**3GPP TSG RAN WG1 #106bis-e** **R1-210xxxx**

**e-Meeting, October 11th – 19th, 2021**

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| *CR-Form-v12.0* | | | | | | | | |
| **DRAFT CHANGE REQUEST** | | | | | | | | |
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|  | **38.213** | **CR** |  | **rev** |  | **Current version:** | **16.7.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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|  | | | | | | | | | | |
| ***Title:*** | Introduction of IIoT/URLLC enhancements in NR | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Samsung | | | | | | | | | |
| ***Source to TSG:*** |  | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_IIOT\_URLLC\_enh-Core | | | | |  | ***Date:*** | | | 2021-11-01 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | B |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Introduction of enhancements for IIoT/URLLC in NR. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Add description related to SPS HARQ-ACK deferral, HARQ-ACK codebook enhancements, HARQ-ACK reporting enhancements, operation with PUCCH cell switching, and multiplexing of UCI/data with different priorities. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | Incomplete support for IIoT/URLLC enhancements in NR. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 3.3, 9, 9.A (new), 9.1.2, 9.1.2.1, 9.1.4, 9.1.5 (new), 9.2.1, 9.2.5.3 (new), 9.2.5.4 (new), 9.2.6, 9.3, 11.3 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **X** |  | Other core specifications | | | | TS 38.211, TS 38.212, TS 38.214 | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

\*\*\* Unchanged text is omitted \*\*\*

## 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in [1, TR 21.905].

BPRE Bits per resource element

BWP Bandwidth part

CB Code block

CBG Code block group

CBR Channel busy ratio

CCE Control channel element

CORESET Control resource set

CP Cyclic prefix

CRC Cyclic redundancy check

CSI Channel state information

CSS Common search space

DAI Downlink assignment index

DAPS Dual active protocol stack

DC Dual connectivity

DCI Downlink control information

DL Downlink

DL-SCH Downlink shared channel

EPRE Energy per resource element

EN-DC E-UTRA NR dual connectivity with MCG using E-UTRA and SCG using NR

FR1 Frequency range 1

FR2 Frequency range 2

GSCN Global synchronization channel number

HARQ-ACK Hybrid automatic repeat request acknowledgement

MCG Master cell group

MCS Modulation and coding scheme

NDI New Data Indicator

NE-DC E-UTRA NR dual connectivity with MCG using NR and SCG using E-UTRA

NR-DC NR NR dual connectivity

PBCH Physical broadcast channel

PCell Primary cell

PDCCH Physical downlink control channel

PDSCH Physical downlink shared channel

PRACH Physical random access channel

PRB Physical resource block

PRG Physical resource block group

PSCell Primary secondary cell

PSBCH Physical sidelink broadcast channel

PSCCH Physical sidelink control channel

PSFCH Physical sidelink feedback channel

PSS Primary synchronization signal

PSSCH Physical sidelink shared channel

PUCCH Physical uplink control channel

PUCCH-SCell PUCCH SCell

PUCCH-sSCell PUCCH switching SCell

PUSCH Physical uplink shared channel

QCL Quasi co-location

RB Resource block

RE Resource element

RLM Radio link monitoring

RRM Radio resource management

RS Reference signal

RSRP Reference signal received power

SCG Secondary cell group

SCI Sidelink control information

SCS Subcarrier spacing

SFCI Sidelink feedback control information

SFN System frame number

SL Sidelink

SLIV Start and length indicator value

SPS Semi-persistent scheduling

SR Scheduling request

SRI SRS resource indicator

SRS Sounding reference signal

SSS Secondary synchronization signal

TA Timing advance

TAG Timing advance group

TCI Transmission Configuration Indicator

UCI Uplink control information

UE User equipment

UL Uplink

UL-SCH Uplink shared channel

USS UE-specific search space

\*\*\* Unchanged text is omitted \*\*\*

## 7.2 Physical uplink control channel

If the UE is configured with a SCG, the UE shall apply the procedures described in this clause for both MCG and SCG.

- When the procedures are applied for MCG, the term 'serving cell' in this clause refers to serving cell belonging to the MCG.

- When the procedures are applied for SCG, the term 'serving cell' in this clause refers to serving cell belonging to the SCG. The term 'primary cell' in this clause refers to the PSCell of the SCG.

If the UE is configured with a PUCCH-SCell, the UE shall apply the procedures described in this clause for both primary PUCCH group and secondary PUCCH group.

- When the procedures are applied for the primary PUCCH group, the term 'serving cell' in this clause refers to serving cell belonging to the primary PUCCH group.

- When the procedures are applied for the secondary PUCCH group, the term 'serving cell' in this clause refers to serving cell belonging to the secondary PUCCH group. The term 'primary cell' in this clause refers to the PUCCH-SCell of the secondary PUCCH group. If *pdsch-HARQ-ACK-Codebook-secondaryPUCCHgroup-r16* is provided, *pdsch-HARQ-ACK-Codebook* is replaced by *pdsch-HARQ-ACK-Codebook-secondaryPUCCHgroup-r16*.

### 7.2.1 UE behaviour

If a UE transmits a PUCCH on active UL BWP  of carrier  in the primary cell  using PUCCH power control adjustment state with index , the UE determines the PUCCH transmission power  in PUCCH transmission occasion  as

 [dBm]

where

-  is the UE configured maximum output power defined in [8-1, TS 38.101-1], [8-2, TS38.101-2] and [8-3, TS38.101-3] for carrier  of primary cell  in PUCCH transmission occasion 

-  is a parameter composed of the sum of a component , provided by *p0-nominal*, or  dBm if *p0-nominal* is not provided, for carrier  of primary cell  and, if provided, a component  provided by *p0-PUCCH-Value* in *P0-PUCCH* for active UL BWP  of carrier  of primary cell , where .  is a size for a set of  values provided by *maxNrofPUCCH-P0-PerSet*. The set of  values is provided by *p0-Set*. If *p0-Set* is not provided to the UE, , 

- If the UE is provided *PUCCH-SpatialRelationInfo*, the UE obtains a mapping, by an index provided by *p0-PUCCH-Id*, between a set of *pucch-SpatialRelationInfoId* values and a set of *p0-PUCCH-Value* values. If the UE is provided more than one values for *pucch-SpatialRelationInfoId* and the UE receives an activation command [11, TS 38.321] indicating a value of *pucch-SpatialRelationInfoId*, the UE determines the *p0-PUCCH-Value* value through the link to a corresponding *p0-PUCCH-Id* index. The UE applies the activation command in the first slot that is after slot  where  is the slot where the UE would transmit a PUCCH with HARQ-ACK information for the PDSCH providing the activation command and  is the SCS configuration for the PUCCH

- If the UE is not provided *PUCCH-SpatialRelationInfo*, the UE obtains the *p0-PUCCH-Value* value from the *P0-PUCCH* with *p0-PUCCH-Id* value equal to the minimum *p0-PUCCH-Id* value in *p0-Set*

-  is a bandwidth of the PUCCH resource assignment expressed in number of resource blocks for PUCCH transmission occasion on active UL BWP  of carrier  of primary cell and  is a SCS configuration defined in [4, TS 38.211]

- is a downlink pathloss estimate in dB calculated by the UE using RS resource index  as described in clause 7.1.1 for the active DL BWP  of carrier  of the primary cell  as described in clause 12

- If the UE is not provided *pathlossReferenceRSs* or before the UE is provided dedicated higher layer parameters, the UE calculates  using a RS resource obtained from an SS/PBCH block with same SS/PBCH block index as the one the UE uses to obtain *MIB*

- If the UE is provided a number of RS resource indexes, the UE calculates  using RS resource with index , where .  is a size for a set of RS resources provided by *maxNrofPUCCH-PathlossReferenceRSs*. The set of RS resources is provided by *pathlossReferenceRSs*. The set of RS resources can include one or both of a set of SS/PBCH block indexes, each provided by *ssb-Index* in *PUCCH-PathlossReferenceRS* when a value of a corresponding *pucch-PathlossReferenceRS-Id* maps to a SS/PBCH block index, and a set of CSI-RS resource indexes, each provided by *csi-RS-Index* when a value of a corresponding *pucch-PathlossReferenceRS-Id* maps to a CSI-RS resource index. The UE identifies a RS resource in the set of RS resources to correspond either to a SS/PBCH block index or to a CSI-RS resource index as provided by *pucch-PathlossReferenceRS-Id* in *PUCCH-PathlossReferenceRS*

- If the UE is provided *pathlossReferenceRSs* and *PUCCH-SpatialRelationInfo*, the UE obtains a mapping, by indexes provided by corresponding values of *pucch-PathlossReferenceRS-Id*, between a set of *pucch-SpatialRelationInfoId* values and a set of *referenceSignal* values provided by *PUCCH-PathlossReferenceRS*. If the UE is provided more than one values for *pucch-SpatialRelationInfoId* and the UE receives an activation command [11, TS 38.321] indicating a value of *pucch-SpatialRelationInfoId*, the UE determines the *referenceSignal* value in *PUCCH-PathlossReferenceRS* through the link to a corresponding *pucch-PathlossReferenceRS-Id* index. The UE applies the activation command in the first slot that is after slot  where  is the slot where the UE would transmit a PUCCH with HARQ-ACK information for the PDSCH providing the activation command and  is the SCS configuration for the PUCCH

- If *PUCCH-SpatialRelationInfo* includes *servingCellId* indicating a serving cell, the UE receives the RS for resource index  on the active DL BWP of the serving cell

- If the UE is provided *pathlossReferenceRSs* and is not provided *PUCCH-SpatialRelationInfo*, the UE obtains the *referenceSignal* value in *PUCCH-PathlossReferenceRS* from the *pucch-PathlossReferenceRS-Id* with index 0 in *PUCCH-PathlossReferenceRS* where the RS resource is either on the primary cell or, if provided, on a serving cell indicated by a value of *pathlossReferenceLinking*

- If the UE

- is not provided *pathlossReferenceRSs*, and

- is not provided *PUCCH-SpatialRelationInfo,* and

- is provided *enableDefaultBeamPL-ForPUCCH*, and

- is not provided coresetPoolIndex value of 1 for any CORESET, or is provided coresetPoolIndex value of 1 for all CORESETs, in ControlResourceSet and no codepoint of a TCI field, if any, in a DCI format of any search space set maps to two TCI states [5, TS 38.212]

the UE determines a RS resource index providing a periodic RS resource configured with *qcl-Type* set to 'typeD' in the TCI state or the QCL assumption of a CORESET with the lowest index in the active DL BWP of the primary cell. For a PUCCH transmission over multiple slots, a same applies to the PUCCH transmission in each of the multiple slots.

- The parameter  is a value of *deltaF-PUCCH-f0* for PUCCH format 0, *deltaF-PUCCH-f1* for PUCCH format 1, *deltaF-PUCCH-f2* for PUCCH format 2, *deltaF-PUCCH-f3* for PUCCH format 3, and *deltaF-PUCCH-f4* for PUCCH format 4, if provided; otherwise .

-  is a PUCCH transmission power adjustment component on active UL BWP  of carrier  of primary cell 

- For a PUCCH transmission using PUCCH format 0 or PUCCH format 1,  where

-  is a number of PUCCH format 0 symbols or PUCCH format 1 symbols for the PUCCH transmission as described in clause 9.2.

-  for PUCCH format 0

-  for PUCCH format 1

-  for PUCCH format 0

-  for PUCCH format 1, where  is a number of UCI bits in PUCCH transmission occasion 

- For a PUCCH transmission using PUCCH format 2 or PUCCH format 3 or PUCCH format 4 and for a number of UCI bits smaller than or equal to 11, , where

- 

-  is a number of HARQ-ACK information bits that the UE determines as described in clause 9.1.2.1 or 16.5.1.1 for Type-1 HARQ-ACK codebook and as described in clause 9.1.3.1 or 9.1.3.3 or 16.5.2.1 for Type-2 HARQ-ACK codebook.is the same as  as described in clause 9.1.4 for Type-3 HARQ-ACK codebook. If the UE is not provided any of *pdsch-HARQ-ACK-Codebook*, *pdsch-HARQ-ACK-Codebook-r16*, or *pdsch-HARQ-ACK-OneShotFeedback*,  if the UE includes a HARQ-ACK information bit in the PUCCH transmission; otherwise, 

-  is a number of SR information bits that the UE determines as described in clause 9.2.5.1

-  is a number of CSI information bits that the UE determines as described in clause 9.2.5.2

-  is a number of resource elements determined as , where  is a number of subcarriers per resource block excluding subcarriers used for DM-RS transmission, and  is a number of symbols excluding symbols used for DM-RS transmission, as defined in clause 9.2.5.2, for PUCCH transmission occasion on active UL BWP  of carrier  of primary cell

- For a PUCCH transmission using PUCCH format 2 or PUCCH format 3 or PUCCH format 4 and for a number of UCI bits larger than 11, , where

- 

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-  is a number of HARQ-ACK information bits that the UE determines as described in clause 9.1.2.1 or 16.5.1.1 for Type-1 HARQ-ACK codebook and as described in clause 9.1.3.1 or 9.1.3.3 or 16.5.2.1 for Type-2 HARQ-ACK codebook, or as described in clause 9.1.4 for Type-3 HARQ-ACK codebook. If the UE is not provided any of *pdsch-HARQ-ACK-Codebook*, *pdsch-HARQ-ACK-Codebook-r16*, or *pdsch-HARQ-ACK-OneShotFeedback*,  if the UE includes a HARQ-ACK information bit in the PUCCH transmission; otherwise, 

-  is a number of SR information bits that the UE determines as described in clause 9.2.5.1

-  is a number of CSI information bits that the UE determines as described in clause 9.2.5.2

-  is a number of CRC bits that the UE determines as described in clause 9.2

-  is a number of resource elements that the UE determines as , where  is a number of subcarriers per resource block excluding subcarriers used for DM-RS transmission, and  is a number of symbols excluding symbols used for DM-RS transmission, as defined in clause 9.2.5.2, for PUCCH transmission occasion on active UL BWP  of carrier  of primary cell.

- For the PUCCH power control adjustment state  for active UL BWP  of carrier  of primary cell  and PUCCH transmission occasion 

-  is a TPC command value included in a DCI format scheduling a PDSCH reception for active UL BWP  of carrier  of the primary cell  that the UE detects for PUCCH transmission occasion , or is jointly coded with other TPC commands in a DCI format 2\_2 with CRC scrambled by TPC-PUCCH-RNTI [5, TS 38.212], as described in clause 11.3

-  if the UE is provided *twoPUCCH-PC-AdjustmentStates* and *PUCCH-SpatialRelationInfo* and  if the UE is not provided *twoPUCCH-PC-AdjustmentStates* or *PUCCH-SpatialRelationInfo*

- If the UE obtains a TPC command value from a DCI format scheduling a PDSCH reception and if the UE is provided *PUCCH-SpatialRelationInfo*, the UE obtains a mapping, by an index provided by *p0-PUCCH-Id*, between a set of *pucch-SpatialRelationInfoId* values and a set of values for *closedLoopIndex* that provide the  value(s). If the UE receives an activation command indicating a value of *pucch-SpatialRelationInfoId*, the UE determines the value *closedLoopIndex* that provides the value of  through the link to a corresponding *p0-PUCCH-Id* index

- If the UE obtains one TPC command from a DCI format 2\_2 with CRC scrambled by a TPC-PUCCH-RNTI, the  value is provided by the closed loop indicator field in DCI format 2\_2

-  is the current PUCCH power control adjustment state  for active UL BWP  of carrier  of primary cell  and PUCCH transmission occasion , where

- The  values are given in Table 7.1.2-1

-  is a sum of TPC command values in a set  of TPC command values with cardinality  that the UE receives between  symbols before PUCCH transmission occasion  and  symbols before PUCCH transmission occasion  on active UL BWP  of carrier  of primary cell  for PUCCH power control adjustment state, where  is the smallest integer for which  symbols before PUCCH transmission occasion  is earlier than  symbols before PUCCH transmission occasion 

- If the PUCCH transmission is in response to a detection by the UE of a DCI format,  is a number of symbols for active UL BWP  of carrier  of primary cell  after a last symbol of a corresponding PDCCH reception and before a first symbol of the PUCCH transmission

- If the PUCCH transmission is not in response to a detection by the UE of a DCI format,  is a number of  symbols equal to the product of a number of symbols per slot, , and the minimum of the values provided by *k2* in *PUSCH-ConfigCommon* for active UL BWP  of carrier  of primary cell 

- If the UE has reached maximum power for active UL BWP  of carrier  of primary cell  at PUCCH transmission occasion  and , then 

- If UE has reached minimum power for active UL BWP  of carrier  of primary cell  at PUCCH transmission occasion  and , then 

- If a configuration of a  value for a corresponding PUCCH power control adjustment state  for active UL BWP  of carrier  of primary cell  is provided by higher layers,

- 

If the UE is provided *PUCCH-SpatialRelationInfo*, the UE determines the value of  from the value of  based on a *pucch-SpatialRelationInfoId* value associated with the *p0-PUCCH-Id* value corresponding to  and with the *closedLoopIndex* value corresponding to ; otherwise, 

- Else,

- , where , and  is

- the TPC command value indicated in a random access response grant corresponding to a PRACH transmission according to Type-1 random access procedure, or in a random access response grant corresponding to MsgA transmissions according to Type-2 random access procedure with RAR message(s) for fallbackRAR, or

- the TPC command value indicated in a successRAR corresponding to MsgA transmissions for Type-2 random access procedure, or

- the TPC command value in a DCI format with CRC scrambled by C-RNTI or MCS-C-RNTI that the UE detects in a first PDCCH reception in a search space set provided by *recoverySearchSpaceId* if the PUCCH transmission is a first PUCCH transmission after 28 symbols from a last symbol of the first PDCCH reception,

and, if the UE transmits PUCCH on active UL BWP  of carrier  of primary cell ,

;

otherwise,

 where  is provided by higher layers and corresponds to the total power ramp-up requested by higher layers from the first to the last preamble for active UL BWP  of carrier  of primary cell , and  corresponds to PUCCH format 0 or PUCCH format 1

Table 7.2.1-1: Mapping of TPC Command Field in a DCI format to accumulated  values

|  |  |
| --- | --- |
| TPC Command Field | Accumulated  [dB] |
| 0 | -1 |
| 1 | 0 |
| 2 | 1 |
| 3 | 3 |

\*\*\* Unchanged text is omitted \*\*\*

# 9 UE procedure for reporting control information

If a UE is configured with a SCG, the UE shall apply the procedures described in this clause for both MCG and SCG.

- When the procedures are applied for MCG, the terms 'secondary cell', 'secondary cells' , 'serving cell', 'serving cells' in this clause refer to secondary cell, secondary cells, serving cell, serving cells belonging to the MCG respectively.

- When the procedures are applied for SCG, the terms 'secondary cell', 'secondary cells', 'serving cell', 'serving cells' in this clause refer to secondary cell, secondary cells (not including PSCell), serving cell, serving cells belonging to the SCG respectively. The term 'primary cell' in this clause refers to the PSCell of the SCG.

If a UE is configured with a PUCCH-SCell, the UE shall apply the procedures described in this clause for both primary PUCCH group and secondary PUCCH group

- When the procedures are applied for the primary PUCCH group, the terms 'secondary cell', 'secondary cells' , 'serving cell', 'serving cells' in this clause refer to secondary cell, secondary cells, serving cell, serving cells belonging to the primary PUCCH group respectively.

- When the procedures are applied for secondary PUCCH group, the terms 'secondary cell', 'secondary cells', 'serving cell', 'serving cells' in this clause refer to secondary cell, secondary cells (not including the PUCCH-SCell), serving cell, serving cells belonging to the secondary PUCCH group respectively. The term 'primary cell' in this clause refers to the PUCCH-SCell of the secondary PUCCH group. If *pdsch-HARQ-ACK-Codebook-secondaryPUCCHgroup-r16* is provided, *pdsch-HARQ-ACK-Codebook* is replaced by *pdsch-HARQ-ACK-Codebook-secondaryPUCCHgroup-r16*. If *harq-ACK-SpatialBundlingPUCCH-secondaryPUCCHgroup* is provided, *harq-ACK-SpatialBundlingPUCCH* is replaced by *harq-ACK-SpatialBundlingPUCCH-secondaryPUCCHgroup*. If *harq-ACK-SpatialBundlingPUSCH-secondaryPUCCHgroup* is provided, *harq-ACK-SpatialBundlingPUSCH* is replaced by *harq-ACK-SpatialBundlingPUSCH-secondaryPUCCHgroup*.

For unpaired spectrum operation, if a UE is provided a PUCCH-sSCell by *pucch-SCellSwitch*, the UE shall apply the procedures described in this clause for the cell of the PUCCH transmission as described in clause 9.A.

If a UE is provided *pdsch-HARQ-ACK-CodebookList-r16*, *pdsch-HARQ-ACK-Codebook* is replaced by the relevant entry in *pdsch-HARQ-ACK-CodebookList-r16*.

If a UE

- is not provided *coresetPoolIndex* or is provided *coresetPoolIndex* with a value of 0 for first CORESETs on active DL BWPs of serving cells, and

- is provided *coresetPoolIndex* with a value of 1 for second CORESETs on active DL BWPs of the serving cells, and

- is provided *ackNackFeedbackMode* = *separate*

the UE shall separately apply the procedures described in clauses 9.1 and 9.2.3 for reporting HARQ-ACK information associated with the first CORESETs on active DL BWP of the serving cells and for reporting HARQ-ACK information associated with the second CORESETs on active DL BWP of the serving cells, and the UE does not expect to be provided with *subslotLengthForPUCCH* or to be indicated by *pdsch-HARQ-ACK-CodebookList* to generate two HARQ-ACK codebooks on active DL BWP of the serving cells. HARQ-ACK information reporting is associated with a CORESET through a reception of a PDCCH with a DCI format triggering the reporting of the HARQ-ACK information by the UE.

For NR-DC when both the MCG and the SCG operate either in FR1 or in FR2 and for a power headroom report transmitted on the MCG or the SCG, the UE computes *PH* assuming that the UE does not transmit PUSCH/PUCCH on any serving cell of the SCG or the MCG, respectively.

If a UE is configured for NR-DC operation, the UE does not expect to be configured with a PUCCH-SCell.

A PUSCH or a PUCCH transmission other than PUCCH transmissions with SL HARQ-ACK reports, including repetitions if any, can be of priority index 0 or of priority index 1. For a configured grant PUSCH transmission, a UE determines a priority index from *phy-PriorityIndex*, if provided. For a PUCCH transmission with HARQ-ACK information corresponding to a SPS PDSCH reception or a SPS PDSCH release, a UE determines a priority index from *harq-CodebookID*, if provided. For a PUCCH transmission with SR, a UE determines the corresponding priority as described in clause 9.2.4. For a PUSCH transmission with semi-persistent CSI report, a UE determines a priority index from a priority indicator field, if provided, in a DCI format that activates the semi-persistent CSI report. If a priority index is not provided to a UE for a PUSCH or a PUCCH transmission other than PUCCH transmissions with SL HARQ-ACK reports, the priority index is 0.

If a UE is provided one *PUCCH-Config*

- if the UE is provided *subslotLengthForPUCCH* in the *PUCCH-Config*, the PUCCH resource for any SR configuration with priority index 0 or any CSI report configuration in the *PUCCH-Config* is within the *subslotLengthForPUCCH* symbols in the *PUCCH-Config*

If a UE is provided two *PUCCH-Config*

- if the UE is provided *subslotLengthForPUCCH* in the first *PUCCH-Config*, the PUCCH resource for any SR configuration with priority index 0 or any CSI report configuration in any *PUCCH-Config* is within the *subslotLengthForPUCCH* symbols in the first *PUCCH-Config*

- if the UE is provided *subslotLengthForPUCCH* in the second *PUCCH-Config*, the PUCCH resource for any SR configuration with priority index 1 in any *PUCCH-Config* is within the *subslotLengthForPUCCH* symbols in the second *PUCCH-Config*

If in an active DL BWP a UE monitors PDCCH either for detection of DCI format 0\_1 and DCI format 1\_1 or for detection of DCI format 0\_2 and DCI format 1\_2, a priority index can be provided by a priority indicator field. If a UE indicates a capability to monitor, in an active DL BWP, PDCCH for detection of DCI format 0\_1 and DCI format 1\_1 and for detection of DCI format 0\_2 and DCI format 1\_2, a DCI format 0\_1 or a DCI format 0\_2 can schedule a PUSCH transmission of any priority, a DCI format 1\_1 or a DCI format 1\_2 can schedule a PDSCH reception and trigger a PUCCH transmission with corresponding HARQ-ACK information of any priority and a DCI format 1\_1 can indicate SCell dormancy and trigger a PUCCH transmission with corresponding HARQ-ACK information of any priority.

When a UE determines overlapping for PUCCH transmissions with SL HARQ-ACK reports and PUCCH of larger and/or smaller priority index, the UE resolves the overlapping for PUCCH transmissions with SL HARQ-ACK reports and PUCCH of each priority index as described in clause 9.2.5 and 9.2.6 before resolving the overlapping for PUCCH transmissions without SL HARQ-ACK or the overlapping for PUCCH transmissions and PUSCH transmissions.

When a UE determines overlapping for PUCCH and/or PUSCH transmissions of different priority indexes other than PUCCH transmissions with SL HARQ-ACK reports before considering limitations for UE transmission as described in clause 11.1, including repetitions if any, the UE first resolves the overlapping for PUCCH and/or PUSCH transmissions of smaller priority index as described in clauses 9.2.5 and 9.2.6. Then,

- if the UE is provided *pucch-HARQ-ACK-MuxWithDifferentPriority* or *pusch-HARQ-ACK-MuxWithDifferentPriority*, the UE applies the procedures in clauses 9.2.5.3 or 9.3, respectively

- else

- if a transmission of a first PUCCH of larger priority index scheduled by a DCI format in a PDCCH reception would overlap in time with a repetition of a transmission of a second PUSCH or a second PUCCH of smaller priority index, the UE cancels the repetition of a transmission of the second PUSCH or the second PUCCH before the first symbol that would overlap with the first PUCCH transmission

- if a transmission of a first PUSCH of larger priority index scheduled by a DCI format in a PDCCH reception would overlap in time with a repetition of the transmission of a second PUCCH of smaller priority index, the UE cancels the repetition of the transmission of the second PUCCH before the first symbol that would overlap with the first PUSCH transmission

where

- the overlapping is applicable before or after resolving overlapping among channels of larger priority index, if any, as described in clauses 9.2.5 and 9.2.6

- any remaining PUCCH and/or PUSCH transmission after overlapping resolution is subjected to the limitations for UE transmission as described in clause 11.1

- the UE expects that the transmission of the first PUCCH or the first PUSCH, respectively, would not start before after a last symbol of the corresponding PDCCH reception

- is the PUSCH preparation time for a corresponding UE processing capability assuming [6, TS 38.214], based on and as subsequently defined in this clause, and is determined by a reported UE capability

If a UE is scheduled by a DCI format in a first PDCCH reception to transmit a first PUCCH or a first PUSCH of larger priority index that overlaps with a second PUCCH or a second PUSCH transmission of smaller priority index that, if any, is scheduled by a DCI format in a second PDCCH

- is based on a value of corresponding to the smallest SCS configuration of the first PDCCH, the second PDCCHs, the first PUCCH or the first PUSCH, and the second PUCCHs or the second PUSCHs

- if the overlapping group includes the first PUCCH

- if *processingType2Enabled* of *PDSCH-ServingCellConfig* is set to *enable* for the serving cell where the UE receives the first PDCCH and for all serving cells where the UE receives the PDSCHs corresponding to the second PUCCHs, and if *processingType2Enabled* of *PUSCH-ServingCellConfig* is set to *enable* for the serving cells with the second PUSCHs, is 5 for , 5.5 for  and 11 for

- else, is 10 for =0*,* 12 for , 23 for , and 36 for ;

- if the overlapping group includes the first PUSCH

- if *processingType2Enabled* of *PUSCH-ServingCellConfig* is set to *enable* for the serving cells with the first PUSCH and the second PUSCHs and if *processingType2Enabled* of *PDSCH-ServingCellConfig* is set to *enable* for all serving cells where the UE receives the PDSCHs corresponding to the second PUCCHs, is 5 for , 5.5 for  and 11 for

- else, is 10 for =0*,* 12 for , 23 for , and 36 for ;

If a UE would transmit the following channels, including repetitions if any, that would overlap in time

- a first PUCCH of larger priority index with SR and a second PUCCH or PUSCH of smaller priority index, or

- a configured grant PUSCH of larger priority index and a PUCCH of smaller priority index, or

- a first PUCCH of larger priority index with HARQ-ACK information only in response to PDSCH(s) reception without corresponding PDCCH(s) and a second PUCCH of smaller priority index with HARQ-ACK information only in response to PDSCH(s) reception without corresponding PDCCH(s), or a second PUCCH of smaller priority index with SR and/or CSI, or a configured grant PUSCH with smaller priority index, or a PUSCH of smaller priority index with SP-CSI report(s) without a corresponding PDCCH, or

- a PUSCH of larger priority index with SP-CSI reports(s) without a corresponding PDCCH and a PUCCH of smaller priority index with SR, or CSI, or HARQ-ACK information only in response to PDSCH(s) reception without corresponding PDCCH(s), or

- a configured grant PUSCH of larger priority index and a configured PUSCH of smaller priority index on a same serving cell

the UE is expected to cancel a repetition of the PUCCH/PUSCH transmissions of smaller priority index before the first symbol overlapping with the PUCCH/PUSCH transmission of larger priority index if the repetition of the PUCCH/PUSCH transmissions of smaller priority index overlaps in time with the PUCCH/PUSCH transmissions of larger priority index.

When a UE determines overlapping for PUCCH transmissions with SL HARQ-ACK reports and PUSCH of smaller priority index, including repetitions if any, after resolving the overlapping PUCCH other than PUCCH transmissions with SL HARQ-ACK reports and/or PUSCH transmissions, if the PUSCH includes no UCI, the UE resolves the overlapping for PUCCH transmissions with SL HARQ-ACK reports and PUSCH of smaller priority index as described in clauses 9.2.5 and 9.2.6.

When a UE determines overlapping for PUCCH transmissions with SL HARQ-ACK reports and PUSCH of larger priority index only, including repetitions if any, after resolving the overlapping PUCCH other than PUCCH transmissions with SL HARQ-ACK reports and/or PUSCH transmissions, the UE does not transmit the PUCCH with SL HARQ-ACK reports

where

- the UE expects that the transmission of the PUSCH would not start before after a last symbol of the corresponding PDCCH reception;

- is the PUSCH preparation time for a corresponding UE processing capability assuming [6, TS 38.214], based on and as subsequently defined in this clause, and is determined by a reported UE capability.

The UE expects the PUCCH and PUSCH transmissions fulfill the conditions in clause 9 and clause 9.2.5 for UCI multiplexing replacing the reference time of "end of PDSCH" with "end of the last symbol of a last PSFCH reception occasion" as described in 16.5 and *Tproc,*1 with *Tprep*.

A UE does not expect that a PUCCH carrying SL HARQ-ACK reports overlaps with PUSCH with aperiodic or semi-persistent CSI reports.

A UE does not expect to be scheduled to transmit a PUCCH or a PUSCH with smaller priority index that would overlap in time with a PUCCH of larger priority index with HARQ-ACK information only in response to a PDSCH reception without a corresponding PDCCH. A UE does not expect to be scheduled to transmit a PUCCH of smaller priority index that would overlap in time with a PUSCH of larger priority index with SP-CSI report(s) without a corresponding PDCCH.

In the remaining of this clause, a UE multiplexes UCIs with same priority index in a PUCCH or a PUSCH before considering limitations for UE transmission as described in clause 11.1. A PUCCH or a PUSCH is assumed to have a same priority index as a priority index of UCIs a UE multiplexes in the PUCCH or the PUSCH.

In the remaining of this clause, the multiplexing or prioritization for overlapping channels are for overlapping channels with same priority index or for overlapping channels with a PUCCH carrying SL HARQ-ACK information.

In the remaining of this clause, if a UE is provided *subslotLengthForPUCCH*, a slot for an associated PUCCH resource of a PUCCH transmission with HARQ-ACK information includes a number of symbols indicated by *subslotLengthForPUCCH*, unless stated otherwise.

If a UE would transmit on a serving cell a PUSCH without UL-SCH that overlaps with a PUCCH transmission on a serving cell that includes positive SR information, the UE does not transmit the PUSCH.

If a UE would transmit CSI reports on overlapping physical channels, the UE applies the priority rules described in [6, TS 38.214] for the multiplexing of CSI reports.

If a UE has overlapping resources for PUCCH transmissions in a slot and at least one of the PUCCH transmissions is with repetitions over multiple slots, the UE first follows the procedures described in clause 9.2.6 for resolving the overlapping among the resources for the PUCCH transmissions.

If a UE

- would multiplex UCI in a PUCCH transmission that overlaps with a PUSCH transmission, and

- the PUSCH and PUCCH transmissions fulfill the conditions in clause 9.2.5 for UCI multiplexing,

the UE

- multiplexes only HARQ-ACK information, if any, from the UCI in the PUSCH transmission and does not transmit the PUCCH if the UE multiplexes aperiodic or semi-persistent CSI reports in the PUSCH;

- multiplexes only HARQ-ACK information and CSI reports, if any, from the UCI in the PUSCH transmission and does not transmit the PUCCH if the UE does not multiplex aperiodic or semi-persistent CSI reports in the PUSCH.

A UE does not expect to multiplex in a PUSCH transmission in one slot with SCS configuration UCI of same type that the UE would transmit in PUCCHs in different slots with SCS configuration if .

A UE does not expect to multiplex in a PUSCH transmission or in a PUCCH transmission HARQ-ACK information that the UE would transmit in different PUCCHs.

A UE does not expect a PUCCH resource that results from multiplexing overlapped PUCCH resources, if applicable, to overlap with more than one PUSCHs if each of the more than one PUSCHs includes aperiodic CSI reports.

A UE does not expect to detect a DCI format scheduling a PDSCH reception or a SPS PDSCH release, a DCI format 1\_1 indicating SCell dormancy, or a DCI format including a One-shot HARQ-ACK request field with value 1, and indicating a resource for a PUCCH transmission with corresponding HARQ-ACK information in a slot if the UE previously detects a DCI format scheduling a PUSCH transmission in the slot and if the UE multiplexes HARQ-ACK information in the PUSCH transmission.

If a UE multiplexes aperiodic CSI in a PUSCH and the UE would multiplex UCI that includes HARQ-ACK information in a PUCCH that overlaps with the PUSCH and the timing conditions for overlapping PUCCHs and PUSCHs in clause 9.2.5 are fulfilled, the UE multiplexes only the HARQ-ACK information in the PUSCH and does not transmit the PUCCH.

If a UE transmits multiple PUSCHs in a slot on respective serving cells that include first PUSCHs that are scheduled by DCI formats and second PUSCHs configured by respective *ConfiguredGrantConfig* or *semiPersistentOnPUSCH*, and the UE would multiplex UCI in one of the multiple PUSCHs, and the multiple PUSCHs fulfil the conditions in clause 9.2.5 for UCI multiplexing, the UE multiplexes the UCI in a PUSCH from the first PUSCHs.

If a UE transmits multiple PUSCHs in a slot on respective serving cells and the UE would multiplex UCI in one of the multiple PUSCHs and the UE does not multiplex aperiodic CSI in any of the multiple PUSCHs, the UE multiplexes the UCI in a PUSCH of the serving cell with the smallest *ServCellIndex* subject to the conditions in clause 9.2.5 for UCI multiplexing being fulfilled. If the UE transmits more than one PUSCHs in the slot on the serving cell with the smallest *ServCellIndex* that fulfil the conditions in clause 9.2.5 for UCI multiplexing, the UE multiplexes the UCI in the earliest PUSCH that the UE transmits in the slot.

If a UE transmits a PUSCH over multiple slots and the UE would transmit a PUCCH with HARQ-ACK and/or CSI information over a single slot that overlaps with the PUSCH transmission in one or more slots of the multiple slots, and the PUSCH transmission in the one or more slots fulfills the conditions in clause 9.2.5 for multiplexing the HARQ-ACK and/or CSI information, the UE multiplexes the HARQ-ACK and/or CSI information in the PUSCH transmission in the one or more slots. The UE does not multiplex HARQ-ACK and/or CSI information in the PUSCH transmission in a slot from the multiple slots if the UE would not transmit a single-slot PUCCH with HARQ-ACK and/or CSI information in the slot in case the PUSCH transmission was absent.

If a UE transmits a PUSCH with repetition Type B and the UE would transmit a PUCCH with HARQ-ACK and/or CSI information over a single slot that overlaps with the PUSCH transmission in one or more slots, the UE expects all actual repetitions of the PUSCH transmission [6, TS 38.214] that would overlap with the PUCCH transmission to fulfill the conditions in clause 9.2.5 for multiplexing the HARQ-ACK and/or CSI information, and the UE multiplexes the HARQ-ACK and/or CSI information in the earliest actual PUSCH repetition of the PUSCH transmission that would overlap with the PUCCH transmission and includes more than one symbol. The UE does not expect that all actual repetitions that would overlap with the PUCCH transmission do not include more than one symbol.

If the PUSCH transmission over the multiple slots is scheduled by a DCI format that includes a DAI field, the value of the DAI field is applicable for multiplexing HARQ-ACK information in the PUSCH transmission in any slot from the multiple slots where the UE multiplexes HARQ-ACK information.

When a UE would multiplex HARQ-ACK information in a PUSCH transmission that is configured by a *ConfiguredGrantConfig*, and includes CG-UCI [5, TS 38.212], the UE multiplexes the HARQ-ACK information in the PUSCH transmission if the UE is provided *cg-UCI-Multiplexing*; otherwise, if the HARQ-ACK information and the PUSCH have same priority index, the UE does not transmit the PUSCH and multiplexes the HARQ-ACK information in a PUCCH transmission or in another PUSCH transmission; if the HARQ-ACK information and the PUSCH have different priority indexes, the UE does not transmit the channel with the smaller priority index.

### 9.A PUCCH Cell Switching

This clause is applicable when a UE is provided a PUCCH-sSCell by *pucch-SCellSwitch*.

A UE can be provided a periodic cell switching pattern for PUCCH transmissions by *pucchCellPattern.* Each bit of the pattern corresponds to a slot for the active UL BWP of the PCell with a value of ‘0’ or a value of ‘1’ indicating, respectively, the PCell or the PUCCH-sSCell as the cell for PUCCH transmissions during the slot of the PCell.

A DCI format associated with generation of HARQ-ACK information by a UE can include a PUCCH cell indicator field, as described in [5, TS 38.212], that indicates whether the PUCCH transmission with the HARQ-ACK information by the UE is on the PCell or on the PUCCH-sSCell.

\*\*\* Unchanged text is omitted \*\*\*

### 9.1.2 Type-1 HARQ-ACK codebook determination

This clause applies if the UE is configured with *pdsch-HARQ-ACK-Codebook = semi-static*.

A UE reports HARQ-ACK information for a corresponding PDSCH reception or SPS PDSCH release only in a HARQ-ACK codebook that the UE transmits in a slot indicated by a value of a PDSCH-to-HARQ\_feedback timing indicator field in a corresponding DCI format or provided by *dl-DataToUL-ACK* or *dl-DataToUL-ACK-r16* or *dl-DataToUL-ACK-ForDCI-Format1-2* if the PDSCH-to-HARQ\_feedback timing indicator field is not present in the DCI format as described in clause 9.2.3. The UE reports NACK value(s) for HARQ-ACK information bit(s) in a HARQ-ACK codebook that the UE transmits in a slot not indicated by a value of a PDSCH-to-HARQ\_feedback timing indicator field in a corresponding DCI format.

If a UE is not provided *pdsch-HARQ-ACK-OneShotFeedback*, the UE does not expect to receive a PDSCH scheduled by a DCI format that the UE detects in any PDCCH monitoring occasion and includes a PDSCH-to-HARQ\_feedback timing indicator field providing an inapplicable value from *dl-DataToUL-ACK-r16*.

If the UE is provided *pdsch-AggregationFactor-r16* in *SPS-Config* or *pdsch-AggregationFactor* in *PDSCH-Config* and no entry in *pdsch-TimeDomainAllocationList* and *pdsch-TimeDomainAllocationListDCI-1-2* includes *repetitionNumber* in *PDSCH-TimeDomainResourceAllocation-r16*, is a maximum value of *pdsch-AggregationFactor-r16* in *SPS-Config* or *pdsch-AggregationFactor* in *PDSCH-Config*; otherwise . The UE reports HARQ-ACK information for a PDSCH reception

- from DL slot to DL slot , if is provided by *pdsch-AggregationFactor* or *pdsch-AggregationFactor-r16* [6, TS 38.214], or

- from DL slot to DL slot , if the time domain resource assignment field in the DCI format scheduling the PDSCH reception indicates an entry containing *repetitionNumber,* or

- in DL slot , otherwise

only in a HARQ-ACK codebook that the UE includes in a PUCCH or PUSCH transmission in slot , where is

- an UL slot overlapping with the end of the PDSCH reception in DL slot if the UE is provided *subslotLengthForPUCCH* for the HARQ-ACK codebook

- the last UL slot for PUCCH transmission overlapping with DL slot if the UE is not provided *subslotLengthForPUCCH* for the HARQ-ACK codebook

and is a number of slots indicated by the PDSCH-to-HARQ\_feedback timing indicator field in a corresponding DCI format, or provided by *dl-DataToUL-ACK* or *dl-DataToUL-ACK-r16* or *dl-DataToUL-ACK-ForDCI-Format1-2* if the PDSCH-to-HARQ\_feedback timing indicator field is not present in the DCI format. If the UE reports HARQ-ACK information for the PDSCH reception in a slot other than slot , the UE sets a value for each corresponding HARQ-ACK information bit to NACK.

If a UE reports HARQ-ACK information in a PUCCH only for

- a SPS PDSCH release indicated by DCI format 1\_0 with counter DAI field value of 1, or

- a PDSCH reception scheduled by DCI format 1\_0 with counter DAI field value of 1 on the PCell, or

- SPS PDSCH reception(s)

within the occasions for candidate PDSCH receptions as determined in clause 9.1.2.1, the UE determines a HARQ-ACK codebook only for the SPS PDSCH release or only for the PDSCH reception or only for one SPS PDSCH reception according to corresponding occasion(s) on respective serving cell(s), where the value of counter DAI in DCI format 1\_0 is according to Table 9.1.3-1 and HARQ-ACK information bits in response to more than one SPS PDSCH receptions that the UE is configured to receive are ordered according to the following pseudo-code; otherwise, the procedures in clause 9.1.2.1 and clause 9.1.2.2 for a HARQ-ACK codebook determination apply.

Set to the number of serving cells configured to the UE

Set to the number of SPS PDSCH configuration configured to the UE for serving cell

Set to the number of DL slots for SPS PDSCH reception on serving cell with HARQ-ACK information multiplexed on the PUCCH

Set – HARQ-ACK information bit index

Set – serving cell index: lower indexes correspond to lower RRC indexes of corresponding cell

while

Set – SPS PDSCH configuration index: lower indexes correspond to lower RRC indexes of corresponding SPS configurations

while

Set – slot index

while

if {

a UE is configured to receive SPS PDSCHs from slot to slot for SPS PDSCH configuration on serving cell , excluding SPS PDSCHs that are not required to be received in any slot among overlapping SPS PDSCHs, if any according to [6, TS 38.214], or based on a UE capability for a number of PDSCH receptions in a slot according to [6, TS 38.214], or due to overlapping with a set of symbols indicated as uplink by *tdd-UL-DL-ConfigurationCommon* or by *tdd-UL-DL-ConfigurationDedicated* where is provided by *pdsch-AggregationFactor-r16* in *sps-Config* or, if *pdsch-AggregationFactor-r16* is not included in *sps-Config*, by *pdsch-AggregationFactor* in *pdsch-config*, and

HARQ-ACK information for the SPS PDSCH is associated with the PUCCH

}

= HARQ-ACK information bit for this SPS PDSCH reception

;

end if

;

end while

;

end while

;

end while

#### 9.1.2.1 Type-1 HARQ-ACK codebook in physical uplink control channel

For a serving cell , an active DL BWP, and an active UL BWP, as described in clause 12, the UE determines a set of occasions for candidate PDSCH receptions for which the UE can transmit corresponding HARQ-ACK information in a PUCCH in slot . If serving cell is deactivated, the UE uses as the active DL BWP for determining the set of occasions for candidate PDSCH receptions a DL BWP provided by *firstActiveDownlinkBWP-Id*. The determination is based:

a) on a set of slot timing values associated with the active UL BWP

a) If the UE is configured to monitor PDCCH for DCI format 1\_0 and is not configured to monitor PDCCH for either DCI format 1\_1 or DCI format 1\_2 on serving cell , is provided by the slot timing values {1, 2, 3, 4, 5, 6, 7, 8}

b) If the UE is configured to monitor PDCCH for DCI format 1\_1 and is not configured to monitor PDCCH for DCI format 1\_2 for serving cell , is provided by *dl-DataToUL-ACK*

c) If the UE is configured to monitor PDCCH for DCI format 1\_2 and is not configured to monitor PDCCH for DCI format 1\_1 for serving cell ,  is provided by *dl-DataToUL-ACK-ForDCIFormat1\_2*

d) If the UE is configured to monitor PDCCH for DCI format 1\_1 and DCI format 1\_2 for serving cell ,  is provided by the union of *dl-DataToUL-ACK* and *dl-DataToUL-ACK-ForDCIFormat1\_2*

b) on a set of row indexes of a table that is associated with the active DL BWP and defining respective sets of slot offsets , start and length indicators *SLIV*, and PDSCH mapping types for PDSCH reception as described in [6, TS 38.214], where the row indexes of the table are provided by the union of row indexes of time domain resource allocation tables for DCI formats the UE is configured to monitor PDCCH for serving cell

a) if the UE is provided *referenceOfSLIVDCI-1-2*, for each row index with slot offset and PDSCH mapping Type B in a set of row indexes of a table for DCI format 1\_2 [6, TS 38.214], for any PDCCH monitoring occasion in any slot where the UE monitors PDCCH for DCI format 1\_2 and with starting symbol , if for normal cyclic prefix and for extended cyclic prefix, add a new row index in the set of row indexes of the table by replacing the starting symbol of the row index by

c) on the ratio between the downlink SCS configuration and the uplink SCS configuration provided by *subcarrierSpacing* in *BWP-Downlink* and *BWP-Uplink* for the active DL BWP and the active UL BWP, respectively

d) if provided, on *tdd-UL-DL-ConfigurationCommon* and *tdd-UL-DL-ConfigurationDedicated* as described in clause 11.1

e) if *ca-SlotOffset* is provided, on and provided by ca-SlotOffsetfor serving cell , or on and provided by ca-SlotOffsetfor the primary cell, as described in [4, TS 38.211].

If a UE

- is not provided *coresetPoolIndex* or is provided *coresetPoolIndex* with a value of 0 for first CORESETs on active DL BWPs of serving cells, and

- is provided *coresetPoolIndex* with a value of 1 for second CORESETs on active DL BWPs of the serving cells, and

- is provided *ackNackFeedbackMode* = *joint*

where

- a serving cell is placed in a first set of serving cells if the serving cell includes a first CORESET, and

- a serving cell is placed in a second set of serving cells if the serving cell includes a second CORESET, and

- serving cells are placed in a set according to an ascending order of a serving cell index

the UE generates a Type-1 HARQ-ACK codebook for the set and the set of serving cells separately by setting and in the following pseudo-code. The UE concatenates the HARQ-ACK codebook generated for the set followed by the HARQ-ACK codebook generated for the set to obtain a total number of  HARQ-ACK information bits.

For the set of slot timing values, the UE determines a set of  occasions for candidate PDSCH receptions or SPS PDSCH releases according to the following pseudo-code. A location in the Type-1 HARQ-ACK codebook for HARQ-ACK information corresponding to a single SPS PDSCH release is same as for a corresponding SPS PDSCH reception. A location in the Type-1 HARQ-ACK codebook for HARQ-ACK information corresponding to multiple SPS PDSCH releases by a single DCI format is same as for a corresponding SPS PDSCH reception with the lowest SPS configuration index among the multiple SPS PDSCH releases.

Set  - index of occasion for candidate PDSCH reception or SPS PDSCH release

Set 

Set 

Set  to the cardinality of set 

Set *k* =0 – index of slot timing values , in descending order of the slot timing values, in set  for serving cell 

If a UE is not provided *ca-SlotOffset* for any serving cell of PDSCH receptions and for the serving cell of corresponding PUCCH transmission with HARQ-ACK information

while 

if or *subslotLengthForPUCCH* is provided for the HARQ-ACK codebook

Set  – index of a DL slot overlapping with an UL slot

Set to a number of DL slots overlapping with UL slot if *subslotLengthForPUCCH* is provided for the HARQ-ACK codebook; otherwise,

while

Set  to the set of rows

Set  to the cardinality of 

Set  – index of row in set 

if slot  starts at a same time as or after a slot for an active DL BWP change on serving cell  or an active UL BWP change on the PCell and slot is before the slot for the active DL BWP change on serving cell  or the active UL BWP change on the PCell, or *subslotLengthForPUCCH* is provided for the HARQ-ACK codebook and slot overlaps with UL slot , , where is a DL slot with a smallest index among DL slots overlapping with UL slot ,;

else

while 

if the UE is provided *tdd-UL-DL-ConfigurationCommon*, or *tdd-UL-DL-ConfigurationDedicated* and, for each slot from slot to slot , at least one symbol of the PDSCH time resource derived by row  is configured as ULwhere  is the *k*-th slot timing value in set , where is a DL slot with a smallest index among DL slots overlapping with UL slot , or *subslotLengthForPUCCH* is provided for the HARQ-ACK codebook and the end of the PDSCH time resource for row is not within any UL slot , ;

else

;

end if

end while

if the UE does not indicate a capability to receive more than one unicast PDSCH per slot and ,

;

;

else

Set  to the cardinality of 

Set  to the smallest last OFDM symbol index, as determined by the *SLIV*, among all rows of 

while 

Set 

while 

if  for start OFDM symbol index  for row 

; - index of occasion for candidate PDSCH reception or SPS PDSCH release associated with row 

;

;

else

;

end if

end while



;

Set  to the smallest last OFDM symbol index among all rows of ;

end while

end if

;

end if

end while

end if

;

end while

else

while 

if or *subslotLengthForPUCCH* is provided for the HARQ-ACK codebook

Set  – index of a DL slot overlapping with an UL slot

Set to a number of DL slots overlapping with UL slot if *subslotLengthForPUCCH* is provided for the HARQ-ACK codebook; otherwise,

while

Set  to the set of rows

Set  to the cardinality of 

Set  – index of row in set 

if slot  starts at a same time as or after a slot for an active DL BWP change on serving cell  or an active UL BWP change on the PCell and slot

is before the slot for the active DL BWP change on serving cell  or the active UL BWP change on the PCell where is a DL slot with a smallest index among DL slots overlapping with UL slot , or *subslotLengthForPUCCH* is provided for the HARQ-ACK codebook and slot overlaps with UL slot , ,

;

else

while 

if the UE is provided *tdd-UL-DL-ConfigurationCommon*, or *tdd-UL-DL-ConfigurationDedicated* and, for each slot from slot to slot , at least one symbol of the PDSCH time resource derived by row  is configured as ULwhere  is the *k*-th slot timing value in set , where is a DL slot with a smallest index among DL slots overlapping with UL slot , or *subslotLengthForPUCCH* is provided for the HARQ-ACK codebook and the end of the PDSCH time resource for row is not within any UL slot , ;

else

;

end if

end while

if the UE does not indicate a capability to receive more than one unicast PDSCH per slot and ,

;

;

else

Set  to the cardinality of 

Set  to the smallest last OFDM symbol index, as determined by the *SLIV*, among all rows of 

while 

Set 

while 

if  for start OFDM symbol index  for row 

; - index of occasion for candidate PDSCH reception or SPS PDSCH release associated with row 

;

;

else

;

end if

end while



;

Set  to the smallest last OFDM symbol index among all rows of ;

end while

end if

;

end if

end while

end if

;

end while

end if

If the UE indicates a capability to receive more than one PDSCH per slot, for occasions of candidate PDSCH receptions corresponding to rows of associated with a same value of , where , the UE does not expect to receive more than one PDSCH in a same DL slot associated with a same *coresetPoolIndex* value if provided, or if *coresetPoolIndex* is not provided.

If a UE receives a SPS PDSCH, or a SPS PDSCH release, or a PDSCH that is scheduled by a DCI format that does not support CBG-based PDSCH receptions and if

- the UE is configured with one serving cell, and

- , and

- *PDSCH-CodeBlockGroupTransmission* is provided to the UE

the UE generates HARQ-ACK information only for the transport block in the PDSCH or only for the SPS PDSCH release.

If a UE receives a SPS PDSCH, or a SPS PDSCH release, or a PDSCH that is scheduled by a DCI format that does not support CBG-based PDSCH receptions and if

- the UE is configured with more than one serving cells, or

- , and

- *PDSCH-CodeBlockGroupTransmission* is provided to the UE

the UE repeats  times the HARQ-ACK information for the transport block in the PDSCH or for the SPS PDSCH release.

A UE does not expect to detect a DCI format switching a DL BWP within  symbols prior to a first symbol of a PUCCH transmission where the UE multiplexes HARQ-ACK information, where  is defined in clause 9.2.3.

If a UE is provided *dl-DataToUL-ACK* or *dl-DataToUL-ACK-ForDCIFormat1\_2*, the UE does not expect to be indicated by DCI format 1\_0 a slot timing value for transmission of HARQ-ACK information that does not belong to the intersection of the set of slot timing values {1, 2, 3, 4, 5, 6, 7, 8} and the set of slot timing values provided by for the active DL BWP of a corresponding serving cell.

If *maxNrofCodeWordsScheduledByDCI* indicates reception of two transport blocks, when the UE receives a PDSCH with one transport block or a SPS PDSCH release, the HARQ-ACK information is associated with the first transport block and the UE generates a NACK for the second transport block if *harq-ACK-SpatialBundlingPUCCH* is not provided and generates HARQ-ACK information with value of ACK for the second transport block if *harq-ACK-SpatialBundlingPUCCH* is provided.

A UE determines HARQ-ACK information bits, for a total number of  HARQ-ACK information bits, of a HARQ-ACK codebook for transmission in a PUCCH according to the following pseudo-code. In the following pseudo-code, if the UE does not receive a transport block or a CBG, due to the UE not detecting a corresponding DCI format, the UE generates a NACK value for the transport block or the CBG. The cardinality of the set  defines a total number  of occasions for PDSCH reception or SPS PDSCH release for serving cell  corresponding to the HARQ-ACK information bits.

Set  – serving cell index: lower indexes correspond to lower RRC indexes of corresponding cells including, when applicable, cells in the set and the set

Set - HARQ-ACK information bit index

Set  to the number of serving cells configured by higher layers for the UE

while 

Set  – index of occasion for candidate PDSCH reception or SPS PDSCH release

while 

if *harq-ACK-SpatialBundlingPUCCH* is not provided, *PDSCH-CodeBlockGroupTransmission* is not provided, and the UE is configured by *maxNrofCodeWordsScheduledByDCI* with reception of two transport blocks for the active DL BWP of serving cell ,

 = HARQ-ACK information bit corresponding to a first transport block of this cell;

;

 = HARQ-ACK information bit corresponding to a second transport block of this cell;

;

elseif *harq-ACK-SpatialBundlingPUCCH* is provided, and the UE is configured by *maxNrofCodeWordsScheduledByDCI* with reception of two transport blocks for the active DL BWP of serving cell ,

 = binary AND operation of the HARQ-ACK information bits corresponding to first and second transport blocks of this cell - if the UE receives one transport block, the UE assumes ACK for the second transport block;

;

elseif *PDSCH-CodeBlockGroupTransmission* is provided, and  CBGs are indicated by *maxCodeBlockGroupsPerTransportBlock* for serving cell ,

Set - CBG index

while 

 = HARQ-ACK information bit corresponding to CBG  of the first transport block;

if the UE is configured by *maxNrofCodeWordsScheduledByDCI* with reception of two transport blocks for the active DL BWP of serving cell 

 = HARQ-ACK information bit corresponding to CBG  of the second transport block;

end if

;

end while

, where  is the value of *maxNrofCodeWordsScheduledByDCI* for the active DL BWP of serving cell ;

else

 = HARQ-ACK information bit of serving cell ;

;

end if

;

end while

;

end while

If , the UE determines a number of HARQ-ACK information bits  for obtaining a transmission power for a PUCCH, as described in clause 7.2.1, as  where

-  is the number of transport blocks the UE receives in PDSCH reception occasion  for serving cell  if *harq-ACK-SpatialBundlingPUCCH* and *PDSCH-CodeBlockGroupTransmission* are not provided, or the number of transport blocks the UE receives in PDSCH reception occasion  for serving cell  if *PDSCH-CodeBlockGroupTransmission* is provided and the PDSCH reception is scheduled by a DCI format that does not support CBG-based PDSCH receptions, or the number of PDSCH receptions if *harq-ACK-SpatialBundlingPUCCH* is provided or SPS PDSCH release in PDSCH reception occasion  for serving cell  and the UE reports corresponding HARQ-ACK information in the PUCCH.

-  is the number of CBGs the UE receives in a PDSCH reception occasion  for serving cell  if *PDSCH-CodeBlockGroupTransmission* is provided and the PDSCH reception is scheduled by a DCI format that supports CBG-based PDSCH receptions and the UE reports corresponding HARQ-ACK information in the PUCCH.

\*\*\* Unchanged text is omitted \*\*\*

### 9.1.4 Type-3 HARQ-ACK codebook determination

If a UE is provided *pdsch-HARQ-ACK-OneShotFeedback*, the UE determines HARQ-ACK information bits, for a total number of HARQ-ACK information bits, of a Type-3 HARQ-ACK codebook according to the following procedure. If the UE is provided *pdsch-HARQ-ACK-enhType3ToAddModList* and a DCI format triggering the Type-3 HARQ-ACK codebook provides a value for *pdsch-HARQ-ACK-enhType3Index*, the UE determines a number of indicated serving cells and a number of indicated HARQ processes for each indicated serving cell from the entry in *pdsch-HARQ-ACK-enhType3ToAddModList* corresponding to the *pdsch-HARQ-ACK-enhType3Index* value.

Set to the number of configured serving cells or, when applicable, to

Set to the value of *nrofHARQ-ProcessesForPDSCH* for serving cell , if provided; else, set . When applicable, set to

Set to the value of *maxNrofCodeWordsScheduledByDCI* for serving cell if *harq-ACK-SpatialBundlingPUCCH* is provided and , or if *harq-ACK-SpatialBundlingPUCCH* is not provided, or if *maxCodeBlockGroupsPerTransportBlock* is provided for serving cell ; else, set

Set to the number of HARQ-ACK information bits per TB for PDSCH receptions on serving cell as described in clause 9.1.1 if *maxCodeBlockGroupsPerTransportBlock* is provided for serving cell and *pdsch-HARQ-ACK-OneShotFeedbackCBG* is provided; else, set

Set if *pdsch-HARQ-ACK-OneShotFeedbackNDI* is provided; else set

Set – serving cell index

Set – HARQ process number

Set – TB index

Set – CBG index

Set

while

while

if

if

while

while

= HARQ-ACK information bit for CBG of TB for HARQ process number of serving cell , if any; else,

end while

= NDI value indicated in the DCI format corresponding to the HARQ-ACK information bit(s) for TB for HARQ process number on serving cell , if any; else,

end while

else

while

= HARQ-ACK information bit for TB for HARQ process of serving cell , if any; else,

= NDI value indicated in the DCI format corresponding to the HARQ-ACK information bit(s) for TB for HARQ process number on serving cell , if any; else,

end while

end if

else

if

while

if UE has obtained HARQ-ACK information for TB for HARQ process number on serving cell corresponding to a PDSCH reception and has not reported the HARQ-ACK information corresponding to the PDSCH reception

while

= HARQ-ACK information bit for CBG of TB for HARQ process number of serving cell

end while

else

while

end while

end if

end while

else

while

if UE has obtained HARQ-ACK information for TB for HARQ process number on serving cell corresponding to a PDSCH reception and has not reported the HARQ-ACK information corresponding to the PDSCH reception

if *harq-ACK-SpatialBundlingPUCCH* is not provided

= HARQ-ACK information bit for TB for HARQ process of serving cell

else

= binary AND operation of the HARQ-ACK information bits corresponding to first and second transport blocks for HARQ process of serving cell . If the UE receives one transport block, the UE assumes ACK for the second transport block

end if

else

end if

end while

end if

end if

end while

end while

If , when a UE receives a PDSCH with one transport block, the HARQ-ACK information is associated with the first transport block.

If a UE receives a SPS PDSCH, or a PDSCH that is scheduled by a DCI format that does not support CBG-based PDSCH receptions for a serving cell and if *maxCodeBlockGroupsPerTransportBlock* is provided for serving cell , and *pdsch-HARQ-ACK-OneShotFeedbackCBG* is provided, the UE repeats times the HARQ-ACK information for the transport block in the PDSCH.

If a UE detects a DCI format that includes a One-shot HARQ-ACK request field with value 1, the UE determines a PUCCH or a PUSCH to multiplex a Type-3 HARQ-ACK codebook for transmission in a slot as described in clauses 9.2.3 and 9.2.5. The UE multiplexes only the Type-3 HARQ-ACK codebook in the PUCCH or the PUSCH for transmission in the slot.

If

- a UE detects a DCI format that includes a One-shot HARQ-ACK request field with value 1, and

- the CRC of the DCI is scrambled by a C-RNTI or an MCS-C-RNTI, and

- *resourceAllocation* = *resourceAllocationType0* and all bits of the frequency domain resource assignment field in the DCI format are equal to 0, or

- *resourceAllocation* = *resourceAllocationType1* and all bits of the frequency domain resource assignment field in the DCI format are equal to 1, or

- *resourceAllocation = dynamicSwitch* and all bits of the frequency domain resource assignment field in the DCI format are equal to 0 or 1

the DCI format provides a request for a Type-3 HARQ-ACK codebook report and does not schedule a PDSCH reception. The UE is expected to provide HARQ-ACK information in response to the request for the Type-3 HARQ-ACK codebook after symbols from the last symbol of a PDCCH providing the DCI format, where the value of for is provided in clause 10.2 by replacing "SPS PDSCH release" with "DCI format".

If a UE multiplexes HARQ-ACK information in a PUSCH transmission, the UE generates the HARQ-ACK codebook as described in this clause except that *harq-ACK-SpatialBundlingPUCCH* is replaced by *harq-ACK-SpatialBundlingPUSCH*.

### 9.1.5 HARQ-ACK codebook retransmission

\*\*\* Unchanged text is omitted \*\*\*

### 9.2.2 PUCCH Formats for UCI transmission

If a UE is not transmitting PUSCH, and the UE is transmitting UCI, the UE transmits UCI in a PUCCH using

- PUCCH format 0 if

- the transmission is over 1 symbol or 2 symbols,

- the number of HARQ-ACK information bits with positive or negative SR (HARQ-ACK/SR bits) is 1 or 2

- PUCCH format 1 if

- the transmission is over 4 or more symbols,

- the number of HARQ-ACK/SR bits is 1 or 2

- PUCCH format 2 if

- the transmission is over 1 symbol or 2 symbols,

- the number of UCI bits is more than 2

- PUCCH format 3 if

- the transmission is over 4 or more symbols,

- the number of UCI bits is more than 2,

- the PUCCH resource does not include an orthogonal cover code, or the UE is provided *useInterlacePUCCH-PUSCH* in *BWP-UplinkDedicated*

- PUCCH format 4 if

- the transmission is over 4 or more symbols,

- the number of UCI bits is more than 2,

- the PUCCH resource includes an orthogonal cover code and the UE is not provided *useInterlacePUCCH-PUSCH* in *BWP-UplinkDedicated*

A spatial setting for a PUCCH transmission is provided by *PUCCH-SpatialRelationInfo* if the UE is configured with a single value for *pucch-SpatialRelationInfoId*; otherwise, if the UE is provided multiple values for *PUCCH-SpatialRelationInfo*, the UE determines a spatial setting for the PUCCH transmission as described in [11, TS 38.321]. The UE applies corresponding actions in [11, TS 38.321] and a corresponding setting for a spatial domain filter to transmit PUCCH in the first slot that is after slot  where  is the slot where the UE would transmit a PUCCH with HARQ-ACK information with ACK value corresponding to a PDSCH reception providing the *PUCCH-SpatialRelationInfo*, each slot consists of symbols as defined in [4, TS 38.211],and  is the SCS configuration for the PUCCH

- If *PUCCH-SpatialRelationInfo* provides *ssb-Index*, the UE transmits the PUCCH using a same spatial domain filter as for a reception of a SS/PBCH block with index provided by *ssb-Index* for a same serving cell or, if *servingCellId* is provided, for a serving cell indicated by *servingCellId*

- else if *PUCCH-SpatialRelationInfo* provides *csi-RS-Index*, the UE transmits the PUCCH using a same spatial domain filter as for a reception of a CSI-RS with resource index provided by *csi-RS-Index* for a same serving cell or, if *servingCellId* is provided, for a serving cell indicated by *servingCellId*

- else *PUCCH-SpatialRelationInfo* provides *srs*, the UE transmits the PUCCH using a same spatial domain filter as for a transmission of a SRS with resource index provided by *resource* for a same serving cell and/or active UL BWP or, if *servingCellId* and/or *uplinkBWP* are provided, for a serving cell indicated by *servingCellId* and/or for an UL BWP indicated by *uplinkBWP*

If a UE

- is not provided *pathlossReferenceRSs* in *PUCCH-PowerControl*,

- is provided *enableDefaultBeamPL-ForPUCCH*, and

- is not provided *PUCCH-SpatialRelationInfo*, and

- is not provided coresetPoolIndex value of 1 for any CORESET, or is provided coresetPoolIndex value of 1 for all CORESETs, in ControlResourceSet and no codepoint of a TCI field, if any, in a DCI format of any search space set maps to two TCI states [5, TS 38.212]

a spatial setting for a PUCCH transmission from the UE is same as a spatial setting for PDCCH receptions by the UE in the CORESET with the lowest ID on the active DL BWP of the PCell.

For a PUCCH transmission over multiple slots, a same spatial setting applies to the PUCCH transmission in each of the multiple slots.

A number of DMRS symbols for a PUCCH transmission using PUCCH format 3 or 4 is provided by *additionalDMRS*.

Use of /2-BPSK, instead of QPSK, for a PUCCH transmission using PUCCH format 3 or 4 is indicated by *pi2BPSK*.

### 9.2.3 UE procedure for reporting HARQ-ACK

A UE does not expect to transmit more than one PUCCH with HARQ-ACK information in a slot per priority index, if the UE is not provided *ackNackFeedbackMode = separate*.

For DCI format 1\_0, the PDSCH-to-HARQ\_feedback timing indicator field values map to {1, 2, 3, 4, 5, 6, 7, 8}. For a DCI format, other than DCI format 1\_0 or requesting Type-3 HARQ-ACK codebook report without scheduling a PDSCH reception as described in clause 9.1.4, the PDSCH-to-HARQ\_feedback timing indicator field values, if present, map to values for a set of number of slots provided by *dl-DataToUL-ACK*, *dl-DataToUL-ACK-r16*, or *dl-DataToUL-ACKForDCIFormat1\_2*, as defined in Table 9.2.3-1. If the DCI format indicates a cell for the PUCCH transmission, as described in clause 9.A, the PDSCH-to-HARQ\_feedback timing indicator field value maps to slots of the active UL BWP of the cell; otherwise, the PDSCH-to-HARQ\_feedback timing indicator field value maps to slots of the active UL BWP of the PCell.

If the UE is provided *subslotLengthForPUCCH*, is the last UL slot for PUCCH transmission that overlaps with the PDSCH reception or with the PDCCH reception in case of SPS PDSCH release or in case of SCell dormancy indication or in case of the DCI format that requests Type-3 HARQ-ACK codebook report and does not schedule a PDSCH reception; otherwise, is the last UL slot for PUCCH transmission that overlaps with the DL slot for the PDSCH reception or with the DL slot for the PDCCH reception in case of SPS PDSCH release or in case of SCell dormancy indication or in case of the DCI format that requests Type-3 HARQ-ACK codebook report and does not schedule a PDSCH reception.

For a SPS PDSCH reception ending in DL slot , the UE transmits the PUCCH in UL slot where is the last UL slot for PUCCH transmission that overlaps with slot and is provided by the PDSCH-to-HARQ\_feedback timing indicator field, if present, in a DCI format activating the SPS PDSCH reception.

If the UE detects a DCI format that does not include a PDSCH-to-HARQ\_feedback timing indicator field and schedules a PDSCH reception or activates a SPS PDSCH reception ending in DL slot , the UE provides corresponding HARQ-ACK information in a PUCCH transmission within UL slot where is the last UL slot for PUCCH transmission that overlaps with slot and is provided by *dl-DataToUL-ACK*, or *dl-DataToUL-ACK-r16*, or *dl-DataToUL-ACKForDCIFormat1\_2*.

If the UE detects a DCI format scheduling a PDSCH reception ending in DL slot  or if the UE detects a DCI format indicating a SPS PDSCH release or indicating SCell dormancy through a PDCCH reception ending in DL slot , or if the UE detects a DCI format that requests Type-3 HARQ-ACK codebook report and does not schedule a PDSCH reception through a PDCCH reception ending in DL slot , as described in clause 9.1.4, the UE provides corresponding HARQ-ACK information in a PUCCH transmission within UL slot , where is the last UL slot for PUCCH transmission that overlaps with slot and is a number of slots and is indicated by the PDSCH-to-HARQ\_feedback timing indicator field in the DCI format, if present, or provided by *dl-DataToUL-ACK*, *dl-DataToUL-ACK-r16*, or *dl-DataToUL-ACKForDCIFormat1\_2*.

A PUCCH transmission with HARQ-ACK information is subject to the limitations for UE transmissions described in clause 11.1 and clause 11.1.1.

Table 9.2.3-1: Mapping of PDSCH-to-HARQ\_feedback timing indicator field values to numbers of slots

|  |  |  |  |
| --- | --- | --- | --- |
| PDSCH-to-HARQ\_feedback timing indicator | | | Number of slots |
| 1 bit | 2 bits | 3 bits |  | |
| '0' | '00' | '000' | 1st value provided by *dl-DataToUL-ACK*, *dl-DataToUL-ACK-r16*, or *dl-DataToUL-ACKForDCIFormat1\_2* | |
| '1' | '01' | '001' | 2nd value provided by *dl-DataToUL-ACK*, *dl-DataToUL-ACK-r16*, or *dl-DataToUL-ACKForDCIFormat1\_2* | |
|  | '10' | '010' | 3rd value provided by *dl-DataToUL-ACK*, *dl-DataToUL-ACK-r16*, or *dl-DataToUL-ACKForDCIFormat1\_2* | |
|  | '11' | '011' | 4th value provided by *dl-DataToUL-ACK*, *dl-DataToUL-ACK-r16*, or *dl-DataToUL-ACKForDCIFormat1\_2* | |
|  |  | '100' | 5th value provided by *dl-DataToUL-ACK*, *dl-DataToUL-ACK-r16*, or *dl-DataToUL-ACKForDCIFormat1\_2* | |
|  |  | '101' | 6th value provided by *dl-DataToUL-ACK*, *dl-DataToUL-ACK-r16*, or *dl-DataToUL-ACKForDCIFormat1\_2* | |
|  |  | '110' | 7th value provided by *dl-DataToUL-ACK*, *dl-DataToUL-ACK-r16*, or *dl-DataToUL-ACKForDCIFormat1\_2* | |
|  |  | '111' | 8th value provided by *dl-DataToUL-ACK*, *dl-DataToUL-ACK-r16*, or *dl-DataToUL-ACKForDCIFormat1\_2* | |

For a PUCCH transmission with HARQ-ACK information, a UE determines a PUCCH resource on the cell of the PUCCH transmission, as described in clause 9.A, after determining a set of PUCCH resources for  HARQ-ACK information bits, as described in clause 9.2.1. The PUCCH resource determination is based on a PUCCH resource indicator field [5, TS 38.212], if present, in a last DCI format, among the DCI formats that have a value of a PDSCH-to-HARQ\_feedback timing indicator field, if present, or a value of *dl-DataToUL-ACK*, or *dl-DataToUL-ACK-r16*, or *dl-DataToUL-ACKForDCIFormat1\_2*, indicating a same slot for the PUCCH transmission, that the UE detects and for which the UE transmits corresponding HARQ-ACK information in the PUCCH where, for PUCCH resource determination, detected DCI formats are first indexed in an ascending order across serving cells indexes for a same PDCCH monitoring occasion and are then indexed in an ascending order across PDCCH monitoring occasion indexes. For indexing DCI formats within a serving cell for a same PDCCH monitoring occasion, if the UE is not provided *coresetPoolIndex* or is provided *coresetPoolIndex* with value 0 for one or more first CORESETs and is provided *coresetPoolIndex* with value 1 for one or more second CORESETs on an active DL BWP of a serving cell, and with *ackNackFeedbackMode* = *joint* for the active UL BWP, detected DCI formats from PDCCH receptions in the first CORESETs are indexed prior to detected DCI formats from PDCCH receptions in the second CORESETs.

The PUCCH resource indicator field values map to values of a set of PUCCH resource indexes, as defined in Table 9.2.3-2 for a PUCCH resource indicator field of 3 bits, provided by *resourceList* for PUCCH resources from a set of PUCCH resources provided by *PUCCH-ResourceSet* with a maximum of eight PUCCH resources. If the PUCCH resource indicator field includes 1 bit or 2 bits, the values map to the first two values or the first four values, respectively, of Table 9.2.3-2. If the last DCI format does not include a PUCCH resource indicator field, the first value of Table 9.2.3-2 is used.

For the first set of PUCCH resources and when the size  of *resourceList* is larger than eight, when a UE provides HARQ-ACK information in a PUCCH transmission in response to detecting a last DCI format in a PDCCH reception, among DCI formats with a value of the PDSCH-to-HARQ\_feedback timing indicator field, if present, or a value of *dl-DataToUL-ACK*, or *dl-DataToUL-ACK-r16*, or *dl-DataToUL-ACKForDCIFormat1\_2*, indicating a same slot for the PUCCH transmission, the UE determines a PUCCH resource with index **, , as



where  is a number of CCEs in CORESET  of the PDCCH reception for the DCI format as described in clause 10.1,  is the index of a first CCE for the PDCCH reception, and is a value of the PUCCH resource indicator field in the DCI format. If the DCI format does not include a PUCCH resource indicator field, .

Table 9.2.3-2: Mapping of PUCCH resource indication field values to a PUCCH resource in a PUCCH resource set with maximum 8 PUCCH resources

|  |  |  |  |
| --- | --- | --- | --- |
| PUCCH resource indicator | | | PUCCH resource |
| 1 bit | 2 bits | 3 bits |  | |
| '0' | '00' | '000' | 1st PUCCH resource provided by *pucch-ResourceId* obtained from the 1st value of *resourceList* | |
| '1' | '01' | '001' | 2nd PUCCH resource provided by *pucch-ResourceId* obtained from the 2nd value of *resourceList* | |
|  | '10' | '010' | 3rd PUCCH resource provided by *pucch-ResourceId* obtained from the 3rd value of *resourceList* | |
|  | '11' | '011' | 4th PUCCH resource provided by *pucch-ResourceId* obtained from the 4th value of *resourceList* | |
|  |  | '100' | 5th PUCCH resource provided by *pucch-ResourceId* obtained from the 5th value of *resourceList* | |
|  |  | '101' | 6th PUCCH resource provided by *pucch-ResourceId* obtained from the 6th value of *resourceList* | |
|  |  | '110' | 7th PUCCH resource provided by *pucch-ResourceId* obtained from the 7th value of *resourceList* | |
|  |  | '111' | 8th PUCCH resource provided by *pucch-ResourceId* obtained from the 8th value of *resourceList* | |

If a UE determines a first resource for a PUCCH transmission with HARQ-ACK information corresponding only to a PDSCH reception without a corresponding PDCCH or detects a first DCI format indicating a first resource for a PUCCH transmission with corresponding HARQ-ACK information in a slot and also detects at a later time a second DCI format indicating a second resource for a PUCCH transmission with corresponding HARQ-ACK information in the slot, the UE does not expect to multiplex HARQ-ACK information corresponding to the second DCI format in a PUCCH resource in the slot if the PDCCH reception that includes the second DCI format is not earlier than  from the beginning of a first symbol of the first resource for PUCCH transmission in the slot where, and are defined in clause 4.1 of [4, TS 38.211] and corresponds to the smallest SCS configuration among the SCS configurations of the PDCCHs providing the DCI formats and the SCS configuration of the PUCCH. If *processingType2Enabled* of *PDSCH-ServingCellConfig* is set to *enable* for the serving cell with the second DCI format and for all serving cells with corresponding HARQ-ACK information multiplexed in the PUCCH transmission in the slot, for ,  for ,  for ; otherwise ,  for ,  for ,  for ,  for .

If a UE is not provided *SPS-PUCCH-AN-List* and transmits HARQ-ACK information corresponding only to a PDSCH reception without a corresponding PDCCH, a PUCCH resource for corresponding PUCCH transmission with HARQ-ACK information is provided by *n1PUCCH-AN*.

If a UE transmits a PUCCH with HARQ-ACK information using PUCCH format 0, the UE determines values  and  for computing a value of cyclic shift  [4, TS 38.211] where  is provided by *initialCyclicShift* of *PUCCH-format0* or, if *initialCyclicShift* is not provided, by the initial cyclic shift index as described in clause 9.2.1 and  is determined from the value of one HARQ-ACK information bit or from the values of two HARQ-ACK information bits as in Table 9.2.3-3 and Table 9.2.3-4, respectively.

Table 9.2.3-3: Mapping of values for one HARQ-ACK information bit to sequences for PUCCH format 0

|  |  |  |
| --- | --- | --- |
| HARQ-ACK Value | 0 | 1 |
| **Sequence cyclic shift** |  |  |

Table 9.2.3-4: Mapping of values for two HARQ-ACK information bits to sequences for PUCCH format 0

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| HARQ-ACK Value | {0, 0} | {0, 1} | {1, 1} | {1, 0} |
| **Sequence cyclic shift** |  |  |  |  |

If a UE transmits a PUCCH with HARQ-ACK information using PUCCH format 1, the UE is provided a value for  by *initialCyclicShift* of *PUCCH-format1* or, if *initialCyclicShift* is not provided, by the initial cyclic shift index as described in clause 9.2.1.

If a UE transmits a PUCCH with  HARQ-ACK information bits and  bits using PUCCH format 2 or PUCCH format 3 in a PUCCH resource that includes  PRBs, the UE determines a number of PRBs  for the PUCCH transmission to be the minimum number of PRBs, that is smaller than or equal to a number of PRBs  provided respectively by *nrofPRBs* of *PUCCH-format2* or *nrofPRBs* of *PUCCH-format3* and start from the first PRB from the number of PRBs, that results to  and, if , , where , , , and  are defined in clause 9.2.5.2. For PUCCH format 3, if  is not equal  according to [4, TS 38.211],  is increased to the nearest allowed value of *nrofPRBs* for *PUCCH-format3*[12, TS 38.331]. If , the UE transmits the PUCCH over  PRBs.

If a UE is provided a first interlace of PRBs by *interlace0* in *InterlaceAllocation* and transmits a PUCCH with HARQ-ACK information bits and bits using PUCCH format 2 or PUCCH format 3, the UE transmits the PUCCH over the first interlace if ; otherwise, if the UE is provided a second interlace by *interlace1* in *PUCCH-format2* or *PUCCH-format3*, the UE transmits the PUCCH over the first and second interlaces.

### 9.2.4 UE procedure for reporting SR

A UE can be configured by *SchedulingRequestResourceConfig* a set of configurations for SR in a PUCCH transmission using either PUCCH format 0 or PUCCH format 1. A UE can be configured by *schedulingRequestID-BFR-SCell* a configuration for LRR in a PUCCH transmission using either PUCCH format 0 or PUCCH format 1. A UE can be configured by *schedulingRequestID-LBT-SCell* a configuration for consistent LBT failure recovery, as described in [11, TS 38.321], in a PUCCH transmission using either PUCCH format 0 or PUCCH format 1. The UE can be provided, by *phy-PriorityIndex* in *SchedulingRequestResourceConfig*, a priority index 0 or a priority index 1 for the SR. If the UE is not provided a priority index for SR, the priority index is 0.

The UE is configured a PUCCH resource as described in [12, TS 38.331] providing a PUCCH format 0 resource or a PUCCH format 1 resource as described in clause 9.2.1. The UE is also configured a periodicity in symbols or slots and an offset in slots by *periodicityAndOffset* for a PUCCH transmission conveying SR. If is larger than one slot, the UE determines a SR transmission occasion in a PUCCH to be in a slot with number [4, TS 38.211] in a frame with number if .

If is one slot, the UE expects that and every slot is a SR transmission occasion in a PUCCH.

If is smaller than one slot, the UE determines a SR transmission occasion in a PUCCH to start in a symbol with index [4, TS 38.211] if where is the value of *startingSymbolIndex*.

If the UE determines that, for a SR transmission occasion in a PUCCH, the number of symbols available for the PUCCH transmission in a slot is smaller than the value provided by *nrofSymbols*, the UE does not transmit the PUCCH in the slot.

SR transmission occasions in a PUCCH are subject to the limitations for UE transmissions described in clause 11.1 and clause 11.1.1.

The UE transmits a PUCCH in the PUCCH resource for the corresponding SR configuration only when the UE transmits a positive SR. For a positive SR transmission using PUCCH format 0, the UE transmits the PUCCH as described in [4, TS 38.211] by obtaining as described for HARQ-ACK information in clause 9.2.3 and by setting . For a positive SR transmission using PUCCH format 1, the UE transmits the PUCCH as described in [4, TS 38.211] by setting .

### 9.2.5 UE procedure for reporting multiple UCI types

This clause is applicable to the case that a UE has resources for PUCCH transmissions or for PUCCH and PUSCH transmissions that overlap in time and each PUCCH transmission is over a single slot without repetitions. Any case that a PUCCH transmission is with repetitions over multiple slots is described in clause 9.2.6. If a UE is configured with multiple PUCCH resources in a slot to transmit CSI reports

- if the UE is not provided *multi-CSI-PUCCH-ResourceList* or if PUCCH resources for transmissions of CSI reports do not overlap in the slot, the UE determines a first resource corresponding to a CSI report with the highest priority [6, TS 38.214]

- if the first resource includes PUCCH format 2, and if there are remaining resources in the slot that do not overlap with the first resource, the UE determines a CSI report with the highest priority, among the CSI reports with corresponding resources from the remaining resources, and a corresponding second resource as an additional resource for CSI reporting

- if the first resource includes PUCCH format 3 or PUCCH format 4, and if there are remaining resources in the slot that include PUCCH format 2 and do not overlap with the first resource, the UE determines a CSI report with the highest priority, among the CSI reports with corresponding resources from the remaining resources, and a corresponding second resource as an additional resource for CSI reporting

- if the UE is provided *multi-CSI-PUCCH-ResourceList* and if any of the multiple PUCCH resources overlap, the UE multiplexes all CSI reports in a resource from the resources provided by *multi-CSI-PUCCH-ResourceList*, as described in clause 9.2.5.2.

A UE multiplexes DL HARQ-ACK information, with or without SR, and CSI report(s) in a same PUCCH if the UE is provided *simultaneousHARQ-ACK-CSI*; otherwise, the UE drops the CSI report(s) and includes only DL HARQ-ACK information, with or without SR, in the PUCCH. If the UE would transmit multiple PUCCHs in a slot that include DL HARQ-ACK information and CSI report(s), the UE expects to be provided a same configuration for *simultaneousHARQ-ACK-CSI* each of PUCCH formats 2, 3, and 4.

If a UE would multiplex CSI reports that include Part 2 CSI reports in a PUCCH resource, the UE determines the PUCCH resource and a number of PRBs for the PUCCH resource or a number of Part 2 CSI reports assuming that each of the CSI reports indicates rank 1.

If a UE would transmit multiple overlapping PUCCHs in a slot or overlapping PUCCH(s) and PUSCH(s) in a slot and, when applicable as described in clauses 9.2.5.1 and 9.2.5.2, the UE is configured to multiplex different UCI types in one PUCCH, and at least one of the multiple overlapping PUCCHs or PUSCHs is in response to a DCI format detection by the UE, the UE multiplexes all corresponding UCI types if the following conditions are met. If one of the PUCCH transmissions or PUSCH transmissions is in response to a DCI format detection by the UE, the UE expects that the first symbol of the earliest PUCCH or PUSCH, among a group overlapping PUCCHs and PUSCHs in the slot, satisfies the following timeline conditions

- is not before a symbol with CP starting after after a last symbol of any corresponding PDSCH, is given by maximum of where for the i-th PDSCH with corresponding HARQ-ACK transmission on a PUCCH which is in the group of overlapping PUCCHs and PUSCHs, , is selected for the i-th PDSCH following [6, TS 38.214], is selected based on the UE PDSCH processing capability of the i-th PDSCH and SCS configuration , where corresponds to the smallest SCS configuration among the SCS configurations used for the PDCCH scheduling the i-th PDSCH, the i-th PDSCH, the PUCCH with corresponding HARQ-ACK transmission for the i-th PDSCH, and all PUSCHs in the group of overlapping PUCCHs and PUSCHs.

- is not before a symbol with CP starting after after a last symbol of a PDCCH reception providing a DCI format associated with HARQ-ACK information without scheduling a PDSCH reception. is given by maximum of where for the i-th PDCCH providing the DCI format with corresponding HARQ-ACK transmission on a PUCCH which is in the group of overlapping PUCCHs and PUSCHs, , as described in clause 10.2, where corresponds to the smallest SCS configuration among the SCS configurations used for the PDCCH, the PUCCH with corresponding HARQ-ACK information, and all PUSCHs in the group of overlapping PUCCHs and PUSCHs.

- if there is no aperiodic CSI report multiplexed in a PUSCH in the group of overlapping PUCCHs and PUSCHs, is not before a symbol with CP starting after after a last symbol of

- any PDCCH with the DCI format scheduling an overlapping PUSCH, and

- any PDCCH providing a DCI format with corresponding HARQ-ACK information in an overlapping PUCCH in the slot

If there is at least one PUSCH in the group of overlapping PUCCHs and PUSCHs, is given by maximum of where for the i-th PUSCH which is in the group of overlapping PUCCHs and PUSCHs, , , and are selected for the i-th PUSCH following [6, TS 38.214], is selected based on the UE PUSCH processing capability of the i-th PUSCH and SCS configuration , where  corresponds to the smallest SCS configuration among the SCS configurations used for the PDCCH scheduling the i-th PUSCH, the PDCCHs scheduling the PDSCHs, or providing the DCI formats without scheduling PDSCHs, with corresponding HARQ-ACK transmission on a PUCCH which is in the group of overlapping PUCCHs/PUSCHs, and all PUSCHs in the group of overlapping PUCCHs and PUSCHs.

If there is no PUSCH in the group of overlapping PUCCHs and PUSCHs, is given by maximum of where for the i-th PDSCH, or the i-th PDCCH providing a DCI format without scheduling PDSCH, with corresponding HARQ-ACK information on a PUCCH which is in the group of overlapping PUCCHs, , is selected based on the UE PUSCH processing capability of the PUCCH serving cell if configured. is selected based on the UE PUSCH processing capability 1, if PUSCH processing capability is not configured for the PUCCH serving cell. is selected based on the smallest SCS configuration between the SCS configuration used for the PDCCH scheduling the i-th PDSCH, or providing the i-th DCI format without scheduling PDSCH, with corresponding HARQ-ACK information on a PUCCH which is in the group of overlapping PUCCHs, and the SCS configuration for the PUCCH serving cell.

- if there is an aperiodic CSI report multiplexed in a PUSCH in the group of overlapping PUCCHs and PUSCHs, is not before a symbol with CP starting after after a last symbol of

- any PDCCH with the DCI format scheduling an overlapping PUSCH, and

- any PDCCH scheduling a PDSCH, or providing a DCI format without scheduling PDSCH, with corresponding HARQ-ACK information in an overlapping PUCCH in the slot

where corresponds to the smallest SCS configuration among the SCS configuration of the PDCCHs, the smallest SCS configuration for the group of the overlapping PUSCHs, and the smallest SCS configuration of CSI-RS associated with the DCI format scheduling the PUSCH with the multiplexed aperiodic CSI report, and for , for , and for . is defined in [6, TS 38.214] and it is applied only if of table 5.4-1 in [6, TS 38.214] is applied to the determination of .

- , , , , , and are defined in [6, TS 38.214] and and are defined in [4, TS 38.211].

If a UE would transmit multiple overlapping PUCCHs in a slot or overlapping PUCCH(s) and PUSCH(s) in a slot, one of the PUCCHs includes HARQ-ACK information in response to an SPS PDSCH reception, and any PUSCH is not in response to a DCI format detection, the UE expects that the first symbol of the earliest PUCCH or PUSCH satisfies the first of the previous timeline conditions with the exception that components associated to a SCS configuration for a PDCCH scheduling a PDSCH or a PUSCH are absent from the timeline conditions.

A UE does not expect a PUCCH or a PUSCH that is in response to a DCI format detection to overlap with any other PUCCH or PUSCH that does not satisfy the above timing conditions.

A UE that

- is not provided *coresetPoolIndex* or is provided *coresetPoolIndex* with a value of 0 for first CORESETs on active DL BWPs of serving cells, and

- is provided *coresetPoolIndex* with a value of 1 for second CORESETs on active DL BWPs of the serving cells, and

- is provided *ackNackFeedbackMode* = *separate*

does not expect a PUCCH or a PUSCH transmission triggered by a detection of a DCI format in a PDCCH received in a CORESET from the first CORESETs to overlap in time with a PUCCH or a PUSCH transmission triggered by a detection of a DCI format in a PDCCH received in a CORESET from the second CORESETs.

If there is one or more aperiodic CSI reports multiplexed on a PUSCH in the group of overlapping PUCCHs and PUSCHs and if symbol is before symbol that is a next uplink symbol with CP starting after after the end of the last symbol of

- the last symbol of aperiodic CSI-RS resource for channel measurements, and

- the last symbol of aperiodic CSI-IM used for interference measurements, and

- the last symbol of aperiodic NZP CSI-RS for interference measurements, when aperiodic CSI-RS is used for channel measurement for triggered CSI report

the UE is not required to update the CSI report for the triggered CSI report *.* is defined in [6, TS 38.214] and corresponds to the smallest SCS configuration among the SCS configurations of the PDCCHs scheduling the PUSCHs, the smallest SCS configuration of aperiodic CSI-RSs associated with DCI formats provided by the PDCCHs triggering the aperiodic CSI reports, and the smallest SCS configuration of the overlapping PUCCHs and PUSCHs and for , for , and for .

If a UE would transmit multiple PUCCHs in a slot that include HARQ-ACK information, and/or SR, and/or CSI reports and any PUCCH with HARQ-ACK information in the slot satisfies the above timing conditions and does not overlap with any other PUCCH or PUSCH in the slot that does not satisfy the above timing conditions, the UE multiplexes the HARQ-ACK information, and/or SR, and/or CSI reports and determines corresponding PUCCH(s) for transmission in the slot according to the following pseudo-code. If the multiple PUCCHs do not include HARQ-ACK information and do not overlap with any PUSCH transmission by the UE in response to a DCI format detection by the UE, the timing conditions do not apply.

If

- a UE is not provided *multi-CSI-PUCCH-ResourceList*, and

- a resource for a PUCCH transmission with HARQ-ACK information in response to SPS PDSCH reception and/or a resource for a PUCCH associated with a SR occasion overlap in time with two resources for respective PUCCH transmissions with two CSI reports, and

- there is no resource for a PUCCH transmission with HARQ-ACK information in response to a DCI format detection that overlaps in time with any of the previous resources, and

- the following pseudo code results to the UE attempting to determine a single PUCCH resource from the HARQ-ACK and/or the SR resource and the two PUCCH resources with CSI reports

the UE

- multiplexes the HARQ-ACK information and/or the SR in the resource for the PUCCH transmission with the CSI report having the higher priority, and

- does not transmit the PUCCH with the CSI report having the lower priority

Set  to the set of resources for transmission of corresponding PUCCHs in a single slot without repetitions where

- a resource with earlier first symbol is placed before a resource with later first symbol

- for two resources with same first symbol, the resource with longer duration is placed before the resource with shorter duration

- for two resources with same first symbol and same duration, the placement is arbitrary

- the above three steps for the set  are according to a subsequent pseudo-code for a function 

- a resource for negative SR transmission that does not overlap with a resource for HARQ-ACK or CSI transmission is excluded from set 

- if the UE is not provided *simultaneousHARQ-ACK-CSI* and resources for transmission of HARQ-ACK information include PUCCH format 0 or PUCCH format 2, resources that include PUCCH format 2, or PUCCH format 3, or PUCCH format 4 for transmission of CSI reports are excluded from the set  if they overlap with any resource from the resources for transmission of HARQ-ACK information

- if the UE is not provided *simultaneousHARQ-ACK-CSI* and at least one of the resources for transmission of HARQ-ACK information includes PUCCH format 1, PUCCH format 3, or PUCCH format 4

- resources that include PUCCH format 3 or PUCCH format 4 for transmission of CSI reports are excluded from the set 

- resources that include PUCCH format 2 for transmission of CSI reports are excluded from the set  if they overlap with any resource from the resources for transmission of HARQ-ACK information

Set  to the cardinality of 

Set to be the first symbol of resource  in the slot

Set  to be the number of symbols of resource  in the slot

Set  - index of first resource in set 

Set  - counter of overlapped resources

while 

if  and resource  overlaps with resource 





else

if 

determine a single resource for multiplexing UCI associated with resources  as described in clauses 9.2.5.0, 9.2.5.1 and 9.2.5.2

set the index of the single resource to 



 % start from the beginning after reordering unmerged resources at next step



 % function that re-orders resources in current set 

Set  to the cardinality of 

else



end if

end if

end while

The function  performs the following pseudo-code

{



while  % the next two while loops are to re-order the unmerged resources



while 

if  OR 







end if



end while



end while

}

For each PUCCH resource in the set  that satisfies the aforementioned timing conditions, when applicable,

- the UE transmits a PUCCH using the PUCCH resource if the PUCCH resource does not overlap in time with a PUSCH transmission after multiplexing UCI following the procedures described in clauses 9.2.5.1 and 9.2.5.2

- the UE multiplexes HARQ-ACK information and/or CSI reports in a PUSCH if the PUCCH resource overlaps in time with a PUSCH transmission, as described in clause 9.3, and does not transmit SR. In case the PUCCH resource overlaps in time with multiple PUSCH transmissions, the PUSCH for multiplexing HARQ-ACK information and/or CSI is selected as described in clause 9. If the PUSCH transmission by the UE is not in response to a DCI format detection and the UE multiplexes only CSI reports, the timing conditions are not applicable

- the UE does not expect the resource to overlap with a second resource of a PUCCH transmission over multiple slots if the resource is obtained from a group of resources that do not overlap with the second resource.

clauses 9.2.5.0, 9.2.5.1 and 9.2.5.2 assume the following

- resources for transmissions of UCI types, prior to multiplexing or dropping, overlap in a slot

- multiplexing conditions of corresponding UCI types in a single PUCCH are satisfied, and

- the UE does not transmit any PUSCH time-overlapping with PUCCH in the slot.

\*\*\* Unchanged text is omitted \*\*\*

#### 9.2.5.3 UE procedure for reporting UCI of different priorities

If a UE

- is provided *PUCCH-ConfigurationList* for PUCCH transmissions with priority 0 and 1,

- is provided *pucch-HARQ-ACK-MuxWithDifferentPriority*, and

- would transmit overlapping PUCCHs that include a PUCCH with HARQ-ACK information bits of priority 0 and a PUCCH with HARQ-ACK information bits of priority 1

the UE

- determines

- a PUCCH resource set from the second *PUCCH-Config* using as described in clause 9.2.1, and a PUCCH resource from the PUCCH resource set as described in clause 9.2.3 where a DCI format, if any, triggers PUCCH transmission of priority 1, or

- a PUCCH resource from the second *sps-PUCCH-AN-List* using as described in clause 9.2.1, and

- multiplexes the and HARQ-ACK information bits in a same PUCCH using the PUCCH resource.

If the PUCCH resource includes PUCCH format 3 and PRBs, the UE determines a number of PRBs for the PUCCH transmission to be the minimum number of PRBs that starts from the first PRB from the PRBs and results to

where or is a number of CRC bits, if any, for encoding the or the HARQ-ACK information bits, respectively, is provided by *maxCodeRateLP*, and the remaining parameters are as defined in clause 9.2.5.2 with . If is not equal to [4, TS 38.211], is increased to a nearest value that is equal to and does not exceed *nrofPRBs*.

#### 9.2.5.4 UE procedure for deferring HARQ-ACK for SPS PDSCH

If a UE is provided *spsHARQdeferral* and, after performing the procedures in clauses 9 and 9.2.5 to resolve overlapping among PUCCHs and PUSCHs in a first slot, the UE determines a PUCCH resource for a PUCCH transmission with first HARQ-ACK information bits for SPS PDSCH receptions that the UE would report for a first time, and the PUCCH resource

- is provided by *SPS-PUCCH-AN-List* as described in clause 9.2.1, or is *n1PUCCH-AN* if *SPS-PUCCH-AN-List* is not provided

- overlaps with a symbol indicated as downlink by *tdd-UL-DL-ConfigurationCommon* or *tdd-UL-DL-ConfigDedicated*, or indicated for a SS/PBCH block by *ssb-PositionsInBurst*

the UE

- determines, after performing the procedures in clauses 9 and 9.2.5 to resolve overlapping among PUCCHs and PUSCHs, a PUSCH or a PUCCH in an earliest second slot to multiplex HARQ-ACK information bits that include second HARQ-ACK information bits from the first HARQ-ACK information bits

- the second HARQ-ACK information bits correspond to SPS PDSCH configurations with *spsHARQdeferral* values that are larger than or equal to a time difference between the second slot and the slot of the SPS PDSCH reception, if any

- the PUCCH does not have any symbol that overlaps with a symbol indicated as downlink by *tdd-UL-DL-ConfigurationCommon* or *tdd-UL-DL-ConfigDedicated*, or indicated for a SS/PBCH block by *ssb-PositionsInBurst* if the resource of the PUCCH is provided by *SPS-PUCCH-AN-List* as described in clause 9.2.1, or is *n1PUCCH-AN* if *SPS-PUCCH-AN-List* is not provided

- the second HARQ-ACK information bits are appended in a HARQ-ACK codebook the UE generates as described in clauses 9.1.2, 9.1.2.1, or 9.1.3.1

- if the UE would receive a PDSCH providing a TB for a same HARQ process as a HARQ-ACK information bit from the second HARQ-ACK information bits prior to transmitting the PUCCH or the PUSCH, the UE does not include the HARQ-ACK information bit in the HARQ-ACK information bits

### 9.2.6 PUCCH repetition procedure

For PUCCH formats 1, 3, or 4, a UE can be configured a number of slots, , for repetitions of a PUCCH transmission by respective *nrofSlots*.

For ,

- the UE repeats the PUCCH transmission with the UCI over slots

- a PUCCH transmission in each of the slots has a same number of consecutive symbols, as provided by *nrofSymbols* in *PUCCH-format1*, *nrofSymbols* in *PUCCH-format3*, or *nrofSymbols* in *PUCCH-format4*

- a PUCCH transmission in each of the slots has a same first symbol, as provided by *startingSymbolIndex* in *PUCCH-format1*, *startingSymbolIndex* in *PUCCH-format3*, or *startingSymbolIndex* in *PUCCH-format4*

- the UE is configured by *interslotFrequencyHopping* whether or not to perform frequency hopping for PUCCH transmissions in different slots

- if the UE is configured to perform frequency hopping for PUCCH transmissions across different slots

- the UE performs frequency hopping per slot

- the UE transmits the PUCCH starting from a first PRB, provided by *startingPRB*, in slots with even number and starting from the second PRB, provided by *secondHopPRB*, in slots with odd number. The slot indicated to the UE for the first PUCCH transmission has number 0 and each subsequent slot until the UE transmits the PUCCH in slots is counted regardless of whether or not the UE transmits the PUCCH in the slot

- the UE does not expect to be configured to perform frequency hopping for a PUCCH transmission within a slot

- If the UE is not configured to perform frequency hopping for PUCCH transmissions across different slots and if the UE is configured to perform frequency hopping for a PUCCH transmission within a slot, the frequency hopping pattern between the first PRB and the second PRB is same within each slot

If the UE determines that, for a PUCCH transmission in a slot, the number of symbols available for the PUCCH transmission is smaller than the value provided by *nrofSymbols* for the corresponding PUCCH format, the UE does not transmit the PUCCH in the slot.

A SS/PBCH block symbol is a symbol of an SS/PBCH block with candidate SS/PBCH block index corresponding to the SS/PBCH block index indicated to a UE by *ssb-PositionsInBurst* in *SIB1* or *ssb-PositionsInBurst* in *ServingCellConfigCommon*, as described in clause 4.1.

For unpaired spectrum, the UE determines the slots for a PUCCH transmission starting from a slot indicated to the UE as described in clause 9.2.3 for HARQ-ACK reporting, or a slot determined as described in clause 9.2.4 for SR reporting or in clause 5.2.1.4 of [6, TS 38.214] for CSI reporting and having

- an UL symbol, as described in clause 11.1, or flexible symbol that is not SS/PBCH block symbol provided by *startingSymbolIndex* in *PUCCH-format1*, or in *PUCCH-format3*, or in *PUCCH-format4* as a first symbol, and

- consecutive UL symbols, as described in clause 11.1, or flexible symbols that are not SS/PBCH block symbols, starting from the first symbol, equal to or larger than a number of symbols provided by *nrofsymbols* in *PUCCH-format1*, or in *PUCCH-format3*, or in *PUCCH-format4*

For paired spectrum or supplementary uplink band, the UE determines the slots for a PUCCH transmission as the consecutive slots starting from a slot indicated to the UE as described in clause 9.2.3 for HARQ-ACK reporting, or a slot determined as described in clause 9.2.4 for SR reporting or in clause 5.2.1.4 of [6, TS 38.214] for CSI reporting.

If a UE would transmit a PUCCH over a first number of slots and the UE would transmit a PUSCH with repetition Type A over a second number of slots, and the PUCCH transmission would overlap with the PUSCH transmission in one or more slots, and the conditions in clause 9.2.5 for multiplexing the UCI in the PUSCH are satisfied in the overlapping slots, the UE transmits the PUCCH and does not transmit the PUSCH in the overlapping slots.

If a UE would transmit a PUCCH over a first number of slots and the UE would transmit a PUSCH with repetition Type B over a second number of slots, and the PUCCH transmission would overlap with actual PUSCH repetitions in one or more slots, and the conditions in clause 9.2.5 for multiplexing the UCI in the PUSCH are satisfied for the overlapping actual PUSCH repetitions, the UE transmits the PUCCH and does not transmit the overlapping actual PUSCH repetitions.

A UE does not multiplex different UCI types in a PUCCH transmission with repetitions over slots. If a UE would transmit a first PUCCH over more than one slot and at least a second PUCCH over one or more slots, and the transmissions of the first PUCCH and the second PUCCH would overlap in a number of slots then, for each slot of the number of slots and with UCI type priority of HARQ-ACK > SR > CSI with higher priority > CSI with lower priority

- the UE does not expect the first PUCCH and any of the second PUCCHs to start at a same slot and include a UCI type with same priority

- if the first PUCCH and any of the second PUCCHs include a UCI type with same priority, the UE transmits the PUCCH starting at an earlier slot and does not transmit the PUCCH starting at a later slot

- if the first PUCCH and any of the second PUCCHs do not include a UCI type with same priority, the UE transmits the PUCCH that includes the UCI type with higher priority and does not transmit the PUCCH that include the UCI type with lower priority

A UE does not expect a PUCCH that is in response to a DCI format detection to overlap with any other PUCCH that does not satisfy the corresponding timing conditions in clause 9.2.5.

If a UE would transmit a PUCCH over slots and the UE does not transmit the PUCCH in a slot from the slots due to overlapping with another PUCCH transmission in the slot, the UE counts the slot in the number of slots.

For DAPS operation, if a UE would transmit a PUCCH over slots on the source MCG and the UE does not transmit the PUCCH in a slot from the slots due to overlapping in time with UE transmission on the target MCG in the slot, the UE counts the slot in the number of slots.

## 9.3 UCI reporting in physical uplink shared channel

Offset values are defined for a UE to determine a number of resources for multiplexing HARQ-ACK information and for multiplexing CSI reports in a PUSCH. Offset values are also defined for multiplexing CG-UCI [5, TS 38.212] in a CG-PUSCH. The offset values are signalled to a UE either by a DCI format scheduling the PUSCH transmission or by higher layers.

If a DCI format that does not include a beta\_offset indicator field schedules the PUSCH transmission from the UE and the UE is provided *betaOffsets = 'semiStatic'*, the UE applies the , , and values that are provided by *betaOffsets = 'semiStatic'* for the corresponding HARQ-ACK information, Part 1 CSI reports and Part 2 CSI reports. If the PUSCH transmission has priority 0 or priority 1 and the UE is configured by *pusch-HARQ-ACK-MuxWithDifferentPriority* to multiplex HARQ-ACK information of priority 1 or priority 0, respectively, and if the UE multiplexes HARQ-ACK information of priority 1 or priority 0, the UE applies corresponding or provided by *betaOffset-CrossPri1* *= 'semiStatic'* or *betaOffset-CrossPri0 = 'semiStatic'*, respectively.

If the PUSCH transmission is with a configured grant and the UE is provided *CG-UCI-OnPUSCH= 'semiStatic'*, the UE applies the , , and values that are provided by *CG-UCI-OnPUSCH = 'semiStatic'* for the corresponding HARQ-ACK information, Part 1 CSI reports and Part 2 CSI reports. If the PUSCH transmission has priority 0 or priority 1 and the UE is configured by *pusch-HARQ-ACK-MuxWithDifferentPriority* to multiplex HARQ-ACK information of priority 1 or priority 0, respectively, the UE applies corresponding or provided by *betaOffset-CrossPri0* *= 'semiStatic'* or *betaOffset-CrossPri1 = 'semiStatic'*, respectively.

If the PUSCH transmission is scheduled by DCI format 0\_0 and the UE is provided *betaOffsets = 'dynamic'*, the UE applies the , , and values that are determined from the first value of *betaOffsets = 'dynamic'*. If the PUSCH transmission has priority 0 or priority 1 and the UE is configured by *pusch-HARQ-ACK-MuxWithDifferentPriority* to multiplex HARQ-ACK information of priority 1 or priority 0, respectively, the UE applies corresponding or provided by the first value of *betaOffset-CrossPri0* *= 'dynamic'* or *betaOffset-CrossPri1* *= 'dynamic'*, respectively.

If the PUSCH transmission is a configured grant Type 2 PUSCH activated by DCI format 0\_0 and the UE is provided *CG-UCI-OnPUSCH* =*'dynamic'*, the UE applies the , , and values that are determined from the first value of *CG-UCI-OnPUSCH = 'dynamic'*. If the PUSCH transmission has priority 0 or priority 1 and the UE is configured by *pusch-HARQ-ACK-MuxWithDifferentPriority* to multiplex HARQ-ACK information of priority 1 or priority 0, respectively, the UE applies corresponding or provided by the first value of *betaOffset-CrossPri1* *= 'dynamic'* or *betaOffset-CrossPri0* *= 'dynamic'*, respectively.

HARQ-ACK information offsets are configured to values according to Table 9.3-1. The *betaOffsetACK-Index1*, *betaOffsetACK-Index2*, and *betaOffsetACK-Index3* respectively provide indexes , , and for the UE to use if the UE multiplexes up to 2 HARQ-ACK information bits, more than 2 and up to 11 HARQ-ACK information bits, and more than 11 bits in the PUSCH, respectively.

Offsets for multiplexing HARQ-ACK information with priority 0 in a PUSCH transmission with priority 1 are configured to values according to Table 9.3-1A. The *betaOffsetACKPri0-Index1*, *betaOffsetACKPri0-Index2*, and *betaOffsetACKPri0-Index3* respectively provide indexes , , and for the UE to use if the UE multiplexes up to 2 bits, more than 2 and up to 11 bits, and more than 11 bits of HARQ-ACK information with priority 0 in the PUSCH transmission with priority 1, respectively.

Offsets for multiplexing HARQ-ACK information with priority 1 in a PUSCH transmission with priority 0 are configured to values according to Table 9.3-1B. The *betaOffsetACKPri1-Index1*, *betaOffsetACKPri1-Index2*, and *betaOffsetACKPri1-Index3* respectively provide indexes , , and for the UE to use if the UE multiplexes up to 2 bits, more than 2 and up to 11 bits, and more than 11 bits of HARQ-ACK information with priority 1 in the PUSCH transmission with priority 0, respectively.

Part 1 CSI report and Part 2 CSI report offsets and , respectively, are configured to values according to Table 9.3-2. The *betaOffsetCSI-Part1-Index1* and *betaOffsetCSI-Part2-Index1* respectively provide indexes and for the UE to use if the UE multiplexes up to 11 bits for Part 1 CSI reports or Part 2 CSI reports in the PUSCH. The *betaOffsetCSI-Part1-Index2* and *betaOffsetCSI-Part2-Index2* respectively provide indexes or for the UE to use if the UE multiplexes more than 11 bits for Part 1 CSI reports or Part 2 CSI reports in the PUSCH.

If a DCI format that includes a beta\_offset indicator field with one bit or two bits, as configured by *uci-OnPUSCH*, schedules the PUSCH transmission from the UE, the UE is provided by each of {*betaOffsetACK-Index1*, *betaOffsetACK-Index2*, *betaOffsetACK-Index3*}, {*betaOffsetACKPri0-Index1*, *betaOffsetACKPri0-Index2*, *betaOffsetACKPri0-Index3*}, and {*betaOffsetACKPri1-Index1*, *betaOffsetACKPri1-Index2*, *betaOffsetACKPri1-Index3*} a set of two or four , , and indexes from Tables 9.3-1, 9.3-1A, and 9.3-1B, respectively, for multiplexing HARQ-ACK information in the PUSCH transmission and by each of {*betaOffsetCSI-Part1-Index1*, *betaOffsetCSI-Part1-Index2*} a set of two or four indexes, and by each of {*betaOffsetCSI-Part2-Index1*, *betaOffsetCSI-Part2-Index2*} a set of two or four indexes from Table 9.3-2, respectively, for multiplexing Part 1 CSI reports and Part 2 CSI reports, respectively, in the PUSCH transmission. The beta\_offset indicator field indicates a value and/or a value, and/or a value, a value and a value from the respective sets of values, with the mapping defined in Table 9.3-3 and in Table 9.3-3A.

For a PUSCH transmission that is configured by a *ConfiguredGrantConfig* and includes CG-UCI, the UE multiplexes CG-UCI in the PUSCH transmission if the UE is provided by *betaOffsetCG-UCI* a value, from a set of values, with the mapping defined in Table 9.3-1. If the UE is provided *cg-UCI-Multiplexing* and multiplexes HARQ-ACK information in the PUSCH transmission, as described in clauses 9 and 9.2.5, the UE jointly encodes the HARQ-ACK information and the CG-UCI [5, TS 38.212] and determines a number of resources for multiplexing the combined information in a PUSCH using which provides indexes and for the UE to use if the UE multiplexes up to 11, and more than 11 combined information bits, respectively.

Table 9.3-1: Mapping of beta\_offset values for HARQ-ACK information and/or for CG-UCI and the index signalled by higher layers

|  |  |
| --- | --- |
| or or or | or |
| 0 | 1.000 |
| 1 | 2.000 |
| 2 | 2.500 |
| 3 | 3.125 |
| 4 | 4.000 |
| 5 | 5.000 |
| 6 | 6.250 |
| 7 | 8.000 |
| 8 | 10.000 |
| 9 | 12.625 |
| 10 | 15.875 |
| 11 | 20.000 |
| 12 | 31.000 |
| 13 | 50.000 |
| 14 | 80.000 |
| 15 | 126.000 |
| 16 | Reserved |
| 17 | Reserved |
| 18 | Reserved |
| 19 | Reserved |
| 20 | Reserved |
| 21 | Reserved |
| 22 | Reserved |
| 23 | Reserved |
| 24 | Reserved |
| 25 | Reserved |
| 26 | Reserved |
| 27 | Reserved |
| 28 | Reserved |
| 29 | Reserved |
| 30 | Reserved |
| 31 | Reserved |

Table 9.3-1A: Mapping of beta\_offset values for HARQ-ACK information with priority 0 in a PUSCH transmission with priority 1 and the index signalled by higher layers

|  |  |
| --- | --- |
| or or |  |
| 0 |  |
| 1 |  |
| 2 |  |
| 3 |  |
| … |  |

Table 9.3-1B: Mapping of beta\_offset values for HARQ-ACK information with priority 1 in a PUSCH transmission with priority 0 and the index signalled by higher layers

|  |  |
| --- | --- |
| or or |  |
| 0 |  |
| 1 |  |
| 2 |  |
| 3 |  |
| … |  |

Table 9.3-2: Mapping of beta\_offset values for CSI and the index signalled by higher layers

|  |  |
| --- | --- |
| or  or |  |
| 0 | 1.125 |
| 1 | 1.250 |
| 2 | 1.375 |
| 3 | 1.625 |
| 4 | 1.750 |
| 5 | 2.000 |
| 6 | 2.250 |
| 7 | 2.500 |
| 8 | 2.875 |
| 9 | 3.125 |
| 10 | 3.500 |
| 11 | 4.000 |
| 12 | 5.000 |
| 13 | 6.250 |
| 14 | 8.000 |
| 15 | 10.000 |
| 16 | 12.625 |
| 17 | 15.875 |
| 18 | 20.000 |
| 19 | Reserved |
| 20 | Reserved |
| 21 | Reserved |
| 22 | Reserved |
| 23 | Reserved |
| 24 | Reserved |
| 25 | Reserved |
| 26 | Reserved |
| 27 | Reserved |
| 28 | Reserved |
| 29 | Reserved |
| 30 | Reserved |
| 31 | Reserved |

Table 9.3-3: Mapping of four beta\_offset indicator values to offset indexes

|  |  |
| --- | --- |
| beta\_offset indicator | ( or or ),  ( or or ),  ( or or ),  ( or ), ( or ) |
| '00' | 1st offset index provided by higher layers |
| '01' | 2nd offset index provided by higher layers |
| '10' | 3rd offset index provided by higher layers |
| '11' | 4th offset index provided by higher layers |

Table 9.3-3A: Mapping of two beta\_offset indicator values to offset indexes

|  |  |
| --- | --- |
| **beta\_offset indicator** | **( or or ),**  ( or or ),  ( or or ),  **( or ), ( or )** |
| '0' | 1st offset index provided by higher layers |
| '1' | 2nd offset index provided by higher layers |

\*\*\* Unchanged text is omitted \*\*\*

## 11.3 Group TPC commands for PUCCH/PUSCH

For PUCCH transmission on a serving cell, a UE can be provided

- a TPC-PUCCH-RNTI for a DCI format 2\_2 by *tpc-PUCCH-RNTI*

- a field in DCI format 2\_2 is a TPC command of 2 bits mapping to values as described in clause 7.2.1- an index for a location in DCI format 2\_2 of a first bit for a TPC command field for the PCell, or for a carrier of the PCell by *tpc-IndexPCell*

- an index for a location in DCI format 2\_2 of a first bit for a TPC command field for a PUCCH-sSCell, *tpc-IndexsScell*

- an index for a location in DCI format 2\_2 of a first bit for a TPC command field for the PUCCH-SCell or for a carrier for the PUCCH-SCell by *tpc-IndexPUCCH-Scell*

- a mapping for the PUCCH power control adjustment state , by a corresponding {0, 1} value of a closed loop index field that is appended to the TPC command field in DCI format 2\_2 if the UE indicates a capability to support two PUCCH power control adjustment states by *twoDifferentTPC-Loop-PUCCH*, and if the UE is configured for two PUCCH power control adjustment states by *twoPUCCH-PC-AdjustmentStates*

The UE is also provided on a serving cell with a configuration for a search space set and a corresponding CORESET for monitoring PDCCH candidates for DCI format 2\_2 with CRC scrambled by a TPC-PUCCH-RNTI as described in clause 10.1.

For PUSCH transmission on a serving cell, a UE can be provided

- a TPC-PUSCH-RNTI for a DCI format 2\_2 by *tpc-PUSCH-RNTI*

- a field in DCI format 2\_2 is a TPC command of 2 bits mapping to values as described in clause 7.1.1

- an index for a location in DCI format 2\_2 of a first bit for a TPC command field for an uplink carrier of the serving cell by *tpc-Index*

- an index for a location in DCI format 2\_2 of a first bit for a TPC command field for a supplementary uplink carrier of the serving cell by *tpc-IndexSUL*

- an index of the serving cell by *targetCell*. If *targetCell* is not provided, the serving cell is the cell of the PDCCH reception for DCI format 2\_2

- a mapping for the PUSCH power control adjustment state , by a corresponding {0, 1} value of a closed loop index field that is appended to the TPC command field for the uplink carrier or for the supplementary uplink carrier of the serving cell in DCI format 2\_2 if the UE indicates a capability to support two PUSCH power control adjustment states, by *twoDifferentTPC-Loop-PUSCH*, and if the UE is configured for two PUSCH power control adjustment states by *twoPUSCH-PC-AdjustmentStates*

The UE is also provided for the serving cell of the PDCCH reception for DCI format 2\_2 with a configuration for a search space set and a corresponding CORESET for monitoring PDCCH candidates for DCI format 2\_2 with CRC scrambled by a TPC-PUSCH-RNTI as described in clause 10.1.