**3GPP TSG RAN WG1 #106-e R1-210xxxxx**

**e-Meeting, August 16th – 27th, 2021**

**Source: Moderator (Intel Corporation)**

**Title: Draft Summary#2 of AI: 8.1.2.4 Enhancements on HST-SFN deployment**

**Agenda item: 8.1.2.4**

**Document for: Discussion and Decision**

# Introduction

In RAN#86 meeting the work item on enhanced MIMO support was agreed for Rel-17 [1]. The objectives of WID include enhancements to multi-TRP transmission scheme in HST-SFN scenario.

|  |
| --- |
| 2. Enhancement on the support for multi-TRP deployment, targeting both FR1 and FR2:…d. Enhancement to support HST-SFN deployment scenario:i. Identify and specify solution(s) on QCL assumption for DMRS, e.g. multiple QCL assumptions for the same DMRS port(s), targeting DL-only transmissionii. Evaluate and, if the benefit over Rel.16 HST enhancement baseline is demonstrated, specify QCL/QCL-like relation (including applicable type(s) and the associated requirement) between DL and UL signal by reusing the unified TCI framework |

The document contains summary of the company’s and moderator’s proposals.

# Possible enhancements for HST-SFN deployment

The section summarizes company proposals regarding enhancements that can be supported for HST-SFN deployment. The proposals are based on the contributions [2]-[24] submitted to RAN1#106-e meeting.

## General issues

### Issue #1-1 (Combination of the transmission schemes for PDCCH and PDSCH)

Regarding combinations of the transmission schemes for PDCCH and PDSCH that can be supported with enhanced SFN transmission schemes. In RAN1#105e meeting it was agreed to support the same configuration of the transmission schemes on PDCCH and PDSCH. However, it should be further discussed whether to support other transmission schemes in combination of enhanced SFN transmission scheme for PDSCH or PDCCH.

#### Round-1

|  |  |  |
| --- | --- | --- |
|  |  | PDSCH |
| PDCCH |  | Rel-15 | Rel-16 | Scheme 1 | Pre-compensation |
| Rel-15 | N/A | N/A | Yes (11): ZTE, DOCOMO, vivo, SS, Nokia/NSB, CATT, LGE, Hw/HiSi, Ericsson, Intel Convida WirelessNo (6): Apple, Sony, OPPO, Len/MotM, MTK, QC | Yes (11): ZTE, DOCOMO, vivo, SS, Nokia/NSB, CATT, LGE, Hw/HiSi, Ericsson, Intel, Convida WirelessNo (6): Apple, Sony, OPPO, Len/MotM, MTK, QC |
| Rel-17 URLLC | N/A | N/A | Yes (2): Hw/HiSi, EricssonNo (5): vivo, Len/MotM, MTK, Nokia/NSB, QC  | Yes (2): Hw/HiSi, EricssonNo (5): Vivo, Len/MotM, MTK, Nokia/NSB, QC |
| Scheme 1 | Yes (10): ZTE, DOCOMO, vivo, SS, CATT, LGE, Hw/HiSi, Ericsson, Intel, Convida WirelessNo (7): Apple, Sony, OPPO, Len/MotM, MTK, Nokia/NSB, QC | Yes (8): ZTE, DOCOMO, CATT, LGE Hw/HiSi, Ericsson, Intel, Convida WirelessNo (8): Apple, Sony, OPPO, vivo Len/MotM, MTK, Nokia/NSB, QC | Supported  | Not supported |
| Pre-compensation | Yes (8): ZTE, DOCOMO, vivo, SS, CATT, LGE, Hw/HiSi, Convida WirelessNo (8): Apple, Sony, OPPO, Len/MotM, MTK, Nokia/NSB, QC, Ericsson | Yes (6): ZTE, DOCOMO, CATT, LGE, Hw/HiSi, Convida WirelessNo (9): Apple, Sony, OPPO, vivo, Len/MotM, MTK, Nokia/NSB, QC, Ericsson | Not supported | Supported |

Companies are invited to provide their views regarding additional combinations of the transmission schemes should be additionally supported.

**Proposal #1-1:**

* TBD

|  |  |
| --- | --- |
| **Company** | **Comment** |
| ZTE |

|  |  |  |
| --- | --- | --- |
|  |  | PDSCH |
| PDCCH |  | Rel-15 | Rel-16 | Scheme 1 | Pre-compensation |
| Rel-15 | N/A | N/A | support | support |
| Rel-17 URLLC | N/A | N/A | ? | ? |
| Scheme 1 | support | support | Supported  | No supported |
| Pre-compensation | support | support | Not supported | Supported |

 |
| Apple |

|  |  |  |
| --- | --- | --- |
|  |  | PDSCH |
| PDCCH |  | Rel-15 | Rel-16 | Scheme 1 | Pre-compensation |
| Rel-15 | N/A | N/A | Not supportOr FFS on the limitation of SearchSpace etc | Not supportOr FFS on the limitation of SearchSpace etc |
| Rel-17 URLLC | N/A | N/A | FFS | FFS |
| Scheme 1 | Not support | Not support | Supported  | No supported |
| Pre-compensation | Not support | Not support | Not supported | We first need agreement to support pre-compensation for PDCCH |

 |
| Sony |

|  |  |  |
| --- | --- | --- |
|  |  | PDSCH |
| PDCCH |  | Rel-15 | Rel-16 | Scheme 1 | Pre-compensation |
| Rel-15 | N/A | N/A | Not support | Not support |
| Rel-17 URLLC | N/A | N/A | ? | ? |
| Scheme 1 | Not support | Not support | Supported  | No supported |
| Pre-compensation | Not support | Not support | Not supported | Support |

 |
| DOCOMO | Agree with ZTE. We think it is safer approach to allow Rel.15 PDCCH can schedule HST-SFN schemes. If not, SFN-PDCCH will be mandatory/basic feature for HST-SFN schemes for PDSCH. Please note that in RAN4, both Rel.14 LTE HST and Rel.16 NR HST only specified demodulation requirement for PDSCH. We cannot predict RAN4 will specify demodulation requirement for both PDSCH/PDCCH in Rel.17 RAN4. |
| OPPO |

|  |  |  |
| --- | --- | --- |
|  |  | PDSCH |
| PDCCH |  | Rel-15 | Rel-16 | Scheme 1 | Pre-compensation |
| Rel-15 | N/A | N/A | Not support | Not support |
| Rel-17 URLLC | N/A | N/A | ? | ? |
| Scheme 1 | Not support | Not support | Supported  | No supported |
| Pre-compensation | Not support | Not support | Not supported | Supported |

If RAN1 supports the highlighted cases, there can be many cases with different transmission schemes assumption (S-TRP and SFN) for default TCI state (usually comes from CORESET) and DCI indicated TCI state (for PDSCH), which requires UE to support dynamic switching between S-TRP and SFN transmission.  |
| vivo |

|  |  |  |
| --- | --- | --- |
|  |  | PDSCH |
| PDCCH |  | Rel-15 | Rel-16 | Scheme 1 | Pre-compensation |
| Rel-15 | N/A | N/A | Support | Support |
| Rel-17 URLLC | N/A | N/A | Not support | Not support |
| Scheme 1 | Support | Not support | Supported  | Not supported |
| Pre-compensation | Support | Not support | Not supported | Support |

For R16 S-DCI based MTRP schemes, STRP-based PDCCH can be used to schedule MTRP-based PDSCH. Naturally, scheme 1 and pre-compensation scheduled by STRP-based PDCCH should also be supported. Besides, scheme 1 /Pre-compensation based PDCCH can increase the reliability for PDCCH transmission, so scheme 1/Pre-compensation based PDCCH scheduling STRP-based PDSCH can also be supported. |
| Lenovo/MotM |

|  |  |  |
| --- | --- | --- |
|  |  | PDSCH |
| PDCCH |  | Rel-15 | Rel-16 | Scheme 1 | Pre-compensation |
| Rel-15 | N/A | N/A | Not support | Not support |
| Rel-17 URLLC | N/A | N/A | Not support | Not support |
| Scheme 1 | Not support | Not support | Supported  | No supported |
| Pre-compensation | Not support | Not support | Not supported | Support |

In our opinion, PDSCH and PDCCH transmissions should follow the same HST-SFN scheme  |
| MediaTek |

|  |  |  |
| --- | --- | --- |
|  |  | PDSCH |
| PDCCH |  | Rel-15 | Rel-16 | Scheme 1 | Pre-compensation |
| Rel-15 | N/A | N/A | Not support | Not support |
| Rel-17 URLLC | N/A | N/A | Not support | Not support |
| Scheme 1 | Not support | Not support | Supported  | No supported |
| Pre-compensation | Not support | Not support | Not supported | Support |

 |
| Samsung |

|  |  |  |
| --- | --- | --- |
|  |  | PDSCH |
| PDCCH |  | Rel-15 | Rel-16 | Scheme 1 | Pre-compensation |
| Rel-15 | N/A | N/A | support | support |
| Rel-17 URLLC | N/A | N/A | FFS | FFS |
| Scheme 1 | support | FFS | Supported  | No supported |
| Pre-compensation | support | FFS | Not supported | Supported |

We think at least the combinations of “PDCCH with scheme 1 or pre-compensation” and “PDSCH with Rel-15 (single-TRP)” can be supported to UEs who support dynamic switching between single-TRP PDSCH and scheme 1 or pre-compensation for PDSCH. Similarly, the combinations of “PDCCH with Rel-15 (CORESET with single TCI)” and “PDSCH with scheme 1 or pre-compensation” can be supported. |
| Nokia/NSB |

|  |  |  |
| --- | --- | --- |
|  |  | PDSCH |
| PDCCH |  | Rel-15 | Rel-16 | Scheme 1 | Pre-compensation |
| Rel-15 | N/A | N/A | Supported | Supported |
| Rel-17 URLLC | N/A | N/A | FFS | FFS |
| Scheme 1 | Not support | Not support | Supported  | No supported |
| Pre-compensation | Not support | Not support | Not supported | Support |

We are fine with Rel-15 PDCCH scheduling SFN PDSCH, but we don’t see use case for SFN PDCCH scheduling non-SFN PDSCH. |
| QC | Support only same HST-SFN scheme for both PDCCH and PDSCH.

|  |  |  |
| --- | --- | --- |
|  |  | PDSCH |
| PDCCH |  | Rel-15 | Rel-16 | Scheme 1 | Pre-compensation |
| Rel-15 | N/A | N/A | Not support | Not support |
| Rel-17 URLLC | N/A | N/A | Not support | Not support |
| Scheme 1 | Not support | Not support | Supported  | No supported |
| Pre-compensation | Not support | Not support | Not supported | Support |

 |
| CATT |

|  |  |  |
| --- | --- | --- |
|  |  | PDSCH |
| PDCCH |  | Rel-15 | Rel-16 | Scheme 1 | Pre-compensation |
| Rel-15 | N/A | N/A | support | support |
| Rel-17 URLLC | N/A | N/A | FFS | FFS |
| Scheme 1 | support | support | Supported  | No supported |
| Pre-compensation | support | support | Not supported | Supported |

 |
| LG | Our preference is as follows.

|  |  |  |
| --- | --- | --- |
|  |  | PDSCH |
| PDCCH |  | Rel-15 | Rel-16 | Scheme 1 | Pre-compensation |
| Rel-15 | N/A | N/A | Support  | Support |
| Rel-17 URLLC | N/A | N/A | Low priority | Low priority |
| Scheme 1 | Support  | Support | Supported  | No supported |
| Pre-compensation | Support  | Support | Not supported | Supported |

 |
| Huawei, HiSilicon |

|  |  |  |
| --- | --- | --- |
|  |  | PDSCH |
| PDCCH |  | Rel-15 | Rel-16 | Scheme 1 | Pre-compensation |
| Rel-15 | N/A | N/A | Support | Support |
| Rel-17 URLLC | N/A | N/A | Support | Support |
| Scheme 1 | Support | Support | Supported  | No supported |
| Pre-compensation | Support | Support | Not supported | Supported |

 |
| Ericsson |

|  |  |  |
| --- | --- | --- |
|  |  | PDSCH |
| PDCCH |  | Rel-15 | Rel-16 | Scheme 1 | Pre-compensation |
| Rel-15 | N/A | N/A | Support | Support |
| Rel-16 URLLC | N/A | N/A | Support | Support |
| Scheme 1 | Support | Support | Supported  | No supported |
| Pre-compensation | Not Support | Not Support | Not supported | Supported |

 |
| Convida Wireless |

|  |  |  |
| --- | --- | --- |
|  |  | PDSCH |
| PDCCH |  | Rel-15 | Rel-16 | Scheme 1 | Pre-compensation |
| Rel-15 | N/A | N/A | support | support |
| Rel-17 URLLC | N/A | N/A | FFS | FFS |
| Scheme 1 | support | support | Supported  | No supported |
| Pre-compensation | support | support | Not supported | Supported |

 |

#### Round-2

Based on the preference above, there is some interest in supporting additional combinations as captured below based on majority view.

**Proposal #1-1:** Support the following combination of the transmission schemes

* Rel-15 Single-TRP PDCCH + Rel-17 Scheme 1 PDSCH
* Rel-15 Single-TRP PDCCH + Rel-17 TRP-based pre-compensation PDSCH
* Rel-17 Scheme 1 PDCCH + Rel-15 Single TRP PDSCH
* FFS UE capability
* FFS Other combinations of the transmission scheme

|  |  |
| --- | --- |
| **Company** | **Comment** |
| OPPO | At least for “Rel-17 Scheme 1 PDCCH + Rel-15 Single TRP PDSCH”, we cannot see there is majority view.  |
| Sony | In our understanding, the fall back scheduling mechanism, i.e. DCI from S-TRP, should work, but the scheduled PDSCH could be from S-TRP when UE in fallback mode. Assuming fall back DCI scheduling SFN (either scheme 1 or TRP-specific pre-comp) PDSCH, there would be additional complexity at UE in switching from one Rx beam (for S-TRP DCI) to two Rx beams (for SFN PDSCH).More issues on default beam rule (between PDCCH and PDSCH) may arise as pointed in Round-1 by OPPO. For the 3rd bullet, we also don’t see solid motivation to further enhance the reliability of PDCCH, which is far robust than that of S-TRP PDSCH. |
| DOCOMO | Support. We think at least 1st/2nd bullets are needed, as commented in the 1st round.  |
| Spreadtrum | Support.For the 3rd bullet:We have agreed to support dynamic switching between single TRP and scheme 1/gNB pre-compensation for PDSCH. Thus, even if both PDCCH and PDSCH are configured with scheme 1 by RRC, there will exist some occasions where PDCCH is transmitted by scheme 1, and PDSCH is for single TRP transmission. Thus, combination of scheme 1 PDCCH and single TRP PDSCH could be considered. |
| LG | Support the proposal.  |
| vivo | Our understanding of different combinations of the transmission scheme is as follows.• Rel-15 Single-TRP PDCCH + Rel-17 Scheme 1 PDSCH• Rel-15 Single-TRP PDCCH + Rel-17 TRP-based pre-compensation PDSCHRegarding the above two combinations, considering a situation where one CORESET indicated with one TCI state by MAC CE, is associated with two search spaces, named SS1and SS2. SS1 is used to schedule Single-TRP PDSCH, while SS2 is used to schedule Rel-17 Scheme 1 PDSCH or Rel-17 TRP-based pre-compensation PDSCH. In this situation, Rel-17 Scheme 1 PDSCH or Rel-17 TRP-based pre-compensation PDSCH scheduling can share the same CORESET with Rel-15 Single-TRP PDSCH scheduling, without another CORESET which should be indicated with two TCI states by MAC CE.• Rel-17 Scheme 1 PDCCH + Rel-15 Single TRP PDSCHRegarding this combination, scheme 1 PDCCH can be used to enhance the reliability of PDCCH transmission, though it aims to schedule a Single TRP PDSCH, which is similar to the issue discussed in item 8.1.2.1. |
| OPPO1 | On the third bullet, we don’t see it is a valid use case. If SFN transmission is applied to PDCCH and can provide gain, why not applied it to PDSCH?@ Spreadtrum: In our understanding, the “Rel-15 Single TRP PDSCH” here is the scheme derived from RRC, not dynamic fallback to S-TRP. That is, “Rel-15 Single TRP PDSCH” refers to the case that SFN scheme is not configured for PDSCH by RRC.  |
| ZTE | Support the proposal. We should additionally support Rel-17 TRP -based pre-compensation PDCCH + Rel-15 Single TRP PDSCH.Please note that we have agreed dynamic switching between Rel-17 SNF and single TRP for PDSCH transmission. Even both PDCCH and PDSCH are configured with Rel-17 SFN, the following two cases have been supported* Rel-17 Scheme 1 PDCCH + Rel-15 Single TRP PDSCH
* Rel-17 TRP -based pre-compensation PDCCH + Rel-15 Single TRP PDSCH

Regarding the first two bullets, we agree DOCOMO’s explaination. We have to consider RAN4’s test which supports SFNed PDSCH based single TRP based PDCCH. |
| Nokia/NSB | We support the first/second bullet. For the third bullet, we don’t think different options for scheme 1 and pre-compensation.It is better to consider first without differentiation of scheme 1 and pre-compensation. * Rel-15 PDCCH + SFN PDSCH

SFN-PDCCH + Rel-15/16 PDSCH |
| Ericsson | A clarification on the first 2 bullets. Rel-15 doesn’t support codepoint mapping to 2 TCI states. We assume it should be Rel-16 PDCCH instead.* Rel-16 Single-TRP PDCCH + Rel-17 Scheme 1 PDSCH
* Rel-16 Single-TRP PDCCH + Rel-17 TRP-based pre-compensation PDSCH

We think Rel-17 PDCCH SFN should be able to schedule legacy Rel-16 PDSCH as well. Propose to add one more bullet:* Rel-17 Scheme 1 PDCCH + Rel-16 S-DCI M-TRP PDSCH
 |
| Lenovo/MotM | At least 40% of the companies do not support combinations of Rel. 15 and Rel. 17 HST schemes for PDSCH/PDCCH. We do not think there is clear majority on that case, and more discussion is needed |
| Apple | We do not support the third bullet* Rel-17 Scheme 1 PDCCH + Rel-15 Single TRP PDSCH

Depending on the desired data rate, normally PDSCH is more link budget limited compared to PDCCH similar as UL. Furthermore, PDCCH has DMRS in every symbol and scheme 1 is already questionable from the beginning. This is a mode that is more meant in the specification, not for the deployment. |
| QC | On the first two bullets: this is similar discussion to PDSCH dynamic switching between sTRP and SFN. We don’t support such dynamic switching between PDCCH and PDSCH for UE complexity reasons that highlighted in previous meeting. On the other two bullets: we don’t see the benefits or use-case justification of the scenario in the third bullet SFN PDCCH and sTPR PDSCH. Suggest limiting the discussion to the first two bullets subject to UE capability of supporting mixed mode of PDCCH/PDSCH transmission.  |
| Futurewei | Ok with the proposal. Suggest to add priority or something like “as time allows”. |
| Moderator | **Proposal #1-1a:** Support the following combination of the transmission schemes* Rel-15 Single-TRP PDCCH + Rel-17 Scheme 1 PDSCH
* Rel-15 Single-TRP PDCCH + Rel-17 TRP-based pre-compensation PDSCH
* ~~Rel-17 Scheme 1 PDCCH + Rel-15 Single TRP PDSCH~~
* ~~Rel-17 TRP -based pre-compensation PDCCH + Rel-15 Single TRP PDSCH~~
* This is optional UE feature
* FFS Other combinations of the transmission scheme
 |
| CATT | We do not suggest deleting the third bullet. As views by Spreadtrum and ZTE, dynamic switching between Rel-17 SFN and single TRP for PDSCH transmission has been agreed, so it shouldn’t restrict the dynamic switching of PDSCH even if it is configured for SFN transmission by RRC. |

### Issue #1-2 (TRP-based pre-compensation in FR2)

One company has mentioned inconsistency in the agreement on support of TRP-based pre-compensation scheme in FR1 only and agreement on default beams relying on QCL-typeD (implying support of FR2). To simplify discussion in RAN1, it is proposed to clarify whether support of TRP-based pre-compensation is limited to FR1 only (i.e., the previous agreement of default beam should be revised to exclude TRP-based pre-compensation) or support of TRP-based pre-compensation is extended to FR2.

**Issue#1-2:**

* Whether TRP-based pre-compensation scheme for PDSCH / PDCCH is supported in FR1 only or in FR1+FR2

Companies are invited to provide their views on this issue.

#### Round-1

**Proposal #1-2:**

* TBD

|  |  |
| --- | --- |
| **Company** | **Comment** |
| ZTE | In both FR1 and FR2  |
| Apple | At least we need separate capability for FR1 and FR2 since FR2 requires multi panel simultaneous reception. Also it is a general question even for HST-SFN scheme 1.  |
| Sony | Support both FR1 and FR2 and fine with separate UE capabilities in FR1 and FR2.  |
| DOCOMO | We assume in both FR1 and FR2. We think it is reasonable to have the separate UE capability for FR1 and FR2, but it can be discussed in UE feature discussion. |
| OPPO | Support separate UE capability for FR1 and FR2. |
| Vivo | Support both FR1 and FR2 |
| Lenovo/MotM | Both FR1 and FR2. OK to discuss further under UE capability |
| MediaTek | Both FR1 and FR2 |
| Samsung | Support both FR1 and FR2. We also fine for UE capability if needed. |
| Nokia/NSB | For FR1, the applicability is clear. For FR2, good to study the feasibility.  |
| QC | We think pre-compensation can be supported for FR1 and FR2 with different UE capability reporting.  |
| CATT | TRP-based pre-compensation scheme for PDSCH / PDCCH is supported in FR1+FR2. |
| LG | Support both FR1 and FR2 |
| Huawei, HiSilicon | FR1 only. In FR2, the PDSCH / PDCCH from two TRPs will be received by two separate beams and there is (almost) no interference with each other, where FDM/TDM/SDM is more suitable. We don’t think SFN is really practical for FR2. |
| NEC | Support both FR1 and FR2. |
| Ericsson | FR2 shall wait for RAN4 decision. Do we want to standardize something that not going to be deployed at all? |

#### Round-2

Based on the companies preference it seems clear majority of the companies supporting pre-compensation also for FR2

**Proposal #1-2:**

* TRP-based pre-compensation scheme for PDSCH / PDCCH is also supported in FR2

|  |  |
| --- | --- |
| **Company** | **Comment** |
| OPPO | Support. |
| Sony | Support the FL proposal.  |
| DOCOMO | Support. |
| Spreadtrum | Support |
| LG | Support  |
| vivo | Support |
| ZTE | Support |
| Nokia/NSB | We are not against for the proposal, but still unclear if the feasibility of pre-compensation in FR2.  |
| Ericsson | Don’t support the proposal. We could wait for RAN4 decision on FR2 HST deployment. If after RAN4 evaluation that bi-directional beam is not recommended, we don’t see the need to support pre-compensation for FR2 in RAN1. |
| Lenovo/MotM | Support |
| Apple | For FR2 to penetrate the metal body of bullet train, it is something magic itself. But from specification perspective, we might be fine to specify it and clean this up in the UE feature discussion.  |
| QC | Support and suggest separate UE features of FR1 and FR2 for the support of pre-compensation scheme.  |
| Futurewei | Do not support. It is unclear how SFN works for a multi-panel receiver. |
| CATT | Support |

### Issue #1-3 (Configuration of enhanced SFN for PDCCH)

Regarding configuration of the enhanced SFN transmission scheme to PDCCH. In RAN1#104b-e meeting it was agreed that MAC CE can activate two TCI states per CORESET resulting in possibly different transmission schemes for different CORESETs. However, some companies indicated a preference to have common activation/configuration of the transmission schemes for all CORESETs. Based on this proposal companies are invited to share their views on this proposal.

**Issue#1-3:**

* Enhanced SFN (scheme 1 or TRP-based pre-compensation) if configured is activated for all CORESETs
	+ FFS CORESET#0

Companies are invited to provide their views on this issue.

#### Round-1

**Proposal #1-3:**

* TBD

|  |  |
| --- | --- |
| **Company** | **Comment** |
| ZTE | No. One or two TCI can be activated by MACCE for different CORESETs.  |
| Apple | We are fine with the FL proposal  |
| Sony | In previous meeting, dynamic switching (based on UE capability) between S-TRP PDSCH (fallback scheme) and SFN PDSCH was supported, and PDCCH and PDSCH should be applied with the SFN scheme or non-SFN scheme. Hence, we think it’s too restrictive to active the same number (2) of TCI states for all CORESETs.  |
| DOCOMO | Not support. Same view with ZTE. |
| OPPO | Support. Without the restriction, there can be many cases with different transmission schemes assumption (S-TRP and SFN) for default TCI state (comes from lowest ID CORESET) and non-default TCI state (comes from scheduling CORESET) for PDSCH without TCI field, which requires UE to support dynamic switching between S-TRP and SFN transmission. |
| vivo | The common RRC parameter should be configured for all CORESETs, then the number of TCI states in MAC CE can further determine whether the CORESET is STRP-based or SFN-based. |
| Lenovo/MotM | We think it is too strict and prefer flexible activation of one or two TCI state per CORESET to support flexible single TRP or multiple TRP PDCCH transmission |
| MediaTek | Support the proposal.  |
| Samsung | Do not support the proposal. |
| Nokia/NSB | Do not support the proposal. We don’t think all the CORESET to be transmitted by SFN. Each CORESET can be separately activated with one or two TCI states.  |
| QC | Support FL proposal.  |
| CATT | Not support. For flexibility and compatibility of different transmission schemes, MAC CE can activate one or two TCI states per CORESET. |
| LG | Not support. Two TCI states should be activated per CORESET. |
| Huawei, HiSilicon |  We are fine with the FL proposal. |
| NEC | Fine with the proposal. |
| Ericsson | Not support. What would happen to legacy UE in the network which doesn’t support SFN? |
| Convida Wireless | Same view as vivo. |
| Moderator | It seems several companies have concerns to introduce common activated transmission scheme across CORESETs. I suggest RAN1 to continue discussion on this proposal with the goal to address questions from companies that have concerns. |
| Futurewei | Do not support. Each CORESET can be separately configured for SFN or not. |

### Issue #1-4 (Common RRC parameter for PDCCH and PDSCH)

In case additional combinations of the transmission schemes are not supported (see Issue#1-1), common or separate RRC parameter for configuration of enhanced SFN transmission scheme for PDCCH and PDSCH should be decided.

**Issue#1-4:**

* Support separate RRC parameter for PDCCH and PDSCH for enhanced SFN configuration (scheme 1 or TRP-based pre-compensation scheme)
	+ **Supported**: CATT, Intel, Ericsson, Apple (combination of SFN and other transmission scheme is optional feature), Nokia/NSB, DOCOMO
* Support common RRC parameter for PDCCH and PDSCH for enhanced SFN configuration (scheme 1 or TRP-based pre-compensation scheme)
	+ **Supported**: Lenovo/MotMobility, OPPO, Qualcomm, Sony, vivo, MediaTek,Ericsson

Companies are invited to provide their views on this issue.

#### Round-1

**Proposal #1-4:**

* TBD

|  |  |
| --- | --- |
| **Company** | **Comment** |
| Moderator | The granularity of RRC configuration can be decided in RRC discussion sessions for Rel-17 |
| Apple | We prefer the second bullet (common RRC parameter) For the first bullet, we need to further discuss that it is optional feature (so the second bullet can always be the implantation choice for the UE), and the restriction on PDCCH schemes  |
| Sony | Since RAN1 agreed the same SFN scheme (either scheme 1 or TRP-specific pre-compensation) for PDCCH and PDSCH, we think common RRC parameter would be fine for DL channels.  |
| DOCOMO | We prefer the separate RRC parameter, but we think this should be discussed after Proposal #1-1. |
| OPPO | Support the same RRC parameter. Otherwise, the default TCI state of PDSCH would be complicated. |
| Vivo | Support the common RRC parameter for SFN PDCCH and PDSCH. Using different MTRP schemes separately for PDCCH and PDSCH would require the UE to prepare two TRS/DMRS estimation processes, lead to more UE complexity. |
| Lenovo/MotM | We believe this should be discussed after reaching a conclusion on Issue #1-1. Based on our preference in Issue #1-1, our preference is supporting a common RRC parameter for PDSCH and PDCCH (second bullet)  |
| MediaTek | Support the common RRC parameter |
| Samsung | We prefer to discuss this issue after finalizing the proposal 1-1. |
| Nokia/NSB | Pending to Issue 1-1. If only Rel-17 PDCCH+Rel-17 PDSCH is supported, we can have single RRC. If we support Rel-15/16 PDCCH + Rel-17 PDSCH or Rel-17 PDCCH + Rel-15/16 PDSCH, separate RRC parameters are required.  |
| QC | Support common RRC parameter for both PDCCH and PDSCH.  |
| CATT | This issue should be discussed after Proposal #1-1. |
| LG | Support separate RRC parameter for PDCCH and PDSCH |
| Huawei, HiSilicon | We prefer separate RRC parameter for PDCCH and PDSCH for enhanced SFN configuration (scheme 1 or TRP-based pre-compensation scheme).In addition, this is related to discussion in issue#1-1, we can discuss this after the decision there. |
| NEC | Discuss later after proposal 1-1. |
| Ericsson | If a CORESET is activated with 2 TCI, the PDSCH HST configuration (Scheme 1 or TRP pre-compensation) can be applied to the PDCCH, |
| Moderator | To be discussed after conclusion on Issue #1-1 |

### Other issues

This section contains other issues that companies want to highlight for discussion regarding general issue.

|  |  |
| --- | --- |
| **Company** | **Comment** |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |

## UE-based solutions

### Issue #2-1 (Dynamic switching of scheme 1 and scheme-1a)

Regarding support of switching of scheme 1 and Rel-16 scheme-1a. In RAN1#104b-e meeting it was agreed to support semi-static switching and to further study possible support of dynamic switching. Views on this issue are summarized below.

**Issue#2-1:** Additional support of dynamic switching of scheme 1 and Rel-16 scheme-1a

* **Supported**: Huawei, HiSilicon, CATT, …
* **Not supported:** Qualcomm, OPPO, NEC, Nokia/NSB, Lenovo/MotMobility, Apple, …

Based on the preference above the following proposal can be made.

#### Round-1

**Proposal #2-1 (for conclusion):**

* Dynamic switching of Rel-17 scheme 1 and Rel-16 scheme-1a is not supported

|  |  |
| --- | --- |
| **Company** | **Comment** |
| Moderator | This is a low priority issue |
| InterDigital | Supported |
| Apple | Support FL proposal  |
| Sony | Support the FL proposal |
| DOCOMO | Fine with the proposal. |
| OPPO | Support the proposal |
| Lenovo/MotM | Support |
| MediaTek | Support |
| Samsung | Support the proposal. |
| Nokia/NSB | Support Proposal #2-1 |
| QC | Support the proposal. |
| CATT | Don’t support this proposal. Rel-16 SDM 1a can improve transmission efficiency and Rel-17 SFN can improve robustness. In addition, scheme 1(SFN) can also be used in scenario other than HST. Thus, dynamic switching between these schemes should be supported in Rel-17. |
| Huawei, HiSilicon | We do not support the proposal.In Rel-16, scheme 1a can be dynamically switched with other schemes. We do not see anything special for scheme 1 here. For HST, the rapid changes of environment would result in channel property changes and rank adaptation, which means that proper transmission scheme should be used. For low rank environment, SFN transmission would be more suitable. While for high rank, it’s difficult to align the phases between both TRPs for all layers in SFN, while NCJT is more efficient in such scenarios. Therefore, to adapt to changing channels, it's beneficial in terms of spectral efficiency and reliability to switch NCJT and SFN dynamically. |
| NEC | Support the proposal. |
| Ericsson | Support |
| ZTE | Can accept this proposal |
| Futurewei | Dynamic switching should be supported for flexibility purposes. |
|  |  |
|  |  |
|  |  |

### Issue #2-2 (Support of scheme 2)

Regarding support of scheme 2. Several companies expressed their preference regarding support of scheme 2 in Rel-17. Some companies have also provided LLS evaluation results comparing performance of scheme 2 with scheme 1 and the baseline scheme. Summary of the company’s views are provided below:

**Issue#2-2:** Whether to support scheme 2 in Rel-17?

* Scheme 2 is supported
	+ **Supported by**: InterDigital, Intel …
* Scheme 2 is not supported / low priority
	+ **Supported by**: Apple, Sony, Nokia/NSB, Qualcomm, ZTE, …

Since there is no clear majority to support scheme 2 in Rel-17, it is recommended to make the following conclusion on Issue #2-2.

#### Round-1

**Proposal #2-2 (for conclusion):**

* Scheme 2 is not supported in Rel-17

|  |  |
| --- | --- |
| **Company** | **Comment** |
| Moderator | This is a low priority issue |
| Apple | Support FL proposal  |
| Sony | Support the FL proposal. |
| DOCOMO | Support |
| OPPO | Support. |
| vivo | Support |
| MediaTek | Support |
| Samsung | Support the proposal. |
| Nokia/NSB | Support Proposal #2-2 |
| QC | Support the conclusion. |
| CATT | Support |
| Huawei, HiSilicon | Support FL proposal |
| Ericsson | Support the proposal for conclusion |
| ZTE | Support |
| Futurewei | Support |
|  |  |
|  |  |
|  |  |

### Other issues

This section contains other issues that companies want to highlight for discussion regarding support of UE-based schemes.

|  |  |
| --- | --- |
| **Company** | **Comment** |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |

## TRP-based solutions

### Issue #3-1 (QCL types/assumptions when TRS/CSI-RS is source)

Regarding new QCL types/assumption for TRS/CSI-RS, when TRS/CSI-RS resource(s) is used as source RS in the TCI state.

**Issue#3-1:** Whether to confirm working assumption on support of Variant A for TRP-based pre-compensation as QCL types/assumption, when the same DMRS port(s) are associated with two TCI states

* Confirm working assumption without modification
	+ **Supported**: Huawei / HiSilicon, CATT, Lenovo/Motorola Mobility, CMCC, MediaTek, Ericsson, LGE, Nokia/NSB, Spreadtrum, OPPO, Futurewei, ZTE, Samsung,
* Confirm working assumption with modification to also include Variant B
	+ **Supported**: ZTE, Qualcomm, Intel, Ericsson (Variant A shall be supported), CATT, …

Based on the company’s preference the following proposal is made.

#### Round-1

**Proposal #3-1:** Confirm working assumption from RAN1#105e meeting without modification:

* For TRP-based pre-compensation, Variant A (based on RAN1#103-e meeting agreement) are supported as QCL types/assumption, when the same DMRS port(s) are associated with two TCI states.
	+ FFS: Additional support of Variant B

|  |  |
| --- | --- |
| **Company** | **Comment** |
| ZTE | We are OK to confirm the working assumption first. But we still think additional support of Variant B is needed.  |
| Apple | We are fine with FL proposal  |
| DOCOMO | Fine to confirm the WA. |
| OPPO | Support the proposal. |
| Vivo | Variant C can help network to process timing pre-compensation which is similar to frequency pre-compensation, and it can further improve the UE demodulation performance of SFN transmission as shown in our tdoc. We prefer to further discuss Variant C* FFS: Additional support of Variant B and Variant C
 |
| Lenovo/MotM | Support |
| MediaTek | Support |
| Samsung | We are fine to confirm the working assumption. |
| Nokia/NSB | Support Proposal #3-1..  |
| QC | As commented several times in previous meetings and highlighted in our tdoc, it is important to additionally support Variant B. We support to confirm the working assumption with both variants A and B supported.  |
| CATT | Support |
| LG | Support to confirm the working assumption.  |
| Huawei / HiSilicon | Support to confirm the working assumption. We don’t think additional support of Variant B is necessary, as more TRS overhead is needed. In addition, if more Variant is to be considered, Variant C would be more useful as gNB is also able to pre-compensate delay offset between TRPs to further improve SFN erformance. |
| Ericsson | Support |
| Futurewei | Support to confirm the WA but not Variant B. |

### Issue #3-2 (TCI state for QCL parameters dropping)

Regarding rule or signalling to determine which TCI state contains dropped QCL parameters. The following approaches were identified by companies for TRP-based pre-compensation scheme as captured in Alt 1 and Alt 2.

**Issue#3-2:** For TRP-based pre-compensation

* **Alt-1**: QCL parameters are dropped from the second TCI state of TCI codepoint
	+ **Supported**: vivo, CATT, Qualcomm, CMCC, Ericsson, LGE, Nokia/NSB, Sony, MediaTek, Huawei / HiSilicon,
* **Alt-2**: QCL parameters are dropped from TCI state indicated using signalling
	+ FFS other details
	+ **Supported**: ZTE (CDM group), Lenovo/MotMobility (Spatial relation info), Spreadtrum, Intel (nSCID), OPPO, Docomo, CATT, NEC, Samsung, Apple, , ,…

Based on the company’s preference the following proposal is made.

#### Round-1

**Proposal #3-2:** For TRP-based pre-compensation

* **Alt-1**: QCL parameters are dropped from the second TCI state of TCI codepoint containing two TCI states

|  |  |
| --- | --- |
| **Company** | **Comment** |
| ZTE | We are OK to go for Alt-1 for progress |
| Apple | Not sure about the difference between Alt-1 and Alt-2. We think NW needs to explicitly informs the UE that some QCL parameters are dropped, otherwise, how do we differentiate scheme 1 and pre-compensation |
| Sony | We are fine with Alt-1 which seems like a pre-defined rule of QCL parameter(s) dropping. Without any dynamic signaling, we hope RAN1 can also specify a rule on which QCL parameter(s) is(are) dropped from the 2nd indicated TCI state.  |
| DOCOMO | Support Alt.1. Re Apple’s question, our understanding is that different RRC parameter will be defined for scheme 1 and pre-compensation. |
| OPPO | Support the proposal. |
| vivo | Support the proposal. |
| Lenovo/MotM | Prefer Alt2. In our understanding, the order of TCI states, i.e., the TCI state corresponding to QCL parameters dropping, would change based on the train trajectory. Indicating the TCI state corresponding to dropping/not dropping QCL parameters (without the need to introduce new parameters) can help simplify the design |
| MediaTek | Support Alt-1 |
| Samsung | Support the proposal. |
| Nokia/NSB | Support Proposal #3-2 |
| QC | Support FL proposal (Alt 1) |
| CATT | Support |
| LG | Support FL’s proposal  |
| Huawei, HiSilicon | Support FL’s proposal for a simpler and clear behavior. |
| NEC | Support the proposal. |
| Ericsson | Support Alt-1 |
| Futurewei | Slightly prefer Alt-1  |

### Issue #3-3 (Doppler frequency reporting)

Regarding Doppler frequency reporting. In RAN1#104b-e it was agreed to support at least one option based on implicit and explicit approaches for indication of the carrier frequency for UL. Companies preference regarding the above options are summarized below.

**Issue#3-3:** Indication of carrier frequency for uplink transmission (Doppler frequency reporting) in TRP-based pre-compensation schemes

* **Option 1** Implicit from RAN1#102-e agreement
	+ **Supported**: Huawei/HiSilicon, ZTE, Samsung, CATT, Futurewei, Lenovo/MotMobility, Qualcomm (with SRS enhancements), CMCC, MediaTek, OPPO, Intel (with RAN4 tests to address FO pre-compensation errors), InterDigital, Apple, vivo, LGE
* **Option 2** Explicit from RAN1#102-e agreement
	+ **Supported**: ZTE (specification impact should be as small as possible), Sony, Qualcomm (only if UE optional feature), Ericsson, NTT DOCOMO, Nokia / NSB, vivo (UE feature) Futurewei, , …

Based on the company’s preference the following proposal is made.

#### Round-1

**Proposal #3-3:** Indication of carrier frequency for uplink transmission (Doppler frequency reporting) in TRP-based pre-compensation scheme is supported using

* **Option 1** Implicit from RAN1#102-e agreement
	+ FFS enhancements to SRS to improve the accuracy of frequency estimation

|  |  |
| --- | --- |
| **Company** | **Comment** |
| ZTE | We are OK to go for Option 1 now. But it is better to further discuss whether explicitly report is supported or not. In our view, it can be optionally supported for some scenarios e.g. FDD, or some bands without UL carrier.  |
| InterDigital | Support FL proposal |
| Apple | If option 2, it needs to be UE optional feature for UE that support pre-compensation  |
| Sony | We are okay with the implicit approach which involves less standard impact when compared with the explicit Doppler frequency reporting, but in previous agreement it said 1 or 2 approach(es) can be supported. In addition, we share similar view with ZTE on scenarios (FDD operation and TDD operation without UL carrier configured) where it seems explicit Doppler frequency reporting fits better. So we hope we could support both Option 1 and Option 2.  |
| DOCOMO | Not support. In FDD band, which is our main target of HST-SFN, Doppler would be different for UL and DL.Based on the evaluation result (R1-2107625, Ericsson), Option 1 has performance degradation compared to Option 2. Hence, we should support the Option 2, even if it is optional feature. |
| OPPO | Support the proposal. If the frequency of UL and DL is known by gNB, Doppler estimated from UL can also be applied to DL via some calculation. |
| Vivo | Support the proposal in principle. But if Doppler frequency is reported using the CSI framework, further enhancement on CSI(PMI/RI/CQI) for SFN transmission based on distributed CSI-RS can be also considered to further improve the SFN transmission performance.  |
| Lenovo/MotM | Support the proposal. In our contribution (R1-2107178) we have provided analysis showing a variant of the pre-compensation scheme that takes into account the Duplexing distance between UL and DL carrier frequencies when estimating the frequency pre-compensation |
| MediaTek | Support the proposal |
| Samsung | Support the proposal. |
| Nokia/NSB | Do not support the proposal. Share view with DOCOMO. In addition, for implicit option, the UE shall support more than one SRS resources per set and two different power control loops.  |
| QC | Support the FL proposal. |
| CATT | Support FL proposal. |
| LG | Support FL’s proposal  |
| Huawei / HiSilicon | Support option 1, and it has been supported in spec without any further spec impact.As shown in our contribution (R1-2104269), option 1 has provide sufficient performance, which is very close to the performance with ideal frequency shift estimation. Therefore, option 2 is not needed.On Docomo’s comments regarding FDD scenario, there’s no problem for frequency shift estimation at gNB side based on the existing SRS/UL DMRS. The Doppler shift is related to UE moving speed and direction, as given by $Δf=\frac{v∙cosθ}{c}∙f\_{0}$, where v is the moving speed and $θ$ is the angle between gNB and UE moving direction. As gNB knows both DL frequency f2 and the UL frequency f1, the Doppler shift estimated at frequency f1 can be easily translated to the Doppler shift at frequency f2, as $Δf\_{2}=Δf\_{1}∙\frac{f\_{2}}{f\_{1}}$. It’s totally gNB implementation. |
| NEC | Support the proposal. |
| Ericsson | Do not support. Share same view with DOCOMO and Nokia. The UL SRS is not sufficient to provide proper performance when DL SNR is low because of the UL power limitation as is shown in our contribution. For pre-compensation Scheme the gain over DPS/Scheme1 is only showed at the middle point of 2 TRPs when the SNR is low, however the signal strength of ULRS at this particular gain condition is even much lower than DL SNR. If only one enhancement can be selected for HST, we would like to support DL RS based first.  |
| Futurewei | Support the proposal |

### Issue #3-4 (QCL-like association between DL and UL RS)

Regarding support of QCL-like association between DL and UL RS, e.g., for carrier frequency indication in UL. Several companies provided their views whether carrier frequency requires specification support for indication or can be selected by the UE based on implementation. Company’s preferences on this issue are summarized below:

**Issue#3-4:** Whether to support QCL-like association between DL and UL RS?

* **Option 1**: QCL-like association of the resource(s) received in the 1st step with UL signal transmitted in the 2nd step is supported by specification. FFS between the following alternatives:
	+ **Alt-1**: Explicit indication of the DL RS for QCL-like association
	+ **Alt-2**: Implicit indication of DL RS for QCL-like association
* **Option 2**: QCL-like association of the resource(s) received in the 1st step with UL signal transmitted in the 2nd step is supported by implementation without specification impact

Based on the company’s preference above, the following proposal is made.

#### Round-1

**Proposal #3-4 (for conclusion):**

* For Variant A and B (if supported)
	+ For frequency offset pre-compensation QCL-like association of the resource(s) received in the 1st step with UL signal transmitted in the 2nd step is supported by implementation without specification impact
		- **Supported**: ZTE, vivo, Sony, Samsung, CATT, CMCC, Mediatek, Ericsson, Intel, LGE, Nokia/NSB, Qualcomm
		- **Concerns**:

|  |  |
| --- | --- |
| **Company** | **Comment** |
| Moderator | The conclusion may be needed to complete WID objective |
| ZTE | Support |
| InterDigital | Support. However, not sure if it is needed. When using precompensation, the conclusion would be always respected by implementation. |
| Apple | We are fine with the FL proposal  |
| Sony | Support the FL proposal. |
| DOCOMO | We are fine with the proposal. |
| OPPO | Support the proposal. |
| vivo | Support the proposal |
| Lenovo/MotM | We believe this issue is related to Issues #3-2. We believe linking the SRS with reference TRS for pre-compensation is needed, under which the QCL association would be pre-defined.  |
| MediaTek | Support the proposal |
| Samsung | Support the proposal. |
| Nokia/NSB | Support Proposal #3-4 |
| QC | Support the proposal. For the supported TRP pre-compensation scheme w/o TRS pre-compensation, there is no need to specify QCL like association between UL RS and DL RS. |
| CATT | Support FL proposal. |
| LG | Support FL’s proposal. If we firstly confirm the working assumption, the main sentence can be changes as ‘For Variant A’.  |
| Huawei / HiSilicon | Fine with FL proposal |
| NEC | Support the proposal. |
| Ericsson | Support. |
| vivo2 | Support the proposal in principle, but in our understanding, the main bullet seems unnecessary in the current situation, since Variant E has been excluded for frequency offset pre-compensation. |
| Futurewei | Support  |

### Issue #3-5 (Support of TRP-based pre-compensation dynamic switching)

One company proposed to clarify configuration restriction for UE not capable of supporting dynamic switching between TRP based pre-compensation and single TRP by TCI field in DCI format 1\_1/1\_2 similar to configuration restriction agreed for scheme 1. The corresponding proposal is provided below.

#### Round-1

**Proposal #3-5:**

* UE is not expected to be indicated by MAC CE with single TCI state for any of TCI codepoint, if UE is configured with TRP based pre-compensation for PDSCH by RRC, but not capable to support dynamic switching between TRP based pre-compensation and single-TRP by TCI state field in DCI Format 1\_1/1\_2.

|  |  |
| --- | --- |
| **Company** | **Comment** |
| Moderator | This should be straightforward clarification for TRP-based pre-compensation scheme given previous agreement on support of dynamic switching based on UE capability |
| ZTE | What is the difference between the following agreement made in last meeting and the above proposal?**Agreement**For specification based TRP-based frequency offset pre-compensation scheme* Support dynamic (DCI -based) switching with single-TRP scheme by TCI state field in DCI format 1\_1/1\_2
	+ This feature is UE optional
	+ UE is not expected to be indicated by MAC CE with single TCI state per any of TCI codepoint , if UE is configured with TRP-based frequency PDSCH by RRC , but not capable to support dynamic switching between TRP-based frequency and single-TRP by TCI state field in DCI Format 1\_1/1\_2
* Support semi-static (RRC based) switching with Rel-16 schemes 1a, 2a, 2b, 3, 4
* Support semi-static (RRC based) switching with Rel-17 scheme 1 (PDSCH)
 |
| Apple | Support the FL proposal  |
| Sony | Thanks to the quote from ZTE, we also think it’s quite straightforward and has been already supported.  |
| DOCOMO | We don’t need the proposal. We already agreed it in RAN1#105, as ZTE commented above. |
| Lenovo/MotM | Agree with ZTE. We believe it is already supported |
| MediaTek | Support the proposal |
| Samsung | Based on ZTE’s elaboration, we also think this proposal is already supported. |
| Nokia/NSB | Share view with DOCOMO and ZTE.  |
| CATT | Similar views as ZTE. It seems to have reached a conclusion at the last meeting. |
| Huawei, HiSilicon | Seems it has been agreed last meeting. |
| Moderator | No more discussion on this issue |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |

### Other issues

This section contains other issues that companies want to highlight for discussion regarding support of TRP-based pre-compensation scheme.

|  |  |
| --- | --- |
| **Company** | **Comment** |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |

## SFN transmission of PDCCH

### Issue #4-1 (Activation of two TCI states across multiple CCs)

In RAN1#104b-e meeting several issues related to support of enhanced SFN PDCCH transmission were agreed for further study. Some companies provided their preference regarding these FFS issues.

**Issue #4-1:**

* In CA scenario additionally support RRC configured set of the serving cells which can be addressed by a single MAC CE entry
	+ **Supported**: Qualcomm, Lenovo/MotMobility, Docomo …
	+ **Concerns**: Intel

#### Round-1

Based on the above preference, the following proposal is made:

**Proposal #4-1:**

* In CA scenario additionally support RRC configured set of the serving cells which can be addressed by a single MAC CE entry

|  |  |
| --- | --- |
| **Company** | **Comment** |
| ZTE | Is this intermitted from Rel-16 feature in which one MACCE can be used to update TCI of a list of CCs? If yes, we are OK. However, new RRC signaling is not needed. The existing one can be reused. Thus, we suggest * In CA scenario, two TCI states can be updated/activated by a single MAC CE for a set of serving cells configured by existing RRC parameter *simultaneousTCI-UpdateList1* or *simultaneousTCI-UpdateList2*
 |
| Apple | We slightly do not prefer to mix the Rel-16 and Rel-17 feature together. In the other words, we do not prefer that for UEs who support Rel-16 single MAC-CE to update CORESET QCL in multiple CCs, automatically have to support it for Rel-17 HST (i.e., CORESET configured with two TCIs). We are open to discuss if it is separate UE capability and separately configured by the NW.  |
| Sony | We are fine to reuse the Rel.16 RRC configured CC list(s) for common TCI state ID updating CORESETs beam. Would the proponent(s) or FL to clarity whether new CC list(s) are to be additionally introduced or reuse existing list(s)? |
| DOCOMO | Support ZTE’s update. We think separate Rel.17 capability is needed, but we can reuse Rel.16 RRC parameter of *simultaneousTCI-UpdateList1 or simultaneousTCI-UpdateList2*. |
| Xiaomi | Support the proposal. Further discuss on reuse Rel-16 RRC or introduce a new RRC to configure a list of CCs. |
| OPPO | Generally we agree with apple. A separate UE capability may be needed. One question for clarification: If SFN transmission of PDCCH is not configured in a CC in a CC list, but two TCI states are activated for the CC list, what is the UE assumption for PDCCH? |
| Vivo | Agree with ZTE, prefer to reuse the Rel.16 mechanism. |
| Lenovo/MotM | Support FL proposal. We are also fine with ZTE’s clarification and modified version for configuration simplicity |
| Samsung | Support ZTE’s updated proposal. |
| Nokia/NSB | Agree with ZTE, we can apply the same principle as Rel-16. Also, fine to consider separate UE capability.  |
| QC | Support FL proposal.Okay to further discuss whether to use rel-16 RRC parameters or introduce new RRC parameter and whether new Rel-17 UE capability is needed or not. It may be good to add the following FFS:* FFS: Whether to reuse Rel-16 RRC parameters or introduce new RRC parameters.
* FFS: UE capability.
 |
| CATT | Agree with ZTE and vivo, prefer to reuse the Rel.16 mechanism. |
| Ericsson | We are fine with the FFS in QC’s proposal. |
| Convida Wireless | Same view as ZTE. |

#### Round-2

Based on the comments above the following proposal is made. The details of RRC parameters can be addressed in the next step.

**Proposal #4-1a:**

* In CA scenario support RRC configured set of the serving cells which can be addressed by a single MAC CE entry
	+ FFS: Whether to reuse Rel-16 RRC parameters or introduce new RRC parameters.
	+ FFS: UE capability

|  |  |
| --- | --- |
| **Company** | **Comment** |
| OPPO | We are fine with the proposal. It needs further clarification that if a CC in the CC list is not configured with SFNed PDCCH, only one of the TCI states is activated/applied.  |
| Sony | Thanks for listing our previous concern in FFS, we are fine with the FL proposal.  |
| DOCOMO | Support.  |
| Spreadtrum | Support |
| LG | Fine with the proposal  |
| vivo | Fine with the proposal |
| Nokia/NSB | Support the proposal.  |
| Xiaomi | Fine with the proposal |
| Ericsson | We consider this as signaling optimization. We may discuss it as low priority in this meeting.Also, the proposal could be clarified based on QC or Lenovo’s proposal. **QC: For CA scenario, support RRC singalling of a set CCs which can be addressed by a single MAC CE for activation of two TCI states of CORESET with the same CORESET ID for all the BWPs in the indicated CCs set.**Lenovo/MotM: For SFN-based PDCCH transmission, support activating two TCI states by a single MAC CE simultaneously for a set of the serving cells by optional RRC signaling |
| Lenovo/MotM | Support the proposal |
| Apple | Fine with the proposal |
| QC | Support and agree with Ericsson on the proposed clarification.  |
| Moderator | Revision according to QC and Ericsson proposals:**Proposal #4-1b:*** In CA scenario support RRC configured set of the serving cells which can be addressed by a single MAC CE for activation of two TCI states of CORESET with the same CORESET ID for all the BWPs in the indicated CCs set
	+ FFS: Whether to reuse Rel-16 RRC parameters or introduce new RRC parameters.
	+ FFS: UE capability
 |
| CATT | Support FL proposal. |

#### Round-3

**Proposal #4-1b (offline agreement):**

* In CA scenario support RRC configured set of the serving cells which can be addressed by a single MAC CE for activation of two TCI states of CORESET with the same CORESET ID for all the BWPs in the indicated CCs set
	+ FFS: Whether to reuse Rel-16 RRC parameters or introduce new RRC parameters.
	+ FFS: UE capability

|  |  |
| --- | --- |
| **Company** | **Comment** |
| Convida Wireless | OK with the proposal |
| Ericsson | Support the proposal |
| ZTE | OK |
| Samsung | Support |
| Lenovo/MotM | Support the proposal |
| Sony | Support the proposal |
| LG | Ok with the proposal. As previously commented by OPPO, it seems that further clarification is needed. Can we add the following FFS for further clarification or study? FFS: Whether/How to update the CORESET that is not configured to SFN scheme in the indicated CCs set |
| DOCOMO | Support. |
| CATT | Support. |
|  |  |

### Issue #4-2 (Default TCI for single-beam PDSCH)

Regarding default beam assumption for PDSCH reception. When two TCI states are indicated for CORESET, several companies proposed to enhance rule(s) to determine default beam (TCI state) for PDSCH reception. In particular, whether and which default TCI state should be used for Rel-15 single-TRP and Rel-16 scheme 3/4 PDSCH reception. Based on the company’s contributions the following alternatives were identified.

**Issue #4-2:**

If enhanced SFN PDCCH transmission scheme (scheme 1 or TRP-based pre-compensation) is configured and UE is configured with Rel-15 single-TRP or Rel-16 scheme 3/4 PDSCH scheme and CORESET is indicated with two TCI states and UE is not configured with *enableTwoDefaultTCI-States* and time offset between the reception of the DL DCI and the corresponding PDSCH is less than the threshold *timeDurationForQCL*

* **Alt 1**: gNB ensures the lowest CORESET ID in the latest slot only configured with one TCI state by implementation
* **Alt 2**: Modify the definition of the lowest CORESET ID in the latest slot, e.g., the lowest CORESET ID among the CORESETs associated with one TCI state in the latest slot
	+ **Supported**: Samsung, CATT, Lenovo/MotMobility
* **Alt 3**: QCL assumption associated with one TCI state of the lowest CORESET ID in the latest slot, if there are two activated TCI states for the CORESET with the lowest CORESET ID, one of two TCI states will be selected, e.g. always selects the first or the second TCI state or the TCI state with a lower ID
	+ **Supported**: Samsung, CATT (in case all CORESETs has two TCI states), Lenovo/MotMobility, Ericsson, LGE, Xiaomi, Convida Wireless, Nokia/NSB, Spreadtrum
* FFS whether it is optional feature

Based on the company’s preference the following proposal is made.

#### Round-1

**Proposal #4-2:**

If enhanced SFN PDCCH transmission scheme (scheme 1 or TRP-based pre-compensation) is configured and UE is configured with Rel-15 single-TRP or Rel-16 scheme 3/4 for PDSCH scheme and CORESET is indicated with two TCI states and UE is not configured with *enableTwoDefaultTCI-States* and time offset between the reception of the DL DCI and the corresponding PDSCH is less than the threshold *timeDurationForQCL*

* **Alt 3**: QCL assumption associated with one TCI state of the lowest CORESET ID in the latest slot, if there are two activated TCI states for the CORESET with the lowest CORESET ID, one of two TCI states will be selected, e.g. always selects the first or the second TCI state or the TCI state with a lower ID
* FFS whether it is optional feature

Companies are invited to provide their views regarding the above options.

|  |  |
| --- | --- |
| **Company** | **Comment** |
| ZTE | OK |
| Apple | We haven’t even agreed to support this mixed scenario. If it is agreed, for scheme 3/4, we need two QCL since it is mTRP TDM scheme, why the default beam is only oneLastly, default beam requires UE to buffer which is extremely power/memory inefficient without noticeable user experience enhancement, we prefer it to be UE optional feature  |
| DOCOMO | Fine. |
| Xiaomi | Support the proposal#4-2 |
| OPPO | We need to conclude on issue#1-4 first. If a common RRC parameter is used for PDSCH and PDCCH, there is not the case at all. |
| vivo | Prefer Alt 3, but we can discuss it after issue#1-4 about which mixed scenario would be supported. |
| Lenovo/MotM | Support |
| Samsung | Support the proposal. To make complete solution, we would like to add the situation when the CORESET, which is overlapped with the scheduled single-TRP PDSCH reception in same carrier or intra-band CA, is activated one or two TCI states, which is already captured in the current spec. |
| Nokia/NSB | Fine with the proposal, but this is pending to Issue #1-1.  |
| QC | Discuss it later after finalizing the discussion on issues #1-1 and #1-4 |
| CATT | Support FL proposal. |
| LG | Support FL’s proposal  |
| Ericsson | We haven’t agreed on supporting FR2 with “TRP-based pre-compensation”. If remove that, we are fine with the proposal. |
| Convida Wireless | Support |
| Moderator | It would be great if proponents of the proposal could check the wording to avoid additional iterations of the summary review |
| vivo2 | Thanks for Alexei’s great summary.We find that issue #4-2 is now just discussing the case that UE is indicated with non-SFN PDSCH transmission, and not configured with *enableTwoDefaultTCI-States*. Besides, issue #4-3 is discussing the case that UE is indicated with SFN PDSCH transmission and configured with *enableTwoDefaultTCI-States*. Thus, it seems that these two issues don’t contain the case that UE is indicated with SFN PDSCH transmission, but not configured with *enableTwoDefaultTCI-States*.In our understanding, if UE is not configured with *enableTwoDefaultTCI-States*, only one TCI state of the CORESET can be used as the default TCI state, no matter what the transmission scheme is. Therefore, it seems that we can cancel the wording ‘*and UE is configured with Rel-15 single-TRP or Rel-16 scheme 3/4 for PDSCH scheme*’ in the proposal #4-2. **Proposal #4-2:**If enhanced SFN PDCCH transmission scheme (scheme 1 or TRP-based pre-compensation) is configured ~~and UE is configured with Rel-15 single-TRP or Rel-16 scheme 3/4 for PDSCH scheme~~ and CORESET is indicated with two TCI states and UE is not configured with *enableTwoDefaultTCI-States* and time offset between the reception of the DL DCI and the corresponding PDSCH is less than the threshold *timeDurationForQCL** Alt 3: QCL assumption associated with one TCI state of the lowest CORESET ID in the latest slot, if there are two activated TCI states for the CORESET with the lowest CORESET ID, one of two TCI states will be selected, e.g. always selects the first or the second TCI state or the TCI state with a lower ID
* FFS whether it is optional feature

Another way is that we can agree on proposal #4-2 first and then discuss that case in a new issue. |
| Moderator | Let’s check if other companies have concerns on vivo’s updated proposal without reference to PDSCH schemes. **Proposal #4-2a:**If enhanced SFN PDCCH transmission scheme (scheme 1 or TRP-based pre-compensation) is configured ~~and UE is configured with Rel-15 single-TRP or Rel-16 scheme 3/4 for PDSCH scheme~~ and CORESET is indicated with two TCI states and UE is not configured with *enableTwoDefaultTCI-States* and time offset between the reception of the DL DCI and the corresponding PDSCH is less than the threshold *timeDurationForQCL** **Alt 3**: QCL assumption associated with one TCI state of the lowest CORESET ID in the latest slot, if there are two activated TCI states for the CORESET with the lowest CORESET ID, one of two TCI states will be selected, e.g. always selects the first or the second TCI state or the TCI state with a lower ID
* FFS whether it is optional feature
 |

### Issue #4-3 (Default TCI for Rel-17 SFN PDSCH)

Several companies provided preference regarding determination of default TCI states for reception of Rel-17 enhanced SFN PDSCH, when PDSCH is scheduled by PDCCH transmitted from CORESET indicated with two TCI states. Based on the company’s contributions the following alternatives were identified.

**Issue #4-3:**

If enhanced SFN PDCCH transmission scheme (scheme 1 or TRP-based pre-compensation) is configured and CORESET is activated with two TCI states and UE is configured with enableTwoDefaultTCI-States and time offset between the reception of the DL DCI and the corresponding PDSCH is less than the threshold timeDurationForQCL, down-select rule to determine default beam(s) for Rel-17 SFN PDSCH reception:

* **Alt 1**: Reuse rule to determine TCI states as defined for Rel-16 PDSCH scheme-1a
	+ **Supported**: Huawei/HiSilicon, Samsung, NEC, Qualcomm, Ericsson, Xiaomi, Spreadtrum
* **Alt 2**: Introduce new rules to determine TCI states based on two TCI state(s) of the CORESET
	+ FFS other details
	+ **Supported**: CATT, Intel, LGE, Convida Wireless

Based on the company’s preference the following proposal is made.

#### Round-1

**Proposal #4-3:**

If enhanced SFN PDCCH transmission scheme (scheme 1 or TRP -based pre-compensation) is configured and CORESET is activated with two TCI states and UE is configured with enableTwoDefaultTCI-States and time offset between the reception of the DL DCI and the corresponding PDSCH is less than the threshold timeDurationForQCL, down-select rule to determine default beam(s) for Rel-17 SFN PDSCH reception:

* **Alt 1**: Reuse rule to determine TCI states as defined for Rel-16 PDSCH scheme-1a

|  |  |
| --- | --- |
| **Company** | **Comment** |
| ZTE | In Rel-16, if UE is configured with  enableTwoDefaultTCI-States, the two TCI states from the lowest MACCE codepoint among ones with two TCI states are used as default beams. It is used for MTRP PDSCH schemes regardless of PDCCH scheme. Thus, the above proposal should be changed as If enhanced SFN PDSCH transmission scheme (scheme 1 or TRP -based pre-compensation) is configured and UE is configured with enableTwoDefaultTCI-States and time offset between the reception of the DL DCI and the corresponding PDSCH is less than the threshold timeDurationForQCL, to determine default beam(s) for Rel-17 SFN PDSCH reception:* **Alt 1**: Reuse rule to determine TCI states as defined for Rel-16 PDSCH scheme-1a
 |
| Apple | In Rel-16, default beam is UE optional feature, i.e., FG16-2b-0. So we need the similar agreement and it is preferable to have independent UE capability  |
| DOCOMO | Support FL proposal, with modifying ~~down-select rule~~. |
| Xiaomi | We are fine with Proposal #4-3 and DOCOMO’s modification |
| OPPO | We think HST-SFN should be supported with indicated TCI state. There are so many cases for default TCI state with HST-SFN(see issue #4-2~4-7, and there are many other cases not specified here). We don’t think there is enough time to discuss all the cases with conclusion. It is simpler to support it with scheduling offset larger than offset.  |
| vivo | Support to reuse the Rel-16 rule to determine default TCI states for SFN PDSCH based on the lowest codepoint in MAC CE, and fine with ZTE’s modification. |
| Lenovo/MotM | Support the proposal |
| MediaTek | Support the proposal |
| Samsung | Support the proposal with Docomo’s updating. Also, if the case of PDCCH with single-TRP and Rel-17 SFN PDSCH is supported based on the outcome of proposal#1-1, we are fine to extend this proposal regardless of PDCCH scheme as ZTE suggested. Also, similar with the proposal #4-2, to make complete solution, we would like to add the situation when the CORESET, which is overlapped with the scheduled single-TRP PDSCH reception in same carrier or intra-band CA, is activated one or two TCI states, which is already captured in the current spec. |
| Nokia/NSB | We are generally fine with the proposal.Before we are going to the final decision, we propose to check the company’s idea on mandatory configuration of *enableTwoDefaultTCI-States* for SFN PDSCH.We have proposed an option can be supported without configurating *enableTwoDefaultTCI-States* which doesn’t require additional PDSCH MAC-CE*.*  (see our proposal in Issue #3-4) |
| CATT | Do not support this proposal. Alt 2 is preferred since the channel properties of the SFN-ed PDSCH transmission in the latest slot are more likely to be close to the channel properties of the SFN-ed PDSCH transmission. So compared with Rel-16 rule, it’s more reasonable to follow the TCI state(s) of CORESET. |
| LG | We support Alt2. Regarding Alt1, MAC-CE signaling is needed in order to change two default beams, so it may cause additional MAC-CE overhead for default beam indication. Rather than depending on only lowest TCI codepoint, it is desirable to determine default beams based on TCI states of CORESET if the CORESET is configured with 2 TCI states. On the other hand, if the CORESET is configured with 1 TCI state, default beams can be determined based on the lowest TCI codepoint.  |
| Convida Wireless | Our preference is to use the activated TCI states for the CORESET with the lowest CORESET ID in the latest slot, i.e. Alt 2. This can reduce the amount of beam switching for the UE. For Alt 1, the UE needs to constantly switch back and forth between the monitored CORESET TCI states and the TCI states in the lowest codepoint. |
| Ericsson | We support the proposal if “TRP-based pre-compensation” is removed. We can later add back the “TRP-based pre-compensation” if RAN4 has agreed to support FR2 with bidirectional transmission. |
| Moderator | Considering that the proposal is targeting Alt 1, the condition of enhanced SFN scheme for PDCCH is not required. Below is updated proposal.  |

#### Round-2

**Proposal #4-3a (for conclusion):**

If enableTwoDefaultTCI-States is configured and time offset between the reception of the DL DCI and the PDSCH is less than the threshold timeDurationForQCL, default beam(s) for Rel-17 enhanced SFN PDSCH (scheme 1 or TRP -based pre-compensation) reception:

* **Alt 1**: Reuse rule to determine TCI states as defined for Rel-16 PDSCH scheme-1a

|  |  |
| --- | --- |
| **Company** | **Comment** |
| Moderator | Proponents of Alt 1, please address concerns raised by some companies for Alt 1, e.g. by Convida Wireless. |
| DOCOMO | Support. **Re Convida**, we think your issue (*the UE needs to constantly switch back and forth between the monitored CORESET TCI states and the TCI states in the lowest codepoint*) is not specific issue for this proposal. From Rel.16, if UE is configured with *enableTwoDefaultTCI-States*, UE needs to switch the beams. **Re Apple:** We are fine to make this as optional UE capability, like Rel.16.**Re OPPO/CATT/LG:** for SCS 120kHz in FR2, the minimum value of *timeDulationForQCL* is 14 symbol. However, RAN4 only supports self-slot scheduling (scheduling offset is less than 14 symbols). Hence, our understanding is that all network can only use default QCL assumption for PDSCH from Rel.15 in FR2, and the default QCL discussion is essential for FR2. If Alt.2 is supported, Rel-17 enhanced SFN PDSCH cannot be used in practical (because Rel-17 enhanced SFN PDSCH always assume 1 TCI state), unless RAN4 support cross-slot scheduling in future.**Re Ericsson:** RAN4 is currently discussing whether to support bi-directional SFN with 350km/h@30GHz for CPE. However, that discussion is based on Rel-15/16 RAN1 spec., and it is separate discussion. Hence, we think there is no need to remove “TRP-based pre-compensation”.  |
| LG | Regarding DOCOMO’s comment, it seems that there is different understanding on Alt2. Our proposal on Alt2 is as follows.Based on our proposal, two default beams can be supported. But, the difference from Alt1 is that two default beams can be determined based on the number of TCI states configured for the CORESET. (‘The CORESET’ is associated with a monitored search space with the lowest controlResourceSetId in the latest slot) We think the benefit is that different two default beams can be supported without additional MAC-CE signaling to update TCI codepoint. |
| OPPO | One comment for clarification:Is “Rel-17 enhanced SFN PDSCH (scheme 1 or TRP -based pre-compensation)” here implies that the MAC CE would activate two TCI states for at least one codepoint (then the TCI states corresponding to the lowest codepoint can be used)? Is the case that all codepoints indicate one TCI state (then the TCI state of the lowest ID CORESET would be applied) also included? |
| Convida Wireless | Re Docomo: Agreed. In Rel-16, we couldn’t get two default beams from the CORESET in the latest monitored slot, since it only had 1 activated TCI state. Therefore, the two default TCI states had to be taken from somewhere else, i.e. from the lowest TCI codepoint with two TCI states.In Rel-17, we can improve the design by using the two TCI states of the CORESET. |
| Xiaomi | We are fine with the proposal |
| Ericsson | Support.**Proposal #4-3a (for conclusion):**If enableTwoDefaultTCI-States is configured and time offset between the reception of the DL DCI and the PDSCH is less than the threshold timeDurationForQCL, default beam(s) for Rel-17 enhanced SFN PDSCH (scheme 1 ~~or TRP -based pre-compensation~~) reception:* **Alt 1**: Reuse rule to determine TCI states as defined for Rel-16 PDSCH scheme-1a
 |
| Lenovo/MotM | Support the proposal |
| Apple | Like we did in Rel-16, we also need to add the condition that it is UE optional feature. This requires UE to buffer large amount of data especially in FR2 which is hurting user experience than improving.  |
| QC | We are fine with the proposal and agree with Apple on UE optional feature. |
| Moderator | **Re:** Oppo. My understanding that reusing Rel-16 rule implies that at least one TCI codepoint should indicate two TCI states. We can check whether companies have different understanding and add that condition. **Proposal #4-3b:**If enableTwoDefaultTCI-States is configured and time offset between the reception of the DL DCI and the PDSCH is less than the threshold timeDurationForQCL, default beam(s) for Rel-17 enhanced SFN PDSCH (scheme 1 or TRP -based pre-compensation) reception:* **Alt 1**: Reuse rule to determine TCI states as defined for Rel-16 PDSCH scheme-1a
* This is UE optional feature
 |
| CATT | We think Alt 2 is enhancement and combination for both R15 and R16 rules. If at least one CORESET is activated by two TCI states in the latest slot, the QCL assumption of SFN-ed PDSCH can be associated with TCI states of the lowest ID CORESET that similar rule as R15; And if no CORESET is activated by two TCI states in the latest slot, the QCL assumption of SFN-ed PDSCH also can be associated with TCI states of the lowest ID codepoint including two TCI states that similar rule as R16. Hence, Alt 2 is a more comprehensive solution. |

#### Round-3

**Proposal #4-3b:**

If enableTwoDefaultTCI-States is configured and time offset between the reception of the DL DCI and the PDSCH is less than the threshold timeDurationForQCL, default beam(s) for Rel-17 enhanced SFN PDSCH (scheme 1 or if supported TRP-based pre-compensation) reception:

* **Alt 1**: Reuse rule to determine TCI states as defined for Rel-16 PDSCH scheme-1a

This is UE optional feature

|  |  |
| --- | --- |
| **Company** | **Comment** |
| Ericsson | Support this proposal |
| ZTE | OK |
| Samsung | Support the proposal |
| Lenovo/MotM | Support the proposal |
| DOCOMO | Support the proposal.**Re LG, Convida**: thank you for your response. Since single TRP PDCCH can schedule Rel.17 HST PDSCH, we cannot always derive two default TCI state from CORESET. In that sense, we think FL proposal or LG’s proposal are better than Convida’s proposal. |
| CATT | Do not support this proposal.As shown below, we sorted out all the default beams rules for SFN, R16 M-TRP and R15 S-TRP schemes when time offset between the reception of the DL DCI and the PDSCH is less than the threshold *timeDurationForQCL*. Compared with current rules for R16 M-TRP, we think the channel properties of the SFN-ed PDCCH transmission in the latest slot are more likely to be close to the channel properties of the SFN-ed PDSCH transmission. Besides, Alt 2 is a unified solution because no matter *enableTwoDefaultTCI-States* is configured or not*,* the QCL assumption of CORESET can be applied as default beam(s) with the highest priority. * If *enableTwoDefaultTCI-States* is configured,
	+ If RRC parameter such as *sfnscheme* is configured for PDSCH and at least one CORESET is activated by two TCI states in the latest slot,
		- UE applies two TCI states of the lowest ID CORESET containing two different TCI states in the latest slot.
	+ Else if at least one TCI codepoint indicates two TCI states,
		- UE applies the TCI states corresponding to the lowest codepoint among the TCI codepoints containing two different TCI states.
	+ Else UE applies TCI state of the lowest ID CORESET in the latest slot.
* If *enableTwoDefaultTCI-States* is not configured, UE applies TCI state of the lowest ID CORESET in the latest slot.
 |
|  |  |
|  |  |
|  |  |
|  |  |

### Issue #4-4 (TCI states of PDSCH with absent TCI field)

Several companies discussed the issue of PDSCH reception when TCI field is not present in DCI scheduling PDSCH. Based on the discussion the following alternatives were identified for the following discussion.

**Issue #4-4**:

For PDSCH reception scheduled by DCI format 1\_1 and 1\_2, if a CORESET is indicated with two TCI states and the time offset between the reception of the DL DCI and the corresponding PDSCH is equal or larger than the threshold *timeDurationForQCL* down-select one alternative

* **Alt 1:** Support configuration when there is no TCI field in the DCI scheduling PDSCH
	+ - if there is at least one TCI codepoint indicating two TCI states, UE applies the QCL assumption of the CORESET that schedules the PDSCH when receiving the PDSCH
		- otherwise, UE applies the first TCI state of the CORESET when receiving the PDSCH
	+ FFS whether or not UE capability is required
		- **Supported**: CATT, Lenovo/MotMobility, LGE, DOCOMO, Convida Wireless
* **Alt 2**: Configuration when there is no TCI field in the DCI scheduling PDSCH is not supported
	+ **Supported**: OPPO?, Qualcomm,

Based on the company’s preference the following proposal is made.

#### Round-1

**Proposal #4-4**:

For PDSCH reception scheduled by DCI format 1\_1 and 1\_2, if a CORESET is indicated with two TCI states and the time offset between the reception of the DL DCI and the corresponding PDSCH is equal or larger than the threshold *timeDurationForQCL* down-select one alternative

* **Alt 1:** Support configuration when there is no TCI field in the DCI scheduling PDSCH
	+ - if there is at least one TCI codepoint indicating two TCI states, UE applies the QCL assumption of the CORESET that schedules the PDSCH when receiving the PDSCH
		- otherwise, UE applies the first TCI state of the CORESET when receiving the PDSCH
	+ FFS whether or not UE capability is required

|  |  |
| --- | --- |
| **Company** | **Comment** |
| ZTE | Why do we have to need the first subbullet? UE applies the QCL assumption of scheduling PDCCH anyway, there is no relationship with ‘at least one TCI codepoint indicating two TCI states’. So we suggest * **Alt 1:** Support configuration when there is no TCI field in the DCI scheduling PDSCH
	+ - UE applies the first TCI state of the CORESET that schedules the PDSCH when receiving the PDSCH
	+ FFS whether or not UE capability is required
 |
| Apple | Do not support this proposal. We first need to even discuss if we allow HST-SFN DCI format 1\_1 and 1\_2 to scheme sTRP PDSCH (which is the second bullet) |
| DOCOMO | * Support the proposal in principle, but in Rel.15/16, for DCI formats without TCI state field (including DCI format 1\_0/1\_1/1\_2), and if the scheduling offset is larger than *timeDurationForQCL*, QCL assumption of PDSCH is derived from the scheduling CORESET. We should reuse this basic rule.
* In Rel.17, the scheduling CORESET may have one or two TCI states. So, we should cover the both cases. If one TCI state is derived, it means S-TRP PDSCH, otherwise, we should discuss the PDSCH with two TCI states are HST-SFN schemes in Rel.17 or M-TRP repetition schemes in Rel.16.
* DCI format 1\_0 should be also covered in the proposal.

Hence, we suggest to update the proposal:For PDSCH reception scheduled by DCI format 1\_0, 1\_1 and 1\_2, if a CORESET is indicated with two TCI states and the time offset between the reception of the DL DCI and the corresponding PDSCH is equal or larger than the threshold *timeDurationForQCL* down-select one alternative* **Alt 1:** Support configuration when there is no TCI field in the DCI scheduling PDSCH
	+ - UE applies the state(s) of the CORESET when receiving the PDSCH

if there is two active TCI states for the CORESET, UE applies the both QCL assumption of the CORESET that schedules the PDSCH when receiving the PDSCH otherwise, UE applies the one active TCI state of the CORESET when receiving the PDSCH * + FFS whether or not UE capability is required
 |
| Xiaomi | Support the proposal #4-4. While for Rel-16 scheme 3/4 for PDSCH, further discussion on how to apply two TCI states is needed. |
| OPPO | We don’t support the proposal.Firstly, a CORESET should be “the scheduling CORESET”.Secondly, we need to conclude on issue#1-4 first. If a common RRC parameter is used for PDSCH and PDCCH, the PDSCH would not be S-TRP transmission at all.Thirdly, we don’t support the proposal of ZTE and DOCOMO. If the scheduling CORESET is configured with two TCI state, but PDSCH is configured with S-TRP/Rel-16 URLLC (if agreed by #1-4), UE needs to support dynamic switching following the proposal. It should be noticed that dynamic switching between Rel-16 URLLC and Rel-17 HST is not supported by current agreement.  |
| Lenovo/MotM | We think “at least one TCI codepoint indicating two TCI states” is not needed. Thus, we suggest:* **Alt 1:** Support configuration when there is no TCI field in the DCI scheduling PDSCH
	+ - if enableTwoDefaultTCI-States is configured, UE applies the QCL assumption of the CORESET that schedules the PDSCH when receiving the PDSCH
		- otherwise, UE applies one TCI state of the CORESET when receiving the PDSCH

FFS whether or not UE capability is required |
| Nokia/NSB | Similar view with DOCOMO. Also, it is pending to Issue #1-1. Also, it is unclear whether PDSCH MAC-CE is required if TCI field is not present. So, we think for following two cases, UE assume default QCL assumption follows the lowest indexed CORESET in the latest slot (with [one or] two TCI states)when SFN PDCCH is configured and* If TCI field is not present and/or
* If UE is not configured with *enableTwoDefaultTCI-States or,*

If UE is configured with *enableTwoDefaultTCI-States* but none of TCI codepoints is indicated with two TCI states in MAC-CE. (TBD if supported) |
| QC | Don’t support the proposal.We think the TCI field should be always present in the DCI. Also, it is the same principles as Rel-16 M-TRP PDSCH.  |
| CATT | Support this proposal. |
| LG | Support FL’s proposal. Regarding the first subbullet, we think it should be included in the proposal. This is because that condition can be used for UE to know whether PDSCH from MTRP or STRP. If there is at least one TCI codepoint indicating two TCI states, the UE can be expected to receive PDSCH from MTRP.  |
| Convida Wireless | Support the proposal, with revision from Docomo. |
| Ericsson | Don’t support. We think TCI field can always be present when using DCI 1\_1/1\_2 in SFNed network.  |
| Moderator | @ZTE, please refer to LG explanation on the first bullet condition. Below is updated proposal based on some inputs above. Companies are invited to provide additional feedback on the updated proposal. |

#### Round-2

**Proposal #4-4a**:

For PDSCH reception scheduled by DCI format 1\_0, 1\_1 and 1\_2, if the scheduling CORESET is indicated with two TCI states and the time offset between the reception of the DL DCI and the corresponding PDSCH is equal or larger than the threshold *timeDurationForQCL*

* **Alt 1:** Support configuration when there is no TCI field in the DCI scheduling PDSCH
	+ - if there is at least one TCI codepoint indicating two TCI states for PDSCH, UE applies the QCL assumption of the CORESET that schedules the PDSCH when receiving the PDSCH
		- otherwise, UE applies the first TCI state of the CORESET when receiving the PDSCH
	+ FFS whether or not UE capability is required
		- FFS if the above condition should be also dependent on *enableTwoDefaultTCI-States*
		- FFS support the case when *enableTwoDefaultTCI-States* is configured, but none of TCI codepoints is indicated with two TCI states in MAC-CE

|  |  |
| --- | --- |
| **Company** | **Comment** |
| OPPO | It depends on the outcome of issue #1-1. If Rel-15 PDSCH is scheduled by SFNed PDCCH (if supported), single TCI state should be applied. If SFNed PDSCH is scheduled by SFNed PDCCH, we cannot understand why gNB would not indicate TCI field for PDSCH (but for PDCCH). If configuration without TCI field is supported, two TCI states can be applied. Hence, it depends on the transmission scheme of the PDSCH.  |
| DOCOMO | As we commented in the 1st round, if we follow Rel.15/16 principle, for DCI formats without TCI state field (including DCI format 1\_0/1\_1/1\_2), and if the scheduling offset is larger than timeDurationForQCL, QCL assumption of PDSCH is derived from the scheduling CORESET. Why should we change this basic principle?We suggest to add another alternative proposal below (same as 1st round):* **Alt 2:** Support configuration when there is no TCI field in the DCI scheduling PDSCH
	+ - UE applies the state(s) of the scheduling CORESET when receiving the PDSCH
* if there is two active TCI states for the CORESET, UE applies the both QCL assumption of the CORESET that schedules the PDSCH when receiving the PDSCH
* otherwise, UE applies the one active TCI state of the CORESET when receiving the PDSCH
	+ FFS if the above condition should be also dependent on *enableTwoDefaultTCI-States*
	+ FFS support the case when *enableTwoDefaultTCI-States* is configured, but none of TCI codepoints is indicated with two TCI states in MAC-CE

We are also fine to discuss this issue later, as Apple/OPPO’s concern.**Re Qualcomm**, in Rel-16 M-TRP PDSCH, we think TCI state field can be absent to use default TCI state, because “the lowest TCI codepoint” is determined by MAC CE, and it does not depends on whether TCI state field exists or not. |
| LG | Support FL’s proposal Regarding DOCOMO’s comment, in our understanding, the scheduling CORESET is also considered in the main sentence of FL’s proposal, so the proposal does not change the basic principle.  |
| vivo | Agree with DOCOMO, we think one simple solution is to follow the R15 mechanism as much as possible, i.e. using the TCI state of the scheduling CORESET. In R15/16, PDCCH is just associated with one TCI state, so when there is no TCI field in the DCI, there is no use case for UE to follow the two default TCI states of the CORESET. But now, the difference is that the CORESET is indicated with two TCI states, so whether PDSCH can refer to one or both TCI states should depend on whether UE support two default TCI states or is configured with *enableTwoDefaultTCI-States*. * **Alt 1:** Support configuration when there is no TCI field in the DCI scheduling PDSCH
	+ - If *enableTwoDefaultTCI-States* is configured, UE applies the QCL assumption of the CORESET that schedules the PDSCH when receiving the PDSCH
		- otherwise, UE applies the first TCI state of the CORESET when receiving the PDSCH
		- Note: support the case when *enableTwoDefaultTCI-States* is configured, but none of TCI codepoints is indicated with two TCI states in MAC-CE
	+ FFS whether or not UE capability is required
		- ~~FFS if the above condition should be also dependent on~~ *~~enableTwoDefaultTCI-States~~*
 |
| OPPO1 | @DOCOMO: If the PDSCH is S-TRP transmission (not configured with SFN by RRC), and there is two active TCI states for the scheduling CORESET, the UE should apply two TCI states as default TCI state, while assume S-TRP when the scheduling offset is larger than threshold. Then UE is mandated to support dynamic switching between S-TRP and SFN depended on the scheduling offset in DCI, which should be subject to UE capability. Furthermore, we cannot understand the motivation why a UE configured with Rel-15 S-TRP for PDSCH needs to apply SFN transmission as default transmission assumption.  |
| Convida Wireless | Support. Since time offset is equal or larger than the threshold *timeDurationForQCL*, this isn’t about the default TCI states and *enableTwoDefaultTCI-States* shouldn’t be applicable, in our understanding. |
| Nokia/NSB | We share view with DOCOMO and vivo. In FR2, the most common option for beam switching is switching PDCCH beams than TCI indication in DCI, which means no need for TCI activation MAC-CE for PDSCH. So, PDSCH TCI state MAC-CE should be redundant transmission because PDCCH TCI MAC-CE is already transmitted, or UE shall always receive two MAC-CE at the same time. If reception time of two MAC-CEs are different, there are ambiguity in time for MAC-CE activation. Also, if UE can receive SFN PDCCH, there is no way to assume single TRP operation. Thus, we prefer DOCOMO’s update |
| Xiaomi | We are fine with the proposal in principle. And the second bullet can be updated as below:* + - otherwise, UE applies the first TCI state of the CORESET that schedules the PDSCH when receiving the PDSCH
 |
| Ericsson | We are in general fine with this proposal. |
| Lenovo/MotM | Don’t support. We think the condition “at least one TCI codepoint indicating two TCI states” is not needed. If all CORESETs configured in the active BWP are without TCI field present, there may be no MAC-CE activation for PDSCH TCI codepoints. And whether a PDSCH transmission is based on M-TRP or not is not depends on a TCI codepoints including two TCI states. We are fine with the version from Docomo |
| Apple | 1. First of all, this needs to be an UE optional feature, there is no reason a UE should buffer large amount of data in FR2 for the latency that cannot even be perceived.
2. Secondly, the issue is SFN PDCCH scheduling sTRP PDSCH which we have not even agreed. Even if it is supported, how to select the TCI to decode PDSCH is up for UE implementation as the principle in Rel-16.
 |
| QC | **Don’t support.** Few comments:1. The scenario of SFN CORESET scheduling sTRP PDSCH is not justified for us as commented earlier. Also, this discussion depends on Issue #1-1 whether supported or not.
2. For DCI format 1\_1 and 1\_2 where scheduling offset >threshold, we don’t understand the motivation why gNB would not indicate TCI for SFN PDSCH. We support that that TCI is always present following Rel-16 mechanism.
3. What is the motivation for sending a fallback DCI with SFN mode? How this work with mixed of legacy UE and Rel-17 UE?

**Rely to DOCOMO:** That is not our understanding. The TCI state field cannot be absent. The description of Rel-16 M-TRP in 38.214 Section 5.1 are based on the presence of the TCI field. Also, the UE behavior for the case of TCI field not present is not specified for scheduling offset < threshold (please refer to R1-2001377 Outcome of email thread [100e-NR-eMIMO-multiTRP-01] OPPO) |
| Moderator | Thanks Nokia and DOCOMO for explanation, I know see the difference. Agree to capture two alternatives. It would be great to see preference from interested companies for Alt 1 and Alt 2. Please also provide feedback on vivo’s proposal (thanks Convida Wireless for feedback)Please address comments / questions from OPPO, Apple and QC. **Proposal #4-4b**: For PDSCH reception scheduled by DCI format 1\_0, 1\_1 and 1\_2, if the scheduling CORESET is indicated with two TCI states and the time offset between the reception of the DL DCI and the corresponding PDSCH is equal or larger than the threshold *timeDurationForQCL* * **Alt 1:** Support configuration when there is no TCI field in the DCI scheduling PDSCH
	+ if there is at least one TCI codepoint indicating two TCI states for PDSCH, UE applies the QCL assumption of the CORESET that schedules the PDSCH when receiving the PDSCH
	+ otherwise, UE applies the first TCI state of the CORESET when receiving the PDSCH
* **Alt 2:** Support configuration when there is no TCI field in the DCI scheduling PDSCH
	+ UE applies the state(s) of the scheduling CORESET when receiving the PDSCH
		- if there are two active TCI states for the CORESET, UE applies the both QCL assumption of the CORESET that schedules the PDSCH when receiving the PDSCH
		- otherwise, UE applies the one active TCI state of the CORESET when receiving the PDSCH
* FFS if the above condition should be also dependent on *enableTwoDefaultTCI-States*
* FFS support the case when *enableTwoDefaultTCI-States* is configured, but none of TCI codepoints is indicated with two TCI states in MAC-CE
* This is UE optional feature
 |
| CATT | Support |

#### Round-3

**Proposal #4-4b**:

For PDSCH reception scheduled by DCI format 1\_0, [if supported DCI formats 1\_1 and 1\_2], if the scheduling CORESET is indicated with two TCI states and the time offset between the reception of the DL DCI and the corresponding PDSCH is equal or larger than the threshold *timeDurationForQCL*

* **Alt 1:** Support configuration when there is no TCI field in the DCI scheduling PDSCH
	+ if there is at least one TCI codepoint indicating two TCI states for PDSCH, UE applies the QCL assumption of the CORESET that schedules the PDSCH when receiving the PDSCH
	+ otherwise, UE applies the first TCI state of the CORESET when receiving the PDSCH
* **Alt 2:** Support configuration when there is no TCI field in the DCI scheduling PDSCH
	+ UE applies the state(s) of the scheduling CORESET when receiving the PDSCH
		- if there are two active TCI states for the CORESET, UE applies the both QCL assumption of the CORESET that schedules the PDSCH when receiving the PDSCH
		- otherwise, UE applies the one active TCI state of the CORESET when receiving the PDSCH
* FFS if the above condition should be also dependent on *enableTwoDefaultTCI-States*
* FFS support the case when *enableTwoDefaultTCI-States* is configured, but none of TCI codepoints is indicated with two TCI states in MAC-CE
* This is UE optional feature

|  |  |
| --- | --- |
| **Company** | **Comment** |
| Ericsson | Prefer alternative 2, which is consistent with Rel-15/16 rules. One comment is the sub-bullet under Alt-2 may not be needed. |
| ZTE | Support the proposal. Prefer Alt2 |
| Samsung | Support Alt2. We would like to ask moderator for the reason why the bracket for “if supported DCI formats 1\_1 and 1\_2” is added. If the considered DCI format is only 1\_0, we do not need this proposal since there is no TCI field in DCI format 1\_0. Regarding first FFS (related to enableTwoDefaultTCI-States), we prefer to add the condition of the RRC parameter in the main bullet. Regarding second FFS, we think the proper UE behavior is the second sub-bullet for each Alts (i.e., otherwise, UE applies the first TCI state of the CORESET when receiving the PDSCH) |
| Lenovo/MotM | Support Alt 2. It still can provide two default beams based on two active TCI states from CORESET in the following two cases: 1. None of TCI codepoint with two TCI states; 2. No MAC CE for TCI state activation.  |
| LG | Support the proposal, and prefer Alt1. Regarding the first and second FFS, we cannot find the reason of need of dependency on *enableTwoDefaultTCI-States*. In Rel-16, *enableTwoDefaultTCI-States* was defined for the case of scheduling offset < *timeDurationForQCL*. However, P4-4b is for the case of scheduling offset >= *timeDurationForQCL*. |
| DOCOMO | Support the proposal, and support Alt.2.The second FFS is only applied to Alt.1, because TCI codepoint is not used in Alt.1. So, we think the second FFS should be under Alt.1**Re OPPO**: Proposal 4-4b only considers the case when the scheduling offset is equal or larger than the threshold. Another case when the scheduling offset should be discussed separately. **Re Qualcomm**: this scenario is not “SFN CORESET scheduling sTRP PDSCH”. For SFN CORESET, DCI format 1\_0 (which has no TCI state field) can schedule PDSCH. The discussion is whether the scheduled PDSCH is single TRP or HST SFN. Based on Alt.2, if the scheduling PDCCH is SFN, the scheduled PDSCH is also HST SFN. Hence, there is no dynamic switching between PDCCH and PDSCH.Also, thank you very much for pointing out. After checking, we see TCI state field should be present to enable default TCI for offset < threshold in Rel.16 sDCI mTRP. But, in Alt.2, we don’t think such a restriction is needed. |
| CATT | Support the proposal, and prefer Alt.2. |
|  |  |
|  |  |
|  |  |

### Issue #4-5 (Default TCI for aperiodic CSI-RS)

Regarding default beam for aperiodic CSI-RS reception. Several companies proposed to define new rule to determine default beam for aperiodic CSI-RS reception in Rel-17, when CORESET is indicated with two TCI states. Based on the company’s contributions the following proposal is made.

#### Round-1

**Proposal #4-5:**

* If enhanced SFN PDCCH transmission scheme (scheme 1 or TRP -based pre-compensation) is configured and CORESET is indicated with two TCI states, and scheduling offset for AP CSI-RS is less than the threshold and *enableTwoDefaultTCIStates* is not configured
	+ - If there is no other overlapping DL signal use one of two TCI states as default beam for aperiodic CSI-RS reception using the same principles as for default TCI state for Rel-15 single TRP PDSCH case

|  |  |
| --- | --- |
| **Company** | **Comment** |
| ZTE | OK |
| Apple | *enableTwoDefaultTCI-States*What is Rel-15 sTRP rule? Is it based on CORESET? But now CORESET has two TCI, but we do not support CSI-RS with two TCI |
| DOCOMO | Is it correct understanding to add “,” at the below location?- If there is no other overlapping DL signal use one of two TCI states as default beam for aperiodic CSI-RS reception, using the same principles as for default TCI state for Rel-15 single TRP PDSCH caseWhy the proposal only covers the case “if there is no other overlapping DL signal”? |
| Xiaomi | We are confused which TCI state will be applied for AP CSI-RS when CORESET configured with two TCI states. |
| OPPO | Similar to PDSCH, we propose to only support scheduling offset larger than threshold if the CORESET is configured with two TCI state. Then default TCI state is not needed to be defined.  |
| vivo | Does it mean that one of the two TCI states associated with the lowest CORESET in the latest slot would be used as the default TCI state for the AP-CSI-RS, if yes, we support it. Furthermore, we prefer to define the first one of two TCI states as the default TCI state, which is similar to the mechanism of the default TCI state for AP-CSI-RS in Rel-16. |
| Lenovo/MotM | Support |
| Nokia/NSB | We can support the same rule as in Issue #4-2. |
| QC | Support |
| CATT | Support |
| LG | Fine with the proposal  |
| Convida Wireless | Support the proposal |
| Ericsson | Support if we remove “TRP -based pre-compensation” from the proposal. We can add a note to add it back once RAN4 support bidirectional transmission in FR2. |
| Moderator | @Apple, Xiaomi, vivo Yes, the intention is to reuse the same rule as defined for single TRP PDSCH in issue #4-2. Please suggest wording if you think that further clarification is needed@DOCOMO,Could you please elaborate why comma is needed?  |

#### Round 2

**Proposal #4-5a:**

* If enhanced SFN PDCCH transmission scheme (scheme 1 or TRP -based pre-compensation) is configured and CORESET is indicated with two TCI states, and scheduling offset for AP CSI-RS is less than the threshold and *enableTwoDefaultTCIStates* is not configured
	+ - [If there is no other overlapping DL signal] use one of two TCI states as default beam for aperiodic CSI-RS reception using the same principles as for default TCI state for Rel-15 single TRP PDSCH case

|  |  |
| --- | --- |
| **Company** | **Comment** |
| Moderator | Companies are invited to share their view on the need of “If there is no other overlapping DL signal” condition. This has been discussed last meeting, but seems some companies still have question.  |
| OPPO | The same rule as #4-2 is preferred.  |
| DOCOMO | **Re Modetator**: We just wanted to clarify the meaning of the proposal. We confused what is condition and what is behavior. After reviewing, we see the correct comma location is below:* + - [If there is no other overlapping DL signal], use one of two TCI states as default beam for aperiodic CSI-RS reception using the same principles as for default TCI state for Rel-15 single TRP PDSCH case

For alternative case, we suggest to add the following sub-bullet (same as R15): * + - If there is other overlapping DL signal, QCL assumption of aperiodic CSI-RS reception is the same as the DL signal.

We prefer “other overlapping DL signal” to “other DL signal on the same symbol” for clarification. |
| vivo | We think it’s necessary to keep the word ’If there is no other overlapping DL signal’, also fine with DOCOMO’s modification ‘If there is no other overlapping DL signal on the same symbol’. |
| Convida Wireless | Fine with the proposal. |
| Nokia/NSB | The same rule as #4-2 is preferred.  |
| Xiaomi | Prefer to use the same rule in proposal#4-2, and we update the wording as below:* If enhanced SFN PDCCH transmission scheme (scheme 1 or TRP -based pre-compensation) is configured and the scheduling CORESET is indicated with two TCI states, and scheduling offset for AP CSI-RS is less than the threshold and *enableTwoDefaultTCIStates* is not configured
	+ If there is no other overlapping DL signal, using one TCI state of the CORESET with the lowest CORESET ID in the latest slot as default beam for aperiodic CSI-RS reception. if there are two activated TCI states for the CORESET with the lowest CORESET ID, one of two TCI states will be selected, e.g. always selects the first or the second TCI state or the TCI state with a lower ID.
 |
| Ericsson | Support if remove “TRP -based pre-compensation” from the proposal. |
| Lenovo/MotM | Support the condition of “If there is no other overlapping DL signal”. We also want to make a clarification that in our understanding the phrase “…using the same principles as for default TCI state for Rel-15 single TRP PDSCH case” means same rule for default TCI state for Rel-15 single TRP PDSCH in issue 4-2. If that is the case, we support the proposal |
| Apple | This should be up for UE implementation, CORESET has two TCIs states and AP-CSI-RS can have only one beam, the system cannot work efficiently, why do we need to design and discuss something that is broken. How hard it is to schedule something that respects the UE capability? |
| QC | Support.  |
| Moderator | Please find the updated proposal.**Proposal #4-5b:*** If enhanced SFN PDCCH transmission scheme (scheme 1 or TRP -based pre-compensation) is configured and CORESET is indicated with two TCI states, and scheduling offset for AP CSI-RS is less than the threshold and *enableTwoDefaultTCIStates* is not configured
	+ - If there is no other DL signal on the same symbol, use one of two TCI states as default beam for aperiodic CSI-RS reception using the same principles as for default TCI state for Rel-15 single TRP PDSCH case, i.e.
			* using one TCI state of the CORESET with the lowest CORESET ID in the latest slot as default beam for aperiodic CSI-RS reception. if there are two activated TCI states for the CORESET with the lowest CORESET ID, one of two TCI states will be selected, e.g. always selects the first or the second TCI state or the TCI state with a lower ID.
		- If there is other DL signal on the same symbol, QCL assumption of aperiodic CSI-RS reception is the same as the DL signal.
 |
| CATT | Support |

#### Round-3

**Proposal #4-5c:**

* If enhanced SFN PDCCH transmission scheme (scheme 1 or if supported TRP-based pre-compensation) is configured and CORESET is indicated with two TCI states, and scheduling offset for AP CSI-RS is less than the threshold and *enableTwoDefaultTCIStates* is not configured
	+ - If there is no other DL signal on the same symbol, use one of two TCI states as default beam for aperiodic CSI-RS reception ~~using the same principles as for default TCI state for Rel-15 single TRP PDSCH case~~, i.e.
			* using one TCI state of the CORESET with the lowest CORESET ID in the latest slot as default beam for aperiodic CSI-RS reception. if there are two activated TCI states for the CORESET with the lowest CORESET ID, one of two TCI states will be selected, e.g. always selects the first or the second TCI state or the TCI state with a lower ID.
		- If there is other DL signal on the same symbol, QCL assumption of aperiodic CSI-RS reception is the same as the DL signal.

|  |  |
| --- | --- |
| **Company** | **Comment** |
| Convida Wireless | Support. |
| Ericsson | Support.For the second sub-bullet under the main bullet, we suggest to add a sub-sub-bullet just as in Rel-16.……* + - If there is other DL signal on the same symbol, QCL assumption of aperiodic CSI-RS reception is the same as the DL signal.
		- If there is a PDSCH indicated with two TCI states in the same symbols as the CSI-RS, the UE applies the first TCI state of the two TCI states when receiving the aperiodic CSI-RS
 |
| ZTE | Support.Similar revision as Ericsson, * + - If there is other DL signal on the same symbol, QCL assumption of aperiodic CSI-RS reception is the same as the first TCI state of DL signal.
 |
| Samsung | Support in principle.To clarify further, we would like to add a sub-sub-bullet under the second sub-bullet under the main bullet as follows.…* + - If there is other DL signal on the same symbol, QCL assumption of aperiodic CSI-RS reception is the same as the DL signal.
		- The other DL signal refers to the same DL signals as in Rel-15/16.

@Ericsson: we think the red part which you suggested is not needed as the condition for the red part in the spec is “when *enableTwoDefauleTCI-States* is configured and at least one TCI codepoint is mapped to two TCI states”, but the condition in the main bullet of this proposal is that *enableTwoDefauleTCI-States* is NOT configured. |
| Lenovo/MotM | Support the proposal |
| LG | Support the proposal  |
| DOCOMO | Support FL proposal. Regarding to the selection rule from the two TCI states, we think there is no technical benefit for each option. We think we can pick up one simple option, e.g. always selects the first TCI state. |
| CATT | Support. |
|  |  |
|  |  |

### Issue #4-6 (Default spatial / PL RS for single-TRP PUSCH/PUCCH/SRS)

In the context of supporting two TCI states for CORESET, several companies have mentioned the issue of default uplink beam(s) and PL-RS determination for dedicated-PUSCH/PUCCH/SRS transmission to a single TRP. Based on the company’s contributions the following proposal is made.

#### Round-1

**Proposal #4-6:**

If enhanced SFN PDCCH transmission scheme (scheme 1 or TRP -based pre-compensation) is configured and CORESET is indicated with two TCI states for PUSCH/PUCCH/SRS transmission to a single-TRP

* If PL-RS and spatial relation information are not configured and default beam is enabled for the PUCCH transmission (*enableDefaultBeamPL-ForPUCCH* is configured)
	+ For single-TRP PUCCH transmission define rule(s) to determine one of the TCI states of the CORESET used as default beam and PL RS
	+ FFS the exact rule
* If PUSCH scheduled by DCI format 0\_0 and default beam is enabled for the PUSCH transmission
	+ For single-TRP PUSCH transmission define rule(s) to determine one of the TCI states of the CORESET used as default beam and PL RS
	+ FFS the exact rule
* If PL-RS and spatial relation information are not configured and default beam is enabled for the SRS transmission
	+ Define rule(s) for mapping of TCI states from CORESET to SRS resource sets to determine default beam and PL-RS

Companies to provide their preference on the proposal above.

|  |  |
| --- | --- |
| **Company** | **Comment** |
| ZTE | Support in principle.  |
| Apple | In Rel-16, this is an UE optional feature, i.e., FG16-1c. We also prefer it to be UE optional  |
| DOCOMO | Support in principle. |
| Xiaomi | Support Proposal #4-6 |
| OPPO | We need to agree that the Rel-16 default spatial relation/PL RS for PUSCH/PUCCH/SRS is also applicable to HST-SFN transmission firstly. In Rel-16, default spatial relation/PL RS for PUSCH/PUCCH/SRS was only agreed for single TRP case. It needs to be clarified whether SFNed PDCCH transmission is an S-TRP case or M-TRP case? **Agreement@RAN1#99**The following working assumption is confirmed with revision in redThe default spatial relation for dedicated-PUCCH/SRS for a CC in FR2, at least when no pathloss RSs are configured by RRC is determined by* ~~Default TCI state or QCL assumption of PDSCH, i.e.,~~
* in case when CORESET(s) are configured on the CC, the TCI state / QCL assumption of the CORESET with the lowest ID, or
	+ The PL RS to be used is the QCL-TypeD RS of the same TCI state / QCL assumption of the CORESET with the lowest ID
	+ Note: The PL RS should be periodic RS
* in case when any CORESETs are not configured on the CC, the activated TCI state with the lowest ID applicable to PDSCH in the active DL-BWP of the CC
* Above applies at least for UEs supporting beam correspondence
* Above applies at least for the single TRP case4
 |
| vivo | Support the proposal |
| Lenovo/MotM | Support |
| MediaTek | Support |
| Samsung | Support the proposal. |
| CATT | Support |
| LG | Support in principle  |
| Moderator | @OPPO, yes, the proposal implies such extension. |

#### Round-2

**Proposal #4-6a:**

If enhanced SFN PDCCH transmission scheme (scheme 1 or TRP-based pre-compensation) is configured and CORESET is indicated with two TCI states for PUSCH/PUCCH/SRS transmission to a single-TRP

* If PL-RS and spatial relation information are not configured and default beam is enabled for the PUCCH transmission (*enableDefaultBeamPL-ForPUCCH* is configured)
	+ For single-TRP PUCCH transmission define rule(s) to determine one of the TCI states of the CORESET used as default beam and PL RS
	+ FFS the exact rule
* If PUSCH scheduled by DCI format 0\_0 and default beam is enabled for the PUSCH transmission
	+ For single-TRP PUSCH transmission define rule(s) to determine one of the TCI states of the CORESET used as default beam and PL RS
	+ FFS the exact rule
* If PL-RS and spatial relation information are not configured and default beam is enabled for the SRS transmission
	+ Define rule(s) for mapping of TCI states from CORESET to SRS resource sets to determine default beam and PL-RS
* These are UE optional features

|  |  |
| --- | --- |
| **Company** | **Comment** |
| OPPO | We suggest to discuss this issue with low priority.  |
| DOCOMO | Support. |
| LG | We are fine with the proposal  |
| vivo | Discuss it later |
| Nokia/NSB | Same view with OPPO and vivo, discuss it later.  |
| Xiaomi | We suggest to update the proposal as below and we are OK to discuss it later.**Proposal #4-6a:**If enhanced SFN PDCCH transmission scheme (scheme 1 or TRP-based pre-compensation) is configured and the scheduling CORESET for scheduling PUSCH/PUCCH/SRS transmission to a single-TRP is indicated with two TCI states* If PL-RS and spatial relation information are not configured and default beam is enabled for the PUCCH transmission (*enableDefaultBeamPL-ForPUCCH* is configured)
	+ For single-TRP PUCCH transmission define rule(s) to determine one of the TCI states of the scheduling CORESET (or the CORESET with the lowest CORESET ID?) as default beam and PL RS
	+ FFS the exact rule
* If PUSCH scheduled by DCI format 0\_0 and default beam is enabled for the PUSCH transmission
	+ For single-TRP PUSCH transmission define rule(s) to determine one of the TCI states of the scheduling CORESET (or the CORESET with the lowest CORESET ID?) as default beam and PL RS
	+ FFS the exact rule
* If PL-RS and spatial relation information are not configured and default beam is enabled for the SRS transmission
	+ Define rule(s) for mapping of TCI states from the scheduling CORESET (or the CORESET with the lowest CORESET ID?) to SRS resource sets to determine default beam and PL-RS
* These are UE optional features
 |
| Ericsson | Support if remove“TRP -based pre-compensation” from the proposal.  |
| Lenovo/MotM | Support the proposal |
| Apple | We need to de-prioritize the default beam discussion. 3GPP already provides a clean solution that allows gNB to configure the beam to the UE without ambiguity. We are spending so much time to play with rules and ignore the UE capability. The only argument is for latency which is not even a valid reason, since default beam can be explicitly configured without those rule. Furthermore, for FR2, the UE power and thermal is more important than the fraction of ms latency. We are designing something that cause the pain of the consumer.  |
| QC | Discuss it later.  |
| CATT | Discuss it later. |

### Issue #4-7 (Default spatial / PL RS for Rel-17 multi-TRP PUSCH/PUCCH)

If a CORESET is indicated with two TCI states, several companies proposed to define rule to determine default beams for Rel-17 multi-TRP PUSCH/PUCCH transmission schemes with repetition. Based on the discussion the following proposal is made.

#### Round-1

**Proposal #4-7:**

* If a CORESET is indicated with two TCI states, support two TCI states of the CORESET as default beams and PL RS for Rel-17 Multi-TRP PUSCH/PUCCH repetition scheme
	+ FFS the exact rule

Companies to provide their views on the proposal above.

|  |  |
| --- | --- |
| **Company** | **Comment** |
| ZTE | Support.  |
| Apple | Firstly, we need an agreement whether this is supported, i.e., mixture of HST-SFN PDCCH with other mTRP scheme that is non-HST |
| DOCOMO | Support. |
| Xiaomi | This can be discussed later. Since the default beams and PL RS for Rel-17 Multi-TRP PUSCH/PUCCH repetition scheme with Rel-16 CORESET is not decided yet. |
| OPPO | In Rel-16, default spatial relation/PL RS for PUSCH/PUCCH/SRS was only agreed for single TRP case. It needs to be clarified that1. Whether SFNed PDCCH transmission is an S-TRP case or M-TRP case?
2. Whether Rel-17 multi-TRP PUSCH/PUCCH is a single TRP case?

If not, we need a new agreement that Rel-16 default spatial relation/PL RS for PUSCH/PUCCH is also applied to multiple TRP case. But maybe 8.1.2.1 is the right place to make this agreement.**Working Assumption@RAN1#98bis**The default spatial relation for dedicated-PUCCH/SRS for a CC in FR2, at least when no pathloss RSs are configured by RRC is determined by* Default TCI state or QCL assumption of PDSCH, i.e.,
	+ in case when CORESET(s) are configured on the CC, the CORESET with the lowest ID in the most recent monitored downlink slot, or
	+ in case when any CORESETs are not configured on the CC, the activated TCI state with the lowest ID applicable to PDSCH in the active DL-BWP of the CC
* Above applies at least for UEs supporting beam correspondence
* Above applies at least for the single TRP case
* FFS: Details on UE behavior in the absence of the activated TCI state
* FFS: Details on default spatial relation in multicarrier scenario
* FFS: Details on which RS to use for pathloss measurement
* FFS: Details on how to handle this issue in case pathloss RSs are configured

**Agreement@RAN1#99**The following working assumption is confirmed with revision in redThe default spatial relation for dedicated-PUCCH/SRS for a CC in FR2, at least when no pathloss RSs are configured by RRC is determined by* ~~Default TCI state or QCL assumption of PDSCH, i.e.,~~
* in case when CORESET(s) are configured on the CC, the TCI state / QCL assumption of the CORESET with the lowest ID, or
	+ The PL RS to be used is the QCL-TypeD RS of the same TCI state / QCL assumption of the CORESET with the lowest ID
	+ Note: The PL RS should be periodic RS
* in case when any CORESETs are not configured on the CC, the activated TCI state with the lowest ID applicable to PDSCH in the active DL-BWP of the CC
* Above applies at least for UEs supporting beam correspondence
* Above applies at least for the single TRP case
 |
| vivo | Support |
| Lenovo/MotM | Support |
| MediaTek | Support |
| Samsung | Support the proposal. |
| QC | Discuss it later. |
| CATT | Support |
| LG | Since the discussion of Rel-17 multi-TRP PUSCH/PUCCH repetition schemes is not finished yet, we prefer to postpone this discussion. |
| Ericsson | It’s a bit premature to discuss this issue. |
| Moderator | @OPPO, yes, the proposal implies such extension. |

### Issue #4-8 (PDCCH monitoring with different QCL-TypeD)

Several companies proposed to discuss priority rules for PDCCH monitoring of PDCCH candidates in overlapping monitoring occasion with different QCL-TypeD when CORESET is indicated with two TCI states. Based on the discussion the following proposal is made.

**Issue #4-8:**

* When a CORESET is activated with two TCI states which overlaps with another CORESET, support Rel-15 prioritization rule for PDCCH monitoring of PDCCH candidates in overlapping monitoring occasions with different QCL-TypeD
	+ **Alt 1**: Prioritization rule considers only CORESETs indicated with same number of TCI states (e.g., 2)
		- **Supported**: Qualcomm, Spreadtrum?
	+ **Alt 2**: Prioritization rule considers CORESETs indicated with the same and different number of TCI states
		- FFS other details
		- **Supported**: Samsung, CATT, Lenovo/MotMobility, LGE, Xiaomi,

Based on the company’s preference the following proposal is made.

#### Round-1

**Proposal #4-8:**

* When a CORESET is activated with two TCI states which overlaps with another CORESET, support Rel-15 prioritization rule for PDCCH monitoring of PDCCH candidates in overlapping monitoring occasions with different QCL-TypeD
	+ Prioritization rule considers CORESETs indicated with the same and different number of TCI states
		- FFS other details

Companies to provide their views on the proposal above.

|  |  |
| --- | --- |
| **Company** | **Comment** |
| ZTE | Support in principle. For the details, we think:The first QCL type D is identified by a first CORESET with highest priority based on Rel-15 rule (CSS in lowest CC wit highest priority, etc.). If the CORESET has two TCI states, the second QCL type D is also from the CORESET. Otherwise, the second QCL type D is identified by the first TCI of a second CORESET with second highest priority based on Rel-15 rule. Thus, our suggestion is * When a CORESET is activated with two TCI states which overlaps with another CORESET, support Rel-15 prioritization rule for PDCCH monitoring of PDCCH candidates in overlapping monitoring occasions with different QCL-TypeD
	+ The first QCL type D is identified by a first CORESET with highest priority based on Rel-15 rule (CSS in lowest CC wit highest priority, etc.). If the CORESET has two TCI states, the second QCL type D is also from the CORESET. Otherwise, the second QCL type D is identified by the first TCI of a second CORESET with second highest priority based on Rel-15 rule
 |
| Apple | We first need to discuss if this is even allowed, i.e., HST-SFN CORESET to be configured together with sTRP CORESET. The current specification is not broken neither in principle in 38.213 |
| Sony | We share same view as Apple that we may first need to discuss whether such CORESETs collision between SFN PDCCH and other PDCCH. If yes, then we go next level of details to determine the priority rules on CORESETs with same and/or different number of TCI states.  |
| DOCOMO | Support the FL proposal. |
| Xiaomi | We support the FL proposal and we are also fine with the suggestion from Apple and Sony that in which scenario PDCCH candidate from both SFN PDCCH and sTRP PDCCH are overlapped should be discussed first. After that, we can discuss the rule for two QCL Type D determination.  |
| OPPO | We need to discuss issue 1-4 and 1-3 firstly |
| vivo | We can discuss it later. |
| Lenovo/MotM | Support the proposal. We have the similar view to reuse Rel.15 rule as much as possible. Furthermore, we want to clarify whether two search space sets can be monitored simultaneously, where only one activated TCI state but different QCL-TypeD property is associated with each search space set.  |
| MediaTek | Support |
| Samsung | Support the proposal in principle. |
| Nokia/NSB | Support the proposal.  |
| QC | Do not support.In SFN, UE doesn’t expect CORESETs with mixed #TCI states (single TCI and two TCI states) similar to discussion of issue #1-3. Also, as pointed out by Apple, we need first to settle down on the supported scenarios for issues #1-1. |
| CATT | Support FL proposal. Agree with Apple, we also think this issue is related with issue 1-3. So we propose that MAC CE can activate one or two TCI states per CORESET and Rel-15 prioritization rule can be reused for PDCCH monitoring of PDCCH candidates in overlapping monitoring occasions with different QCL-TypeD. |
| LG | Support in principle.  |
| Ericsson | We shall understand first when Rel-15 rule is not sufficient. Is there a need for new prioritizing rule based on number of activated TCI states on top of Rel-15 rule? We shall reuse the exiting rules as much as possible in order to support legacy UE in the HST network. |
| Moderator | @Apple, Xiaomi, Sony, QCI agree that it is unlikely case in HST-SFN deployment, but for URLLC application it is looks possible scenario. Does it make sense?Interested companies are also invited to provide next level of details similar to ZTE proposal above.  |
|  |  |
|  |  |

### Applicability of the enhanced SFN transmission scheme for common PDCCH

A few companies have raised the issue of supporting enhanced SFN transmission scheme (e.g., TRP based pre-compensation) for common PDCCH as well as for PDSCH scheduled by CSS. Given that such transmissions are likely to be broadcast, NW may not support transmission with pre-compensation. Companies are invited to share their views regarding support of such scenarios including related enhancements or restrictions.

#### Round-1

Proposal #4-9:

* Study applicability of enhanced SFN transmission with TRP based pre-compensation to CORESETs associated with CSS
* Study applicability of enhanced SFN transmission with TRP based pre-compensation to PDSCH scheduled by CSS

|  |  |
| --- | --- |
| **Company** | **Comment** |
| ZTE | Support study. However, for the second bullet, it seems not easy to be supported. |
| Apple | We are fine to study |
| Sony | Fine to study |
| DOCOMO | Fine to study. |
| Xiaomi | Fine to study. |
| OPPO | Support to study the issue. |
| vivo | We are fine to study |
| Lenovo/MotM | Support to study |
| MediaTek | Fine to study |
| Samsung | Support the proposal. |
| Nokia/NSB | Fine to study. CORESET#0 shall be precluded.  |
| QC | Support the study |
| CATT | Support to study |
| Ericsson | Support. |

## Other issues

This section contains other issues the companies want to highlight for discussion regarding support of SFN PDCCH transmission.

|  |  |
| --- | --- |
| **Company** | **Comment** |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |

## Beam Failure Detection and Recovery

### Issue #5-1 (Configuration of RS for BFD)

Several companies have discussed the issue of reference signals configuration for beam failure detection (BFD), when two TCI states are activated for CORESET. Based on the company’s contributions the following preference on the agreed alternatives from RAN1#105e meeting are provided.

**Issue #5-1:**

If enhanced SFN PDCCH transmission scheme (scheme 1 or TRP-based pre-compensation) is configured and two TCI states are activated for at least one CORESET, support the following configuration of RS for BFD

* Down-select one alternative for implicit configuration
	+ **Alt 1-2**: RS of CORESETs with both single and two TCI states are used
		- **Supported (12)**: vivo, InterDigital (optional feature), CATT, Lenovo/MotMobility, Apple, DOCOMO, Xiaomi, Convida Wireless, Nokia/NSB, ZTE, OPPO
	+ **Alt 1-3**: RS of CORESETs with only two TCI states are used
		- **Supported (4)**: vivo, InterDigital, NEC, Qualcomm,
* Down-select one alternative for explicit configuration
	+ **Alt 2-1**: Support defining CSI-RS resource or SSB pairs as BFD RS
		- FFS other details
		- **Supported (9)**: InterDigital, CATT, Lenov/MotMobility, Apple, Xiaomi, Intel, ZTE, NEC, Sony
	+ **Alt 2-2**: Reuse the existing Rel-15/Rel-16 approach for BFD RS configuration
		- **Supported (9)**: Huawei/HiSilicon, Qualcomm, DOCOMO, Convida Wireless, Nokia/NSB, Spreadtrum, OPPO, CATT, LGE
* Note: down-selection can be done separately for Rel-15/16 cell specific BFR and Rel-17 TRP-specific BFR, Rel-17 TRP-specific BFR to be discussed under AI 8.1.2.3

Companies are invited to provide their views regarding the above alternatives.

#### Round-1

Proposal #5-1:

* TBD

|  |  |
| --- | --- |
| **Company** | **Comment** |
| OPPO | Support Alt 1-2 and 2-2.  |
| QC | Support Alt 1-3 and 2-2. |
| CATT | Support Alt 1-2 and 2-1.In Rel-16, a UE can detect up to 2 BFD RS for BFR. So based the restriction for the number of BFD RSs, neither Alt 1-2 nor 1-3 looks perfect for implicit BFD configuration.* If the 2 BFD RSs come from 2 CORESETs, the 2 BFD RSs may be associated with same TRP or different TRPs. If the 2 BFR RSs are associated with same TRP, beam failure may be reported when the other TRPs are still works.
* If the 2 BFR RSs are associated with different TRPs, only one beam is detected for each TRP and only one CORESET can be detected, it may cause frequently BFR, which is not expected.

So we sincerely propose to determine the BFD RSs in CORESET level, i.e. if a spatial relation RS for a CORESET is determined to be a BFD RS, all the spatial relation RSs for the CORESET are determined to be BFD RSs. With this enhancement, Alt 1-2 is preferred. For explicit BFD configuration, defining new BFD RS pairs (Alt 2-1) is preferred for SFN-ed hypothetical BLER calculation for HST-SFN scenarios. |
| LG | Support Alt 2-2. |
| NEC | For implicit BFD RS configuration, we think it may depend on the output of issue 1-3, and we think at least the CORESETs with two active TCI states should be used, and we can be fine with either Alt 1-2 or Alt 1-3 with majority view. For explicit configuration, support Alt 2-1. |
| Convida Wireless | Support Alt 1-2 and 2-2.  |
|  |  |
|  |  |
|  |  |
|  |  |

#### Round-2

Proposal #5-1a:

If enhanced SFN PDCCH transmission scheme (scheme 1 or TRP-based pre-compensation) is configured and two TCI states are activated for at least one CORESET, support the following configuration of RS for BFD

* Down-select one alternative for implicit configuration
	+ **Alt 1-2**: RS of CORESETs with both single and two TCI states are used
		- **Supported (12)**: vivo, InterDigital (optional feature), CATT, Lenovo/MotMobility, Apple, DOCOMO, Xiaomi, Convida Wireless, Nokia/NSB, ZTE, OPPO
	+ **~~Alt 1-3~~**~~: RS of CORESETs with only two TCI states are used~~
		- **~~Supported (4)~~**~~: InterDigital, NEC, Qualcomm,~~
* Down-select one alternative for explicit configuration
	+ **Alt 2-1**: Support defining CSI-RS resource or SSB pairs as BFD RS
		- FFS other details
		- **Supported (9)**: InterDigital, CATT, Lenov/MotMobility, Apple, Xiaomi, Intel, ZTE, NEC, Sony
	+ **Alt 2-2**: Reuse the existing Rel-15/Rel-16 approach for BFD RS configuration
		- **Supported (9)**: Huawei/HiSilicon, Qualcomm, DOCOMO, Convida Wireless, Nokia/NSB, Spreadtrum, OPPO, CATT, LGE
* Note: down-selection can be done separately for Rel-15/16 cell specific BFR and Rel-17 TRP-specific BFR, Rel-17 TRP-specific BFR to be discussed under AI 8.1.2.3

|  |  |
| --- | --- |
| **Company** | **Comment** |
| Moderator | Need to decide between Alt 2-1 and Alt 2-2 |
| Sony | Sorry for our late input on the 2nd issue, which makes it a draw by now. Our reason supporting Alt 2-1 is that for a CORESET activated with 2 TCI states, a UE could measure and detect the actual SFN transmission by measuring the pair of BFD RSs.  |
| DOCOMO | Support FL proposal.  |
| vivo | Support Alt 2-2. For explicit configuration of BFD-RS, if one CORESET is SFN-based, and another CORESET is STRP-based, it seems no easy to explicitly configure BFD-RS as pairs. |
| ZTE | Support FL proposal |
| Convida Wireless | Support FL proposal |
| Nokia/NSB | Support proposal.  |
| Xiaomi | Support Alt 2-1. With Alt 2-2, it is possible that UE detect beam failure with BFD-RS, but the radio link quality of PDCCH based on enhanced SFN transmission scheme is better than the threshold. In this case, unnecessary BFR will be resulted in.For the case of one CORESET is SFN-based and another CORESET is sTRP-based, only BFD-RS pairs can be configured, or some BFD-RS pairs and some individual BFD-RS can be configured together. Since BFR will be triggered when radio link quality of all BFD-RS pairs/BFD-RSs are worse than the threshold. |
| Lenovo/MotM | Support the proposal. Prefer Alt 1-2 and Alt 2-1. For explicit configuration, we believe CSI-RS resource or SSB pair is beneficial for SFN-ed hypothetical BLER calculation |
| Apple | We need to align with the BFD solution for mTRP enhancement in Rel-17 |
| QC | We would like proponent of Alt 1-2 to elaborate how RS of CORESETs with both single and two TCI states would be used.  |
| CATT | Support FL proposal.As we mentioned in round-1, based the restriction for the number of BFD RSs, neither Alt 1-2 nor 1-3 looks perfect for implicit BFD configuration. So we suggest determining the BFD RSs in CORESET level, i.e. if a spatial relation RS for a CORESET is determined to be a BFD RS, all the spatial relation RSs for the CORESET are determined to be BFD RSs.Hence, we suggest to update the proposal:Proposal #5-1a: If enhanced SFN PDCCH transmission scheme (scheme 1 or TRP-based pre-compensation) is configured and two TCI states are activated for at least one CORESET, support the following configuration of RS for BFD* Down-select one alternative for implicit configuration
	+ **Alt 1-2**: RS of CORESETs with both single and two TCI states are used
		- **Supported (12)**: vivo, InterDigital (optional feature), CATT, Lenovo/MotMobility, Apple, DOCOMO, Xiaomi, Convida Wireless, Nokia/NSB, ZTE, OPPO
	+ **~~Alt 1-3~~**~~: RS of CORESETs with only two TCI states are used~~
		- **~~Supported (4)~~**~~: vivo, InterDigital, NEC, Qualcomm,~~
* Down-select one alternative for explicit configuration
	+ **Alt 2-1**: Support defining CSI-RS resource or SSB pairs as BFD RS
		- FFS other details
		- **Supported (9)**: InterDigital, CATT, Lenov/MotMobility, Apple, Xiaomi, Intel, ZTE, NEC, Sony
	+ **Alt 2-2**: Reuse the existing Rel-15/Rel-16 approach for BFD RS configuration
		- **Supported (9)**: Huawei/HiSilicon, Qualcomm, DOCOMO, Convida Wireless, Nokia/NSB, Spreadtrum, OPPO, CATT, LGE
* Note: down-selection can be done separately for Rel-15/16 cell specific BFR and Rel-17 TRP-specific BFR, Rel-17 TRP-specific BFR to be discussed under AI 8.1.2.3
* FFS：whether to support the expansion of BFD RSs to CORESET level
 |

#### Round-3

Proposal #5-1b:

If enhanced SFN PDCCH transmission scheme (scheme 1 or TRP-based pre-compensation) is configured and two TCI states are activated for at least one CORESET, support the following configuration of RS for BFD

* For implicit configuration support
	+ **Alt 1-2**: RS of CORESETs with both single and two TCI states are used

FFS: whether to support the expansion of BFD RSs to CORESET level

|  |  |
| --- | --- |
| **Company** | **Comment** |
| Moderator | It seems  |
| Convida Wireless | Support |
| Ericsson | Support |
| ZTE | Support |
| Samsung | Support |
| Lenovo/MotM | Support the proposal |
| Sony | We are fine with the direction. But for the case that all CORESETs are with two active TCI states, RS of CORESET(s) with single TCI state does not apply. Perhaps we could try following rewording with same intentiono **Alt 1-2**: RS of CORESETs with either single or two TCI states can be usedFor the FFS, we don’t think current wording “expansion BFD RSs to CORESET level” can justify its intention clearly to the group. Perhaps it may need to be more specific.  |
| LG | Fine with the proposal |
| DOCOMO | Support |
| NEC | Just would like to clarify that, the maximum number of BFD RS is still be 2?e.g. if there are three RSs involved (one from CORESET with single TCI state, and two from CORESET with two TCI states), it’s still up to UE to select up to 2 RSs for BFD RS? |
| CATT | Support the proposal.**Re Sony**,Thanks for your concerns on this FFS. Based the restriction for the number of BFD RSs in current specs, neither Alt 1-2 nor 1-3 looks perfect for implicit BFD configuration. So we suggest determining the BFD RSs in CORESET level, i.e. if a spatial relation RS for a CORESET is determined to be a BFD RS, all the spatial relation RSs for the CORESET are determined to be BFD RSs.And We are fine with the editorial modification for this FFS. For clarify our proposal, we suggest to update the FFS,FFS: For implicit BFD configuration, UE can determine the BFD RSs in CORESET level, i.e. if a spatial relation RS for a CORESET is determined to be a BFD RS, all the spatial relation RSs for the CORESET are determined to be BFD RSs.**@ NEC**,Based the current proposal without FFS, the maximum number of BFD RS is still be 2. So we suggest to expansion of BFD RSs to CORESET level, i.e. the maximum number of BFD RS is determined to the number of spatial relation RSs for 2 CORESETs. |

### Issue #5-2 (Hypothetical BLER calculation for BFD)

Several companies have discussed the issue of hypothetical BLER calculation using measurements from beam failure detection (BFD) RS, when two TCI states are activated for CORESET. Based on the company’s contributions the following preference on the agreed alternatives from RAN1#105e meeting are provided.

**Issue #5-2:**

* When two TCI states are activated for a CORESET, hypothetical BLER for BFD calculated as follows
	+ **Alt 3-1**: UE calculates hypothetical BLER using BFD RS assuming single-TRP transmission
		- **Supported:** Huawei / HiSilicon, Ericsson, Spreadtrum, Convida Wireless,
	+ **Alt 3-2**: UE calculates hypothetical BLER using BFD RS pairs assuming SFN transmission for multiple-TRPs
		- **Supported**: vivo, CATT, Lenovo/MotM, Qualcomm, Apple, LGE, Xiaomi, ZTE, NEC, OPPO. Lenovo/MotMobility, Nokia/NSB, MediaTek, , Apple, Ericsson, Xiaomi , Sony , Docomo …

Companies are invited to provide their views regarding the above alternatives.

#### Round-1

**Proposal #5-2:**

* TBD

|  |  |
| --- | --- |
| **Company** | **Comment** |
| ZTE | It seems FL didn’t capture our views from our tdoc in the FL summary.We support Alt3-2 as it reflects the real PDCCH transmission. Alt 3-1 will cause the unnecessary BFR report when only one beam just fails.  |
| Sony | Support Alt 3-2 which facilitates UE to calculate BLER of actually SFN PDCCH. |
| DOCOMO | Support Atl.3-2. Since PDCCH is received in SFN, BLER of PDCCH should be also calculated with SFN assumption. |
| OPPO | Support Alt 3-2. |
| vivo | Support Alt 3-2, calculating hypothetical BLER using BFD RS pairs would be more appropriate to reflect the performance of SFN-based PDCCH. |
| MediaTek | Support Alt 3-2 |
| Nokia/NSB | We are fine with Alt 3-2 in principle. But we think this is up to UE implementation. Please clarify what the specification impact is.  |
| QC | Support Alt 3-2 and share similar views with Nokia as it is up to UE implementation to do the calculation of the hypothetical BLER. |
| CATT | Support Alt 3-2. |
| NEC | Support Alt 3-2. |
| Convida Wireless | Support Alt 3-1 (legacy BFD). In our understanding, the UE will experience beam failure in Alt 3-1 when both BFD RS in the same BFD RS set fail.Similarly, the UE will experience beam failure in Alt 3-2 when both BFD RS in the BFD RS pair fail.The minor difference between Alt 3-1 and Alt 3-2 doesn’t seem to motivate the enhancement. |

#### Round-2

**Proposal #5-2a:**

* When two TCI states are activated for a CORESET, hypothetical BLER for BFD calculated as follows
	+ **~~Alt 3-1~~**~~: UE calculates hypothetical BLER using BFD RS assuming single-TRP transmission~~
		- **~~Supported:~~** ~~Huawei / HiSilicon, Ericsson, Spreadtrum, Convida Wireless,~~
	+ **Alt 3-2**: UE calculates hypothetical BLER using BFD RS pairs assuming SFN transmission for multiple-TRPs
		- It is up to UE implementation how to do the calculation of the hypothetical BLER
		- **Supported**: vivo, CATT, Lenovo/MotM, Qualcomm, Apple, LGE, Xiaomi, ZTE, NEC, OPPO. Lenovo/MotMobility, Nokia/NSB, MediaTek, , Apple, Ericsson, Xiaomi , Sony , Docomo …

|  |  |
| --- | --- |
| **Company** | **Comment** |
| OPPO | Support |
| Sony | Support the FL proposal.  |
| DOCOMO | Support. |
| LG | Support  |
| vivo | Support |
| ZTE | Support Alt 3-2, however we have concern to up to UE implementation. Based on the existing RAN4 specification 38.133 section 8.5, it specifies the assumption for BLER computation. Thus, we think it should let RAN4 decide how to calculate the hypothetical BLER.  |
| Convida Wireless | It’s a bit unclear what the spec impact of Alt 3-2 is. Does this apply only to implicitly configured BFD-RS? How is a pair determined for explicitly configured BFD-RS? |
| Nokia/NSB | Support the proposal. Also fine with ZTE view.  |
| Xiaomi | Support the proposal |
| Lenovo/MotM | Support the proposal |
| Apple | We prefer the hypothetical BLER estimate left for UE implementation or optimization without strict specification requirement. It is not straightforward to have accurate BLER estimation from a pair of BFD RS.  |
| QC | Support |
| CATT | Support |

#### Round-3

**Proposal #5-2b:**

* When two TCI states are activated for a CORESET, hypothetical BLER for BFD calculated as follows
	+ **Alt 3-2**: UE calculates hypothetical BLER using BFD RS pairs assuming SFN transmission for multiple-TRPs
		- It is up to RAN4 whether or not to specify assumption for calculation of the hypothetical BLER

|  |  |
| --- | --- |
| **Company** | **Comment** |
| Convida Wireless | Not support.The benefit of changing the basic legacy BFD operation is still unclear, i.e. to assess hypothetical BLER for a single BFD-RS. See our comment in round 1.Furthermore, there seems to be no RAN1 spec impact if such a calculation is up to UE implementation, and no need for a RAN1 agreement. |
| Ericsson | Fine with the proposal. |
| ZTE | Support.  |
| Samsung | Support |
| Lenovo/MotM | Support the proposal. We prefer to have an agreement/conclusion here since it is the basic assumption for discussing beam failure detection and recovery schemes, including Issue #5-1 (Configuration of RS for BFD) |
| Sony | Support. |
| LG | Support  |
| DOCOMO | Support. |
| CATT | Support. |
|  |  |

### Issue #5-3 (NBI RS)

Several companies have discussed the issue of configuration of new beam identification reference signals, when two TCI states are activated for CORESET. Based on the company’s contributions the following preference on the agreed alternatives from RAN1#105e meeting are provided.

**Issue #5-3:**

* When two TCI states are activated for a CORESET, NBI RS are configured as follows
	+ Alt 4-1: Reuse the existing Rel-15 NBI configuration based on single CSI-RS resource
		- **Supported**: Qualcomm, Nokia/NSB, Intel, OPPO, vivo, MediaTek, Ericsson, Convida Wireless, Sony, CATT …
	+ Alt 4-2: Introduce two new beam identification CSI-RS resource sets or new beam identification CSI-RS resource pairs
		- **Supported**: Lenovo/MotMobility, Xiaomi, ZTE, DOCOMO, NEC,

#### Round-1

Companies are invited to provide their views regarding the above alternatives.

**Proposal #5-3:**

* TBD

|  |  |
| --- | --- |
| **Company** | **Comment** |
| Sony | We think legacy NBI configuration (Alt 4-1) could work. In addition, if the BFR of SFN transmission occur, UE doesn’t necessarily recover back to SFN operation. At current moment, it is still possible for UE to fall back to S-TRP mode. Perhaps this needs more discussion.  |
| QC | Support Alt 4-1.In our understanding, if both TCIs fail, then the recovery should start from single TRP based on the identified singe new beam. |
| CATT | Support Alt 4-1. |
| NEC | Support Alt 4-2. |
| Convida Wireless | Alt 4-1 seems sufficient. |
| Moderator | Need more inputs from companies  |
|  |  |
|  |  |
|  |  |

### Issue #5-4 (Applicability of the BFR enhancements)

Several companies have discussed the issue of applicability of beam failure enhancements for different BFD procedures (specified in different releases), when two TCI states are activated for CORESET. Based on the company’s contributions the following proposal is made.

**Issue #5-4:**

* When two TCI states are activated for a CORESET, BFR enhancements are applicable to
	+ Rel-15 BFR and Rel-16 BFR procedure
		- **Supported**: Lenovo/MotMobility, Qualcomm, NEC, Nokia/NSB,

Companies are invited to provide their views regarding the above proposal.

#### Round-1

**Proposal #5-4:**

* When two TCI states are activated for a CORESET, BFR enhancements are applicable to
	+ Rel-15 BFR and Rel-16 BFR procedure

|  |  |
| --- | --- |
| **Company** | **Comment** |
| Moderator | Can be discussed later |
| ZTE | Support |
| Apple | Can be discussed later and we also need to consider the other BFR enhancement in the mTRP PDCCH enhancement session  |
| Docomo | Support |
| Xiaomi | Further discussion on details is necessary.  |
| Lenovo/MotM | Support |
| MediaTek | Fine to discuss later |
| Nokia/NSB | Fine with the conclusion. We don’t see any way to distinguish two SFN CORESETs associate with a TRP.  |
| QC | Support |
| CATT | Fine to discuss later |
| NEC | Discussed later. |
| Convida Wireless | It seems appropriate to discuss this later when we know more details of the “BFR enhancements”, if any. |

## Other issues

This section contains other issues the companies want to highlight for discussion regarding support of beam failure detection.

|  |  |
| --- | --- |
| **Company** | **Comment** |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |

## Radio Link Monitoring

### Issue #6-1

One company raised issue of RLM RS set configuration for enhanced SFN transmission scheme of PDCCH. It is proposed to further discuss this issue in the next RAN1 meetings.

#### Round-1

**Proposal #6-1:**

* Study RLM RS configuration enhancements when enhanced SFN transmission scheme is configured for PDCCH

|  |  |
| --- | --- |
| **Company** | **Comment** |
| ZTE | Support |
| Apple  | Discuss later  |
| Docomo | Support |
|

|  |  |
| --- | --- |
| OPPO | Support |

 | Support |
| Lenovo/MotM | Support |
| MediaTek | Support |
| Nokia/NSB | Discuss later.  |
| QC | Support to study |
| CATT | Support |
| Samsung | Support |

## Issue #7-1 (Other non-categorized proposals)

The proposals supported by one company are provided below for consideration in the next RAN1 meetings.

* *Support of small delay CDD with a properly adjusted delay offset between TRPs*
* *QCL assumptions between the TRS/CSI-RS and SSB reference RS for scheme 1*
* *Introduce new QCL type-E with loose Doppler shift relationship between the target and source RS.*
* *Study zone-based configuration for TCI/QCL information to mitigate potential high signaling overhead.*
* *Support variable-rate TRS transmission for HST deployment scenario.*
* *TCI states configured in non-serving cell(s) with PCI either explicitly configured or implicitly associated*
* *DMRS adaptation for HST SFN scenario*
* *UE assisted DMRS adaptation for DL, in which UE provides an indication of the most convenient DMRS configuration*
* *Study PTRS design in case of SFN transmission scheme*
* *Dynamic DMRS configuration signaling to enable DMRS adaptation*
* *New SRS pattern for UL Doppler estimation purpose*
* *SRS allocation for Doppler measurements multiplexing with any UL or DL channel for the addressed UE*
* *Efficient triggering method for SRS transmission*
* *Study TA issue in HST scenario*

# Other issues

This section contains other issues the companies want to highlight.

|  |  |
| --- | --- |
| **Company** | **Comment** |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |

# References

[1] RP-193133, New WID: Further enhancements on MIMO for NR, Samsung 3GPP TSG RAN Meeting #86, Sitges, Spain, December 9-12, 2019.

[2] R1-2106467, Enhancements on HST multi-TRP deployment in Rel-17, Huawei, HiSilicon

[3] R1-2106545, Discussion on Multi-TRP HST enhancements, ZTE

[4] R1-2106575, Further discussion and evaluation on HST-SFN schemes, vivo

[5] R1-2106644, M-TRP Operation for HST-SFN Deployment, InterDigital, Inc.

[6] R1-2106689, Discussion on enhancements on HST-SFN deployment, Spreadtrum Communications

[7] R1-2106792, Enhancement on HST-SFN deployment, Sony

[8] R1-2106869, Enhancements on HST-SFN, Samsung

[9] R1-2106939, Enhancements on HST-SFN deployment for Rel-17, CATT

[10] R1-2107082, Enhancement to support HST-SFN deployment scenario, FUTUREWEI

[11] R1-2107146, Discussion on HST-SFN deployment, NEC

[12] R1-2107178, Enhancements for HST-SFN deployment, Lenovo, Motorola Mobility

[13] R1-2107207, Enhancements on HST-SFN deployment, OPPO

[14] R1-2107327, Enhancements on HST-SFN deployment, Qualcomm Incorporated

[15] R1-2107394, Enhancements on HST-SFN deployment, CMCC

[16] R1-2107488, Enhancements on HST-SFN deployment, MediaTek Inc.

[17] R1-2107574, Enhancements to HST-SFN deployments, Intel Corporation

[18] R1-2107625, Enhancement on HST-SFN deployment, Ericsson

[19] R1-2107722, Views on Rel-17 HST enhancement, Apple

[20] R1-2107818, Enhancements on HST-SFN deployment, LG Electronics

[21] R1-2107842, Discussion on HST-SFN deployment, NTT DOCOMO, INC.

[22] R1-2107897, Enhancements on HST-SFN operation for multi-TRP PDCCH transmission, Xiaomi

[23] R1-2108022, On Enhancements for HST-SFN deployment, Convida Wireless

[24] R1-2108056, Enhancements for HST-SFN deployment, Nokia, Nokia Shanghai Bell

# Appendix (Summary of the agreements)

The agreements made in RAN1#102e, RAN1#103e and RAN1#104e, RAN1#105e meetings are provided below.

**RAN1#102-e meeting agreements**

|  |
| --- |
| **Agreement**For the discussion purpose consider the following categorization of the enhanced DL transmission schemes* **Scheme 1**:
	+ TRS is transmitted in TRP-specific / non-SFN manner
	+ DM-RS and PDCCH/PDSCH from TRPs are transmitted in SFN manner
* **Scheme 2**:
	+ TRS and DM-RS are transmitted in TRP-specific / non-SFN manner
	+ PDSCH from TRPs is transmitted in SFN manner

**Agreement**Study the following aspects of the enhanced transmission schemes:* **For scheme 1**:
	+ Target DL physical channels, i.e., PDSCH only or PDSCH + PDCCH
	+ Whether more than 2 QCL/TCI states are required and corresponding signaling details
	+ Whether and how to indicate scheme 1 for differentiation with Rel-16 non-SFNed transmission schemes with multiple QCL/TCI states
	+ QCL relationship between TRS and DMRS ports
	+ Note: Other schemes/aspects are not precluded
* **For scheme 2**:
	+ Association of each MIMO layer of PDSCH to DM-RS antenna ports
	+ Whether more than 2 QCL/TCI states are required and corresponding signaling details
	+ Whether and how to indicate scheme 2 for differentiation with Rel-16 non-SFNed transmission schemes with multiple QCL/TCI states

Note: Other schemes/aspects are not precluded |

|  |
| --- |
| **Agreement**Study TRP-based frequency offset pre-compensation including the following aspects:* Aspects related to indication of the carrier frequency determined based on the received TRS resource(s) in the 1st step
	+ **Option 1**: Implicit indication of the Doppler shift(s) using uplink signal(s) transmitted on the carrier frequency acquired in the 1st step
		- Indication for QCL-like association of the resource(s) received in the 1st step with UL signal transmitted in the 2nd step
		- Type of the uplink reference signals / physical channel used in the 2nd step, necessity of new configuration and corresponding signaling details
	+ **Option 2**: Explicit reporting of the Doppler shift(s) acquired in the 1st step using CSI framework
		- FFS: Indication for QCL-like association of the resource(s) received in the 1st step with UL signal transmitted in the 2nd step
		- CSI reporting aspects, configuration, quantization, signalling details, etc.
* New QCL types/assumption for TRS with other RS (e.g., SS/PBCH), when TRS resource(s) is used as target RS in TCI state
* New QCL types/assumptions for TRS with other RS (e.g., DM-RS), when TRS resource(s) is used as source RS in the TCI state
* Target physical channels (e.g., PDSCH only or PDSCH/PDCCH) and reference signals that should be supported for pre-compensation
* Signalling/procedural details on whether/how the pre-compensation is applied to target channels
* Whether multiple sets of TRS and pre-compensation on TRS is needed in 3rd step.

Note: Other aspects/schemes are not precluded |

**RAN1#103-e meeting**

|  |
| --- |
| **Agreement**Support at least the following configuration for HST scenario in Rel-17* The same DMRS port(s) can associate with multiple TCI states
	+ FFS other details

Note: DMRS and PDCCH/PDSCH from different TRPs are transmitted in SFN manner**Agreement**At most two TCI states are supported for HST scenario in Rel-17* FFS: Whether to support more than two TCI states for FR2
* FFS configuration/signalling details of the TCI states

Note: DMRS and PDCCH/PDSCH from different TRPs are transmitted in SFN manner**Agreement**When the same DMRS port(s) are associated with two TCI states containing TRS as source reference signal, at least one variant is supported for Rel-17 HST-SFN scenario based on further evaluations* **Variant A**: One of the TCI state can be associated with {*average delay*, *delay spread*} and another TCI states can be associated with {*average delay, delay spread, Doppler shift, Doppler spread*} (i.e., QCL-TypeA)
* **Variant B**: One of the TCI state can be associated with {*average delay, delay spread*} and another TCI state with {*Doppler shift, Doppler spread*} (i.e., QCL-TypeB)
* **Variant C**: One of the TCI state can be associated with {*delay spread*}  and another TCI states can be associated with {*average delay, delay spread, Doppler shift, Doppler spread*} (i.e., QCL-TypeA)
* **Variant E**: Both TCI states can be associated with {*average delay, delay spread, Doppler shift, Doppler spread*} (i.e., QCL-TypeA)
* FFS: Indication method to apply QCL, e.g., via new QCL-type, or reuse existing QCL-type while UE to ignore certain QCL properties
* Note: Each TCI state in the above variants may be additionally associated with {Spatial Rx parameter} (i.e., QCL-TypeD)
* Note: Companies are encouraged to provide evaluation results for the above variants based on agreed EVM from RAN1#102e meeting
* Note: Above variants are applicable to scheme 1 and/or TRP based pre-compensation as a reference for evaluation.
* This agreement is for the purpose of evaluation and does not imply the support or lack of support of scheme 1 and/or TRP based pre-compensation
 |

|  |
| --- |
| **Agreement**For PDCCH reliability enhancements, support SFN scheme + Alt 1-1.* FFS: TCI state activation for CORESET, impact on default beam, BFD resource for BFR

Where the Alt 1-1 is agreed as:Alt 1-1: One PDCCH candidate (in a given SS set) is associated with both TCI states of the CORESET. |

**RAN1#104-e meeting**

|  |
| --- |
| **Agreement**Scheme 1 is supported in Rel-17 * TRS is transmitted in TRP-specific / non-SFN manner
* DM-RS and PDCCH/PDSCH from TRPs are transmitted in SFN manner
* FFS other details

 **Agreement**For scheme 1 and SFN transmission of PDCCH support Variant E for QCL assumption in TCI state when TRS is used as source RS **Agreement**Two TCI states are supported for scheme 1 in FR2**Agreement*** Support MAC CE activation of two TCI states for PDCCH
* FFS other details

**Conclusion**The decision on support of specification based TRP pre-compensation scheme for HST-SFN scenario to be made in RAN1#104-e-bis meeting. To facilitate RAN1 decision, companies are encouraged to provide evaluation results according to the agreed evaluation assumptions. The evaluations not compliant with agreed assumptions will not be considered by RAN1 in the decision process.**Agreement**For HST-SFN scenario:* Support semi-static (RRC based) switching of scheme 1 (PDSCH) with 2a, 2b, 3, 4
* FFS all other details including RRC signaling, possible RAN4 impact (if any), etc.
 |

**RAN1#104b-e meeting**

|  |
| --- |
| **Agreement**Introduce enhanced MAC CE signaling for PDCCH activating two TCI states for SFN-based PDCCH transmission* The corresponding MAC CE includes at least the following fields
	+ Serving cell ID
	+ CORESET ID
	+ Two TCI state IDs
* FFS whether for CA scenario additionally support RRC configured set of the serving cells which can be addressed by a single MAC CE
* FFS whether or not enhanced MAC CE signaling is applicable to a CORESET configured with CORESETPoolindex

Send LS to RAN2 to inform about agreement on support of enhanced MAC CE for CORESET in Rel-17. LS is endorsed in R1-2104064**Agreement**Specification-based TRP Doppler pre-compensation scheme is supported in Rel-17 for FR1 with one or both:* UL RS based Doppler estimation by gNB
	+ FFS: Details including UL RS enhancement
* DL RS based Doppler feedback by UE
	+ FFS: Details
	+ FFS: Whether UE capability needs to be introduced
* Whether to support one or both will be decided later

**Agreement*** Support dynamic (DCI-based) switching of scheme 1 (PDSCH) with single-TRP scheme by TCI state field in DCI format 1\_1/1\_2
	+ This feature is UE optional
* FFS all other details including RRC signalling, possible RAN4 impact (if any), etc.

**Working Assumption**All QCL source RS resource types as defined in TCI state for Rel-16 multi-TRP are supported for scheme 1**Agreement**Support semi-static (RRC-based) switching of scheme 1 (PDSCH) with Rel-16 scheme 1a* FFS: Whether dynamic switching is additionally supported

**For future meeting:**Companies to consider Proposal #3-8a in FL summary (R1-2104020) for future meetings.Companies to consider Proposal #3-10 in FL summary (R1-2104020) for future meetings.**Agreement**Scheme 1 for PDSCH is identified by* New RRC parameter and the number of TCI states indicated by DCI
	+ FFS RRC configuration details, e.g., per BWP or per CC
	+ FFS whether or not restriction to a single CDM group for DM-RS is also supported
 |

**RAN1#105-e meeting**

|  |
| --- |
| **Agreement**Confirm the following working assumption from RAN1#104b-e:All QCL source RS resource types as defined in TCI state for Rel-16 multi-TRP are supported for scheme 1.**Agreement**UE is not expected to be indicated by MAC CE with single TCI state per any of TCI codepoint , if UE is configured with scheme 1 PDSCH by RRC , but not capable to support dynamic switching between scheme 1 and single-TRP by TCI state field in DCI Format 1\_1/1\_2**Agreement**For specification based TRP-based frequency offset pre-compensation scheme* Support dynamic (DCI -based) switching with single-TRP scheme by TCI state field in DCI format 1\_1/1\_2
	+ This feature is UE optional
	+ UE is not expected to be indicated by MAC CE with single TCI state per any of TCI codepoint , if UE is configured with TRP-based frequency PDSCH by RRC , but not capable to support dynamic switching between TRP-based frequency and single-TRP by TCI state field in DCI Format 1\_1/1\_2
* Support semi-static (RRC based) switching with Rel-16 schemes 1a, 2a, 2b, 3, 4
* Support semi-static (RRC based) switching with Rel-17 scheme 1 (PDSCH)

**Agreement**Enhanced MAC CE signaling is not applicable to any of the configured CORESETs in a BWP if the CORESETs are configured with different *CORESETPoolindex* values in the BWP.**Working Assumption**For TRP-based pre-compensation, Variant A (based on RAN1#103-e meeting agreement) are supported as QCL types/assumption, when the same DMRS port(s) are associated with two TCI states.* FFS: Additional support of Variant B

**Agreement*** For TRP-based pre-compensation QCL assumptions is provided to the UE by using the existing QCL type(s) with certain QCL parameters dropped from the indicted QCL type
	+ FFS rule or signalling to determine which TCI state with dropped QCL parameters
* UE does not expect to be configured different SFN schemes (scheme 1 or TRP pre-compensation) for both PDCCH and PDSCH.
	+ FFS whether this restriction is per UE or per CC
* UE does not expect to be configured different SFN schemes (scheme 1 or TRP pre-compensation) for different CORESETs.
	+ FFS whether this restriction is per UE or per CC

**Agreement**Enhanced SFN PDCCH transmission scheme (scheme 1 or TRP-based pre-compensation) is identified by the number of TCI states activated per CORESET and RRC parameter* FFS: Configuration detail of RRC parameter
	+ Including whether the same RRC parameter is used for PDCCH and PDSCH

**Agreement**If enhanced SFN PDCCH transmission scheme (scheme 1 or TRP -based pre-compensation) is configured and a CORESET is activated with two TCI states and UE is configured with enableTwoDefaultTCI-States and time offset between the reception of the DL DCI and the corresponding PDSCH is less than the threshold timeDurationForQCL, down-select rule to determine default beam(s) for Rel-17 SFN PDSCH reception in RAN1#106-e:* **Alt 1**: Reuse rule to determine TCI states as defined for Rel-16 PDSCH scheme-1a
* **Alt 2**: Introduce new rules to determine TCI states based on two TCI state(s) of the CORESET

**Agreement**If enhanced SFN PDCCH transmission scheme (scheme 1 or TRP-based pre-compensation) is configured and two TCI states are activated for at least one CORESET, support the following configuration of RS for BFD* Down-select one alternative for implicit configuration
	+ **Alt 1-2**: RS of CORESETs with both single and two TCI states are used
	+ **Alt 1-3**: RS of CORESETs with only two TCI states are used
* Down-select one alternative for explicit configuration
	+ **Alt 2-1**: Support defining CSI-RS resource or SSB pairs as BFD RS
		- FFS other details
	+ **Alt 2-2**: Reuse the existing Rel-15/Rel-16 approach for BFD RS configuration
* Note: down-selection can be done separately for Rel-15/16 cell specific BFR and Rel-17 TRP-specific BFR, Rel-17 TRP-specific BFR to be discussed under AI 8.1.2.3
 |