TSG-RAN Meeting #21 Frankfurt, Germany, 16-19 September 2003

RP-030488

Title: CRs (R'99 and linked Rel-4/Rel-5) to TS 25.921

Source: TSG-RAN WG2

Agenda item: 7.3.3

Spec	CR	Rev	Phase	Subject	Cat	Version-Current	Version-New	Doc-2nd-Level	Workitem
25.921	045	-	R99	Guideline on introducing additional SIB types	F	3.8.0	3.9.0	R2-031844	TEI
25.921	046	-	Rel-4	Guideline on introducing additional SIB types	Α	4.5.0	4.6.0	R2-031845	TEI
25.921	047	-	Rel-5	Guideline on introducing additional SIB types	Α	5.1.0	5.2.0	R2-031846	TEI

3GPP TSG-RAN2 Meeting #37 Budapest, Hungary, August 25th-29th, 2003

		CHANGE	REQ	UEST	Γ			CR-Form-v7
ж <mark>2</mark>	5.921	CR <mark>045</mark>	жrev	- *	Current ver	sion:	3.8.0	*
For <u>HELP</u> on usin	g this for	m, see bottom of this	page or l	ook at tl	ne pop-up tex	t over	the % syn	nbols.
Proposed change affe	ects: l	JICC apps ж	ME	Radio /	Access Netwo	ork	Core Ne	etwork
Title: 第 G	Suideline	on introducing additi	onal SIB t	ypes				
Source: # R	RAN WG	2						
Work item code: 第 T	El				Date: #	R Aug	just 2003	
De	Se one of a F (con A (con B (add C (fund D (edit etailed exp found in 3 31, edit etailed exp the s	the following categories rection) responds to a correction lition of feature), ctional modification of feorial modification) planations of the above 3GPP TR 21.900. Inclear how additional specially within segmentations in the include cheduling information of the concerns a guide ementations. Change patible	eature) categories al SIB type nents the ident	es should	R97 R98 R99 Rel-4 Rel-5 Rel-6 d be introduce for SIB types	f the fol (GSM (Relea (Relea (Relea (Relea (Relea (Relea (Relea ded beyon	lowing rele 1 Phase 2) ase 1996) ase 1997) ase 1998) ase 1999) ase 4) ase 5) ase 6) ond the lir	mit of within
Consequences if not approved:		remain unclear how of 31, especially with			es should be	introd	uced beyo	ond the
Clauses affected:	% 10.4.	3.4.6 (New)						
Other specs affected:	Y N X X	Other core specifications Test specifications O&M Specifications		æ				
Other comments:	¥							

How to create CRs using this form: Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

10.4.3 Recommendations for extensions for further releases in RRC

10.4.3.1 General

When in RRC an information element group is to be extended, the extension cannot be done directly in that IE, but only in the top level of the message, in the extension IEs of the message structure shown in Example 1. For implementing the extension, it has therefore to be investigated, in which messages the element to be extended is included.

Depending on criticality of the extension, this will be done by using the critical Extension CHOICE branch, or the nonCritical Extension information element.

The following subclauses provide some recommendations on how to use these elements.

Example 1

10.4.3.2 Critical Extensions

When the extension is a critical one (i.e. the receiver has to reject the whole message, and handle according to the error procedures of the protocol), the criticalExtension branch of the top-level CHOICE in the message is used. In this case the message information elements can be updated similar to the tabular, providing a message structure for the new release's information elements, similar to the updated structure in the tabular description.

Example 2 shows the structure of MessageA presented above, how it would become after a critical extension in Release 4.

In this example, in the criticalExtensions branch a new information element is defined (MessageA-r4-IEs) which will contain all messageA specific elements for Release 4, including the extensions in the place they fit naturally according to the semantics.

Note that in the new structure additional nonCriticalExtensions and criticalExtensions information elements are defined to allow for further extensions in future releases.

```
MessageA ::= CHOICE {
                                    SEQUENCE {
       messageA-r3
                                        MessageA-r3-IEs,
                                        SEQUENCE {} OPTIONAL
        nonCriticalExtensions
    later-than-r3
                                    SEQUENCE {
       rrc-TransactionIdentifier RRC-TransactionIdentifier,
                                        CHOICE {
        criticalExtensions
            r4
                                            SEQUENCE {
                messageA-r4
                                                MessageA-r4-IEs,
                nonCriticalExtensions
                                                SEQUENCE {} OPTIONAL
            criticalExtensions
                                        SEQUENCE {}
        }
    }
MessageA-r3-IEs ::=
                                    SEQUENCE {
     -- This is not changed compared to the above example. It includes all information
      - elements used in Release '99 for messageA.
                                    SEQUENCE
MessageA-r4-IEs ::=
```

```
-- Here, the updated information elements used for MessageA in Release 4 are included.
```

10.4.3.3 Non-critical Extensions

For non-critical extensions (i.e. the receiver shall just ignore the extensions, and use the rest of the message as if the extensions were not present), the approach is to use the nonCriticalExtensions information element, which is encoded at the end of the message, allowing backward compatibility.

Before that Backward Compatibility is started for the following Release N+1, the non-critical extension information elements of the current Release N are added at the end of the message. At the point when Backward Compatibility is started for the following Release N+1, an optional BIT STRING container should be added before the information elements of the new release. In the case that further non-critical extension information elements need to be added to Release N they shall be placed within the BIT STRING container.

For example: As long as Backward Compatibility is not being enforced for Release 4, Release '99 extensions are added "normally" at the end of a message within a nonCriticalExtensions sequence. Once Backward Compatibility is started for Release 4, then new Release '99 specific extensions are introduced within an extension container. An extension container is a "normal" bit string field that encapsulates an extension structure. As a result:

- New extensions can be added **both** in Release '99 and Release 4 in a backward compatible way; and
- Release 4 systems are able to skip over unknown Release '99 extensions.

The extension container can be viewed as a specific type of non-critical extension and it is included in the same way. If the extension container is added to Release N before that Backward Compatibility has started for Release N+I, further non-critical extensions to Release N should not be included in the container, but should be placed after it, using the usual mechanism. In this way the extension container is not used until necessary, and therefore the corresponding length field overhead is not incurred unnecessarily.

The structure of the message of the example above is shown in Example 3 for Release '99 and 4 messages.

Examples for special non-critical extensions and MessageA-v440ext-IEs are given in the following subclauses.

```
- This shows the message structure in Release '99 (including one non-critical extension)
-- before backward compatibility is started for Release 4.
MessageA ::=
                           CHOICE {
                                    SEQUENCE {
   r3
        messageA-r3
                                     MessageA-r3-IEs,
        v380nonCriticalExtensions
                                          SEQUENCE {
            messageA-v380ext
                                                MessageA-v380ext-IEs,
            nonCriticalExtensions
                                           SEQUENCE {} OPTIONAL
           OPTIONAL
    },
    criticalExtensions
                                    SEQUENCE {}
MessageA-r3-IEs ::=
                                    SEQUENCE {
    -- This is not changed compared to the same IE in Release '99. It includes all information
    -- elements used in Release '99 for MessageA.
MessageA-v380ext-IEs :: =
                                   SEQUENCE {
    -- Here are information elements added to Release '99 as extensions to the information
    -- contained in MessageA-r3-IEs.
}
-- This shows the Release '99 message structure once backward compatibility
-- has been started for Release 4.
                            CHOICE {
MessageA ::=
                                    SEQUENCE {
    r3
                                       MessageA-r3-IEs,
        messageA-r3
        v380nonCriticalExtensions
                                          SEQUENCE {
           messageA-v380ext
                                               MessageA-v380ext-IEs,
            laterNonCriticalExtensions
                                                SEQUENCE {
                -- Container for additional Release '99 extensions
```

```
BIT STRING
                messageA-r3-add-ext
                    (CONTAINING MessageA-r3-add-ext-IEs)
                                                                    OPTIONAL,
                nonCriticalExtensions
                                                SEOUENCE {} OPTIONAL
                OPTIONAL
            OPTIONAL
    criticalExtensions
                                    SEQUENCE {}
MessageA-r3-IEs ::=
                                    SEQUENCE {
    -- This is not changed compared to the same IE in Release '99. It includes all information
    -- elements used in Release '99 for MessageA.
MessageA-v380ext-IEs :: =
                                    SEQUENCE {
    -- Here are information elements added to Release '99 as extensions to the information
    -- contained in MessageA-r3-IEs.
MessageA-r3-add-ext-IEs :: =
                                    SEQUENCE {
    -- Here are information elements added to Release '99 as extensions to the information
    -- contained in MessageA-r3-IEs after backward compatibility was started for Release 4.
-- This shows the structure of the Release 4 message
-- (including one Release 4 non-critical extension).
                           CHOICE {
MessageA ::=
                                    SEOUENCE {
   r3
        messageA-r3
                                       MessageA-r3-IEs,
        v380 non Critical Extensions
                                          SEQUENCE {
            messageA-v380ext
                                                MessageA-v380ext-IEs,
            laterNonCriticalExtensions
                                                SEOUENCE {
                -- Container for additional Release '99 extensions
                messageA-r3-add-ext
                                                    BIT STRING
                    (CONTAINING MessageA-r3-add-ext-IEs)
                                                                    OPTIONAL,
                v440nonCriticalExtensions
                                             SEQUENCE {
                    messageA-v440ext
                                                        MessageA-v440ext-IEs,
                    nonCriticalExtensions
                                                   SEQUENCE {} OPTIONAL
                    OPTIONAL
                OPTIONAL
            OPTIONAL
    criticalExtensions
                                    SEQUENCE {}
}
MessageA-r3-IEs ::=
                                    SEOUENCE {
     - This is not changed compared to the same IE in Release '99. It includes all information
    -- elements used in Release '99 for MessageA.
MessageA-v380ext-IEs :: =
                                    SEQUENCE {
     - Here are information elements added to Release '99 as extensions to the information
    -- contained in MessageA-r3-IEs.
MessageA-r3-add-ext-IEs :: =
                                   SEQUENCE {
    -- Here are information elements added to Release '99 as extensions to the information
    -- contained in MessageA-r3-IEs after backward compatibility was started for Release 4.
MessageA-v440ext-IEs ::=
                                    SEQUENCE {
    -- Here are information elements added to Release 4 as extensions to the information
    -- contained in MessageA-r3-IEs and MessageA-v380ext-IEs.
```

10.4.3.4 Examples of non-critical extensions

10.4.3.4.1 Addition of a separate IE

If the extension is the addition of an information element (not inside a CHOICE, SEQUENCE OF, SET OF etc.), this new element can be directly included in MessageA-v440ext-IEs.

Example4 shows how the MessageA is extended to include a new element, "element3".

Example 4

10.4.3.4.2 Addition of an IE to a structured group

If the extension is the addition of an information element inside a CHOICE, SEQUENCE OF, etc. (meaning that the information element can be absent or present more than once, depending on some condition), the structure of the original message should be duplicated in MessageA-v440ext-IEs using only the elements relevant to the extension (usually the CHOICEs, SEQUENCE OFs, etc.), and a comment should be included to indicate that the two structures should be used consistently (e.g. when a CHOICE is duplicated, the same branch should be followed in both places, when a SEQUENCE OF is duplicated, the number of occurrences should be the same etc.).

This is illustrated in Example5, where a new element, "element1a-3", has to be included inside the "choice1b" branch of the "choice1" CHOICE. Here "choice1" is included again in MessageA-v440ext-IEs, and "element1a-3" is included there in the appropriate branch.

```
SEQUENCE {
MessageA-r3-IEs ::=
  For the "choicelb" branch of "choicel", an additional information element is
-- defined in MessageA-v440ext-IEs ("element1a-3").
    choice1
                                         CHOICE {
        choicela
                                             SEQUENCE {
            element1a-1
                                                 Element1a-1
                                             SEQUENCE {
        choice1b
            element1a-2
                                                 Element1a-2
    }
MessageA-v440ext-IEs ::=
                                         SEQUENCE {
 - In the following CHOICE the same branch shall be used as in choicel in MessageA-r3-IEs.
    choice1
                                         CHOICE {
        choice1a
                                             NULL.
        choicelb
                                             SEQUENCE {
            element1a-3
                                                 Element1a-3-r4
```

Example 5

10.4.3.4.3 Addition of a new CHOICE group

If the extension consists of moving some existing information elements inside a newly created CHOICE, the new branches of the created CHOICE should be included in MessageA-v440ext-IEs, and the CHOICE marked OPTIONAL, where absence means that the old elements are used. If the CHOICE is present, the old elements should be set to some default values, in order for older equipment to be understood, and new equipment should ignore the information therein.

This is illustrated in Example 6, where "element1" is to be moved inside the branch "choice1a" of a new CHOICE ("choice1").

```
MessageA-r3-IEs ::=
                                     SEQUENCE {
-- The contents of "element1" shall be ignored, if in "MessageA-v440ext-IEs" the branch
-- "choicelb" of the CHOICE "choicel" is used.
    element1
                                         Element1
    element2
                                         Element 2
                                         SEQUENCE {
MessageA-v440ext-IEs ::=
    choice1
                                         CHOICE {
                                             SEQUENCE {},
        choicela
                                             SEQUENCE {
        choice1b
            element3
                                                  Element3-r4
    }
}
```

Example 6

10.4.3.4.4 Extension of value range

If the value range of an element is to be extended, an element including the new values should be defined in MessageA-v440ext-IEs. If one of the new values is to be used, the already existing element from Release '99 should be set to some defined value (or be absent if it was OPTIONAL), in order for older equipment to work properly, and the new value should be signalled in the new information element.

In Example 7, "element1" is extended to have a range (0..15).

Example 7

10.4.3.4.5 Replacement of a spare value with a new element

If a new value is to be included in an IE of type ENUMERATED, for which spare values were defined in the previous version, those spare values can be replaced with the new values.

If more new values are needed, than spare values included in the previous version, one spare value can be replaced by a special extension value (called e-new in example 8). If that value is used, a new element in the nonCriticalExtension part (element1-new) will define the new values, as shown in Example 8.

```
-- In the previous version, MessageA-r3-IEs was defined:
MessageA-r3-IEs ::=
                                    SEQUENCE {
    element1
                                        ENUMERATED { e1, e2, spare1, spare2 }
-- Now three new values are needed for element1: e3, e4 and e5. MessageA-r3-IEs is redefined:
MessageA-r3-IEs ::=
                                    SEOUENCE {
-- If the following has the value e-new, the actual value of element1 is defined in
-- element1-new included in MessageA-r4-ext-IEs
    element1
                                        ENUMERATED { e1, e2, e3, e-new }
MessageA-r4-ext-IEs ::=
                                    SECTIENCE {
-- the following shall be present, if element1 in MessageA-r3-IEs has the value e-new.
    element1-new
                                        ENUMERATED { e4, e5, spare1, spare2 } OPTIONAL
```

If a spare value is included in a CHOICE, and that has to be replaced with a new information element and an appropriate type in the new version, the name of the element replaces the spare name in the CHOICE, but the type cannot be replaced, because that would lead to incompatibilities. Instead, the new type is included in the nonCriticalExtension part of the message, as shown in Example 9.

```
-- In the previous version, MessageA-r3-IEs was defined:
MessageA-r3-IEs ::=
                                    SEQUENCE {
    element1
                                        CHOICE {
        e1
                                             E1,
        e2
                                             E2.
                                             NULL
        spare
}
-- Now a new option is needed for the element1 CHOICE: e3 with type E3.
-- MessageA-r3-IEs is redefined:
MessageA-r3-IEs ::=
                                     SEQUENCE {
-- If element1 has the value e3, the value of e3 is specified in the element e3
-- included in MessageA-r4-ext-IEs.
    element1
                                         CHOICE {
        e1
        e2
                                             E2.
        e3
                                             NULL
}
                                    SEQUENCE {
MessageA-r4-ext-IEs ::=
-- the following shall be present, if element1 in MessageA-r3-IEs has the value e3.
                                         E3
                                                     OPTIONAL
    e3
```

Example 9

10.4.3.4.6 Introducing new System Information Block Types

In general new message types are introduced by replacing a spare value as described in 10.4.3.4.5. That subclause also shows that in case there are insufficient spare values available, the last spare value can be replaced by a special extension value. If that value is used, an additional message type extension IE is included to distinguish between the additional message types, as shown in Example 10.

```
DL-CCCH-Message ::= SEQUENCE
    integrityCheckInfo
                            IntegrityCheckInfo
                                                    OPTIONAL,
                            DL-CCCH-MessageType
   message
DL-CCCH-MessageType ::= CHOICE {
   cellUpdateConfirm
                                        CellUpdateConfirm-CCCH,
   rrcConnectionReject
                                        RRCConnectionReject
   rrcConnectionRelease
                                        RRCConnectionRelease-CCCH,
   rrcConnectionSetup
                                        RRCConnectionSetup
   uraUpdateConfirm
                                        URAUpdateConfirm-CCCH,
                                        Ext1Message-CCCH
```

ext2	Ext2Message-CCCH,
extension	DL-CCCH-MessageTypeExt
}	
<pre>DL-CCCH-MessageTypeExt ::= CHOICE {</pre>	
Ext3	Ext3Message-CCCH,
spare3	NULL,
spare2	NULL,
sparel	NULL
}	
_	

For system information block types, the "SIB type" information element is also included in each of the segments. If in this case there are insufficient spare values, the last value can again be used to indicate "extension". If that value is used, an additional SIB type extension IE is included to distinguish between the additional SIB types. This additional IE is not included in the segments; it is only included in the scheduling information included in the MIB and/ or the SBs.

NOTE One could include this additional IE in the segments e.g. by changing the SIB-type into a choice as shown in example 11. This option should not be used since it involves additional overhead (more scarce BCH bits are needed to indicate the SIB type) and complicates the scheduling (more different SIB data sizes are to be considered).

stSegment ::= SEQ	QUENCE {
Other information elements	<u> </u>
sib-Type	SIB-Type,
seg-Count	SegCount,
sib-Data-fixed	SIB-Data-fixed
-Type ::=	CHOICE {
MasterInformationBlock	NULL,
systemInformationBlockType1	NULL,
systemInformationBlockType2	NULL,
systemInformationBlockType3	NULL,
systemInformationBlockType4	NULL,
systemInformationBlockType5	NULL,
systemInformationBlockType6	NULL,
systemInformationBlockType7	NULL,
systemInformationBlockType8	NULL,
systemInformationBlockType9	NULL,
systemInformationBlockType10	NULL,
systemInformationBlockType11	NULL,
systemInformationBlockType12	NULL,
systemInformationBlockType13	NULL,
systemInformationBlockType13-1	
systemInformationBlockType13-2	
systemInformationBlockType13-3	<u> </u>
systemInformationBlockType13-4	NULL,
systemInformationBlockType14	NULL,
systemInformationBlockType15	NULL,
systemInformationBlockType15-1	NULL,
systemInformationBlockType15-2	NULL,
systemInformationBlockType15-3	NULL,
systemInformationBlockType16	NULL,
systemInformationBlockType17	NULL,
systemInformationBlockType15-4	NULL,
systemInformationBlockType18	NULL,
schedulingBlock1	NULL,
schedulingBlock2	NULL,
systemInformationBlockType15-5	NULL,
ext1	NULL,
extension	SIB-TypeExt
-TypeExt ::= CHC	OICE {
ext2	NULL,
spare7	NULL,
spare6	NULL,
spare5	NULL,
spare4	NULL,
spare3	NULL,
spare2	NULL,
spare1	NULL

}

Example 11 - Not recommended

The addition of new SIB types to the scheduling information is illustrated by example 12. The example shows the extension of the choice. The example also shows that the information applicable for the extended choice values is appended at the end of the SIB (in this case the MIB), as a non critical extension.

NOTE In this example only the number of SIB types is increased; the number of SIBs that can be scheduled (as reflected in the size of the list in the scheduling information) is not extended.

```
MasterInformationBlock ::=
                                     SEQUENCE {
        mib-ValueTag
                                         MIB-ValueTag,
        -- TABULAR: The PLMN identity and ANSI-41 core network information
        -- are included in PLMN-Type.
                                          PLMN-Type,
        plmn-Type
                                          SIBSb-ReferenceList,
        sibSb-ReferenceList
                                              SEQUENCE {
        vxy0NonCriticalExtensions
                                                  MasterInformationBlock-vxy0ext-IEs
            masterInformationBlock-vxy0ext
            {\tt nonCriticalExtensions}
                                                  SEQUENCE {}
                                                                                    OPTIONAL
          OPTIONAL
SIBSb-ReferenceList ::=
                                     SEQUENCE (SIZE (1..maxSIB)) OF
                                          SchedulingInformationSIBSb
SchedulingInformationSIBSb ::=
                                          SEOUENCE {
    sibSb-Type
                                          SIBSb-TypeAndTag,
    scheduling
                                          SchedulingInformation
                                          CHOICE {
SIBSb-TypeAndTag ::=
    sysInfoType1
                                          PLMN-ValueTag,
    sysInfoType2
                                          CellValueTag,
                                          CellValueTag,
    sysInfoType3
                                          CellValueTag,
    sysInfoType4
                                          CellValueTag,
    sysInfoType5
                                          CellValueTag,
    sysInfoType6
                                          NULL,
    sysInfoType7
                                          CellValueTag,
    sysInfoType8
                                         NULL,
    sysInfoType9
                                         NULL,
    sysInfoType10
                                          CellValueTag,
    sysInfoType11
                                          CellValueTag,
    sysInfoType12
    sysInfoType13
                                          CellValueTag,
    sysInfoType13-1
                                          CellValueTag,
                                          CellValueTag,
    sysInfoType13-2
    sysInfoType13-3
                                          CellValueTag,
                                          CellValueTag,
    sysInfoType13-4
    sysInfoType14
    sysInfoType15
                                          CellValueTag,
                                          PredefinedConfigIdentityAndValueTag,
    sysInfoType16
                                          NULL
    sysInfoType17
                                          CellValueTag,
    sysInfoTypeSB1
                                          CellValueTag,
    sysInfoTypeSB2
    sysInfoType15-1
                                          CellValueTag,
                                          SIBOccurrenceIdentityAndValueTag,
    sysInfoType15-2
    sysInfoType15-3
                                          SIBOccurrenceIdentityAndValueTag,
                                          CellValueTag,
    sysInfoType15-4
    sysInfoType18
                                          CellValueTag,
    sysInfoType15-5
                                          CellValueTag,
                                         NULL,
    ext1
                                          NULL,
    ext2
                                          NULL
    extension
SIBSb-TypeAndTagExt ::=
                                          CHOICE {
                                          NULL,
                                          NULL,
    spare7
                                          NULL,
    spare6
                                         NULL,
    spare5
    spare4
                                         NULL,
    spare3
                                          NULL,
    spare2
                                          NULL,
    spare1
                                          NULL
```

```
-- For each extended SIB type the value tag information is added at the end ExtSIBTypeInfoSchedulingInfo-List::= SEQUENCE (SIZE (1..maxSIB)) OF
                                        ExtSIBTypeInfoSchedulingInfo
ExtSIBTypeInfoSchedulingInfo-List::= SEQUENCE {
                                      INTEGER (1..maxSIB),
   schedulingInfoListIndex
                                      ValueTagInfo
    valueTagInfo
ValueTagInfo ::= CHOICE {
                                      NULL.
   None
                                      CellValueTag,
    sysInfoType2
    sysInfoType1
                                      PLMN-ValueTag,
   sysInfoType15-3
                                      SIBOccurrenceIdentityAndValueTag
```

Example 12 - Recommended method

3GPP TSG-RAN2 Meeting #37 Budapest, Hungary, August 25th-29th, 2003

CHANGE REQUEST				
* <mark>2.</mark>	5.921 CR 046 #rev	- * Current version: 4.5.0		
For <u>HELP</u> on using	this form, see bottom of this page or l	look at the pop-up text over the % symbols.		
Proposed change affe	cts: UICC appsЖ ME ME	Radio Access Network Core Network		
Title: # G	uideline on introducing additional SIB t	types		
Source: # R	AN WG2			
Work item code: 第 TE	Εl	Date: Magust 2003		
Det	e one of the following categories: F (correction) A (corresponds to a correction in an earn B (addition of feature), C (functional modification of feature) D (editorial modification) tailed explanations of the above categories found in 3GPP TR 21.900.	R97 (Release 1997) R98 (Release 1998) R99 (Release 1999)		
Reason for change: #	It is unclear how additional SIB type 31, especially within segments	es should be introduced beyond the limit of		
Summary of change: #	the scheduling information This CR concerns a guideline and h	ification for SIB types beyond 31 only within hence it does not directly affect ke use of the guideline are backwards		
Consequences if # not approved:	It will remain unclear how additiona limit of 31, especially within segmen	Il SIB types should be introduced beyond the nts		
Clauses affected:	€ 10.4.3.4.6 (New)			
Other specs # affected:	X Test specifications O&M Specifications	*		
Other comments:	f			

How to create CRs using this form: Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

10.4.3 Recommendations for extensions for further releases in RRC

10.4.3.1 General

When in RRC an information element group is to be extended, the extension cannot be done directly in that IE, but only in the top level of the message, in the extension IEs of the message structure shown in Example 1. For implementing the extension, it has therefore to be investigated, in which messages the element to be extended is included.

Depending on criticality of the extension, this will be done by using the critical Extension CHOICE branch, or the nonCritical Extension information element.

The following subclauses provide some recommendations on how to use these elements.

Example 1

10.4.3.2 Critical Extensions

When the extension is a critical one (i.e. the receiver has to reject the whole message, and handle according to the error procedures of the protocol), the criticalExtension branch of the top-level CHOICE in the message is used. In this case the message information elements can be updated similar to the tabular, providing a message structure for the new release's information elements, similar to the updated structure in the tabular description.

Example 2 shows the structure of MessageA presented above, how it would become after a critical extension in Release 4.

In this example, in the criticalExtensions branch a new information element is defined (MessageA-r4-IEs) which will contain all messageA specific elements for Release 4, including the extensions in the place they fit naturally according to the semantics.

Note that in the new structure additional nonCriticalExtensions and criticalExtensions information elements are defined to allow for further extensions in future releases.

```
MessageA ::= CHOICE {
                                    SEQUENCE {
       messageA-r3
                                        MessageA-r3-IEs,
                                        SEQUENCE {} OPTIONAL
        nonCriticalExtensions
    later-than-r3
                                    SEQUENCE {
       rrc-TransactionIdentifier RRC-TransactionIdentifier,
                                        CHOICE {
        criticalExtensions
            r4
                                            SEQUENCE {
                messageA-r4
                                                MessageA-r4-IEs,
                nonCriticalExtensions
                                                SEQUENCE {} OPTIONAL
            criticalExtensions
                                        SEQUENCE {}
        }
    }
MessageA-r3-IEs ::=
                                    SEQUENCE {
     -- This is not changed compared to the above example. It includes all information
      - elements used in Release '99 for messageA.
                                    SEQUENCE
MessageA-r4-IEs ::=
```

```
-- Here, the updated information elements used for MessageA in Release 4 are included.
```

10.4.3.3 Non-critical Extensions

For non-critical extensions (i.e. the receiver shall just ignore the extensions, and use the rest of the message as if the extensions were not present), the approach is to use the nonCriticalExtensions information element, which is encoded at the end of the message, allowing backward compatibility.

Before that Backward Compatibility is started for the following Release N+1, the non-critical extension information elements of the current Release N are added at the end of the message. At the point when Backward Compatibility is started for the following Release N+1, an optional BIT STRING container should be added before the information elements of the new release. In the case that further non-critical extension information elements need to be added to Release N they shall be placed within the BIT STRING container.

For example: As long as Backward Compatibility is not being enforced for Release 4, Release '99 extensions are added "normally" at the end of a message within a nonCriticalExtensions sequence. Once Backward Compatibility is started for Release 4, then new Release '99 specific extensions are introduced within an extension container. An extension container is a "normal" bit string field that encapsulates an extension structure. As a result:

- New extensions can be added **both** in Release '99 and Release 4 in a backward compatible way; and
- Release 4 systems are able to skip over unknown Release '99 extensions.

The extension container can be viewed as a specific type of non-critical extension and it is included in the same way. If the extension container is added to Release N before that Backward Compatibility has started for Release N+I, further non-critical extensions to Release N should not be included in the container, but should be placed after it, using the usual mechanism. In this way the extension container is not used until necessary, and therefore the corresponding length field overhead is not incurred unnecessarily.

The structure of the message of the example above is shown in Example 3 for Release '99 and 4 messages.

Examples for special non-critical extensions and MessageA-v440ext-IEs are given in the following subclauses.

```
- This shows the message structure in Release '99 (including one non-critical extension)
-- before backward compatibility is started for Release 4.
MessageA ::=
                           CHOICE {
                                    SEQUENCE {
   r3
        messageA-r3
                                     MessageA-r3-IEs,
        v380nonCriticalExtensions
                                          SEQUENCE {
            messageA-v380ext
                                                MessageA-v380ext-IEs,
            nonCriticalExtensions
                                           SEQUENCE {} OPTIONAL
           OPTIONAL
    },
    criticalExtensions
                                    SEQUENCE {}
MessageA-r3-IEs ::=
                                    SEQUENCE {
    -- This is not changed compared to the same IE in Release '99. It includes all information
    -- elements used in Release '99 for MessageA.
MessageA-v380ext-IEs :: =
                                   SEQUENCE {
    -- Here are information elements added to Release '99 as extensions to the information
    -- contained in MessageA-r3-IEs.
}
-- This shows the Release '99 message structure once backward compatibility
-- has been started for Release 4.
                            CHOICE {
MessageA ::=
                                    SEQUENCE {
    r3
                                       MessageA-r3-IEs,
        messageA-r3
        v380nonCriticalExtensions
                                          SEQUENCE {
           messageA-v380ext
                                               MessageA-v380ext-IEs,
            laterNonCriticalExtensions
                                                SEQUENCE {
                -- Container for additional Release '99 extensions
```

```
BIT STRING
                messageA-r3-add-ext
                    (CONTAINING MessageA-r3-add-ext-IEs)
                                                                    OPTIONAL,
                nonCriticalExtensions
                                                SEOUENCE {} OPTIONAL
                OPTIONAL
            OPTIONAL
    criticalExtensions
                                    SEQUENCE {}
MessageA-r3-IEs ::=
                                    SEQUENCE {
    -- This is not changed compared to the same IE in Release '99. It includes all information
    -- elements used in Release '99 for MessageA.
MessageA-v380ext-IEs :: =
                                    SEQUENCE {
    -- Here are information elements added to Release '99 as extensions to the information
    -- contained in MessageA-r3-IEs.
MessageA-r3-add-ext-IEs :: =
                                    SEQUENCE {
    -- Here are information elements added to Release '99 as extensions to the information
    -- contained in MessageA-r3-IEs after backward compatibility was started for Release 4.
-- This shows the structure of the Release 4 message
-- (including one Release 4 non-critical extension).
                           CHOICE {
MessageA ::=
                                    SEOUENCE {
   r3
        messageA-r3
                                       MessageA-r3-IEs,
        v380 non Critical Extensions
                                          SEQUENCE {
            messageA-v380ext
                                                MessageA-v380ext-IEs,
            laterNonCriticalExtensions
                                                SEOUENCE {
                -- Container for additional Release '99 extensions
                messageA-r3-add-ext
                                                    BIT STRING
                    (CONTAINING MessageA-r3-add-ext-IEs)
                                                                    OPTIONAL,
                v440nonCriticalExtensions
                                             SEQUENCE {
                    messageA-v440ext
                                                        MessageA-v440ext-IEs,
                    nonCriticalExtensions
                                                   SEQUENCE {} OPTIONAL
                    OPTIONAL
                OPTIONAL
            OPTIONAL
    criticalExtensions
                                    SEQUENCE {}
}
MessageA-r3-IEs ::=
                                    SEOUENCE {
     - This is not changed compared to the same IE in Release '99. It includes all information
    -- elements used in Release '99 for MessageA.
MessageA-v380ext-IEs :: =
                                    SEQUENCE {
     - Here are information elements added to Release '99 as extensions to the information
    -- contained in MessageA-r3-IEs.
MessageA-r3-add-ext-IEs :: =
                                   SEQUENCE {
    -- Here are information elements added to Release '99 as extensions to the information
    -- contained in MessageA-r3-IEs after backward compatibility was started for Release 4.
MessageA-v440ext-IEs ::=
                                    SEQUENCE {
    -- Here are information elements added to Release 4 as extensions to the information
    -- contained in MessageA-r3-IEs and MessageA-v380ext-IEs.
```

10.4.3.4 Examples of non-critical extensions

10.4.3.4.1 Addition of a separate IE

If the extension is the addition of an information element (not inside a CHOICE, SEQUENCE OF, SET OF etc.), this new element can be directly included in MessageA-v440ext-IEs.

Example4 shows how the MessageA is extended to include a new element, "element3".

Example 4

10.4.3.4.2 Addition of an IE to a structured group

If the extension is the addition of an information element inside a CHOICE, SEQUENCE OF, etc. (meaning that the information element can be absent or present more than once, depending on some condition), the structure of the original message should be duplicated in MessageA-v440ext-IEs using only the elements relevant to the extension (usually the CHOICEs, SEQUENCE OFs, etc.), and a comment should be included to indicate that the two structures should be used consistently (e.g. when a CHOICE is duplicated, the same branch should be followed in both places, when a SEQUENCE OF is duplicated, the number of occurrences should be the same etc.).

This is illustrated in Example5, where a new element, "element1a-3", has to be included inside the "choice1b" branch of the "choice1" CHOICE. Here "choice1" is included again in MessageA-v440ext-IEs, and "element1a-3" is included there in the appropriate branch.

```
SEQUENCE {
MessageA-r3-IEs ::=
  For the "choicelb" branch of "choicel", an additional information element is
-- defined in MessageA-v440ext-IEs ("element1a-3").
    choice1
                                         CHOICE {
        choicela
                                             SEQUENCE {
            element1a-1
                                                 Element1a-1
                                             SEQUENCE {
        choice1b
            element1a-2
                                                 Element1a-2
    }
MessageA-v440ext-IEs ::=
                                         SEQUENCE {
 - In the following CHOICE the same branch shall be used as in choicel in MessageA-r3-IEs.
    choice1
                                         CHOICE {
        choice1a
                                             NULL.
        choicelb
                                             SEQUENCE {
            element1a-3
                                                 Element1a-3-r4
```

Example 5

10.4.3.4.3 Addition of a new CHOICE group

If the extension consists of moving some existing information elements inside a newly created CHOICE, the new branches of the created CHOICE should be included in MessageA-v440ext-IEs, and the CHOICE marked OPTIONAL, where absence means that the old elements are used. If the CHOICE is present, the old elements should be set to some default values, in order for older equipment to be understood, and new equipment should ignore the information therein.

This is illustrated in Example 6, where "element1" is to be moved inside the branch "choice1a" of a new CHOICE ("choice1").

```
MessageA-r3-IEs ::=
                                     SEQUENCE {
-- The contents of "element1" shall be ignored, if in "MessageA-v440ext-IEs" the branch
-- "choicelb" of the CHOICE "choicel" is used.
    element1
                                         Element1
    element2
                                         Element 2
                                         SEQUENCE {
MessageA-v440ext-IEs ::=
    choice1
                                         CHOICE {
                                             SEQUENCE {},
        choicela
                                             SEQUENCE {
        choice1b
            element3
                                                  Element3-r4
    }
}
```

Example 6

10.4.3.4.4 Extension of value range

If the value range of an element is to be extended, an element including the new values should be defined in MessageA-v440ext-IEs. If one of the new values is to be used, the already existing element from Release '99 should be set to some defined value (or be absent if it was OPTIONAL), in order for older equipment to work properly, and the new value should be signalled in the new information element.

In Example 7, "element1" is extended to have a range (0..15).

Example 7

10.4.3.4.5 Replacement of a spare value with a new element

If a new value is to be included in an IE of type ENUMERATED, for which spare values were defined in the previous version, those spare values can be replaced with the new values.

If more new values are needed, than spare values included in the previous version, one spare value can be replaced by a special extension value (called e-new in example 8). If that value is used, a new element in the nonCriticalExtension part (element1-new) will define the new values, as shown in Example 8.

```
-- In the previous version, MessageA-r3-IEs was defined:
MessageA-r3-IEs ::=
                                    SEQUENCE {
    element1
                                        ENUMERATED { e1, e2, spare1, spare2 }
-- Now three new values are needed for element1: e3, e4 and e5. MessageA-r3-IEs is redefined:
MessageA-r3-IEs ::=
                                    SEOUENCE {
-- If the following has the value e-new, the actual value of element1 is defined in
-- element1-new included in MessageA-r4-ext-IEs
    element1
                                        ENUMERATED { e1, e2, e3, e-new }
MessageA-r4-ext-IEs ::=
                                    SECTIENCE {
-- the following shall be present, if element1 in MessageA-r3-IEs has the value e-new.
    element1-new
                                        ENUMERATED { e4, e5, spare1, spare2 } OPTIONAL
```

If a spare value is included in a CHOICE, and that has to be replaced with a new information element and an appropriate type in the new version, the name of the element replaces the spare name in the CHOICE, but the type cannot be replaced, because that would lead to incompatibilities. Instead, the new type is included in the nonCriticalExtension part of the message, as shown in Example 9.

```
-- In the previous version, MessageA-r3-IEs was defined:
MessageA-r3-IEs ::=
                                    SEQUENCE {
    element1
                                        CHOICE {
        e1
                                             E1,
        e2
                                             E2.
                                             NULL
        spare
}
-- Now a new option is needed for the element1 CHOICE: e3 with type E3.
-- MessageA-r3-IEs is redefined:
MessageA-r3-IEs ::=
                                     SEQUENCE {
-- If element1 has the value e3, the value of e3 is specified in the element e3
-- included in MessageA-r4-ext-IEs.
    element1
                                         CHOICE {
        e1
        e2
                                             E2.
        e3
                                             NULL
}
                                    SEQUENCE {
MessageA-r4-ext-IEs ::=
-- the following shall be present, if element1 in MessageA-r3-IEs has the value e3.
                                         E3
                                                     OPTIONAL
    e3
```

Example 9

10.4.3.4.6 Introducing new System Information Block Types

In general new message types are introduced by replacing a spare value as described in 10.4.3.4.5. That subclause also shows that in case there are insufficient spare values available, the last spare value can be replaced by a special extension value. If that value is used, an additional message type extension IE is included to distinguish between the additional message types, as shown in Example 10.

```
DL-CCCH-Message ::= SEQUENCE
    integrityCheckInfo
                            IntegrityCheckInfo
                                                    OPTIONAL,
                            DL-CCCH-MessageType
   message
DL-CCCH-MessageType ::= CHOICE {
   cellUpdateConfirm
                                        CellUpdateConfirm-CCCH,
   rrcConnectionReject
                                        RRCConnectionReject
   rrcConnectionRelease
                                        RRCConnectionRelease-CCCH,
   rrcConnectionSetup
                                        RRCConnectionSetup
   uraUpdateConfirm
                                        URAUpdateConfirm-CCCH,
                                        Ext1Message-CCCH
```

ext2	Ext2Message-CCCH,
extension	DL-CCCH-MessageTypeExt
1	
DL-CCCH-MessageTypeExt ::= CHOICE {	
Ext3	Ext3Message-CCCH,
spare3	NULL,
spare2	NULL,
sparel	NULL
}	
<u> </u>	

For system information block types, the "SIB type" information element is also included in each of the segments. If in this case there are insufficient spare values, the last value can again be used to indicate "extension". If that value is used, an additional SIB type extension IE is included to distinguish between the additional SIB types. This additional IE is not included in the segments; it is only included in the scheduling information included in the MIB and/ or the SBs.

NOTE One could include this additional IE in the segments e.g. by changing the SIB-type into a choice as shown in example 11. This option should not be used since it involves additional overhead (more scarce BCH bits are needed to indicate the SIB type) and complicates the scheduling (more different SIB data sizes are to be considered).

in the Common to	EQUENCE (
<pre>irstSegment ::= SI Other information elements</pre>	EQUENCE {
sib-Type	CID Time
seq-Count	SIB-Type, SegCount,
sib-Data-fixed	SIB-Data-fixed
SID-Data-lixed	SIB-Data-lixed
IB-Type ::=	CHOICE {
MasterInformationBlock	NULL,
systemInformationBlockType1	NULL,
systemInformationBlockType2	NULL,
systemInformationBlockType3	NULL,
systemInformationBlockType4	NULL,
systemInformationBlockType5	NULL,
systemInformationBlockType6	NULL,
systemInformationBlockType7	NULL,
systemInformationBlockType8	NULL,
systemInformationBlockType9	NULL,
systemInformationBlockType10	NULL,
systemInformationBlockType11	NULL,
systemInformationBlockType12	NULL,
systemInformationBlockType13	NULL,
systemInformationBlockType13-1	
systemInformationBlockType13-2	
systemInformationBlockType13-3	
systemInformationBlockType13-4	· ·
systemInformationBlockType14	NULL,
systemInformationBlockType15	NULL,
systemInformationBlockType15-1	
systemInformationBlockType15-2	
systemInformationBlockType15-3	
systemInformationBlockType16	NULL,
systemInformationBlockType17	NULL,
systemInformationBlockType15-4	
systemInformationBlockType18	NULL,
schedulingBlock1	NULL,
schedulingBlock2	NULL,
systemInformationBlockType15-	· ·
ext1	NULL,
extension	SIB-TypeExt
	<u> </u>
IB-TypeExt ::= CF	HOICE {
ext2	NULL,
spare7	NULL,
spare6	
	NULL,
spare5	NULL,
spare5 spare4	<u> </u>
-	NULL,
spare4	NULL,

}

Example 11 - Not recommended

The addition of new SIB types to the scheduling information is illustrated by example 12. The example shows the extension of the choice. The example also shows that the information applicable for the extended choice values is appended at the end of the SIB (in this case the MIB), as a non critical extension.

NOTE In this example only the number of SIB types is increased; the number of SIBs that can be scheduled (as reflected in the size of the list in the scheduling information) is not extended.

```
MasterInformationBlock ::=
                                     SEQUENCE {
        mib-ValueTag
                                         MIB-ValueTag,
        -- TABULAR: The PLMN identity and ANSI-41 core network information
        -- are included in PLMN-Type.
                                          PLMN-Type,
        plmn-Type
                                          SIBSb-ReferenceList,
        sibSb-ReferenceList
                                              SEQUENCE {
        vxy0NonCriticalExtensions
                                                  MasterInformationBlock-vxy0ext-IEs
            masterInformationBlock-vxy0ext
            {\tt nonCriticalExtensions}
                                                  SEQUENCE {}
                                                                                    OPTIONAL
          OPTIONAL
SIBSb-ReferenceList ::=
                                     SEQUENCE (SIZE (1..maxSIB)) OF
                                          SchedulingInformationSIBSb
SchedulingInformationSIBSb ::=
                                          SEOUENCE {
    sibSb-Type
                                          SIBSb-TypeAndTag,
    scheduling
                                          SchedulingInformation
                                          CHOICE {
SIBSb-TypeAndTag ::=
    sysInfoType1
                                          PLMN-ValueTag,
    sysInfoType2
                                          CellValueTag,
                                          CellValueTag,
    sysInfoType3
                                          CellValueTag,
    sysInfoType4
                                          CellValueTag,
    sysInfoType5
                                          CellValueTag,
    sysInfoType6
                                          NULL,
    sysInfoType7
                                          CellValueTag,
    sysInfoType8
                                         NULL,
    sysInfoType9
                                         NULL,
    sysInfoType10
                                          CellValueTag,
    sysInfoType11
                                          CellValueTag,
    sysInfoType12
    sysInfoType13
                                          CellValueTag,
    sysInfoType13-1
                                          CellValueTag,
                                          CellValueTag,
    sysInfoType13-2
    sysInfoType13-3
                                          CellValueTag,
                                          CellValueTag,
    sysInfoType13-4
    sysInfoType14
    sysInfoType15
                                          CellValueTag,
                                          PredefinedConfigIdentityAndValueTag,
    sysInfoType16
                                          NULL
    sysInfoType17
                                          CellValueTag,
    sysInfoTypeSB1
                                          CellValueTag,
    sysInfoTypeSB2
    sysInfoType15-1
                                          CellValueTag,
                                          SIBOccurrenceIdentityAndValueTag,
    sysInfoType15-2
    sysInfoType15-3
                                          SIBOccurrenceIdentityAndValueTag,
                                          CellValueTag,
    sysInfoType15-4
    sysInfoType18
                                          CellValueTag,
    sysInfoType15-5
                                          CellValueTag,
                                         NULL,
    ext1
                                          NULL,
    ext2
                                          NULL
    extension
SIBSb-TypeAndTagExt ::=
                                          CHOICE {
                                          NULL,
                                          NULL,
    spare7
                                          NULL,
    spare6
                                         NULL,
    spare5
    spare4
                                         NULL,
    spare3
                                          NULL,
    spare2
                                          NULL,
    spare1
                                          NULL
```

```
-- For each extended SIB type the value tag information is added at the end ExtSIBTypeInfoSchedulingInfo-List::= SEQUENCE (SIZE (1..maxSIB)) OF
                                        ExtSIBTypeInfoSchedulingInfo
ExtSIBTypeInfoSchedulingInfo-List::= SEQUENCE {
                                      INTEGER (1..maxSIB),
   schedulingInfoListIndex
                                      ValueTagInfo
    valueTagInfo
ValueTagInfo ::= CHOICE {
                                      NULL.
   None
                                      CellValueTag,
    sysInfoType2
    sysInfoType1
                                      PLMN-ValueTag,
   sysInfoType15-3
                                      SIBOccurrenceIdentityAndValueTag
```

Example 12 - Recommended method

3GPP TSG-RAN2 Meeting #37 Budapest, Hungary, August 25th-29th, 2003

CHANGE REQUEST				
* 2!	5.921 CR 047	rev - # Cu	urrent version: 5.1.0	
For <u>HELP</u> on using	this form, see bottom of this p	age or look at the po	op-up text over the % symbols.	
Proposed change affe	octs: UICC apps#	ME Radio Acce	ess Network Core Network	
Title: 第 G	uideline on introducing addition	nal SIB types		
Source: # R	AN WG2			
Work item code: 第 TE	El		Date: % August 2003	
Det	e one of the following categories: F (correction) A (corresponds to a correction of B (addition of feature), C (functional modification of feature) D (editorial modification) tailed explanations of the above categories	in an earlier release) ature)	elease: # REL-5 Use one of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)	
Reason for change: \$	It is unclear how additional 31, especially within segme		introduced beyond the limit of	
Summary of change: \$	The proposal is to include the scheduling information This CR concerns a guideling implementations. Changes compatible	ne and hence it does		
Consequences if % not approved:	It will remain unclear how a limit of 31, especially within		should be introduced beyond the	
Clauses affected:	10.4.3.4.6 (New)			
Other specs 3 affected:	X Test specifications X O&M Specifications	ons #		
Other comments: 3	€			

How to create CRs using this form: Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

10.4.3 Recommendations for extensions for further releases in RRC

10.4.3.1 General

When in RRC an information element group is to be extended, the extension cannot be done directly in that IE, but only in the top level of the message, in the extension IEs of the message structure shown in Example 1. For implementing the extension, it has therefore to be investigated, in which messages the element to be extended is included.

Depending on criticality of the extension, this will be done by using the critical Extension CHOICE branch, or the nonCritical Extension information element.

The following subclauses provide some recommendations on how to use these elements.

Example 1

10.4.3.2 Critical Extensions

When the extension is a critical one (i.e. the receiver has to reject the whole message, and handle according to the error procedures of the protocol), the criticalExtension branch of the top-level CHOICE in the message is used. In this case the message information elements can be updated similar to the tabular, providing a message structure for the new release's information elements, similar to the updated structure in the tabular description.

Example 2 shows the structure of MessageA presented above, how it would become after a critical extension in Release 4.

In this example, in the criticalExtensions branch a new information element is defined (MessageA-r4-IEs) which will contain all messageA specific elements for Release 4, including the extensions in the place they fit naturally according to the semantics.

Note that in the new structure additional nonCriticalExtensions and criticalExtensions information elements are defined to allow for further extensions in future releases.

```
MessageA ::= CHOICE {
                                    SEQUENCE {
       messageA-r3
                                        MessageA-r3-IEs,
                                        SEQUENCE {} OPTIONAL
        nonCriticalExtensions
    later-than-r3
                                    SEQUENCE {
       rrc-TransactionIdentifier RRC-TransactionIdentifier,
                                        CHOICE {
        criticalExtensions
            r4
                                            SEQUENCE {
                messageA-r4
                                                MessageA-r4-IEs,
                nonCriticalExtensions
                                                SEQUENCE {} OPTIONAL
            criticalExtensions
                                        SEQUENCE {}
        }
    }
MessageA-r3-IEs ::=
                                    SEQUENCE {
     -- This is not changed compared to the above example. It includes all information
      - elements used in Release '99 for messageA.
                                    SEQUENCE
MessageA-r4-IEs ::=
```

```
-- Here, the updated information elements used for MessageA in Release 4 are included.
```

10.4.3.3 Non-critical Extensions

For non-critical extensions (i.e. the receiver shall just ignore the extensions, and use the rest of the message as if the extensions were not present), the approach is to use the nonCriticalExtensions information element, which is encoded at the end of the message, allowing backward compatibility.

Before that Backward Compatibility is started for the following Release N+1, the non-critical extension information elements of the current Release N are added at the end of the message. At the point when Backward Compatibility is started for the following Release N+1, an optional BIT STRING container should be added before the information elements of the new release. In the case that further non-critical extension information elements need to be added to Release N they shall be placed within the BIT STRING container.

For example: As long as Backward Compatibility is not being enforced for Release 4, Release '99 extensions are added "normally" at the end of a message within a nonCriticalExtensions sequence. Once Backward Compatibility is started for Release 4, then new Release '99 specific extensions are introduced within an extension container. An extension container is a "normal" bit string field that encapsulates an extension structure. As a result:

- New extensions can be added **both** in Release '99 and Release 4 in a backward compatible way; and
- Release 4 systems are able to skip over unknown Release '99 extensions.

The extension container can be viewed as a specific type of non-critical extension and it is included in the same way. If the extension container is added to Release N before that Backward Compatibility has started for Release N+I, further non-critical extensions to Release N should not be included in the container, but should be placed after it, using the usual mechanism. In this way the extension container is not used until necessary, and therefore the corresponding length field overhead is not incurred unnecessarily.

The structure of the message of the example above is shown in Example 3 for Release '99 and 4 messages.

Examples for special non-critical extensions and MessageA-v440ext-IEs are given in the following subclauses.

```
- This shows the message structure in Release '99 (including one non-critical extension)
-- before backward compatibility is started for Release 4.
MessageA ::=
                           CHOICE {
                                    SEQUENCE {
   r3
        messageA-r3
                                     MessageA-r3-IEs,
        v380nonCriticalExtensions
                                          SEQUENCE {
            messageA-v380ext
                                                MessageA-v380ext-IEs,
            nonCriticalExtensions
                                           SEQUENCE {} OPTIONAL
           OPTIONAL
    },
    criticalExtensions
                                    SEQUENCE {}
MessageA-r3-IEs ::=
                                    SEQUENCE {
    -- This is not changed compared to the same IE in Release '99. It includes all information
    -- elements used in Release '99 for MessageA.
MessageA-v380ext-IEs :: =
                                   SEQUENCE {
    -- Here are information elements added to Release '99 as extensions to the information
    -- contained in MessageA-r3-IEs.
}
-- This shows the Release '99 message structure once backward compatibility
-- has been started for Release 4.
                            CHOICE {
MessageA ::=
                                    SEQUENCE {
    r3
                                       MessageA-r3-IEs,
        messageA-r3
        v380nonCriticalExtensions
                                          SEQUENCE {
           messageA-v380ext
                                               MessageA-v380ext-IEs,
            laterNonCriticalExtensions
                                                SEQUENCE {
                -- Container for additional Release '99 extensions
```

```
BIT STRING
                messageA-r3-add-ext
                    (CONTAINING MessageA-r3-add-ext-IEs)
                                                                    OPTIONAL,
                nonCriticalExtensions
                                                SEOUENCE {} OPTIONAL
                OPTIONAL
            OPTIONAL
    criticalExtensions
                                    SEQUENCE {}
MessageA-r3-IEs ::=
                                    SEQUENCE {
    -- This is not changed compared to the same IE in Release '99. It includes all information
    -- elements used in Release '99 for MessageA.
MessageA-v380ext-IEs :: =
                                    SEQUENCE {
    -- Here are information elements added to Release '99 as extensions to the information
    -- contained in MessageA-r3-IEs.
MessageA-r3-add-ext-IEs :: =
                                    SEQUENCE {
    -- Here are information elements added to Release '99 as extensions to the information
    -- contained in MessageA-r3-IEs after backward compatibility was started for Release 4.
-- This shows the structure of the Release 4 message
-- (including one Release 4 non-critical extension).
                           CHOICE {
MessageA ::=
                                    SEOUENCE {
   r3
        messageA-r3
                                       MessageA-r3-IEs,
        v380 non Critical Extensions
                                          SEQUENCE {
            messageA-v380ext
                                                MessageA-v380ext-IEs,
            laterNonCriticalExtensions
                                                SEOUENCE {
                -- Container for additional Release '99 extensions
                messageA-r3-add-ext
                                                    BIT STRING
                    (CONTAINING MessageA-r3-add-ext-IEs)
                                                                    OPTIONAL,
                v440nonCriticalExtensions
                                             SEQUENCE {
                    messageA-v440ext
                                                        MessageA-v440ext-IEs,
                    nonCriticalExtensions
                                                   SEQUENCE {} OPTIONAL
                    OPTIONAL
                OPTIONAL
            OPTIONAL
    criticalExtensions
                                    SEQUENCE {}
}
MessageA-r3-IEs ::=
                                    SEOUENCE {
     - This is not changed compared to the same IE in Release '99. It includes all information
    -- elements used in Release '99 for MessageA.
MessageA-v380ext-IEs :: =
                                    SEQUENCE {
     - Here are information elements added to Release '99 as extensions to the information
    -- contained in MessageA-r3-IEs.
MessageA-r3-add-ext-IEs :: =
                                   SEQUENCE {
    -- Here are information elements added to Release '99 as extensions to the information
    -- contained in MessageA-r3-IEs after backward compatibility was started for Release 4.
MessageA-v440ext-IEs ::=
                                    SEQUENCE {
    -- Here are information elements added to Release 4 as extensions to the information
    -- contained in MessageA-r3-IEs and MessageA-v380ext-IEs.
```

10.4.3.4 Examples of non-critical extensions

10.4.3.4.1 Addition of a separate IE

If the extension is the addition of an information element (not inside a CHOICE, SEQUENCE OF, SET OF etc.), this new element can be directly included in MessageA-v440ext-IEs.

Example4 shows how the MessageA is extended to include a new element, "element3".

Example 4

10.4.3.4.2 Addition of an IE to a structured group

If the extension is the addition of an information element inside a CHOICE, SEQUENCE OF, etc. (meaning that the information element can be absent or present more than once, depending on some condition), the structure of the original message should be duplicated in MessageA-v440ext-IEs using only the elements relevant to the extension (usually the CHOICEs, SEQUENCE OFs, etc.), and a comment should be included to indicate that the two structures should be used consistently (e.g. when a CHOICE is duplicated, the same branch should be followed in both places, when a SEQUENCE OF is duplicated, the number of occurrences should be the same etc.).

This is illustrated in Example5, where a new element, "element1a-3", has to be included inside the "choice1b" branch of the "choice1" CHOICE. Here "choice1" is included again in MessageA-v440ext-IEs, and "element1a-3" is included there in the appropriate branch.

```
SEQUENCE {
MessageA-r3-IEs ::=
  For the "choicelb" branch of "choicel", an additional information element is
-- defined in MessageA-v440ext-IEs ("element1a-3").
    choice1
                                         CHOICE {
        choicela
                                             SEQUENCE {
            element1a-1
                                                 Element1a-1
                                             SEQUENCE {
        choice1b
            element1a-2
                                                 Element1a-2
    }
MessageA-v440ext-IEs ::=
                                         SEQUENCE {
 - In the following CHOICE the same branch shall be used as in choicel in MessageA-r3-IEs.
    choice1
                                         CHOICE {
        choice1a
                                             NULL.
        choicelb
                                             SEQUENCE {
            element1a-3
                                                 Element1a-3-r4
```

Example 5

10.4.3.4.3 Addition of a new CHOICE group

If the extension consists of moving some existing information elements inside a newly created CHOICE, the new branches of the created CHOICE should be included in MessageA-v440ext-IEs, and the CHOICE marked OPTIONAL, where absence means that the old elements are used. If the CHOICE is present, the old elements should be set to some default values, in order for older equipment to be understood, and new equipment should ignore the information therein.

This is illustrated in Example 6, where "element1" is to be moved inside the branch "choice1a" of a new CHOICE ("choice1").

```
MessageA-r3-IEs ::=
                                     SEQUENCE {
-- The contents of "element1" shall be ignored, if in "MessageA-v440ext-IEs" the branch
-- "choicelb" of the CHOICE "choicel" is used.
    element1
                                         Element1
    element2
                                         Element 2
                                         SEQUENCE {
MessageA-v440ext-IEs ::=
    choice1
                                         CHOICE {
                                             SEQUENCE {},
        choicela
                                             SEQUENCE {
        choice1b
            element3
                                                  Element3-r4
    }
}
```

Example 6

10.4.3.4.4 Extension of value range

If the value range of an element is to be extended, an element including the new values should be defined in MessageA-v440ext-IEs. If one of the new values is to be used, the already existing element from Release '99 should be set to some defined value (or be absent if it was OPTIONAL), in order for older equipment to work properly, and the new value should be signalled in the new information element.

In Example 7, "element1" is extended to have a range (0..15).

Example 7

10.4.3.4.5 Replacement of a spare value with a new element

If a new value is to be included in an IE of type ENUMERATED, for which spare values were defined in the previous version, those spare values can be replaced with the new values.

If more new values are needed, than spare values included in the previous version, one spare value can be replaced by a special extension value (called e-new in example 8). If that value is used, a new element in the nonCriticalExtension part (element1-new) will define the new values, as shown in Example 8.

```
-- In the previous version, MessageA-r3-IEs was defined:
MessageA-r3-IEs ::=
                                    SEQUENCE {
    element1
                                        ENUMERATED { e1, e2, spare1, spare2 }
-- Now three new values are needed for element1: e3, e4 and e5. MessageA-r3-IEs is redefined:
MessageA-r3-IEs ::=
                                    SEOUENCE {
-- If the following has the value e-new, the actual value of element1 is defined in
-- element1-new included in MessageA-r4-ext-IEs
    element1
                                        ENUMERATED { e1, e2, e3, e-new }
MessageA-r4-ext-IEs ::=
                                    SECTIENCE {
-- the following shall be present, if element1 in MessageA-r3-IEs has the value e-new.
    element1-new
                                        ENUMERATED { e4, e5, spare1, spare2 } OPTIONAL
```

If a spare value is included in a CHOICE, and that has to be replaced with a new information element and an appropriate type in the new version, the name of the element replaces the spare name in the CHOICE, but the type cannot be replaced, because that would lead to incompatibilities. Instead, the new type is included in the nonCriticalExtension part of the message, as shown in Example 9.

```
-- In the previous version, MessageA-r3-IEs was defined:
MessageA-r3-IEs ::=
                                    SEQUENCE {
    element1
                                        CHOICE {
        e1
                                             E1,
        e2
                                             E2.
                                             NULL
        spare
}
-- Now a new option is needed for the element1 CHOICE: e3 with type E3.
-- MessageA-r3-IEs is redefined:
MessageA-r3-IEs ::=
                                     SEQUENCE {
-- If element1 has the value e3, the value of e3 is specified in the element e3
-- included in MessageA-r4-ext-IEs.
    element1
                                         CHOICE {
        e1
        e2
                                             E2.
        e3
                                             NULL
}
                                    SEQUENCE {
MessageA-r4-ext-IEs ::=
-- the following shall be present, if element1 in MessageA-r3-IEs has the value e3.
                                         E3
                                                     OPTIONAL
    e3
```

Example 9

10.4.3.4.6 Introducing new System Information Block Types

In general new message types are introduced by replacing a spare value as described in 10.4.3.4.5. That subclause also shows that in case there are insufficient spare values available, the last spare value can be replaced by a special extension value. If that value is used, an additional message type extension IE is included to distinguish between the additional message types, as shown in Example 10.

```
DL-CCCH-Message ::= SEQUENCE
    integrityCheckInfo
                            IntegrityCheckInfo
                                                    OPTIONAL,
                            DL-CCCH-MessageType
   message
DL-CCCH-MessageType ::= CHOICE {
   cellUpdateConfirm
                                        CellUpdateConfirm-CCCH,
   rrcConnectionReject
                                        RRCConnectionReject
   rrcConnectionRelease
                                        RRCConnectionRelease-CCCH,
   rrcConnectionSetup
                                        RRCConnectionSetup
   uraUpdateConfirm
                                        URAUpdateConfirm-CCCH,
                                        Ext1Message-CCCH
```

ext2	Ext2Message-CCCH,
extension	DL-CCCH-MessageTypeExt
1	
DL-CCCH-MessageTypeExt ::= CHOICE {	
Ext3	Ext3Message-CCCH,
spare3	NULL,
spare2	NULL,
sparel	NULL
}	
<u> </u>	

For system information block types, the "SIB type" information element is also included in each of the segments. If in this case there are insufficient spare values, the last value can again be used to indicate "extension". If that value is used, an additional SIB type extension IE is included to distinguish between the additional SIB types. This additional IE is not included in the segments; it is only included in the scheduling information included in the MIB and/ or the SBs.

NOTE One could include this additional IE in the segments e.g. by changing the SIB-type into a choice as shown in example 11. This option should not be used since it involves additional overhead (more scarce BCH bits are needed to indicate the SIB type) and complicates the scheduling (more different SIB data sizes are to be considered).

in the Common to	EQUENCE (
<pre>irstSegment ::= SI Other information elements</pre>	EQUENCE {
sib-Type	CID Time
seq-Count	SIB-Type, SegCount,
sib-Data-fixed	SIB-Data-fixed
SID-Data-lixed	SIB-Data-lixed
IB-Type ::=	CHOICE {
MasterInformationBlock	NULL,
systemInformationBlockType1	NULL,
systemInformationBlockType2	NULL,
systemInformationBlockType3	NULL,
systemInformationBlockType4	NULL,
systemInformationBlockType5	NULL,
systemInformationBlockType6	NULL,
systemInformationBlockType7	NULL,
systemInformationBlockType8	NULL,
systemInformationBlockType9	NULL,
systemInformationBlockType10	NULL,
systemInformationBlockType11	NULL,
systemInformationBlockType12	NULL,
systemInformationBlockType13	NULL,
systemInformationBlockType13-1	
systemInformationBlockType13-2	
systemInformationBlockType13-3	
systemInformationBlockType13-4	· ·
systemInformationBlockType14	NULL,
systemInformationBlockType15	NULL,
systemInformationBlockType15-1	
systemInformationBlockType15-2	
systemInformationBlockType15-3	
systemInformationBlockType16	NULL,
systemInformationBlockType17	NULL,
systemInformationBlockType15-4	
systemInformationBlockType18	NULL,
schedulingBlock1	NULL,
schedulingBlock2	NULL,
systemInformationBlockType15-	· ·
ext1	NULL,
extension	SIB-TypeExt
	<u> </u>
IB-TypeExt ::= CF	HOICE {
ext2	NULL,
spare7	NULL,
spare6	
	NULL,
spare5	NULL,
spare5 spare4	<u> </u>
-	NULL,
spare4	NULL,

}

Example 11 - Not recommended

The addition of new SIB types to the scheduling information is illustrated by example 12. The example shows the extension of the choice. The example also shows that the information applicable for the extended choice values is appended at the end of the SIB (in this case the MIB), as a non critical extension.

NOTE In this example only the number of SIB types is increased; the number of SIBs that can be scheduled (as reflected in the size of the list in the scheduling information) is not extended.

```
MasterInformationBlock ::=
                                     SEQUENCE {
        mib-ValueTag
                                         MIB-ValueTag,
        -- TABULAR: The PLMN identity and ANSI-41 core network information
        -- are included in PLMN-Type.
                                          PLMN-Type,
        plmn-Type
                                          SIBSb-ReferenceList,
        sibSb-ReferenceList
                                              SEQUENCE {
        vxy0NonCriticalExtensions
                                                  MasterInformationBlock-vxy0ext-IEs
            masterInformationBlock-vxy0ext
            {\tt nonCriticalExtensions}
                                                  SEQUENCE {}
                                                                                    OPTIONAL
          OPTIONAL
SIBSb-ReferenceList ::=
                                     SEQUENCE (SIZE (1..maxSIB)) OF
                                          SchedulingInformationSIBSb
SchedulingInformationSIBSb ::=
                                          SEOUENCE {
    sibSb-Type
                                          SIBSb-TypeAndTag,
    scheduling
                                          SchedulingInformation
                                          CHOICE {
SIBSb-TypeAndTag ::=
    sysInfoType1
                                          PLMN-ValueTag,
    sysInfoType2
                                          CellValueTag,
                                          CellValueTag,
    sysInfoType3
                                          CellValueTag,
    sysInfoType4
                                          CellValueTag,
    sysInfoType5
                                          CellValueTag,
    sysInfoType6
                                          NULL,
    sysInfoType7
                                          CellValueTag,
    sysInfoType8
                                         NULL,
    sysInfoType9
                                         NULL,
    sysInfoType10
                                          CellValueTag,
    sysInfoType11
                                          CellValueTag,
    sysInfoType12
    sysInfoType13
                                          CellValueTag,
    sysInfoType13-1
                                          CellValueTag,
                                          CellValueTag,
    sysInfoType13-2
    sysInfoType13-3
                                          CellValueTag,
                                          CellValueTag,
    sysInfoType13-4
    sysInfoType14
    sysInfoType15
                                          CellValueTag,
                                          PredefinedConfigIdentityAndValueTag,
    sysInfoType16
                                          NULL
    sysInfoType17
                                          CellValueTag,
    sysInfoTypeSB1
                                          CellValueTag,
    sysInfoTypeSB2
    sysInfoType15-1
                                          CellValueTag,
                                          SIBOccurrenceIdentityAndValueTag,
    sysInfoType15-2
    sysInfoType15-3
                                          SIBOccurrenceIdentityAndValueTag,
                                          CellValueTag,
    sysInfoType15-4
    sysInfoType18
                                          CellValueTag,
    sysInfoType15-5
                                          CellValueTag,
                                         NULL,
    ext1
                                          NULL,
    ext2
                                          NULL
    extension
SIBSb-TypeAndTagExt ::=
                                          CHOICE {
                                          NULL,
                                          NULL,
    spare7
                                          NULL,
    spare6
                                         NULL,
    spare5
    spare4
                                         NULL,
    spare3
                                          NULL,
    spare2
                                          NULL,
    spare1
                                          NULL
```

```
-- For each extended SIB type the value tag information is added at the end ExtSIBTypeInfoSchedulingInfo-List::= SEQUENCE (SIZE (1..maxSIB)) OF
                                        ExtSIBTypeInfoSchedulingInfo
ExtSIBTypeInfoSchedulingInfo-List::= SEQUENCE {
                                      INTEGER (1..maxSIB),
   schedulingInfoListIndex
                                      ValueTagInfo
    valueTagInfo
ValueTagInfo ::= CHOICE {
                                      NULL.
   None
                                      CellValueTag,
    sysInfoType2
    sysInfoType1
                                      PLMN-ValueTag,
   sysInfoType15-3
                                      SIBOccurrenceIdentityAndValueTag
```

Example 12 - Recommended method