TSG RAN Meeting #16 **RP-020631**
Biarritz, France
3rd-6th September 2002

Title **CRs (R'99 and Rel-4/Rel-5 Category A) to TS 25.331**
Source **Alcatel**
Agenda Item **7.2.3**

RAN2 Tdoc	Spec	Curr Ver	New Ver	CR		Cat	Ph	Title	Acronym
	25.331	3.b.0	3.c.0	1671	2	F	R99	SRNS relocation with integrity	TEI
	25.331	4.5.0	4.6.0	1672	1	A	Rel-4	SRNS relocation with integrity	TEI
	25.331	5.1.0	5.2.0	1673	1	A	Rel-5	SRNS relocation with integrity	TEI

3GPP TSG-RAN Meeting #16 ***RP- 020631***
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CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **1671** ⌘**rev** **2** ⌘ Current version: **3.b.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☐ Radio Access Network ☒ Core Network ☐

Title:	⌘	SRNS relocation with integrity
Source:	⌘	Alcatel
Work item code:	⌘	TEI
Date:	⌘	09/8/2002
Category:	⌘	**F**
Release:	⌘	R99

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)

Reason for change: ⌘ With the current standard the IE "integrity check info" is supposed to be calculated by the source SRNC in the case of a SRNS relocation "UE involved". However the source SRNC is not always able to calculate this if the target SRNC uses a message format that the source SRNC does not understand (i.e. Rel 4 message, or a non critical extension that is not known by the source SRNC). Also the target SRNC could choose an integrity protection algorithm that is not implemented by the source SRNC.

Summary of change: ⌘ ~~A TargetSRNC-to-SourceSRNC-Transparent-Container-Extension is defined that carries the calculated MAC-I, the RB Id the RRC SN and the amount of padding that exists in the RRC-container defined in RANAP~~
A spare entry in the RRC IE "Target RNC to Source RNC Transparent Container" which includes the entirely compiled downlink message.
An additional optional IE giving the RB Id on which the relocation message will be transmitted to the UE is added to the IE "SRNS RELOCATION INFO".
Absence of this IE indicates that the source SRNC expects a formerly defined entry and it will calculate the MAC-I itself (if possible). Presence means that the target RNC should use the new defined entry "DL DCCH message"

Impact analysis:
The problem resolved is the SRNS relocation with integrity active between two RNCs that use different versions of the protocol for the case of "UE involved".
There is no backwards incompatibility problems between two RNCs where one implements the change and the other one doesn't.
~~The changes only affect the RNC and the CN.~~

Consequences if not approved: ⌘ It is not possible to apply integrity protection in the case of SRNS relocation of the type "UE involved" in case the target and the source RNC do not support the

same messages, and though the SRNS relocation is not possible in all cases.

Clauses affected:	⌘	11.5, 14.12.2, 14.12.4.2			
		Y	**N**		
Other specs affected:	⌘		**X**	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

How to create CRs using this form:
Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

11.5 RRC information between network nodes

```
Internode-definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

    HandoverToUTRANCommand,
    MeasurementReport,
    PhysicalChannelReconfiguration,
    RadioBearerReconfiguration,
    RadioBearerRelease,
    RadioBearerSetup,
    RRC-FailureInfo,
    TransportChannelReconfiguration
FROM PDU-definitions

-- Core Network IEs :
    CN-DomainIdentity,
    CN-DomainInformationList,
    CN-DRX-CycleLengthCoefficient,
    NAS-SystemInformationGSM-MAP,
-- UTRAN Mobility IEs :
    CellIdentity,
    URA-Identity,
-- User Equipment IEs :
    C-RNTI,
    DL-PhysChCapabilityFDD-v380ext,
    FailureCauseWithProtErr,
    RRC-MessageSequenceNumber,
    STARTList,
    STARTSingle,
    START-Value,
    U-RNTI,
    UE-RadioAccessCapability,
    UE-RadioAccessCapability-v370ext,
    UE-RadioAccessCapability-v380ext,
    UE-RadioAccessCapability-v3a0ext,
-- Radio Bearer IEs :
    PredefinedConfigStatusList,
    PredefinedConfigValueTag,
    RAB-InformationSetupList,
    RB-Identity,
    SRB-InformationSetupList,
-- Transport Channel IEs :
    CPCH-SetID,
    DL-CommonTransChInfo,
    DL-AddReconfTransChInfoList,
    DRAC-StaticInformationList,
    UL-CommonTransChInfo,
    UL-AddReconfTransChInfoList,
-- Measurement IEs :
    MeasurementIdentity,
    MeasurementReportingMode,
    MeasurementType,
    AdditionalMeasurementID-List,
    PositionEstimate,
-- Other IEs :
    InterRAT-UE-RadioAccessCapabilityList
FROM InformationElements

    maxCNdomains,
    maxNoOfMeas,
    maxRB,
    maxSRBsetup
FROM Constant-definitions;


-- Part 1: Class definitions similar to what has been defined in 11.1 for RRC messages
-- Information that is tranferred in the same direction and across the same path is grouped

-- **************************************************
--
-- RRC information, to target RNC
--
```

```
-- **************************************************
-- RRC Information to target RNC sent either from source RNC or from another RAT

ToTargetRNC-Container ::= CHOICE {
    interRAThandover                    InterRATHandoverInfoWithInterRATCapabilities,
    srncRelocation                      SRNC-RelocationInfo,
    extension                           NULL
}

-- **************************************************
--
-- RRC information, target RNC to source RNC
--
-- **************************************************


TargetRNC-ToSourceRNC-Container::= CHOICE {
    radioBearerSetup                    RadioBearerSetup,
    radioBearerReconfiguration          RadioBearerReconfiguration,
    radioBearerRelease                  RadioBearerRelease,
    transportChannelReconfiguration     TransportChannelReconfiguration,
    physicalChannelReconfiguration      PhysicalChannelReconfiguration,
    rrc-FailureInfo                     RRC-FailureInfo,
    dL-DCCHmessage                      OCTET STRING~~extension                                   NULL~~
}


-- Part2: Container definitions, similar to the PDU definitions in 11.2 for RRC messages
-- In alphabetical order


-- **************************************************
--
-- Handover to UTRAN information
--
-- **************************************************

InterRATHandoverInfoWithInterRATCapabilities ::= CHOICE {
    r3                              SEQUENCE {
        -- IE InterRATHandoverInfoWithInterRATCapabilities-r3-IEs also
        -- includes non critical extensions
        interRAThandoverInfo-r3         InterRATHandoverInfoWithInterRATCapabilities-r3-IEs,
        v390NonCriticalExtensions           SEQUENCE {
            interRATHandoverInfoWithInterRATCapabilities-v390ext
    InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs,
            -- Reserved for future non critical extension
            nonCriticalExtensions           SEQUENCE {} OPTIONAL
        }       OPTIONAL
    },
    criticalExtensions              SEQUENCE {}
}

InterRATHandoverInfoWithInterRATCapabilities-r3-IEs::=      SEQUENCE {
        -- The order of the IEs may not reflect the tabular format
        -- but has been chosen to simplify the handling of the information in the BSC
    --  Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList   OPTIONAL,
        -- interRATHandoverInfo, Octet string is used to obtain 8 bit length field prior to
        -- actual information.  This makes it possible for BSS to transparently handle information
        -- received via GSM air interface even when it includes non critical extensions.
        -- The octet string shall include the InterRATHandoverInfo information
        -- The BSS can re-use the 04.18 length field received from the MS
        interRATHandoverInfo            OCTET STRING (SIZE (0..255))
}

InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        failureCauseWithProtErr             FailureCauseWithProtErr                 OPTIONAL
}

-- **************************************************
--
-- SRNC Relocation information
--
-- **************************************************

SRNC-RelocationInfo ::= CHOICE {
    r3                              SEQUENCE {
```

```
        sRNC-RelocationInfo-r3          SRNC-RelocationInfo-r3-IEs,
        v380NonCriticalExtensions           SEQUENCE {
            sRNC-RelocationInfo-v380ext SRNC-RelocationInfo-v380ext-IEs,
            -- Reserved for future non critical extension
            v390NonCriticalExtensions           SEQUENCE {
                sRNC-RelocationInfo-v390ext         SRNC-RelocationInfo-v390ext-IEs,
                v3a0NonCriticalExtensions           SEQUENCE {
                    sRNC-RelocationInfo-v3a0ext         SRNC-RelocationInfo-v3a0ext-IEs,
                    v3b0NonCriticalExtensions           SEQUENCE {
                        sRNC-RelocationInfo-v3b0ext         SRNC-RelocationInfo-v3b0ext-IEs,
                        v3c0NonCriticalExtensions           SEQUENCE {
                            SRNC-RelocationInfo-v3c0ext         SRNC-RelocationInfo-v3c0ext-IEs,
                            -- Reserved for future non critical extension
                            nonCriticalExtensions           SEQUENCE {} OPTIONAL
                        }       OPTIONAL
                    }       OPTIONAL
                }       OPTIONAL
            }       OPTIONAL
        }       OPTIONAL
    },
    criticalExtensions              SEQUENCE {}
}

SRNC-RelocationInfo-r3-IEs ::=              SEQUENCE {
    -- Non-RRC IEs
        stateOfRRC                      StateOfRRC,
        stateOfRRC-Procedure            StateOfRRC-Procedure,
    -- Ciphering related information IEs
    -- If the extension v380 is included use the extension for the ciphering status per CN domain
        cipheringStatus                 CipheringStatus,
        calculationTimeForCiphering     CalculationTimeForCiphering         OPTIONAL,
        cipheringInfoPerRB-List         CipheringInfoPerRB-List             OPTIONAL,
        count-C-List                    COUNT-C-List                        OPTIONAL,
        integrityProtectionStatus       IntegrityProtectionStatus,
        srb-SpecificIntegrityProtInfo   SRB-SpecificIntegrityProtInfoList,
        implementationSpecificParams    ImplementationSpecificParams        OPTIONAL,
    -- User equipment IEs
        u-RNTI                          U-RNTI,
        c-RNTI                          C-RNTI                              OPTIONAL,
        ue-RadioAccessCapability        UE-RadioAccessCapability,
        ue-Positioning-LastKnownPos     UE-Positioning-LastKnownPos         OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList   OPTIONAL,
    -- UTRAN mobility IEs
        ura-Identity                    URA-Identity                        OPTIONAL,
    -- Core network IEs
        cn-CommonGSM-MAP-NAS-SysInfo    NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList        CN-DomainInformationList            OPTIONAL,
    -- Measurement IEs
        ongoingMeasRepList              OngoingMeasRepList                  OPTIONAL,
    -- Radio bearer IEs
        predefinedConfigStatusList      PredefinedConfigStatusList,
        srb-InformationList             SRB-InformationSetupList,
        rab-InformationList             RAB-InformationSetupList            OPTIONAL,
    -- Transport channel IEs
        ul-CommonTransChInfo            UL-CommonTransChInfo                OPTIONAL,
        ul-TransChInfoList              UL-AddReconfTransChInfoList         OPTIONAL,
        modeSpecificInfo                CHOICE {
            fdd                             SEQUENCE {
                cpch-SetID                      CPCH-SetID                  OPTIONAL,
                transChDRAC-Info                DRAC-StaticInformationList  OPTIONAL
            },
            tdd                             NULL
        },
        dl-CommonTransChInfo            DL-CommonTransChInfo                OPTIONAL,
        dl-TransChInfoList              DL-AddReconfTransChInfoList         OPTIONAL,
    -- Measurement report
        measurementReport               MeasurementReport                   OPTIONAL
}

SRNC-RelocationInfo-v380ext-IEs ::= SEQUENCE {
    -- Ciphering related information IEs
        cn-DomainIdentity               CN-DomainIdentity,
        cipheringStatusList             CipheringStatusList
}

SRNC-RelocationInfo-v390ext-IEs ::= SEQUENCE {
        cn-DomainInformationList-v390ext    CN-DomainInformationList-v390ext        OPTIONAL,
```

```
        ue-RadioAccessCapability-v370ext    UE-RadioAccessCapability-v370ext        OPTIONAL,
        ue-RadioAccessCapability-v380ext    UE-RadioAccessCapability-v380ext        OPTIONAL,
        dl-PhysChCapabilityFDD-v380ext      DL-PhysChCapabilityFDD-v380ext,
        failureCauseWithProtErr             FailureCauseWithProtErr                 OPTIONAL
}

SRNC-RelocationInfo-v3a0ext-IEs ::= SEQUENCE {
        cipheringInfoForSRB1-v3a0ext        CipheringInfoPerRB-List-v3a0ext,
        ue-RadioAccessCapability-v3a0ext    UE-RadioAccessCapability-v3a0ext        OPTIONAL,
        -- cn-domain identity for IE startValueForCiphering-v3a0ext is specified
        -- in subsequent extension (SRNC-RelocationInfo-v3b0ext-IEs)
        startValueForCiphering-v3a0ext      START-Value
}

SRNC-RelocationInfo-v3b0ext-IEs ::= SEQUENCE {
        -- cn-domain identity for IE startValueForCiphering-v3a0ext included in previous extension
        cn-DomainIdentity               CN-DomainIdentity,
        -- the remaining start values are contained in IE startValueForCiphering-v3b0ext
        startValueForCiphering-v3b0ext      STARTList2                              OPTIONAL
}


SRNC-RelocationInfo-v3c0ext-IEs ::= SEQUENCE {
-- RB Identity on which the source SRNC will send the message contained in the
-- IE “TargetRNC-ToSourceRNC-Container”. Only included if type is “UE involved”
        rb-Identity                     RB-Identity         OPTIONAL
}


STARTList2 ::=                      SEQUENCE (SIZE (2..maxCNdomains)) OF
                                        STARTSingle

CipheringInfoPerRB-List-v3a0ext ::= SEQUENCE {
        dl-UM-SN                        BIT STRING (SIZE (7))
}

CipheringStatusList ::=             SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CipheringStatusCNdomain

CipheringStatusCNdomain ::=         SEQUENCE {
        cn-DomainIdentity               CN-DomainIdentity,
        cipheringStatus                 CipheringStatus
}

-- IE definitions

CalculationTimeForCiphering ::=     SEQUENCE {
    cell-Id                             CellIdentity,
    sfn                                 INTEGER (0..4095)
}

CipheringInfoPerRB ::=              SEQUENCE {
    dl-HFN                              BIT STRING (SIZE (20..25)),
    ul-HFN                              BIT STRING (SIZE (20..25))
}

-- TABULAR: CipheringInfoPerRB-List, multiplicity value numberOfRadioBearers
-- has been replaced with maxRB.
CipheringInfoPerRB-List ::=         SEQUENCE (SIZE (1..maxRB)) OF
                                        CipheringInfoPerRB

CipheringStatus ::=                 ENUMERATED {
                                        started, notStarted }

CN-DomainInformation-v390ext ::=        SEQUENCE {
    cn-DRX-CycleLengthCoeff             CN-DRX-CycleLengthCoefficient
}

CN-DomainInformationList-v390ext ::=    SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CN-DomainInformation-v390ext

COUNT-C-List ::=                        SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        COUNT-CSingle

COUNT-CSingle ::=                       SEQUENCE {
    cn-DomainIdentity                   CN-DomainIdentity,
    count-C                             BIT STRING (SIZE (32))
}
```

```
ImplementationSpecificParams ::=    BIT STRING (SIZE (1..512))


IntegrityProtectionStatus ::=       ENUMERATED {
                                        started, notStarted }

MeasurementCommandWithType ::=      CHOICE {
    setup                               MeasurementType,
    modify                              NULL,
    release                             NULL
}

OngoingMeasRep ::=                  SEQUENCE {
    measurementIdentity         MeasurementIdentity,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType
    measurementCommandWithType          MeasurementCommandWithType,
    measurementReportingMode            MeasurementReportingMode            OPTIONAL,
    additionalMeasurementID-List        AdditionalMeasurementID-List        OPTIONAL
}

OngoingMeasRepList ::=              SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                        OngoingMeasRep

SRB-SpecificIntegrityProtInfo ::=   SEQUENCE {
    ul-RRC-HFN                          BIT STRING (SIZE (28)),
    dl-RRC-HFN                          BIT STRING (SIZE (28)),
    ul-RRC-SequenceNumber               RRC-MessageSequenceNumber,
    dl-RRC-SequenceNumber               RRC-MessageSequenceNumber
}

SRB-SpecificIntegrityProtInfoList ::= SEQUENCE (SIZE (4..maxSRBsetup)) OF
                                        SRB-SpecificIntegrityProtInfo

StateOfRRC ::=                      ENUMERATED {
                                        cell-DCH, cell-FACH,
                                        cell-PCH, ura-PCH }

StateOfRRC-Procedure ::=            ENUMERATED {
                                        awaitNoRRC-Message,
                                        awaitRRC-ConnectionRe-establishmentComplete,
                                        awaitRB-SetupComplete,
                                        awaitRB-ReconfigurationComplete,
                                        awaitTransportCH-ReconfigurationComplete,
                                        awaitPhysicalCH-ReconfigurationComplete,
                                        awaitActiveSetUpdateComplete,
                                        awaitHandoverComplete,
                                        sendCellUpdateConfirm,
                                        sendUraUpdateConfirm,
                                        sendRrcConnectionReestablishment,
                                        otherStates
}

UE-Positioning-LastKnownPos ::=     SEQUENCE {
        sfn                             INTEGER (0..4095),
        cell-id                         CellIdentity,
        positionEstimate                PositionEstimate
}

END
```

**********Next modified section**********

14.12.2 RRC information, target RNC to source RNC

There are 2 possible cases for RNC relocation:

1. The UE is already under control of target RNC; and
2. The SRNC Relocation with Hard Handover (UE still under control of SRNC), but UE is moving to a location controlled by the target RNC (based on measurement information).

In case 1 the relocation is transparent to the UE and there is no "reverse" direction container. The SRNC just assigns the 'serving' function to the target RNC, which then becomes the Serving RNC.

In case 2 the relocation is initiated by SRNC, which also provides the RRC Initialisation Information to the target RNC. Base on this information, the target RNC prepares the Hard Handover Message ("Physical channel reconfiguration" (subclause 8.2.6), "radio bearer establishment" (subclause 8.2.1), "Radio bearer reconfiguration" (subclause 8.2.2), "Radio bearer release" (subclause 8.2.3) or "Transport channel reconfiguration" (subclause 8.2.4).

In case 2 two possibilities are defined in order to transmit the relocation message from the target RNC to the source RNC which can be chosen by the source RNC by including or not including the IE “RB Id for handover message” in the IE “SRNS Relocation Info”.

In case the IE “RB Id for handover message” has been received by the target RNC in the IE “SRNS Relocation Info”, the target RNC should choose IE “DL DCCH message” and include the DL DCCH message that should be transmitted transparently to the UE by the source RNC. In that case, the target RNC is integrity protecting the message if applicable.

If the target RNC did not receive the IE “RB Id for handover message” in the IE “SRNS Relocation Info” the target RNC should use another choice. In that case, the source RNC should integrity protect the message before transmitting it to the UE if applicable.

The source RNC then transmits the Handover Message to the UE, which then performs the handover.

In the successful case, the UE transmits an XXX COMPLETE message, using the new configuration, to the target RNC.

In case of failure, the UE transmits an XXX FAILURE, using the old configuration, to the source RNC and the RRC context remains unchanged (has to be confirmed and checked with the SRNS relocation procedure).

Information Element/Group name	Need	Multi	Type and reference	Semantics description
CHOICE *RRC message*	MP			At least one spare choice, Criticality: Reject, is needed
>RADIO BEARER SETUP			RADIO BEARER SETUP 10.2.31	
>RADIO BEARER RECONFIGURATION			RADIO BEARER RECONFIGURATION 10.2.25	
>RADIO BEARER RELEASE			RADIO BEARER RELEASE 10.2.28	
>TRANSPORT CHANNEL RECONFIGURATION			TRANSPORT CHANNEL RECONFIGURATION 10.2.51	
>PHYSICAL CHANNEL RECONFIGURATION			PHYSICAL CHANNEL RECONFIGURATION 10.2.20	
>RRC FAILURE INFO			RRC FAILURE INFO 10.2.41 a	
>DL DCCH message			OCTET STRING	

**********Next modified section**********

14.12.4.2 SRNS RELOCATION INFO

This RRC message is sent between network nodes when preparing for an SRNS relocation.

With the presence or absence of the IE "RB identity for Hard Handover message" the source RNC indicates to the target SRNC whether the source RNC expects to receive the choice "DL DCCH message" in the IE "RRC information, target RNC to source RNC" in case the SRNS relocation is of type "UE involved". Furthermore the target RNC uses this information for the calculation of the MAC-I

Direction: source RAT→target RNC

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
Non RRC IEs				
RB identity for Handover message	OP		RB identity 10.3.4.16	Gives the id of the radio bearer on which the source RNC will transmit the RRC message in the case the relocation is of type "UE involved".
>State of RRC	MP		RRC state indicator, 10.3.3.35a	
>State of RRC procedure	MP		Enumerated (await no RRC message, Complete, await RB Setup Complete, await RB Reconfiguration Complete, await RB Release Complete, await Transport CH Reconfiguration Complete, await Physical CH Reconfiguration Complete, await Active Set Update Complete, await Handover Complete, send Cell Update Confirm, send URA Update Confirm, , others)	
Ciphering related information				
>Ciphering status for each CN domain	MP	<1 to maxCNDomains>		
>>CN domain identity	MP		CN domain identity 10.3.1.1	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>>Ciphering status	MP		Enumerated(Not started, Started)	
>>START	MP		START 10.3.3.38	START value to be used in this CN domain.
>Latest configured CN domain	MP		CN domain identity 10.3.1.1	Value contained in the variable of the same name.
>Calculation time for ciphering related information	CV-*Ciphering*			Time when the ciphering information of the message were calculated, relative to a cell of the target RNC
>>Cell Identity	MP		Cell Identity 10.3.2.2	Identity of one of the cells under the target RNC and included in the active set of the current call
>>SFN	MP		Integer(0..4095)	
>COUNT-C list	CV-*Ciphering*	1 to <maxCNdomains>		COUNT-C values for radio bearers using transparent mode RLC
>>CN domain identity	MP		CN domain identity 10.3.1.1	
>>COUNT-C	MP		Bit string(32)	
>Ciphering info per radio bearer	OP	1 to <maxRB>		For signalling radio bearers this IE is mandatory.
>>RB identity	MP		RB identity 10.3.4.16	
>>Downlink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)
>>Downlink SN	CV-*SRB1*		Bit String(7)	VT(US) of RLC UM
>>Uplink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)
Integrity protection related information				
>Integrity protection status	MP		Enumerated(Not started, Started)	
>Signalling radio bearer specific integrity protection information	CV-*IP*	4 to <maxSRBsetup>		
>>Uplink RRC HFN	MP		Bit string (28)	
>>Downlink RRC HFN	MP		Bit string (28)	
>>Uplink RRC Message sequence number	MP		Integer (0..15)	
>>Downlink RRC Message sequence number	MP		Integer (0..15)	
>Implementation specific parameters	OP		Bit string (1..512)	
RRC IEs				
UE Information elements				
>U-RNTI	MP		U-RNTI 10.3.3.47	
>C-RNTI	OP		C-RNTI 10.3.3.8	
>UE radio access Capability	MP		UE radio access capability 10.3.3.42	
>UE radio access capability	OP		UE radio	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
extension			access capability extension 10.3.3.42a	
>Last known UE position	OP			
>>SFN	MP		Integer (0..4095)	Time when position was estimated
>>Cell ID	MP		Cell identity; 10.3.2.2	Indicates the cell, the SFN is valid for.
>>CHOICE *Position estimate*	MP			
>>>Ellipsoid Point			Ellipsoid Point; 10.3.8.4a	
>>>Ellipsoid point with uncertainty circle			Ellipsoid point with uncertainty circle 10.3.8.4d	
>>>Ellipsoid point with uncertainty ellipse			Ellipsoid point with uncertainty ellipse 10.3.8.4e	
>>>Ellipsoid point with altitude			Ellipsoid point with altitude 10.3.8.4b	
>>>Ellipsoid point with altitude and uncertainty ellipsoid			Ellipsoid point with altitude and uncertainty ellipsoid 10.3.8.4c	
Other Information elements				
>UE system specific capability	OP	1 to <maxSystemCapability>		
>>Inter-RAT UE radio access capability	MP		Inter-RAT UE radio access capability 10.3.8.7	
UTRAN Mobility Information elements				
>URA Identifier	OP		URA identity 10.3.2.6	
CN Information Elements				
>CN common GSM-MAP NAS system information	MP		NAS system information (GSM-MAP) 10.3.1.9	
>CN domain related information	OP	1 to <MaxCNdomains>		CN related information to be provided for each CN domain
>>CN domain identity	MP			
>>CN domain specific GSM-MAP NAS system info	MP		NAS system information (GSM-MAP) 10.3.1.9	
>>CN domain specific DRX cycle length coefficient	MP		CN domain specific DRX cycle length coefficient, 10.3.3.6	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
Measurement Related Information elements				
>For each ongoing measurement reporting	OP	1 to <MaxNoOf Meas>		
>>Measurement Identity	MP		Measurement identity 10.3.7.48	
>>Measurement Command	MP		Measurement command 10.3.7.46	
>>Measurement Type	CV-*Setup*		Measurement type 10.3.7.50	
>>Measurement Reporting Mode	OP		Measurement reporting mode 10.3.7.49	
>>Additional Measurements list	OP		Additional measurements list 10.3.7.1	
>>CHOICE *Measurement*	OP			
>>>Intra-frequency				
>>>>Intra-frequency cell info	OP		Intra-frequency cell info list 10.3.7.33	
>>>>Intra-frequency measurement quantity	OP		Intra-frequency measurement quantity 10.3.7.38	
>>>>Intra-frequency reporting quantity	OP		Intra-frequency reporting quantity 10.3.7.41	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Intra-frequency measurement reporting criteria			Intra-frequency measurement reporting criteria 10.3.7.39	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Inter-frequency				
>>>>Inter-frequency cell info	OP		Inter-frequency cell info list 10.3.7.13	
>>>>Inter-frequency measurement quantity	OP		Inter-frequency measurement quantity	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			10.3.7.18	
>>>>Inter-frequency reporting quantity	OP		Inter-frequency reporting quantity 10.3.7.21	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Inter-frequency measurement reporting criteria			Inter-frequency measurement reporting criteria 10.3.7.19	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Inter-RAT				
>>>>Inter-RAT cell info	OP		Inter-RAT cell info list 10.3.7.23	
>>>>Inter-RAT measurement quantity	OP		Inter-RAT measurement quantity 10.3.7.29	
>>>>Inter-RAT reporting quantity	OP		Inter-RAT reporting quantity 10.3.7.32	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Inter-RAT measurement reporting criteria			Inter-RAT measurement reporting criteria 10.3.7.30	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Traffic Volume				
>>>>Traffic volume measurement Object	OP		Traffic volume measurement object 10.3.7.70	
>>>>Traffic volume measurement quantity	OP		Traffic volume measurement quantity 10.3.7.71	
>>>>Traffic volume reporting	OP		Traffic	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
quantity			volume reporting quantity 10.3.7.74	
>>>>CHOICE *report criteria*	OP			
>>>>>Traffic volume measurement reporting criteria			Traffic volume measurement reporting criteria 10.3.7.72	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Quality				
>>>>Quality measurement Object	OP		Quality measurement object	
>>>>CHOICE *report criteria*	OP			
>>>>>Quality measurement reporting criteria			Quality measurement reporting criteria 10.3.7.58	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>UE internal				
>>>>UE internal measurement quantity	OP		UE internal measurement quantity 10.3.7.79	
>>>>UE internal reporting quantity	OP		UE internal reporting quantity 10.3.7.82	
>>>>CHOICE *report criteria*	OP			
>>>>>UE internal measurement reporting criteria			UE internal measurement reporting criteria 10.3.7.80	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>UE positioning				
>>>>LCS reporting quantity	OP		LCS reporting quantity 10.3.7.111	
>>>>CHOICE *report criteria*	OP			
>>>>>LCS reporting criteria			LCS reporting criteria 10.3.7.110	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>>>>>No reporting				
Radio Bearer Information Elements				
>Predefined configuration status information	OP		Predefined configuration status information 10.3.4.5a	
>Signalling RB information list	MP	1 to <maxSRBsetup>		For each signalling radio bearer
>>Signalling RB information	MP		Signalling RB information to setup 10.3.4.24	
>RAB information list	OP	1 to <maxRABsetup>		Information for each RAB
>>RAB information	MP		RAB information to setup 10.3.4.10	
Transport Channel Information Elements				
Uplink transport channels				
>UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
>UL transport channel information list	OP	1 to <MaxTrCH>		
>>UL transport channel information	MP		Added or reconfigured UL TrCH information 10.3.5.2	
>CHOICE *mode*	OP			
>>FDD				
>>>CPCH set ID	OP		CPCH set ID 10.3.5.5	
>>>Transport channel information for DRAC list	OP	1 to <MaxTrCH>		
>>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>>TDD				(no data)
Downlink transport channels				
>DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	
>DL transport channel information list	OP	1 to <MaxTrCH>		
>>DL transport channel information	MP		Added or reconfigured	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			DL TrCH information 10.3.5.1	
>Measurement report	OP		MEASUREMENT REPORT 10.2.17	
Other Information elements				
Failure cause	OP		Failure cause 10.3.3.13	Diagnostics information related to an earlier SRNC Relocation request (see NOTE 2 in 14.12.0a)
Protocol error information	CV-*ProtErr*		Protocol error information 10.3.8.12	

Multi Bound	Explanation
MaxNoOfMeas	Maximum number of active measurements, upper limit 16

Condition	Explanation
Setup	The IE is mandatory present when the IE Measurement command has the value "Setup", otherwise the IE is not needed.
Ciphering	The IE is mandatory present when the IE Ciphering Status has the value "started" and the ciphering counters need not be reinitialised, otherwise the IE is not needed.
IP	The IE is mandatory present when the IE Integrity protection status has the value "started" and the integrity protection counters need not be reinitialised, otherwise the IE is not needed.
ProtErr	This IE is mandatory present if the IE "Protocol error indicator" is included and has the value "TRUE". Otherwise it is not needed.
SRB1	The IE is mandatory present for RB1. Otherwise it is not needed.

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Biarritz, France 3rd-6th September 2002

CR-Form-v7

CHANGE REQUEST

⌘ **25.331 CR 1672** ⌘**rev 1** ⌘ Current version: **4.5.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☐ Radio Access Network ☒ Core Network ☐

Title:	⌘	SRNS relocation with integrity
Source:	⌘	Alcatel
Work item code:	⌘	TEI
Date:	⌘	09/8/2002
Category:	⌘	**A**
Release:	⌘	Rel-4

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)

Reason for change:	⌘	With the current standard the IE "integrity check info" is supposed to be calculated by the source SRNC in the case of a SRNS relocation "UE involved". However the source SRNC is not always able to calculate this if the target SRNC uses a message format that the source SRNC does not understand (i.e. Rel 4 message, or a non critical extension that is not known by the source SRNC). Also the target SRNC could choose an integrity protection algorithm that is not implemented by the source SRNC.
Summary of change:	⌘	A spare entry in the RRC IE "Target RNC to Source RNC Transparent Container" which includes the entirely compiled downlink message. An additional optional IE giving the RB Id on which the relocation message will be transmitted to the UE is added to the IE "SRNS RELOCATION INFO". Absence of this IE indicates that the source SRNC expects a formerly defined entry and it will calculate the MAC-I itself (if possible). Presence means that the target RNC should use the new defined entry "DL DCCH message" Impact analysis: The problem resolved is the SRNS relocation with integrity active between two RNCs that use different versions of the protocol. There is no backwards incompatibility problems between two RNCs where one implements the change and the other one doesn't.
Consequences if not approved:	⌘	It is not possible to apply integrity protection in the case of SRNS relocation of the type "UE involved" in case the target and the source RNC do not support the same messages, and though the SRNS relocation is not possible in all cases.

Clauses affected:	⌘	11.5, 14.12.2, 14.12.4.2			
Other specs	⌘	**Y** ☐	**N** ☒	Other core specifications	⌘

affected:		
	X	Test specifications
	X	O&M Specifications
Other comments: ⌘		

How to create CRs using this form:
Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

11.5 RRC information between network nodes

```
Internode-definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

    HandoverToUTRANCommand,
    MeasurementReport,
    PhysicalChannelReconfiguration,
    RadioBearerReconfiguration,
    RadioBearerRelease,
    RadioBearerSetup,
    RRC-FailureInfo-r3-IEs,
    TransportChannelReconfiguration
FROM PDU-definitions

-- Core Network IEs :
    CN-DomainIdentity,
    CN-DomainInformationList,
    CN-DRX-CycleLengthCoefficient,
    NAS-SystemInformationGSM-MAP,
-- UTRAN Mobility IEs :
    CellIdentity,
    URA-Identity,
-- User Equipment IEs :
    C-RNTI,
    DL-PhysChCapabilityFDD-v380ext,
    FailureCauseWithProtErr,
    RRC-MessageSequenceNumber,
    STARTList,
    STARTSingle,
    START-Value,
    U-RNTI,
    UE-RadioAccessCapability,
    UE-RadioAccessCapability-v370ext,
    UE-RadioAccessCapability-v380ext,
    UE-RadioAccessCapability-v3a0ext,
    UE-RadioAccessCapability-v4xyext,
-- Radio Bearer IEs :
    PredefinedConfigStatusList,
    PredefinedConfigValueTag,
    RAB-InformationSetupList,
    RAB-Identity,
    SRB-InformationSetupList,
-- Transport Channel IEs :
    CPCH-SetID,
    DL-CommonTransChInfo,
    DL-AddReconfTransChInfoList,
    DRAC-StaticInformationList,
    UL-CommonTransChInfo,
    UL-AddReconfTransChInfoList,
-- Measurement IEs :
    MeasurementIdentity,
    MeasurementReportingMode,
    MeasurementType,
    MeasurementType-r4,
    AdditionalMeasurementID-List,
    PositionEstimate,
    UE-Positioning-IPDL-Parameters-TDD-r4-ext,
-- Other IEs :
InterRAT-UE-RadioAccessCapabilityList
FROM InformationElements

    maxCNdomains,
    maxNoOfMeas,

    maxRB,
    maxSRBsetup
FROM Constant-definitions
;

-- Part 1: Class definitions similar to what has been defined in 11.1 for RRC messages
```

```
-- Information that is tranferred in the same direction and across the same path is
grouped

-- ************************************************
--
-- RRC information, to target RNC
--
-- ************************************************
-- RRC Information to target RNC sent either from source RNC or from another RAT

ToTargetRNC-Container ::= CHOICE {
    interRATHandoverInfo                InterRATHandoverInfoWithInterRATCapabilities-
r3,
    srncRelocation                      SRNC-RelocationInfo-r3,
    extension                           NULL
}

-- ************************************************
--
-- RRC information, target RNC to source RNC
--
-- ************************************************


Target-RNC-ToSourceRNC-Container ::= CHOICE {
    radioBearerSetup                    RadioBearerSetup,
    radioBearerReconfiguration          RadioBearerReconfiguration,
    radioBearerRelease                  RadioBearerRelease,
    transportChannelReconfiguration     TransportChannelReconfiguration,
    physicalChannelReconfiguration      PhysicalChannelReconfiguration,
    rrc-FailureInfo                     RRC-FailureInfo-r3-IEs,
    dL-DCCHmessage                      OCTET STRING~~extension                              NULL~~
}

-- Part 2: Container definitions, similar to the PDU definitions in 11.2 for RRC
messages
-- In alphabetical order


-- ************************************************
--
-- Handover to UTRAN information
--
-- ************************************************

InterRATHandoverInfoWithInterRATCapabilities-r3 ::= CHOICE {
    r3                              SEQUENCE {
        -- IE InterRATHandoverInfoWithInterRATCapabilities-r3-IEs also
        -- includes non critical extensions
        interRATHandoverInfo-r3         InterRATHandoverInfoWithInterRATCapabilities-
r3-IEs,
        v390NonCriticalExtensions           SEQUENCE {
            interRATHandoverInfoWithInterRATCapabilities-v390ext
    InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs,
            -- Reserved for future non critical extension
            nonCriticalExtensions           SEQUENCE {} OPTIONAL
        }       OPTIONAL
    },
    criticalExtensions              SEQUENCE {}
}

InterRATHandoverInfoWithInterRATCapabilities-r3-IEs::=      SEQUENCE {
        -- The order of the IEs may not reflect the tabular format
        --  but has been chosen to simplify the handling of the information in the BSC
    --  Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList
    OPTIONAL,
        -- interRATHandoverInfo, Octet string is used to obtain 8 bit length field
prior to
        -- actual information.  This makes it possible for BSS to transparently handle
information
        -- received via GSM air interface even when it includes non critical
extensions.
        -- The octet string shall include the InterRATHandoverInfo information
        -- The BSS can re-use the 04.18 length field received from the MS
        interRATHandoverInfo            OCTET STRING (SIZE (0..255))
}
```

```
InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        failureCauseWithProtErr             FailureCauseWithProtErr
    OPTIONAL
}

-- **************************************************
--
-- SRNC Relocation information
--
-- **************************************************

SRNC-RelocationInfo-r3 ::= CHOICE {
    r3                                  SEQUENCE {
        sRNC-RelocationInfo-r3          SRNC-RelocationInfo-r3-IEs,
            v380NonCriticalExtensions           SEQUENCE {
                sRNC-RelocationInfo-v380ext SRNC-RelocationInfo-v380ext-IEs,
                -- Reserved for future non critical extension
                v390NonCriticalExtensions           SEQUENCE {
                    sRNC-RelocationInfo-v390ext         SRNC-RelocationInfo-v390ext-
IEs,
                    v3a0NonCriticalExtensions           SEQUENCE {
                        sRNC-RelocationInfo-v3a0ext         SRNC-RelocationInfo-
v3a0ext-IEs,
                        v3b0NonCriticalExtensions           SEQUENCE {
                            sRNC-RelocationInfo-v3b0ext         SRNC-RelocationInfo-
v3b0ext-IEs,
                            v3c0NonCriticalExtensions           SEQUENCE {
                                sRNC-RelocationInfo-v3c0ext         SRNC-
RelocationInfo-v3c0ext-IEs,
                            v4xyNonCriticalExtensions           SEQUENCE {
                                sRNC-RelocationInfo-v4xyext         SRNC-
RelocationInfo-v4xyext-IEs,
                                -- Reserved for future non critical extension
                                nonCriticalExtensions           SEQUENCE {}
    OPTIONAL
                            }       OPTIONAL
                        }       OPTIONAL
                    }       OPTIONAL
                }       OPTIONAL
            }       OPTIONAL
    },
    criticalExtensions              SEQUENCE {}
}

SRNC-RelocationInfo-r3-IEs ::=      SEQUENCE {
    -- Non-RRC IEs
        stateOfRRC                      StateOfRRC,
        stateOfRRC-Procedure            StateOfRRC-Procedure,
    -- Ciphering related information IEs
    -- If the extension v380 is included use the extension for the ciphering status
per CN domain
        cipheringStatus                 CipheringStatus,
        calculationTimeForCiphering     CalculationTimeForCiphering         OPTIONAL,
        cipheringInfoPerRB-List         CipheringInfoPerRB-List             OPTIONAL,
        count-C-List                    COUNT-C-List                        OPTIONAL,
        integrityProtectionStatus       IntegrityProtectionStatus,
        srb-SpecificIntegrityProtInfo   SRB-SpecificIntegrityProtInfoList,
        implementationSpecificParams    ImplementationSpecificParams        OPTIONAL,
    -- User equipment IEs
        u-RNTI                          U-RNTI,
        c-RNTI                          C-RNTI                              OPTIONAL,
        ue-RadioAccessCapability        UE-RadioAccessCapability,
        ue-Positioning-LastKnownPos     UE-Positioning-LastKnownPos         OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList
    OPTIONAL,
    -- UTRAN mobility IEs
        ura-Identity                    URA-Identity                        OPTIONAL,
    -- Core network IEs
        cn-CommonGSM-MAP-NAS-SysInfo    NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList        CN-DomainInformationList            OPTIONAL,
    -- Measurement IEs
        ongoingMeasRepList              OngoingMeasRepList                  OPTIONAL,
    -- Radio bearer IEs
```

```
        predefinedConfigStatusList      PredefinedConfigStatusList,
        srb-InformationList             SRB-InformationSetupList,
        rab-InformationList             RAB-InformationSetupList            OPTIONAL,
    -- Transport channel IEs
        ul-CommonTransChInfo            UL-CommonTransChInfo                OPTIONAL,
        ul-TransChInfoList              UL-AddReconfTransChInfoList         OPTIONAL,
        modeSpecificInfo                CHOICE {
            fdd                             SEQUENCE {
                cpch-SetID                      CPCH-SetID                  OPTIONAL,
                transChDRAC-Info                DRAC-StaticInformationList  OPTIONAL
            },
            tdd                             NULL
        },
        dl-CommonTransChInfo            DL-CommonTransChInfo                OPTIONAL,
        dl-TransChInfoList              DL-AddReconfTransChInfoList         OPTIONAL,
    -- Measurement report
        measurementReport               MeasurementReport                   OPTIONAL ,
        nonCriticalExtensions           SEQUENCE {
            -- In case of TDD only up-Ipdl-Parameters-TDD is present, otherwise
            -- this IE is absent
            up-Ipdl-Parameters-TDD          UE-Positioning-IPDL-Parameters-TDD-r4-ext   OPTIONAL,
        -- Extension mechanism for non- release4 information
            nonCriticalExtensions           SEQUENCE {}                                OPTIONAL
        }                                                                       OPTIONAL
}

SRNC-RelocationInfo-v380ext-IEs ::= SEQUENCE {
    -- Ciphering related information IEs
        cn-DomainIdentity               CN-DomainIdentity,
        cipheringStatusList             CipheringStatusList
}

SRNC-RelocationInfo-v390ext-IEs ::= SEQUENCE {
        cn-DomainInformationList-v390ext    CN-DomainInformationList-v390ext
    OPTIONAL,
        ue-RadioAccessCapability-v370ext    UE-RadioAccessCapability-v370ext
    OPTIONAL,
        ue-RadioAccessCapability-v380ext    UE-RadioAccessCapability-v380ext
    OPTIONAL,
        dl-PhysChCapabilityFDD-v380ext      DL-PhysChCapabilityFDD-v380ext,
        failureCauseWithProtErr             FailureCauseWithProtErr
    OPTIONAL
}

SRNC-RelocationInfo-v3a0ext-IEs ::= SEQUENCE {
        -- cn-domain identity for IE startValueForCiphering-v3a0ext is specified
        -- in subsequent extension (SRNC-RelocationInfo-v3b0ext-IEs)
        startValueForCIphering-v3a0ext      START-Value,
        cipheringInfoForSRB1-v3a0ext        CipheringInfoForSRB1-v3a0ext,
        ue-RadioAccessCapability-v3a0ext    UE-RadioAccessCapability-v3a0ext
    OPTIONAL
}

SRNC-RelocationInfo-v3b0ext-IEs ::= SEQUENCE {
        -- cn-domain identity for IE startValueForCiphering-v3a0ext included in
previous extension
        cn-DomainIdentity               CN-DomainIdentity,
        -- the remaining start values are contained in IE startValueForCiphering-
v3b0ext
        startValueForCiphering-v3b0ext      STARTList2
    OPTIONAL
}

SRNC-RelocationInfo-v3c0ext-IEs ::= SEQUENCE {
-- RB Identity on which the source SRNC will send the message contained in the
-- IE "TargetRNC-ToSourceRNC-Container". Only included if type is "UE involved"
        rb-Identity                     RB-Identity         OPTIONAL
}

STARTList2 ::=                      SEQUENCE (SIZE (2..maxCNdomains)) OF
                                        STARTSingle


SRNC-RelocationInfo-v4xyext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v4xyext    UE-RadioAccessCapability-v4xyext
}
```

```
CipheringInfoForSRB1-v3a0ext ::= SEQUENCE {
        dl-UM-SN                            BIT STRING (SIZE (7))
}

CipheringStatusList ::=         SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CipheringStatusCNdomain

CipheringStatusCNdomain ::=             SEQUENCE {
        cn-DomainIdentity               CN-DomainIdentity,
        cipheringStatus                 CipheringStatus
}

SRNC-RelocationInfo-r4 ::=          SEQUENCE {
    -- Non-RRC IEs
        rb-Identity                     RB-Identity                             OPTIONAL,
        stateOfRRC                      StateOfRRC,
        stateOfRRC-Procedure            StateOfRRC-Procedure,
        cipheringStatus                 CipheringStatus,
        calculationTimeForCiphering     CalculationTimeForCiphering             OPTIONAL,
        cipheringInfoPerRB-List         CipheringInfoPerRB-List                 OPTIONAL,
        integrityProtectionStatus       IntegrityProtectionStatus,
        srb-SpecificIntegrityProtInfo   SRB-SpecificIntegrityProtInfoList,
        implementationSpecificParams    ImplementationSpecificParams            OPTIONAL,
    -- User equipment IEs
        u-RNTI                          U-RNTI,
        c-RNTI                          C-RNTI                                  OPTIONAL,
        ue-RadioAccessCapability        UE-RadioAccessCapability,
        ue-Positioning-LastKnownPos     UE-Positioning-LastKnownPos             OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList
    OPTIONAL,
    -- UTRAN mobility IEs
        ura-Identity                    URA-Identity                            OPTIONAL,
    -- Core network IEs
        cn-CommonGSM-MAP-NAS-SysInfo    NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList        CN-DomainInformationList                OPTIONAL,
    -- Measurement IEs
        ongoingMeasRepList              OngoingMeasRepList-r4                   OPTIONAL,
    -- Radio bearer IEs
        predefinedConfigStatusList      PredefinedConfigStatusList,
        srb-InformationList             SRB-InformationSetupList,
        rab-InformationList             RAB-InformationSetupList                OPTIONAL,
    -- Transport channel IEs
        ul-CommonTransChInfo            UL-CommonTransChInfo                    OPTIONAL,
        ul-TransChInfoList              UL-AddReconfTransChInfoList             OPTIONAL,
        modeSpecificInfo                CHOICE {
            fdd                             SEQUENCE {
                cpch-SetID                      CPCH-SetID                      OPTIONAL,
                transChDRAC-Info                DRAC-StaticInformationList      OPTIONAL
            },
            tdd                             NULL
        },
        dl-CommonTransChInfo            DL-CommonTransChInfo                    OPTIONAL,
        dl-TransChInfoList              DL-AddReconfTransChInfoList             OPTIONAL,
    -- Measurement report
        measurementReport               MeasurementReport                       OPTIONAL,
        nonCriticalExtensions           SEQUENCE {
            -- In case of TDD only up-Ipdl-Parameters-TDD is present, otherwise
            -- this IE is absent
            up-Ipdl-Parameters-TDD          UE-Positioning-IPDL-Parameters-TDD-r4-ext    OPTIONAL,
        -- Extension mechanism for non- release4 information
            nonCriticalExtensions           SEQUENCE {}                                  OPTIONAL
        }                                                                                OPTIONAL
}

-- IE definitions

CalculationTimeForCiphering ::=     SEQUENCE {
    cell-Id                             CellIdentity,
    sfn                                 INTEGER (0..4095)
}

CipheringInfoPerRB ::=              SEQUENCE {
    dl-HFN                              BIT STRING (SIZE (20..25)),
    ul-HFN                              BIT STRING (SIZE (20..25))
}
```

```
-- TABULAR: CipheringInfoPerRB-List, multiplicity value numberOfRadioBearers
-- has been replaced with maxRB.
CipheringInfoPerRB-List ::=         SEQUENCE (SIZE (1..maxRB)) OF
                                        CipheringInfoPerRB

CipheringStatus ::=                 ENUMERATED {
                                        started, notStarted }

CN-DomainInformation-v390ext ::=        SEQUENCE {
    cn-DRX-CycleLengthCoeff             CN-DRX-CycleLengthCoefficient
}

CN-DomainInformationList-v390ext ::=    SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CN-DomainInformation-v390ext

COUNT-C-List ::=                        SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        COUNT-CSingle

COUNT-CSingle ::=                       SEQUENCE {
    cn-DomainIdentity                   CN-DomainIdentity,
    count-C                             BIT STRING (SIZE (32))
}

ImplementationSpecificParams ::=    BIT STRING (SIZE (1..512))


IntegrityProtectionStatus ::=       ENUMERATED {
                                        started, notStarted }

MeasurementCommandWithType ::=      CHOICE {
    setup                               MeasurementType,
    modify                              NULL,
    release                             NULL
}

MeasurementCommandWithType-r4 ::=   CHOICE {
    setup                               MeasurementType-r4,
    modify                              NULL,
    release                             NULL
}

OngoingMeasRep ::=                  SEQUENCE {
    measurementIdentity         MeasurementIdentity,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType
    measurementCommandWithType          MeasurementCommandWithType,
    measurementReportingMode            MeasurementReportingMode            OPTIONAL,
    additionalMeasurementID-List        AdditionalMeasurementID-List        OPTIONAL
}

OngoingMeasRep-r4 ::=               SEQUENCE {
    measurementIdentity         MeasurementIdentity,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType-r4.
    measurementCommandWithType          MeasurementCommandWithType-r4,
    measurementReportingMode            MeasurementReportingMode            OPTIONAL,
    additionalMeasurementID-List        AdditionalMeasurementID-List        OPTIONAL
}

OngoingMeasRepList ::=              SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                        OngoingMeasRep

OngoingMeasRepList-r4 ::=           SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                        OngoingMeasRep-r4

SRB-SpecificIntegrityProtInfo ::=   SEQUENCE {
    ul-RRC-HFN                          BIT STRING (SIZE (28)),
    dl-RRC-HFN                          BIT STRING (SIZE (28)),
    ul-RRC-SequenceNumber               RRC-MessageSequenceNumber,
    dl-RRC-SequenceNumber               RRC-MessageSequenceNumber
}

SRB-SpecificIntegrityProtInfoList ::= SEQUENCE (SIZE (4..maxSRBsetup)) OF
                                        SRB-SpecificIntegrityProtInfo

StateOfRRC ::=                      ENUMERATED {
```

```
                                        cell-DCH, cell-FACH,
                                        cell-PCH, ura-PCH }

StateOfRRC-Procedure ::=            ENUMERATED {
                                        awaitNoRRC-Message,
                                        awaitRRC-ConnectionRe-establishmentComplete,
                                        awaitRB-SetupComplete,
                                        awaitRB-ReconfigurationComplete,
                                        awaitTransportCH-ReconfigurationComplete,
                                        awaitPhysicalCH-ReconfigurationComplete,
                                        awaitActiveSetUpdateComplete,
                                        awaitHandoverComplete,
                                        sendCellUpdateConfirm,
                                        sendUraUpdateConfirm,
                                        sendRrcConnectionReestablishment,
                                        otherStates
}

UE-Positioning-LastKnownPos ::=     SEQUENCE {
        sfn                             INTEGER (0..4095),
        cell-id                         CellIdentity,
        positionEstimate                PositionEstimate
}
```

END

14.12.2 RRC information, target RNC to source RNC

There are 2 possible cases for RNC relocation:

1. The UE is already under control of target RNC; and
2. The SRNC Relocation with Hard Handover (UE still under control of SRNC), but UE is moving to a location controlled by the target RNC (based on measurement information).

In case 1 the relocation is transparent to the UE and there is no "reverse" direction container. The SRNC just assigns the 'serving' function to the target RNC, which then becomes the Serving RNC.

In case 2 the relocation is initiated by SRNC, which also provides the RRC Initialisation Information to the target RNC. Base on this information, the target RNC prepares the Hard Handover Message ("Physical channel reconfiguration" (subclause 8.2.6), "radio bearer establishment" (subclause 8.2.1), "Radio bearer reconfiguration" (subclause 8.2.2), "Radio bearer release" (subclause 8.2.3) or "Transport channel reconfiguration" (subclause 8.2.4).

In case 2 two possibilities are defined in order to transmit the relocation message from the target RNC to the source RNC which can be chosen by the source RNC by including or not including the IE “RB Id for handover message” in the IE “SRNS Relocation Info”.

In case the IE “RB Id for handover message” has been received by the target RNC in the IE “SRNS Relocation Info”, the target RNC should choose IE “DL DCCH message” and include the DL DCCH message that should be transmitted transparently to the UE by the source RNC. In that case, the target RNC is integrity protecting the message if applicable.

If the target RNC did not receive the IE “RB Id for handover message” in the IE “SRNS Relocation Info” the target RNC should use another choice. In that case, the source RNC should integrity protect the message before transmitting it to the UE if applicable.

The source RNC then transmits the Handover Message to the UE, which then performs the handover.

In the successful case, the UE transmits an XXX COMPLETE message, using the new configuration, to the target RNC.

In case of failure, the UE transmits an XXX FAILURE, using the old configuration, to the source RNC and the RRC context remains unchanged (has to be confirmed and checked with the SRNS relocation procedure).

Information Element/Group name	Need	Multi	Type and reference	Semantics description
CHOICE *RRC message*	MP			At least one spare choice, Criticality: Reject, is needed
>RADIO BEARER SETUP			RADIO BEARER SETUP 10.2.31	
>RADIO BEARER RECONFIGURATION			RADIO BEARER RECONFIGURATION 10.2.25	
>RADIO BEARER RELEASE			RADIO BEARER RELEASE 10.2.28	
>TRANSPORT CHANNEL RECONFIGURATION			TRANSPORT CHANNEL RECONFIGURATION 10.2.51	
>PHYSICAL CHANNEL RECONFIGURATION			PHYSICAL CHANNEL RECONFIGURATION 10.2.20	
>RRC FAILURE INFO			RRC FAILURE INFO 10.2.41 a	
>DL DCCH message			OCTET STRING	

14.12.4.2 SRNS RELOCATION INFO

This RRC message is sent between network nodes when preparing for an SRNS relocation.

With the presence or absence of the IE "RB identity for Hard Handover message" the source RNC indicates to the target SRNC whether the source RNC expects to receive the choice "DL DCCH message" in the IE "RRC information, target RNC to source RNC" in case the SRNS relocation is of type "UE involved". Furthermore the target RNC uses this information for the calculation of the MAC-I

Direction: source RAT→target RNC

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
Non RRC IEs				
RB identity for Handover message	OP		RB identity 10.3.4.16	Gives the id of the radio bearer on which the source RNC will transmit the RRC message in the case the relocation is of type "UE involved".
>State of RRC	MP		RRC state indicator, 10.3.3.35a	
>State of RRC procedure	MP		Enumerated (await no RRC message, Complete, await RB Setup Complete,	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			await RB Reconfiguration Complete, await RB Release Complete, await Transport CH Reconfiguration Complete, await Physical CH Reconfiguration Complete, await Active Set Update Complete, await Handover Complete, send Cell Update Confirm, send URA Update Confirm, , others)	
Ciphering related information				
>Ciphering status for each CN domain	MP	<1 to maxCNDomains>		
>>CN domain identity	MP		CN domain identity 10.3.1.1	
>>Ciphering status	MP		Enumerated(Not started, Started)	
>>START	MP		START 10.3.3.38	START value to be used in this CN domain.
>Latest configured CN domain	MP		CN domain identity 10.3.1.1	Value contained in the variable of the same name.
>Calculation time for ciphering related information	CV-*Ciphering*			Time when the ciphering information of the message were calculated, relative to a cell of the target RNC
>>Cell Identity	MP		Cell Identity 10.3.2.2	Identity of one of the cells under the target RNC and included in the active set of the current call
>>SFN	MP		Integer(0..4095)	
>COUNT-C list	CV-*Ciphering*	1 to <maxCNdomains>		COUNT-C values for radio bearers using transparent mode RLC
>>CN domain identity	MP		CN domain identity 10.3.1.1	
>>COUNT-C	MP		Bit string(32)	
>Ciphering info per radio bearer	OP	1 to		For signalling radio bearers

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
		<maxRB>		this IE is mandatory.
>>RB identity	MP		RB identity 10.3.4.16	
>>Downlink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)
>>Downlink SN	CV-*SRB1*		Bit String(7)	VT(US) of RLC UM
>>Uplink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)
Integrity protection related information				
>Integrity protection status	MP		Enumerated(Not started, Started)	
>Signalling radio bearer specific integrity protection information	CV-*IP*	4 to <maxSRBsetup>		
>>Uplink RRC HFN	MP		Bit string (28)	
>>Downlink RRC HFN	MP		Bit string (28)	
>>Uplink RRC Message sequence number	MP		Integer (0..15)	
>>Downlink RRC Message sequence number	MP		Integer (0..15)	
>Implementation specific parameters	OP		Bit string (1..512)	
RRC IEs				
UE Information elements				
>U-RNTI	MP		U-RNTI 10.3.3.47	
>C-RNTI	OP		C-RNTI 10.3.3.8	
>UE radio access Capability	MP		UE radio access capability 10.3.3.42	
>UE radio access capability extension	OP		UE radio access capability extension 10.3.3.42a	
>Last known UE position	OP			
>>SFN	MP		Integer (0..4095)	Time when position was estimated
>>Cell ID	MP		Cell identity; 10.3.2.2	Indicates the cell, the SFN is valid for.
>>CHOICE *Position estimate*	MP			
>>>Ellipsoid Point			Ellipsoid Point; 10.3.8.4a	
>>>Ellipsoid point with uncertainty circle			Ellipsoid point with uncertainty circle 10.3.8.4d	
>>>Ellipsoid point with uncertainty ellipse			Ellipsoid point with uncertainty ellipse 10.3.8.4e	
>>>Ellipsoid point with altitude			Ellipsoid point with	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			altitude 10.3.8.4b	
>>>Ellipsoid point with altitude and uncertainty ellipsoid			Ellipsoid point with altitude and uncertainty ellipsoid 10.3.8.4c	
Other Information elements				
>UE system specific capability	OP	1 to <maxSystemCapability>		
>>Inter-RAT UE radio access capability	MP		Inter-RAT UE radio access capability 10.3.8.7	
UTRAN Mobility Information elements				
>URA Identifier	OP		URA identity 10.3.2.6	
CN Information Elements				
>CN common GSM-MAP NAS system information	MP		NAS system information (GSM-MAP) 10.3.1.9	
>CN domain related information	OP	1 to <MaxCNdomains>		CN related information to be provided for each CN domain
>>CN domain identity	MP			
>>CN domain specific GSM-MAP NAS system info	MP		NAS system information (GSM-MAP) 10.3.1.9	
>>CN domain specific DRX cycle length coefficient	MP		CN domain specific DRX cycle length coefficient, 10.3.3.6	
Measurement Related Information elements				
>For each ongoing measurement reporting	OP	1 to <MaxNoOfMeas>		
>>Measurement Identity	MP		Measurement identity 10.3.7.48	
>>Measurement Command	MP		Measurement command 10.3.7.46	
>>Measurement Type	CV-*Setup*		Measurement type 10.3.7.50	
>>Measurement Reporting Mode	OP		Measurement reporting mode 10.3.7.49	
>>Additional Measurements list	OP		Additional measurements list 10.3.7.1	
>>CHOICE *Measurement*	OP			
>>>Intra-frequency				

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>>>>Intra-frequency cell info	OP		Intra-frequency cell info list 10.3.7.33	
>>>>Intra-frequency measurement quantity	OP		Intra-frequency measurement quantity 10.3.7.38	
>>>>Intra-frequency reporting quantity	OP		Intra-frequency reporting quantity 10.3.7.41	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Intra-frequency measurement reporting criteria			Intra-frequency measurement reporting criteria 10.3.7.39	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Inter-frequency				
>>>>Inter-frequency cell info	OP		Inter-frequency cell info list 10.3.7.13	
>>>>Inter-frequency measurement quantity	OP		Inter-frequency measurement quantity 10.3.7.18	
>>>>Inter-frequency reporting quantity	OP		Inter-frequency reporting quantity 10.3.7.21	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Inter-frequency measurement reporting criteria			Inter-frequency measurement reporting criteria 10.3.7.19	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>>>>>No reporting			NULL	
>>>Inter-RAT				
>>>>Inter-RAT cell info	OP		Inter-RAT cell info list 10.3.7.23	
>>>>Inter-RAT measurement quantity	OP		Inter-RAT measurement quantity 10.3.7.29	
>>>>Inter-RAT reporting quantity	OP		Inter-RAT reporting quantity 10.3.7.32	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Inter-RAT measurement reporting criteria			Inter-RAT measurement reporting criteria 10.3.7.30	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Traffic Volume				
>>>>Traffic volume measurement Object	OP		Traffic volume measurement object 10.3.7.70	
>>>>Traffic volume measurement quantity	OP		Traffic volume measurement quantity 10.3.7.71	
>>>>Traffic volume reporting quantity	OP		Traffic volume reporting quantity 10.3.7.74	
>>>>CHOICE *report criteria*	OP			
>>>>>Traffic volume measurement reporting criteria			Traffic volume measurement reporting criteria 10.3.7.72	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Quality				
>>>>Quality measurement Object	OP		Quality measurement object	
>>>>CHOICE *report criteria*	OP			
>>>>>Quality measurement			Quality	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
reporting criteria			measurement reporting criteria 10.3.7.58	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>UE internal				
>>>>UE internal measurement quantity	OP		UE internal measurement quantity 10.3.7.79	
>>>>UE internal reporting quantity	OP		UE internal reporting quantity 10.3.7.82	
>>>>CHOICE *report criteria*	OP			
>>>>>UE internal measurement reporting criteria			UE internal measurement reporting criteria 10.3.7.80	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>UE positioning				
>>>>LCS reporting quantity	OP		LCS reporting quantity 10.3.7.111	
>>>>CHOICE *report criteria*	OP			
>>>>>LCS reporting criteria			LCS reporting criteria 10.3.7.110	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting				
Radio Bearer Information Elements				
>Predefined configuration status information	OP		Predefined configuration status information 10.3.4.5a	
>Signalling RB information list	MP	1 to <maxSRBsetup>		For each signalling radio bearer
>>Signalling RB information	MP		Signalling RB information to setup 10.3.4.24	
>RAB information list	OP	1 to <maxRABsetup>		Information for each RAB
>>RAB information	MP		RAB information	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			to setup 10.3.4.10	
Transport Channel Information Elements				
Uplink transport channels				
>UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
>UL transport channel information list	OP	1 to <MaxTrCH>		
>>UL transport channel information	MP		Added or reconfigured UL TrCH information 10.3.5.2	
>CHOICE *mode*	OP			
>>FDD				
>>>CPCH set ID	OP		CPCH set ID 10.3.5.5	
>>>Transport channel information for DRAC list	OP	1 to <MaxTrCH>		
>>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>>TDD				(no data)
Downlink transport channels				
>DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	
>DL transport channel information list	OP	1 to <MaxTrCH>		
>>DL transport channel information	MP		Added or reconfigured DL TrCH information 10.3.5.1	
>Measurement report	OP		MEASUREMENT REPORT 10.2.17	
Other Information elements				
Failure cause	OP		Failure cause 10.3.3.13	Diagnostics information related to an earlier SRNC Relocation request (see NOTE 2 in 14.12.0a)
Protocol error information	CV-*ProtErr*		Protocol error information 10.3.8.12	

Multi Bound	Explanation
MaxNoOfMeas	Maximum number of active measurements, upper limit 16

Condition	Explanation
Setup	The IE is mandatory present when the IE Measurement command has the value "Setup", otherwise the IE is not needed.
Ciphering	The IE is mandatory present when the IE Ciphering Status has the value "started" and the ciphering counters need not be reinitialised, otherwise the IE is not needed.
IP	The IE is mandatory present when the IE Integrity protection status has the value "started" and the integrity protection counters need not be reinitialised, otherwise the IE is not needed.
ProtErr	This IE is mandatory present if the IE "Protocol error indicator" is included and has the value "TRUE". Otherwise it is not needed.
SRB1	The IE is mandatory present for RB1. Otherwise it is not needed.

3GPP TSG-RAN2 Meeting #32 ***RP-022410***
Biarritz, France 3rd-6th September 2002

CR-Form-v7

CHANGE REQUEST

⌘ **25.331 CR 1673** ⌘**rev 1** ⌘ Current version: **5.1.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☐ Radio Access Network ☒ Core Network ☐

Title:	⌘	SRNS relocation with integrity
Source:	⌘	Alcatel
Work item code:	⌘	TEI
Date:	⌘	09/8/2002
Category:	⌘	**A**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)

Reason for change:	⌘	With the current standard the IE "integrity check info" is supposed to be calculated by the source SRNC in the case of a SRNS relocation "UE involved". However the source SRNC is not always able to calculate this if the target SRNC uses a message format that the source SRNC does not understand (i.e. Rel 4 message, or a non critical extension that is not known by the source SRNC). Also the target SRNC could choose an integrity protection algorithm that is not implemented by the source SRNC.
Summary of change:	⌘	A spare entry in the RRC IE "Target RNC to Source RNC Transparent Container" which includes the entirely compiled downlink message. An additional optional IE giving the RB Id on which the relocation message will be transmitted to the UE is added to the IE "SRNS RELOCATION INFO". Absence of this IE indicates that the source SRNC expects a formerly defined entry and it will calculate the MAC-I itself (if possible). Presence means that the target RNC should use the new defined entry "DL DCCH message" Impact analysis: The problem resolved is the SRNS relocation with integrity active between two RNCs that use different versions of the protocol. There is no backwards incompatibility problems between two RNCs where one implements the change and the other one doesn't.
Consequences if not approved:	⌘	It is not possible to apply integrity protection in the case of SRNS relocation of the type "UE involved" in case the target and the source RNC do not support the same messages, and though the SRNS relocation is not possible in all cases.

Clauses affected:	⌘	11.5, 14.12.2, 14.12.4.2			
Other specs	⌘	**Y** ☐	**N** ☒	Other core specifications	⌘

affected:		
	X	Test specifications
	X	O&M Specifications
Other comments: ⌘		

How to create CRs using this form:
Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

11.5 RRC information between network nodes

```
Internode-definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

    HandoverToUTRANCommand,
    MeasurementReport,
    PhysicalChannelReconfiguration,
    RadioBearerReconfiguration,
    RadioBearerRelease,
    RadioBearerSetup,
    RRC-FailureInfo-r3-IEs,
    TransportChannelReconfiguration
FROM PDU-definitions

-- Core Network IEs :
    CN-DomainIdentity,
    CN-DomainInformationList,
    CN-DRX-CycleLengthCoefficient,
    NAS-SystemInformationGSM-MAP,
-- UTRAN Mobility IEs :
    CellIdentity,
    URA-Identity,
-- User Equipment IEs :
    C-RNTI,
    DL-PhysChCapabilityFDD-v380ext,
    FailureCauseWithProtErr,
    RRC-MessageSequenceNumber,
    STARTList,
    STARTSingle,
    START-Value,
    U-RNTI,
    UE-RadioAccessCapability,
    UE-RadioAccessCapability-v370ext,
    UE-RadioAccessCapability-v380ext,
    UE-RadioAccessCapability-v3a0ext,
    UE-RadioAccessCapability-v4xyext,
-- Radio Bearer IEs :
    PredefinedConfigStatusList,
    PredefinedConfigValueTag,
    RAB-InformationSetupList,
    RAB-Identity,
    RB-Identity,
    SRB-InformationSetupList,
-- Transport Channel IEs :
    CPCH-SetID,
    DL-CommonTransChInfo,
    DL-AddReconfTransChInfoList,
    DRAC-StaticInformationList,
    UL-CommonTransChInfo,
    UL-AddReconfTransChInfoList,
-- Measurement IEs :
    MeasurementIdentity,
    MeasurementReportingMode,
    MeasurementType,
    MeasurementType-r4,
    AdditionalMeasurementID-List,
    PositionEstimate,
    UE-Positioning-IPDL-Parameters-TDD-r4-ext,
-- Other IEs :
InterRAT-UE-RadioAccessCapabilityList
FROM InformationElements

    maxCNdomains,
    maxNoOfMeas,

    maxRB,
    maxRBallRABs,
    maxRFC3095-CID,
    maxSRBsetup
FROM Constant-definitions
;
```

```
-- Part 1: Class definitions similar to what has been defined in 11.1 for RRC messages
-- Information that is tranferred in the same direction and across the same path is
grouped

-- **************************************************
--
-- RRC information, to target RNC
--
-- **************************************************
-- RRC Information to target RNC sent either from source RNC or from another RAT

ToTargetRNC-Container ::= CHOICE {
    interRATHandoverInfo                InterRATHandoverInfoWithInterRATCapabilities-
r3,
    srncRelocation                      SRNC-RelocationInfo-r3,
    rfc3095-ContextInfo                 RFC3095-ContextInfo-r5,
    extension                           NULL
}

-- **************************************************
--
-- RRC information, target RNC to source RNC
--
-- **************************************************


Target-RNC-ToSourceRNC-Container ::= CHOICE {
    radioBearerSetup                    RadioBearerSetup,
    radioBearerReconfiguration          RadioBearerReconfiguration,
    radioBearerRelease                  RadioBearerRelease,
    transportChannelReconfiguration     TransportChannelReconfiguration,
    physicalChannelReconfiguration      PhysicalChannelReconfiguration,
    rrc-FailureInfo                     RRC-FailureInfo-r3-IEs,
    dL-DCCHmessage                      OCTET STRING~~extension                                NULL~~
}

-- Part 2: Container definitions, similar to the PDU definitions in 11.2 for RRC
messages
-- In alphabetical order


-- **************************************************
--
-- Handover to UTRAN information
--
-- **************************************************

InterRATHandoverInfoWithInterRATCapabilities-r3 ::= CHOICE {
    r3                              SEQUENCE {
        -- IE InterRATHandoverInfoWithInterRATCapabilities-r3-IEs also
        -- includes non critical extensions
        interRATHandoverInfo-r3         InterRATHandoverInfoWithInterRATCapabilities-
r3-IEs,
        v390NonCriticalExtensions           SEQUENCE {
            interRATHandoverInfoWithInterRATCapabilities-v390ext
    InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs,
            -- Reserved for future non critical extension
            nonCriticalExtensions           SEQUENCE {} OPTIONAL
        }       OPTIONAL
    },
    criticalExtensions              SEQUENCE {}
}

InterRATHandoverInfoWithInterRATCapabilities-r3-IEs::=      SEQUENCE {
        -- The order of the IEs may not reflect the tabular format
        --  but has been chosen to simplify the handling of the information in the BSC
    --  Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList
    OPTIONAL,
        -- interRATHandoverInfo, Octet string is used to obtain 8 bit length field
prior to
        -- actual information.  This makes it possible for BSS to transparently handle
information
        -- received via GSM air interface even when it includes non critical
extensions.
        -- The octet string shall include the InterRATHandoverInfo information
```

```
        -- The BSS can re-use the 04.18 length field received from the MS
        interRATHandoverInfo            OCTET STRING (SIZE (0..255))
}

InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        failureCauseWithProtErr             FailureCauseWithProtErr
    OPTIONAL
}

-- **************************************************
--
-- RFC3095 context, source RNC to target RNC
--
-- **************************************************

RFC3095-ContextInfo-r5 ::= CHOICE {
    r5                              SEQUENCE {
        rFC3095-ContextInfoList-r5      RFC3095-ContextInfoList-r5,
        -- Reserved for future non critical extension
        nonCriticalExtensions           SEQUENCE {} OPTIONAL
    },
    criticalExtensions              SEQUENCE {}
}

RFC3095-ContextInfoList-r5  ::=     SEQUENCE (SIZE (1..maxRBallRABs)) OF
                                        RFC3095-ContextInfo


-- **************************************************
--
-- SRNC Relocation information
--
-- **************************************************

SRNC-RelocationInfo-r3 ::= CHOICE {
    r3                              SEQUENCE {
        sRNC-RelocationInfo-r3          SRNC-RelocationInfo-r3-IEs,
            v380NonCriticalExtensions           SEQUENCE {
                sRNC-RelocationInfo-v380ext SRNC-RelocationInfo-v380ext-IEs,
                -- Reserved for future non critical extension
                v390NonCriticalExtensions           SEQUENCE {
                    sRNC-RelocationInfo-v390ext         SRNC-RelocationInfo-v390ext-
IEs,
                    v3a0NonCriticalExtensions           SEQUENCE {
                        sRNC-RelocationInfo-v3a0ext         SRNC-RelocationInfo-
v3a0ext-IEs,
                        v3b0NonCriticalExtensions           SEQUENCE {
                            sRNC-RelocationInfo-v3b0ext         SRNC-RelocationInfo-
v3b0ext-IEs,
                            v3c0NonCriticalExtensions           SEQUENCE {
                                sRNC-RelocationInfo-v3c0ext         SRNC-
RelocationInfo-v3c0ext-IEs,
                            v4xyNonCriticalExtensions           SEQUENCE {
                            sRNC-RelocationInfo-v4xyext         SRNC-
RelocationInfo-v4xyext-IEs,
                            -- Reserved for future non critical extension
                            nonCriticalExtensions           SEQUENCE {}
    OPTIONAL
                            }       OPTIONAL
                        }       OPTIONAL
                    }       OPTIONAL
                }       OPTIONAL
            }       OPTIONAL
    },
    criticalExtensions              SEQUENCE {}
}

SRNC-RelocationInfo-r3-IEs ::=      SEQUENCE {
    -- Non-RRC IEs
        stateOfRRC                      StateOfRRC,
        stateOfRRC-Procedure            StateOfRRC-Procedure,
    -- Ciphering related information IEs
    -- If the extension v380 is included use the extension for the ciphering status
per CN domain
        cipheringStatus                 CipheringStatus,
```

```
        calculationTimeForCiphering     CalculationTimeForCiphering         OPTIONAL,
        cipheringInfoPerRB-List         CipheringInfoPerRB-List             OPTIONAL,
        count-C-List                    COUNT-C-List                        OPTIONAL,
        integrityProtectionStatus       IntegrityProtectionStatus,
        srb-SpecificIntegrityProtInfo   SRB-SpecificIntegrityProtInfoList,
        implementationSpecificParams    ImplementationSpecificParams        OPTIONAL,
    -- User equipment IEs
        u-RNTI                          U-RNTI,
        c-RNTI                          C-RNTI                              OPTIONAL,
        ue-RadioAccessCapability        UE-RadioAccessCapability,
        ue-Positioning-LastKnownPos     UE-Positioning-LastKnownPos         OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList
    OPTIONAL,
    -- UTRAN mobility IEs
        ura-Identity                    URA-Identity                        OPTIONAL,
    -- Core network IEs
        cn-CommonGSM-MAP-NAS-SysInfo    NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList        CN-DomainInformationList            OPTIONAL,
    -- Measurement IEs
        ongoingMeasRepList              OngoingMeasRepList                  OPTIONAL,
    -- Radio bearer IEs
        predefinedConfigStatusList      PredefinedConfigStatusList,
        srb-InformationList             SRB-InformationSetupList,
        rab-InformationList             RAB-InformationSetupList            OPTIONAL,
    -- Transport channel IEs
        ul-CommonTransChInfo            UL-CommonTransChInfo                OPTIONAL,
        ul-TransChInfoList              UL-AddReconfTransChInfoList         OPTIONAL,
        modeSpecificInfo                CHOICE {
            fdd                             SEQUENCE {
                cpch-SetID                      CPCH-SetID                  OPTIONAL,
                transChDRAC-Info                DRAC-StaticInformationList  OPTIONAL
            },
            tdd                             NULL
        },
        dl-CommonTransChInfo            DL-CommonTransChInfo                OPTIONAL,
        dl-TransChInfoList              DL-AddReconfTransChInfoList         OPTIONAL,
    -- Measurement report
        measurementReport               MeasurementReport                   OPTIONAL ,
        nonCriticalExtensions           SEQUENCE {
            -- In case of TDD only up-Ipdl-Parameters-TDD is present, otherwise
            -- this IE is absent
            up-Ipdl-Parameters-TDD          UE-Positioning-IPDL-Parameters-TDD-r4-ext   OPTIONAL,
        -- Extension mechanism for non- release4 information
            nonCriticalExtensions           SEQUENCE {}                                 OPTIONAL
        }                                                                               OPTIONAL
}

SRNC-RelocationInfo-v380ext-IEs ::= SEQUENCE {
    -- Ciphering related information IEs
        cn-DomainIdentity               CN-DomainIdentity,
        cipheringStatusList             CipheringStatusList
}

SRNC-RelocationInfo-v390ext-IEs ::= SEQUENCE {
        cn-DomainInformationList-v390ext    CN-DomainInformationList-v390ext
    OPTIONAL,
        ue-RadioAccessCapability-v370ext    UE-RadioAccessCapability-v370ext
    OPTIONAL,
        ue-RadioAccessCapability-v380ext    UE-RadioAccessCapability-v380ext
    OPTIONAL,
        dl-PhysChCapabilityFDD-v380ext      DL-PhysChCapabilityFDD-v380ext,
        failureCauseWithProtErr             FailureCauseWithProtErr
    OPTIONAL
}

SRNC-RelocationInfo-v3a0ext-IEs ::= SEQUENCE {
        -- cn-domain identity for IE startValueForCiphering-v3a0ext is specified
        -- in subsequent extension (SRNC-RelocationInfo-v3b0ext-IEs)
        startValueForCIphering-v3a0ext      START-Value,
        cipheringInfoForSRB1-v3a0ext        CipheringInfoForSRB1-v3a0ext,
        ue-RadioAccessCapability-v3a0ext    UE-RadioAccessCapability-v3a0ext
    OPTIONAL
}

SRNC-RelocationInfo-v3b0ext-IEs ::= SEQUENCE {
```

```
        -- cn-domain identity for IE startValueForCiphering-v3a0ext included in
previous extension
        cn-DomainIdentity               CN-DomainIdentity,
        -- the remaining start values are contained in IE startValueForCiphering-
v3b0ext
        startValueForCiphering-v3b0ext      STARTList2
    OPTIONAL
}
SRNC-RelocationInfo-v3c0ext-IEs ::= SEQUENCE {
-- RB Identity on which the source SRNC will send the message contained in the
-- IE "TargetRNC-ToSourceRNC-Container". Only included if type is "UE involved"
        rb-Identity                     RB-Identity         OPTIONAL
}

STARTList2 ::=                      SEQUENCE (SIZE (2..maxCNdomains)) OF
                                        STARTSingle

SRNC-RelocationInfo-v4xyext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v4xyext    UE-RadioAccessCapability-v4xyext
}

CipheringInfoForSRB1-v3a0ext ::= SEQUENCE {
        dl-UM-SN                            BIT STRING (SIZE (7))
}

CipheringStatusList ::=         SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CipheringStatusCNdomain

CipheringStatusCNdomain ::=             SEQUENCE {
        cn-DomainIdentity               CN-DomainIdentity,
        cipheringStatus                 CipheringStatus
}

SRNC-RelocationInfo-r4 ::=          SEQUENCE {
    -- Non-RRC IEs
-- RB Identity on which the source SRNC will send the message contained in the
-- IE "TargetRNC-ToSourceRNC-Container". Only included if type is "UE involved"
        rb-Identity                     RB-Identity                         OPTIONAL,
        stateOfRRC                      StateOfRRC,
        stateOfRRC-Procedure            StateOfRRC-Procedure,
        cipheringStatus                 CipheringStatus,
        calculationTimeForCiphering     CalculationTimeForCiphering         OPTIONAL,
        cipheringInfoPerRB-List         CipheringInfoPerRB-List             OPTIONAL,
        integrityProtectionStatus       IntegrityProtectionStatus,
        srb-SpecificIntegrityProtInfo   SRB-SpecificIntegrityProtInfoList,
        implementationSpecificParams    ImplementationSpecificParams        OPTIONAL,
    -- User equipment IEs
        u-RNTI                          U-RNTI,
        c-RNTI                          C-RNTI                              OPTIONAL,
        ue-RadioAccessCapability        UE-RadioAccessCapability,
        ue-Positioning-LastKnownPos     UE-Positioning-LastKnownPos         OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList
    OPTIONAL,
    -- UTRAN mobility IEs
        ura-Identity                    URA-Identity                        OPTIONAL,
    -- Core network IEs
        cn-CommonGSM-MAP-NAS-SysInfo    NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList        CN-DomainInformationList            OPTIONAL,
    -- Measurement IEs
        ongoingMeasRepList              OngoingMeasRepList-r4               OPTIONAL,
    -- Radio bearer IEs
        predefinedConfigStatusList      PredefinedConfigStatusList,
        srb-InformationList             SRB-InformationSetupList,
        rab-InformationList             RAB-InformationSetupList            OPTIONAL,
    -- Transport channel IEs
        ul-CommonTransChInfo            UL-CommonTransChInfo                OPTIONAL,
        ul-TransChInfoList              UL-AddReconfTransChInfoList         OPTIONAL,
        modeSpecificInfo                CHOICE {
            fdd                             SEQUENCE {
                cpch-SetID                      CPCH-SetID                  OPTIONAL,
                transChDRAC-Info                DRAC-StaticInformationList  OPTIONAL
            },
            tdd                             NULL
        },
        dl-CommonTransChInfo            DL-CommonTransChInfo                OPTIONAL,
```

```
        dl-TransChInfoList              DL-AddReconfTransChInfoList         OPTIONAL,
    -- Measurement report
        measurementReport               MeasurementReport                   OPTIONAL,
        nonCriticalExtensions           SEQUENCE {
            -- In case of TDD only up-Ipdl-Parameters-TDD is present, otherwise
            -- this IE is absent
            up-Ipdl-Parameters-TDD          UE-Positioning-IPDL-Parameters-TDD-r4-ext   OPTIONAL,
        -- Extension mechanism for non- release4 information
            nonCriticalExtensions           SEQUENCE {}                                 OPTIONAL
        }                                                                               OPTIONAL
}

-- IE definitions

CalculationTimeForCiphering ::=     SEQUENCE {
    cell-Id                             CellIdentity,
    sfn                                 INTEGER (0..4095)
}

CipheringInfoPerRB ::=              SEQUENCE {
    dl-HFN                              BIT STRING (SIZE (20..25)),
    ul-HFN                              BIT STRING (SIZE (20..25))
}

-- TABULAR: CipheringInfoPerRB-List, multiplicity value numberOfRadioBearers
-- has been replaced with maxRB.
CipheringInfoPerRB-List ::=         SEQUENCE (SIZE (1..maxRB)) OF
                                        CipheringInfoPerRB

CipheringStatus ::=                 ENUMERATED {
                                        started, notStarted }

CN-DomainInformation-v390ext ::=        SEQUENCE {
    cn-DRX-CycleLengthCoeff             CN-DRX-CycleLengthCoefficient
}

CN-DomainInformationList-v390ext ::=    SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CN-DomainInformation-v390ext

COUNT-C-List ::=                        SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        COUNT-CSingle

COUNT-CSingle ::=                       SEQUENCE {
    cn-DomainIdentity                   CN-DomainIdentity,
    count-C                             BIT STRING (SIZE (32))
}

-- The structure of DL-RFC3095-Context is FFS
DL-RFC3095-Context ::=              SEQUENCE {
    rfc3095-Context-Identity            INTEGER (0..16383),
    dl-mode                             ENUMERATED {u, o, r}
}

ImplementationSpecificParams ::=    BIT STRING (SIZE (1..512))


IntegrityProtectionStatus ::=       ENUMERATED {
                                        started, notStarted }

MeasurementCommandWithType ::=      CHOICE {
    setup                               MeasurementType,
    modify                              NULL,
    release                             NULL
}

MeasurementCommandWithType-r4 ::=   CHOICE {
    setup                               MeasurementType-r4,
    modify                              NULL,
    release                             NULL
}

OngoingMeasRep ::=                  SEQUENCE {
    measurementIdentity         MeasurementIdentity,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType
    measurementCommandWithType          MeasurementCommandWithType,
```

```
    measurementReportingMode            MeasurementReportingMode            OPTIONAL,
    additionalMeasurementID-List        AdditionalMeasurementID-List        OPTIONAL
}

OngoingMeasRep-r4 ::=               SEQUENCE {
    measurementIdentity         MeasurementIdentity,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType-r4.
    measurementCommandWithType          MeasurementCommandWithType-r4,
    measurementReportingMode            MeasurementReportingMode            OPTIONAL,
    additionalMeasurementID-List        AdditionalMeasurementID-List        OPTIONAL
}

OngoingMeasRepList ::=              SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                        OngoingMeasRep

OngoingMeasRepList-r4 ::=           SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                        OngoingMeasRep-r4

RFC3095-ContextInfo ::=             SEQUENCE {
    rb-Identity                         RB-Identity,
    rfc3095-Context-List                RFC3095-Context-List
}

RFC3095-Context-List ::=            SEQUENCE (SIZE (1..maxRFC3095-CID)) OF SEQUENCE {
    dl-RFC3095-Context                  DL-RFC3095-Context      OPTIONAL,
    ul-RFC3095-Context                  UL-RFC3095-Context      OPTIONAL
 }

SRB-SpecificIntegrityProtInfo ::=   SEQUENCE {
    ul-RRC-HFN                          BIT STRING (SIZE (28)),
    dl-RRC-HFN                          BIT STRING (SIZE (28)),
    ul-RRC-SequenceNumber               RRC-MessageSequenceNumber,
    dl-RRC-SequenceNumber               RRC-MessageSequenceNumber
}

SRB-SpecificIntegrityProtInfoList ::= SEQUENCE (SIZE (4..maxSRBsetup)) OF
                                        SRB-SpecificIntegrityProtInfo

StateOfRRC ::=                      ENUMERATED {
                                        cell-DCH, cell-FACH,
                                        cell-PCH, ura-PCH }

StateOfRRC-Procedure ::=            ENUMERATED {
                                        awaitNoRRC-Message,
                                        awaitRRC-ConnectionRe-establishmentComplete,
                                        awaitRB-SetupComplete,
                                        awaitRB-ReconfigurationComplete,
                                        awaitTransportCH-ReconfigurationComplete,
                                        awaitPhysicalCH-ReconfigurationComplete,
                                        awaitActiveSetUpdateComplete,
                                        awaitHandoverComplete,
                                        sendCellUpdateConfirm,
                                        sendUraUpdateConfirm,
                                        sendRrcConnectionReestablishment,
                                        otherStates
}

UE-Positioning-LastKnownPos ::=     SEQUENCE {
        sfn                             INTEGER (0..4095),
        cell-id                         CellIdentity,
        positionEstimate                PositionEstimate
}

-- The structure of UL-RFC3095-Context is FFS
UL-RFC3095-Context ::=              SEQUENCE {
    rfc3095-Context-Identity            INTEGER (0..16383),
    ul-mode                             ENUMERATED {u, o, r}
}

END
```

14.12.2 RRC information, target RNC to source RNC

There are 2 possible cases for RNC relocation:

1. The UE is already under control of target RNC; and

2. The SRNC Relocation with Hard Handover (UE still under control of SRNC), but UE is moving to a location controlled by the target RNC (based on measurement information).

In case 1 the relocation is transparent to the UE and there is no "reverse" direction container. The SRNC just assigns the 'serving' function to the target RNC, which then becomes the Serving RNC.

In case 2 the relocation is initiated by SRNC, which also provides the RRC Initialisation Information to the target RNC. Base on this information, the target RNC prepares the Hard Handover Message ("Physical channel reconfiguration" (subclause 8.2.6), "radio bearer establishment" (subclause 8.2.1), "Radio bearer reconfiguration" (subclause 8.2.2), "Radio bearer release" (subclause 8.2.3) or "Transport channel reconfiguration" (subclause 8.2.4).

In case 2 two possibilities are defined in order to transmit the relocation message from the target RNC to the source RNC which can be chosen by the source RNC by including or not including the IE “RB Id for handover message” in the IE “SRNS Relocation Info”.

In case the IE “RB Id for handover message” has been received by the target RNC in the IE “SRNS Relocation Info”, the target RNC should choose IE “DL DCCH message” and include the DL DCCH message that should be transmitted transparently to the UE by the source RNC. In that case, the target RNC is integrity protecting the message if applicable.

If the target RNC did not receive the IE “RB Id for handover message” in the IE “SRNS Relocation Info” the target RNC should use another choice. In that case, the source RNC should integrity protect the message before transmitting it to the UE if applicable.

The source RNC then transmits the Handover Message to the UE, which then performs the handover.

In the successful case, the UE transmits an XXX COMPLETE message, using the new configuration, to the target RNC.

In case of failure, the UE transmits an XXX FAILURE, using the old configuration, to the source RNC and the RRC context remains unchanged (has to be confirmed and checked with the SRNS relocation procedure).

Information Element/Group name	Need	Multi	Type and reference	Semantics description
CHOICE *RRC message*	MP			At least one spare choice, Criticality: Reject, is needed
>RADIO BEARER SETUP			RADIO BEARER SETUP 10.2.31	
>RADIO BEARER RECONFIGURATION			RADIO BEARER RECONFIGURATION 10.2.25	
>RADIO BEARER RELEASE			RADIO BEARER RELEASE 10.2.28	
>TRANSPORT CHANNEL RECONFIGURATION			TRANSPORT CHANNEL RECONFIGURATION 10.2.51	
>PHYSICAL CHANNEL RECONFIGURATION			PHYSICAL CHANNEL RECONFIG	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
			URATION 10.2.20	
>RRC FAILURE INFO			RRC FAILURE INFO 10.2.41 a	
>DL DCCH message			OCTET STRING	

14.12.4.2 SRNS RELOCATION INFO

This RRC message is sent between network nodes when preparing for an SRNS relocation.

With the presence or absence of the IE "RB identity for Hard Handover message" the source RNC indicates to the target SRNC whether the source RNC expects to receive the choice "DL DCCH message" in the IE "RRC information, target RNC to source RNC" in case the SRNS relocation is of type "UE involved". Furthermore the target RNC uses this information for the calculation of the MAC-I

Direction: source RAT→target RNC

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
Non RRC IEs				
RB identity for Hard Handover message	OP		RB identity 10.3.4.16	Gives the id of the radio bearer on which the source RNC will transmit the RRC message in the case the relocation is of type "UE involved".
>State of RRC	MP		RRC state indicator, 10.3.3.35a	
>State of RRC procedure	MP		Enumerated (await no RRC message, Complete, await RB Setup Complete, await RB Reconfiguration Complete, await RB Release Complete, await Transport CH Reconfiguration Complete, await Physical CH Reconfiguration Complete, await Active Set Update Complete,	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			await Handover Complete, send Cell Update Confirm, send URA Update Confirm, , others)	
Ciphering related information				
>Ciphering status for each CN domain	MP	<1 to maxCNDomains>		
>>CN domain identity	MP		CN domain identity 10.3.1.1	
>>Ciphering status	MP		Enumerated(Not started, Started)	
>>START	MP		START 10.3.3.38	START value to be used in this CN domain.
>Latest configured CN domain	MP		CN domain identity 10.3.1.1	Value contained in the variable of the same name.
>Calculation time for ciphering related information	CV-*Ciphering*			Time when the ciphering information of the message were calculated, relative to a cell of the target RNC
>>Cell Identity	MP		Cell Identity 10.3.2.2	Identity of one of the cells under the target RNC and included in the active set of the current call
>>SFN	MP		Integer(0..4095)	
>COUNT-C list	CV-*Ciphering*	1 to <maxCNdomains>		COUNT-C values for radio bearers using transparent mode RLC
>>CN domain identity	MP		CN domain identity 10.3.1.1	
>>COUNT-C	MP		Bit string(32)	
>Ciphering info per radio bearer	OP	1 to <maxRB>		For signalling radio bearers this IE is mandatory.
>>RB identity	MP		RB identity 10.3.4.16	
>>Downlink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)
>>Downlink SN	CV-*SRB1*		Bit String(7)	VT(US) of RLC UM
>>Uplink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)
Integrity protection related information				
>Integrity protection status	MP		Enumerated(Not started, Started)	
>Signalling radio bearer specific integrity protection information	CV-*IP*	4 to <maxSRBsetup>		
>>Uplink RRC HFN	MP		Bit string (28)	
>>Downlink RRC HFN	MP		Bit string	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			(28)	
>>Uplink RRC Message sequence number	MP		Integer (0..15)	
>>Downlink RRC Message sequence number	MP		Integer (0..15)	
>Implementation specific parameters	OP		Bit string (1..512)	
RRC IEs				
UE Information elements				
>U-RNTI	MP		U-RNTI 10.3.3.47	
>C-RNTI	OP		C-RNTI 10.3.3.8	
>UE radio access Capability	MP		UE radio access capability 10.3.3.42	
>UE radio access capability extension	OP		UE radio access capability extension 10.3.3.42a	
>Last known UE position	OP			
>>SFN	MP		Integer (0..4095)	Time when position was estimated
>>Cell ID	MP		Cell identity; 10.3.2.2	Indicates the cell, the SFN is valid for.
>>CHOICE *Position estimate*	MP			
>>>Ellipsoid Point			Ellipsoid Point; 10.3.8.4a	
>>>Ellipsoid point with uncertainty circle			Ellipsoid point with uncertainty circle 10.3.8.4d	
>>>Ellipsoid point with uncertainty ellipse			Ellipsoid point with uncertainty ellipse 10.3.8.4e	
>>>Ellipsoid point with altitude			Ellipsoid point with altitude 10.3.8.4b	
>>>Ellipsoid point with altitude and uncertainty ellipsoid			Ellipsoid point with altitude and uncertainty ellipsoid 10.3.8.4c	
Other Information elements				
>UE system specific capability	OP	1 to <maxSystemCapability>		
>>Inter-RAT UE radio access capability	MP		Inter-RAT UE radio access capability 10.3.8.7	
UTRAN Mobility Information elements				
>URA Identifier	OP		URA identity	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			10.3.2.6	
CN Information Elements				
>CN common GSM-MAP NAS system information	MP		NAS system information (GSM-MAP) 10.3.1.9	
>CN domain related information	OP	1 to <MaxCNdomains>		CN related information to be provided for each CN domain
>>CN domain identity	MP			
>>CN domain specific GSM-MAP NAS system info	MP		NAS system information (GSM-MAP) 10.3.1.9	
>>CN domain specific DRX cycle length coefficient	MP		CN domain specific DRX cycle length coefficient, 10.3.3.6	
Measurement Related Information elements				
>For each ongoing measurement reporting	OP	1 to <MaxNoOfMeas>		
>>Measurement Identity	MP		Measurement identity 10.3.7.48	
>>Measurement Command	MP		Measurement command 10.3.7.46	
>>Measurement Type	CV-*Setup*		Measurement type 10.3.7.50	
>>Measurement Reporting Mode	OP		Measurement reporting mode 10.3.7.49	
>>Additional Measurements list	OP		Additional measurements list 10.3.7.1	
>>CHOICE *Measurement*	OP			
>>>Intra-frequency				
>>>>Intra-frequency cell info	OP		Intra-frequency cell info list 10.3.7.33	
>>>>Intra-frequency measurement quantity	OP		Intra-frequency measurement quantity 10.3.7.38	
>>>>Intra-frequency reporting quantity	OP		Intra-frequency reporting quantity 10.3.7.41	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>>>>>Intra-frequency measurement reporting criteria			Intra-frequency measurement reporting criteria 10.3.7.39	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Inter-frequency				
>>>>Inter-frequency cell info	OP		Inter-frequency cell info list 10.3.7.13	
>>>>Inter-frequency measurement quantity	OP		Inter-frequency measurement quantity 10.3.7.18	
>>>>Inter-frequency reporting quantity	OP		Inter-frequency reporting quantity 10.3.7.21	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Inter-frequency measurement reporting criteria			Inter-frequency measurement reporting criteria 10.3.7.19	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Inter-RAT				
>>>>Inter-RAT cell info	OP		Inter-RAT cell info list 10.3.7.23	
>>>>Inter-RAT measurement quantity	OP		Inter-RAT measurement quantity 10.3.7.29	
>>>>Inter-RAT reporting quantity	OP		Inter-RAT reporting quantity 10.3.7.32	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Inter-RAT measurement			Inter-RAT	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
reporting criteria			measurement reporting criteria 10.3.7.30	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Traffic Volume				
>>>>Traffic volume measurement Object	OP		Traffic volume measurement object 10.3.7.70	
>>>>Traffic volume measurement quantity	OP		Traffic volume measurement quantity 10.3.7.71	
>>>>Traffic volume reporting quantity	OP		Traffic volume reporting quantity 10.3.7.74	
>>>>CHOICE *report criteria*	OP			
>>>>>Traffic volume measurement reporting criteria			Traffic volume measurement reporting criteria 10.3.7.72	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Quality				
>>>>Quality measurement Object	OP		Quality measurement object	
>>>>CHOICE *report criteria*	OP			
>>>>>Quality measurement reporting criteria			Quality measurement reporting criteria 10.3.7.58	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>UE internal				
>>>>UE internal measurement quantity	OP		UE internal measurement quantity 10.3.7.79	
>>>>UE internal reporting quantity	OP		UE internal reporting quantity 10.3.7.82	
>>>>CHOICE *report criteria*	OP			
>>>>>UE internal measurement reporting criteria			UE internal measuremen	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			t reporting criteria 10.3.7.80	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>UE positioning				
>>>>LCS reporting quantity	OP		LCS reporting quantity 10.3.7.111	
>>>>CHOICE *report criteria*	OP			
>>>>>LCS reporting criteria			LCS reporting criteria 10.3.7.110	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting				
Radio Bearer Information Elements				
>Predefined configuration status information	OP		Predefined configuration status information 10.3.4.5a	
>Signalling RB information list	MP	1 to <maxSRBsetup>		For each signalling radio bearer
>>Signalling RB information	MP		Signalling RB information to setup 10.3.4.24	
>RAB information list	OP	1 to <maxRABsetup>		Information for each RAB
>>RAB information	MP		RAB information to setup 10.3.4.10	
Transport Channel Information Elements				
Uplink transport channels				
>UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
>UL transport channel information list	OP	1 to <MaxTrCH>		
>>UL transport channel information	MP		Added or reconfigured UL TrCH information 10.3.5.2	
>CHOICE *mode*	OP			

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>>FDD				
>>>CPCH set ID	OP		CPCH set ID 10.3.5.5	
>>>Transport channel information for DRAC list	OP	1 to <MaxTrCH>		
>>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>>TDD				(no data)
Downlink transport channels				
>DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	
>DL transport channel information list	OP	1 to <MaxTrCH>		
>>DL transport channel information	MP		Added or reconfigured DL TrCH information 10.3.5.1	
>Measurement report	OP		MEASUREMENT REPORT 10.2.17	
Other Information elements				
Failure cause	OP		Failure cause 10.3.3.13	Diagnostics information related to an earlier SRNC Relocation request (see NOTE 2 in 14.12.0a)
Protocol error information	CV-*ProtErr*		Protocol error information 10.3.8.12	

Multi Bound	Explanation
MaxNoOfMeas	Maximum number of active measurements, upper limit 16

Condition	Explanation
Setup	The IE is mandatory present when the IE Measurement command has the value "Setup", otherwise the IE is not needed.
Ciphering	The IE is mandatory present when the IE Ciphering Status has the value "started" and the ciphering counters need not be reinitialised, otherwise the IE is not needed.
IP	The IE is mandatory present when the IE Integrity protection status has the value "started" and the integrity protection counters need not be reinitialised, otherwise the IE is not needed.
ProtErr	This IE is mandatory present if the IE "Protocol error indicator" is included and has the value "TRUE". Otherwise it is not needed.
SRB1	The IE is mandatory present for RB1. Otherwise it is not needed.