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Title: Pilot pattern for CPICH
Document for: Discussion

1. Introduction

In the last meeting in New York, a proposal to change pilot pattern for CPICH was presented [1]. In the document, following two concerns were raised as problems with current pilot pattern.

- Complexity of DFT AFC algorithm proposed in [2].
- Inadequate frequency acquisition range with current pilot pattern, stating that its capability is limited to $\pm 3.75\text{kHz}$ if conventional differential frequency detection were used.

In addition, it was claimed that the frequency acquisition range using pilot pattern #3 described in [1] is $\pm 7.5\text{kHz}$.

This document is to focus on frequency acquisition issue raised in R1-99g62, and to show that the current pilot pattern does have its frequency acquisition capability up to $\pm 7.5\text{kHz}$. Furthermore, our analysis show that using differential detection method shown in [1] only has acquisition range of $\pm 3.75\text{kHz}$.

2. Differential Detection using current pilot pattern

The differential frequency offset detection can be applied on current pilot pattern to obtain the acquisition range of $\pm 7.5\text{kHz}$. The concept of the method is shown below.

Ant#1	A	A	A	A	A	A	A	A	A	A
Ant#2	A	-A	-A	A	A	-A	-A	A	A	-A

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Detection Pair. Detection Pair. Detection pair. Detection Pair.

Since each symbol in “frequency offset detection pair” is obtained per 256 chip period, the detection range is +/-7.5kHz.

3. The analysis for acquisition range using proposal given in [1]

In [1], the following pilot pattern for CPICH is proposed.

Ant#1	A	A	A	A	A	A	A	A	A	A
Ant#2	A	-A	A	-A	A	-A	A	-A	A	-A

The following is the analysis made to show that the frequency acquisition range is limited to +/-3.75kHz using above pilot pattern with differential detection method shown in [1].

The following analysis is made based on assumption and notation given below:

- Channel Characteristics from Ant#1: \mathbf{a}_1 (Complex number)
- Channel Characteristics from Ant#2: \mathbf{a}_2 (Complex number)
- $\Delta\omega = 2p\Delta f$ (Δf : frequency offset)
- \mathbf{q} : absolute phase offset between Tx and Rx

Define the transmitted signal from each antenna of base station as follows.

$$S_1(t) = x_1(t) \cos \omega_c t - y_1(t) \sin \omega_c t$$

$$S_2(t) = x_2(t) \cos \omega_c t - y_2(t) \sin \omega_c t$$

With presence of frequency offset, the observed signal seen by a receiver can be expressed as follows,

$$I(t) = \tilde{x}(t) \cos(\Delta\omega t + \mathbf{q}) + \tilde{y}(t) \sin(\Delta\omega t + \mathbf{q})$$

$$Q(t) = \tilde{y}(t) \cos(\Delta\omega t + \mathbf{q}) - \tilde{x}(t) \sin(\Delta\omega t + \mathbf{q})$$

where

$$\tilde{x}(t) = \tilde{x}_1(t) + \tilde{x}_2(t)$$

$$\tilde{y}(t) = \tilde{y}_1(t) + \tilde{y}_2(t)$$

and

$$\tilde{x}_1 + j \tilde{y}_1 = \mathbf{a}_1(x_1 + jy_1) \dots\dots\dots(A)$$

$$\tilde{x}_2 + j \tilde{y}_2 = \mathbf{a}_2(x_2 + jy_2)$$

And the complex envelope of the received signal can be expressed as,

$$U(t) = I(t) + jQ(t)$$

$$= (\tilde{x}(t) + j \tilde{y}(t))e^{-j(\Delta\omega t + \mathbf{q})}$$

$$= [(\tilde{x}_1(t) + j \tilde{y}_1(t)) + (\tilde{x}_2(t) + j \tilde{y}_2(t))]e^{-j(\Delta\omega t + \mathbf{q})}$$

With the substitution of equation (A),

$$\begin{aligned}
U(t) &= [\mathbf{a}_1((x_1(t) + jy_1(t)) + \mathbf{a}_2(x_2(t) + jy_2(t)))]e^{-j(\Delta\omega t + q)} \\
&= [\mathbf{a}_1Tx_1(t) + \mathbf{a}_2Tx_2(t)]e^{-j(\Delta\omega t + q)} \\
&= [\mathbf{a}_1D_1(t)C(t) + \mathbf{a}_2D_2(t)C(t)]e^{-j(\Delta\omega t + q)} \\
&= [\mathbf{a}_1D_1(t) + \mathbf{a}_2D_2(t)]C(t)e^{-j(\Delta\omega t + q)}
\end{aligned}$$

After the de-spreading operation, signal seen by a receiver is,

$$\begin{aligned}
Z(kT) &= \int_{(k-1)T}^{kT} U(t)C^*(t)dt \\
&= \int_{(k-1)T}^{kT} [\mathbf{a}_1D_1(t) + \mathbf{a}_2D_2(t)]C(t)e^{-j(\Delta\omega t + q)}C^*(t)dt
\end{aligned}$$

where T is in 256 PN chip unit.

With assumptions $C(t)C^*(t) = |C(t)|^2 = 1$, $\mathbf{a}_1, \mathbf{a}_2$ constant over de-spreading period, and since the pilot pattern $D_1(t) = D_2(t) = A$ for $(k-1)T \leq t \leq kT$, the above equation can be transformed as

$$\begin{aligned}
Z(kT) &= \int_{(k-1)T}^{kT} [\mathbf{a}_1D_1(t) + \mathbf{a}_2D_2(t)]e^{-j(\Delta\omega t + q)} dt \\
&= \mathbf{a}_1 \int_{(k-1)T}^{kT} D_1(t)e^{-j(\Delta\omega t + q)} dt + \mathbf{a}_2 \int_{(k-1)T}^{kT} D_2(t)e^{-j(\Delta\omega t + q)} dt \\
&= \mathbf{a}_1 \int_{(k-1)T}^{kT} Ae^{-j(\Delta\omega t + q)} dt + \mathbf{a}_2 \int_{(k-1)T}^{kT} Ae^{-j(\Delta\omega t + q)} dt \\
&= (\mathbf{a}_1 + \mathbf{a}_2)T \frac{\sin(\Delta\omega T / 2)}{(\Delta\omega T / 2)} e^{-j(\Delta\omega(K - \frac{1}{2})T + q)} \cdot A
\end{aligned}$$

On the other hand, for $kT \leq t \leq (k+1)T$, $D_1(t) = A, D_2(t) = -A$

$$\begin{aligned}
Z((k+1)T) &= \mathbf{a}_1 \int_{kT}^{(k+1)T} Ae^{-j(\Delta\omega t + q)} dt + \mathbf{a}_2 \int_{kT}^{(k+1)T} -Ae^{-j(\Delta\omega t + q)} dt \\
&= (\mathbf{a}_1 - \mathbf{a}_2)T \frac{\sin(\Delta\omega T / 2)}{(\Delta\omega T / 2)} e^{-j(\Delta\omega(K + \frac{1}{2})T + q)} \cdot A
\end{aligned}$$

And again, for $(k+1)T \leq t \leq (k+2)T$, $D_1(t) = D_2(t) = A$

$$\begin{aligned}
Z((k+2)T) &= \mathbf{a}_1 \int_{(k+1)T}^{(k+2)T} Ae^{-j(\Delta\omega t + q)} dt + \mathbf{a}_2 \int_{(k+1)T}^{(k+2)T} Ae^{-j(\Delta\omega t + q)} dt \\
&= (\mathbf{a}_1 + \mathbf{a}_2)T \frac{\sin(\Delta\omega T / 2)}{(\Delta\omega T / 2)} e^{-j(\Delta\omega(K + \frac{3}{2})T + q)} \cdot A
\end{aligned}$$

Now, if the de-spreading operation is performed over 512 chip, we obtain,

$$\begin{aligned}
Z_1 &= \mathbf{a}_1 \int_{(k-1)T}^{(k+1)T} D_1(t)e^{-j(\Delta\omega t + q)} dt + \mathbf{a}_2 \int_{(k-1)T}^{(k+1)T} D_2(t)e^{-j(\Delta\omega t + q)} dt \\
&= \mathbf{a}_1 \int_{(k-1)T}^{kT} D_1(t)e^{-j(\Delta\omega t + q)} dt + \mathbf{a}_1 \int_{kT}^{(k+1)T} D_1(t)e^{-j(\Delta\omega t + q)} dt + \\
&\quad \mathbf{a}_2 \int_{(k-1)T}^{kT} D_2(t)e^{-j(\Delta\omega t + q)} dt + \mathbf{a}_2 \int_{kT}^{(k+1)T} D_2(t)e^{-j(\Delta\omega t + q)} dt \\
&= Z(kT) + Z((k+1)T)
\end{aligned}$$

$$\begin{aligned}
Z_2 &= \mathbf{a}_1 \int_{kT}^{(k+2)T} D_1(t) e^{-j(\Delta\omega t + q)} dt + \mathbf{a}_2 \int_{kT}^{(k+2)T} D_2(t) e^{-j(\Delta\omega t + q)} dt \\
&= \mathbf{a}_1 \int_{kT}^{(k+1)T} D_1(t) e^{-j(\Delta\omega t + q)} dt + \mathbf{a}_1 \int_{(k+1)T}^{(k+2)T} D_1(t) e^{-j(\Delta\omega t + q)} dt + \\
&\quad \mathbf{a}_2 \int_{kT}^{(k+1)T} D_2(t) e^{-j(\Delta\omega t + q)} dt + \mathbf{a}_2 \int_{(k+1)T}^{(k+2)T} D_2(t) e^{-j(\Delta\omega t + q)} dt \\
&= Z((k+1)T) + Z((k+2)T)
\end{aligned}$$

Now, the differential detection for phase offset can be carried,

$$\begin{aligned}
Z_1^* \cdot Z_2 &= T \frac{\sin(\Delta\omega T / 2)}{\Delta\omega T / 2} e^{j(\Delta\omega(k-\frac{1}{2})T + q)} A^* [(\mathbf{a}_1 + \mathbf{a}_2)^* + (\mathbf{a}_1 - \mathbf{a}_2)^* e^{j\Delta\omega T}] \\
&\quad \times T \frac{\sin(\Delta\omega T / 2)}{\Delta\omega T / 2} e^{-j(\Delta\omega(k+\frac{1}{2})T + q)} A [(\mathbf{a}_1 - \mathbf{a}_2) + (\mathbf{a}_1 + \mathbf{a}_2) e^{-j\Delta\omega T}] \\
&= T^2 \left(\frac{\sin(\Delta\omega T / 2)}{\Delta\omega T / 2} \right)^2 e^{-j\Delta\omega T} |A|^2 [|\mathbf{a}_1 + \mathbf{a}_2|^2 e^{-j\Delta\omega T} + |\mathbf{a}_1 - \mathbf{a}_2|^2 e^{j\Delta\omega T} + 2(|\mathbf{a}_1|^2 - |\mathbf{a}_2|^2)]
\end{aligned}$$

For explanatory purpose, if we let $\mathbf{a}_1 = \mathbf{a}_2 = 1$,

$$Z_1^* \cdot Z_2 = 4T^2 \left(\frac{\sin(\Delta\omega T / 2)}{\Delta\omega T / 2} \right)^2 e^{-j\Delta\omega T} |A|^2$$

The exponential term $\{-j\Delta\omega T\}$ suggests that

$$\begin{aligned}
|2\Delta\omega T| &< \pi, \quad \Delta\omega = 2\pi\Delta f \\
|\Delta f| &< 1/4T \quad (1/T = 15kHz) \\
|\Delta f| &< 3.75kHz
\end{aligned}$$

Therefore, the conclusion can be made to say that the upper limit for frequency acquisition is $\pm 3.75kHz$ using pilot pattern #3 proposed in [1].

4. Conclusion

With the analysis given above, we recommend WG1 to keep current pilot pattern for CPICH.

5. Reference

- [1] Samsung, Nokia "Common Pilot Pattern", TSGR1#8 (99)g62
- [2] Ericsson, "Common Pilot Pattern", TSGR1#7 (99)d17