TSG-RAN Meeting #21 Frankfurt, Germany, 16-19 September 2003

Title: CRs (R'99 and linked Rel-4/Rel-5) to TS 25.331 (3)

Source: TSG-RAN WG2

Agenda item: 7.3.3

Spec	CR Rev	Phase	Subject	Cat	Version- Current	Version- New	Doc-2nd- Level	Workitem
25.331	2046 -	R99	Corrections to event list handling	F	3.15.0	3.16.0	R2-031974	TEI
25.331	2047 -	Rel-4	Corrections to event list handling	Α	4.10.0	4.11.0	R2-031975	TEI
25.331	2048 -	Rel-5	Corrections to event list handling	Α	5.5.0	5.6.0	R2-031976	TEI
25.331	2049 -	R99	Corrections to RACH reporting	F	3.15.0	3.16.0	R2-031977	TEI
25.331	2050 -	Rel-4	Corrections to RACH reporting	Α	4.10.0	4.11.0	R2-031978	TEI
25.331	2051 -	Rel-5	Corrections to RACH reporting	Α	5.5.0	5.6.0	R2-031979	TEI
25.331	2052 -	R99	Corrections to modification of Additional Measurement lists	F	3.15.0	3.16.0	R2-031980	TEI
25.331	2053 -	Rel-4	Corrections to modification of Additional Measurement lists	F	4.10.0	4.11.0	R2-031981	TEI
25.331	2054 -	Rel-5	Corrections to modification of Additional Measurement lists	Α	5.5.0	5.6.0	R2-031982	TEI
25.331	2055 1	R99	UE positioning support in the UE	F	3.15.0	3.16.0	R2-032048	TEI
25.331	2056 -	Rel-4	UE positioning support in the UE	Α	4.10.0	4.11.0	R2-031987	TEI
25.331	2057 -	Rel-5	UE positioning support in the UE	F	5.5.0	5.6.0	R2-031988	TEI
25.331	2058 1	R99	Corrections for minimum UE capability class	F	3.15.0	3.16.0	R2-032043	TEI
25.331	2059 1	Rel-4	Corrections for minimum UE capability class	Α	4.10.0	4.11.0	R2-032044	TEI
25.331	2060 1	Rel-5	Corrections for minimum UE capability class	Α	5.5.0	5.6.0	R2-032045	TEI
25.331	2067 -	R99	UE behaviour in transition from CELL_DCH to CELL_FACH/ CELL_PCH/URA_PCH and Out of Service is detected	F	3.15.0	3.16.0	R2-032029	TEI
25.331	2068 -	Rel-4	UE behaviour in transition from CELL_DCH to CELL_FACH/ CELL_PCH/URA_PCH and Out of Service is detected	Α	4.10.0	4.11.0	R2-032030	TEI
25.331	2069 -	Rel-5	UE behaviour in transition from CELL_DCH to CELL_FACH/ CELL_PCH/URA_PCH and Out of Service is detected	F	5.5.0	5.6.0	R2-032031	TEI

TSG-RAN Working Group 2 meeting #37 Budapest, Hungary 25th-29th Aug 2003

R2-031974

	(CHANG	SE REQU	JEST	•		CR-Form-v7
*	25.331 CR	2046	жrev	*	Current version:	3.f.0	*

For <u>HELP</u> on using this form, see bottom of this page or look at the pop-up text over the **%** symbols.

Proposed chang	ge a	affects:	UICC apps ₩	M	E X Radio Aco	cess Networ	k X Core Network	(
s								
Title:	ж	Correcti	ons to event list	handling				
Source:	Ж	RAN W	'G2					
Work item code.	:Ж	TEI				Date: %	Aug 2003	
Category:	Ж	F			I	Release: #	R99	
		Use <u>one</u>	of the following c	ategories:		Use <u>one</u> of	the following releases:	•
		F (c	correction)			2	(GSM Phase 2)	
		A (0	corresponds to a	correction in a	an earlier release)	R96	(Release 1996)	
		B (a	addition of feature	<i>;),</i>		R97	(Release 1997)	
		C (f	unctional modific	ation of featur	e)	R98	(Release 1998)	
			editorial modificat			R99	(Release 1999)	
		Detailed of	explanations of th	ne above cate	gories can	Rel-4	(Release 4)	
		be found	in 3GPP TR 21.9	<u>000</u> .		Rel-5	(Release 5)	
						Rel-6	(Release 6)	
					•		-	

Reason for change: # The specification is not clear on how event lists (several instances of IE Reporting criteria) are handled in case UE receives a MEASUREMENT CONTROL with IE "Measurement command" has the value "modify".

Summary of change: ** It is clarified that all instances of an optional IE stored by the UE (i.e. the complete list of stored events) are replaced by the received IEs (i.e. the received event list).

T1 impact: No impact on T1 specifications is foreseen

Backward compatibility:

Considered a clarification of currently specified UE behaviour.

UE's not complying with this clarification might update the stored event list erroneously. Since the clarification indicates less complexity than a UE might have assumed, the specified behaviour is assumed to be compatible with implemented behaviour.

UTRAN assuming the incorrect behaviour might have to be corrected.

Consequences if not approved:

X The indicated unclarities will remain in the specification.

UTRAN and UE implementations might be using different approaches for the updating of event lists, leading to erroneous measurement configurations stored in the UE.

In case a UTRAN intends to add and/or delete events stored for a Measurement, a UTRAN should use the work-around method to release the Measurement and setup the Measurement again with the new event list.

Clauses affected:	8.4.1.3	
Other specs	Y N X Other core specifications	*
affected:	X Test specifications X O&M Specifications	
Other comments:	*	

- 1) Fill out the above form. The symbols above marked \$\mathbb{X}\$ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.4.1.3 Reception of MEASUREMENT CONTROL by the UE

Upon reception of a MEASUREMENT CONTROL message the UE shall perform actions specified in subclause 8.6 unless otherwise specified below.

The UE shall:

- 1> read the IE "Measurement command":
- 1> if the IE "Measurement command" has the value "setup":
 - 2> store this measurement in the variable MEASUREMENT_IDENTITY according to the IE "measurement identity", first releasing any previously stored measurement with that identity if that exists;
 - 2> if the measurement type is quality, UE internal, intra-frequency, inter-frequency or inter-RAT:
 - 3> if the UE is in CELL_FACH state:
 - 4> the UE behaviour is not specified.
 - 2> for measurement types "inter-RAT measurement" or "inter-frequency measurement" that require measurements on a frequency other than the actually used frequency:
 - 3> if, according to its measurement capabilities, the UE requires compressed mode to perform that measurement type and after reception of this message a compressed mode pattern sequence with an appropriate measurement purpose is active according to the IE "Current TGPS Status Flag" in UE variable TGPS_IDENTITY; or
 - 3> if, according to its measurement capabilities, the UE does not require compressed mode to perform the measurements on at least one supported band of that measurement type:
 - 4> if the measurement is valid in the current RRC state of the UE:
 - 5> begin measurements according to the stored control information for this measurement identity.
- NOTE: The UE is not required to perform measurements on cells for which it needs compressed mode but a suitable compressed mode pattern is not activated.
 - 2> for measurement type "inter-frequency measurement" that requires measurements only on the same frequency as the actually used frequency:
 - 3> if the measurement is valid in the current RRC state of the UE:
 - 4> begin measurements according to the stored control information for this measurement identity.
 - 2> for measurement type "UE positioning measurement":
 - 3> if the UE is in CELL_FACH state:
 - 4> if IE "Positioning Method" is set to "OTDOA":
 - 5> if IE "Method Type" is set to "UE assisted":
 - 6> if IE "UE positioning OTDOA assistance data for UE assisted" is not included:
 - 7> if System Information Block type 15.4 is broadcast:
 - 8> read System Information Block type 15.4.
 - 7> act as specified in subclause 8.6.7.19.2.
 - 5> if IE "Method Type" is set to "UE based":
 - 6> if IE "UE positioning OTDOA assistance data for UE based" is not included:
 - 7> if System Information Block type 15.5 is broadcast:
 - 8> read System Information Block type 15.5.

- 7> act as specified in subclause 8.6.7.19.2a.
- 2> for any other measurement type:
 - 3> if the measurement is valid in the current RRC state of the UE:
 - 4> begin measurements according to the stored control information for this measurement identity.
- 1> if the IE "Measurement command" has the value "modify":
 - 2> for all IEs present in the MEASUREMENT CONTROL message:
 - 3> if a measurement was stored in the variable MEASUREMENT_IDENTITY associated to the identity by the IE "measurement identity":
 - 4> if the measurement type is quality, UE internal, intra-frequency, inter-frequency or inter-RAT:
 - 5> if the UE is in CELL_FACH state:
 - 6> the UE behaviour is not specified.
 - 4> if measurement type is set to "intra-frequency measurement", for any of the optional IEs "Intra-frequency measurement objects list", "Intra-frequency measurement quantity", "Intra-frequency reporting quantity", "Measurement Validity", "report criteria" and "parameters required for each event" (given "report criteria" is set to "intra-frequency measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "inter-frequency measurement", for any of the optional IEs "Inter-frequency measurement quantity", "Inter-frequency reporting quantity", "Measurement Validity", "Inter-frequency set update" and "parameters required for each event" (given "report criteria" is set to either "inter-frequency measurement reporting criteria" or "intra-frequency measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "inter-RAT measurement", for any of the optional IEs "Inter-RAT measurement objects list", "Inter-RAT measurement quantity", "Inter-RAT reporting quantity" and "parameters required for each event" (given "report criteria" is set to "inter-RAT measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "UE positioning measurement" and the IE "UE positioning OTDOA assistance data" is present, for any of the optional IEs "UE positioning OTDOA neighbour cell info for UE-assisted", "UE positioning OTDOA reference cell info for UE-assisted", "UE positioning OTDOA neighbour cell info for UE-based" and "UE positioning" that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "UE positioning measurement" and the IE "UE positioning GPS assistance data" is present, for any of the optional IEs "UE positioning GPS reference time", "UE positioning GPS reference UE position", "UE positioning GPS DGPS corrections", "UE positioning GPS navigation model", "UE positioning GPS ionospheric model", "UE positioning GPS ultromodel", "UE positioning GPS almanac", "UE positioning GPS acquisition assistance", "UE positioning GPS real-time integrity" that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "traffic volume measurement", for any of the optional IEs "Traffic volume measurement Object", "Traffic volume measurement quantity", "Traffic volume reporting quantity", "Measurement Validity" and "parameters required for each event" (given "report criteria" is set to "traffic volume measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "quality measurement", for any of the optional IE "Quality reporting quantity" that is present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "UE internal measurement", for any of the optional IEs "UE internal measurement quantity", "UE internal reporting quantity" and "parameters required for each event" (given "report criteria" is set to "UE internal measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:

- 5> replace the corresponding information (all instances of the IEs listed above (and all their children) stored in variable MEASUREMENT_IDENTITY associated to the identity indicated by the IE "measurement identity" with the one-IEs received in the MEASUREMENT CONTROL message;
- 5> leave all other stored information elements unchanged in the variable MEASUREMENT_IDENTITY.

3> otherwise:

- 4> set the variable CONFIGURATION_INCOMPLETE to TRUE.
- 2> if measurement type is set to "inter-frequency measurement":
 - 3> if "report criteria" is set to "intra-frequency report criteria" and "reporting criteria" in "inter-frequency measurement quantity" is set to "intra-frequency reporting criteria":
 - 4> leave the currently stored "inter-frequency report criteria" within "report criteria" and "inter-frequency reporting criteria" within "inter-frequency measurement quantity" unchanged, and continue to act on the information stored in these variables, and also store the newly received "intra-frequency report criteria" and intra-frequency reporting criteria.

3> otherwise:

- 4> clear the variables associated with the CHOICE "report criteria" and store the received "report criteria" choice;
- 4> if the IE "inter-frequency measurement quantity" is present:
 - 5> clear the variables associated with the choice "reporting criteria" in "inter-frequency measurement quantity" and store the received "reporting criteria" choice.
- NOTE: If the UTRAN wants to modify the inter-frequency cell info list for an inter-frequency measurement configured with event based reporting without repeating any IEs related to the configured events, the only possibility is to set the IE "report criteria" to "intra-frequency report criteria", not include the IE "parameters required for each event", and set the IE "reporting criteria" in the IE "inter-frequency measurement quantity" to "intra-frequency reporting criteria".
 - 2> for measurement types "inter-frequency measurement" that require measurements on a frequency other than the actually used frequency, or that require measurements on another RAT:
 - 3> if, according to its measurement capabilities, the UE requires compressed mode to perform that measurement type and after reception of this message a compressed mode pattern sequence with an appropriate measurement purpose is active according to the IE "Current TGPS Status Flag" in UE variable TGPS_IDENTITY; or
 - 3> if, according to its measurement capabilities, the UE does not require compressed mode, on at least one supported band of that measurement type, to perform the measurements:
 - 4> resume the measurements according to the new stored measurement control information.
 - 2> for measurement type "inter-frequency measurement" that requires measurements only on the same frequency as the actually used frequency:
 - 3> if the measurement is valid in the current RRC state of the UE:
 - 4> resume measurements according to the new stored control information for this measurement identity.
 - 2> for any other measurement type:
 - 3> resume the measurements according to the new stored measurement control information.
- 1> if the IE "measurement command" has the value "release":
 - 2> terminate the measurement associated with the identity given in the IE "measurement identity";
 - 2> clear all stored measurement control information related associated to this measurement identity in variable MEASUREMENT_IDENTITY.

- 1> if the IE "DPCH Compressed Mode Status Info" is present:
 - 2> if, as the result of this message, UE will have more than one transmission gap pattern sequence with the same measurement purpose active (according to IE 'TGMP' in variable TGPS_IDENTITY):
 - 3> set the variable CONFIGURATION_INCOMPLETE to TRUE.
 - 2> if there is any pending "TGPS reconfiguration CFN" or any pending "TGCFN":
 - 3> the UE behaviour is unspecified;
 - 2> if pattern sequence corresponding to IE "TGPSI" is already active (according to "Current TGPS Status Flag") in the variable TGPS_IDENTITY):
 - 3> if the "TGPS Status Flag" in this message is set to "deactivate" for the corresponding pattern sequence:
 - 4> deactivate this pattern sequence at the beginning of the frame indicated by IE "TGPS reconfiguration CFN" received in the message;
 - 4> set the "Current TGPS Status Flag" for this pattern sequence in the variable TGPS_IDENTITY to "inactive".
 - 3> if the "TGPS Status Flag" in this message is set to "activate" for the corresponding pattern sequence:
 - 4> deactivate this pattern sequence at the beginning of the frame indicated by IE "TGPS reconfiguration CFN" received in the message.
- NOTE: The temporary deactivation of pattern sequences for which the status flag is set to "activate" can be used by the network to align the timing of already active patterns with newly activated patterns.
 - 2> after the time indicated by IE "TGPS reconfiguration CFN" has elapsed:
 - 3> activate the pattern sequence corresponding to each IE "TGPSI" for which the "TGPS status flag" in this message is set to "activate" at the time indicated by IE "TGCFN"; and
 - 3> set the corresponding "Current TGPS status flag" for this pattern sequence in the variable TGPS_IDENTITY to "active"; and
 - 3> begin the inter-frequency and/or inter-RAT measurements corresponding to the pattern sequence measurement purpose of each activated pattern sequence;
 - 3> if the values of IE "TGPS reconfiguration CFN" and IE "TGCFN" are equal:
 - 4> start the concerned pattern sequence immediately at that CFN.
 - 2> not alter pattern sequences stored in variable TGPS_IDENTITY, if the pattern sequence is not identitifed in IE "TGPSI" in the received message.
- 1> if the UE in CELL_FACH state receives a MEASUREMENT CONTROL message, which indicates the same measurement identity as that stored in the variable MEASUREMENT_IDENTITY:
 - 2> update the stored information with the traffic volume measurement control information in variable MEASUREMENT_IDENTITY; and
 - 2> refrain from updating the traffic volume measurement control information associated with this measurement identity in the variable MEASUREMENT_IDENTITY with the information received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11) until this measurement is explicitly released with another MEASUREMENT CONTROL message.
- 1> if the IE "Read SFN indicator" included in the IE "Cell info" of an inter-frequency cell is set to TRUE and the variable UE_CAPABILITY_TRANSFERRED has the DL "Measurement capability" for "FDD measurements" set to TRUE (the UE requires DL compressed mode in order to perform measurements on FDD):
 - 2> set the variable CONFIGURATION INCOMPLETE to TRUE.
- 1> clear the entry for the MEASUREMENT CONTROL message in the table "Accepted transactions" in the variable TRANSACTIONS.

The UE may:

- 1> if the IE "Measurement command" has the value "setup":
 - 2> for measurement type "UE positioning measurement":
 - 3> if the UE is CELL_FACH state:
 - 4> if IE "Positioning Method" is set to "GPS":
 - 5> if IE "UE positioning GPS assistance data" is not included and variable UE_POSITIONING_GPS_DATA is empty:
 - 6> if System Information Block types 15, 15.1, 15.2 and 15.3 are broadcast:
 - 7> read System Information Block types 15, 15.1, 15.2 and 15.3.
 - 6> act as specified in subclause 8.6.7.19.3.
- 1> and the procedure ends.

CR-Form-v7

TSG-RAN Working Group 2 meeting #37 Budapest, Hungary 25th-29th Aug 2003

R2-031975

CHANGE REQUEST

25.331 CR 2047 # rev # Current version: 4.10.0

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the **%** symbols.

Proposed change affects: UICC apps # ME X Radio Access Network X Core Network Title: Corrections to event list handling RAN WG2 Source: **Date:** 第 Aug 2003 ж Category: Release: # R99 Use one of the following categories: Use <u>one</u> of the following releases: F (correction) (GSM Phase 2) 2 A (corresponds to a correction in an earlier release) R96 (Release 1996)

Reason for change: # The specification is not clear on how event lists (several instances of IE Reporting criteria) are handled in case UE receives a MEASUREMENT CONTROL with IE "Measurement command" has the value "modify".

Summary of change: ** It is clarified that all instances of an optional IE stored by the UE (i.e. the complete list of stored events) are replaced by the received IEs (i.e. the received event list).

T1 impact: No impact on T1 specifications is foreseen

Backward compatibility:

B (addition of feature),

be found in 3GPP TR 21.900.

D (editorial modification)

C (functional modification of feature)

Detailed explanations of the above categories can

Considered a clarification of currently specified UE behaviour.

UE's not complying with this clarification might update the stored event list erroneously. Since the clarification indicates less complexity than a UE might have assumed, the specified behaviour is assumed to be compatible with implemented behaviour.

R97

R98

R99

Rel-4

Rel-5

Rel-6

(Release 1997)

(Release 1998)

(Release 1999)

(Release 4)

(Release 5)

(Release 6)

UTRAN assuming the incorrect behaviour might have to be corrected.

Consequences if not approved:

X The indicated unclarities will remain in the specification.

UTRAN and UE implementations might be using different approaches for the updating of event lists, leading to erroneous measurement configurations stored in the UE.

In case a UTRAN intends to add and/or delete events stored for a Measurement, a UTRAN should use the work-around method to release the Measurement and setup the Measurement again with the new event list.

Clauses affected:	8.4.1.3	
Other specs	Y N X Other core specifications	*
affected:	X Test specifications X O&M Specifications	
Other comments:	*	

- 1) Fill out the above form. The symbols above marked \$\mathbb{X}\$ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.4.1.3 Reception of MEASUREMENT CONTROL by the UE

Upon reception of a MEASUREMENT CONTROL message the UE shall perform actions specified in subclause 8.6 unless otherwise specified below.

The UE shall:

- 1> read the IE "Measurement command":
- 1> if the IE "Measurement command" has the value "setup":
 - 2> store this measurement in the variable MEASUREMENT_IDENTITY according to the IE "measurement identity", first releasing any previously stored measurement with that identity if that exists;
 - 2> if the measurement type is quality, UE internal, intra-frequency, inter-frequency or inter-RAT:
 - 3> if the UE is in CELL_FACH state:
 - 4> the UE behaviour is not specified.
 - 2> for measurement types "inter-RAT measurement" or "inter-frequency measurement" that require measurements on a frequency other than the actually used frequency:
 - 3> if, according to its measurement capabilities, the UE requires compressed mode to perform that measurement type and after reception of this message a compressed mode pattern sequence with an appropriate measurement purpose is active according to the IE "Current TGPS Status Flag" in UE variable TGPS_IDENTITY; or
 - 3> if, according to its measurement capabilities, the UE does not require compressed mode to perform the measurements on at least one supported band of that measurement type:
 - 4> if the measurement is valid in the current RRC state of the UE:
 - 5> begin measurements according to the stored control information for this measurement identity.
- NOTE: The UE is not required to perform measurements on cells for which it needs compressed mode but a suitable compressed mode pattern is not activated.
 - 2> for measurement type "inter-frequency measurement" that requires measurements only on the same frequency as the actually used frequency:
 - 3> if the measurement is valid in the current RRC state of the UE:
 - 4> begin measurements according to the stored control information for this measurement identity.
 - 2> for measurement type "UE positioning measurement":
 - 3> if the UE is in CELL_FACH state:
 - 4> if IE "Positioning Method" is set to "OTDOA":
 - 5> if IE "Method Type" is set to "UE assisted":
 - 6> if IE "UE positioning OTDOA assistance data for UE assisted" is not included:
 - 7> if System Information Block type 15.4 is broadcast:
 - 8> read System Information Block type 15.4.
 - 7> act as specified in subclause 8.6.7.19.2.
 - 5> if IE "Method Type" is set to "UE based":
 - 6> if IE "UE positioning OTDOA assistance data for UE based" is not included:
 - 7> if System Information Block type 15.5 is broadcast:
 - 8> read System Information Block type 15.5.

- 7> act as specified in subclause 8.6.7.19.2a.
- 2> for any other measurement type:
 - 3> if the measurement is valid in the current RRC state of the UE:
 - 4> begin measurements according to the stored control information for this measurement identity.
- 1> if the IE "Measurement command" has the value "modify":
 - 2> for all IEs present in the MEASUREMENT CONTROL message:
 - 3> if a measurement was stored in the variable MEASUREMENT_IDENTITY associated to the identity by the IE "measurement identity":
 - 4> if the measurement type is quality, UE internal, intra-frequency, inter-frequency or inter-RAT:
 - 5> if the UE is in CELL_FACH state:
 - 6> the UE behaviour is not specified.
 - 4> if measurement type is set to "intra-frequency measurement", for any of the optional IEs "Intra-frequency measurement objects list", "Intra-frequency measurement quantity", "Intra-frequency reporting quantity", "Measurement Validity", "report criteria" and "parameters required for each event" (given "report criteria" is set to "intra-frequency measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "inter-frequency measurement", for any of the optional IEs "Inter-frequency measurement quantity", "Inter-frequency reporting quantity", "Measurement Validity", "Inter-frequency set update" and "parameters required for each event" (given "report criteria" is set to either "inter-frequency measurement reporting criteria" or "intra-frequency measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "inter-RAT measurement", for any of the optional IEs "Inter-RAT measurement objects list", "Inter-RAT measurement quantity", "Inter-RAT reporting quantity" and "parameters required for each event" (given "report criteria" is set to "inter-RAT measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "UE positioning measurement" and the IE "UE positioning OTDOA assistance data" is present, for any of the optional IEs "UE positioning OTDOA neighbour cell info for UE-assisted", "UE positioning OTDOA reference cell info for UE-assisted", "UE positioning OTDOA neighbour cell info for UE-based" and "UE positioning" that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "UE positioning measurement" and the IE "UE positioning GPS assistance data" is present, for any of the optional IEs "UE positioning GPS reference time", "UE positioning GPS reference UE position", "UE positioning GPS DGPS corrections", "UE positioning GPS navigation model", "UE positioning GPS ionospheric model", "UE positioning GPS ultromodel", "UE positioning GPS almanac", "UE positioning GPS acquisition assistance", "UE positioning GPS real-time integrity" that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "traffic volume measurement", for any of the optional IEs "Traffic volume measurement Object", "Traffic volume measurement quantity", "Traffic volume reporting quantity", "Measurement Validity" and "parameters required for each event" (given "report criteria" is set to "traffic volume measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "quality measurement", for any of the optional IE "Quality reporting quantity" that is present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "UE internal measurement", for any of the optional IEs "UE internal measurement quantity", "UE internal reporting quantity" and "parameters required for each event" (given "report criteria" is set to "UE internal measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:

- 5> replace the corresponding information (all instances of the IEs listed above (and all their children) stored in variable MEASUREMENT_IDENTITY associated to the identity indicated by the IE "measurement identity" with the one IEs received in the MEASUREMENT CONTROL message;
- 5> leave all other stored information elements unchanged in the variable MEASUREMENT_IDENTITY.

3> otherwise:

- 4> set the variable CONFIGURATION_INCOMPLETE to TRUE.
- 2> if measurement type is set to "inter-frequency measurement":
 - 3> if "report criteria" is set to "intra-frequency report criteria" and "reporting criteria" in "inter-frequency measurement quantity" is set to "intra-frequency reporting criteria":
 - 4> leave the currently stored "inter-frequency report criteria" within "report criteria" and "inter-frequency reporting criteria" within "inter-frequency measurement quantity" unchanged, and continue to act on the information stored in these variables, and also store the newly received "intra-frequency report criteria" and intra-frequency reporting criteria.

3> otherwise:

- 4> clear the variables associated with the CHOICE "report criteria" and store the received "report criteria" choice;
- 4> if the IE "inter-frequency measurement quantity" is present:
 - 5> clear the variables associated with the choice "reporting criteria" in "inter-frequency measurement quantity" and store the received "reporting criteria" choice.
- NOTE: If the UTRAN wants to modify the inter-frequency cell info list for an inter-frequency measurement configured with event based reporting without repeating any IEs related to the configured events, the only possibility is to set the IE "report criteria" to "intra-frequency report criteria", not include the IE "parameters required for each event", and set the IE "reporting criteria" in the IE "inter-frequency measurement quantity" to "intra-frequency reporting criteria".
 - 2> for measurement types "inter-frequency measurement" that require measurements on a frequency other than the actually used frequency, or that require measurements on another RAT:
 - 3> if, according to its measurement capabilities, the UE requires compressed mode to perform that measurement type and after reception of this message a compressed mode pattern sequence with an appropriate measurement purpose is active according to the IE "Current TGPS Status Flag" in UE variable TGPS_IDENTITY; or
 - 3> if, according to its measurement capabilities, the UE does not require compressed mode, on at least one supported band of that measurement type, to perform the measurements:
 - 4> resume the measurements according to the new stored measurement control information.
 - 2> for measurement type "inter-frequency measurement" that requires measurements only on the same frequency as the actually used frequency:
 - 3> if the measurement is valid in the current RRC state of the UE:
 - 4> resume measurements according to the new stored control information for this measurement identity.
 - 2> for any other measurement type:
 - 3> resume the measurements according to the new stored measurement control information.
- 1> if the IE "measurement command" has the value "release":
 - 2> terminate the measurement associated with the identity given in the IE "measurement identity";
 - 2> clear all stored measurement control information related associated to this measurement identity in variable MEASUREMENT_IDENTITY.

- 1> if the IE "DPCH Compressed Mode Status Info" is present:
 - 2> if, as the result of this message, UE will have more than one transmission gap pattern sequence with the same measurement purpose active (according to IE 'TGMP' in variable TGPS_IDENTITY):
 - 3> set the variable CONFIGURATION_INCOMPLETE to TRUE.
 - 2> if there is any pending "TGPS reconfiguration CFN" or any pending "TGCFN":
 - 3> the UE behaviour is unspecified;
 - 2> if pattern sequence corresponding to IE "TGPSI" is already active (according to "Current TGPS Status Flag") in the variable TGPS_IDENTITY):
 - 3> if the "TGPS Status Flag" in this message is set to "deactivate" for the corresponding pattern sequence:
 - 4> deactivate this pattern sequence at the beginning of the frame indicated by IE "TGPS reconfiguration CFN" received in the message;
 - 4> set the "Current TGPS Status Flag" for this pattern sequence in the variable TGPS_IDENTITY to "inactive".
 - 3> if the "TGPS Status Flag" in this message is set to "activate" for the corresponding pattern sequence:
 - 4> deactivate this pattern sequence at the beginning of the frame indicated by IE "TGPS reconfiguration CFN" received in the message.
- NOTE: The temporary deactivation of pattern sequences for which the status flag is set to "activate" can be used by the network to align the timing of already active patterns with newly activated patterns.
 - 2> after the time indicated by IE "TGPS reconfiguration CFN" has elapsed:
 - 3> activate the pattern sequence corresponding to each IE "TGPSI" for which the "TGPS status flag" in this message is set to "activate" at the time indicated by IE "TGCFN"; and
 - 3> set the corresponding "Current TGPS status flag" for this pattern sequence in the variable TGPS_IDENTITY to "active"; and
 - 3> begin the inter-frequency and/or inter-RAT measurements corresponding to the pattern sequence measurement purpose of each activated pattern sequence;
 - 3> if the values of IE "TGPS reconfiguration CFN" and IE "TGCFN" are equal:
 - 4> start the concerned pattern sequence immediately at that CFN.
 - 2> not alter pattern sequences stored in variable TGPS_IDENTITY, if the pattern sequence is not identitifed in IE "TGPSI" in the received message.
- 1> if the UE in CELL_FACH state receives a MEASUREMENT CONTROL message, which indicates the same measurement identity as that stored in the variable MEASUREMENT_IDENTITY:
 - 2> update the stored information with the traffic volume measurement control information in variable MEASUREMENT_IDENTITY; and
 - 2> refrain from updating the traffic volume measurement control information associated with this measurement identity in the variable MEASUREMENT_IDENTITY with the information received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11) until this measurement is explicitly released with another MEASUREMENT CONTROL message.
- 1> if the IE "Read SFN indicator" included in the IE "Cell info" of an inter-frequency cell is set to TRUE and the variable UE_CAPABILITY_TRANSFERRED has the DL "Measurement capability" for "FDD measurements" set to TRUE (the UE requires DL compressed mode in order to perform measurements on FDD):
 - 2> set the variable CONFIGURATION INCOMPLETE to TRUE.
- 1> clear the entry for the MEASUREMENT CONTROL message in the table "Accepted transactions" in the variable TRANSACTIONS.

The UE may:

- 1> if the IE "Measurement command" has the value "setup":
 - 2> for measurement type "UE positioning measurement":
 - 3> if the UE is CELL_FACH state:
 - 4> if IE "Positioning Method" is set to "GPS":
 - 5> if IE "UE positioning GPS assistance data" is not included and variable UE_POSITIONING_GPS_DATA is empty:
 - 6> if System Information Block types 15, 15.1, 15.2 and 15.3 are broadcast:
 - 7> read System Information Block types 15, 15.1, 15.2 and 15.3.
 - 6> act as specified in subclause 8.6.7.19.3.
- 1> and the procedure ends.

TSG-RAN Working Group 2 meeting #37 Budapest, Hungary 25th-29th Aug 2003

R2-031976

	(CHANG	E REQ	JEST	-		CR-Form-v7
*	25.331 CR	2048	жrev	ж	Current version:	5.5.0	*

For <u>HELP</u> on using this form, see bottom of this page or look at the pop-up text over the **%** symbols.

Proposed chang	e a	iffects:	: UIC	C apps 	I	ME X Radio	Access	Netwo	rk X	Core Network
S										
Title:	Ж	Corre	ctions to	event list l	nandling					
Source:	Ж	RAN	WG2							
Work item code:	ж	TEI					L	Date: X	Aug 2	003
Category:	Ж	Α					Rele	ease: #	R99	
		Use on	<u>ie</u> of the f	following ca	ategories:		Us	e <u>one</u> of	the follov	ving releases:
		F	(correction	on)				2	(GSM P	hase 2)
						an earlier relea	/	R96	(Release	,
			•	of feature				R97	(Release	,
			•		ation of feat	ıre)		R98	(Release	,
			•	I modificati	,			R99	(Release	,
						egories can		Rel-4	(Release	e <i>4)</i>
		be four	nd in 3GP	PP <u>TR 21.9</u>	<u>00</u> .			Rel-5	(Release	<i>5)</i>
								Rel-6	(Release	e 6)

Reason for change: # The specification is not clear on how event lists (several instances of IE Reporting criteria) are handled in case UE receives a MEASUREMENT CONTROL with IE "Measurement command" has the value "modify".

Summary of change: ** It is clarified that all instances of an optional IE stored by the UE (i.e. the complete list of stored events) are replaced by the received IEs (i.e. the received event list).

T1 impact: No impact on T1 specifications is foreseen

Backward compatibility:

Considered a clarification of currently specified UE behaviour.

UE's not complying with this clarification might update the stored event list erroneously. Since the clarification indicates less complexity than a UE might have assumed, the specified behaviour is assumed to be compatible with implemented behaviour.

UTRAN assuming the incorrect behaviour might have to be corrected.

Consequences if not approved:

X The indicated unclarities will remain in the specification.

UTRAN and UE implementations might be using different approaches for the updating of event lists, leading to erroneous measurement configurations stored in the UE.

In case a UTRAN intends to add and/or delete events stored for a Measurement, a UTRAN should use the work-around method to release the Measurement and setup the Measurement again with the new event list.

Clauses affected:	8.4.1.3	
Other specs	Y N X Other core specifications	*
affected:	X Test specifications X O&M Specifications	
Other comments:	*	

- 1) Fill out the above form. The symbols above marked \$\mathbb{X}\$ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.4.1.3 Reception of MEASUREMENT CONTROL by the UE

Upon reception of a MEASUREMENT CONTROL message the UE shall perform actions specified in subclause 8.6 unless otherwise specified below.

The UE shall:

- 1> read the IE "Measurement command":
- 1> if the IE "Measurement command" has the value "setup":
 - 2> store this measurement in the variable MEASUREMENT_IDENTITY according to the IE "measurement identity", first releasing any previously stored measurement with that identity if that exists;
 - 2> if the measurement type is quality, UE internal, intra-frequency, inter-frequency or inter-RAT:
 - 3> if the UE is in CELL_FACH state:
 - 4> the UE behaviour is not specified.
 - 2> for measurement types "inter-RAT measurement" or "inter-frequency measurement" that require measurements on a frequency other than the actually used frequency:
 - 3> if, according to its measurement capabilities, the UE requires compressed mode to perform that measurement type and after reception of this message a compressed mode pattern sequence with an appropriate measurement purpose is active according to the IE "Current TGPS Status Flag" in UE variable TGPS_IDENTITY; or
 - 3> if, according to its measurement capabilities, the UE does not require compressed mode to perform the measurements on at least one supported band of that measurement type:
 - 4> if the measurement is valid in the current RRC state of the UE:
 - 5> begin measurements according to the stored control information for this measurement identity.
- NOTE: The UE is not required to perform measurements on cells for which it needs compressed mode but a suitable compressed mode pattern is not activated.
 - 2> for measurement type "inter-frequency measurement" that requires measurements only on the same frequency as the actually used frequency:
 - 3> if the measurement is valid in the current RRC state of the UE:
 - 4> begin measurements according to the stored control information for this measurement identity.
 - 2> for measurement type "UE positioning measurement":
 - 3> if the UE is in CELL_FACH state:
 - 4> if IE "Positioning Method" is set to "OTDOA":
 - 5> if IE "Method Type" is set to "UE assisted":
 - 6> if IE "UE positioning OTDOA assistance data for UE assisted" is not included:
 - 7> if System Information Block type 15.4 is broadcast:
 - 8> read System Information Block type 15.4.
 - 7> act as specified in subclause 8.6.7.19.2.
 - 5> if IE "Method Type" is set to "UE based":
 - 6> if IE "UE positioning OTDOA assistance data for UE based" is not included:
 - 7> if System Information Block type 15.5 is broadcast:
 - 8> read System Information Block type 15.5.

- 7> act as specified in subclause 8.6.7.19.2a.
- 2> for any other measurement type:
 - 3> if the measurement is valid in the current RRC state of the UE:
 - 4> begin measurements according to the stored control information for this measurement identity.
- 1> if the IE "Measurement command" has the value "modify":
 - 2> for all IEs present in the MEASUREMENT CONTROL message:
 - 3> if a measurement was stored in the variable MEASUREMENT_IDENTITY associated to the identity by the IE "measurement identity":
 - 4> if the measurement type is quality, UE internal, intra-frequency, inter-frequency or inter-RAT:
 - 5> if the UE is in CELL_FACH state:
 - 6> the UE behaviour is not specified.
 - 4> if measurement type is set to "intra-frequency measurement", for any of the optional IEs "Intra-frequency measurement objects list", "Intra-frequency measurement quantity", "Intra-frequency reporting quantity", "Measurement Validity", "report criteria" and "parameters required for each event" (given "report criteria" is set to "intra-frequency measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "inter-frequency measurement", for any of the optional IEs "Inter-frequency measurement quantity", "Inter-frequency reporting quantity", "Measurement Validity", "Inter-frequency set update" and "parameters required for each event" (given "report criteria" is set to either "inter-frequency measurement reporting criteria" or "intra-frequency measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "inter-RAT measurement", for any of the optional IEs "Inter-RAT measurement objects list", "Inter-RAT measurement quantity", "Inter-RAT reporting quantity" and "parameters required for each event" (given "report criteria" is set to "inter-RAT measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "UE positioning measurement" and the IE "UE positioning OTDOA assistance data" is present, for any of the optional IEs "UE positioning OTDOA neighbour cell info for UE-assisted", "UE positioning OTDOA reference cell info for UE-assisted", "UE positioning OTDOA neighbour cell info for UE-based" and "UE positioning" that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "UE positioning measurement" and the IE "UE positioning GPS assistance data" is present, for any of the optional IEs "UE positioning GPS reference time", "UE positioning GPS reference UE position", "UE positioning GPS DGPS corrections", "UE positioning GPS navigation model", "UE positioning GPS ionospheric model", "UE positioning GPS ultromodel", "UE positioning GPS almanac", "UE positioning GPS acquisition assistance", "UE positioning GPS real-time integrity" that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "traffic volume measurement", for any of the optional IEs "Traffic volume measurement Object", "Traffic volume measurement quantity", "Traffic volume reporting quantity", "Measurement Validity" and "parameters required for each event" (given "report criteria" is set to "traffic volume measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "quality measurement", for any of the optional IE "Quality reporting quantity" that is present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "UE internal measurement", for any of the optional IEs "UE internal measurement quantity", "UE internal reporting quantity" and "parameters required for each event" (given "report criteria" is set to "UE internal measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:

- 5> replace the corresponding information (all instances of the IEs listed above (and all their children) stored in variable MEASUREMENT_IDENTITY associated to the identity indicated by the IE "measurement identity" with the one-IEs received in the MEASUREMENT CONTROL message;
- 5> leave all other stored information elements unchanged in the variable MEASUREMENT_IDENTITY.

3> otherwise:

- 4> set the variable CONFIGURATION_INCOMPLETE to TRUE.
- 2> if measurement type is set to "inter-frequency measurement":
 - 3> if "report criteria" is set to "intra-frequency report criteria" and "reporting criteria" in "inter-frequency measurement quantity" is set to "intra-frequency reporting criteria":
 - 4> leave the currently stored "inter-frequency report criteria" within "report criteria" and "inter-frequency reporting criteria" within "inter-frequency measurement quantity" unchanged, and continue to act on the information stored in these variables, and also store the newly received "intra-frequency report criteria" and intra-frequency reporting criteria.

3> otherwise:

- 4> clear the variables associated with the CHOICE "report criteria" and store the received "report criteria" choice;
- 4> if the IE "inter-frequency measurement quantity" is present:
 - 5> clear the variables associated with the choice "reporting criteria" in "inter-frequency measurement quantity" and store the received "reporting criteria" choice.
- NOTE: If the UTRAN wants to modify the inter-frequency cell info list for an inter-frequency measurement configured with event based reporting without repeating any IEs related to the configured events, the only possibility is to set the IE "report criteria" to "intra-frequency report criteria", not include the IE "parameters required for each event", and set the IE "reporting criteria" in the IE "inter-frequency measurement quantity" to "intra-frequency reporting criteria".
 - 2> for measurement types "inter-frequency measurement" that require measurements on a frequency other than the actually used frequency, or that require measurements on another RAT:
 - 3> if, according to its measurement capabilities, the UE requires compressed mode to perform that measurement type and after reception of this message a compressed mode pattern sequence with an appropriate measurement purpose is active according to the IE "Current TGPS Status Flag" in UE variable TGPS_IDENTITY; or
 - 3> if, according to its measurement capabilities, the UE does not require compressed mode, on at least one supported band of that measurement type, to perform the measurements:
 - 4> resume the measurements according to the new stored measurement control information.
 - 2> for measurement type "inter-frequency measurement" that requires measurements only on the same frequency as the actually used frequency:
 - 3> if the measurement is valid in the current RRC state of the UE:
 - 4> resume measurements according to the new stored control information for this measurement identity.
 - 2> for any other measurement type:
 - 3> resume the measurements according to the new stored measurement control information.
- 1> if the IE "measurement command" has the value "release":
 - 2> terminate the measurement associated with the identity given in the IE "measurement identity";
 - 2> clear all stored measurement control information related associated to this measurement identity in variable MEASUREMENT_IDENTITY.

- 1> if the IE "DPCH Compressed Mode Status Info" is present:
 - 2> if, as the result of this message, UE will have more than one transmission gap pattern sequence with the same measurement purpose active (according to IE 'TGMP' in variable TGPS_IDENTITY):
 - 3> set the variable CONFIGURATION_INCOMPLETE to TRUE.
 - 2> if there is any pending "TGPS reconfiguration CFN" or any pending "TGCFN":
 - 3> the UE behaviour is unspecified;
 - 2> if pattern sequence corresponding to IE "TGPSI" is already active (according to "Current TGPS Status Flag") in the variable TGPS_IDENTITY):
 - 3> if the "TGPS Status Flag" in this message is set to "deactivate" for the corresponding pattern sequence:
 - 4> deactivate this pattern sequence at the beginning of the frame indicated by IE "TGPS reconfiguration CFN" received in the message;
 - 4> set the "Current TGPS Status Flag" for this pattern sequence in the variable TGPS_IDENTITY to "inactive".
 - 3> if the "TGPS Status Flag" in this message is set to "activate" for the corresponding pattern sequence:
 - 4> deactivate this pattern sequence at the beginning of the frame indicated by IE "TGPS reconfiguration CFN" received in the message.
- NOTE: The temporary deactivation of pattern sequences for which the status flag is set to "activate" can be used by the network to align the timing of already active patterns with newly activated patterns.
 - 2> after the time indicated by IE "TGPS reconfiguration CFN" has elapsed:
 - 3> activate the pattern sequence corresponding to each IE "TGPSI" for which the "TGPS status flag" in this message is set to "activate" at the time indicated by IE "TGCFN"; and
 - 3> set the corresponding "Current TGPS status flag" for this pattern sequence in the variable TGPS_IDENTITY to "active"; and
 - 3> begin the inter-frequency and/or inter-RAT measurements corresponding to the pattern sequence measurement purpose of each activated pattern sequence;
 - 3> if the values of IE "TGPS reconfiguration CFN" and IE "TGCFN" are equal:
 - 4> start the concerned pattern sequence immediately at that CFN.
 - 2> not alter pattern sequences stored in variable TGPS_IDENTITY, if the pattern sequence is not identitifed in IE "TGPSI" in the received message.
- 1> if the UE in CELL_FACH state receives a MEASUREMENT CONTROL message, which indicates the same measurement identity as that stored in the variable MEASUREMENT_IDENTITY:
 - 2> update the stored information with the traffic volume measurement control information in variable MEASUREMENT_IDENTITY; and
 - 2> refrain from updating the traffic volume measurement control information associated with this measurement identity in the variable MEASUREMENT_IDENTITY with the information received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11) until this measurement is explicitly released with another MEASUREMENT CONTROL message.
- 1> if the IE "Read SFN indicator" included in the IE "Cell info" of an inter-frequency cell is set to TRUE and the variable UE_CAPABILITY_TRANSFERRED has the DL "Measurement capability" for "FDD measurements" set to TRUE (the UE requires DL compressed mode in order to perform measurements on FDD):
 - 2> set the variable CONFIGURATION INCOMPLETE to TRUE.
- 1> clear the entry for the MEASUREMENT CONTROL message in the table "Accepted transactions" in the variable TRANSACTIONS.

The UE may:

- 1> if the IE "Measurement command" has the value "setup":
 - 2> for measurement type "UE positioning measurement":
 - 3> if the UE is CELL_FACH state:
 - 4> if IE "Positioning Method" is set to "GPS":
 - 5> if IE "UE positioning GPS assistance data" is not included and variable UE_POSITIONING_GPS_DATA is empty:
 - 6> if System Information Block types 15, 15.1, 15.2 and 15.3 are broadcast:
 - 7> read System Information Block types 15, 15.1, 15.2 and 15.3.
 - 6> act as specified in subclause 8.6.7.19.3.
- 1> and the procedure ends.

TSG-RAN Working Group 2 meeting #37 Budapest, Hungary 25th-29th Aug 2003

Other comments:

Ж

R2-031977

	ary 25 -29 Aug 2003 CR-Form-vi									
	CHANGE REQUEST									
*	25.331 CR 2049									
For <u>HELP</u> on u	sing this form, see bottom of this page or look at the pop-up text over the % symbols.									
Proposed change a	ME X Radio Access Network X Core Network									
S Title: #8	Corrections to RACH reporting									
riue.	Corrections to RACTI reporting									
Source: #	RAN WG2									
Work item code: 第	TEI Date: % Aug 2003									
Category: 署	F Use one of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900. Release: % R99 Use one of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)									
Reason for change	The message transfer syntax for IE "Measured results on RACH" does not cover the case when UE has been requested to only report "SFN-SFN observed time difference" and not include any measured results for the current (serving) cell.									
Summary of chang	Section 8.6.7.21: It is added that in case UE is requested to not report any measured results for the serving cell (the IE "reporting quantity" is set to "no report"), the UE behaviour is not specified.									
	T1 impact: No impact on T1 specifications is foreseen Backward compatibility:									
	Backwards compatible for UE. A UTRAN, that uses the RACH reporting options proposed not to be specified, might have to be corrected.									
Consequences if not approved:	38 The indicated unclarities will remain in the specification. UTRAN implementations might request measurements for RACH reporting that UE is not able to report.									
Clauses offeeted.	94 0 C 7 24									
Clauses affected: Other specs affected:	** 8.6.7.21 Y N									

- 1) Fill out the above form. The symbols above marked \(\mathcal{H} \) contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.6.7.21 Intra-frequency reporting quantity for RACH reporting

If the IE "-Intra-frequency reporting quantity for RACH reporting" is included, the UE shall: ICOMMENT: removed space]

1> if the IE "SFN-SFN observed time difference reporting indicator" has the value "type 2":

2> act as if the value of the IE "SFN-SFN observed time difference reporting indicator" is "no reporting".

1> If the IE "Reporting quantity" (FDD) or all IEs "Reporting quantity" (TDD) have the value "no report":

2> the UE behaviour is unspecified.

TSG-RAN Working Group 2 meeting #37 Budapest, Hungary 25th-29th Aug 2003

R2-031978

			CHAN	GE RI	EQU	JES1	Γ	CR-Form-\
æ	25.	<mark>331</mark> CF	R 2050	жr	ev	æ	Current vers	sion: <mark>4.10.0</mark> **
For <mark>HELP</mark> on u	using t	his form, s	ee bottom (of this pag	e or lo	ok at th	ne pop-up text	t over the % symbols.
Proposed change	affect	s: UICC	Capps %	М	E I	Radio <i>I</i>	Access Netwo	rk X Core Network
S Title:	Cor	rections to	RACH repor	ting				
Source: #	RAI	N WG2						
 Work item code: ₩	TEI						Date: %	Aug 2003
								J
Category: अ	Detai	F (correction C (function C (editorial Led explana	ollowing cate on) onds to a cor of feature), al modification modification tions of the a P TR 21.900	rrection in a on of feature) above categ	e)		2	Rel-4 the following releases: (GSM Phase 2) (Release 1996) (Release 1997) (Release 1998) (Release 1999) (Release 4) (Release 5) (Release 6)
Reason for change		when UE h		ested to on	ly repo	rt "SFN	-SFN observed	" does not cover the case time difference" and not
Summary of chang		results for behaviour T1 impact Backward Backwards	the serving of is not specifications. No impact compatibility	cell (the IE ied. on T1 specialty: for UE. A U	"report	ing quans is for	ntity" is set to 'reseen	report any measured 'no report"), the UE
Consequences if not approved:						•		H reporting that UE is no
Clauses affected:	æ	8.6.7.21						
Other specs affected:	ж	Y N Oth X Tes	ner core spe st specificat M Specifica	tions	S :	æ		
Other comments:	ж							

- 1) Fill out the above form. The symbols above marked \(\mathcal{H} \) contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.6.7.21 Intra-frequency reporting quantity for RACH reporting

If the IE "-Intra-frequency reporting quantity for RACH reporting" is included, the UE shall: ICOMMENT: removed space]

1> if the IE "SFN-SFN observed time difference reporting indicator" has the value "type 2":

2> act as if the value of the IE "SFN-SFN observed time difference reporting indicator" is "no reporting".

1> If the IE "Reporting quantity" (FDD) or all IEs "Reporting quantity" (TDD) have the value "no report":

2> the UE behaviour is unspecified.

TSG-RAN Working Group 2 meeting #37 Budapest, Hungary 25th-29th Aug 2003

R2-031979

	CHANGE REQUES	CR-Form-v7
*	25.331 CR 2051 #rev #	Current version: 5.5.0 **
For <u>HELP</u> on u	sing this form, see bottom of this page or look at the	he pop-up text over the % symbols.
Proposed change a	affects: UICC apps ⋇ ME Radio A	Access Network X Core Network
S		
Title: #	Corrections to RACH reporting	
Source: #	RAN WG2	
Work item code: ₩	TEI	<i>Date:</i>
Category:	Use one of the following categories: F (correction) A (corresponds to a correction in an earlier release B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900.	Release: % Rel-5 Use one of the following releases: 2 (GSM Phase 2) se) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)
Reason for change	The message transfer syntax for IE "Measured rewhen UE has been requested to only report "SFN include any measured results for the current (service)."	I-SFN observed time difference" and not
Summary of chang	ye: % Section 8.6.7.21: It is added that in case UE is reresults for the serving cell (the IE "reporting quabehaviour is not specified. T1 impact: No impact on T1 specifications is for Backward compatibility: Backwards compatible for UE. A UTRAN, that a proposed not to be specified, might have to be contained.	reseen uses the RACH reporting options
Consequences if not approved:	36 The indicated unclarities will remain in the speci UTRAN implementations might request measure able to report.	
Clauses affected:	₩ 8.6.7.21	
Other specs affected:	Y N X Other core specifications X Test specifications X O&M Specifications	
Other comments:	*	

- 1) Fill out the above form. The symbols above marked \(\mathcal{H} \) contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.6.7.21 Intra-frequency reporting quantity for RACH reporting

If the IE "-Intra-frequency reporting quantity for RACH reporting" is included, the UE shall: ICOMMENT: removed space]

1> if the IE "SFN-SFN observed time difference reporting indicator" has the value "type 2":

2> act as if the value of the IE "SFN-SFN observed time difference reporting indicator" is "no reporting".

1> If the IE "Reporting quantity" (FDD) or all IEs "Reporting quantity" (TDD) have the value "no report":

2> the UE behaviour is unspecified.

TSG-RAN Working Group 2 meeting #37 Budapest, Hungary 25th-29th Aug 2003

R2-031980

		CHANGE	REQ	UE:	ST	•		
*	25.331 CR	2052	≋rev		¥	Current version:	3.f.0	*

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the **%** symbols.

Proposed change affects: UICC apps # ME X Radio Access Network X Core Network Title: Corrections to modification of Additional Measurement lists RAN WG2 Source: **Date:** 第 Aug 2003 ж Category: Release: # R99 Use one of the following categories: Use <u>one</u> of the following releases: F (correction) (GSM Phase 2) 2 A (corresponds to a correction in an earlier release) R96 (Release 1996) B (addition of feature), R97 (Release 1997) **C** (functional modification of feature) R98 (Release 1998) (Release 1999) **D** (editorial modification) R99 Detailed explanations of the above categories can Rel-4 (Release 4) be found in 3GPP TR 21.900. Rel-5 (Release 5)

Reason for change: 第 1.

Currently, it is not clear how UE shall behave in case optional IE "Additional Measurement List" is included (and not included) in a MEASUREMENT CONTROL message and the IE "Measurement command" has the value "modify". In case IE "Additional Measurement List" is included, it is not clear if the received IE replaces the IE stored in UE, or if the received IE is an addition to the already stored list. In case IE "Additional Measurement List" is not included, it is not clear if UE shall leave the stored list unchanged, or delete the stored list.

Rel-6

(Release 6)

The UE behaviour is not specified for when there is only one additional measurement available but results for this measurement are not available

Summary of change: # 1. Section 8.6.7.22

In case IE "Additional Measurement List" is included, and no list is already stored in the UE, it is clarified that UE shall store the list received in the message.

In case IE "Additional Measurement List" is included, and UE has already stored a list, it is clarified that UE behaviour is not specified.

In case IE "Additional Measurement List" is not included, it is clarified that UE should leave the stored information unchanged.

2. Section 8.4.2.2

The behaviour for when there is only one additional measurement configured is aligned when there is more than one report (if results are not available, they are not sent).

T1 impact: No impact on T1 specifications is foreseen

Backward compatibility:

1. Considered a clarification of currently specified UE behaviour.

UEs not complying with this clarification might update the stored Additional measurement list erroneously.

A UTRAN assuming the incorrect behaviour might have to be corrected.

2. This correction only affects the UE implementation. This correction will affect a UE implementation that has not already implemented the CR.

Consequences if not approved:

% 1. The indicated unclarities will remain in the specification:

UTRAN and UE implementations might be using different approaches for the updating of Additional measurement lists, leading to erroneous measurement configurations stored in the UE.

In case a UTRAN intends to add and/or delete Additional Measurements stored for a Measurement, a UTRAN should use a work-around method, e.g. release the Measurement and setup the Measurement again with the new Additional Measurement list, or release the Additional Mesurement.

2. Incorrect additional results will be sent to the UTRAN which may affect UE mobility.

Clauses affected:	8 8.4.2.2, 8.6.7.22
	YN
Other specs	X Other core specifications X
affected:	X Test specifications
	X O&M Specifications
Other comments:	X

How to create CRs using this form:

- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://fttp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.4.2.2 Initiation

In CELL_DCH state, the UE shall:

1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are met for any ongoing measurements that are being performed in the UE.

In CELL FACH state, the UE shall:

1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are met for any ongoing traffic volume measurement or UE positioning measurement that is being performed in the UE.

In TDD, if the Radio Bearer associated with the MEASUREMENT_IDENTITY fulfilling the reporting criteria for an ongoing traffic volume measurement is mapped on transport channel of type USCH, the UE shall:

1> initiate the "PUSCH CAPACITY REQUEST" procedure instead of transmitting a MEASUREMENT REPORT (TDD Only).

In CELL PCH or URA PCH state, the UE shall:

- 1> first perform the cell update procedure according to subclause 8.3.1, using the cause "uplink data transmission", in order to transit to CELL_FACH state; and then
- 1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are fulfilled for any ongoing UE positioning measurement which is being performed in the UE.

NOTE: A traffic volume measurement, which is being performed in the UE, may also be considered ongoing in CELL_PCH or URA_PCH.

The reporting criteria are fulfilled if either:

- a periodic MEASUREMENT REPORT message shall be sent according to the IE "Periodical Reporting Criteria"; or
- an event in stored IE "Measurement reporting criteria" was triggered. Events and triggering of reports for different measurement types are described in detail in clause 14.

For the measurement, which triggered the MEASUREMENT REPORT message, the UE shall:

- 1> set the IE "measurement identity" to the measurement identity, which is associated with that measurement in variable MEASUREMENT IDENTITY;
- 1> set the IE "measured results" to include measurements according to the IE "reporting quantity" of that measurement stored in variable MEASUREMENT_IDENTITY; and
 - 2> if all the reporting quantities are set to "false":
 - 3> not set the IE "measured results".
- 1> set the IE "Measured results" in the IE "Additional measured results" according to the IE "reporting quantity" for all measurements associated with the measurement identities included in the "Additional measurements list" stored in variable MEASUREMENT_IDENTITY of the measurement that triggered the measurement report; and
 - 2> if one or more than one additional measured results are to be included:
 - 3> include only the available additional measured results, and sort them in ascending order according to their IE "measurement identity" in the MEASUREMENT REPORT message.
- 1> if the MEASUREMENT REPORT message was triggered by an event (i.e. not a periodical report):
 - 2> set the IE "Event results" according to the event that triggered the report.

The UE shall:

1> transmit the MEASUREMENT REPORT message on the uplink DCCH using either AM or UM RLC according to the stored IE "measurement reporting mode" associated with the measurement identity that triggered the report.

When the MEASUREMENT REPORT message has been submitted to lower layers for transmission:

1> the procedure ends.

8.6.7.22 Additional Measurement List

- If the IE "Additional Measurement List" is received in a MEASUREMENT CONTROL message, the UE shall: [COMMENT: Changed indentation]
 - 1> if the received measurement configuration in this MEASUREMENT CONTROL message, or any measurement referenced in the "Additional Measurement List" do not all have the same validity (for this consistency check the UE should assume "CELL_DCH" as the measurement validity for measurements of type "inter-RAT", "UE internal", and "quality"):
 - 2> set the variable CONFIGURATION_INCOMPLETE to TRUE.
 - 1> if any of the measurements referenced in the "Additional Measurement List" is an intra-frequency, inter-frequency or inter-RAT measurement, and this measurement is configured with event based reporting:
 - 2> the UE behaviour is not specified.
 - 1> if the result of this MEASUREMENT CONTROL message is such that more than one additional measurement of the same type will be referenced in the IE "Additional Measurement List" in the MEASUREMENT_IDENTITY variable:
 - 2> the UE behaviour is not specified.
 - 1> if any of the "intra-frequency", "inter-frequency", "traffic volume" or "UE positioning" measurements referenced in the "Additional Measurement List" has been setup without including the IE "measurement validity":
 - 2> the UE behaviour is not specified.
 - 1> if the IE "Measurement command" has the value "modify", and UE has no IE "Additional Measurement List" stored in variable MEASUREMENT_IDENTITY associated to the identity indicated by the IE "measurement identity":
 - 2> store the received IE "Additional Measurement List" in variable MEASUREMENT_IDENTITY;
 - 1> otherwise
 - 2> the UE behaviour is not specified.
- If the IE "Additional Measurement List" is not received in a MEASUREMENT CONTROL message and the IE "Measurement command" has the value "modify", the UE should:
 - 1> leave the IE "Additional Measurement List" stored in variable MEASUREMENT IDENTITY associated to the identity indicated by the IE "measurement identity" unchanged.

If, at any time during the life-time of a measurement, any measurement referenced in the Additional Measurement List does not exist, the UE should remove this measurement identity from the Additional Measurement List. [COMMENT: Changed indentation]

NOTE: A measurement referenced in the Additional Measurement List which is updated with a measurement command set to "modify", or replaced with a measurement command set to "setup", continues to exist.

If the measurement configured with the MEASUREMENT CONTROL message triggers a measurement report, the UE shall also include the reporting quantities for the measurements referenced by the additional measurement identities. The contents of the IE "Additional Measured results" are completely determined by the measurement configuration of the referenced additional measurement.

TSG-RAN Working Group 2 meeting #37 Budapest, Hungary 25th-29th Aug 2003

R2-031981

CR-Form-v7 CHANGE REQUEST Current version: 4_10.0 # 25.331 CR 2053 **#rev**

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the **%** symbols.

Proposed change affects: UICC apps # ME X Radio Access Network X Core Network Title: Corrections to modification of Additional Measurement lists RAN WG2 Source: **Date:** 第 Aug 2003 ж Category: Release: # Rel-4 Use one of the following categories: Use <u>one</u> of the following releases: F (correction) (GSM Phase 2) 2

A (corresponds to a correction in an earlier release) R96 (Release 1996) B (addition of feature), R97 (Release 1997) **C** (functional modification of feature) R98 (Release 1998) (Release 1999) **D** (editorial modification) R99 Detailed explanations of the above categories can Rel-4 (Release 4) be found in 3GPP TR 21.900. Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change: 第 1.

æ

Currently, it is not clear how UE shall behave in case optional IE "Additional Measurement List" is included (and not included) in a MEASUREMENT CONTROL message and the IE "Measurement command" has the value "modify". In case IE "Additional Measurement List" is included, it is not clear if the received IE replaces the IE stored in UE, or if the received IE is an addition to the already stored list. In case IE "Additional Measurement List" is not included, it is not clear if UE shall leave the stored list unchanged, or delete the stored list.

The UE behaviour is not specified for when there is only one additional measurement available but results for this measurement are not available

Summary of change: # 1. Section 8.6.7.22

In case IE "Additional Measurement List" is included, and no list is already stored in the UE, it is clarified that UE shall store the list received in the message.

In case IE "Additional Measurement List" is included, and UE has already stored a list, it is clarified that UE behaviour is not specified.

In case IE "Additional Measurement List" is not included, it is clarified that UE shall leave the stored information unchanged.

2. Section 8.4.2.2

The behaviour for when there is only one additional measurement configured is aligned when there is more than one report (if results are not available, they are not sent).

T1 impact: No impact on T1 specifications is foreseen

Backward compatibility:

1. Considered a clarification of currently specified UE behaviour.

UEs not complying with this clarification might update the stored Additional measurement list erroneously.

A UTRAN assuming the incorrect behaviour might have to be corrected.

2. This correction only affects the UE implementation. This correction will affect a UE implementation that has not already implemented the CR.

Consequences if not approved:

% 1. The indicated unclarities will remain in the specification:

UTRAN and UE implementations might be using different approaches for the updating of Additional measurement lists, leading to erroneous measurement configurations stored in the UE.

In case a UTRAN intends to add and/or delete Additional Measurements stored for a Measurement, a UTRAN should use a work-around method, e.g. release the Measurement and setup the Measurement again with the new Additional Measurement list, or release the Additional Mesurement.

2. Incorrect additional results will be sent to the UTRAN which may affect UE mobility.

Clauses affected:	% 8.4.2.2, 8.6.7.22					
	YN					
Other specs	X Other core specifications X					
affected:	X Test specifications					
	X O&M Specifications					
Other comments:	X					

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://fttp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.4.2.2 Initiation

In CELL_DCH state, the UE shall:

1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are met for any ongoing measurements that are being performed in the UE.

In CELL FACH state, the UE shall:

1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are met for any ongoing traffic volume measurement or UE positioning measurement that is being performed in the UE.

In TDD, if the Radio Bearer associated with the MEASUREMENT_IDENTITY fulfilling the reporting criteria for an ongoing traffic volume measurement is mapped on transport channel of type USCH, the UE shall:

1> initiate the "PUSCH CAPACITY REQUEST" procedure instead of transmitting a MEASUREMENT REPORT (TDD Only).

In CELL PCH or URA PCH state, the UE shall:

- 1> first perform the cell update procedure according to subclause 8.3.1, using the cause "uplink data transmission", in order to transit to CELL_FACH state; and then
- 1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are fulfilled for any ongoing UE positioning measurement which is being performed in the UE.

The reporting criteria are fulfilled if either:

- a periodic MEASUREMENT REPORT message shall be sent according to the IE "Periodical Reporting Criteria"; or
- an event in stored IE "Measurement reporting criteria" was triggered. Events and triggering of reports for different measurement types are described in detail in clause 14.

For the measurement, which triggered the MEASUREMENT REPORT message, the UE shall:

- 1> set the IE "measurement identity" to the measurement identity, which is associated with that measurement in variable MEASUREMENT_IDENTITY;
- 1> set the IE "measured results" to include measurements according to the IE "reporting quantity" of that measurement stored in variable MEASUREMENT_IDENTITY; and
 - 2> if all the reporting quantities are set to "false":
 - 3> not set the IE "measured results".
- 1> set the IE "Measured results" in the IE "Additional measured results" according to the IE "reporting quantity" for all measurements associated with the measurement identities included in the "Additional measurements list" stored in variable MEASUREMENT_IDENTITY of the measurement that triggered the measurement report; and
 - 2> if one or more than one additional measured results are to be included:
 - 3> include only the available additional measured results, and sort them in ascending order according to their IE "measurement identity" in the MEASUREMENT REPORT message.
- 1> if the MEASUREMENT REPORT message was triggered by an event (i.e. not a periodical report):
 - 2> set the IE "Event results" according to the event that triggered the report.
- 1> if the observed time difference for one or more GSM cells is included in the MEASUREMENT REPORT message:

2> set the IE "GSM OTD reference cell" to the primary CPICH info of the active set cell that was used as reference for the measurement.

The UE shall:

1> transmit the MEASUREMENT REPORT message on the uplink DCCH using either AM or UM RLC according to the stored IE "measurement reporting mode" associated with the measurement identity that triggered the report.

When the MEASUREMENT REPORT message has been submitted to lower layers for transmission:

1> the procedure ends.

8.6.7.22 Additional Measurement List

If the IE "Additional Measurement List" is received in a MEASUREMENT CONTROL message, the UE shall: [Changed indentation]

- 1> if the received measurement configuration in this MEASUREMENT CONTROL message, or any measurement referenced in the "Additional Measurement List" do not all have the same validity (for this consistency check the UE shall assume "CELL_DCH" as the measurement validity for measurements of type "inter-RAT", "UE internal", and "quality"):
 - 2> set the variable CONFIGURATION_INCOMPLETE to TRUE.
- 1> if any of the measurements referenced in the "Additional Measurement List" is an intra-frequency, inter-frequency or inter-RAT measurement, and this measurement is configured with event based reporting:
 - 2> the UE behaviour is not specified.
- 1> if any of the "intra-frequency", "inter-frequency", "traffic volume" or "UE positioning" measurements referenced in the "Additional Measurement List" has been setup without including the IE "measurement validity":
 - 2> the UE behaviour is not specified.
- 1> if the result of this MEASUREMENT CONTROL message is such that more than one additional measurement of the same type will be referenced in the IE "Additional Measurement List" in the MEASUREMENT_IDENTITY variable:
 - 2> the UE behaviour is not specified.
- 1> if the IE "Measurement command" has the value "modify", and UE has no IE "Additional Measurement List" stored in variable MEASUREMENT_IDENTITY associated to the identity indicated by the IE "measurement identity":
 - 2> store the received IE "Additional Measurement List" in variable MEASUREMENT_IDENTITY;
- 1> otherwise
 - 2> the UE behaviour is not specified.
- If the IE "Additional Measurement List" is not received in a MEASUREMENT CONTROL message and the IE "Measurement command" has the value "modify", the UE shall:
 - 1> leave the IE "Additional Measurement List" stored in variable MEASUREMENT IDENTITY associated to the identity indicated by the IE "measurement identity" unchanged.

If, at any time during the life-time of a measurement, any measurement referenced in the Additional Measurement List does not exist, the UE shall remove this measurement identity from the Additional Measurement List. [Changed indentation]

NOTE: A measurement referenced in the Additional Measurement List which is updated with a measurement command set to "modify", or replaced with a measurement command set to "setup", continues to exist.

If the measurement configured with the MEASUREMENT CONTROL message triggers a measurement report, the UE shall also include the reporting quantities for the measurements referenced by the additional measurement identities. The contents of the IE "Additional Measured results" are completely determined by the measurement configuration of the referenced additional measurement.

TSG-RAN Working Group 2 meeting #37 Budapest, Hungary 25th-29th Aug 2003

R2-031982

CR-Form-v7 CHANGE REQUEST æ Current version: 25.331 CR 2054 **#rev**

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the **%** symbols.

Proposed change affects: UICC apps # ME X Radio Access Network X Core Network Title: Corrections to modification of Additional Measurement lists RAN WG2 Source: **Date:** 第 Aug 2003 ж Category: Release: # Rel-5 Use one of the following categories: Use <u>one</u> of the following releases: F (correction) (GSM Phase 2) 2 A (corresponds to a correction in an earlier release) R96 (Release 1996) B (addition of feature), R97 (Release 1997) **C** (functional modification of feature) R98 (Release 1998) **D** (editorial modification) R99 (Release 1999) Detailed explanations of the above categories can Rel-4 (Release 4)

Reason for change: 第 1.

Currently, it is not clear how UE shall behave in case optional IE "Additional Measurement List" is included (and not included) in a MEASUREMENT CONTROL message and the IE "Measurement command" has the value "modify". In case IE "Additional Measurement List" is included, it is not clear if the received IE replaces the IE stored in UE, or if the received IE is an addition to the already stored list. In case IE "Additional Measurement List" is not included, it is not clear if UE shall leave the stored list unchanged, or delete the stored list.

Rel-5

Rel-6

(Release 5)

(Release 6)

The UE behaviour is not specified for when there is only one additional measurement available but results for this measurement are not available

Summary of change: # 1. Section 8.6.7.22

be found in 3GPP TR 21.900.

In case IE "Additional Measurement List" is included, and no list is already stored in the UE, it is clarified that UE shall store the list received in the message.

In case IE "Additional Measurement List" is included, and UE has already stored a list, it is clarified that UE behaviour is not specified.

In case IE "Additional Measurement List" is not included, it is clarified that UE shall leave the stored information unchanged.

2. Section 8.4.2.2

The behaviour for when there is only one additional measurement configured is aligned when there is more than one report (if results are not available, they are not sent).

T1 impact: No impact on T1 specifications is foreseen

Backward compatibility:

1. Considered a clarification of currently specified UE behaviour.

UEs not complying with this clarification might update the stored Additional measurement list erroneously.

A UTRAN assuming the incorrect behaviour might have to be corrected.

2. This correction only affects the UE implementation. This correction will affect a UE implementation that has not already implemented the CR.

Consequences if not approved:

% 1. The indicated unclarities will remain in the specification:

UTRAN and UE implementations might be using different approaches for the updating of Additional measurement lists, leading to erroneous measurement configurations stored in the UE.

In case a UTRAN intends to add and/or delete Additional Measurements stored for a Measurement, a UTRAN should use a work-around method, e.g. release the Measurement and setup the Measurement again with the new Additional Measurement list, or release the Additional Mesurement.

2. Incorrect additional results will be sent to the UTRAN which may affect UE mobility.

Clauses affected:	% 8.4.2.2, 8.6.7.22					
	YN					
Other specs	X Other core specifications X					
affected:	X Test specifications					
	X O&M Specifications					
Other comments:	X					

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://fttp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.4.2.2 Initiation

In CELL_DCH state, the UE shall:

1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are met for any ongoing measurements that are being performed in the UE.

In CELL FACH state, the UE shall:

1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are met for any ongoing traffic volume measurement or UE positioning measurement that is being performed in the UE.

In TDD, if the Radio Bearer associated with the MEASUREMENT_IDENTITY fulfilling the reporting criteria for an ongoing traffic volume measurement is mapped on transport channel of type USCH, the UE shall:

1> initiate the "PUSCH CAPACITY REQUEST" procedure instead of transmitting a MEASUREMENT REPORT (TDD Only).

In CELL PCH or URA PCH state, the UE shall:

- 1> first perform the cell update procedure according to subclause 8.3.1, using the cause "uplink data transmission", in order to transit to CELL_FACH state; and then
- 1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are fulfilled for any ongoing UE positioning measurement which is being performed in the UE.

The reporting criteria are fulfilled if either:

- a periodic MEASUREMENT REPORT message shall be sent according to the IE "Periodical Reporting Criteria"; or
- an event in stored IE "Measurement reporting criteria" was triggered. Events and triggering of reports for different measurement types are described in detail in clause 14.

For the measurement, which triggered the MEASUREMENT REPORT message, the UE shall:

- 1> set the IE "measurement identity" to the measurement identity, which is associated with that measurement in variable MEASUREMENT_IDENTITY;
- 1> set the IE "measured results" to include measurements according to the IE "reporting quantity" of that measurement stored in variable MEASUREMENT_IDENTITY; and
 - 2> if all the reporting quantities are set to "false":
 - 3> not set the IE "measured results".
- 1> set the IE "Measured results" in the IE "Additional measured results" according to the IE "reporting quantity" for all measurements associated with the measurement identities included in the "Additional measurements list" stored in variable MEASUREMENT_IDENTITY of the measurement that triggered the measurement report; and
 - 2> if one or more than one additional measured results are to be included:
 - 3> include only the available additional measured results, and sort them in ascending order according to their IE "measurement identity" in the MEASUREMENT REPORT message.
- 1> if the MEASUREMENT REPORT message was triggered by an event (i.e. not a periodical report):
 - 2> set the IE "Event results" according to the event that triggered the report.
- 1> if the observed time difference for one or more GSM cells is included in the MEASUREMENT REPORT message:

2> set the IE "GSM OTD reference cell" to the primary CPICH info of the active set cell that was used as reference for the measurement.

The UE shall:

1> transmit the MEASUREMENT REPORT message on the uplink DCCH using either AM or UM RLC according to the stored IE "measurement reporting mode" associated with the measurement identity that triggered the report.

When the MEASUREMENT REPORT message has been submitted to lower layers for transmission:

1> the procedure ends.

8.6.7.22 Additional Measurement List

If the IE "Additional Measurement List" is received in a MEASUREMENT CONTROL message, the UE shall: [Changed indentation]

- 1> if the received measurement configuration in this MEASUREMENT CONTROL message, or any measurement referenced in the "Additional Measurement List" do not all have the same validity (for this consistency check the UE shall assume "CELL_DCH" as the measurement validity for measurements of type "inter-RAT", "UE internal", and "quality"):
 - 2> set the variable CONFIGURATION_INCOMPLETE to TRUE.
- 1> if any of the measurements referenced in the "Additional Measurement List" is an intra-frequency, interfrequency or inter-RAT measurement, and this measurement is configured with event based reporting:
 - 2> the UE behaviour is not specified.
- 1> if any of the "intra-frequency", "inter-frequency", "traffic volume" or "UE positioning" measurements referenced in the "Additional Measurement List" has been setup without including the IE "measurement validity":
 - 2> the UE behaviour is not specified.
- 1> if the result of this MEASUREMENT CONTROL message is such that more than one additional measurement of the same type will be referenced in the IE "Additional Measurement List" in the MEASUREMENT_IDENTITY variable:
 - 2> the UE behaviour is not specified.
- 1> if the IE "Measurement command" has the value "modify", and UE has no IE "Additional Measurement List" stored in variable MEASUREMENT_IDENTITY associated to the identity indicated by the IE "measurement identity":
 - 2> store the received IE "Additional Measurement List" in variable MEASUREMENT_IDENTITY;
- 1> otherwise
 - 2> the UE behaviour is not specified.
- If the IE "Additional Measurement List" is not received in a MEASUREMENT CONTROL message and the IE "Measurement command" has the value "modify", the UE shall:
 - 1> leave the IE "Additional Measurement List" stored in variable MEASUREMENT IDENTITY associated to the identity indicated by the IE "measurement identity" unchanged.

If, at any time during the life-time of a measurement, any measurement referenced in the Additional Measurement List does not exist, the UE shall remove this measurement identity from the Additional Measurement List. :[Changed indentation]

NOTE: A measurement referenced in the Additional Measurement List which is updated with a measurement command set to "modify", or replaced with a measurement command set to "setup", continues to exist.

If the measurement configured with the MEASUREMENT CONTROL message triggers a measurement report, the UE shall also include the reporting quantities for the measurements referenced by the additional measurement identities. The contents of the IE "Additional Measured results" are completely determined by the measurement configuration of the referenced additional measurement.

3GPP TSG-RAN WG2 Meeting #37 Hungary, Budapest, 25th -29th August 2003

CHANGE REQUEST							1	CR-Form-v7
*	25.331 CR	2055	жrev	1	æ	Current version: 3.15	5.0	*

For <u>HELP</u> on using this form, see bottom of this page or look at the pop-up text over the **%** symbols.

ME X Radio Access Network X Core Network UICC apps# Proposed change affects: Title: ₩ UE positioning support in the UE Source: ₩ RAN WG2 Date: 第 27/08/2003 F Category: Release: # R99 Use one of the following releases: Use <u>one</u> of the following categories: F (correction) 2 (GSM Phase 2) **A** (corresponds to a correction in an earlier release) R96 (Release 1996) **B** (addition of feature), R97 (Release 1997) **C** (functional modification of feature) R98 (Release 1998) **D** (editorial modification) R99 (Release 1999) Rel-4 Detailed explanations of the above categories can (Release 4) be found in 3GPP TR 21.900. Rel-5 (Release 5)

Reason for change:

At RAN#20, RAN2 was requested to revisit UE capabilities for UE positioning following proposals to make the SFN-SFN observed time difference type 2 measurement an optional capability.

Summary of change: % (The Rel-5 implementation is different than the R'99/Rel-4).

The following changes are made:

A new UE capability is introduced so that the UE can indicate support for the SFN-SFN observed time difference type 2 measurement. When this measurement is supported by the UE is must be supported in all RRC states.

Rel-6

(Release 6)

The definition of the existing UE capability "Support for UE Positioning measurement validity in CELL_PCH and URA_PCH RRC states" is changed so that it only applies to assisted GPS methods.

Isolated Impact Analysis

Functionality corrected: UE positioning SFN-SFN observed time difference type 2 measurement.

The change is isolated to this functionality - their is no impact on the UE positioning assisted GPS functionality.

If a UE supporting the corrected functionality does not implement this CR and the UTRAN does implement this CR then the UTRAN will consider that the UE does not have support and it will not be able to use the functionality

If a UE supporting the corrected functionality implements this CR and the UTRAN does not implement this CR then the UTRAN will anyway correctly

assume that UE supports the functionality at least in the CELL_DCH/CELL_FACH states. The UTRAN may not be aware that the UE supports the functionality in CELL_PCH/URA_PCH states.

If a UE not supporting the corrected functionality does not implement this CR and the UTRAN does implement this CR then the UTRAN will correctly consider that the UE does not have support.

If a UE not supporting the corrected functionality implements this CR and the UTRAN does not implement this CR then the UTRAN could incorrectly consider that the UE does have support and UP measurement control procedures could fail

Consequences if not approved:

If the CR is not approved it will not be possible to signal support for SFN-SFN observed time difference type 2 measurement and support measurement will remain linked to the RRC state of the UE.

Clauses affected:	8.4.1.6.7, 10.3.3.45, 11.2, 11.3, 11.5
Other specs affected:	Y N X Other core specifications Test specifications O&M Specifications X 25.306 CR 076, 25.305 CR 096 Compared to the core specifications Compared to the core specification to the core specification to the core specification to t
Other comments:	*

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.4.1.6.7 UE positioning measurement

Upon transition from CELL_DCH to CELL_PCH or URA_PCH, the UE shall

- 1> if the UE does not support UE positioning <u>assisted GPS</u> measurement validity in CELL_PCH and URA_PCH states as indicated in the IE "UE positioning capability" included in the IE "UE Radio Access Capability":
 - 2> stop UE positioning measurement reporting for measurements stored in the variable MEASUREMENT_IDENTITY with the IE "Positioning Methods" set to "GPS".

Upon transition from CELL_DCH to CELL_FACH, or upon transition from CELL_DCH to CELL_PCH or URA_PCH and if the UE supports UE positioning <u>assisted GPS</u> measurement validity in CELL_PCH and URA_PCH states as indicated in the IE "UE positioning capability" included in the IE "UE Radio Access Capability", the UE shall:

- 1> retrieve each set of measurement control information of measurement type "UE positioning" stored in the variable MEASUREMENT_IDENTITY; and
 - 2> if the optional IE "measurement validity" for this measurement has not been included:
 - 3> delete the measurement associated with the variable MEASUREMENT_IDENTITY.
 - 2> if the IE "measurement validity" for the measurement has been included, and the IE "UE state" has been assigned to value "CELL_DCH":
 - 3> stop measurement reporting;
 - 3> store the measurement associated with the variable MEASUREMENT_IDENTITY to be used after the next transition to CELL_DCH state.
 - 2> if the IE "measurement validity" for the measurement has been included, and the IE "UE state" has been assigned to value "all states":
 - 3> upon transition from CELL_DCH to CELL_PCH or URA_PCH:
 - 4> if the choice in the IE "Reporting Criteria" included the IE "UE Positioning" stored in the variable MEASUREMENT_IDENTITY is set to "UE positioning reporting criteria" and the value of the IE "Measurement interval" included in this IE is less than 64 seconds:
 - 5> consider the value of the IE "Measurement interval" as being 64 seconds.
 - 4> if the choice in the IE "Reporting Criteria" included the IE "UE Positioning" stored in the variable MEASUREMENT_IDENTITY is set to "Periodical Reporting Criteria" and the value of the IE "Reporting interval" included in this IE is less than 64 seconds:
 - 5> consider the value of the IE "Reporting Interval" as being 64 seconds.
 - 3> continue measurement reporting according to its UE positioning measurement reporting capability.
 - 2> if the IE "measurement validity" has been included and the IE "UE state" has been assigned to value "all states except CELL_DCH":
 - 3> upon transition from CELL_DCH to CELL_PCH or URA_PCH:
 - 4> if the choice in the IE "Reporting Criteria" included the IE "UE Positioning" stored in the variable MEASUREMENT_IDENTITY is set to "UE positioning reporting criteria" and the value of the IE "Measurement interval" included in this IE is less than 64 seconds:
 - 5> consider the value of the IE "Measurement interval" as being 64 seconds.
 - 4> if the choice in the IE "Reporting Criteria" included the IE "UE Positioning" stored in the variable MEASUREMENT_IDENTITY is set to "Periodical Reporting Criteria" and the value of the IE "Reporting interval" included in this IE is less than 64 seconds:
 - 5> consider the value of the IE "Reporting Interval" as being 64 seconds.
 - 3> resume this measurement and associated reporting according to its UP measurement reporting capability.

- 1> if the transition is due to a reconfiguration message which included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects a cell other than that indicated by this IE; or
- 1> if the transition is due to a reconfiguration message which does not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD); or
- 1> if the transition is not due to a reconfiguration message:
 - 2> delete the assistance data included in the variable UE_POSITIONING_OTDOA_DATA_UE_BASED and UE_POSITIONING_OTDOA_DATA_UE_ASSISTED.
- 1> if the IE "Positioning Methods" stored in the variable MEASUREMENT_IDENTITY is set to "OTDOA" or "OTDOA or GPS":
 - 2> if the IE "Method type" stored in the variable MEASUREMENT_IDENTITY is set to "UE-based" or "UE assisted preferred but UE-based allowed" or "UE-based preferred but UE-assisted allowed":
 - 3> begin monitoring assistance data received in System Information Block type 15.4 and System Information Block type 15.5 according to subclause 8.1.1.6.15.
 - 2> if the IE "Method type" stored in the variable MEASUREMENT_IDENTITY is set to "UE-assisted":
 - 3> begin monitoring assistance data received in System Information Block type 15.4 according to subclause 8.1.1.6.15.
- 1> if the UE is in CELL_FACH state:
 - 2> if the IE "UE positioning OTDOA neighbour cell list for UE assisted" stored in the variable UE_POSITIONING_OTDOA_DATA_UE_ASSISTED or UE_POSITIONING_OTDOA_DATA_UE_BASED contains neighbour cells on other frequencies than the current frequency:
 - 3> perform measurements on other frequencies according to the IE "FACH measurement occasion info".

The UE may:

- 1> if the IE "Positioning Methods" stored in the variable MEASUREMENT_IDENTITY is set to "GPS" or "OTDOA or GPS":
 - 2> begin monitoring assistance data received in System Information Block type 15 and/or System Information Block type 15.1 and/or System Information Block type 15.2 and/or System Information Block type 15.3 according to subclause 8.1.1.6.15.

10.3.3.45 UE positioning capability

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Standalone location method(s) supported	MP		Boolean	Defines if a UE can measure its location by some means unrelated to UTRAN TRUE means supported
UE based OTDOA supported	MP		Boolean	TRUE means supported
Network Assisted GPS support	MP		Enumerated ('Network based', 'UE based', 'Both', 'None')	Defines if the UE supports network based or UE based GPS methods.
Support for GPS timing of cell frames measurement	MP		Boolean	Defines if a UE has the capability to perform the UE GPS timing of cell frames measurement [7]. TRUE means capable
Support for IPDL	MP		Boolean	Defines if a UE has the capability to use IPDL to enhance its 'SFN-SFN observed time difference –type 2' measurement. TRUE means supported
Support for Rx-Tx time difference type2 measurement	MP		Boolean	TRUE means supported
Support for UP <u>assisted GPS</u> measurement validity in CELL_PCH and URA_PCH states	MD OP		Enumerated (true)	Absence of this element means not supported and presence means supported. NOTE 1.
Support for SFN-SFN observed time difference type 2 measurement	<u>OP</u>		Enumerated (true)	Absence of this element means not supported and presence means supported.

NOTE 1: The performance requirements for this capability are defined in Release 5.

11.2 PDU definitions

```
-- TABULAR: The message type and integrity check info are not
\mbox{--}\mbox{ visible} in this module as they are defined in the class module.
-- Also, all FDD/TDD specific choices have the FDD option first
-- and TDD second, just for consistency.
__*********************
PDU-definitions DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
__*********************
-- IE parameter types from other modules
__***********************
-- Core Network IEs :
   CN-DomainIdentity,
   CN-InformationInfo,
   CN-InformationInfoFull,
   NAS-Message,
   PagingRecordTypeID,
-- UTRAN Mobility IEs :
   URA-Identity,
-- User Equipment IEs :
   ActivationTime,
   C-RNTI,
   CapabilityUpdateRequirement,
   CellUpdateCause,
   {\tt CipheringAlgorithm},
   CipheringModeInfo,
   DSCH-RNTI,
   EstablishmentCause.
   FailureCauseWithProtErr,
   FailureCauseWithProtErrTrId,
   UESpecificBehaviourInformationlinterRAT,
   UESpecificBehaviourInformationlidle,
   InitialUE-Identity,
   {\tt IntegrityProtActivationInfo,}
   IntegrityProtectionModeInfo,
   N-308,
   PagingCause,
   PagingRecordList,
   ProtocolErrorIndicator,
   ProtocolErrorIndicatorWithMoreInfo,
   Rb-timer-indicator,
   RedirectionInfo,
   RejectionCause,
   ReleaseCause,
   RRC-StateIndicator,
   RRC-TransactionIdentifier,
   SecurityCapability,
   START-Value,
   STARTList,
   U-RNTI.
   U-RNTI-Short,
   UE-RadioAccessCapability,
   UE-RadioAccessCapability-v370ext,
   UE-RadioAccessCapability-v380ext,
   UE-RadioAccessCapability-v3a0ext,
   UE-RadioAccessCapability-v3g0ext,
   DL-PhysChCapabilityFDD-v380ext,
   UE-ConnTimersAndConstants,
   UE-ConnTimersAndConstants-v3a0ext,
   UE-SecurityInformation,
   URA-UpdateCause,
   UTRAN-DRX-CycleLengthCoefficient,
   WaitTime,
-- Radio Bearer IEs :
```

```
DefaultConfigIdentity,
   DefaultConfigMode,
   DL-CounterSynchronisationInfo,
   PredefinedConfigIdentity,
   PredefinedConfigStatusList,
   RAB-Info,
   RAB-Info-Post,
   RAB-InformationList,
   RAB-InformationReconfigList,
   RAB-InformationSetupList,
   RB-ActivationTimeInfoList,
   RB-COUNT-C-InformationList,
   RB-COUNT-C-MSB-InformationList,
   RB-IdentityList,
   RB-InformationAffectedList,
   RB-InformationReconfigList,
   RB-InformationReleaseList,
   SRB-InformationSetupList,
   SRB-InformationSetupList2,
   UL-CounterSynchronisationInfo,
-- Transport Channel IEs:
   CPCH-SetID,
   DL-AddReconfTransChInfo2List,
   DL-AddReconfTransChInfoList,
   DL-CommonTransChInfo,
   DL-DeletedTransChInfoList,
   DRAC-StaticInformationList,
   TFC-Subset,
   TFCS-Identity,
   UL-AddReconfTransChInfoList,
   UL-CommonTransChInfo.
   UL-DeletedTransChInfoList,
-- Physical Channel IEs :
   Alpha,
   CCTrCH-PowerControlInfo,
   ConstantValue,
   ConstantValueTdd,
   CPCH-SetInfo,
   DL-CommonInformation,
   DL-CommonInformationPost,
   DL-InformationPerRL,
   DL-InformationPerRL-List,
   DL-InformationPerRL-ListPostFDD,
   DL-InformationPerRL-PostTDD,
   DL-PDSCH-Information,
   DPCH-CompressedModeStatusInfo,
   FrequencyInfo,
   FrequencyInfoFDD,
   FrequencyInfoTDD,
   MaxAllowedUL-TX-Power,
   PDSCH-CapacityAllocationInfo,
   PDSCH-Identity,
   PrimaryCCPCH-TX-Power,
   PUSCH-CapacityAllocationInfo,
   PUSCH-Identity,
   RL-AdditionInformationList,
   RL-RemovalInformationList,
   SpecialBurstScheduling,
   SSDT-Information,
   TFC-ControlDuration,
   TimeslotList,
   TX-DiversityMode,
   UL-ChannelRequirement,
   UL-ChannelRequirementWithCPCH-SetID,
   III.-DPCH-Info.
   UL-DPCH-InfoPostFDD,
   UL-DPCH-InfoPostTDD,
   UL-TimingAdvance,
   UL-TimingAdvanceControl,
-- Measurement IEs :
   AdditionalMeasurementID-List,
   Frequency-Band,
   EventResults,
   InterRAT-TargetCellDescription,
   MeasuredResults,
   MeasuredResults-v390ext,
   MeasuredResultsList,
   MeasuredResultsOnRACH,
```

```
MeasurementCommand,
   MeasurementIdentity,
   MeasurementReportingMode,
   PrimaryCCPCH-RSCP,
   SFN-Offset-Validity
   TimeslotListWithISCP
   TrafficVolumeMeasuredResultsList,
   UE-Positioning-GPS-AssistanceData,
   UE-Positioning-Measurement-v390ext,
   UE-Positioning-OTDOA-AssistanceData,
   UE-Positioning-OTDOA-AssistanceData-UEB,
-- Other IEs :
   BCCH-ModificationInfo,
   CDMA2000-MessageList
   GSM-MessageList,
   InterRAT-ChangeFailureCause,
   InterRAT-HO-FailureCause,
   InterRAT-UE-RadioAccessCapabilityList,
   InterRAT-UE-SecurityCapList,
   IntraDomainNasNodeSelector
   ProtocolErrorMoreInformation.
   Rplmn-Information,
   SegCount,
   SegmentIndex,
   SFN-Prime,
   SIB-Data-fixed,
   SIB-Data-variable,
   SIB-Type
FROM InformationElements
   maxSIBperMsg
FROM Constant-definitions;
__ ***************
-- INTER RAT HANDOVER INFO
__ ****************
InterRATHandoverInfo ::= SEQUENCE {
   -- This structure is defined for historical reasons, backward compatibility with 04.18
   absent
                                    NULL
       present
                                     PredefinedConfigStatusList
   },
   uE-SecurityInformation
                                 CHOICE {
       absent
                                     NULL,
       present
                                     UE-SecurityInformation
   ue-CapabilityContainer
                                 CHOICE {
       absent
                                    NULL,
       -- present is an octet aligned string containing IE UE-RadioAccessCapabilityInfo
                                    OCTET STRING (SIZE (0..63))
    - Non critical extensions
                                 CHOICE {
   v390NonCriticalExtensions
       absent
                                    NULL,
       present
                                    SEQUENCE {
           interRATHandoverInfo-v390ext InterRATHandoverInfo-v390ext-IEs,
           -- Reserved for future non critical extension
           v3a0NonCriticalExtensions
                                            SEQUENCE {
              interRATHandoverInfo-v3a0ext
                                            InterRATHandoverInfo-v3a0ext-IEs,
              laterNonCriticalExtensions
                                               SEQUENCE {
                  interRATHandoverInfo-v3d0ext
                                               InterRATHandoverInfo-v3d0ext-IEs,
                  -- Container for additional R99 extensions
                  interRATHandoverInfo-r3-add-ext
                                                   BIT STRING
                  v3g0NonCriticalExtensions
                                                    SEQUENCE {
                      OPTIONAL
                  OPTIONAL
              OPTIONAL
           }
       }
}
InterRATHandoverInfo-v390ext-IEs ::= SEQUENCE {
   -- User equipment IEs
```

```
ue-RadioAccessCapability-v380ext
                                            UE-RadioAccessCapability-v380ext
                                                                                    OPTIONAL,
        dl-PhysChCapabilityFDD-v380ext
                                            DL-PhysChCapabilityFDD-v380ext
}
InterRATHandoverInfo-v3a0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
       ue-RadioAccessCapability-v3a0ext
                                           UE-RadioAccessCapability-v3a0ext
                                                                                    OPTIONAL
}
InterRATHandoverInfo-v3d0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        uESpecificBehaviourInformationlinterRAT
                                                    UESpecificBehaviourInformationlinterRAT
    OPTIONAL
}
InterRATHandoverInfo-v3g0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        ue-RadioAccessCapability-v3g0ext
                                           UE-RadioAccessCapability-v3g0ext OPTIONAL
   ************
-- RRC CONNECTION SETUP COMPLETE
   ************
RRCConnectionSetupComplete ::= SEQUENCE {
    -- TABULAR: Integrity protection shall not be performed on this message.
    -- User equipment IEs
                                        RRC-TransactionIdentifier,
       rrc-TransactionIdentifier
        startList
                                        STARTList,
       ue-RadioAccessCapability
                                       UE-RadioAccessCapability
      Other IEs
       ue-RATSpecificCapability
                                       InterRAT-UE-RadioAccessCapabilityList OPTIONAL,
    -- Non critical extensions
        v370NonCriticalExtensions
                                            SEQUENCE {
            {\tt rrcConnectionSetupComplete-v370ext} \quad {\tt RRCConnectionSetupComplete-v370ext} \,,
                                               SEQUENCE {
            v380NonCriticalExtensions
                \verb|rrcConnectionSetupComplete-v380ext| RRCConnectionSetupComplete-v380ext-IEs|, \\
                -- Reserved for future non critical extension
                v3a0NonCriticalExtensions
                                                   SEQUENCE {
                    rrcConnectionSetupComplete-v3a0ext RRCConnectionSetupComplete-v3a0ext-IEs,
                                                    SEQUENCE {
                    laterNonCriticalExtensions
                        -- Container for additional R99 extensions
                        rrcConnectionSetupComplete-r3-add-ext
                                                                    BIT STRING
                                                                                        OPTIONAL,
                       v3g0NonCriticalExtensions
                                                           SEQUENCE {
                        rrcConnectionSetupComplete-v3g0ext RRCConnectionSetupComplete-v3g0ext-IEs,
                                                                        SEQUENCE {}
                            nonCriticalExtensions
                                                                                            OPTIONAL
                                OPTIONAL
                            OPTIONAL
                       OPTIONAL
            }
                    OPTIONAL
                OPTIONAL
{\tt RRCConnectionSetupComplete-v370ext} \; ::= \; {\tt SEQUENCE} \; \left\{ \right. \\
    -- User equipment IEs
       ue-RadioAccessCapability-v370ext
                                            UE-RadioAccessCapability-v370ext
                                                                                OPTIONAL
}
RRCConnectionSetupComplete-v380ext-IEs ::= SEQUENCE \{
    -- User equipment IEs
       ue-RadioAccessCapability-v380ext
                                            UE-RadioAccessCapability-v380ext
                                                                                OPTIONAL,
       dl-PhysChCapabilityFDD-v380ext
                                           DL-PhysChCapabilityFDD-v380ext
}
RRCConnectionSetupComplete-v3a0ext-IEs ::= SEQUENCE \{
    -- User equipment IEs
       ue-RadioAccessCapability-v3a0ext
                                           UE-RadioAccessCapability-v3a0ext
                                                                                OPTIONAL
}
RRCConnectionSetupComplete-v3g0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
       ue-RadioAccessCapability-v3g0ext UE-RadioAccessCapability-v3g0ext OPTIONAL
```

11.3 Information element definitions

```
__ ****************
-- UE CAPABILITY INFORMATION
__ *******************
}
UE-RadioAccessCapability-v370ext ::= SEQUENCE {
  ue-RadioAccessCapabBandFDDList
                             UE-RadioAccessCapabBandFDDList
UE-RadioAccessCapability-v380ext ::= SEQUENCE {
  ue-PositioningCapabilityExt-v380
                               UE-PositioningCapabilityExt-v380
UE-PositioningCapabilityExt-v3a0
UE-RadioAccessCapability-v3g0ext ::= SEQUENCE {
  ue-PositioningCapabilityExt-v3g0 UE-PositioningCapabilityExt-v3g0
UE-PositioningCapabilityExt-v380 ::= SEQUENCE { rx-tx-TimeDifferenceType2Capable BOOLEA
                                BOOLEAN
UE-PositioningCapabilityExt-v3a0 ::= SEQUENCE {
  validity-CellPCH-UraPCH
                                ENUMERATED { true }
UE-RadioAccessCapabBandFDDList ::= SEQUENCE (SIZE (1..maxFreqBandsFDD)) OF
                                 UE-RadioAccessCapabBandFDD
```

11.5 RRC information between network nodes

```
Internode-definitions DEFINITIONS AUTOMATIC TAGS ::=
IMPORTS
    HandoverToUTRANCommand,
    MeasurementReport,
    PhysicalChannelReconfiguration,
    RadioBearerReconfiguration,
    RadioBearerRelease,
    RadioBearerSetup,
    RRC-FailureInfo,
    TransportChannelReconfiguration
FROM PDU-definitions
-- Core Network IEs :
    CN-DomainIdentity,
    CN-DomainInformationList,
    CN-DRX-CycleLengthCoefficient,
   NAS-SystemInformationGSM-MAP,
-- UTRAN Mobility IEs :
   CellIdentity,
    URA-Identity,
-- User Equipment IEs :
    C-RNTI,
    DL-PhysChCapabilityFDD-v380ext,
    FailureCauseWithProtErr,
    RRC-MessageSequenceNumber,
    STARTList,
    STARTSingle,
    START-Value,
    U-RNTI,
    UE-RadioAccessCapability,
    {\tt UE-RadioAccessCapability-v370ext},\\
    UE-RadioAccessCapability-v380ext,
    UE-RadioAccessCapability-v3a0ext,
   UE-RadioAccessCapability-v3g0ext,
    UESpecificBehaviourInformationlinterRAT,
    UESpecificBehaviourInformationlidle,
-- Radio Bearer IEs :
    PredefinedConfigStatusList,
    PredefinedConfigValueTag,
    RAB-InformationSetupList,
    RB-Identity,
   SRB-InformationSetupList,
-- Transport Channel IEs :
    CPCH-SetID,
    DL-CommonTransChInfo,
    DL-AddReconfTransChInfoList,
    DRAC-StaticInformationList,
    UL-CommonTransChInfo,
    UL-AddReconfTransChInfoList,
-- Measurement IEs :
    MeasurementIdentity,
    MeasurementReportingMode,
    MeasurementType,
    AdditionalMeasurementID-List,
    PositionEstimate,
-- Other IEs :
    InterRAT-UE-RadioAccessCapabilityList
FROM InformationElements
    maxCNdomains,
    maxNoOfMeas,
    maxRB,
    maxSRBsetup
FROM Constant-definitions;
-- Part 1: Class definitions similar to what has been defined in 11.1 for RRC messages
-- Information that is tranferred in the same direction and across the same path is grouped
```

```
-- RRC information, to target RNC
__ ***************
-- RRC Information to target RNC sent either from source RNC or from another RAT
ToTargetRNC-Container ::= CHOICE {
                                       InterRATHandoverInfoWithInterRATCapabilities,
   interRAThandover
    srncRelocation
                                       SRNC-RelocationInfo,
   extension
                                       NULL
}
__ ***************
-- RRC information, target RNC to source RNC
__ ***************
TargetRNC-ToSourceRNC-Container::= CHOICE {
   radioBearerSetup,
radioBearerReconfiguration
radioBearerRelease
radioBearerRelease
radioBearerRelease
radioBearerRelease
radioBearerRelease
radioBearerRelease
radioBearerRelease,
ransportChannelReconfiguration
physicalChannelReconfiguration
rrc-FailureInfo
RRC-FailureInfo,
    -- IE dl-DCCHmessage consists of an octet string that includes
    -- the IE DL-DCCH-Message
   dL-DCCHmessage
                                       OCTET STRING,
    extension
                                       NULL
}
-- Part2: Container definitions, similar to the PDU definitions in 11.2 for RRC messages
-- In alphabetical order
__ ***************
-- Handover to UTRAN information
__ ***************
InterRATHandoverInfoWithInterRATCapabilities ::= CHOICE {
                                   SEQUENCE {
        -- IE InterRATHandoverInfoWithInterRATCapabilities-r3-IEs also
         - includes non critical extensions
       interRATHandoverInfoWithInterRATCapabilities-v390ext
    InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs,
           -- Reserved for future non critical extension
           nonCriticalExtensions
                                           SEQUENCE {} OPTIONAL
        }
               OPTIONAL
    criticalExtensions
                                  SEQUENCE {}
}
InterRATHandoverInfoWithInterRATCapabilities-r3-IEs::=
        -- The order of the IEs may not reflect the tabular format
        -- but has been chosen to simplify the handling of the information in the BSC
    -- Other IEs
       ue-RATSpecificCapability
                                       InterRAT-UE-RadioAccessCapabilityList OPTIONAL,
        -- interRATHandoverInfo, Octet string is used to obtain 8 bit length field prior to
        -- actual information. This makes it possible for BSS to transparently handle information -- received via GSM air interface even when it includes non critical extensions.
        -- The octet string shall include the InterRATHandoverInfo information
        -- The BSS can re-use the 04.18 length field received from the MS
                                       OCTET STRING (SIZE (0..255))
        interRATHandoverInfo
}
InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs ::= SEQUENCE {
   -- User equipment IEs
       failureCauseWithProtErr
                                          FailureCauseWithProtErr
                                                                                   OPTIONAL
}
__ *******************************
-- SRNC Relocation information
```

```
__ *****************************
SRNC-RelocationInfo ::= CHOICE {
                                   SEQUENCE {
                                   SRNC-RelocationInfo-r3-IEs,
        sRNC-RelocationInfo-r3
       v380NonCriticalExtensions
                                           SEQUENCE {
           sRNC-RelocationInfo-v380ext SRNC-RelocationInfo-v380ext-IEs,
            -- Reserved for future non critical extension
           v390NonCriticalExtensions
                                               SEQUENCE {
                                                  SRNC-RelocationInfo-v390ext-IEs,
               sRNC-RelocationInfo-v390ext
                                                   SEQUENCE {
    SRNC-RelocationInfo-v3a0ext-IEs,
               v3a0NonCriticalExtensions
                    sRNC-RelocationInfo-v3a0ext
                    v3b0NonCriticalExtensions
                                                        SEQUENCE {
                       sRNC-RelocationInfo-v3b0ext
                                                           SRNC-RelocationInfo-v3b0ext-IEs,
                                                            SEQUENCE {
                       v3c0NonCriticalExtensions
                            sRNC-RelocationInfo-v3c0ext
                                                                SRNC-RelocationInfo-v3c0ext-IEs,
                            laterNonCriticalExtensions
                                                                SEQUENCE {
                                sRNC-RelocationInfo-v3d0ext
                                                                   SRNC-RelocationInfo-v3d0ext-IEs,
                                -- Container for additional R99 extensions
                                sRNC-RelocationInfo-r3-add-ext
                                                                   BIT STRING OPTIONAL,
                                v3g0NonCriticalExtensions
                                                                    SEQUENCE {
                                    sRNC-RelocationInfo-v3g0ext
                                                                        SRNC-RelocationInfo-v3g0ext-
IEs,
                                    -- Reserved for future non critical extension
                                   nonCriticalExtensions
                                                                   SEQUENCE {} OPTIONAL
                                       OPTIONAL
                                    OPTIONAL
                                OPTIONAL
                           OPTIONAL
                       OPTIONAL
                    OPTIONAL
           }
               OPTIONAL
    criticalExtensions
                                   SEQUENCE {}
                                           SEQUENCE {
SRNC-RelocationInfo-r3-IEs ::=
    -- Non-RRC IEs
       stateOfRRC
                                       StateOfRRC,
       stateOfRRC-Procedure
                                       StateOfRRC-Procedure,
    -- Ciphering related information IEs
    -- If the extension v380 is included use the extension for the ciphering status per CN domain
       cipheringStatus
                                       CipheringStatus,
                                       CalculationTimeForCiphering
       calculationTimeForCiphering
        -- The order of occurrence in the IE cipheringInfoPerRB-List is the
        -- same as the RBs in SRB-InformationSetupList in RAB-InformationSetupList.
        -- The signalling RBs are supposed to be listed
        -- first. Only UM and AM RBs that are ciphered are listed here
       cipheringInfoPerRB-List
                                     CipheringInfoPerRB-List
                                                                            OPTIONAL,
       count-C-List
                                       COUNT-C-List
                                                                            OPTIONAL,
       integrityProtectionStatus
                                       IntegrityProtectionStatus,
        -- In the IE srb-SpecificIntegrityProtInfo, the first information listed corresponds to
        -- signalling radio bearer RBO and after the order of occurrence is the same as the SRBs in
        -- SRB-InformationSetupList
       srb-SpecificIntegrityProtInfo
                                       SRB-SpecificIntegrityProtInfoList,
        implementationSpecificParams
                                       ImplementationSpecificParams
                                                                            OPTIONAL,
    -- User equipment IEs
       u-RNTI
                                       U-RNTI,
                                       C-RNTI
       C-RNTI
                                                                            OPTIONAL.
       ue-RadioAccessCapability
                                       UE-RadioAccessCapability,
       ue-Positioning-LastKnownPos
                                       UE-Positioning-LastKnownPos
                                                                            OPTIONAL,
    -- Other IEs
       ue-RATSpecificCapability
                                       InterRAT-UE-RadioAccessCapabilityList OPTIONAL,
    -- UTRAN mobility IEs
       ura-Identity
                                       URA-Identity
                                                                            OPTIONAL.
    -- Core network IEs
       cn-CommonGSM-MAP-NAS-SysInfo
                                       NAS-SystemInformationGSM-MAP,
                                       CN-DomainInformationList
       cn-DomainInformationList
                                                                            OPTIONAL.
    -- Measurement IEs
       ongoingMeasRepList
                                       OngoingMeasRepList
                                                                            OPTIONAL,
    -- Radio bearer IEs
       predefinedConfigStatusList
                                       PredefinedConfigStatusList,
                                       SRB-InformationSetupList,
       srb-InformationList
       rab-InformationList
                                       RAB-InformationSetupList
                                                                            OPTIONAL,
    -- Transport channel IEs
       ul-CommonTransChInfo
                                       UL-CommonTransChInfo
                                                                            OPTIONAL,
       ul-TransChInfoList
                                       UL-AddReconfTransChInfoList
                                                                            OPTIONAL,
```

```
modeSpecificInfo
                                          CHOICE {
                                              SEQUENCE {
                 cpch-SetID
                                                   CPCH-SetID
                                                                                 OPTIONAL,
                 transChDRAC-Info
                                                   DRAC-StaticInformationList OPTIONAL
            tdd
                                              NULL
        ),
dl-CommonTransChInfo
                                    DL-CommonTransChInfo
                                                                                 OPTIONAL,
        dl-TransChInfoList
                                          DL-AddReconfTransChInfoList
                                                                                 OPTIONAL,
    -- Measurement report
        measurementReport
                                          MeasurementReport
                                                                                OPTIONAL
}
SRNC-RelocationInfo-v380ext-IEs ::= SEQUENCE {
    -- Ciphering related information IEs
        cn-DomainIdentity
                                               CN-DomainIdentity,
        cipheringStatusList
                                               CipheringStatusList
}
SRNC-RelocationInfo-v390ext-IEs ::= SEQUENCE {
        cn-DomainInformationList-v390ext CN-DomainInformationList-v390ext
                                                                                         OPTIONAL,
        ue-RadioAccessCapability-v370ext
        ue-RadioAccessCapability-v380ext
ue-RadioAccessCapability-v380ext
dl-PhysChCapabilityFDD-v380ext
DL-PhysChCapabilityFDD-v380ext,
FailureCauseWithProtErr
                                              UE-RadioAccessCapability-v370ext
                                                                                         OPTIONAL,
                                              UE-RadioAccessCapability-v380ext
                                                                                         OPTIONAL,
                                                                                         OPTIONAL
}
SRNC-RelocationInfo-v3a0ext-IEs ::= SEQUENCE {
        cipheringInfoForSRB1-v3a0ext CipheringInfoPerRB-List-v3a0ext, ue-RadioAccessCapability-v3a0ext UE-RadioAccessCapability-v3a0ext
                                                                                         OPTIONAL.
        -- cn-domain identity for IE startValueForCiphering-v3a0ext is specified
        -- in subsequent extension (SRNC-RelocationInfo-v3b0ext-IEs)
        startValueForCiphering-v3a0ext
                                               START-Value
}
SRNC-RelocationInfo-v3b0ext-IEs ::= SEQUENCE {
         -- cn-domain identity for IE startValueForCiphering-v3a0ext included in previous extension
        cn-DomainIdentity
                                         CN-DomainIdentity,
        -- the IE startValueForCiphering-v3b0ext contains the start values for each CN Domain. The
        -- value of start indicated by the IE startValueForCiphering-v3a0ext should be set to the
        -- same value as the start-Value for the corresponding cn-DomainIdentity in the IE
        -- startValueForCiphering-v3b0ext
                                              STARTList2
        startValueForCiphering-v3b0ext
                                                                                          OPTIONAL
}
SRNC-RelocationInfo-v3c0ext-IEs ::= SEQUENCE {
        -- IE rb-IdentityForHOMessage includes the identity of the RB used by the source SRNC
        -- to send the message contained in the IE "TargetRNC-ToSourceRNC-Container".
        -- Only included if type is "UE involved"
        rb-IdentityForHOMessage
                                              RB-Identity
}
SRNC-RelocationInfo-v3d0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        uESpecificBehaviourInformationlidle UESpecificBehaviourInformationlidle
        uESpecificBehaviourInformationlinterRAT
                                                       UESpecificBehaviourInformationlinterRAT
    OPTIONAL
}
SRNC-RelocationInfo-v3q0ext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v3g0ext UE-RadioAccessCapability-v3g0ext
STARTList2 ::=
                                      SEQUENCE (SIZE (2..maxCNdomains)) OF
                                          STARTSingle
CipheringInfoPerRB-List-v3a0ext ::= SEQUENCE {
       dl-UM-SN
                                          BIT STRING (SIZE (7))
CipheringStatusList ::=
                                   SEQUENCE (SIZE (1..maxCNdomains)) OF
                                          CipheringStatusCNdomain
CipheringStatusCNdomain ::= SEQUENCE {
    cn-DomainIdentity CN-DomainIdentity CN-DomainIdentity CipheringStatus
                                          CN-DomainIdentity,
                                          CipheringStatus
        cipheringStatus
}
```

```
-- IE definitions
CalculationTimeForCiphering ::= SEQUENCE {
                                          CellIdentity,
    cell-Id
    sfn
                                           INTEGER (0..4095)
}
CipheringInfoPerRB ::=
                                      SEQUENCE {
                                          BIT STRING (SIZE (20..25)),
    dl-HFN
    ul-HFN
                                           BIT STRING (SIZE (20..25))
}
-- TABULAR: CipheringInfoPerRB-List, multiplicity value numberOfRadioBearers
-- has been replaced with maxRB.
CipheringInfoPerRB-List ::=
                                      SEQUENCE (SIZE (1..maxRB)) OF
                                      CipheringInfoPerRB
CipheringStatus ::=
                                     ENUMERATED {
                                         started, notStarted }
CN-DomainInformation-v390ext ::=
                                          SEQUENCE {
    cn-DRX-CycleLengthCoeff
                                          CN-DRX-CycleLengthCoefficient
CN-DomainInformationList-v390ext ::=
                                          SEQUENCE (SIZE (1..maxCNdomains)) OF
                                           CN-DomainInformation-v390ext
COUNT-C-List ::=
                                           SEQUENCE (SIZE (1..maxCNdomains)) OF
                                          COUNT-CSingle
COUNT-CSingle ::=
                                          SEOUENCE {
                                           CN-DomainIdentity,
   cn-DomainIdentity
    count-C
                                           BIT STRING (SIZE (32))
}
ImplementationSpecificParams ::=
                                     BIT STRING (SIZE (1..512))
IntegrityProtectionStatus ::= ENUMERATED {
                                          started, notStarted }
MeasurementCommandWithType ::=
                                    CHOICE {
    setup
                                          MeasurementType,
    modify
                                          NULL,
    release
                                          NULL
}
OngoingMeasRep ::=
                          הבעטבות ה
MeasurementIdentity,
היי לאם tabula
                                     SEQUENCE {
   measurementIdentity
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType
   measurementCommandWithType MeasurementCommandWithType,
measurementReportingMode MeasurementReportingMode OPTIONAL,
additionalMeasurementID-List AdditionalMeasurementID-List OPTIONAL
}
OngoingMeasRepList ::=
                                      SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                          OngoingMeasRep
SRB-SpecificIntegrityProtInfo ::= SEQUENCE {
    ul-RRC-HFN
                                          BIT STRING (SIZE (28)),
    {\tt dl-RRC-HFN}
                                           BIT STRING (SIZE (28)),
    ul-RRC-SequenceNumber
                                          RRC-MessageSequenceNumber,
    dl-RRC-SequenceNumber
                                          RRC-MessageSequenceNumber
}
{\tt SRB-SpecificIntegrityProtInfoList} \; ::= \; {\tt SEQUENCE} \; \; ({\tt SIZE} \;\; ({\tt 4..maxSRBsetup})) \; \; {\tt OF} \; \; \\
                                          SRB-SpecificIntegrityProtInfo
StateOfRRC ::=
                                      ENUMERATED {
                                          cell-DCH, cell-FACH,
                                          cell-PCH, ura-PCH }
StateOfRRC-Procedure ::=
                                      ENUMERATED {
                                          awaitNoRRC-Message,
                                           awaitRB-ReleaseComplete,
                                           awaitRB-SetupComplete,
                                           awaitRB-ReconfigurationComplete,
```

```
awaitTransportCH-ReconfigurationComplete,
                                             awaitPhysicalCH-ReconfigurationComplete,
                                            awaitActiveSetUpdateComplete,
                                             awaitHandoverComplete,
                                             sendCellUpdateConfirm,
                                            sendUraUpdateConfirm,
-- dummy is not used in this version of specification
-- It should not be sent
                                             dummy,
                                            otherStates
}
UE-Positioning-LastKnownPos ::= SEQUENCE {
                                            INTEGER (0..4095),
        sfn
        cell-id
                                            CellIdentity,
        positionEstimate
                                            PositionEstimate
}
END
```

3GPP TSG-RAN WG2 Meeting #37 Hungary, Budapest, 25th -29th August 2003

CHANGE REQUEST							CR-I	Form-v7
*	25.331 CR	2056	жrev	- 9	₩ C	Current version: 4.1	0.0 #	

For <u>HELP</u> on using this form, see bottom of this page or look at the pop-up text over the **%** symbols.

ME X Radio Access Network X Core Network UICC apps# Proposed change affects: Title: ₩ UE positioning support in the UE Source: ₩ RAN WG2 Date: 第 27/08/2003 Category: Α Release: # Rel-4 Use one of the following releases: Use <u>one</u> of the following categories: (GSM Phase 2) F (correction) 2 **A** (corresponds to a correction in an earlier release) R96 (Release 1996) **B** (addition of feature), R97 (Release 1997) **C** (functional modification of feature) R98 (Release 1998) **D** (editorial modification) R99 (Release 1999)

Reason for change:

At RAN#20, RAN2 was requested to revisit UE capabilities for UE positioning following proposals to make the SFN-SFN observed time difference type 2 measurement an optional capability.

Summary of change: % (The Rel-5 implementation is different than the R'99/Rel-4).

Detailed explanations of the above categories can

be found in 3GPP TR 21.900.

The following changes are made:

A new UE capability is introduced so that the UE can indicate support for the SFN-SFN observed time difference type 2 measurement. When this measurement is supported by the UE is must be supported in all RRC states.

Rel-4

Rel-5

Rel-6

(Release 4)

(Release 5)

(Release 6)

The definition of the existing UE capability "Support for UE Positioning measurement validity in CELL_PCH and URA_PCH RRC states" is changed so that it only applies to assisted GPS methods.

Isolated Impact Analysis

Functionality corrected: UE positioning SFN-SFN observed time difference type 2 measurement.

The change is isolated to this functionality - their is no impact on the UE positioning assisted GPS functionality.

If a UE supporting the corrected functionality does not implement this CR and the UTRAN does implement this CR then the UTRAN will consider that the UE does not have support and it will not be able to use the functionality

If a UE supporting the corrected functionality implements this CR and the UTRAN does not implement this CR then the UTRAN will anyway correctly

assume that UE supports the functionality at least in the CELL_DCH/CELL_FACH states. The UTRAN may not be aware that the UE supports the functionality in CELL_PCH/URA_PCH states.

If a UE not supporting the corrected functionality does not implement this CR and the UTRAN does implement this CR then the UTRAN will correctly consider that the UE does not have support.

If a UE not supporting the corrected functionality implements this CR and the UTRAN does not implement this CR then the UTRAN could incorrectly consider that the UE does have support and UP measurement control procedures could fail

Consequences if not approved:

If the CR is not approved it will not be possible to signal support for SFN-SFN observed time difference type 2 measurement and support measurement will remain linked to the RRC state of the UE.

Clauses affected:	8.4.1.6.7 , 10.3.3.45, 11.2, 11.3, 11.5					
Other specs affected:	Y N X Other core specifications Test specifications O&M Specifications Y 25.306 CR 077, 25.305 CR 097 Compared to the core specifications W 25.306 CR 077, 25.305 CR 097					
Other comments:	*					

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.4.1.6.7 UE positioning measurement

Upon transition from CELL_DCH to CELL_PCH or URA_PCH, the UE shall

- 1> if the UE does not support UE positioning <u>assisted GPS</u> measurement validity in CELL_PCH and URA_PCH states as indicated in the IE "UE positioning capability" included in the IE "UE Radio Access Capability":
 - 2> stop UE positioning measurement reporting for measurements stored in the variable MEASUREMENT_IDENTITY with the IE "Positioning Methods" set to "GPS".

Upon transition from CELL_DCH to CELL_FACH, or upon transition from CELL_DCH to CELL_PCH or URA_PCH and if the UE supports UE positioning <u>assisted GPS</u> measurement validity in CELL_PCH and URA_PCH states as indicated in the IE "UE positioning capability" included in the IE "UE Radio Access Capability", the UE shall:

- 1> retrieve each set of measurement control information of measurement type "UE positioning" stored in the variable MEASUREMENT_IDENTITY; and
 - 2> if the optional IE "measurement validity" for this measurement has not been included:
 - 3> delete the measurement associated with the variable MEASUREMENT_IDENTITY.
 - 2> if the IE "measurement validity" for the measurement has been included, and the IE "UE state" has been assigned to value "CELL_DCH":
 - 3> stop measurement reporting;
 - 3> store the measurement associated with the variable MEASUREMENT_IDENTITY to be used after the next transition to CELL_DCH state.
 - 2> if the IE "measurement validity" for the measurement has been included, and the IE "UE state" has been assigned to value "all states":
 - 3> upon transition from CELL_DCH to CELL_PCH or URA_PCH:
 - 4> if the choice in the IE "Reporting Criteria" included the IE "UE Positioning" stored in the variable MEASUREMENT_IDENTITY is set to "UE positioning reporting criteria" and the value of the IE "Measurement interval" included in this IE is less than 64 seconds:
 - 5> consider the value of the IE "Measurement interval" as being 64 seconds.
 - 4> if the choice in the IE "Reporting Criteria" included the IE "UE Positioning" stored in the variable MEASUREMENT_IDENTITY is set to "Periodical Reporting Criteria" and the value of the IE "Reporting interval" included in this IE is less than 64 seconds:
 - 5> consider the value of the IE "Reporting Interval" as being 64 seconds.
 - 3> continue measurement reporting according to its UE positioning measurement reporting capability.
 - 2> if the IE "measurement validity" has been included and the IE "UE state" has been assigned to value "all states except CELL_DCH":
 - 3> upon transition from CELL_DCH to CELL_PCH or URA_PCH:
 - 4> if the choice in the IE "Reporting Criteria" included the IE "UE Positioning" stored in the variable MEASUREMENT_IDENTITY is set to "UE positioning reporting criteria" and the value of the IE "Measurement interval" included in this IE is less than 64 seconds:
 - 5> consider the value of the IE "Measurement interval" as being 64 seconds.
 - 4> if the choice in the IE "Reporting Criteria" included the IE "UE Positioning" stored in the variable MEASUREMENT_IDENTITY is set to "Periodical Reporting Criteria" and the value of the IE "Reporting interval" included in this IE is less than 64 seconds:
 - 5> consider the value of the IE "Reporting Interval" as being 64 seconds.
 - 3> resume this measurement and associated reporting according to its UP measurement reporting capability.

- 1> if the transition is due to a reconfiguration message which included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects a cell other than that indicated by this IE; or
- 1> if the transition is due to a reconfiguration message which does not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD); or
- 1> if the transition is not due to a reconfiguration message:
 - 2> delete the assistance data included in the variable UE_POSITIONING_OTDOA_DATA_UE_BASED and UE_POSITIONING_OTDOA_DATA_UE_ASSISTED.
- 1> if the IE "Positioning Methods" stored in the variable MEASUREMENT_IDENTITY is set to "OTDOA" or "OTDOA or GPS":
 - 2> if the IE "Method type" stored in the variable MEASUREMENT_IDENTITY is set to "UE-based" or "UE assisted preferred but UE-based allowed" or "UE-based preferred but UE-assisted allowed":
 - 3> begin monitoring assistance data received in System Information Block type 15.4 and System Information Block type 15.5 according to subclause 8.1.1.6.15.
 - 2> if the IE "Method type" stored in the variable MEASUREMENT_IDENTITY is set to "UE-assisted":
 - 3> begin monitoring assistance data received in System Information Block type 15.4 according to subclause 8.1.1.6.15.
- 1> if the UE is in CELL_FACH state:
 - 2> if the IE "UE positioning OTDOA neighbour cell list for UE assisted" stored in the variable UE_POSITIONING_OTDOA_DATA_UE_ASSISTED or UE_POSITIONING_OTDOA_DATA_UE_BASED contains neighbour cells on other frequencies than the current frequency:
 - 3> perform measurements on other frequencies according to the IE "FACH measurement occasion info".

The UE may:

- 1> if the IE "Positioning Methods" stored in the variable MEASUREMENT_IDENTITY is set to "GPS" or "OTDOA or GPS":
 - 2> begin monitoring assistance data received in System Information Block type 15 and/or System Information Block type 15.1 and/or System Information Block type 15.2 and/or System Information Block type 15.3 according to subclause 8.1.1.6.15.

10.3.3.45 UE positioning capability

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Standalone location method(s) supported	MP		Boolean	Defines if a UE can measure its location by some means unrelated to UTRAN TRUE means supported
UE based OTDOA supported	MP		Boolean	TRUE means supported
Network Assisted GPS support	MP		Enumerated ('Network based', 'UE based', 'Both', 'None')	Defines if the UE supports network based or UE based GPS methods.
Support for GPS timing of cell frames measurement	MP		Boolean	Defines if a UE has the capability to perform the UE GPS timing of cell frames measurement [7]. TRUE means capable
Support for IPDL	MP		Boolean	Defines if a UE has the capability to use IPDL to enhance its 'SFN-SFN observed time difference –type 2' measurement. TRUE means supported
Support for Rx-Tx time difference type2 measurement	MP		Boolean	TRUE means supported
Support for UP <u>assisted GPS</u> measurement validity in CELL_PCH and URA_PCH states	MD OP		Enumerated (true)	Absence of this element means not supported and presence means supported. NOTE 1.
Support for SFN-SFN observed time difference type 2 measurement	<u>OP</u>		Enumerated (true)	Absence of this element means not supported and presence means supported.

NOTE 1: The performance requirements for this capability are defined in Release 5.

11.2 PDU definitions

```
-- TABULAR: The message type and integrity check info are not
\mbox{--}\mbox{ visible} in this module as they are defined in the class module.
-- Also, all FDD/TDD specific choices have the FDD option first
-- and TDD second, just for consistency.
__***********************
PDU-definitions DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
__********************
-- IE parameter types from other modules
__***********************
-- Core Network IEs :
   CN-DomainIdentity,
   CN-InformationInfo,
   CN-InformationInfoFull,
   NAS-Message,
   PagingRecordTypeID,
-- UTRAN Mobility IEs :
   CellIdentity,
   CellIdentity-PerRL-List,
   URA-Identity,
-- User Equipment IEs :
   AccessStratumReleaseIndicator,
   ActivationTime,
   C-RNTI,
   CapabilityUpdateRequirement,
   CapabilityUpdateRequirement-r4,
   CapabilityUpdateRequirement-r4-ext,
   CellUpdateCause,
   CipheringAlgorithm,
   CipheringModeInfo,
   DSCH-RNTI,
   EstablishmentCause.
   FailureCauseWithProtErr,
   FailureCauseWithProtErrTrId,
   UESpecificBehaviourInformationlidle,
   UESpecificBehaviourInformationlinterRAT,
   InitialUE-Identity,
   IntegrityProtActivationInfo,
   IntegrityProtectionModeInfo,
   N-308,
   PagingCause,
   PagingRecordList,
   ProtocolErrorIndicator,
   ProtocolErrorIndicatorWithMoreInfo,
   Rb-timer-indicator,
   RedirectionInfo,
   RejectionCause,
   ReleaseCause,
   RRC-StateIndicator,
   RRC-TransactionIdentifier,
   SecurityCapability,
   START-Value,
   STARTList,
   U-RNTI.
   U-RNTI-Short,
   UE-RadioAccessCapability,
   UE-RadioAccessCapability-v370ext,
   UE-RadioAccessCapability-v380ext,
   UE-RadioAccessCapability-v3a0ext,
   UE-RadioAccessCapability-v3g0ext,
   UE-RadioAccessCapability-v4xyext,
   DL-PhysChCapabilityFDD-v380ext,
   UE-ConnTimersAndConstants,
```

```
UE-ConnTimersAndConstants-v3a0ext,
   UE-SecurityInformation,
   URA-UpdateCause,
   UTRAN-DRX-CycleLengthCoefficient,
   WaitTime,
-- Radio Bearer IEs :
   DefaultConfigIdentity,
   DefaultConfigIdentity-r4,
   DefaultConfigMode,
   DL-CounterSynchronisationInfo,
   PredefinedConfigIdentity,
   PredefinedConfigStatusList,
   RAB-Info.
   RAB-Info-Post,
   RAB-InformationList,
   RAB-InformationReconfigList,
   RAB-InformationSetupList,
   RAB-InformationSetupList-r4,
   RB-ActivationTimeInfoList,
   RB-COUNT-C-InformationList,
   RB-COUNT-C-MSB-InformationList,
   RB-IdentityList,
   RB-InformationAffectedList,
   RB-InformationReconfigList,
   RB-InformationReconfigList-r4,
   RB-InformationReleaseList,
   SRB-InformationSetupList,
   SRB-InformationSetupList2
   UL-CounterSynchronisationInfo,
-- Transport Channel IEs:
   CPCH-SetID,
   DL-AddReconfTransChInfo2List,
   DL-AddReconfTransChInfoList,
   DL-AddReconfTransChInfoList-r4,
   DL-CommonTransChInfo,
   DL-CommonTransChInfo-r4,
   DL-DeletedTransChInfoList,
   DRAC-StaticInformationList,
   TFC-Subset,
   TFCS-Identity,
   UL-AddReconfTransChInfoList,
   UL-CommonTransChInfo,
   UL-CommonTransChInfo-r4,
   UL-DeletedTransChInfoList,
-- Physical Channel IEs :
   Alpha,
   CCTrCH-PowerControlInfo,
   CCTrCH-PowerControlInfo-r4,
   ConstantValue
   ConstantValueTdd,
   CPCH-SetInfo,
   DL-CommonInformation,
   DL-CommonInformation-r4,
   DL-CommonInformationPost,
   DL-InformationPerRL,
   DL-InformationPerRL-List,
   DL-InformationPerRL-List-r4,
   DL-InformationPerRL-ListPostFDD,
   DL-InformationPerRL-PostTDD,
   DL-InformationPerRL-PostTDD-LCR-r4,
   DL-PDSCH-Information,
   DPCH-CompressedModeStatusInfo,
   FrequencyInfo,
   FrequencyInfoFDD,
   FrequencyInfoTDD,
   MaxAllowedUL-TX-Power,
   OpenLoopPowerControl-IPDL-TDD-r4,
   PDSCH-CapacityAllocationInfo,
   PDSCH-CapacityAllocationInfo-r4,
   PDSCH-Identity,
   PrimaryCCPCH-TX-Power,
   PrimaryCPICH-Info,
   PUSCH-CapacityAllocationInfo,
   PUSCH-CapacityAllocationInfo-r4,
   PUSCH-Identity,
   RL-AdditionInformationList,
   RL-RemovalInformationList,
   SpecialBurstScheduling,
```

```
SSDT-Information,
   TFC-ControlDuration,
   SSDT-UL-r4,
   TimeslotList,
   TimeslotList-r4,
   TX-DiversityMode,
   UL-ChannelRequirement,
   UL-ChannelRequirement-r4,
   UL-ChannelRequirementWithCPCH-SetID,
   UL-ChannelRequirementWithCPCH-SetID-r4,
   UL-DPCH-Info,
   UL-DPCH-Info-r4,
   UL-DPCH-InfoPostFDD,
   UL-DPCH-InfoPostTDD,
   UL-DPCH-InfoPostTDD-LCR-r4,
   UL-SynchronisationParameters-r4,
   UL-TimingAdvance,
   UL-TimingAdvanceControl,
   UL-TimingAdvanceControl-r4,
-- Measurement IEs :
   AdditionalMeasurementID-List,
   Frequency-Band,
   EventResults,
   InterFreqEventResults-LCR-r4-ext,
   InterRAT-TargetCellDescription,
   MeasuredResults,
   MeasuredResults-v390ext,
   MeasuredResultsList,
   MeasuredResultsList-LCR-r4-ext,
   MeasuredResultsOnRACH,
   MeasurementCommand,
   MeasurementCommand-r4,
   MeasurementIdentity,
   MeasurementReportingMode,
   PrimaryCCPCH-RSCP,
   SFN-Offset-Validity,
   TimeslotListWithISCP,
   TrafficVolumeMeasuredResultsList,
   UE-Positioning-GPS-AssistanceData
   UE-Positioning-Measurement-v390ext,
   UE-Positioning-OTDOA-AssistanceData,
   UE-Positioning-OTDOA-AssistanceData-r4ext,
   UE-Positioning-OTDOA-AssistanceData-UEB,
-- Other IEs :
   BCCH-ModificationInfo,
   CDMA2000-MessageList,
   GSM-MessageList,
   InterRAT-ChangeFailureCause,
    InterRAT-HO-FailureCause,
   InterRAT-UE-RadioAccessCapabilityList,
    InterRAT-UE-SecurityCapList,
   IntraDomainNasNodeSelector,
   ProtocolErrorMoreInformation,
   Rplmn-Information,
   Rplmn-Information-r4,
   SegCount,
   SegmentIndex,
   SFN-Prime,
   SIB-Data-fixed,
   SIB-Data-variable,
   SIB-Type
FROM InformationElements
   maxSIBperMsg
FROM Constant-definitions;
__ ***************
-- INTER RAT HANDOVER INFO
__ ***************
InterRATHandoverInfo ::= SEQUENCE {
   -- This structure is defined for historical reasons, backward compatibility with 04.18
   absent
                                      NIII.I.
                                      PredefinedConfigStatusList
       present
```

```
uE-SecurityInformation
                                   CHOICE {
       absent
                                      NULL,
                                      UE-SecurityInformation
       present
   ue-CapabilityContainer
                                   CHOICE {
                                      NULL,
        -- present is an octet aligned string containing IE UE-RadioAccessCapabilityInfo
                                      OCTET STRING (SIZE (0..63))
       present
     - Non critical extensions
   v390NonCriticalExtensions
                                   CHOICE {
                                      NULL.
       absent.
       present
                                      SEQUENCE {
           interRATHandoverInfo-v390ext InterRATHandoverInfo-v390ext-IEs,
           v3a0NonCriticalExtensions
                                          SEQUENCE {
               \verb|interRATH| and over \verb|Info-v3a0ext| & \verb|InterRATH| and over \verb|Info-v3a0ext|, \\
               laterNonCriticalExtensions
                                             SEQUENCE {
                   interRATHandoverInfo-v3d0ext InterRATHandoverInfo-v3d0ext-IEs,
                   -- Container for additional R99 extensions
                   interRATHandoverInfo-r3-add-ext BIT STRING OPTIONAL,
                   v3g0NonCriticalExtensions
                                                      SEQUENCE {
                       interRATHandoverInfo-v3g0ext InterRATHandoverInfo-v3g0ext-IEs,
                                                      SEQUENCE {
                       v4xyNonCriticalExtensions
                           interRATHandoverInfo-v4xyext InterRATHandoverInfo-v4xyext-IEs,
                           -- Reserved for future non critical extension
                                                          SEQUENCE {} OPTIONAL
                           nonCriticalExtensions
                           OPTIONAL
                       OPTIONAL
                   OPTIONAL
               OPTIONAL
           }
       }
   }
}
InterRATHandoverInfo-v390ext-IEs ::= SEQUENCE {
    -- User equipment IEs
       ue-RadioAccessCapability-v380ext
                                          UE-RadioAccessCapability-v380ext
                                                                                 OPTIONAL,
       dl-PhysChCapabilityFDD-v380ext
                                          DL-PhysChCapabilityFDD-v380ext
}
InterRATHandoverInfo-v3a0ext ::= SEQUENCE {
   -- User equipment IEs
       ue-RadioAccessCapability-v3a0ext UE-RadioAccessCapability-v3a0ext
                                                                                 OPTIONAL
}
InterRATHandoverInfo-v3d0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
       uESpecificBehaviourInformationlinterRAT
                                                 UESpecificBehaviourInformationlinterRAT
   OPTIONAL
}
InterRATHandoverInfo-v3g0ext-IEs ::= SEQUENCE {
      User equipment IEs
       ue-RadioAccessCapability-v3g0ext UE-RadioAccessCapability-v3g0ext OPTIONAL
}
InterRATHandoverInfo-v4xyext-IEs ::= SEQUENCE {
     - User equipment IEs
       accessStratumReleaseIndicator
                                          AccessStratumReleaseIndicator
}
__ ****************
-- RRC CONNECTION SETUP COMPLETE
__ *******************
RRCConnectionSetupComplete ::= SEQUENCE {
    -- TABULAR: Integrity protection shall not be performed on this message.
    -- User equipment IEs
       rrc-TransactionIdentifier
                                      RRC-TransactionIdentifier,
       startList
                                      STARTList,
       ue-RadioAccessCapability
                                      UE-RadioAccessCapability
                                                                        OPTIONAL,
     - Other IEs
       ue-RATSpecificCapability
                                      InterRAT-UE-RadioAccessCapabilityList OPTIONAL,
   -- Non critical extensions
```

```
SEQUENCE {
                 v370NonCriticalExtensions
                          rrcConnectionSetupComplete-v370ext RRCConnectionSetupComplete-v370ext,
                          v380NonCriticalExtensions
                                                                                                        SEQUENCE {
                                   \verb|rrcConnectionSetupComplete-v380ext-IEs|, \\
                                    -- Reserved for future non critical extension
                                   v3a0NonCriticalExtensions
                                                                                                                 SEQUENCE {
                                            \verb| rrcConnectionSetupComplete-v3a0ext| | RRCConnectionSetupComplete-v3a0ext|, \\
                                            laterNonCriticalExtensions
                                                                                                                         SEOUENCE {
                                                     -- Container for additional R99 extensions
                                                    {\tt rrcConnectionSetupComplete-r3-add-ext}
                                                                                                                                                     BIT STRING
                                                                                                                                  SEQUENCE {
                                                    v3g0NonCriticalExtensions
                                                             {\tt rrcConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnecti
IEs,
                                                             v4xyNonCriticalExtensions
                                                                                                                                                             SEQUENCE {
                                                             rrcConnectionSetupComplete-v4xyext RRCConnectionSetupComplete-v4xyext-
IEs,
                                                                                                                                                                      SEQUENCE {}
                                                                      nonCriticalExtensions
                                                                                                                                                                                                     OPTIONAL
                                                                              OPTIONAL
                                                                      OPTIONAL
                                                             OPTIONAL
                                                    OPTIONAL
                          }
                                            OPTIONAL
                                   OPTIONAL
}
RRCConnectionSetupComplete-v370ext ::= SEQUENCE {
         -- User equipment IEs
                 ue-RadioAccessCapability-v370ext
                                                                                              UE-RadioAccessCapability-v370ext
}
RRCConnectionSetupComplete-v380ext-IEs ::= SEQUENCE {
          -- User equipment IEs
                 ue-RadioAccessCapability-v380ext
                                                                                                UE-RadioAccessCapability-v380ext
                                                                                                                                                                                        OPTIONAL,
                 dl-PhysChCapabilityFDD-v380ext
                                                                                               DL-PhysChCapabilityFDD-v380ext
}
RRCConnectionSetupComplete-v3a0ext ::= SEQUENCE {
         -- User equipment IEs
                 ue-RadioAccessCapability-v3a0ext
                                                                                               UE-RadioAccessCapability-v3a0ext OPTIONAL
}
RRCConnectionSetupComplete-v3g0ext-IEs ::= SEQUENCE {
         -- User equipment IEs
                 ue-RadioAccessCapability-v3g0ext UE-RadioAccessCapability-v3g0ext OPTIONAL
}
RRCConnectionSetupComplete-v4xyext-IEs ::= SEQUENCE {
        -- User equipment IEs
                 ue-RadioAccessCapability-v4xyext UE-RadioAccessCapability-v4xyext
}
```

11.3 Information element definitions

```
__ ****************
-- UE CAPABILITY INFORMATION
__ ******************
}
UE-RadioAccessCapability-v370ext ::= SEQUENCE {
  ue-RadioAccessCapabBandFDDList
                          UE-RadioAccessCapabBandFDDList
UE-RadioAccessCapability-v380ext ::= SEQUENCE {
  ue-PositioningCapabilityExt-v380
                           UE-PositioningCapabilityExt-v380
UE-PositioningCapabilityExt-v3a0
UE-RadioAccessCapability-v3g0ext ::= SEQUENCE {
  ue-PositioningCapabilityExt-v3g0 UE-PositioningCapabilityExt-v3g0
BOOLEAN
UE-PositioningCapabilityExt-v3a0 ::= SEQUENCE {
  validity-CellPCH-UraPCH
                            ENUMERATED { true }
UE-RadioAccessCapabBandFDDList ::= SEQUENCE (SIZE (1..maxFreqBandsFDD)) OF
                             UE-RadioAccessCapabBandFDD
```

11.5 RRC information between network nodes

Internode-definitions DEFINITIONS AUTOMATIC TAGS ::= IMPORTS HandoverToUTRANCommand, MeasurementReport, PhysicalChannelReconfiguration, RadioBearerReconfiguration, RadioBearerRelease, RadioBearerSetup, RRC-FailureInfo-r3-IEs, TransportChannelReconfiguration FROM PDU-definitions -- Core Network IEs : CN-DomainIdentity, CN-DomainInformationList, CN-DomainInformationListFull, CN-DRX-CycleLengthCoefficient, NAS-SystemInformationGSM-MAP, -- UTRAN Mobility IEs : CellIdentity, URA-Identity, -- User Equipment IEs : AccessStratumReleaseIndicator, ChipRateCapability, DL-PhysChCapabilityFDD-v380ext, DL-PhysChCapabilityTDD, DL-PhysChCapabilityTDD-LCR-r4, GSM-Measurements, FailureCauseWithProtErr, MaxHcContextSpace, MaxNoPhysChBitsReceived, MaxROHC-ContextSessions-r4, NetworkAssistedGPS-Supported, RadioFrequencyBandTDDList, RLC-Capability, RRC-MessageSequenceNumber, SecurityCapability, SimultaneousSCCPCH-DPCH-Reception, STARTList, STARTSingle, START-Value, SupportOfDedicatedPilotsForChEstimation, TransportChannelCapability, TxRxFrequencySeparation, U-RNTI, UE-MultiModeRAT-Capability, UE-PowerClass-v370, UE-RadioAccessCapabBandFDDList, UE-RadioAccessCapability, UE-RadioAccessCapability-v370ext, UE-RadioAccessCapability-v380ext, UE-RadioAccessCapability-v3a0ext, UE-RadioAccessCapability-v3g0ext, UE-RadioAccessCapability-v4xyext, UL-PhysChCapabilityFDD, UL-PhysChCapabilityTDD, UL-PhysChCapabilityTDD-LCR-r4, -- Radio Bearer IEs : PredefinedConfigStatusList, PredefinedConfigValueTag, RAB-InformationSetupList RAB-InformationSetupList-r4, RAB-Identity, RB-Identity, SRB-InformationSetupList, -- Transport Channel IEs : CPCH-SetID, DL-CommonTransChInfo, DL-CommonTransChInfo-r4,

```
DL-AddReconfTransChInfoList,
    DL-AddReconfTransChInfoList-r4,
    DRAC-StaticInformationList,
    UL-CommonTransChInfo,
    UL-CommonTransChInfo-r4,
   UL-AddReconfTransChInfoList,
-- Measurement IEs :
   MeasurementIdentity,
   MeasurementReportingMode,
    MeasurementType,
    MeasurementType-r4,
    AdditionalMeasurementID-List,
    PositionEstimate,
   UE-Positioning-IPDL-Parameters-TDD-r4-ext,
-- Other IEs :
    InterRAT-UE-RadioAccessCapabilityList,
    UESpecificBehaviourInformationlinterRAT,
    UESpecificBehaviourInformation1idle
FROM InformationElements
   maxCNdomains,
   maxNoOfMeas,
   maxRB,
   maxSRBsetup
FROM Constant-definitions
-- Part 1: Class definitions similar to what has been defined in 11.1 for RRC messages
-- Information that is tranferred in the same direction and across the same path is grouped
__ ****************************
-- RRC information, to target RNC
__ ***************
-- RRC Information to target RNC sent either from source RNC or from another RAT
ToTargetRNC-Container ::= CHOICE {
   interRATHandoverInfo
                                       InterRATHandoverInfoWithInterRATCapabilities-r3,
                                       SRNC-RelocationInfo-r3,
    srncRelocation
    extension
                                       NULL
}
__ ***************
-- RRC information, target RNC to source RNC
__ ***************
Target-RNC-ToSourceRNC-Container ::= CHOICE {
   radioBearerSetup RadioBearerSetup,
radioBearerReconfiguration RadioBearerReconfiguration,
radioBearerRelease RadioBearerRelease,
transportChannelReconfiguration
physicalChannelReconfiguration
prc-FailureInfo RRC-FailureInfo 2 TR-
    -- IE dl-DCCHmessage consists of an octet string that includes
    -- the IE DL-DCCH-Message
    dL-DCCHmessage
                                       OCTET STRING,
                                       NULL
    extension
}
-- Part 2: Container definitions, similar to the PDU definitions in 11.2 for RRC messages
-- In alphabetical order
__ ***************
-- Handover to UTRAN information
__ *******************
InterRATH and over InfoWith InterRATC apabilities - r3 ::= CHOICE \ \{ \\
                                   SEOUENCE {
        -- IE InterRATHandoverInfoWithInterRATCapabilities-r3-IEs also
        -- includes non critical extensions
```

```
interRATHandoverInfo-r3
                                       InterRATHandoverInfoWithInterRATCapabilities-r3-IEs,
        v390NonCriticalExtensions
                                           SEQUENCE {
           interRATHandoverInfoWithInterRATCapabilities-v390ext
   InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs,
            -- Reserved for future non critical extension
                                           SEQUENCE {} OPTIONAL
           nonCriticalExtensions
               OPTIONAL
                                   SEQUENCE {}
   criticalExtensions
InterRATHandoverInfoWithInterRATCapabilities-r3-IEs::=
                                                          SEQUENCE {
        -- The order of the IEs may not reflect the tabular format
       -- but has been chosen to simplify the handling of the information in the BSC
       Other IEs
       ue-RATSpecificCapability
                                      InterRAT-UE-RadioAccessCapabilityList OPTIONAL,
        -- interRATHandoverInfo, Octet string is used to obtain 8 bit length field prior to
       -- actual information. This makes it possible for BSS to transparently handle information
        -- received via GSM air interface even when it includes non critical extensions.
        -- The octet string shall include the InterRATHandoverInfo information
        -- The BSS can re-use the 04.18 length field received from the MS
                                       OCTET STRING (SIZE (0..255))
       interRATHandoverInfo
}
InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs ::= SEQUENCE {
   -- User equipment IEs
       failureCauseWithProtErr
                                           FailureCauseWithProtErr
                                                                                   OPTIONAL
}
   ***********
-- SRNC Relocation information
SRNC-RelocationInfo-r3 ::= CHOICE {
                                   SEQUENCE {
        sRNC-RelocationInfo-r3
                                       SRNC-RelocationInfo-r3-IEs,
           v380NonCriticalExtensions
                                               SEQUENCE {
               sRNC-RelocationInfo-v380ext SRNC-RelocationInfo-v380ext-IEs,
                 - Reserved for future non critical extension
                v390NonCriticalExtensions
                                                  SEQUENCE {
                   sRNC-RelocationInfo-v390ext
                                                       SRNC-RelocationInfo-v390ext-IEs,
                    v3a0NonCriticalExtensions
                                                       SEQUENCE {
                       sRNC-RelocationInfo-v3a0ext
                                                           SRNC-RelocationInfo-v3a0ext-IEs,
                       v3b0NonCriticalExtensions
                                                           SEQUENCE {
                           sRNC-RelocationInfo-v3b0ext
                                                               SRNC-RelocationInfo-v3b0ext-IEs,
                                                               SEQUENCE {
                           v3c0NonCriticalExtensions
                               sRNC-RelocationInfo-v3c0ext
                                                                   SRNC-RelocationInfo-v3c0ext-IEs,
                                                               SEQUENCE {
                               laterNonCriticalExtensions
                                   sRNC-RelocationInfo-v3d0ext
                                                                  SRNC-RelocationInfo-v3d0ext-IEs,
                                    -- Container for additional R99 extensions
                                   sRNC-RelocationInfo-r3-add-ext
                                                                       BIT STRING OPTIONAL,
                                                                       SEQUENCE {
                                   v3g0NonCriticalExtensions
                                       sRNC-RelocationInfo-v3g0ext
                                                                           SRNC-RelocationInfo-
v3g0ext-IEs,
                                       v4xyNonCriticalExtensions
                                                                           SEQUENCE {
                                           sRNC-RelocationInfo-v4xyext
                                                                               SRNC-RelocationInfo-
v4xyext-IEs,
                                            -- Reserved for future non critical extension
                                           nonCriticalExtensions
                                                                           SEQUENCE {} OPTIONAL
                                               OPTIONAL
                                           OPTIONAL
                                       OPTIONAL
                                   OPTIONAL
                               OPTIONAL
                           OPTIONAL
                       OPTIONAL
           }
                   OPTIONAL
    later-than-r3
                                   CHOICE {
                                       SEOUENCE {
           sRNC-RelocationInfo-r4
                                           SRNC-RelocationInfo-r4-IEs,
           nonCriticalExtensions
                                           SEQUENCE {} OPTIONAL
       criticalExtensions
                                           SEQUENCE {}
   }
}
```

```
SRNC-RelocationInfo-r3-IEs ::= SEQUENCE {
    -- Non-RRC IEs
                                           StateOfRRC,
        stateOfRRC
        stateOfRRC-Procedure
                                           StateOfRRC-Procedure,
    -- Ciphering related information IEs
    -- If the extension v380 is included use the extension for the ciphering status per CN domain
        cipheringStatus
                                         CipheringStatus,
        calculationTimeForCiphering CalculationTimeForCiphering
                                                                                  OPTIONAL,
         -- The order of occurrence in the IE cipheringInfoPerRB-List is the
         -- same as the RBs in SRB-InformationSetupList in RAB-InformationSetupList.
         -- The signalling RBs are supposed to be listed
         -- first. Only UM and AM RBs that are ciphered are listed here
        cipheringInfoPerRB-List
                                         CipheringInfoPerRB-List
                                                                                   OPTIONAL,
        count-C-List
                                           COUNT-C-List
                                                                                   OPTIONAL,
        integrityProtectionStatus
                                          IntegrityProtectionStatus,
         -- In the IE srb-SpecificIntegrityProtInfo, the first information listed corresponds to
        -- signalling radio bearer RBO and after the order of occurrence is the same as the SRBs in
         -- SRB-InformationSetupList
        srb-SpecificIntegrityProtInfoSRB-SpecificIntegrityProtInfoList,implementationSpecificParamsImplementationSpecificParams
                                                                                   OPTIONAL,
    -- User equipment IEs
        u-RNTI
                                           U-RNTI,
                                           C-RNTI
        C-RNTI
                                                                                   OPTIONAL.
        c-RNTI C-RNTI
ue-RadioAccessCapability UE-RadioAccessCapability,
ue-Positioning-LastKnownPos UE-Positioning-LastKnownPos
                                                                                   OPTIONAL,
    -- Other IEs
        \verb"ue-RATS" pecific Capability & InterRAT-UE-Radio Access Capability List & OPTIONAL, \\
    -- UTRAN mobility IEs
        ura-Identity
                                           URA-Identity
                                                                                   OPTIONAL.
    -- Core network IEs
        cn-CommonGSM-MAP-NAS-SysInfo NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList
                                           CN-DomainInformationList
                                                                                   OPTIONAL,
    -- Measurement IEs
        ongoingMeasRepList
                                           OngoingMeasRepList
                                                                                   OPTIONAL.
    -- Radio bearer IEs
        predefinedConfigStatusList
                                         PredefinedConfigStatusList,
        srb-InformationList
                                           SRB-InformationSetupList,
        rab-InformationList
                                           RAB-InformationSetupList
                                                                                   OPTIONAL,
    -- Transport channel IEs
        ul-CommonTransChInfo
                                           UL-CommonTransChInfo
                                         UL-AddReconfTransChInfoList
        ul-TransChInfoList
                                                                                  OPTIONAL,
                                           CHOICE {
        modeSpecificInfo
             fdd
                                                SEQUENCE {
                 cpch-SetID
                                                    CPCH-SetID
                                                                                   OPTIONAL,
                 transChDRAC-Info
                                                    DRAC-StaticInformationList OPTIONAL
             },
             tdd
                                                NIII.T.
        dl-CommonTransChInfo
                                       DL-CommonTransChInfo
                                                                                   OPTIONAL,
        dl-TransChInfoList
                                           DL-AddReconfTransChInfoList
                                                                                   OPTIONAL,
    -- Measurement report
        measurementReport
                                           MeasurementReport
                                                                                   OPTIONAL
{\tt SRNC-RelocationInfo-v380ext-IEs} \; ::= \; {\tt SEQUENCE} \; \left\{ \right. \\
    -- Ciphering related information IEs
        cn-DomainIdentity
                                                CN-DomainIdentity,
        cipheringStatusList
                                                CipheringStatusList
}
SRNC-RelocationInfo-v390ext-IEs ::= SEQUENCE {
        cn-DomainInformationList-v390ext CN-DomainInformationList-v390ext
                                                                                            OPTIONAL,
        ue-RadioAccessCapability-v370ext
ue-RadioAccessCapability-v380ext
ue-RadioAccessCapability-v380ext
UE-RadioAccessCapability-v380ext
UE-RadioAccessCapability-v380ext
DL-PhysChCapabilityFDD-v380ext,
failureCauseWithProtErr
                                                                                            OPTIONAL,
                                                                                            OPTIONAL.
        failureCauseWithProtErr
                                               FailureCauseWithProtErr
                                                                                            OPTIONAL
}
SRNC-RelocationInfo-v3a0ext-IEs ::= SEQUENCE {
         -- cn-domain identity for IE startValueForCiphering-v3a0ext is specified
         -- in subsequent extension (SRNC-RelocationInfo-v3b0ext-IEs)
        startValueForCIphering-v3a0ext START-Value,
        cipheringInfoForSRB1-v3a0ext CipheringInfoForSRB1-v3a0ext, ue-RadioAccessCapability-v3a0ext UE-RadioAccessCapability-v3a0ext
                                                                                          OPTIONAL
}
SRNC-RelocationInfo-v3b0ext-IEs ::= SEQUENCE {
```

```
-- cn-domain identity for IE startValueForCiphering-v3a0ext included in previous extension
        cn-DomainIdentity
                                        CN-DomainIdentity,
        -- the remaining start values are contained in IE startValueForCiphering-v3b0ext
        startValueForCiphering-v3b0ext
                                              STARTList2
SRNC-RelocationInfo-v3c0ext-IEs ::= SEQUENCE {
        -- IE rb-IdentityForHOMessage includes the identity of the RB used by the source SRNC
        -- to send the message contained in the IE "TargetRNC-ToSourceRNC-Container".
        -- Only included if type is "UE involved"
        rb-IdentityForHOMessage
                                              RB-Identity
SRNC-RelocationInfo-v3d0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        uESpecificBehaviourInformationlidle UESpecificBehaviourInformationlidle OPT:
uESpecificBehaviourInformationlinterRAT UESpecificBehaviourInformationlinterRAT
    OPTIONAL
}
SRNC-RelocationInfo-v3g0ext-IEs ::= SEQUENCE {
       ue-RadioAccessCapability-v3g0ext UE-RadioAccessCapability-v3g0ext
```

ME X Radio Access Network X Core Network

Rel-6

(Release 6)

3GPP TSG-RAN WG2 Meeting #37 Hungary, Budapest, 25th -29th August 2003

Proposed change affects: UICC apps#

CHANGE REQUEST								CR-Form-v7
æ	25.331 CR	2057	жrev	-	æ	Current version:	5.5.0	*

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the **%** symbols.

,	•					
Title:	ф	UE positioning support in the UE				
Tiue.	Ф	OE positioning support in the OE				
Source	90	RAN WG2				
Source:	Ж	RAIN WG2				
Work item code.	<i>:</i>	TEI		Date: ₩	27/08/2003	
Category:	Ж	F	R	Release: #	Rel-5	
		Use one of the following categories:		Use one of t	the following release	es:
		F (correction)		2	(GSM Phase 2)	
		A (corresponds to a correction in a	n earlier release)	R96	(Release 1996)	
		B (addition of feature),		R97	(Release 1997)	
		C (functional modification of feature	e)	R98	(Release 1998)	
		D (editorial modification)		R99	(Release 1999)	
		Detailed explanations of the above cated	gories can	Rel-4	(Release 4)	
		be found in 3GPP TR 21.900.		Rel-5	(Release 5)	

Reason for change:

At RAN#20, RAN2 was requested to revisit UE capabilities for UE positioning following proposals to make the SFN-SFN observed time difference type 2 measurement an optional capability.

Summary of change: # The following changes are made:

A new UE capability is introduced so that the UE can indicate support for the SFN-SFN observed time difference type 2 measurement. When this measurement is supported by the UE is must be supported in all RRC states.

The definition of the existing UE capability "Support for UE Positioning measurement validity in CELL_PCH and URA_PCH RRC states" is changed so that it only applies to assisted GPS methods.

Difference compared to CR on rel 99/4: In this release of the specification this capability is mandatory (whereas it was optional in rel 99/4)

Isolated Impact Analysis

Functionality corrected: UE positioning SFN-SFN observed time difference type 2 measurement.

The change is isolated to this functionality - their is no impact on the UE positioning assisted GPS functionality.

If a UE supporting the corrected functionality does not implement this CR and the UTRAN does implement this CR then the UTRAN will consider that the UE does not have support and it will not be able to use the functionality

If a UE supporting the corrected functionality implements this CR and the

UTRAN does not implement this CR then the UTRAN will anyway correctly assume that UE supports the functionality at least in the CELL_DCH/CELL_FACH states. The UTRAN may not be aware that the UE supports the functionality in CELL_PCH/URA_PCH states.

If a UE not supporting the corrected functionality does not implement this CR and the UTRAN does implement this CR then the UTRAN will correctly consider that the UE does not have support.

If a UE not supporting the corrected functionality implements this CR and the UTRAN does not implement this CR then the UTRAN could incorrectly consider that the UE does have support and UP measurement control procedures could fail.

Consequences if not approved:

If the CR is not approved it will not be possible to signal support for SFN-SFN observed time difference type 2 measurement and support measurement will remain linked to the RRC state of the UE.

Clauses affected:	% 8.4.1.6.7, 10.3.3.45, 11.2, 11.3, 11.5 ▼
Other specs affected:	# X Other core specifications # 25.306 CR 078, 25.305 CR 098 Test specifications O&M Specifications
Other comments:	

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked \(\mathbb{H} \) contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.4.1.6.7 UE positioning measurement

Upon transition from CELL_DCH to CELL_PCH or URA_PCH, the UE shall

- 1> if the UE does not support UE positioning measurement validity in CELL_PCH and URA_PCH states as indicated in the IE "UE positioning capability" included in the IE "UE Radio Access Capability":
 - 2> stop UE positioning measurement reporting.

Upon transition from CELL_PCH to CELL_FACH, or upon transition from CELL_DCH to CELL_PCH or URA_PCH and if the UE supports UE positioning measurement validity in CELL_PCH and URA_PCH states as indicated in the IE "UE positioning capability" included in the IE "UE Radio Access Capability", the UE shall:

- 1> retrieve each set of measurement control information of measurement type "UE positioning" stored in the variable MEASUREMENT_IDENTITY; and
 - 2> if the optional IE "measurement validity" for this measurement has not been included:
 - 3> delete the measurement associated with the variable MEASUREMENT_IDENTITY.
 - 2> if the IE "measurement validity" for the measurement has been included, and the IE "UE state" has been assigned to value "CELL_DCH":
 - 3> stop measurement reporting;
 - 3> store the measurement associated with the variable MEASUREMENT_IDENTITY to be used after the next transition to CELL_DCH state.
 - 2> if the IE "measurement validity" for the measurement has been included, and the IE "UE state" has been assigned to value "all states":
 - 3> upon transition from CELL_DCH to CELL_PCH or URA_PCH:
 - 4> if the choice in the IE "Reporting Criteria" included the IE "UE Positioning" stored in the variable MEASUREMENT_IDENTITY is set to "UE positioning reporting criteria" and the value of the IE "Measurement interval" included in this IE is less than 64 seconds:
 - 5> consider the value of the IE "Measurement interval" as being 64 seconds.
 - 4> if the choice in the IE "Reporting Criteria" included the IE "UE Positioning" stored in the variable MEASUREMENT_IDENTITY is set to "Periodical Reporting Criteria" and the value of the IE "Reporting interval" included in this IE is less than 64 seconds:
 - 5> consider the value of the IE "Reporting Interval" as being 64 seconds.
 - 3> continue measurement reporting according to its UE positioning measurement reporting capability.
 - 2> if the IE "measurement validity" has been included and the IE "UE state" has been assigned to value "all states except CELL_DCH":
 - 3> upon transition from CELL_DCH to CELL_PCH or URA_PCH:
 - 4> if the choice in the IE "Reporting Criteria" included the IE "UE Positioning" stored in the variable MEASUREMENT_IDENTITY is set to "UE positioning reporting criteria" and the value of the IE "Measurement interval" included in this IE is less than 64 seconds:
 - 5> consider the value of the IE "Measurement interval" as being 64 seconds.
 - 4> if the choice in the IE "Reporting Criteria" included the IE "UE Positioning" stored in the variable MEASUREMENT_IDENTITY is set to "Periodical Reporting Criteria" and the value of the IE "Reporting interval" included in this IE is less than 64 seconds:
 - 5> consider the value of the IE "Reporting Interval" as being 64 seconds.
 - 3> resume this measurement and associated reporting according to its UP measurement reporting capability.

- 1> if the transition is due to a reconfiguration message which included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects a cell other than that indicated by this IE; or
- 1> if the transition is due to a reconfiguration message which does not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD); or
- 1> if the transition is not due to a reconfiguration message:
 - 2> delete the assistance data included in the variable UE_POSITIONING_OTDOA_DATA_UE_BASED and UE_POSITIONING_OTDOA_DATA_UE_ASSISTED.
- 1> if the IE "Positioning Methods" stored in the variable MEASUREMENT_IDENTITY is set to "OTDOA" or "OTDOA or GPS":
 - 2> if the IE "Method type" stored in the variable MEASUREMENT_IDENTITY is set to "UE-based" or "UE assisted preferred but UE-based allowed" or "UE-based preferred but UE-assisted allowed":
 - 3> begin monitoring assistance data received in System Information Block type 15.4 and System Information Block type 15.5 according to subclause 8.1.1.6.15.
 - 2> if the IE "Method type" stored in the variable MEASUREMENT_IDENTITY is set to "UE-assisted":
 - 3> begin monitoring assistance data received in System Information Block type 15.4 according to subclause 8.1.1.6.15.
- 1> if the UE is in CELL_FACH state:
 - 2> if the IE "UE positioning OTDOA neighbour cell list for UE assisted" stored in the variable UE_POSITIONING_OTDOA_DATA_UE_ASSISTED or UE_POSITIONING_OTDOA_DATA_UE_BASED contains neighbour cells on other frequencies than the current frequency:
 - 3> perform measurements on other frequencies according to the IE "FACH measurement occasion info".

The UE may:

- 1> if the IE "Positioning Methods" stored in the variable MEASUREMENT_IDENTITY is set to "GPS" or "OTDOA or GPS":
 - 2> begin monitoring assistance data received in System Information Block type 15 and/or System Information Block type 15.1 and/or System Information Block type 15.2 and/or System Information Block type 15.3 according to subclause 8.1.1.6.15.

10.3.3.45 UE positioning capability

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Standalone location method(s) supported	MP		Boolean	Defines if a UE can measure its location by some means unrelated to UTRAN TRUE means supported
UE based OTDOA supported	MP		Boolean	TRUE means supported
Network Assisted GPS support	MP		Enumerated ('Network based', 'UE based', 'Both', 'None')	Defines if the UE supports network based or UE based GPS methods.
Support for GPS timing of cell frames measurement	MP		Boolean	Defines if a UE has the capability to perform the UE GPS timing of cell frames measurement [7]. TRUE means capable
Support for IPDL	MP		Boolean	Defines if a UE has the capability to use IPDL to enhance its 'SFN-SFN observed time difference –type 2' measurement. TRUE means supported
Support for Rx-Tx time difference type2 measurement	MP		Boolean	TRUE means supported
Support for UP <u>assisted GPS</u> measurement validity in CELL_PCH and URA_PCH states	MD <u>OP</u>		Enumerated (true)	Absence of this element means not supported and presence means supported This IE shall be set to TRUE in this version of the protocol. NOTE 1.
Support for SFN-SFN observed time difference type 2 measurement	<u>OP</u>		Enumerated (true)	Absence of this element means not supported and presence means supported.

NOTE 1: The performance requirements for this capability are defined in Release 5.

11.2 PDU definitions

```
-- TABULAR: The message type and integrity check info are not
\mbox{--}\mbox{ visible} in this module as they are defined in the class module.
-- Also, all FDD/TDD specific choices have the FDD option first
-- and TDD second, just for consistency.
__*********************
PDU-definitions DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
__********************
-- IE parameter types from other modules
__***********************
-- Core Network IEs :
   CN-DomainIdentity,
   CN-InformationInfo,
   CN-InformationInfoFull,
   NAS-Message,
   PagingRecordTypeID,
-- UTRAN Mobility IEs :
   CellIdentity,
   CellIdentity-PerRL-List,
   URA-Identity,
-- User Equipment IEs :
   AccessStratumReleaseIndicator,
   ActivationTime,
   C-RNTI,
   CapabilityUpdateRequirement,
   CapabilityUpdateRequirement-r4,
   CapabilityUpdateRequirement-r4-ext,
   CellUpdateCause,
   CipheringAlgorithm,
   CipheringModeInfo,
   DSCH-RNTI,
   EstablishmentCause.
   FailureCauseWithProtErr,
   FailureCauseWithProtErrTrId,
   GroupReleaseInformation,
   H-RNTI,
   UESpecificBehaviourInformationlidle,
   UESpecificBehaviourInformationlinterRAT,
   InitialUE-Identity,
   IntegrityProtActivationInfo,
   {\tt IntegrityProtectionModeInfo,}
   N-308,
   PagingCause,
   PagingRecordList,
   PagingRecordList-r5,
   ProtocolErrorIndicator,
   ProtocolErrorIndicatorWithMoreInfo,
   Rb-timer-indicator,
   RedirectionInfo,
   RejectionCause.
   ReleaseCause,
   RF-CapabilityComp,
   RRC-StateIndicator,
   RRC-TransactionIdentifier,
   SecurityCapability,
   START-Value,
   STARTList,
   U-RNTI,
   U-RNTI-Short,
   UE-RadioAccessCapability,
   UE-RadioAccessCapability-v370ext,
   UE-RadioAccessCapability-v380ext,
   {\tt UE-RadioAccessCapability-v3a0ext},\\
```

```
UE-RadioAccessCapability-v3g0ext,
   UE-RadioAccessCapability-v4xyext
   UE-RadioAccessCapability-v5xyext,
   {\tt UE-RadioAccessCapabilityComp,}\\
   DL-PhysChCapabilityFDD-v380ext,
   UE-ConnTimersAndConstants,
   UE-ConnTimersAndConstants-v3a0ext,
   UE-ConnTimersAndConstants-r5,
   UE-SecurityInformation,
   URA-UpdateCause,
   UTRAN-DRX-CycleLengthCoefficient,
   WaitTime,
-- Radio Bearer IEs :
   DefaultConfigIdentity,
   DefaultConfigIdentity-r4,
   DefaultConfigMode,
   DL-CounterSynchronisationInfo,
   DL-CounterSynchronisationInfo-r5,
   PredefinedConfigIdentity,
   PredefinedConfigStatusList,
   PredefinedConfigStatusListComp,
   PredefinedConfigSetWithDifferentValueTag,
   RAB-Info,
   RAB-Info-Post,
   RAB-InformationList,
   RAB-InformationReconfigList,
   RAB-InformationSetupList,
   RAB-InformationSetupList-r4,
   RB-ActivationTimeInfoList,
   RB-COUNT-C-InformationList,
   RB-COUNT-C-MSB-InformationList,
   RB-IdentityList,
   RB-InformationAffectedList,
   RB-InformationAffectedList-r5,
   RB-InformationReconfigList,
   RB-InformationReconfigList-r4,
   RB-InformationReconfigList-r5,
   RB-InformationReleaseList,
   RB-PDCPContextRelocationList,
   SRB-InformationSetupList,
   SRB-InformationSetupList2,
   UL-CounterSynchronisationInfo,
-- Transport Channel IEs:
   CPCH-SetID,
   DL-AddReconfTransChInfo2List,
   DL-AddReconfTransChInfoList,
   DL-AddReconfTransChInfoList-r4,
   DL-AddReconfTransChInfoList-r5,
   DL-CommonTransChInfo,
   DL-CommonTransChInfo-r4,
   DL-DeletedTransChInfoList,
   DL-DeletedTransChInfoList-r5,
   DRAC-StaticInformationList,
   TFC-Subset,
   TFCS-Identity,
   UL-AddReconfTransChInfoList,
   UL-CommonTransChInfo,
   UL-CommonTransChInfo-r4,
   UL-DeletedTransChInfoList,
-- Physical Channel IEs :
   Alpha,
   CCTrCH-PowerControlInfo,
   CCTrCH-PowerControlInfo-r4,
   ConstantValue,
   ConstantValueTdd.
   CPCH-SetInfo,
   DL-CommonInformation,
   DL-CommonInformation-r4,
   DL-CommonInformationPost,
   DL-HSPDSCH-Information,
   DL-InformationPerRL,
   DL-InformationPerRL-List,
   DL-InformationPerRL-List-r4,
   DL-InformationPerRL-List-r5,
   DL-InformationPerRL-ListPostFDD,
   DL-InformationPerRL-PostTDD,
   DL-InformationPerRL-PostTDD-LCR-r4,
   DL-PDSCH-Information,
```

```
DPC-Mode,
   DPCH-CompressedModeStatusInfo,
   FrequencyInfo,
   FrequencyInfoFDD,
   FrequencyInfoTDD,
   HS-SICH-Power-Control-Info-TDD384,
   MaxAllowedUL-TX-Power,
OpenLoopPowerControl-IPDL-TDD-r4,
   PDSCH-CapacityAllocationInfo,
   PDSCH-CapacityAllocationInfo-r4,
   PDSCH-Identity,
   PrimaryCPICH-Info,
   PrimaryCCPCH-TX-Power,
   PUSCH-CapacityAllocationInfo,
   PUSCH-CapacityAllocationInfo-r4,
   PUSCH-Identity,
   PUSCH-SysInfoList-HCR-r5,
   PDSCH-SysInfoList-HCR-r5,
   RL-AdditionInformationList,
   RL-RemovalInformationList,
   SpecialBurstScheduling,
   SSDT-Information,
   TFC-ControlDuration,
   SSDT-UL-r4,
   TimeslotList,
   TimeslotList-r4,
   TX-DiversityMode,
   UL-ChannelRequirement,
   UL-ChannelRequirement-r4,
   UL-ChannelRequirement-r5,
   UL-ChannelRequirementWithCPCH-SetID,
   {\tt UL-ChannelRequirementWithCPCH-SetID-r4}\,,
   UL-ChannelRequirementWithCPCH-SetID-r5,
   UL-DPCH-Info,
   UL-DPCH-Info-r4,
   UL-DPCH-InfoPostFDD.
   UL-DPCH-InfoPostTDD,
   UL-DPCH-InfoPostTDD-LCR-r4,
   UL-SynchronisationParameters-r4,
   UL-TimingAdvance,
   UL-TimingAdvanceControl,
   UL-TimingAdvanceControl-r4,
-- Measurement IEs :
   AdditionalMeasurementID-List,
   DeltaRSCP,
   Frequency-Band,
   EventResults,
   Inter-FreqEventCriteriaList-v5xyext,
   Intra-FreqEventCriteriaList-v5xyext,
   IntraFreqReportingCriteria-lb-r5ext,
   IntraFreqEvent-1d-r5ext,
   InterFreqEventResults-LCR-r4-ext,
   InterRAT-TargetCellDescription,
   MeasuredResults,
   MeasuredResults-v390ext,
   MeasuredResults-v5xyext,
   MeasuredResultsList,
   MeasuredResultsList-LCR-r4-ext,
   MeasuredResultsOnRACH,
   MeasurementCommand,
   MeasurementCommand-r4,
   MeasurementIdentity,
   MeasurementReportingMode,
   PrimaryCCPCH-RSCP,
   SFN-Offset-Validity,
   TimeslotListWithISCP,
   TrafficVolumeMeasuredResultsList,
   UE-Positioning-GPS-AssistanceData,
   UE-Positioning-Measurement-v390ext
   UE-Positioning-OTDOA-AssistanceData,
   {\tt UE-Positioning-OTDOA-AssistanceData-r4ext}\,,
   UE-Positioning-OTDOA-AssistanceData-UEB,
   UE-Positioning-IPDL-Parameters-TDD-r4-ext,
-- Other IEs :
   BCCH-ModificationInfo,
   CDMA2000-MessageList
   GERAN-SystemInformation,
   GSM-MessageList,
   InterRAT-ChangeFailureCause,
```

```
InterRAT-HO-FailureCause,
    InterRAT-UE-RadioAccessCapabilityList,
   InterRAT-UE-SecurityCapList,
   IntraDomainNasNodeSelector,
   ProtocolErrorMoreInformation,
   Rplmn-Information,
   Rplmn-Information-r4,
   SegCount,
   SegmentIndex,
   SFN-Prime,
   SIB-Data-fixed.
   SIB-Data-variable,
   SIB-Type
FROM InformationElements
   maxSIBperMsg,
   maxURNTI-Group
FROM Constant-definitions;
__ *****************************
-- INTER RAT HANDOVER INFO
__ *******************
InterRATHandoverInfo ::= SEQUENCE {
    -- This structure is defined for historical reasons, backward compatibility with 04.18
   absent
                                      NIII.I.
       present
                                      PredefinedConfigStatusList
   uE-SecurityInformation
                                  CHOICE {
       absent
                                      NULL,
       present
                                      UE-SecurityInformation
   ue-CapabilityContainer
                                  CHOICE {
       absent
                                      NULL,
       -- present is an octet aligned string containing IE UE-RadioAccessCapabilityInfo
                                      OCTET STRING (SIZE (0..63))
    -- Non critical extensions
   v390NonCriticalExtensions
                                  CHOICE {
       absent
                                      NULL,
       present
                                      SEQUENCE {
           interRATHandoverInfo-v390ext
                                         InterRATHandoverInfo-v390ext-IEs,
                                          SEQUENCE {
           v3a0NonCriticalExtensions
                                              InterRATHandoverInfo-v3a0ext,
               interRATHandoverInfo-v3a0ext
               laterNonCriticalExtensions
                                              SEQUENCE {
                   interRATHandoverInfo-v3d0ext InterRATHandoverInfo-v3d0ext-IEs,
                   -- Container for additional R99 extensions
                   interRATHandoverInfo-r3-add-ext BIT STRING OPTIONAL,
                   v3g0NonCriticalExtensions
                                                      SEQUENCE {
                       interRATHandoverInfo-v3g0ext
                                                      InterRATHandoverInfo-v3g0ext-IEs,
                                                   SEQUENCE {
                       v4xyNonCriticalExtensions
                          interRATHandoverInfo-v4xyext
                                                         InterRATHandoverInfo-v4xyext-IEs,
                           -- Reserved for future non critical extension
                           v5xyNonCriticalExtensions
                                                     SEQUENCE {
                               interRATHandoverInfo-v5xyext
                                                             InterRATHandoverInfo-v5xyext-IEs,
                                                             SEQUENCE {} OPTIONAL
                              nonCriticalExtensions
                              OPTIONAL
                          OPTIONAL
                       OPTIONAL
                   OPTIONAL
           }
               OPTIONAL
       }
   }
InterRATHandoverInfo-v390ext-IEs ::= SEQUENCE {
    -- User equipment IEs
       ue-RadioAccessCapability-v380ext
                                          UE-RadioAccessCapability-v380ext
                                                                                OPTIONAL,
       dl-PhysChCapabilityFDD-v380ext
                                         DL-PhysChCapabilityFDD-v380ext
}
InterRATHandoverInfo-v3a0ext ::= SEQUENCE {
    -- User equipment IEs
       ue-RadioAccessCapability-v3a0ext UE-RadioAccessCapability-v3a0ext
                                                                                OPTIONAL
}
```

```
InterRATHandoverInfo-v3d0ext-IEs ::= SEQUENCE {
             - User equipment IEs
                   {\tt uESpecificBehaviourInformationlinterRAT}
                                                                                                                              UESpecificBehaviourInformationlinterRAT
          OPTIONAL
InterRATHandoverInfo-v3g0ext-IEs ::= SEQUENCE {
           -- User equipment IEs
                   ue-RadioAccessCapability-v3g0ext UE-RadioAccessCapability-v3g0ext
                                                                                                                                                                                                                OPTIONAL
InterRATHandoverInfo-v4xyext-IEs ::= SEQUENCE {
          -- User equipment IEs
                    accessStratumReleaseIndicator
                                                                                                             AccessStratumReleaseIndicator
}
InterRATHandoverInfo-v5xyext-IEs ::= SEQUENCE {
          -- User equipment IEs
                    predefinedConfigStatusListComp
                                                                                                              PredefinedConfigStatusListComp
                                                                                                                                                                                                                   OPTIONAL,
                                                                                                              UE-RadioAccessCapabilityComp
                    ue-RadioAccessCapabilityComp
                                                                                                                                                                                                                   OPTIONAL
}
       ***********
-- RRC CONNECTION SETUP COMPLETE
__ ***************
RRCConnectionSetupComplete ::= SEQUENCE {
           -- TABULAR: Integrity protection shall not be performed on this message.
          -- User equipment IEs
                   rrc-TransactionIdentifier
                                                                                                  RRC-TransactionIdentifier,
                    startList
                                                                                                    STARTList,
                    ue-RadioAccessCapability
                                                                                                    UE-RadioAccessCapability
                                                                                                                                                                                            OPTIONAL,
                Other IEs
                   ue-RATSpecificCapability
                                                                                                InterRAT-UE-RadioAccessCapabilityList OPTIONAL,
          -- Non critical extensions
                    v370NonCriticalExtensions
                                                                                                              SEQUENCE {
                              \verb| rrcConnectionSetupComplete-v370ext| | RRCConnectionSetupComplete-v370ext|, | RRCConnectionS
                              v380NonCriticalExtensions
                                                                                                                        SEQUENCE {
                                        {\tt rrcConnectionSetupComplete-v380ext-IEs,}
                                        -- Reserved for future non critical extension
                                        v3a0NonCriticalExtensions
                                                                                                              SEQUENCE {
                                                  \verb|rrcConnectionSetupComplete-v3a0ext| RRCConnectionSetupComplete-v3a0ext|,
                                                   laterNonCriticalExtensions
                                                                                                                                           SEQUENCE {
                                                             -- Container for additional R99 extensions
                                                            rrcConnectionSetupComplete-r3-add-ext
                                                                                                                                                                         BIT STRING
                                                                                                                                  SEQUENCE {
                                                            v3g0NonCriticalExtensions
                                                                      {\tt rrcConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnectionSetupComplete-v3g0ext-RRCConnecti
IEs,
                                                                      v4xyNonCriticalExtensions
                                                                                                                                                                SEQUENCE {
                                                                                \verb|rrcConnectionSetupComplete-v4xyext| RRCConnectionSetupComplete-v4xyext-IEs|, \\
                                                                                 v5xyNonCriticalExtensions
                                                                                                                                                                         SEQUENCE {
                                                                                          rrcConnectionSetupComplete-v5xyext
          RRCConnectionSetupComplete-v5xyext-IEs,
                                                                                        nonCriticalExtensions
                                                                                                                                                                                    SEQUENCE { } OPTIONAL
                                                                                                    OPTIONAL
                                                                                          OPTIONAL
                                                                                OPTIONAL
                                                                      OPTIONAL
                                                            OPTIONAL
                                                  OPTIONAL
                              }
                                        OPTIONAL
}
RRCConnectionSetupComplete-v370ext ::= SEQUENCE {
          -- User equipment IEs
                    ue-RadioAccessCapability-v370ext UE-RadioAccessCapability-v370ext OPTIONAL
}
RRCConnectionSetupComplete-v380ext-IEs ::= SEQUENCE {
           -- User equipment IEs
                    ue-RadioAccessCapability-v380ext
                                                                                                              UE-RadioAccessCapability-v380ext
                                                                                                                                                                                                                   OPTIONAL,
                    dl-PhysChCapabilityFDD-v380ext
                                                                                                             DL-PhysChCapabilityFDD-v380ext
}
```

```
RRCConnectionSetupComplete-v3a0ext ::= SEQUENCE {
   -- User equipment IEs
       ue-RadioAccessCapability-v3a0ext UE-RadioAccessCapability-v3a0ext OPTIONAL
}
RRCConnectionSetupComplete-v3g0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
       ue-RadioAccessCapability-v3g0ext UE-RadioAccessCapability-v3g0ext OPTIONAL
}
RRCConnectionSetupComplete-v4xyext-IEs ::= SEQUENCE {
    -- User equipment IEs
       ue-RadioAccessCapability-v4xyext UE-RadioAccessCapability-v4xyext
}
{\tt RRCConnectionSetupComplete-v5xyext-IEs} \ ::= \ {\tt SEQUENCE} \ \big\{
    -- User equipment IEs
       ue-RadioAccessCapability-v5xyext UE-RadioAccessCapability-v5xyext
}
```

11.3 Information element definitions

```
__ ***************
-- UE CAPABILITY INFORMATION
__ ****************************
}
{\tt UE-RadioAccessCapability-v370ext ::= } \\ {\tt SEQUENCE } \{
  ue-RadioAccessCapabBandFDDList
                           UE-RadioAccessCapabBandFDDList
UE-RadioAccessCapability-v380ext ::= SEQUENCE {
  ue-PositioningCapabilityExt-v380
                             UE-PositioningCapabilityExt-v380
UE-PositioningCapabilityExt-v3a0
UE-RadioAccessCapability-v3g0ext ::= SEQUENCE {
  ue-PositioningCapabilityExt-v3g0 UE-PositioningCapabilityExt-v3g0
BOOLEAN
UE-PositioningCapabilityExt-v3a0 ::= SEQUENCE {
  validity-CellPCH-UraPCH
                              ENUMERATED { true }
UE-RadioAccessCapabBandFDDList ::= SEQUENCE (SIZE (1..maxFreqBandsFDD)) OF
                              UE-RadioAccessCapabBandFDD
```

11.5 RRC information between network nodes

Internode-definitions DEFINITIONS AUTOMATIC TAGS ::= IMPORTS HandoverToUTRANCommand, MeasurementReport, PhysicalChannelReconfiguration, RadioBearerReconfiguration, RadioBearerRelease, RadioBearerSetup, RRC-FailureInfo-r3-IEs, TransportChannelReconfiguration FROM PDU-definitions -- Core Network IEs : CN-DomainIdentity, CN-DomainInformationList, CN-DomainInformationListFull, CN-DRX-CycleLengthCoefficient, NAS-SystemInformationGSM-MAP, -- UTRAN Mobility IEs : CellIdentity, URA-Identity, -- User Equipment IEs : AccessStratumReleaseIndicator, ChipRateCapability, DL-PhysChCapabilityFDD-v380ext, DL-PhysChCapabilityTDD, DL-PhysChCapabilityTDD-LCR-r4, GSM-Measurements, FailureCauseWithProtErr, MaxHcContextSpace, MaxNoPhysChBitsReceived, MaxROHC-ContextSessions-r4, NetworkAssistedGPS-Supported, RadioFrequencyBandTDDList, RLC-Capability, RRC-MessageSequenceNumber, SecurityCapability, SimultaneousSCCPCH-DPCH-Reception, STARTList, STARTSingle, START-Value, SupportOfDedicatedPilotsForChEstimation, TransportChannelCapability, TxRxFrequencySeparation, U-RNTI, UE-MultiModeRAT-Capability, UE-PowerClass-v370, UE-RadioAccessCapabBandFDDList, UE-RadioAccessCapability, UE-RadioAccessCapability-v370ext, UE-RadioAccessCapability-v380ext, UE-RadioAccessCapability-v3a0ext, UE-RadioAccessCapability-v3g0ext, UE-RadioAccessCapability-v4xyext, UE-RadioAccessCapability-v5xyext, UL-PhysChCapabilityFDD, UL-PhysChCapabilityTDD, UL-PhysChCapabilityTDD-LCR-r4, -- Radio Bearer IEs : PredefinedConfigStatusList, PredefinedConfigValueTag, RAB-InformationSetupList, RAB-InformationSetupList-r4, RAB-Identity, RB-Identity, RB-Identity, SRB-InformationSetupList, -- Transport Channel IEs : CPCH-SetID,

```
DL-CommonTransChInfo,
    DL-CommonTransChInfo-r4,
    DL-AddReconfTransChInfoList,
    DL-AddReconfTransChInfoList-r4,
    DRAC-StaticInformationList,
    UL-CommonTransChInfo,
    UL-CommonTransChInfo-r4,
   UL-AddReconfTransChInfoList,
-- Measurement IEs :
   MeasurementIdentity,
    MeasurementReportingMode,
    MeasurementType,
    MeasurementType-r4,
    AdditionalMeasurementID-List,
    PositionEstimate,
-- Other IEs :
    InterRAT-UE-RadioAccessCapabilityList,
    UESpecificBehaviourInformationlidle,
    {\tt UESpecificBehaviourInformationlinterRAT}
FROM InformationElements
    maxCNdomains,
   maxNoOfMeas,
   maxRB.
   maxRBallRABs,
    maxRFC3095-CID,
   maxSRBsetup
FROM Constant-definitions
-- Part 1: Class definitions similar to what has been defined in 11.1 for RRC messages
-- Information that is tranferred in the same direction and across the same path is grouped
-- RRC information, to target RNC
__ ****************
-- RRC Information to target RNC sent either from source RNC or from another RAT
ToTargetRNC-Container ::= CHOICE {
    interRATHandoverInfo
                                         InterRATHandoverInfoWithInterRATCapabilities-r3,
    srncRelocation
                                        SRNC-RelocationInfo-r3,
   rfc3095-ContextInfo
                                          RFC3095-ContextInfo-r5,
    extension
                                          NULL
}
__ ****************
-- RRC information, target RNC to source RNC
__ *******************************
Target-RNC-ToSourceRNC-Container ::= CHOICE {
   radioBearerSetup
radioBearerReconfiguration
radioBearerRelease
transportChannelReconfiguration
physicalChannelReconfiguration
rre-FailureInfo

RadioBearerSetup,
RadioBearerReconfiguration,
RadioBearerRelease,
TransportChannelReconfiguration,
PhysicalChannelReconfiguration,
RRC-FailureInfo-r3-IEs,
   radioBearerSetup
    dL-DCCHmessage
                                          OCTET STRING,
    extension
                                          NIII.I.
}
-- Part 2: Container definitions, similar to the PDU definitions in 11.2 for RRC messages
-- In alphabetical order
__ ***************
-- Handover to UTRAN information
__ ****************************
InterRATHandoverInfoWithInterRATCapabilities-r3 ::= CHOICE {
```

```
SEQUENCE {
                      -- IE InterRATHandoverInfoWithInterRATCapabilities-r3-IEs also
                     -- includes non critical extensions
                     interRATHandoverInfo-r3 InterRATHandoverInfoWithInterRATCapabilities-r3-IEs,
                     v390NonCriticalExtensions
                                                                                                                  SEQUENCE {
                              interRATHandoverInfoWithInterRATCapabilities-v390ext
          InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs,
                              -- Reserved for future non critical extension
                              nonCriticalExtensions
                                                                                                                  SEQUENCE {} OPTIONAL
                                       OPTIONAL
          },
                                                                                           SEQUENCE {}
          criticalExtensions
}
InterRATHandoverInfoWithInterRATCapabilities-r3-IEs::=
                   -- The order of the IEs may not reflect the tabular format
                     -- but has been chosen to simplify the handling of the information in the BSC
           -- Other IEs
                    ue-RATSpecificCapability
                                                                                                      InterRAT-UE-RadioAccessCapabilityList OPTIONAL,
                     -- interRATHandoverInfo, Octet string is used to obtain 8 bit length field prior to
-- actual information. This makes it possible for BSS to transparently handle information
                     -- received via GSM air interface even when it includes non critical extensions.
                     -- The octet string shall include the InterRATHandoverInfo information
                     -- The BSS can re-use the 04.18 length field received from the \ensuremath{\mathsf{MS}}
                                                                                                       OCTET STRING (SIZE (0..255))
                     interRATHandoverInfo
}
InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs ::= SEQUENCE {
         -- User equipment IEs
                    failureCauseWithProtErr
                                                                                                                  FailureCauseWithProtErr
                                                                                                                                                                                                                            OPTIONAL
}
 __ ***************
-- RFC3095 context, source RNC to target RNC
 __ *****************************
RFC3095-ContextInfo-r5 ::= CHOICE {
                    SEQUENCE {
rFC3095-ContextInfoList-r5
RFC3095-ContextInfoList-r5,
                     -- Reserved for future non critical extension
                    criticalExtensions
                                                                                            SEQUENCE {}
}
RFC3095-ContextInfoList-r5 ::= SEQUENCE (SIZE (1..maxRBallRABs)) OF
                                                                                                        RFC3095-ContextInfo
__ ***************
-- SRNC Relocation information
__ ***************
SRNC-RelocationInfo-r3 ::= CHOICE {
                    SEQUENCE {
sRNC-RelocationInfo-r3 SRNC-RelocationInfo-r3-IEs,
                               v380NonCriticalExtensions
                                                                                                           SEQUENCE {
                                          sRNC-RelocationInfo-v380ext SRNC-RelocationInfo-v380ext-IEs,
                                                   UNONCriticalExtensions
SEQUENCE {

SRNC-RelocationInfo-v390ext
V3a0NonCriticalExtensions
SEQUENCE {

SRNC-RelocationInfo-v3a0ext
SRNC-RelocationInfo-v3a0ext
V3b0NonCriticalExtensions
SEQUENCE {

SRNC-RelocationInfo-v3a0ext
SRNC-RelocationInfo-v3a0ext-IEs,
SRNC-RelocationInfo-v3a0ext
SEQUENCE {

SRNC-RelocationInfo-v3a0ext-IEs,
S
                                           -- Reserved for future non critical extension
                                          v390NonCriticalExtensions
                                                                         ### SEQUENCE {

### SEQUENCE |

### SEQUENCE |
                                                                                    SRNC-RelocationInfo-v3b0ext-IEs,

SRNC-RelocationInfo-v3c0ext

laterNonCriticalExtensions

SRNC-RelocationInfo-v3d0ext

SRNC-RelocationInfo-v3d0ext

SRNC-RelocationInfo-v3d0ext

SRNC-RelocationInfo-v3c0ext-IEs,

SRNC-RelocationInfo-v3c0ext-IEs,

SRNC-RelocationInfo-v3c0ext-IEs,

SRNC-RelocationInfo-v3c0ext-IEs,
TES.
                                                                                               -- Container for additional R99 extensions
                                                                                             sRNC-RelocationInfo-r3-add-ext BIT STRING
                                                                                             v3g0NonCriticalExtensions
                                                                                                                                                                                            SEOUENCE {
```

```
sRNC-RelocationInfo-v3g0ext
                                                                             SRNC-RelocationInfo-
v3g0ext-IEs,
                                        v4xvNonCriticalExtensions
                                                                             SEOUENCE {
                                            {\tt sRNC-RelocationInfo-v4xyext}
                                                                                 SRNC-RelocationInfo-
v4xyext-IEs,
                                                                                 SEQUENCE {
                                             v5xyNonCriticalExtensions
                                                 sRNC-RelocationInfo-v5xyext
RelocationInfo-v5xyext-IEs,
                                                 -- Reserved for future non critical extension
                                                 nonCriticalExtensions
                                                                                 SEQUENCE {} OPTIONAL
                                                     OPTIONAL
                                                 OPTIONAL
                                            OPTIONAL
                                        OPTIONAL
                                    OPTIONAL
                                OPTIONAL
                            OPTIONAL
                        OPTIONAL
                    OPTIONAL
                                    CHOICE {
    later-than-r3
        r4
                                        SEQUENCE {
            sRNC-RelocationInfo-r4
                                            SRNC-RelocationInfo-r4-IEs,
            v5xyNonCriticalExtensions
                                            SEQUENCE {
                sRNC-RelocationInfo-v5xyext
                                                     SRNC-RelocationInfo-v5xyext-IEs,
                                                 SEQUENCE {} OPTIONAL
                nonCriticalExtensions
                } OPTIONAL
        criticalExtensions
                                            SEQUENCE {}
    }
}
SRNC-RelocationInfo-r3-IEs ::=
                                    SEOUENCE {
    -- Non-RRC IEs
        stateOfRRC
                                        StateOfRRC,
        stateOfRRC-Procedure
                                        StateOfRRC-Procedure,
    -- Ciphering related information IEs
    -- If the extension v380 is included use the extension for the ciphering status per CN domain
        cipheringStatus
                                        CipheringStatus,
        calculationTimeForCiphering
                                        CalculationTimeForCiphering
                                                                             OPTIONAL,
        -- The order of occurrence in the IE cipheringInfoPerRB-List is the
        -- same as the RBs in SRB-InformationSetupList in RAB-InformationSetupList.
        -- The signalling RBs are supposed to be listed
        -- first. Only UM and AM RBs that are ciphered are listed here
        cipheringInfoPerRB-List
                                        CipheringInfoPerRB-List
                                                                             OPTIONAL,
        count-C-List
                                        COUNT-C-List
                                                                             OPTIONAL,
        integrityProtectionStatus
                                        IntegrityProtectionStatus,
         -- In the IE srb-SpecificIntegrityProtInfo, the first information listed corresponds to
         -- signalling radio bearer RBO and after the order of occurrence is the same as the SRBs in
        -- SRB-InformationSetupList
        srb-SpecificIntegrityProtInfo
                                        SRB-SpecificIntegrityProtInfoList,
        implementationSpecificParams
                                        ImplementationSpecificParams
                                                                             OPTIONAL,
    -- User equipment IEs
        u-RNTI
                                        U-RNTI,
        c-RNTI
                                        C-RNTI
                                                                             OPTIONAL,
        ue-RadioAccessCapability
                                        UE-RadioAccessCapability,
        ue-Positioning-LastKnownPos
                                        UE-Positioning-LastKnownPos
                                                                             OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability
                                        InterRAT-UE-RadioAccessCapabilityList
                                                                                OPTIONAL.
    -- UTRAN mobility IEs
       ura-Identity
                                        URA-Identity
                                                                             OPTIONAL,
    -- Core network IEs
       cn-CommonGSM-MAP-NAS-SysInfo
                                        NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList
                                        CN-DomainInformationList
                                                                             OPTIONAL,
    -- Measurement TEs
        ongoingMeasRepList
                                        OngoingMeasRepList
                                                                             OPTIONAL,
    -- Radio bearer IEs
       predefinedConfigStatusList
                                        PredefinedConfigStatusList,
                                        SRB-InformationSetupList,
        srb-InformationList
        rab-InformationList
                                        RAB-InformationSetupList
                                                                             OPTIONAL.
    -- Transport channel IEs
        ul-CommonTransChInfo
                                        UL-CommonTransChInfo
                                                                             OPTIONAL,
        ul-TransChInfoList
                                        UL-AddReconfTransChInfoList
                                                                             OPTIONAL,
        modeSpecificInfo
                                        CHOICE {
            fdd
                                            SEQUENCE {
                cpch-SetID
                                                CPCH-SetID
                transChDRAC-Info
                                                DRAC-StaticInformationList OPTIONAL
            },
```

```
tdd
                                                NULL
        dl-CommonTransChInfo DL-CommonTransChInfo dl-TransChInfoList DL-AddReconfTransChInfoList
                                                                                    OPTIONAL,
        dl-TransChInfoList
                                                                                    OPTIONAL,
    -- Measurement report
                                                                                   OPTIONAL
        measurementReport
                                          MeasurementReport
}
{\tt SRNC-RelocationInfo-v380ext-IEs} \; ::= \; {\tt SEQUENCE} \; \left\{ \right.
    -- Ciphering related information IEs
        cn-DomainIdentity
                                                 CN-DomainIdentity,
        cipheringStatusList
                                                CipheringStatusList
}
SRNC-RelocationInfo-v390ext-IEs ::= SEQUENCE {
        cn-DomainInformationList-v390ext CN-DomainInformationList-v390ext
                                                                                            OPTIONAL,
        ue-RadioAccessCapability-v370ext
ue-RadioAccessCapability-v380ext
dl-PhysChCapabilityFDD-v380ext
failureCauseWithProtErr

UE-RadioAccessCapability-v370ext
UE-RadioAccessCapability-v380ext
DL-PhysChCapabilityFDD-v380ext,
FailureCauseWithProtErr
                                                                                             OPTIONAL,
                                                                                             OPTIONAL,
                                                                                             OPTIONAL
}
SRNC-RelocationInfo-v3a0ext-IEs ::= SEQUENCE {
         -- cn-domain identity for IE startValueForCiphering-v3a0ext is specified
         -- in subsequent extension (SRNC-RelocationInfo-v3b0ext-IEs)
        startValueForCIphering-v3a0ext START-Value,
cipheringInfoForSRB1-v3a0ext CipheringInfoForSRB1-v3a0ext,
ue-RadioAccessCapability-v3a0ext UE-RadioAccessCapability-v3a0ext
                                                                                            OPTIONAL
}
{\tt SRNC-RelocationInfo-v3b0ext-IEs} \; ::= \; {\tt SEQUENCE} \; \big\{
         -- cn-domain identity for IE startValueForCiphering-v3a0ext included in previous extension
         cn-DomainIdentity
                                          CN-DomainIdentity,
         -- the IE startValueForCiphering-v3b0ext contains the start values for each CN Domain. The
         -- value of start indicated by the IE startValueForCiphering-v3a0ext should be set to the
         -- same value as the start-Value for the corresponding cn-DomainIdentity in the IE
         -- startValueForCiphering-v3b0ext
         startValueForCiphering-v3b0ext
                                                STARTList2
                                                                                              OPTIONAL
}
SRNC-RelocationInfo-v3c0ext-IEs ::= SEQUENCE {
        -- IE rb-IdentityForHOMessage includes the identity of the RB used by the source SRNC
         -- to send the message contained in the IE "TargetRNC-ToSourceRNC-Container".
         -- Only included if type is "UE involved"
        rb-IdentityForHOMessage
                                                RB-Identity OPTIONAL
}
SRNC-RelocationInfo-v3d0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
         uESpecificBehaviourInformationlidle UESpecificBehaviourInformationlidle
        uESpecificBehaviourInformationlinterRAT UESpecificBehaviourInformationlinterRAT
    OPTIONAL
}
SRNC-RelocationInfo-v3g0ext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v3g0ext UE-RadioAccessCapability-v3g0ext
                                        SEQUENCE (SIZE (2..maxCNdomains)) OF
STARTList2 ::=
                                            STARTSingle
SRNC-RelocationInfo-v4xyext-IEs ::= SEQUENCE {
       ue-RadioAccessCapability-v4xyext UE-RadioAccessCapability-v4xyext
}
SRNC-RelocationInfo-v5xyext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v5xyext UE-RadioAccessCapability-v5xyext
CipheringInfoForSRB1-v3a0ext ::= SEQUENCE {
                                                 BIT STRING (SIZE (7))
        dl-UM-SN
}
CipheringStatusList ::= SEQUENCE (SIZE (1..maxCNdomains)) OF
                                            CipheringStatusCNdomain
CipheringStatusCNdomain ::=
                                            SEQUENCE {
        cn-DomainIdentity
                                            CN-DomainIdentity,
```

```
cipheringStatus
                                            CipheringStatus
}
SRNC-RelocationInfo-r4-IEs ::=
                                            SEQUENCE {
     -- Non-RRC IEs
        -- IE rb-IdentityForHOMessage includes the identity of the RB used by the source SRNC
         -- to send the message contained in the IE "TargetRNC-ToSourceRNC-Container".
         -- Only included if type is "UE involved"
        rb-IdentityForHOMessage
                                          RB-Identity
                                                                                    OPTIONAL,
        stateOfRRC-Procedure
        stateOfRRC
                                           StateOfRRC,
                                          StateOfRRC-Procedure,
    -- Ciphering related information IEs
        cipheringStatusList CipheringStatusList-r4, latestConfiguredCN-Domain CN-DomainIdentity,
        calculationTimeForCiphering count-C-List CipheringInfoPerRB-List CipheringInfoPerRB-List-r4
                                           CalculationTimeForCiphering
                                                                                    OPTIONAL,
                                                                                   OPTIONAL,
                                                                                   OPTIONAL.
    -- Integrity protection related information IEs
        integrityProtectionStatus IntegrityProtectionStatus,
srb-SpecificIntegrityProtInfo SRB-SpecificIntegrityProtInfoList,
implementationSpecificParams ImplementationSpecificParams
                                                                                    OPTIONAL,
    -- User equipment IEs
        u-RNTI
                                           U-RNTI,
                                           C-RNTI
        C-RNTI
        ue-RadioAccessCapability
                                           UE-RadioAccessCapability-r4,

      ue-RadioAccessCapability
      ue-RadioAccessCapability-ext
      ue-RadioAccessCapabBandFDDList

      ue-Positioning-LastKnownPos
      ue-Positioning-LastKnownPos

                                                                                   OPTIONAL.
                                                                                    OPTIONAL,
         uESpecificBehaviourInformationlidle UESpecificBehaviourInformationlidle
                                                                                                 OPTIONAL,
        uESpecificBehaviourInformationlinterRAT UESpecificBehaviourInformationlinterRAT
    OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability
                                           InterRAT-UE-RadioAccessCapabilityList OPTIONAL,
    -- UTRAN mobility IEs
        ura-Identity
                                           URA-Identity
                                                                                    OPTIONAL.
    -- Core network IEs
        cn-CommonGSM-MAP-NAS-SysInfo NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList
                                           CN-DomainInformationListFull
                                                                                   OPTIONAL,
    -- Measurement IEs
                                           OngoingMeasRepList-r4
        ongoingMeasRepList
                                                                                    OPTIONAL,
    -- Radio bearer IEs
        Radio Dearer 125
predefinedConfigStatusList
                                           PredefinedConfigStatusList,
        srb-InformationList
                                           SRB-InformationSetupList,
                                           RAB-InformationSetupList-r4
        rab-InformationList
                                                                                   OPTIONAL,
    -- Transport channel IEs
        ul-CommonTransChInfo
                                           UL-CommonTransChInfo-r4
                                                                                    OPTIONAL,
        ul-TransChInfoList
                                            UL-AddReconfTransChInfoList
                                                                                    OPTIONAL,
        modeSpecificInfo
                                           CHOICE {
             fdd
                                                SEQUENCE {
                 cpch-SetID
                                                     CPCH-SetID
                                                                                    OPTIONAL,
                 transChDRAC-Info
                                                     DRAC-StaticInformationList OPTIONAL
             },
             tdd
                                                NULL
        dl-CommonTransChInfo
                                        DL-CommonTransChInfo-r4
DL-AddReconfTransChInfoList-r4
                                                                                    OPTIONAL.
        dl-TransChInfoList
                                                                                   OPTIONAL,
    -- Measurement report
        measurementReport
                                            MeasurementReport
                                                                                    OPTIONAL,
        failureCause
                                           FailureCauseWithProtErr
                                                                                   OPTIONAL
}
-- IE definitions
CalculationTimeForCiphering ::=
                                       SEOUENCE {
                                            CellIdentity,
    cell-Id
    sfn
                                            INTEGER (0..4095)
}
CipheringInfoPerRB ::=
                                       SEQUENCE {
    dl-HFN
                                            BIT STRING (SIZE (20..25)),
                                            BIT STRING (SIZE (20..25))
    ul-HFN
}
CipheringInfoPerRB-r4 ::=
                                      SEQUENCE {
                                           RB-Identity,
    rb-Identity
    dl-HFN
                                            BIT STRING (SIZE (20..25)),
                                            BIT STRING (SIZE (7))
    dl-UM-SN
                                                                                    OPTIONAL.
    ul-HFN
                                            BIT STRING (SIZE (20..25))
```

```
-- TABULAR: CipheringInfoPerRB-List, multiplicity value numberOfRadioBearers
-- has been replaced with maxRB.
                                     SEQUENCE (SIZE (1..maxRB)) OF
CipheringInfoPerRB-List ::=
                                         CipheringInfoPerRB
CipheringInfoPerRB-List-r4 ::= SEQUENCE (SIZE (1..maxRB)) OF
                                         CipheringInfoPerRB-r4
CipheringStatus ::=
                                     ENUMERATED {
                                          started, notStarted }
CipheringStatusList-r4 ::=
                                     SEQUENCE (SIZE (1..maxCNdomains)) OF
                                         CipheringStatusCNdomain-r4
                                     SEQUENCE {
CipheringStatusCNdomain-r4 ::=
        cn-DomainIdentity
                                         CN-DomainIdentity,
        cipheringStatus
                                          CipheringStatus,
        start-Value
                                         START-Value
}
CN-DomainInformation-v390ext ::=
                                         SEQUENCE {
    cn-DRX-CycleLengthCoeff
                                         CN-DRX-CycleLengthCoefficient
CN-DomainInformationList-v390ext ::= SEQUENCE (SIZE (1..maxCNdomains)) OF
                                         CN-DomainInformation-v390ext
CompressedModeMeasCapability-r4 ::= SEQUENCE {
    fdd-Measurements
                                         BOOLEAN,
    -- TABULAR: The IEs tdd-Measurements, gsm-Measurements and multiCarrierMeasurements
    -- are made optional since they are conditional based on another information element.
    \mbox{--} Their absence corresponds to the case where the condition is not true.
                                                                               OPTIONAL.
    tdd384-Measurements
                                         BOOLEAN
    tdd128-Measurements
                                         BOOLEAN
                                                                                OPTIONAL,
    gsm-Measurements
                                         GSM-Measurements
                                                                                OPTIONAL,
    multiCarrierMeasurements
                                         BOOLEAN
                                                                                OPTIONAL
COUNT-C-List ::=
                                          SEQUENCE (SIZE (1..maxCNdomains)) OF
                                         COUNT-CSingle
COUNT-CSingle ::=
                                         SEQUENCE {
   cn-DomainIdentity
                                          CN-DomainIdentity,
    count-C
                                         BIT STRING (SIZE (32))
}
DL-PhysChCapabilityFDD-r4 ::= SEQUENCE {
maxNoDPCH-PDSCH-Codes INTEGE
maxNoPhysChBitsReceived MaxNoP
                                   INTEGER (1..8),
                                         MaxNoPhysChBitsReceived,
    supportForSF-512
                                         BOOLEAN,
    supportOfPDSCHBOOLEAN,simultaneousSCCPCH-DPCH-ReceptionSimultaneousSCCPCH-DPCH-Reception,
    supportOfDedicatedPilotsForChEstimation SupportOfDedicatedPilotsForChEstimation
                                                                                               OPTIONAL
}
DL-RFC3095-Context ::=
                                     SEQUENCE {
    rfc3095-Context-Identity
                                          INTEGER (0..16383),
                                          ENUMERATED {u, o, r},
    dl-mode
    dl-ref-ir
                                          OCTET STRING ( SIZE (1..3000)),
                                         INTEGER (0..4294967295) OPTIONAL,
INTEGER (0..4294967295) OPTIONAL,
    dl-ref-time
    dl-curr-time
                                         INTEGER (0..65535) OPTIONAL,
INTEGER (0..4294967295) OPTIONAL,
    dl-syn-offset-id
    dl-syn-slope-ts
    dl-dyn-changed
                                         BOOLEAN
ImplementationSpecificParams ::= BIT STRING (SIZE (1..512))
IntegrityProtectionStatus ::=
                                     ENUMERATED {
                                         started, notStarted }
MeasurementCapability-r4 ::=
                                     SEQUENCE {
    downlinkCompressedMode
                                         CompressedModeMeasCapability-r4,
    uplinkCompressedMode
                                         CompressedModeMeasCapability-r4
```

```
MeasurementCommandWithType ::=
                                    CHOICE {
                                        MeasurementType,
   setup
    modify
                                        NULL,
    release
                                        NULL
}
MeasurementCommandWithType-r4 ::=
                                    CHOICE {
    setup
                                        MeasurementType-r4,
    modify
                                         NULL,
                                         NULL
    release
}
OngoingMeasRep ::=
                                    SEQUENCE {
   measurementIdentity
                               MeasurementIdentity,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
   measurementCommandWithType MeasurementCommandWithType MeasurementReportingMode MeasurementID-I
    -- in MeasurementCommandWithType
                                       MeasurementCommandWithType,
                                                                            OPTIONAL,
    additionalMeasurementID-List AdditionalMeasurementID-List
}
OngoingMeasRep-r4 ::=
                                    SEQUENCE {
                          MeasurementIdentity,
   measurementIdentity
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType-r4.
    measurementCommandWithType MeasurementCommandWithType-r4,
   measurementReportingModeMeasurementReportingModeadditionalMeasurementID-ListAdditionalMeasurementID-List
                                                                             OPTIONAL,
                                                                             OPTIONAL
}
                                   SEQUENCE (SIZE (1..maxNoOfMeas)) OF
OngoingMeasRepList ::=
                                        OngoingMeasRep
OngoingMeasRepList-r4 ::=
                                    SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                        OngoingMeasRep-r4
PDCP-Capability-r4 ::=
                                    SEQUENCE {
    losslessSRNS-RelocationSupport
                                        BOOLEAN,
    supportForRfc2507
                                         CHOICE {
       notSupported
                                            NULL.
        supported
                                            MaxHcContextSpace
    },
    supportForRfc3095
                                         CHOICE {
       {\tt notSupported}
                                            NULL,
        supported
                                            SEQUENCE {
                                                MaxROHC-ContextSessions-r4 DEFAULT s16,
            maxROHC-ContextSessions
            reverseCompressionDepth
                                                INTEGER (0..65535)
                                                                            DEFAULT 0
    }
}
PhysicalChannelCapability-r4 ::=
                                        SEQUENCE {
        fddPhysChCapability
                                            SEQUENCE {
            downlinkPhysChCapability
                                             DL-PhysChCapabilityFDD-r4,
            uplinkPhysChCapability
                                                UL-PhysChCapabilityFDD
                                                    OPTIONAL,
        tdd384-PhysChCapability
                                            SEQUENCE {
            downlinkPhysChCapability
                                                DL-PhysChCapabilityTDD,
            uplinkPhysChCapability
                                                UL-PhysChCapabilityTDD
                                                    OPTIONAL,
                                            SEQUENCE {
        tdd128-PhysChCapability
            downlinkPhysChCapability
                                             DL-PhysChCapabilityTDD-LCR-r4,
            uplinkPhysChCapability
                                                UL-PhysChCapabilityTDD-LCR-r4
        }
                                                    OPTIONAL
}
RF-Capability-r4 ::=
                                    SEQUENCE {
                                        SEQUENCE {
        fddRF-Capability
            ue-PowerClass
                                            UE-PowerClass-v370,
            txRxFrequencySeparation
                                            TxRxFrequencySeparation
                                                                         OPTIONAL,
                                 SEQUENCE {
        tdd384-RF-Capability
            ue-PowerClass
                                            UE-PowerClass-v370,
            radioFrequencyBandTDDList
                                             RadioFrequencyBandTDDList,
            chipRateCapability
                                            ChipRateCapability
                                                                         OPTIONAL,
        tdd128-RF-Capability
                                        SEQUENCE {
```

```
ue-PowerClass
                                           UE-PowerClass-v370,
            radioFrequencyBandTDDList
                                           RadioFrequencyBandTDDList,
           chipRateCapability
                                           ChipRateCapability
        }
                                                                        OPTIONAL
RFC3095-ContextInfo ::= SEQUENCE {
   rb-Identity
                                       RB-Identity,
    rfc3095-Context-List
                                       RFC3095-Context-List
                             SEQUENCE (SIZE (1..maxRFC3095-CID)) OF SEQUENCE {
RFC3095-Context-List ::=
                                       DL-RFC3095-Context OPTIONAL,
   dl-RFC3095-Context
    ul-RFC3095-Context
                                       UL-RFC3095-Context
                                                               OPTIONAL
SRB-SpecificIntegrityProtInfo ::= SEQUENCE {
   ul-RRC-HFN
                                       BIT STRING (SIZE (28)),
    dl-RRC-HFN
                                       BIT STRING (SIZE (28)),
    ul-RRC-SequenceNumber
                                       RRC-MessageSequenceNumber,
   dl-RRC-SequenceNumber
                                       RRC-MessageSequenceNumber
}
SRB-SpecificIntegrityProtInfoList ::= SEQUENCE (SIZE (4..maxSRBsetup)) OF
                                        SRB-SpecificIntegrityProtInfo
StateOfRRC ::=
                                    ENUMERATED {
                                       cell-DCH, cell-FACH,
                                       cell-PCH, ura-PCH }
StateOfRRC-Procedure ::=
                                    ENUMERATED {
                                       awaitNoRRC-Message,
                                        awaitRB-ReleaseComplete,
                                        awaitRB-SetupComplete,
                                        awaitRB-ReconfigurationComplete,
                                        await Transport CH-Reconfiguration Complete,\\
                                        awaitPhysicalCH-ReconfigurationComplete,
                                        awaitActiveSetUpdateComplete,
                                        awaitHandoverComplete,
                                        sendCellUpdateConfirm,
                                        sendUraUpdateConfirm,
                                        -- dummy is not used in this version of specification
                                        -- It should not be sent
                                        dummy,
                                        otherStates
}
{\tt UE-Positioning-Capability-r4} \; ::= \qquad {\tt SEQUENCE} \; \{
    standaloneLocMethodsSupported
                                            BOOLEAN,
    ue-BasedOTDOA-Supported
                                           BOOLEAN,
   networkAssistedGPS-Supported
                                           NetworkAssistedGPS-Supported,
    supportForUE-GPS-TimingOfCellFrames
                                           BOOLEAN,
    supportForIPDL
                                           BOOLEAN,
    rx-tx-TimeDifferenceType2Capable
                                            BOOLEAN
    validity-CellPCH-UraPCH
                                           ENUMERATED { true (0 ) } OPTIONAL
}
UE-Positioning-LastKnownPos ::=
                                   SEQUENCE {
                                       INTEGER (0..4095),
        sfn
       cell-id
                                        CellIdentity,
       positionEstimate
                                       PositionEstimate
}
UE-RadioAccessCapability-r4 ::=
                                    SEQUENCE {
                                       AccessStratumReleaseIndicator,
    accessStratumReleaseIndicator
    pdcp-Capability
                                       PDCP-Capability-r4,
    rlc-Capability
                                       RLC-Capability,
    transportChannelCapability
                                       TransportChannelCapability,
    rf-Capability
                                       RF-Capability-r4,
    physicalChannelCapability
                                       PhysicalChannelCapability-r4,
    ue-MultiModeRAT-Capability
                                       UE-MultiModeRAT-Capability,
    securityCapability
                                       SecurityCapability,
    ue-positioning-Capability
                                       UE-Positioning-Capability-r4,
                                                                       OPTIONAL
    measurementCapability
                                      MeasurementCapability-r4
UL-RFC3095-Context ::=
                                   SEQUENCE {
    rfc3095-Context-Identity
                                       INTEGER (0..16383),
```

```
22
```

```
      ul-mode
      ENUMERATED {u, o, r},

      ul-ref-ir
      OCTET STRING (SIZE (1..3000)),

      ul-ref-time
      INTEGER (0..4294967295) OPTIONAL,

      ul-curr-time
      INTEGER (0..4294967295) OPTIONAL,

      ul-syn-offset-id
      INTEGER (0..65535) OPTIONAL,

      ul-syn-slope-ts
      INTEGER (0..4294967295) OPTIONAL,

      ul-ref-sn-1
      INTEGER (0..65535) OPTIONAL
```

CHANGE REQUEST								CR-Form-v7
æ	25.331 CR	2058	жrev	1	æ	Current version:	3.15.0	*

For <u>HELP</u> on using this form, see bottom of this page or look at the pop-up text over the **%** symbols.

Proposed chang	Proposed change affects: UICC apps# ME X Radio Access Network X Core Network						
	_ _	_					
Title:	Corrections for minimum UE capability class						
	2 7411400						
Source:	RAN WG2						
Work item code:	€ TEI	Date: # 27/08/2003					
Category:	€ <mark>F</mark>	Release: % R99					
	Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release)	Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996)					
	B (addition of feature), C (functional modification of feature)	R97 (Release 1997) R98 (Release 1998)					
	D (editorial modification)	R99 (Release 1999)					
	Detailed explanations of the above categories can be found in 3GPP TR 21.900.	Rel-4 (Release 4) Rel-5 (Release 5)					
		Rel-6 (Release 6)					

Reason for change: \$\mathbb{H}\$ In 25.306 the "UE radio access capability parameter value ranges" have been changed in order to allow only for signalling of parameter values that are not lower then the minimum UE capabilities. The according IEs in 25.331 must be aligned with the changes in 25.306.

Summary of change: ₩

The values that may no longer be signalled are deleted in the tabular description and set to the value "dummy" in ASN.1.

Following IEs are concerned:

- Total RLC AM buffer size
- Maximum number of AM entities
- Max no of bits received
- Max turbo coded bits received
- Maximum number of simultaneous transport channels
- Max no of received transport blocks
- Maximum number of TFC (in DL)
- Support for turbo decoding
- Maximum number of simultaneous transport channels
- Max no of transmitted transport blocks
- Maximum number of TFC (in UL)
- Max no physical channel bits received
- Maximum number of physical channels per frame
- Maximum number of physical channels per timeslot
- Maximum number of DPDCH bits transmitted per 10 ms
- Minimum SF

Impact analysis:

UEs with capabilities lower than defined for the 32kbps UE class are affected. UEs that do not implement the CR are capable of signalling UE radio access capability parameter values which they are not allowed for.

These UEs may be unable to support RAB combinations on common channels, e.g. SCCPCH combinations. Specifically they can fail to read the FACH.

Consequences if not approved:

It is possible that UE radio access capability parameter values can be signalled that are in contradiction to the definition of the minimum UE capabilities that can be expected by the network. Operators may use RAB combinations that can not be supported by UEs with insufficient UE capabilities. In consequence UEs may be unable to access the system.

Clauses affected:	# 10.3.3.34, 10.3.3.40, 10.3.3.25, 11.3					
Other specs affected:	Y N X Other core specifications X Test specifications O&M Specifications 34.123-2					
Other comments:	*					

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

10.3.3.34 RLC capability

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
Total RLC AM buffer size	MP		Integer (2,10,50,100 ,150,500,100 0)	Total receiving and transmitting RLC AM buffer capability in kBytes. One spare value is needed.
Maximum RLC AM Window Size	MP		Integer(2047 ,4095)	Maximum supported RLC TX and RX window in UE
Maximum number of AM entities	MP		Integer (3, 4,5,6,8,16 ,30)	

10.3.3.40 Transport channel capability

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
Downlink transport channel capability information elements				
Max no of bits received	MP		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all transport blocks received at an arbitrary time instant
Max convolutionally coded bits received	MP		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all convolutionally coded transport blocks received at an arbitrary time instant
Max turbo coded bits received	CV- turbo_dec_ sup		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all turbo coded transport blocks received at an arbitrary time instant
Maximum number of simultaneous transport channels	MP		Integer(4, 8, 16, 32)	
Maximum number of simultaneous CCTrCH	MP		Integer (18)	
Max no of received transport blocks	MP		Integer(4,-8, 16, 32, 48, 64, 96, 128, 256, 512)	Maximum total number of transport blocks received within TTIs that end at within the same 10ms interval
Maximum number of TFC	MP		Integer(16, 32, 48, 64, 96, 128, 256, 512, 1024)	
Maximum number of TF	MP		Integer(32, 64, 128, 256, 512, 1024)	

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
Support for turbo decoding	MP		Boolean	TRUE means supported. This IE shall be set to TRUE in this version of the protocol.
Uplink transport channel capability information elements				
Max no of bits transmitted	MP		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all transport blocks transmitted at an arbitrary time instant
Max convolutionally coded bits transmitted	MP		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all convolutionally coded transport blocks transmitted at an arbitrary time instant
Max turbo coded bits transmitted	CV- turbo_enc_ sup		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all turbo coded transport blocks transmitted at an arbitrary time instant
Maximum number of simultaneous transport channels	MP		Integer(2, 4, 8, 16, 32)	
Maximum number of simultaneous CCTrCH of DCH type	CH- tdd_req_su p		Integer (18)	
Max no of transmitted transport blocks	MP		Integer(2, 4, 8, 16, 32, 48, 64, 96, 128, 256, 512)	Maximum total number of transport blocks transmitted within TTIs that start at the same time
Maximum number of TFC	MP		Integer(4, 8, 16, 32, 48, 64, 96, 128, 256, 512, 1024)	
Maximum number of TF	MP		Integer(32, 64, 128, 256, 512, 1024)	
Support for turbo encoding	MP		Boolean	TRUE means supported

Condition	Explanation
turbo_dec_sup	The IE is mandatory present if the IE "Support of
	turbo decoding" = True. Otherwise this field is not
	needed in the message.
turbo_enc_sup	The IE is mandatory present if the IE "Support of
	turbo encoding" = True. Otherwise this field is not
	needed in the message.
tdd_req_sup	The IE is mandatory present if the IE "Multi-mode capability" has the value "TDD" or "FDD/TDD" and a TDD capability update has been requested in a previous message. Otherwise this field is not needed in the message.

10.3.3.25 Physical channel capability

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
Downlink physical channel capability information elements				
FDD downlink physical channel capability	CH- fdd_req_su p			
>Max no DPCH/PDSCH codes	MP		Integer (18)	Maximum number of DPCH/PDSCH codes to be simultaneously received
>Max no physical channel bits received	MP		Integer (600, 1200, 2400, 3600, 4800, 7200, 9600, 14400, 19200, 28800, 38400, 48000, 57600, 67200, 76800)	Maximum number of physical channel bits received in any 10 ms interval (DPCH, PDSCH, S-CCPCH)
>Support for SF 512	MP		Boolean	TRUE means supported
>Support of PDSCH	MP		Boolean	TRUE means supported
>Simultaneous reception of SCCPCH and DPCH	MP		Boolean	TRUE means supported
>Simultaneous reception of SCCPCH, DPCH and PDSCH	CV- if_sim_rec _pdsch _sup		Boolean	TRUE means supported
>Max no of S-CCPCH RL	CV- if_sim_rec		Integer(1)	Maximum number of simultaneous S-CCPCH radio links
>Support of dedicated pilots for channel estimation	MD		Enumerated (true)	Presence of this element means supported and absence not supported. Note 1.
TDD downlink physical channel capability	CH- tdd_req_su p			
>Maximum number of timeslots per frame	MP		Integer (114)	
>Maximum number of physical	MP		Integer	
channels per frame >Minimum SF	MP		(<u>48</u> 224) Integer (1, 16)	
>Support of PDSCH	MP		Boolean	TRUE means supported
>Maximum number of physical	MP		Integer	The same supported
channels per timeslot			(1 816)	
Uplink physical channel capability information elements				
FDD uplink physical channel capability	CH- fdd_req_su p			
>Maximum number of DPDCH bits transmitted per 10 ms	MP		Integer (600, 1200, 2400, 4800. 9600, 19200. 28800, 38400, 48000, 57600)	
>Support of PCPCH	MP		Boolean	TRUE means supported

Information Element/Group	Need	Multi	Type and	Semantics description
name			Reference	
TDD uplink physical channel	CH-			
capability	tdd_req_su			
	р			
>Maximum Number of timeslots	MP		Integer	
per frame			(114)	
>Maximum number of physical	MP		Integer	
channels per timeslot			(1, 2)	
>Minimum SF	MP		Integer	
			(1, 2, 4, 8 ,	
			16)	
>Support of PUSCH	MP		Boolean	TRUE means supported

Condition	Explanation
if_sim_rec_pdsch_sup	The IE is mandatory present if the IE "Simultaneous reception of SCCPCH and DPCH" = True and IE Support of PDSCH = True. Otherwise this field is not needed in the message.
if_sim_rec	The IE is mandatory present if the IE "capability Simultaneous reception of SCCPCH and DPCH" = True. Otherwise this field is not needed in the message.
tdd_req_sup	The IE is mandatory present if the IE "Multi-mode capability" has the value "TDD" or "FDD/TDD" and a TDD capability update has been requested in a previous message. Otherwise this field is not needed in the message.
fdd_req_sup	The IE is mandatory present if the IE "Multi-mode capability" has the value "FDD" or "FDD/TDD" and a FDD capability update has been requested in a previous message. Otherwise this field is not needed in the message.

NOTE 1: These performance requirements are defined in Release 5.

11.3 Information element definitions

```
[...]
RLC-Capability ::=
                                       SEQUENCE {
    totalRLC-AM-BufferSize
maximumRLC-WindowSize
maximumAM-EntityNumber
                                      TotalRLC-AM-BufferSize,
                                           MaximumRLC-WindowSize,
    maximumAM-EntityNumber
                                           MaximumAM-EntityNumberRLC-Cap
}
[...]
                                     ENUMERATED {
TotalRLC-AM-BufferSize ::=
                                           kb2dummy, kb10, kb50, kb100,
                                       kb150, kb500, kb1000, spare }
[...]
MaximumAM-EntityNumberRLC-Cap ::=
                                      ENUMERATED {
                                           am3dummy, am4, am5, am6,
                                am8, am16, am30 }
```

```
[...]
DL-TransChCapability ::=
                                     SEQUENCE {
    maxNoBitsReceived
                                         MaxNoBits-DL,
    maxConvCodeBitsReceived
                                         MaxNoBits,
    turboDecodingSupport
                                          TurboSupport_DL,
    maxSimultaneousTransChs
                                        MaxSimultaneousTransChsDL,
    maxSimultaneousCCTrCH-Count
                                         MaxSimultaneousCCTrCH-Count,
    maxReceivedTransportBlocks
                                         MaxTransportBlocksDL,
    {\tt maxNumberOfTFC}
                                         MaxNumberOfTFC-DL,
    maxNumberOfTF
                                          MaxNumberOfTF
[...]
MaxNoBits ::=
                                      ENUMERATED {
                                          b640, b1280, b2560, b3840, b5120,
                                          b6400, b7680, b8960, b10240,
                               b20480, b40960, b81920, b163840 }
MaxNoBits-DL ::=
                                          ENUMERATED {
                                          dummy, b1280, b2560, b3840, b5120,
                                          b6400, b7680, b8960, b10240,
                               b20480, b40960, b81920, b163840 }
[...]
MaxSimultaneousTransChsDL ::=
                                     ENUMERATED {
                               e4<u>dummy</u>, e8, e16, e32 }
[...]
MaxTransportBlocksDL ::=
                                      ENUMERATED {
                                          tb4dummy, tb8, tb16, tb32, tb48,
                               tb64, tb96, tb128, tb256, tb512 }
[...]
MaxNumberOfTFC-DL ::=
                                      ENUMERATED {
                                          tfc16dummy, tfc32, tfc48, tfc64, tfc96,
                               tfc128, tfc256, tfc512, tfc1024 }
[...]
TurboSupport ::=
                                      CHOICE {
                                          NULL.
    notSupported
    supported
                                          MaxNoBits
}
                                      CHOICE {
TurboSupport-DL ::=
                                 NULL,
    dummy
    supported
                                          MaxNoBits-DL
[...]
UL-TransChCapability ::=
                                      SEQUENCE {
    maxNoBitsTransmitted
                                         MaxNoBits,
                                          MaxNoBits,
    {\tt maxConvCodeBitsTransmitted}
                                         TurboSupport,
    turboEncodingSupport
    {\tt maxSimultaneousTransChs}
                                          MaxSimultaneousTransChsUL,
```

```
CHOICE {
    {\tt modeSpecificInfo}
                                          NULL,
       fdd
       tdd
                                          SEQUENCE {
           maxSimultaneousCCTrCH-Count
                                              MaxSimultaneousCCTrCH-Count
   maxTransmittedBlocks
                                      MaxTransportBlocksUL,
   maxNumberOfTFC
                                      MaxNumberOfTFC-UL,
   maxNumberOfTF
                                      MaxNumberOfTF
[\ldots]
                               ENUMERATED {
MaxSimultaneousTransChsUL ::=
                            e2dummy, e4, e8, e16, e32 }
[...]
MaxTransportBlocksUL ::=
                                  ENUMERATED {
                                      tb2dummy, tb4, tb8, tb16, tb32, tb48,
                            tb64, tb96, tb128, tb256, tb512 }
[...]
MaxNumberOfTFC-UL ::=
                                  ENUMERATED {
                                      tfc4dummy, tfc48, tfc48, tfc44, tfc48, tfc64,
                            tfc96, tfc128, tfc256, tfc512, tfc1024 }
[...]
DL-PhysChCapabilityFDD ::=
                                 SEQUENCE {
   maxNoDPCH-PDSCH-Codes
                                   INTEGER (1..8),
    maxNoPhysChBitsReceived
                                      MaxNoPhysChBitsReceived,
   supportForSF-512
                                      BOOLEAN,
    supportOfPDSCH
                                      BOOLEAN,
    simultaneousSCCPCH-DPCH-Reception SimultaneousSCCPCH-DPCH-Reception
DL-PhysChCapabilityFDD-v380ext ::= SEQUENCE {
    SupportOfDedicatedPilotsForChEstimation ::=
                                           ENUMERATED { true }
[...]
                                  ENUMERATED {
MaxNoPhysChBitsReceived ::=
                                      b600dummy, b1200, b2400, b3600,
                                      b4800, b7200, b9600, b14400,
                                      b19200, b28800, b38400, b48000,
b57600, b67200, b76800 }
[...]
                                  SEQUENCE {
DL-PhysChCapabilityTDD ::=
   maxTS-PerFrame
                                     MaxTS-PerFrame,
   maxPhysChPerFrame
                                      MaxPhysChPerFrame,
   minimumSF
                                      MinimumSF-DL,
    {\tt supportOfPDSCH}
                                      BOOLEAN.
    {\tt maxPhysChPerTS}
                                      MaxPhysChPerTS
```

```
[...]
-- the values 1 ...7 for MaxPhysChPerFrame are not used in this versin of the protocol
MaxPhysChPerFrame ::=
                                      INTEGER (1..224)
[...]
-- the values 1...7 for MaxPhysChPerTS are not used in this version of the protocol
                                      INTEGER (1..16)
MaxPhysChPerTS ::=
[...]
UL-PhysChCapabilityFDD ::=
                                      SEQUENCE {
    maxNoDPDCH-BitsTransmitted
                                          MaxNoDPDCH-BitsTransmitted,
    {\tt supportOfPCPCH}
                                           BOOLEAN
[...]
                                      ENUMERATED {
MaxNoDPDCH-BitsTransmitted ::=
                                           b600dummy, b1200, b2400, b4800, b9600, b19200, b28800, b38400,
                                           b48000, b57600 }
[...]
UL-PhysChCapabilityTDD ::=
                                      SEQUENCE {
   maxTS-PerFrame
                                          MaxTS-PerFrame,
    maxPhysChPerTimeslot
                                           MaxPhysChPerTimeslot,
    minimumSF
                                          MinimumSF-UL,
    supportOfPUSCH
                                           BOOLEAN
}
[...]
MinimumSF-UL ::=
                                       ENUMERATED {
                                sf1, sf2, sf4, sf8, sf16dummy }
[...]
```

(Release 6)

Rel-6

3GPP TSG-RAN2 Meeting #37 Budapest, Hungary, August 25 - 29, 2003

	(CHANGI	EREQ	UES	ST	CR-Form-v7
*	25.331 CR	2059	жrev	1 3	Current version	^{n:} 4.10.0 **
- 1151.5						

For <u>HELP</u> on using this form, see bottom of this page or look at the pop-up text over the **%** symbols.

Proposed chang	ge a	<i>ffects:</i> UICC apps₩ ME X	Radio Acces	ss Networ	k X Core Network
Title:	Ж	Corrections for minimum UE capability	class		
Source:	Ж	RAN WG2			
Work item code	<i>:</i>	TEI		Date: X	29/08/2003
Category:	ж	A	Re	lease: #	Rel-4
		Use one of the following categories:	L	lse one of t	the following releases:
		\overline{F} (correction)		2	(GSM Phase 2)
		A (corresponds to a correction in an ear	rlier release)	R96	(Release 1996)
		B (addition of feature),	•	R97	(Release 1997)
		C (functional modification of feature)		R98	(Release 1998)
		D (editorial modification)		R99	(Release 1999)
		Detailed explanations of the above categories	s can	Rel-4	(Release 4)
		be found in 3GPP <u>TR 21.900</u> .		Rel-5	(Release 5)

Reason for change: \$\mathbb{H}\$ In 25.306 the "UE radio access capability parameter value ranges" have been changed in order to allow only for signalling of parameter values that are not lower then the minimum UE capabilities. The according IEs in 25.331 must be aligned with the changes in 25.306.

Summary of change: ₩

The values that may no longer be signalled are set to the value "dummy". Following IEs are concerned:

- Total RLC AM buffer size
- Maximum number of AM entities
- Max no of bits received
- Max turbo coded bits received
- Maximum number of simultaneous transport channels
- Max no of received transport blocks
- Maximum number of TFC (in DL)
- Support for turbo decoding
- Maximum number of simultaneous transport channels
- Max no of transmitted transport blocks
- Maximum number of TFC (in UL)
- Max no physical channel bits received
- Maximum number of physical channels per frame
- Maximum number of physical channels per timeslot
- Maximum number of DPDCH bits transmitted per 10 ms
- Minimum SF

Impact analysis:

UEs with capabilities lower than defined for 32kbps UE class are affected.

UEs that do not implement the CR may be unable to support RAB combinations on common channels, e.g. SCCPCH combinations. Specifically they can fail to read the FACH.

The CR has no impact on UTRAN.

Consequences if not approved:

It is possible that UE radio access capability parameter values can be signalled that are in contradiction to the definition of the minimum UE capabilities that can be expected by the network. Operators may use RAB combinations that can not be supported by UEs with insufficient UE capabilities. In consequence UEs may be unable to access the system.

Clauses affected:	# 10.3.3.34, 10.3.3.40, 10.3.3.25, 11.3
Other specs affected:	Y N X Other core specifications X Test specifications O&M Specifications 34.123-2
Other comments:	*

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

10.3.3.34 RLC capability

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
Total RLC AM buffer size	MP		Integer (2,10,50,100 ,150,500,100 0)	Total receiving and transmitting RLC AM buffer capability in kBytes. One spare value is needed.
Maximum RLC AM Window Size	MP		Integer(2047 ,4095)	Maximum supported RLC TX and RX window in UE
Maximum number of AM entities	MP		Integer (3, 4,5,6,8,16 ,30)	

10.3.3.40 Transport channel capability

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
Downlink transport channel capability information elements				
Max no of bits received	MP		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all transport blocks received at an arbitrary time instant
Max convolutionally coded bits received	MP		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all convolutionally coded transport blocks received at an arbitrary time instant
Max turbo coded bits received	CV- turbo_dec_ sup		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all turbo coded transport blocks received at an arbitrary time instant
Maximum number of simultaneous transport channels	MP		Integer(4, 8, 16, 32)	
Maximum number of simultaneous CCTrCH	MP		Integer (18)	
Max no of received transport blocks	MP		Integer(4,-8, 16, 32, 48, 64, 96, 128, 256, 512)	Maximum total number of transport blocks received within TTIs that end at within the same 10ms interval
Maximum number of TFC	MP		Integer(16, 32, 48, 64, 96, 128, 256, 512, 1024)	
Maximum number of TF	MP		Integer(32, 64, 128, 256, 512, 1024)	

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
Support for turbo decoding	MP		Boolean	TRUE means supported. This IE shall be set to TRUE in this version of the protocol.
Uplink transport channel capability information elements				
Max no of bits transmitted	MP		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all transport blocks transmitted at an arbitrary time instant
Max convolutionally coded bits transmitted	MP		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all convolutionally coded transport blocks transmitted at an arbitrary time instant
Max turbo coded bits transmitted	CV- turbo_enc_ sup		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all turbo coded transport blocks transmitted at an arbitrary time instant
Maximum number of simultaneous transport channels	MP		Integer(2, 4, 8, 16, 32)	
Maximum number of simultaneous CCTrCH of DCH type	CH- tdd_req_su p		Integer (18)	
Max no of transmitted transport blocks	MP		Integer(2, 4, 8, 16, 32, 48, 64, 96, 128, 256, 512)	Maximum total number of transport blocks transmitted within TTIs that start at the same time
Maximum number of TFC	MP		Integer(4, 8, 16, 32, 48, 64, 96, 128, 256, 512, 1024)	
Maximum number of TF	MP		Integer(32, 64, 128, 256, 512, 1024)	
Support for turbo encoding	MP		Boolean	TRUE means supported

Condition	Explanation
turbo_dec_sup	The IE is mandatory present if the IE "Support of
	turbo decoding" = True. Otherwise this field is not
	needed in the message.
turbo_enc_sup	The IE is mandatory present if the IE "Support of
	turbo encoding" = True. Otherwise this field is not
	needed in the message.
tdd_req_sup	The IE is mandatory present if the IE "Multi-mode capability" has the value "TDD" or "FDD/TDD" and a TDD capability update has been requested in a previous message. Otherwise this field is not needed in the message.

10.3.3.25 Physical channel capability

Information Element/Group name	Need	Multi	Type and Reference	Semantics description	Version
Downlink physical channel capability information elements					
FDD downlink physical channel capability	CH- fdd_req_su p				
>Max no DPCH/PDSCH codes	MP		Integer (18)	Maximum number of DPCH/PDSCH codes to be simultaneously received	
>Max no physical channel bits received	MP		Integer (600, 1200, 2400, 3600, 4800, 7200, 9600, 14400, 19200, 28800, 38400, 48000, 57600, 67200, 76800)	Maximum number of physical channel bits received in any 10 ms interval (DPCH, PDSCH, S-CCPCH)	
>Support for SF 512	MP		Boolean	TRUE means supported	
>Support of PDSCH	MP		Boolean	TRUE means supported	
>Simultaneous reception of SCCPCH and DPCH	MP		Boolean	TRUE means supported	
>Simultaneous reception of SCCPCH, DPCH and PDSCH	CV- if_sim_rec _pdsch _sup		Boolean	TRUE means supported	
>Max no of S-CCPCH RL	CV- if_sim_rec		Integer(1)	Maximum number of simultaneous S-CCPCH radio links	
>Support of dedicated pilots for channel estimation	MD		Enumerated (true)	Presence of this element means supported and absence not supported. If the UE notifies support of this functionality, it should comply with the corresponding performance requirements. Note 1.	
3.84 Mcps TDD downlink physical channel capability	CH- 3.84_Mcps _tdd_req_s up				Name changed in REL-4
>Maximum number of timeslots per frame	MP		Integer (114)		
>Maximum number of physical channels per frame	MP		Integer (<u>48</u> 224)		
>Minimum SF	MP		Integer (1,		

Information Element/Group name	Need	Multi	Type and Reference	Semantics description	Version
			16)		
>Support of PDSCH	MP		Boolean	TRUE means supported	
>Maximum number of physical channels per timeslot	MP		Integer (<u>48</u> 16)		
1.28 Mcps TDD downlink	CH-		,		REL-4
physical channel capability	1.28_Mcps _tdd_req_s up				
>Maximum number of timeslots per subframe	MP		Integer (16)		REL-4
>Maximum number of physical channels per subframe	MP		Integer (4896)		REL-4
>Minimum SF	MP		Integer (1,		REL-4
>Support of PDSCH	MP		Boolean	TRUE means supported	REL-4
>Maximum number of physical channels per timeslot	MP		Integer (<u>48</u> 16)		REL-4
>Support of 8PSK	MP		Boolean	TRUE means supported	REL-4
Uplink physical channel capability information elements					
FDD uplink physical channel capability	CH- fdd_req_su p				
>Maximum number of DPDCH bits transmitted per 10 ms	MP		Integer (600, 1200, 2400, 4800. 9600, 19200. 28800, 38400, 48000,		
>Support of PCPCH	MP		57600) Boolean	TRUE means supported	
3.84 Mcps TDD uplink physical channel capability	CH- 3.84_Mcps _tdd_req_s up			варронов	Name changed in REL-4
>Maximum Number of timeslots per frame	MP		Integer (114)		
>Maximum number of physical channels per timeslot	MP		Integer (1, 2)		
>Minimum SF	MP		Integer (1, 2, 4, 8 , 16)		
>Support of PUSCH	MP		Boolean	TRUE means supported	
1.28 Mcps TDD uplink physical channel capability	CH- 1.28_Mcps _tdd_req_s up				REL-4
>Maximum Number of timeslots per subframe	MP		Integer (16)		REL-4
>Maximum number of physical channels per timeslot	MP		Integer (1, 2)		REL-4
>Minimum SF	MP		Integer (1, 2, 4, 8, 16)		REL-4
>Support of PUSCH	MP		Boolean	TRUE means supported	REL-4
>Support of 8PSK	MP		Boolean	TRUE means supported	REL-4

Condition	Explanation
if_sim_rec_pdsch_sup	The IE is mandatory present if the IE "Simultaneous reception of SCCPCH and DPCH" = True and IE Support of PDSCH = True. Otherwise this field is not
	needed in the message.
if_sim_rec	The IE is mandatory present if the IE "capability Simultaneous reception of SCCPCH and DPCH" = True. Otherwise this field is not needed in the message.
3.84_Mcps_tdd_req_sup	The IE is mandatory present if the IE "TDD RF capability" is present with the IE "Chip rate capability" set to "3.84 Mcps" and a 3.84 Mcps TDD capability update has been requested in a previous message. Otherwise this field is not needed in the message.
1.28_Mcps_tdd_req_sup	The IE is mandatory present if the IE "TDD RF capability" is present with the IE "Chip rate capability" set to "1.28 Mcps" and a 1.28 Mcps TDD capability update has been requested in a previous message. Otherwise this field is not needed in the message.
fdd_req_sup	The IE is mandatory present if the IE "Multi-mode capability" has the value "FDD" or "FDD/TDD" and a FDD capability update has been requested in a previous message. Otherwise this field is not needed in the message.

NOTE 1: These performance requirements are defined in Release 5.

[...]

11.3 Information element definitions

```
C-Capability ::=
totalRLC-AM-BufferSize
maximumRLC-WindowSize
maximumAM-EntityNumber
 RLC-Capability ::=
                                                        SEQUENCE {
                                                      TotalRLC-AM-BufferSize,
                                                              MaximumRLC-WindowSize,
                                                              MaximumAM-EntityNumberRLC-Cap
 TotalRLC-AM-BufferSize ::= ENUMERATED {
                                                        kb2dummy, kb10, kb50, kb100,
                                                              kb150, kb500, kb1000, spare }
 {\tt MaximumAM-EntityNumberRLC-Cap} \ ::= \ {\tt ENUMERATED} \ \big\{
                                                              am3dummy, am4, am5, am6,
                                                              am8, am16, am30 }
 [...]
DL-TransChCapability ::= SEQUENCE {
    maxNoBitsReceived MaxNoBits_DL,
    maxConvCodeBitsReceived MaxNoBits,
    turboDecodingSupport TurboSupport_DL,
    maxSimultaneousTransChs MaxSimultaneousTransChsDL,
    maxReceivedTransportBlocks MaxTransportBlocksDL,
    maxNumberOfTFC MaxNumberOfTF
 [...]
 MaxNoBits ::=
                                                         ENUMERATED {
                                                               b640, b1280, b2560, b3840, b5120,
                                                               b6400, b7680, b8960, b10240,
```

```
b20480, b40960, b81920, b163840 }
MaxNoBits-DL ::=
                                         ENUMERATED {
                                         dummy, b1280, b2560, b3840, b5120,
                                         b6400, b7680, b8960, b10240,
                                         b20480, b40960, b81920, b163840 }
[...]
MaxSimultaneousTransChsDL ::=
                                     ENUMERATED {
                                         e4dummy, e8, e16, e32 }
[...]
MaxTransportBlocksDL ::=
                                     ENUMERATED {
                                         tb4dummy, tb8, tb16, tb32, tb48,
                                         tb64, tb96, tb128, tb256, tb512 }
MaxNumberOfTFC-DL ::=
                                     ENUMERATED {
                                         tfc16dummy, tfc32, tfc48, tfc64, tfc96,
                                         tfc128, tfc256, tfc512, tfc1024 }
[...]
                                     CHOICE {
TurboSupport ::=
    notSupported
                                         NULL,
                                         MaxNoBits
    supported
TurboSupport-DL ::=
                                     CHOICE {
    dummy
    supported
                                         MaxNoBits-DL
[...]
UL-TransChCapability ::=
                                     SEQUENCE {
    maxNoBitsTransmitted
                                         MaxNoBits,
    {\tt maxConvCodeBitsTransmitted}
                                         MaxNoBits,
    turboEncodingSupport
                                         TurboSupport,
    maxSimultaneousTransChs
                                         MaxSimultaneousTransChsUL,
    modeSpecificInfo
                                         CHOICE {
                                             NULL,
        fdd
        tdd
                                             SEQUENCE {
            maxSimultaneousCCTrCH-Count
                                                 MaxSimultaneousCCTrCH-Count
    maxTransmittedBlocks
                                         MaxTransportBlocksUL,
    {\tt maxNumberOfTFC}
                                         MaxNumberOfTFC-UL,
    maxNumberOfTF
                                         MaxNumberOfTF
[...]
MaxSimultaneousTransChsUL ::=
                                     ENUMERATED {
                                         e2dummy, e4, e8, e16, e32 }
[...]
                                     ENUMERATED {
MaxTransportBlocksUL ::=
                                         tb2dummy, tb4, tb8, tb16, tb32, tb48,
                                         tb64, tb96, tb128, tb256, tb512 }
MaxNumberOfTFC-UL ::=
                                     ENUMERATED {
                                         tfc4dummy, tfc16, tfc32, tfc48, tfc64,
                                         tfc96, tfc128, tfc256, tfc512, tfc1024 }
[ ... ]
DL-PhysChCapabilityFDD ::=
                                     SEQUENCE {
    maxNoDPCH-PDSCH-Codes
                                         INTEGER (1..8),
    maxNoPhysChBitsReceived
                                         MaxNoPhysChBitsReceived,
    supportForSF-512
                                         BOOLEAN,
    supportOfPDSCH
                                         BOOLEAN,
    simultaneousSCCPCH-DPCH-Reception SimultaneousSCCPCH-DPCH-Reception
}
```

```
DL-PhysChCapabilityFDD-v380ext ::= SEQUENCE {
    supportOfDedicatedPilotsForChEstimation SupportOfDedicatedPilotsForChEstimation
                                                                                                   OPTIONAL
}
SupportOfDedicatedPilotsForChEstimation ::=
                                                      ENUMERATED { true }
DL-PhysChCapabilityFDD-r4 ::= SEQUENCE {
   maxNoDPCH-PDSCH-Codes INTEGER
   maxNoPhysChBitsReceived MaxNoPh
   supportForSF-512 BOOLEAN
                                     INTEGER (1..8),
                                           MaxNoPhysChBitsReceived,
    supportForSF-512
                                          BOOLEAN,
    supportOfPDSCH BOOLEAN, simultaneousSCCPCH-DPCH-Reception SimultaneousSCCPCH-DPCH-Reception,
    supportOfDedicatedPilotsForChEstimation SupportOfDedicatedPilotsForChEstimation
                                                                                                   OPTIONAL
}
[...]
MaxNoPhysChBitsReceived ::=
                                      ENUMERATED {
                                           b600dummy, b1200, b2400, b3600,
                                           b4800, b7200, b9600, b14400,
                                           b19200, b28800, b38400, b48000,
                                           b57600, b67200, b76800 }
[...]
DL-PhysChCapabilityTDD ::=
                                     SEQUENCE {
    maxTS-PerFrame
                                         MaxTS-PerFrame,
    maxPhysChPerFrame
                                           MaxPhysChPerFrame,
    minimumSF
                                          MinimumSF-DL,
    supportOfPDSCH
                                          BOOLEAN.
    maxPhysChPerTS
                                          MaxPhysChPerTS
[...]
-- the values 1 ...7 for MaxPhysChPerFrame are not used in this version of the protocol
MaxPhysChPerFrame ::=
                                      INTEGER (1..224)
[...]
  the values 1...7 for MaxPhysChPerTS are not used in this version of the protocol
MaxPhysChPerTS ::=
                                      INTEGER (1..16)
[ ... ]
DL-PhysChCapabilityTDD-LCR-r4 ::= SEQUENCE {
    maxTS-PerSubFrame
maxPhysChPerFrame
                                    MaxTS-PerSubFrame-r4,
                                           MaxPhysChPerSubFrame-r4,
                                          MinimumSF-DL,
    minimumSF
    supportOfPDSCH
                                          BOOLEAN,
                                          MaxPhysChPerTS,
    {\tt maxPhysChPerTS}
    supportOf8PSK
                                          BOOLEAN
}
[...]
-- the values 1 ...7 for MaxPhysChPerSubFrame-r4 are not used in this version of the protocol
MaxPhysChPerSubFrame-r4 ::=
                                      INTEGER (1..96)
[...]
UL-PhysChCapabilityFDD ::= maxNoDPDCH-BitsTransmitted
                                      SEQUENCE {
                                      MaxNoDPDCH-BitsTransmitted,
    supportOfPCPCH
                                          BOOLEAN
[...]
MaxNoDPDCH-BitsTransmitted ::=
                                      ENUMERATED {
                                           b600dummy, b1200, b2400, b4800,
                                           b9600, b19200, b28800, b38400,
                                           b48000, b57600 }
[...]
UL-PhysChCapabilityTDD ::=
                                      SEQUENCE {
    maxTS-PerFrame
                                           MaxTS-PerFrame,
```

```
maxPhysChPerTimeslot
                                     MaxPhysChPerTimeslot,
   minimumSF
                                     MinimumSF-UL,
                                     BOOLEAN
    supportOfPUSCH
}
[...]
MinimumSF-UL ::=
                                  ENUMERATED {
                                     sf1, sf2, sf4, sf8, <del>sf16</del>dummy }
maxTS-PerSubFrame
maxPhysChPerTimeslot
minimumSF
supportOfPUSCH
                                     MaxPhysChPerTimeslot,
                                     MinimumSF-UL-LCR,
   supportOfPUSCH
                                     BOOLEAN,
    supportOf8PSK
                                     BOOLEAN
}
[...]
MinimumSF-UL-LCR ::=
                                      ENUMERATED {
                                      sf1, sf2, sf4, dummy, dummy }
```

[...]

3GPP TSG-RAN2 Meeting #37 Budapest, Hungary, August 25 - 29, 2003

		CHANG	GE REQ	UE	ST	-		CR-Form-v7
*	25.331	CR 2060	жrev	1	æ	Current version:	5.5.0	¥
F UEL	D to dita fa		C (1. '	11	- (1)-	an man i in taut ai iai		11-

For <u>HELP</u> on using this form, see bottom of this page or look at the pop-up text over the **%** symbols.

ME X Radio Access Network X Core Network Proposed change affects: UICC apps# Title: Corrections for minimum UE capability class Source: ₩ RAN WG2 Date: 第 29/08/2003 Category: Α Release: # Rel-5 Use one of the following releases: Use <u>one</u> of the following categories: F (correction) 2 (GSM Phase 2) **A** (corresponds to a correction in an earlier release) R96 (Release 1996) **B** (addition of feature), R97 (Release 1997) **C** (functional modification of feature) R98 (Release 1998) **D** (editorial modification) R99 (Release 1999) Rel-4 Detailed explanations of the above categories can (Release 4)

Reason for change: \$\mathbb{H}\$ In 25.306 the "UE radio access capability parameter value ranges" have been changed in order to allow only for signalling of parameter values that are not lower then the minimum UE capabilities. The according IEs in 25.331 must be aligned with the changes in 25.306.

Summary of change: %

The values that may no longer be signalled are set to the value "dummy". Following IEs are concerned:

Rel-5

Rel-6

(Release 5)

(Release 6)

- Total RLC AM buffer size
- Maximum number of AM entities
- Max no of bits received
- Max turbo coded bits received
- Maximum number of simultaneous transport channels
- Max no of received transport blocks
- Maximum number of TFC (in DL)
- Support for turbo decoding
- Maximum number of simultaneous transport channels
- Max no of transmitted transport blocks
- Maximum number of TFC (in UL)
- Max no physical channel bits received
- Maximum number of physical channels per frame
- Maximum number of physical channels per timeslot
- Maximum number of DPDCH bits transmitted per 10 ms
- Minimum SF

Impact analysis:

be found in 3GPP TR 21.900.

UEs with capabilities lower than defined for 32kbps UE class are affected.

UEs that do not implement the CR may be unable to support RAB combinations on common channels, e.g. SCCPCH combinations. Specifically they can fail to read the FACH.

The CR has no impact on UTRAN.

Consequences if not approved:

It is possible that UE radio access capability parameter values can be signalled that are in contradiction to the definition of the minimum UE capabilities that can be expected by the network. Operators may use RAB combinations that can not be supported by UEs with insufficient UE capabilities. In consequence UEs may be unable to access the system.

Clauses affected:	# 10.3.3.34, 10.3.3.40, 10.3.3.25, 11.3
Other specs affected:	Y N X Other core specifications X Test specifications O&M Specifications 34.123-2
Other comments:	*

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

10.3.3.34 RLC capability

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
Total RLC AM buffer size	MP		Integer (2, 10,50,100 ,150,200,300 ,400,500,750 ,1000)	Total receiving and transmitting RLC AM buffer and MAC-hs reordering buffer capability in kBytes.
Maximum RLC AM Window Size	MP		Integer(2047 ,4095)	Maximum supported RLC TX and RX window in UE
Maximum number of AM entities	MP		Integer (3, 4,5,6,8,16 ,30)	

10.3.3.40 Transport channel capability

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
Downlink transport channel capability information elements				
Max no of bits received	MP		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all transport blocks received at an arbitrary time instant
Max convolutionally coded bits received	MP		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all convolutionally coded transport blocks received at an arbitrary time instant
Max turbo coded bits received	CV- turbo_dec_ sup		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all turbo coded transport blocks received at an arbitrary time instant
Maximum number of simultaneous transport channels	MP		Integer(4,-8, 16, 32)	
Maximum number of simultaneous CCTrCH	MP		Integer (18)	
Max no of received transport blocks	MP		Integer(4,-8, 16, 32, 48, 64, 96, 128, 256, 512)	Maximum total number of transport blocks received within TTIs that end at within the same 10ms interval
Maximum number of TFC	MP		Integer(16, 32, 48, 64, 96, 128, 256, 512, 1024)	
Maximum number of TF	MP		Integer(32, 64, 128, 256,	

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
			512, 1024)	
Support for turbo decoding	MP		Boolean	TRUE means supported. This IE shall be set to TRUE in this version of the protocol.
Uplink transport channel capability information elements				
Max no of bits transmitted	MP		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all transport blocks transmitted at an arbitrary time instant
Max convolutionally coded bits transmitted	MP		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all convolutionally coded transport blocks transmitted at an arbitrary time instant
Max turbo coded bits transmitted	CV- turbo_enc_ sup		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all turbo coded transport blocks transmitted at an arbitrary time instant
Maximum number of simultaneous transport channels	MP		Integer(2, 4, 8, 16, 32)	
Maximum number of simultaneous CCTrCH of DCH type	CH- tdd_req_su p		Integer (18)	
Max no of transmitted transport blocks	MP		Integer(2, 4, 8, 16, 32, 48, 64, 96, 128, 256, 512)	Maximum total number of transport blocks transmitted within TTIs that start at the same time
Maximum number of TFC	MP		Integer(4, 8, 16, 32, 48, 64, 96, 128, 256, 512, 1024)	
Maximum number of TF	MP		Integer(32, 64, 128, 256, 512, 1024)	
Support for turbo encoding	MP		Boolean	TRUE means supported

Condition	Explanation
turbo_dec_sup	The IE is mandatory present if the IE "Support of
	turbo decoding" = True. Otherwise this field is not
	needed in the message.
turbo_enc_sup	The IE is mandatory present if the IE "Support of
	turbo encoding" = True. Otherwise this field is not
	needed in the message.
tdd_req_sup	The IE is mandatory present if the IE "Multi-mode
	capability" has the value "TDD" or "FDD/TDD" and a
	TDD capability update has been requested in a
	previous message. Otherwise this field is not needed
	in the message.

10.3.3.25 Physical channel capability

Information Element/Group name	Need	Multi	Type and Reference	Semantics description	Version
Downlink physical channel capability information elements					
FDD downlink physical channel capability	CH- fdd_req_su p				
>Max no DPCH/PDSCH codes	MP		Integer (18)	Maximum number of DPCH/PDSCH codes to be simultaneously received	
>Max no physical channel bits received	MP		Integer (600, 1200, 2400, 3600, 4800, 7200, 9600, 14400, 19200, 28800, 38400, 48000, 57600, 67200, 76800)	Maximum number of physical channel bits received in any 10 ms interval (DPCH, PDSCH, S-CCPCH)	
>Support for SF 512	MP		Boolean	TRUE means supported	
>Support of PDSCH	MP		Boolean	TRUE means supported	
>CHOICE Support of HS- PDSCH	MP				REL-5
>>Supported					REL-5
>>>HS-DSCH physical layer category	MP		Integer (164)		REL-5
>>>Support of dedicated pilots for channel estimation of HS- DSCH	MP		Boolean	TRUE means supported	REL-5
>>Unsupported				(no data)	REL-5
>Simultaneous reception of SCCPCH and DPCH	MP		Boolean	TRUE means supported	
>Simultaneous reception of SCCPCH, DPCH and PDSCH	CV- if_sim_rec _pdsch _sup CV-		Boolean	TRUE means supported	
>Max no of S-CCPCH RL	CV- if_sim_rec		Integer(1)	Maximum number of simultaneous S-CCPCH radio links	

Information Element/Group name	Need	Multi	Type and Reference	Semantics description	Version
>Support of dedicated pilots for	MD		Enumerated	Presence of this	
channel estimation			(true)	element means supported and absence not supported. This IE shall be set to TRUE in this	
				version of the protocol.	
3.84 Mcps TDD downlink physical channel capability	CH- 3.84_Mcps _tdd_req_s up				Name changed in REL-4
>Maximum number of timeslots per frame	MP		Integer (114)		
>Maximum number of physical channels per frame	MP		Integer		
>Minimum SF	MP		(<u>48</u> 224) Integer (1,		
>Support of PDSCH	MP		16) Boolean	TRUE means supported	
>CHOICE Support of HS- PDSCH	MP			24441104	REL-5
>>Supported					REL-5
>>>HS-DSCH physical layer category	MP		Integer (164)		REL-5
>>Unsupported				(no data)	REL-5
>Maximum number of physical channels per timeslot	MP		Integer (<u>48</u> 16)		
1.28 Mcps TDD downlink physical channel capability	CH- 1.28_Mcps _tdd_req_s up				REL-4
>Maximum number of timeslots per subframe	MP		Integer (16)		REL-4
>Maximum number of physical channels per subframe	MP		Integer (4896)		REL-4
>Minimum SF	MP		Integer (1, 16)		REL-4
>Support of PDSCH	MP		Boolean	TRUE means supported	REL-4
>CHOICE Support of HS- PDSCH	MP				REL-5
>>Supported					REL-5
>>>HS-DSCH physical layer category	MP		Integer (164)		REL-5
>>Unsupported				(no data)	REL-5
>Maximum number of physical channels per timeslot	MP		Integer (<u>48</u> 16)		REL-4
>Support of 8PSK	MP		Boolean	TRUE means supported	REL-4
Uplink physical channel capability information elements					
FDD uplink physical channel capability	CH- fdd_req_su p				
>Maximum number of DPDCH bits transmitted per 10 ms	MP		Integer (600, 1200, 2400, 4800. 9600, 19200. 28800, 38400, 48000,		

Information Element/Group name	Need	Multi	Type and Reference	Semantics description	Version
			57600)		
>Support of PCPCH	MP		Boolean	TRUE means supported	
3.84 Mcps TDD uplink physical channel capability	CH- 3.84_Mcps _tdd_req_s up				Name changed in REL-4
>Maximum Number of timeslots per frame	MP		Integer (114)		
>Maximum number of physical channels per timeslot	MP		Integer (1, 2)		
>Minimum SF	MP		Integer (1, 2, 4, 8, 16)		
>Support of PUSCH	MP		Boolean	TRUE means supported	
1.28 Mcps TDD uplink physical channel capability	CH- 1.28_Mcps _tdd_req_s up				REL-4
>Maximum Number of timeslots per subframe	MP		Integer (16)		REL-4
>Maximum number of physical channels per timeslot	MP		Integer (1, 2)		REL-4
>Minimum SF	MP		Integer (1, 2, 4 , 8, 16)		REL-4
>Support of PUSCH	MP		Boolean	TRUE means supported	REL-4
>Support of 8PSK	MP		Boolean	TRUE means supported	REL-4

Condition	Explanation
if_sim_rec_pdsch_sup	The IE is mandatory present if the IE "Simultaneous reception of SCCPCH and DPCH" = True and IE Support of PDSCH = True. Otherwise this field is not needed in the message.
if_sim_rec	The IE is mandatory present if the IE "capability Simultaneous reception of SCCPCH and DPCH" = True. Otherwise this field is not needed in the message.
3.84_Mcps_tdd_req_sup	The IE is mandatory present if the IE "TDD RF capability" is present with the IE "Chip rate capability" set to "3.84 Mcps" and a 3.84 Mcps TDD capability update has been requested in a previous message. Otherwise this field is not needed in the message.
1.28_Mcps_tdd_req_sup	The IE is mandatory present if the IE "TDD RF capability" is present with the IE "Chip rate capability" set to "1.28 Mcps" and a 1.28 Mcps TDD capability update has been requested in a previous message. Otherwise this field is not needed in the message.
fdd_req_sup	The IE is mandatory present if the IE "Multi-mode capability" has the value "FDD" or "FDD/TDD" and a FDD capability update has been requested in a previous message. Otherwise this field is not needed in the message.

11.3 Information element definitions

```
[ ... ]
RLC-Capability ::=
                                     SEQUENCE {
                                         TotalRLC-AM-BufferSize,
    totalRLC-AM-BufferSize
    maximumRLC-WindowSize
                                          MaximumRLC-WindowSize,
    maximumAM-EntityNumber
                                          MaximumAM-EntityNumberRLC-Cap
[...]
TotalRLC-AM-BufferSize ::=
                                     ENUMERATED {
                                          kb2dummy, kb10, kb50, kb100,
                               kb150, kb500, kb1000, spare }
[...]
MaximumAM-EntityNumberRLC-Cap ::=
                                     ENUMERATED {
                                          am3dummy, am4, am5, am6,
                               am8, am16, am30 }
[...]
DL-TransChCapability ::=
                                     SEQUENCE {
   maxNoBitsReceived
                                         MaxNoBits-DL,
    maxConvCodeBitsReceived
                                          MaxNoBits,
    turboDecodingSupport
                                          TurboSupport-DL,
    maxSimultaneousTransChs
                                          MaxSimultaneousTransChsDL,
    maxSimultaneousCCTrCH-Count
                                          MaxSimultaneousCCTrCH-Count,
    maxReceivedTransportBlocks
                                         MaxTransportBlocksDL,
                                          MaxNumberOfTFC-DL,
    maxNumberOfTFC
    maxNumberOfTF
                                          MaxNumberOfTF
}
[...]
                                      ENUMERATED {
MaxNoBits ::=
                                          b640, b1280, b2560, b3840, b5120,
                                          b6400, b7680, b8960, b10240,
                               b20480, b40960, b81920, b163840 }
[...]
MaxNoBits-DL ::=
                                          dummy, b1280, b2560, b3840, b5120,
                                          b6400, b7680, b8960, b10240,
                               b20480, b40960, b81920, b163840 }
[...]
                                    ENUMERATED {
MaxSimultaneousTransChsDL ::=
                               e4dummy, e8, e16, e32 }
[...]
MaxTransportBlocksDL ::=
                                     ENUMERATED {
                                          tb4dummy, tb8, tb16, tb32, tb48,
                               tb64, tb96, tb128, tb256, tb512 }
[...]
MaxNumberOfTFC-DL ::=
                                      ENUMERATED {
                                          tfc16dummy, tfc32, tfc48, tfc64, tfc96,
                               tfc128, tfc256, tfc512, tfc1024 }
[...]
```

```
TurboSupport ::=
                                     CHOICE {
   notSupported
                                         NULL,
                                         MaxNoBits
    supported
}
[...]
                                     CHOICE {
TurboSupport-DL ::=
    dummy
                                         MaxNoBits-DL
    supported
}
[...]
UL-TransChCapability ::=
                                    SEQUENCE {
                                        MaxNoBits,
    maxNoBitsTransmitted
    maxConvCodeBitsTransmitted
                                         MaxNoBits,
    turboEncodingSupport
                                        TurboSupport,
    maxSimultaneousTransChs
                                         MaxSimultaneousTransChsUL,
                                         CHOICE {
    modeSpecificInfo
        fdd
                                             NULL.
        tdd
                                             SEQUENCE {
            maxSimultaneousCCTrCH-Count
                                                 MaxSimultaneousCCTrCH-Count
    maxTransmittedBlocks
                                         MaxTransportBlocksUL,
    maxNumberOfTFC
                                         MaxNumberOfTFC-UL,
    maxNumberOfTF
                                         MaxNumberOfTF
}
[...]
                                    ENUMERATED {
MaxSimultaneousTransChsUL ::=
                              <del>e2</del>dummy, e4, e8, e16, e32 }
[...]
MaxTransportBlocksUL ::=
                                     ENUMERATED {
                                        tb2dummy, tb4, tb8, tb16, tb32, tb48,
                              tb64, tb96, tb128, tb256, tb512 }
[...]
MaxNumberOfTFC-UL ::=
                                     ENUMERATED {
                                         tfc4dummy, tfc16, tfc32, tfc48, tfc64,
                              tfc96, tfc128, tfc256, tfc512, tfc1024 }
[...]
DL-PhysChCapabilityFDD ::=
                                     SEQUENCE {
    maxNoDPCH-PDSCH-Codes
                                         INTEGER (1..8),
    maxNoPhysChBitsReceived
                                         MaxNoPhysChBitsReceived,
    supportForSF-512
                                         BOOLEAN,
    supportOfPDSCH
                                        BOOLEAN,
    simultaneousSCCPCH-DPCH-Reception SimultaneousSCCPCH-DPCH-Reception
}
DL-PhysChCapabilityFDD-v380ext ::=
                                             SEQUENCE {
    supportOfDedicatedPilotsForChEstimation
                                                SupportOfDedicatedPilotsForChEstimation
                                                                                               OPTIONAL
{\tt SupportOfDedicatedPilotsForChEstimation} ::=
                                                     ENUMERATED { true }
```

```
[...]
{\tt DL-PhysChCapabilityFDD-r4} ::= \\ {\tt SEQUENCE} \ \big\{
    maxNoPhysChBitsReceived MaxNoPhysChBits supportForSF-512
                                          MaxNoPhysChBitsReceived,
                                         BOOLEAN,
BOOLEAN,
    supportOfPDSCH
    \verb|simultaneousSCCPCH-DPCH-Reception| SimultaneousSCCPCH-DPCH-Reception|,
    supportOfDedicatedPilotsForChEstimation
SupportOfDedicatedPilotsForChEstimation
                                                                                                 OPTIONAL
}
[...]
MaxNoPhysChBitsReceived ::=
                                      ENUMERATED {
                                        b600dummy, b1200, b2400, b3600,
                                          b4800, b7200, b9600, b14400,
                                          b19200, b28800, b38400, b48000,
                                          b57600, b67200, b76800 }
[ ... ]
                                     SEQUENCE {
DL-PhysChCapabilityTDD ::=
   maxTS-PerFrame
                                      MaxTS-PerFrame,
    maxPhysChPerFrame
                                          MaxPhysChPerFrame,
   minimumSF
                                         MinimumSF-DL,
    {\tt supportOfPDSCH}
                                         BOOLEAN.
    maxPhysChPerTS
                                         MaxPhysChPerTS
}
[...]
-- the values 1 ...7 for MaxPhysChPerFrame are not used in this versin of the protocol
MaxPhysChPerFrame ::=
                                      INTEGER (1..224)
[...]
-- the values 1...7 for MaxPhysChPerTS are not used in this version of the protocol
MaxPhysChPerTS ::=
                                      INTEGER (1..16)
[...]
DL-PhysChCapabilityTDD-LCR-r4 ::= SEQUENCE {
    maxTS-PerSubFrame
                                          MaxTS-PerSubFrame-r4,
    {\tt maxPhysChPerFrame}
                                          MaxPhysChPerSubFrame-r4,
    minimumSF
                                         MinimumSF-DL,
    supportOfPDSCH
                                          BOOLEAN,
                                         MaxPhysChPerTS,
    maxPhysChPerTS
                                          BOOLEAN
    supportOf8PSK
}
[...]
-- the values 1 ...7 for MaxPhysChPerSubFrame-r4 are not used in this versin of the protocol
MaxPhysChPerSubFrame-r4 ::=
                                     INTEGER (1..96)
[...]
UL-PhysChCapabilityFDD ::= SEQUENCE {
    maxNoDPDCH-BitsTransmitted MaxNoD
                                    	ilde{	t MaxNoDPDCH-BitsTransmitted},
                                          BOOLEAN
    supportOfPCPCH
}
[...]
MaxNoDPDCH-BitsTransmitted ::=
                                      ENUMERATED {
                                          b600dummy, b1200, b2400, b4800,
                                          b9600, b19200, b28800, b38400,
                                          b48000, b57600 }
```

```
[...]
UL-PhysChCapabilityTDD ::=
                                    SEQUENCE {
    maxTS-PerFrame
                                         MaxTS-PerFrame,
    maxPhysChPerTimeslot
                                         MaxPhysChPerTimeslot,
    minimumSF
                                         MinimumSF-UL,
    supportOfPUSCH
                                         BOOLEAN
[...]
MinimumSF-UL ::=
                                     ENUMERATED {
                              sf1, sf2, sf4, sf8, sf16dummy }
[...]
UL-PhysChCapabilityTDD-LCR-r4 ::= SEQUENCE {
    maxTS-PerSubFrame
                                         MaxTS-PerSubFrame-r4,
    maxPhysChPerTimeslot
                                         MaxPhysChPerTimeslot,
    \min \max SF
                                         MinimumSF-UL-LCR,
    supportOfPUSCH
                                         BOOLEAN,
    supportOf8PSK
                                         BOOLEAN
}
[...]
                                         ENUMERATED {
MinimumSF-UL-LCR ::=
                              sf1, sf2, sf4, dummy, dummy }
```

[...]

ME X Radio Access Network X Core Network

Rel-6

(Release 6)

3GPP TSG-RAN2 Meeting #37 Budapest, Hungary, 25th-29th August 2003

UICC apps₩

	CH	IANGE REC	UES	CR-Form-v7
*	25.331 CR 20	<mark>≆rev</mark> ≉rev	- %	Current version: 3.15.0 **
For <u>HELP</u>	on using this form, see bo	ottom of this page or	look at ti	he pop-up text over the 🛱 symbols.

Title:	æ	UE behaviour in transition from CELL_E and Out of Service is detected	OCH to CEL	L_FACH/ C	ELL_PCH/URA_PCH
Source:	ж	RAN WG2			
Work item code:	ж	TEI		Date: 🕱	23/08/2003
Category:	ж	F	F	Release: #	R99
		Use one of the following categories:		Use one of t	he following releases:
		F (correction)			(GSM Phase 2)
		A (corresponds to a correction in an ear	rlier release)	R96	(Release 1996)
		B (addition of feature),	ŕ	R97	(Release 1997)
		C (functional modification of feature)		R98	(Release 1998)
		D (editorial modification)		R99	(Release 1999)
		Detailed explanations of the above categories	s can	Rel-4	(Release 4)
		be found in 3GPP TR 21,900.		Rel-5	(Release 5)

Reason for change: %

Proposed change affects:

RP-030371 approved in RAN#20 describes UE behaviour when out of service area and the setting of T317. This covers the case of UE loosing coverage while camped on a cell in CELL_FACH/CELL_PCH/URA_PCH.

The case of UE moving from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH from another RRC state without a serving cell available needs to be covered. The transition can be caused by a reconfiguration procedure or by a autonomous transition (e.g. radio link failure, or RLC unrecoverable error in CELL_DCH).

In the case of UE in CELL_DCH moving to CELL_FACH for the reason of "Radio Link Failure" and at the same time detecting out of service area. According to the specification of today, UE may keep its RRC and RAB(s) up to T314/T315 expiry. The timer of T315 could be up to 30 mins. The requirement in the current spec to search for a suitable UTRA cell could imply that the UE is unable to Emergency call for maximum of 30 minutes if no suitable UTRA cell is found.

In the case of UE in CELL_DCH moving to CELL_FACH due to a reconfiguration and at the same time detecting out of service area. According to the specification of today, UE may keep its RRC and RAB(s) for an unlimited time (as timers T305/T317 are not started). The requirement in the current spec to to search to a suitable UTRA cell could imply that the UE is unable to make an Emergency call for an unlimited time. In addition the UE could keep the RRC connection for a very long period and then find a suitable cell to camp on and not perform a cell update to inform UTRAN that it has returned to coverage. This could happen if the CPICH in the reconfiguration message matches the selected cell (probably and unlikely scenario) or if the URA in the reconfiguration matched a URA of the cell.

The intention is to apply the same behaviour as for going out of service for while camped on a cell in CELL_FACH/CELL_PCH/URA_PCH.

Summary of change: \mathbb{K}

Behaviour of UEs on transition from CELL_DCH to

CELL_FACH/CELL_PCH/URA_PCH and detecting out of service should is to perform the actions in section 7.2.2 for CELL-FACH/CELL_PCH/URA_PCH state when out of service. Note that precise crtieria for detecting out of service on transition from CELL_DCH are defined in a associated change request to 25.133.

If the transition from CELL_DCH to URA_PCH/CELL_PCH occurs due to a reconfiguration then the UE should start timers T316, T305. Starting T316 ensures that it will perform a cell udpate if it returns to coverage after a time longer than T316. Starting T305 ensuress that the UE will eventually release the RRC connection if it is out of service for a time longer than T305. A synchronised release of the RRC onnection within the UTRAN is possible.

If the transition from CELL_DCH to CELL_FACH occurs due to a reconfiguration then the UE should start timers T317, T305. Starting T317 ensures that it will perform a cell udpate if it returns to coverage. Starting T305 ensuress that the UE will eventually release the RRC connection if it is out of service for a time longer than T305. A synchronised release of the RRC onnection within the UTRAN is possible.

If the transition is an autonomous transition from CELL_DCH to CELL_FACH due No extra timers beyond T314/T315 need to be started to ensure correct operation of the system.

Different behaviour in later release: The should requirements will be replaced by shall requirements in the release 5 CR.

Isolated Impact Change Analysis.

This change clarifies the out of service procedure.

If the UE does not implement the CR, UE in out of service may not have correct behaviour defined for out of service.

If the UE implements the CR and UTRAN does not implement it, there would be no problems, since UTRAN may not be aware that the UE is out of service.

It would not affect implementations behaving like indicated in the CR, it would affect implementations supporting the corrected functionality otherwise.

Consequences if not approved:

A UE not aligned to this CR would be prevented from accessing emergency calls for a period of time and from selecting a new PLMN for normal service while out of service of the RPLMN.

Clauses affected:	器 8.5.5.1.3 (new), 8.5.5.1.4 (new), 7.2.2.2					
	YN					
Other specs affected:	 X X X X X X X O&M Specifications X X					
Other comments:	lpha					

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

7.2.2 UTRA RRC Connected mode

In this specification unless otherwise mentioned "connected mode" shall refer to "UTRA RRC connected mode".

7.2.2.1 URA PCH or CELL PCH state

In the URA PCH or CELL PCH state the UE shall perform the following actions:

NOTE: Neither DCCH nor DTCH are available in these states.

- 1> if the UE is "in service area":
 - 2> maintain up-to-date system information as broadcast by the serving cell as specified in the subclause 8.1.1;
 - 2> perform cell reselection process as specified in [4];
 - 2> perform a periodic search for higher priority PLMNs as specified in [25];
- NOTE: If the DRX cycle length is 80ms, then a search for higher priority PLMNs may not identify all the available PLMNs due to the paging occasion on the current serving cell coinciding with the MIB of the cell of interest.
 - 2> monitor the paging occasions and PICH monitoring occasions determined according to subclauses 8.6.3.1a and 8.6.3.2 and receive paging information on the PCH mapped on the S-CCPCH selected by the UE according to the procedure in subclause 8.5.19;
 - 2> act on RRC messages received on PCCH and BCCH;
 - 2> perform measurements process according to measurement control information as specified in subclause 8.4 and in subclause 14.4;
 - 2> maintain up-to-date BMC data if it supports Cell Broadcast Service (CBS) as specified in [37];
 - 2> run timer T305 for periodical URA update if the UE is in URA_PCH or for periodical cell update if the UE is in CELL PCH.
- 1> if the UE is "out of service area":
 - 2> perform cell selection process as specified in [4];
 - 2> run timer T316;
 - 2> run timer T305;
 - 2> if the cell selection process fails to find a suitable cell after a complete scan of all RATs and all frequency bands supported by the UE, the UE should after a minimum of TimerOutOfService time (default value 30 s) of being "out of service area":
 - 3> indicate all available PLMNs to NAS to enable the selection of a new PLMN. If the NAS indicates the selection of a new PLMN the UE shall store information for the new PLMN within the variable SELECTED_PLMN and perform actions according to subclause 8.5.24;
 - 3> if an acceptable cell is found then the UE shall camp on that cell to obtain limited service as defined in [4] and, perform actions according to subclause 8.5.24. If the RRC connection is released due to camping on an acceptable cell, indicate this to upper layers;
 - 3> else if no acceptable cell is found, the UE shall continue looking for an acceptable cell as defined in [4].

7.2.2.2 CELL_FACH state

In the CELL_FACH state the UE shall perform the following actions:

NOTE: DCCH and, if configured, DTCH are available in this state.

- 1> if the UE is "in service area":
 - 2> maintain up-to-date system information as broadcast by the serving cell as specified in subclause 8.1.1;
 - 2> perform cell reselection process as specified in [4];
 - 2> perform measurements process according to measurement control information as specified in subclause 8.4 and in subclause 14.4;
 - 2> run timer T305 (periodical cell update);
 - 2> select and configure the RB multiplexing options applicable for the transport channels to be used in this RRC state:
 - 2> listen to all FACH transport channels mapped on the S-CCPCH selected by the UE according to the procedure in subclause 8.5.19;
 - 2> act on RRC messages received on BCCH, CCCH and DCCH;
 - 2> act on RRC messages received on, if available, SHCCH (TDD only).
- 1> if the UE is "out of service area":
 - 2> perform cell selection process as specified in [4];
 - 2> run timers T305 (periodical cell update), and T317 (cell update when re-entering "in service") or T307 (transition to Idle mode), if started;
 - 2> run timers T314 and/or T315, if started;
 - 2> if the cell selection process fails to find a suitable cell after a complete scan of all RATs and all frequency bands supported by the UE, the UE should after a minimum of TimerOutOfService time (default value 30 seconds) of being "out of service area":
 - 3> indicate all available PLMNs to NAS to enable the selection of a new PLMN. If the NAS indicates the selection of a new PLMN the UE shall store information for the new PLMN within the variable SELECTED_PLMN and perform actions according to subclause 8.5.24;
 - 3> if an acceptable cell is found then the UE shall camp on that cell to obtain limited service as defined in [4] and perform actions according to subclause 8.5.24. If the RRC connection is released due to camping on an acceptable cell, indicate this to upper layers;
 - 3> else if no acceptable cell is found, the UE shall continue looking for an acceptable cell as defined in [4].

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall be able to receive any of the following messages:

- RADIO BEARER SETUP message; or
- RADIO BEARER RECONFIGURATION message; or
- RADIO BEARER RELEASE message; or
- TRANSPORT CHANNEL RECONFIGURATION message; or
- PHYSICAL CHANNEL RECONFIGURATION message.

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

- 1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);
- 1> apply the hard handover procedure as specified in subclause 8.3.5;
- 1> be able to perform this procedure even if no prior UE measurements have been performed on the target cell and/or frequency.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message:

it shall:

- 1> set the variable ORDERED_RECONFIGURATION to TRUE;
- 1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:
 - 2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).
- 1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

- 1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:
 - 2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and
 - 2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.
- 1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

- 1> handle the message as if IE "RB information to reconfigure" was absent.
- NOTE: The RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

If after state transition the UE enters CELL_DCH state, the UE shall, after the state transition:

- 1> in FDD; or
- 1> in TDD when "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:
 - 2> remove any C-RNTI from MAC;
 - 2> clear the variable C_RNTI.

In FDD, if after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

- 1> remove any DSCH-RNTI from MAC;
- 1> clear the variable DSCH_RNTI.

If the UE was in CELL_DCH state upon reception of the reconfiguration message and remains in CELL_DCH state, the UE shall:

- 1> if the IE "Uplink DPCH Info" is absent:
 - 2> not change its current UL Physical channel configuration.
- 1> in TDD:
 - 2> if "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:
 - 3> remove any C-RNTI from MAC;
 - 3> clear the variable C_RNTI.

If after state transition the UE enters CELL_FACH state, the UE shall, after the state transition:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4].
- 1> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 2> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 2> when the cell update procedure completed successfully:
 - 3> if the UE is in CELL_PCH or URA_PCH state:
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";
 - 4> proceed as below.
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
- 1> select PRACH according to subclause 8.5.17;

- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> use the transport format set given in system information;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> ignore that IE and stop using DRX.
- 1> if the contents of the variable C_RNTI is empty:
 - 2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 2> when the cell update procedure completed successfully:
 - 3> if the UE is in CELL_PCH or URA_PCH state:
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";
 - 4> proceed as below.

If the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state, the UE shall:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency;
 - 2> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
 - 3> when the cell update procedure completed successfully:
 - 4> proceed as below.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

- 1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or
- 1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:
 - 2> if the variable PDCP_SN_INFO is empty:
 - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".
 - 2> else:
 - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
 - 3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".
 - 2> re-establish RB2;
 - 2> for the downlink and the uplink, apply the ciphering configuration as follows:
 - 3> if the received re-configuation message included the IE "Ciphering Mode Info":
 - 4> use the ciphering configuration in the received message when transmitting the response message.

- 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
 - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
 - 5> consider the new ciphering configuration to include the received new keys.
 - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:
 - 5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN.
 - 4> apply the new ciphering configuration immediately following RLC re-establishment.
- 3> else:
 - 4> continue using the current ciphering configuration.
- 2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);
- 2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;
- 2> calculate the START value according to subclause 8.5.9;
- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":
 - 2> if the variable START_VALUE_TO_TRANSMIT is set:
 - 3> include and set the IE "START" to the value of that variable.
 - 2> if the variable START_VALUE_TO_TRANSMIT is not set and the IE "New U-RNTI" is included:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
 - 2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".
- 1> if the received reconfiguration message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH":
 - 2> if prior to this procedure there exist no transparent mode RLC radio bearers for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":

- 3> if, at the conclusion of this procedure, the UE will be in CELL_DCH state; and
- 3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":
 - 4> include the IE "COUNT-C activation time" and specify a CFN value for this IE that is a multiple of 8 frames (CFN mod 8 = 0) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted.
- NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration message unless it is also used to perform an SRNS relocation with change of ciphering algorithm.
- 1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> if the variable PDCP_SN_INFO is not empty:
 - 2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP_SN_INFO.
- 1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):
 - 2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.
- 1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.
- If after state transition the UE enters CELL_PCH or URA_PCH state, the UE shall, after the state transition and transmission of the response message:
- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4].
- 1> prohibit periodical status transmission in RLC;
- 1> remove any C-RNTI from MAC;
- 1> clear the variable C_RNTI;
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- 1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if the UE enters CELL_PCH state from CELL_DCH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

- 2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
- 2> when the cell update procedure completed successfully:
 - 3> the procedure ends.
- 1> if the UE enters CELL_PCH state from CELL_FACH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE:
 - 2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
 - 2> when the cell update procedure is successfully completed:
 - 3> the procedure ends.
- 1> if the UE enters URA_PCH state, and after cell selection the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled:
 - 2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";
 - 2> when the URA update procedure is successfully completed:
 - 3> the procedure ends.

8.2.2.4 Transmission of a response message by the UE, normal case

In case the procedure was triggered by reception of a RADIO BEARER SETUP message, the UE shall:

1> transmit a RADIO BEARER SETUP COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a RADIO BEARER RECONFIGURATION message, the UE shall:

1> transmit a RADIO BEARER RECONFIGURATION COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a RADIO BEARER RELEASE message, the UE shall:

1> transmit a RADIO BEARER RELEASE COMPLETE as response message on the uplink DCCH using AM RLC

In case the procedure was triggered by reception of a TRANSPORT CHANNEL RECONFIGURATION message, the UE shall:

1> transmit a TRANSPORT CHANNEL RECONFIGURATION COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a PHYSICAL CHANNEL RECONFIGURATION message, the UE shall:

1> transmit a PHYSICAL CHANNEL RECONFIGURATION COMPLETE as response message on the uplink DCCH using AM RLC.

If the new state is CELL_DCH or CELL_FACH, the response message shall be transmitted using the new configuration after the state transition, and the UE shall:

- 1> if the IE "Downlink counter synchronisation info" was included in the reconfiguration message; or
- 1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:
 - 2> when RLC has confirmed the successful transmission of the response message:
 - 3> if the variable PDCP_SN_INFO is empty:
 - 4> configure the RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "continue".

- 3> else:
 - 4> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "continue";
 - 4> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "continue".
- 3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;
- 3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN;
- 3> set the remaining bits of the HFN component of COUNT-C values of all UM RLC entities to zero;
- 3> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED_RABS as specified in [36].
- 1> if the variable PDCP_SN_INFO is empty:
 - 2> if the received reconfiguration message contained the IE "Ciphering mode info":
 - 3> when RLC has confirmed the successful transmission of the response message:
 - 4> notify upper layers upon change of the security configuration;
 - 4> perform the actions below.
 - 2> if the received reconfiguration message did not contain the IE "Ciphering mode info":
 - 3> when RLC has been requested to transmit the response message:
 - 4> perform the actions below.
- 1> if the variable PDCP_SN_INFO is non-empty:
 - 2> when RLC has confirmed the successful transmission of the response message:
 - 3> for each radio bearer in the variable PDCP_SN_INFO:
 - 4> if the IE "RB started" in the variable ESTABLISHED_RABS is set to "started":
 - 5> configure the RLC entity for that radio bearer to "continue".
 - 3> perform the actions below.

If the new state is CELL_PCH or URA_PCH, the response message shall be transmitted using the old configuration before the state transition, but the new C-RNTI shall be used if the IE "New C-RNTI" was included in the received reconfiguration message, and the UE shall:

- 1> when RLC has confirmed the successful transmission of the response message:
 - 2> for each radio bearer in the variable PDCP_SN_INFO:
 - 3> if the IE "RB started" in the variable ESTABLISHED_RABS is set to "started":
 - 4> configure the RLC entity for that radio bearer to "continue".
 - 2> enter the new state (CELL_PCH or URA_PCH, respectively);
 - 2> perform the actions below.

The UE shall:

1> set the variable ORDERED_RECONFIGURATION to FALSE;

- 1> if the received reconfiguration message contained the IE "Ciphering mode info":
 - 2> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
 - 2> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and
 - 2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the received reconfiguration message contained the IE "Integrity protection mode info":
 - 2> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
 - 2> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
 - 2> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and
 - 2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.
- 1> clear the variable PDCP_SN_INFO;
- 1> clear the variable START_VALUE_TO_TRANSMIT;
- 1> clear the variable SECURITY MODIFICATION.

8.2.2.5 Reception of a response message by the UTRAN, normal case

When UTRAN has received

- the RADIO BEARER SETUP COMPLETE message; or
- the RADIO BEARER RECONFIGURATION COMPLETE message; or
- the RADIO BEARER RELEASE COMPLETE message; or
- the TRANSPORT CHANNEL RECONFIGURATION COMPLETE message; or
- the PHYSICAL CHANNEL RECONFIGURATION COMPLETE message.

UTRAN may:

1> delete the old configuration.

If the procedure caused the UE to leave the CELL_FACH state, UTRAN may:

1> delete the C-RNTI of the UE.

If the IE "UL Timing Advance" is included in TDD, UTRAN should:

1> evaluate the timing advance value that the UE has to use in the new cell after handover.

If the IE "START" or the IE "START list" is included, UTRAN should:

- 1> set the START value for each CN domain with the corresponding values as received in this response message;
- 1> consequently, then use the START values to initialise the hyper frame numbers, in the same way as specified for the UE in subclause 8.2.2.3, for any new radio bearers that are established.

If UTRAN has ordered a ciphering reconfiguration by including the IE "Ciphering mode info", UTRAN should:

- 1> for radio bearers using RLC-AM or RLC-UM:
 - 2> use the old ciphering configuration for received RLC PDUs with RLC sequence number less than the RLC sequence number indicated in the IE "Radio bearer uplink ciphering activation time info" sent by the UE;

- 2> use the new ciphering configuration for received RLC PDUs with RLC sequence number greater than or equal to the RLC sequence number indicated in the IE "Radio bearer uplink ciphering activation time info" sent by the UE;
- 2> if an RLC reset or re-establishment occurs after this response message has been received by UTRAN before the activation time for the new ciphering configuration has been reached:
 - 3> ignore the activation time; and
 - 3> apply the new ciphering configuration immediately after the RLC reset or RLC re-establishment.
- 1> for radio bearers using RLC-TM:
 - 2> begin incrementing the COUNT-C at the CFN only as indicated in:
 - 3> the IE "Ciphering activation time for DPCH" in the IE "Ciphering mode info", if included in the message that triggered the radio bearer control procedure; or
 - 3> the IE "COUNT-C activation time", if included in the response message for this procedure.
- 1> and the procedure ends on the UTRAN side.

[...]

8.3 RRC connection mobility procedures

8.3.1 Cell and URA update procedures

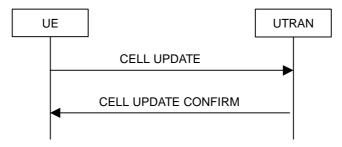


Figure 8.3.1-1: Cell update procedure, basic flow

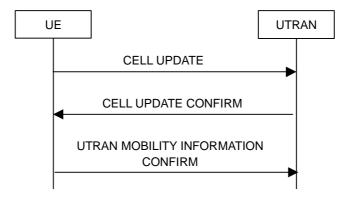


Figure 8.3.1-2: Cell update procedure with update of UTRAN mobility information

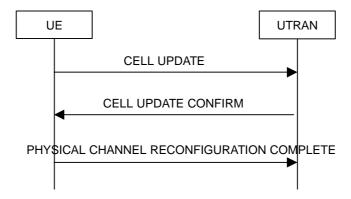


Figure 8.3.1-3: Cell update procedure with physical channel reconfiguration

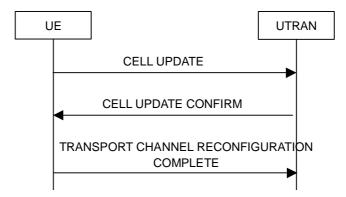


Figure 8.3.1-4: Cell update procedure with transport channel reconfiguration

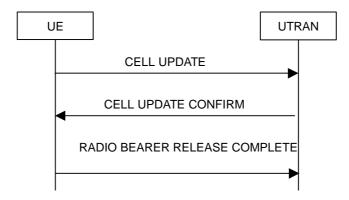


Figure 8.3.1-5: Cell update procedure with radio bearer release

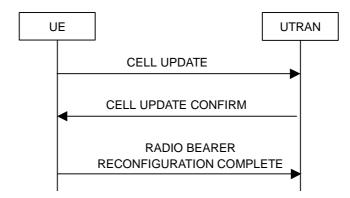


Figure 8.3.1-6: Cell update procedure with radio bearer reconfiguration

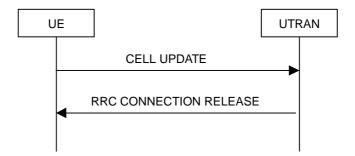


Figure 8.3.1-7: Cell update procedure, failure case

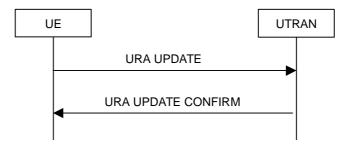


Figure 8.3.1-8: URA update procedure, basic flow

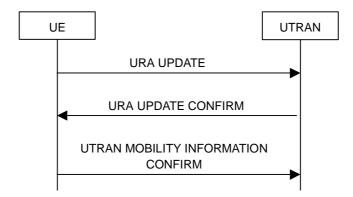


Figure 8.3.1-9: URA update procedure with update of UTRAN mobility information

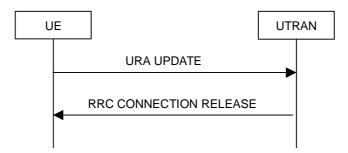


Figure 8.3.1-10: URA update procedure, failure case

8.3.1.1 General

The URA update and cell update procedures serve several main purposes:

- to notify UTRAN after re-entering service area in the URA_PCH or CELL_PCH state;
- to notify UTRAN of an RLC unrecoverable error [16] on an AM RLC entity;
- to be used as a supervision mechanism in the CELL_FACH, CELL_PCH, or URA_PCH state by means of periodical update.

In addition, the URA update procedure also serves the following purpose:

- to retrieve a new URA identity after cell re-selection to a cell not belonging to the current URA assigned to the UE in URA PCH state.

In addition, the cell update procedure also serves the following purposes:

- to update UTRAN with the current cell the UE is camping on after cell reselection;
- to act on a radio link failure in the CELL_DCH state;
- to act on the transmission failure of the UE CAPABILITY INFORMATION message;
- when triggered in the URA_PCH or CELL_PCH state, to notify UTRAN of a transition to the CELL_FACH state due to the reception of UTRAN originated paging or due to a request to transmit uplink data.

The URA update and cell update procedures may:

- 1> include an update of mobility related information in the UE;
- 1> cause a state transition from the CELL_FACH state to the CELL_DCH, CELL_PCH or URA_PCH states or idle mode.

The cell update procedure may also include:

- a re-establish of AM RLC entities;
- a radio bearer release, radio bearer reconfiguration, transport channel reconfiguration or physical channel reconfiguration.

8.3.1.2 Initiation

A UE shall initiate the cell update procedure in the following cases:

- 1> Uplink data transmission:
 - 2> if the UE is in URA_PCH or CELL_PCH state; and
 - 2> if the UE has uplink RLC data PDU or uplink RLC control PDU on RB1 or upwards to transmit:
 - 3> perform cell update using the cause "uplink data transmission".
- 1> Paging response:
 - 2> if the criteria for performing cell update with the cause specified above in the current subclause is not met; and
 - 2> if the UE in URA_PCH or CELL_PCH state, receives a PAGING TYPE 1 message fulfilling the conditions for initiating a cell update procedure specified in subclause 8.1.2.3:
 - 3> perform cell update using the cause "paging response".

1> Radio link failure:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met:
 - 3> if the UE is in CELL_DCH state and the criteria for radio link failure is met as specified in subclause 8.5.6; or
 - 3> if the transmission of the UE CAPABILITY INFORMATION message fails as specified in subclause 8.1.6.6:
 - 4> perform cell update using the cause "radio link failure".
- 1> Re-entering service area:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and
- 2> if the UE is in CELL_FACH or CELL_PCH state; and
- 2> if the UE has been out of service area and re-enters service area before T307 or T317 expires:
 - 3> perform cell update using the cause "re-entering service area".

1> RLC unrecoverable error:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and
- 2> if the UE detects RLC unrecoverable error [16] in an AM RLC entity:
 - 3> perform cell update using the cause "RLC unrecoverable error".

1> Cell reselection:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met:
 - 3> if the UE is in CELL_FACH or CELL_PCH state and the UE performs cell re-selection; or
 - 3> if the UE is in CELL_FACH state and the variable C_RNTI is empty:
 - 4> perform cell update using the cause "cell reselection".

1> Periodical cell update:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and
- 2> if the UE is in CELL_FACH or CELL_PCH state; and
- 2> if the timer T305 expires; and
- 2> if the criteria for "in service area" as specified in subclause 8.5.5.2 is fulfilled; and
- 2> if periodic updating has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity":
 - 3> perform cell update using the cause "periodical cell update".

A UE in URA PCH state shall initiate the URA update procedure in the following cases:

- 1> URA reselection:
- 2> if the UE detects that the current URA assigned to the UE, stored in the variable URA_IDENTITY, is not

present in the list of URA identities in system information block type 2; or

- 2> if the list of URA identities in system information block type 2 is empty; or
- 2> if the system information block type 2 can not be found:
 - 3> perform URA update using the cause "change of URA".

1> Periodic URA update:

- 2> if the criteria for performing URA update with the causes as specified above in the current subclause are not met; and
- 2> if the timer T305 expires while the UE is in the service area; and
- 2> if periodic updating has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity":

3> perform URA update using the cause "periodic URA update".

When initiating the URA update or cell update procedure, the UE shall:

- 1> stop timer T305;
- 1> if the UE is in CELL_DCH state:
 - 2> in the variable RB_TIMER_INDICATOR, set the IE "T314 expired" and the IE "T315 expired" to FALSE;
 - 2> if the stored values of the timer T314 and timer T315 are both equal to zero; or
 - 2> if the stored value of the timer T314 is equal to zero and there are no radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT315":
 - 3> release all its radio resources;
 - 3> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;
 - 3> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;
 - 3> clear the variable ESTABLISHED_RABS;
 - 3> enter idle mode;
 - 3> perform other actions when entering idle mode from connected mode as specified in subclause 8.5.2;
 - 3> and the procedure ends.
 - 2> if the stored value of the timer T314 is equal to zero:
 - 3> release all radio bearers, associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT314";
 - 3> in the variable RB_TIMER_INDICATOR set the IE "T314 expired" to TRUE.
 - 2> if the stored value of the timer T315 is equal to zero:
 - 3> release all radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT315";
 - 3> in the variable RB_TIMER_INDICATOR set the IE "T315 expired" to TRUE.
 - 2> if the stored value of the timer T314 is greater than zero:
 - 3> if there are radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT314":
 - 4> start timer T314.
 - 3> if there are no radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT314" or "useT315":
 - 4> start timer T314.
 - 2> if the stored value of the timer T315 is greater than zero:
 - 3> if there are radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT315":
 - 4> start timer T315.
 - 2> for the released radio bearer(s):
 - 3> delete the information about the radio bearer from the variable ESTABLISHED_RABS;

- 3> when all radio bearers belonging to the same radio access bearer have been released:
 - 4> indicate local end release of the radio access bearer to upper layers using the CN domain identity together with the RAB identity stored in the variable ESTABLISHED_RABS;
 - 4> delete all information about the radio access bearer from the variable ESTABLISHED_RABS.
- 2> select a suitable UTRA cell according to [4];
- 2> set the variable ORDERED_RECONFIGURATION to FALSE.
- 1> set the variables PROTOCOL_ERROR_INDICATOR, FAILURE_INDICATOR, UNSUPPORTED_CONFIGURATION and INVALID_CONFIGURATION to FALSE;
- 1> set the variable CELL_UPDATE_STARTED to TRUE;
- 1> if the UE is not already in CELL_FACH state:
 - 2> move to CELL_FACH state;2322> select PRACH according to subclause 8.5.17;
 - 2> select Secondary CCPCH according to subclause 8.5.19;
 - 2> use the transport format set given in system information as specified in subclause 8.6.5.1.
- 1> if the UE performs cell re-selection:
 - 2> clear the variable C_RNTI; and
 - 2> stop using that C_RNTI just cleared from the variable C_RNTI in MAC.
- 1> set CFN in relation to SFN of current cell according to subclause 8.5.15;
- 1> in case of a cell update procedure:
 - 2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3;
 - 2> submit the CELL UPDATE message for transmission on the uplink CCCH.
- 1> in case of a URA update procedure:
 - 2> set the contents of the URA UPDATE message according to subclause 8.3.1.3;
 - 2> submit the URA UPDATE message for transmission on the uplink CCCH.
- 1> set counter V302 to 1;
- 1> start timer T302 when the MAC layer indicates success or failure in transmitting the message.

8.5.5 Actions in "out of service area" and "in service area"

This subclause specifies the general actions the UE shall perform when it detects "out of service" or "in service" area. The specific UE behaviour when it detects "out of service" or "in service area" and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" is specified in subclause 8.3.1.

8.5.5.1 Detection of "out of service" area

The UE shall detect "out of service" area as defined in [19].

8.5.5.1.1 Actions following detection of "out of service" area in URA_PCH or CELL PCH state

If the UE detects the "out of service area" and the UE is in URA_PCH or CELL_PCH state it shall perform the following actions:

1> start timer T316;

1> perform processes described in subclause 7.2.2.

8.5.5.1.2 Actions following detection of "out of service" area in CELL FACH state

If the UE detects the "out of service area" and the UE is in CELL_FACH stateit shall perform the following actions. The UE shall:

1> start timer T317 if not already running;

1> perform processes described in subclause 7.2.2.

8.5.5.1.3 Actions following detection of "out of service" area on transition from CELL_DCH to URA_PCH or CELL_PCH

If the UE detects the "out of service area" on transition from CELL_DCH to URA_PCH or CELL_PCH, it should perform the following actions:

1> start timer T316;

1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";;

1> perform processes described in subclause 7.2.2.

8.5.5.1.4 Actions following detection of "out of service" area on transition from CELL DCH to CELL FACH

If the UE detects the "out of service area" on transition from CELL_DCH to CELL_FACH, it should perform the following actions:

1> if the transition is triggered by a reconfiguration procedure

2> start timer T317;

2> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

2> perform processes described in subclause 7.2.2.

1> otherwise;

2> perform processed described in subclause 7.2.2.

8.5.5.2 Detection of "in service" area

When a suitable cell is found based on the description in [4], the UE considers it as having detected "in service area".

8.5.5.2.1 Actions following Re-entry into "in service area" in URA_PCH or CELL_PCH state

If the UE re-enters "in service area" before T316 expiry the UE shall perform the following actions. The UE shall:

- 1> stop T316;
- 1> if T307 is active:
 - 2> stop T307.
- 1> perform processes described in subclause 7.2.2.

8.5.5.2.2 Actions following re-entry into "in service area" in CELL_FACH state

If the UE detects "in service area" before T317 expiry the UE shall perform the following actions. If no cell update procedure or URA update procedure is ongoing, the UE shall:

- 1> stop T317;
- 1> if T307 is active:
 - 2> stop T307.
- 1> initiate the cell update procedure using as cause "Re-entering service area" as specified in subclause 8.3.1;
- 1> perform processes described in subclause 7.2.2.

If a cell update procedure or URA update procedure is ongoing, the UE shall:

- 1> stop T317;
- 1> perform the actions as specified in 8.3.1.

8.5.5.3 T316 expiry

On T316 expiry the UE shall perform the following actions. The UE shall:

- 1> if "out of service area" is detected:
 - 2> start timer T317;
 - 2> move to CELL_FACH state;
 - 2> perform processes described in subclause 7.2.2.
- 1> if "in service area" is detected:
 - 2> initiate the cell update procedure using as cause "Re-entering service area" as specified in subclause 8.3.1;
 - 2> perform processes described in subclause 7.2.2.

8.5.5.4 T317 expiry

T317 should never expire, i.e. all its values should be assumed to be "infinity".

If the UE is using the value of "infinity" for T317, and T317 is running:

1> the UE behaviour shall be as specified in subclause 7.2.2.2.

When the T317 expires, the UE shall:

- 1> move to idle mode;
- 1> release all dedicated resources;
- 1> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;
- 1> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;
- 1> clear the variable ESTABLISHED_RABS;
- 1> perform actions specified in subclause 8.5.2 when entering idle mode from connected mode.

8.5.6 Radio link failure criteria and actions upon radio link failure

In CELL_DCH State, after receiving N313 consecutive "out of sync" indications from layer 1 for the established DPCCH physical channel in FDD, and the DPCH associated with mapped DCCHs in TDD, the UE shall:

- 1> start timer T313;
- 1> upon receiving N315 successive "in sync" indications from layer 1 and upon change of UE state:
 - 2> stop and reset timer T313.
- 1> if T313 expires:
 - 2> consider it as a "Radio link failure".

Periods in time where neither "in sync" nor "out of sync" is reported by layer 1 do not affect the evaluation of the number of consecutive (resp. successive) "in sync" or "out of sync" indications.

When a radio link failure occurs, the UE shall:

- 1> clear the dedicated physical channel configuration;
- 1> perform actions as specified for the ongoing procedure;
- 1> if no procedure is ongoing or no actions are specified for the ongoing procedure:
 - 2> perform a cell update procedure according to subclause 8.3.1 using the cause "radio link failure".

ME X Radio Access Network X Core Network

Rel-6

(Release 6)

3GPP TSG-RAN2 Meeting #37 Budapest, Hungary, 25th-29th August 2003

UICC apps₩

• •					CR-Form-v7
		CHANG	E REQ	UES1	
*	25.331 CR	2068	≋rev	- #	Current version: 4.10.0 **
For HELP	on using this form, see	e bottom of th	nis page or	look at th	ne pop-up text over the % symbols.

Title:	æ	UE behaviour in transition from CELL_DCF and Out of Service is detected	H to CELL_F	ACH/ C	ELL_PCH/URA_PCH
Source:		RAN WG2			
Work item code.	:₩	TEI	D	Date: %	23/08/2003
Category:	æ	A	Rele	ase: %	R4
		Use one of the following categories:	Use	one of t	the following releases:
		F (correction)	2	2	(GSM Phase 2)
		A (corresponds to a correction in an earlier	release) F	R96	(Release 1996)
		B (addition of feature),	F	R97	(Release 1997)
		C (functional modification of feature)	F	R98	(Release 1998)
		D (editorial modification)		R99	(Release 1999)
		Detailed explanations of the above categories ca	n <i>F</i>	Rel-4	(Release 4)
		be found in 3GPP TR 21.900.	F	Rel-5	(Release 5)

Proposed change affects:

Reason for change: # RP-030371 approved in RAN#20 describes UE behaviour when out of service area and the setting of T317. This covers the case of UE loosing coverage while camped on a cell in CELL_FACH/CELL_PCH/URA_PCH.

> The case of UE moving from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH from another RRC state without a serving cell available needs to be covered. The transition can be caused by a reconfiguration procedure or by a autonomous transition (e.g. radio link failure, or RLC unrecoverable error in CELL_DCH).

> In the case of UE in CELL_DCH moving to CELL_FACH for the reason of "Radio Link Failure" and at the same time detecting out of service area. According to the specification of today, UE may keep its RRC and RAB(s) up to T314/T315 expiry. The timer of T315 could be up to 30 mins. The requirement in the current spec to search for a suitable UTRA cell could imply that the UE is unable to Emergency call for maximum of 30 minutes if no suitable UTRA cell is found.

> In the case of UE in CELL DCH moving to CELL FACH due to a reconfiguration and at the same time detecting out of service area. According to the specification of today, UE may keep its RRC and RAB(s) for an unlimited time (as timers T305/T317 are not started). The requirement in the current spec to to search to a suitable UTRA cell could imply that the UE is unable to make an Emergency call for an unlimited time. In addition the UE could keep the RRC connection for a very long period and then find a suitable cell to camp on and not perform a cell update to inform UTRAN that it has returned to coverage. This could happen if the CPICH in the reconfiguration message matches the selected cell (probably and unlikely scenario) or if the URA in the reconfiguration matched a URA of the cell.

The intention is to apply the same behaviour as for going out of service for while camped on a cell in CELL_FACH/CELL_PCH/URA_PCH.

Summary of change: \mathbb{K}

Behaviour of UEs on transition from CELL_DCH to

CELL_FACH/CELL_PCH/URA_PCH and detecting out of service should is to perform the actions in section 7.2.2 for CELL-FACH/CELL_PCH/URA_PCH state when out of service. Note that precise crtieria for detecting out of service on transition from CELL_DCH are defined in a associated change request to 25.133.

If the transition from CELL_DCH to URA_PCH/CELL_PCH occurs due to a reconfiguration then the UE should start timers T316, T305. Starting T316 ensures that it will perform a cell udpate if it returns to coverage after a time longer than T316. Starting T305 ensuress that the UE will eventually release the RRC connection if it is out of service for a time longer than T305. A synchronised release of the RRC onnection within the UTRAN is possible.

If the transition from CELL_DCH to CELL_FACH occurs due to a reconfiguration then the UE should start timers T317, T305. Starting T317 ensures that it will perform a cell udpate if it returns to coverage. Starting T305 ensuress that the UE will eventually release the RRC connection if it is out of service for a time longer than T305. A synchronised release of the RRC onnection within the UTRAN is possible.

If the transition is an autonomous transition from CELL_DCH to CELL_FACH due No extra timers beyond T314/T315 need to be started to ensure correct operation of the system.

Different behaviour in later release: The should requirements will be replaced by shall requirements in the release 5 CR.

Isolated Impact Change Analysis.

This change clarifies the out of service procedure.

If the UE does not implement the CR, UE in out of service may not have correct behaviour defined for out of service.

If the UE implements the CR and UTRAN does not implement it, there would be no problems, since UTRAN may not be aware that the UE is out of service.

It would not affect implementations behaving like indicated in the CR, it would affect implementations supporting the corrected functionality otherwise.

Consequences if not approved:

A UE not aligned to this CR would be prevented from accessing emergency calls for a period of time and from selecting a new PLMN for normal service while out of service of the RPLMN.

Clauses affected:	# 8.5.5.1.3 (new), 8.5.5.1.4 (new), 7.2.2.2			
	YN			
Other specs affected:	 X Other core specifications X Test specifications X O&M Specifications 			
Other comments:	$m{lpha}$			

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

7.2.2 UTRA RRC Connected mode

In this specification unless otherwise mentioned "connected mode" shall refer to "UTRA RRC connected mode".

7.2.2.1 URA PCH or CELL PCH state

In the URA PCH or CELL PCH state the UE shall perform the following actions:

NOTE: Neither DCCH nor DTCH are available in these states.

- 1> if the UE is "in service area":
 - 2> maintain up-to-date system information as broadcast by the serving cell as specified in the subclause 8.1.1;
 - 2> perform cell reselection process as specified in [4];
 - 2> perform a periodic search for higher priority PLMNs as specified in [25];
- NOTE: If the DRX cycle length is 80ms, then a search for higher priority PLMNs may not identify all the available PLMNs due to the paging occasion on the current serving cell coinciding with the MIB of the cell of interest.
 - 2> monitor the paging occasions and PICH monitoring occasions determined according to subclauses 8.6.3.1a and 8.6.3.2 and receive paging information on the PCH mapped on the S-CCPCH selected by the UE according to the procedure in subclause 8.5.19;
 - 2> act on RRC messages received on PCCH and BCCH;
 - 2> perform measurements process according to measurement control information as specified in subclause 8.4 and in subclause 14.4;
 - 2> maintain up-to-date BMC data if it supports Cell Broadcast Service (CBS) as specified in [37];
 - 2> run timer T305 for periodical URA update if the UE is in URA_PCH or for periodical cell update if the UE is in CELL PCH.
- 1> if the UE is "out of service area":
 - 2> perform cell selection process as specified in [4];
 - 2> run timer T316;
 - 2> run timer T305;
 - 2> if the cell selection process fails to find a suitable cell after a complete scan of all RATs and all frequency bands supported by the UE, the UE should after a minimum of TimerOutOfService time (default value 30 s) of being "out of service area":
 - 3> indicate all available PLMNs to NAS to enable the selection of a new PLMN. If the NAS indicates the selection of a new PLMN the UE shall store information for the new PLMN within the variable SELECTED_PLMN and perform actions according to subclause 8.5.24;
 - 3> if an acceptable cell is found then the UE shall camp on that cell to obtain limited service as defined in [4] and, perform actions according to subclause 8.5.24. If the RRC connection is released due to camping on an acceptable cell, indicate this to upper layers;
 - 3> else if no acceptable cell is found, the UE shall continue looking for an acceptable cell as defined in [4].

7.2.2.2 CELL_FACH state

In the CELL_FACH state the UE shall perform the following actions:

NOTE: DCCH and, if configured, DTCH are available in this state.

- 1> if the UE is "in service area":
 - 2> maintain up-to-date system information as broadcast by the serving cell as specified in subclause 8.1.1;
 - 2> perform cell reselection process as specified in [4];
 - 2> perform measurements process according to measurement control information as specified in subclause 8.4 and in subclause 14.4;
 - 2> run timer T305 (periodical cell update);
 - 2> select and configure the RB multiplexing options applicable for the transport channels to be used in this RRC state:
 - 2> listen to all FACH transport channels mapped on the S-CCPCH selected by the UE according to the procedure in subclause 8.5.19;
 - 2> act on RRC messages received on BCCH, CCCH and DCCH;
 - 2> act on RRC messages received on, if available, SHCCH (TDD only).
- 1> if the UE is "out of service area":
 - 2> perform cell selection process as specified in [4];
 - 2> run timers T305 (periodical cell update), and T317 (cell update when re-entering "in service") or T307 (transition to Idle mode), if started;
 - 2> run timers T314 and/or T315, if started;
 - 2> if the cell selection process fails to find a suitable cell after a complete scan of all RATs and all frequency bands supported by the UE, the UE should after a minimum of TimerOutOfService time (default value 30 seconds) of being "out of service area":
 - 3> indicate all available PLMNs to NAS to enable the selection of a new PLMN. If the NAS indicates the selection of a new PLMN the UE shall store information for the new PLMN within the variable SELECTED_PLMN and perform actions according to subclause 8.5.24;
 - 3> if an acceptable cell is found then the UE shall camp on that cell to obtain limited service as defined in [4] and perform actions according to subclause 8.5.24. If the RRC connection is released due to camping on an acceptable cell, indicate this to upper layers;
 - 3> else if no acceptable cell is found, the UE shall continue looking for an acceptable cell as defined in [4].

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall be able to receive any of the following messages:

- RADIO BEARER SETUP message; or
- RADIO BEARER RECONFIGURATION message; or
- RADIO BEARER RELEASE message; or
- TRANSPORT CHANNEL RECONFIGURATION message; or
- PHYSICAL CHANNEL RECONFIGURATION message.

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

- 1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);
- 1> apply the hard handover procedure as specified in subclause 8.3.5;
- 1> be able to perform this procedure even if no prior UE measurements have been performed on the target cell and/or frequency.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message:

it shall:

- 1> set the variable ORDERED_RECONFIGURATION to TRUE;
- 1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:
 - 2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).
- 1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

- 1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:
 - 2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and
 - 2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.
- 1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

- 1> handle the message as if IE "RB information to reconfigure" was absent.
- NOTE: The RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

If after state transition the UE enters CELL_DCH state, the UE shall, after the state transition:

- 1> in FDD; or
- 1> in TDD when "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:
 - 2> remove any C-RNTI from MAC;
 - 2> clear the variable C_RNTI.

In FDD, if after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

- 1> remove any DSCH-RNTI from MAC;
- 1> clear the variable DSCH_RNTI.

If the UE was in CELL_DCH state upon reception of the reconfiguration message and remains in CELL_DCH state, the UE shall:

- 1> if the IE "Uplink DPCH Info" is absent:
 - 2> not change its current UL Physical channel configuration.
- 1> in TDD:
 - 2> if "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:
 - 3> remove any C-RNTI from MAC;
 - 3> clear the variable C_RNTI.

If after state transition the UE enters CELL_FACH state, the UE shall, after the state transition:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4].
- 1> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 2> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 2> when the cell update procedure completed successfully:
 - 3> if the UE is in CELL_PCH or URA_PCH state:
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";
 - 4> proceed as below.
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
- 1> select PRACH according to subclause 8.5.17;

- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> use the transport format set given in system information;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> ignore that IE and stop using DRX.
- 1> if the contents of the variable C_RNTI is empty:
 - 2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 2> when the cell update procedure completed successfully:
 - 3> if the UE is in CELL_PCH or URA_PCH state:
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";
 - 4> proceed as below.

If the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state, the UE shall:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency;
 - 2> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
 - 3> when the cell update procedure completed successfully:
 - 4> proceed as below.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

- 1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or
- 1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:
 - 2> if the variable PDCP_SN_INFO is empty:
 - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".
 - 2> else:
 - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
 - 3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".
 - 2> re-establish RB2;
 - 2> for the downlink and the uplink, apply the ciphering configuration as follows:
 - 3> if the received re-configuation message included the IE "Ciphering Mode Info":
 - 4> use the ciphering configuration in the received message when transmitting the response message.

- 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
 - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
 - 5> consider the new ciphering configuration to include the received new keys.
 - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:
 - 5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN.
 - 4> apply the new ciphering configuration immediately following RLC re-establishment.
- 3> else:
 - 4> continue using the current ciphering configuration.
- 2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);
- 2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;
- 2> calculate the START value according to subclause 8.5.9;
- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":
 - 2> if the variable START_VALUE_TO_TRANSMIT is set:
 - 3> include and set the IE "START" to the value of that variable.
 - 2> if the variable START_VALUE_TO_TRANSMIT is not set and the IE "New U-RNTI" is included:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
 - 2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".
- 1> if the received reconfiguration message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH":
 - 2> if prior to this procedure there exist no transparent mode RLC radio bearers for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":

- 3> if, at the conclusion of this procedure, the UE will be in CELL_DCH state; and
- 3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":
 - 4> include the IE "COUNT-C activation time" and specify a CFN value for this IE that is a multiple of 8 frames (CFN mod 8 = 0) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted.
- NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration message unless it is also used to perform an SRNS relocation with change of ciphering algorithm.
- 1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> if the variable PDCP_SN_INFO is not empty:
 - 2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP_SN_INFO.
- 1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):
 - 2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.
- 1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.
- If after state transition the UE enters CELL_PCH or URA_PCH state, the UE shall, after the state transition and transmission of the response message:
- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4].
- 1> prohibit periodical status transmission in RLC;
- 1> remove any C-RNTI from MAC;
- 1> clear the variable C_RNTI;
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- 1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if the UE enters CELL_PCH state from CELL_DCH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

- 2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
- 2> when the cell update procedure completed successfully:
 - 3> the procedure ends.
- 1> if the UE enters CELL_PCH state from CELL_FACH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE:
 - 2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
 - 2> when the cell update procedure is successfully completed:
 - 3> the procedure ends.
- 1> if the UE enters URA_PCH state, and after cell selection the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled:
 - 2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";
 - 2> when the URA update procedure is successfully completed:
 - 3> the procedure ends.

8.2.2.4 Transmission of a response message by the UE, normal case

In case the procedure was triggered by reception of a RADIO BEARER SETUP message, the UE shall:

1> transmit a RADIO BEARER SETUP COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a RADIO BEARER RECONFIGURATION message, the UE shall:

1> transmit a RADIO BEARER RECONFIGURATION COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a RADIO BEARER RELEASE message, the UE shall:

1> transmit a RADIO BEARER RELEASE COMPLETE as response message on the uplink DCCH using AM RLC

In case the procedure was triggered by reception of a TRANSPORT CHANNEL RECONFIGURATION message, the UE shall:

1> transmit a TRANSPORT CHANNEL RECONFIGURATION COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a PHYSICAL CHANNEL RECONFIGURATION message, the UE shall:

1> transmit a PHYSICAL CHANNEL RECONFIGURATION COMPLETE as response message on the uplink DCCH using AM RLC.

If the new state is CELL_DCH or CELL_FACH, the response message shall be transmitted using the new configuration after the state transition, and the UE shall:

- 1> if the IE "Downlink counter synchronisation info" was included in the reconfiguration message; or
- 1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:
 - 2> when RLC has confirmed the successful transmission of the response message:
 - 3> if the variable PDCP_SN_INFO is empty:
 - 4> configure the RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "continue".

- 3> else:
 - 4> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "continue";
 - 4> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "continue".
- 3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;
- 3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN;
- 3> set the remaining bits of the HFN component of COUNT-C values of all UM RLC entities to zero;
- 3> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED_RABS as specified in [36].
- 1> if the variable PDCP_SN_INFO is empty:
 - 2> if the received reconfiguration message contained the IE "Ciphering mode info":
 - 3> when RLC has confirmed the successful transmission of the response message:
 - 4> notify upper layers upon change of the security configuration;
 - 4> perform the actions below.
 - 2> if the received reconfiguration message did not contain the IE "Ciphering mode info":
 - 3> when RLC has been requested to transmit the response message:
 - 4> perform the actions below.
- 1> if the variable PDCP_SN_INFO is non-empty:
 - 2> when RLC has confirmed the successful transmission of the response message:
 - 3> for each radio bearer in the variable PDCP_SN_INFO:
 - 4> if the IE "RB started" in the variable ESTABLISHED_RABS is set to "started":
 - 5> configure the RLC entity for that radio bearer to "continue".
 - 3> perform the actions below.

If the new state is CELL_PCH or URA_PCH, the response message shall be transmitted using the old configuration before the state transition, but the new C-RNTI shall be used if the IE "New C-RNTI" was included in the received reconfiguration message, and the UE shall:

- 1> when RLC has confirmed the successful transmission of the response message:
 - 2> for each radio bearer in the variable PDCP_SN_INFO:
 - 3> if the IE "RB started" in the variable ESTABLISHED_RABS is set to "started":
 - 4> configure the RLC entity for that radio bearer to "continue".
 - 2> enter the new state (CELL_PCH or URA_PCH, respectively);
 - 2> perform the actions below.

The UE shall:

1> set the variable ORDERED_RECONFIGURATION to FALSE;

- 1> if the received reconfiguration message contained the IE "Ciphering mode info":
 - 2> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
 - 2> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and
 - 2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the received reconfiguration message contained the IE "Integrity protection mode info":
 - 2> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
 - 2> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
 - 2> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and
 - 2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.
- 1> clear the variable PDCP_SN_INFO;
- 1> clear the variable START_VALUE_TO_TRANSMIT;
- 1> clear the variable SECURITY MODIFICATION.

8.2.2.5 Reception of a response message by the UTRAN, normal case

When UTRAN has received

- the RADIO BEARER SETUP COMPLETE message; or
- the RADIO BEARER RECONFIGURATION COMPLETE message; or
- the RADIO BEARER RELEASE COMPLETE message; or
- the TRANSPORT CHANNEL RECONFIGURATION COMPLETE message; or
- the PHYSICAL CHANNEL RECONFIGURATION COMPLETE message.

UTRAN may:

1> delete the old configuration.

If the procedure caused the UE to leave the CELL_FACH state, UTRAN may:

1> delete the C-RNTI of the UE.

If the IE "UL Timing Advance" is included in TDD, UTRAN should:

1> evaluate the timing advance value that the UE has to use in the new cell after handover.

If the IE "START" or the IE "START list" is included, UTRAN should:

- 1> set the START value for each CN domain with the corresponding values as received in this response message;
- 1> consequently, then use the START values to initialise the hyper frame numbers, in the same way as specified for the UE in subclause 8.2.2.3, for any new radio bearers that are established.

If UTRAN has ordered a ciphering reconfiguration by including the IE "Ciphering mode info", UTRAN should:

- 1> for radio bearers using RLC-AM or RLC-UM:
 - 2> use the old ciphering configuration for received RLC PDUs with RLC sequence number less than the RLC sequence number indicated in the IE "Radio bearer uplink ciphering activation time info" sent by the UE;

- 2> use the new ciphering configuration for received RLC PDUs with RLC sequence number greater than or equal to the RLC sequence number indicated in the IE "Radio bearer uplink ciphering activation time info" sent by the UE;
- 2> if an RLC reset or re-establishment occurs after this response message has been received by UTRAN before the activation time for the new ciphering configuration has been reached:
 - 3> ignore the activation time; and
 - 3> apply the new ciphering configuration immediately after the RLC reset or RLC re-establishment.
- 1> for radio bearers using RLC-TM:
 - 2> begin incrementing the COUNT-C at the CFN only as indicated in:
 - 3> the IE "Ciphering activation time for DPCH" in the IE "Ciphering mode info", if included in the message that triggered the radio bearer control procedure; or
 - 3> the IE "COUNT-C activation time", if included in the response message for this procedure.
- 1> and the procedure ends on the UTRAN side.

[...]

8.3 RRC connection mobility procedures

8.3.1 Cell and URA update procedures

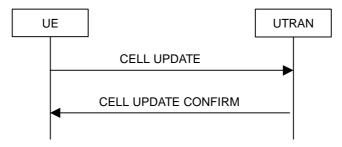


Figure 8.3.1-1: Cell update procedure, basic flow

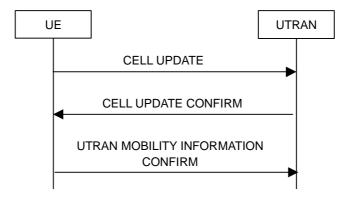


Figure 8.3.1-2: Cell update procedure with update of UTRAN mobility information

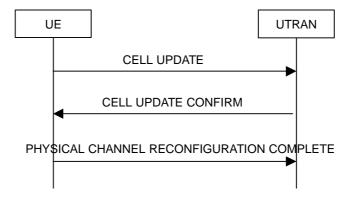


Figure 8.3.1-3: Cell update procedure with physical channel reconfiguration

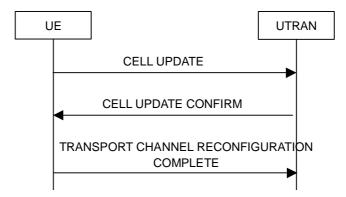


Figure 8.3.1-4: Cell update procedure with transport channel reconfiguration

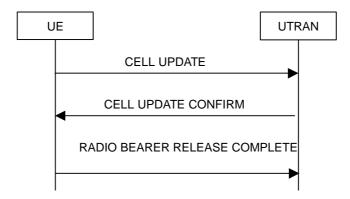


Figure 8.3.1-5: Cell update procedure with radio bearer release

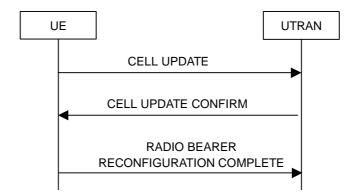


Figure 8.3.1-6: Cell update procedure with radio bearer reconfiguration

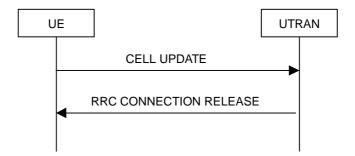


Figure 8.3.1-7: Cell update procedure, failure case

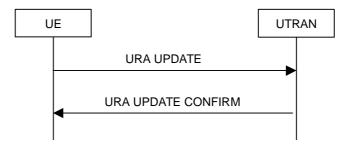


Figure 8.3.1-8: URA update procedure, basic flow

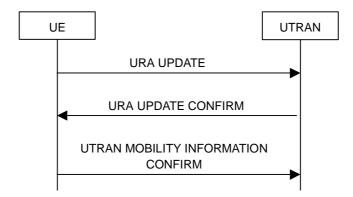


Figure 8.3.1-9: URA update procedure with update of UTRAN mobility information

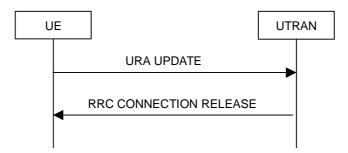


Figure 8.3.1-10: URA update procedure, failure case

8.3.1.1 General

The URA update and cell update procedures serve several main purposes:

- to notify UTRAN after re-entering service area in the URA_PCH or CELL_PCH state;
- to notify UTRAN of an RLC unrecoverable error [16] on an AM RLC entity;
- to be used as a supervision mechanism in the CELL_FACH, CELL_PCH, or URA_PCH state by means of periodical update.

In addition, the URA update procedure also serves the following purpose:

- to retrieve a new URA identity after cell re-selection to a cell not belonging to the current URA assigned to the UE in URA PCH state.

In addition, the cell update procedure also serves the following purposes:

- to update UTRAN with the current cell the UE is camping on after cell reselection;
- to act on a radio link failure in the CELL_DCH state;
- to act on the transmission failure of the UE CAPABILITY INFORMATION message;
- when triggered in the URA_PCH or CELL_PCH state, to notify UTRAN of a transition to the CELL_FACH state due to the reception of UTRAN originated paging or due to a request to transmit uplink data.

The URA update and cell update procedures may:

- 1> include an update of mobility related information in the UE;
- 1> cause a state transition from the CELL_FACH state to the CELL_DCH, CELL_PCH or URA_PCH states or idle mode.

The cell update procedure may also include:

- a re-establish of AM RLC entities;
- a radio bearer release, radio bearer reconfiguration, transport channel reconfiguration or physical channel reconfiguration.

8.3.1.2 Initiation

A UE shall initiate the cell update procedure in the following cases:

- 1> Uplink data transmission:
 - 2> if the UE is in URA_PCH or CELL_PCH state; and
 - 2> if the UE has uplink RLC data PDU or uplink RLC control PDU on RB1 or upwards to transmit:
 - 3> perform cell update using the cause "uplink data transmission".
- 1> Paging response:
 - 2> if the criteria for performing cell update with the cause specified above in the current subclause is not met; and
 - 2> if the UE in URA_PCH or CELL_PCH state, receives a PAGING TYPE 1 message fulfilling the conditions for initiating a cell update procedure specified in subclause 8.1.2.3:
 - 3> perform cell update using the cause "paging response".

1> Radio link failure:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met:
 - 3> if the UE is in CELL_DCH state and the criteria for radio link failure is met as specified in subclause 8.5.6; or
 - 3> if the transmission of the UE CAPABILITY INFORMATION message fails as specified in subclause 8.1.6.6:
 - 4> perform cell update using the cause "radio link failure".
- 1> Re-entering service area:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and
- 2> if the UE is in CELL_FACH or CELL_PCH state; and
- 2> if the UE has been out of service area and re-enters service area before T307 or T317 expires:
 - 3> perform cell update using the cause "re-entering service area".

1> RLC unrecoverable error:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and
- 2> if the UE detects RLC unrecoverable error [16] in an AM RLC entity:
 - 3> perform cell update using the cause "RLC unrecoverable error".

1> Cell reselection:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met:
 - 3> if the UE is in CELL_FACH or CELL_PCH state and the UE performs cell re-selection; or
 - 3> if the UE is in CELL_FACH state and the variable C_RNTI is empty:
 - 4> perform cell update using the cause "cell reselection".

1> Periodical cell update:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and
- 2> if the UE is in CELL_FACH or CELL_PCH state; and
- 2> if the timer T305 expires; and
- 2> if the criteria for "in service area" as specified in subclause 8.5.5.2 is fulfilled; and
- 2> if periodic updating has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity":
 - 3> perform cell update using the cause "periodical cell update".

A UE in URA PCH state shall initiate the URA update procedure in the following cases:

- 1> URA reselection:
- 2> if the UE detects that the current URA assigned to the UE, stored in the variable URA_IDENTITY, is not

present in the list of URA identities in system information block type 2; or

- 2> if the list of URA identities in system information block type 2 is empty; or
- 2> if the system information block type 2 can not be found:
 - 3> perform URA update using the cause "change of URA".

1> Periodic URA update:

- 2> if the criteria for performing URA update with the causes as specified above in the current subclause are not met; and
- 2> if the timer T305 expires while the UE is in the service area; and
- 2> if periodic updating has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity":

3> perform URA update using the cause "periodic URA update".

When initiating the URA update or cell update procedure, the UE shall:

- 1> stop timer T305;
- 1> if the UE is in CELL_DCH state:
 - 2> in the variable RB_TIMER_INDICATOR, set the IE "T314 expired" and the IE "T315 expired" to FALSE;
 - 2> if the stored values of the timer T314 and timer T315 are both equal to zero; or
 - 2> if the stored value of the timer T314 is equal to zero and there are no radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT315":
 - 3> release all its radio resources;
 - 3> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;
 - 3> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;
 - 3> clear the variable ESTABLISHED_RABS;
 - 3> enter idle mode;
 - 3> perform other actions when entering idle mode from connected mode as specified in subclause 8.5.2;
 - 3> and the procedure ends.
 - 2> if the stored value of the timer T314 is equal to zero:
 - 3> release all radio bearers, associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT314";
 - 3> in the variable RB_TIMER_INDICATOR set the IE "T314 expired" to TRUE.
 - 2> if the stored value of the timer T315 is equal to zero:
 - 3> release all radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT315";
 - 3> in the variable RB_TIMER_INDICATOR set the IE "T315 expired" to TRUE.
 - 2> if the stored value of the timer T314 is greater than zero:
 - 3> if there are radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT314":
 - 4> start timer T314.
 - 3> if there are no radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT314" or "useT315":
 - 4> start timer T314.
 - 2> if the stored value of the timer T315 is greater than zero:
 - 3> if there are radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT315":
 - 4> start timer T315.
 - 2> for the released radio bearer(s):
 - 3> delete the information about the radio bearer from the variable ESTABLISHED_RABS;

- 3> when all radio bearers belonging to the same radio access bearer have been released:
 - 4> indicate local end release of the radio access bearer to upper layers using the CN domain identity together with the RAB identity stored in the variable ESTABLISHED_RABS;
 - 4> delete all information about the radio access bearer from the variable ESTABLISHED_RABS.
- 2> select a suitable UTRA cell according to [4];
- 2> set the variable ORDERED_RECONFIGURATION to FALSE.
- 1> set the variables PROTOCOL_ERROR_INDICATOR, FAILURE_INDICATOR, UNSUPPORTED_CONFIGURATION and INVALID_CONFIGURATION to FALSE;
- 1> set the variable CELL_UPDATE_STARTED to TRUE;
- 1> if the UE is not already in CELL_FACH state:
 - 2> move to CELL_FACH state;2322> select PRACH according to subclause 8.5.17;
 - 2> select Secondary CCPCH according to subclause 8.5.19;
 - 2> use the transport format set given in system information as specified in subclause 8.6.5.1.
- 1> if the UE performs cell re-selection:
 - 2> clear the variable C_RNTI; and
 - 2> stop using that C_RNTI just cleared from the variable C_RNTI in MAC.
- 1> set CFN in relation to SFN of current cell according to subclause 8.5.15;
- 1> in case of a cell update procedure:
 - 2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3;
 - 2> submit the CELL UPDATE message for transmission on the uplink CCCH.
- 1> in case of a URA update procedure:
 - 2> set the contents of the URA UPDATE message according to subclause 8.3.1.3;
 - 2> submit the URA UPDATE message for transmission on the uplink CCCH.
- 1> set counter V302 to 1;
- 1> start timer T302 when the MAC layer indicates success or failure in transmitting the message.

8.5.5 Actions in "out of service area" and "in service area"

This subclause specifies the general actions the UE shall perform when it detects "out of service" or "in service" area. The specific UE behaviour when it detects "out of service" or "in service area" and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" is specified in subclause 8.3.1.

8.5.5.1 Detection of "out of service" area

The UE shall detect "out of service" area as defined in [19].

8.5.5.1.1 Actions following detection of "out of service" area in URA_PCH or CELL PCH state

If the UE detects the "out of service area" and the UE is in URA_PCH or CELL_PCH state it shall perform the following actions:

1> start timer T316;

1> perform processes described in subclause 7.2.2.

8.5.5.1.2 Actions following detection of "out of service" area in CELL FACH state

If the UE detects the "out of service area" and the UE is in CELL_FACH stateit shall perform the following actions. The UE shall:

1> start timer T317 if not already running;

1> perform processes described in subclause 7.2.2.

8.5.5.1.3 Actions following detection of "out of service" area on transition from CELL_DCH to URA_PCH or CELL_PCH

If the UE detects the "out of service area" on transition from CELL_DCH to URA_PCH or CELL_PCH, it should perform the following actions:

1> start timer T316;

1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";;

1> perform processes described in subclause 7.2.2.

8.5.5.1.4 Actions following detection of "out of service" area on transition from CELL DCH to CELL FACH

If the UE detects the "out of service area" on transition from CELL_DCH to CELL_FACH, it should perform the following actions:

1> if the transition is triggered by a reconfiguration procedure

2> start timer T317;

2> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

2> perform processes described in subclause 7.2.2.

1> otherwise;

2> perform processed described in subclause 7.2.2.

8.5.5.2 Detection of "in service" area

When a suitable cell is found based on the description in [4], the UE considers it as having detected "in service area".

8.5.5.2.1 Actions following Re-entry into "in service area" in URA_PCH or CELL_PCH state

If the UE re-enters "in service area" before T316 expiry the UE shall perform the following actions. The UE shall:

- 1> stop T316;
- 1> if T307 is active:
 - 2> stop T307.
- 1> perform processes described in subclause 7.2.2.

8.5.5.2.2 Actions following re-entry into "in service area" in CELL_FACH state

If the UE detects "in service area" before T317 expiry the UE shall perform the following actions. If no cell update procedure or URA update procedure is ongoing, the UE shall:

- 1> stop T317;
- 1> if T307 is active:
 - 2> stop T307.
- 1> initiate the cell update procedure using as cause "Re-entering service area" as specified in subclause 8.3.1;
- 1> perform processes described in subclause 7.2.2.

If a cell update procedure or URA update procedure is ongoing, the UE shall:

- 1> stop T317;
- 1> perform the actions as specified in 8.3.1.

8.5.5.3 T316 expiry

On T316 expiry the UE shall perform the following actions. The UE shall:

- 1> if "out of service area" is detected:
 - 2> start timer T317;
 - 2> move to CELL_FACH state;
 - 2> perform processes described in subclause 7.2.2.
- 1> if "in service area" is detected:
 - 2> initiate the cell update procedure using as cause "Re-entering service area" as specified in subclause 8.3.1;
 - 2> perform processes described in subclause 7.2.2.

8.5.5.4 T317 expiry

T317 should never expire, i.e. all its values should be assumed to be "infinity".

If the UE is using the value of "infinity" for T317, and T317 is running:

1> the UE behaviour shall be as specified in subclause 7.2.2.2.

When the T317 expires, the UE shall:

- 1> move to idle mode;
- 1> release all dedicated resources;
- 1> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;
- 1> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;
- 1> clear the variable ESTABLISHED_RABS;
- 1> perform actions specified in subclause 8.5.2 when entering idle mode from connected mode.

8.5.6 Radio link failure criteria and actions upon radio link failure

In CELL_DCH State, after receiving N313 consecutive "out of sync" indications from layer 1 for the established DPCCH physical channel in FDD, and the DPCH associated with mapped DCCHs in TDD, the UE shall:

- 1> start timer T313;
- 1> upon receiving N315 successive "in sync" indications from layer 1 and upon change of UE state:
 - 2> stop and reset timer T313.
- 1> if T313 expires:
 - 2> consider it as a "Radio link failure".

Periods in time where neither "in sync" nor "out of sync" is reported by layer 1 do not affect the evaluation of the number of consecutive (resp. successive) "in sync" or "out of sync" indications.

When a radio link failure occurs, the UE shall:

- 1> clear the dedicated physical channel configuration;
- 1> perform actions as specified for the ongoing procedure;
- 1> if no procedure is ongoing or no actions are specified for the ongoing procedure:
 - 2> perform a cell update procedure according to subclause 8.3.1 using the cause "radio link failure".

ME X Radio Access Network X Core Network

3GPP TSG-RAN2 Meeting #37 Budapest, Hungary, 25th-29th August 2003

UICC apps₩

Ваааро	ot, Hangary, 20	zo August z					
		CHANG	E REQ	UEST			CR-Form-v7
æ	25.331	CR 2069	≋rev	- #	Current version:	5.5.0	*
For <u>H</u>	ELP on using this fo	rm, see bottom of a	this page or I	look at th	ne pop-up text over	r the % syr	nbols.

Title:	æ	UE behaviour in transition from CELL and Out of Service is detected	DCH to CE	LL_FACH/ C	ELL_PCH/URA_PCH
Source:	Ж	RAN WG2			
Work item code.	: Ж	TEI		Date: ₩	23/08/2003
Category:	Ж	F		Release: %	Rel-5
		Use one of the following categories:		Use <u>one</u> of t	he following releases:
		F (correction)		2	(GSM Phase 2)
		A (corresponds to a correction in an e	arlier release) R96	(Release 1996)
		B (addition of feature),		R97	(Release 1997)
		C (functional modification of feature)		R98	(Release 1998)
		D (editorial modification)		R99	(Release 1999)
		Detailed explanations of the above categori	es can	Rel-4	(Release 4)
		be found in 3GPP <u>TR 21.900</u> .		Rel-5	(Release 5)
				Dol 6	(Pologge 6)

Reason for change: %

Proposed change affects:

RP-030371 approved in RAN#20 describes UE behaviour when out of service area and the setting of T317. This covers the case of UE loosing coverage while camped on a cell in CELL_FACH/CELL_PCH/URA_PCH.

The case of UE moving from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH from another RRC state without a serving cell available needs to be covered. The transition can be caused by a reconfiguration procedure or by a autonomous transition (e.g. radio link failure, or RLC unrecoverable error in CELL_DCH).

In the case of UE in CELL_DCH moving to CELL_FACH for the reason of "Radio Link Failure" and at the same time detecting out of service area. According to the specification of today, UE may keep its RRC and RAB(s) up to T314/T315 expiry. The timer of T315 could be up to 30 mins. The requirement in the current spec to search for a suitable UTRA cell could imply that the UE is unable to Emergency call for maximum of 30 minutes if no suitable UTRA cell is found.

In the case of UE in CELL_DCH moving to CELL_FACH due to a reconfiguration and at the same time detecting out of service area. According to the specification of today, UE may keep its RRC and RAB(s) for an unlimited time (as timers T305/T317 are not started). The requirement in the current spec to to search to a suitable UTRA cell could imply that the UE is unable to make an Emergency call for an unlimited time. In addition the UE could keep the RRC connection for a very long period and then find a suitable cell to camp on and not perform a cell update to inform UTRAN that it has returned to coverage. This could happen if the CPICH in the reconfiguration message matches the selected cell (probably and unlikely scenario) or if the URA in the reconfiguration matched a URA of the cell.

The intention is to apply the same behaviour as for going out of service for while camped on a cell in CELL FACH/CELL PCH/URA PCH.

Summary of change: %

Behaviour of UEs on transition from CELL_DCH to

CELL_FACH/CELL_PCH/URA_PCH and detecting out of service should is to perform the actions in section 7.2.2 for CELL-FACH/CELL_PCH/URA_PCH state when out of service. Note that precise crtieria for detecting out of service on transition from CELL_DCH are defined in a associated change request to 25.133.

If the transition from CELL_DCH to URA_PCH/CELL_PCH occurs due to a reconfiguration then the UE should start timers T316, T305. Starting T316 ensures that it will perform a cell udpate if it returns to coverage after a time longer than T316. Starting T305 ensuress that the UE will eventually release the RRC connection if it is out of service for a time longer than T305. A synchronised release of the RRC onnection within the UTRAN is possible.

If the transition from CELL_DCH to CELL_FACH occurs due to a reconfiguration then the UE should start timers T317, T305. Starting T317 ensures that it will perform a cell udpate if it returns to coverage. Starting T305 ensuress that the UE will eventually release the RRC connection if it is out of service for a time longer than T305. A synchronised release of the RRC onnection within the UTRAN is possible.

If the transition is an autonomous transition from CELL_DCH to CELL_FACH due No extra timers beyond T314/T315 need to be started to ensure correct operation of the system.

Different behaviour in later release: The should requirement of earlier releases is replaced by a shall requirement in this release 5 CR.

Isolated Impact Change Analysis.

This change clarifies the out of service procedure.

If the UE does not implement the CR, UE in out of service may not have correct behaviour defined for out of service.

If the UE implements the CR and UTRAN does not implement it, there would be no problems, since UTRAN may not be aware that the UE is out of service.

It would not affect implementations behaving like indicated in the CR, it would affect implementations supporting the corrected functionality otherwise.

Consequences if not approved:

A UE not aligned to this CR would be prevented from accessing emergency calls for a period of time and from selecting a new PLMN for normal service while out of service of the RPLMN.

Clauses affected:	8.5.5.1.3 (new), 8.5.5.1.4 (new), 7.2.2.2				
	YN				
Other specs	★ X Other core specifications ★ 25.133 CRxxx				
affected:	X Test specifications				
	X O&M Specifications				
Other comments:	光				

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

7.2.2 UTRA RRC Connected mode

In this specification unless otherwise mentioned "connected mode" shall refer to "UTRA RRC connected mode".

7.2.2.1 URA PCH or CELL PCH state

In the URA PCH or CELL PCH state the UE shall perform the following actions:

NOTE: Neither DCCH nor DTCH are available in these states.

- 1> if the UE is "in service area":
 - 2> maintain up-to-date system information as broadcast by the serving cell as specified in the subclause 8.1.1;
 - 2> perform cell reselection process as specified in [4];
 - 2> perform a periodic search for higher priority PLMNs as specified in [25];
- NOTE: If the DRX cycle length is 80ms, then a search for higher priority PLMNs may not identify all the available PLMNs due to the paging occasion on the current serving cell coinciding with the MIB of the cell of interest.
 - 2> monitor the paging occasions and PICH monitoring occasions determined according to subclauses 8.6.3.1a and 8.6.3.2 and receive paging information on the PCH mapped on the S-CCPCH selected by the UE according to the procedure in subclause 8.5.19;
 - 2> act on RRC messages received on PCCH and BCCH;
 - 2> perform measurements process according to measurement control information as specified in subclause 8.4 and in subclause 14.4;
 - 2> maintain up-to-date BMC data if it supports Cell Broadcast Service (CBS) as specified in [37];
 - 2> run timer T305 for periodical URA update if the UE is in URA_PCH or for periodical cell update if the UE is in CELL PCH.
- 1> if the UE is "out of service area":
 - 2> perform cell selection process as specified in [4];
 - 2> run timer T316;
 - 2> run timer T305;
 - 2> if the cell selection process fails to find a suitable cell after a complete scan of all RATs and all frequency bands supported by the UE, the UE should after a minimum of TimerOutOfService time (default value 30 s) of being "out of service area":
 - 3> indicate all available PLMNs to NAS to enable the selection of a new PLMN. If the NAS indicates the selection of a new PLMN the UE shall store information for the new PLMN within the variable SELECTED_PLMN and perform actions according to subclause 8.5.24;
 - 3> if an acceptable cell is found then the UE shall camp on that cell to obtain limited service as defined in [4] and, perform actions according to subclause 8.5.24. If the RRC connection is released due to camping on an acceptable cell, indicate this to upper layers;
 - 3> else if no acceptable cell is found, the UE shall continue looking for an acceptable cell as defined in [4].

7.2.2.2 CELL_FACH state

In the CELL_FACH state the UE shall perform the following actions:

NOTE: DCCH and, if configured, DTCH are available in this state.

- 1> if the UE is "in service area":
 - 2> maintain up-to-date system information as broadcast by the serving cell as specified in subclause 8.1.1;
 - 2> perform cell reselection process as specified in [4];
 - 2> perform measurements process according to measurement control information as specified in subclause 8.4 and in subclause 14.4;
 - 2> run timer T305 (periodical cell update);
 - 2> select and configure the RB multiplexing options applicable for the transport channels to be used in this RRC state:
 - 2> listen to all FACH transport channels mapped on the S-CCPCH selected by the UE according to the procedure in subclause 8.5.19;
 - 2> act on RRC messages received on BCCH, CCCH and DCCH;
 - 2> act on RRC messages received on, if available, SHCCH (TDD only).
- 1> if the UE is "out of service area":
 - 2> perform cell selection process as specified in [4];
 - 2> run timers T305 (periodical cell update), and T317 (cell update when re-entering "in service") or T307 (transition to Idle mode), if started;
 - 2> run timers T314 and/or T315, if started;
 - 2> if the cell selection process fails to find a suitable cell after a complete scan of all RATs and all frequency bands supported by the UE, the UE should after a minimum of TimerOutOfService time (default value 30 seconds) of being "out of service area":
 - 3> indicate all available PLMNs to NAS to enable the selection of a new PLMN. If the NAS indicates the selection of a new PLMN the UE shall store information for the new PLMN within the variable SELECTED_PLMN and perform actions according to subclause 8.5.24;
 - 3> if an acceptable cell is found then the UE shall camp on that cell to obtain limited service as defined in [4] and perform actions according to subclause 8.5.24. If the RRC connection is released due to camping on an acceptable cell, indicate this to upper layers;
 - 3> else if no acceptable cell is found, the UE shall continue looking for an acceptable cell as defined in [4].

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall be able to receive any of the following messages:

- RADIO BEARER SETUP message; or
- RADIO BEARER RECONFIGURATION message; or
- RADIO BEARER RELEASE message; or
- TRANSPORT CHANNEL RECONFIGURATION message; or
- PHYSICAL CHANNEL RECONFIGURATION message.

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

- 1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);
- 1> apply the hard handover procedure as specified in subclause 8.3.5;
- 1> be able to perform this procedure even if no prior UE measurements have been performed on the target cell and/or frequency.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message:

it shall:

- 1> set the variable ORDERED_RECONFIGURATION to TRUE;
- 1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:
 - 2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).
- 1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

- 1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:
 - 2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and
 - 2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.
- 1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

- 1> handle the message as if IE "RB information to reconfigure" was absent.
- NOTE: The RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

If after state transition the UE enters CELL_DCH state, the UE shall, after the state transition:

- 1> in FDD: or
- 1> in TDD when "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:
 - 2> remove any C-RNTI from MAC;
 - 2> clear the variable C_RNTI.

In FDD, if after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

- 1> remove any DSCH-RNTI from MAC;
- 1> clear the variable DSCH_RNTI.

If the UE was in CELL_DCH state upon reception of the reconfiguration message and remains in CELL_DCH state, the UE shall:

- 1> if the IE "Uplink DPCH Info" is absent:
 - 2> not change its current UL Physical channel configuration.
- 1> in TDD:
 - 2> if "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:
 - 3> remove any C-RNTI from MAC;
 - 3> clear the variable C_RNTI.

If after state transition the UE enters CELL_FACH state, the UE shall, after the state transition:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4].
- 1> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 2> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 2> when the cell update procedure completed successfully:
 - 3> if the UE is in CELL_PCH or URA_PCH state:
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";
 - 4> proceed as below.
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
- 1> select PRACH according to subclause 8.5.17;

- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> use the transport format set given in system information;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> ignore that IE and stop using DRX.
- 1> if the contents of the variable C_RNTI is empty:
 - 2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 2> when the cell update procedure completed successfully:
 - 3> if the UE is in CELL_PCH or URA_PCH state:
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";
 - 4> proceed as below.

If the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state, the UE shall:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency;
 - 2> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
 - 3> when the cell update procedure completed successfully:
 - 4> proceed as below.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

- 1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or
- 1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:
 - 2> if the variable PDCP_SN_INFO is empty:
 - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".
 - 2> else:
 - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
 - 3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".
 - 2> re-establish RB2;
 - 2> for the downlink and the uplink, apply the ciphering configuration as follows:
 - 3> if the received re-configuation message included the IE "Ciphering Mode Info":
 - 4> use the ciphering configuration in the received message when transmitting the response message.

- 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
 - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
 - 5> consider the new ciphering configuration to include the received new keys.
 - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:
 - 5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN.
 - 4> apply the new ciphering configuration immediately following RLC re-establishment.
- 3> else:
 - 4> continue using the current ciphering configuration.
- 2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);
- 2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;
- 2> calculate the START value according to subclause 8.5.9;
- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":
 - 2> if the variable START_VALUE_TO_TRANSMIT is set:
 - 3> include and set the IE "START" to the value of that variable.
 - 2> if the variable START_VALUE_TO_TRANSMIT is not set and the IE "New U-RNTI" is included:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
 - 2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".
- 1> if the received reconfiguration message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH":
 - 2> if prior to this procedure there exist no transparent mode RLC radio bearers for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":

- 3> if, at the conclusion of this procedure, the UE will be in CELL_DCH state; and
- 3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":
 - 4> include the IE "COUNT-C activation time" and specify a CFN value for this IE that is a multiple of 8 frames (CFN mod 8 = 0) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted.
- NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration message unless it is also used to perform an SRNS relocation with change of ciphering algorithm.
- 1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> if the variable PDCP_SN_INFO is not empty:
 - 2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP_SN_INFO.
- 1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):
 - 2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.
- 1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.
- If after state transition the UE enters CELL_PCH or URA_PCH state, the UE shall, after the state transition and transmission of the response message:
- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4].
- 1> prohibit periodical status transmission in RLC;
- 1> remove any C-RNTI from MAC;
- 1> clear the variable C_RNTI;
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- 1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if the UE enters CELL_PCH state from CELL_DCH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

- 2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
- 2> when the cell update procedure completed successfully:
 - 3> the procedure ends.
- 1> if the UE enters CELL_PCH state from CELL_FACH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE:
 - 2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
 - 2> when the cell update procedure is successfully completed:
 - 3> the procedure ends.
- 1> if the UE enters URA_PCH state, and after cell selection the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled:
 - 2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";
 - 2> when the URA update procedure is successfully completed:
 - 3> the procedure ends.

8.2.2.4 Transmission of a response message by the UE, normal case

In case the procedure was triggered by reception of a RADIO BEARER SETUP message, the UE shall:

1> transmit a RADIO BEARER SETUP COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a RADIO BEARER RECONFIGURATION message, the UE shall:

1> transmit a RADIO BEARER RECONFIGURATION COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a RADIO BEARER RELEASE message, the UE shall:

1> transmit a RADIO BEARER RELEASE COMPLETE as response message on the uplink DCCH using AM RLC

In case the procedure was triggered by reception of a TRANSPORT CHANNEL RECONFIGURATION message, the UE shall:

1> transmit a TRANSPORT CHANNEL RECONFIGURATION COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a PHYSICAL CHANNEL RECONFIGURATION message, the UE shall:

1> transmit a PHYSICAL CHANNEL RECONFIGURATION COMPLETE as response message on the uplink DCCH using AM RLC.

If the new state is CELL_DCH or CELL_FACH, the response message shall be transmitted using the new configuration after the state transition, and the UE shall:

- 1> if the IE "Downlink counter synchronisation info" was included in the reconfiguration message; or
- 1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:
 - 2> when RLC has confirmed the successful transmission of the response message:
 - 3> if the variable PDCP_SN_INFO is empty:
 - 4> configure the RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "continue".

- 3> else:
 - 4> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "continue";
 - 4> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "continue".
- 3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;
- 3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN;
- 3> set the remaining bits of the HFN component of COUNT-C values of all UM RLC entities to zero;
- 3> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED_RABS as specified in [36].
- 1> if the variable PDCP_SN_INFO is empty:
 - 2> if the received reconfiguration message contained the IE "Ciphering mode info":
 - 3> when RLC has confirmed the successful transmission of the response message:
 - 4> notify upper layers upon change of the security configuration;
 - 4> perform the actions below.
 - 2> if the received reconfiguration message did not contain the IE "Ciphering mode info":
 - 3> when RLC has been requested to transmit the response message:
 - 4> perform the actions below.
- 1> if the variable PDCP_SN_INFO is non-empty:
 - 2> when RLC has confirmed the successful transmission of the response message:
 - 3> for each radio bearer in the variable PDCP_SN_INFO:
 - 4> if the IE "RB started" in the variable ESTABLISHED_RABS is set to "started":
 - 5> configure the RLC entity for that radio bearer to "continue".
 - 3> perform the actions below.

If the new state is CELL_PCH or URA_PCH, the response message shall be transmitted using the old configuration before the state transition, but the new C-RNTI shall be used if the IE "New C-RNTI" was included in the received reconfiguration message, and the UE shall:

- 1> when RLC has confirmed the successful transmission of the response message:
 - 2> for each radio bearer in the variable PDCP_SN_INFO:
 - 3> if the IE "RB started" in the variable ESTABLISHED_RABS is set to "started":
 - 4> configure the RLC entity for that radio bearer to "continue".
 - 2> enter the new state (CELL_PCH or URA_PCH, respectively);
 - 2> perform the actions below.

The UE shall:

1> set the variable ORDERED_RECONFIGURATION to FALSE;

- 1> if the received reconfiguration message contained the IE "Ciphering mode info":
 - 2> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
 - 2> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and
 - 2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the received reconfiguration message contained the IE "Integrity protection mode info":
 - 2> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
 - 2> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
 - 2> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and
 - 2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.
- 1> clear the variable PDCP_SN_INFO;
- 1> clear the variable START_VALUE_TO_TRANSMIT;
- 1> clear the variable SECURITY MODIFICATION.

8.2.2.5 Reception of a response message by the UTRAN, normal case

When UTRAN has received

- the RADIO BEARER SETUP COMPLETE message; or
- the RADIO BEARER RECONFIGURATION COMPLETE message; or
- the RADIO BEARER RELEASE COMPLETE message; or
- the TRANSPORT CHANNEL RECONFIGURATION COMPLETE message; or
- the PHYSICAL CHANNEL RECONFIGURATION COMPLETE message.

UTRAN may:

1> delete the old configuration.

If the procedure caused the UE to leave the CELL_FACH state, UTRAN may:

1> delete the C-RNTI of the UE.

If the IE "UL Timing Advance" is included in TDD, UTRAN should:

1> evaluate the timing advance value that the UE has to use in the new cell after handover.

If the IE "START" or the IE "START list" is included, UTRAN should:

- 1> set the START value for each CN domain with the corresponding values as received in this response message;
- 1> consequently, then use the START values to initialise the hyper frame numbers, in the same way as specified for the UE in subclause 8.2.2.3, for any new radio bearers that are established.

If UTRAN has ordered a ciphering reconfiguration by including the IE "Ciphering mode info", UTRAN should:

- 1> for radio bearers using RLC-AM or RLC-UM:
 - 2> use the old ciphering configuration for received RLC PDUs with RLC sequence number less than the RLC sequence number indicated in the IE "Radio bearer uplink ciphering activation time info" sent by the UE;

- 2> use the new ciphering configuration for received RLC PDUs with RLC sequence number greater than or equal to the RLC sequence number indicated in the IE "Radio bearer uplink ciphering activation time info" sent by the UE;
- 2> if an RLC reset or re-establishment occurs after this response message has been received by UTRAN before the activation time for the new ciphering configuration has been reached:
 - 3> ignore the activation time; and
 - 3> apply the new ciphering configuration immediately after the RLC reset or RLC re-establishment.
- 1> for radio bearers using RLC-TM:
 - 2> begin incrementing the COUNT-C at the CFN only as indicated in:
 - 3> the IE "Ciphering activation time for DPCH" in the IE "Ciphering mode info", if included in the message that triggered the radio bearer control procedure; or
 - 3> the IE "COUNT-C activation time", if included in the response message for this procedure.
- 1> and the procedure ends on the UTRAN side.

[...]

8.3 RRC connection mobility procedures

8.3.1 Cell and URA update procedures

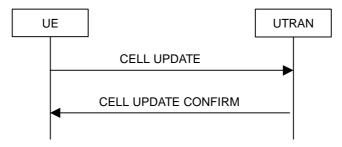


Figure 8.3.1-1: Cell update procedure, basic flow

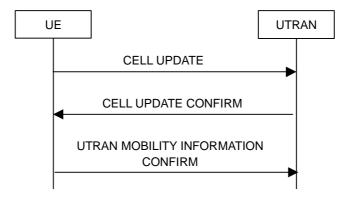


Figure 8.3.1-2: Cell update procedure with update of UTRAN mobility information

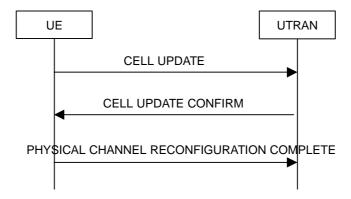


Figure 8.3.1-3: Cell update procedure with physical channel reconfiguration

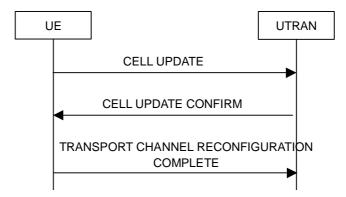


Figure 8.3.1-4: Cell update procedure with transport channel reconfiguration

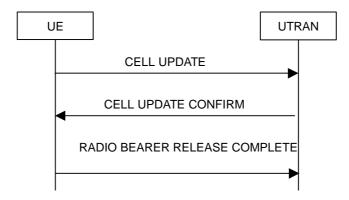


Figure 8.3.1-5: Cell update procedure with radio bearer release

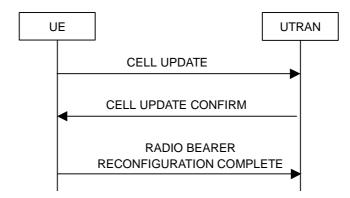


Figure 8.3.1-6: Cell update procedure with radio bearer reconfiguration

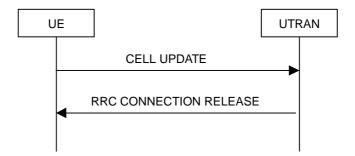


Figure 8.3.1-7: Cell update procedure, failure case

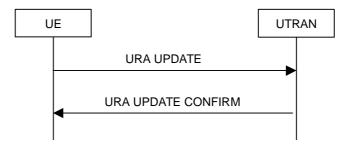


Figure 8.3.1-8: URA update procedure, basic flow

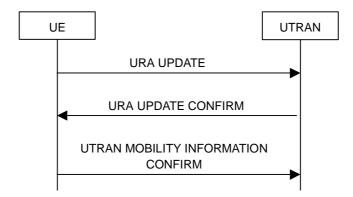


Figure 8.3.1-9: URA update procedure with update of UTRAN mobility information

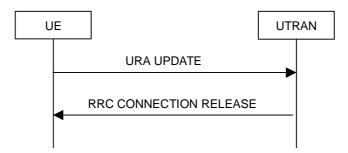


Figure 8.3.1-10: URA update procedure, failure case

8.3.1.1 General

The URA update and cell update procedures serve several main purposes:

- to notify UTRAN after re-entering service area in the URA_PCH or CELL_PCH state;
- to notify UTRAN of an RLC unrecoverable error [16] on an AM RLC entity;
- to be used as a supervision mechanism in the CELL_FACH, CELL_PCH, or URA_PCH state by means of periodical update.

In addition, the URA update procedure also serves the following purpose:

- to retrieve a new URA identity after cell re-selection to a cell not belonging to the current URA assigned to the UE in URA PCH state.

In addition, the cell update procedure also serves the following purposes:

- to update UTRAN with the current cell the UE is camping on after cell reselection;
- to act on a radio link failure in the CELL_DCH state;
- to act on the transmission failure of the UE CAPABILITY INFORMATION message;
- when triggered in the URA_PCH or CELL_PCH state, to notify UTRAN of a transition to the CELL_FACH state due to the reception of UTRAN originated paging or due to a request to transmit uplink data.

The URA update and cell update procedures may:

- 1> include an update of mobility related information in the UE;
- 1> cause a state transition from the CELL_FACH state to the CELL_DCH, CELL_PCH or URA_PCH states or idle mode.

The cell update procedure may also include:

- a re-establish of AM RLC entities;
- a radio bearer release, radio bearer reconfiguration, transport channel reconfiguration or physical channel reconfiguration.

8.3.1.2 Initiation

A UE shall initiate the cell update procedure in the following cases:

- 1> Uplink data transmission:
 - 2> if the UE is in URA_PCH or CELL_PCH state; and
 - 2> if the UE has uplink RLC data PDU or uplink RLC control PDU on RB1 or upwards to transmit:
 - 3> perform cell update using the cause "uplink data transmission".
- 1> Paging response:
 - 2> if the criteria for performing cell update with the cause specified above in the current subclause is not met; and
 - 2> if the UE in URA_PCH or CELL_PCH state, receives a PAGING TYPE 1 message fulfilling the conditions for initiating a cell update procedure specified in subclause 8.1.2.3:
 - 3> perform cell update using the cause "paging response".

1> Radio link failure:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met:
 - 3> if the UE is in CELL_DCH state and the criteria for radio link failure is met as specified in subclause 8.5.6; or
 - 3> if the transmission of the UE CAPABILITY INFORMATION message fails as specified in subclause 8.1.6.6:
 - 4> perform cell update using the cause "radio link failure".
- 1> Re-entering service area:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and
- 2> if the UE is in CELL_FACH or CELL_PCH state; and
- 2> if the UE has been out of service area and re-enters service area before T307 or T317 expires:
 - 3> perform cell update using the cause "re-entering service area".

1> RLC unrecoverable error:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and
- 2> if the UE detects RLC unrecoverable error [16] in an AM RLC entity:
 - 3> perform cell update using the cause "RLC unrecoverable error".

1> Cell reselection:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met:
 - 3> if the UE is in CELL_FACH or CELL_PCH state and the UE performs cell re-selection; or
 - 3> if the UE is in CELL_FACH state and the variable C_RNTI is empty:
 - 4> perform cell update using the cause "cell reselection".

1> Periodical cell update:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and
- 2> if the UE is in CELL_FACH or CELL_PCH state; and
- 2> if the timer T305 expires; and
- 2> if the criteria for "in service area" as specified in subclause 8.5.5.2 is fulfilled; and
- 2> if periodic updating has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity":
 - 3> perform cell update using the cause "periodical cell update".

A UE in URA PCH state shall initiate the URA update procedure in the following cases:

- 1> URA reselection:
- 2> if the UE detects that the current URA assigned to the UE, stored in the variable URA_IDENTITY, is not

present in the list of URA identities in system information block type 2; or

- 2> if the list of URA identities in system information block type 2 is empty; or
- 2> if the system information block type 2 can not be found:
 - 3> perform URA update using the cause "change of URA".

1> Periodic URA update:

- 2> if the criteria for performing URA update with the causes as specified above in the current subclause are not met; and
- 2> if the timer T305 expires while the UE is in the service area; and
- 2> if periodic updating has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity":

3> perform URA update using the cause "periodic URA update".

When initiating the URA update or cell update procedure, the UE shall:

- 1> stop timer T305;
- 1> if the UE is in CELL_DCH state:
 - 2> in the variable RB_TIMER_INDICATOR, set the IE "T314 expired" and the IE "T315 expired" to FALSE;
 - 2> if the stored values of the timer T314 and timer T315 are both equal to zero; or
 - 2> if the stored value of the timer T314 is equal to zero and there are no radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT315":
 - 3> release all its radio resources;
 - 3> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;
 - 3> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;
 - 3> clear the variable ESTABLISHED_RABS;
 - 3> enter idle mode;
 - 3> perform other actions when entering idle mode from connected mode as specified in subclause 8.5.2;
 - 3> and the procedure ends.
 - 2> if the stored value of the timer T314 is equal to zero:
 - 3> release all radio bearers, associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT314";
 - 3> in the variable RB_TIMER_INDICATOR set the IE "T314 expired" to TRUE.
 - 2> if the stored value of the timer T315 is equal to zero:
 - 3> release all radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT315";
 - 3> in the variable RB_TIMER_INDICATOR set the IE "T315 expired" to TRUE.
 - 2> if the stored value of the timer T314 is greater than zero:
 - 3> if there are radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT314":
 - 4> start timer T314.
 - 3> if there are no radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT314" or "useT315":
 - 4> start timer T314.
 - 2> if the stored value of the timer T315 is greater than zero:
 - 3> if there are radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT315":
 - 4> start timer T315.
 - 2> for the released radio bearer(s):
 - 3> delete the information about the radio bearer from the variable ESTABLISHED_RABS;

- 3> when all radio bearers belonging to the same radio access bearer have been released:
 - 4> indicate local end release of the radio access bearer to upper layers using the CN domain identity together with the RAB identity stored in the variable ESTABLISHED_RABS;
 - 4> delete all information about the radio access bearer from the variable ESTABLISHED_RABS.
- 2> select a suitable UTRA cell according to [4];
- 2> set the variable ORDERED_RECONFIGURATION to FALSE.
- 1> set the variables PROTOCOL_ERROR_INDICATOR, FAILURE_INDICATOR, UNSUPPORTED_CONFIGURATION and INVALID_CONFIGURATION to FALSE;
- 1> set the variable CELL_UPDATE_STARTED to TRUE;
- 1> if the UE is not already in CELL_FACH state:
 - 2> move to CELL_FACH state;2322> select PRACH according to subclause 8.5.17;
 - 2> select Secondary CCPCH according to subclause 8.5.19;
 - 2> use the transport format set given in system information as specified in subclause 8.6.5.1.
- 1> if the UE performs cell re-selection:
 - 2> clear the variable C_RNTI; and
 - 2> stop using that C_RNTI just cleared from the variable C_RNTI in MAC.
- 1> set CFN in relation to SFN of current cell according to subclause 8.5.15;
- 1> in case of a cell update procedure:
 - 2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3;
 - 2> submit the CELL UPDATE message for transmission on the uplink CCCH.
- 1> in case of a URA update procedure:
 - 2> set the contents of the URA UPDATE message according to subclause 8.3.1.3;
 - 2> submit the URA UPDATE message for transmission on the uplink CCCH.
- 1> set counter V302 to 1;
- 1> start timer T302 when the MAC layer indicates success or failure in transmitting the message.

8.5.5 Actions in "out of service area" and "in service area"

This subclause specifies the general actions the UE shall perform when it detects "out of service" or "in service" area. The specific UE behaviour when it detects "out of service" or "in service area" and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" is specified in subclause 8.3.1.

8.5.5.1 Detection of "out of service" area

The UE shall detect "out of service" area as defined in [19].

8.5.5.1.1 Actions following detection of "out of service" area in URA_PCH or CELL PCH state

If the UE detects the "out of service area" and the UE is in URA_PCH or CELL_PCH state it shall perform the following actions:

1> start timer T316;

1> perform processes described in subclause 7.2.2.

8.5.5.1.2 Actions following detection of "out of service" area in CELL_FACH state

If the UE detects the "out of service area" and the UE is in CELL_FACH stateit shall perform the following actions. The UE shall:

1> start timer T317 if not already running;

1> perform processes described in subclause 7.2.2.

8.5.5.1.3 Actions following detection of "out of service" area on transition from CELL_DCH to URA_PCH or CELL_PCH

If the UE detects the "out of service area" on transition from CELL_DCH to URA_PCH or CELL_PCH, it shall perform the following actions:

1> start timer T316;

1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";;

1> perform processes described in subclause 7.2.2.

8.5.5.1.4 Actions following detection of "out of service" area on transition from CELL DCH to CELL FACH

If the UE detects the "out of service area" on transition from CELL_DCH to CELL_FACH, it shall perform the following actions:

1> if the transition is triggered by a reconfiguration procedure

2> start timer T317;

2> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

2> perform processes described in subclause 7.2.2.

1> otherwise;

2> perform processed described in subclause 7.2.2.

8.5.5.2 Detection of "in service" area

When a suitable cell is found based on the description in [4], the UE considers it as having detected "in service area".

8.5.5.2.1 Actions following Re-entry into "in service area" in URA_PCH or CELL_PCH state

If the UE re-enters "in service area" before T316 expiry the UE shall perform the following actions. The UE shall:

- 1> stop T316;
- 1> if T307 is active:
 - 2> stop T307.
- 1> perform processes described in subclause 7.2.2.

8.5.5.2.2 Actions following re-entry into "in service area" in CELL_FACH state

If the UE detects "in service area" before T317 expiry the UE shall perform the following actions. If no cell update procedure or URA update procedure is ongoing, the UE shall:

- 1> stop T317;
- 1> if T307 is active:
 - 2> stop T307.
- 1> initiate the cell update procedure using as cause "Re-entering service area" as specified in subclause 8.3.1;
- 1> perform processes described in subclause 7.2.2.

If a cell update procedure or URA update procedure is ongoing, the UE shall:

- 1> stop T317;
- 1> perform the actions as specified in 8.3.1.

8.5.5.3 T316 expiry

On T316 expiry the UE shall perform the following actions. The UE shall:

- 1> if "out of service area" is detected:
 - 2> start timer T317;
 - 2> move to CELL_FACH state;
 - 2> perform processes described in subclause 7.2.2.
- 1> if "in service area" is detected:
 - 2> initiate the cell update procedure using as cause "Re-entering service area" as specified in subclause 8.3.1;
 - 2> perform processes described in subclause 7.2.2.

8.5.5.4 T317 expiry

T317 should never expire, i.e. all its values should be assumed to be "infinity".

If the UE is using the value of "infinity" for T317, and T317 is running:

1> the UE behaviour shall be as specified in subclause 7.2.2.2.

When the T317 expires, the UE shall:

- 1> move to idle mode;
- 1> release all dedicated resources;
- 1> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;
- 1> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;
- 1> clear the variable ESTABLISHED_RABS;
- 1> perform actions specified in subclause 8.5.2 when entering idle mode from connected mode.

8.5.6 Radio link failure criteria and actions upon radio link failure

In CELL_DCH State, after receiving N313 consecutive "out of sync" indications from layer 1 for the established DPCCH physical channel in FDD, and the DPCH associated with mapped DCCHs in TDD, the UE shall:

- 1> start timer T313;
- 1> upon receiving N315 successive "in sync" indications from layer 1 and upon change of UE state:
 - 2> stop and reset timer T313.
- 1> if T313 expires:
 - 2> consider it as a "Radio link failure".

Periods in time where neither "in sync" nor "out of sync" is reported by layer 1 do not affect the evaluation of the number of consecutive (resp. successive) "in sync" or "out of sync" indications.

When a radio link failure occurs, the UE shall:

- 1> clear the dedicated physical channel configuration;
- 1> perform actions as specified for the ongoing procedure;
- 1> if no procedure is ongoing or no actions are specified for the ongoing procedure:
 - 2> perform a cell update procedure according to subclause 8.3.1 using the cause "radio link failure".