**3GPP TSG-RAN WG2 Meeting #118-e R2-220xxxx**

**E-Meeting, May 9 – 20, 2022**

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| *CR-Form-v12.2* |
| **CHANGE REQUEST** |
|  |
|  | **38.331** | **CR** | **Draft** | **rev** |  | **Current version:** | **17.0.0** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  | Draft 331 CR for NR NTN UE capabilities |
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| ***Source to WG:*** | Intel Corporation |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | NR\_NTN\_solutions-Core |  | ***Date:*** | 2022-05-18 |
|  |  |  |  |  |
| ***Category:*** | F |  | ***Release:*** | Rel-17 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* *Rel-19 (Release 19)* |
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| ***Reason for change:*** | Existing TN features such as RRC inactive state may not be tested in NTN deployment. There should be mechanism to let the network know on the inter-operability testing status of the existing TN per UE features for UEs which support both TN and NTN. |
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| ***Summary of change:*** | Add new Rel-17 non-critical extension to convey a subset of UE Radio Access Capability Parameters differently for NR NTN. |
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| ***Consequences if not approved:*** | UE capabilities for TN/NTN differentiation is not supported. |
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| ***Clauses affected:*** | 6.3.3 |
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|  | **Y** | **N** |  |  |
| ***Other specs*** | **X** |  |  Other core specifications  | TS38.306 CR TBD |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

# 6 Protocol data units, formats and parameters (ASN.1)

*First change*

### 6.3.3 UE capability information elements

**< *unmodified Subclauses removed*>**

– *NTN-Parameters*

The IE *NTN-Parameters* is used to convey the subset of UE Radio Access Capability Parameters differently for NR NTN. This IE is not used if the subset of UE Radio Access Capability Parameters is same for both NR TN and NR NTN.

***NTN-Parameters* information element**

-- ASN1START

-- TAG-NTN-PARAMETERS-START

NTN-Parameters-r17 ::= SEQUENCE {

 inactiveState-r17 ENUMERATED {supported} OPTIONAL,

 measAndMobParametersNTN-r17 MeasAndMobParameters OPTIONAL,

 mac-ParametersNTN-r17 MAC-Parameters OPTIONAL,

phy-ParametersNTN-r17 Phy-Parameters OPTIONAL,

 fdd-Add-UE-NR-CapabilitiesNTN-r17 UE-NR-CapabilityAddXDD-Mode OPTIONAL,

 fr1-Add-UE-NR-CapabilitiesNTN-r17 UE-NR-CapabilityAddFRX-Mode OPTIONAL,

 ue-BasedPerfMeas-ParametersNTN-r17 UE-BasedPerfMeas-Parameters-r16 OPTIONAL,

 son-ParametersNTN-r17 SON-Parameters-r16 OPTIONAL,

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- TAG-NTN-PARAMETERS-STOP

-- ASN1STOP

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| ***NTN-Parameters* field descriptions** |
| ***fdd-Add-UE-NR-CapabilitiesNTN-r17*** NTN related capabilities which the UE supports in NTN differently than in TN. If absent, *fdd-Add-UE-NR-Capabilities* applies to NTN. |
| ***fr1-Add-UE-NR-CapabilitiesNTN*** NTN related capabilities which the UE supports in NTN differently than in TN. If absent, *fr1-Add-UE-NR-Capabilities* applies to NTN. |
| ***mac-ParametersNTN*** NTN related capabilities which the UE supports in NTN differently than in TN. If absent, *MAC-Parameters* applies to NTN. |
| ***measAndMobParametersNTN*** NTN related capabilities which the UE supports in NTN differently than in TN. If absent, *measAndMobParameters* applies to NTN. |
| ***phy-ParametersNTN*** NTN related capabilities which the UE supports in NTN differently than in TN. If absent, *phy-Parameters* applies to NTN. |
| ***son-ParametersNTN*** NTN related capabilities which the UE supports in NTN differently than in TN. If absent, *son-Parameters-r16* applies to NTN. |
| ***ue-BasedPerfMeas-ParametersNTN*** NTN related capabilities which the UE supports in NTN differently than in TN. If absent, *ue-BasedPerfMeas-Parameters-r16* applies to NTN. |

*Next change*

#### – *UE-NR-Capability*

The IE *UE-NR-Capability* is used to convey the NR UE Radio Access Capability Parameters, see TS 38.306 [26].

*UE-NR-Capability* information element

-- ASN1START

-- TAG-UE-NR-CAPABILITY-START

UE-NR-Capability ::= SEQUENCE {

 accessStratumRelease AccessStratumRelease,

 pdcp-Parameters PDCP-Parameters,

 rlc-Parameters RLC-Parameters OPTIONAL,

 mac-Parameters MAC-Parameters OPTIONAL,

 phy-Parameters Phy-Parameters,

 rf-Parameters RF-Parameters,

 measAndMobParameters MeasAndMobParameters OPTIONAL,

 fdd-Add-UE-NR-Capabilities UE-NR-CapabilityAddXDD-Mode OPTIONAL,

 tdd-Add-UE-NR-Capabilities UE-NR-CapabilityAddXDD-Mode OPTIONAL,

 fr1-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

 fr2-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

 featureSets FeatureSets OPTIONAL,

 featureSetCombinations SEQUENCE (SIZE (1..maxFeatureSetCombinations)) OF FeatureSetCombination OPTIONAL,

 lateNonCriticalExtension OCTET STRING (CONTAINING UE-NR-Capability-v15c0) OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1530 OPTIONAL

}

-- Regular non-critical extensions:

UE-NR-Capability-v1530 ::= SEQUENCE {

 fdd-Add-UE-NR-Capabilities-v1530 UE-NR-CapabilityAddXDD-Mode-v1530 OPTIONAL,

 tdd-Add-UE-NR-Capabilities-v1530 UE-NR-CapabilityAddXDD-Mode-v1530 OPTIONAL,

 dummy ENUMERATED {supported} OPTIONAL,

 interRAT-Parameters InterRAT-Parameters OPTIONAL,

 inactiveState ENUMERATED {supported} OPTIONAL,

 delayBudgetReporting ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1540 OPTIONAL

}

UE-NR-Capability-v1540 ::= SEQUENCE {

 sdap-Parameters SDAP-Parameters OPTIONAL,

 overheatingInd ENUMERATED {supported} OPTIONAL,

 ims-Parameters IMS-Parameters OPTIONAL,

 fr1-Add-UE-NR-Capabilities-v1540 UE-NR-CapabilityAddFRX-Mode-v1540 OPTIONAL,

 fr2-Add-UE-NR-Capabilities-v1540 UE-NR-CapabilityAddFRX-Mode-v1540 OPTIONAL,

 fr1-fr2-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1550 OPTIONAL

}

UE-NR-Capability-v1550 ::= SEQUENCE {

 reducedCP-Latency ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1560 OPTIONAL

}

UE-NR-Capability-v1560 ::= SEQUENCE {

 nrdc-Parameters NRDC-Parameters OPTIONAL,

 receivedFilters OCTET STRING (CONTAINING UECapabilityEnquiry-v1560-IEs) OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1570 OPTIONAL

}

UE-NR-Capability-v1570 ::= SEQUENCE {

 nrdc-Parameters-v1570 NRDC-Parameters-v1570 OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1610 OPTIONAL

}

-- Late non-critical extensions:

UE-NR-Capability-v15c0 ::= SEQUENCE {

 nrdc-Parameters-v15c0 NRDC-Parameters-v15c0 OPTIONAL,

 partialFR2-FallbackRX-Req ENUMERATED {true} OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v15g0 OPTIONAL

}

UE-NR-Capability-v15g0 ::= SEQUENCE {

 rf-Parameters-v15g0 RF-Parameters-v15g0 OPTIONAL,

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- Regular non-critical extensions:

UE-NR-Capability-v1610 ::= SEQUENCE {

 inDeviceCoexInd-r16 ENUMERATED {supported} OPTIONAL,

 dl-DedicatedMessageSegmentation-r16 ENUMERATED {supported} OPTIONAL,

 nrdc-Parameters-v1610 NRDC-Parameters-v1610 OPTIONAL,

 powSav-Parameters-r16 PowSav-Parameters-r16 OPTIONAL,

 fr1-Add-UE-NR-Capabilities-v1610 UE-NR-CapabilityAddFRX-Mode-v1610 OPTIONAL,

 fr2-Add-UE-NR-Capabilities-v1610 UE-NR-CapabilityAddFRX-Mode-v1610 OPTIONAL,

 bh-RLF-Indication-r16 ENUMERATED {supported} OPTIONAL,

 directSN-AdditionFirstRRC-IAB-r16 ENUMERATED {supported} OPTIONAL,

 bap-Parameters-r16 BAP-Parameters-r16 OPTIONAL,

 referenceTimeProvision-r16 ENUMERATED {supported} OPTIONAL,

 sidelinkParameters-r16 SidelinkParameters-r16 OPTIONAL,

 highSpeedParameters-r16 HighSpeedParameters-r16 OPTIONAL,

 mac-Parameters-v1610 MAC-Parameters-v1610 OPTIONAL,

 mcgRLF-RecoveryViaSCG-r16 ENUMERATED {supported} OPTIONAL,

 resumeWithStoredMCG-SCells-r16 ENUMERATED {supported} OPTIONAL,

 resumeWithStoredSCG-r16 ENUMERATED {supported} OPTIONAL,

 resumeWithSCG-Config-r16 ENUMERATED {supported} OPTIONAL,

 ue-BasedPerfMeas-Parameters-r16 UE-BasedPerfMeas-Parameters-r16 OPTIONAL,

 son-Parameters-r16 SON-Parameters-r16 OPTIONAL,

 onDemandSIB-Connected-r16 ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1640 OPTIONAL

}

UE-NR-Capability-v1640 ::= SEQUENCE {

 redirectAtResumeByNAS-r16 ENUMERATED {supported} OPTIONAL,

 phy-ParametersSharedSpectrumChAccess-r16 Phy-ParametersSharedSpectrumChAccess-r16 OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1650 OPTIONAL

}

UE-NR-Capability-v1650 ::= SEQUENCE {

 mpsPriorityIndication-r16 ENUMERATED {supported} OPTIONAL,

 highSpeedParameters-v1650 HighSpeedParameters-v1650 OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1700 OPTIONAL

}

UE-NR-Capability-v1700 ::= SEQUENCE {

 inactiveStatePO-Determination-r17 ENUMERATED {supported} OPTIONAL,

 highSpeedParameters-v1700 HighSpeedParameters-v1700 OPTIONAL,

 powSav-Parameters-v1700 PowSav-Parameters-v1700 OPTIONAL,

 mac-Parameters-v1700 MAC-Parameters-v1700 OPTIONAL,

 ims-Parameters-v1700 IMS-Parameters-v1700 OPTIONAL,

 measAndMobParameters-v1700 MeasAndMobParameters-v1700,

 qoe-Parameters-r17 QoE-Parameters-r17 OPTIONAL,

 redCapParameters-r17 RedCapParameters-r17 OPTIONAL,

 ra-SDT-r17 ENUMERATED {supported} OPTIONAL,

 srb-SDT-r17 ENUMERATED {supported} OPTIONAL,

 gNB-SideRTT-BasedPDC-r17 ENUMERATED {supported} OPTIONAL,

 bh-RLF-RecoveryDetection-Indication-r17 ENUMERATED {supported} OPTIONAL,

 nrdc-Parameters-v1700 NRDC-Parameters-v1700 OPTIONAL,

 bap-Parameters-v1700 BAP-Parameters-v1700 OPTIONAL,

 musimGapPreference-r17 ENUMERATED {supported} OPTIONAL,

 musimLeaveConnected-r17 ENUMERATED {supported} OPTIONAL,

 mbs-Parameters-r17 MBS-Parameters-r17,

 nonTerrestrialNetwork-r17 ENUMERATED {supported} OPTIONAL,

ntn-ScenarioSupport-r17 ENUMERATED {gso, ngso} OPTIONAL,

 ntn-Parameters-r17 NTN-Parameters-r17 OPTIONAL,

 sliceInfoforCellReselection-r17 ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

UE-NR-CapabilityAddXDD-Mode ::= SEQUENCE {

 phy-ParametersXDD-Diff Phy-ParametersXDD-Diff OPTIONAL,

 mac-ParametersXDD-Diff MAC-ParametersXDD-Diff OPTIONAL,

 measAndMobParametersXDD-Diff MeasAndMobParametersXDD-Diff OPTIONAL

}

UE-NR-CapabilityAddXDD-Mode-v1530 ::= SEQUENCE {

 eutra-ParametersXDD-Diff EUTRA-ParametersXDD-Diff

}

UE-NR-CapabilityAddFRX-Mode ::= SEQUENCE {

 phy-ParametersFRX-Diff Phy-ParametersFRX-Diff OPTIONAL,

 measAndMobParametersFRX-Diff MeasAndMobParametersFRX-Diff OPTIONAL

}

UE-NR-CapabilityAddFRX-Mode-v1540 ::= SEQUENCE {

 ims-ParametersFRX-Diff IMS-ParametersFRX-Diff OPTIONAL

}

UE-NR-CapabilityAddFRX-Mode-v1610 ::= SEQUENCE {

 powSav-ParametersFRX-Diff-r16 PowSav-ParametersFRX-Diff-r16 OPTIONAL,

 mac-ParametersFRX-Diff-r16 MAC-ParametersFRX-Diff-r16 OPTIONAL

}

BAP-Parameters-r16 ::= SEQUENCE {

 flowControlBH-RLC-ChannelBased-r16 ENUMERATED {supported} OPTIONAL,

 flowControlRouting-ID-Based-r16 ENUMERATED {supported} OPTIONAL

}

BAP-Parameters-v1700 ::= SEQUENCE {

 bapHeaderRewriting-Rerouting-r17 ENUMERATED {supported} OPTIONAL,

 bapHeaderRewriting-Routing-r17 ENUMERATED {supported} OPTIONAL

}

MBS-Parameters-r17 ::= SEQUENCE {

 maxMRB-Add-r17 INTEGER (1..16) OPTIONAL

}

-- TAG-UE-NR-CAPABILITY-STOP

-- ASN1STOP

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| *UE-NR-Capability* field descriptions |
| ***featureSetCombinations***A list of *FeatureSetCombination:s* for *supportedBandCombinationList* in *UE-NR-Capability*. The *FeatureSetDownlink:s* and *FeatureSetUplink:s* referred to from these *FeatureSetCombination:s* are defined in the *featureSets* list in *UE-NR-Capability*. |

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| *UE-NR-Capability-v1540 field descriptions* |
| ***fr1-fr2-Add-UE-NR-Capabilities***This instance of *UE-NR-CapabilityAddFRX-Mode* does not include any other fields than *csi-RS-IM-ReceptionForFeedback*/ *csi-RS-ProcFrameworkForSRS*/ *csi-ReportFramework*. |

*End of change*

### Annex: UE capability agreements

**RAN2#116bis:**

Agreements:

1. define one single NR NTN UE capability to encompass essential features to support NTN, and UE can further indicate other optional capabilities.
2. gnss-Location-r16 is conditionally mandatory when UE indicates the support of NR NTN access, and update the field description to cover NTN case.
3. consider the following differentiation of user plane enhancements as baseline:

 Essential sub-features include:

 1) the adaptations of RACH;

 2) DRX HARQ RTT timer extension;

 3) the timer extension to accommodate long RTT for other MAC timers (e.g., extended sr-ProhibitTimer);

 4) the timer extension to accommodate long RTT in RLC and PDCP layers (FFS for LEO)

 Optional sub-features include:

 1) TA reporting (TA reporting during RACH using MAC CE, and Event-triggers for TA reporting in connected mode);

 2) disabling HARQ feedback for downlink transmission;

 3) new HARQ state for uplink transmission and the corresponding new LCP mapping rule for dynamic grants.

4. consider the following differentiation of control plane enhancements as baseline:

 Essential sub-features include (for NGSO, FFS for GEO):

 1) soft TAC update;

 2) SMTC enhancements (event-triggered assistance information reporting, 2 SMTC in parallel);

 Optional sub-features include:

 1) cell stop-time based neighbour cell measurements;

 2) location based cell reselection criteria;

 3) SMTC enhancements (4 SMTC in parallel and UE based solution in idle/inactive);

 4) CHO enhancements (location based CHO).

 FFS if CHO enhancements (time based and Event A4 based CHO) is essential or optional

1. Postpone the UE capability discussion on location reporting

Working Assumption (further check if anything can be per band):

1. the granularities of all the optional RAN2 determined sub-features with capability signalling are per UE.

Agreements via email - from offline 112:

1. RAN2 confirms that the RLC timer extension (i.e., t-Reassembly timer) is also essential for NGSO.
2. RAN2 confirms that the PDCP timer extension (i.e., discardTimer and t-Reordering timer) is also essential for NGSO.
3. RAN2 confirms that Multiple TACs feature (i.e., UE should be able derive multiple TACs per PLMN in a cell, and indicate to NAS layer all received TACs per PLMN) is essential for both GSO and NGSO.
4. The support of essential NTN features should be the Prerequisite for optional NR NTN UE capabilities.

Agreements:

1. Define single UE capability to encompass all features essential to support both GSO and NGSO, i.e., when UE indicates it, it means UE supports all the GSO and NGSO essential features (FFS for SMTC enhancements). (this does not automatically mean that interoperability testing between GSO and NGSO is also supported)
2. UE capabilities for optional CHO enhancements (at least location based CHO) for NTN are per band, which is also in line with R16 CHO design

**RAN2#117:**

Agreements via email - from offline 104:

1. The SMTC enhancements (event-triggered assistance information reporting, 2 SMTC in parallel) are essential for NGSO capable UEs.
2. Incorporate event-triggered TA reporting feature into TA reporting UE capability defined in RAN1 feature list.
3. Specify single UE capability to represent the support of both UL HARQ state B and the new LCP restriction.
4. Postpone the discussion on NTN SMTC UE capabilities, and if the updated RAN1/4 feature lists during this meeting don’t include NTN SMTC related UE capabilities, RAN2 sends an LS to RAN1/4 for triggering this discussion.

Agreements online:

1. RAN2 understands that in NTN, RTT values are assumed to be longer in the calculation of L2 buffer. No spec change

Agreements via email - from offline 104 - second round:

1. the UE capabilities for time based CHO and Event A4 based CHO are optional with capability signalling.
2. RAN2 confirms that, if UE supports both GSO and NGSO, it means UE also supports mobility between GSO and NGSO.
3. If a TA report is triggered and there are no available UL-SCH resources, the network may optionally configure UE to trigger an SR. A UE capability is introduced for this.

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| **ð  Agreed adding an FFS for optional features, e.g.**"Define IoT bit for the support of {GSO, NGSO, both}, and this indication means all NTN essential features and optional features (FFS) UE indicates have been tested in the corresponding scenario(s). The exemplary spec change may be like:ntn-ScenarioSupport-r17      ENUMERATED {GSO, NGSO, both}      OPTIONAL,nonTerrestrialNetwork-r17    ENUMERATED {supported}            OPTIONAL,(FFS for optional features) |

**RAN2#118:**

Agreements:

1. Whether existing TN capabilities need separate NTN capabilities or IoT bits is focused on per-UE capabilities
2. Add separate IoT bits to convey a subset of UE Radio Access Capability Parameters differently for NR NTN. It also implies that other per-UE UE capabilities not within this list are applicable to both TN and NTN.
3. Proposal 3: at least the following existing TN UE capabilities need separate IoT bits for NTN:

 1) mac-Parameters;

 2) phy-Parameters;

 3) measAndMobParameters;

 4) fdd-Add-UE-NR-Capabilities;

 5) fr1-Add-UE-NR-Capabilities

 6) SON/MDT related capabilities.

 7) at least inactiveState

4. “ntn-ScenarioSupport-r17 is used for both essential and optional NTN capabilities”.

Agreements via email – from offline 108 – second round:

1. No other specification efforts in Rel-17 on UEs without GNSS receiver.
2. RAN2 to confirm NTN-capable UEs also support TN mandatory (without capability signalling) features, and whether TN mandatory features (with capability signalling) are supported can be indicated by IoT bits. No further spec impacts other than IoT bits.
3. The SMTC enhancements (event-triggered assistance information reporting, 2 SMTC in parallel) are optional for GSO capable UE.
4. update the field description of uplink-TA-Reporting-r17 as below:

 uplink-TA-Reporting-r17

 Indicates whether the UE supports UE reporting of information related to TA pre-compensation as specified in TS 38.321 [8]. UE indicating support of this feature shall also indicate support of uplinkPreCompensation-r17 for this band.