Agenda item:	
Source:	Philips
Title:	Correction on indicators (revised)
Document for:	Decision

Introduction

The explicit meaning of certain downlink indicators (AICH and AP-AICH) is not currently stated in the specifications. It is proposed to add clarifying statements in 25.211, sections 4.2, 5.3.3.6 and 5.3.3.7. This will avoid possible ambiguity in interpretation by the UE.

or for SMG, use the format P-99-xxx Please see embedded help file at the bottom of this **CHANGE REQUEST** page for instructions on how to fill in this form correctly. Current Version: 3.3.0 25.211 CR 072r2 GSM (AA.BB) or 3G (AA.BBB) specification number ↑ \uparrow CR number as allocated by MCC support team For submission to: RAN #9 for approval strategic (for SMG list expected approval meeting # here use only) for information non-strategic Form: CR cover sheet, version 2 for 3GPP and SMG The latest version of this form is available from: ftp://ftp.3gpp.org/Information/CR-Form-v2.doc ME X UTRAN / Radio X Proposed change affects: (U)SIM Core Network (at least one should be marked with an X) Source: Philips Date: 2000-08-23 Subject: Correction on indicators Work item: Correction Х Release: Phase 2 Category: F А Corresponds to a correction in an earlier release Release 96 (only one category B Addition of feature Release 97 shall be marked C Functional modification of feature Release 98 with an X) Editorial modification D Release 99 Х Release 00 Reason for Explicit meaning of certain indicators should be stated. change: **Clauses affected:** 4.2, 5.3.3.6, 5.3.3.7 Other specs Other 3G core specifications \rightarrow List of CRs: affected: Other GSM core \rightarrow List of CRs: specifications MS test specifications \rightarrow List of CRs: BSS test specifications → List of CRs: **O&M** specifications \rightarrow List of CRs: Other comments:

Document R1-00-1157

e.g. for 3GPP use the format TP-99xxx



<----- double-click here for help and instructions on how to create a CR.

4.1.1.1 DCH - Dedicated Channel

The Dedicated Channel (DCH) is a downlink or uplink transport channel. The DCH is transmitted over the entire cell or over only a part of the cell using e.g. beam-forming antennas.

4.1.2 Common transport channels

There are six types of common transport channels: BCH, FACH, PCH, RACH, CPCH and DSCH.

4.1.2.1 BCH - Broadcast Channel

The Broadcast Channel (BCH) is a downlink transport channel that is used to broadcast system- and cell-specific information. The BCH is always transmitted over the entire cell and has a single transport format.

4.1.2.2 FACH - Forward Access Channel

The Forward Access Channel (FACH) is a downlink transport channel. The FACH is transmitted over the entire cell or over only a part of the cell using e.g. beam-forming antennas. The FACH can be transmitted using slow power control.

4.1.2.3 PCH - Paging Channel

The Paging Channel (PCH) is a downlink transport channel. The PCH is always transmitted over the entire cell. The transmission of the PCH is associated with the transmission of physical-layer generated Paging Indicators, to support efficient sleep-mode procedures.

4.1.2.4 RACH - Random Access Channel

The Random Access Channel (RACH) is an uplink transport channel. The RACH is always received from the entire cell. The RACH is characterized by a collision risk and by being transmitted using open loop power control.

4.1.2.5 CPCH - Common Packet Channel

The Common Packet Channel (CPCH) is an uplink transport channel. CPCH is associated with a dedicated channel on the downlink which provides power control and CPCH Control Commands (e.g. Emergency Stop) for the uplink CPCH. The CPCH is characterised by initial collision risk and by being transmitted using inner loop power control.

4.1.2.6 DSCH - Downlink Shared Channel

The Downlink Shared Channel (DSCH) is a downlink transport channel shared by several UEs The DSCH is associated with one or several downlink DCH. The DSCH is transmitted over the entire cell or over only a part of the cell using e.g. beam-forming antennas.

4.2 Indicators

Indicators are means of fast low-level signalling entities which are transmitted without using information blocks sent over transport channels. The meaning of indicators is <u>implicit to the receiverspecific to the type of indicator</u>.

The indicators defined in the current version of the specifications are: Acquisition Indicator (AI), Access Preamble Indicator (API), Channel Assignment Indicator (CAI), Collision Detection Indicator (CDI), Page Indicator (PI) and Status Indicator (SI).

Indicators may be either boolean (two-valued) or three-valued. Their mapping to indicator channels is channel specific.

Indicators are transmitted on those physical channels that are indicator channels (ICH).

In case of TFCI based signalling, the TFCI informs the UE of the instantaneous transport format parameters related to the PDSCH as well as the channelisation code of the PDSCH.

In the other case, the information is given by higher layer signalling.

The channel bit rates and symbol rates for PDSCH are given in table 19.

For PDSCH the allowed spreading factors may vary from 256 to 4.

Slot format #i	Channel Bit Rate (kbps)	Channel Symbol Rate (ksps)	SF	Bits/ Frame	Bits/ Slot	Ndata
0	30	15	256	300	20	20
1	60	30	128	600	40	40
2	120	60	64	1200	80	80
3	240	120	32	2400	160	160
4	480	240	16	4800	320	320
5	960	480	8	9600	640	640
6	1920	960	4	19200	1280	1280

Table 19: PDSCH fields

When open loop transmit diversity is employed for the PDSCH, STTD encoding is used on the data bits as described in subclause 5.3.1.1.1.

5.3.3.6 Acquisition Indicator Channel (AICH)

The Acquisition Indicator channel (AICH) is a fixed rate (SF=256) physical channel used to carry Acquisition Indicators (AI). Acquisition Indicator AI_s corresponds to signature s on the PRACH.

Figure 21 illustrates the structure of the AICH. The AICH consists of a repeated sequence of 15 consecutive *access slots* (AS), each of length 5120 chips. Each access slot consists of two parts, an *Acquisition-Indicator* (AI) part consisting of 32 real-valued symbols $a_0, ..., a_{31}$ and a part of duration 1024 chips with no transmission that is not formally part of the AICH. The part of the slot with no transmission is reserved for possible use by CSICH or possible future use by other physical channels.

The spreading factor (SF) used for channelization of the AICH is 256.

The phase reference for the AICH is the Primary CPICH.



Figure 21: Structure of Acquisition Indicator Channel (AICH)

The real-valued symbols a_0, a_1, \ldots, a_{31} in figure 21 are given by

$$a_{j} = \sum_{s=0}^{15} AI_{s}b_{s,j}$$

where AI_s, taking the values +1, -1, and 0, is the acquisition indicator corresponding to signature s and the sequence $b_{s,0}$, ..., $b_{s,31}$ is given by table 20.

The use of acquisition indicators is described in [5]. If an Acquisition Indicator is set to +1, it represents a positive acknowledgement. If an Acquisition Indicator is set to -1, it represents a negative acknowledgement.

The real-valued symbols, a_i , are spread and modulated in the same fashion as bits when represented in { +1, -1 } form.

In case STTD-based open-loop transmit diversity is applied to AICH, STTD encoding according to subclause 5.3.1.1.1 is applied to each sequence $b_{s,0}$, $b_{s,1}$, ..., $b_{s,31}$ separately before the sequences are combined into AICH symbols a_0 , ..., a_{31} .

9														•		h		h.														
0							-								J _{S,0} ,	US,1	••••	US,3	<u>s1</u>					-				-		-		
0	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
1	1	1	-1	-1	1	1	-1	-1	1	1	-1	-1	1	1	-1	-1	1	1	-1	-1	1	1	-1	-1	1	1	-1	-1	1	1	-1	-1
2	1	1	1	1	-1	-1	-1	-1	1	1	1	1	-1	-1	-1	-1	1	1	1	1	-1	-1	-1	-1	1	1	1	1	-1	-1	-1	-1
3	1	1	-1	-1	-1	-1	1	1	1	1	-1	-1	-1	-1	1	1	1	1	-1	-1	-1	-1	1	1	1	1	-1	-1	-1	-1	1	1
4	1	1	1	1	1	1	1	1	-1	-1	-1	-1	-1	-1	-1	-1	1	1	1	1	1	1	1	1	-1	-1	-1	-1	-1	-1	-1	-1
5	1	1	-1	-1	1	1	-1	-1	-1	-1	1	1	-1	-1	1	1	1	1	-1	-1	1	1	-1	-1	-1	-1	1	1	-1	-1	1	1
6	1	1	1	1	-1	-1	-1	-1	-1	-1	-1	-1	1	1	1	1	1	1	1	1	-1	-1	-1	-1	-1	-1	-1	-1	1	1	1	1
7	1	1	-1	-1	-1	-1	1	1	-1	-1	1	1	1	1	-1	-1	1	1	-1	-1	-1	-1	1	1	-1	-1	1	1	1	1	-1	-1
8	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	-1	-1	-1	-1	-1	-1	-1	-1	-1	-1	-1	-1	-1	-1	-1	-1
9	1	1	-1	-1	1	1	-1	-1	1	1	-1	-1	1	1	-1	-1	-1	-1	1	1	-1	-1	1	1	-1	-1	1	1	-1	-1	1	1
10	1	1	1	1	-1	-1	-1	-1	1	1	1	1	-1	-1	-1	-1	-1	-1	-1	-1	1	1	1	1	-1	-1	-1	-1	1	1	1	1
11	1	1	-1	-1	-1	-1	1	1	1	1	-1	-1	-1	-1	1	1	-1	-1	1	1	1	1	-1	-1	-1	-1	1	1	1	1	-1	-1
12	1	1	1	1	1	1	1	1	-1	-1	-1	-1	-1	-1	-1	-1	-1	-1	-1	-1	-1	-1	-1	-1	1	1	1	1	1	1	1	1
13	1	1	-1	-1	1	1	-1	-1	-1	-1	1	1	-1	-1	1	1	-1	-1	1	1	-1	-1	1	1	1	1	-1	-1	1	1	-1	-1
14	1	1	1	1	-1	-1	-1	-1	-1	-1	-1	-1	1	1	1	1	-1	-1	-1	-1	1	1	1	1	1	1	1	1	-1	-1	-1	-1
15	1	1	-1	-1	-1	-1	1	1	-1	-1	1	1	1	1	-1	-1	-1	-1	1	1	1	1	-1	-1	1	1	-1	-1	-1	-1	1	1

Table 20: AICH signature patterns

5.3.3.7 CPCH Access Preamble Acquisition Indicator Channel (AP-AICH)

The Access Preamble Acquisition Indicator channel (AP-AICH) is a fixed rate (SF=256) physical channel used to carry AP acquisition indicators (API) of CPCH. AP acquisition indicator API_s corresponds to AP signature *s* transmitted by UE.

AP-AICH and AICH may use the same or different channelisation codes. The phase reference for the AP-AICH is the Primary CPICH. Figure 22 illustrates the structure of AP-AICH. The AP-AICH has a part of duration 4096 chips where the AP acquisition indicator (API) is transmitted, followed by a part of duration 1024chips with no transmission that is not formally part of the AP-AICH. The part of the slot with no transmission is reserved for possible use by CSICH or possible future use by other physical channels.

The spreading factor (SF) used for channelization of the AP-AICH is 256.





The real-valued symbols a_0, a_1, \ldots, a_{31} in figure 22 are given by

$$a_{j} = \sum_{s=0}^{15} API_{s} \times b_{s,j}$$

where API_s, taking the values +1, -1, and 0, is the AP acquisition indicator corresponding to Access Preamble signature s transmitted by UE and the sequence $b_{s,0}, ..., b_{s,31}$ is given in Table 20.

The use of acquisition indicators is described in [5]. If an AP acquisition indicator is set to +1, it represents a positive acknowledgement. If an AP acquisition indicator is set to -1, it represents a negative acknowledgement.

The real-valued symbols, a_i , are spread and modulated in the same fashion as bits when represented in $\{+1, -1\}$ form.

In case STTD-based open-loop transmit diversity is applied to AP-AICH, STTD encoding according to subclause 5.3.1.1.1 is applied to each sequence $b_{s,0}$, $b_{s,1}$, ..., $b_{s,31}$ separately before the sequences are combined into AP-AICH symbols a_0 , ..., a_{31} .

5.3.3.8 CPCH Collision Detection/Channel Assignment Indicator Channel (CD/CA-ICH)

The Collision Detection Channel Assignment Indicator channel (CD/CA-ICH) is a fixed rate (SF=256) physical channel used to carry CD Indicator (CDI) only if the CA is not active, or CD Indicator/CA Indicator (CDI/CAI) at the same time if the CA is active. The structure of CD/CA-ICH is shown in figure 23. CD/CA-ICH and AP-AICH may use the same or different channelisation codes.

The CD/CA-ICH has a part of duration of 4096chips where the CDI/CAI is transmitted, followed by a part of duration 1024chips with no transmission that is not formally part of the CD/CA-ICH. The part of the slot with no transmission is reserved for possible use by CSICH or possible future use by other physical channels.

The spreading factor (SF) used for channelization of the CD/CA-ICH is 256. $\begin{array}{c} CDI/CAI \text{ part} = 4096 \text{ chips, } 32 \text{ real-valued symbols}} & 1024 \text{ chips} \\ \hline a_0 a_1 a_2 & a_{30} a_{31} & \text{Transmission Off} \\ \hline a_0 a_1 a_2 & a_{30} a_{31} & \text{Transmission Off} \\ \hline AS \#14 & AS \#0 & AS \#1 & AS \#i & AS \#14 & AS \#0 \\ \end{array}$

20 ms

Figure 23: Structure of CD/CA Indicator Channel (CD/CA-ICH)

In case STTD-based open-loop transmit diversity is applied to CD/CA-ICH, STTD encoding according to subclause 5.3.1.1.1 is applied to each sequence $b_{s,0}$, $b_{s,1}$, ..., $b_{s,31}$ separately before the sequences are combined into CD/CA-ICH symbols a_0 , ..., a_{31} .

In case CA is not active, the real-valued symbols a_0, a_1, \ldots, a_{31} in figure 23 are given by

$$a_{j} = \sum_{s=0}^{15} CDI_{s} \times b_{s,j}$$

where CDI_s , taking the values +1, and 0, is the CD indicator corresponding to CD preamble signature *s* transmitted by UE and the sequence $b_{s,0}, ..., b_{s,31}$ is given in table 20.

The real-valued symbols, a_i , are spread and modulated in the same fashion as bits when represented in $\{+1, -1\}$ form.

In case CA is active, the real-valued symbols a_0, a_1, \ldots, a_{31} in figure 23 are given by

$$a_{j} = \sum_{i=0}^{15} CDI_{i} \times b_{s_{i},j} + \sum_{k=0}^{15} CAI_{k} \times b_{s_{k},j}$$

where the subscript s_i , s_k depend on the indexes *i*, *k* according to table 21, respectively, and indicate the signature number *s* in table 20. The sequence $b_{s,0}, ..., b_{s,31}$ is given in table 20. CDI_i, taking the values +1/0 or -1/0, is the CD indicator corresponding to the CD preamble *i* transmitted by the UE, and CAI_k, taking the values +1/0 or -1/0, is the CA indicator corresponding to the assigned channel index *k* as given in table 21.

Table 21. Generation of CDI_i/CAI_k

UE transmitted CD Preamble <i>i</i>	CDIi	signature s _i	Channel Assignment Index <i>k</i>	CAIk	signature _{Sk}
0	+1/0	1	0	+1/0	0
1	-1/0	I	1	-1/0	0
2	+1/0	2	2	+1/0	0
3	-1/0	5	3	-1/0	0
4	+1/0	Б	4	+1/0	4
5	-1/0	5	5	-1/0	4
6	+1/0	7	6	+1/0	10
7	-1/0	1	7	-1/0	12
8	+1/0	0	8	+1/0	2
9	-1/0	9	9	-1/0	2
10	+1/0	11	10	+1/0	6
11	-1/0	11	11	-1/0	0
12	+1/0	12	12	+1/0	10
13	-1/0	13	13	-1/0	10
14	+1/0	15	14	+1/0	14
15	-1/0	15	15	-1/0	14