**3GPP TSG-RAN WG1 Meeting #116bis *R1-24xxxxx***

**Changsha, China, April 15 – 19, 2024**

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| --- |
| *CR-Form-v12.2* |
| **CHANGE REQUEST** |
|  |
|  | **38.212** | **CR** | **DRAFT** | **rev** | **-** | **Current version:** | **16.13.0** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

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|  |
| ***Title:***  | Correction to *maxRank* configuration restriction with *fullpowerMode1* and transform precoding ‘enabled’ |
|  |  |
| ***Source to WG:*** | Nokia |
| ***Source to TSG:*** | R1 |
|  |  |
| ***Work item code:*** | NR\_eMIMO-Core, NR\_L1enh\_URLLC-Core |  | ***Date:*** | 2024-04-17 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)Rel-19 (Release 19)* |
|  |  |
| ***Reason for change:*** | For the DCI field ‘Precoding information and number of layers’:* The table headings 7.3.1.1.2-3A and -5A used with *fullpowerMode1* condition the table usage to *maxRank*=1 when transform precoding is disabled.
* The DCI format 0\_1 table selection rules condition the selection of tables 7.3.1.1.2-3A and -5A to *maxRank*=1 regardless of whether the transform precoding is enabled or disabled.
* The DCI format 0\_2 table selection rules condition the selection of tables 7.3.1.1.2-3A and -5A to *maxRankDCI-0-2*=1 regardless of whether the transform precoding is enabled or disabled.

The configuration of *maxRank*>1 with transform precoding should still use the rank 1 tables as the table headings state, as there cannot be rank >1 with transform precoding. While there are other tables to use with *maxRank*>1 with transform precoding disabled, no other tables exist for *maxRank*>1 with transform precoding enabled.The *maxRank* configuration is common to dynamically granted PUSCH and for configured grant PUSCH, while the usage of transform precoding is configurable independently for the two. So it is necessary to allow for triggering a transform predcoded PUSCH while *maxRank*>1.Thus the table heading description that conditions the tables ot *maxRank*=1 only for transform precoding disaled is the correct condition.Furthermore, the *maxRankDCI-0-2* configuration for PUSCH scheduled with DCI format 0\_2 should be used as a condition instead of *maxRank* when transform precoding is disabled for the two tables. |
|  |  |
| ***Summary of change:*** | 1. For DCI format 0\_1: Correcting the ‘Precoding information and number of layers’ table determination for the tables 7.3.1.1.2-3A and -5A to match that of headings of the tables, and restricting the condition of *maxRank*=1 to transform precoding ‘disabled’ case.
2. For DCI format 0\_2: Correcting the ‘Precoding information and number of layers’ table determination for the tables 7.3.1.1.2-3A and -5A to match that of headings of the tables, and restricting the condition of *maxRankDCI-0-2*=1 to transform precoding ‘disabled’ case.
3. For headings of tables 7.3.1.1.2-3A and -5A: correcting that the *maxRank* config can be also be *maxRankDCI-0-2*.
4. For tables 7.3.1.1.2-2, -2A, -2B, -3, -3A, -4, -4A, -5, -5A: correcting that *codebookSubset* config can also be *codebookSubsetDCI-0-2*.
 |
|  |  |
| ***Consequences if not approved:*** | Inconsistent definition for the ‘Precofing information and number of layers’ field definition and the applicability of different tables with regard to *maxRank* configuration and usage of transform precoding remains when *fullpowerMode1* is configured.Unclear how to determine the ‘Precofing information and number of layers’ when *maxRank* > 1 and the scheduled/triggered PUSCH is transmitted with transform precoding enabled and *fullpowerMode1* is configured.Unclear whether the DCI format 0\_2 -scheduled PUSCH should apply *maxRank, maxRankDCI-0-2* or both when determining the field interpretation table to use when *fullpowerMode1* is configured and the PUSCH is transmitted with transform precoding disabled.*codebookSubsetDCI-0-2* configuration is not reflected in the “precoding information and number of layers” tables. |
|  |  |
| ***Clauses affected:*** | 7.3.1.1.2, 7.3.1.1.3 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **x** |  Other core specifications  |  |
| ***affected:*** |  | **x** |  Test specifications |  |
| ***(show related CRs)*** |  | **x** |  O&M Specifications |  |
|  |  |
| ***Other comments:*** | **Isolated impact analysis:**This CR is impacting cases where the UE is configured with *fullpowerMode1,* *maxRank*>1 and transform precoding enabled to at least one of DG-PUSCH, CG-PUSCH.If the gNB is implemented according to the CR and the UE is not, it is not clear what field size, and which ‘Precoding information and number of layers’ bit interpretation the UE would use for DCI format 0\_1/0\_2 when configured with *fullpowerMode1, maxRank* or *maxRankDCI-0-2* > 1, and the PUSCH being scheduled/triggered is using transform precoding. If the UE is implemented according to the CR and the gNB is not, the gNB would not be able to configure the UE with *maxRank* > 1 when configuring it with *fullpowerMode1* and wanting to configure at least one of, DG-PUSCH or CG-PUSCH to use transform precoding because it would not know what field size bit-interpretation to apply with ‘Precoding informaton and number of layers’ field of DCI format 0\_1/0\_2. |
|  |  |
| ***This CR's revision history:*** | This is the first version of the CR |

7.3.1.1.2 Format 0\_1

DCI format 0\_1 is used for the scheduling of one or multiple PUSCH in one cell, or indicating CG downlink feedback information (CG-DFI) to a UE.

The following information is transmitted by means of the DCI format 0\_1 with CRC scrambled by C-RNTI or CS-RNTI or SP-CSI-RNTI or MCS-C-RNTI:

- Identifier for DCI formats – 1 bit

- The value of this bit field is always set to 0, indicating an UL DCI format

- Carrier indicator – 0 or 3 bits, as defined in Clause 10.1 of [5, TS38.213].

- DFI flag – 0 or 1 bit

- 1 bit if the UE is configured to monitor DCI format 0\_1 with CRC scrambled by CS-RNTI and for operation in a cell with shared spectrum channel access. For a DCI format 0\_1 with CRC scrambled by CS-RNTI, the bit value of 0 indicates activating or releasing type 2 CG transmission and the bit value of 1 indicates CG-DFI. For a DCI format 0\_1 with CRC scrambled by C-RNTI/SP-CSI-RNTI/MCS-C-RNTI and for operation in a cell with shared spectrum channel access, the bit is reserved.

- 0 bit otherwise;

If DCI format 0\_1 is used for indicating CG-DFI, all the remaining fields are set as follows:

- HARQ-ACK bitmap – 16 bits , where the order of the bitmap to HARQ process index mapping is such that HARQ process indices are mapped in ascending order from MSB to LSB of the bitmap. For each bit of the bitmap, value 1 indicates ACK, and value 0 indicates NACK.

- TPC command for scheduled PUSCH – 2 bits as defined in Clause 7.1.1 of [5, TS38.213]

- All the remaining bits in format 0\_1 are set to zero.

Otherwise, all the remaining fields are set as follows:

- UL/SUL indicator – 0 bit for UEs not configured with *supplementaryUplink* in *ServingCellConfig* in the cell or UEs configured with *supplementaryUplink* in *ServingCellConfig* in the cell but only one carrier in the cell is configured for PUSCH transmission; otherwise, 1 bit as defined in Table 7.3.1.1.1-1.

- Bandwidth part indicator – 0, 1 or 2 bits as determined by the number of UL BWPs  configured by higher layers, excluding the initial UL bandwidth part. The bitwidth for this field is determined as bits, where

-  if , in which case the bandwidth part indicator is equivalent to the ascending order of the higher layer parameter *BWP-Id*;

- otherwise , in which case the bandwidth part indicator is defined in Table 7.3.1.1.2-1;

If a UE does not support active BWP change via DCI, the UE ignores this bit field.

- Frequency domain resource assignment – number of bits determined by the following, where  is the size of the active UL bandwidth part:

- If higher layer parameter *useInterlacePUCCH-PUSCH* in *BWP-UplinkDedicated* is not configured

-  bits if only resource allocation type 0 is configured, where  is defined in Clause 6.1.2.2.1 of [6, TS 38.214],

- bits if only resource allocation type 1 is configured, or  bits if *resourceAllocation* is configured as '*dynamicSwitch'*.

- If *resourceAllocation* is configured as '*dynamicSwitch'*, the MSB bit is used to indicate resource allocation type 0 or resource allocation type 1, where the bit value of 0 indicates resource allocation type 0 and the bit value of 1 indicates resource allocation type 1.

- For resource allocation type 0, the  LSBs provide the resource allocation as defined in Clause 6.1.2.2.1 of [6, TS 38.214].

- For resource allocation type 1, the  LSBs provide the resource allocation as follows:

- For PUSCH hopping with resource allocation type 1:

-  MSB bits are used to indicate the frequency offset according to Clause 6.3 of [6, TS 38.214], where  if the higher layer parameter *frequencyHoppingOffsetLists* contains two offset values and  if the higher layer parameter *frequencyHoppingOffsetLists* contains four offset values

-  bits provide the frequency domain resource allocation according to Clause 6.1.2.2.2 of [6, TS 38.214]

- For non-PUSCH hopping with resource allocation type 1:

-  bits provide the frequency domain resource allocation according to Clause 6.1.2.2.2 of [6, TS 38.214]

If "Bandwidth part indicator" field indicates a bandwidth part other than the active bandwidth part and if *resourceAllocation* is configured as '*dynamicSwitch'* for the indicated bandwidth part, the UE assumes resource allocation type 0 for the indicated bandwidth part if the bitwidth of the "Frequency domain resource assignment" field of the active bandwidth part is smaller than the bitwidth of the "Frequency domain resource assignment" field of the indicated bandwidth part.

- If the higher layer parameter *useInterlacePUCCH-PUSCH* in *BWP-UplinkDedicated* is configured

- 5 + Y bits provide the frequency domain resource allocation according to Clause 6.1.2.2.3 of [6, TS 38.214] if the subcarrier spacing for the active UL bandwidth part is 30 kHz. The 5 MSBs provide the interlace allocation and the Y LSBs provide the RB set allocation.

- 6 + Y bits provide the frequency domain resource allocation according to Clause 6.1.2.2.3 of [6, TS 38.214] if the subcarrier spacing for the active UL bandwidth part is 15 kHz. The 6 MSBs provide the interlace allocation and the Y LSBs provide the RB set allocation.

The value of Y is determined by where is the number of RB sets contained in the active UL BWP as defined in clause 7 of [6, TS38.214].

- Time domain resource assignment – 0, 1, 2, 3, 4, 5, or 6 bits

- If the higher layer parameter *pusch-TimeDomainAllocationListDCI-0-1* is not configured and if the higher layer parameter *pusch-TimeDomainAllocationListForMultiPUSCH* is not configured and if the higher layer parameter *pusch-TimeDomainAllocationList* is configured, 0, 1, 2, 3, or 4 bits as defined in Clause 6.1.2.1 of [6, TS38.214]. The bitwidth for this field is determined as bits, where *I* is the number of entries in the higher layer parameter *pusch-TimeDomainAllocationList*;

- If the higher layer parameter *pusch-TimeDomainAllocationListDCI-0-1* is configured or if the higher layer parameter *pusch-TimeDomainAllocationListForMultiPUSCH is configured*, 0, 1, 2, 3, 4, 5 or 6 bits as defined in Clause 6.1.2.1 of [6, TS38.214]. The bitwidth for this field is determined as bits, where *I* is the number of entries in the higher layer parameter *pusch-TimeDomainAllocationListDCI-0-1* or *pusch-TimeDomainAllocationListForMultiPUSCH*;

- otherwise the bitwidth for this field is determined as bits, where *I* is the number of entries in the default table*.*

- Frequency hopping flag – 0 or 1 bit:

- 0 bit if only resource allocation type 0 is configured, or if the higher layer parameter *frequencyHopping* is not configured and the higher layer parameter *pusch-RepTypeIndicatorDCI-0-1* is not configured to *pusch-RepTypeB*, or if the higher layer parameter *frequencyHoppingDCI-0-1* is not configured and *pusch-RepTypeIndicatorDCI-0-1* is configured to *pusch-RepTypeB*, or if only resource allocation type 2 is configured;

- 1 bit according to Table 7.3.1.1.1-3 otherwise, only applicable to resource allocation type 1, as defined in Clause 6.3 of [6, TS 38.214].

- Modulation and coding scheme – 5 bits as defined in Clause 6.1.4.1 of [6, TS 38.214]

- New data indicator – 1 bit if the number of scheduled PUSCH indicated by the Time domain resource assignment field is 1; otherwise 2, 3, 4, 5, 6, 7 or 8 bits determined based on the maximum number of schedulable PUSCH among all entries in the higher layer parameter *pusch-TimeDomainAllocationListForMultiPUSCH*, where each bit corresponds to one scheduled PUSCH as defined in clause 6.1.4 in [6, TS 38.214].

- Redundancy version – – number of bits determined by the following:

- 2 bits as defined in Table 7.3.1.1.1-2 if the number of scheduled PUSCH indicated by the Time domain resource assignment field is 1;

- otherwise 2, 3, 4, 5, 6, 7 or 8 bits determined by the maximum number of schedulable PUSCHs among all entries in the higher layer parameter *pusch-TimeDomainAllocationListForMultiPUSCH*, where each bit corresponds to one scheduled PUSCH as defined in clause 6.1.4 in [6, TS 38.214] and redundancy version is determined according to Table 7.3.1.1.2-34.

- HARQ process number – 4 bits

- 1st downlink assignment index – 1, 2 or 4 bits:

- 1 bit for semi-static HARQ-ACK codebook;

- 2 bits for dynamic HARQ-ACK codebook, or for enhanced dynamic HARQ-ACK codebook without *UL-TotalDAI-Included* configured;

- 4 bits for enhanced dynamic HARQ-ACK codebook and with *UL-TotalDAI-Included = true*.

 When two HARQ-ACK codebooks are configured for the same serving cell and if higher layer parameter *priorityIndicatorDCI-0-1* is configured, if the bit width of the 1st downlink assignment index in DCI format 0\_1 for one HARQ-ACK codebook is not equal to that of the 1st downlink assignment index in DCI format 0\_1 for the other HARQ-ACK codebook, a number of most significant bits with value set to '0' are inserted to smaller 1st downlink assignment index until the bit width of the 1st downlink assignment index in DCI format 0\_1 for the two HARQ-ACK codebooks are the same.

- 2nd downlink assignment index – 0, 2 or 4 bits:

- 2 bits for dynamic HARQ-ACK codebook with two HARQ-ACK sub-codebooks, or for enhanced dynamic HARQ-ACK codebook with two HARQ-ACK sub-codebooks and without *UL-TotalDAI-Included* configured;

- 4 bits for enhanced dynamic HARQ-ACK codebook with two HARQ-ACK sub-codebooks and with *UL-TotalDAI-Included = true*;

- 0 bit otherwise.

 When two HARQ-ACK codebooks are configured for the same serving cell and if higher layer parameter *priorityIndicatorDCI-0-1* is configured, if the bit width of the 2nd downlink assignment index in DCI format 0\_1 for one HARQ-ACK codebook is not equal to that of the 2nd downlink assignment index in DCI format 0\_1 for the other HARQ-ACK codebook, a number of most significant bits with value set to '0' are inserted to smaller 2nd downlink assignment index until the bit width of the 2nd downlink assignment index in DCI format 0\_1 for the two HARQ-ACK codebooks are the same.

- TPC command for scheduled PUSCH – 2 bits as defined in Clause 7.1.1 of [5, TS38.213]

- SRS resource indicator – or  bits, where  is the number of configured SRS resources in the SRS resource set configured by higher layer parameter *srs-ResourceSetToAddModList*, and associated with the higher layer parameter *usage* of value '*codeBook*' or '*nonCodeBook*',

-  bits according to Tables 7.3.1.1.2-28/29/30/31 if the higher layer parameter *txConfig = nonCodebook*, where  is the number of configured SRS resources in the SRS resource set configured by higher layer parameter *srs-ResourceSetToAddModList*, and associated with the higher layer parameter *usage* of value '*nonCodeBook*' and

- if UE supports operation with *maxMIMO-Layers* and the higher layer parameter *maxMIMO-Layers* of *PUSCH-ServingCellConfig* of the serving cell is configured, *Lmax* is given by that parameter

- otherwise, *Lmax* is given by the maximum number of layers for PUSCH supported by the UE for the serving cell for non-codebook based operation.

-  bits according to Tables 7.3.1.1.2-32, 7.3.1.1.2-32A and 7.3.1.1.2-32B if the higher layer parameter *txConfig = codebook*, where  is the number of configured SRS resources in the SRS resource set configured by higher layer parameter *srs-ResourceSetToAddModList*, and associated with the higher layer parameter *usage* of value '*codeBook*'.

- Precoding information and number of layers – number of bits determined by the following:

- 0 bits if the higher layer parameter *txConfig = nonCodeBook*;

- 0 bits for 1 antenna port and if the higher layer parameter *txConfig = codebook*;

- 4, 5, or 6 bits according to Table 7.3.1.1.2-2 for 4 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower,* transform precoder is disabled, and according to the values of higher layer parameters *maxRank*, and *codebookSubset*;

- 4 or 5 bits according to Table 7.3.1.1.2-2A for 4 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission = fullpowerMode1, maxRank=2,* transform precoder is disabled, and according to the values of higher layer parameter *codebookSubset*;

- 4 or 6 bits according to Table 7.3.1.1.2-2B for 4 antenna ports, if *txConfig = codebook, ul-FullPowerTransmission = fullpowerMode1,* *maxRank=3 or 4,* transform precoder is disabled, and according to the values of higher layer parameter *codebookSubset*;

- 2, 4, or 5 bits according to Table 7.3.1.1.2-3 for 4 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower,* and according to whether transform precoder is enabled or disabled, and the values of higher layer parameters *maxRank*, and *codebookSubset*;

- 3 or 4 bits according to Table 7.3.1.1.2-3A for 4 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission = fullpowerMode1*, and according to whether transform precoder is enabled, or disabled and *maxRank*=1, and the values of higher layer parameter *codebookSubset*;

- 2 or 4 bits according to Table7.3.1.1.2-4 for 2 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower,* transform precoder is disabled, and according to the values of higher layer parameters *maxRank* and *codebookSubset*;

- 2 bits according to Table 7.3.1.1.2-4A for 2 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission = fullpowerMode1*, transform precoder is disabled, *maxRank=2*, and *codebookSubset=nonCoherent*;

- 1 or 3 bits according to Table7.3.1.1.2-5 for 2 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower,* and according to whether transform precoder is enabled or disabled, and the values of higher layer parameters *maxRank* and *codebookSubset*;

- 2 bits according to Table 7.3.1.1.2-5A for 2 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission = fullpowerMode1*, and according to whether transform precoder is enabled, or disabled and *maxRank*=1, and the values of higher layer parameter *codebookSubset*;

For the higher layer parameter *txConfig=codebook*, if *ul-FullPowerTransmission* is configured to *fullpowerMode2*, maxRank is configured to be larger than 2, and at least one SRS resource with 4 antenna ports is configured in an SRS resource set with usage set to 'codebook' and an SRS resource with 2 antenna ports is indicated via SRI in the same SRS resource set, then Table 7.3.1.1.2-4 is used.

For the higher layer parameter *txConfig = codebook*, if different SRS resources with different number of antenna ports are configured, the bitwidth is determined according to the maximum number of ports in an SRS resource among the configured SRS resources in an SRS resource set with usage set to 'codebook'. If the number of ports for a configured SRS resource in the set is less than the maximum number of ports in an SRS resource among the configured SRS resources, a number of most significant bits with value set to '0' are inserted to the field.

**\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\* UNCHANGED PARTS REMOVED \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\***

**Table 7.3.1.1.2-1: Bandwidth part indicator**

|  |  |
| --- | --- |
| Value of BWP indicator field | Bandwidth part |
| 2 bits |
| 00 | Configured BWP with BWP-Id = 1 |
| 01 | Configured BWP with BWP-Id = 2 |
| 10 | Configured BWP with BWP-Id = 3 |
| 11 | Configured BWP with BWP-Id = 4 |

**Table 7.3.1.1.2-2: Precoding information and number of layers, for 4 antenna ports, if transform precoder is disabled, *maxRank* = 2 or 3 or 4, and *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower***

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Bit field mapped to index | *codebookSubset/ codebookSubsetDCI-0-2* = *fullyAndPartialAndNonCoherent* | Bit field mapped to index | *codebookSubset/ codebookSubsetDCI-0-2* = *partialAndNonCoherent* | Bit field mapped to index | *codebookSubset/ codebookSubsetDCI-0-2* = *nonCoherent* |
| 0 | 1 layer: TPMI=0 | 0 | 1 layer: TPMI=0 | 0 | 1 layer: TPMI=0 |
| 1 | 1 layer: TPMI=1 | 1 | 1 layer: TPMI=1 | 1 | 1 layer: TPMI=1 |
| … | … | … | … | … | … |
| 3 | 1 layer: TPMI=3 | 3 | 1 layer: TPMI=3 | 3 | 1 layer: TPMI=3 |
| 4 | 2 layers: TPMI=0 | 4 | 2 layers: TPMI=0 | 4 | 2 layers: TPMI=0 |
| … | … | … | … | … | … |
| 9 | 2 layers: TPMI=5 | 9 | 2 layers: TPMI=5 | 9 | 2 layers: TPMI=5 |
| 10 | 3 layers: TPMI=0 | 10 | 3 layers: TPMI=0 | 10 | 3 layers: TPMI=0 |
| 11 | 4 layers: TPMI=0 | 11 | 4 layers: TPMI=0 | 11 | 4 layers: TPMI=0 |
| 12 | 1 layer: TPMI=4 | 12 | 1 layer: TPMI=4 | 12-15 | reserved |
| … | … | … | … |  |  |
| 19 | 1 layer: TPMI=11 | 19 | 1 layer: TPMI=11 |  |  |
| 20 | 2 layers: TPMI=6 | 20 | 2 layers: TPMI=6 |  |  |
| … | … | … | … |  |  |
| 27 | 2 layers: TPMI=13 | 27 | 2 layers: TPMI=13 |  |  |
| 28 | 3 layers: TPMI=1 | 28 | 3 layers: TPMI=1 |  |  |
| 29 | 3 layers: TPMI=2 | 29 | 3 layers: TPMI=2 |  |  |
| 30 | 4 layers: TPMI=1 | 30 | 4 layers: TPMI=1 |  |  |
| 31 | 4 layers: TPMI=2 | 31 | 4 layers: TPMI=2 |  |  |
| 32 | 1 layers: TPMI=12 |  |  |  |  |
| … | … |  |  |  |  |
| 47 | 1 layers: TPMI=27 |  |  |  |  |
| 48 | 2 layers: TPMI=14 |  |  |  |  |
| … | … |  |  |  |  |
| 55 | 2 layers: TPMI=21 |  |  |  |  |
| 56 | 3 layers: TPMI=3 |  |  |  |  |
| … | … |  |  |  |  |
| 59 | 3 layers: TPMI=6 |  |  |  |  |
| 60 | 4 layers: TPMI=3 |  |  |  |  |
| 61 | 4 layers: TPMI=4 |  |  |  |  |
| 62-63 | reserved |  |  |  |  |

**Table 7.3.1.1.2-2A: Precoding information and number of layers for 4 antenna ports, if transform precoder is disabled, *maxRank* = 2, and** ***ul-FullPowerTransmission = fullpowerMode1***

|  |  |  |  |
| --- | --- | --- | --- |
| Bit field mapped to index | *codebookSubset/ codebookSubsetDCI-0-2* = *partialAndNonCoherent* | Bit field mapped to index | *codebookSubset/ codebookSubsetDCI-0-2* = *nonCoherent* |
| 0 | 1 layer: TPMI=0 | 0 | 1 layer: TPMI=0 |
| 1 | 1 layer: TPMI=1 | 1 | 1 layer: TPMI=1 |
| … | … | … | … |
| 3 | 1 layer: TPMI=3 | 3 | 1 layer: TPMI=3 |
| 4 | 2 layers: TPMI=0 | 4 | 2 layers: TPMI=0 |
| … | … | … | … |
| 9 | 2 layers: TPMI=5 | 9 | 2 layers: TPMI=5 |
| 10 | 1 layer: TPMI=13 | 10 | 1 layer: TPMI=13 |
| 11 | 2 layer: TPMI=6 | 11 | 2 layer: TPMI=6 |
| 12 | 1 layer: TPMI=4 | 12-15 | Reserved |
| … | … |  |  |
| 20 | 1 layer: TPMI=12 |  |  |
| 21 | 1 layer: TPMI=14 |  |  |
| 22 | 1 layer: TPMI=15 |  |  |
| 23 | 2 layers: TPMI=7 |  |  |
| … | … |  |  |
| 29 | 2 layers: TPMI=13 |  |  |
| 30-31 | Reserved |  |  |

**Table 7.3.1.1.2-2B: Precoding information and number of layers for 4 antenna ports, if transform precoder is disabled, *maxRank* = 3 or 4, and** ***ul-FullPowerTransmission = fullpowerMode1***

|  |  |  |  |
| --- | --- | --- | --- |
| Bit field mapped to index | *codebookSubset/ codebookSubsetDCI-0-2* = *partialAndNonCoherent* | Bit field mapped to index | *codebookSubset/ codebookSubsetDCI-0-2* = *nonCoherent* |
| 0 | 1 layer: TPMI=0 | 0 | 1 layer: TPMI=0 |
| 1 | 1 layer: TPMI=1 | 1 | 1 layer: TPMI=1 |
| … | … | … | … |
| 3 | 1 layer: TPMI=3 | 3 | 1 layer: TPMI=3 |
| 4 | 2 layers: TPMI=0 | 4 | 2 layers: TPMI=0 |
| … | … | … | … |
| 9 | 2 layers: TPMI=5 | 9 | 2 layers: TPMI=5 |
| 10 | 3 layers: TPMI=0 | 10 | 3 layers: TPMI=0 |
| 11 | 4 layers: TPMI=0 | 11 | 4 layers: TPMI=0 |
| 12 | 1 layer: TPMI=13 | 12 | 1 layer: TPMI=13 |
| 13 | 2 layer: TPMI=6 | 13 | 2 layer: TPMI=6 |
| 14 | 3 layer: TPMI=1 | 14 | 3 layer: TPMI=1 |
| 15 | 1 layer: TPMI=4 | 15 | Reserved |
| … | … |  |  |
| 23 | 1 layer: TPMI=12 |  |  |
| 24 | 1 layer: TPMI=14 |  |  |
| 25 | 1 layer: TPMI=15 |  |  |
| 26 | 2 layers: TPMI=7 |  |  |
| … | … |  |  |
| 32 | 2 layers: TPMI=13 |  |  |
| 33 | 3 layers: TPMI=2 |  |  |
| 34 | 4 layers: TPMI=1 |  |  |
| 35 | 4 layers: TPMI=2 |  |  |
| 36-63 | Reserved |  |  |

**Table 7.3.1.1.2-3: Precoding information and number of layers for 4 antenna ports, if transform precoder is enabled and** ***ul-FullPowerTransmission* is either not configured or configured to** ***fullpowerMode2* or configured to *fullpower*, or if transform precoder is disabled, *maxRank* = 1, and** ***ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower***

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Bit field mapped to index | *codebookSubset/ codebookSubsetDCI-0-2* = *fullyAndPartialAndNonCoherent* | Bit field mapped to index | *codebookSubset/ codebookSubsetDCI-0-2* = *partialAndNonCoherent* | Bit field mapped to index | *codebookSubset/ codebookSubsetDCI-0-2* = *nonCoherent* |
| 0 | 1 layer: TPMI=0 | 0 | 1 layer: TPMI=0 | 0 | 1 layer: TPMI=0 |
| 1 | 1 layer: TPMI=1 | 1 | 1 layer: TPMI=1 | 1 | 1 layer: TPMI=1 |
| … | … | … | … | … | … |
| 3 | 1 layer: TPMI=3 | 3 | 1 layer: TPMI=3 | 3 | 1 layer: TPMI=3 |
| 4 | 1 layer: TPMI=4 | 4 | 1 layer: TPMI=4 |  |  |
| … | … | … | … |  |  |
| 11 | 1 layer: TPMI=11 | 11 | 1 layer: TPMI=11 |  |  |
| 12 | 1 layers: TPMI=12 | 12-15 | reserved |  |  |
| … | … |  |  |  |  |
| 27 | 1 layers: TPMI=27 |  |  |  |  |
| 28-31 | reserved |  |  |  |  |

**Table 7.3.1.1.2-3A: Precoding information and number of layers for 4 antenna ports, if transform precoder is enabled and** ***ul-FullPowerTransmission = fullpowerMode1*, or if transform precoder is disabled, *maxRank/maxRankDCI-0-2* = 1, and *ul-FullPowerTransmission = fullpowerMode1***

|  |  |  |  |
| --- | --- | --- | --- |
| Bit field mapped to index | *codebookSubset/ codebookSubsetDCI-0-2* = *partialAndNonCoherent* | Bit field mapped to index | *codebookSubset/ codebookSubsetDCI-0-2* = *nonCoherent* |
| 0 | 1 layer: TPMI=0 | 0 | 1 layer: TPMI=0 |
| 1 | 1 layer: TPMI=1 | 1 | 1 layer: TPMI=1 |
| … | … | … | … |
| 3 | 1 layer: TPMI=3 | 3 | 1 layer: TPMI=3 |
| 4 | 1 layer: TPMI=13 | 4 | 1 layer: TPMI=13 |
| 5 | 1 layer: TPMI=4 | 5-7 | Reserved |
| … | … |  |  |
| 13 |  1 layer: TPMI=12 |  |  |
| 14 | 1 layer: TPMI=14 |  |  |
| 15 | 1 layer: TPMI=15 |  |  |

**Table 7.3.1.1.2-4: Precoding information and number of layers, for 2 antenna ports, if transform precoder is disabled, *maxRank* = 2, and *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower***

|  |  |  |  |
| --- | --- | --- | --- |
| Bit field mapped to index | *codebookSubset/ codebookSubsetDCI-0-2* = *fullyAndPartialAndNonCoherent* | Bit field mapped to index | *codebookSubset/ codebookSubsetDCI-0-2* = *nonCoherent* |
| 0 | 1 layer: TPMI=0 | 0 | 1 layer: TPMI=0 |
| 1 | 1 layer: TPMI=1 | 1 | 1 layer: TPMI=1 |
| 2 | 2 layers: TPMI=0 | 2 | 2 layers: TPMI=0 |
| 3 | 1 layer: TPMI=2 | 3 | reserved |
| 4 | 1 layer: TPMI=3 |  |  |
| 5 | 1 layer: TPMI=4 |  |  |
| 6 | 1 layer: TPMI=5 |  |  |
| 7 | 2 layers: TPMI=1 |  |  |
| 8 | 2 layers: TPMI=2 |  |  |
| 9-15 | reserved |  |  |

**Table 7.3.1.1.2-4A: Precoding information and number of layers, for 2 antenna ports, if transform precoder is disabled*, maxRank* = 2, and *ul-FullPowerTransmission = fullpowerMode1***

|  |  |
| --- | --- |
| Bit field mapped to index | *codebookSubset/ codebookSubsetDCI-0-2* = *nonCoherent* |
| 0 | 1 layer: TPMI=0 |
| 1 | 1 layer: TPMI=1 |
| 2 | 2 layers: TPMI=0 |
| 3 | 1 layer: TPMI=2 |

**Table 7.3.1.1.2-5: Precoding information and number of layers, for 2 antenna ports, if transform precoder is enabled and *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower*, or if transform precoder is disabled, *maxRank* = 1, and and *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower***

|  |  |  |  |
| --- | --- | --- | --- |
| Bit field mapped to index | *codebookSubset/ codebookSubsetDCI-0-2* = *fullyAndPartialAndNonCoherent* | Bit field mapped to index | *codebookSubset/ codebookSubsetDCI-0-2* = *nonCoherent* |
| 0 | 1 layer: TPMI=0 | 0 | 1 layer: TPMI=0 |
| 1 | 1 layer: TPMI=1 | 1 | 1 layer: TPMI=1 |
| 2 | 1 layer: TPMI=2 |  |  |
| 3 | 1 layer: TPMI=3 |  |  |
| 4 | 1 layer: TPMI=4 |  |  |
| 5 | 1 layer: TPMI=5 |  |  |
| 6-7 | reserved |  |  |

**Table 7.3.1.1.2-5A: Precoding information and number of layers, for 2 antenna ports, if transform precoder is enabled and *ul-FullPowerTransmission = fullpowerMode1*, or if transform precoder is disabled*, maxRank/maxRankDCI-0-2* = 1, and *ul-FullPowerTransmission = fullpowerMode1***

|  |  |
| --- | --- |
| Bit field mapped to index | *codebookSubset/codebookSubsetDCI-0-2* = *nonCoherent* |
| 0 | 1 layer: TPMI=0 |
| 1 | 1 layer: TPMI=1 |
| 2 | 1 layer: TPMI=2 |
| 3 | Reserved |

**\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\* UNCHANGED PARTS REMOVED \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\***

7.3.1.1.3 Format 0\_2

DCI format 0\_2 is used for the scheduling of PUSCH in one cell.

The following information is transmitted by means of the DCI format 0\_2 with CRC scrambled by C-RNTI or CS-RNTI or SP-CSI-RNTI or MCS-C-RNTI:

- Identifier for DCI formats – 1 bit

- The value of this bit field is always set to 0, indicating an UL DCI format

- Carrier indicator – 0, 1, 2 or 3 bits determined by higher layer parameter *carrierIndicatorSizeDCI-0-2*, as defined in Clause 10.1 of [5, TS38.213].

- UL/SUL indicator – 0 bit for UEs not configured with *supplementaryUplink* in *ServingCellConfig* in the cell or UEs configured with *supplementaryUplink* in *ServingCellConfig* in the cell but only one carrier in the cell is configured for PUSCH transmission; otherwise, 1 bit as defined in Table 7.3.1.1.1-1.

- Bandwidth part indicator – 0, 1 or 2 bits as determined by the number of UL BWPs configured by higher layers, excluding the initial UL bandwidth part. The bitwidth for this field is determined as bits, where

- if , in which case the bandwidth part indicator is equivalent to the ascending order of the higher layer parameter *BWP-Id*;

- otherwise , in which case the bandwidth part indicator is defined in Table 7.3.1.1.2-1;

If a UE does not support active BWP change via DCI, the UE ignores this bit field.

- Frequency domain resource assignment – number of bits determined by the following:

- bits if only resource allocation type 0 is configured, where is defined in Clause 6.1.2.2.1 of [6, TS 38.214]

- bits if only resource allocation type 1 is configured, or bits if *resourceAllocationDCI-0-2-r16* is configured as '*dynamicSwitch'*, where is the size of the active UL bandwidth part, is defined as in clause 4.4.4.4 of [4, TS 38.211] and is given by higher layer parameter *resourceAllocationType1GranularityDCI-0-2.* If the higher layer parameter *resourceAllocationType1GranularityDCI-0-2* is not configured, is equal to 1.

- If *resourceAllocationDCI-0-2-r16* is configured as '*dynamicSwitch'*, the MSB bit is used to indicate resource allocation type 0 or resource allocation type 1, where the bit value of 0 indicates resource allocation type 0 and the bit value of 1 indicates resource allocation type 1.

- For resource allocation type 0, the LSBs provide the resource allocation as defined in Clause 6.1.2.2.1 of [6, TS 38.214].

- For resource allocation type 1, the LSBs provide the resource allocation as follows:

- For PUSCH hopping with resource allocation type 1:

- MSB bits are used to indicate the frequency offset according to Clause 6.3 of [6, TS 38.214], where if the higher layer parameter *frequencyHoppingOffsetListsDCI-0-2* contains two offset values and if the higher layer parameter *frequencyHoppingOffsetListsDCI-0-2* contains four offset values

- bits provide the frequency domain resource allocation according to Clause 6.1.2.2.2 of [6, TS 38.214]

- For non-PUSCH hopping with resource allocation type 1:

- bits provide the frequency domain resource allocation according to Clause 6.1.2.2.2 of [6, TS 38.214]

If "Bandwidth part indicator" field indicates a bandwidth part other than the active bandwidth part and if *resourceAllocationDCI-0-2-r16* is configured as '*dynamicSwitch'* for the indicated bandwidth part, the UE assumes resource allocation type 0 for the indicated bandwidth part if the bitwidth of the "Frequency domain resource assignment" field of the active bandwidth part is smaller than the bitwidth of the "Frequency domain resource assignment" field of the indicated bandwidth part.

- Time domain resource assignment – 0, 1, 2, 3, 4, 5 or 6 bits as defined in Clause 6.1.2.1 of [6, TS38.214]. The bitwidth for this field is determined as bits, where *I* is the number of entries in the higher layer parameter *pusch-TimeDomainAllocationListDCI-0-2* if the higher layer parameter is configured, or *I* is the number of entries in the higher layer parameter *PUSCH-TimeDomainResourceAllocationList* if the higher layer parameter *PUSCH-TimeDomainResourceAllocationList* is configured and the higher layer parameter *pusch-TimeDomainAllocationListDCI-0-2* is not configured; otherwise *I* is the number of entries in the default table*.*

- Frequency hopping flag – 0 or 1 bit:

- 0 bit if the higher layer parameter *frequencyHoppingDCI-0-2* is not configured;

- 1 bit according to Table 7.3.1.1.1-3 otherwise, only applicable to resource allocation type 1, as defined in Clause 6.3 of [6, TS 38.214].

- Modulation and coding scheme –5 bits as defined in Clause 6.1.4.1 of [6, TS 38.214]

- New data indicator – 1 bit

- Redundancy version – 0, 1 or 2 bits determined by higher layer parameter *numberOfBitsForRV-DCI-0-2*

- If 0 bit is configured, *rvid* to be applied is 0;

- 1 bit according to Table 7.3.1.2.3-1;

- 2 bits according to Table 7.3.1.1.1-2.

- HARQ process number – 0, 1, 2, 3 or 4 bits determined by higher layer parameter *harq-ProcessNumberSizeDCI-0-2*

- Downlink assignment index – 0, 1, 2 or 4 bits

- 0 bit if the higher layer parameter *downlinkAssignmentIndexDCI-0-2* is not configured;

- 1, 2 or 4 bits otherwise,

- 1st downlink assignment index – 1 or 2 bits:

- 1 bit for semi-static HARQ-ACK codebook;

- 2 bits for dynamic HARQ-ACK codebook.

- 2nd downlink assignment index – 0 or 2 bits

- 2 bits for dynamic HARQ-ACK codebook with two HARQ-ACK sub-codebooks;

- 0 bit otherwise.

When two HARQ-ACK codebooks are configured for the same serving cell and if higher layer parameter *priorityIndicatorDCI-0-2* is configured, if the bit width of the Downlink assignment index in DCI format 0\_2 for one HARQ-ACK codebook is not equal to that of the Downlink assignment index in DCI format 0\_2 for the other HARQ-ACK codebook, a number of most significant bits with value set to '0' are inserted to smaller Downlink assignment index until the bit width of the Downlink assignment index in DCI format 0\_2 for the two HARQ-ACK codebooks are the same.

- TPC command for scheduled PUSCH – 2 bits as defined in Clause 7.1.1 of [5, TS38.213]

- SRS resource indicator – or bits, where is the number of configured SRS resources in the SRS resource set configured by higher layer parameter *srs-ResourceSetToAddModListDCI-0-2*, and associated with the higher layer parameter *usage* of value '*codeBook*' or '*nonCodeBook*', where the SRS resource set is composed of the first SRS resources together with other configurations in the SRS resource set configured by higher layer parameter *srs-ResourceSetToAddModList*, if any, and associated with the higher layer parameter *usage* of value '*codeBook*' or '*nonCodeBook*', respectively, except for the higher layer parameters *'srs-ResourceSetId' and 'srs-ResourceIdList'*

- bits according to Tables 7.3.1.1.2-28/29/30/31 if the higher layer parameter *txConfig = nonCodebook*, where is the number of configured SRS resources in the SRS resource set configured by higher layer parameter *srs-ResourceSetToAddModListDCI-0-2*, and associated with the higher layer parameter *usage* of value '*nonCodeBook*', where the SRS resource set is composed of the first SRS resources together with other configurations in the SRS resource set configured by higher layer parameter *srs-ResourceSetToAddModList*, if any, and associated with the higher layer parameter *usage* of value '*nonCodeBook*', except for the higher layer parameters *'srs-ResourceSetId' and 'srs-ResourceIdList',* and

- if UE supports operation with *maxMIMO-LayersDCI-0-2* and the higher layer parameter *maxMIMO-LayersDCI-0-2* of *PUSCH-ServingCellConfig* of the serving cell is configured, *Lmax* is given by that parameter

- otherwise, *Lmax* is given by the maximum number of layers for PUSCH supported by the UE for the serving cell for non-codebook based operation.

- bits according to Tables 7.3.1.1.2-32/32A/32B if the higher layer parameter *txConfig = codebook*, where is the number of configured SRS resources in the SRS resource set configured by higher layer parameter *srs-ResourceSetToAddModListDCI-0-2*, and associated with the higher layer parameter *usage* of value '*codeBook*', where the SRS resource set is composed of the first SRS resources together with other configurations in the SRS resource set configured by higher layer parameter *srs-ResourceSetToAddModList*, if any, and associated with the higher layer parameter *usage* of value '*codeBook*', except for the higher layer parameters *'srs-ResourceSetId' and 'srs-ResourceIdList'*.

- Precoding information and number of layers – number of bits determined by the following:

- 0 bits if the higher layer parameter *txConfig = nonCodeBook*;

- 0 bits for 1 antenna port and if the higher layer parameter *txConfig = codebook*;

- 4, 5, or 6 bits according to Table 7.3.1.1.2-2 for 4 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower,* transform precoder is disabled, and according to the values of higher layer parameters *maxRankDCI-0-2*, and *codebookSubsetDCI-0-2*;

- 4 or 5 bits according to Table 7.3.1.1.2-2A for 4 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission =fullpowerMode1,* the values of higher layer parameters *maxRankDCI-0-2=2,* transform precoder is disabled, and according to the value of higher layer parameter *codebookSubsetDCI-0-2*;

- 4 or 6 bits according to Table 7.3.1.1.2-2B for 4 antenna ports, if *txConfig = codebook, ul-FullPowerTransmission =fullpowerMode1,* the values of higher layer parameters *maxRankDCI-0-2=3 or 4,* transform precoder is disabled, and according to the value of higher layer parameter *codebookSubsetDCI-0-2*;

- 2, 4, or 5 bits according to Table 7.3.1.1.2-3 for 4 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower,* and according to whether transform precoder is enabled or disabled, and the values of higher layer parameters *maxRankDCI-0-2* and *codebookSubsetDCI-0-2*;

- 3 or 4 bits according to Table 7.3.1.1.2-3A for 4 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission =fullpowerMode1*, and according to whether transform precoder is enabled, or disabled *maxRankDCI-0-2*=1, and the value of higher layer parameter *codebookSubsetDCI-0-2*;

- 2 or 4 bits according to Table7.3.1.1.2-4 for 2 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower,* transform precoder is disabled, and according to the values of higher layer parameters *maxRankDCI-0-2* and *codebookSubsetDCI-0-2*;

- 2 bits according to Table 7.3.1.1.2-4A for 2 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission =fullpowerMode1*, transform precoder is disabled, the *maxRankDCI-0-2=2*, and *codebookSubsetDCI-0-2=nonCoherent*;

- 1 or 3 bits according to Table7.3.1.1.2-5 for 2 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower,* and according to whether transform precoder is enabled or disabled, and the values of higher layer parameters *maxRankDCI-0-2* and *codebookSubsetDCI-0-2*;

- 2 bits according to Table 7.3.1.1.2-5A for 2 antenna ports, if *txConfig = codebook,* *ul-FullPowerTransmission =fullpowerMode1*, and according to whether transform precoder is enabled, or disabled and *maxRankDCI-0-2*=1, and the value of higher layer parameter *codebookSubsetDCI-0-2*.

For the higher layer parameter *txConfig=codebook*, if *ul-FullPowerTransmission* is configured to *fullpowerMode2*, the values of higher layer parameters *maxRankDCI-0-2* is configured to be larger than 2, and at least one SRS resource with 4 antenna ports is configured in an SRS resource set with usage set to 'codebook' and an SRS resource with 2 antenna ports is indicated via SRI in the same SRS resource set, then Table 7.3.1.1.2-4 is used.

For the higher layer parameter *txConfig = codebook*, if different SRS resources with different number of antenna ports are configured, the bitwidth is determined according to the maximum number of ports in an SRS resource among the configured SRS resources in an SRS resource set with usage set to 'codebook'. If the number of ports for a configured SRS resource in the set is less than the maximum number of ports in an SRS resource among the configured SRS resources, a number of most significant bits with value set to '0' are inserted to the field.

**\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\* UNCHANGED PARTS REMOVED \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\***