

CHANGE REQUEST

⌘ **25.411 CR 012** ⌘ rev **3** ⌘ Current version: **5.0.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ Emulated Layer 1 for Rel-5 ATM-IP interworking		
Source:	⌘ RAN3		
Work item code:	⌘ ETRAN-IPtrans	Date:	⌘ 09/02/2004
Category:	⌘ F	Release:	⌘ Rel-5
	Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change:	⌘ A requirement is to ensure the co-existence between an ATM only UTRAN node and an IP capable UTRAN node that has no connectivity to ATM network. The same requirement applies between a CN node and a UTRAN node. IETF Pseudo Wire Emulation Edge to Edge (PWE3) tunneling has been recognised as a means to make ATM interface available for a dual stack IP UTRAN node interworking towards an ATM UTRAN node, and this must be specified. Note: TS 25.411 specifies both lu and lur since TS 25.421 has a cross-reference to TS 25.411.
Summary of change:	⌘ An emulated Layer 1 is introduced to allow a IP/ATM Dual Stack RNC to be connected through an lu (resp. lur) interface supporting ATM Transport option to an ATM-based CN (resp. RNC) via an IP transport network. IETF Pseudo Wire Emulation Edge to Edge (PWE3) is the specified emulated L1 to be used below ATM layer, carrying both user and control planes. <u>Impact Analysis</u> Impact assessment towards the previous version of the specification (same release): this CR has isolated impact on the previous version of the specification (same release) because only one function is impacted. This CR has an impact under the protocol point of view. The impact can be considered as isolated as it affects only the IP-ATM Interworking function.
Consequences if not approved:	⌘ If not approved, the IP-ATM interworking scenario with Dual Stack RNCs across an IP backbone would not be possible.

Clauses affected: ⌘ 2, 3, 4.2.3, new Annex A

Other specs affected:		Y	N		
	⌘		X	Other core specifications	⌘ CR 013 TS 25.411 v6.0.0
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘	As soon as the draft IETF "Encapsulation Methods for Transport of ATM Over IP and MPLS Networks" in the Annex A becomes an RFC, the reference to this RFC in reference section of TS 25.411 will replace it.			

How to create CRs using this form:

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] ITU-T Recommendation I.432.2 (8/96): "ISDN User-Network interfaces, Layer 1 Recommendations, 155 520 kbit/s and 622 080 kbit/s operation".
- [2] ITU-T Recommendation I.432.3 (8/96): "ISDN User-Network interfaces, Layer 1 Recommendations, 1544 kbit/s and 2048 kbit/s operation".
- [3] ITU-T Recommendation G.703 (10/98): "Physical/electrical characteristics of hierarchical digital interfaces".
- [4] ITU-T Recommendation G.704 (10/98): "Synchronous frame structures used at 1544, 6312, 2048, 8448 and 44 736 kbit/s hierarchical levels".
- [5] ITU-T Recommendation G.957 (7/95): "Optical interfaces for equipments and systems relating to the synchronous digital hierarchy".
- [6] ITU-T Recommendation I.432.1 (8/96): "ISDN User-Network interfaces, Layer 1 Recommendations, General characteristics".
- [7] ITU-T Recommendation G.823 (3/93): "The control of jitter and wander within digital networks which are based on the 2048 kbit/s hierarchy".
- [8] ITU-T Recommendation G.824 (3/93): "The control of jitter and wander within digital networks which are based on the 1544 kbit/s hierarchy".
- [9] ITU-T Recommendation G.825 (3/93): "The control of jitter and wander within digital networks which are based on the synchronous digital hierarchy (SDH)".
- [10] ITU-T Recommendation G.826 (8/96): "Error performance parameters and objectives for international, constant bit rate digital paths at or above the primary rate".
- [11] ITU-T Recommendation I.361 (11/95): "B-ISDN ATM layer specification".
- [12] ATM Forum AF-PHY-0016.000 (9/94): "DS1 Physical Layer Specification".
- [13] ATM Forum AF-PHY-0064.000 (9/96): "E1 Physical Layer Interface Specification".
- [14] ATM Forum AF-PHY-0086.001 (2/99): "Inverse Multiplexing for ATM (IMA) Specification Version 1.1".
- [15] ITU-T Recommendation G.751 (11/88): "Digital multiplex equipments operating at the third order bit rate of 34 368 kbit/s and the fourth order bit rate of 139 264 kbit/s and using positive justification".
- [16] ITU-T Recommendation G.811 (2/97): "Timing Characteristics of Primary Reference Clocks".
- [17] [3GPP TR25.933 "IP Transport in UTRAN" \(Rel-5\)](#)

3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

ATM	Asynchronous Transfer Mode
BER	Bit Error Rate
IMA	Inverse Multiplexing on ATM
IP	Internet Protocol
PDH	Plesiochronous Digital Hierarchy
PMD	Physical Media Dependent
PWE3	Pseudo Wire Emulation Edge to Edge
SDH	Synchronous Digital Hierarchy
SDU	Service Data Unit
TC	Transmission Convergence

4 I_u Layer 1

4.1 Introduction

The main functions of Layer 1 are summarised in the following:

- Interface to physical medium;
- [ATM-Cell delineation];
- Line clock extraction capability;
- Layer 1 alarms extraction and generation;
- In-sequence delivery;
- Transmission quality control.

4.2 Layer 1 Description

4.2.1 Layer 1 Synchronised

When the Layer 1 Synchronised option is used (i.e. PDH/SDH/SONET links), the following requirements shall be met:

Layer 1 reference configuration shall be according to ITU-T Recommendation I.432.1 [6].

The physical layer is divided into:

- Physical Media Dependent (PMD) sublayer;
- Transmission Convergence (TC) sublayer defined according to ITU-T Recommendation I.432.1 [6].

The PMD shall comply with at least one of the following standards:

- ETSI STM-4 (622 Mb/s) interface according to I.432.2 [1] with optical S-1.1 interface according to G.957 [5].
- SONET STS-12c (622 Mb/s) interface according to ANSI, T1.105-1995 with optical multimode.
- SONET STS-3c (155 Mb/s) interface according to ANSI, T1.105-1995 with optical multimode.
- ETSI STM-1 (155 Mb/s) interface according to I.432.2 [1] with electrical interface (CMI) to G.703 [3].
- ETSI STM-1 (155 Mb/s) interface according to I.432.2 [1] with optical S-1.1 interface according to G.957 [5].

- ITU STS-1 (51 Mb/s) interface according to ANSI, T1.105-1995 with electrical interface.
- ITU STM-0 (51 Mb/s) interface according to ETSI/TTC with electrical interface.
- ITU STM-0 (51 Mb/s) interface according to ETSI/TTC with optical S-1.1 interface according G.957 [5].
- J2, 6.3 Mb/s interface according to Japanese standard JT-G.703 [3] and JT-G.704 [4] (75 Ohm).

NOTE: J2 requires that the ATM cells be mapped into the physical layer according to HEC based mapping in G.804.

- E2, 8Mb/s according to ETSI/ITU G.703 [3] and G.704 [4] (75 Ohm).
- E3, 34 Mb/s interface according to ETSI/ITU G.751 [15] (75 Ohm).
- T3, 45 Mb/s interface according to ANSI/ITU G.703 [3] and G.704 [4] (75 Ohm).
- E1, 2Mb/s interface balanced 120 Ohm symmetrical according to ETS 300 420, ITU-T G.704 [4] and TBR 013 (G.703) [3], and AF-PHY-0064.000 [13]
- E1, 2Mb/s according to ETSI/ITU G.703 [3] and G.704 [4] (75 Ohm), and AF-PHY-0064.000 [13].
- J1, 1.5 Mb/s interface according to Jt-431-a (100 Ohm).
- J1, 1.5 Mb/s interface according to JT-G.703 [3] and JT-G.704 [4] (110 Ohm).
- T1, 1.5 Mb/s interface according to AF-PHY-0016.000 [10] and ANSI/ITU G.703 [3] and G.704 [4] (100 Ohm).

Services provided to the upper layer shall be independent from the used underlying technology.

The support of intervening transport networks - like PDH or SDH terrestrial links, Point-to-point or Point-to-Multipoint radio links - shall not be prevented.

When using E1, T1, or J1, it shall be possible to use inverse multiplexing of ATM (IMA) [14] within suitable subsets of the physical ports on the respective Exchange Termination (ET).

The jitter and wander performance requirements on the interface shall be in accordance with either Reference [7], [8] or [9], whichever is applicable.

The synchronisation reference extracted from the I_u may be used as UTRAN synchronisation reference. A general recommendation is to supply a traceable synchronisation reference according to reference [16].

Transmission quality control shall be provided according to ITU-T Recommendation G.826 [10].

4.2.2 [IP – Layer 1 Unsynchronised]

When Layer 1 unsynchronised option is used, the following requirements shall be met:

The support of any suitable physical layer - like Ethernet L1 or other suitable point-to-point or point-to-multipoint techniques shall not be prevented.

4.2.3 [IP–ATM Interworking – Layer 1 Emulation]

[Pseudo Wire Emulation Edge to Edge \(PWE3\) \[Annex A\] may be used in an IP/ATM dual stack node for making the ATM interface available in the IP transport network.](#)

[Neither AAL5 SDU Frame Mode nor AAL5 PDU Frame Mode shall be used.](#)

[Further guidelines on the application of PWE3 for IP-ATM interworking can be found in \[17\].](#)

Annex A (normative)

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Encapsulation Methods for Transport of ATM Over IP and MPLS Networks

draft-ietf-pwe3-atm-encap-04.txt

Status of this Memo

This document is an Internet-Draft and is in full conformance with all provisions of Section 10 of RFC2026.

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Abstract

A framework for providing various Layer 1 and Layer 2 services over a Packet Switched Network has been described in [3]. This draft provides encapsulation formats and guidelines for transporting a variety of ATM services over a PSN.

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1. Specification of Requirements

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119

2. Introduction

Packet Switched Networks (PSNs) have the potential to reduce the complexity of a service providers infrastructure by allowing virtually any existing digital service to be supported over a single networking infrastructure. The benefit of this model to a service provider is threefold:

- Leveraging of the existing systems and services to provide increased capacity from a packet switched core.

- Preserving existing network operational processes and procedures used to maintain the legacy services.
- Using the common packet switched network infrastructure to support both the core capacity requirements of existing services and the requirements of new services supported natively over the packet switched network.

This document describes a method to carry ATM services over L2TP and MPLS. It lists ATM specific requirements and provides encapsulation formats and semantics for connecting ATM edge networks through a core packet network using L2TP or MPLS. The techniques described in this draft will allow ATM service providers to take advantage of new technologies in the core in order to provide ATM multi-services.

Figure 1, below displays the ATM services reference model. This model is adapted from [3].

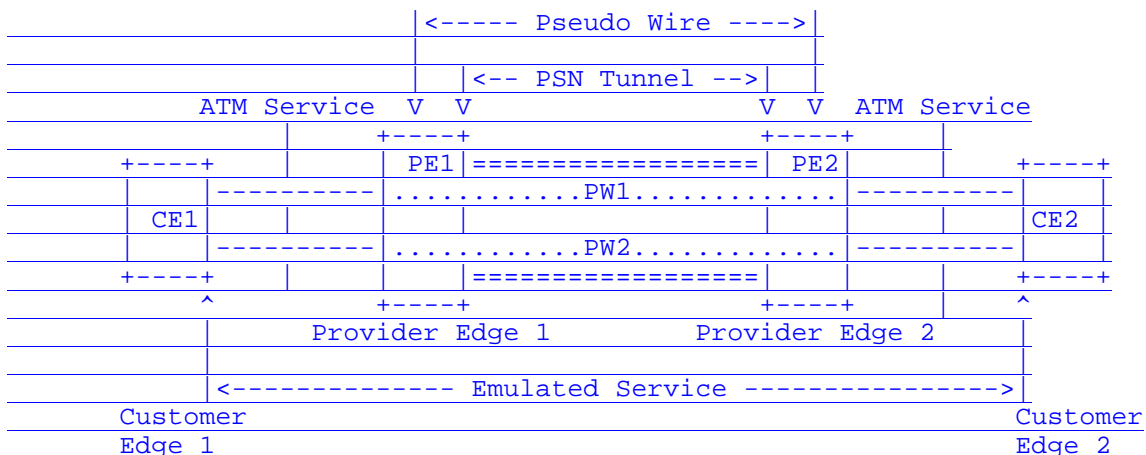


Figure 1: ATM Service Reference Model

QoS related issues are not discussed in this draft. This draft describes two methods of ATM cell encapsulation, One-to-one mode and N-to-one mode. This draft describes two methods of AAL5 encapsulation, PDU mode and SDU mode.

3. Terminology

One-to-one mode: The One-to-one mode specifies an encapsulation method which maps one ATM VCC (or one ATM VPC) to one Pseudo Wire.

N-to-one mode (N >= 1): The N-to-one mode specifies an encapsulation method which maps one or more ATM VCCs (or one or more ATM VPCs) to one Pseudo Wire.

Packet Switched Network - A Packet Switched Network (PSN) is an IP or MPLS network.

Pseudo Wire Emulation Edge to Edge - Pseudo Wire Emulation Edge to Edge (PWE3) is a mechanism that emulates the essential attributes of a service (such as a T1 leased line or Frame Relay) over a PSN.

Customer Edge - A Customer Edge (CE) is A device where one end of a service originates and/or terminates. The CE is not aware that it is using an emulated service rather than a native service.

Provider Edge - A Provider Edge (PE) is a device that provides PWE3 to a CE.

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Pseudo Wire - A Pseudo Wire (PW) is a connection between two PEs carried over a PSN. The PE provides the adaptation between the CE and the PW.

Pseudo Wire PDU - A Pseudo Wire PDU is a PDU sent on the PW that contains all of the data and control information necessary to provide the desired service.

PSN Tunnel - A PSN Tunnel is a tunnel inside which multiple PWs can be nested so that they are transparent to core PSN devices.

PSN Bound - The traffic direction where information from a CE is adapted to a PW, and PW-PDUs are sent into the PSN.

CE Bound - The traffic direction where PW-PDUs are received on a PW from the PSN, re-converted back in the emulated service, and sent out to a CE.

Ingress - The point where the ATM service is encapsulated into a Pseudo Wire PDU (ATM to PSN direction.)

Egress - The point where the ATM service is decapsulated from a Pseudo Wire PDU (PSN to ATM direction.)

CTD - Cell Transfer Delay

MTU - Maximum Transmission Unit

OAM - Operations And Maintenance.

PVC - Permanent Virtual Connection. An ATM connection that is provisioned via a network management interface. The connection is not signaled.

VCC Virtual Circuit Connection. An ATM connection that is switched based on the cell header's VCI.

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4.3. The Control Word

There are four requirements that may need to be satisfied when transporting layer 2 protocols over an IP or MPLS backbone [8]:

- i. Sequentiality may need to be preserved.
- ii. Small packets may need to be padded in order to be transmitted on a medium where the minimum transport unit is larger than the actual packet size.
- iii. Control bits carried in the header of the layer 2 frame may need to be transported.
- iv. To allow accurate packet inspection in an MPLS PSN, and/or to operate correctly over MPLS PSNs that have deployed equal-cost multiple-path load-balancing, a PW packet MUST NOT alias an IP packet.

The PWE3 architecture document describes a generic control word and a preferred control word. This document makes use of both of these control words depending on the encapsulation mode. Both of these control words addresses all of the above requirements.

For some encapsulation modes, the control word is REQUIRED, and for others OPTIONAL. Where the control word is OPTIONAL implementations MUST support sending no control word, and MAY support sending a control word.

In all cases the egress router must be aware of whether the ingress router will send a control word over a specific pseudo wire. This may be achieved by configuration of the routers, or by signaling, for example as defined in [1].

If the Pseudo Wire traverses a network link that requires a minimum frame size such as Ethernet as a practical example, with a minimum frame size of 64 octets, then such links will apply padding to the Pseudo Wire PDU to reach its minimum frame size. In this case the control word must include a length field set to the PDU length. A mechanism is required for the egress PE to detect and remove such padding.

4.3.1. The Generic Control Word

This control word is used in the following encapsulation modes:

- ATM 1 to 1 Cell Mode

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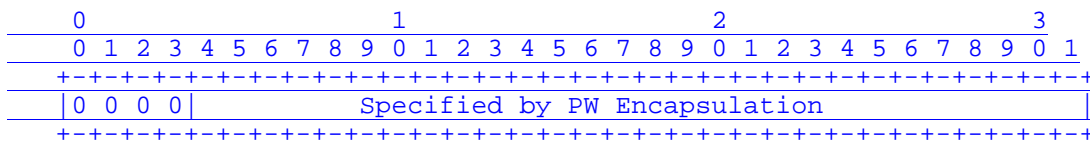
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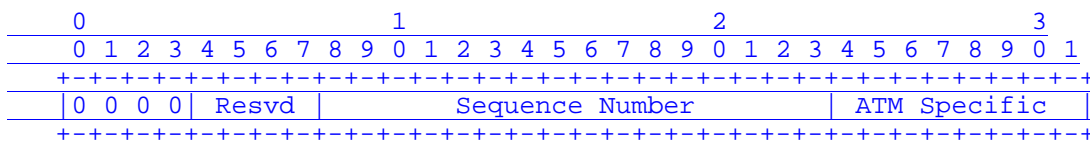
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- AAL5 PDU Frame Mode

The PWE3 architecture document [8] provides the following structure for the generic control word:



The detailed structure for the ATM 1 to 1 Cell Mode and for the AAL5 PDU Frame Mode is as follows:



In the above diagram the first 4 bits MUST be set to 0 when transmitting in order to satisfy the IP aliasing requirement (iv) in Section 4.1. They MUST be ignored by the receiving PE.

The next four bits are reserved and MUST be set to 0 upon transmission and ignored upon reception.

The next 16 bits provide a sequence number that can be used to guarantee ordered packet delivery. The processing of the sequence number field is OPTIONAL.

The sequence number space is a 16 bit, unsigned circular space. The sequence number value 0 is used to indicate that the sequence number check algorithm is not used.

The last 8 bits provide space for carrying ATM specific flags. These are defined in the protocol-specific details below.

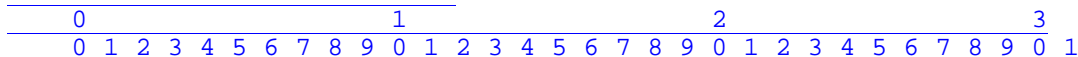
There is no requirement for a length field for the One-to-one cell and PDU Frame modes because the PSN PDU is always greater than 64 bytes and so no padding is applied in Ethernet links in the PSN.

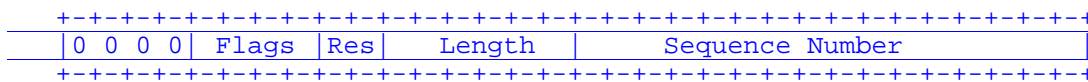
4.3.2. The Preferred Control Word

This control word is used in the following encapsulation modes:

- ATM N to 1 Cell Mode
- AAL5 SDU Frame Mode

It is defined as follows:





In the above diagram, from left to right, the first 4 bits MUST be set to 0 when transmitting in order to satisfy the IP aliasing requirement (iv) in Section 4.1. They MUST be ignored by the receiving PE.

The next 4 bits provide space for carrying protocol specific flags. These are defined in the protocol-specific details below.

The next 6 bits provide a length field, which is used as follows: If the packet's length (defined as the length of the layer 2 payload plus the length of the control word) is less than 64 bytes, the length field MUST be set to the packet's length. Otherwise the length field MUST be set to zero. The value of the length field, if non-zero, can be used to remove any padding. When the packet reaches the service provider's egress router, it may be desirable to remove the padding before forwarding the packet. Note that the length field is not used in the N-to-1 mode, and MUST be set to 0.

The last 16 bits provide a sequence number that can be used to guarantee ordered packet delivery. The processing of the sequence number field is OPTIONAL.

The sequence number space is a 16 bit, unsigned circular space. The sequence number value 0 is used to indicate that the sequence number check algorithm is not used.

4.3.3. Setting the sequence number field in the control word

This section applies to the sequence number field of both the Generic and Preferred Control Words.

For a given emulated VC, and a pair of routers PE1 and PE2, if PE1 supports packet sequencing then the following procedures should be

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used:

- the initial packet transmitted on the emulated VC MUST use sequence number 1
- subsequent packets MUST increment the sequence number by one for each packet
- when the transmit sequence number reaches the maximum 16 bit value (65535) the sequence number MUST wrap to 1

If the transmitting router PE1 does not support sequence number processing, then the sequence number field in the control word MUST be set to 0.

4.3.4. Processing the sequence number field in the control word

This section applies to the sequence number field of both the Generic

and Preferred Control Words.

If a router PE2 supports receive sequence number processing, then the following procedures should be used:

When an emulated VC is initially set up, the "expected sequence number" associated with it MUST be initialized to 1.

When a packet is received on that emulated VC, the sequence number should be processed as follows:

- if the sequence number on the packet is 0, then the packet passes the sequence number check.
- otherwise if the packet sequence number \geq the expected sequence number and the packet sequence number - the expected sequence number $<$ 32768, then the packet is in order.
- otherwise if the packet sequence number $<$ the expected sequence number and the expected sequence number - the packet sequence number \geq 32768, then the packet is in order.
- otherwise the packet is out of order.

If a packet is in order then, it can be delivered immediately. If the packet is in order, then the expected sequence number MUST be set using the algorithm:

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expected_sequence_number := packet_sequence_number + 1 mod $2^{*}16$
if (expected_sequence_number = 0) then expected_sequence_number:= 1;

Packets which are received out of order MAY be dropped or reordered at the discretion of the receiver.

A simple extension of the above processing algorithm can be used to detect lost packets.

If a router PE2 does not support receive sequence number processing, then the sequence number field MAY be ignored.

4.4. MTU Requirements

The network MUST be configured with an MTU that is sufficient to transport the largest encapsulation frames. If MPLS is used as the tunneling protocol, for example, this is likely to be 12 or more bytes greater than the largest frame size. Other tunneling protocols may have longer headers and require larger MTUs. If the ingress router determines that an encapsulated layer 2 PDU exceeds the MTU of the tunnel through which it must be sent, the PDU MUST be dropped. If an egress router receives an encapsulated layer 2 PDU whose payload length (i.e., the length of the PDU itself without any of the encapsulation headers), exceeds the MTU of the destination layer 2 interface, the PDU MUST be dropped.

5. Applicability

This Draft defines two methods for encapsulation of ATM cells, namely, One-to-one mode and N-to-one mode.

The N-to-one mode ($N \geq 1$) specifies an encapsulation method that maps one or more ATM VCCs (or one or more ATM VPCs) to one Pseudo-Wire. This is the only REQUIRED mode. One format is used for both the VCC or VPC mapping to the tunnel. The 4-octet ATM header is unaltered in the encapsulation, thus the VPI/VCI is always present. Cells from one or more VCCs (or one or more VPCs) may be concatenated.

The One-to-one mode specifies an encapsulation method that maps one ATM VCC or one ATM VPC to one Pseudo-Wire. For VCCs, the VPI/VCI is not included. For VPCs, the VPI is not included. Cells from one VCC or one VPC may be concatenated. This mode is OPTIONAL.

Furthermore different OPTIONAL encapsulations are supported for ATM AAL5 transport: one for ATM AAL5 SDUs, and another for ATM AAL5 PDUs.

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Three deployment models are supported by the encapsulations described in this document:

- i. Single ATM Connection: A PW carries the cells of only one ATM VCC or VPC. This supports both the transport of multiservice ATM and L2VPN service over a PSN for all AAL types.
- ii. Multiple ATM Connections: A PW carries the cells of multiple ATM VCCs and / or VPCs . This also supports both the transport of multiservice ATM and L2VPN service over a PSN for all AAL type.
- iii. AAL5: PW carries the AAL5 frames of only one ATM VCC. A large proportion of the data carried on ATM networks is frame based and therefore uses AAL5. The AAL5 mapping takes advantage of the delineation of higher layer frames in the ATM layer to provide increased bandwidth efficiency compared with the basic cell mapping. The nature of the service, as defined by the ATM service category [5] or the ATM transfer capability [6] should be preserved.

There are currently no OAM mechanisms defined for the PSN like those defined for ATM. Therefore the methods for the detection/consequences of failures in the PSN are not specified. This also means that QoS/availability metrics cannot be specified for the PSN.

5.1. ATM N to 1 Cell Mode

This encapsulation supports both the Single and Multiple ATM Connection deployment models. This encapsulation is REQUIRED.

The encapsulation allows multiple VCCs/VPCs to be carried within a single pseudo wire. However, a service provider may wish to provision a single VCC to a pseudo wire in order to satisfy QoS or restoration requirements.

The encapsulation also supports the binding of multiple VCCs/VPCs to a single Pseudo Wire. This capability is useful in order to make more efficient use of the PW demultiplexing header space as well as to ease provisioning of the VCC/VPC services.

In the simplest case, this encapsulation can be used to transmit a single ATM cell per PSN PDU. However, in order to provide better PSN bandwidth efficiency, several ATM cells may optionally be encapsulated in a single PSN PDU. This process is called cell concatenation.

The encapsulation has the following attributes:

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- i. Supports all ATM Adaptation Layers Types.
- ii. Non-terminating OAM/Admin cells are transported among the user cells in the same order as they are received. This requirement enables the use of various performance management and security applications.
- iii. In order to gain transport efficiency on the PSN, multiple cells may be encapsulated in a single PW PDU. This process is called cell concatenation. How many cells to insert or how long to wait for cell arrival before sending a PW PDU is an implementation decision. Cell concatenation adds latency and delay variation to a cell relay service.
- iv. The CLP bit from each cell may be mapped to a corresponding marking on the PW PDU. This allows the drop precedence to be preserved across the PSN.
- v. If the Single ATM connection deployment model is used, then it is simpler to provide an ATM layer service. The nature of the service, as defined by the ATM service category [5] or ATM transfer capability [6], should be preserved.

The limitations of the ATM N-to-one cell encapsulation are:

- vi. There is no currently defined method to translate the forward congestion indication (EFCI) to a corresponding function in the PSN. Nor is there a way to translate PSN congestion to the EFCI upon transmission by the egress PE.
- vii. The ATM cell header checksum can detect a 2-bit error or detect and correct a single bit error in the cell header. Analogous functionality does not exist in most PSNs. A single bit error in a PW PDU will most likely cause the packet to be dropped due to a L2 FCS failure.
- viii. Cells can be concatenated from multiple VCCs or VPCs belonging to different service categories and qos requirements. In this case the PSN packet must receive treatment by the PSN to support the highest QoS of the ATM VCCs/VPCs carried.
- ix. Cell encapsulation only supports point-to-point LSPs. Multipoint-to-point and point-to-multi-point are for further study (FFS).
- x. The number of concatenated ATM cells is limited by the MTU size and the cell transfer delay (CTD) and cell delay variation (CDV) objectives of multiple ATM connections that are multiplexed into a single PW.

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5.2. ATM One-to-One Cell Encapsulation

This OPTIONAL encapsulation supports the Single ATM Connection deployment model.

Like the N to one cell encapsulation mode, the One-to-one mode supports cell concatenation. The advantage of this encapsulation is that it utilizes less bandwidth than the N-to-one encapsulation, for a given number of concatenated cells. Since only one ATM VCC or VPC is carried on a PW, the VCI and/or VPI of the ATM VCC or VPC can be derived from the context of the PW using the PW label. These fields therefore do not need to be encapsulated for a VCC, and only the VCI needs to be encapsulated for a VPC. This encapsulation thus allows service providers to achieve a higher bandwidth efficiency on PSN links than the N-to-one encapsulation for a given number of concatenated cells.

The limitations vi,vii,ix,x of N to one mode apply.

5.3. AAL5 SDU Frame Encapsulation

This OPTIONAL encapsulation supports the AAL5 model.

The AAL5 SDU encapsulation is more efficient for small AAL5 SDUs than the VCC cell encapsulations. In turn it presents a more efficient alternative to the cell relay service when carrying RFC 2684 encapsulated IP PDUs across a PSN.

The AAL5-SDU encapsulation requires Segmentation and Reassembly on the PE-CE ATM interface. This SAR function is provided by common off-the-shelf hardware components. Once reassembled, the AAL5-SDU is carried via a Pseudo Wire to the egress PE. Herein lies another advantage of the AAL5-SDU encapsulation.

The limitations of the AAL5 SDU encapsulation are:

- i. If an ATM OAM cell is received at the ingress PE, it is sent before the cells of the surrounding AAL5 frame. Therefore, OAM cell reordering may occur, which may cause certain ATM OAM performance monitoring and ATM security applications to operate incorrectly.
- ii. If the AAL5 PDU is scrambled using ATM security standards, a PE will not be able to extract the AAL5 SDU and therefore the whole PDU will be dropped.
- iii. The AAL5 PDU CRC is not transported across the PSN. The CRC must therefore be regenerated at the egress PE. Since the CRC has end-to-end significance in ATM security. This means that the AAL5 CRC may not be used to accurately check for

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errors on the end-to-end ATM VCC.

- iv. The Length of AAL5 frame may exceed the MTU of the PSN. This

requires fragmentation, which may not be available to all nodes at the PW endpoint.

- v. This mode does not preserve the value of the CLP bit for every ATM cell within an AAL5 PDU. Therefore, transparency of the CLP setting may be violated. Additionally, tagging of some cells may occur when tagging is not allowed by the conformance definition [5].
- vi. This mode does not preserve the EFCI state for every ATM cell within an AAL5 PDU. Therefore, transparency of the EFCI state may be violated.

5.4. AAL5 PDU Frame Encapsulation

This OPTIONAL encapsulation supports the AAL5 model.

The primary application supported by AAL5 PDU frame encapsulation over PSN is the transparent carriage of ATM layer services that use AAL5 to carry higher layer frames. The main advantage of this AAL5 mode is that it is transparent to ATM OAM and ATM security applications.

One important consideration is to allow OAM information to be treated as in the original network. This encapsulation mode allows this transparency while performing AAL5 frame encapsulation. This mode supports fragmentation, which may be performed in order to maintain the position of the OAM cells with respect to the user cells.

Fragmentation may also be performed to maintain the size of the packet carrying the AAL5 PDU within the MTU of the link.

Fragmentation provides a means for the PE to set the size of the PW packet to a different value than that of the original AAL5 PDU. This means that the PE has control on the delay and jitter provided to the ATM cells.

The whole AAL5-PDU is encapsulated. In this case all necessary parameters such as CPCS-UU (CPCS User-to-User indicator), CPI (Common Part Indicator), Length (Length of the CPCS-SDU) and CRC (Cyclic Redundancy Check) are transported as part of the payload. Note that carrying of the full PDU also allows the simplification of the fragmentation operation since it is performed at cell boundaries and the CRC in the trailer of the AAL5 PDU can be used to check the integrity of the PDU.

Reassembly is not required at the egress PE for the PSN-to-ATM direction.

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The limitations v and vi of the AAL5 SDU mode apply to this mode as well.

6. ATM OAM Cell Support

6.1. VCC Case

In general when configured for ATM VCC service, both PEs SHOULD act as a VC switch, in accordance with the OAM procedures defined in [7].

The PEs SHOULD be able to pass the following OAM cells transparently:

- F5 AIS (segment and end-to-end)
- F5 RDI (segment and end-to-end)
- F5 loopback (segment and end-to-end)
- Resource Management
- Performance Management
- Continuity Check
- Security

F4 OAM cells are inserted or extracted at the VP link termination. These OAM cells are not seen at the VC link termination and are therefore not sent across the PSN.

6.2. VPC Case

When configured for a VPC cell relay service, both PEs SHOULD act as a VP cross-connect in accordance with the OAM procedures defined in [7].

The PEs SHOULD be able to process and pass the following OAM cells transparently according to [7]:

- F4 AIS (segment and end-to-end)
- F4 RDI (segment and end-to-end)
- F4 loopback (segment and end-to-end)

F5 OAM are not inserted or extracted here. The PEs MUST be able to pass the following OAM cells transparently: F5 AIS (segment and end-to-end)

- F5 RDI (segment and end-to-end)
- F5 loopback (segment and end-to-end)
- Resource Management
- Performance Management
- Continuity Check

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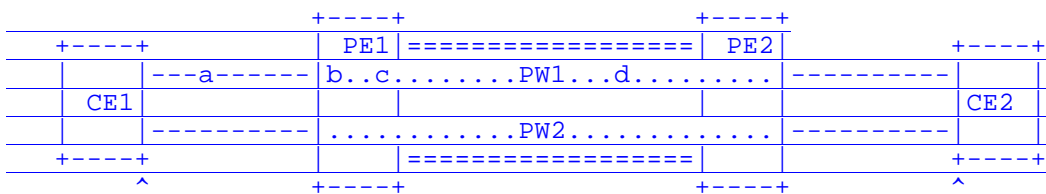
- Security

The OAM cell MAY be encapsulated together with other user data cells if multiple cell encapsulation is used.

6.3. Defect Handling

Figure 3 illustrates four possible locations for defects on the PWE3 service:

- (a) On the ATM connection from CE to PE
- (b) On the ATM side of the PW
- (c) On the PSN side of the PE
- (d) In the PSN



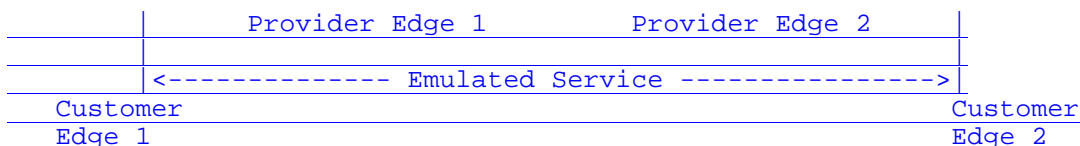


Figure 3: Defect Locations

For failures at (a) or (b) in the VPC case the ingress PE MUST be able to generate an F4 AIS upon reception of a lower layer defect (such as LOS). In the VCC case, the ingress PE SHOULD be able to generate an F5 AIS upon reception of a corresponding F4 AIS or lower layer defect (such as LOS). These messages are sent across the PSN.

For failures at (c) or (d), in the VCC case the egress PE SHOULD be able to generate an F5 AIS based on a PSN failure (such as a PSN tunnel failure or LOS on the PSN port). In the VPC case, the egress PE SHOULD be able to generate an F4 AIS based on a PSN failure (such as a PSN tunnel failure or LOS on the PSN port).

If the ingress PE cannot support the generation of OAM cells, it MAY notify the egress PE using a Pseudo Wire specific maintenance mechanism such as the PW status message defined in [1]. Alternatively, for example, the ingress PE MAY withdraw the Pseudo Wire (VC label) associated with the service. Upon receiving such a notification, the egress PE SHOULD generate the appropriate F4 AIS

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(for VPC) or F5 AIS (for VCC).

If the ingress PE cannot support the generation of OAM cells, it MAY notify the egress PE using a Pseudo Wire specific maintenance mechanism such as the PW status message defined in [1]. Alternatively, for example, the ingress PE MAY withdraw the Pseudo Wire (VC label) associated with the service. Upon receiving such a notification, the egress PE SHOULD generate the appropriate F5 AIS.

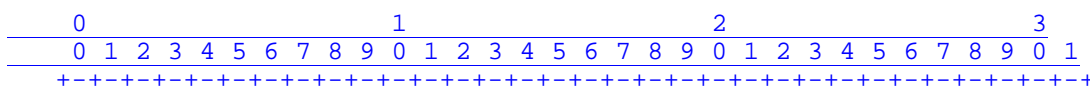
If the PW in one direction fails, then the complete bidirectional service is considered to have failed.

7. ATM N-to-one Cell Mode

The N-to-one mode (N >= 1) described in this Draft allows a service provider to offer an ATM PVC or SVC based service across a network. The encapsulation allows multiple ATM VCCs or VPCs to be carried within a single PSN tunnel. A service provider may also use N-to-one mode to provision either one VCC or one VPC on a tunnel. This section defines the VCC and VPC cell relay services over a PSN and their applicability.

7.1. ATM N-to-one Service Encapsulation

This section describes the general encapsulation format for ATM over PSN pseudo wires.



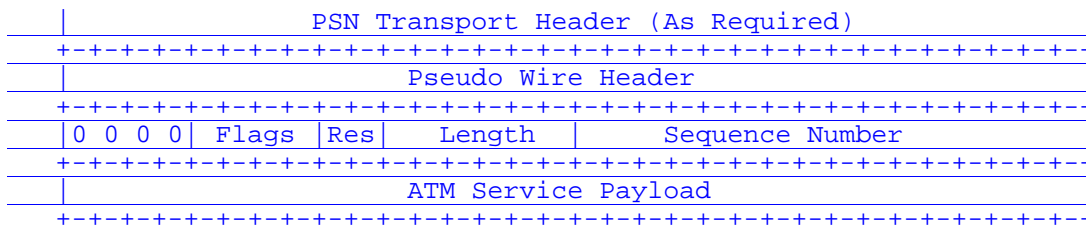


Figure 4: General format for ATM encapsulation over PSNs

The PSN Transport Header depends on the particular tunneling technology in use (L2TP or MPLS). This header is used to transport the encapsulated ATM information through the packet switched core.

The Pseudo Wire Header identifies a particular ATM service on a

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tunnel. Non-ATM services may also be carried on the PSN tunnel.

The ATM Control Word is inserted before the ATM service payload. It may contain a length and sequence number in addition to certain control bits needed to carry the service.

The ATM Service Payload is specific to the service being offered via the Pseudo Wire. It is defined in the following sections.

In this encapsulation mode ATM cells are transported individually. The encapsulation of a single ATM cell is the only REQUIRED encapsulation for ATM. The encapsulation of more than one ATM cell in a PSN frame is OPTIONAL.

The ATM cell encapsulation consists of an OPTIONAL control word, and one or more ATM cells - each consisting of a 4 byte ATM cell header and the 48 byte ATM cell payload. This ATM cell header is defined as in the FAST encapsulation [4] section 3.1.1, but without the trailer byte. The length of each frame, without the encapsulation headers, is a multiple of 52 bytes long. The maximum number of ATM cells that can be fitted in a frame, in this fashion, is limited only by the network MTU and by the ability of the egress router to process them. The ingress router MUST NOT send more cells than the egress router is willing to receive. The number of cells that the egress router is willing to receive may either be configured in the ingress router or may be signaled, for example using the methods described in [1]. The number of cells encapsulated in a particular frame can be inferred by the frame length. The control word is OPTIONAL. If the control word is used then the flag, and length bits in the control word are not used, and MUST be set to 0 when transmitting, and MUST be ignored upon receipt.

The EFCI and CLP bits are carried across the network in the ATM cell header. The edge routers that implement this document MAY, when either adding or removing the encapsulation described herein, change the EFCI bit from zero to one in order to reflect congestion in the network that is known to the edge router, and the CLP bit from zero to one to reflect marking from edge policing of the ATM Sustained Cell Rate. The EFCI and CLP bits SHOULD NOT be changed from one to zero.

This diagram illustrates an encapsulation of two ATM cells:

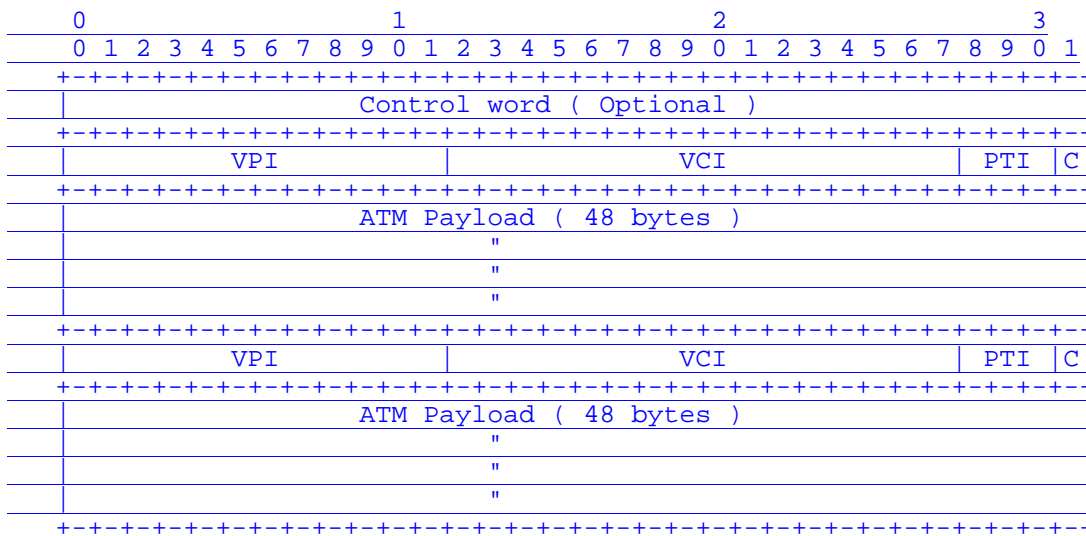


Figure 5: Multiple Cell ATM Encapsulation

* When multiple VCCs or VPCs are transported in one pseudo-wire VPI/VCI values MUST be unique. When the multiple VCCs or VPCs, are from different a physical transmission path it may be necessary to assign unique VPI/VCI values to the ATM connections. If they are from the same physical transmission path, the VPI/VCI values are unique.

* VPI

The ingress router MUST copy the VPI field from the incoming cell into this field. For particular emulated VCs, the egress router MAY generate a new VPI and ignore the VPI contained in this field.

* VCI

The ingress router MUST copy the VCI field from the incoming ATM cell header into this field. For particular emulated VCs, the egress router MAY generate a new VCI.

* PTI & CLP (C bit)

The PTI and CLP fields are the PTI and CLP fields of the incoming ATM cells. The cell headers of the cells within the packet are the ATM headers (without HEC) of the incoming cell.

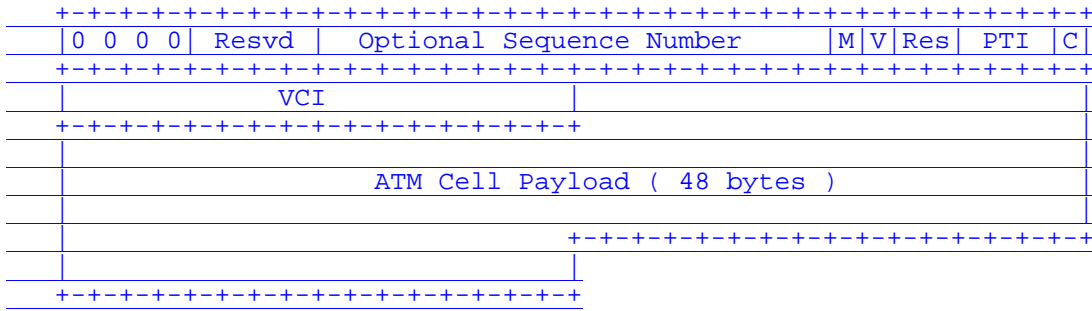


Figure 9: Single Cell VPC Encapsulation

The ATM control byte contains the same information as in the VCC encapsulation except for the VCI field.

* VCI Bits

The 16-bit Virtual Circuit Identifier (VCI) incorporates ATM Layer VCI value of the cell.

For increased transport efficiency, the ingress PE SHOULD be able to

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encapsulate multiple ATM cells into a Pseudo Wire PDU. The ingress and egress PE SHOULD agree to a maximum number of cells in a single Pseudo Wire PDU. This agreement may be accomplished via a Pseudo Wire specific signaling mechanism or via static configuration.

When multiple ATM cells are encapsulated in the same PSN packet, the ATM specific byte MUST be repeated for each cell. This means that 51 bytes are used to encapsulate each 53 byte ATM cell.

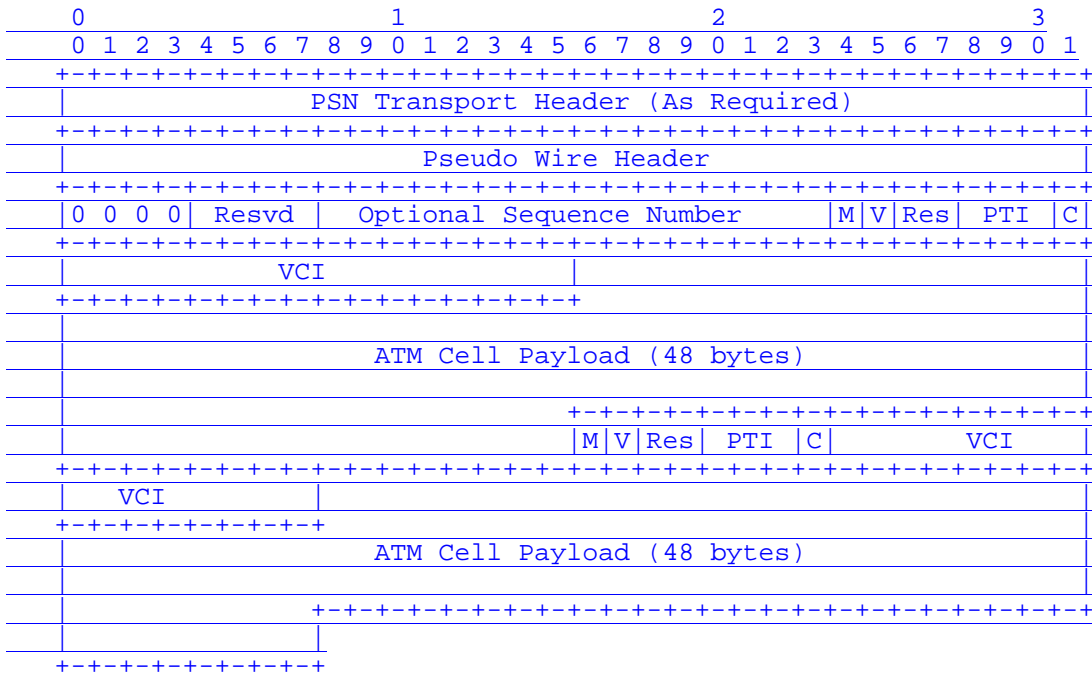


Figure 10: Multiple Cell VPC Encapsulation

SDU mode is intended to provide a means of enabling administrative functionality over the AAL5 VCC (though it does not endeavor to preserve user-cell and admin-cell

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arrival/transport ordering).

* E (EFCI) Bit

The ingress router, PE1, SHOULD set this bit to 1 if the EFCI bit of the final cell of those that transported the AAL5 CPCS-SDU is set to 1, or if the EFCI bit of the single ATM cell to be transported in the packet is set to 1. Otherwise this bit SHOULD be set to 0. The egress router, PE2, SHOULD set the EFCI bit of all cells that transport the AAL5 CPCS-SDU to the value contained in this field.

* C (CLP) Bit

The ingress router, PE1, SHOULD set this bit to 1 if the CLP bit of any of the ATM cells that transported the AAL5 CPCS-SDU is set to 1, or if the CLP bit of the single ATM cell to be transported in the packet is set to 1. Otherwise this bit SHOULD be set to 0. The egress router, PE2, SHOULD set the CLP bit of all cells that transport the AAL5 CPCS-SDU to the value contained in this field.

* U (Command / Response Field) Bit

When FRF.8.1 Frame Relay / ATM PVC Service Interworking [3] traffic is being transported, the CPCS-UU Least Significant Bit (LSB) of the AAL5 CPCS-PDU may contain the Frame Relay C/R bit. The ingress router, PE1, SHOULD copy this bit to the U bit of the control word. The egress router, PE2, SHOULD copy the U bit to the CPCS-UU Least Significant Bit (LSB) of the AAL5 CPCS PDU.

10. AAL5 PDU frame mode

The AAL5 payload PDU service is OPTIONAL.

10.1. Transparent AAL5 PDU Frame Encapsulation

In this mode, the ingress PE encapsulates the entire CPCS-PDU including the PAD and trailer.

This mode MAY support fragmentation in order to maintain OAM cell sequencing.

Like the ATM AAL5 payload VCC service, the AAL5 transparent VCC service is intended to be more efficient than the VCC cell transport service. However, the AAL5 transparent VCC service carries the entire

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AAL5 CPCS-PDU, including the PAD and trailer. Note that the AAL5 CPCS-PDU is not processed i.e. an AAL5 frame with an invalid CRC or length field will be transported. One reason for this is that there may be a security agent that has scrambled the ATM cell payloads that form the AAL5 CPCS-PDU.

This service supports all OAM cell flows by using a fragmentation procedure that ensures that OAM cells are not repositioned in respect to AAL5 composite cells.

The AAL5 transparent VCC service is OPTIONAL.

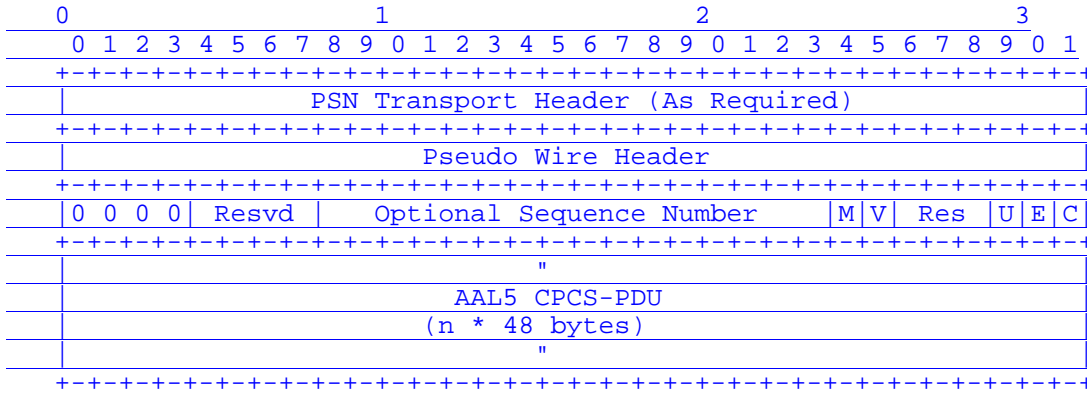


Figure 12: AAL5 transparent service encapsulation

The generic control word is inserted after the Pseudo Wire Header. The presence of the control word is MANDATORY.

The M, V, Res, and C bits are as defined earlier for VCC One-to-one cell mode.

* U Bit

This field indicates whether this frame contains the last cell of an AAL5 PDU and represents the value of the ATM User-to-User bit for the last ATM cell of the PSN frame. Note: The ATM User-to-User bit is the least significant bit of the PTI field in the ATM header. This field is used to support the fragmentation functionality described later in this section.

* E (EFCI) bit

This field is used to convey the EFCI state of the ATM cells. The EFCI state is indicated in the middle bit of each ATM cell's PTI

field.

ATM-to-PSN direction (ingress): The EFCI field of the control byte is set to the EFCI state of the last cell of the AAL5 PDU or AAL5 fragment.

PSN-to-ATM direction (egress): The EFCI state of all constituent cells of the AAL5 PDU or AAL5 fragment is set to the value of the

EFCI field in the control byte.

* C (CLP) bit

This field is used to convey the cell loss priority of the ATM cells.

ATM-to-PSN direction (ingress): The CLP field of the control byte is set to 1 if any of the constituent cells of the AAL5 PDU or AAL5 fragment has its CLP bit set to 1; otherwise this field is set to 0.

PSN-to-ATM direction (egress): The CLP bit of all constituent cells for an AAL5 PDU or AAL5 fragment is set to the value of the CLP field in the control byte. The payload consists of the re-assembled AAL5 CPCS-PDU, including the AAL5 padding and trailer or the AAL5 fragment.

10.2. Fragmentation

The ingress PE may not always be able to reassemble a full AAL5 frame. This may be due to the AAL5 PDU exceeding the Pseudo Wire MTU or when OAM cells arrive during reassembly of the AAL5 PDU. In these cases, the AAL5 PDU shall be fragmented. In addition, fragmentation may be desirable to bound ATM cell delay.

When fragmentation occurs, the procedures described in the following subsections shall be followed.

10.2.1. Procedures in the ATM-to-PSN Direction

The following procedures shall apply while fragmenting AAL5 PDUs:

- Fragmentation shall always occur at cell boundaries within the AAL5 PDU.

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- Set the UU bit to the value of the ATM User-to-User bit in the cell header of the most recently received ATM cell.
- The E and C bits of the fragment shall be set as defined earlier in section 9.
- If the arriving cell is an OAM or an RM cell, send the current PSN frame and then send the OAM or RM cell using One-to-one single cell encapsulation (VCC).

10.2.2. Procedures in the PSN-to-ATM Direction

The following procedures shall apply:

- The 3-bit PTI field of each ATM cell header is constructed as follows:
 - i. The most significant bit is set to 0, indicating a user data cell.
 - ii. The middle bit is set to the E bit value of the fragment.

-iii. The least significant bit for the last ATM cell in the PSN frame is set to the value of the UU bit of Figure 12.

-iv. The least significant PTI bit is set to 0 for all other cells in the PSN frame.

- The CLP bit of each ATM cell header is set to the value of the C bit of the control byte in Figure 12.

- When a fragment is received, each constituent ATM cell is sent in correct order.

11. Mapping of ATM and PSN Classes of Service

This section is informational.

When ATM PW service is configured over a PSN, the ATM service category of a connection SHOULD be mapped to a compatible class of service in the PSN network. A compatible class of service maintains the integrity of the service end to end. For example, the CBR service category SHOULD be mapped to a class of service with stringent loss and delay objectives. If the PSN implements the IP Diff-Serv framework, a class of service based on the EF PHB is a good candidate.

Furthermore, ATM service categories have support for multiple conformance definitions [5]. Some are CLP blind, e.g., CBR, meaning that the QoS objectives apply to the aggregate CLP0+1 conforming cell flow. Some are CLP significant, e.g., VBR.3, meaning that the QoS objectives apply to the CLP0 conforming cell flow only.

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When the PSN is MPLS based, a mapping between the CLP bit and the EXP field can be performed to provide visibility of the cell loss priority in the MPLS network. The actual value to be marked in the EXP field depends on the ATM service category, the ATM conformance definition, and the type of tunnel LSP used (E-LSP or L-LSP). The details of this mapping are outside the scope of this document. Operators have the flexibility to design a specific mapping which satisfies their own requirements.

In both the ATM-to-PSN and PSN-to-ATM directions, the method used to transfer the CLP and EFCI information of the individual cells into the ATM specific field, or flags, of the PW packet is described in details in sections 6 through 9 for each encapsulation mode.

12. Security Considerations

This document specifies only encapsulations, and not the protocols used to carry the encapsulated packets across the PSN. Each such protocol may have its own set of security issues, but those issues are not affected by the encapsulations specified herein. Note that the security of the transported ATM service will only be as good as the security of the PSN. This level of security might be less rigorous than a native ATM service.

13. Intellectual Property Disclaimer

This document is being submitted for use in IETF standards discussions.

14. References

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[4] ATM Forum Specification fb-fbatm-0151.000 (2000) ,Frame Based ATM over SONET/SDH Transport (FAST)

[5] ATM Forum Specification af-tm-0121.000 (1999), Traffic Management Specification Version 4.1.

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CHANGE REQUEST

⌘ **25.411 CR 013** ⌘ rev **3** ⌘ Current version: **6.0.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ Emulated Layer 1 for Rel-5 ATM-IP interworking		
Source:	⌘ RAN3		
Work item code:	⌘ ETRAN-IPtrans	Date:	⌘ 09/02/2004
Category:	⌘ A	Release:	⌘ Rel-5
	Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change:	⌘ A requirement is to ensure the co-existence between an ATM only UTRAN node and an IP capable UTRAN node that has no connectivity to ATM network. The same requirement applies between a CN node and a UTRAN node. IETF Pseudo Wire Emulation Edge to Edge (PWE3) tunneling has been recognised as a means to make ATM interface available for a dual stack IP UTRAN node interworking towards an ATM UTRAN node, and this must be specified. Note: TS 25.411 specifies both lu and lur since TS 25.421 has a cross-reference to TS 25.411.
Summary of change:	⌘ An emulated Layer 1 is introduced to allow a IP/ATM Dual Stack RNC to be connected through an lu (resp. lur) interface supporting ATM Transport option to an ATM-based CN (resp. RNC) via an IP transport network. IETF Pseudo Wire Emulation Edge to Edge (PWE3) is the specified emulated L1 to be used below ATM layer, carrying both user and control planes. <u>Impact Analysis</u> Impact assessment towards the previous version of the specification (same release): this CR has isolated impact on the previous version of the specification (same release) because only one function is impacted. This CR has an impact under the protocol point of view. The impact can be considered as isolated as it affects only the IP-ATM Interworking function.
Consequences if not approved:	⌘ If not approved, the IP-ATM interworking scenario with Dual Stack RNCs across an IP backbone would not be possible.

Clauses affected:	⌘ 2, 3, 4.2.3, new Annex A
--------------------------	----------------------------

Other specs affected:		Y	N		
	⌘		X	Other core specifications	⌘ CR 012 TS 25.411 v5.0.0
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘	As soon as the draft IETF "Encapsulation Methods for Transport of ATM Over IP and MPLS Networks" in the Annex A becomes an RFC, the reference to this RFC in reference section of TS 25.411 will replace it.			

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/>. For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] ITU-T Recommendation I.432.2 (8/96): "ISDN User-Network interfaces, Layer 1 Recommendations, 155 520 kbit/s and 622 080 kbit/s operation".
- [2] ITU-T Recommendation I.432.3 (8/96): "ISDN User-Network interfaces, Layer 1 Recommendations, 1544 kbit/s and 2048 kbit/s operation".
- [3] ITU-T Recommendation G.703 (10/98): "Physical/electrical characteristics of hierarchical digital interfaces".
- [4] ITU-T Recommendation G.704 (10/98): "Synchronous frame structures used at 1544, 6312, 2048, 8448 and 44 736 kbit/s hierarchical levels".
- [5] ITU-T Recommendation G.957 (7/95): "Optical interfaces for equipments and systems relating to the synchronous digital hierarchy".
- [6] ITU-T Recommendation I.432.1 (8/96): "ISDN User-Network interfaces, Layer 1 Recommendations, General characteristics".
- [7] ITU-T Recommendation G.823 (3/93): "The control of jitter and wander within digital networks which are based on the 2048 kbit/s hierarchy".
- [8] ITU-T Recommendation G.824 (3/93): "The control of jitter and wander within digital networks which are based on the 1544 kbit/s hierarchy".
- [9] ITU-T Recommendation G.825 (3/93): "The control of jitter and wander within digital networks which are based on the synchronous digital hierarchy (SDH)".
- [10] ITU-T Recommendation G.826 (8/96): "Error performance parameters and objectives for international, constant bit rate digital paths at or above the primary rate".
- [11] ITU-T Recommendation I.361 (11/95): "B-ISDN ATM layer specification".
- [12] ATM Forum AF-PHY-0016.000 (9/94): "DS1 Physical Layer Specification".
- [13] ATM Forum AF-PHY-0064.000 (9/96): "E1 Physical Layer Interface Specification".
- [14] ATM Forum AF-PHY-0086.001 (2/99): "Inverse Multiplexing for ATM (IMA) Specification Version 1.1".
- [15] ITU-T Recommendation G.751 (11/88): "Digital multiplex equipments operating at the third order bit rate of 34 368 kbit/s and the fourth order bit rate of 139 264 kbit/s and using positive justification".
- [16] ITU-T Recommendation G.811 (2/97): "Timing Characteristics of Primary Reference Clocks".
- [17] [3GPP TR25.933 "IP Transport in UTRAN" \(Rel-5\)](#)

3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

ATM	Asynchronous Transfer Mode
BER	Bit Error Rate
IMA	Inverse Multiplexing on ATM
IP	Internet Protocol
PDH	Plesiochronous Digital Hierarchy
PMD	Physical Media Dependent
PWE3	Pseudo Wire Emulation Edge to Edge
SDH	Synchronous Digital Hierarchy
SDU	Service Data Unit
TC	Transmission Convergence

4 I_u Layer 1

4.1 Introduction

The main functions of Layer 1 are summarised in the following:

- Interface to physical medium;
- [ATM-Cell delineation];
- Line clock extraction capability;
- Layer 1 alarms extraction and generation;
- In-sequence delivery;
- Transmission quality control.

4.2 Layer 1 Description

4.2.1 Layer 1 Synchronised

When the Layer 1 Synchronised option is used (i.e. PDH/SDH/SONET links), the following requirements shall be met:

Layer 1 reference configuration shall be according to ITU-T Recommendation I.432.1 [6].

The physical layer is divided into:

- Physical Media Dependent (PMD) sublayer;
- Transmission Convergence (TC) sublayer defined according to ITU-T Recommendation I.432.1 [6].

The PMD shall comply with at least one of the following standards:

- ETSI STM-4 (622 Mb/s) interface according to I.432.2 [1] with optical S-1.1 interface according to G.957 [5].
- SONET STS-12c (622 Mb/s) interface according to ANSI, T1.105-1995 with optical multimode.
- SONET STS-3c (155 Mb/s) interface according to ANSI, T1.105-1995 with optical multimode.
- ETSI STM-1 (155 Mb/s) interface according to I.432.2 [1] with electrical interface (CMI) to G.703 [3].
- ETSI STM-1 (155 Mb/s) interface according to I.432.2 [1] with optical S-1.1 interface according to G.957 [5].
- ITU STS-1 (51 Mb/s) interface according to ANSI, T1.105-1995 with electrical interface.

- ITU STM-0 (51 Mb/s) interface according to ETSI/TTC with electrical interface.
- ITU STM-0 (51 Mb/s) interface according to ETSI/TTC with optical S-1.1 interface according G.957 [5].
- J2, 6.3 Mb/s interface according to Japanese standard JT-G.703 [3] and JT-G.704 [4] (75 Ohm).

NOTE: J2 requires that the ATM cells be mapped into the physical layer according to HEC based mapping in G.804.

- E2, 8Mb/s according to ETSI/ITU G.703 [3] and G.704 [4] (75 Ohm).
- E3, 34 Mb/s interface according to ETSI/ITU G.751 [15] (75 Ohm).
- T3, 45 Mb/s interface according to ANSI/ITU G.703 [3] and G.704 [4] (75 Ohm).
- E1, 2Mb/s interface balanced 120 Ohm symmetrical according to ETS 300 420, ITU-T G.704 [4] and TBR 013 (G.703) [3], and AF-PHY-0064.000 [13]
- E1, 2Mb/s according to ETSI/ITU G.703 [3] and G.704 [4] (75 Ohm), and AF-PHY-0064.000 [13].
- J1, 1.5 Mb/s interface according to Jt-431-a (100 Ohm).
- J1, 1.5 Mb/s interface according to JT-G.703 [3] and JT-G.704 [4] (110 Ohm).
- T1, 1.5 Mb/s interface according to AF-PHY-0016.000 [10] and ANSI/ITU G.703 [3] and G.704 [4] (100 Ohm).

Services provided to the upper layer shall be independent from the used underlying technology.

The support of intervening transport networks - like PDH or SDH terrestrial links, Point-to-point or Point-to-Multipoint radio links - shall not be prevented.

When using E1, T1, or J1, it shall be possible to use inverse multiplexing of ATM (IMA) [14] within suitable subsets of the physical ports on the respective Exchange Termination (ET).

The jitter and wander performance requirements on the interface shall be in accordance with either Reference [7], [8] or [9], whichever is applicable.

The synchronisation reference extracted from the I_u may be used as UTRAN synchronisation reference. A general recommendation is to supply a traceable synchronisation reference according to reference [16].

Transmission quality control shall be provided according to ITU-T Recommendation G.826 [10].

4.2.2 [IP – Layer 1 Unsynchronised]

When Layer 1 unsynchronised option is used, the following requirements shall be met:

The support of any suitable physical layer - like Ethernet L1 or other suitable point-to-point or point-to-multipoint techniques shall not be prevented.

4.2.3 [IP–ATM Interworking – Layer 1 Emulation]

[Pseudo Wire Emulation Edge to Edge \(PWE3\) \[Annex A\] may be used in an IP/ATM dual stack node for making the ATM interface available in the IP transport network.](#)

[Neither AAL5 SDU Frame Mode nor AAL5 PDU Frame Mode shall be used.](#)

[Further guidelines on the application of PWE3 for IP-ATM interworking can be found in \[17\].](#)

Annex A (normative)

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December 2003

Encapsulation Methods for Transport of ATM Over IP and MPLS Networks

draft-ietf-pwe3-atm-encap-04.txt

Status of this Memo

This document is an Internet-Draft and is in full conformance with all provisions of Section 10 of RFC2026.

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Abstract

A framework for providing various Layer 1 and Layer 2 services over a Packet Switched Network has been described in [3]. This draft provides encapsulation formats and guidelines for transporting a variety of ATM services over a PSN.

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1. Specification of Requirements

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119

2. Introduction

Packet Switched Networks (PSNs) have the potential to reduce the complexity of a service providers infrastructure by allowing virtually any existing digital service to be supported over a single networking infrastructure. The benefit of this model to a service provider is threefold:

- Leveraging of the existing systems and services to provide increased capacity from a packet switched core.

- Preserving existing network operational processes and procedures used to maintain the legacy services.
- Using the common packet switched network infrastructure to support both the core capacity requirements of existing services and the requirements of new services supported natively over the packet switched network.

This document describes a method to carry ATM services over L2TP and MPLS. It lists ATM specific requirements and provides encapsulation formats and semantics for connecting ATM edge networks through a core packet network using L2TP or MPLS. The techniques described in this draft will allow ATM service providers to take advantage of new technologies in the core in order to provide ATM multi-services.

Figure 1, below displays the ATM services reference model. This model is adapted from [3].

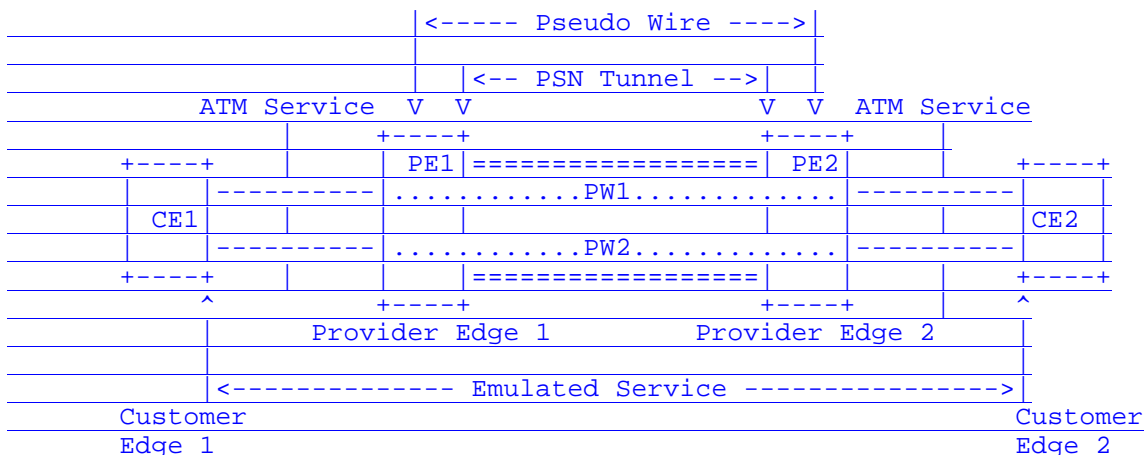


Figure 1: ATM Service Reference Model

QoS related issues are not discussed in this draft. This draft describes two methods of ATM cell encapsulation, One-to-one mode and N-to-one mode. This draft describes two methods of AAL5 encapsulation, PDU mode and SDU mode.

3. Terminology

One-to-one mode: The One-to-one mode specifies an encapsulation method which maps one ATM VCC (or one ATM VPC) to one Pseudo Wire.

N-to-one mode (N >= 1): The N-to-one mode specifies an encapsulation method which maps one or more ATM VCCs (or one or more ATM VPCs) to one Pseudo Wire.

Packet Switched Network - A Packet Switched Network (PSN) is an IP or MPLS network.

Pseudo Wire Emulation Edge to Edge - Pseudo Wire Emulation Edge to Edge (PWE3) is a mechanism that emulates the essential attributes of a service (such as a T1 leased line or Frame Relay) over a PSN.

Customer Edge - A Customer Edge (CE) is A device where one end of a service originates and/or terminates. The CE is not aware that it is using an emulated service rather than a native service.

Provider Edge - A Provider Edge (PE) is a device that provides PWE3 to a CE.

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Pseudo Wire - A Pseudo Wire (PW) is a connection between two PEs carried over a PSN. The PE provides the adaptation between the CE and the PW.

Pseudo Wire PDU - A Pseudo Wire PDU is a PDU sent on the PW that contains all of the data and control information necessary to provide the desired service.

PSN Tunnel - A PSN Tunnel is a tunnel inside which multiple PWs can be nested so that they are transparent to core PSN devices.

PSN Bound - The traffic direction where information from a CE is adapted to a PW, and PW-PDUs are sent into the PSN.

CE Bound - The traffic direction where PW-PDUs are received on a PW from the PSN, re-converted back in the emulated service, and sent out to a CE.

Ingress - The point where the ATM service is encapsulated into a Pseudo Wire PDU (ATM to PSN direction.)

Egress - The point where the ATM service is decapsulated from a Pseudo Wire PDU (PSN to ATM direction.)

CTD - Cell Transfer Delay

MTU - Maximum Transmission Unit

OAM - Operations And Maintenance.

PVC - Permanent Virtual Connection. An ATM connection that is provisioned via a network management interface. The connection is not signaled.

VCC Virtual Circuit Connection. An ATM connection that is switched based on the cell header's VCI.

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4.3. The Control Word

There are four requirements that may need to be satisfied when transporting layer 2 protocols over an IP or MPLS backbone [8]:

- i. Sequentiality may need to be preserved.
- ii. Small packets may need to be padded in order to be transmitted on a medium where the minimum transport unit is larger than the actual packet size.
- iii. Control bits carried in the header of the layer 2 frame may need to be transported.
- iv. To allow accurate packet inspection in an MPLS PSN, and/or to operate correctly over MPLS PSNs that have deployed equal-cost multiple-path load-balancing, a PW packet MUST NOT alias an IP packet.

The PWE3 architecture document describes a generic control word and a preferred control word. This document makes use of both of these control words depending on the encapsulation mode. Both of these control words addresses all of the above requirements.

For some encapsulation modes, the control word is REQUIRED, and for others OPTIONAL. Where the control word is OPTIONAL implementations MUST support sending no control word, and MAY support sending a control word.

In all cases the egress router must be aware of whether the ingress router will send a control word over a specific pseudo wire. This may be achieved by configuration of the routers, or by signaling, for example as defined in [1].

If the Pseudo Wire traverses a network link that requires a minimum frame size such as Ethernet as a practical example, with a minimum frame size of 64 octets, then such links will apply padding to the Pseudo Wire PDU to reach its minimum frame size. In this case the control word must include a length field set to the PDU length. A mechanism is required for the egress PE to detect and remove such padding.

4.3.1. The Generic Control Word

This control word is used in the following encapsulation modes:

- ATM 1 to 1 Cell Mode

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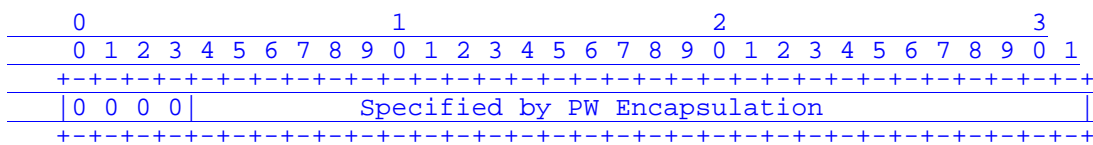
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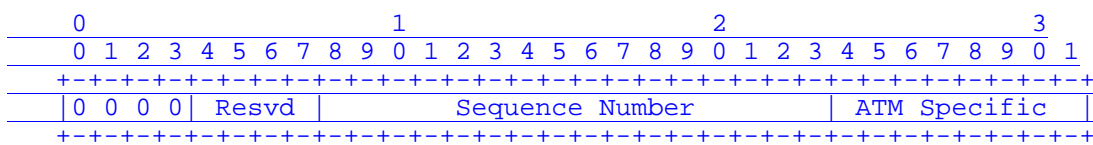
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- AAL5 PDU Frame Mode

The PWE3 architecture document [8] provides the following structure for the generic control word:



The detailed structure for the ATM 1 to 1 Cell Mode and for the AAL5 PDU Frame Mode is as follows:



In the above diagram the first 4 bits MUST be set to 0 when transmitting in order to satisfy the IP aliasing requirement (iv) in Section 4.1. They MUST be ignored by the receiving PE.

The next four bits are reserved and MUST be set to 0 upon transmission and ignored upon reception.

The next 16 bits provide a sequence number that can be used to guarantee ordered packet delivery. The processing of the sequence number field is OPTIONAL.

The sequence number space is a 16 bit, unsigned circular space. The sequence number value 0 is used to indicate that the sequence number check algorithm is not used.

The last 8 bits provide space for carrying ATM specific flags. These are defined in the protocol-specific details below.

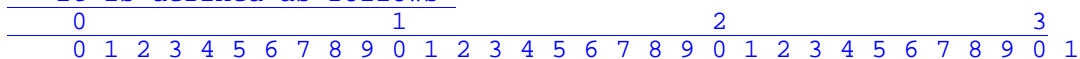
There is no requirement for a length field for the One-to-one cell and PDU Frame modes because the PSN PDU is always greater than 64 bytes and so no padding is applied in Ethernet links in the PSN.

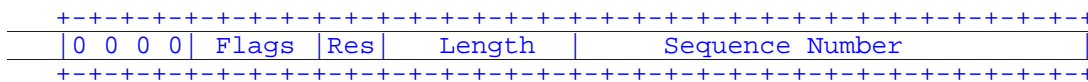
4.3.2. The Preferred Control Word

This control word is used in the following encapsulation modes:

- ATM N to 1 Cell Mode
- AAL5 SDU Frame Mode

It is defined as follows:





In the above diagram, from left to right, the first 4 bits MUST be set to 0 when transmitting in order to satisfy the IP aliasing requirement (iv) in Section 4.1. They MUST be ignored by the receiving PE.

The next 4 bits provide space for carrying protocol specific flags. These are defined in the protocol-specific details below.

The next 6 bits provide a length field, which is used as follows: If the packet's length (defined as the length of the layer 2 payload plus the length of the control word) is less than 64 bytes, the length field MUST be set to the packet's length. Otherwise the length field MUST be set to zero. The value of the length field, if non-zero, can be used to remove any padding. When the packet reaches the service provider's egress router, it may be desirable to remove the padding before forwarding the packet. Note that the length field is not used in the N-to-1 mode, and MUST be set to 0.

The last 16 bits provide a sequence number that can be used to guarantee ordered packet delivery. The processing of the sequence number field is OPTIONAL.

The sequence number space is a 16 bit, unsigned circular space. The sequence number value 0 is used to indicate that the sequence number check algorithm is not used.

4.3.3. Setting the sequence number field in the control word

This section applies to the sequence number field of both the Generic and Preferred Control Words.

For a given emulated VC, and a pair of routers PE1 and PE2, if PE1 supports packet sequencing then the following procedures should be

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used:

- the initial packet transmitted on the emulated VC MUST use sequence number 1
- subsequent packets MUST increment the sequence number by one for each packet
- when the transmit sequence number reaches the maximum 16 bit value (65535) the sequence number MUST wrap to 1

If the transmitting router PE1 does not support sequence number processing, then the sequence number field in the control word MUST be set to 0.

4.3.4. Processing the sequence number field in the control word

This section applies to the sequence number field of both the Generic

and Preferred Control Words.

If a router PE2 supports receive sequence number processing, then the following procedures should be used:

When an emulated VC is initially set up, the "expected sequence number" associated with it MUST be initialized to 1.

When a packet is received on that emulated VC, the sequence number should be processed as follows:

- if the sequence number on the packet is 0, then the packet passes the sequence number check.
- otherwise if the packet sequence number \geq the expected sequence number and the packet sequence number - the expected sequence number $<$ 32768, then the packet is in order.
- otherwise if the packet sequence number $<$ the expected sequence number and the expected sequence number - the packet sequence number \geq 32768, then the packet is in order.
- otherwise the packet is out of order.

If a packet is in order then, it can be delivered immediately. If the packet is in order, then the expected sequence number MUST be set using the algorithm:

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expected_sequence_number := packet_sequence_number + 1 mod $2^{*}16$
if (expected_sequence_number = 0) then expected_sequence_number:= 1;

Packets which are received out of order MAY be dropped or reordered at the discretion of the receiver.

A simple extension of the above processing algorithm can be used to detect lost packets.

If a router PE2 does not support receive sequence number processing, then the sequence number field MAY be ignored.

4.4. MTU Requirements

The network MUST be configured with an MTU that is sufficient to transport the largest encapsulation frames. If MPLS is used as the tunneling protocol, for example, this is likely to be 12 or more bytes greater than the largest frame size. Other tunneling protocols may have longer headers and require larger MTUs. If the ingress router determines that an encapsulated layer 2 PDU exceeds the MTU of the tunnel through which it must be sent, the PDU MUST be dropped. If an egress router receives an encapsulated layer 2 PDU whose payload length (i.e., the length of the PDU itself without any of the encapsulation headers), exceeds the MTU of the destination layer 2 interface, the PDU MUST be dropped.

5. Applicability

This Draft defines two methods for encapsulation of ATM cells, namely, One-to-one mode and N-to-one mode.

The N-to-one mode ($N \geq 1$) specifies an encapsulation method that maps one or more ATM VCCs (or one or more ATM VPCs) to one Pseudo-Wire. This is the only REQUIRED mode. One format is used for both the VCC or VPC mapping to the tunnel. The 4-octet ATM header is unaltered in the encapsulation, thus the VPI/VCI is always present. Cells from one or more VCCs (or one or more VPCs) may be concatenated.

The One-to-one mode specifies an encapsulation method that maps one ATM VCC or one ATM VPC to one Pseudo-Wire. For VCCs, the VPI/VCI is not included. For VPCs, the VPI is not included. Cells from one VCC or one VPC may be concatenated. This mode is OPTIONAL.

Furthermore different OPTIONAL encapsulations are supported for ATM AAL5 transport: one for ATM AAL5 SDUs, and another for ATM AAL5 PDUs.

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Three deployment models are supported by the encapsulations described in this document:

- i. Single ATM Connection: A PW carries the cells of only one ATM VCC or VPC. This supports both the transport of multiservice ATM and L2VPN service over a PSN for all AAL types.
- ii. Multiple ATM Connections: A PW carries the cells of multiple ATM VCCs and / or VPCs . This also supports both the transport of multiservice ATM and L2VPN service over a PSN for all AAL type.
- iii. AAL5: PW carries the AAL5 frames of only one ATM VCC. A large proportion of the data carried on ATM networks is frame based and therefore uses AAL5. The AAL5 mapping takes advantage of the delineation of higher layer frames in the ATM layer to provide increased bandwidth efficiency compared with the basic cell mapping. The nature of the service, as defined by the ATM service category [5] or the ATM transfer capability [6] should be preserved.

There are currently no OAM mechanisms defined for the PSN like those defined for ATM. Therefore the methods for the detection/consequences of failures in the PSN are not specified. This also means that QoS/availability metrics cannot be specified for the PSN.

5.1. ATM N to 1 Cell Mode

This encapsulation supports both the Single and Multiple ATM Connection deployment models. This encapsulation is REQUIRED.

The encapsulation allows multiple VCCs/VPCs to be carried within a single pseudo wire. However, a service provider may wish to provision a single VCC to a pseudo wire in order to satisfy QoS or restoration requirements.

The encapsulation also supports the binding of multiple VCCs/VPCs to a single Pseudo Wire. This capability is useful in order to make more efficient use of the PW demultiplexing header space as well as to ease provisioning of the VCC/VPC services.

In the simplest case, this encapsulation can be used to transmit a single ATM cell per PSN PDU. However, in order to provide better PSN bandwidth efficiency, several ATM cells may optionally be encapsulated in a single PSN PDU. This process is called cell concatenation.

The encapsulation has the following attributes:

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- i. Supports all ATM Adaptation Layers Types.
- ii. Non-terminating OAM/Admin cells are transported among the user cells in the same order as they are received. This requirement enables the use of various performance management and security applications.
- iii. In order to gain transport efficiency on the PSN, multiple cells may be encapsulated in a single PW PDU. This process is called cell concatenation. How many cells to insert or how long to wait for cell arrival before sending a PW PDU is an implementation decision. Cell concatenation adds latency and delay variation to a cell relay service.
- iv. The CLP bit from each cell may be mapped to a corresponding marking on the PW PDU. This allows the drop precedence to be preserved across the PSN.
- v. If the Single ATM connection deployment model is used, then it is simpler to provide an ATM layer service. The nature of the service, as defined by the ATM service category [5] or ATM transfer capability [6], should be preserved.

The limitations of the ATM N-to-one cell encapsulation are:

- vi. There is no currently defined method to translate the forward congestion indication (EFCI) to a corresponding function in the PSN. Nor is there a way to translate PSN congestion to the EFCI upon transmission by the egress PE.
- vii. The ATM cell header checksum can detect a 2-bit error or detect and correct a single bit error in the cell header. Analogous functionality does not exist in most PSNs. A single bit error in a PW PDU will most likely cause the packet to be dropped due to a L2 FCS failure.
- viii. Cells can be concatenated from multiple VCCs or VPCs belonging to different service categories and qos requirements. In this case the PSN packet must receive treatment by the PSN to support the highest QoS of the ATM VCCs/VPCs carried.
- ix. Cell encapsulation only supports point-to-point LSPs. Multipoint-to-point and point-to-multi-point are for further study (FFS).
- x. The number of concatenated ATM cells is limited by the MTU size and the cell transfer delay (CTD) and cell delay variation (CDV) objectives of multiple ATM connections that are multiplexed into a single PW.

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5.2. ATM One-to-One Cell Encapsulation

This OPTIONAL encapsulation supports the Single ATM Connection deployment model.

Like the N to one cell encapsulation mode, the One-to-one mode supports cell concatenation. The advantage of this encapsulation is that it utilizes less bandwidth than the N-to-one encapsulation, for a given number of concatenated cells. Since only one ATM VCC or VPC is carried on a PW, the VCI and/or VPI of the ATM VCC or VPC can be derived from the context of the PW using the PW label. These fields therefore do not need to be encapsulated for a VCC, and only the VCI needs to be encapsulated for a VPC. This encapsulation thus allows service providers to achieve a higher bandwidth efficiency on PSN links than the N-to-one encapsulation for a given number of concatenated cells.

The limitations vi,vii,ix,x of N to one mode apply.

5.3. AAL5 SDU Frame Encapsulation

This OPTIONAL encapsulation supports the AAL5 model.

The AAL5 SDU encapsulation is more efficient for small AAL5 SDUs than the VCC cell encapsulations. In turn it presents a more efficient alternative to the cell relay service when carrying RFC 2684 encapsulated IP PDUs across a PSN.

The AAL5-SDU encapsulation requires Segmentation and Reassembly on the PE-CE ATM interface. This SAR function is provided by common off-the-shelf hardware components. Once reassembled, the AAL5-SDU is carried via a Pseudo Wire to the egress PE. Herein lies another advantage of the AAL5-SDU encapsulation.

The limitations of the AAL5 SDU encapsulation are:

- i. If an ATM OAM cell is received at the ingress PE, it is sent before the cells of the surrounding AAL5 frame. Therefore, OAM cell reordering may occur, which may cause certain ATM OAM performance monitoring and ATM security applications to operate incorrectly.
- ii. If the AAL5 PDU is scrambled using ATM security standards, a PE will not be able to extract the AAL5 SDU and therefore the whole PDU will be dropped.
- iii. The AAL5 PDU CRC is not transported across the PSN. The CRC must therefore be regenerated at the egress PE. Since the CRC has end-to-end significance in ATM security. This means that the AAL5 CRC may not be used to accurately check for

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errors on the end-to-end ATM VCC.

- iv. The Length of AAL5 frame may exceed the MTU of the PSN. This

requires fragmentation, which may not be available to all nodes at the PW endpoint.

- v. This mode does not preserve the value of the CLP bit for every ATM cell within an AAL5 PDU. Therefore, transparency of the CLP setting may be violated. Additionally, tagging of some cells may occur when tagging is not allowed by the conformance definition [5].
- vi. This mode does not preserve the EFCI state for every ATM cell within an AAL5 PDU. Therefore, transparency of the EFCI state may be violated.

5.4. AAL5 PDU Frame Encapsulation

This OPTIONAL encapsulation supports the AAL5 model.

The primary application supported by AAL5 PDU frame encapsulation over PSN is the transparent carriage of ATM layer services that use AAL5 to carry higher layer frames. The main advantage of this AAL5 mode is that it is transparent to ATM OAM and ATM security applications.

One important consideration is to allow OAM information to be treated as in the original network. This encapsulation mode allows this transparency while performing AAL5 frame encapsulation. This mode supports fragmentation, which may be performed in order to maintain the position of the OAM cells with respect to the user cells.

Fragmentation may also be performed to maintain the size of the packet carrying the AAL5 PDU within the MTU of the link.

Fragmentation provides a means for the PE to set the size of the PW packet to a different value than that of the original AAL5 PDU. This means that the PE has control on the delay and jitter provided to the ATM cells.

The whole AAL5-PDU is encapsulated. In this case all necessary parameters such as CPCS-UU (CPCS User-to-User indicator), CPI (Common Part Indicator), Length (Length of the CPCS-SDU) and CRC (Cyclic Redundancy Check) are transported as part of the payload. Note that carrying of the full PDU also allows the simplification of the fragmentation operation since it is performed at cell boundaries and the CRC in the trailer of the AAL5 PDU can be used to check the integrity of the PDU.

Reassembly is not required at the egress PE for the PSN-to-ATM direction.

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The limitations v and vi of the AAL5 SDU mode apply to this mode as well.

6. ATM OAM Cell Support

6.1. VCC Case

In general when configured for ATM VCC service, both PEs SHOULD act as a VC switch, in accordance with the OAM procedures defined in [7].

The PEs SHOULD be able to pass the following OAM cells transparently:

- F5 AIS (segment and end-to-end)
- F5 RDI (segment and end-to-end)
- F5 loopback (segment and end-to-end)
- Resource Management
- Performance Management
- Continuity Check
- Security

F4 OAM cells are inserted or extracted at the VP link termination. These OAM cells are not seen at the VC link termination and are therefore not sent across the PSN.

6.2. VPC Case

When configured for a VPC cell relay service, both PEs SHOULD act as a VP cross-connect in accordance with the OAM procedures defined in [7].

The PEs SHOULD be able to process and pass the following OAM cells transparently according to [7]:

- F4 AIS (segment and end-to-end)
- F4 RDI (segment and end-to-end)
- F4 loopback (segment and end-to-end)

F5 OAM are not inserted or extracted here. The PEs MUST be able to pass the following OAM cells transparently: F5 AIS (segment and end-to-end)

- F5 RDI (segment and end-to-end)
- F5 loopback (segment and end-to-end)
- Resource Management
- Performance Management
- Continuity Check

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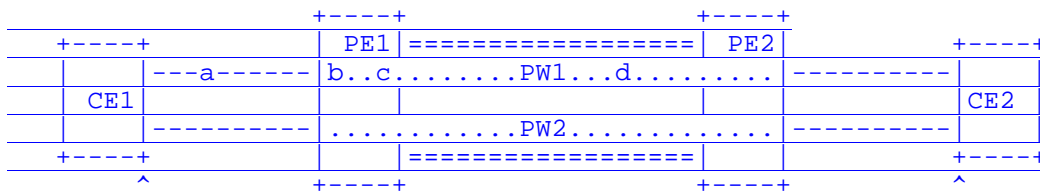
- Security

The OAM cell MAY be encapsulated together with other user data cells if multiple cell encapsulation is used.

6.3. Defect Handling

Figure 3 illustrates four possible locations for defects on the PWE3 service:

- (a) On the ATM connection from CE to PE
- (b) On the ATM side of the PW
- (c) On the PSN side of the PE
- (d) In the PSN



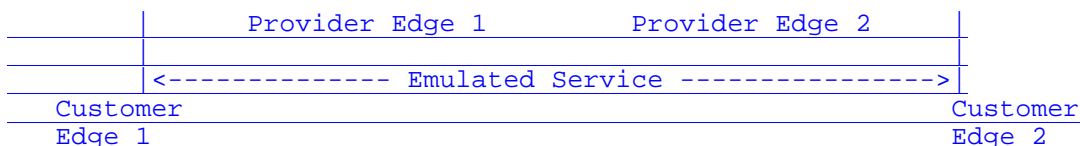


Figure 3: Defect Locations

For failures at (a) or (b) in the VPC case the ingress PE MUST be able to generate an F4 AIS upon reception of a lower layer defect (such as LOS). In the VCC case, the ingress PE SHOULD be able to generate an F5 AIS upon reception of a corresponding F4 AIS or lower layer defect (such as LOS). These messages are sent across the PSN.

For failures at (c) or (d), in the VCC case the egress PE SHOULD be able to generate an F5 AIS based on a PSN failure (such as a PSN tunnel failure or LOS on the PSN port). In the VPC case, the egress PE SHOULD be able to generate an F4 AIS based on a PSN failure (such as a PSN tunnel failure or LOS on the PSN port).

If the ingress PE cannot support the generation of OAM cells, it MAY notify the egress PE using a Pseudo Wire specific maintenance mechanism such as the PW status message defined in [1]. Alternatively, for example, the ingress PE MAY withdraw the Pseudo Wire (VC label) associated with the service. Upon receiving such a notification, the egress PE SHOULD generate the appropriate F4 AIS

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(for VPC) or F5 AIS (for VCC).

If the ingress PE cannot support the generation of OAM cells, it MAY notify the egress PE using a Pseudo Wire specific maintenance mechanism such as the PW status message defined in [1]. Alternatively, for example, the ingress PE MAY withdraw the Pseudo Wire (VC label) associated with the service. Upon receiving such a notification, the egress PE SHOULD generate the appropriate F5 AIS.

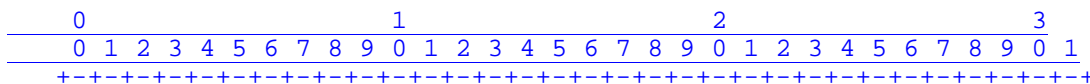
If the PW in one direction fails, then the complete bidirectional service is considered to have failed.

7. ATM N-to-one Cell Mode

The N-to-one mode (N >= 1) described in this Draft allows a service provider to offer an ATM PVC or SVC based service across a network. The encapsulation allows multiple ATM VCCs or VPCs to be carried within a single PSN tunnel. A service provider may also use N-to-one mode to provision either one VCC or one VPC on a tunnel. This section defines the VCC and VPC cell relay services over a PSN and their applicability.

7.1. ATM N-to-one Service Encapsulation

This section describes the general encapsulation format for ATM over PSN pseudo wires.



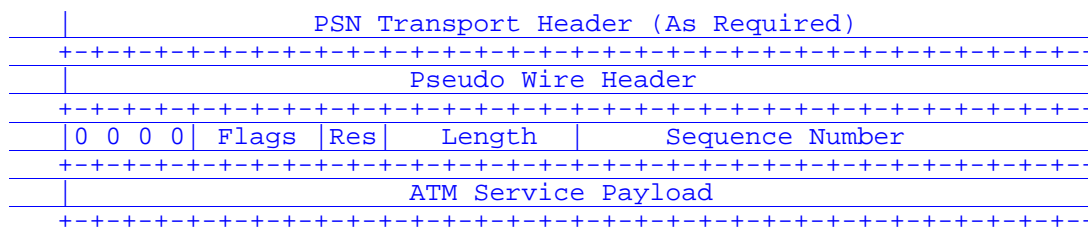


Figure 4: General format for ATM encapsulation over PSNs

The PSN Transport Header depends on the particular tunneling technology in use (L2TP or MPLS). This header is used to transport the encapsulated ATM information through the packet switched core.

The Pseudo Wire Header identifies a particular ATM service on a

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tunnel. Non-ATM services may also be carried on the PSN tunnel.

The ATM Control Word is inserted before the ATM service payload. It may contain a length and sequence number in addition to certain control bits needed to carry the service.

The ATM Service Payload is specific to the service being offered via the Pseudo Wire. It is defined in the following sections.

In this encapsulation mode ATM cells are transported individually. The encapsulation of a single ATM cell is the only REQUIRED encapsulation for ATM. The encapsulation of more than one ATM cell in a PSN frame is OPTIONAL.

The ATM cell encapsulation consists of an OPTIONAL control word, and one or more ATM cells - each consisting of a 4 byte ATM cell header and the 48 byte ATM cell payload. This ATM cell header is defined as in the FAST encapsulation [4] section 3.1.1, but without the trailer byte. The length of each frame, without the encapsulation headers, is a multiple of 52 bytes long. The maximum number of ATM cells that can be fitted in a frame, in this fashion, is limited only by the network MTU and by the ability of the egress router to process them. The ingress router MUST NOT send more cells than the egress router is willing to receive. The number of cells that the egress router is willing to receive may either be configured in the ingress router or may be signaled, for example using the methods described in [1]. The number of cells encapsulated in a particular frame can be inferred by the frame length. The control word is OPTIONAL. If the control word is used then the flag, and length bits in the control word are not used, and MUST be set to 0 when transmitting, and MUST be ignored upon receipt.

The EFCI and CLP bits are carried across the network in the ATM cell header. The edge routers that implement this document MAY, when either adding or removing the encapsulation described herein, change the EFCI bit from zero to one in order to reflect congestion in the network that is known to the edge router, and the CLP bit from zero to one to reflect marking from edge policing of the ATM Sustained Cell Rate. The EFCI and CLP bits SHOULD NOT be changed from one to zero.

This diagram illustrates an encapsulation of two ATM cells:

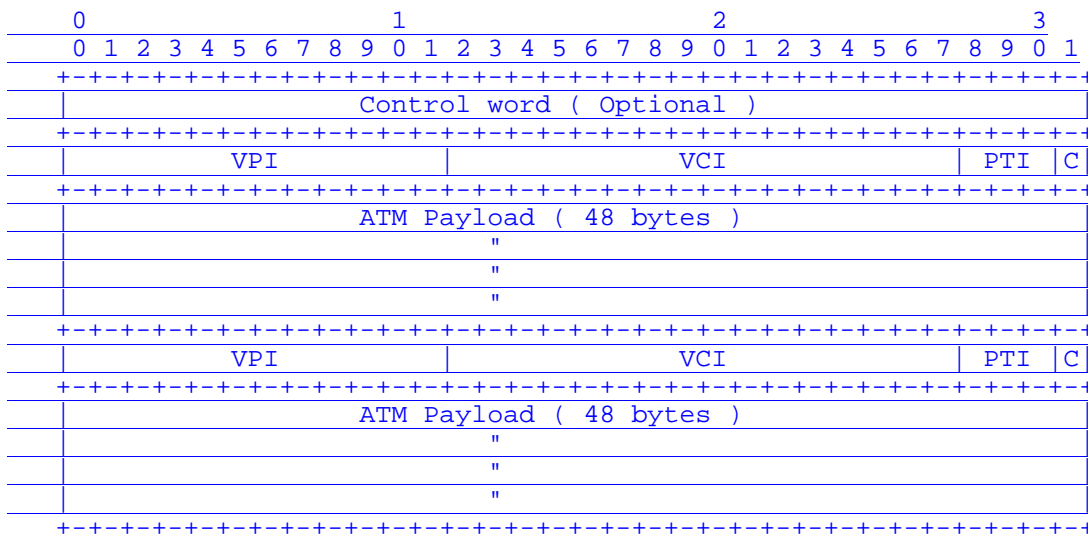


Figure 5: Multiple Cell ATM Encapsulation

* When multiple VCCs or VPCs are transported in one pseudo-wire VPI/VCI values MUST be unique. When the multiple VCCs or VPCs, are from different a physical transmission path it may be necessary to assign unique VPI/VCI values to the ATM connections. If they are from the same physical transmission path, the VPI/VCI values are unique.

* VPI

The ingress router MUST copy the VPI field from the incoming cell into this field. For particular emulated VCs, the egress router MAY generate a new VPI and ignore the VPI contained in this field.

* VCI

The ingress router MUST copy the VCI field from the incoming ATM cell header into this field. For particular emulated VCs, the egress router MAY generate a new VCI.

* PTI & CLP (C bit)

The PTI and CLP fields are the PTI and CLP fields of the incoming ATM cells. The cell headers of the cells within the packet are the ATM headers (without HEC) of the incoming cell.

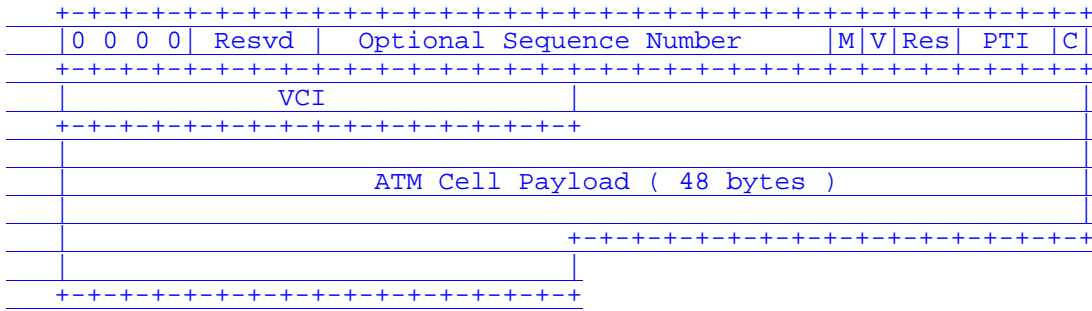


Figure 9: Single Cell VPC Encapsulation

The ATM control byte contains the same information as in the VCC encapsulation except for the VCI field.

* VCI Bits

The 16-bit Virtual Circuit Identifier (VCI) incorporates ATM Layer VCI value of the cell.

For increased transport efficiency, the ingress PE SHOULD be able to

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encapsulate multiple ATM cells into a Pseudo Wire PDU. The ingress and egress PE SHOULD agree to a maximum number of cells in a single Pseudo Wire PDU. This agreement may be accomplished via a Pseudo Wire specific signaling mechanism or via static configuration.

When multiple ATM cells are encapsulated in the same PSN packet, the ATM specific byte MUST be repeated for each cell. This means that 51 bytes are used to encapsulate each 53 byte ATM cell.

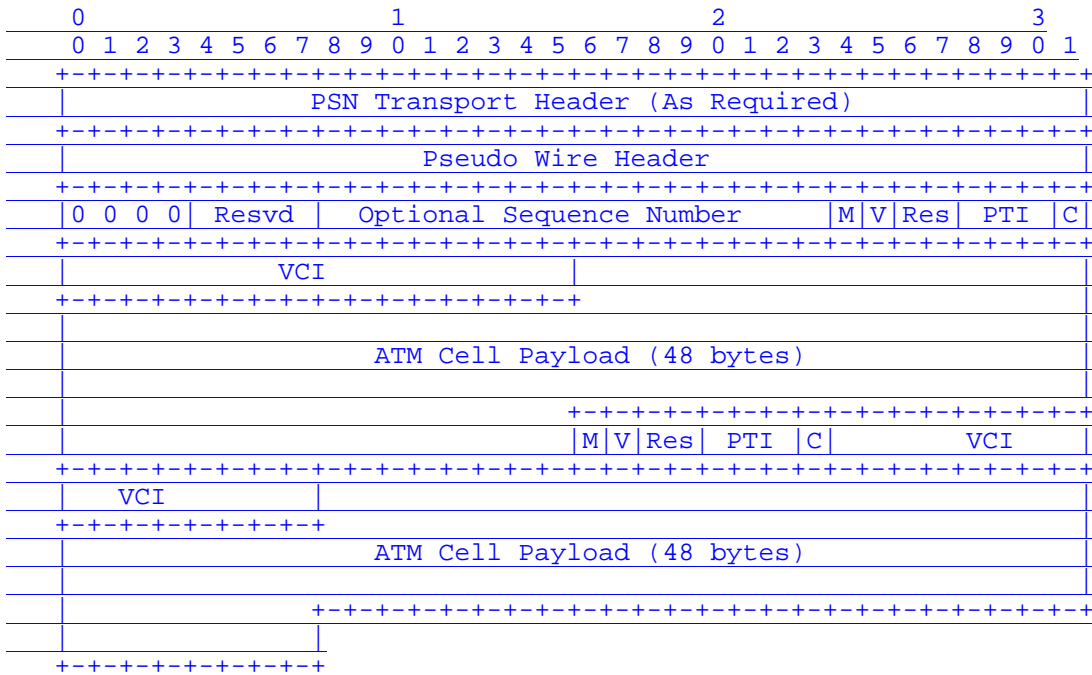


Figure 10: Multiple Cell VPC Encapsulation

SDU mode is intended to provide a means of enabling administrative functionality over the AAL5 VCC (though it does not endeavor to preserve user-cell and admin-cell

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arrival/transport ordering).

* E (EFCI) Bit

The ingress router, PE1, SHOULD set this bit to 1 if the EFCI bit of the final cell of those that transported the AAL5 CPCS-SDU is set to 1, or if the EFCI bit of the single ATM cell to be transported in the packet is set to 1. Otherwise this bit SHOULD be set to 0. The egress router, PE2, SHOULD set the EFCI bit of all cells that transport the AAL5 CPCS-SDU to the value contained in this field.

* C (CLP) Bit

The ingress router, PE1, SHOULD set this bit to 1 if the CLP bit of any of the ATM cells that transported the AAL5 CPCS-SDU is set to 1, or if the CLP bit of the single ATM cell to be transported in the packet is set to 1. Otherwise this bit SHOULD be set to 0. The egress router, PE2, SHOULD set the CLP bit of all cells that transport the AAL5 CPCS-SDU to the value contained in this field.

* U (Command / Response Field) Bit

When FRF.8.1 Frame Relay / ATM PVC Service Interworking [3] traffic is being transported, the CPCS-UU Least Significant Bit (LSB) of the AAL5 CPCS-PDU may contain the Frame Relay C/R bit. The ingress router, PE1, SHOULD copy this bit to the U bit of the control word. The egress router, PE2, SHOULD copy the U bit to the CPCS-UU Least Significant Bit (LSB) of the AAL5 CPCS PDU.

10. AAL5 PDU frame mode

The AAL5 payload PDU service is OPTIONAL.

10.1. Transparent AAL5 PDU Frame Encapsulation

In this mode, the ingress PE encapsulates the entire CPCS-PDU including the PAD and trailer.

This mode MAY support fragmentation in order to maintain OAM cell sequencing.

Like the ATM AAL5 payload VCC service, the AAL5 transparent VCC service is intended to be more efficient than the VCC cell transport service. However, the AAL5 transparent VCC service carries the entire

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AAL5 CPCS-PDU, including the PAD and trailer. Note that the AAL5 CPCS-PDU is not processed i.e. an AAL5 frame with an invalid CRC or length field will be transported. One reason for this is that there may be a security agent that has scrambled the ATM cell payloads that form the AAL5 CPCS-PDU.

This service supports all OAM cell flows by using a fragmentation procedure that ensures that OAM cells are not repositioned in respect to AAL5 composite cells.

The AAL5 transparent VCC service is OPTIONAL.

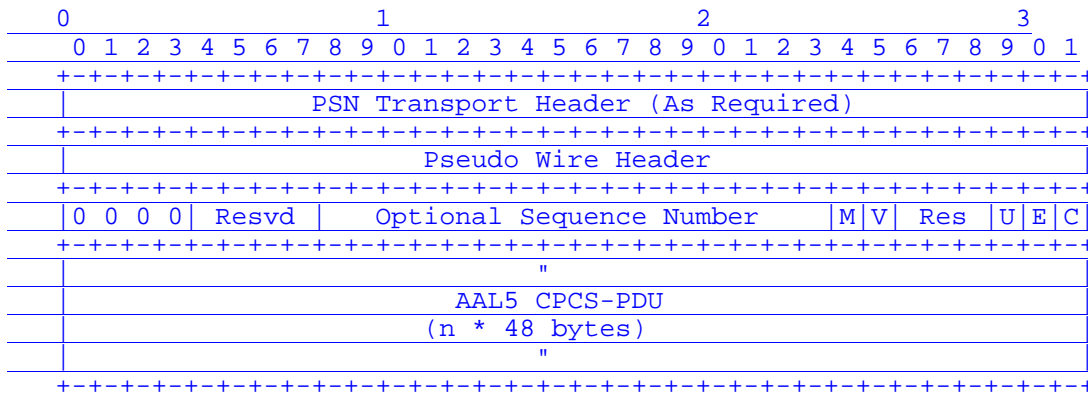


Figure 12: AAL5 transparent service encapsulation

The generic control word is inserted after the Pseudo Wire Header. The presence of the control word is MANDATORY.

The M, V, Res, and C bits are as defined earlier for VCC One-to-one cell mode.

* U Bit

This field indicates whether this frame contains the last cell of an AAL5 PDU and represents the value of the ATM User-to-User bit for the last ATM cell of the PSN frame. Note: The ATM User-to-User bit is the least significant bit of the PTI field in the ATM header. This field is used to support the fragmentation functionality described later in this section.

* E (EFCI) bit

This field is used to convey the EFCI state of the ATM cells. The EFCI state is indicated in the middle bit of each ATM cell's PTI

field.

ATM-to-PSN direction (ingress): The EFCI field of the control byte is set to the EFCI state of the last cell of the AAL5 PDU or AAL5 fragment.

PSN-to-ATM direction (egress): The EFCI state of all constituent cells of the AAL5 PDU or AAL5 fragment is set to the value of the

EFCI field in the control byte.

* C (CLP) bit

This field is used to convey the cell loss priority of the ATM cells.

ATM-to-PSN direction (ingress): The CLP field of the control byte is set to 1 if any of the constituent cells of the AAL5 PDU or AAL5 fragment has its CLP bit set to 1; otherwise this field is set to 0.

PSN-to-ATM direction (egress): The CLP bit of all constituent cells for an AAL5 PDU or AAL5 fragment is set to the value of the CLP field in the control byte. The payload consists of the re-assembled AAL5 CPCS-PDU, including the AAL5 padding and trailer or the AAL5 fragment.

10.2. Fragmentation

The ingress PE may not always be able to reassemble a full AAL5 frame. This may be due to the AAL5 PDU exceeding the Pseudo Wire MTU or when OAM cells arrive during reassembly of the AAL5 PDU. In these cases, the AAL5 PDU shall be fragmented. In addition, fragmentation may be desirable to bound ATM cell delay.

When fragmentation occurs, the procedures described in the following subsections shall be followed.

10.2.1. Procedures in the ATM-to-PSN Direction

The following procedures shall apply while fragmenting AAL5 PDUs:

- Fragmentation shall always occur at cell boundaries within the AAL5 PDU.

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- Set the UU bit to the value of the ATM User-to-User bit in the cell header of the most recently received ATM cell.
- The E and C bits of the fragment shall be set as defined earlier in section 9.
- If the arriving cell is an OAM or an RM cell, send the current PSN frame and then send the OAM or RM cell using One-to-one single cell encapsulation (VCC).

10.2.2. Procedures in the PSN-to-ATM Direction

The following procedures shall apply:

- The 3-bit PTI field of each ATM cell header is constructed as follows:
 - i. The most significant bit is set to 0, indicating a user data cell.
 - ii. The middle bit is set to the E bit value of the fragment.

-iii. The least significant bit for the last ATM cell in the PSN frame is set to the value of the UU bit of Figure 12.

-iv. The least significant PTI bit is set to 0 for all other cells in the PSN frame.

- The CLP bit of each ATM cell header is set to the value of the C bit of the control byte in Figure 12.

- When a fragment is received, each constituent ATM cell is sent in correct order.

11. Mapping of ATM and PSN Classes of Service

This section is informational.

When ATM PW service is configured over a PSN, the ATM service category of a connection SHOULD be mapped to a compatible class of service in the PSN network. A compatible class of service maintains the integrity of the service end to end. For example, the CBR service category SHOULD be mapped to a class of service with stringent loss and delay objectives. If the PSN implements the IP Diff-Serv framework, a class of service based on the EF PHB is a good candidate.

Furthermore, ATM service categories have support for multiple conformance definitions [5]. Some are CLP blind, e.g., CBR, meaning that the QoS objectives apply to the aggregate CLP0+1 conforming cell flow. Some are CLP significant, e.g., VBR.3, meaning that the QoS objectives apply to the CLP0 conforming cell flow only.

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When the PSN is MPLS based, a mapping between the CLP bit and the EXP field can be performed to provide visibility of the cell loss priority in the MPLS network. The actual value to be marked in the EXP field depends on the ATM service category, the ATM conformance definition, and the type of tunnel LSP used (E-LSP or L-LSP). The details of this mapping are outside the scope of this document. Operators have the flexibility to design a specific mapping which satisfies their own requirements.

In both the ATM-to-PSN and PSN-to-ATM directions, the method used to transfer the CLP and EFCI information of the individual cells into the ATM specific field, or flags, of the PW packet is described in details in sections 6 through 9 for each encapsulation mode.

12. Security Considerations

This document specifies only encapsulations, and not the protocols used to carry the encapsulated packets across the PSN. Each such protocol may have its own set of security issues, but those issues are not affected by the encapsulations specified herein. Note that the security of the transported ATM service will only be as good as the security of the PSN. This level of security might be less rigorous than a native ATM service.

13. Intellectual Property Disclaimer

This document is being submitted for use in IETF standards discussions.

14. References

[1] "Transport of Layer 2 Frames Over MPLS", draft-ietf-pwe3-control-protocol-04.txt. (work in progress)

[2] "MPLS Label Stack Encoding", E. Rosen, Y. Rekhter, D. Tappan, G. Fedorkow, D. Farinacci, T. Li, A. Conta. RFC3032

[3] "Requirements for Pseudo Wire Emulation Edge-to-Edge (PWE3)", draft-ietf-pwe3-requirements-07.txt. (work in Progress)

[4] ATM Forum Specification fb-fbatm-0151.000 (2000) ,Frame Based ATM over SONET/SDH Transport (FAST)

[5] ATM Forum Specification af-tm-0121.000 (1999), Traffic Management Specification Version 4.1.

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[6] ITU-T Recommendation I.371 (2000), Traffic control and congestion control in B-ISDN.

[7] ITU-T Recommendation I.610, (1999), B-ISDN operation and maintenance principles and functions.

[8] "PWE3 Architecture", draft-ietf-pwe3-arch-06.txt. (work in progress)

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