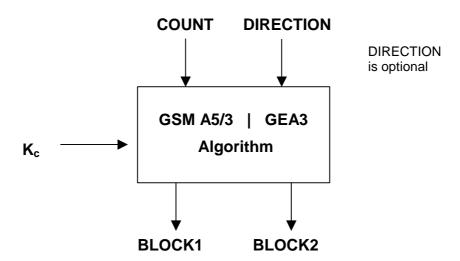


Figure 1 – Algorithm Parameters

The parameters of the algorithms are to be as follows:

**Table 1: Algorithm parameters** 

	GSM (A5/3)	EDGE (A5/3)	GPRS (GEA3)
K <sub>c</sub>	64 – 128 bits	64 – 128 bits	64 – 128 bits
COUNT	22 bits	22 bits	32 bits
BLOCK1	114 bits	114 bits 348 bits M bytes	
BLOCK2	114 bits	348 bits	Not Applicable
DIRECTION	Not Applicable	Not Applicable	1 bit

## 6.4 Implementation and operational considerations

#### 6.4.1 GSM/EDGE

The performance requirements for the A5 cipher algorithm used for GSM/EDGE are given in GSM 03.20. The current version is 8.1.0.

#### **GSM**

For ciphering, Algorithm A5 produces, each 4.615 ms, a sequence of 114 encipher/decipher bits (here called BLOCK) which is combined by a bit-wise modulo 2 addition with the 114-bit plain text block.

For each slot, deciphering is performed on the MS side with the first block (BLOCK1) of 114 bits produced by A5, and enciphering is performed with the second block (BLOCK2). As a consequence, on the network side BLOCK1 is used for enciphering and BLOCK2 for deciphering. Therefore Algorithm A5 must produce **two blocks of 114 bits** (i.e. BLOCK1 and BLOCK2) **each 4.615 ms**.

#### **EDGE**

In EDGE the block size is greater than 114 bits. With EDGE a modification of the usage of the A5 algorithm is employed which produces BLOCK 1 and BLOCK2 which **each contain 348 bits**. The other parameters are not modified. The modified algorithm produces **both blocks** during a TDMA frame duration, i.e. **4.615 ms**. The blocks are combined by bit-wise modulo 2 addition with the plaintext data.

It is possible in EDGE that the plaintext data block for either uplink or downlink is shorter than 348 bits. In this case only the first part of the corresponding output parameter BLOCK is used in the bit-wise addition and the rest of the bits are discarded.

#### 6.4.2 GPRS

The GPRS performance requirements are specified in GSM 02.60. In **GSM 01.61 version 8.0.0 release 1999**, Section 6.4, the requirements for the GPRS ciphering algorithm are stated as follows:

Requirements refer to an MS, which admits only 1 timeslot GPRS communication (see note 1), and to an MS, which admits GPRS communication over the maximum number of timeslots (see note 2).

- NOTE 1: An MS which admits only one time slot GPRS communication, the maximum capacity in each direction is 21.4 kbit/s (total rate up to 42.8 kbit/s), 12 initialisations per second are assumed (assuming packet length of 500 octets) (scenario 1).
- NOTE 2: An MS would have a maximum throughput of all 8 timeslots in both directions each transmitting and receiving at their maximum rate of 21.4 kbit/s (total rate up to 342.4 kbit/s), 100 initialisations per second are assumed (assuming packet length of 500 octets) (scenario 2).

The performance requirements, on the GPRS ciphering algorithm, as used in scenario 1, are expected to be similar to the performance of the existing A5 algorithm.

It is also expected that the performance increases linearly depending on the number of timeslots, the MS is able to use for GPRS.

The clock speed of the mobile may be assumed to be 50MHz.

## 6.4.3 Implementation complexity

It should be possible to implement the algorithm in hardware using available technology with less than 10000 gates.

## 6.5 Security of the algorithm

- The algorithm needs to be designed with a view to its continuous use for a period of at least 15 years.
- The security shall be such that there are no known plaintext attacks on the algorithm needing significantly fewer operations than an exhaustive key search.

# 7 Design and Evaluation Criteria

## 7.1 Design Criteria:

Based upon the requirements listed in section 6, the task force agreed on the following criteria for the algorithm design:

- The design should be based on the GSM Association Requirements Specification of A5/3, ref. [2];
- There should be one common design that supports the GSM, EDGE, and GPRS modes.
- The new design should be as close to the UMTS f8 design as possible.
- Any differences with the UMTS f8 design should in the first place be achieved through the algorithm inputs.
- The modes for GSM, EDGE and GPRS should be cryptographically separated; Preferably these modes should also be cryptographically separated from the UMTS f8.
- The complexity and performance of the algorithm should be comparable to the UMTS f8.

#### 7.2 Evaluation criteria

The agreed criteria for the evaluation work are summarised in the following principles:

- The evaluation of the A5/3 should where possible use the results of the UMTS f8 evaluation; the evaluation should be focused on the aspects where A5/3 and UMTS f8 differ.
- Statistical evaluation is not required, unless there are good arguments for statistical evaluation of specific aspects of the A5/3 design.
- The A5/3 Algorithm needs to be designed with a view to its continuous use for a period of at least 15 years.
- The security shall be such that there are no known keystream attacks on the algorithm predicting a significant amount of additional keystream even with chosen input needing significantly fewer operations than an exhaustive key search (note)

NOTE: An inherent limitation on the GSM security is that the frame counter is limited to 22 bits.

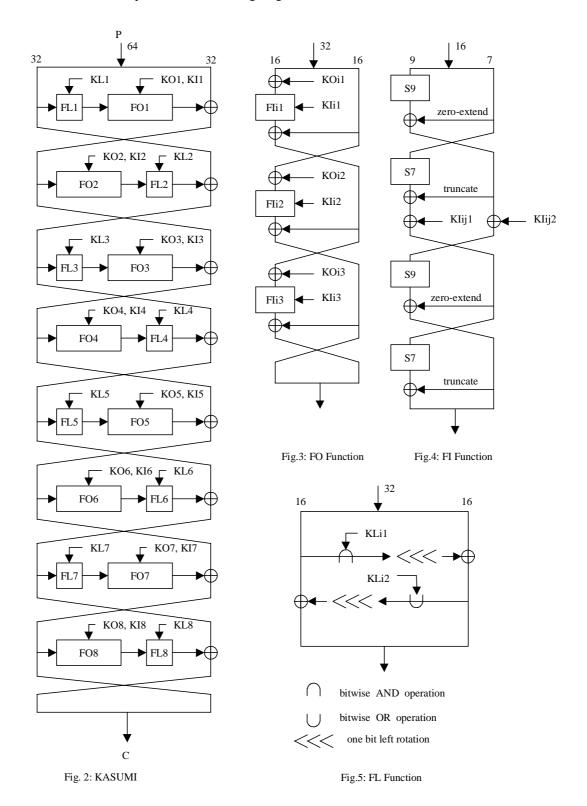
- The algorithm should be no more vulnerable to attacks distinguishing the keystream from a random sequence than other well known block-cipher based constructions, e.g. output feedback mode.

# 8 GSM A5/3 and GEA3 Encryption Algorithms

The detailed specifications of the A5/3 and GEA3 algorithms are found in ref. [3] and ref. [7]. For this report we include a general overview of the design. The basic building block is the block cipher KASUMI, which is a Feistel block cipher with a block size of 64 bits and a 128-bit cipher key.

## 8.1 KASUMI

The structure of KASUMI is depicted in the following diagrams:



KASUMI encrypts a 64-bit input by iterating a round function 8 times. The round function consists of the composition a 32-bit non-linear mixing function (FO) and a 32-bit linear mixing function (FL). The FO-function is again an iterated "ladder-design" consisting of 3 rounds of a 16-bit non-linear mixing function FI. In turn, FI is again defined as a 4-

round structure using non-linear look-up tables S7 and S9. All functions involved will mix the data input with key material. See ref. [7] for details on the specification of S-boxes and generation of round keys.

## 8.2 Confidentiality function KGCORE

The stream cipher KGCORE used for encryption of data frames in A5/3 and GEA3 is constructed from KASUMI in a variant of the standard Output Feedback Mode (OFB) ref. [10], with 64-bit feedback. The construction is depicted in the following diagram:

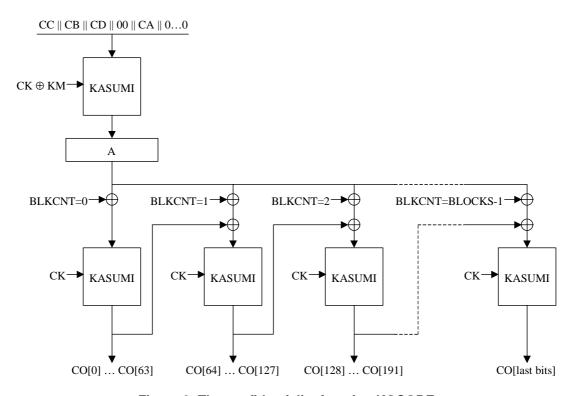


Figure 6: The confidentiality function KGCORE

During a pre-computation phase, the system parameters CC, CB, CD and CA are padded with zeroes to become a full length data block and KASUMI encrypted with a derived key CK $\oplus$  KM. The output of this process is a 64-bit register value A, which is part of the input in each subsequent KASUMI computation. The input parameter CA is used to provide the cryptographic separation between the use of the algorithm within the three systems, and also with the UMTS f8 function.

Subsequent blocks (64 bits) of keystream are then generated by running KASUMI in output feedback mode with additional input of A and the block counter (BLKCNT) to the feedback. The cipher text is then produced as the exclusive or of the keystream bits and the plaintext bits.

## 9 Rationale for the chosen design

#### 9.1 General comments

The essential design goals for the A5/3 and GEA3 encryption algorithms were that the algorithm should:

- provide a high level of security within the GSM/EDGE/GPRS context;
- meet their implementation requirements in particular, allow a low power, low gate count implementation in hardware.

The designers have therefore deliberately avoided over-designing the algorithm. They wanted the algorithms to be secure against all practical attacks in the GSM/EDGE/GPRS context, and carefully decided not to over-complicate them just to provide a very high security margin against unrealistic theoretical attacks.

The following types of attacks against the underlying block cipher KASUMI were particularly considered:

- linear cryptanalysis;
- differential cryptanalysis, and variants such as impossible differentials, "miss in the middle", etc;
- higher order differential cryptanalysis and interpolation, including probabilistic higher order analysis;
- identifying any classes of weak keys.

No weak keys were found. There are chosen plaintext and/or related key attacks against KASUMI reduced to 5 rounds, see section 9.2. We believe that with further analysis it might be possible to extend some attacks to 6 rounds, but not to the full 8 round KASUMI. In any case, the more powerful attacks do not translate to practical attacks against the A5/3 and GEA3 algorithms in the operational context.

There are several obvious ways to increase the security margin offered by KASUMI. These include:

- increasing the number of rounds;
- adding a fourth round to the FO function;
- making the key schedule more complicated.

All of these were considered, and rejected as adding complexity for no practical gain.

Attacks against the A5/3 and GEA3 constructions were also considered. The current construction is a good example of the pragmatic approach to the design. Given a very long sequence of keystream (of order 2<sup>38</sup> bits), it would be possible to identify a small amount of structure in the keystream, which could be classified as an attack (or at least an imperfection); but in the GSM/EDGE/GPRS context, such long frames of keystream will not occur, so the designers saw no need to protect further against this kind of attack.

## 9.2 Design Policy of MISTY1

The A5/3 and GEA3 crypto engine KASUMI is based on the block cipher MISTY1, ref. [21], which was designed according to the following three principles:

- MISTY should have a numerical basis for its security;
- MISTY should be reasonably fast in software on any processor;
- MISTY should be sufficiently fast in hardware implementation.

The algorithm was designed to be provably secure against differential and linear cryptanalysis. This results from building the algorithm according to provable constructions from smaller components with known resistance against these two types of attacks. The Feistel structure of MISTY1 is recursively repeated in the smaller round function FO and in the kernel FI.

The unequal division of FI is due to the fact that bijective functions of odd size are generally better than those of even size from the viewpoint of provable security against linear and differential cryptanalysis.

In selecting the S-boxes S7 and S9, the following criteria were adopted:

- Their average differential/linear probability must be minimal;
- Their delay time in hardware is as short as possible;
- Their algebraic degree is high, if possible.

The resulting functions were found by searching for functions of the form  $A(x^t)$  over  $GF(2^7)$  and  $GF(2^9)$ , where A is a bijective linear transformation. The non-linear degree of S7 is 3 and the non-linear degree of S9 is 2.

For the purpose of avoiding possible attacks other than differential and linear cryptanalysis, the design of MISTY1 was supplemented with the simple and fast function FL. This function is linear for a fixed key, but has a variable form depending on the key value.

The key scheduling part of MISTY1 was designed according to the following principles:

- The size of the key is 128 bits;
- The size of the subkey is 256 bits;
- Every round is affected by all key bits;
- As many subkey bits as possible affect every round.

## 9.3 Changes from MISTY1 to KASUMI

This section summarises the changes that have been done to MISTY1 during the design of KASUMI.

## 9.3.1 Data Encryption Part

a) Changing the location of the FL functions.

This makes hardware simpler; (but a bit slower - this drawback is recovered with other changes. Note that this structure does not block parallel computation of two FI functions).

b) Removing the subkey  $KO_{i4}$  in the FO function.

This makes hardware simpler and faster; as the FO function now has a simple repetitive structure.

c) Adding rotate shift functions in the FL function.

It is assumed that this makes cryptanalysis harder and has no negative impact on hardware size and speed.

d) Changing of the substitution table S7.

This is not a significant change, and is in fact equivalent to just rearranging the bit order before and after the original *S*7. We have not found a better table from the viewpoint of hardware implementation.

e) Changing of the substitution table S9.

This makes hardware smaller (and possibly faster). The total number of "terms" of the new S9 in its algebraic normal form is smaller than that of the original S9. We searched all polynomials and normal bases, all powers whose hamming weight is two, and all linear combinations of  $t_j$ 's for shorter  $y_i$ 's (see [5]), where the length of  $y_i$  is defined as the number of terms (except a constant value) in its algebraic normal form. For the new S9, the average length of  $y_i$ 's is 11.2, while for the original version it is 11.7.

f) Adding another S7 in the FI function

This makes the security level significantly higher but hardware bigger. We expect that this increase will be compensated with the reduction of the key scheduling part. Note that the penalty on hardware speed is not particularly significant because *S*9 and *S*7 can be performed in parallel.

## 9.3.2 Key Scheduling Part

a) Removing all FI functions in the key scheduling part.

This makes hardware smaller and/or reduces key set-up time. We expect that related key attacks do not work for this structure.

b) Adding the constant values Ci and rotate shift operations.

This avoids using the same subkey values in different rounds.

## 9.4 Rationale for the A5/3 and GEA3 design

The construction of the KGCORE confidentiality function is quite similar to the one used for the 3G confidentiality function f8. The two main distinctive features of this construction are the following:

- (1) the precomputation of a prewhitening constant A (based upon the initial values CA, CB, CC and CD and upon the modified key value ( $CK \oplus KM$ )
- (2) the technique used to produce a sequence of keystream blocks is **neither a mere counter mode nor a mere OFB mode, but a mixture of both techniques.**

The main reason for choice (1), i.e. the precomputation of a prewhitening constant A, was to provide some protection of the underlying blockcipher (KASUMI) by preventing the access by an adversary to blockcipher outputs corresponding to known or chosen inputs. Moreover, as will be shown in section 10.4, the fact that the prewhitening constant A depends upon the initial values CA, CB, CC and CD provides extra protection against some distinguishing attacks.

**The main reasons for choice (2)** were to avoid some undesirable properties resulting from a mere OFB mode or a mere counter mode. As a matter of fact:

- A mere OFB mode, whether or not combined with the precomputation of a prewhitening constant A, would have allowed for short cycles in the keystream. Though short cycles would not occur with a very high probability, they represent a more serious practical threat than most other distinguishing properties (because they may lead to the disclosure of a much larger amount of information), and are therefore to be avoided.
- A mere counter mode, if combined with the precomputation of a prewhitening constant A (choice 1), would lead to distinguishing attacks of substantial probability requiring only about 2<sup>32</sup> keystream blocks. Let us indeed assume that two keystream blocks, corresponding to blockcounter values i and j and prewhitening values A and A' are equal, i.e. A ⊕ i = A' ⊕ j. Then, other pairs of colliding keystream blocks corresponding to pairs of blockcounter values of the form (i+d, j+d) are likely to exist. For instance if i and j are both even, then two subsequent keystream blocks (corresponding to blockcounter values i+1 and j+1 respectively) are also equal, since i+1 = i ⊕ 1 and j+1 = j ⊕ 1, and therefore A ⊕ (i+1) = A' ⊕ (j+1).
- In the case of a mere counter mode not combined with the precomputation of a prewhitening constant A (choice 1), the former distinguishing attack could be avoided, if the KASUMI input block in the computation of each keystream block consisted of CA, CB, CC, CD, the blockcounter value, and of some filling bits. However, the advantage resulting from choice (1) mentioned before would be lost and moreover, another kind of distinguishing property requiring about 2<sup>32</sup> keystream blocks would exist, namely the fact that the keystream blocks corresponding to distinct (CA,CB,CC,CD, Blockcounter) values would necessarily be pairwise distinct, whereas some collisions of pairs of keystream blocks would be likely to occur in the case of a perfectly random keystream generator.

# 10 Algorithm evaluation

In this section we summarise the results of the algorithm evaluation. Much of this work was done as a part of the 3GPP Standard Confidentiality and Integrity algorithms project and for more details we refer to the report ref. [8]. The scope for this latter evaluation work was:

- Analysis of the various components of KASUMI;
- Analysis of KASUMI as a generic 64-bit block cipher;
- Analysis of the encryption mode used for A5/3 and GEA3.

All of these aspects have been exposed to mathematical and statistical evaluation by the task force and by external evaluators. No attacks that threatens the use of A5/3 and GEA3 within GSM/EDGE/GPRS systems have been identified and the general conclusion is that the algorithm is well suited for its intended use.

## 10.1 Properties of KASUMI components

Each functional component of KASUMI has been carefully studied to reveal any weakness that could be used as a basis for an attack on the entire algorithm. The following are the main results from this work.

#### 10.1.1 FL function

The FL function is a linear function, and the security of the algorithm is not meant to depend on this function. Its main purpose is to be a low cost additional scrambling, making individual bits harder to track through the rounds.

The FL function has the property that for any key KL, an input of  $0^{16}1^{16}$  always gives an output of  $1^{32}$ . Hence for some round inputs, some of the key bits in KL can be changed without having any effect on the output of that round. This property can be used to guarantee a zero difference at the end of the first round, thus effectively removing the first round. More generally, small changes to the input to FL only make small output changes, and this can be useful going either forwards or backwards through FL.

The fixed point is used in some of the differential attacks mentioned later, but no attack exploiting this property that extends beyond 5 rounds of KASUMI has been found.

#### 10.1.2 FI function

This is the basic randomising function of KASUMI with 16 bits input and 16 bits output. It is again composed of a four-round structure using two non-linear substitution boxes S7 and S9. Using theorem 4 of ref. [21], we can show that the average linear and differential probability of FI is less than  $(2^{-9+1})(2^{-7+1}) = 2^{-14}$  assuming uniform distribution of the subkeys in use. S7 and S9 have been designed in a way that avoids linear structures in FI. This fact has been confirmed by statistical testing.

#### 10.1.3 The S7 box

The S7 box in KASUMI is essentially the same as S7 in MISTY1 (see [21]); the KASUMI S7 was made by rearranging the bit order before and after the original S7. The S7 box is specially designed to be easy to implement in hardware using combinatorial logic, and as a consequence the non-linear order is 3. The algebraic normal form of this function can be found in ref. [7].

#### 10.1.4 The S9 box

The S9 box is different from the S9 box in MISTY1[21], but it has been constructed in much the same way. That is, it is easy to implement in hardware (actually easier than the original S9), and has non-linear order 2. The algebraic normal form of this function can be found in ref. [7]. S9 can been seen as a composition of the power function  $x \mapsto x^5$  and a linear output transformation defined over GF(2), it is known that it achieves almost perfect non-linearity.

## 10.1.5 Key schedule

The key schedule of KASUMI is very simple, but this fact has not been found to constitute any real weakness, and there seems to be no gain in practice by making it more complicated. Each of the 128 bits of secret key is used once and only once in every round. They are used in different ways in different rounds, and also at different parts within those rounds, and at times the values are altered using key modifications constants.

Due to the use of the constants C1 to C8 in the key schedule, there is no fixed recurrence relation between consecutive round keys. This property is required to prevent chosen plaintext attacks that are faster than exhaustive search. Further, there exists no equivalent, more compact representation of the expanded key.

Even if regularity and symmetry in the key scheduling do not introduce weaknesses in the algorithm, care should be taken such that shorter keys e.g. 64 bit keys are not extended to a full-length key in a very symmetric way. Just padding with zeroes could give some advantage to an attacker and should not be recommended.

In his analysis of MISTY1 ref.[21] Matsui shows that if the subkey bits are independent, the average differential and linear probabilities are less than 2<sup>-56</sup>. Some concern has been expressed that with the simple key schedule in KASUMI, the assumption of subkey independence might be too optimistic. However, we have no indications in this direction.

#### 10.1.6 Statistical testing of components

The two S-boxes S7 and S9 are Almost Perfect Non-linear (APN) bijective Boolean Mappings. It is known from the literature (e.g. [14] and [24]) that those functions have specific properties. Some results from the calculations and tests made are due to the construction of the S-boxes. The statistical tests confirmed the design principles of the actual constructions.

The linear approximation test showed that in the case of S7 the maximal Hamming distance of each linear combination of the output components of S7 from the set of affine functions is equal to 64 + 8 = 72, i.e. each linear combination of the output components can be approximated by at least one affine function up to 64 + 8 = 72 values. For S9 the value for the Hamming distance described above is equal to 256 + 16 = 272. That is because the Walsh transform of each linear combination of the output components of the S7 and S9 mappings are three-valued (see [14]).

S7 has no linear factors. But for each linear combination of the output components of S9 one can find one linear factor. That is due to the fact that the component functions of S9 are quadratic (see [24]), i.e. the algebraic normal form of the component function has quadratic terms at the most.

Concerning the cycle structure, S7 and S9 have no obvious deficiencies, e.g. a lot of transpositions.

S7 and S9 are not random S-boxes. The dependence test showed that each output bit of both mappings is dependent on every input bit. But for S9 there are output bits which always change when one input bit is toggled. This is because of the linear structures of S9. S7 satisfies the Avalanche effect, S9 does not.

For the FI and the FO functions no linear structures were found. The dependence test showed that each output bit of both functions is dependent on every input bit. Both functions satisfy the Avalanche effect. But a closer look at the FI function shows that it doesn't behave like a random function according to the dependence test.

## 10.2 Analysis of KASUMI as a generic 64-bits block cipher

## 10.2.1 Differential cryptanalysis

From its construction it is clear that, provided that subkeys are independent, three rounds of KASUMI have no differential or linear characteristics with probability larger than  $2^{-56}$ . It should be noted that the upper bounds on FI, see 10.1.2, is tight. It is possible to find differential characteristics for FI with probability  $2^{-14}$ . It is also important to note that the differential effect of FL is low.

In this section we review some of the differential attacks that have been found on reduced versions of KASUMI.

#### A differential chosen plaintext attack

A chosen plaintext attack on 5 rounds of KASUMI that can be used to recover the key is described in ref. [8]. The attack requires roughly  $2^{38}$  chosen plaintexts, and  $2^{80}$  small operations. It might be possible to extend this attack to 6 rounds, but not to the full 8 rounds of KASUMI.

#### Differential related key attacks

Though related key attacks seem not to be a threat within the A5/3 and GEA3 use of context, such attacks were considered. It was concluded that it is possible to perform differential related key attacks on four and five rounds of KASUMI. The four round attack requires the encryptions of approximately  $2^9$  chosen plaintext pairs X and  $X^*$  under keys K and  $K^*$  respectively, where K and  $K^*$  differ in only one bit. The average complexity of this attack is approximately  $2^{41}$ . The five round attack, which is an extension of the four round attack, requires the encryptions of on average  $3 \cdot 2^{17}$  chosen plaintext pairs, and has an average complexity of approximately  $2^{36}$ .

#### Impossible differentials

In the FI function there are no impossible differentials, because of its four-round structure. In the three round FO function, however, several impossible differentials occur since the round function FI is bijective. These lead to impossible differentials over 2 and 3 rounds of KASUMI without the FL function.

The FL functions seem to destroy most of these impossible differentials, or more precisely, make their existence key dependent. We were not able to derive any impossible differentials for the true KASUMI from those known to exist for the FO function.

Hence we are not aware of other impossible key-independent differentials for the KASUMI cipher, than the well-known five-round impossible differential of the form:

$$(0, A) \to (A, 0) \to (*, A) \to (A, *) \to (0, A) \to (A, 0)$$

where A is a non-zero 32-bit block, 0 is a 32-bit block of all zeros and each occurrence of \* can be replaced by any (possibly different) non-zero block.

This differential can however be used to distinguish 5 round KASUMI from a truly random function, see [8] for details.

An attack on KASUMI reduced to 6 rounds has been found that requires  $2^{55}$  chosen plaintexts and computation of approximately  $2^{119}$  FI values. Another attack against 6 rounds of KASUMI has been found requiring  $2^{53.3}$  chosen plaintexts with a complexity of the order of  $2^{100}$  encryptions. Both attacks exploit impossible differentials and the structure of the FO function.

No similar attack on the full 8 rounds of KASUMI has been found, and in the actual context these attacks are not applicable.

#### **Truncated differentials**

The best way that has been found to exploit truncated differentials for KASUMI leads to an attack on 3 or 4 rounds of KASUMI without the FL function. This attack uses the fact that the function FO restricted to the 16 leftmost input bits, is bijective onto the leftmost 16 bits in the output.

3 rounds can be broken using about  $2^{35}$  plaintext pairs derived from  $2^{18}$  chosen plaintexts. The 4 round attack requires  $2^{48}$  chosen plaintexts. The FL function will complicate the attack, and in any case, KASUMI with 5 rounds or more is secure against this attack.

#### 10.2.2 Linear cryptanalysis

The validity of the proofs of security given by Matsui in [21] has been examined. That is, how average is the behaviour of fixed keys with respect to linear approximations over the FI function. Mathematical calculations using the Walsh-Hadamard transform and experimental calculations were carried out independently and reached the same conclusions.

 $LP^{FI}$  was estimated to be on average smaller than  $2^{-14}$  for any linear hull over FI, but there are specific key values and linear hulls for which  $LP^{FI} \approx 2^{-12}$ . Of course there will also be key values for which the actual bias is much less than the average case. The maximal amounts of correlation are not high enough to make it possible to chain them to a useful linear approximation path over rounds of KASUMI. For construction of overall approximations one needs to consider all possible paths, and not only the ones which give large biases (correlations).

One attack on five rounds of KASUMI might be possible, but it would require a work effort of at least  $2^{95}$ , around  $2^{58}$  known plaintexts and only be applicable to a fraction of  $2^{-3}$  of the key space. A variant may potentially reduce the work effort to  $2^{93}$  and require around  $2^{49}$  known plaintexts, but will only be applicable to a fraction of  $2^{-41}$  of the key space.

We conclude that, for the full 8 round KASUMI, all keys of the FI function behave pretty much like an average key with respect to the studied linear approximation relations.

## 10.2.3 Higher order differential attacks

Quite a lot of analysis has been conducted in Japan concerning the strength of the Misty algorithms. Tanaka et al. shows in [31] that 5 round Misty1 without the FL function can be attacked using 1,408 chosen plaintexts, with a method using 6<sup>th</sup> and 7<sup>th</sup> order differentials.

It can be shown that the differential property leading to this attack is actually due to the choice of the S7 box. Further, it can be shown that it is actually not possible to find an S7 box coming from a mapping  $x \mapsto x^{e_3}$  with an exponent  $e_3$  of Hamming weight 3, that is at the same time an optimum from the points of view of average differential/linear probabilities and of the  $7^{th}$  order differential property. Finally, the product of any two output bits from S7 will have an algebraic degree bounded by 5.

However, we do not believe that this 7<sup>th</sup> order differential property still holds for KASUMI, due to the modification of the FI function. Further, we are convinced that traditional attacks based on higher order differentials will work for at most 5 rounds of KASUMI, and no other variants have been found that work for more than 5 rounds of KASUMI.

In [30] Sugita shows the relation between inputs and outputs of 6 rounds of a "Misty-like" transformation, and proves it is not a locally random function. The relation is used in a higher order differential attack to guess the key of 5 round Misty1 without the FL function.

## 10.3 Implementation attacks

KASUMI has also been analysed with respect to differential attacks like *timing attacks*, *simple power analysis and differential power analysis*. This investigation did not reveal any properties of KASUMI that would make it particularly vulnerable to these type of attacks. Specifically KASUMI has a favourable key scheduling with respect to power attack methods that try to derive information about the Hamming weight of subkey bytes. The restricted use of KASUMI in the 3GPP environment will also reduce the possibilities for such attacks. In an application where an attacker can do measurements of time of execution and/or power consumption, specific care should be taken to guarantee resistance against implementation attacks.

#### 10.3.1 Statistical evaluation of KASUMI

The block cipher KASUMI itself was tested by statistical methods. We used the dependence test to see if KASUMI satisfies the plaintext-ciphertext Avalanche effect and the key-ciphertext Avalanche effect. The Avalanche effect demands that about 32 bits of the output block shall change if one bit of the 64-bit input block is toggled if the key is fixed, or if one bit of the 128-bit key is toggled provided the same input block is used. We performed the dependence test on KASUMI reduced to two rounds, reduced to four rounds and on the full round KASUMI.

KASUMI reduced to four rounds already satisfies the key-ciphertext and the plaintext-ciphertext Avalanche effect.

To check how good KASUMI destroys redundancy in the input data, we generated a sequence of 16384 blocks of 64 bits by consecutive applications of the block cipher algorithm in ECB-mode, where between two encryption operations the input block is increased by one, starting with the all-zero block. The key was randomly chosen and the same for all calls of KASUMI. For the first sequence the output blocks were concatenated to a sequence of 1,048,576 bits. For the second sequence we built 64 sequences of 16384 bits each out of the *i*<sup>th</sup> bits of the 16384 blocks, i.e. one sequence consisting of the first bits of all 16384 blocks, one sequence consisting of the second bits of all blocks, ..., one sequence consisting of the 64<sup>th</sup> bits of all blocks. These 64 sequences were then concatenated again to a sequence of 1,048,576 bits

The following statistical tests (stream cipher tests) were applied on the two sequences (for a description of most of the tests see for example ref.[17]):

- Frequency test
- Overlapping *m*-tuple test
- Gap test
- Run test
- Coupon-Collector's test
- Universal Maurer test
- Poker test
- Correlation test
- Rank test
- Linear-complexity test
- Ziv-Lempel complexity test
- Maximum-order-complexity test

The two sequences generated to verify that KASUMI destroys redundancy in the input passed all stream cipher tests, i.e. there is no indication that these sequences deviate from random behaviour.

## 10.4 Analysis of the encryption mode used for A5/3 and GEA3

#### 10.4.1 Distinguishing attacks on A5/3 and GEA3

If we take into account the A5/3 and GEA3 context of operation, namely the fact that the maximum length (in 64-bit blocks) of any keystream sequence is equal to  $N=2^{13}$  blocks in the worst case, i.e. in the GPRS case, we are not aware of any distinguishing attack on A5/3 and GEA3 requiring only  $2^{32}$  keystream blocks, due to the following facts:

- Given any fixed initial value IV = (CA,CB,CC,CD), collisions on two keystream blocks of the keystream sequence associated with IV are predictable and correspond to (i,j) pairs of blockcountervalues such that XOR of the two feedback blocks B and B' involved in the computation of keystream blocks i and j  $^1$  be equal to i  $\oplus$  j. But the probability for such collisions to occur among the at most N blocks of the keystream associated with IV is less than  $N^2/2.2^{-64} \approx 2^{-39}$ . Thus the number of N-blocks keystream sequences required in order for such a distinguishing event to occur with a probability close to 1 is about  $2^{39}$  which represents more than the at most  $2^{33}$  distinct IV values available in the GPRS case. Therefore even if an adversary is provided with the keystream sequences associated with all possible count values (i.e.  $2^{33}$ .  $2^{13} = 2^{46}$  keystream blocks), the probability of such a distinguishing event remains low (about  $2^{33}.2^{-39} = 2^{-6}$ )
- Given any two distinct initial values IV = (CA,CB,CC,CD) and IV = (CA,CB,CC,CD), the prewhitening constants A and A' differ, and it becomes difficult to predict for which IV and IV' values the corresponding keystream blocks are equal. On the other hand, the observation of two equal keystream blocks (of numbers i and j) associated with two distinct IV values IV and IV' provides an adversary with an equation in the A and A' unknowns, namely the  $A \oplus A$ ' value:  $A \oplus A' = B \oplus B' \oplus i \oplus j$  (where B and B' represent the feedback blocks associated with the keystream blocks corresponding to IV and i and IV' and j respectively). This does not represent by itself a distinguishing information, but if a sufficiently large system of such equations can be collected by an adversary, a "linear consistency test" can be applied, and the fact that inconsistencies are never detected represents a distinguishing information. An order of magnitude of the K number of distinct keystream sequences required in order for the resulting distinguishing probability to become close to 1 can be computed using the following heuristic argument. The number of pairs of colliding blocks is about  $(K \cdot N)^2/2$ .  $2^{-64} = K^2 \cdot 2^{-39}$ , and each pair of colliding blocks provides an equation of the form  $A \oplus A' = B \oplus B' \oplus i \oplus j$ , i.e. an equation with GF(2) coefficients in the K unknown A prewhitening constants. A consistency test on such a system is likely to provide a distinguishing information when linear dependencies among the equations are likely to exist, which can be expected to happen when the number of equations is close to the number of unknowns, i.e. when K ≈  $K^2.2^{-39}$ , i.e. when  $K \approx 2^{39}$ . Thus even if an adversary is provided with the keystream sequences associated with all possible count values ( $K = 2^{33} << 2^{39}$ ) the probability of this second kind of distinguishing event can be expected to be low.

This analysis shows that typical types of collision-based distinguisheres seems not to produce any distinguishing attacks on the A5/3 and GEA3 keystream generator taking into account the limitations of the number of keystream blocks per keystream sequence ( $N = 2^{13}$  in the worst case, i.e. GPRS) and of the number of distinct keystream sequences for the whole system ( $K < 2^{33}$  for GPRS).

#### 10.5 External evaluation

The KASUMI cipher and the 3GPP f8 and f9 algorithms were analysed by three independent evaluators. Reports from these groups are included in ref. [8] together with comments the task force made to given recommendations from the groups.

The external evaluation did not reveal any flaws or security weaknesses in KASUMI or the f8 and f9 algorithms. The design was found to resist known attacks against block ciphers and the use in the f8 and f9 modes provide the necessary level of security for the intended systems.

Since A5/3 and GEA3 make use of the same underlying block cipher and the chaining mode is very similar to f8, the task force did not recommend to spend additional time and money on a formal external evaluation for this design.

<sup>&</sup>lt;sup>1</sup> The feedback block B corresponding to the blockcounter value i is defined as the zero block if i = 0, and as the i-1th keystream block if i > 0.

## 10.6 Complexity evaluation

Independent manufacturers have tested the implementation complexity of the 3GPP confidentiality and integrity algorithms. Their finding concludes that the proposed algorithms fall within the requirements specified in section 6. A high-level realisation of KASUMI has been conducted. The conclusion is that the circuit size is manageable and below 3000 gates. The similarity between the use of KASUMI in 3GPP and in GSM/EDGE/GPRS means that A5/3 and GEA3 will meet the required implementation complexity as well.

# 11 Quality control

## 11.1 Algorithm approval

Prior to the release of the A5/3 and GEA3 algorithm specification and test data, the following approvals were gained:

- All members of the ETSI SAGE A5/3 Task Force stated that they have reviewed the technical and security related aspects of the A5/3 and GEA3 algorithms and confirmed that the specifications meet all requirements found in "Requirements Specification for the GSM A5/3 Encryption Algorithm (Version 2.0 final)", ref.[2].
- All members of the ETSI SAGE A5/3 Task Force approved the release of the A5/3 and GEA3 algorithm specifications and the test data to the Algorithm Design Authority (ETSI MCC).

## 11.2 Specification testing

In addition to peer reviews by the ETSI SAGE A5/3 Task Force, one of the companies involved has been assigned a dedicated task of "Specification Testing". This process involves careful review of the specifications by experts outside the Task Force with regard to the technical content, readability and the editorial presentations. The written contribution from this activity has been incorporated in the final documents.

## 11.3 Independent implementations

Based on the Algorithm Specification documents, ref.[3] and [7], two different companies represented in the Task Force have produced two independent implementations of the A5/3 and GEA3 algorithms. Both parties have used their implementation for simulations confirming the test data found in Document 2: Implementors' Test Data, ref. [4] and Document 3: "Design Conformance Test data", ref.[5].

#### 11.4 Test data documents

The Task Force has produced two test data documents:

- Document 2: Implementors' Test Data, ref. [4]. This document contains detail simulations for the blockcipher KASUMI, A5/3 for GSM, A5/3 for EDGE and GEA3 for GPRS. The document gives corresponding input/output values for all the component functions of KASUMI during the 8 rounds. A specific repetition test is included to check that all entries in both S-boxes have been tested. For each of the three applications the document provide detail simulations corresponding to the actual parameters and block sizes of data. This document is intended for implementors who need intermediate values to verify their implementation and correct errors.
- Document 3: "Design Conformance Test data", ref.[5]. This document provides sets of input/output test data for 'black box' testing of self-contained implementations of A5/3 (GSM and EDGE) and GEA3. These tests could be used to develop power-up and maintenance tests proving correctness of the algorithm operation.

# 11.5 Algorithms distribution procedures

In this case the distribution procedures and related documents were not produced by the ETSI SAGE Task Force; they are the joint responsibility of the ETSI Mobile Competence Centre and the GSM Association. The ETSI SAGE A5/3 Task Force did not draft nor reviewed any distribution procedures or related documents.

# Annex A: External references

NOTE:	are of relevance to the reading and understanding of the details. They are referred by the more detailed 3GPP report ref. [8].
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# Annex B: Change history

Change history										
Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	Old	New			
2002-05	-	-	-	-	ETSI SAGE first publication		SAGE V1.0			
2002-07	-	-	-	-	Agreed at SA WG3 #24 for presentation to TSG SA #17 for approval. Converted into 3GPP TR format (TR 55.919) (Technically equivalent to SAGE V1.0)	SAGE V1.0	1.0.0			