TSG-RAN Meeting #21 Frankfurt, Germany, 16 - 19 September 2003

RP-030462

Title: Linked CRs (Rel-5) to TS 25.211(RAN1), TS 25.214 (RAN1) and TS 25.331 (RAN2) on Removal of the

combination of TxAA Mode 1 with HS-SCCH

Source: TSG-RAN WG1

Agenda item: 7.2.6

TS 25.211, TS 25.214 and TS 25.331(RAN2) (RP-030462)

RP Tdoc #	WG Toc#	Spec	CR	R	Subject	Phase	Cat	Curre	New	WI	Remarks
RP-030462	R1-030857	25.211	186		Removal of the combination of TxAA Mode 1 with HS-SCCH	Rel-5	F	5.4.0	l	HSDPA- Phys	
RP-030462	R1-030652	25.214	326		Removal of the combination of TxAA Mode 1 with HS-SCCH	Rel-5	F	5.5.0	l	HSDPA- Phys	
RP-030462	R2-032006	25.331	2061	-	HS-SCCH transmit diversity mode	Rel-5	F	5.5.0	l	HSDPA- L23	

3GPP TSG-RAN WG1 Meeting #33 New York City, USA 25-29 August, 2003

	CHANGE REQUEST	CR-Form-v7
ж	25.211 CR 186 #rev 1 #	Current version: 5.4.0
For <u>HELP</u> on t	sing this form, see bottom of this page or look at the	e pop-up text over the % symbols.
Proposed change	<i>ffects:</i> UICC apps Ж ME X Radio A	ccess Network X Core Network
Title:	Removal of the combination of TxAA Mode 1 with	n HS-SCCH
Source: #	TSG RAN WG1	
Work item code: ₩	HSDPA-Phys	Date: # 26/08/2003
Reason for change	Use one of the following categories: F (correction) A (corresponds to a correction in an earlier release B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900. The performance of HS-SCCH suffers when on it.	R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)
Summary of chang	The combination of TxAA Mode 1 and HS-Se specification.	CCH is removed from the
Consequences if not approved:	# HS-SCCH detection will suffer in some channal is employed in the Node B. Impact Analysis: This CR has isolated impact with the previous change is limited only to the phase reference implements the earlier version and the UE the	s version of the specification. The functionality when network
Clauses affected:	 3.3.1 3.3.1 3.3.1 3.3.1 3.3.1 3.3.1	
Other specs affected:	Y N X Other core specifications Test specifications O&M Specifications	
Other comments:	%	

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

Slot Format #i	Channel Bit Rate (kbps)	Channel Symbol Rate (ksps)	SF	Bits/ Frame	Bits/ Slot	N _{pilot}	N _{TPC}	N _{TFCI}	N _{FBI}
0	15	15	256	150	10	6	2	2	0
1	15	15	256	150	10	5	2	2	1

Table 9: Slot format of the control part of CPCH message part

Figure 7 shows the frame structure of the uplink common packet physical channel. Each frame of length 10 ms is split into 15 slots, each of length T $_{slot} = 2560$ chips, corresponding to one power-control period.

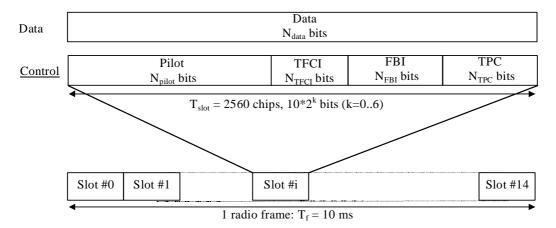


Figure 7: Frame structure for uplink Data and Control Parts Associated with PCPCH

The data part consists of $10*2^k$ bits, where k = 0, 1, 2, 3, 4, 5, 6, corresponding to spreading factors of 256, 128, 64, 32, 16, 8, 4 respectively.

5.3 Downlink physical channels

5.3.1 Downlink transmit diversity

Table 10 summarises the possible application of open and closed loop transmit diversity modes on different downlink physical channel types. Simultaneous use of STTD and closed loop modes on the same physical channel is not allowed. In addition, if Tx diversity is applied on any of the downlink physical channels it shall also be applied on P-CCPCH and SCH. Regarding CPICH transmission in case of transmit diversity, see subclause 5.3.3.1.

With respect to the usage of Tx diversity <u>for DPCH</u> on different radio links within an active set, the following rules apply:

- Different Tx diversity modes (STTD and closed loop) shall not be used on the radio links within one active set.
- No Tx diversity on one or more radio links shall not prevent UTRAN to use Tx diversity on other radio links within the same active set.
- If STTD is activated on one or several radio links in the active set, the UE shall operate STTD on only those radio links where STTD has been activated. Higher layers inform the UE about the usage of STTD on the individual radio links in the active set.
- If closed loop TX diversity is activated on one or several radio links in the active set, the UE shall operate closed loop TX diversity on only those radio links where closed loop TX diversity has been activated. Higher layers inform the UE about the usage of closed loop TX diversity on the individual radio links in the active set.

Furthermore, the transmit diversity mode used for a PDSCH frame shall be the same as the transmit diversity mode used for the DPCH associated with this PDSCH frame. The transmit diversity mode on the associated DPCH may not change during a PDSCH frame and within the slot prior to the PDSCH frame. This includes any change between no Tx diversity, open loop, closed loop mode 1 or closed loop mode 2.

Also, the transmit diversity mode used for a HS-SCCH and or a HS-PDSCH subframe shall be the same as the transmit diversity mode used for the DPCH associated with this HS-SCCH and or HS-PDSCH subframe. If the DPCH associated with an HS-SCCH subframe is using either open or closed loop transmit diversity on the radio link transmitted from the HS-DSCH serving cell, the HS-SCCH subframe from this cell shall be transmitted using STTD, otherwise no transmit diversity shall be used for this HS-SCCH subframe. The transmit diversity mode on the associated DPCH may not change during a HS-SCCH and or HS-PDSCH subframe and within the slot prior to the HS-SCCH subframe. This includes any change between no Tx diversity, and either open loop or, closed loop mode 1 or closed loop mode 2.

Physical channel type	Open lo	op mode	Closed loop mode			
	TSTD	STTD	Mode 1	Mode 2		
P-CCPCH	_	X	_	_		
SCH	Х	_	_	_		
S-CCPCH	_	Х	_	_		
DPCH	_	Х	X	X		
PICH	_	Х	_	_		
PDSCH	-	X	X	X		
HS-PDSCH	_	Х	X	_		
HS-SCCH	-	X	<u>-</u> X	_		
AICH	_	Х	_	_		
CSICH	_	Х	_	_		
AP-AICH	_	Х	_	_		
CD/CA-ICH	_	X	_	_		
DL DDCCH for CDCH		V	~	V		

Table 10: Application of Tx diversity modes on downlink physical channel types "X" – can be applied, "–" – not applied

5.3.1.1 Open loop transmit diversity

5.3.1.1.1 Space time block coding based transmit antenna diversity (STTD)

The open loop downlink transmit diversity employs a space time block coding based transmit diversity (STTD).

The STTD encoding is optional in UTRAN. STTD support is mandatory at the UE.

If higher layers signal that neither P-CPICH nor S-CPICH can be used as phase reference for the downlink DPCH for a radio link in a cell, the UE shall assume that STTD is not used for the downlink DPCH (and the associated PDSCH if applicable) in that cell.

A block diagram of a generic STTD encoder is shown in the figure 8 and figure 8A below. Channel coding, rate matching and interleaving are done as in the non-diversity mode. For QPSK, the STTD encoder operates on 4 symbols b_0 , b_1 , b_2 , b_3 as shown in figure 8. For AICH, AP-AICH and CD/CA-ICH, the b_i are real valued signals, and $\overline{b_i}$ is defined as $-b_i$. For channels other than AICH, AP-AICH and CD/CA-ICH, the b_i are 3-valued digits, taking the values 0, 1, "DTX", and $\overline{b_i}$ is defined as follows: if $b_i = 0$ then $\overline{b_i} = 1$, if $b_i = 1$ then $\overline{b_i} = 0$, otherwise $\overline{b_i} = b_i$.

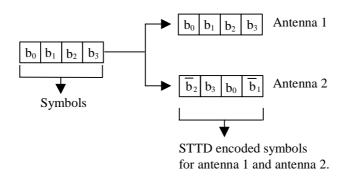


Figure 8: Generic block diagram of the STTD encoder for QPSK

CHANGE REQUEST									
ж <mark> ТЅ</mark>	825.214 CR 326 #rev - # C	Current version: 5.5.0 **							
For <u>HELP</u> on using this form, see bottom of this page or look at the pop-up text over the % symbols.									
Proposed change affects: UICC apps ME Radio Access Network X Core Network									
Title:	Removal of the combination of TxAA Mode 1 with h	HS-SCCH							
Source: #	TSG RAN WG1								
Work item code: 第	HSDPA-Phys	Date: 第 11/08/2003							
	Use one of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900.	Release: % Rel-5 Use one of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)							
Reason for change.	The performance of HS-SCCH suffers when clon it.	losed loop Tx diversity is operated							
Summary of change	e: # The combination of TxAA Mode 1 and HS-SC0 specification.	CH is removed from the							
Consequences if not approved:	HS-SCCH detection will suffer in some channed 1 is employed in the Node B. Impact Analysis: This CR has isolated impact with the previous we change is limited only to the phase reference for implements the earlier version and the UE the results.	version of the specification. The unctionality when network							
Clauses affected:	*								
Other specs affected:	Y N X Other core specifications Test specifications O&M Specifications								
Other comments:	\mathbf{x}								

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Table 8: Summary of number of feedback information bits per slot, N_{FBD} , feedback command length in slots, N_{W} , feedback command rate, feedback bit rate, number of phase bits, N_{ph} , per signalling word, number of amplitude bits, N_{po} , per signalling word and amount of constellation rotation at UE for the two closed loop modes

Closed loop mode	N _{FBD}	Nw	Update rate	Feedback bit rate	N _{po}	N _{ph}	Constellatio n rotation
1	1	1	1500 Hz	1500 bps	0	1	π/2
2	1	4	1500 Hz	1500 bps	1	3	N/A

7.1 Determination of feedback information

The UE uses the CPICH to separately estimate the channels seen from each antenna.

Once every slot, the UE computes the phase adjustment, ϕ , and for mode 2 the amplitude adjustment that should be applied at the UTRAN access point to maximise the UE received power. During soft handover, the UE computes the phase adjustment and for mode 2 the amplitude adjustment to maximise the total UE received power from the cells in the active set. In the case that a PDSCH, or HS-PDSCH, or HS-SCCH is associated with a DPCH for which closed-loop transmit diversity is applied, the antenna weights applied to the PDSCH, and HS-PDSCH, and HS-SCCH, respectively, are the same as the antenna weights applied to the associated DPCH. In case a PDSCH, or HS-PDSCH, or HS-SCCH is associated with a DPCH during soft handover, the UE may emphasize the radio link transmitted fromearrying PDSCH, or HS-PDSCH serving cell, or HS-SCCH, respectively, when calculating the antenna weights. An example of how the computations can be accomplished is given in Annex A.2.

The UE feeds back to the UTRAN access point the information on which phase/power settings to use. Feedback Signalling Message (FSM) bits are transmitted in the portion of FBI field of uplink DPCCH slot(s) assigned to closed loop mode transmit diversity, the FBI D field (see [1]). Each message is of length $N_W = N_{po} + N_{ph}$ bits and its format is shown in the figure 4. The transmission order of bits is from MSB to LSB, i.e. MSB is transmitted first. FSM_{po} and FSM_{ph} subfields are used to transmit the power and phase settings, respectively.



Figure 4: Format of feedback signalling message. FSM_{po} transmits the power setting and FSM_{ph} the phase setting

The adjustments are made by the UTRAN Access Point at the beginning of the downlink DPCCH pilot field. The downlink slot in which the adjustment is done is signalled to L1 of UE by higher layers. Two possibilities exist:

- 1) When feedback command is transmitted in uplink slot *i*, which is transmitted approximately 1024 chips in offset from the received downlink slot *j*, the adjustment is done at the beginning of the pilot field of the downlink slot (*j*+1) mod 15.
- 2) When feedback command is transmitted in uplink slot i, which is transmitted approximately 1024 chips in offset from the received downlink slot j, the adjustment is done at the beginning of the pilot field of the downlink slot $(j+2) \mod 15$.

Thus, adjustment timing at UTRAN Access Point is either according to 1) or 2) as controlled by the higher layers.

In case of soft handover, Layer 1 shall support different adjustment timing values for different radio links in the same active set.

The timing of the weight adjustment of the PDSCH is such that the PDSCH weight adjustment is done at the PDSCH slot border, N chips after the adjustment of the associated DPCH, where $0 \le N < 2560$.

The timing of the weight adjustment of the HS-PDSCH and the HS-SCCH is such that the HS-PDSCH and HS-SCCH weight adjustment is done at the HS-PDSCH and HS-SCCH slot border, respectively, M chips after the adjustment of the associated DPCH, where $0 \le M < 2560$.

7.2 Closed loop mode 1

The UE uses the CPICH transmitted both from antenna 1 and antenna 2 to calculate the phase adjustment to be applied at UTRAN access point to maximise the UE received power. In each slot, UE calculates the optimum phase adjustment, ϕ , for antenna 2, which is then quantized into ϕ_O having two possible values as follows:

$$\phi_{Q} = \begin{cases} \pi, & \text{if } \pi/2 < \phi - \phi_{r}(i) \le 3\pi/2 \\ 0, & \text{otherwise} \end{cases}$$
 (1)

where:

$$\phi_r(i) = \begin{cases} 0, & i = 0, 2, 4, 6, 8, 10, 12, 14\\ \pi/2, & i = 1, 3, 5, 7, 9, 11, 13 \end{cases}$$
 (2)

If $\phi_Q = 0$, a command '0' is send to UTRAN using the FSM_{ph} field. Correspondingly, if $\phi_Q = \pi$, command '1' is send to UTRAN using the FSM_{ph} field.

Due to rotation of the constellation at UE the UTRAN interprets the received commands according to table 9 which shows the mapping between phase adjustment, ϕ_i , and received feedback command for each uplink slot.

Table 9: Phase adjustments, ϕ_i , corresponding to feedback commands for the slots i of the UL radio frame

I	Slot #		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
I	FSM	0	0	π/2	0	π/2	0	π/2	0	π/2	0	π/2	0	π/2	0	π/2	0
		1	π	-π/2	π	-π/2	π	-π/2	π								

The weight w_2 is then calculated by averaging the received phases over 2 consecutive slots. Algorithmically, w_2 is calculated as follows:

$$w_2 = \frac{\sum_{i=n-1}^{n} \cos(\phi_i)}{2} + j \frac{\sum_{i=n-1}^{n} \sin(\phi_i)}{2}$$
(3)

where:

$$\phi_i \in \{0, \pi, \pi/2, -\pi/2\}$$
 (4)

For antenna 1, w_1 is constant:

$$w_1 = 1/\sqrt{2} \tag{5}$$

7.2.1 Mode 1 end of frame adjustment

In closed loop mode 1 at frame borders the averaging operation is slightly modified. Upon reception of the FB command for slot 0 of a frame, the average is calculated based on the command for slot 13 of the previous frame and the command for slot 0 of the current frame, i.e. ϕ_i from slot 14 is not used:

$$w_2 = \frac{\cos(\phi_{13}^{j-1}) + \cos(\phi_0^j)}{2} + j\frac{\sin(\phi_{13}^{j-1}) + \sin(\phi_0^j)}{2}$$
 (6)

where:

- ϕ_{13}^{j-1} = phase adjustment from frame j-1, slot 13.
- ϕ_0^j = phase adjustment from frame j, slot 0.

7.2.2 Mode 1 normal initialisation

For the first frame of transmission UE determines the feedback commands in a normal way and sends them to UTRAN.

Before the first FB command is received, the UTRAN shall use the initial weight $w_2 = \frac{1}{2}(1+j)$.

Having received the first FB command the UTRAN calculates w_2 as follows:

$$w_2 = \frac{\cos(\pi/2) + \cos(\phi_0)}{2} + j\frac{\sin(\pi/2) + \sin(\phi_0)}{2}$$
 (7)

where:

 ϕ_0 = phase adjustment from slot 0 of the first frame.

7.2.3 Mode 1 operation during compressed mode

7.2.3.1 Downlink in compressed mode and uplink in normal mode

When downlink is in compressed mode but uplink is operating normally (i.e. not compressed) the UTRAN continues it's Tx diversity related functions in the same way as in non-compressed downlink mode.

In downlink transmission gaps there are uplink slots for which no new estimate of the phase adjustment is calculated. During these slots the following rules are applied in UE when determining the feedback command:

- 1) If no new estimate of phase adjustment ϕ_i exists corresponding to the feedback command to be sent in uplink slot i.
 - If 1 < i < 15:
 - the feedback command sent in uplink slot *i*-2 is used;
 - else if i = 0:
 - the feedback command sent in uplink slot 14 of previous frame is used;
 - else if i = 1:
 - the feedback command sent in uplink slot 13 of previous frame is used;
 - end if.
- 2) When transmission in downlink is started again in downlink slot $N_{last}+1$ (if $N_{last}+1=15$, then slot 0 in the next frame) the UE must resume calculating new estimates of the phase adjustment. The feedback command corresponding to the first new estimate of ϕ_i must be sent in the uplink slot which is transmitted approximately 1024 chips in offset from the downlink slot $N_{last}+1$.

7.2.3.2 Both downlink and uplink in compressed mode

During the uplink transmission gaps no FB commands are sent from UE to UTRAN. When transmission in downlink is started again in downlink slot $N_{last}+1$ (if $N_{last}+1=15$, then slot 0 in the next frame) the UE must resume calculating new estimates of the phase adjustment. The feedback command corresponding to the first new estimate of ϕ_i must be sent in the uplink slot which is transmitted approximately 1024 chips in offset from the downlink slot $N_{last}+1$.

The UTRAN continues to update the weight w_2 until the uplink transmission gap starts and no more FB commands are received. When the transmission in downlink resumes in slot $N_{last}+1$, the value of w_2 , calculated after receiving the last FB command before the start of the uplink transmission gap, is applied to antenna 2 signal.

After the UE resumes transmission in uplink and sends the first FB command, the new value of w_2 is calculated as follows:

- $S_1 = \{0, 2, 4, 6, 8, 10, 12 14\}.$
- $S_2 = \{1, 3, 5, 7, 9, 11, 13\}.$
- i = number of uplink slot at which the transmission resumes.
- j = number of uplink slot at which the last FB command was sent before the start of the uplink transmission gap.
- Do while $(i \in S_1 \text{ and } j \in S_1)$ or $(i \in S_2 \text{ and } j \in S_2)$:
 - j = j-1;
 - if i < 0;
 - -i = 14;
- end if;
- end do;
- calculate w₂ based on FB commands received in uplink slots i and j.

Note that for $N_{last} = 13$ the end of frame adjustment procedure shall be based on the FB commands for the last odd slot prior to the uplink transmission gap and slot 0.

7.2.3.3 Uplink in compressed mode and downlink in normal mode

The UTRAN continues to update the value of w_2 until the uplink transmission gap starts and no more FB commands are received. Then, the value of w_2 calculated after receiving the last FB command before the uplink transmission gap is applied to the antenna 2 signal. When the UE resumes transmission in uplink, it shall send FB commands according to section 7.2 equations 2 and 3 (normal operation) and the UTRAN Access Point shall interpret the FB commands according to Table 9.

The calculation of w₂ by the UTRAN following the uplink transmission gap, and before the first two FB commands following the gap are received is not specified.

7.2.4 Mode 1 initialisation during compressed mode

7.2.4.1 Downlink in compressed mode

When closed loop mode 1 is initialised during the downlink transmission gap of compressed mode there are slots for which no estimate of the phase adjustment is calculated and no previous feedback command is available.

In this case, if the UE is required to send feedback in the uplink, the FB command to the UTRAN shall be '0'.

When transmission in downlink is started again in slot $N_{last}+1$ (if $N_{last}+1=15$, then slot 0 in the next frame), the

UTRAN shall use the initial weight $w_2 = \frac{1}{2}(1+j)$. The UE must start calculating estimates of the phase adjustment.

The feedback command corresponding to the first estimate of ϕ_i must be sent in the uplink slot which is transmitted approximately 1024 chips in offset from the downlink slot $N_{last}+1$. Having received this feedback command the UTRAN calculates w_2 as follows:

$$w_2 = \frac{\cos(\phi_i) + \cos(\phi_j)}{2} + j \frac{\sin(\phi_i) + \sin(\phi_j)}{2}$$
(8)

where:

 ϕ_i = phase adjustment in uplink slot i , which is transmitted approximately 1024 chips in offset from the downlink slot $N_{last}+1$.

$$\phi_{j} = \frac{\pi}{2}$$
 , if slot i is even ($i \in \{0, 2, 4, 6, 8, 10, 12, 14\}$) and

$$\phi_j = 0$$
 , if slot i is odd ($i \in \{1, 3, 5, 7, 9, 11, 13\}$)

7.2.4.2 Uplink in compressed mode

Initialisation of closed loop mode 1 operation during uplink compressed mode only is not specified.

3GPP TSG-RAN WG2 Meeting #37 Budapest, Hungary, 25-29 August 2003

CHANGE REQUEST										
*	25.3	31 CF	2061	жrev	-	ж	Current vers	sion:	5.5.0	*
For <u>HELP</u> on u	sing thi	is form, s	ee bottom	of this page o	r look	at th	e pop-up text	t over	the % syr	nbols.
Proposed change a	affects	: UICC	apps 	ME	X Rad	dio A	ccess Netwo	rk X	Core Ne	etwork
Title:	HS-S	CCH tran	nsmit diver	sity mode						
Source: #	RAN	WG2								
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	T T	he reason he perform	n for chang nance of HS	ge in R1-0308 -SCCH suffer	57: s when	close	ed loop Tx dive	ersity i	s operated	on it.
Summary of chang	ye: ж	The comb	oination of	IXAA Mode 1	and h	HS-S	CCH is remo	ved.		
Consequences if not approved:			H detection oyed in the	will suffer in Node B.	some	chan	nel environm	ents v	vhen TxA	A Mode
Clauses affected:	*	8.6.6.24								
Other specs affected:	ж Ж	NOth	ner core sp st specifica M Specific		æ	25.2	211-CR186r1	(R1-0	30857)	
Other comments:	æ									

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3)	 With "track changes" disabled, paste the the clause containing the first piece of cl the change request. 	e entire CR form hanged text. Do	n (use CTRL-A to se elete those parts of t	lect it) into the specificathe specification which	ation just in front of are not relevant to

8.6.6.24 Tx Diversity Mode

If the IE "Tx Diversity Mode" is included the UE shall:

- 1> if the value of the IE "Tx Diversity Mode" is STTD:
 - 2> configure the Layer 1 to use the Tx diversity mode indicated in the IE "Tx Diversity Mode" for the radio links for which the IE "Closed loop timing adjustment mode" is included, ignoring the actual value of IE "Closed loop timing adjustment mode".
- 1> if the value of the IE "Tx Diversity Mode" is closed loop mode 1 or closed loop mode 2:
 - 2> configure the Layer 1 to use the Tx diversity mode indicated in the IE "Tx Diversity Mode" for the radio links for which the IE "Closed loop timing adjustment mode" is included, using the actual value of the IE "Closed loop timing adjustment mode".
- 1> if the value of the IE "Tx Diversity Mode" is "none":
 - 2> configure the Layer 1 not to use Tx diversity for all radio links in the active set.

If the IE "Tx Diversity Mode" is not included, the UE shall:

- 1> continue to use the already configured Tx diversity mode;
- 1> in case no Tx diversity mode has been configured:
 - 2> do not apply Tx diversity.

For HS-SCCH, the UE shall:

- 1> if the DPCH associated with a HS-SCCH is using either open or closed loop transmit diversity on the radio link transmitted from the HS-DSCH serving cell:
 - 2> use STTD for this HS-SCCH;
- 1> otherwise:
 - 2> not use Tx diversity for this HS-SCCH.