3GPP TSG RAN WG1 #103-e R1-200xxxx

e-Meeting, October 26th – November 13th, 2020

Source: Moderator (vivo)

Title: Summary of remaining issues on inter-UE prioritization/multiplexing

Agenda Item: 7.2.5

Document for: Discussion and Decision

# Introduction

The document provides a summary for the Rel-16 URLLC maintenance issues related to UL inter-UE prioritization.

# Discussions

## **Issue 1: Impact to PHR calculation due to UL CI in UL CA**

**Case 1: When PHR is carried by DG-PUSCH**

**Option 1:**

* + - If UL CI is received no later than the end of PDCCH carrying UL grant scheduling an PUSCH carrying PHR, the impact of the UL CI is considered for the PHR calculation.
    - Otherwise, the UE is not required to consider the impact of the UL CI for the PHR calculation

**Supported by: ZTE, CATT, LG, Nokia, vivo, Huawei, IDC**

**Option 2:**

* + - If a UL CI is received no later than Tproc,2 before the first symbol of the DG-PUSCH carrying PHR, the impact of the UL CI is considered for the PHR calculation.
    - Otherwise, the UE is not required to consider the impact of the UL CI for the PHR calculation

**Supported by: Apple, Qualcomm**

Most of companies supporting Option 1 (ZTE, LG, Nokia, vivo, Huawei) think no spec change is needed. The “downlink control information” in the following highlighted text can be considered to cover UL CI case already.

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| 7.7 Power headroom report ...  A UE determines whether a power headroom report for an activated serving cell [11, TS 38.321] is based on an actual transmission or a reference format based on the higher layer signalling of configured grant and periodic/semi-persistent sounding reference signal transmissions and downlink control information the UE received until and including the PDCCH monitoring occasion where the UE detects the first DCI format 0\_0 or DCI format 0\_1 scheduling an initial transmission of a transport block since a power headroom report was triggered if the power headroom report is reported on a PUSCH triggered by the first DCI. Otherwise, a UE determines whether a power headroom report is based on an actual transmission or a reference format based on the higher layer signalling of configured grant and periodic/semi-persistent sounding reference signal transmissions and downlink control information the UE received until the first uplink symbol of a configured PUSCH transmission minus *T'proc,2*=*Tproc,2* where *Tproc,2* is determined according to [6, TS 38.214] assuming *d2,1* = 1, *d2,2*=0, and with *µDL* corresponding to the subcarrier spacing of the active downlink BWP of the scheduling cell for a configured grant if the power headroom report is reported on the PUSCH using the configured grant.  ... |

The proponent of option 2 suggest to modify the PHR calculation timeline for DG-PUSCH by reusing the PHR timeline for CG-PUSCH (from Rel-15). Apple proposed a TP in R1-2008433

**Case 2: When PHR is carried by CG-PUSCH**

* + - If the UL CI is received no later than Tproc,2 before the first symbol of the CG-PUSCH carrying PHR, the impact of the UL CI is considered for the PHR calculation.
    - Otherwise, the UE is not required to consider the impact of the UL CI for the PHR calculation

Supported by: CATT, LG, Apple, Qualcomm, IDC

LG pointed out that the “downlink control information” in the following highlighted text can be considered to cover UL CI case already so no additional spec update is needed.

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| 7.7 Power headroom report ...  A UE determines whether a power headroom report for an activated serving cell [11, TS 38.321] is based on an actual transmission or a reference format based on the higher layer signalling of configured grant and periodic/semi-persistent sounding reference signal transmissions and downlink control information the UE received until and including the PDCCH monitoring occasion where the UE detects the first DCI format 0\_0 or DCI format 0\_1 scheduling an initial transmission of a transport block since a power headroom report was triggered if the power headroom report is reported on a PUSCH triggered by the first DCI. Otherwise, a UE determines whether a power headroom report is based on an actual transmission or a reference format based on the higher layer signalling of configured grant and periodic/semi-persistent sounding reference signal transmissions and downlink control information the UE received until the first uplink symbol of a configured PUSCH transmission minus *T'proc,2*=*Tproc,2* where *Tproc,2* is determined according to [6, TS 38.214] assuming *d2,1* = 1, *d2,2*=0, and with *µDL* corresponding to the subcarrier spacing of the active downlink BWP of the scheduling cell for a configured grant if the power headroom report is reported on the PUSCH using the configured grant.  ... |

* **Suggest to discuss over email in RAN1#103e**
  + **For CG-PUSCH, propose to conclude as the following without spec change**
    - If the UL CI is received no later than Tproc,2 before the first symbol of the CG-PUSCH carrying PHR, the impact of the UL CI is considered for the PHR calculation.
    - Otherwise, the UE is not required to consider the impact of the UL CI for the PHR calculation

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| Company | Comments |
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## **Issue 2: Impact to UE power scaling due to UL CI in UL CA**

**Option 1: (for DG-PUSCH)**

* + - If UL CI is received no later than the PDCCH carrying UL grant scheduling the PUSCH, the impact of UL CI is considered for the power scaling of the PUSCH
    - Otherwise, the is not required to consider the UL CI for the power scaling of the PUSCH

Supported by: ZTE, Nokia, vivo, Huawei

**Question 1:** Do we need a TP for option 1, or a RAN1 conclusion is sufficient?

**Question 2:** If option 1 is taken, how about CG-PUSCH power scaling, something like the following?

* + - If UL CI is received no later than Tproc,2 before the first symbol of the CG-PUSCH, the impact of UL CI is considered for the power scaling of the CG-PUSCH
    - Otherwise, the is not required to consider the UL CI for the power scaling of the CG-PUSCH

**Option 2: (for both DG-PUSCH and CG-PUSCH)**

* + - It is left to UE implementation whether to consider UL CI when performing power scaling of the PUSCH

Supported by: Apple, Qualcomm

**Option 3: (for both DG-PUSCH and CG-PUSCH)**

* + - If UL CI is received no later than Tproc,2 before the first symbol of the PUSCH, the impact of UL CI is considered for the power scaling of the PUSCH
    - Otherwise, the is not required to consider the UL CI for the power scaling of the PUSCH

Supported by: IDC

* **FL Suggest to discuss over email in RAN1#103e**
  + **To decide between Option 1 and Option 2**

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## **Issue 3: Exclusion of idle period from DL pre-emption and UL cancellation reference regions**

Ericsson (R1-2007706) proposed to exclude the IDLE period in FBE channel access from the reference region of DL pre-emption and UL cancellation, with following proposal and TP.

Proposal 1 The reference region indicating DL preemption or UL cancellation shall exclude idle periods due to semi-static channel occupancy in for operation on shared spectrum.

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| 11.2 Interrupted transmission indication \*\*\* Unchanged text is omitted \*\*\*  If a UE detects a DCI format 2\_1 in a PDCCH transmitted in a CORESET in a slot, the set of symbols is the last  symbols prior to the first symbol of the CORESET in the slot where is the PDCCH monitoring periodicity provided by the value of *monitoringSlotPeriodicityAndOffset,* as described in Clause 10.1,  is the number of symbols per slot,  is the SCS configuration for a serving cell with mapping to a respective field in the DCI format 2\_1,  is the SCS configuration of the DL BWP where the UE receives the PDCCH with the DCI format 2\_1. If the UE is provided *tdd-UL-DL-ConfigurationCommon*, symbols indicated as uplink by *tdd-UL-DL-ConfigurationCommon* are excluded from the last symbols prior to the first symbol of the CORESET in the slot. If a UE is provided *ChannelAccessMode-r16 ='semistatic'* and period in for operation with shared spectrum channel access [15, TS 37.213], the set of consecutive symbols for a duration of at least before the start of each period are excluded from the last symbols prior to the first symbol of the CORESET in the slot. The resulting set of symbols includes a number of symbols that is denoted as .  \*\*\* Unchanged text is omitted \*\*\* 11.2A Cancellation indication \*\*\* Unchanged text is omitted \*\*\*  For a serving cell having an associated field in a DCI format 2\_4, for the field denote by  - a number of bits provided by *CI-PayloadSize*  - a number of PRBs provided by *frequencyRegionforCI* in *timeFrequencyRegion*  - a number of symbols, excluding symbols for reception of SS/PBCH blocks and DL symbols indicated by *tdd-UL-DL-ConfigurationCommon* and the set of consecutive symbols for a duration of at least before the start of a period provided for semi-static channel access procedures for operation on shared spectrum [15, TS37.213], from a number of symbols that  - is provided by *timeDurationforCI* in *timeFrequencyRegion*, if the PDCCH monitoring periodicity for the search space set with the DCI format 2\_4 is one slot and there are more than one PDCCH monitoring occasions in a slot, or  - is equal to the PDCCH monitoring periodicity, otherwise.  - a number of partitions for the symbols provided by *timeGranularityforCI* in *timeFrequencyRegion*  \*\*\* Unchanged text is omitted \*\*\* |

* **FL comment: This is a new issue related to URLLC operation in unlicensed spectrum. Will need to check companies’ preference on its criticality for Rel-16.**

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| Company | Comments |
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# List of contributions

* 1. R1-2007735   Text proposal for UE processing order between UL CI and power scaling calculation in UL CA           ZTE
  2. R1-2007814   Remaining issues on PDCCH enhancements and inter-UE UL multiplexing         CATT
  3. R1-2008056   PHR issues related to URLLC/IIOT WI    LG Electronics
  4. R1-2008304   Out-of-order CBG based PUSCH re-transmission and PHR / power scaling with UL cancelation                         Nokia, Nokia Shanghai Bell
  5. R1-2008433   PHR and Power Scaling in case of Inter-UE Cancellation for eURLLC Apple
  6. R1-2008673   UL inter UE Tx prioritization for URLLC      vivo
  7. R1-2008607   Remaining issue on HARQ and scheduling for URLLC    Qualcomm Incorporated
  8. [R1-2007635](file:///C:\Users\wanshic\OneDrive%20-%20Qualcomm\Documents\Standards\3GPP%20Standards\Meeting%20Documents\TSGR1_103\Docs\R1-2007635.zip) Corrections on scheduling and HARQ Huawei, HiSilicon
  9. [R1-2007706](file:///C:\Users\wanshic\OneDrive%20-%20Qualcomm\Documents\Standards\3GPP%20Standards\Meeting%20Documents\TSGR1_103\Docs\R1-2007706.zip) Corrections for NR URLLC/IIoT Ericsson
  10. [R1-2008307](file:///C:\Users\wanshic\OneDrive%20-%20Qualcomm\Documents\Standards\3GPP%20Standards\Meeting%20Documents\TSGR1_103\Docs\R1-2008307.zip) Inter-UE prioritization/multiplexing InterDigital, Inc.

# Previous agreements

## **RAN1#96bis**

Working assumption:

* PDCCH is used for UL cancelation indication
  + The Working assumption can be revisited if the DCI for cancelation indication only carry very small number of information bits, e.g. 1 bit.

Agreements:

* Upon detecting an UL cancelation indication, at least stop without resuming is supported
  + FFS whether and how to support stop with resume

Agreements:

* Further discuss which UL transmissions that can potentially be cancelled by the UL cancelation indication, including
  + Dynamic scheduled UL transmissions, including PUSCH, PUCCH, SRS
  + Semi-persistent UL transmissions, including PUSCH, PUCCH, SRS
  + Periodic UL transmissions, including configured grant PUSCH, PUCCH, SRS
  + PRACH

Agreements:

* Further discuss, aiming for down-selection, the group common DCI and UE-specific DCI for UL cancelation indication
  + For group common DCI (different from Rel-15 SFI)
    - UE is configured to monitor a group common DCI which indicates the time/frequency region on which the UL cancellation indication applies
  + For UE specific-DCI
    - When applicable, UE is configured to monitor a second UL grant for the same TB as an earlier PUSCH indicating UL cancellation before the end of the earlier PUSCH transmission. In this case, the UE follows the UL cancellation indication.

**Conclusion**:

* Further discuss the following power control enhancements
  + Increased TPC range
    - FFS details, e.g. supported value range, number of TPC bits, accumulated and/or absolute TPC, configurability of the TPC tables, applicability to SRS/PUCCH.
  + Indication of open-loop parameter sets based on scheduling DCI without using SRI
  + Indication of open-loop parameter sets based on GC-PDCCH

## **RAN1#97**

Agreements:

* Support at least group common DCI for cancelation indication
  + FFS whether or not to additionally support UE-specific DCI for cancelation indication

**Conclusion:**

To down-select from the following options for enhanced power control

* Option 1: Indication of open-loop parameter sets by DCI
* For DG-PUSCH, an open-loop parameter set indicated to the UE by scheduling DCI without using SRI is applied to the scheduled transmission
* FFS At least for single active CG-PUSCH, an open-loop parameter set is indicated to the UE by a UE-specific field in group common DCI
  + - FFS for the case of multiple active CG-PUSCH
* FFS For a UE, the open-loop parameter sets for DG-PUSCH and CG-PUSCH may be same or different
* Option 2: Indication of TPC with increased range by DCI
* For DG-PUSCH, a TPC with increased range is indicated to the UE by the TPC field in scheduling DCI
* FFS At least for single active CG-PUSCH (and potentially also for DG-PUSCH), a TPC with increased range is indicated to the UE by a UE-specific TPC field in group common DCI
  + - FFS for the case of multiple active CG-PUSCH
* At least for DG-PUSCH, for a UE, the number of TPC entries (4 or 8) and power adjustment value for each entry is higher layer configured
* FFS For a UE, the TPC configuration for DG-PUSCH and CG-PUSCH may be same or different
* Option 3:
* For DG-PUSCH, use either the solution from option 1 or option 2 for DG-PUSCH as above
  + - To down-select from option 1 and 2
* FFS At least for single active CG-PUSCH, UE derives the transmissions power based on the time/frequency resource indicated by a group common DCI
  + - If a CG-PUSCH transmission overlaps with the indicated time/frequency resource, UE use one open-loop parameter set with higher power for the transmission
    - If a CG-PUSCH transmission does NOT overlap with the indicated time/frequency resource, UE use another open-loop parameter set with lower power for the transmission
    - FFS for the case of multiple active CG-PUSCH
    - Note: some companies have concern that this was not captured in the TR as one potential solutions

## **RAN1#98**

Agreements:

* Reuse the existing methods for search space configuration to support UL CI monitoring
  + FFS possible restrictions
  + Note: this means both symbol level and slot level monitoring periodicities are possible from specification perspective

Agreements:

* The UE DCI size budget is not increased by UL CI monitoring
* Further discuss methods to reduce the UE monitoring for UL CI, e.g.
  + The number of aggregation levels and/or candidates for the UL CI monitoring should be limited
  + Conditions for eMBB UE UL CI monitoring:
    - For UL transmission with associated PDCCH,
      * Option 1: UE starts UL CI monitoring after the PDCCH is decoded
      * Option 2: UE monitors UL CI at least at the latest monitoring occasion ending no later than X symbols before the start of the UL transmission, and X is related to UL CI processing time.
    - For UL transmission without associated PDCCH, UE monitors UL CI at least at the latest monitoring occasion that ends no later than X symbols before the start of the UL transmission, and X is related to UL CI processing time.
    - Other conditions?
  + Others?
* FFS the enhancement of UE capability (number of non-overlapping CCE and/or blind decodes) for UL CI monitoring

Agreements:

* Upon detecting an UL cancelation indication, for the transmission of UL signal/channels, “stop with resuming” is not supported
  + Except:
    - SRS can still be transmitted on the non-cancelled symbols (conditioned on if SRS can be pre-empted)
    - FFS for the PUSCH repetition (Rel-15 & Rel-16) case
    - FFS for the PUCCH repetition case (conditioned on if PUCCH can be pre-empted)
  + FFS whether another PUSCH can be scheduled in non-pre-empted resource
  + FFS impact (e.g. phase continuity issue) to a different carrier due to UL cancelation

[**R1-1909774**](file:///E:\3GPP%20meetings\WG1_RL1\2019\RAN1%2398bis\R1-1909774.zip)

Agreements:

* The following UL channel/signals can be cancelled by UL cancelation indication
  + PUSCH (including DG-, CG- and SP-)
  + FFS for SRS
  + FFS for PUCCH
    - Option 1: PUCCH (all types) can be cancelled
    - Option 2: Some PUCCH can be cancelled, e.g. PUCCH carrying CSI
    - Option 3: PUCCH cannot be cancelled
  + FFS for PRACH (preamble and/or MSG 3 PUSCH)

Agreements:

* The UE processing time requirement for UL cancelation indication based on N2 defined in Rel-15 UE cap#2 is supported
  + FFS whether the processing time requirement for UL cancelation indication larger than N2 as defined in Rel-15 UE cap#2 can also be supported as an UE capability
  + FFS whether the processing time requirement for UL cancelation indication shorter than N2 as defined in Rel-15 UE cap#2 as can also be supported an UE capability

Agreements:

* For a DG-PUSCH, an open-loop parameter set indicated to the UE by scheduling DCI using a separate field than SRI is supported.
  + FFS number of bits for the indication

## **RAN1#98bis**

Agreements:

* Regarding UL CI monitoring, support the following:
  + A new RNTI (e.g. CI-RNTI) is used for UL CI
  + FFS: Monitoring periodicity larger than [5] slot is not supported for UL CI
  + The aggregation level(s) and the number of PDCCH candidates configured by RRC
    - FFS possible restrictions, e.g., the ones associated with SFI
  + The DCI payload size for UL CI is configured by RRC
    - FFS possible values

Agreements:

* SRS can be cancelled by UL CI
* PUCCH cannot be cancelled by UL CI
* RACH related UL transmissions cannot be cancelled by UL CI, including MSG 1/3 in case of 4-step RACH, MSG A in case of 2-step RACH.

Agreements:

* Cross-carrier UL cancelation indication is supported using the same way as Rel-15 SFI/DL PI
  + The indication field position in DCI for each cross-carrier indicated serving cell is configured by RRC

Agreements:

* Different UE processing time capability for UL CI (i.e. shorter or longer than T\_proc2 for cap#2 UE) is not considered in Rel-16
  + d2,1=0 also when DMRS and UL-SCH (for the PUSCH to be cancelled) are multiplexed in the 1st symbol

Agreements:

* In case of PUSCH repetitions, UL CI is applied to each repetition individually (actual repetition in case of Rel-16 PUSCH repetition) that overlaps with the resource (in time and frequency) indicated by UL CI.

Agreements:

* + The reference time region where a detected UL CI is applicable is determined by the following:
    - * The reference time region starts from X symbols after the ending symbol of the PDCCH CORESET carrying the UL CI, where X is at least equal to the minimum processing time for UL cancelation
        + FFS X can be configured to be larger than the minimum processing time for UL cancelation
      * The duration of the reference time region is configured by RRC
        + FFS Possible values (e.g. 2OS, 4OS, 7OS, 14OS, 28OS?)
      * FFS DL symbols are excluded from the reference time region

Agreements:

* + - The reference frequency region where a detected UL CI is applicable is configured by RRC

Agreements:

Support the following for UL CI

* + Each UL cancelation indicator per serving cell has a RRC configurable field size of X bits
    - * One value of X is 14
      * FFS other values (e.g. X can be N (N>0) times of 7)
  + The time domain granularity for the reference time region is configured by RRC
    - * FFS the possible values (e.g. the time region can be divided into [1],[2],[4],[7],[14],…portions)
      * FFS valid configurations according to the duration of the time reference region
  + The frequency domain granularity is determined based on the configured time domain granularity and the configured bit field size of each indicator
  + The time and frequency resource for cancellation is jointly indicated by a 2D-bitmap (i.e. similar as DL PI) over the time and frequency partitions within the reference region
    - * FFS dynamic 2D-bitmap

Agreements:

* For DG-PUSCH, one bit (separately from SRI) in UL grant is used to indicate the open loop power control parameter set
  + Introduce one new RRC parameter that contains one additional P0-PUSCH-Set per SRI
  + The one bit indication is present in the UL grant when the above new RRC parameter is configured
  + If present, the one bit in the DCI is used to switch between the P0 value from the existing P0-PUSCH-AlphaSet and the P0 value from the newly configured P0-PUSCH-Set

**Conclusion**:

No enhancement for CG-PUSCH power control in Rel-16 for inter-UE multiplexing

## **RAN1#99**

Agreements:

* There is no enhancement to PDCCH monitoring capability (number of BD and non-overlapping CCEs) specifically for UL CI monitoring purpose

Agreements:

* The maximum monitoring periodicity for UL CI is [5] slots

Agreements:

* Up to X BDs can be configured for UL CI
  + FFS per UL CI monitoring occasion or per span
  + The value of X is to be concluded during this week
  + Note: UE is not expected to be configured with search space configuration for UL CI with AL and number of candidates exceeding X BDs

Agreements:

* The maximum size for *dci-PayloadSize-forCI* is 126

Agreements:

* Possible values for RRC parameter *timedurationforCI* can be:
  + If the configured UL CI monitoring periodicity is >1 slot or 1-slot with only one monitoring occasion
    - At least the same as the configured UL CI monitoring periodicity
      * FFS whether or not to additionally support multiple of UL CI monitoring periodicity
  + Otherwise (i.e., >1 monitoring occasion within 1 slot when 1-slot is the configured UL CI monitoring periodicity)
    - {2, 4, 7, [14]} OS, which SCS is used when determine the time duration
      * SCS for the DL BWP carrying UL CI
    - FFS The UE is not expected to be configured with a time duration for CI less than the time different (in symbols) between any adjacent monitoring occasions in a slot

Agreements**:**

* + Possible values (16 values) for RRC parameter *CI-PayloadSize are* 
    - *{[1],2,4,[5],7,8,[10],14,16,[20],[25],28,32,[35],56,112}*
  + *timeGranularityforCI* is defined as number of partitions within the time region, and possible values are
    - *{1,2,4,7,14,28}*
  + The configured value of *CI-PayloadSize* shall be a multiple integer of the configured value of *timeGranularityforCI*

Agreements:

* + The frequency region for UL CI is derived by the following
    - A RIV indication configured by RRC within value range of (0..37949) (i.e. the same way as IE “locationAndBandwidth” for BWP configuration ), the configuration is per serving cell specific
      * The reference point is derived based on the RRC parameter *offsetToCarrier* (existing parameter, same way as BWP configuration)
    - A reference SCS (no RRC configuration) for a serving cell (to handle the case where a UE is configured with multiple BWPs using different SCSs on the serving cell),
      * Use the SCS for the DL BWP carrying UL CI as the reference SCS

Agreements:

* Support per serving cell configuration for the following parameters
* *CI-PayloadSize*
* *timedurationforCI*
* *timeGranularityforCI*
* *frequencyRegionforCI*

Agreements:

* If a serving cell is configured with SUL, each UL carrier (SUL and non-SUL) can be configured with different *positionInDCI.*

Agreements:

* The DL symbols indicated by *tdd-UL-DL-ConfigurationCommon* are excluded from the reference time region for UL CI
  + The partition of reference time region is done after excluding the DL symbols
  + The symbols used for SSB are also excluded

Agreements:

* Clarification of 2D-bitmap
  + 2D-bitmap is to use *X* bits for bitmap indication over a time/frequency region with M partitions in time and N partitions in frequency, and X=M x N

Agreements:

Regarding “FFS whether or not to additionally support multiple of UL CI monitoring periodicity”

* If the configured UL CI monitoring periodicity is >1 slot or 1-slot with only one monitoring occasion, no additionally support that the time duration to be multiple of UL CI monitoring periodicity

Agreement

To determine the P0 value in case SRI is not configured in the DCI

* Option 1A: The open-loop power control parameter set indication field in the DCI can be configurable to be 1 or 2bits
  + *P0-PUSCH-Set can* provide up to two P0 value*s*
    - UE uses the P0 values according to open loop power control indication field in DCI
    - UE use P0 from *P0-PUSCH-AlphaSet* when
      * open-loop power control parameter set indication field is 1bit and “0” is indicated, or
      * open-loop power control parameter set indication field is 2bits and “00” is indicated
  + Open-loop power control parameter set indication field can be separately configurable for DCI format 0\_1 and DCI format 0\_2
    - If open-loop power control parameter set indication field is not present for a DCI format, use P0 from *P0-PUSCH-AlphaSet*
  + A single configuration of P0-PUSCH-Set applies to both DCI format 0\_1 and DCI format 0\_2

## **RAN1#100-e**

Agreements:

* Confirm that 14OS can be configured for timedurationforCI (when 1-slot is the configured UL CI monitoring periodicity with more than one monitoring occasions within 1 slot)
* The possible values for *CI-PayloadSize*, are {1,2,4,5,7,8,10,14,16,20, 28,32,35,42,56,112}

The following TP is endorsed

------------------------------------ Start of TP for 38.213 --------------------------------------------

**11.2A Cancellation indication**

< Unchanged parts are omitted >

For a group of symbols, ** bits from each set of bits have a one-to-one mapping with  groups of PRBs where each of the first ** groups includes ** PRBs and each of the remaining ** groups includes ** PRBs. A UE determines a first PRB index as  and a number of contiguous RBs as  from frequencyRegionforCI that indicates an offset  and a length  as RIV according to [6, TS 38.214], and from offsetToCarrier in FrequencyInfoUL-SIB that indicates  for a SCS configuration of an active DL BWP where the UE monitors PDCCH for DCI format 2\_4 detection.

< Unchanged parts are omitted >

------------------------------------ End of TP for 38.213 --------------------------------------------

Agreements:

* The maximum UL CI monitoring periodicity is 10 slots.
* Up to X BDs can be configured per UL CI monitoring occasion, X to be decided between X=1 or X=2 in RAN1#100bis.

No RAN1 spec impact - RRC parameter update only.

Agreements:

* UE derives the RUR start based on “logical time” (i.e. assuming DL timing difference is 0 and TA=0) and the actual cancellation symbol based on “actual time” (i.e. assuming actual DL timing difference, actual TA)
* A new RRC parameter delta\_offset d having possible values {0, 1, 2} OFDM symbols is introduced, update the spec as the following

|  |
| --- |
| For the serving cell, the UE determines the first symbol of the symbols to be the first symbol that is after + d from the end of a PDCCH reception where the UE detects the DCI format 2\_4.corresponds to the PUSCH processing capability 2 [6, TS 38.214] assuming  with being the smallest SCS configuration between the SCS configurations of the PDCCH and of a PUSCH transmission or of an SRS transmission on the serving cell. |

* Clarify the following by a RAN1 spec update (see below)
  + UE is not expected to cancel the transmission of SRS or PUSCH before the first symbol that is T\_proc,2 after the end of the reception of the last symbol of the PDCCH carrying the ULCI including the effect of the timing advance.

38.213 Text proposal (maybe further refined by spec editor)

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| An indication by a DCI format 2\_4 for a serving cell is applicable to PUSCH or SRS transmissions on the serving cell. For the serving cell, the UE determines the first symbol of the symbols to be the first symbol that is after from the end of a PDCCH reception where the UE detects the DCI format 2\_4, where *d* is provided by higher layer parameter [xxxx]. corresponds to the PUSCH processing capability 2 [6, TS 38.214] assuming with being the smallest SCS configuration between the SCS configurations of the PDCCH and of a PUSCH transmission or of an SRS transmission on the serving cell. UE is not expected to cancel the transmission of SRS or PUSCH before the first symbol that is after the end of the reception of the last symbol of the PDCCH carrying the ULCI including the effect of the timing advance. |

Agreements:

* UE performs the UL cancellation based on any detected UL CI, no additional specification for the case of overlapping reference time region for multiple UL CI occasions.
* A cancelled PUSCH transmission by a UE is counted towards the number of PUSCH that a UE can support per slot

Conclusion:

* It is possible for a UE to indicate both  *pa-PhaseDiscontinuityImpacts*  (i.e. 6-23) and the support of UL CI for intra-band UL CA
* For a UE indicates a capability to cancel overlapping PUSCHs on different intra-band serving cells (if any), and the capability of *pa-PhaseDiscontinuityImpacts*, and if the PUSCH on at least one serving cell is cancelled, the UE cancels the (repetition of the) PUSCHs transmission on all other intra-band serving cell(s). The cancellation of the (repetition of the) PUSCH transmission on a the set of intra-band serving cell(s) includes all symbols from the earliest symbol that is overlapping with the first cancelled symbol of the PUSCH on the serving cell for which the DCI format 2\_4 is applicable to.

## **RAN1#100bis-e**

Agreements:

  UE behavior of handling intra-UE prioritization/multiplexing for overlapping UL transmissions is not affected by UL CI.

Agreeement:

* If both UL CI and intra-UE priority indicator are configured for a given UE, support a new RRC parameter to configure Behavior #1
  + Behaviour #1: UL CI is only applicable to the UL transmissions indicated/configured as low priority level
* When the RRC parameter is not provided to the UE, behaviour #2 is used
  + Behaviour #2: UL CI is applicable to UL transmission irrespective of its priority level
* Note: the RRC signaling details will be decided by RAN2

Agreement:

* Up to X BDs can be configured per UL CI monitoring occasion
  + For ULCI monitoring occasion determination, search space sets start at a same OFDM symbol correspond to a same monitoring occasion
  + X=1

Agreement:

* UE uses the smallest SCS configuration between the SCS configurations of the PDCCH for DCI format 2\_4 detection and the SCS configurations in *scs-SpecificCarrierList*of UL carrier to determine the RUR starting symbol.
* UE uses the smallest SCS configurations in *scs-SpecificCarrierList*of UL carrier to determine offset d.
* Adopt the TP below for 38.213 section 11.2A

TP for 38.213 section 11.2A

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| **11.2A  Cancellation indication**  ---------------------------Other   parts are omitted -------------------------------  An indication by a DCI format 2\_4 for a serving cell is applicable to a PUSCH transmission or a SRS transmission on the serving cell. For the serving cell, the UE determines the first symbol of the cid:image001.png@01D61F9F.E92893A0 symbols to be the first symbol that is after cid:image002.png@01D61F9F.E92893A0 from the end of a PDCCH reception where the UE detects the DCI format 2\_4, where cid:image003.png@01D61F9F.E92893A0 is provided by XXX with the smallest SCS configuration~~between the SCS configurations of the PDCCH and the SCS configurations~~ provided in scs-SpecificCarrierList of UL carrier. cid:image004.png@01D61F9F.E92893A0 corresponds to the PUSCH processing capability 2 [6, TS 38.214] assuming cid:image005.png@01D61F9F.E92893A0 with cid:image006.png@01D61F9F.E92893A0 being the smallest SCS configuration between the SCS configurations of the PDCCH and the SCS configurations provided in scs-SpecificCarrierList of UL carrier~~of a PUSCH transmission or of an SRS transmission on the serving cell~~. The UE does not expect to cancel the PUSCH transmission or the SRS transmission before a corresponding symbol that is cid:image004.png@01D61F9F.E92893A0 after a last symbol of a CORESET where the UE detects the DCI format 2\_4.  <---------------------------Other   parts are omitted -------------------------------> |

Agreements:

To adopt the following TP for 38.213

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| **11.2A     Cancellation indication** =====omitted text ======  For a serving cell having an associated field in DCI format 2\_4, for the field denote by  -    *N*CI a number of bits provided by CI-PayloadSize  -    *B*CI a number of PRBs provided by frequencyRegionforCI in timeFrequencyRegion  -    *T*CI a number of symbols, excluding symbols for reception of SS/PBCH blocks and DL symbols indicated bytdd-UL-DL-ConfigurationCommon, from the time duration provided by timeDurationforCI in timeFrequencyRegion if the configured UL CI monitoring periodicity is 1 slot with more than one monitoring occasions. Otherwise, the time duration is equal to the PDCCH monitoring periodicity provided by the value of monitoringSlotPeriodicityAndOffset, as described in Clause 10.1.  -      *G*CI a number of partitions for the *T*CI symbols provided by timeGranularityforCI in timeFrequencyRegion  =====omitted text ====== |

**Agreement: Adopt the following text proposal for TS38.213 section 11.2A**

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| ----------------------------- **Text proposal starts for TS 38.213, v16.1.0, Section 11.2A** -----------  A UE that detects a DCI format 2\_4 for a serving cell cancels a PUSCH transmission, or a repetition of a PUSCH transmission [6, TS 38.214] if the PUSCH transmission is with repetitions, or an SRS transmission on the serving cell if, respectively,  -     a group of symbols, from the symbols, has ~~a corresponding~~ at least one bit value of '1' in the corresponding set of *N*BI bits in the DCI format 2\_4 and includes a symbol of the (repetition of the) PUSCH transmission or of the SRS transmission, and  -     a group of PRBs, from the PRBs, has a corresponding bit value of '1' in the set of bits corresponding to the group of symbols in the DCI format 2\_4 and includes a PRB of the (repetition of the) PUSCH transmission or of the SRS transmission,  where  -     the cancellation of the (repetition of the) PUSCH transmission includes all symbols from the earliest symbol of the (repetition of the) PUSCH transmission that ~~are~~ is in ~~one~~ ~~or more~~ a group~~s~~ of symbols having corresponding bit values of '1' in the DCI format 2\_4;  -     the cancellation of the SRS transmission includes only symbols that are in one or more groups of symbols having corresponding bit values of '1' in the DCI format 2\_4.  ----------------------------- **Text proposal ends for TS 38.213, v16.1.0, Section 11.2A** ------------- |

**Agreement**

•          When UE is configured with both DCI format 0\_1 and 0\_2 with SRI presents in only one of the DCI formats, then for the DCI format without SRI field

  For 1 bit OLPC parameter indication, if OLPC parameter set indication in DCI is set to ‘1’

  P0-PUSCH-Set having the lowest p0-PUSCH-SetId is used.

  For 2 bit OLPC parameter indication, if OLPC parameter set indication in DCI is set to ‘01’ or ‘10’

  P0-PUSCH-Set having the lowest p0-PUSCH-SetId is used.

**Agreement: Adopt the following text proposal for TS38.213 section 7.1.1**

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| TP for 38.213 16.1.0 Section 7.1.1  **<**Unchanged text is omitted>  -     If the PUSCH transmission is scheduled by a DCI format that does not include a SRI field, or if *SRI-PUSCHPowerControl* is not provided to the UE, cid:image003.png@01D61B4C.5453A280,  -     If *P0-PUSCH-Set* is provided to the UE and the DCI format includes an open-loop power control parameter set indication field, the UE determines a value of cid:image004.png@01D61B4C.5453A280 from  -     a first *P0-PUSCH-AlphaSet* in *p0-AlphaSets* if a value of the open-loop power control parameter set indication field is '0' or '00'  -     a first value in *P0-PUSCH-Set* with the lowest *p0-PUSCH-SetId* value if a value of the open-loop power control parameter set indication field is '1' or '01'  -     a second value in *P0-PUSCH-Set* with the lowest *p0-PUSCH-SetId* value if a value of the open-loop power control parameter set indication field is '10'  -     else, the UE determines cid:image005.png@01D61B4C.5453A280 from the value of the first *P0-PUSCH-AlphaSet* in *p0-AlphaSets*  **<**Unchanged text is omitted> |

Agreement: Adopt the following text proposal for 38.213 section 11.2A

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| 11.2A Cancellation indication If a UE is provided *UplinkCancellation*, the UE is provided a CI-RNTI by *ci-RNTI* for monitoring PDCCH candidates for a DCI format 2\_4 [5, TS 38.212]. *UplinkCancellation* additionally provides to the UE  -     a set of serving cells, by *ci-ConfigurationPerServingCell*,that includes a set of serving cell indexes and a corresponding set of locations for fields in DCI format 2\_4 by *positionInDCI*  -     a number of fields in DCI format 2\_4, by *positionInDCI-forSUL*, for each serving cell for a SUL carrier ~~for a SUL carrier~~, if the serving cell is configured with a SUL carrier  ~~for SUL of a serving cell if the serving cell configured with SUL~~  -     an information payload size for DCI format 2\_4 by *dci-PayloadSize-forCI*  -     an indication for time-frequency resources by *timeFrequencyRegion*  For a serving cell having an associated field in DCI format 2\_4, for the field denote by  -     a number of bits provided by *CI-PayloadSize*  -     a number of PRBs provided by *frequencyRegionforCI* in *timeFrequencyRegion*  -     a number of symbols, excluding symbols for reception of SS/PBCH blocks and DL symbols indicated by *tdd-UL-DL-ConfigurationCommon*,provided by *timeDurationforCI* in *timeFrequencyRegion*  -     a number of partitions for the symbols provided by *timeGranularityforCI* in *timeFrequencyRegion*  sets of bits from the MSB of the bits have a one-to-one mapping with groups of symbols where each of the first groups includes symbols and each of the remaining groups includes symbols. A UE determines a symbol duration with respect to a SCS configuration of an active DL BWP where the UE monitors PDCCH for DCI format 2\_4 detection.  For a group of symbols, bits from MSB of each set of bits have a one-to-one mapping with groups of PRBs where each of the first groups includes PRBs and each of the remaining groups includes PRBs. A UE determines a first PRB index as and a number of contiguous RBs as from *frequencyRegionforCI* that indicates an offset and a length as RIV according to [6, TS 38.214], and from *offsetToCarrier* in FrequencyInfoUL-SIB that indicates for a SCS configuration of an active DL BWP where the UE monitors PDCCH for DCI format 2\_4 detection.  An indication by a DCI format 2\_4 for a serving cell is applicable to a PUSCH transmission or a SRS transmission on the serving cell. For the serving cell, the UE determines the first symbol of the symbols to be the first symbol that is after from the end of a PDCCH reception where the UE detects the DCI format 2\_4, where is provided by *~~XXX~~* higher layer parameter *delta\_offset\_d*. corresponds to the PUSCH processing capability 2 [6, TS 38.214] assuming with being the smallest SCS configuration between the SCS configurations of the PDCCH and of a PUSCH transmission or of an SRS transmission on the serving cell. The UE does not expect to cancel the PUSCH transmission or the SRS transmission before a corresponding symbol that is after a last symbol of a CORESET where the UE detects the DCI format 2\_4.  A UE that detects a DCI format 2\_4 for a serving cell cancels a PUSCH transmission, or a repetition of a PUSCH transmission [6, TS 38.214] if the PUSCH transmission is with repetitions, or an SRS transmission on the serving cell if, respectively,  -     a group of symbols, from the symbols, has a corresponding bit value of ‘1’ in the DCI format 2\_4 and includes a symbol of the (repetition of the) PUSCH transmission or of the SRS transmission, and  -     a group of PRBs, from the PRBs, has a corresponding bit value of ‘1’ in the DCI format 2\_4 and includes a PRB of the (repetition of the) PUSCH transmission or of the SRS transmission,  where  -     the cancellation of the (repetition of the) PUSCH transmission includes all symbols from the earliest symbol of the (repetition of the) PUSCH transmission that are in one or more groups of symbols having corresponding bit values of ‘1’ in the DCI format 2\_4;  -     the cancellation of the SRS transmission includes only symbols that are in one or more groups of symbols having corresponding bit values of ‘1’ in the DCI format 2\_4. |

Agreement: Adopt the following text proposal for 38.212 section 7.3.1.3.5

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| 7.3.1.3.5                       Format 2\_4 DCI format 2\_4 is used for notifying the PRB(s) and OFDM symbol(s) where UE cancels the corresponding UL transmission from the UE according to Clause 11.2A~~5~~ of [5, TS 38.213].  The following information is transmitted by means of the DCI format 2\_4 with CRC scrambled by CI-RNTI:  -     Cancellation indication 1, Cancellation indication 2, …, Cancellation indication indication *N*.  The size of DCI format 2\_4 is configurable by higher layers parameter *dci-PayloadSize-forCI* up to 126 bits, according to Clause 11.2A~~5~~ of [5, TS 38.213]. The number of bits for each cancellation indication is configurable by higher layer parameter *CI-PayloadSize*. For a UE, there is at most one cancellation indication for an UL carrier.  <Unchanged text is omitted> |

## **RAN1#101-e**

**Agreement**

(Alt 1) A DCI format 2\_4 is only applicable to an uplink grant scheduling PUSCH/SRS if the ending symbol of the PDCCH carrying the UL grant is earlier than the first symbol of the PDCCH carrying DCI format 2\_4.

**Agreement**

(Alt1) If the UE does not cancel a transmission in resources indicated by DCI format 2\_4, the UE can receive an UL grant scheduling a transmission on the resource indicated by the DCI format 2\_4, if the ending symbol the PDCCH carrying UL grant is no earlier than the first symbol of the PDCCH carrying DCI format 2\_4.

* The above applies regardless whether RRC parameter applicabilityforCI is configured or not.

**Agreement**

(Alt 1) If UE has to cancel a DG-PUSCH1 based on the detected UL CI, another DG-PUSCH2 can NOT be scheduled on cancelled symbols of DG-PUSCH1

* The cancelled symbols of DG-PUSCH1 include the symbols within and outside the resource indicated by the UL CI
* The above applies regardless whether RRC parameter applicabilityforCI is configured or not.

**Agreement**

(Alt 1) For a UE configured with behaviour#2 (i.e. RRC parameter applicabilityforCI not provided), if a PUCCH/SRS is cancelled by another PUSCH of higher priority, the prioritized PUSCH can be cancelled by UL CI

* No spec impact

**Agreement**

The text proposal in Section 2 of R1-2004734 is endorsed for the editor’s CR on TS38.213.

**Agreement**

(Alt 1) If UE has to cancel a PUSCH transmission or an SRS transmission based on the detected UL CI, another DG-PUSCH2 **CAN** be scheduled on the resource indicated by the UL CI but not overlapping with cancelled symbols, if the ending symbol of the PDCCH carrying the 2nd UL grant is **no earlier** than the  first symbol of the PDCCH carrying the UL CI.

* The above applies regardless whether RRC parameter *applicabilityforCI* is configured or not
* No additional spec impact expected.

**Agreement**

After the UE determines the overlapping PUCCH or PUSCH for multiplexing/prioritization, the UE cancels the PUCCH or PUSCH that has overlapping with semi-static configured DL symbols or SSB symbols, and then the multiplexing/prioritization is performed among the non-cancelled overlapping transmissions

**Conclusion**

The 1st editor’s note in section 11.2A of endorsed 38.213CR (R1-2003176) is removed.

**Conclusion**

There is no consensus to support UL CI in the scenarios where processing capability#2 is not defined

**Agreement**

The text proposal in Section 2 of R1-2004735 is endorsed for the editor’s CR on TS38.213.

**Agreement**

The text proposals in Section 2 of R1-2004736 are endorsed for the editor’s CR on TS38.213.

## **RAN1#102-e**

**Conclusion**

Earlier cancellation relaxation for UL CI is not supported. No spec update.

**Conclusion**

It is up to gNB scheduler to avoid cancellation of RACH related PUSCH transmissions, no spec update.

**Conclusion**

In case of PUSCH repetitions, it is understood that the open-loop power control parameter set indication in the UL grant applies to all the PUSCH repetitions. No spec update.

## **TR 38.824**

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| 7.2 Potential enhancements In the following sub-sections, potential enhancements for UL inter UE Tx prioritization/multiplexing are presented. It is recommended to specify both UL cancelation scheme and enhanced UL power control scheme in the work item phase. 7.2.1 UE UL cancelation mechanisms UE UL cancelation mechanisms are considered as one potential enhancement for UL inter-UE Tx prioritization/multiplexing and are studied from several aspects, including the potential mechanisms (e.g. UE UL cancelation/pausing indication, UL continuation indication, UL re-scheduling indication), physical channel/signal used for the UL cancelation indication, UE processing timeline for the UL cancelation indication, UE monitoring behaviours for the UL cancelation indication, UE PDCCH monitoring capability if the UL cancelation indication is by PDCCH, methods to ensure the reliability of the indication for UE UL cancelation.  Either PDCCH or sequence can be considered as potential options for the UL cancelation indication. If PDCCH is used, either group common DCI or UE-specific DCI can be considered as potential options. If sequence is used, either group common sequence or UE-specific sequence can be considered.  The monitoring periodicity for the UL cancelation indication should be configurable by the gNB and UE supporting UL cancelation indication should be able to support more than one monitoring occasions for the UL cancelation indication in a slot. If PDCCH is used, whether the UE PDCCH monitoring capability (number of CCEs/BDs per slot) should be increased is to be further investigated.  The UE processing time for UL cancelation indication should be equal or shorter than N2 defined in Rel-15 UE capability#2.  Upon detecting an UL cancelation indication, UE cancels the corresponding UL transmission. The corresponding UL transmission may include an on-going UL transmission, or an UL transmission that has not been started. After cancelation, the UE may resume the transmission afterwards as one option, or may not resume the transmission afterwards as another option. 7.2.2 Enhanced UL power control Enhanced UL power control is considered as one potential enhancement for UL inter-UE Tx prioritization/multiplexing and the study mainly focuses on enhanced dynamic power boost for URLLC UE, including dynamic change of power control parameters (e.g. P0 and alpha without SRI configured) and enhanced TPC (e.g. increased TPC range and finer granularity). The need of URLLC UE power change during one transmission instance is not envisioned. It is assumed that there is no change of eMBB UE power control scheme in this study item.  Enhanced dynamic power boost for URLLC UE are studied from several aspects, including feasibility of boosting UE power in power limited or interference limited scenarios, physical channel/signal used for the signalling, UE processing timeline for the signalling, UE monitoring behaviours for the signalling, UE PDCCH monitoring capability if the signalling is by PDCCH and methods to ensure the reliability of the signalling.  It is concluded that the potential enhanced UL power control may include UE determining the power control parameter set (e.g. P0, alpha) based on scheduling DCI indication without using SRI, or based on group-common DCI indication. Increased TPC range compared to Rel-15 may also be considered. Power boosting is not applicable to power limited UEs. |