

**TSG RAN Meeting #17**  
**Biarritz, France, 3 - 6 September, 2002**

**RP-020625**

**Title** CRs (Rel-5 only) for UTRAN sharing in connected mode  
**Source** TSG RAN WG3  
**Agenda Item** 7.3.5

RAN3 Tdoc	Spec	curr. Vers.	new Vers.	REL	CR	Rev	Cat	Title	Work item
R3-022134	25.423	5.2.0	5.3.0	REL-5	702	1	B	Introduction of Shared Network Area information support	NETSHARE
R3-022121	25.401	5.3.0	5.4.0	REL-5	057	1	B	Introduction of the Access Control Function: SNA	NETSHARE
R3-022171	25.413	5.1.0	5.2.0	REL-5	504	2	B	Shared Networks in connected mode – Information Transfer	NETSHARE

## CHANGE REQUEST

# **25.401 CR 057** # rev **1** # Current version: **5.3.0** #

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

**Proposed change affects:** UICC apps #  ME  Radio Access Network  Core Network

<b>Title:</b>	# Introduction of the Access Control Function: SNA	
<b>Source:</b>	# RAN WG3	
<b>Work item code:</b>	# NETSHARE	<b>Date:</b> # 22/08/2002
<b>Category:</b>	# <b>B</b> Use <u>one</u> of the following categories: <b>F</b> (correction) <b>A</b> (corresponds to a correction in an earlier release) <b>B</b> (addition of feature), <b>C</b> (functional modification of feature) <b>D</b> (editorial modification) Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .	<b>Release:</b> # <b>REL-5</b> Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

<b>Reason for change:</b>	# It has been clarified (TR R3.012) that in order to support certain shared network scenarios, it is required to be able to differentiate LA access rights for different UEs.  This contribution proposes to introduce the required mechanism in 25.401. Detailed consequences for the different protocols are described in the corresponding 25.413 and 25.423 CRs.
<b>Summary of change:</b>	# - Introduction of Shared Network Area definition; - Description of Access Control Function;
<b>Consequences if not approved:</b>	

<b>Clauses affected:</b>	# 3.1, 3.2, 7.2.3.x (new)					
<b>Other specs</b>	# <table border="1" style="display: inline-table; vertical-align: middle;"><tr><td>Y</td><td>N</td></tr><tr><td>Y</td><td></td></tr></table> Other core specifications	Y	N	Y		# TS 25.410 TS 25.413 CR 504r2 TS 25.423 CR 702r1 TS 23.003 TS 23.009 TS 29.002 TS 29.010
Y	N					
Y						
<b>affected:</b>	# <table border="1" style="display: inline-table; vertical-align: middle;"><tr><td></td><td>N</td></tr><tr><td>Y</td><td></td></tr></table> Test specifications O&M Specifications		N	Y		
	N					
Y						
<b>Other comments:</b>	#					

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

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## 3 Definitions and abbreviations

### 3.1 Definitions

For the purposes of the present document, the following terms and definitions apply:

**ALCAP:** generic name for the transport signalling protocols used to set-up and tear-down transport bearers

**Cell:** Radio Network object that can be uniquely identified by a User Equipment from a (cell) identification that is broadcasted over a geographical area from one *UTRAN Access Point*  
A Cell is either FDD or TDD mode.

**Iu:** interface between an RNC and an MSC, SGSN or CBC, providing an interconnection point between the RNS and the Core Network. It is also considered as a reference point

**Iub:** interface between the RNC and the Node B

**Iur:** logical interface between two RNCs

Whilst logically representing a point to point link between RNCs, the physical realisation need not be a point to point link.

**Logical Model:** Logical Model defines an abstract view of a network or network element by means of information objects representing network element, aggregations of network elements, the topological relationship between the elements, endpoints of connections (termination points), and transport entities (such as connections) that transport information between two or more termination points

The information objects defined in the Logical Model are used, among others, by connection management functions. In this way, a physical implementation independent management is achieved.

**Node B:** logical node in the RNS responsible for radio transmission / reception in one or more cells to/from the UE  
The logical node terminates the Iub interface towards the RNC.

**Radio Resources:** resources that constitute the radio interface in UTRAN, e.g. frequencies, scrambling codes, spreading factors, power for common and dedicated channels

**Node B Application Part:** Radio Network Signalling over the Iub

**Radio Network Controller:** logical node in the RNS in charge of controlling the use and the integrity of the radio resources

**Controlling RNC:** role an RNC can take with respect to a specific set of Node B's  
There is only one Controlling RNC for any Node B. The Controlling RNC has the overall control of the logical resources of its node B's.

**Radio Network Subsystem:** RNS can be either a full UTRAN or only a part of a UTRAN  
An RNS offers the allocation and release of specific radio resources to establish means of connection in between an UE and the UTRAN. A Radio Network Subsystem contains one RNC and is responsible for the resources and transmission/reception in a set of cells.

**Serving RNS:** role an RNS can take with respect to a specific connection between an UE and UTRAN  
There is one Serving RNS for each UE that has a connection to UTRAN. The Serving RNS is in charge of the radio connection between a UE and the UTRAN. The Serving RNS terminates the Iu for this UE.

**Drift RNS:** role an RNS can take with respect to a specific connection between an UE and UTRAN  
An RNS that supports the Serving RNS with radio resources when the connection between the UTRAN and the UE need to use cell(s) controlled by this RNS is referred to as Drift RNS.

**Radio Access Network Application Part:** Radio Network Signalling over the Iu

**Radio Network Subsystem Application Part:** Radio Network Signalling over the Iur

**RRC Connection:** point-to-point bi-directional connection between RRC peer entities on the UE and the UTRAN sides, respectively  
An UE has either zero or one RRC connection.

**Standalone A-GPS SMLC:** logical node that interconnects to the RNC over the Iupc interface via the PCAP protocol  
This node provides GPS related data to the RNC and may perform the position calculation function.

**User Equipment:** Mobile Equipment with one or several UMTS Subscriber Identity Module(s)  
A device allowing a user access to network services via the Uu interface. The UE is defined in ref. [8].

**Universal Terrestrial Radio Access Network:** UTRAN is a conceptual term identifying that part of the network which consists of RNCs and Node Bs between Iu and Uu  
The concept of UTRAN instantiation is currently undefined.

**UTRAN Access Point:** conceptual point within the UTRAN performing radio transmission and reception  
A UTRAN access point is associated with one specific *cell*, i.e. there exists one UTRAN access point for each cell. It is the UTRAN-side end point of a *radio link*.

**Radio Link:** "radio link" is a logical association between a single User Equipment and a single UTRAN access point  
Its physical realisation comprises one or more radio bearer transmissions.

**Radio Link Set:** set of one or more Radio Links that has a common generation of Transmit Power Control (TPC) commands in the DL

**Uu:** Radio interface between UTRAN and the User Equipment

**RAB sub-flows:** Radio Access Bearer can be realised by UTRAN through several sub-flows  
These sub-flows correspond to the NAS service data streams that have QoS characteristics that differ in a predefined manner within a RAB e.g. different reliability classes.

RAB sub-flows have the following characteristics:

- 1) The sub-flows of a RAB are established and released at the RAB establishment and release, respectively.
- 2) The sub-flows of a RAB are submitted and delivered together at the RAB SAP.
- 3) The sub-flows of a RAB are carried over the same Iu transport bearer.
- 4) The sub-flows of a RAB are organised in a predefined manner at the SAP and over the Iu interface. The organisation is imposed by the NAS as part of its co-ordination responsibility.

**Set of co-ordinated DCHs:** set of co-ordinated DCHs is a set of dedicated transport channels that are always established and released in combination

Individual DCHs within a set of co-ordinated DCHs cannot be operated on individually e.g. if the establishment of one DCH fails, the establishment of all other DCHs in the set of co-ordinated DCHs shall be terminated unsuccessfully. A set of coordinated DCHs is transferred over one transport bearer. All DCHs in a set of co-ordinated DCHs shall have the same TTI.

**Shared Network Area (SNA):** Area consisting of one or more LA's to which access can be controlled.

## 3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

AAL	ATM Adaptation Layer
AAL2	ATM Adaptation Layer 2
ALCAP	Access Link Control Application Part
ATM	Asynchronous Transfer Mode
BM-IWF	Broadcast Multicast Interworking Function
BMC	Broadcast/Multicast Control
BSS	Base Station Subsystem
CBC	Cell Broadcast Centre
CBS	Cell Broadcast Service

CN	Core Network
CPCH	Common Packet Channel
CRNC	Controlling Radio Network Controller
DCH	Dedicated Channel
DL	Downlink
DRNS	Drift RNS
FACH	Forward Access Channel
FFS	For Further Study
GTP	GPRS Tunnelling Protocol
IPv4	Internet Protocol, version 4
IPv6	Internet Protocol, version 6
<u>LA</u>	<u>Location Area</u>
MAC	Medium Access Control
NAS	Non Access Stratum
NBAP	Node B Application Part
NNSF	NAS Node Selection Function
NSAP	Network Service Access Point
PCH	Paging Channel
QoS	Quality of Service
RAB	Radio Access Bearer
RACH	Random Access Channel
RANAP	Radio Access Network Application Part
RNC	Radio Network Controller
RNL	Radio Network Layer
RNS	Radio Network Subsystem
RNSAP	Radio Network Subsystem Application Part
RNTI	Radio Network Temporary Identity
SAB	Service Area Broadcast
SAS	Standalone A-GPS SMLC
SMLC	Serving Mobile Location Centre
<u>SNA</u>	<u>Shared Network Area</u>
SRNC	Serving Radio Network Controller
SRNS	Serving RNS
TEID	Tunnel Endpoint Identifier
TNL	Transport Network Layer
TTI	Transmission Time Interval
UDP	User Datagram Protocol
UE	User Equipment
UL	Uplink
UMTS	Universal Mobile Telecommunication System
USIM	UMTS Subscriber Identity Module
UTRAN	Universal Terrestrial Radio Access Network

## 7.2.3 Functions related to Mobility

### 7.2.3.1 Handover

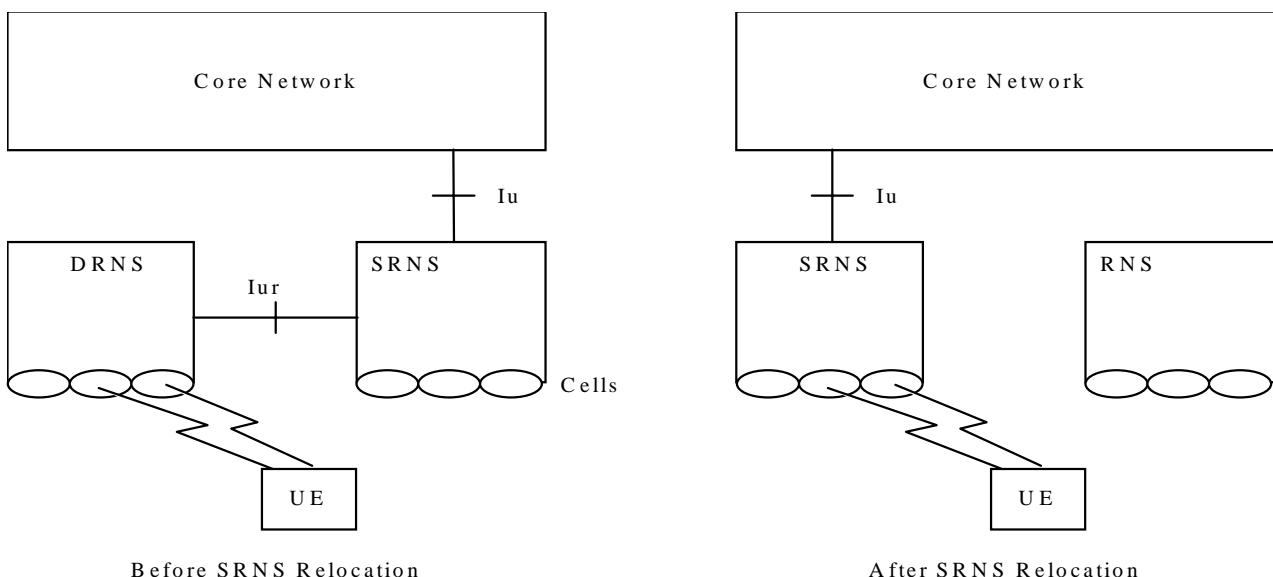
This function manages the mobility of the radio interface. It is based on radio measurements and it is used to maintains the Quality of Service requested by the Core Network.

Handover may be directed to/from another system (e.g. UMTS to GSM handover).

The handover function may be either controlled by the network, or independently by the UE. Therefore, this function may be located in the SRNC, the UE, or both.

### 7.2.3.2 SRNS Relocation

The SRNS Relocation function coordinates the activities when the SRNS role is to be taken over by another RNS. The SRNS relocation function manages the Iu interface connection mobility from an RNS to another.



**Figure 7: Serving RNS Relocation**

The SRNS Relocation is initiated by the SRNC.

This function is located in the RNC and the CN.

### 7.2.3.3 Paging support

This function provides the capability to request a UE to contact the UTRAN when the UE is in Idle, CELL\_PCH or URA\_PCH states [6]. This function also encompasses a coordination function between the different Core Network Domains onto a single RRC connection.

### 7.2.3.4 Positioning

This function provides the capability to determine the geographic position of a UE.

### 7.2.3.5 NAS Node Selection Function

The optional NAS Node Selection Function (NNSF) enables the RNC to initially assign CN resources to serve a UE and subsequently setup a signalling connection to the assigned CN resource.

The NNSF is described in detail in [20].

### 7.2.3.X SNA Access Control

The SNA access control function allows the CN to request the UTRAN to apply UE specific access control to LAs of the UTRAN and LAs of neighbouring networks.

The SNA access control function is based on Shared Network Areas (SNAs). An SNA is an area corresponding to one or more LAs, to which UE access can be controlled.

In order to apply SNA access control for the UTRAN or for a neighbouring system, the UTRAN shall be aware of whether the concerned LA belongs to one (or several) SNA(s) or not.

If SNA access for a specific UE needs to be restricted, the CN shall provide SNA Access Information for that UE. The SNA Access Information indicates which SNAs the UE is allowed to access.

The UTRAN determines if access to a certain LA for a certain UE shall be allowed.

If access is not allowed, the UTRAN shall prevent the UE to obtain new resources in the concerned LA.

## CHANGE REQUEST

# 25.413 CR 504 # rev 2 # Current version: 5.1.0 #

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**Proposed change affects:** UICC apps #  ME  Radio Access Network  Core Network

<b>Title:</b>	# Shared Networks in connected mode – Information Transfer	
<b>Source:</b>	# RAN WG3	
<b>Work item code:</b>	# NETSHARE	<b>Date:</b> # 13/08/2002
<b>Category:</b>	# <b>B</b> <i>Use one of the following categories:</i> <b>F</b> (correction) <b>A</b> (corresponds to a correction in an earlier release) <b>B</b> (addition of feature), <b>C</b> (functional modification of feature) <b>D</b> (editorial modification) <i>Detailed explanations of the above categories can be found in 3GPP TR 21.900.</i>	<b>Release:</b> # Rel-5 <i>Use one of the following releases:</i> 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

<b>Reason for change:</b>	# This change request introduces a feature to support shared radio networks in connected mode.  Access Information for the UE is provided within the COMMON ID and the RELOCATION REQUEST messages by introducing the SNA Access Information IE to inform the RNC of the SNAs in which the UE is authorised to access.  Additionally an Information Transfer procedure is introduced to load configuration data from the CN to the RNC in order to provide the RNC with SNA configuration data, i.e the mapping of LA to SNA for the LAs known in the RNC.
<b>Impact Assessment:</b>	Impact assessment towards the previous version of the specification (same release):  This CR has no impact as the support of shared networks in connected mode is optional functionality. Furthermore nodes not implementing the function can interwork with nodes supporting shared networks in connected mode.
	This CR has no impact under protocol and functional point of view.

<b>Summary of change:</b>	# The support of shared radio networks in connected mode is introduced.
<b>Consequences if not approved:</b>	

**Clauses affected:** # 3.3, 7, 8.1, 8.7, 8.16, 8.x.1 (new), 9.1.10, 9.1.24, 9.1.x1 (new), 9.1.x2 (new),

		9.1.x3 (new), 9.2.1.1, 9.2.1.x1 (new), 9.2.1.x2 (new), 9.2.3.x1 (new), 9.2.3.x2 (new), 9.2.3.x3 (new), 9.3 (ASN.1)					
<b>Other specs</b>	⌘	<table border="1" style="display: inline-table; vertical-align: middle;"> <tr> <td style="text-align: center;">Y</td> <td style="text-align: center;">N</td> </tr> <tr> <td style="text-align: center;"><b>X</b></td> <td></td> </tr> </table>	Y	N	<b>X</b>		Other core specifications ⌘ TS 25.401 Rel-5 CR 057r1 TS 25.410 Rel-5 TS 25.423 Rel-5 CR 702r1 TS 23.003 Rel-5 CR 050 TS 23.009 Rel-5 CR 080 either {TS 29.002 Rel-5 CR 466 TS 29.010 Rel-5 CR 058} or {TS 48.008 Rel-5 TS 29.010 Rel-5 CR 075}
Y	N						
<b>X</b>							
<b>affected:</b>	⌘	<table border="1" style="display: inline-table; vertical-align: middle;"> <tr> <td style="text-align: center;"></td> <td style="text-align: center;">X</td> </tr> <tr> <td style="text-align: center;"><b>X</b></td> <td></td> </tr> </table>		X	<b>X</b>		Test specifications O&M Specifications
	X						
<b>X</b>							
<b>Other comments:</b>			⌘				

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### 3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

AAL2	ATM Adaptation Layer type 2
AS	Access Stratum
ASN.1	Abstract Syntax Notation One
ATM	Asynchronous Transfer Mode
CC	Call Control
CN	Core Network
CRNC	Controlling RNC
CS	Circuit Switched
DCH	Dedicated Channel
DL	Downlink
DRNC	Drift RNC
DRNS	Drift RNS
DSCH	Downlink Shared Channel
EP	Elementary Procedure
GPRS	General Packet Radio System
GTP	GPRS Tunnelling Protocol
IE	Information Element
IMEI	International Mobile Equipment Identity
IMSI	International Mobile Subscriber Identity
IPv4	Internet Protocol (version 4)
IPv6	Internet Protocol (version 6)
MM	Mobility Management
MSC	Mobile services Switching Center
NAS	Non Access Stratum
NNSF	NAS Node Selection Function
N-PDU	Network – Protocol Data Unit
OSP:IHOSS	Octet Stream Protocol: Internet-Hosted Octet Stream Service
P-TMSI	Packet TMSI
PDCP	Packet Data Convergence Protocol
PDP	Packet Data Protocol
PDU	Protocol Data Unit
<b>PLMN</b>	<b>Public Land Mobile Network</b>
PPP	Point-to-Point Protocol
PS	Packet Switched
QoS	Quality of Service
RAB	Radio Access Bearer
RANAP	Radio Access Network Application Part
RNC	Radio Network Controller
RNS	Radio Network Subsystem
RRC	Radio Resource Control
SAI	Service Area Identifier
SAP	Service Access Point
SCCP	Signalling Connection Control Part
SDU	Service Data Unit
SGSN	Serving GPRS Support Node
<u>SNA</u>	<u>Shared Network Area</u>
<u>SNAC</u>	<u>Shared Network Area Code</u>
SRNC	Serving RNC
SRNS	Serving RNS
TEID	Tunnel Endpoint Identifier
TMSI	Temporary Mobile Subscriber Identity
UE	User Equipment
UEA	UMTS Encryption Algorithm
UIA	UMTS Integrity Algorithm
UL	Uplink
UMTS	Universal Mobile Telecommunications System
USCH	Uplink Shared Channel

UTRAN      UMTS Terrestrial Radio Access Network

## 7 Functions of RANAP

RANAP protocol has the following functions:

- Relocating serving RNC. This function enables to change the serving RNC functionality as well as the related Iu resources (RAB(s) and Signalling connection) from one RNC to another.
- Overall RAB management. This function is responsible for setting up, modifying and releasing RABs.
- Queuing the setup of RAB. The purpose of this function is to allow placing some requested RABs into a queue, and indicate the peer entity about the queuing.
- Requesting RAB release. While the overall RAB management is a function of the CN, the RNC has the capability to request the release of RAB.
- Release of all Iu connection resources. This function is used to explicitly release all resources related to one Iu connection.
- Requesting the release of all Iu connection resources. While the Iu release is managed from the CN, the RNC has the capability to request the release of all Iu connection resources from the corresponding Iu connection.
- SRNS context forwarding function. This function is responsible for transferring SRNS context from the RNC to the CN for intersystem change in case of packet forwarding.
- Controlling overload in the Iu interface. This function allows adjusting the load in the Iu interface.
- Resetting the Iu. This function is used for resetting an Iu interface.
- Sending the UE Common ID (permanent NAS UE identity) to the RNC. This function makes the RNC aware of the UE's Common ID.
- Paging the user. This function provides the CN for capability to page the UE.
- Controlling the tracing of the UE activity. This function allows setting the trace mode for a given UE. This function also allows the deactivation of a previously established trace.
- Transport of NAS information between UE and CN (see [8]). This function has two sub-classes:
  1. Transport of the initial NAS signalling message from the UE to CN. This function transfers transparently the NAS information. As a consequence also the Iu signalling connection is set up.
  2. Transport of NAS signalling messages between UE and CN. This function transfers transparently the NAS signalling messages on the existing Iu signalling connection. It also includes a specific service to handle signalling messages differently.
- Controlling the security mode in the UTRAN. This function is used to send the security keys (ciphering and integrity protection) to the UTRAN, and setting the operation mode for security functions.
- Controlling location reporting. This function allows the CN to operate the mode in which the UTRAN reports the location of the UE.
- Location reporting. This function is used for transferring the actual location information from RNC to the CN.
- Data volume reporting function. This function is responsible for reporting unsuccessfully transmitted DL data volume over UTRAN for specific RABs.
- Reporting general error situations. This function allows reporting of general error situations, for which function specific error messages have not been defined.
- Location related data. This function allows the CN to either retrieve from the RNC deciphering keys (to be forwarded to the UE) for the broadcasted assistance data, or request the RNC to deliver dedicated assistance data to the UE.
- Information Transfer. This function allows the CN to transfer information to the RNC.

These functions are implemented by one or several RANAP elementary procedures described in the following clause.

## 8 RANAP Procedures

### 8.1 Elementary Procedures

In the following tables, all EPs are divided into Class 1, Class 2 and Class 3 EPs (see subclause 3.1 for explanation of the different classes):

**Table 1: Class 1**

<b>Elementary Procedure</b>	<b>Initiating Message</b>	<b>Successful Outcome</b>	<b>Unsuccessful Outcome</b>
		<b>Response message</b>	<b>Response message</b>
Iu Release	IU RELEASE COMMAND	IU RELEASE COMPLETE	
Relocation Preparation	RELOCATION REQUIRED	RELOCATION COMMAND	RELOCATION PREPARATION FAILURE
Relocation Resource Allocation	RELOCATION REQUEST	RELOCATION REQUEST ACKNOWLEDGE	RELOCATION FAILURE
Relocation Cancel	RELOCATION CANCEL	RELOCATION CANCEL ACKNOWLEDGE	
SRNS Context Transfer	SRNS CONTEXT REQUEST	SRNS CONTEXT RESPONSE	
Security Mode Control	SECURITY MODE COMMAND	SECURITY MODE COMPLETE	SECURITY MODE REJECT
Data Volume Report	DATA VOLUME REPORT REQUEST	DATA VOLUME REPORT	
Reset	RESET	RESET ACKNOWLEDGE	
Reset Resource	RESET RESOURCE	RESET RESOURCE ACKNOWLEDGE	
Location related Data	LOCATION RELATED DATA REQUEST	LOCATION RELATED DATA RESPONSE	LOCATION RELATED DATA FAILURE
Information Transfer	INFORMATION TRANSFER INDICATION	INFORMATION TRANSFER CONFIRMATION	INFORMATION TRANSFER FAILURE

**Table 2: Class 2**

<b>Elementary Procedure</b>	<b>Message</b>
RAB Modification Request	RAB MODIFY REQUEST
RAB Release Request	RAB RELEASE REQUEST
Iu Release Request	IU RELEASE REQUEST
Relocation Detect	RELOCATION DETECT
Relocation Complete	RELOCATION COMPLETE
SRNS Data Forwarding Initiation	SRNS DATA FORWARD COMMAND
SRNS Context Forwarding from Source RNC to CN	FORWARD SRNS CONTEXT
SRNS Context Forwarding to Target RNC from CN	FORWARD SRNS CONTEXT
Paging	PAGING
Common ID	COMMON ID
CN Invoke Trace	CN INVOKE TRACE
CN Deactivate Trace	CN DEACTIVATE TRACE
Location Reporting Control	LOCATION REPORTING CONTROL
Location Report	LOCATION REPORT
Initial UE Message	INITIAL UE MESSAGE
Direct Transfer	DIRECT TRANSFER
Overload Control	OVERLOAD
Error Indication	ERROR INDICATION

**Table 3: Class 3**

<b>Elementary Procedure</b>	<b>Initiating Message</b>	<b>Response Message</b>
RAB Assignment	RAB ASSIGNMENT REQUEST	RAB ASSIGNMENT RESPONSE x N (N>=1)

The following applies concerning interference between Elementary Procedures:

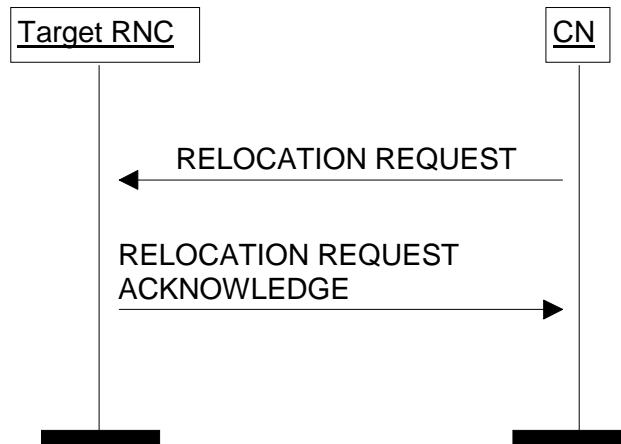
- The Reset procedure takes precedence over all other EPs.
- The Reset Resource procedure takes precedence over all other EPs except the Reset procedure.
- The Iu Release procedure takes precedence over all other EPs except the Reset procedure and the Reset Resource procedure.

## 8.7 Relocation Resource Allocation

### 8.7.1 General

The purpose of the Relocation Resource Allocation procedure is to allocate resources from target RNS for a relocation of SRNS. Procedure shall be co-ordinated in all Iu signalling connections existing for the UE. The procedure uses connection oriented signalling.

### 8.7.2 Successful Operation



**Figure 7: Relocation Resource Allocation procedure. Successful operation.**

The CN shall initiate the procedure by generating RELOCATION REQUEST message. In a UTRAN to UTRAN relocation, this message shall contain the information (if any) required by the UTRAN to build the same RAB configuration as existing for the UE before the relocation. The CN may indicate that RAB QoS negotiation is allowed for certain RAB parameters and in some cases also which alternative values to be used in the negotiation.

The CN shall transmit the RELOCATION REQUEST message to target RNC and the CN shall start the timer  $T_{RELOCalloc}$ .

When a RELOCATION REQUEST message is sent from a CN node towards an RNC for which the sending CN node is not the default CN node, the *Global CN-ID* IE shall be included.

Upon reception of the RELOCATION REQUEST message, the target RNC shall initiate allocation of requested resources.

The RELOCATION REQUEST message shall contain following IEs

- *Permanent NAS UE Identity* IE (if available)
- *Cause*
- *CN Domain Indicator*
- *Source RNC To Target RNC Transparent Container*
- *Iu Signalling Connection Identifier*
- *Integrity Protection Information* IE (if available)
- *SNA Access Information* IE (if available)

For each RAB requested to relocate (or to be created e.g. in the case of inter-system handover), the message shall contain following IEs:

- *RAB-ID*

- *NAS Synchronisation Indicator IE* (if the relevant NAS information is provided by the CN)
- *RAB parameters*
- *User Plane Information*
- *Transport Layer Address*
- *Iu Transport Association*
- *Data Volume Reporting Indication* (only for PS)
- *PDP Type Information* (only for PS)

The RELOCATION REQUEST message may include following IEs:

- *Encryption Information* (shall not be included if the *Integrity Protection Information IE* is not included)

For each RAB requested to relocate the message may include following IEs:

- *Service Handover.*
- *Alternative RAB Parameter Values.*

The following information elements received in RELOCATION REQUEST message require the same special actions in the RNC as specified for the same IEs in the RAB Assignment procedure:

- *RAB-ID*
- *User plane Information*(i.e. required User Plane Mode and required User Plane Versions)
- *Priority level, queuing and pre-emption indication*
- *Service Handover*

The *SDU Format Information Parameter IE* in the *RAB Parameters IE* shall be present only if the *User Plane Mode IE* is set to “support mode for pre-defined SDU sizes” and the *Traffic Class IE* is set to either “Conversational” or “Streaming”.

If the RELOCATION REQUEST message includes the *PDP Type Information IE*, the UTRAN may use this IE to configure any compression algorithms.

The *Cause IE* shall contain the same value as the one received in the related RELOCATION REQUIRED message.

The *Iu Signalling Connection Identifier IE* contains an Iu signalling connection identifier which is allocated by the CN, and which the RNC is required to store and remember for the duration of the Iu connection.

The algorithms within the *Integrity Protection Information IE* and the *Encryption Information IE* shall be ordered in preferred order with the most preferred first in the list.

The *Permitted Encryption Algorithms IE* within the *Encryption Information IE* may contain “no encryption” within an element of its list in order to allow the RNC not to cipher the respective connection. This can be done either by not starting ciphering or by using the UEA0 algorithm. In the absence of the *Encryption Information IE*, the RNC shall not start ciphering.

The *Global CN-ID IE* contains the identity of the CN node that sent the RELOCATION REQUEST message, and it shall, if included, be stored together with the Iu signalling connection identifier. If the *Global CN-ID IE* is not included, the RELOCATION REQUEST message shall be considered as coming from the default CN node for the indicated CN domain.

Following additional actions shall be executed in the target RNC during Relocation Resource Allocation procedure:

If the *Relocation Type IE* is set to "UE involved in relocation of SRNS":

- The target RNC may accept a requested RAB only if the RAB can be supported by the target RNC.

- Other RABs shall be rejected by the target RNC in the RELOCATION REQUEST ACKNOWLEDGE message with an appropriate value for *Cause* IE, e.g. "Unable to Establish During Relocation".
- The target RNC shall include information adapted to the resulting RAB configuration in the target to source RNC transparent container to be included in the RELOCATION REQUEST ACKNOWLEDGE message sent to the CN. If the target RNC supports triggering of the Relocation Detect procedure via the Iur interface, the RNC shall assign a d-RNTI for the context of the relocation and include it in the container. If two CNs are involved in the relocation of SRNS, the target RNC may, however, decide to send the container to only one CN.
- If any alternative RAB parameter values have been used when allocating the resources, these RAB parameter values shall be included in the RELOCATION REQUEST ACKNOWLEDGE message within the *Assigned RAB Parameter Values* IE.

If the *Relocation Type* IE is set to "UE not involved in relocation of SRNS":

- The target RNC may accept a RAB only if the radio bearer(s) for the RAB either exist(s) already, and can be used for the RAB by the target RNC, or does not exist before the relocation but can be established in order to support the RAB in the target RNC.
- If existing radio bearers are not related to any RAB that is accepted by target RNC, the radio bearers shall be ignored during the relocation of SRNS and the radio bearers shall be released by radio interface protocols after completion of relocation of SRNS.
- If any alternative RAB parameter values have been used when allocating the resources, these RAB parameter values shall be included in the RELOCATION REQUEST ACKNOWLEDGE message within the *Assigned RAB Parameter Values* IE. It should be noted that the usage of alternative RAB parameter values is not applicable to the UTRAN initiated relocation of type "UE not involved in relocation of SRNS".

After all necessary resources for accepted RABs including the initialised Iu user plane, are successfully allocated, the target RNC shall send RELOCATION REQUEST ACKNOWLEDGE message to the CN.

For each RAB successfully setup the RNC shall include following IEs:

- *RAB ID*
- *Transport Layer Address* (when no ALCAP has been used)
- *Iu Transport Association* (when no ALCAP has been used)

Two pairs of *Transport Layer Address* IE and *Iu Transport Association* IE may be included for RABs established towards the PS domain.

For each RAB the RNC is not able to setup during Relocation Resource Allocation the RNC shall include the *RAB ID* IE and the *Cause* IE within the *RABs Failed To Setup* IE. The resources associated with the RABs indicated as failed to set up shall not be released in the CN until the relocation is completed. This is in order to make a return to the old configuration possible in case of a failed or cancelled relocation.

The RELOCATION REQUEST ACKNOWLEDGE message sent to the CN shall, if applicable and if not sent via the other CN domain, include the *Target RNC To Source RNC Transparent Container* IE. This container shall be transferred by CN to the source RNC or the external relocation source while completing the Relocation Preparation procedure.

If the target RNC supports cell load-based inter-system handover, then in the case of inter-system handover, the *New BSS to Old BSS Information* IE may be included in the RELOCATION REQUEST ACKNOWLEDGE message.

If the *Integrity Protection Information* IE was included in the RELOCATION REQUEST message, the RNC shall include the *Chosen Integrity Protection Algorithm* IE within the RELOCATION REQUEST ACKNOWLEDGE message, if the *Encryption Information* IE was included, the RNC shall include the *Chosen Encryption Algorithm* IE.

If one or more of the RABs that the target RNC has decided to support can not be supported by the CN, then these failed RABs shall not be released towards the target RNC until the relocation is completed.

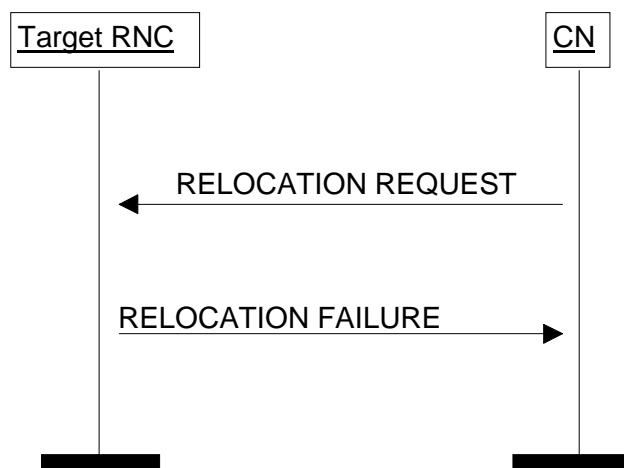
If the *NAS Synchronisation Indicator* IE is contained in the RELOCATION REQUEST message, the target RNC shall pass it to the source RNC within the *RRC Container* IE contained in the *Target RNC to Source RNC Transparent Container* IE.

If the *SNA Access Information IE* is contained in the RELOCATION REQUEST message, the target RNC shall store this information and use it to determine whether the UE has access to radio resources in the UTRAN. The target RNC shall consider that the UE is authorised to access only the PLMNs identified by the *PLMN Id IE* in the *SNA Access Information IE*. If the *Authorised SNAs IE* is included for a given PLMN (identified by the *PLMN Id IE*), then the target RNC shall consider that the access to radio resources for the concerned UE is restricted to the LAs contained in the *SNAs* identified by the *SNAC IEs*.

Transmission and reception of RELOCATION REQUEST ACKNOWLEDGE message terminates the procedure in the UTRAN and the CN respectively.

Before reporting the successful outcome of the Relocation Resource allocation procedure, the RNC shall have executed the initialisation of the user plane mode as requested by the CN in the *User Plane Mode IE*. If the RNC can not initialise the requested user plane mode for any of the user plane mode versions in the *UP Mode Versions IE* according to the rules for initialisation of the respective user plane mode versions, as described in [6], the RAB Relocation shall fail with the cause value "RNC unable to establish all RFCs".

### 8.7.3 Unsuccessful Operation



**Figure 8: Relocation Resource Allocation procedure: Unsuccessful operation.**

If the target RNC can not even partially accept the relocation of SRNS or a failure occurs during the Relocation Resource Allocation procedure in the target RNC, the target RNC shall send RELOCATION FAILURE message to the CN.

Transmission and reception of RELOCATION FAILURE message terminates the procedure in the UTRAN and the CN respectively.

When CN has received RELOCATION FAILURE message from target RNC, CN shall stop timer  $T_{RELOCalloc}$  and shall assume possibly allocated resources within target RNC completely released.

In the case of inter-system handover, and if the target RNC supports cell load-based inter-system handover, then

- the *NewBSS to Old BSS Information IE* may be included in the RELOCATION FAILURE message.
- the RELOCATION FAILURE message may contain the appropriate value in the *Cause IE*, e.g. "No Radio Resources Available in Target Cell".

### 8.7.4 Abnormal Conditions

If after reception of the RELOCATION REQUEST message, the target RNC receives another RELOCATION REQUEST message on the same Iu connection, then the target RNC shall discard the latter message and the original Relocation Resource Allocation procedure shall continue normally.

#### Interactions with Iu Release procedure:

If the CN decides to not continue the Relocation Resource Allocation procedure (e.g. due to  $T_{RELOCalloc}$  expiry) before the Relocation Resource Allocation procedure is completed, the CN shall stop timer  $T_{RELOCalloc}$  (if timer  $T_{RELOCalloc}$  has not already expired) and the CN shall, if the Iu signalling connection has been established or later becomes established, initiate the Iu Release procedure towards the target RNC with an appropriate value for the *Cause IE*, e.g. "Relocation Cancelled".

**NOTE:** In case two CN domains are involved in the SRNS Relocation Resource Allocation procedure, the Target RNC may check whether the content of the two *Source RNC to Target RNC Transparent Container IE*s or the two *SNA Access Information IE*s is the same. In case the Target RNC receives two different *Source RNC to Target RNC Transparent Container IE*s or two different *SNA Access Information IE*s, the RNC behaviour is left implementation specific.

## 8.7.5 Co-ordination of Two Iu Signalling Connections

Co-ordination of two Iu signalling connections during Relocation Resource Allocation procedure shall be executed by the target RNC when the *Number of Iu Instances IE* received in the *Source RNC to Target RNC Transparent Container IE* in the RELOCATION REQUEST message indicates that two CN domains are involved in relocation of SRNS.

If two CN domains are involved, the following actions shall be taken by the target RNC:

- The target RNC shall utilise the *Permanent NAS UE Identity IE*, received explicitly by each CN domain within RELOCATION REQUEST message, to co-ordinate both Iu signalling connections.
- The target RNC shall generate and send RELOCATION REQUEST ACKNOWLEDGE message only after all expected RELOCATION REQUEST messages are received and analysed.
- If the target RNC decides to send the *Target RNC to Source RNC Transparent Container IE* via the two CN domains, the target RNC shall ensure that the same *Target RNC to Source RNC Transparent Container IE* is included in RELOCATION REQUEST ACKNOWLEDGE messages transmitted via the two CN domains and related to the same relocation of SRNS.

## 8.16 Common ID

### 8.16.1 General

The purpose of the Common ID procedure is to inform the RNC about the permanent NAS UE Identity (i.e. IMSI) of a user. This is used by the RNC e.g. to create a reference between the permanent NAS UE identity of the user and the RRC connection of that user for UTRAN paging co-ordination. The procedure uses connection oriented signalling.

### 8.16.2 Successful Operation



**Figure 17: Common ID procedure. Successful operation.**

After having established an Iu signalling connection, and if the Permanent NAS UE identity (i.e. IMSI) is available, the CN shall send a COMMON ID message, containing the *Permanent NAS UE Identity IE* and optionally the *SNA Access Information IE* to the RNC. The RNC shall associate the permanent identity to the RRC Connection of that user and shall save it for the duration of the RRC connection.

If the *SNA Access Information IE* is contained in the COMMON ID message, the RNC shall store this information and use it to determine whether the UE has access to radio resources in the UTRAN. The RNC shall consider that the UE is authorised to access only the PLMNs identified by the *PLMN Id IE*s in the *SNA Access Information IE*. If the *Authorised SNAs IE* is included for a given PLMN (identified by the *PLMN Id IE*), then the RNC shall consider that the access to radio resources for the concerned UE is restricted to the LAs contained in the SNAs identified by the *SNAC IE*s.

### 8.16.3 Abnormal Conditions

Not applicable.

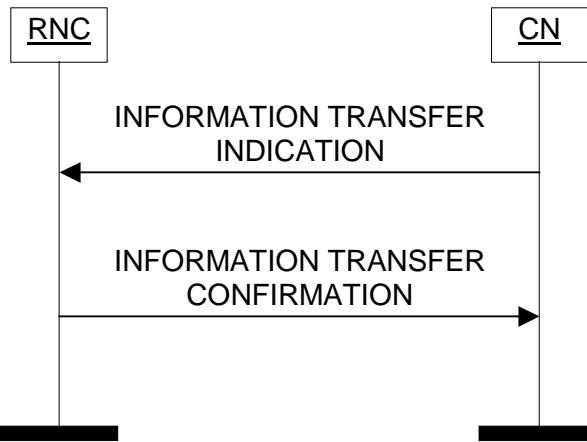
## 8.x1 Information Transfer

### 8.x1.1 General

The purpose of the Information Transfer procedure is to transfer information from the CN to the RNC.

This procedure uses connectionless signalling.

### 8.x1.2 Successful Operation



**Figure x1: Information Transfer procedure. Successful operation.**

The procedure is initiated with an INFORMATION TRANSFER INDICATION message sent from the CN to the RNC.

NOTE: The CN should at least initiate the Information Transfer procedure after the CN or the RNC has performed the Reset procedure or whenever the respective information has changed in the CN.

Upon reception, the RNC shall store the received information and use it according to its purpose.

The INFORMATION TRANSFER INDICATION message shall contain following IEs:

- Information Transfer Id
- Provided Data
- CN Domain Indicator.

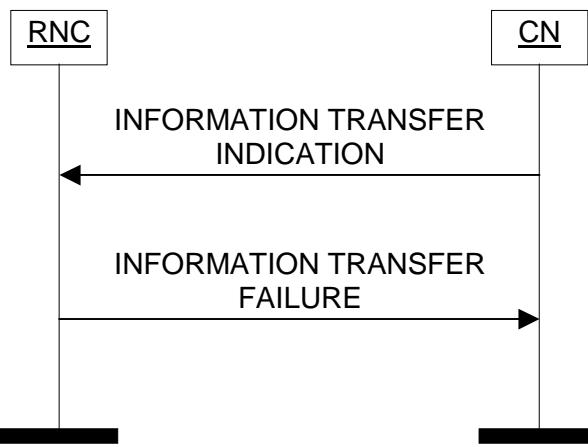
When a CN node sends this message towards an RNC for which it is not the default CN node, the Global CN-ID IE shall be included.

If the RNC is able to process the information contained in the Provided Data IE, it shall respond with the INFORMATION TRANSFER CONFIRMATION message provided with the same Information Transfer Id IE as the one received in the INFORMATION TRANSFER INDICATION message.

The RNC shall include the Global RNC-ID IE and the CN Domain Indicator IE in the INFORMATION TRANSFER CONFIRMATION message.

If the Provided Data IE contains the Shared Network Information IE, the RNC shall replace existing Shared Network Information provided in a previous Information Transfer procedure by the newly provided Shared Network Information.

### 8.x1.3 Unsuccessful Operation



**Figure x1bis: Information Transfer procedure. Unsuccessful operation.**

If the RNC is not able to process the information contained in the *Provided Data IE* the RNC shall regard the Information Transfer procedure as failed and send the INFORMATION TRANSFER FAILURE message to the CN. The message shall include the same content in the *Information Transfer ID IE* as received in the INFORMATION TRANSFER INDICATION message and set the *Cause IE* to an appropriate value.

The RNC shall include the *Global RNC-ID IE* and the *CN Domain Indicator IE* in the INFORMATION TRANSFER FAILURE message.

### 8.x1.4 Abnormal Conditions

None.

### 9.1.10 RELOCATION REQUEST

This message is sent by the CN to request the target RNC to allocate necessary resources for a relocation.

Direction: CN → RNC.

Signalling bearer mode: Connection oriented.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
Permanent NAS UE Identity	O		9.2.3.1		YES	ignore
Cause	M		9.2.1.4		YES	ignore
CN Domain Indicator	M		9.2.1.5		YES	reject
Source RNC To Target RNC Transparent Container	M		9.2.1.28		YES	reject
<b>RABs To Be Setup List</b>	O				YES	reject
>>RABs To Be Setup Item IEs		1 to <maxnoofRABs>			EACH	reject
>>RAB ID	M		9.2.1.2		-	
>>NAS Synchronisation Indicator	O		9.2.3.18		-	
>>RAB Parameters	M		9.2.1.3		-	
>>Data Volume Reporting Indication	C – ifPS		9.2.1.17		-	
>>PDP Type Information	C – ifPS		9.2.1.40		-	
>>User Plane Information	M				-	
>>>User Plane Mode	M		9.2.1.18		-	
>>>UP Mode Versions	M		9.2.1.19		-	
>>Transport Layer Address	M		9.2.2.1		-	
>>Iu Transport Association	M		9.2.2.2		-	
>>Service Handover	O		9.2.1.41		-	
>> Alternative RAB Parameter Values	O		9.2.1.43		YES	Ignore
Integrity Protection Information	O		9.2.1.11	Integrity Protection Information includes key and permitted algorithms.	YES	ignore
Encryption Information	O		9.2.1.12	Encryption Information includes key and permitted algorithms.	YES	ignore
Iu Signalling Connection Identifier	M		9.2.1.38		YES	ignore
Global CN-ID	O		9.2.1.46		YES	reject
SNA Access Information	O		9.2.3.x2		YES	ignore

Condition	Explanation
IfPS	This IE shall be present if the <i>CN domain indicator</i> IE is set to "PS domain".

Range bound	Explanation
maxnoofRABs	Maximum no. of RABs for one UE. Value is 256.

### 9.1.24 COMMON ID

This message is sent by the CN to inform RNC about the permanent NAS UE identity for a user.

Direction: CN → RNC.

Signalling bearer mode: Connection oriented.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	ignore
Permanent NAS UE Identity	M		9.2.3.1		YES	ignore
SNA Access Information	O		9.2.3.x2		YES	ignore

### 9.1.x1 INFORMATION TRANSFER INDICATION

This message is sent by the CN to transfer information to an RNC.

Direction: CN → RNC.

Signalling bearer mode: Connectionless.

<u>IE/Group Name</u>	<u>Presence</u>	<u>Range</u>	<u>IE type and reference</u>	<u>Semantics description</u>	<u>Criticality</u>	<u>Assigned Criticality</u>
Message Type	M		9.2.1.1		YES	reject
Information Transfer Id	M		9.2.1.x1		YES	reject
Provided Data	M		9.2.1.x2		YES	reject
CN Domain Indicator	M		9.2.1.5		YES	reject
Global CN-ID	O		9.2.1.46		YES	ignore

### 9.1.x2 INFORMATION TRANSFER CONFIRMATION

This message is sent by the RNC to report the successful outcome of the request from the INFORMATION TRANSFER INDICATION message.

Direction: RNC → CN.

Signalling bearer mode: Connectionless.

<u>IE/Group Name</u>	<u>Presence</u>	<u>Range</u>	<u>IE type and reference</u>	<u>Semantics description</u>	<u>Criticality</u>	<u>Assigned Criticality</u>
Message Type	M		9.2.1.1		YES	reject
Information Transfer Id	M		9.2.1.x1		YES	ignore
CN Domain Indicator	M		9.2.1.5		YES	ignore
Criticality Diagnostics	O		9.2.1.35		YES	ignore
Global RNC-ID	M		9.2.1.39		YES	ignore

### 9.1.x3 INFORMATION TRANSFER FAILURE

This message is sent by the RNC if the Information Transfer failed.

Direction: RNC → CN.

Signalling bearer mode: Connectionless.

<u>IE/Group Name</u>	<u>Presence</u>	<u>Range</u>	<u>IE type and reference</u>	<u>Semantics description</u>	<u>Criticality</u>	<u>Assigned Criticality</u>
Message Type	M		9.2.1.1		YES	reject
Information Transfer Id	M		9.2.1.x1		YES	ignore
CN Domain Indicator	M		9.2.1.5		YES	ignore
Cause	M		9.2.1.4		YES	ignore
Criticality Diagnostics	O		9.2.1.35		YES	ignore
Global RNC-ID	M		9.2.1.39		YES	ignore

### 9.2.1.1 Message Type

*Message Type* IE uniquely identifies the message being sent. It is mandatory for all messages.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
<b>Message Type</b>				Assumed max no of messages is 256.
>Procedure Code	M		(RAB Assignment, RAB Release Request, Iu Release Request, Iu Release, Relocation Preparation, Relocation Resource Allocation, Relocation Detect, Relocation Complete Relocation Cancel, SRNS Context Transfer, SRNS Data Forwarding Initiation, SRNS Context Forwarding from Source RNC to CN, SRNS Context Forwarding to Target RNC from CN, Paging, Common ID, CN Invoke Trace, Security Mode Control, Location Reporting Control Location Report, Data Volume Report, Initial UE Message Direct Transfer, Overload Control, Reset, Error Indication, CN Deactivate Trace, RANAP Relocation Information, Reset Resource, ..., RAB Modify Request, Location Related Data Information Transfer)	
>Type of Message	M		CHOICE (Initiating Message, Successful Outcome, Unsuccessful Outcome, Outcome, ...)	

### 9.2.1.x1 Information Transfer Id

Indicates the identity of an information transfer.

<u>IE/Group Name</u>	<u>Presence</u>	<u>Range</u>	<u>IE type and reference</u>	<u>Semantics description</u>
<u>Information Transfer Id</u>	<u>M</u>		<u>INTEGER</u> (0..2^20-1)	

### 9.2.1.x2 Provided Data

Provides the data that is **transferred** in an information transfer.

<u>IE/Group Name</u>	<u>Presence</u>	<u>Range</u>	<u>IE type and reference</u>	<u>Semantics description</u>
<u>Choice Provided Data</u>				
>Shared Network Information	<u>O</u>		<u>9.2.3.x1</u>	

### 9.2.3.x1 Shared Network Information

For each LA contained in this IE, it provides the SNA(s) **the LA belongs to**.

<u>IE/Group Name</u>	<u>Presence</u>	<u>Range</u>	<u>IE type and reference</u>	<u>Semantics description</u>
<u>Shared Network Information</u>				
<u>&gt;PLMNs In Shared Network</u>		<u>1 to &lt;maxPLMNsSN&gt;</u>		
>>PLMN Id	M		OCTET STRING (SIZE (3))	<ul style="list-style-type: none"> <li>- digits 0 to 9, two digits per octet.</li> <li>- each digit encoded 0000 to 1001.</li> <li>- 1111 used as filler</li> <li>- bit 4 to 1 of octet n encoding digit 2n-1</li> <li>- bit 8 to 5 of octet n encoding digit 2n</li> </ul> <p>-The PLMN identity consists of 3 digits from MCC followed by either            -a filler plus 2 digits from MNC (in case of 2 digit MNC) or            -3 digits from MNC (in case of a 3 digit MNC).</p>
<u>&gt;&gt;LA List</u>		<u>1 to &lt;maxLAs&gt;</u>		
>>>LAC	M		OCTET STRING (2)	0000 and FFFE not allowed.
<u>&gt;&gt;&gt;List Of SNAs Containing LA</u>		<u>1 to &lt;maxSNAs&gt;</u>		
>>>SNAC	M	9.2.3.x3		

<u>Range bound</u>	<u>Explanation</u>
maxPLMNsSN	Maximum no. of PLMNs involved in a Shared Network agreement. The value for maxPLMNsSN is 32.
maxLAs	Maximum no. of LAs in a PLMN. The value for maxLAs is 65536.
maxSNAs	Maximum no. of SNAs in a PLMN. The value for maxSNAs is 65536.

### 9.2.3.x2 SNA Access Information

Provides information on the area(s) in the PLMN(s) the UE is authorised to access.

<u>IE/Group Name</u>	<u>Presence</u>	<u>Range</u>	<u>IE type and reference</u>	<u>Semantics description</u>
<b>SNA Access Information</b>				
<b>&gt;Authorised PLMNs</b>		1 to <maxPLMNsSN>		
>>PLMN Id	M		OCTET STRING (SIZE (3))	<ul style="list-style-type: none"> <li>- digits 0 to 9, two digits per octet,</li> <li>- each digit encoded 0000 to 1001,</li> <li>- 1111 used as filler</li> <li>- bit 4 to 1 of octet n encoding digit 2n-1</li> <li>- bit 8 to 5 of octet n encoding digit 2n</li> </ul> <p>The PLMN identity consists of 3 digits from MCC followed by either a filler plus 2 digits from MNC (in case of 2 digit MNC) or 3 digits from MNC (in case of a 3 digit MNC).</p>
>>>Authorised SNAs List	O			
>>>>Authorised SNAs		1 to <maxSNAs>		
>>>>SNAC	M	9.2.3.x3		

<u>Range bound</u>	<u>Explanation</u>
maxPLMNsSN	Maximum no. of PLMNs involved in a Shared Network agreement. The value for maxPLMNsSN is 32.
maxSNAs	Maximum no. of SNAs in a PLMN. The value for maxSNAs is 65536.

### 9.2.3.x3 SNAC

Indicates the Identity of an SNA according to [19].

<u>IE/Group Name</u>	<u>Presence</u>	<u>Range</u>	<u>IE type and reference</u>	<u>Semantics description</u>
SNAC	M		INTEGER (0..65535)	

### 9.3.2 Elementary Procedure Definitions

```
-- ****
-- 
-- Elementary Procedure definitions
-- 
-- ****

RANAP-PDU-Descriptions {
    itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
    umts-Access (20) modules (3) ranap (0) version1 (1) ranap-PDU-Descriptions (0)}

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

-- ****
-- 
-- IE parameter types from other modules.
-- 
-- ****

IMPORTS
    Criticality,
    ProcedureCode
FROM RANAP-CommonDataTypes

Iu-ReleaseCommand,
Iu-ReleaseComplete,
RelocationCommand,
RelocationPreparationFailure,
RelocationRequired,
RelocationRequest,
RelocationRequestAcknowledge,
RelocationFailure,
RelocationCancel,
RelocationCancelAcknowledge,
SRNS-ContextRequest,
SRNS-ContextResponse,
SecurityModeCommand,
SecurityModeComplete,
SecurityModeReject,
DataVolumeReportRequest,
DataVolumeReport,
Reset,
ResetAcknowledge,
RAB-ReleaseRequest,
Iu-ReleaseRequest,
RelocationDetect,
RelocationComplete,
Paging,
```

```
CommonID,  
CN-InvokeTrace,  
CN-DeactivateTrace,  
LocationReportingControl,  
LocationReport,  
InitialUE-Message,  
DirectTransfer,  
Overload,  
ErrorIndication,  
SRNS-DataForwardCommand,  
ForwardSRNS-Context,  
RAB-AssignmentRequest,  
RAB-AssignmentResponse,  
RAB-ModifyRequest,  
PrivateMessage,  
ResetResource,  
ResetResourceAcknowledge,  
RANAP-RelocationInformation,  
LocationRelatedDataRequest,  
LocationRelatedDataResponse,  
LocationRelatedDataFailure,  
InformationTransferIndication,  
InformationTransferConfirmation,  
InformationTransferFailure  
FROM RANAP-PDU-Contents
```

```
id-LocationRelatedData,  
id-CN-DeactivateTrace,  
id-CN-InvokeTrace,  
id-CommonID,  
id-DataVolumeReport,  
id-DirectTransfer,  
id-ErrorIndication,  
id-ForwardSRNS-Context,  
id-InformationTransfer,  
id-InitialUE-Message,  
id-Iu-Release,  
id-Iu-ReleaseRequest,  
id-LocationReport,  
id-LocationReportingControl,  
id-OverloadControl,  
id-Paging,  
id-privateMessage,  
id-RAB-Assignment,  
id-RAB-ReleaseRequest,  
id-RAB-ModifyRequest,  
id-RANAP-Relocation,  
id-RelocationCancel,  
id-RelocationComplete,  
id-RelocationDetect,  
id-RelocationPreparation,  
id-RelocationResourceAllocation,  
id-Reset,
```

```

id-SRNS-ContextTransfer,
id-SRNS-DataForward,
id-SecurityModeControl,
id-ResetResource
FROM RANAP-Constants;

-- ****
-- Interface Elementary Procedure Class
--
-- ****

RANAP-ELEMENTARY-PROCEDURE ::= CLASS {
    &InitiatingMessage           ,
    &SuccessfulOutcome          OPTIONAL,
    &UnsuccessfulOutcome        OPTIONAL,
    &Outcome                     OPTIONAL,
    &procedureCode               ProcedureCode UNIQUE,
    &criticality                Criticality      DEFAULT ignore
}
WITH SYNTAX {
    INITIATING MESSAGE     &InitiatingMessage
    [ SUCCESSFUL OUTCOME   &SuccessfulOutcome]
    [ UNSUCCESSFUL OUTCOME &UnsuccessfulOutcome]
    [ OUTCOME              &Outcome]
    PROCEDURE CODE          &procedureCode
    [ CRITICALITY           &criticality]
}

-- ****
-- Interface PDU Definition
--
-- ****

RANAP-PDU ::= CHOICE {
    initiatingMessage  InitiatingMessage,
    successfulOutcome  SuccessfulOutcome,
    unsuccessfulOutcome UnsuccessfulOutcome,
    outcome             Outcome,
    ...
}

InitiatingMessage ::= SEQUENCE {
    procedureCode  RANAP-ELEMENTARY-PROCEDURE.&procedureCode  ({RANAP-ELEMENTARY-PROcedures}),
    criticality    RANAP-ELEMENTARY-PROCEDURE.&criticality    ({RANAP-ELEMENTARY-PROcedures}{@procedureCode}),
    value         RANAP-ELEMENTARY-PROCEDURE.&InitiatingMessage ({RANAP-ELEMENTARY-PROcedures}{@procedureCode})
}

SuccessfulOutcome ::= SEQUENCE {
    procedureCode  RANAP-ELEMENTARY-PROCEDURE.&procedureCode  ({RANAP-ELEMENTARY-PROcedures}),
    criticality    RANAP-ELEMENTARY-PROCEDURE.&criticality    ({RANAP-ELEMENTARY-PROcedures}{@procedureCode}),
    value         RANAP-ELEMENTARY-PROCEDURE.&SuccessfulOutcome ({RANAP-ELEMENTARY-PROcedures}{@procedureCode})
}

```

```

}

UnsuccessfulOutcome ::= SEQUENCE {
  procedureCode    RANAP-ELEMENTARY-PROCEDURE.&procedureCode    ({RANAP-ELEMENTARY-PROCEDURES}),
  criticality     RANAP-ELEMENTARY-PROCEDURE.&criticality      ({RANAP-ELEMENTARY-PROCEDURES}{@procedureCode}),
  value           RANAP-ELEMENTARY-PROCEDURE.&UnsuccessfulOutcome ({RANAP-ELEMENTARY-PROCEDURES}{@procedureCode})
}

Outcome ::= SEQUENCE {
  procedureCode    RANAP-ELEMENTARY-PROCEDURE.&procedureCode    ({RANAP-ELEMENTARY-PROCEDURES}),
  criticality     RANAP-ELEMENTARY-PROCEDURE.&criticality      ({RANAP-ELEMENTARY-PROCEDURES}{@procedureCode}),
  value           RANAP-ELEMENTARY-PROCEDURE.&Outcome          ({RANAP-ELEMENTARY-PROCEDURES}{@procedureCode})
}

-- ****
-- 
-- Interface Elementary Procedure List
-- 
-- ****

RANAP-ELEMENTARY-PROCEDURES RANAP-ELEMENTARY-PROCEDURE ::= {
  RANAP-ELEMENTARY-PROCEDURES-CLASS-1 |
  RANAP-ELEMENTARY-PROCEDURES-CLASS-2 |
  RANAP-ELEMENTARY-PROCEDURES-CLASS-3 ,
  ...
}

RANAP-ELEMENTARY-PROCEDURES-CLASS-1 RANAP-ELEMENTARY-PROCEDURE ::= {
  iu-Release           |
  relocationPreparation   |
  relocationResourceAllocation |
  relocationCancel       |
  sRNS-ContextTransfer   |
  securityModeControl    |
  dataVolumeReport        |
  reset                  |
  resetResource          ,
  ...
  locationRelatedData   _____|
  informationTransfer
}

RANAP-ELEMENTARY-PROCEDURES-CLASS-2 RANAP-ELEMENTARY-PROCEDURE ::= {
  rAB-ReleaseRequest    |
  iu-ReleaseRequest     |
  relocationDetect       |
  relocationComplete     |
  paging                 |
  commonID               |
  cN-InvokeTrace         |
  cN-DeactivateTrace
}

```

```

locationReportingControl      |
locationReport                |
initialUE-Message             |
directTransfer                |
overloadControl               |
errorIndication               |
sRNS-DataForward              |
forwardSRNS-Context           |
privateMessage                |
rANAP-Relocation              ,
... ,
rAB-ModifyRequest             ,
}

RANAP-ELEMENTARY-PROCEDURES-CLASS-3 RANAP-ELEMENTARY-PROCEDURE ::= {
    rAB-Assignment
    ...
}

-- *****
-- 
-- Interface Elementary Procedures
-- 
-- *****

iu-Release RANAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE Iu-ReleaseCommand
    SUCCESSFUL OUTCOME Iu-ReleaseComplete
    PROCEDURE CODE id-Iu-Release
    CRITICALITY reject
}

relocationPreparation RANAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE RelocationRequired
    SUCCESSFUL OUTCOME RelocationCommand
    UNSUCCESSFUL OUTCOME RelocationPreparationFailure
    PROCEDURE CODE id-RelocationPreparation
    CRITICALITY reject
}

relocationResourceAllocation RANAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE RelocationRequest
    SUCCESSFUL OUTCOME RelocationRequestAcknowledge
    UNSUCCESSFUL OUTCOME RelocationFailure
    PROCEDURE CODE id-RelocationResourceAllocation
    CRITICALITY reject
}

relocationCancel RANAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE RelocationCancel
    SUCCESSFUL OUTCOME RelocationCancelAcknowledge
    PROCEDURE CODE id-RelocationCancel
    CRITICALITY reject
}

```

```

}

sRNS-ContextTransfer RANAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE SRNS-ContextRequest
    SUCCESSFUL OUTCOME SRNS-ContextResponse
    PROCEDURE CODE id-SRNS-ContextTransfer
    CRITICALITY reject
}

securityModeControl RANAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE SecurityModeCommand
    SUCCESSFUL OUTCOME SecurityModeComplete
    UNSUCCESSFUL OUTCOME SecurityModeReject
    PROCEDURE CODE id-SecurityModeControl
    CRITICALITY reject
}

dataVolumeReport RANAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE DataVolumeReportRequest
    SUCCESSFUL OUTCOME DataVolumeReport
    PROCEDURE CODE id-DataVolumeReport
    CRITICALITY reject
}

reset RANAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE Reset
    SUCCESSFUL OUTCOME ResetAcknowledge
    PROCEDURE CODE id-Reset
    CRITICALITY reject
}

rAB-ReleaseRequest RANAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE RAB-ReleaseRequest
    PROCEDURE CODE id-RAB-ReleaseRequest
    CRITICALITY ignore
}

iu-ReleaseRequest RANAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE Iu-ReleaseRequest
    PROCEDURE CODE id-Iu-ReleaseRequest
    CRITICALITY ignore
}

relocationDetect RANAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE RelocationDetect
    PROCEDURE CODE id-RelocationDetect
    CRITICALITY ignore
}

relocationComplete RANAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE RelocationComplete
    PROCEDURE CODE id-RelocationComplete
}

```

```

    CRITICALITY      ignore
}

paging RANAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE  Paging
    PROCEDURE CODE      id-Paging
    CRITICALITY      ignore
}

commonID RANAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE  CommonID
    PROCEDURE CODE      id-CommonID
    CRITICALITY      ignore
}

cN-InvokeTrace RANAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE  CN-InvokeTrace
    PROCEDURE CODE      id-CN-InvokeTrace
    CRITICALITY      ignore
}

cN-DeactivateTrace RANAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE  CN-DeactivateTrace
    PROCEDURE CODE      id-CN-DeactivateTrace
    CRITICALITY      ignore
}

locationReportingControl RANAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE  LocationReportingControl
    PROCEDURE CODE      id-LocationReportingControl
    CRITICALITY      ignore
}

locationReport RANAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE  LocationReport
    PROCEDURE CODE      id-LocationReport
    CRITICALITY      ignore
}

initialUE-Message RANAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE  InitialUE-Message
    PROCEDURE CODE      id-InitialUE-Message
    CRITICALITY      ignore
}

directTransfer RANAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE  DirectTransfer
    PROCEDURE CODE      id-DirectTransfer
    CRITICALITY      ignore
}

overloadControl RANAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE  Overload
}

```

```

PROCEDURE CODE      id-OverloadControl
CRITICALITY      ignore
}

errorIndication RANAP-ELEMENTARY-PROCEDURE ::= {
  INITIATING MESSAGE  ErrorIndication
  PROCEDURE CODE      id-ErrorIndication
  CRITICALITY      ignore
}

sRNS-DataForward RANAP-ELEMENTARY-PROCEDURE ::= {
  INITIATING MESSAGE  SRNS-DataForwardCommand
  PROCEDURE CODE      id-SRNS-DataForward
  CRITICALITY      ignore
}

forwardSRNS-Context RANAP-ELEMENTARY-PROCEDURE ::= {
  INITIATING MESSAGE  ForwardSRNS-Context
  PROCEDURE CODE      id-ForwardSRNS-Context
  CRITICALITY      ignore
}

rAB-Assignment RANAP-ELEMENTARY-PROCEDURE ::= {
  INITIATING MESSAGE  RAB-AssignmentRequest
  OUTCOME            RAB-AssignmentResponse
  PROCEDURE CODE      id-RAB-Assignment
  CRITICALITY      reject
}

privateMessage RANAP-ELEMENTARY-PROCEDURE ::= {
  INITIATING MESSAGE  PrivateMessage

  PROCEDURE CODE      id-privateMessage
  CRITICALITY      ignore
}

resetResource RANAP-ELEMENTARY-PROCEDURE ::= {
  INITIATING MESSAGE  ResetResource
  SUCCESSFUL OUTCOME ResetResourceAcknowledge
  PROCEDURE CODE      id-ResetResource
  CRITICALITY      reject
}

rANAP-Relocation RANAP-ELEMENTARY-PROCEDURE ::= {
  INITIATING MESSAGE  RANAP-RelocationInformation
  PROCEDURE CODE      id-RANAP-Relocation
  CRITICALITY      ignore
}

rAB-ModifyRequest RANAP-ELEMENTARY-PROCEDURE ::= {
  INITIATING MESSAGE  RAB-ModifyRequest
  PROCEDURE CODE      id-RAB-ModifyRequest
  CRITICALITY      ignore
}

```

```

}

locationRelatedData RANAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE      LocationRelatedDataRequest
    SUCCESSFUL OUTCOME     LocationRelatedDataResponse
    UNSUCCESSFUL OUTCOME   LocationRelatedDataFailure
    PROCEDURE CODE          id-LocationRelatedData
    CRITICALITY            reject
}

informationTransfer RANAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE      InformationTransferIndication
    SUCCESSFUL OUTCOME     InformationTransferConfirmation
    UNSUCCESSFUL OUTCOME   InformationTransferFailure
    PROCEDURE CODE          id-InformationTransfer
    CRITICALITY            reject
}

END

```

### 9.3.3 PDU Definitions

```

-- ****
-- 
-- PDU definitions for RANAP.
-- 
-- ****

RANAP-PDU-Contents {
    itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
    umts-Access (20) modules (3) ranap (0) version1 (1) ranap-PDU-Contents (1) }

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

-- ****
-- 
-- IE parameter types from other modules.
-- 
-- ****

IMPORTS
    BroadcastAssistanceDataDecipheringKeys,
    LocationRelatedDataRequestType,
    DataVolumeReference,
    CellLoadInformation,
    AreaIdentity,
    CN-DomainIndicator,
    Cause,
    CriticalityDiagnostics,

```

```
ChosenEncryptionAlgorithm,  
ChosenIntegrityProtectionAlgorithm,  
ClassmarkInformation2,  
ClassmarkInformation3,  
DL-GTP-PDU-SequenceNumber,  
DL-N-PDU-SequenceNumber,  
DataVolumeReportingIndication,  
DRX-CycleLengthCoefficient,  
EncryptionInformation,  
GlobalCN-ID,  
GlobalRNC-ID,  
InformationTransferId,  
IntegrityProtectionInformation,  
InterSystemInformation-TransparentContainer,  
IuSignallingConnectionIdentifier,  
IuTransportAssociation,  
KeyStatus,  
L3-Information,  
LAI,  
LastKnownServiceArea,  
NAS-PDU,  
NAS-SynchronisationIndicator,  
NewBSS-To-OldBSS-Information,  
NonSearchingIndication,  
NumberOfSteps,  
OMC-ID,  
OldBSS-ToNewBSS-Information,  
PagingAreaID,  
PagingCause,  
PDP-TypeInformation,  
PermanentNAS-UE-ID,  
ProvidedData,  
RAB-ID,  
RAB-Parameters,  
RAC,  
RelocationType,  
RequestType,  
Requested-RAB-Parameter-Values,  
SAI,  
SAPI,  
Service-Handover,  
SNA-Access-Information,  
SourceID,  
SourceRNC-ToTargetRNC-TransparentContainer,  
SourceRNC-PDCP-context-info,  
TargetID,  
TargetRNC-ToSourceRNC-TransparentContainer,  
TemporaryUE-ID,  
TraceReference,  
TraceType,  
UnsuccessfullyTransmittedDataVolume,  
TransportLayerAddress,  
TriggerID,
```

```
UE-ID,  
UL-GTP-PDU-SequenceNumber,  
UL-N-PDU-SequenceNumber,  
UP-ModeVersions,  
UserPlaneMode,  
Alt-RAB-Parameters,  
Ass-RAB-Parameters  
FROM RANAP-IES
```

```
PrivateIE-Container{},  
ProtocolExtensionContainer{},  
ProtocolIE-ContainerList{},  
ProtocolIE-ContainerPair{},  
ProtocolIE-ContainerPairList{},  
ProtocolIE-Container{},  
RANAP-PRIVATE-IES,  
RANAP-PROTOCOL-EXTENSION,  
RANAP-PROTOCOL-IES,  
RANAP-PROTOCOL-IES-PAIR  
FROM RANAP-Containers
```

```
maxNrOfDTs,  
maxNrOfErrors,  
maxNrOfIuSigConIds,  
maxNrOfRABs,  
maxNrOfVol,  
  
id-AreaIdentity,  
id-Alt-RAB-Parameters,  
id-Ass-RAB-Parameters,  
id-BroadcastAssistanceDataDecipheringKeys,  
id-LocationRelatedDataRequestType,  
id-CN-DomainIndicator,  
id-Cause,  
id-ChosenEncryptionAlgorithm,  
id-ChosenIntegrityProtectionAlgorithm,  
id-ClassmarkInformation2,  
id-ClassmarkInformation3,  
id-CriticalityDiagnostics,  
id-DRX-CycleLengthCoefficient,  
id-DirectTransferInformationItem-RANAP-RelocInf,  
id-DirectTransferInformationList-RANAP-RelocInf,  
id-DL-GTP-PDU-SequenceNumber,  
id-EncryptionInformation,  
id-GlobalCN-ID,  
id-GlobalRNC-ID,  
id-InformationTransferId,  
id-IntegrityProtectionInformation,  
id-InterSystemInformation-TransparentContainer,  
id-IuSigConId,  
id-IuSigConIdItem,  
id-IuSigConIdList,
```

```
id-IuTransportAssociation,  
id-KeyStatus,  
id-L3-Information,  
id-LAI,  
id-LastKnownServiceArea,  
id-NAS-PDU,  
id-NewBSS-To-OldBSS-Information,  
id-NonSearchingIndication,  
id-NumberOfSteps,  
id-OMC-ID,  
id-OldBSS-ToNewBSS-Information,  
id-PagingAreaID,  
id-PagingCause,  
id-PermanentNAS-UE-ID,  
id-ProvidedData,  
id-RAB-ContextItem,  
id-RAB-ContextList,  
id-RAB-ContextFailedtoTransferItem,  
id-RAB-ContextFailedtoTransferList,  
id-RAB-ContextItem-RANAP-RelocInf,  
id-RAB-ContextList-RANAP-RelocInf,  
id-RAB-DataForwardingItem,  
id-RAB-DataForwardingItem-SRNS-CtxReq,  
id-RAB-DataForwardingList,  
id-RAB-DataForwardingList-SRNS-CtxReq,  
id-RAB-DataVolumeReportItem,  
id-RAB-DataVolumeReportList,  
id-RAB-DataVolumeReportRequestItem,  
id-RAB-DataVolumeReportRequestList,  
id-RAB-FailedItem,  
id-RAB-FailedList,  
id-RAB-FailedtoReportItem,  
id-RAB-FailedtoReportList,  
id-RAB-ID,  
id-RAB-ModifyList,  
id-RAB-ModifyItem,  
id-RAB-QueuedItem,  
id-RAB-QueuedList,  
id-RAB-ReleaseFailedList,  
id-RAB-ReleaseItem,  
id-RAB-ReleasedItem-IuRelComp,  
id-RAB-ReleaseList,  
id-RAB-ReleasedItem,  
id-RAB-ReleasedList,  
id-RAB-ReleasedList-IuRelComp,  
id-RAB-RelocationReleaseItem,  
id-RAB-RelocationReleaseList,  
id-RAB-SetupItem-RelocReq,  
id-RAB-SetupItem-RelocReqAck,  
id-RAB-SetupList-RelocReq,  
id-RAB-SetupList-RelocReqAck,  
id-RAB-SetupOrModifiedItem,  
id-RAB-SetupOrModifiedList,
```

```

id-RAB-SetupOrModifyItem,
id-RAB-SetupOrModifyList,
id-RAC,
id-RelocationType,
id-RequestType,
id-SAI,
id-SAPI,
id-SNA-Access-Information,
id-SourceID,
id-SourceRNC-ToTargetRNC-TransparentContainer,
id-SourceRNC-PDCP-context-info,
id-TargetID,
id-TargetRNC-ToSourceRNC-TransparentContainer,
id-TemporaryUE-ID,
id-TraceReference,
id-TraceType,
id-TransportLayerAddress,
id-TriggerID,
id-UE-ID,
id-UL-GTP-PDU-SequenceNumber
FROM RANAP-Constants;

```

unaffected ASN.1 code omitted

```

-- ****
-- 
-- RELOCATION RESOURCE ALLOCATION ELEMENTARY PROCEDURE
-- 
-- ****
-- 
-- Relocation Request
-- 
-- ****

RelocationRequest ::= SEQUENCE {
    protocolIEs      ProtocolIE-Container      { {RelocationRequestIEs} },
    protocolExtensions  ProtocolExtensionContainer { {RelocationRequestExtensions} }           OPTIONAL,
    ...
}

RelocationRequestIEs RANAP-PROTOCOL-IES ::= {
    { ID id-PermanentNAS-UE-ID          CRITICALITY ignore TYPE PermanentNAS-UE-ID          PRESENCE optional } |
    { ID id-Cause                      CRITICALITY ignore TYPE Cause                  PRESENCE mandatory } |
    { ID id-CN-DomainIndicator        CRITICALITY reject  TYPE CN-DomainIndicator       PRESENCE mandatory } |
    { ID id-SourceRNC-ToTargetRNC-TransparentContainer
                    CRITICALITY reject  TYPE SourceRNC-ToTargetRNC-TransparentContainer PRESENCE mandatory } |
    { ID id-RAB-SetupList-RelocReq     CRITICALITY reject  TYPE RAB-SetupList-RelocReq      PRESENCE optional } |
    { ID id-IntegrityProtectionInformation CRITICALITY ignore TYPE IntegrityProtectionInformation PRESENCE optional } |
    { ID id-EncryptionInformation      CRITICALITY ignore TYPE EncryptionInformation        PRESENCE optional } |
}

```

```

{ ID id-IuSigConId CRITICALITY ignore TYPE IuSignallingConnectionIdentifier PRESENCE mandatory },
...
}

RAB-SetupList-RelocReq ::= RAB-IE-ContainerList { {RAB-SetupItem-RelocReq-IEs} }

RAB-SetupItem-RelocReq-IEs RANAP-PROTOCOL-IES ::= {
{ ID id-RAB-SetupItem-RelocReq CRITICALITY reject TYPE RAB-SetupItem-RelocReq PRESENCE mandatory },
...
}

RAB-SetupItem-RelocReq ::= SEQUENCE {
rAB-ID RAB-ID,
nAS-SynchronisationIndicator NAS-SynchronisationIndicator OPTIONAL,
rAB-Parameters RAB-Parameters,
dataVolumeReportingIndication DataVolumeReportingIndication OPTIONAL
-- This IE shall be present if the CN domain indicator IE is set to "PS domain" --,
pDP-TypeInformation PDP-TypeInformation OPTIONAL
-- This IE shall be present if the CN domain indicator IE is set to "PS domain" --,
userPlaneInformation UserPlaneInformation,
transportLayerAddress TransportLayerAddress,
iuTransportAssociation IuTransportAssociation,
service-Handover Service-Handover OPTIONAL,
iE-Extensions ProtocolExtensionContainer { {RAB-SetupItem-RelocReq-ExtIEs} } OPTIONAL,
...
}

RAB-SetupItem-RelocReq-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
-- Extension for Release 4 to enable RAB Quality of Service negotiation over Iu --
{ ID id-Alt-RAB-Parameters CRITICALITY ignore EXTENSION Alt-RAB-Parameters PRESENCE optional},
...
}

UserPlaneInformation ::= SEQUENCE {
userPlaneMode UserPlaneMode,
uP-ModeVersions UP-ModeVersions,
iE-Extensions ProtocolExtensionContainer { {UserPlaneInformation-ExtIEs} } OPTIONAL,
...
}

UserPlaneInformation-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
...
}

RelocationRequestExtensions RANAP-PROTOCOL-EXTENSION ::= {
-- Extension for Release 4 --
{ ID id-GlobalCN-ID CRITICALITY reject EXTENSION GlobalCN-ID PRESENCE optional} |
-- Extension for Release 5 to enable shared networks in connected mode --
{ ID id-SNA-Access-Information CRITICALITY ignore EXTENSION SNA-Access-Information PRESENCE optional},
...
}

```

unaffected ASN.1 code omitted

```
-- ****
-- COMMON ID ELEMENTARY PROCEDURE
-- ****
-- ****
-- Common ID
-- ****
CommonID ::= SEQUENCE {
    protocolIES      ProtocolIE-Container      { {CommonID-IEs} },
    protocolExtensions  ProtocolExtensionContainer { {CommonIDExtensions} }           OPTIONAL,
    ...
}

CommonID-IEs RANAP-PROTOCOL-IES ::= {
    { ID id-PermanentNAS-UE-ID          CRITICALITY ignore   TYPE PermanentNAS-UE-ID          PRESENCE mandatory },
    ...
}

CommonIDExtensions RANAP-PROTOCOL-EXTENSION ::= {
-- Extension for Release 5 to enable shared networks in connected mode --
    { ID id-SNA-Access-Information      CRITICALITY ignore   EXTENSION SNA-Access-Information      PRESENCE optional },
    ...
}
```

unaffected ASN.1 code omitted

```
-- ****
-- INFORMATION TRANSFER ELEMENTARY PROCEDURE
-- ****
-- ****
-- Information Transfer Indication
-- ****
InformationTransferIndication ::= SEQUENCE {
    protocolIES      ProtocolIE-Container      { { InformationTransferIndicationIEs} },
    protocolExtensions  ProtocolExtensionContainer { { InformationTransferIndicationExtensions} }           OPTIONAL,
    ...
}
```

```

}

InformationTransferIndicationIEs RANAP-PROTOCOL-IES ::= {
  { ID id-InformationTransferId   CRITICALITY reject   TYPE InformationTransferId   PRESENCE mandatory } |
  { ID id-ProvidedData          CRITICALITY reject   TYPE ProvidedData          PRESENCE mandatory } |
  { ID id-CN-DomainIndicator    CRITICALITY reject   TYPE CN-DomainIndicator    PRESENCE mandatory } |
  { ID id-GlobalCN-ID           CRITICALITY ignore   TYPE GlobalCN-ID           PRESENCE optional },
  ...
}

InformationTransferIndicationExtensions RANAP-PROTOCOL-EXTENSION ::= {
  ...
}

-- *****
-- Information Transfer Confirmation
-- *****
InformationTransferConfirmation ::= SEQUENCE {
  protocolIEs      ProtocolIE-Container     { { InformationTransferConfirmationIEs} },
  protocolExtensions ProtocolExtensionContainer { { InformationTransferConfirmationExtensions} }           OPTIONAL,
  ...
}

InformationTransferConfirmationIEs RANAP-PROTOCOL-IES ::= {
  { ID id-InformationTransferId   CRITICALITY ignore   TYPE InformationTransferId   PRESENCE mandatory } |
  { ID id-CN-DomainIndicator    CRITICALITY ignore   TYPE CN-DomainIndicator    PRESENCE mandatory } |
  { ID id-CriticalityDiagnostics CRITICALITY ignore   TYPE CriticalityDiagnostics PRESENCE optional } |
  { ID id-GlobalRNC-ID           CRITICALITY ignore   TYPE GlobalRNC-ID           PRESENCE mandatory },
  ...
}

InformationTransferConfirmationExtensions RANAP-PROTOCOL-EXTENSION ::= {
  ...
}

-- *****
-- Information Transfer Failure
-- *****
InformationTransferFailure ::= SEQUENCE {
  protocolIEs      ProtocolIE-Container     { { InformationTransferFailureIEs} },
  protocolExtensions ProtocolExtensionContainer { { InformationTransferFailureExtensions} }           OPTIONAL,
  ...
}

InformationTransferFailureIEs RANAP-PROTOCOL-IES ::= {
  { ID id-InformationTransferId   CRITICALITY ignore   TYPE InformationTransferId   PRESENCE mandatory } |
  { ID id-CN-DomainIndicator    CRITICALITY ignore   TYPE CN-DomainIndicator    PRESENCE mandatory } |

```

```
{ ID id-Cause           CRITICALITY ignore  TYPE Cause           PRESENCE mandatory } |  
{ ID id-CriticalityDiagnostics CRITICALITY ignore  TYPE CriticalityDiagnostics PRESENCE optional } |  
{ ID id-GlobalRNC-ID       CRITICALITY ignore  TYPE GlobalRNC-ID    PRESENCE mandatory },  
...  
}  
  
InformationTransferFailureExtensions RANAP-PROTOCOL-EXTENSION ::= {  
...  
}
```

END

### 9.3.4 Information Element Definitions

```
-- ****
-- 
-- Information Element Definitions
-- 
-- ****

RANAP-IEs {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
umts-Access (20) modules (3) ranap (0) version1 (1) ranap-IEs (2) }

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS
  maxNrOfErrors,
  maxNrOfPDPDirections,
  maxNrOfPoints,
  maxNrOfRABs,
  maxNrOfSeparateTrafficDirections,
  maxRAB-Subflows,
  maxRAB-SubflowCombination,
  maxNrOfLevels,
  maxNrOfAltValues,
  maxNrOfSNAs,
  maxNrOfLAs,
  maxNrOfPLMNsSN,
  id-MessageStructure,
  id-TypeOfError,
  id-DownlinkCellLoadInformation,
  id-UplinkCellLoadInformation
FROM RANAP-Constants

  Criticality,
  ProcedureCode,
  ProtocolIE-ID,
  TriggeringMessage
FROM RANAP-CommonDataTypes

  ProtocolExtensionContainer{},
  RANAP-PROTOCOL-EXTENSION
FROM RANAP-Containers;

-- A

AllocationOrRetentionPriority ::= SEQUENCE {
  priorityLevel      PriorityLevel,
```

```

pre-emptionCapability      Pre-emptionCapability,
pre-emptionVulnerability   Pre-emptionVulnerability,
queueingAllowed            QueueingAllowed,
iE-Extensions              ProtocolExtensionContainer { {AllocationOrRetentionPriority-ExtIEs} } OPTIONAL,
...
}

AllocationOrRetentionPriority-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
  ...
}

Alt-RAB-Parameters ::= SEQUENCE {
  altMaxBitrateInf          Alt-RAB-Parameter-MaxBitrateInf                      OPTIONAL,
  altGuaranteedBitRateInf    Alt-RAB-Parameter-GuaranteedBitrateInf                OPTIONAL,
  iE-Extensions              ProtocolExtensionContainer { {Alt-RAB-Parameters-ExtIEs} } OPTIONAL,
...
}

Alt-RAB-Parameters-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
  ...
}

Alt-RAB-Parameter-GuaranteedBitrateInf ::= SEQUENCE {
  altGuaranteedBitrateType   Alt-RAB-Parameter-GuaranteedBitrateType,
  altGuaranteedBitrates     Alt-RAB-Parameter-GuaranteedBitrates           OPTIONAL
  -- This IE shall be present if the Type of Guaranteed Bit Rates Information IE is set to "Value range" or "Discrete values" --,
...
}

Alt-RAB-Parameter-GuaranteedBitrateType ::= ENUMERATED{
  unspecified,
  value-range,
  discrete-values,
...
}

Alt-RAB-Parameter-GuaranteedBitrates ::= SEQUENCE (SIZE (1..maxNrOfAltValues)) OF
  Alt-RAB-Parameter-GuaranteedBitrateList

Alt-RAB-Parameter-GuaranteedBitrateList ::= SEQUENCE (SIZE (1..maxNrOfSeparateTrafficDirections)) OF GuaranteedBitrate

Alt-RAB-Parameter-MaxBitrateInf ::= SEQUENCE {
  altMaxBitrateType          Alt-RAB-Parameter-MaxBitrateType,
  altMaxBitrates             Alt-RAB-Parameter-MaxBitrates           OPTIONAL
  -- This IE shall be present if the Type of Alternative Maximum Bit Rates Information IE is set to "Value range" or "Discrete values" --,
...
}

Alt-RAB-Parameter-MaxBitrateType ::= ENUMERATED{
  unspecified,
  value-range,
...
}

```

```

discrete-values,
...
}

Alt-RAB-Parameter-MaxBitrates ::= SEQUENCE (SIZE (1..maxNrOfAltValues)) OF
    Alt-RAB-Parameter-MaxBitrateList

Alt-RAB-Parameter-MaxBitrateList ::= SEQUENCE (SIZE (1..maxNrOfSeparateTrafficDirections)) OF MaxBitrate

AreaIdentity ::= CHOICE {
    sAI           SAI,
    geographicalArea   GeographicalArea,
    ...
}

Ass-RAB-Parameters ::= SEQUENCE {
    assMaxBitrateInf      Ass-RAB-Parameter-MaxBitrateList          OPTIONAL,
    assGuaranteedBitRateInf Ass-RAB-Parameter-GuaranteedBitrateList  OPTIONAL,
    iE-Extensions         ProtocolExtensionContainer { {Ass-RAB-Parameters-ExtIEs} } OPTIONAL,
    ...
}

Ass-RAB-Parameters-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

Ass-RAB-Parameter-GuaranteedBitrateList ::= SEQUENCE (SIZE (1..maxNrOfSeparateTrafficDirections)) OF GuaranteedBitrate

Ass-RAB-Parameter-MaxBitrateList ::= SEQUENCE (SIZE (1..maxNrOfSeparateTrafficDirections)) OF MaxBitrate

AuthorisedPLMNs ::= SEQUENCE (SIZE (1..maxNrOfPLMNsSN)) OF
    SEQUENCE {
        pLMNIdentity      PLMNIdentity,
        authorisedSNAsList AuthorisedSNAs      OPTIONAL,
        iE-Extensions     ProtocolExtensionContainer { {AuthorisedPLMNs-ExtIEs} } OPTIONAL,
        ...
    }

AuthorisedPLMNs-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

AuthorisedSNAs ::= SEQUENCE (SIZE (1..maxNrOfSNAs)) OF SNAC

```

unaffected ASN.1 code omitted

-- I

```

IMEI           ::= OCTET STRING (SIZE (8))
-- Reference: 23.003

IMSI          ::= TBCD-STRING (SIZE (3..8))
-- Reference: 23.003

InformationTransferId ::= INTEGER (0.. 1048575)

IntegrityProtectionAlgorithm      ::= INTEGER {
    standard-UMTS-integrity-algorithm-UIA1 (0),
    no-value (15)
} (0..15)

IntegrityProtectionInformation ::= SEQUENCE {
    permittedAlgorithms   PermittedIntegrityProtectionAlgorithms,
    key                  IntegrityProtectionKey,
    iE-Extensions        ProtocolExtensionContainer { {IntegrityProtectionInformation-ExtIEs} } OPTIONAL
}

IntegrityProtectionInformation-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

IntegrityProtectionKey           ::= BIT STRING (SIZE (128))

InterSystemInformation-TransparentContainer ::= SEQUENCE {
    downlinkCellLoadInformation     CellLoadInformation      OPTIONAL,
    uplinkCellLoadInformation       CellLoadInformation      OPTIONAL,
    iE-Extensions                 ProtocolExtensionContainer { { InterSystemInformation-TransparentContainer-ExtIEs} } OPTIONAL,
    ...
}

InterSystemInformation-TransparentContainer-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

IuSignallingConnectionIdentifier ::= BIT STRING (SIZE (24))

IuTransportAssociation ::= CHOICE {
    gTP-TEI             GTP-TEI,
    bindingID          BindingID,
    ...
}

```

unaffected ASN.1 code omitted

-- L

LA-LIST ::= SEQUENCE (SIZE (1..maxNrOfLAs)) OF

```

SEQUENCE {
    LAC,
    listOF-SNAs ListOF-SNAs,
    iE-Extensions ProtocolExtensionContainer { { LA-LIST-ExtIES } } OPTIONAL,
    ...
}

LA-LIST-ExtIES RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

LAC ::= OCTET STRING (SIZE (2))

LAI ::= SEQUENCE {
    pLMNidentity PLMNidentity,
    LAC,
    iE-Extensions ProtocolExtensionContainer { { LAI-ExtIES } } OPTIONAL
}

LAI-ExtIES RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

LastKnownServiceArea ::= SEQUENCE {
    SAI SAI,
    ageOfSAI INTEGER (0..32767),
    iE-Extensions ProtocolExtensionContainer { { LastKnownServiceArea-ExtIES } } OPTIONAL,
    ...
}

LastKnownServiceArea-ExtIES RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

ListOF-SNAs ::= SEQUENCE (SIZE (1..maxNrOfSNAs)) OF SNAC

LocationRelatedDataRequestType ::= SEQUENCE {
    requestedLocationRelatedDataType RequestedLocationRelatedDataType,
    requestedGPSAssistanceData RequestedGPSAssistanceData OPTIONAL,
    -- This IE shall be present if the Requested Location Related Data Type IE is set to 'Dedicated Assistance Data for Assisted GPS' --
    ...
}

L3-Information ::= OCTET STRING

unaffected ASN.1 code omitted

-- P

PagingAreaID ::= CHOICE {
    LAI,
}

```

```

rAI           RAI,
...
}

PagingCause ::= ENUMERATED {
    terminating-conversational-call,
    terminating-streaming-call,
    terminating-interactive-call,
    terminating-background-call,
    terminating-low-priority-signalling,
    ...
    terminating-high-priority-signalling
}

PDP-TypeInformation ::= SEQUENCE (SIZE (1..maxNrOfPDPDirections)) OF
    PDP-Type

PDP-Type ::= ENUMERATED {
    empty,
    ppp,
    osp-ihoss -- this value shall not be used -- ,
    ipv4,
    ipv6,
    ...
}
}

PermanentNAS-UE-ID ::= CHOICE {
    IMSI          IMSI,
    ...
}

PermittedEncryptionAlgorithms ::= SEQUENCE (SIZE (1..16)) OF
    EncryptionAlgorithm

PermittedIntegrityProtectionAlgorithms ::= SEQUENCE (SIZE (1..16)) OF
    IntegrityProtectionAlgorithm

PLMNIdentity ::= TBCD-STRING (SIZE (3))

PLMNs-in-shared-network ::= SEQUENCE (SIZE (1..maxNrOfPLMNsSN)) OF
SEQUENCE {
    PLMNIdentity      PLMNIdentity,
    LA-LIST           LA-LIST,
    iE-Extensions     ProtocolExtensionContainer { { PLMNs-in-shared-network-ExtIEs} } OPTIONAL,
    ...
}

PLMNs-in-shared-network-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

PositioningPriority ::= ENUMERATED {
    high-Priority,

```

```

normal-Priority,
...
}

Pre-emptionCapability ::= ENUMERATED {
    shall-not-trigger-pre-emption,
    may-trigger-pre-emption
}

Pre-emptionVulnerability ::= ENUMERATED {
    not-pre-emptable,
    pre-emptable
}

PriorityLevel          ::= INTEGER { spare (0), highest (1), lowest (14), no-priority (15) } (0..15)

ProvidedData ::= CHOICE {
    shared-network-information      Shared-Network-Information,
    ...
}

P-TMSI                ::= OCTET STRING (SIZE (4))

```

unaffected ASN.1 code omitted

```

-- S

SAC          ::= OCTET STRING (SIZE (2))

SAI ::= SEQUENCE {
    pLMNidentity           PLMNIdentity,
    LAC                     LAC,
    sAC                     SAC,
    iE-Extensions           ProtocolExtensionContainer { {SAI-ExtIEs} } OPTIONAL
}

SAI-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

SAPI ::= ENUMERATED {
    sapi-0,
    sapi-3,
    ...
}

Shared-Network-Information ::= SEQUENCE {
    pLMNs-in-shared-network   PLMNs-in-shared-network,
    iE-Extensions             ProtocolExtensionContainer { {Shared-Network-Information-ExtIEs} } OPTIONAL,
    ...
}

```

```

Shared-Network-Information-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

SDU-ErrorRatio ::= SEQUENCE {
    mantissa          INTEGER (1..9),
    exponent          INTEGER (1..6),
    iE-Extensions     ProtocolExtensionContainer { {SDU-ErrorRatio-ExtIEs} } OPTIONAL
}
-- SDU-ErrorRatio = mantissa * 10^exponent

SDU-ErrorRatio-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

SDU-FormatInformationParameters ::= SEQUENCE (SIZE (1..maxRAB-SubflowCombination)) OF
    SEQUENCE {
        subflowSDU-Size      SubflowSDU-Size      OPTIONAL,
        rAB-SubflowCombinationBitRate   RAB-SubflowCombinationBitRate   OPTIONAL,
        iE-Extensions       ProtocolExtensionContainer { {SDU-FormatInformationParameters-ExtIEs} } OPTIONAL,
        ...
    }

SDU-FormatInformationParameters-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

SDU-Parameters ::= SEQUENCE (SIZE (1..maxRAB-Subflows)) OF
    SEQUENCE {
        SDU-ErrorRatio      SDU-ErrorRatio OPTIONAL
        -- This IE shall be present if the Delivery Of Erroneous SDU IE is set to "Yes" or "No" --,
        residualBitErrorRatio ResidualBitErrorRatio,
        deliveryOfErroneousSDU DeliveryOfErroneousSDU,
        SDU-FormatInformationParameters SDU-FormatInformationParameters OPTIONAL,
        iE-Extensions       ProtocolExtensionContainer { {SDU-Parameters-ExtIEs} } OPTIONAL,
        ...
    }

SDU-Parameters-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

SNA-Access-Information ::= SEQUENCE {
    authorisedPLMNs      AuthorisedPLMNs,
    iE-Extensions       ProtocolExtensionContainer { {SNA-Access-Information-ExtIEs} } OPTIONAL,
    ...
}

SNA-Access-Information-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

SNAC           ::= INTEGER (0..65535)

```

```
Service-Handover ::= ENUMERATED {
    handover-to-GSM-should-be-performed,
    handover-to-GSM-should-not-be-performed,
    handover-to-GSM-shall-not-be-performed,
    ...
}

SourceID ::= CHOICE {
    sourceRNC-ID           SourceRNC-ID,
    sAI                     SAI,
    ...
}
```

unaffected ASN.1 code omitted

### 9.3.6 Constant Definitions

```
-- ****
-- Constant definitions
-- ****

RANAP-Constants {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
umts-Access (20) modules (3) ranap (0) version1 (1) ranap-Constants (4) }

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

-- ****
-- Elementary Procedures
-- ****

id-RAB-Assignment           INTEGER ::= 0
id-Iu-Release                INTEGER ::= 1
id-RelocationPreparation    INTEGER ::= 2
id-RelocationResourceAllocation INTEGER ::= 3
id-RelocationCancel          INTEGER ::= 4
id-SRNS-ContextTransfer     INTEGER ::= 5
id-SecurityModeControl       INTEGER ::= 6
id-DataVolumeReport          INTEGER ::= 7
id-Reset                      INTEGER ::= 9
id-RAB-ReleaseRequest        INTEGER ::= 10
id-Iu-ReleaseRequest         INTEGER ::= 11
id-RelocationDetect          INTEGER ::= 12
id-RelocationComplete        INTEGER ::= 13
id-Paging                     INTEGER ::= 14
id-CommonID                   INTEGER ::= 15
id-CN-InvokeTrace            INTEGER ::= 16
id-LocationReportingControl  INTEGER ::= 17
id-LocationReport             INTEGER ::= 18
id-InitialUE-Message          INTEGER ::= 19
id-DirectTransfer             INTEGER ::= 20
id-OverloadControl            INTEGER ::= 21
id-ErrorIndication            INTEGER ::= 22
id-SRNS-DataForward           INTEGER ::= 23
id-ForwardSRNS-Context        INTEGER ::= 24
id-privateMessage              INTEGER ::= 25
id-CN-DeactivateTrace         INTEGER ::= 26
id-ResetResource               INTEGER ::= 27
id-RANAP-Relocation           INTEGER ::= 28
id-RAB-ModifyRequest          INTEGER ::= 29
```

```

id-LocationRelatedData           INTEGER ::= 30
id-InformationTransfer        INTEGER ::= 31
-- *****
-- Extension constants
-- *****
maxPrivateIEs                  INTEGER ::= 65535
maxProtocolExtensions          INTEGER ::= 65535
maxProtocolIEs                 INTEGER ::= 65535
-- *****
-- Lists
-- *****
maxNrOfDTs                      INTEGER ::= 15
maxNrOfErrors                    INTEGER ::= 256
maxNrOfIuSigConIds              INTEGER ::= 250
maxNrOfPDPDirections            INTEGER ::= 2
maxNrOfPoints                    INTEGER ::= 15
maxNrOfRABs                      INTEGER ::= 256
maxNrOfSeparateTrafficDirections INTEGER ::= 2
maxNrOfVol                       INTEGER ::= 2
maxNrOfLevels                     INTEGER ::= 256
maxNrOfAltValues                 INTEGER ::= 16
maxNrOfPLMNsSN                   INTEGER ::= 32
maxNrOfLAs                     INTEGER ::= 65536
maxNrOfSNAs                      INTEGER ::= 65536

maxRAB-Subflows                  INTEGER ::= 7
maxRAB-SubflowCombination       INTEGER ::= 64
-- *****
-- IEs
-- *****
id-AreaIdentity                 INTEGER ::= 0
id-CN-DomainIndicator           INTEGER ::= 3
id-Cause                         INTEGER ::= 4
id-ChosenEncryptionAlgorithm     INTEGER ::= 5
id-ChosenIntegrityProtectionAlgorithm INTEGER ::= 6
id-ClassmarkInformation2         INTEGER ::= 7
id-ClassmarkInformation3         INTEGER ::= 8
id-CriticalityDiagnostics       INTEGER ::= 9
id-DL-GTP-PDU-SequenceNumber    INTEGER ::= 10
id-EncryptionInformation          INTEGER ::= 11

```

id-IntegrityProtectionInformation	INTEGER ::= 12
id-IuTransportAssociation	INTEGER ::= 13
id-L3-Information	INTEGER ::= 14
id-LAI	INTEGER ::= 15
id-NAS-PDU	INTEGER ::= 16
id-NonSearchingIndication	INTEGER ::= 17
id-NumberOfSteps	INTEGER ::= 18
id-OMC-ID	INTEGER ::= 19
id-OldBSS-ToNewBSS-Information	INTEGER ::= 20
id-PagingAreaID	INTEGER ::= 21
id-PagingCause	INTEGER ::= 22
id-PermanentNAS-UE-ID	INTEGER ::= 23
id-RAB-ContextItem	INTEGER ::= 24
id-RAB-ContextList	INTEGER ::= 25
id-RAB-DataForwardingItem	INTEGER ::= 26
id-RAB-DataForwardingItem-SRNS-CtxReq	INTEGER ::= 27
id-RAB-DataForwardingList	INTEGER ::= 28
id-RAB-DataForwardingList-SRNS-CtxReq	INTEGER ::= 29
id-RAB-DataVolumeReportItem	INTEGER ::= 30
id-RAB-DataVolumeReportList	INTEGER ::= 31
id-RAB-DataVolumeReportRequestItem	INTEGER ::= 32
id-RAB-DataVolumeReportRequestList	INTEGER ::= 33
id-RAB-FailedItem	INTEGER ::= 34
id-RAB-FailedList	INTEGER ::= 35
id-RAB-ID	INTEGER ::= 36
id-RAB-QueuedItem	INTEGER ::= 37
id-RAB-QueuedList	INTEGER ::= 38
id-RAB-ReleaseFailedList	INTEGER ::= 39
id-RAB-ReleaseItem	INTEGER ::= 40
id-RAB-ReleaseList	INTEGER ::= 41
id-RAB-ReleasedItem	INTEGER ::= 42
id-RAB-ReleasedList	INTEGER ::= 43
id-RAB-ReleasedList-IuRelComp	INTEGER ::= 44
id-RAB-RelocationReleaseItem	INTEGER ::= 45
id-RAB-RelocationReleaseList	INTEGER ::= 46
id-RAB-SetupItem-RelocReq	INTEGER ::= 47
id-RAB-SetupItem-RelocReqAck	INTEGER ::= 48
id-RAB-SetupList-RelocReq	INTEGER ::= 49
id-RAB-SetupList-RelocReqAck	INTEGER ::= 50
id-RAB-SetupOrModifiedItem	INTEGER ::= 51
id-RAB-SetupOrModifiedList	INTEGER ::= 52
id-RAB-SetupOrModifyItem	INTEGER ::= 53
id-RAB-SetupOrModifyList	INTEGER ::= 54
id-RAC	INTEGER ::= 55
id-RelocationType	INTEGER ::= 56
id-RequestType	INTEGER ::= 57
id-SAI	INTEGER ::= 58
id-SAPI	INTEGER ::= 59
id-SourceID	INTEGER ::= 60
id-SourceRNC-ToTargetRNC-TransparentContainer	INTEGER ::= 61
id-TargetID	INTEGER ::= 62
id-TargetRNC-ToSourceRNC-TransparentContainer	INTEGER ::= 63
id-TemporaryUE-ID	INTEGER ::= 64

id-TraceReference	INTEGER ::= 65
id-TraceType	INTEGER ::= 66
id-TransportLayerAddress	INTEGER ::= 67
id-TriggerID	INTEGER ::= 68
id-UE-ID	INTEGER ::= 69
id-UL-GTP-PDU-SequenceNumber	INTEGER ::= 70
id-RAB-FailedtoReportItem	INTEGER ::= 71
id-RAB-FailedtoReportList	INTEGER ::= 72
id-KeyStatus	INTEGER ::= 75
id-DRX-CycleLengthCoefficient	INTEGER ::= 76
id-IuSigConIdList	INTEGER ::= 77
id-IuSigConIdItem	INTEGER ::= 78
id-IuSigConId	INTEGER ::= 79
id-DirectTransferInformationItem-RANAP-RelocInf	INTEGER ::= 80
id-DirectTransferInformationList-RANAP-RelocInf	INTEGER ::= 81
id-RAB-ContextItem-RANAP-RelocInf	INTEGER ::= 82
id-RAB-ContextList-RANAP-RelocInf	INTEGER ::= 83
id-RAB-ContextFailedtoTransferItem	INTEGER ::= 84
id-RAB-ContextFailedtoTransferList	INTEGER ::= 85
id-GlobalRNC-ID	INTEGER ::= 86
id-RAB-ReleasedItem-IuRelComp	INTEGER ::= 87
id-MessageStructure	INTEGER ::= 88
id-Alt-RAB-Parameters	INTEGER ::= 89
id-Ass-RAB-Parameters	INTEGER ::= 90
id-RAB-ModifyList	INTEGER ::= 91
id-RAB-ModifyItem	INTEGER ::= 92
id-TypeOfError	INTEGER ::= 93
id-BroadcastAssistanceDataDecipheringKeys	INTEGER ::= 94
id-LocationRelatedDataRequestType	INTEGER ::= 95
id-GlobalCN-ID	INTEGER ::= 96
id-LastKnownServiceArea	INTEGER ::= 97
id-InterSystemInformation-TransparentContainer	INTEGER ::= 98
id-NewBSS-To-OldBSS-Information	INTEGER ::= 99
id-DownlinkCellLoadInformation	INTEGER ::= 100
id-UplinkCellLoadInformation	INTEGER ::= 101
id-SourceRNC-PDCP-context-info	INTEGER ::= 102
id-InformationTransferId	INTEGER ::= 103
id-SNA-Access-Information	INTEGER ::= 104
id-ProvidedData	INTEGER ::= 105

END

## CHANGE REQUEST

⌘ 25.423 CR 702 ⌘ rev 1 ⌘ Current version: 5.2.0 ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

**Proposed change affects:** UICC apps ⌘ ME ⌘ Radio Access Network  Core Network

<b>Title:</b>	⌘ Introduction of Shared Network Area information support	
<b>Source:</b>	⌘ RAN WG3	
<b>Work item code:</b>	⌘ NETSHARE	<b>Date:</b> ⌘ 15/07/2002
<b>Category:</b>	⌘ <b>B</b> <i>Use one of the following categories:</i> <b>F</b> (correction) <b>A</b> (corresponds to a correction in an earlier release) <b>B</b> (addition of feature), <b>C</b> (functional modification of feature) <b>D</b> (editorial modification)	<b>Release:</b> ⌘ Rel-5 <i>Use one of the following releases:</i> 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)
Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .		

<b>Reason for change:</b>	<p>⌘ R1:            - SNA Information IE is provided if available.            - PLMN Identity IE is added to the SNA Information IE            - maxNrOfSNAs is increased to 65535</p> <p>⌘ R0:            Currently, from a UTRAN point of view all UEs have the same access rights to all cells of the UTRAN and of any neighbouring network.</p> <p>It has been clarified (TR R3.012) that in order to support certain shared network scenarios, it is required to be able to differentiate cell access rights for different UEs.</p>
---------------------------	--

<b>Summary of change:</b>	⌘ The required mechanism is introduced by means of the SNA (Shared Network Area) Information that indicates areas where network sharing based access restrictions shall be applied. The overall description of this mechanism is contained in CR 057 on 25.401.
<b>Consequences if not approved:</b>	⌘ If this CRs is not approved it won't be possible to prevent a UE handing over to a cell where it is not allowed because of network sharing agreements, i.e. it will not be possible to support certain shared network scenarios (e.g. geographical split network) as described in TR R3.012.  Impact Analysis:  Impact assessment towards the previous version of the specification (same release):

This CR has no impact to the previous version of the specification as it performs a correction according to the extensibility principles.

Impact assessment towards the previous release of the specification:

No impact as this functionality is added in Rel-5.

**Clauses affected:** ☈ 2, 3.3, 8.2.1, 8.3.1, 8.3.2, 9.1.24, 9.2.1.41B, 9.2.1.41C, 9.2.1.41D, 9.2.1.72, 9.3.3, 9.3.4, 9.3.6, 9.2.1.X (new)

	Y	N	
<b>Other specs</b>	⌘ X		Other core specifications ⌘ TS 25.401 Rel-5 CR 057r1, TS 25.413 Rel-5 CR 504r2, TS 23.003 Rel-5 CR 050 TS 23.009 Rel-5 CR 080 either {TS 29.002 Rel-5 CR 466 TS 29.010 Rel-5 CR 058} or {TS 48.008 Rel-5 TS 29.010 Rel-5 CR 075}
<b>affected:</b>		X	Test specifications X O&M Specifications

**Other comments:** ☈ This CR was previously presented as CR566

#### How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/>. For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

---

## 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TS 23.003: "Numbering, addressing and identification".
- [2] 3GPP TS 25.413: "UTRAN Iu Interface RANAP Signalling".
- [3] 3GPP TS 25.426: "UTRAN Iur and Iub Interface Data Transport & Transport Layer Signalling for DCH Data Streams".
- [4] 3GPP TS 25.427: "UTRAN Iur and Iub Interface User Plane Protocols for DCH Data Streams".
- [5] 3GPP TS 25.435: "UTRAN Iub interface User Plane Protocols for Common Transport Channel Data Streams".
- [6] 3GPP TS 25.104: "UTRA (BS) FDD; Radio transmission and Reception".
- [7] 3GPP TS 25.105: "UTRA (BS) TDD; Radio Transmission and Reception".
- [8] 3GPP TS 25.211: "Physical Channels and Mapping of Transport Channels onto Physical Channels (FDD)".
- [9] 3GPP TS 25.212: "Multiplexing and Channel Coding (FDD)".
- [10] 3GPP TS 25.214: "Physical Layer Procedures (FDD)".
- [11] 3GPP TS 25.215: "Physical Layer – Measurements (FDD)".
- [12] 3GPP TS 25.221: "Physical Channels and Mapping of Transport Channels onto Physical Channels (TDD)".
- [13] 3GPP TS 25.223: "Spreading and Modulation (TDD)".
- [14] 3GPP TS 25.225: "Physical Layer – Measurements (TDD)".
- [15] 3GPP TS 25.304: "UE Procedures in Idle Mode"
- [16] 3GPP TS 25.331: "RRC Protocol Specification".
- [17] 3GPP TS 25.402: "Synchronisation in UTRAN, Stage 2".
- [18] ITU-T Recommendation X.680 (12/97): "Information technology - Abstract Syntax Notation One (ASN.1): Specification of basic notation".
- [19] ITU-T Recommendation X.681 (12/97): "Information technology - Abstract Syntax Notation One (ASN.1): Information object specification".
- [20] ITU-T Recommendation X.691 (12/97): "Information technology - ASN.1 encoding rules - Specification of Packed Encoding Rules (PER)".
- [21] 3GPP TS 25.213: "Spreading and modulation (FDD)".
- [22] 3GPP TS 25.224: "Physical Layer Procedures (TDD)".

- [23] 3GPP TS 25.133 (V3.3): "Requirements for support of Radio Resource management (FDD)".
- [24] 3GPP TS 25.123 (V3.5): "Requirements for support of Radio Resource management (TDD)".
- [25] 3GPP TS 23.032: "Universal Graphical Area Description (GAD)".
- [26] 3GPP TS 25.302: "Services Provided by the Physical Layer".
- [27] 3GPP TS 25.213: "Spreading and modulation (FDD)".
- [28] 3GPP TR 25.921: "Guidelines and Principles for Protocol Description and Error Handling".
- [29] GSM TS 05.05: "Digital cellular telecommunications system (Phase 2+); Radio transmission and reception".
- [30] ICD-GPS-200: "Navstar GPS Space Segment/Navigation User Interface".
- [31] RTCM-SC104: "RTCM Recommended Standards for Differential GNSS Service (v.2.2)".
- [32] 3GPP TS 25.425: "UTRAN Iur and Iub Interface User Plane Protocols for Common Transport Channel data streams".
- [33] IETF RFC 2460 "Internet Protocol, Version 6 (IPv6) Specification".
- [34] IETF RFC 768 "User Datagram Protocol", (8/1980)
- [35] 3GPP TS 25.424: " UTRAN Iur Interface Data Transport & Transport Signalling for Common Transport Channel Data Streams".
- [36] 3GPP TS 44.118: "Mobile radio interface layer 3 specification; Radio Resource Control (RRC) Protocol Iu mode".
- [37] 3GPP TR 43.930: "Iur-g interface; Stage 2".
- [xx] 3GPP TS 25.401: "UTRAN Overall Description"

### 3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

A-GPS	Assisted-GPS
ALCAP	Access Link Control Application Part
ASN.1	Abstract Syntax Notation One
BLER	Block Error Rate
BSS	Base Station Subsystem
CBSS	Controlling BSS
CCCH	Common Control Channel
CCPCH	Common Control Physical Channel
CCTrCH	Coded Composite Transport Channel
CFN	Connection Frame Number
CM	Compressed Mode
CN	Core Network
CPCH	Common Packet Channel
CPICH	Common Pilot Channel
CRNC	Controlling RNC
DBSS	Drift BSS
DCH	Dedicated Channel
DGPS	Differential GPS
DL	Downlink
DPCCH	Dedicated Physical Control Channel
DPCH	Dedicated Physical Channel
DRNC	Drift RNC
DRNS	Drift RNS
D-RNTI	Drift Radio Network Temporary Identifier
DRX	Discontinuous Reception
DSCH	Downlink Shared Channel
EP	Elementary Procedure
FACH	Forward Access Channel
FDD	Frequency Division Duplex
FP	Frame Protocol
GERAN	GSM EDGE Radio Access Network
GPS	Global Positioning System
GRA	GERAN Registration Area
IE	Information Element
IMSI	International Mobile Subscriber Identity
IP	Internet Protocol
IPDL	Idle Period DownLink
ISCP	Interference Signal Code Power
LCS	Location Services
MAC	Medium Access Control
MS	Mobile Station
NAS	Non Access Stratum
O&M	Operation and Maintenance
P-CCPCH	Primary CCPCH
PCH	Paging Channel
P-CIPCH	Primary CIPCH
PCPCH	Physical Common Packet Channel
PDU	Protocol Data Unit
PICH	Paging Indication Channel
PRACH	Physical Random Access Channel
RACH	Random Access Channel
RL	Radio Link
RLC	Radio Link Control
RLS	Radio Link Set
RNS	Radio Network Subsystem
RNSAP	Radio Network Subsystem Application Part
RNTI	Radio Network Temporary Identifier

RRC	Radio Resource Control
RSCP	Received Signal Code Power
SBSS	Serving BSS
S-CCPCH	Secondary CCPCH
SCH	Synchronisation Channel
SCTD	Space Code Transmit Diversity
SDU	Service Data Unit
SFN	System Frame Number
SIR	Signal-to-Interference Ratio
<u>SNA</u>	<u>Shared Network Area</u>
SRB2	Signalling radio bearer 2
SRNC	Serving RNC
SRNS	Serving RNS
SSDT	Site Selection Diversity Transmission
STTD	Space Time Transmit Diversity
TDD	Time Division Duplex
TFCI	Transport Format Combination Indicator
TFCS	Transport Format Combination Set
TFS	Transport Format Set
ToAWS	Time of Arrival Window Endpoint
TPC	Transmit Power Control
TrCh	Transport Channel
TSTD	Time Switched Transmit Diversity
UARFCN	UTRA Absolute Radio Frequency Channel Number
UDP	User Datagram Protocol
UE	User Equipment
UL	Uplink
URA	UTRAN Registration Area
USCH	Uplink Shared Channel
UTRA	Universal Terrestrial Radio Access
UTRAN	Universal Terrestrial Radio Access Network

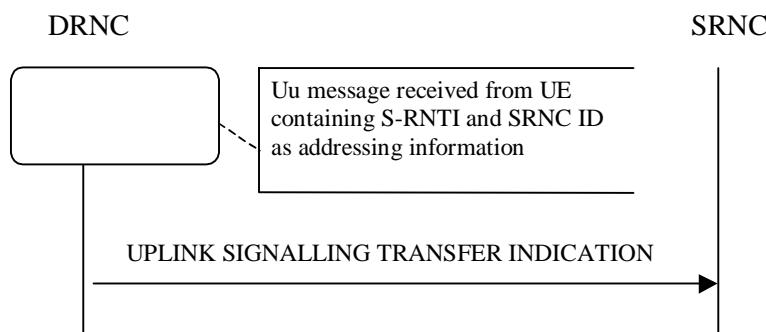
## 8.2.1 Uplink Signalling Transfer

### 8.2.1.1 General

The procedure is used by the DRNC to forward a Uu message received on the CCCH to the SRNC.

This procedure shall use the connectionless mode of the signalling bearer.

### 8.2.1.2 Successful Operation



**Figure 1: Uplink Signalling Transfer procedure, Successful Operation**

When the DRNC receives an Uu message on the CCCH where the UE addressing information is U-RNTI, i.e. S-RNTI and SRNC-ID, DRNC shall send the UPLINK SIGNALLING TRANSFER INDICATION message to the SRNC identified by the SRNC-ID received from the UE.

If at least one URA Identity is being broadcast in the cell where the Uu message was received (the accessed cell), the DRNC shall include a URA Identity for this cell in the *URA ID* IE, the *Multiple URAs Indicator* IE indicating whether or not multiple URA Identities are being broadcast in the accessed cell, and the RNC Identity of all other RNCs that are having at least one cell within the URA where the Uu message was received in the *URA Information* IE in the UPLINK SIGNALLING TRANSFER INDICATION message.

The DRNC shall include in the message the C-RNTI that it allocates to identify the UE in the radio interface in the accessed cell. If there is no valid C-RNTI for the UE in the accessed cell, the DRNS shall allocate a new C-RNTI for the UE. If the DRNS allocates a new C-RNTI it shall also release any C-RNTI previously allocated for the UE.

If the DRNS has any RACH, [FDD - CPCH], and/or FACH resources allocated for the UE identified by the U-RNTI in another cell than the accessed cell in which the Mac SDU sizes, flow control settings (including credits) and/or transport bearer are different from those in the old cell, then the DRNS shall not include the *Common Transport Channel Resources Initialisation not Required* IE in the UPLINK SIGNALLING TRANSFER INDICATION message. In addition the DRNS shall release these RACH, [FDD - CPCH,] and/or FACH resources in old cell.

If the DRNS has any RACH, [FDD - CPCH], and/or FACH resources allocated for the UE identified by the U-RNTI in another cell than the accessed cell in which the Mac SDU sizes, flow control settings (including credits) and transport bearer are the same as in the old cell, there is no need for Common Transport Channel Resources Initialisation to be initiated. In that case, DRNC may include the *Common Transport Channel Resources Initialisation not Required* IE in the UPLINK SIGNALLING TRANSFER INDICATION message. In addition, the DRNS shall move these RACH, [FDD - CPCH,] and/or FACH resources to the new cell. If no Common Transfer Channel Resources Initialisation procedure is executed, the currently applicable Mac SDU sizes, flow control settings (including credits) and transport bearer shall continue to be used while the UE is in the new cell.

If no context exists for this UE in the DRNC, the DRNC shall create a UE Context for this UE, allocate a D-RNTI for the UE Context, and include the *D-RNTI* IE and the identifiers for the CN CS Domain and CN PS Domain that the DRNC is connected to in the UPLINK SIGNALLING TRANSFER INDICATION message. These CN Domain Identifiers shall be based on the LAC and RAC respectively of the cell where the message was received from the UE.

Depending on local configuration in the DRNS, it may include the geographical co-ordinates of the cell, represented either by the *Cell GAI* IE or by the *Cell GA Additional Shapes* IE, where the Uu message was received in the UPLINK SIGNALLING TRANSFER INDICATION message.

[FDD - The DRNC shall include the *DPC Mode Change Support Indicator* IE in the UPLINK SIGNALLING TRANSFER INDICATION message if the accessed cell supports DPC mode change.]

[FDD- The DRNC shall include the *Flexible Hard Split Support Indicator* IE in the UPLINK SIGNALLING TRANSFER INDICATION message if the accessed cell supports TFCI flexible hard split mode.]

The DRNC shall include [FDD - the *Cell Capability Container FDD* IE], [3.84Mcps TDD - the *Cell Capability Container TDD* IE] and/or [1.28Mcps TDD - the *Cell Capability Container TDD LCR* IE] in the UPLINK SIGNALLING TRANSFER INDICATION message if the accessed cell supports any functionalities listed in [FDD - 9.2.2.D], [3.84Mcps TDD - 9.2.3.1a] and [1.28 Mcps - TDD 9.2.3.1b].

If available, the DRNC shall include the *SNA Information* IE for the concerned cell.

When receiving the *SNA Information* IE, the SRNC should use it to restrict cell access based on SNA information. - See also [xx] for a broader description of the SNA access control.

#### 8.2.1.3 Abnormal Conditions

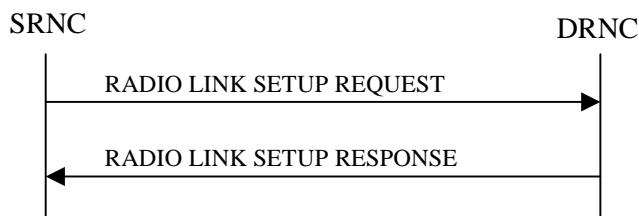
### 8.3.1 Radio Link Setup

#### 8.3.1.1 General

This procedure is used for establishing the necessary resources in the DRNS for one or more radio links.

The connection-oriented service of the signalling bearer shall be established in conjunction with this procedure.

#### 8.3.1.2 Successful Operation



**Figure 5: Radio Link Setup procedure: Successful Operation**

When the SRNC makes an algorithmic decision to add the first cell or set of cells from a DRNS to the active set of a specific UE-UTRAN connection, the RADIO LINK SETUP REQUEST message is sent to the corresponding DRNC to request establishment of the radio link(s).

The DRNS shall prioritise resource allocation for the RL(s) to be established according to Annex A.

If the RADIO LINK SETUP REQUEST message includes the *Allowed Queuing Time* IE the DRNS may queue the request the time corresponding to the value of the *Allowed Queuing Time* IE before starting to execute the request.

If no *D-RNTI* IE was included in the RADIO LINK SETUP REQUEST message, the DRNC shall assign a new *D-RNTI* for this UE.

\*\*\*\*\*UNAFFECTED PARTS ARE OMITTED\*\*\*\*\*

#### Neighbouring Cell Handling:

If there are UMTS neighbouring cell(s) to the cell in which a Radio Link was established then:

- The DRNC shall include the *Neighbouring FDD Cell Information* IE and/or *Neighbouring TDD Cell Information* IE in the *Neighbouring UMTS Cell Information* IE for each neighbouring FDD cell and/or TDD cell respectively. In addition, if the information is available, the DRNC shall include the *Frame Offset* IE, *Primary CPICH Power* IE, *Cell Individual Offset* IE, *STTD Support Indicator* IE, *Closed Loop Mode1 Support Indicator* IE, *Closed Loop Mode2 Support Indicator* IE, *Coverage Indicator* IE, *Antenna Co-location Indicator* IE and *HCS Prio* IE in the *Neighbouring FDD Cell Information* IE, and the *Frame Offset* IE, *Cell Individual Offset* IE, *DPCCH Constant Value* IE, the *PCCPCH Power* IE, *Coverage Indicator* IE, *Antenna Co-location Indicator* IE and *HCS Prio* IE in the *Neighbouring TDD Cell Information* IE.
- If a UMTS neighbouring cell is not controlled by the same DRNC, the DRNC shall also include the *CN PS Domain Identifier* IE and/or *CN CS Domain Identifier* IE which are the identifiers of the CN nodes connected to the RNC controlling the UMTS neighbouring cell.
- [FDD - The DRNC shall include the *DPC Mode Change Support Indicator* IE if the DRNC is aware that the neighbouring cell supports DPC mode change.]
- [FDD- The DRNC shall include the *Flexible Hard Split Support Indicator* IE if the DRNC is aware that the neighbouring cell supports *Flexible Hard Split* mode.]
- The DRNC shall include the *Cell Capability Container FDD* IE, the *Cell Capability Container TDD* IE and/or the *Cell Capability Container TDD LCR* IE if the DRNC is aware that the neighbouring cell supports any functionalities listed in 9.2.2.D, 9.2.3.1a and 9.2.3.1b.

- For the UMTS neighbouring cells which are controlled by the DRNC, the DRNC shall report in the RADIO LINK SETUP RESPONSE message the restriction state of those cells, otherwise *Restriction state indicator* IE may be absent. The DRNC shall include the *Restriction state indicator* IE for the neighbouring cells which are controlled by the DRNC in the *Neighbouring FDD Cell Information* IE, the *Neighbouring TDD Cell Information* IE and the *Neighbouring TDD Cell Information LCR* IE.
- If available, the DRNC shall include the *SNA Information* IE for the concerned neighbouring cells in the *Neighbouring FDD Cell Information* IE, the *Neighbouring TDD Cell Information* IE and the *Neighbouring TDD Cell Information LCR* IE.

If there are GSM neighbouring cells to the cell(s) where a radio link is established, the DRNC shall include the *Neighbouring GSM Cell Information* IE in the RADIO LINK SETUP RESPONSE message for each of the GSM neighbouring cells. If available the DRNC shall include the *Cell Individual Offset* IE, *Coverage Indicator* IE, *Antenna Co-location Indicator* IE and *HCS Prio* IE in the *Neighbouring GSM Cell Information* IE. If available, the DRNC shall also include the *SNA Information* IE for the concerned neighbouring cells in the *Neighbouring GSM Cell Information* IE.

When receiving the *SNA Information* IE in the RADIO LINK SETUP RESPONSE message, the SRNC should use it to restrict cell access based on SNA information. See also [xx] for a broader description of the *SNA access control*.

\*\*\*\*\*UNAFFECTED PARTS ARE OMITTED\*\*\*\*\*

## 8.3.2 Radio Link Addition

### 8.3.2.1 General

This procedure is used for establishing the necessary resources in the DRNS for one or more additional RLs towards a UE when there is already at least one RL established to the concerned UE via this DRNS.

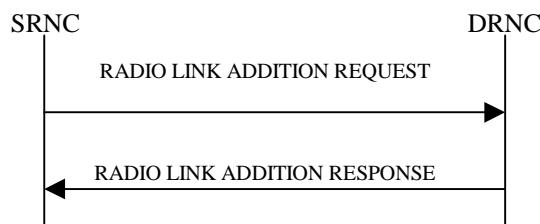
This procedure shall use the signalling bearer connection for the relevant UE Context.

The Radio Link Addition procedure shall not be initiated if a Prepared Reconfiguration exists, as defined in subclause 3.1.

[FDD – The Radio Link Addition procedure serves to establish one or more new Radio Links which do not contain the DSCH. If the DSCH shall be moved into a new Radio Link, the Radio Link reconfiguration procedure shall be applied.]

[TDD – The Radio Link Addition procedure serves to establish a new Radio Link with the DSCH and USCH included, if they existed before.]

### 8.3.2.2 Successful Operation



**Figure 7: Radio Link Addition procedure: Successful Operation**

The procedure is initiated with a RADIO LINK ADDITION REQUEST message sent from the SRNC to the DRNC.

Upon reception, the DRNS shall reserve the necessary resources and configure the new RL(s) according to the parameters given in the message. Unless specified below, the meaning of parameters is specified in other specifications.

The DRNS shall prioritise resource allocation for the RL(s) to be established according to Annex A.

\*\*\*\*\*UNAFFECTED PARTS ARE OMITTED\*\*\*\*\*

#### Neighbouring Cell Handling:

If there are UMTS neighbouring cell(s) to the cell in which a Radio Link was established then:

- The DRNC shall include the *Neighbouring FDD Cell Information* IE and/or *Neighbouring TDD Cell Information* IE in the *Neighbouring UMTS Cell Information* IE for each neighbouring FDD cell and/or TDD cell respectively. In addition, if the information is available, the DRNC shall include the *Frame Offset* IE, *Primary CPICH Power* IE, *Cell Individual Offset* IE, *STTD Support Indicator* IE, *Closed Loop Mode1 Support Indicator* IE, *Closed Loop Mode2 Support Indicator* IE, *Coverage Indicator* IE, *Antenna Co-location Indicator* IE and *HCS Prio* IE in the *Neighbouring FDD Cell Information* IE, and the *Frame Offset* IE, *Cell Individual Offset* IE, *DPCCH Constant Value* IE and the *PCCPCH Power* IE, *Coverage Indicator* IE, *Antenna Co-location Indicator* IE and *HCS Prio* IE in the *Neighbouring TDD Cell Information* IE..
- If a UMTS neighbouring cell is not controlled by the same DRNC, the DRNC shall also include the *CN PS Domain Identifier* IE and/or *CN CS Domain Identifier* IE which are the identifiers of the CN nodes connected to the RNC controlling the UMTS neighbouring cell.
- [FDD - The DRNC shall include the *DPC Mode Change Support Indicator* IE if the DRNC is aware that the neighbouring cell supports DPC mode change.]

- [FDD - The DRNC shall include the *Flexible Hard Split Support Indicator* IE if the DRNC is aware that the neighbouring cell supports *Flexible Hard Split* mode.]
- The DRNC shall include the *Cell Capability Container FDD* IE, the *Cell Capability Container TDD* IE and/or the *Cell Capability Container TDD LCR* IE if the DRNC is aware that the neighbouring cell supports any functionalities listed in 9.2.2.D, 9.2.3.1a and 9.2.3.1b.
- |— For the UMTS neighbouring cells which are controlled by the DRNC, the DRNC shall report in the RADIO LINK SETUP RESPONSE message the restriction state of those cells, otherwise *Restriction state indicator* IE may be absent. The DRNC shall include the *Restriction state indicator* IE for the neighbouring cells which are controlled by the DRNC in the *Neighbouring FDD Cell Information* IE, the *Neighbouring TDD Cell Information* IE and the *Neighbouring TDD Cell Information LCR* IE.
- If available, the DRNC shall include the *SNA Information* IE for the concerned neighbouring cells in the *Neighbouring FDD Cell Information* IE, the *Neighbouring TDD Cell Information* IE and the *Neighbouring TDD Cell Information LCR* IE

If there are GSM neighbouring cells to the cell(s) where a radio link is established, the DRNC shall include the *Neighbouring GSM Cell Information* IE in the RADIO LINK ADDITION RESPONSE message for each of the GSM neighbouring cells. If available the DRNC shall include the *Cell Individual Offset* IE, *Coverage Indicator* IE, *Antenna Co-location Indicator* IE and *HCS Prio* IE in the *Neighbouring GSM Cell Information* IE. If available, the DRNC shall also include the *SNA Information* IE for the concerned neighbouring cells in the *Neighbouring GSM Cell Information* IE.

When receiving the *SNA Information* IE in the RADIO LINK ADDITION RESPONSE message, the SRNC should use it to restrict cell access based on SNA information. See also [xx] for a broader description of the SNA access control.

\*\*\*\*\*UNAFFECTED PARTS ARE OMITTED\*\*\*\*\*

## 9.1.24 UPLINK SIGNALLING TRANSFER INDICATION

### 9.1.24.1 FDD Message

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1.40		YES	ignore
Transaction ID	M		9.2.1.59		–	
UC-Id	M		9.2.1.71		YES	ignore
SAI	M		9.2.1.52		YES	ignore
Cell GAI	O		9.2.1.5A		YES	ignore
C-RNTI	M		9.2.1.14		YES	ignore
S-RNTI	M		9.2.1.54		YES	ignore
D-RNTI	O		9.2.1.24		YES	ignore
Propagation Delay	M		9.2.2.33		YES	ignore
STTD Support Indicator	M		9.2.2.45		YES	ignore
Closed Loop Mode1 Support Indicator	M		9.2.2.2		YES	ignore
Closed Loop Mode2 Support Indicator	M		9.2.2.3		YES	ignore
L3 Information	M		9.2.1.32		YES	ignore
CN PS Domain Identifier	O		9.2.1.12		YES	ignore
CN CS Domain Identifier	O		9.2.1.11		YES	ignore
URA Information	O		9.2.1.70B		YES	ignore
Cell GA Additional Shapes	O		9.2.1.5B		YES	ignore
DPC Mode Change Support Indicator	O		9.2.2.56		YES	ignore
Common Transport Channel Resources Initialisation not required	O		9.2.1.12F		YES	Ignore
Cell Capability Container FDD	O		9.2.2.D		YES	ignore
Cell Capability Container TDD	O		9.2.3.1a		YES	ignore
Cell Capability Container TDD LCR	O		9.2.3.1b		YES	ignore
SNA Information	O		9.2.1.x		YES	ignore

### 9.1.24.2 TDD Message

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1.40		YES	ignore
Transaction ID	M		9.2.1.59		–	
UC-Id	M		9.2.1.71		YES	ignore
SAI	M		9.2.1.52		YES	ignore
Cell GAI	O		9.2.1.5A		YES	Ignore
C-RNTI	M		9.2.1.14		YES	ignore
S-RNTI	M		9.2.1.54		YES	ignore
D-RNTI	O		9.2.1.24		YES	ignore
Rx Timing Deviation	M		9.2.3.7A		YES	ignore
L3 Information	M		9.2.1.32		YES	ignore
CN PS Domain Identifier	O		9.2.1.12		YES	ignore
CN CS Domain Identifier	O		9.2.1.11		YES	ignore
URA Information	O		9.2.1.70B		YES	ignore
Cell GA Additional Shapes	O		9.2.1.5B		YES	ignore
Common Transport Channel Resources Initialisation not required	O		9.2.1.12F		YES	ignore
Cell Capability Container FDD	O		9.2.2.D		YES	ignore
Cell Capability Container TDD	O		9.2.3.1a		YES	ignore
Cell Capability Container TDD LCR	O		9.2.3.1b		YES	ignore
SNA Information	O		9.2.1.x		YES	ignore

### 9.2.1.41B Neighbouring FDD Cell Information

The *Neighbouring FDD Cell Information* IE provides information for FDD cells that are a neighbouring cells to a cell in the DRNC.

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
<b>Neighbouring FDD Cell Information</b>		1..<max nooFDD neighbours>			—	
>C-Id	M		9.2.1.6		—	
>UL UARFCN	M		UARFCN 9.2.1.66	Corresponds to Nu in ref. [6]	—	
>DL UARFCN	M		UARFCN 9.2.1.66	Corresponds to Nd in ref. [6]	—	
>Frame Offset	O		9.2.1.30		—	
>Primary Scrambling Code	M		9.2.1.45		—	
>Primary CPICH Power	O		9.2.1.44		—	
>Cell Individual Offset	O		9.2.1.7		—	
>Tx Diversity Indicator	M		9.2.2.50			
>STTD Support Indicator	O		9.2.2.45		—	
>Closed Loop Mode1 Support Indicator	O		9.2.2.2		—	
>Closed Loop Mode2 Support Indicator	O		9.2.2.3		—	
>Restriction State Indicator	O		9.2.1.48C		YES	ignore
>DPC Mode Change Support Indicator	O		9.2.2.56		YES	ignore
>Coverage Indicator	O		9.2.1.12G		YES	ignore
>Antenna Co-location Indicator	O		9.2.1.2C		YES	ignore
>HCS Prio	O		9.2.1.30N		YES	ignore
>Cell Capability Container FDD	O		9.2.2.D		YES	ignore
> SNA Information	O		9.2.1.x		YES	ignore

Range bound	Explanation
maxnooFDDneighbours	Maximum number of neighbouring FDD cell for one cell.

### 9.2.1.41C Neighbouring GSM Cell Information

The *Neighbouring GSM Cell Information* IE provides information for all GSM Cells that are a neighbouring cell to a cell in the DRNC.

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Neighbouring GSM Cell Information		1..<max noofGS Mneighb ours>			GLOBAL	ignore
>CGI		1		Cell Global Identity as defined in ref. [1].	-	
>>LAI		1			-	
>>>PLMN Identity	M		OCTET STRING (3)	- digits 0 to 9, two digits per octet, - each digit encoded 0000 to 1001, - 1111 used as filler - bit 4 to 1 of octet n encoding digit 2n-1 - bit 8 to 5 of octet n encoding digit 2n -The PLMN Identity consists of 3 digits from MCC followed by either -a filler plus 2 digits from MNC (in case of 2 digit MNC) or -3 digits from MNC (in case of a 3 digit MNC).	-	
>>>LAC	M		OCTET STRING (2)	0000 and FFFE not allowed	-	
>>CI	M		OCTET STRING (2)		-	
>Cell Individual Offset	O		9.2.1.7	The Cell Individual Offset to be used for UEs using DCHs.	-	
>BSIC		1		Base Station Identity Code as defined in ref. [1].	-	
>>NCC	M		BIT STRING(3)	Network Colour Code.	-	
>>BCC	M		BIT STRING(3)	Base Station Colour Code.	-	
>Band Indicator	M		ENUMERATED(DCS 1800 band, PCS 1900 band, ...)	Indicates whether or not the BCCH ARFCN belongs to the 1800 band or 1900 band of GSM frequencies.	-	
>BCCH ARFCN	M		INTEGER(0..1023)	BCCH Frequency as defined in ref. [29].	-	
>Coverage Indicator	O		9.2.1.12G		YES	ignore
>Antenna Co-location Indicator	O		9.2.1.2C		YES	ignore
>HCS Prio	O		9.2.1.30N		YES	ignore
> SNA Information	<u>O</u>		<u>9.2.1.x</u>		<u>YES</u>	<u>ignore</u>

Range bound	Explanation
maxnoofGSMneighbours	Maximum number of neighbouring GSM cells for one cell.

### 9.2.1.41D Neighbouring TDD Cell Information

The *Neighbouring TDD Cell Information* IE provides information for 3.84Mcps TDD cells that are a neighbouring cells to a cell in the DRNC.

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
<b>Neighbouring TDD Cell Information</b>		$1..<\maxnoofTDDneighbours>$			—	
>C-Id	M		9.2.1.6		—	
>UARFCN	M		9.2.1.66	Corresponds to Nt in ref. [7]	—	
>Frame Offset	O		9.2.1.30		—	
>Cell Parameter ID	M		9.2.1.8		—	
>Sync Case	M		9.2.1.54		—	
>Time Slot	C-Case1		9.2.1.56		—	
>SCH Time Slot	C-Case2		9.2.1.51		—	
>SCTD Indicator	M		9.2.1.78		—	
>Cell Individual Offset	O		9.2.1.7		—	
>DPCH Constant Value	O		9.2.1.23		—	
>PCCPCH Power	O		9.2.1.43		—	
>Restriction State Indicator	O		9.2.1.48C		YES	ignore
>Coverage Indicator	O		9.2.1.12G		YES	ignore
>Antenna Co-location Indicator	O		9.2.1.2C		YES	ignore
>HCS Prio	O		9.2.1.30N		YES	ignore
>Cell Capability Container TDD	O		9.2.3.1a		YES	ignore
> SNA Information	O		9.2.1.x		YES	ignore

Condition	Explanation
Case1	The IE shall be present if the Sync Case IE is set to "Case1".
Case2	The IE shall be present if the Sync Case IE is set to "Case2".

Range bound	Explanation
$\maxnoofTDDneighbours$	Maximum number of neighbouring 3.84Mcps TDD cell for one cell.

### 9.2.1.72 Neighbouring TDD Cell Information LCR

The *Neighbouring TDD Cell Information LCR* IE provides information for 1.28Mcps TDD cells that are a neighbouring cells to a cell in the DRNC.

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
<b>Neighbouring TDD Cell Information LCR</b>		1..<maxno ofLCRTDD neighbours>			—	
>C-Id	M		9.2.1.6		—	
>UARFCN	M		9.2.1.66	Corresponds to Nt in ref. [7]	—	
>Frame Offset	O		9.2.1.30		—	
>Cell Parameter ID	M		9.2.1.8		—	
>SCTD Indicator	M		9.2.1.78		—	
>Cell Individual Offset	O		9.2.1.7		—	
>DPCH Constant Value	O		9.2.1.23		—	
>PCCPCH Power	O		9.2.1.43		—	
>Restriction State Indicator	O		9.2.1.48C		—	
>Cell Capability Container TDD LCR	O		9.2.3.1b		YES	ignore
> SNA Information	O		9.2.1.x		YES	ignore

Range bound	Explanation
<i>maxnoofLCRTDDneighbours</i>	Maximum number of neighbouring 1.28Mcps TDD cell for one cell.

### 9.2.1.x Shared Network Area (SNA) Information

This information element contains a list of Shared Network Areas, identified by the Shared Network Area Code (SNAC, see [1]) which a certain cell belongs to. For a broader description of the SNA access control see [xx].

<u>IE/Group Name</u>	<u>Presence</u>	<u>Range</u>	<u>IE type and reference</u>	<u>Semantics description</u>
PLMN Identity	M		OCTET STRING (3)	<ul style="list-style-type: none"> <li>- digits 0 to 9, two digits per octet,</li> <li>- each digit encoded 0000 to 1001,</li> <li>- 1111 used as filler</li> <li>- bit 4 to 1 of octet n encoding digit <math>2n-1</math></li> <li>- bit 8 to 5 of octet n encoding digit <math>2n</math></li> <li>-The PLMN Identity consists of 3 digits from MCC followed by either</li> <li>-a filler plus 2 digits from MNC (in case of 2 digit MNC) or</li> <li>-3 digits from MNC (in case of a 3 digit MNC).</li> </ul>
List of SNAs		$0..<\text{maxnoofSNAs}>, \dots$		
> SNAC	M		INTEGER (0.. 65535)	

<u>Range bound</u>	<u>Explanation</u>
$\text{maxnoofSNAs}$	Maximum number of SNAs one cell can be part of.

### 9.3.3 PDU Definitions

```
-- ****
-- PDU definitions for RNSAP.
-- ****

RNSAP-PDU-Contents {
    itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
    umts-Access (20) modules (3) rnsap (1) version1 (1) rnsap-PDU-Contents (1) }

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

-- ****
-- IE parameter types from other modules.
-- **

IMPORTS
    Active-Pattern-Sequence-Information,
    AllocationRetentionPriority,
    AllowedQueueingTime,
    Allowed-Rate-Information,
    AlphaValue,
    AntennaColocationIndicator,
    BLER,
    SCTD-Indicator,
    BindingID,

***UNCHANGED PARTS WERE OMITTED***

|   SNA-Information,
|   ***UNCHANGED PARTS WERE OMITTED***

    TSTD-Indicator,
    TSTD-Support-Indicator,
    UARFCN,
    UC-ID,
    UL-DPCCH-SlotFormat,
    UL-SIR,
    UL-FP-Mode,
    UL-PhysCH-SF-Variation,
    UL-ScramblingCode,
    UL-Timeslot-Information,
    UL-TimeslotLCR-Information,
```

```
UL-TimeSlot-ISCP-Info,  
UL-TimeSlot-ISCP-LCR-Info,  
URA-ID,  
URA-Information,  
USCH-ID,  
USCH-Information  
FROM RNSAP-IES
```

```
PrivateIE-Container{},  
ProtocolExtensionContainer{},  
ProtocolIE-ContainerList{},  
ProtocolIE-ContainerPair{},  
ProtocolIE-ContainerPairList{},  
ProtocolIE-Container{},  
ProtocolIE-Single-Container{},  
RNSAP-PRIVATE-IES,  
RNSAP-PROTOCOL-EXTENSION,  
RNSAP-PROTOCOL-IES,  
RNSAP-PROTOCOL-IES-PAIR  
FROM RNSAP-Containers
```

```
maxNoOfDSCHs,  
maxNoOfUSCHs,  
maxNrOfCCTrCHs,  
maxNrOfDCHs,  
maxNrOfTS,  
maxNrOfDPCHs,  
maxNrOfRLs,  
maxNrOfRLSets,  
maxNrOfRLs-1,  
maxNrOfRLs-2,  
maxNrOfULTs,  
maxNrOfDLTs,  
maxResetContext,  
maxNoOfDSCHsLCR,  
maxNoOfUSCHsLCR,  
maxNrOfCCTrCHsLCR,  
maxNrOfTsLCR,  
maxNrOfDLTsLCR,  
maxNrOfULTsLCR,  
maxNrOfDPCHsLCR,  
maxNrOfLCRTDDNeighboursPerRNC,  
maxNrOfMeasNCell,  
maxNrOfMACdFlows,  
  
id-Active-Pattern-Sequence-Information,  
id-AdjustmentRatio,  
id-AllowedQueuingTime,  
id-AntennaColocationIndicator,  
id-BindingID,  
id-C-ID,  
id-C-RNTI,
```

```
id-CFN,
id-CFNReportingIndicator,
```

\*\*\*UNCHANGED PARTS WERE OMITTED\*\*\*

```
id-Reporing-Object-RL-RestoreInd,
id-RNC-ID,
id-RxTimingDeviationForTA,
id-S-RNTI,
id-SAI,
id-SFN,
id-SFNReportingIndicator,
id-SNA-Information,
id-SRNC-ID,
id-SSDT-CellIDforEDSCHPC,
id-STTD-SupportIndicator,
id-SuccessfulRL-InformationResponse-RL-AdditionFailureFDD,
id-SuccessfulRL-InformationResponse-RL-SetupFailureFDD,
id-TFCI-PC-SupportIndicator,
id-timeSlot-ISCP,
id-TimeSlot-RL-SetupRspTDD,
```

\*\*\*UNCHANGED PARTS WERE OMITTED\*\*\*

```
-- ****
-- 
-- UPLINK SIGNALLING TRANSFER INDICATION FDD
-- 
-- ****
```

```
UplinkSignallingTransferIndicationFDD ::= SEQUENCE {
    protocolIEs          ProtocolIE-Container      {{UplinkSignallingTransferIndicationFDD-IEs}},
    protocolExtensions    ProtocolExtensionContainer {{UplinkSignallingTransferIndicationFDD-Extensions}} OPTIONAL,
    ...
}

UplinkSignallingTransferIndicationFDD-IES RNSAP-PROTOCOL-IES ::= {
    { ID id-UC-ID           CRITICALITY ignore TYPE UC-ID           PRESENCE mandatory } |
    { ID id-SAI              CRITICALITY ignore TYPE SAI             PRESENCE mandatory } |
    { ID id-GA-Cell           CRITICALITY ignore TYPE GA-Cell         PRESENCE optional } |
    { ID id-C-RNTI            CRITICALITY ignore TYPE C-RNTI          PRESENCE mandatory } |
    { ID id-S-RNTI            CRITICALITY ignore TYPE S-RNTI          PRESENCE mandatory } |
    { ID id-D-RNTI            CRITICALITY ignore TYPE D-RNTI          PRESENCE optional } |
    { ID id-PropagationDelay   CRITICALITY ignore TYPE PropagationDelay PRESENCE mandatory } |
    { ID id-STTD-SupportIndicator CRITICALITY ignore TYPE STTD-SupportIndicator PRESENCE mandatory } |
    { ID id-ClosedLoopModel-SupportIndicator CRITICALITY ignore TYPE ClosedLoopModel-SupportIndicator PRESENCE mandatory } |
    { ID id-ClosedLoopMode2-SupportIndicator CRITICALITY ignore TYPE ClosedLoopMode2-SupportIndicator PRESENCE mandatory } |
    { ID id-L3-Information      CRITICALITY ignore TYPE L3-Information    PRESENCE mandatory } |
    { ID id-CN-PS-DomainIdentifier CRITICALITY ignore TYPE CN-PS-DomainIdentifier PRESENCE optional } |
    { ID id-CN-CS-DomainIdentifier CRITICALITY ignore TYPE CN-CS-DomainIdentifier PRESENCE optional } |
```

```

{ ID id-URA-Information          CRITICALITY ignore TYPE URA-Information           PRESENCE optional },
...
}

UplinkSignallingTransferIndicationFDD-Extensions RNSAP-PROTOCOL-EXTENSION ::= {
{ ID id-GA-CellAdditionalShapes   CRITICALITY ignore EXTENSION GA-CellAdditionalShapes  PRESENCE optional }|
{ ID id-DPC-Mode-Change-SupportIndicator CRITICALITY ignore EXTENSION DPC-Mode-Change-SupportIndicator  PRESENCE optional }|
{ ID id-CommonTransportChannelResourcesInitialisationNotRequired CRITICALITY ignore EXTENSION
CommonTransportChannelResourcesInitialisationNotRequired  PRESENCE optional }|
{ ID id-CellCapabilityContainer-FDD   CRITICALITY ignore EXTENSION CellCapabilityContainer-FDD  PRESENCE optional }|
{ ID id-CellCapabilityContainer-TDD   CRITICALITY ignore EXTENSION CellCapabilityContainer-TDD  PRESENCE optional }|
{ ID id-CellCapabilityContainer-TDD-LCR CRITICALITY ignore EXTENSION CellCapabilityContainer-TDD-LCR  PRESENCE optional }|
{ ID id-SNA-Information           CRITICALITY ignore EXTENSION SNA-Information  PRESENCE optional },
...
}

-- ****
-- 
-- UPLINK SIGNALLING TRANSFER INDICATION TDD
-- 
-- ****

UplinkSignallingTransferIndicationTDD ::= SEQUENCE {
  protocolIES          ProtocolIE-Container    {{UplinkSignallingTransferIndicationTDD-IES}} ,
  protocolExtensions   ProtocolExtensionContainer {{UplinkSignallingTransferIndicationTDD-Extensions}} OPTIONAL,
...
}

UplinkSignallingTransferIndicationTDD-IES RNSAP-PROTOCOL-IES ::= {
{ ID id-UC-ID           CRITICALITY ignore TYPE UC-ID           PRESENCE mandatory } |
{ ID id-SAI              CRITICALITY ignore TYPE SAI             PRESENCE mandatory } |
{ ID id-GA-Cell           CRITICALITY ignore TYPE GA-Cell         PRESENCE optional } |
{ ID id-C-RNTI           CRITICALITY ignore TYPE C-RNTI          PRESENCE mandatory } |
{ ID id-S-RNTI           CRITICALITY ignore TYPE S-RNTI          PRESENCE mandatory } |
{ ID id-D-RNTI           CRITICALITY ignore TYPE D-RNTI          PRESENCE optional } |
{ ID id-RxTimingDeviationForTA CRITICALITY ignore TYPE RxTimingDeviationForTA PRESENCE mandatory } |
{ ID id-L3-Information    CRITICALITY ignore TYPE L3-Information    PRESENCE mandatory } |
{ ID id-CN-PS-DomainIdentifier CRITICALITY ignore TYPE CN-PS-DomainIdentifier  PRESENCE optional } |
{ ID id-CN-CS-DomainIdentifier CRITICALITY ignore TYPE CN-CS-DomainIdentifier  PRESENCE optional } |
{ ID id-URA-Information   CRITICALITY ignore TYPE URA-Information   PRESENCE optional },
...
}

UplinkSignallingTransferIndicationTDD-Extensions RNSAP-PROTOCOL-EXTENSION ::= {
{ ID id-GA-CellAdditionalShapes   CRITICALITY ignore EXTENSION GA-CellAdditionalShapes  PRESENCE optional }|
{ ID id-CommonTransportChannelResourcesInitialisationNotRequired CRITICALITY ignore EXTENSION
CommonTransportChannelResourcesInitialisationNotRequired  PRESENCE optional }|
{ ID id-CellCapabilityContainer-FDD   CRITICALITY ignore EXTENSION CellCapabilityContainer-FDD  PRESENCE optional }|
{ ID id-CellCapabilityContainer-TDD   CRITICALITY ignore EXTENSION CellCapabilityContainer-TDD  PRESENCE optional }|
{ ID id-CellCapabilityContainer-TDD-LCR CRITICALITY ignore EXTENSION CellCapabilityContainer-TDD-LCR  PRESENCE optional }|

```

```
| { ID id-SNA-Information           CRITICALITY ignore          EXTENSION SNA-Information      PRESENCE optional } ,  
|   ...  
| }
```

\*\*\*UNCHANGED PARTS WERE OMITTED\*\*\*

### 9.3.4 Information Element Definitions

```
-- ****
-- Information Element Definitions
-- ****

RNSAP-IEs {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
umts-Access (20) modules (3) rnsap (1) version1 (1) rnsap-IEs (2) }

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS
  maxCodeNumComp-1,
  maxNrOfFACHs,
  maxFACHCountPlus1,
  maxIBSEG,
  maxNoOfDSCHs,
  maxNoOfDSCHs-1,
  maxNoOfUSCHs,
  maxNoTFCIGroups,
  maxNoCodeGroups,
  maxNrOfDCHs,
  maxNrOfDL-Codes,
  maxNrOfDLTs,
  maxNrOfDLTsLCR,
  maxNrOfDPCHs,
  maxNrOfDPCHsLCR,
  maxNrOfErrors,
  maxNrOfFDDNeighboursPerRNC,
  maxNrOfMACcshSDU-Length,
  maxNrOfNeighbouringRNCs,
  maxNrOfTDDNeighboursPerRNC,
  maxNrOfLCRTDDNeighboursPerRNC,
  maxNrOfTS,
  maxNrOfULTs,
  maxNrOfULTsLCR,
  maxNrOfGSMNeighboursPerRNC,
  maxRateMatching,
  maxNrOfPoints,
  maxNoOfRB,
  maxNrOfRLs,
  maxNrOfTFCs,
  maxNrOfTFs,
```

```
maxCTFC,  
maxRNCinURA-1,  
maxNrOfSCCPCHs,  
maxTFCI1Combs,  
maxTFCI2Combs,  
maxTFCI2Combs-1,  
maxTGPS,  
maxTTI-Count,  
maxNoGPSTypes,  
maxNoSat,  
maxNrOfSNAs,  
maxNrOfHARQProc,  
maxNrOfHSSCCHCodes,  
maxNrOfMACdFlows,  
maxNrOfMACdFlows-1,  
maxNrOfPDUIndexes,  
maxNrOfPDUIndexes-1,  
maxNrOfPrioQueues,  
maxNrOfPrioQueues-1,
```

\*\*\*\*\*UNAFFECTED PARTS ARE OMITTED\*\*\*\*\*

```
id-Allowed-Rate-Information,  
id-AntennaColocationIndicator,  
id-BindingID,  
id-Cell-Capacity-Class-Value,  
id-Cell-Capacity-Class-Value-ThresholdInformation,  
id-CellCapabilityContainer-FDD,  
id-CellCapabilityContainer-TDD,  
id-CellCapabilityContainer-TDD-LCR,  
id-CoverageIndicator,  
id-DPC-Mode-Change-SupportIndicator,  
id-DSCH-Specific-FDD-Additional-List,  
id-Guaranteed-Rate-Information,  
id-HCS-Prio,  
id-Load-Value,  
id-Load-Value-IncrDecrThres,  
id-Neighbouring-GSM-CellInformation,  
id-Neighbouring-UMTS-CellInformationItem,  
id-neighbouring-LCR-TDD-CellInformation,  
id-NRT-Load-Information-Value,  
id-NRT-Load-Information-Value-IncrDecrThres,  
id-OnModification,  
id-Received-Total-Wideband-Power-Value,  
id-Received-Total-Wideband-Power-Value-IncrDecrThres,  
id-RT-Load-Value,  
id-RT-Load-Value-IncrDecrThres,  
id-SFNSFNMeasurementThresholdInformation,  
id-SNA-Information,  
id-TrafficClass,  
id-Transmitted-Carrier-Power-Value,  
id-Transmitted-Carrier-Power-Value-IncrDecrThres,
```

```

id-TUTRANGPSMeasurementThresholdInformation,
id-UL-Timeslot-ISCP-Value,
id-UL-Timeslot-ISCP-Value-IncrDecrThres,
maxNrOfLevels,
maxNrOfMeasNCell,
maxNrOfMeasNCell-1,
id-MessageStructure,
id-EnhancedDSCHPC,
id-RestrictionStateIndicator,
id-Rx-Timing-Deviation-Value-LCR,
id-TransportLayerAddress,
id-TypeOfError,
id-Angle-Of-Arrival-Value-LCR,
id-IPDL-TDD-ParametersLCR,
id-NRT-Load-information-Value
FROM RNSAP-Constants

```

\*\*\*\*\*UNAFFECTED PARTS ARE OMITTED\*\*\*\*\*

-- N

\*\*\*\*\*UNAFFECTED PARTS ARE OMITTED\*\*\*\*\*

```

Neighbouring-FDD-CellInformationItem ::= SEQUENCE {
    c-ID,
    uARFCNforNu,
    uARFCNforNd,
    frameOffset           OPTIONAL,
    primaryScramblingCode,
    primaryCPICH-Power   OPTIONAL,
    cellIndividualOffset  OPTIONAL,
    txDiversityIndicator,
    sTTD-SupportIndicator OPTIONAL,
    closedLoopModel-SupportIndicator OPTIONAL,
    closedLoopMode2-SupportIndicator OPTIONAL,
    iE-Extensions
    ...
}

Neighbouring-FDD-CellInformationItem-ExtIEs RNSAP-PROTOCOL-EXTENSION ::= {
    { ID id-RestrictionStateIndicator      CRITICALITY ignore      EXTENSION RestrictionStateIndicator      PRESENCE optional }|
    { ID id-DPC-Mode-Change-SupportIndicator CRITICALITY ignore   EXTENSION DPC-Mode-Change-SupportIndicator  PRESENCE optional }|
    { ID id-CoverageIndicator               CRITICALITY ignore   EXTENSION CoverageIndicator                  PRESENCE optional }|
    { ID id-AntennaColocationIndicator     CRITICALITY ignore   EXTENSION AntennaColocationIndicator       PRESENCE optional }|
    { ID id-HCS-Prio                      CRITICALITY ignore   EXTENSION HCS-Prio                         PRESENCE optional }|
    { ID id-CellCapabilityContainer-FDD    CRITICALITY ignore   EXTENSION CellCapabilityContainer-FDD        PRESENCE optional }|
    { ID id-SNA-Information                CRITICALITY ignore   EXTENSION SNA-Information                   PRESENCE optional },
}

```

```

}
...
}
```

\*\*\*\*\*UNAFFECTED PARTS ARE OMITTED\*\*\*\*\*

```

Neighbouring-GSM-CellInformation ::= ProtocolIE-Single-Container {{ Neighbouring-GSM-CellInformationIE }}

Neighbouring-GSM-CellInformationIE RNSAP-PROTOCOL-IES ::= {
    { ID id-Neighbouring-GSM-CellInformation      CRITICALITY ignore   TYPE      Neighbouring-GSM-CellInformationIEs  PRESENCE mandatory }
}

Neighbouring-GSM-CellInformationIEs ::= SEQUENCE ( SIZE (1..maxNrOfGSMNeighboursPerRNC,...) ) OF Neighbouring-GSM-CellInformationItem

Neighbouring-GSM-CellInformationItem ::= SEQUENCE {
    cGI                                CGI,
    cellIndividualOffset                 CellIndividualOffset     OPTIONAL,
    bSIC                                BSIC,
    band-Indicator                      Band-Indicator,
    bCCH-ARFCN                          BCCH-ARFCN,
    iE-Extensions                       ProtocolExtensionContainer { { Neighbouring-GSM-CellInformationItem-ExtIEs} } OPTIONAL,
    ...
}

Neighbouring-GSM-CellInformationItem-ExtIEs RNSAP-PROTOCOL-EXTENSION ::= {
    { ID id-CoverageIndicator          CRITICALITY ignore      EXTENSION CoverageIndicator           PRESENCE optional } |
    { ID id-AntennaColocationIndicator CRITICALITY ignore      EXTENSION AntennaColocationIndicator PRESENCE optional } |
    { ID id-HCS-Prio                  CRITICALITY ignore      EXTENSION HCS-Prio                   PRESENCE optional } |
    { ID id-SNA-Information           CRITICALITY ignore      EXTENSION SNA-Information           PRESENCE optional },
    ...
}
```

\*\*\*\*\*UNAFFECTED PARTS ARE OMITTED\*\*\*\*\*

```

Neighbouring-TDD-CellInformation ::= SEQUENCE ( SIZE (1..maxNrOfTDDNeighboursPerRNC,...) ) OF Neighbouring-TDD-CellInformationItem

Neighbouring-TDD-CellInformationItem ::= SEQUENCE {
    c-ID                                C-ID,
    uARFCNforNt                         UARFCN,
    frameOffset                          FrameOffset     OPTIONAL,
    cellParameterID                     CellParameterID,
    syncCase                             SyncCase,
    timeSlot                            TimeSlot       OPTIONAL
    -- This IE shall be present if Sync Case = Casel -- ,
    sCH-TimeSlot                        SCH-TimeSlot   OPTIONAL
    -- This IE shall be present if Sync Case = Case2 -- ,
    sCTD-Indicator                      SCTD-Indicator,
    cellIndividualOffset                 CellIndividualOffset   OPTIONAL,
    dPCHConstantValue                   DPCHConstantValue OPTIONAL,
```

```

pCCPCH-Power          OPTIONAL,
iE-Extensions
...
}

Neighbouring-TDD-CellInformationItem-ExtIEs RNSAP-PROTOCOL-EXTENSION ::= {
  { ID id-RestrictionStateIndicator      CRITICALITY ignore      EXTENSION RestrictionStateIndicator      PRESENCE optional } |
  { ID id-CoverageIndicator              CRITICALITY ignore      EXTENSION CoverageIndicator              PRESENCE optional } |
  { ID id-AntennaColocationIndicator    CRITICALITY ignore      EXTENSION AntennaColocationIndicator    PRESENCE optional } |
  { ID id-HCS-Prio                      CRITICALITY ignore      EXTENSION HCS-Prio                      PRESENCE optional } |
  { ID id-CellCapabilityContainer-TDD   CRITICALITY ignore      EXTENSION CellCapabilityContainer-TDD   PRESENCE optional } |
  { ID id-SNA-Information               CRITICALITY ignore      EXTENSION SNA-Information               PRESENCE optional },
}
...
}

```

\*\*\*\*\*UNAFFECTED PARTS ARE OMITTED\*\*\*\*\*

```
Neighbouring-LCR-TDD-CellInformation ::= SEQUENCE (SIZE (1.. maxNrOfLCRTDDNeighboursPerRNC,...)) OF Neighbouring-LCR-TDD-CellInformationItem
```

```

Neighbouring-LCR-TDD-CellInformationItem ::= SEQUENCE {
  c-ID                  C-ID,
  uARFCNforNr           UARFCN,
  frameOffset            FrameOffset      OPTIONAL,
  cellParameterID        CellParameterID,
  sCTD-Indicator         SCTD-Indicator,
  cellIndividualOffset   CellIndividualOffset OPTIONAL,
  dPCHConstantValue     DPCHConstantValue OPTIONAL,
  pCCPCH-Power           PCCPCH-Power      OPTIONAL,
  restrictionStateIndicator RestrictionStateIndicator OPTIONAL,
  iE-Extensions          ProtocolExtensionContainer { { Neighbouring-LCR-TDD-CellInformationItem-ExtIEs } } OPTIONAL,
}

```

```

Neighbouring-LCR-TDD-CellInformationItem-ExtIEs RNSAP-PROTOCOL-EXTENSION ::= {
  { ID id-CellCapabilityContainer-TDD-LCR CRITICALITY ignore      EXTENSION CellCapabilityContainer-TDD-LCR      PRESENCE optional } |
  { ID id-SNA-Information               CRITICALITY ignore      EXTENSION SNA-Information               PRESENCE optional },
}
...
}

```

\*\*\*\*\*UNAFFECTED PARTS ARE OMITTED\*\*\*\*\*

```
-- S
```

\*\*\*\*\*UNAFFECTED PARTS ARE OMITTED\*\*\*\*\*

```

SNA-Information ::= SEQUENCE {
  pLMN-Identity    PLMN-Identity,
  listOfSNAs       ListOfSNAs
                           OPTIONAL,
  iE-Extensions    ProtocolExtensionContainer { { SNA-Information-ExtIEs } } OPTIONAL,
}

```

```
    ...
}

SNA-Information-ExtIEs RNSAP-PROTOCOL-EXTENSION ::= {
    ...
}

ListOfSNAs ::= SEQUENCE (SIZE (1.. maxNrOfSNAs, ...)) OF SNACode

SNACode ::= INTEGER (0..65535)
```

\*\*\*\*\*UNAFFECTED PARTS ARE OMITTED\*\*\*\*\*

### 9.3.6 Constant Definitions

```
-- ****
-- Lists
--
-- ****

maxCodeNumComp-1           INTEGER ::= 255
maxRateMatching             INTEGER ::= 256
maxNoCodeGroups              INTEGER ::= 256
maxNoOfDSCHs                  INTEGER ::= 10
maxNoOfDSCHsLCR                INTEGER ::= 10
maxNoOfRB                      INTEGER ::= 32
maxNoOfUSCHs                   INTEGER ::= 10
maxNoOfUSCHsLCR                 INTEGER ::= 10
maxNoTFCIGroups                  INTEGER ::= 256
maxNrOfTFCs                     INTEGER ::= 1024
maxNrOfTFs                      INTEGER ::= 32
maxNrOfCCTrCHs                  INTEGER ::= 16
maxNrOfCCTrCHsLCR                INTEGER ::= 16
maxNrOfDCHs                      INTEGER ::= 128
maxNrOfDL-Codes                  INTEGER ::= 8
maxNrOfDPCHs                   INTEGER ::= 240
maxNrOfDPCHsLCR                 INTEGER ::= 240
maxNrOfErrors                     INTEGER ::= 256
maxNrOfMACcshSDU-Length          INTEGER ::= 16
maxNrOfPoints                    INTEGER ::= 15
maxNrOfRLs                      INTEGER ::= 16
maxNrOfRLSets                   INTEGER ::= maxNrOfRLs
maxNrOfRLs-1                     INTEGER ::= 15 -- maxNrOfRLs - 1
maxNrOfRLs-2                     INTEGER ::= 14 -- maxNrOfRLs - 2
maxNrOfULTs                      INTEGER ::= 15
maxNrOfULTsLCR                  INTEGER ::= 6
maxNrOfDLTs                      INTEGER ::= 15
maxNrOfDLTsLCR                  INTEGER ::= 6
maxRNCinURA-1                    INTEGER ::= 15
maxTTI-Count                      INTEGER ::= 4
maxCTFC                           INTEGER ::= 16777215
maxNrOfNeighbouringRNCs          INTEGER ::= 10
maxNrOfFDDNeighboursPerRNC        INTEGER ::= 256
maxNrOfGSMNeighboursPerRNC        INTEGER ::= 256
maxNrOfTDDNeighboursPerRNC        INTEGER ::= 256
maxNrOfFACHs                      INTEGER ::= 8
maxNrOfLCRTDDNeighboursPerRNC      INTEGER ::= 256
maxFACHCountPlus1                  INTEGER ::= 10
maxIBSEG                          INTEGER ::= 16
maxNrOfSCCPCHs                   INTEGER ::= 8
maxTFCI1Combs                     INTEGER ::= 512
maxTFCI2Combs                     INTEGER ::= 1024
maxTFCI2Combs-1                  INTEGER ::= 1023
```

maxTGPS	INTEGER ::= 6
maxNrOfTS	INTEGER ::= 15
maxNrOfLevels	INTEGER ::= 256
maxNoOfDSCHs-1	INTEGER ::= 9
maxNrOfTsLCR	INTEGER ::= 6
maxNoSat	INTEGER ::= 16
maxNoGPSTypes	INTEGER ::= 8
maxNrOfMeasNCell	INTEGER ::= 96
maxNrOfMeasNCell-1	INTEGER ::= 95 -- maxNrOfMeasNCell - 1
maxResetContext	INTEGER ::= 250
maxNrOfHARQProc	INTEGER ::= 8
maxNrOfHSSCCHCodes	INTEGER ::= 128
maxNrOfMACdFlows	INTEGER ::= 8
maxNrOfMACdFlows-1	INTEGER ::= 7 -- maxNrOfMACdFlows - 1
maxNrOfPDUIndexes	INTEGER ::= 8
maxNrOfPDUIndexes-1	INTEGER ::= 7 -- maxNrOfPDUIndexes - 1
maxNrOfPrioQueues	INTEGER ::= 8
maxNrOfPrioQueues-1	INTEGER ::= 7 -- maxNrOfPrioQueues - 1
maxNrOfSNAs	INTEGER ::= 65535

\*\*\*\*\*UNAFFECTED PARTS ARE OMITTED\*\*\*\*\*

```
-- ****
-- 
-- IEs
-- ****
```

id-AllowedQueueingTime	ProtocolIE-ID ::= 4
id-Allowed-Rate-Information	ProtocolIE-ID ::= 42

\*\*\*\*\*UNAFFECTED PARTS ARE OMITTED\*\*\*\*\*

id-Qth-Parameter	ProtocolIE-ID ::= 253
id-NRT-Load-information-Value	ProtocolIE-ID ::= 322
id-PDSCH-RL-ID	ProtocolIE-ID ::= 323
id-TimeSlot-RL-SetupRspTDD	ProtocolIE-ID ::= 325
id-SNA-Information	ProtocolIE-ID ::= 479

END