TSG-RAN Meeting #25 **RP-040336**
Palm Springs, USA, 07-09 April 2004 **Agenda item 7.3.5**

Source: **TSG-RAN WG2.**

Title: **CRs to 25.331 (3) (Rel-5 and associated Rel-6)**

The following CRs are in RP-040336:

Spec	CR	Rev	Phase	Subject	Cat	Version-Current	Version-New	Workitem	Doc-2nd-Level
25.331	2419	-	Rel-5	UE actions for received new keys	F	5.9.0	5.10.0	TEI5	R2-041837
25.331	2420	-	Rel-6	UE actions for received new keys	A	6.2.0	6.3.0	TEI5	R2-041838
25.331	2421	1	Rel-5	Scrambling Code Change	F	5.9.0	5.10.0	TEI5	R2-041892
25.331	2422	1	Rel-6	Scrambling Code Change	A	6.2.0	6.3.0	TEI5	R2-041893
25.331	2429	-	Rel-5	UE security capability in INTER_RAT handover	F	5.9.0	5.10.0	TEI5	R2-041890
25.331	2430	-	Rel-6	UE security capability in INTER_RAT handover	A	6.2.0	6.3.0	TEI5	R2-041891
25.331	2431	-	Rel-5	Correction to the Radio Link Failure behaviour	F	5.9.0	5.10.0	TEI5	R2-041894
25.331	2432	-	Rel-6	Correction to the Radio Link Failure behaviour	A	6.2.0	6.3.0	TEI5	R2-041895

3GPP TSG-RAN2 Meeting #43
Prague, Czech Republic, 16-20 August 2004

Tdoc ⌘ R2-041837

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2419** ⌘ **rev** **-** ⌘ Current version: **5.9.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	UE actions for received new keys
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	19/Aug/2004
Category:	⌘	**F**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)

Reason for change:	⌘	There is the following note in the section 8.1.12.3.1 'New ciphering and integrity protection keys'. NOTE: The actions in this subclause are to be performed only if the new keys were received for an ongoing signalling connection while in UTRA. This note was introduced by CR1975r4 at RAN#20 to clarify that the UE shall not apply those actions upon 2G to 3G handover where new keys were previously received in 2G. However with its letter the note can be interpreted as if the UE doesn't perform the actions in this subclause when a signalling connection is released before received new keys are used and the keys are taken into use after another signalling connection for the same domain is re-established within a RRC connection. This obviously contradicts the general key handling rule and the UE should perform actions specified in the section, considering the keys as new. This scenario could happen especially in multi-call case. (i.e. RRC connection survives because of the other ongoing signalling connection.)
Summary of change:	⌘	The note is changed to state "The actions in this subclause are to be performed only if the new keys were received for an ongoing RRC connection while in UTRA".
Consequences if not approved:	⌘	De-synchronisation in security configuration would occur if either UE or UTRAN doesn't behave as clarified by this CR. **Isolated impact analysis:**

This CR has isolated impact for the following scenario.

1. New keys are received for a ongoing signalling connection.
2. The signalling connection is released (aborted) for some reason before SECURITY MODE COMMAND procedure is completed.
3. Signalling connection is re-established for the same CN domain without RRC connection being released.
4. SECURITY MODE COMMAND procedure is initiated and the previously received new keys are to be taken into use.

Impact on test specifications:
No impact is foreseen.

Implementation of this CR by a R99/Rel-4 UE will not cause compatibility issues.

Clauses affected:	⌘	8.1.12.3.1			
		Y	**N**		
Other specs affected:	⌘		**X**	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

How to create CRs using this form:
Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.1.12.3.1 New ciphering and integrity protection keys

NOTE: The actions in this subclause are to be performed only if the new keys were received for an ongoing ~~signalling~~ RRC connection while in UTRA.

If a new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN, the UE shall:

1> set the START value for the CN domain indicated in the variable LATEST_CONFIGURED_CN_DOMAIN to zero;

1> if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":

2> for integrity protection in the downlink on each signalling radio bearer except RB2:

3> if IE "Integrity protection mode command" has the value "start":

4> for the first received message on this signalling radio bearer:

5> start using the new integrity key;

5> for this signalling radio bearer:

6> set the IE "Downlink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the downlink COUNT-I to zero.

3> else:

4> for the first message for which the RRC sequence number in a received RRC message for this signalling radio bearer is equal to or greater than the activation time as indicated in IE "Downlink integrity protection activation info" as included in the IE "Integrity protection mode info":

5> start using the new integrity key;

5> for this signalling radio bearer:

6> set the IE "Downlink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the downlink COUNT-I to zero.

2> for integrity protection in the uplink on each signalling radio bearer except RB2:

3> for the first message for which the RRC sequence number in a to be transmitted RRC message for this signalling radio bearer is equal to the activation time as indicated in IE "Uplink integrity protection activation info" included in the transmitted SECURITY MODE COMPLETE message:

4> start using the new integrity key;

4> for this signalling radio bearer:

5> set the IE "Uplink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the uplink COUNT-I to zero.

2> for integrity protection in the downlink on signalling radio bearer RB2:

3> at the received SECURITY MODECOMMAND:

4> start using the new integrity key;

4> set the IE "Downlink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the downlink COUNT-I to zero.

2> for integrity protection in the uplink on signalling radio bearer RB2 :

3> at the transmitted SECURITY MODE COMPLETE:

4> start using the new integrity key;

4> set the IE "Uplink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the uplink COUNT-I to zero.

1> if the SECURITY MODE COMMAND message contained the IE "Ciphering mode info":

2> for each signalling radio bearer and for each radio bearer for the CN domain indicated in the variable LATEST_CONFIGURED_CN_DOMAIN:

3> if the IE "Status" in the variable CIPHERING_STATUS has the value "Started" for this CN domain, then for ciphering on radio bearers using RLC-TM:

4> at the CFN as indicated in the IE "Ciphering activation time for DPCH" in the IE "Ciphering mode info":

5> start using the new key in uplink and downlink;

5> set the HFN component of the COUNT-C to zero.

3> if the IE "Status" in the variable CIPHERING_STATUS has the value "Started" for this CN domain, then for ciphering on radio bearers and signalling radio bearers using RLC-AM and RLC-UM:

4> in the downlink, at the RLC sequence number indicated in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info":

5> start using the new key;

5> set the HFN component of the downlink COUNT-C to zero.

4> in the uplink, at the RLC sequence number indicated in IE "Radio bearer uplink ciphering activation time info":

5> start using the new key;

5> set the HFN component of the uplink COUNT-C to zero.

1> consider the value of the latest transmitted START value to be zero.

3GPP TSG-RAN2 Meeting #43
Prague, Czech Republic, 16-20 August 2004

Tdoc ⌘ R2-041838

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2420** ⌘ **rev** **-** ⌘ Current version: **6.2.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	UE actions for received new keys
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	19/Aug/2004
Category:	⌘	**A**
Release:	⌘	Rel-6

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
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C *(functional modification of feature)*
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R98 *(Release 1998)*
R99 *(Release 1999)*
Rel-4 *(Release 4)*
Rel-5 *(Release 5)*
Rel-6 *(Release 6)*

Reason for change: ⌘

There is the following note in the section 8.1.12.3.1 'New ciphering and integrity protection keys'.

NOTE: The actions in this subclause are to be performed only if the new keys were received for an ongoing signalling connection while in UTRA.

This note was introduced by CR1975r4 at RAN#20 to clarify that the UE shall not apply those actions upon 2G to 3G handover where new keys were previously received in 2G.

However with its letter the note can be interpreted as if the UE doesn't perform the actions in this subclause when a signalling connection is released before received new keys are used and the keys are taken into use after another signalling connection for the same domain is re-established within a RRC connection.
This obviously contradicts the general key handling rule and the UE should perform actions specified in the section, considering the keys as new.

This scenario could happen especially in multi-call case. (i.e. RRC connection survives because of the other ongoing signalling connection.)

Summary of change: ⌘

The note is changed to state "The actions in this subclause are to be performed only if the new keys were received for an ongoing RRC connection while in UTRA".

Consequences if not approved: ⌘

De-synchronisation in security configuration would occur if either UE or UTRAN doesn't behave as clarified by this CR.

Isolated impact analysis:

This CR has isolated impact for the following scenario.

1. New keys are received for a ongoing signalling connection.
2. The signalling connection is released (aborted) for some reason before SECURITY MODE COMMAND procedure is completed.
3. Signalling connection is re-established for the same CN domain without RRC connection being released.
4. SECURITY MODE COMMAND procedure is initiated and the previously received new keys are to be taken into use.

Impact on test specifications:
No impact is foreseen.

Implementation of this CR by a R99/Rel-4 UE will not cause compatibility issues.

Clauses affected:	⌘	8.1.12.3.1			
		Y	**N**		
Other specs affected:	⌘		**X**	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

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8.1.12.3.1 New ciphering and integrity protection keys

NOTE: The actions in this subclause are to be performed only if the new keys were received for an ongoing ~~signalling~~ RRC connection while in UTRA.

If a new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN, the UE shall:

1> set the START value for the CN domain indicated in the variable LATEST_CONFIGURED_CN_DOMAIN to zero;

1> if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":

2> for integrity protection in the downlink on each signalling radio bearer except RB2:

3> if IE "Integrity protection mode command" has the value "start":

4> for the first received message on this signalling radio bearer:

5> start using the new integrity key;

5> for this signalling radio bearer:

6> set the IE "Downlink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the downlink COUNT-I to zero.

3> else:

4> for the first message for which the RRC sequence number in a received RRC message for this signalling radio bearer is equal to or greater than the activation time as indicated in IE "Downlink integrity protection activation info" as included in the IE "Integrity protection mode info":

5> start using the new integrity key;

5> for this signalling radio bearer:

6> set the IE "Downlink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the downlink COUNT-I to zero.

2> for integrity protection in the uplink on each signalling radio bearer except RB2:

3> for the first message for which the RRC sequence number in a to be transmitted RRC message for this signalling radio bearer is equal to the activation time as indicated in IE "Uplink integrity protection activation info" included in the transmitted SECURITY MODE COMPLETE message:

4> start using the new integrity key;

4> for this signalling radio bearer:

5> set the IE "Uplink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the uplink COUNT-I to zero.

2> for integrity protection in the downlink on signalling radio bearer RB2:

3> at the received SECURITY MODECOMMAND:

4> start using the new integrity key;

4> set the IE "Downlink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the downlink COUNT-I to zero.

2> for integrity protection in the uplink on signalling radio bearer RB2 :

3> at the transmitted SECURITY MODE COMPLETE:

4> start using the new integrity key;

4> set the IE "Uplink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the uplink COUNT-I to zero.

1> if the SECURITY MODE COMMAND message contained the IE "Ciphering mode info":

2> for each signalling radio bearer and for each radio bearer for the CN domain indicated in the variable LATEST_CONFIGURED_CN_DOMAIN:

3> if the IE "Status" in the variable CIPHERING_STATUS has the value "Started" for this CN domain, then for ciphering on radio bearers using RLC-TM:

4> at the CFN as indicated in the IE "Ciphering activation time for DPCH" in the IE "Ciphering mode info":

5> start using the new key in uplink and downlink;

5> set the HFN component of the COUNT-C to zero.

3> if the IE "Status" in the variable CIPHERING_STATUS has the value "Started" for this CN domain, then for ciphering on radio bearers and signalling radio bearers using RLC-AM and RLC-UM:

4> in the downlink, at the RLC sequence number indicated in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info":

5> start using the new key;

5> set the HFN component of the downlink COUNT-C to zero.

4> in the uplink, at the RLC sequence number indicated in IE "Radio bearer uplink ciphering activation time info":

5> start using the new key;

5> set the HFN component of the uplink COUNT-C to zero.

1> consider the value of the latest transmitted START value to be zero.

3GPP TSG-RAN Meeting #43
Prague, Czech Republic, August 15-20

Tdoc ⌘R2-041892

CR-Form-v7.1

CHANGE REQUEST

⌘ **25.331** **CR** **2421** ⌘**rev** **1** ⌘ Current version: **5.9.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☐ Radio Access Network ☒ Core Network ☐

Title:	⌘	Scrambling Code Change
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	20/08/2004
Category:	⌘	**F**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
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Use one of the following releases:
Ph2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)
Rel-7 (Release 7)

Reason for change: ⌘

- The current SRNS Relocation container does not contain any information regarding compressed mode. As a result, any information regarding what kind of compressed mode configuration is given to the UE is lost after SRNS relocation. This would create unnecessary limitations when configuring the compressed mode and/or setting the IEs for reconfiguration messages.
- There are some IE conditions that refers to TGPS_IDENTITY, but there are few places in chapter 8 that deletes the compressed mode patterns without referring to TGPS_IDENTITY
- Change in revision 1: Version column is added in 14.12.4.2.

Summary of change: ⌘

1. Compressed mode information is added into SRNS relocation container.
2. Clarified that deleteing of compressed mode patterns means deleting them from TGPS_IDENTITY

Consequences if not approved: ⌘

No UE impact is foreseen
the clarification in chapter 8 is assumed to be the same as any UE implementation that supports compressed mode. Rest of the change is SRNS relocation container

If the network does not implement the CR:
- The SRNC is required to deactivate compressed mode before SRNS relocation.
- SRNC has no idea what kind of compressed mode configuration is stored in the UE before SRNS relocation, so SRNC needs to send compressed mode

	configuration to the UE again, regardless of what is stored in the UE. - In case compressed mode method “SF/2” is being used, SRNC needs to set the IE “Scrambling Code Change” while the UE is in Cell_DCH to avoid protocol error, because SRNC cannot determine whether compresse mode configuration is given to the UE or not before SRNS relocation. Therefore, SRNC has to rely on the RRC error handling.

Clauses affected:	⌘	8.4.1.6.2, 8.4.1.6.3, 8.4.1.6a, 11.5, 14.12.4.2			
		Y	**N**		
Other specs Affected:	⌘			Other core specifications	⌘
				Test specifications	
				O&M Specifications	
Other comments:	⌘				

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8.4.1.6.2 Inter-frequency measurement

Upon transition from CELL_DCH to CELL_FACH/ CELL_PCH/URA_PCH state, the UE shall:

1> stop the inter-frequency type measurement reporting assigned in a MEASUREMENT CONTROL message;

1> if the transition is due to a reconfiguration message which included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects a cell other than that indicated by this IE on the current frequency (in case the IE "Frequency info" is not received) or other than that indicated by this IE on the frequency indicated by the IE "Frequency info" (when the IE "Frequency info" is included); or

1> if the transition is due to a reconfiguration message which does not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD); or

1> if the transition is not due to a reconfiguration message:

2> delete the measurements of type inter-frequency associated with the variable MEASUREMENT_IDENTITY and delete the corresponding compressed mode pattern stored in the variable TGPS_IDENTITY.

1> for remaining compressed mode patterns, set the IE "TGPS Status Flag" to "deactivate" and the IE "Current TGPS Status Flag" to "inactive" in the variable TGPS_IDENTITY.

1> begin monitoring cells listed in the IE "inter-frequency cell info list" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11);

1> in CELL_FACH state:

2> perform measurements on other frequencies according to the IE "FACH measurement occasion info".

8.4.1.6.3 Inter-RAT measurement

Upon transition from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH state, the UE shall:

1> stop the inter-RAT type measurement reporting assigned in a MEASUREMENT CONTROL message;

1> delete the measurements of type inter-RAT associated with the variable MEASUREMENT_IDENTITY and delete the corresponding compressed mode pattern stored in the variable TGPS_IDENTITY;

1> begin monitoring cells listed in the IE "inter-RAT cell info list" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11);

1> in CELL_FACH state:

2> perform measurements on other systems according to the IE "FACH measurement occasion info".

8.4.1.6a Actions in CELL_FACH/CELL_PCH/URA/PCH state upon cell re-selection

Upon cell reselection while in CELL_FACH/CELL_PCH/URA/PCH state and the cell reselection has occurred after the measurement control information was stored, the UE shall:

1> delete all measurements of type intra-frequency, inter-frequency, and inter-RAT associated with the variable MEASUREMENT_IDENTITY;

1> delete all compressed mode patterns associated with inter-frequency and inter-RAT measurements stored in the variable TGPS_IDENTITY;

1> delete the traffic volume measurements that have not been set up or modified through a MEASUREMENT CONTROL message.

11.5 RRC information between network nodes

```
Internode-definitions DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
```

```
IMPORTS

-- Physical Channel IEs :
    PrimaryCPICH-Info,
    TPC-CombinationIndex,
    ScramblingCodeChange,
    TGCFN,
    TGPSI,
    TGPS-ConfigurationParams,
FROM InformationElements

    maxCNdomains,
    maxNoOfMeas,

    maxRB,
    maxRBallRABs,
    maxRFC3095-CID,
    maxSRBsetup,
    maxRL,
    maxTGPS
FROM Constant-definitions


-- **************************************************
--
-- SRNC Relocation information
--
-- **************************************************

SRNC-RelocationInfo-r3 ::= CHOICE {
    r3                              SEQUENCE {
        sRNC-RelocationInfo-r3          SRNC-RelocationInfo-r3-IEs,
            v380NonCriticalExtensions           SEQUENCE {
                sRNC-RelocationInfo-v380ext SRNC-RelocationInfo-v380ext-IEs,
                -- Reserved for future non critical extension
                v390NonCriticalExtensions           SEQUENCE {
                    sRNC-RelocationInfo-v390ext         SRNC-RelocationInfo-v390ext-IEs,
                    v3a0NonCriticalExtensions           SEQUENCE {
                        sRNC-RelocationInfo-v3a0ext         SRNC-RelocationInfo-v3a0ext-IEs,
                        v3b0NonCriticalExtensions           SEQUENCE {
                            sRNC-RelocationInfo-v3b0ext         SRNC-RelocationInfo-v3b0ext-IEs,
                            v3c0NonCriticalExtensions           SEQUENCE {
                                sRNC-RelocationInfo-v3c0ext         SRNC-RelocationInfo-v3c0ext-IEs,
                                laterNonCriticalExtensions          SEQUENCE {
                                    sRNC-RelocationInfo-v3d0ext         SRNC-RelocationInfo-v3d0ext-
IEs,
                                    -- Container for additional R99 extensions
                                    sRNC-RelocationInfo-r3-add-ext      BIT STRING
                                    (CONTAINING SRNC-RelocationInfo-v3h0ext-IEs)        OPTIONAL,
                                    v3g0NonCriticalExtensions           SEQUENCE {
                                        sRNC-RelocationInfo-v3g0ext         SRNC-RelocationInfo-v3g0ext-IEs,
                                        v4b0NonCriticalExtensions           SEQUENCE {
                                            sRNC-RelocationInfo-v4b0ext         SRNC-RelocationInfo-v4b0ext-IE
                                            v590NonCriticalExtensions           SEQUENCE {
                                                sRNC-RelocationInfo-v590ext
                                                                                    SRNC-RelocationInfo-v590ext-IE
                                            v5a0NonCriticalExtensions           SEQUENCE {
                                                sRNC-RelocationInfo-v5a0ext
                                                                                    SRNC-RelocationInfo-v5a0ext-IE
                                                -- Reserved for future non critical extension
                                                nonCriticalExtensions           SEQUENCE {}
    OPTIONAL
                                            }       OPTIONAL
                                        }       OPTIONAL
                                    }       OPTIONAL
                                }       OPTIONAL
                            }       OPTIONAL
                        }       OPTIONAL
                    }       OPTIONAL
                }       OPTIONAL
            }       OPTIONAL
    },
    later-than-r3                   CHOICE {
        r4                              SEQUENCE {
            sRNC-RelocationInfo-r4          SRNC-RelocationInfo-r4-IEs,
            v4d0NonCriticalExtensions   SEQUENCE {
                sRNC-RelocationInfo-v4d0ext     SRNC-RelocationInfo-v4d0ext-IEs,
```

```
                -- Container for adding non critical extensions after freezing REL-5
                sRNC-RelocationInfo-r4-add-ext      BIT STRING      OPTIONAL,
                v590NonCriticalExtensions       SEQUENCE {
                    sRNC-RelocationInfo-v590ext         SRNC-RelocationInfo-v590ext-IEs,
                    v5a0NonCriticalExtensions       SEQUENCE {
                        sRNC-RelocationInfo-v5a0ext     SRNC-RelocationInfo-v5a0ext-IEs,
                        nonCriticalExtensions           SEQUENCE {} OPTIONAL
                    } OPTIONAL
                } OPTIONAL
            }   OPTIONAL
        },
        criticalExtensions              CHOICE {
            r5                              SEQUENCE {
                sRNC-RelocationInfo-r5          SRNC-RelocationInfo-r5-IEs,
                sRNC-RelocationInfo-r5-add-ext  BIT STRING      OPTIONAL,
                v5a0NonCriticalExtensions       SEQUENCE {
                    sRNC-RelocationInfo-v5a0ext     SRNC-RelocationInfo-v5a0ext-IEs,
                    nonCriticalExtensions           SEQUENCE {}     OPTIONAL
                } OPTIONAL
            },
            criticalExtensions                  SEQUENCE {}
        }
    }
}

SRNC-RelocationInfo-v5a0ext-IEs ::= SEQUENCE {
        storedCompressedModeInfo        StoredCompressedModeInfo    OPTIONAL
}

CodeChangeStatusList ::= SEQUENCE (SIZE (1..maxRL)) OF
        CodeChangeStatus

CodeChangeStatus ::= SEQUENCE {
        primaryCPICH-Info                   PrimaryCPICH-Info,
        scramblingCodeChange                ScramblingCodeChange
}

StoredCompressedModeInfo ::= SEQUENCE {
        storedTGP-SequenceList      StoredTGP-SequenceList,
        codeChangeStatusList        CodeChangeStatusList    OPTIONAL
}

StoredTGP-SequenceList ::=              SEQUENCE (SIZE (1..maxTGPS)) OF
                                        StoredTGP-Sequence

StoredTGP-Sequence ::=                  SEQUENCE {
    tgpsi                               TGPSI,
    current-tgps-Status                         CHOICE {
        active                          SEQUENCE {
            tgcfn                               TGCFN
        },
        inactive                            NULL
    },
    tgps-ConfigurationParams            TGPS-ConfigurationParams             OPTIONAL
}
```

14.12.4.2 SRNS RELOCATION INFO

This RRC message is sent between network nodes when preparing for an SRNS relocation or a handover/cell reselection from GERAN *Iu mode*.

With the presence or absence of the IE "RB identity for Hard Handover message" the source RNC indicates to the target SRNC whether the source RNC expects to receive the choice "DL DCCH message" in the IE "RRC information, target RNC to source RNC" in case the SRNS relocation is of type "UE involved". Furthermore the target RNC uses this information for the calculation of the MAC-I.

Direction: source RNC/RAT→target RNC

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
Non RRC Ies					
>RB identity for Handover message	OP		RB identity 10.3.4.16	Gives the id of the radio bearer on	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
				which the source RNC will transmit the RRC message in the case the relocation is of type "UE involved". In handover from GERAN *Iu mode* this IE is always set to 2.	
>State of RRC	MP		RRC state indicator, 10.3.3.35a		
>State of RRC procedure	MP		Enumerated (await no RRC message, await RB Release Complete, await RB Setup Complete, await RB Reconfiguration Complete, await Transport CH Reconfiguration Complete, await Physical CH Reconfiguration Complete, await Active Set Update Complete, await Handover Complete, send Cell Update Confirm, send URA Update Confirm, , others)		
Ciphering related information					
>Ciphering status for each CN domain	MP	<1 to maxCNDomains>			
>>CN domain identity	MP		CN domain identity 10.3.1.1		
>>Ciphering status	MP		Enumerated(Not started, Started)		
>>START	MP		START 10.3.3.38	START value to be used in this CN domain.	
>Latest configured CN domain	MP		CN domain	Value contained in	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
			identity 10.3.1.1	the variable of the same name. In case this variable is empty, the source RNC can set any CN domain identity. In that case, the Ciphering status and the Integrity protection status should be Not started and the target RNC should not initialise the variable Latest configured CN domain.	
>Calculation time for ciphering related information	CV-*Ciphering*			Time when the ciphering information of the message were calculated, relative to a cell of the target RNC. In handover and cell reselection from GERAN *Iu mode* this field is not present.	
>>Cell Identity	MP		Cell Identity 10.3.2.2	Identity of one of the cells under the target RNC and included in the active set of the current call	
>>SFN	MP		Integer(0..4095)		
>COUNT-C list	OP	1 to <maxCNdomains>		COUNT-C values for radio bearers using transparent mode RLC	
>>CN domain identity	MP		CN domain identity 10.3.1.1		
>>COUNT-C	MP		Bit string(32)		
>Ciphering info per radio bearer	OP	1 to <maxRB>		For signalling radio bearers this IE is mandatory.	
>>RB identity	MP		RB identity 10.3.4.16		
>>Downlink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)	
>>Downlink SN	CV-*SRB1*		Bit String(7)	VT(US) of RLC UM	
>>Uplink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)	
Integrity protection related information					
>Integrity protection status	MP		Enumerated(Not started, Started)		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
>Signalling radio bearer specific integrity protection information	CV-*IP*	4 to <maxSRBsetup>			
>>Uplink RRC HFN	MP		Bit string (28)	For each SRB, in the case activation times for the next IP configuration to be applied on this SRB have already been reached this IE corresponds to the last value used. Else this value corresponds to the value the source would have initalized the HFN to at the activation time. Increment of HFN due to RRC SN roll over is taken care of by target based on value sent by the source.	
>>Downlink RRC HFN	MP		Bit string (28)	For each SRB, in the case activation times for the next IP configuration to be applied on this SRB have already been reached this IE corresponds to the last value used. Else this value corresponds to the value the source would have initalized the HFN to at the activation time. Increment of HFN due to RRC SN roll over is taken care of by target based on value sent by the source. In particular, for SRB2, this IE should not take into account the RRC message that will trigger the relocation.	
>>Uplink RRC Message sequence number	MP		Integer (0..15)	For each SRB, this IE corresponds to the last value received or in the case activation time was not reached for a	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
				configuration the value equals (activation time - 1).	
>>Downlink RRC Message sequence number	MP		Integer (0..15)	For each SRB, this IE corresponds to the last value used or in the case activation time was not reached for a configuration the value equals (activation time - 1). In particular, for SRB2, this IE should not take into account the RRC message that will trigger the relocation.	
>Implementation specific parameters	OP		Bit string (1..512)		
RRC Ies					
UE Information elements					
>U-RNTI	MP		U-RNTI 10.3.3.47	G-RNTI is placed in this field when performing handover or cell reselection from GERAN *Iu mode.*	
>C-RNTI	OP		C-RNTI 10.3.3.8		
>UE radio access Capability	MP		UE radio access capability 10.3.3.42		
>UE radio access capability extension	OP		UE radio access capability extension 10.3.3.42a		
>Last known UE position	OP				
>>SFN	MP		Integer (0..4095)	Time when position was estimated	
>>Cell ID	MP		Cell identity; 10.3.2.2	Indicates the cell, the SFN is valid for.	
>>CHOICE *Position estimate*	MP				
>>>Ellipsoid Point			Ellipsoid Point; 10.3.8.4a		
>>>Ellipsoid point with uncertainty circle			Ellipsoid point with uncertainty circle 10.3.8.4d		
>>>Ellipsoid point with uncertainty ellipse			Ellipsoid point with uncertainty ellipse 10.3.8.4e		
>>>Ellipsoid point with altitude			Ellipsoid point with		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
			altitude 10.3.8.4b		
>>>Ellipsoid point with altitude and uncertainty ellipsoid			Ellipsoid point with altitude and uncertainty ellipsoid 10.3.8.4c		
>UE Specific Behaviour Information 1 idle	OP		UE Specific Behaviour Information idle 1 10.3.3.51	This IE should be included if received via the "INTER RAT HANDOVER INFO", the "RRC CONNECTION REQUEST", the IE "SRNS RELOCATION INFO" or the "Inter RAT Handover Info with Inter RAT Capabilities"	
>UE Specific Behaviour Information 1 interRAT	OP		UE Specific Behaviour Information 1 interRAT 10.3.3.52	This IE should be included if received via the "INTER RAT HANDOVER INFO", the "RRC CONNECTION REQUEST", the IE "SRNS RELOCATION INFO" or the "Inter RAT Handover Info with Inter RAT Capabilities"	
Other Information elements					
>UE system specific capability	OP	1 to <maxSystemCapability>			
>>Inter-RAT UE radio access capability	MP		Inter-RAT UE radio access capability 10.3.8.7		
UTRAN Mobility Information elements					
>URA Identifier	OP		URA identity 10.3.2.6		
CN Information Elements					
>CN common GSM-MAP NAS system information	MP		NAS system information (GSM-MAP) 10.3.1.9		
>CN domain related information	OP	1 to <MaxCNdomains>		CN related information to be provided for each CN domain	
>>CN domain identity	MP				
>>CN domain specific GSM-MAP NAS system info	MP		NAS system information (GSM-MAP) 10.3.1.9		
>>CN domain specific DRX cycle length coefficient	MP		CN domain specific DRX		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
			cycle length coefficient, 10.3.3.6		
Measurement Related Information elements					
>For each ongoing measurement reporting	OP	1 to <MaxNoOf Meas>			
>>Measurement Identity	MP		Measurement identity 10.3.7.48		
>>Measurement Command	MP		Measurement command 10.3.7.46		
>>Measurement Type	CV-*Setup*		Measurement type 10.3.7.50		
>>Measurement Reporting Mode	OP		Measurement reporting mode 10.3.7.49		
>>Additional Measurements list	OP		Additional measurements list 10.3.7.1		
>>CHOICE *Measurement*	OP				
>>>Intra-frequency					
>>>>Intra-frequency cell info	OP		Intra-frequency cell info list 10.3.7.33		
>>>>Intra-frequency measurement quantity	OP		Intra-frequency measurement quantity 10.3.7.38		
>>>>Intra-frequency reporting quantity	OP		Intra-frequency reporting quantity 10.3.7.41		
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61		
>>>>Measurement validity	OP		Measurement validity 10.3.7.51		
>>>>CHOICE *report criteria*	OP				
>>>>>Intra-frequency measurement reporting criteria			Intra-frequency measurement reporting criteria 10.3.7.39		
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53		
>>>>>No reporting			NULL		
>>>Inter-frequency					
>>>>Inter-frequency cell info	OP		Inter-frequency cell info list 10.3.7.13		
>>>>Inter-frequency	OP		Inter-		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
measurement quantity			frequency measurement quantity 10.3.7.18		
>>>>Inter-frequency reporting quantity	OP		Inter-frequency reporting quantity 10.3.7.21		
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61		
>>>>Measurement validity	OP		Measurement validity 10.3.7.51		
>>>>Inter-frequency set update	OP		Inter-frequency set update 10.3.7.22		
>>>>CHOICE *report criteria*	OP				
>>>>>Intra-frequency measurement reporting criteria			Intra-frequency measurement reporting criteria 10.3.7.39		
>>>>>Inter-frequency measurement reporting criteria			Inter-frequency measurement reporting criteria 10.3.7.19		
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53		
>>>>>No reporting			NULL		
>>>Inter-RAT					
>>>>Inter-RAT cell info	OP		Inter-RAT cell info list 10.3.7.23		
>>>>Inter-RAT measurement quantity	OP		Inter-RAT measurement quantity 10.3.7.29		
>>>>Inter-RAT reporting quantity	OP		Inter-RAT reporting quantity 10.3.7.32		
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61		
>>>>Measurement validity	OP		Measurement validity 10.3.7.51		
>>>>CHOICE *report criteria*	OP				
>>>>>Inter-RAT measurement reporting criteria			Inter-RAT measurement reporting criteria 10.3.7.30		
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
>>>>>No reporting			NULL		
>>>Traffic Volume					
>>>>Traffic volume measurement Object	OP		Traffic volume measurement object 10.3.7.70		
>>>>Traffic volume measurement quantity	OP		Traffic volume measurement quantity 10.3.7.71		
>>>>Traffic volume reporting quantity	OP		Traffic volume reporting quantity 10.3.7.74		
>>>>Measurement validity	OP		Measurement validity 10.3.7.51		
>>>>CHOICE *report criteria*	OP				
>>>>>Traffic volume measurement reporting criteria			Traffic volume measurement reporting criteria 10.3.7.72		
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53		
>>>>>No reporting			NULL		
>>>Quality					
>>>>Quality measurement quantity	OP		Quality measurement quantity 10.3.7.59		
>>>>CHOICE *report criteria*	OP				
>>>>>Quality measurement reporting criteria			Quality measurement reporting criteria 10.3.7.58		
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53		
>>>>>No reporting			NULL		
>>>UE internal					
>>>>UE internal measurement quantity	OP		UE internal measurement quantity 10.3.7.79		
>>>>UE internal reporting quantity	OP		UE internal reporting quantity 10.3.7.82		
>>>>CHOICE *report criteria*	OP				
>>>>>UE internal measurement reporting criteria			UE internal measurement reporting criteria 10.3.7.80		
>>>>>Periodical reporting			Periodical reporting		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
			criteria 10.3.7.53		
>>>>>No reporting			NULL		
>>>UE positioning					
>>>>LCS reporting quantity	OP		LCS reporting quantity 10.3.7.111		
>>>>CHOICE *report criteria*	OP				
>>>>>LCS reporting criteria			LCS reporting criteria 10.3.7.110		
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53		
>>>>>No reporting					
Radio Bearer Information Elements					
>Predefined configuration status information	OP		Predefined configuration status information 10.3.4.5a		
>Signalling RB information list	MP	1 to <maxSRBsetup>		For each signalling radio bearer	
>>Signalling RB information	MP		Signalling RB information to setup 10.3.4.24		
>RAB information list	OP	1 to <maxRABsetup>		Information for each RAB	
>>RAB information	MP		RAB information to setup 10.3.4.10		
Transport Channel Information Elements					
Uplink transport channels					
>UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24		
>UL transport channel information list	OP	1 to <MaxTrCH>			
>>UL transport channel information	MP		Added or reconfigured UL TrCH information 10.3.5.2		
>CHOICE *mode*	OP				
>>FDD					
>>>CPCH set ID	OP		CPCH set ID 10.3.5.5		
>>>Transport channel information for DRAC list	OP	1 to <MaxTrCH>			

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
>>>>DRAC static information	MP		DRAC static information 10.3.5.7		
>>TDD				(no data)	
Downlink transport channels					
>DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6		
>DL transport channel information list	OP	1 to <MaxTrCH>			
>>DL transport channel information	MP		Added or reconfigured DL TrCH information 10.3.5.1		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
PhyCH information elements					
>TPC Combination Info list	OP	1 to <maxRL>			
>>Primary CPICH info	MP		Primary CPICH info 10.3.6.60		
>>TPC combination index	MP		TPC combination index 10.3.6.85		
>Transmission gap pattern sequence	OP	1 to <maxTGPS>			REL-5
>>TGPSI	MP		TGPSI 10.3.6.82		
>> Current TGPS Status Flag	MP		Enumerated(active, inactive)	This flag indicates the current status of the Transmission Gap Pattern Sequence, whether it is active or inactive	
>>TGCFN	CV-*Active*		Integer (0..255)	Connection Frame Number of the latest past frame of the first pattern within the Transmission Gap Pattern Sequence.	
>>Transmission gap pattern sequence configuration parameters	OP				
>>>TGMP	MP		Enumerated(TDD measurement, FDD measurement, GSM carrier RSSI measurement, GSM Initial BSIC identification, GSM BSIC re-confirmation, Multi-carrier measurement)	Transmission Gap pattern sequence Measurement Purpose.	
>>>TGPRC	MP		Integer (1..511, Infinity)	The number of remaining transmission gap patterns within the Transmission Gap Pattern Sequence.	
>>>TGSN	MP		Integer (0..14)	Transmission Gap Starting Slot Number The slot number of the first transmission gap slot within the TGCFN.	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
>>>TGL1	MP		Integer(1..14)	The length of the first Transmission Gap within the transmission gap pattern expressed in number of slots	
>>>TGL2	MD		Integer (1..14)	The length of the second Transmission Gap within the transmission gap pattern. If omitted, then TGL2=TGL1. The value of TGL2 shall be ignored if TGD is set to "undefined"	
>>>TGD	MP		Integer(15..269, undefined)	Transmission gap distance indicates the number of slots between starting slots of two consecutive transmission gaps within a transmission gap pattern. If there is only one transmission gap in the transmission gap pattern, this parameter shall be set to undefined.	
>>>TGPL1	MP		Integer (1..144)	The duration of transmission gap pattern 1.	
>>>TGPL2	MD		Integer (1..144)	The duration of transmission gap pattern 2. If omitted, then TGPL2=TGPL1.	
>>>RPP	MP		Enumerated (mode 0, mode 1).	Recovery Period Power control mode during the frame after the transmission gap within the compressed frame. Indicates whether normal PC mode or compressed PC mode is applied	
>>>ITP	MP		Enumerated (mode 0, mode 1).	Initial Transmit Power is the uplink power control method to be used to compute the initial transmit power after the compressed mode gap.	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
>>>CHOICE *UL/DL mode*	MP				
>>>>DL only				Compressed mode used in DL only	
>>>>>Downlink compressed mode method	MP		Enumerated (puncturing, SF/2, higher layer scheduling)	Method for generating downlink compressed mode gap	
>>>>UL only				Compressed mode used in UL only	
>>>>>Uplink compressed mode method	MP		Enumerated (SF/2, higher layer scheduling)	Method for generating uplink compressed mode gap	
>>>>UL and DL				Compressed mode used in UL and DL	
>>>>>Downlink compressed mode method	MP		Enumerated (puncturing, SF/2, higher layer scheduling)	Method for generating downlink compressed mode gap	
>>>>>Uplink compressed mode method	MP		Enumerated (SF/2, higher layer scheduling)	Method for generating uplink compressed mode gap	
>>>Downlink frame type	MP		Enumerated (A, B)		
>>>DeltaSIR1	MP		Real(0..3 by step of 0.1)	Delta in DL SIR target value to be set in the UE during the frame containing the start of the first transmission gap in the transmission gap pattern (without including the effect of the bit-rate increase)	
>>>DeltaSIRafter1	MP		Real(0..3 by step of 0.1)	Delta in DL SIR target value to be set in the UE one frame after the frame containing the start of the first transmission gap in the transmission gap pattern.	
>>>DeltaSIR2	OP		Real(0..3 by step of 0.1)	Delta in DL SIR target value to be set in the UE during the frame containing the start of the second transmission gap in the transmission gap pattern (without including the	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
				effect of the bit-rate increase) When omitted, DeltaSIR2 = DeltaSIR1.	
>>>DeltaSIRafter2	OP		Real(0..3 by step of 0.1)	Delta in DL SIR target value to be set in the UE one frame after the frame containing the start of the second transmission gap in the transmission gap pattern. When omitted, DeltaSIRafter2 = DeltaSIRafter1.	
>>>N Identify abort	CV-*Initial BSIC*		Integer(1..128)	Indicates the maximum number of repeats of patterns that the UE shall use to attempt to decode the unknown BSIC of the GSM cell in the initial BSIC identification procedure	
>>>T Reconfirm abort	CV-*Re-confirm BSIC*		Real(0.5..10.0 by step of 0.5)	Indicates the maximum time allowed for the re-confirmation of the BSIC of one GSM cell in the BSIC re-confirmation procedure. The time is given in steps of 0.5 seconds.	
>Scrambling Code Change List	CH-*SF/2*	1 to <maxRL>			REL-5
>>Primary CPICH info	MP		Primary CPICH info 10.3.6.60		
>>Scrambling code change	MP		Enumerated (code change, no code change)	Indicates whether the alternative scrambling code is used for compressed mode method 'SF/2'.	
Other Information elements					
>Measurement report	OP		MEASUREMENT REPORT 10.2.1.9		
>Failure cause	OP		Failure cause 10.3.3.13	Diagnostics information related to an earlier SRNC Relocation request (see NOTE 2 in 14.12.0a)	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
>Protocol error information	CV-*ProtErr*		Protocol error information 10.3.8.12		

Multi Bound	Explanation
MaxNoOfMeas	Maximum number of active measurements, upper limit 16

Condition	Explanation
Setup	The IE is mandatory present when the IE Measurement command has the value "Setup", otherwise the IE is not needed.
Ciphering	The IE is mandatory present when the IE Ciphering Status has the value "started" and the ciphering counters need not be reinitialised, otherwise the IE is not needed.
IP	The IE is mandatory present when the IE Integrity protection status has the value "started" and the integrity protection counters need not be reinitialised, otherwise the IE is not needed.
ProtErr	This IE is mandatory present if the IE "Protocol error indicator" is included and has the value "TRUE". Otherwise it is not needed.
SRB1	The IE is mandatory present for RB1. Otherwise it is not needed.
Active	This IE is mandatory present when the value of the IE "Current TGPS Status Flag" is "Active" and not needed otherwise.
Initial BSIC	This IE is mandatory present when the value of the IE "TGMP" is set to "GSM Initial BSIC identification" and not needed otherwise.
Re-confirm BSIC	This IE is mandatory present when the value of the IE "TGMP" is set to "GSM BSIC re-confirmation" and not needed otherwise.
SF/2	The IE is mandatory present if the IE “Transmission Gap Pattern Sequence” is included and has the value “SF/2” as the compressed mode method, and already sent the UE the IE “Scrambling Code Change” for each RL in the active set. Otherwise the IE is not needed.

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Prague, Czech Republic, August 15-20

Tdoc ⌘R2-041893

CR-Form-v7.1

CHANGE REQUEST

⌘ **25.331** **CR** **2422** ⌘**rev** **1** ⌘ Current version: **6.2.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☐ Radio Access Network ☒ Core Network ☐

Title:	⌘	Scrambling Code Change
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	20/08/2004
Category:	⌘	**A**
Release:	⌘	Rel-6

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
Ph2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)
Rel-7 (Release 7)

Reason for change: ⌘

- The current SRNS Relocation container does not contain any information regarding compressed mode. As a result, any information regarding what kind of compressed mode configuration is given to the UE is lost after SRNS relocation. This would create unnecessary limitations when configuring the compressed mode and/or setting the IEs for reconfiguration messages.
- There are some IE conditions that refers to TGPS_IDENTITY, but there are few places in chapter 8 that deletes the compressed mode patterns without referring to TGPS_IDENTITY
- Change in revision 1: Version column is added in 14.12.4.2.

Summary of change: ⌘

1. Compressed mode information is added into SRNS relocation container.
2. Clarified that deleteing of compressed mode patterns means deleting them from TGPS_IDENTITY

Consequences if not approved: ⌘

No UE impact is foreseen
the clarification in chapter 8 is assumed to be the same as any UE implementation that supports compressed mode. Rest of the change is SRNS relocation container

If the network does not implement the CR:
- The SRNC is required to deactivate compressed mode before SRNS relocation.
- SRNC has no idea what kind of compressed mode configuration is stored in the UE before SRNS relocation, so SRNC needs to send compressed mode

	configuration to the UE again, regardless of what is stored in the UE. - In case compressed mode method “SF/2” is being used, SRNC needs to set the IE “Scrambling Code Change” while the UE is in Cell_DCH to avoid protocol error, because SRNC cannot determine whether compresse mode configuration is given to the UE or not before SRNS relocation. Therefore, SRNC has to rely on the RRC error handling.

Clauses affected:	⌘	8.4.1.6.2, 8.4.1.6.3, 8.4.1.6a, 11.5, 14.12.4.2			
		Y	**N**		
Other specs Affected:	⌘			Other core specifications	⌘
				Test specifications	
				O&M Specifications	
Other comments:	⌘				

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.4.1.6.2 Inter-frequency measurement

Upon transition from CELL_DCH to CELL_FACH/ CELL_PCH/URA_PCH state, the UE shall:

1> stop the inter-frequency type measurement reporting assigned in a MEASUREMENT CONTROL message;

1> if the transition is due to a reconfiguration message which included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects a cell other than that indicated by this IE on the current frequency (in case the IE "Frequency info" is not received) or other than that indicated by this IE on the frequency indicated by the IE "Frequency info" (when the IE "Frequency info" is included); or

1> if the transition is due to a reconfiguration message which does not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD); or

1> if the transition is not due to a reconfiguration message:

2> delete the measurements of type inter-frequency associated with the variable MEASUREMENT_IDENTITY and delete the corresponding compressed mode pattern stored in the variable TGPS_IDENTITY.

1> for remaining compressed mode patterns, set the IE "TGPS Status Flag" to "deactivate" and the IE "Current TGPS Status Flag" to "inactive" in the variable TGPS_IDENTITY.

1> begin monitoring cells listed in the IE "inter-frequency cell info list" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11);

1> in CELL_FACH state:

2> perform measurements on other frequencies according to the IE "FACH measurement occasion info".

8.4.1.6.3 Inter-RAT measurement

Upon transition from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH state, the UE shall:

1> stop the inter-RAT type measurement reporting assigned in a MEASUREMENT CONTROL message;

1> delete the measurements of type inter-RAT associated with the variable MEASUREMENT_IDENTITY and delete the corresponding compressed mode pattern stored in the variable TGPS_IDENTITY;

1> begin monitoring cells listed in the IE "inter-RAT cell info list" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11);

1> in CELL_FACH state:

2> perform measurements on other systems according to the IE "FACH measurement occasion info".

8.4.1.6a Actions in CELL_FACH/CELL_PCH/URA/PCH state upon cell re-selection

Upon cell reselection while in CELL_FACH/CELL_PCH/URA/PCH state and the cell reselection has occurred after the measurement control information was stored, the UE shall:

1> delete all measurements of type intra-frequency, inter-frequency, and inter-RAT associated with the variable MEASUREMENT_IDENTITY;

1> delete all compressed mode patterns associated with inter-frequency and inter-RAT measurements stored in the variable TGPS_IDENTITY;

1> delete the traffic volume measurements that have not been set up or modified through a MEASUREMENT CONTROL message.

11.5 RRC information between network nodes

```
Internode-definitions DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
```

```
IMPORTS

-- Physical Channel IEs :
    PrimaryCPICH-Info,
    TPC-CombinationIndex,
    ScramblingCodeChange,
    TGCFN,
    TGPSI,
    TGPS-ConfigurationParams,
FROM InformationElements

    maxCNdomains,
    maxNoOfMeas,

    maxRB,
    maxRBallRABs,
    maxRFC3095-CID,
    maxSRBsetup,
    maxRL,
    maxTGPS
FROM Constant-definitions


-- **************************************************
--
-- SRNC Relocation information
--
-- **************************************************

SRNC-RelocationInfo-r3 ::= CHOICE {
    r3                              SEQUENCE {
        sRNC-RelocationInfo-r3          SRNC-RelocationInfo-r3-IEs,
            v380NonCriticalExtensions           SEQUENCE {
                sRNC-RelocationInfo-v380ext SRNC-RelocationInfo-v380ext-IEs,
                -- Reserved for future non critical extension
                v390NonCriticalExtensions           SEQUENCE {
                    sRNC-RelocationInfo-v390ext         SRNC-RelocationInfo-v390ext-IEs,
                    v3a0NonCriticalExtensions           SEQUENCE {
                        sRNC-RelocationInfo-v3a0ext         SRNC-RelocationInfo-v3a0ext-IEs,
                        v3b0NonCriticalExtensions           SEQUENCE {
                            sRNC-RelocationInfo-v3b0ext         SRNC-RelocationInfo-v3b0ext-IEs,
                            v3c0NonCriticalExtensions           SEQUENCE {
                                sRNC-RelocationInfo-v3c0ext         SRNC-RelocationInfo-v3c0ext-IEs,
                                laterNonCriticalExtensions          SEQUENCE {
                                    sRNC-RelocationInfo-v3d0ext         SRNC-RelocationInfo-v3d0ext-
IEs,
                                    -- Container for additional R99 extensions
                                    sRNC-RelocationInfo-r3-add-ext      BIT STRING
                                    (CONTAINING SRNC-RelocationInfo-v3h0ext-IEs)        OPTIONAL,
                                    v3g0NonCriticalExtensions           SEQUENCE {
                                        sRNC-RelocationInfo-v3g0ext         SRNC-RelocationInfo-v3g0ext-IEs,
                                        v4b0NonCriticalExtensions           SEQUENCE {
                                            sRNC-RelocationInfo-v4b0ext         SRNC-RelocationInfo-v4b0ext-IE
                                            v590NonCriticalExtensions           SEQUENCE {
                                                sRNC-RelocationInfo-v590ext
                                                                                    SRNC-RelocationInfo-v590ext-IE
                                            v5a0NonCriticalExtensions           SEQUENCE {
                                                sRNC-RelocationInfo-v5a0ext
                                                                                    SRNC-RelocationInfo-v5a0ext-IE
                                                -- Reserved for future non critical extension
                                                nonCriticalExtensions           SEQUENCE {}
    OPTIONAL
                                            }       OPTIONAL
                                        }       OPTIONAL
                                    }       OPTIONAL
                                }       OPTIONAL
                            }       OPTIONAL
                        }       OPTIONAL
                    }       OPTIONAL
                }       OPTIONAL
            }       OPTIONAL
    },
    later-than-r3                   CHOICE {
        r4                              SEQUENCE {
            sRNC-RelocationInfo-r4          SRNC-RelocationInfo-r4-IEs,
            v4d0NonCriticalExtensions   SEQUENCE {
                sRNC-RelocationInfo-v4d0ext     SRNC-RelocationInfo-v4d0ext-IEs,
```

```
                -- Container for adding non critical extensions after freezing REL-5
                sRNC-RelocationInfo-r4-add-ext      BIT STRING      OPTIONAL,
                v590NonCriticalExtensions       SEQUENCE {
                    sRNC-RelocationInfo-v590ext         SRNC-RelocationInfo-v590ext-IEs,
                    v5a0NonCriticalExtensions       SEQUENCE {
                        sRNC-RelocationInfo-v5a0ext     SRNC-RelocationInfo-v5a0ext-IEs,
                        nonCriticalExtensions           SEQUENCE {} OPTIONAL
                    } OPTIONAL
                } OPTIONAL
            }   OPTIONAL
        },
        criticalExtensions              CHOICE {
            r5                              SEQUENCE {
                sRNC-RelocationInfo-r5          SRNC-RelocationInfo-r5-IEs,
                sRNC-RelocationInfo-r5-add-ext  BIT STRING      OPTIONAL,
                v5a0NonCriticalExtensions       SEQUENCE {
                    sRNC-RelocationInfo-v5a0ext     SRNC-RelocationInfo-v5a0ext-IEs,
                    nonCriticalExtensions           SEQUENCE {}     OPTIONAL
                } OPTIONAL
            },
            criticalExtensions                  SEQUENCE {}
        }
    }
}

SRNC-RelocationInfo-v5a0ext-IEs ::= SEQUENCE {
        storedCompressedModeInfo        StoredCompressedModeInfo    OPTIONAL
}

CodeChangeStatusList ::= SEQUENCE (SIZE (1..maxRL)) OF
        CodeChangeStatus

CodeChangeStatus ::= SEQUENCE {
        primaryCPICH-Info                   PrimaryCPICH-Info,
        scramblingCodeChange                ScramblingCodeChange
}

StoredCompressedModeInfo ::= SEQUENCE {
        storedTGP-SequenceList      StoredTGP-SequenceList,
        codeChangeStatusList        CodeChangeStatusList    OPTIONAL
}

StoredTGP-SequenceList ::=              SEQUENCE (SIZE (1..maxTGPS)) OF
                                        StoredTGP-Sequence

StoredTGP-Sequence ::=                  SEQUENCE {
    tgpsi                               TGPSI,
    current-tgps-Status                         CHOICE {
        active                          SEQUENCE {
            tgcfn                               TGCFN
        },
        inactive                            NULL
    },
    tgps-ConfigurationParams            TGPS-ConfigurationParams             OPTIONAL
}
```

14.12.4.2 SRNS RELOCATION INFO

This RRC message is sent between network nodes when preparing for an SRNS relocation or a handover/cell reselection from GERAN *Iu mode*.

With the presence or absence of the IE "RB identity for Hard Handover message" the source RNC indicates to the target SRNC whether the source RNC expects to receive the choice "DL DCCH message" in the IE "RRC information, target RNC to source RNC" in case the SRNS relocation is of type "UE involved". Furthermore the target RNC uses this information for the calculation of the MAC-I.

Direction: source RNC/RAT→target RNC

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
Non RRC Ies					
>RB identity for Handover message	OP		RB identity 10.3.4.16	Gives the id of the radio bearer on	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
				which the source RNC will transmit the RRC message in the case the relocation is of type "UE involved". In handover from GERAN *Iu mode* this IE is always set to 2.	
>State of RRC	MP		RRC state indicator, 10.3.3.35a		
>State of RRC procedure	MP		Enumerated (await no RRC message, await RB Release Complete, await RB Setup Complete, await RB Reconfiguration Complete, await Transport CH Reconfiguration Complete, await Physical CH Reconfiguration Complete, await Active Set Update Complete, await Handover Complete, send Cell Update Confirm, send URA Update Confirm, , others)		
Ciphering related information					
>Ciphering status for each CN domain	MP	<1 to maxCNDomains>			
>>CN domain identity	MP		CN domain identity 10.3.1.1		
>>Ciphering status	MP		Enumerated(Not started, Started)		
>>START	MP		START 10.3.3.38	START value to be used in this CN domain.	
>Latest configured CN domain	MP		CN domain	Value contained in	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
			identity 10.3.1.1	the variable of the same name. In case this variable is empty, the source RNC can set any CN domain identity. In that case, the Ciphering status and the Integrity protection status should be Not started and the target RNC should not initialise the variable Latest configured CN domain.	
>Calculation time for ciphering related information	CV-*Ciphering*			Time when the ciphering information of the message were calculated, relative to a cell of the target RNC. In handover and cell reselection from GERAN *Iu mode* this field is not present.	
>>Cell Identity	MP		Cell Identity 10.3.2.2	Identity of one of the cells under the target RNC and included in the active set of the current call	
>>SFN	MP		Integer(0..4095)		
>COUNT-C list	OP	1 to <maxCNdomains>		COUNT-C values for radio bearers using transparent mode RLC	
>>CN domain identity	MP		CN domain identity 10.3.1.1		
>>COUNT-C	MP		Bit string(32)		
>Ciphering info per radio bearer	OP	1 to <maxRB>		For signalling radio bearers this IE is mandatory.	
>>RB identity	MP		RB identity 10.3.4.16		
>>Downlink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)	
>>Downlink SN	CV-*SRB1*		Bit String(7)	VT(US) of RLC UM	
>>Uplink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)	
Integrity protection related information					
>Integrity protection status	MP		Enumerated(Not started, Started)		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
>Signalling radio bearer specific integrity protection information	CV-*IP*	4 to <maxSRBsetup>			
>>Uplink RRC HFN	MP		Bit string (28)	For each SRB, in the case activation times for the next IP configuration to be applied on this SRB have already been reached this IE corresponds to the last value used. Else this value corresponds to the value the source would have initalized the HFN to at the activation time. Increment of HFN due to RRC SN roll over is taken care of by target based on value sent by the source.	
>>Downlink RRC HFN	MP		Bit string (28)	For each SRB, in the case activation times for the next IP configuration to be applied on this SRB have already been reached this IE corresponds to the last value used. Else this value corresponds to the value the source would have initalized the HFN to at the activation time. Increment of HFN due to RRC SN roll over is taken care of by target based on value sent by the source. In particular, for SRB2, this IE should not take into account the RRC message that will trigger the relocation.	
>>Uplink RRC Message sequence number	MP		Integer (0..15)	For each SRB, this IE corresponds to the last value received or in the case activation time was not reached for a	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
				configuration the value equals (activation time - 1).	
>>Downlink RRC Message sequence number	MP		Integer (0..15)	For each SRB, this IE corresponds to the last value used or in the case activation time was not reached for a configuration the value equals (activation time - 1). In particular, for SRB2, this IE should not take into account the RRC message that will trigger the relocation.	
>Implementation specific parameters	OP		Bit string (1..512)		
RRC Ies					
UE Information elements					
>U-RNTI	MP		U-RNTI 10.3.3.47	G-RNTI is placed in this field when performing handover or cell reselection from GERAN *Iu mode.*	
>C-RNTI	OP		C-RNTI 10.3.3.8		
>UE radio access Capability	MP		UE radio access capability 10.3.3.42		
>UE radio access capability extension	OP		UE radio access capability extension 10.3.3.42a		
>Last known UE position	OP				
>>SFN	MP		Integer (0..4095)	Time when position was estimated	
>>Cell ID	MP		Cell identity; 10.3.2.2	Indicates the cell, the SFN is valid for.	
>>CHOICE *Position estimate*	MP				
>>>Ellipsoid Point			Ellipsoid Point; 10.3.8.4a		
>>>Ellipsoid point with uncertainty circle			Ellipsoid point with uncertainty circle 10.3.8.4d		
>>>Ellipsoid point with uncertainty ellipse			Ellipsoid point with uncertainty ellipse 10.3.8.4e		
>>>Ellipsoid point with altitude			Ellipsoid point with		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
			altitude 10.3.8.4b		
>>>Ellipsoid point with altitude and uncertainty ellipsoid			Ellipsoid point with altitude and uncertainty ellipsoid 10.3.8.4c		
>UE Specific Behaviour Information 1 idle	OP		UE Specific Behaviour Information idle 1 10.3.3.51	This IE should be included if received via the "INTER RAT HANDOVER INFO", the "RRC CONNECTION REQUEST", the IE "SRNS RELOCATION INFO" or the "Inter RAT Handover Info with Inter RAT Capabilities"	
>UE Specific Behaviour Information 1 interRAT	OP		UE Specific Behaviour Information 1 interRAT 10.3.3.52	This IE should be included if received via the "INTER RAT HANDOVER INFO", the "RRC CONNECTION REQUEST", the IE "SRNS RELOCATION INFO" or the "Inter RAT Handover Info with Inter RAT Capabilities"	
Other Information elements					
>UE system specific capability	OP	1 to <maxSystemCapability>			
>>Inter-RAT UE radio access capability	MP		Inter-RAT UE radio access capability 10.3.8.7		
UTRAN Mobility Information elements					
>URA Identifier	OP		URA identity 10.3.2.6		
CN Information Elements					
>CN common GSM-MAP NAS system information	MP		NAS system information (GSM-MAP) 10.3.1.9		
>CN domain related information	OP	1 to <MaxCNdomains>		CN related information to be provided for each CN domain	
>>CN domain identity	MP				
>>CN domain specific GSM-MAP NAS system info	MP		NAS system information (GSM-MAP) 10.3.1.9		
>>CN domain specific DRX cycle length coefficient	MP		CN domain specific DRX		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
			cycle length coefficient, 10.3.3.6		
Measurement Related Information elements					
>For each ongoing measurement reporting	OP	1 to <MaxNoOf Meas>			
>>Measurement Identity	MP		Measurement identity 10.3.7.48		
>>Measurement Command	MP		Measurement command 10.3.7.46		
>>Measurement Type	CV-*Setup*		Measurement type 10.3.7.50		
>>Measurement Reporting Mode	OP		Measurement reporting mode 10.3.7.49		
>>Additional Measurements list	OP		Additional measurements list 10.3.7.1		
>>CHOICE *Measurement*	OP				
>>>Intra-frequency					
>>>>Intra-frequency cell info	OP		Intra-frequency cell info list 10.3.7.33		
>>>>Intra-frequency measurement quantity	OP		Intra-frequency measurement quantity 10.3.7.38		
>>>>Intra-frequency reporting quantity	OP		Intra-frequency reporting quantity 10.3.7.41		
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61		
>>>>Measurement validity	OP		Measurement validity 10.3.7.51		
>>>>CHOICE *report criteria*	OP				
>>>>>Intra-frequency measurement reporting criteria			Intra-frequency measurement reporting criteria 10.3.7.39		
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53		
>>>>>No reporting			NULL		
>>>Inter-frequency					
>>>>Inter-frequency cell info	OP		Inter-frequency cell info list 10.3.7.13		
>>>>Inter-frequency	OP		Inter-		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
measurement quantity			frequency measurement quantity 10.3.7.18		
>>>>Inter-frequency reporting quantity	OP		Inter-frequency reporting quantity 10.3.7.21		
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61		
>>>>Measurement validity	OP		Measurement validity 10.3.7.51		
>>>>Inter-frequency set update	OP		Inter-frequency set update 10.3.7.22		
>>>>CHOICE *report criteria*	OP				
>>>>>Intra-frequency measurement reporting criteria			Intra-frequency measurement reporting criteria 10.3.7.39		
>>>>>Inter-frequency measurement reporting criteria			Inter-frequency measurement reporting criteria 10.3.7.19		
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53		
>>>>>No reporting			NULL		
>>>Inter-RAT					
>>>>Inter-RAT cell info	OP		Inter-RAT cell info list 10.3.7.23		
>>>>Inter-RAT measurement quantity	OP		Inter-RAT measurement quantity 10.3.7.29		
>>>>Inter-RAT reporting quantity	OP		Inter-RAT reporting quantity 10.3.7.32		
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61		
>>>>Measurement validity	OP		Measurement validity 10.3.7.51		
>>>>CHOICE *report criteria*	OP				
>>>>>Inter-RAT measurement reporting criteria			Inter-RAT measurement reporting criteria 10.3.7.30		
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
>>>>>No reporting			NULL		
>>>Traffic Volume					
>>>>Traffic volume measurement Object	OP		Traffic volume measurement object 10.3.7.70		
>>>>Traffic volume measurement quantity	OP		Traffic volume measurement quantity 10.3.7.71		
>>>>Traffic volume reporting quantity	OP		Traffic volume reporting quantity 10.3.7.74		
>>>>Measurement validity	OP		Measurement validity 10.3.7.51		
>>>>CHOICE *report criteria*	OP				
>>>>>Traffic volume measurement reporting criteria			Traffic volume measurement reporting criteria 10.3.7.72		
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53		
>>>>>No reporting			NULL		
>>>Quality					
>>>>Quality measurement quantity	OP		Quality measurement quantity 10.3.7.59		
>>>>CHOICE *report criteria*	OP				
>>>>>Quality measurement reporting criteria			Quality measurement reporting criteria 10.3.7.58		
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53		
>>>>>No reporting			NULL		
>>>UE internal					
>>>>UE internal measurement quantity	OP		UE internal measurement quantity 10.3.7.79		
>>>>UE internal reporting quantity	OP		UE internal reporting quantity 10.3.7.82		
>>>>CHOICE *report criteria*	OP				
>>>>>UE internal measurement reporting criteria			UE internal measurement reporting criteria 10.3.7.80		
>>>>>Periodical reporting			Periodical reporting		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
			criteria 10.3.7.53		
>>>>>No reporting			NULL		
>>>UE positioning					
>>>>LCS reporting quantity	OP		LCS reporting quantity 10.3.7.111		
>>>>CHOICE *report criteria*	OP				
>>>>>LCS reporting criteria			LCS reporting criteria 10.3.7.110		
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53		
>>>>>No reporting					
Radio Bearer Information Elements					
>Predefined configuration status information	OP		Predefined configuration status information 10.3.4.5a		
>Signalling RB information list	MP	1 to <maxSRBsetup>		For each signalling radio bearer	
>>Signalling RB information	MP		Signalling RB information to setup 10.3.4.24		
>RAB information list	OP	1 to <maxRABsetup>		Information for each RAB	
>>RAB information	MP		RAB information to setup 10.3.4.10		
Transport Channel Information Elements					
Uplink transport channels					
>UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24		
>UL transport channel information list	OP	1 to <MaxTrCH>			
>>UL transport channel information	MP		Added or reconfigured UL TrCH information 10.3.5.2		
>CHOICE *mode*	OP				
>>FDD					
>>>CPCH set ID	OP		CPCH set ID 10.3.5.5		
>>>Transport channel information for DRAC list	OP	1 to <MaxTrCH>			

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
>>>>DRAC static information	MP		DRAC static information 10.3.5.7		
>>TDD				(no data)	
Downlink transport channels					
>DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6		
>DL transport channel information list	OP	1 to <MaxTrCH>			
>>DL transport channel information	MP		Added or reconfigured DL TrCH information 10.3.5.1		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
PhyCH information elements					
>TPC Combination Info list	OP	1 to <maxRL>			
>>Primary CPICH info	MP		Primary CPICH info 10.3.6.60		
>>TPC combination index	MP		TPC combination index 10.3.6.85		
>Transmission gap pattern sequence	OP	1 to <maxTGPS>			REL-5
>>TGPSI	MP		TGPSI 10.3.6.82		
>> Current TGPS Status Flag	MP		Enumerated(active, inactive)	This flag indicates the current status of the Transmission Gap Pattern Sequence, whether it is active or inactive	
>>TGCFN	CV-*Active*		Integer (0..255)	Connection Frame Number of the latest past frame of the first pattern within the Transmission Gap Pattern Sequence.	
>>Transmission gap pattern sequence configuration parameters	OP				
>>>TGMP	MP		Enumerated(TDD measurement, FDD measurement, GSM carrier RSSI measurement, GSM Initial BSIC identification, GSM BSIC re-confirmation, Multi-carrier measurement)	Transmission Gap pattern sequence Measurement Purpose.	
>>>TGPRC	MP		Integer (1..511, Infinity)	The number of remaining transmission gap patterns within the Transmission Gap Pattern Sequence.	
>>>TGSN	MP		Integer (0..14)	Transmission Gap Starting Slot Number The slot number of the first transmission gap slot within the TGCFN.	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
>>>TGL1	MP		Integer(1..14)	The length of the first Transmission Gap within the transmission gap pattern expressed in number of slots	
>>>TGL2	MD		Integer (1..14)	The length of the second Transmission Gap within the transmission gap pattern. If omitted, then TGL2=TGL1. The value of TGL2 shall be ignored if TGD is set to "undefined"	
>>>TGD	MP		Integer(15..269, undefined)	Transmission gap distance indicates the number of slots between starting slots of two consecutive transmission gaps within a transmission gap pattern. If there is only one transmission gap in the transmission gap pattern, this parameter shall be set to undefined.	
>>>TGPL1	MP		Integer (1..144)	The duration of transmission gap pattern 1.	
>>>TGPL2	MD		Integer (1..144)	The duration of transmission gap pattern 2. If omitted, then TGPL2=TGPL1.	
>>>RPP	MP		Enumerated (mode 0, mode 1).	Recovery Period Power control mode during the frame after the transmission gap within the compressed frame. Indicates whether normal PC mode or compressed PC mode is applied	
>>>ITP	MP		Enumerated (mode 0, mode 1).	Initial Transmit Power is the uplink power control method to be used to compute the initial transmit power after the compressed mode gap.	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
>>>CHOICE *UL/DL mode*	MP				
>>>>DL only				Compressed mode used in DL only	
>>>>>Downlink compressed mode method	MP		Enumerated (puncturing, SF/2, higher layer scheduling)	Method for generating downlink compressed mode gap	
>>>>UL only				Compressed mode used in UL only	
>>>>>Uplink compressed mode method	MP		Enumerated (SF/2, higher layer scheduling)	Method for generating uplink compressed mode gap	
>>>>UL and DL				Compressed mode used in UL and DL	
>>>>>Downlink compressed mode method	MP		Enumerated (puncturing, SF/2, higher layer scheduling)	Method for generating downlink compressed mode gap	
>>>>>Uplink compressed mode method	MP		Enumerated (SF/2, higher layer scheduling)	Method for generating uplink compressed mode gap	
>>>Downlink frame type	MP		Enumerated (A, B)		
>>>DeltaSIR1	MP		Real(0..3 by step of 0.1)	Delta in DL SIR target value to be set in the UE during the frame containing the start of the first transmission gap in the transmission gap pattern (without including the effect of the bit-rate increase)	
>>>DeltaSIRafter1	MP		Real(0..3 by step of 0.1)	Delta in DL SIR target value to be set in the UE one frame after the frame containing the start of the first transmission gap in the transmission gap pattern.	
>>>DeltaSIR2	OP		Real(0..3 by step of 0.1)	Delta in DL SIR target value to be set in the UE during the frame containing the start of the second transmission gap in the transmission gap pattern (without including the	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
				effect of the bit-rate increase) When omitted, DeltaSIR2 = DeltaSIR1.	
>>>DeltaSIRafter2	OP		Real(0..3 by step of 0.1)	Delta in DL SIR target value to be set in the UE one frame after the frame containing the start of the second transmission gap in the transmission gap pattern. When omitted, DeltaSIRafter2 = DeltaSIRafter1.	
>>>N Identify abort	CV-*Initial BSIC*		Integer(1..128)	Indicates the maximum number of repeats of patterns that the UE shall use to attempt to decode the unknown BSIC of the GSM cell in the initial BSIC identification procedure	
>>>T Reconfirm abort	CV-*Re-confirm BSIC*		Real(0.5..10.0 by step of 0.5)	Indicates the maximum time allowed for the re-confirmation of the BSIC of one GSM cell in the BSIC re-confirmation procedure. The time is given in steps of 0.5 seconds.	
>Scrambling Code Change List	CH-*SF/2*	1 to <maxRL>			REL-5
>>Primary CPICH info	MP		Primary CPICH info 10.3.6.60		
>>Scrambling code change	MP		Enumerated (code change, no code change)	Indicates whether the alternative scrambling code is used for compressed mode method 'SF/2'.	
Other Information elements					
>Measurement report	OP		MEASUREMENT REPORT 10.2.1.9		
>Failure cause	OP		Failure cause 10.3.3.13	Diagnostics information related to an earlier SRNC Relocation request (see NOTE 2 in 14.12.0a)	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
>Protocol error information	CV-*ProtErr*		Protocol error information 10.3.8.12		

Multi Bound	Explanation
MaxNoOfMeas	Maximum number of active measurements, upper limit 16

Condition	Explanation
Setup	The IE is mandatory present when the IE Measurement command has the value "Setup", otherwise the IE is not needed.
Ciphering	The IE is mandatory present when the IE Ciphering Status has the value "started" and the ciphering counters need not be reinitialised, otherwise the IE is not needed.
IP	The IE is mandatory present when the IE Integrity protection status has the value "started" and the integrity protection counters need not be reinitialised, otherwise the IE is not needed.
ProtErr	This IE is mandatory present if the IE "Protocol error indicator" is included and has the value "TRUE". Otherwise it is not needed.
SRB1	The IE is mandatory present for RB1. Otherwise it is not needed.
Active	This IE is mandatory present when the value of the IE "Current TGPS Status Flag" is "Active" and not needed otherwise.
Initial BSIC	This IE is mandatory present when the value of the IE "TGMP" is set to "GSM Initial BSIC identification" and not needed otherwise.
Re-confirm BSIC	This IE is mandatory present when the value of the IE "TGMP" is set to "GSM BSIC re-confirmation" and not needed otherwise.
SF/2	The IE is mandatory present if the IE “Transmission Gap Pattern Sequence” is included and has the value “SF/2” as the compressed mode method, and already sent the UE the IE “Scrambling Code Change” for each RL in the active set. Otherwise the IE is not needed.

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CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2429** ⌘ **rev** **-** ⌘ Current version: **5.9.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☒ Radio Access Network ☒ Core Network ☐

Title:	⌘	UE security capability in INTER_RAT handover
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	20 August 2004
Category:	⌘	**F**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)

Reason for change: ⌘

1. The IEs “UE radio access capability compressed” and “Security capability” are missing in the UE_CAPABILITY_TRANSFERRED. They are required if during inter RAT handover, the “UE radio access capability compressed” are used in the INTER_RAT_HANDOVER_INFO_WITH_INTER_RAT_CAPABILITIES. Therefore it is unclear, how the variable UE_CAPABILITY_TRANSFERRED can be updated.

   Note: At the moment it is assumed that during inter RAT handover, when the “UE radio access capability compressed” are used, UTRAN and UE assume that the “Security Capability” are the mandatory values (UEA1, UIA1).

2. The IE named “RF Capability Band FDD” included in 10.3.3.32a “RF Capability Compressed” actually contains Tx/Rx frequency separation. The name of the IE is misleading.

Summary of change: ⌘

1. In section 8.1.16.3 it is stated that when the IE “UE radio access capability compressed” is stored the “Security capability” shall be set to mandatory value.
   In section 13.4.28 “UE_CAPABILITY_TRANSFERRED” the UE_CAPABILITY_TRANSFERRED is extended by the optional IEs “UE radio access capability compressed” and “Security capability”.
2. A note is added in section 10.3.3.32a “RF Capability Compressed” and to ASN.1 stating that the IE actually contains the frequency separation.

Consequences if ⌘

1. It remains unclear how the UE stores the IEs “UE radio access capability

not approved:	compressed" and "Security capability" 2. The name of the IE would remain misleading.

Clauses affected:	⌘	8.1.16.3,13.4.28, 10.3.3.32a			
		Y	**N**		
Other specs Affected:	⌘		**X**	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

How to create CRs using this form:

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2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.1.16.3 INTER RAT HANDOVER INFO message contents to set

The UE shall:

1> include the IE "UE security information"; and

1> not include the IE "UE Specific Behaviour Information 1 interRAT".

1> in case support for the compressed version of the inter RAT handover info is indicated via the other radio access technology:

2> include of the following IEs the IE that after encoding has the smallest size: IE "Predefined configuration status information compressed" or the IE "Predefined configuration status information";

2> include the IE "UE radio access capability compressed".

1> else:

2> include the IE "Predefined configuration status information";

2> include the IE "UE capability container", containing the IE "UE radio access capability" and the IE "UE radio access capability extension", in accordance with the following:

3> if the UE supports multiple UTRA FDD Frequency Bands; or

3> if the UE supports a single UTRA FDD Frequency Band different from Band I [21]:

4> include the IE "UE radio access capability", excluding IEs "RF capability FDD" and "Measurement capability";

4> include the IE "UE radio access capability extension", including the IEs "RF capability FDD extension" and the "Measurement capability extension" associated with each supported UTRA FDD frequency band indicated in the IE "Frequency band".

3> else:

4> include the IE "UE radio access capability", including the IEs "RF capability FDD" and "Measurement capability" associated with the Band I [21];

4> include the IE "UE radio access capability extension", including the IEs "RF capability FDD extension" and the "Measurement capability extension" associated with each supported UTRA FDD frequency band indicated in the IE "Frequency band".

1> initiate the transfer of the INTER RAT HANDOVER INFO message via the other radio access technology, using radio access technology-specific procedures;

1> store the following in the variable INTER_RAT_HANDOVER_INFO_TRANSFERRED if they were included in the INTER RAT HANDOVER INFO message:

2> the IE "Predefined configuration status information";

2> the IE "Predefined configuration status information compressed";

2> the IE "UE security information";

2> the IE "UE radio access capability";

2> the IE "UE radio access capability extension"; and

2> the IE "UE radio access capability compressed".

2> if the IE "UE radio access capability compressed" were included in the INTER RAT HANDOVER INFO message

3> set the IE “Security Capability” to the mandatory R99 algorithms.

1> and the procedure ends.

[…]

13.4.28 UE_CAPABILITY_TRANSFERRED

This variable stores information about which UE capabilities that have been transferred to UTRAN.

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
UE radio access capability	OP		UE radio access capability 10.3.3.42	Cleared when entering UTRA RRC connected mode when not stated otherwise in the procedure. Cleared when leaving UTRA RRC connected mode.	
UE radio access capability extension	OP		UE radio access capability extension 10.3.3.42a	Cleared when entering UTRA RRC connected mode when not stated otherwise in the procedure. Cleared when leaving UTRA RRC connected mode.	
UE system specific capability	OP	1 to <maxSystemCapability>			
>Inter-RAT UE radio access capability	MP		Inter-RAT UE radio access capability 10.3.8.7	Includes inter-RAT classmark. Cleared when entering UTRA RRC connected mode when not stated otherwise in the procedure. Cleared when leaving UTRA RRC connected mode.	
UE radio access capability compressed	OP		UE radio access capability compressed 10.3.3.42o		REL-5
Security capability	OP		Security capability 10.3.3.37		REL-5

[…]

10.3.3.32a RF Capability Compressed

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
CHOICE *FDD*	MP				REL-5
>Supported					REL-5
>>RF capability band FDD list Compressed	MP	1..<maxfreqbandsFDD>			REL-5
>>>RF Capability Band FDD Compressed	MP		Enumerated (not supported, 190, 174.8-205.2, 134.8-245.2)	In MHz as defined in [21]. NOTE1: This IE is the frequency separation NOTE2: Not applicable if UE is not operating in frequency band I (as defined in [21]).	REL-5
>Not supported			NULL		REL-5
CHOICE *TDD-3.84Mcps*	MP				REL-5
>Supported					REL-5
>>Radio Frequency Band TDD List	MP		Enumerated (a, b, c, a+b, a+c, b+c, a+b+c)	As defined in [22]. One spare value needed	REL-5
>Not supported			NULL		REL-5
CHOICE *TDD-1.28Mcps*	MP				REL-5
>Supported					REL-5
>>Radio Frequency Band TDD List	MP		Enumerated (a, b, c, a+b, a+c, b+c, a+b+c)	As defined in [22]. One spare value needed	REL-5
>Not supported			NULL		REL-5

[…]

```
RF-CapabBandFDDComp ::= ENUMERATED { notSupported, mhz190,
                                     mhz174-8-205-2, mhz134-8-245-2 }
-- NOTE: This IE is the frequency separation in MHz
```

3GPP TSG-RAN2 Meeting #43
Prague, Czech Republic, 16-20 August 2004

Tdoc ⌘ R2-041891

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2430** ⌘ **rev** **-** ⌘ Current version: **6.2.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☒ Radio Access Network ☒ Core Network ☐

Title:	⌘	UE security capability in INTER_RAT handover
Source:	⌘	Lucent Technologies
Work item code:	⌘	TEI5
Date:	⌘	20 August 2004
Category:	⌘	**A**
Release:	⌘	Rel-6

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
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R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)

Reason for change: ⌘

1. The IEs “UE radio access capability compressed” and “Security capability” are missing in the UE_CAPABILITY_TRANSFERRED. They are required if during inter RAT handover, the “UE radio access capability compressed” are used in the INTER_RAT_HANDOVER_INFO_WITH_INTER_RAT_CAPABILITIES. Therefore it is unclear, how the variable UE_CAPABILITY_TRANSFERRED can be updated.

   Note: At the moment it is assumed that during inter RAT handover, when the “UE radio access capability compressed” are used, UTRAN and UE assume that the “Security Capability” are the mandatory values (UEA1, UIA1).

2. The IE named “RF Capability Band FDD” included in 10.3.3.32a “RF Capability Compressed” actually contains Tx/Rx frequency separation. The name of the IE is misleading.

Summary of change: ⌘

1. In section 8.1.16.3 it is stated that when the IE “UE radio access capability compressed” is stored the “Security capability” shall be set to mandatory value.
   In section 13.4.28 “UE_CAPABILITY_TRANSFERRED” the UE_CAPABILITY_TRANSFERRED is extended by the optional IEs “UE radio access capability compressed” and “Security capability”.
2. A note is added in section 10.3.3.32a “RF Capability Compressed” and to ASN.1 stating that the IE actually contains the frequency separation.

Consequences if ⌘

1. It remains unclear how the UE stores the IEs “UE radio access capability

not approved:	compressed" and "Security capability" 2. The name of the IE would remain misleading.

Clauses affected:	⌘	8.1.16.3,13.4.28, 10.3.3.32a			
		Y	**N**		
Other specs Affected:	⌘		**X**	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

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8.1.16.3 INTER RAT HANDOVER INFO message contents to set

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1> include the IE "UE security information"; and

1> not include the IE "UE Specific Behaviour Information 1 interRAT".

1> in case support for the compressed version of the inter RAT handover info is indicated via the other radio access technology:

2> include of the following IEs the IE that after encoding has the smallest size: IE "Predefined configuration status information compressed" or the IE "Predefined configuration status information";

2> include the IE "UE radio access capability compressed".

1> else:

2> include the IE "Predefined configuration status information";

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3> if the UE supports multiple UTRA FDD Frequency Bands; or

3> if the UE supports a single UTRA FDD Frequency Band different from Band I [21]:

4> include the IE "UE radio access capability", excluding IEs "RF capability FDD" and "Measurement capability";

4> include the IE "UE radio access capability extension", including the IEs "RF capability FDD extension" and the "Measurement capability extension" associated with each supported UTRA FDD frequency band indicated in the IE "Frequency band".

3> else:

4> include the IE "UE radio access capability", including the IEs "RF capability FDD" and "Measurement capability" associated with the Band I [21];

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1> initiate the transfer of the INTER RAT HANDOVER INFO message via the other radio access technology, using radio access technology-specific procedures;

1> store the following in the variable INTER_RAT_HANDOVER_INFO_TRANSFERRED if they were included in the INTER RAT HANDOVER INFO message:

2> the IE "Predefined configuration status information";

2> the IE "Predefined configuration status information compressed";

2> the IE "UE security information";

2> the IE "UE radio access capability";

2> the IE "UE radio access capability extension"; and

2> the IE "UE radio access capability compressed".

2> if the IE "UE radio access capability compressed" were included in the INTER RAT HANDOVER INFO message

3> set the IE “Security Capability” to the mandatory R99 algorithms.

1> and the procedure ends.

[…]

13.4.28 UE_CAPABILITY_TRANSFERRED

This variable stores information about which UE capabilities that have been transferred to UTRAN.

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UE system specific capability	OP	1 to <maxSystemCapability>			
>Inter-RAT UE radio access capability	MP		Inter-RAT UE radio access capability 10.3.8.7	Includes inter-RAT classmark. Cleared when entering UTRA RRC connected mode when not stated otherwise in the procedure. Cleared when leaving UTRA RRC connected mode.	
UE radio access capability compressed	OP		UE radio access capability compressed 10.3.3.42o		REL-5
Security capability	OP		Security capability 10.3.3.37		REL-5

[…]

10.3.3.32a RF Capability Compressed

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
CHOICE *FDD*	MP				REL-5
>Supported					REL-5
>>RF capability band FDD list Compressed	MP	1..<maxfreqbandsFDD>			REL-5
>>>RF Capability Band FDD Compressed	MP		Enumerated (not supported, 190, 174.8-205.2, 134.8-245.2)	In MHz as defined in [21]. NOTE1: This IE is the frequency separation NOTE2: Not applicable if UE is not operating in frequency band I (as defined in [21]).	REL-5
>Not supported			NULL		REL-5
CHOICE *TDD-3.84Mcps*	MP				REL-5
>Supported					REL-5
>>Radio Frequency Band TDD List	MP		Enumerated (a, b, c, a+b, a+c, b+c, a+b+c)	As defined in [22]. One spare value needed	REL-5
>Not supported			NULL		REL-5
CHOICE *TDD-1.28Mcps*	MP				REL-5
>Supported					REL-5
>>Radio Frequency Band TDD List	MP		Enumerated (a, b, c, a+b, a+c, b+c, a+b+c)	As defined in [22]. One spare value needed	REL-5
>Not supported			NULL		REL-5

[…]

```
RF-CapabBandFDDComp ::= ENUMERATED { notSupported, mhz190,
                                     mhz174-8-205-2, mhz134-8-245-2 }
-- NOTE: This IE is the frequency separation in MHz
```

3GPP TSG-RAN WG2 #43 **R2-041894**
Prague, Czech Republic, 16th – 20th August 2004

CR-Form-v7.1

CHANGE REQUEST

⌘ **25.331** **CR** **2431** ⌘ **rev** **-** ⌘ Current version: **5.9.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☒ Radio Access Network ☐ Core Network ☐

Title:	⌘	Correction to the Radio Link Failure behaviour
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	16/08/2004
Category:	⌘	**F**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
Ph2 *(GSM Phase 2)*
R96 *(Release 1996)*
R97 *(Release 1997)*
R98 *(Release 1998)*
R99 *(Release 1999)*
Rel-4 *(Release 4)*
Rel-5 *(Release 5)*
Rel-6 *(Release 6)*
Rel-7 *(Release 7)*

Reason for change:	⌘	1) Currently it is not clear that following a Radio Link failure in CELL_DCH state, the UE shall enter "out-of-service" state if it cannot find a suitable cell. 2) Currently it is not clear that the UE should shall stop timers T314 and/or T315 if they are still running when it receives a CELL UPDATE CONFIRM message.
Summary of change:	⌘	1) In section 8.3.1.2 it is specified that the UE shall move to CELL_FACH after a radio link failure occurs, and before it searches for a suitable cell. Also the UE behaviour is clarified after finding a suitable cell in CELL_FACH state. 2) In section 8.3.1.6 it is specified that timers T314 and/or T315 shall be stopped if they are running on receiving a CELL UPDATE CONFIRM message.
Consequences if not approved:	⌘	If this CR is not approved, then operators will always have to configure their cells to restricted values of T315 (and T314) to ensure that the UE is not restricted from making an emergency call or camping on another PLMN for normal service. This will mean that more calls will need to be re-established from RRC idle on return to coverage. **Isolated Impact:** This CR only impacts the RB re-establishment feature in the UE. No interoperability impact with the UTRAN is caused by implementing this CR.
Clauses affected:	⌘	8.3.1.2; 8.3.1.6

		Y	N			
Other specs affected:	⌘		X	Other core specifications	⌘	
			X	Test specifications		
			X	O&M Specifications		
Other comments:	⌘					

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8.3.1.2 Initiation

A UE shall initiate the cell update procedure in the following cases:

1> Uplink data transmission:

2> if the UE is in URA_PCH or CELL_PCH state; and

2> if the UE has uplink RLC data PDU or uplink RLC control PDU on RB1 or upwards to transmit:

3> perform cell update using the cause "uplink data transmission".

1> Paging response:

2> if the criteria for performing cell update with the cause specified above in the current subclause are not met; and

2> if the UE in URA_PCH or CELL_PCH state, receives a PAGING TYPE 1 message fulfilling the conditions for initiating a cell update procedure specified in subclause 8.1.2.3:

3> perform cell update using the cause "paging response".

1> Radio link failure:

2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met:

3> if the UE is in CELL_DCH state and the criteria for radio link failure are met as specified in subclause 8.5.6; or

3> if the transmission of the UE CAPABILITY INFORMATION message fails as specified in subclause 8.1.6.6:

4> perform cell update using the cause "radio link failure".

1> Re-entering service area:

2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and

2> if the UE is in CELL_FACH or CELL_PCH state; and

2> if the UE has been out of service area and re-enters service area before T307 or T317 expires:

3> perform cell update using the cause "re-entering service area".

1> RLC unrecoverable error:

2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and

2> if the UE detects RLC unrecoverable error [16] in an AM RLC entity:

3> perform cell update using the cause "RLC unrecoverable error".

1> Cell reselection:

2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met:

3> if the UE is in CELL_FACH or CELL_PCH state and the UE performs cell re-selection; or

3> if the UE is in CELL_FACH state and the variable C_RNTI is empty:

4> perform cell update using the cause "cell reselection".

1> Periodical cell update:

2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and

2> if the UE is in CELL_FACH or CELL_PCH state; and

2> if the timer T305 expires; and

2> if the criteria for "in service area" as specified in subclause 8.5.5.2 are fulfilled; and

2> if periodic updating has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity":

3> perform cell update using the cause "periodical cell update".

A UE in URA_PCH state shall initiate the URA update procedure in the following cases:

1> URA reselection:

2> if the UE detects that the current URA assigned to the UE, stored in the variable URA_IDENTITY, is not present in the list of URA identities in system information block type 2; or

2> if the list of URA identities in system information block type 2 is empty; or

2> if the system information block type 2 can not be found:

3> perform URA update using the cause "change of URA".

1> Periodic URA update:

2> if the criteria for performing URA update with the causes as specified above in the current subclause are not met; and

2> if the timer T305 expires while the UE is in the service area; and

2> if periodic updating has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity":

3> perform URA update using the cause "periodic URA update".

When initiating the URA update or cell update procedure, the UE shall:

1> stop timer T305;

1> if the UE is in CELL_DCH state:

2> in the variable RB_TIMER_INDICATOR, set the IE "T314 expired" and the IE "T315 expired" to FALSE;

2> if the stored values of the timer T314 and timer T315 are both equal to zero; or

2> if the stored value of the timer T314 is equal to zero and there are no radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT315":

3> release all its radio resources;

3> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;

3> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;

3> clear the variable ESTABLISHED_RABS;

3> enter idle mode;

3> perform other actions when entering idle mode from connected mode as specified in subclause 8.5.2;

3> and the procedure ends.

2> if the stored value of the timer T314 is equal to zero:

3> release all radio bearers, associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT314";

3> in the variable RB_TIMER_INDICATOR set the IE "T314 expired" to TRUE.

2> if the stored value of the timer T315 is equal to zero:

3> release all radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT315";

3> in the variable RB_TIMER_INDICATOR set the IE "T315 expired" to TRUE.

2> if the stored value of the timer T314 is greater than zero:

3> if there are radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT314":

4> start timer T314.

3> if there are no radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT314" or "useT315":

4> start timer T314.

2> if the stored value of the timer T315 is greater than zero:

3> if there are radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT315":

4> start timer T315.

2> for the released radio bearer(s):

3> delete the information about the radio bearer from the variable ESTABLISHED_RABS;

3> when all radio bearers belonging to the same radio access bearer have been released:

4> indicate local end release of the radio access bearer to upper layers using the CN domain identity together with the RAB identity stored in the variable ESTABLISHED_RABS;

4> delete all information about the radio access bearer from the variable ESTABLISHED_RABS.

2> move to CELL_FACH state

2> select a suitable UTRA cell on the current frequency according to [4];

2> select PRACH according to subclause 8.5.17;

2> select Secondary CCPCH according to subclause 8.5.19;

2> use the transport format set given in system information as specified in subclause 8.6.5.1.

2> set the variable ORDERED_RECONFIGURATION to FALSE.

1> set the variables PROTOCOL_ERROR_INDICATOR, FAILURE_INDICATOR, UNSUPPORTED_CONFIGURATION and INVALID_CONFIGURATION to FALSE;

1> set the variable CELL_UPDATE_STARTED to TRUE;

1> if HS-DSCH is configured:

2> stop any HS-DSCH reception procedures;

2> clear any stored HS-PDSCH configuration;

2> act as if the IE "MAC-hs reset indicator" is received and set to TRUE;

2> release all HARQ resources;

2> remove any H-RNTI stored;

2> clear the variable H_RNTI;

2> set the variable HS_DSCH_RECEPTION to FALSE.

1> if the UE is not already in CELL_FACH state:

2> move to CELL_FACH state;

2> select PRACH according to subclause 8.5.17;

2> select Secondary CCPCH according to subclause 8.5.19;

2> use the transport format set given in system information as specified in subclause 8.6.5.1.

1> if the UE performs cell re-selection:

2> clear the variable C_RNTI; and

2> stop using that C_RNTI just cleared from the variable C_RNTI in MAC.

1> set CFN in relation to SFN of current cell according to subclause 8.5.15;

1> in case of a cell update procedure:

2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3;

2> submit the CELL UPDATE message for transmission on the uplink CCCH.

1> in case of a URA update procedure:

2> set the contents of the URA UPDATE message according to subclause 8.3.1.3;

2> submit the URA UPDATE message for transmission on the uplink CCCH.

1> set counter V302 to 1;

1> start timer T302 when the MAC layer indicates success or failure in transmitting the message.

8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U_RNTI; or
- if the message is received on DCCH:

the UE may:

1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

the UE shall:

1> stop timer T302;

1> in case of a cell update procedure and the CELL UPDATE CONFIRM message:

2> includes "RB information elements"; and/or

2> includes "Transport channel information elements"; and/or

2> includes "Physical channel information elements"; and

2> if the variable ORDERED_RECONFIGURATION is set to FALSE:

3> set the variable ORDERED_RECONFIGURATION to TRUE.

1> act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:

2> if the IE "Frequency info" is included in the message:

3> if the IE "RRC State Indicator" is set to the value "CELL_FACH" or "CELL_PCH" or URA_PCH":

4> select a suitable UTRA cell according to [4] on that frequency;

4> act as specified in subclause 8.3.1.12.

3> if the IE "RRC State Indicator" is set to the value "CELL_DCH":

4> act on the IE "Frequency info" as specified in subclause 8.6.6.1.

2> use the transport channel(s) applicable for the physical channel types that is used; and

2> if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):

3> use the TFS given in system information.

2> if none of the TFS stored is compatible with the physical channel:

3> delete the stored TFS;

3> use the TFS given in system information.

2> if the IE "RLC re-establish indicator (RB2, RB3 and RB4)" in the CELL UPDATE CONFIRM message is set to TRUE:

3> re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);

3> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN is set to "Started":

4> set the HFN component of the respective COUNT-C values for AM RLC entities with RB identity 2,RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest transmitted CELL UPDATE message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN.

2> if the IE "RLC re-establish indicator (RB5 and upwards)" in the CELL UPDATE CONFIRM message is set to TRUE:

3> for radio bearers with RB identity 5 and upwards:

4> re-establish the AM RLC entities;

4> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":

5> set the HFN component of the respective COUNT-C values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS.

NOTE: UE actions, in case IE "Downlink counter synchronisation info" is included and either IE "RLC re-establish indicator (RB2, RB3 and RB4)" or IE "RLC re-establish indicator (RB5 and upwards)" are set to TRUE, are not defined.

1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

1> if the variable ESTABLISHMENT_CAUSE is set:

2> clear the variable ESTABLISHMENT_CAUSE.

1> enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.

If the UE after state transition enters CELL_DCH state, it shall:

1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);

1> not prohibit periodical status transmission in RLC.

If the UE after state transition remains in CELL_FACH state, it shall

1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

1> select PRACH according to subclause 8.5.17;

1> select Secondary CCPCH according to subclause 8.5.19;

1> not prohibit periodical status transmission in RLC;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> ignore that IE and stop using DRX.

If the UE after state transition enters URA_PCH or CELL_PCH state, it shall:

1> prohibit periodical status transmission in RLC;

1> clear the variable C_RNTI;

1> stop using that C_RNTI just cleared from the variable C_RNTI in MAC;

1> start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

If the UE after the state transition remains in CELL_FACH state; and

1> the contents of the variable C_RNTI are empty:

it shall check the value of V302; and:

1> if V302 is equal to or smaller than N302:

2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

3> the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE; and/or

3> the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to TRUE:

4> abort the ongoing integrity and/or ciphering reconfiguration;

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

5> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

5> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

5> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

5> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

2> in case of a URA update procedure:

3> stop the URA update procedure;

3> clear any entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

3> continue with a cell update procedure.

2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";

2> submit the CELL UPDATE message for transmission on the uplink CCCH;

2> increment counter V302;

2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.

1> if V302 is greater than N302:

2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;

2> in case of a cell update procedure:

3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> in case of a URA update procedure:

3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> release all its radio resources;

2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;

2> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;

2> clear the variable ESTABLISHED_RABS;

2> enter idle mode;

2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;

2> and the procedure ends.

If the UE after the state transition remains in CELL_FACH state; and

- a C-RNTI is stored in the variable C_RNTI;

or

- the UE after the state transition moves to another state than the CELL_FACH state:

the UE shall:

1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

2> include and set the IE "Radio bearer uplink ciphering activation time info" in any response message transmitted below to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> in case cell reselection interrupted an ongoing cell update procedure and a CELL UPDATE CONFIRM/URA UPDATE CONFIRM was received with the IE "Downlink counter synchronisation info" present and the response to which was not submitted to the lower layers due to the cell re-selection:

2> include the IE "START list" in the response message transmitted according to subclause 8.3.1.7;

2> if the CELL UPDATE CONFIRM/URA UPDATE CONFIRM, the response to which was not delivered to the lower layers, due to the cell re-selection, included the IE "RB with PDCP information list":

3> include the IE "RB with PDCP information list" in the response message transmitted according to subclause 8.3.1.7.

1> in case of a cell update procedure:

2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry.

1> in case of a URA update procedure:

2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry;

1> if the variable PDCP_SN_INFO is non-empty:

2> include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP_SN_INFO.

1> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":

2> if the variable PDCP_SN_INFO is empty:

3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".

2> else:

3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";

3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".

2> re-establish the RLC entity for RB2;

2> for the downlink and the uplink, apply the ciphering configuration as follows:

3> if the received re-configuation message included the IE "Ciphering Mode Info":

4> use the ciphering configuration in the received message when transmitting the response message.

3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:

4> if the previous SECURITY MODE COMMAND was received due to new keys being received:

5> consider the new ciphering configuration to include the received new keys;

5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.

4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:

5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN;

5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST_CONFIGURED_CN_DOMAIN at the reception of the previous SECURITY MODE COMMAND.

4> apply the new ciphering configuration immediately following RLC re-establishment.

3> else:

4> continue using the current ciphering configuration.

2> set the new uplink and downlink HFN component of the COUNT-C of RB2 to MAX(uplink HFN component of the COUNT-C of RB2, downlink HFN component of the COUNT-C of RB2);

2> increment by one the downlink and uplink values of the HFN component of the COUNT-C for RB2;

2> calculate the START value according to subclause 8.5.9;

2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.

1> transmit a response message as specified in subclause 8.3.1.7;

1> if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

1> if the variable ORDERED_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:

2> set the variable ORDERED_RECONFIGURATION to FALSE.

1> clear the variable PDCP_SN_INFO;

1> when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:

2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;

3> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

3> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;

3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;

3> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE.

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

1> in case of a cell update procedure:

2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

1> in case of a URA update procedure:

2> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

1> set the variable CELL_UPDATE_STARTED to FALSE;

1> clear the variable SECURITY_MODIFICATION.

1> stop timers T314 and/or T315 if they are running.

The procedure ends.

3GPP TSG-RAN WG2 #43 **R2-041895**
Prague, Czech Republic, 16th – 20th August 2004

CR-Form-v7.1

CHANGE REQUEST

⌘ **25.331** **CR** **2432** ⌘ **rev** **-** ⌘ Current version: **6.2.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☒ Radio Access Network ☐ Core Network ☐

Title:	⌘	Correction to the Radio Link Failure behaviour
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	16/08/2004
Category:	⌘	**A**
Release:	⌘	Rel-6

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
Ph2 *(GSM Phase 2)*
R96 *(Release 1996)*
R97 *(Release 1997)*
R98 *(Release 1998)*
R99 *(Release 1999)*
Rel-4 *(Release 4)*
Rel-5 *(Release 5)*
Rel-6 *(Release 6)*
Rel-7 *(Release 7)*

Reason for change:	⌘	1) Currently it is not clear that following a Radio Link failure in CELL_DCH state, the UE shall enter "out-of-service" state if it cannot find a suitable cell. 2) Currently it is not clear that the UE should shall stop timers T314 and/or T315 if they are still running when it receives a CELL UPDATE CONFIRM message.
Summary of change:	⌘	1) In section 8.3.1.2 it is specified that the UE shall move to CELL_FACH after a radio link failure occurs, and before it searches for a suitable cell. Also the UE behaviour is clarified after finding a suitable cell in CELL_FACH state. 2) In section 8.3.1.6 it is specified that timers T314 and/or T315 shall be stopped if they are running on receiving a CELL UPDATE CONFIRM message.
Consequences if not approved:	⌘	If this CR is not approved, then operators will always have to configure their cells to restricted values of T315 (and T314) to ensure that the UE is not restricted from making an emergency call or camping on another PLMN for normal service. This will mean that more calls will need to be re-established from RRC idle on return to coverage. **Isolated Impact:** This CR only impacts the RB re-establishment feature in the UE. No interoperability impact with the UTRAN is caused by implementing this CR.
Clauses affected:	⌘	8.3.1.2; 8.3.1.6

		Y	N			
Other specs affected:	⌘		X	Other core specifications	⌘	
			X	Test specifications		
			X	O&M Specifications		
Other comments:	⌘					

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.3.1.2 Initiation

A UE shall initiate the cell update procedure in the following cases:

1> Uplink data transmission:

2> if the UE is in URA_PCH or CELL_PCH state; and

2> if the UE has uplink RLC data PDU or uplink RLC control PDU on RB1 or upwards to transmit:

3> perform cell update using the cause "uplink data transmission".

1> Paging response:

2> if the criteria for performing cell update with the cause specified above in the current subclause are not met; and

2> if the UE in URA_PCH or CELL_PCH state, receives a PAGING TYPE 1 message fulfilling the conditions for initiating a cell update procedure specified in subclause 8.1.2.3:

3> perform cell update using the cause "paging response".

1> Radio link failure:

2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met:

3> if the UE is in CELL_DCH state and the criteria for radio link failure are met as specified in subclause 8.5.6; or

3> if the transmission of the UE CAPABILITY INFORMATION message fails as specified in subclause 8.1.6.6:

4> perform cell update using the cause "radio link failure".

1> Re-entering service area:

2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and

2> if the UE is in CELL_FACH or CELL_PCH state; and

2> if the UE has been out of service area and re-enters service area before T307 or T317 expires:

3> perform cell update using the cause "re-entering service area".

1> RLC unrecoverable error:

2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and

2> if the UE detects RLC unrecoverable error [16] in an AM RLC entity:

3> perform cell update using the cause "RLC unrecoverable error".

1> Cell reselection:

2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met:

3> if the UE is in CELL_FACH or CELL_PCH state and the UE performs cell re-selection; or

3> if the UE is in CELL_FACH state and the variable C_RNTI is empty:

4> perform cell update using the cause "cell reselection".

1> Periodical cell update:

2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and

2> if the UE is in CELL_FACH or CELL_PCH state; and

2> if the timer T305 expires; and

2> if the criteria for "in service area" as specified in subclause 8.5.5.2 are fulfilled; and

2> if periodic updating has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity":

3> perform cell update using the cause "periodical cell update".

A UE in URA_PCH state shall initiate the URA update procedure in the following cases:

1> URA reselection:

2> if the UE detects that the current URA assigned to the UE, stored in the variable URA_IDENTITY, is not present in the list of URA identities in system information block type 2; or

2> if the list of URA identities in system information block type 2 is empty; or

2> if the system information block type 2 can not be found:

3> perform URA update using the cause "change of URA".

1> Periodic URA update:

2> if the criteria for performing URA update with the causes as specified above in the current subclause are not met; and

2> if the timer T305 expires while the UE is in the service area; and

2> if periodic updating has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity":

3> perform URA update using the cause "periodic URA update".

When initiating the URA update or cell update procedure, the UE shall:

1> stop timer T305;

1> if the UE is in CELL_DCH state:

2> in the variable RB_TIMER_INDICATOR, set the IE "T314 expired" and the IE "T315 expired" to FALSE;

2> if the stored values of the timer T314 and timer T315 are both equal to zero; or

2> if the stored value of the timer T314 is equal to zero and there are no radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT315":

3> release all its radio resources;

3> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;

3> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;

3> clear the variable ESTABLISHED_RABS;

3> enter idle mode;

3> perform other actions when entering idle mode from connected mode as specified in subclause 8.5.2;

3> and the procedure ends.

2> if the stored value of the timer T314 is equal to zero:

3> release all radio bearers, associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT314";

3> in the variable RB_TIMER_INDICATOR set the IE "T314 expired" to TRUE.

2> if the stored value of the timer T315 is equal to zero:

3> release all radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT315";

3> in the variable RB_TIMER_INDICATOR set the IE "T315 expired" to TRUE.

2> if the stored value of the timer T314 is greater than zero:

3> if there are radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT314":

4> start timer T314.

3> if there are no radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT314" or "useT315":

4> start timer T314.

2> if the stored value of the timer T315 is greater than zero:

3> if there are radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT315":

4> start timer T315.

2> for the released radio bearer(s):

3> delete the information about the radio bearer from the variable ESTABLISHED_RABS;

3> when all radio bearers belonging to the same radio access bearer have been released:

4> indicate local end release of the radio access bearer to upper layers using the CN domain identity together with the RAB identity stored in the variable ESTABLISHED_RABS;

4> delete all information about the radio access bearer from the variable ESTABLISHED_RABS.

2> move to CELL_FACH state

2> select a suitable UTRA cell on the current frequency according to [4];

2> select PRACH according to subclause 8.5.17;

2> select Secondary CCPCH according to subclause 8.5.19;

2> use the transport format set given in system information as specified in subclause 8.6.5.1.

2> set the variable ORDERED_RECONFIGURATION to FALSE.

1> set the variables PROTOCOL_ERROR_INDICATOR, FAILURE_INDICATOR, UNSUPPORTED_CONFIGURATION and INVALID_CONFIGURATION to FALSE;

1> set the variable CELL_UPDATE_STARTED to TRUE;

1> if HS-DSCH is configured:

2> stop any HS-DSCH reception procedures;

2> clear any stored HS-PDSCH configuration;

2> act as if the IE "MAC-hs reset indicator" is received and set to TRUE;

2> release all HARQ resources;

2> remove any H-RNTI stored;

2> clear the variable H_RNTI;

2> set the variable HS_DSCH_RECEPTION to FALSE.

1> if the UE is not already in CELL_FACH state:

2> move to CELL_FACH state;

2> select PRACH according to subclause 8.5.17;

2> select Secondary CCPCH according to subclause 8.5.19;

2> use the transport format set given in system information as specified in subclause 8.6.5.1.

1> if the UE performs cell re-selection:

2> clear the variable C_RNTI; and

2> stop using that C_RNTI just cleared from the variable C_RNTI in MAC.

1> set CFN in relation to SFN of current cell according to subclause 8.5.15;

1> in case of a cell update procedure:

2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3;

2> submit the CELL UPDATE message for transmission on the uplink CCCH.

1> in case of a URA update procedure:

2> set the contents of the URA UPDATE message according to subclause 8.3.1.3;

2> submit the URA UPDATE message for transmission on the uplink CCCH.

1> set counter V302 to 1;

1> start timer T302 when the MAC layer indicates success or failure in transmitting the message.

8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U_RNTI; or
- if the message is received on DCCH:

the UE may:

1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

the UE shall:

1> stop timer T302;

1> in case of a cell update procedure and the CELL UPDATE CONFIRM message:

2> includes "RB information elements"; and/or

2> includes "Transport channel information elements"; and/or

2> includes "Physical channel information elements"; and

2> if the variable ORDERED_RECONFIGURATION is set to FALSE:

3> set the variable ORDERED_RECONFIGURATION to TRUE.

1> act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:

2> if the IE "Frequency info" is included in the message:

3> if the IE "RRC State Indicator" is set to the value "CELL_FACH" or "CELL_PCH" or URA_PCH":

4> select a suitable UTRA cell according to [4] on that frequency;

4> act as specified in subclause 8.3.1.12.

3> if the IE "RRC State Indicator" is set to the value "CELL_DCH":

4> act on the IE "Frequency info" as specified in subclause 8.6.6.1.

2> use the transport channel(s) applicable for the physical channel types that is used; and

2> if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):

3> use the TFS given in system information.

2> if none of the TFS stored is compatible with the physical channel:

3> delete the stored TFS;

3> use the TFS given in system information.

2> if the IE "RLC re-establish indicator (RB2, RB3 and RB4)" in the CELL UPDATE CONFIRM message is set to TRUE:

3> re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);

3> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN is set to "Started":

4> set the HFN component of the respective COUNT-C values for AM RLC entities with RB identity 2,RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest transmitted CELL UPDATE message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN.

2> if the IE "RLC re-establish indicator (RB5 and upwards)" in the CELL UPDATE CONFIRM message is set to TRUE:

3> for radio bearers with RB identity 5 and upwards:

4> re-establish the AM RLC entities;

4> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":

5> set the HFN component of the respective COUNT-C values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS.

NOTE: UE actions, in case IE "Downlink counter synchronisation info" is included and either IE "RLC re-establish indicator (RB2, RB3 and RB4)" or IE "RLC re-establish indicator (RB5 and upwards)" are set to TRUE, are not defined.

1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

1> if the variable ESTABLISHMENT_CAUSE is set:

2> clear the variable ESTABLISHMENT_CAUSE.

1> enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.

If the UE after state transition enters CELL_DCH state, it shall:

1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);

1> not prohibit periodical status transmission in RLC.

If the UE after state transition remains in CELL_FACH state, it shall

1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

1> select PRACH according to subclause 8.5.17;

1> select Secondary CCPCH according to subclause 8.5.19;

1> not prohibit periodical status transmission in RLC;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> ignore that IE and stop using DRX.

If the UE after state transition enters URA_PCH or CELL_PCH state, it shall:

1> prohibit periodical status transmission in RLC;

1> clear the variable C_RNTI;

1> stop using that C_RNTI just cleared from the variable C_RNTI in MAC;

1> start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

If the UE after the state transition remains in CELL_FACH state; and

1> the contents of the variable C_RNTI are empty:

it shall check the value of V302; and:

1> if V302 is equal to or smaller than N302:

2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

3> the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE; and/or

3> the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to TRUE:

4> abort the ongoing integrity and/or ciphering reconfiguration;

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

5> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

5> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

5> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

5> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

2> in case of a URA update procedure:

3> stop the URA update procedure;

3> clear any entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

3> continue with a cell update procedure.

2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";

2> submit the CELL UPDATE message for transmission on the uplink CCCH;

2> increment counter V302;

2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.

1> if V302 is greater than N302:

2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;

2> in case of a cell update procedure:

3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> in case of a URA update procedure:

3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> release all its radio resources;

2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;

2> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;

2> clear the variable ESTABLISHED_RABS;

2> enter idle mode;

2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;

2> and the procedure ends.

If the UE after the state transition remains in CELL_FACH state; and

- a C-RNTI is stored in the variable C_RNTI;

or

- the UE after the state transition moves to another state than the CELL_FACH state:

the UE shall:

1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

2> include and set the IE "Radio bearer uplink ciphering activation time info" in any response message transmitted below to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> in case cell reselection interrupted an ongoing cell update procedure and a CELL UPDATE CONFIRM/URA UPDATE CONFIRM was received with the IE "Downlink counter synchronisation info" present and the response to which was not submitted to the lower layers due to the cell re-selection:

2> include the IE "START list" in the response message transmitted according to subclause 8.3.1.7;

2> if the CELL UPDATE CONFIRM/URA UPDATE CONFIRM, the response to which was not delivered to the lower layers, due to the cell re-selection, included the IE "RB with PDCP information list":

3> include the IE "RB with PDCP information list" in the response message transmitted according to subclause 8.3.1.7.

1> in case of a cell update procedure:

2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry.

1> in case of a URA update procedure:

2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry;

1> if the variable PDCP_SN_INFO is non-empty:

2> include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP_SN_INFO.

1> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":

2> if the variable PDCP_SN_INFO is empty:

3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".

2> else:

3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";

3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".

2> re-establish the RLC entity for RB2;

2> for the downlink and the uplink, apply the ciphering configuration as follows:

3> if the received re-configuation message included the IE "Ciphering Mode Info":

4> use the ciphering configuration in the received message when transmitting the response message.

3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:

4> if the previous SECURITY MODE COMMAND was received due to new keys being received:

5> consider the new ciphering configuration to include the received new keys;

5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.

4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:

5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN;

5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST_CONFIGURED_CN_DOMAIN at the reception of the previous SECURITY MODE COMMAND.

4> apply the new ciphering configuration immediately following RLC re-establishment.

3> else:

4> continue using the current ciphering configuration.

2> set the new uplink and downlink HFN component of the COUNT-C of RB2 to MAX(uplink HFN component of the COUNT-C of RB2, downlink HFN component of the COUNT-C of RB2);

2> increment by one the downlink and uplink values of the HFN component of the COUNT-C for RB2;

2> calculate the START value according to subclause 8.5.9;

2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.

1> transmit a response message as specified in subclause 8.3.1.7;

1> if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

1> if the variable ORDERED_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:

2> set the variable ORDERED_RECONFIGURATION to FALSE.

1> clear the variable PDCP_SN_INFO;

1> when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:

2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;

3> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

3> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;

3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;

3> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE.

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

1> in case of a cell update procedure:

2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

1> in case of a URA update procedure:

2> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

1> set the variable CELL_UPDATE_STARTED to FALSE;

1> clear the variable SECURITY_MODIFICATION.

1> stop timers T314 and/or T315 if they are running.

The procedure ends.