TSG-RAN Meeting #17 ***RP-020549***
Biarritz, France, 3 - 6 September 2002

Title: **Agreed CRs (Release '99 and Rel-4/Rel-5 category A) to TS 25.331**

Source: **TSG-RAN WG2**

Agenda item: **7.2.3**

Doc-1st-	Status-	Spec	CR	Rev	Phase	Subject	Cat	Versio	Versio
R2-022433	agreed	25.331	1671	1	R99	SRNS relocation with integrity	F	3.11.0	3.12.0
R2-022410	agreed	25.331	1672		Rel-4	SRNS relocation with integrity	A	4.5.0	4.6.0
R2-022411	agreed	25.331	1673		Rel-5	SRNS relocation with integrity	A	5.1.0	5.2.0
R2-022427	agreed	25.331	1674		R99	Reception of MEASUREMENT CONTROL in state CELL_FACH	F	3.11.0	3.12.0
R2-022428	agreed	25.331	1675		Rel-4	Reception of MEASUREMENT CONTROL in state CELL_FACH	A	4.5.0	4.6.0
R2-022429	agreed	25.331	1676		Rel-5	Reception of MEASUREMENT CONTROL in state CELL_FACH	A	5.1.0	5.2.0
R2-022430	agreed	25.331	1677		R99	Unsupported configuration	F	3.11.0	3.12.0
R2-022431	agreed	25.331	1678		Rel-4	Unsupported configuration	A	4.5.0	4.6.0
R2-022432	agreed	25.331	1679		Rel-5	Unsupported configuration	A	5.1.0	5.2.0
R2-022434	agreed	25.331	1680		R99	Handover corrections	F	3.11.0	3.12.0
R2-022435	agreed	25.331	1681		Rel-4	Handover corrections	A	4.5.0	4.6.0
R2-022436	agreed	25.331	1682		Rel-5	Handover corrections	A	5.1.0	5.2.0

3GPP TSG-RAN2 Meeting #32 ***R2-022433***
Stockholm, Sweden, 19-23 August, 2002

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **1671** ⌘ **rev** **1** ⌘ Current version: **3.11.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☐ Radio Access Network ☒ Core Network ☒

Title:	⌘	SRNS relocation with integrity
Source:	⌘	TSG-RAN WG2
Work item code:	⌘	TEI
Date:	⌘	09/8/2002
Category:	⌘	**F**
Release:	⌘	R99

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)

Reason for change: ⌘ With the current standard the IE "integrity check info" is supposed to be calculated by the source SRNC in the case of a SRNS relocation "UE involved". However the source SRNC is not always able to calculate this if the target SRNC uses a message format that the source SRNC does not understand (i.e. Rel 4 message, or a non critical extension that is not known by the source SRNC). Also the target SRNC could choose an integrity protection algorithm that is not implemented by the source SRNC.

Summary of change: ⌘ ~~A TargetSRNC-to-SourceSRNC-Transparent-Container-Extension is defined that carries the calculated MAC-I, the RB Id the RRC SN and the amount of padding that exists in the RRC container defined in RANAP~~
A spare entry in the RRC IE "Target RNC to Source RNC Transparent Container" which includes the entirely compiled downlink message.
An additional optional IE giving the RB Id on which the relocation message will be transmitted to the UE is added to the IE "SRNS RELOCATION INFO".
Absence of this IE indicates that the source SRNC expects a formerly defined entry and it will calculate the MAC-I itself (if possible).

Impact analysis:
The problem resolved is the SRNS relocation with integrity active between two RNCs that use different versions of the protocol for the case of "UE involved".
There is no backwards incompatibility problems between two RNCs where one implements the change and the other one doesn't.
~~The changes only affect the RNC and the CN.~~

Consequences if not approved: ⌘ It is not possible to apply integrity protection in the case of SRNS relocation of the type "UE involved" in case the target and the source RNC do not support the

same messages, and though the SRNS relocation is not possible in all cases.

Clauses affected:	⌘	11.5, 14.12.2, 14.12.4.2				
		Y	**N**			
Other specs affected:	⌘	~~X~~	**X**	Other core specifications	⌘	~~25.413~~
			X	Test specifications		
			X	O&M Specifications		
Other comments:	⌘					

How to create CRs using this form:
Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

11.5 RRC information between network nodes

```
Internode-definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

    HandoverToUTRANCommand,
    MeasurementReport,
    PhysicalChannelReconfiguration,
    RadioBearerReconfiguration,
    RadioBearerRelease,
    RadioBearerSetup,
    RRC-FailureInfo,
    TransportChannelReconfiguration
FROM PDU-definitions

-- Core Network IEs :
    CN-DomainIdentity,
    CN-DomainInformationList,
    CN-DRX-CycleLengthCoefficient,
    NAS-SystemInformationGSM-MAP,
-- UTRAN Mobility IEs :
    CellIdentity,
    URA-Identity,
-- User Equipment IEs :
    C-RNTI,
    DL-PhysChCapabilityFDD-v380ext,
    FailureCauseWithProtErr,
    RRC-MessageSequenceNumber,
    STARTList,
    STARTSingle,
    START-Value,
    U-RNTI,
    UE-RadioAccessCapability,
    UE-RadioAccessCapability-v370ext,
    UE-RadioAccessCapability-v380ext,
    UE-RadioAccessCapability-v3a0ext,
-- Radio Bearer IEs :
    PredefinedConfigStatusList,
    PredefinedConfigValueTag,
    RAB-InformationSetupList,
    RB-Identity,
    SRB-InformationSetupList,
-- Transport Channel IEs :
    CPCH-SetID,
    DL-CommonTransChInfo,
    DL-AddReconfTransChInfoList,
    DRAC-StaticInformationList,
    UL-CommonTransChInfo,
    UL-AddReconfTransChInfoList,
-- Measurement IEs :
    MeasurementIdentity,
    MeasurementReportingMode,
    MeasurementType,
    AdditionalMeasurementID-List,
    PositionEstimate,
-- Other IEs :
    InterRAT-UE-RadioAccessCapabilityList
FROM InformationElements

    maxCNdomains,
    maxNoOfMeas,
    maxRB,
    maxSRBsetup
FROM Constant-definitions;


-- Part 1: Class definitions similar to what has been defined in 11.1 for RRC messages
-- Information that is tranferred in the same direction and across the same path is grouped

-- **************************************************
--
-- RRC information, to target RNC
--
```

```
-- **************************************************
-- RRC Information to target RNC sent either from source RNC or from another RAT

ToTargetRNC-Container ::= CHOICE {
    interRAThandover                    InterRATHandoverInfoWithInterRATCapabilities,
    srncRelocation                      SRNC-RelocationInfo,
    extension                           NULL
}

-- **************************************************
--
-- RRC information, target RNC to source RNC
--
-- **************************************************


TargetRNC-ToSourceRNC-Container::= CHOICE {
    radioBearerSetup                    RadioBearerSetup,
    radioBearerReconfiguration          RadioBearerReconfiguration,
    radioBearerRelease                  RadioBearerRelease,
    transportChannelReconfiguration     TransportChannelReconfiguration,
    physicalChannelReconfiguration      PhysicalChannelReconfiguration,
    rrc-FailureInfo                     RRC-FailureInfo,
    dL-DCCHmessage                      OCTET STRING~~extension                                   NULL~~
}


-- Part2: Container definitions, similar to the PDU definitions in 11.2 for RRC messages
-- In alphabetical order


-- **************************************************
--
-- Handover to UTRAN information
--
-- **************************************************

InterRATHandoverInfoWithInterRATCapabilities ::= CHOICE {
    r3                              SEQUENCE {
        -- IE InterRATHandoverInfoWithInterRATCapabilities-r3-IEs also
        -- includes non critical extensions
        interRAThandoverInfo-r3         InterRATHandoverInfoWithInterRATCapabilities-r3-IEs,
        v390NonCriticalExtensions           SEQUENCE {
            interRATHandoverInfoWithInterRATCapabilities-v390ext
    InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs,
            -- Reserved for future non critical extension
            nonCriticalExtensions           SEQUENCE {} OPTIONAL
        }       OPTIONAL
    },
    criticalExtensions              SEQUENCE {}
}

InterRATHandoverInfoWithInterRATCapabilities-r3-IEs::=      SEQUENCE {
        -- The order of the IEs may not reflect the tabular format
        -- but has been chosen to simplify the handling of the information in the BSC
    --  Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList   OPTIONAL,
        -- interRATHandoverInfo, Octet string is used to obtain 8 bit length field prior to
        -- actual information.  This makes it possible for BSS to transparently handle information
        -- received via GSM air interface even when it includes non critical extensions.
        -- The octet string shall include the InterRATHandoverInfo information
        -- The BSS can re-use the 04.18 length field received from the MS
        interRATHandoverInfo            OCTET STRING (SIZE (0..255))
}

InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        failureCauseWithProtErr             FailureCauseWithProtErr                 OPTIONAL
}

-- **************************************************
--
-- SRNC Relocation information
--
-- **************************************************

SRNC-RelocationInfo ::= CHOICE {
    r3                              SEQUENCE {
```

```
        sRNC-RelocationInfo-r3          SRNC-RelocationInfo-r3-IEs,
        v380NonCriticalExtensions           SEQUENCE {
            sRNC-RelocationInfo-v380ext SRNC-RelocationInfo-v380ext-IEs,
            -- Reserved for future non critical extension
            v390NonCriticalExtensions           SEQUENCE {
                sRNC-RelocationInfo-v390ext         SRNC-RelocationInfo-v390ext-IEs,
                v3a0NonCriticalExtensions           SEQUENCE {
                    sRNC-RelocationInfo-v3a0ext         SRNC-RelocationInfo-v3a0ext-IEs,
                    v3b0NonCriticalExtensions           SEQUENCE {
                        sRNC-RelocationInfo-v3b0ext         SRNC-RelocationInfo-v3b0ext-IEs,
                        v3c0NonCriticalExtensions           SEQUENCE {
                            SRNC-RelocationInfo-v3c0ext         SRNC-RelocationInfo-v3c0ext-IEs,
                            -- Reserved for future non critical extension
                            nonCriticalExtensions           SEQUENCE {} OPTIONAL
                        }       OPTIONAL
                    }       OPTIONAL
                }       OPTIONAL
            }       OPTIONAL
        }       OPTIONAL
    },
    criticalExtensions              SEQUENCE {}
}

SRNC-RelocationInfo-r3-IEs ::=              SEQUENCE {
    -- Non-RRC IEs
        stateOfRRC                      StateOfRRC,
        stateOfRRC-Procedure            StateOfRRC-Procedure,
    -- Ciphering related information IEs
    -- If the extension v380 is included use the extension for the ciphering status per CN domain
        cipheringStatus                 CipheringStatus,
        calculationTimeForCiphering     CalculationTimeForCiphering         OPTIONAL,
        cipheringInfoPerRB-List         CipheringInfoPerRB-List             OPTIONAL,
        count-C-List                    COUNT-C-List                        OPTIONAL,
        integrityProtectionStatus       IntegrityProtectionStatus,
        srb-SpecificIntegrityProtInfo   SRB-SpecificIntegrityProtInfoList,
        implementationSpecificParams    ImplementationSpecificParams        OPTIONAL,
    -- User equipment IEs
        u-RNTI                          U-RNTI,
        c-RNTI                          C-RNTI                              OPTIONAL,
        ue-RadioAccessCapability        UE-RadioAccessCapability,
        ue-Positioning-LastKnownPos     UE-Positioning-LastKnownPos         OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList   OPTIONAL,
    -- UTRAN mobility IEs
        ura-Identity                    URA-Identity                        OPTIONAL,
    -- Core network IEs
        cn-CommonGSM-MAP-NAS-SysInfo    NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList        CN-DomainInformationList            OPTIONAL,
    -- Measurement IEs
        ongoingMeasRepList              OngoingMeasRepList                  OPTIONAL,
    -- Radio bearer IEs
        predefinedConfigStatusList      PredefinedConfigStatusList,
        srb-InformationList             SRB-InformationSetupList,
        rab-InformationList             RAB-InformationSetupList            OPTIONAL,
    -- Transport channel IEs
        ul-CommonTransChInfo            UL-CommonTransChInfo                OPTIONAL,
        ul-TransChInfoList              UL-AddReconfTransChInfoList         OPTIONAL,
        modeSpecificInfo                CHOICE {
            fdd                             SEQUENCE {
                cpch-SetID                      CPCH-SetID                  OPTIONAL,
                transChDRAC-Info                DRAC-StaticInformationList  OPTIONAL
            },
            tdd                             NULL
        },
        dl-CommonTransChInfo            DL-CommonTransChInfo                OPTIONAL,
        dl-TransChInfoList              DL-AddReconfTransChInfoList         OPTIONAL,
    -- Measurement report
        measurementReport               MeasurementReport                   OPTIONAL
}

SRNC-RelocationInfo-v380ext-IEs ::= SEQUENCE {
    -- Ciphering related information IEs
        cn-DomainIdentity               CN-DomainIdentity,
        cipheringStatusList             CipheringStatusList
}

SRNC-RelocationInfo-v390ext-IEs ::= SEQUENCE {
        cn-DomainInformationList-v390ext    CN-DomainInformationList-v390ext        OPTIONAL,
```

```
        ue-RadioAccessCapability-v370ext    UE-RadioAccessCapability-v370ext        OPTIONAL,
        ue-RadioAccessCapability-v380ext    UE-RadioAccessCapability-v380ext        OPTIONAL,
        dl-PhysChCapabilityFDD-v380ext      DL-PhysChCapabilityFDD-v380ext,
        failureCauseWithProtErr             FailureCauseWithProtErr                 OPTIONAL
}

SRNC-RelocationInfo-v3a0ext-IEs ::= SEQUENCE {
        cipheringInfoForSRB1-v3a0ext        CipheringInfoPerRB-List-v3a0ext,
        ue-RadioAccessCapability-v3a0ext    UE-RadioAccessCapability-v3a0ext        OPTIONAL,
        -- cn-domain identity for IE startValueForCiphering-v3a0ext is specified
        -- in subsequent extension (SRNC-RelocationInfo-v3b0ext-IEs)
        startValueForCiphering-v3a0ext      START-Value
}

SRNC-RelocationInfo-v3b0ext-IEs ::= SEQUENCE {
        -- cn-domain identity for IE startValueForCiphering-v3a0ext included in previous extension
        cn-DomainIdentity               CN-DomainIdentity,
        -- the remaining start values are contained in IE startValueForCiphering-v3b0ext
        startValueForCiphering-v3b0ext      STARTList2                              OPTIONAL
}


SRNC-RelocationInfo-v3c0ext-IEs ::= SEQUENCE {
-- RB Identity on which the source SRNC will send the message contained in the
-- IE “TargetRNC-ToSourceRNC-Container”. Only included if type is “UE involved”
        rb-Identity                     RB-Identity         OPTIONAL
}

STARTList2 ::=                      SEQUENCE (SIZE (2..maxCNdomains)) OF
                                        STARTSingle

CipheringInfoPerRB-List-v3a0ext ::= SEQUENCE {
        dl-UM-SN                        BIT STRING (SIZE (7))
}

CipheringStatusList ::=             SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CipheringStatusCNdomain

CipheringStatusCNdomain ::=         SEQUENCE {
        cn-DomainIdentity               CN-DomainIdentity,
        cipheringStatus                 CipheringStatus
}

-- IE definitions

CalculationTimeForCiphering ::=     SEQUENCE {
    cell-Id                             CellIdentity,
    sfn                                 INTEGER (0..4095)
}

CipheringInfoPerRB ::=              SEQUENCE {
    dl-HFN                              BIT STRING (SIZE (20..25)),
    ul-HFN                              BIT STRING (SIZE (20..25))
}

-- TABULAR: CipheringInfoPerRB-List, multiplicity value numberOfRadioBearers
-- has been replaced with maxRB.
CipheringInfoPerRB-List ::=         SEQUENCE (SIZE (1..maxRB)) OF
                                        CipheringInfoPerRB

CipheringStatus ::=                 ENUMERATED {
                                        started, notStarted }

CN-DomainInformation-v390ext ::=        SEQUENCE {
    cn-DRX-CycleLengthCoeff             CN-DRX-CycleLengthCoefficient
}

CN-DomainInformationList-v390ext ::=    SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CN-DomainInformation-v390ext

COUNT-C-List ::=                        SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        COUNT-CSingle

COUNT-CSingle ::=                       SEQUENCE {
    cn-DomainIdentity                   CN-DomainIdentity,
    count-C                             BIT STRING (SIZE (32))
}
```

```
ImplementationSpecificParams ::=    BIT STRING (SIZE (1..512))


IntegrityProtectionStatus ::=       ENUMERATED {
                                        started, notStarted }

MeasurementCommandWithType ::=      CHOICE {
    setup                               MeasurementType,
    modify                              NULL,
    release                             NULL
}

OngoingMeasRep ::=                  SEQUENCE {
    measurementIdentity         MeasurementIdentity,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType
    measurementCommandWithType          MeasurementCommandWithType,
    measurementReportingMode            MeasurementReportingMode            OPTIONAL,
    additionalMeasurementID-List        AdditionalMeasurementID-List        OPTIONAL
}

OngoingMeasRepList ::=              SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                        OngoingMeasRep

SRB-SpecificIntegrityProtInfo ::=   SEQUENCE {
    ul-RRC-HFN                          BIT STRING (SIZE (28)),
    dl-RRC-HFN                          BIT STRING (SIZE (28)),
    ul-RRC-SequenceNumber               RRC-MessageSequenceNumber,
    dl-RRC-SequenceNumber               RRC-MessageSequenceNumber
}

SRB-SpecificIntegrityProtInfoList ::= SEQUENCE (SIZE (4..maxSRBsetup)) OF
                                        SRB-SpecificIntegrityProtInfo

StateOfRRC ::=                      ENUMERATED {
                                        cell-DCH, cell-FACH,
                                        cell-PCH, ura-PCH }

StateOfRRC-Procedure ::=            ENUMERATED {
                                        awaitNoRRC-Message,
                                        awaitRRC-ConnectionRe-establishmentComplete,
                                        awaitRB-SetupComplete,
                                        awaitRB-ReconfigurationComplete,
                                        awaitTransportCH-ReconfigurationComplete,
                                        awaitPhysicalCH-ReconfigurationComplete,
                                        awaitActiveSetUpdateComplete,
                                        awaitHandoverComplete,
                                        sendCellUpdateConfirm,
                                        sendUraUpdateConfirm,
                                        sendRrcConnectionReestablishment,
                                        otherStates
}

UE-Positioning-LastKnownPos ::=     SEQUENCE {
        sfn                             INTEGER (0..4095),
        cell-id                         CellIdentity,
        positionEstimate                PositionEstimate
}

END
```

**********Next modified section**********

14.12.2 RRC information, target RNC to source RNC

There are 2 possible cases for RNC relocation:

1. The UE is already under control of target RNC; and
2. The SRNC Relocation with Hard Handover (UE still under control of SRNC), but UE is moving to a location controlled by the target RNC (based on measurement information).

In case 1 the relocation is transparent to the UE and there is no "reverse" direction container. The SRNC just assigns the 'serving' function to the target RNC, which then becomes the Serving RNC.

In case 2 the relocation is initiated by SRNC, which also provides the RRC Initialisation Information to the target RNC. Base on this information, the target RNC prepares the Hard Handover Message ("Physical channel reconfiguration" (subclause 8.2.6), "radio bearer establishment" (subclause 8.2.1), "Radio bearer reconfiguration" (subclause 8.2.2), "Radio bearer release" (subclause 8.2.3) or "Transport channel reconfiguration" (subclause 8.2.4).

The IE “DL DCCH message” may be chosen and should contain the DL DCCH message that should be transmitted transparently to the UE by the source SRNC in case the IE “RB Id for handover message” has been received by the target SRNC in the IE “SRNS Relocation Info”. If the target SRNC did not receive the IE “RB Id for handover message” in the IE “SRNS Relocation Info” the target SRNC should use another choice.

The source RNC then transmits the Handover Message to the UE, which then performs the handover.

In the successful case, the UE transmits an XXX COMPLETE message, using the new configuration, to the target RNC.

In case of failure, the UE transmits an XXX FAILURE, using the old configuration, to the source RNC and the RRC context remains unchanged (has to be confirmed and checked with the SRNS relocation procedure).

Information Element/Group name	Need	Multi	Type and reference	Semantics description
CHOICE *RRC message*	MP			At least one spare choice, Criticality: Reject, is needed
>RADIO BEARER SETUP			RADIO BEARER SETUP 10.2.31	
>RADIO BEARER RECONFIGURATION			RADIO BEARER RECONFIGURATION 10.2.25	
>RADIO BEARER RELEASE			RADIO BEARER RELEASE 10.2.28	
>TRANSPORT CHANNEL RECONFIGURATION			TRANSPORT CHANNEL RECONFIGURATION 10.2.51	
>PHYSICAL CHANNEL RECONFIGURATION			PHYSICAL CHANNEL RECONFIGURATION 10.2.20	
>RRC FAILURE INFO			RRC FAILURE INFO 10.2.41 a	
>DL DCCH message			OCTET STRING	

**********Next modified section**********

14.12.4.2 SRNS RELOCATION INFO

This RRC message is sent between network nodes when preparing for an SRNS relocation.

With the presence or absence of the IE “RB identity for Hard Handover message” the source SRNC can indicate to the target SRNC whether the source RNC expects to receive the choice “DL DCCH message” in the IE “RRC information, target RNC to source RNC” in case the SRNS relocation is of type “UE involved”. Furtheremore the target RNC may use this information for the calculation of the MAC-I

Direction: source RAT→target RNC

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
Non RRC IEs				
RB identity for Handover message	OP		RB identity 10.3.4.16	Gives the id of the radio bearer on which the sourc RNC will transmit the RRC message in the case the relocation is of type “UE involved”.
>State of RRC	MP		RRC state indicator, 10.3.3.35a	
>State of RRC procedure	MP		Enumerated (await no RRC message, Complete, await RB Setup Complete, await RB Reconfiguration Complete, await RB Release Complete, await Transport CH Reconfiguration Complete, await Physical CH Reconfiguration Complete, await Active Set Update Complete, await Handover Complete, send Cell Update Confirm, send URA Update Confirm, , others)	
Ciphering related information				
>Ciphering status for each CN domain	MP	<1 to maxCNDomains>		
>>CN domain identity	MP		CN domain identity 10.3.1.1	
>>Ciphering status	MP		Enumerated(Not started, Started)	
>>START	MP		START 10.3.3.38	START value to be used in this CN domain.
>Latest configured CN domain	MP		CN domain identity 10.3.1.1	Value contained in the variable of the same name.
>Calculation time for ciphering	CV-			Time when the ciphering

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
related information	*Ciphering*			information of the message were calculated, relative to a cell of the target RNC
>>Cell Identity	MP		Cell Identity 10.3.2.2	Identity of one of the cells under the target RNC and included in the active set of the current call
>>SFN	MP		Integer(0..4095)	
>COUNT-C list	CV-*Ciphering*	1 to <maxCNdomains>		COUNT-C values for radio bearers using transparent mode RLC
>>CN domain identity	MP		CN domain identity 10.3.1.1	
>>COUNT-C	MP		Bit string(32)	
>Ciphering info per radio bearer	OP	1 to <maxRB>		For signalling radio bearers this IE is mandatory.
>>RB identity	MP		RB identity 10.3.4.16	
>>Downlink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)
>>Downlink SN	CV-*SRB1*		Bit String(7)	VT(US) of RLC UM
>>Uplink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)
Integrity protection related information				
>Integrity protection status	MP		Enumerated(Not started, Started)	
>Signalling radio bearer specific integrity protection information	CV-*IP*	4 to <maxSRBsetup>		
>>Uplink RRC HFN	MP		Bit string (28)	
>>Downlink RRC HFN	MP		Bit string (28)	
>>Uplink RRC Message sequence number	MP		Integer (0..15)	
>>Downlink RRC Message sequence number	MP		Integer (0..15)	
>Implementation specific parameters	OP		Bit string (1..512)	
RRC IEs				
UE Information elements				
>U-RNTI	MP		U-RNTI 10.3.3.47	
>C-RNTI	OP		C-RNTI 10.3.3.8	
>UE radio access Capability	MP		UE radio access capability 10.3.3.42	
>UE radio access capability extension	OP		UE radio access capability extension 10.3.3.42a	
>Last known UE position	OP			
>>SFN	MP		Integer (0..4095)	Time when position was estimated
>>Cell ID	MP		Cell identity; 10.3.2.2	Indicates the cell, the SFN is valid for.

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>>CHOICE *Position estimate*	MP			
>>>Ellipsoid Point			Ellipsoid Point; 10.3.8.4a	
>>>Ellipsoid point with uncertainty circle			Ellipsoid point with uncertainty circle 10.3.8.4d	
>>>Ellipsoid point with uncertainty ellipse			Ellipsoid point with uncertainty ellipse 10.3.8.4e	
>>>Ellipsoid point with altitude			Ellipsoid point with altitude 10.3.8.4b	
>>>Ellipsoid point with altitude and uncertainty ellipsoid			Ellipsoid point with altitude and uncertainty ellipsoid 10.3.8.4c	
Other Information elements				
>UE system specific capability	OP	1 to <maxSystemCapability>		
>>Inter-RAT UE radio access capability	MP		Inter-RAT UE radio access capability 10.3.8.7	
UTRAN Mobility Information elements				
>URA Identifier	OP		URA identity 10.3.2.6	
CN Information Elements				
>CN common GSM-MAP NAS system information	MP		NAS system information (GSM-MAP) 10.3.1.9	
>CN domain related information	OP	1 to <MaxCNdomains>		CN related information to be provided for each CN domain
>>CN domain identity	MP			
>>CN domain specific GSM-MAP NAS system info	MP		NAS system information (GSM-MAP) 10.3.1.9	
>>CN domain specific DRX cycle length coefficient	MP		CN domain specific DRX cycle length coefficient, 10.3.3.6	
Measurement Related Information elements				
>For each ongoing measurement reporting	OP	1 to <MaxNoOfMeas>		
>>Measurement Identity	MP		Measurement identity 10.3.7.48	
>>Measurement Command	MP		Measuremen	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			t command 10.3.7.46	
>>Measurement Type	CV-*Setup*		Measurement type 10.3.7.50	
>>Measurement Reporting Mode	OP		Measurement reporting mode 10.3.7.49	
>>Additional Measurements list	OP		Additional measurements list 10.3.7.1	
>>CHOICE *Measurement*	OP			
>>>Intra-frequency				
>>>>Intra-frequency cell info	OP		Intra-frequency cell info list 10.3.7.33	
>>>>Intra-frequency measurement quantity	OP		Intra-frequency measurement quantity 10.3.7.38	
>>>>Intra-frequency reporting quantity	OP		Intra-frequency reporting quantity 10.3.7.41	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Intra-frequency measurement reporting criteria			Intra-frequency measurement reporting criteria 10.3.7.39	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Inter-frequency				
>>>>Inter-frequency cell info	OP		Inter-frequency cell info list 10.3.7.13	
>>>>Inter-frequency measurement quantity	OP		Inter-frequency measurement quantity 10.3.7.18	
>>>>Inter-frequency reporting quantity	OP		Inter-frequency reporting quantity 10.3.7.21	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Inter-frequency measurement reporting criteria			Inter-frequency measurement reporting criteria 10.3.7.19	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Inter-RAT				
>>>>Inter-RAT cell info	OP		Inter-RAT cell info list 10.3.7.23	
>>>>Inter-RAT measurement quantity	OP		Inter-RAT measurement quantity 10.3.7.29	
>>>>Inter-RAT reporting quantity	OP		Inter-RAT reporting quantity 10.3.7.32	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Inter-RAT measurement reporting criteria			Inter-RAT measurement reporting criteria 10.3.7.30	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Traffic Volume				
>>>>Traffic volume measurement Object	OP		Traffic volume measurement object 10.3.7.70	
>>>>Traffic volume measurement quantity	OP		Traffic volume measurement quantity 10.3.7.71	
>>>>Traffic volume reporting quantity	OP		Traffic volume reporting quantity 10.3.7.74	
>>>>CHOICE *report criteria*	OP			
>>>>>Traffic volume measurement reporting criteria			Traffic volume measurement reporting	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			criteria 10.3.7.72	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Quality				
>>>>Quality measurement Object	OP		Quality measurement object	
>>>>CHOICE *report criteria*	OP			
>>>>>Quality measurement reporting criteria			Quality measurement reporting criteria 10.3.7.58	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>UE internal				
>>>>UE internal measurement quantity	OP		UE internal measurement quantity 10.3.7.79	
>>>>UE internal reporting quantity	OP		UE internal reporting quantity 10.3.7.82	
>>>>CHOICE *report criteria*	OP			
>>>>>UE internal measurement reporting criteria			UE internal measurement reporting criteria 10.3.7.80	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>UE positioning				
>>>>LCS reporting quantity	OP		LCS reporting quantity 10.3.7.111	
>>>>CHOICE *report criteria*	OP			
>>>>>LCS reporting criteria			LCS reporting criteria 10.3.7.110	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting				
Radio Bearer Information Elements				
>Predefined configuration status information	OP		Predefined configuration status information 10.3.4.5a	
>Signalling RB information list	MP	1 to		For each signalling radio

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
		<maxSRBsetup>		bearer
>>Signalling RB information	MP		Signalling RB information to setup 10.3.4.24	
>RAB information list	OP	1 to <maxRABsetup>		Information for each RAB
>>RAB information	MP		RAB information to setup 10.3.4.10	
Transport Channel Information Elements				
Uplink transport channels				
>UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
>UL transport channel information list	OP	1 to <MaxTrCH>		
>>UL transport channel information	MP		Added or reconfigured UL TrCH information 10.3.5.2	
>CHOICE *mode*	OP			
>>FDD				
>>>CPCH set ID	OP		CPCH set ID 10.3.5.5	
>>>Transport channel information for DRAC list	OP	1 to <MaxTrCH>		
>>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>>TDD				(no data)
Downlink transport channels				
>DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	
>DL transport channel information list	OP	1 to <MaxTrCH>		
>>DL transport channel information	MP		Added or reconfigured DL TrCH information 10.3.5.1	
>Measurement report	OP		MEASUREMENT REPORT 10.2.17	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
Other Information elements				
Failure cause	OP		Failure cause 10.3.3.13	Diagnostics information related to an earlier SRNC Relocation request (see NOTE 2 in 14.12.0a)
Protocol error information	CV-*ProtErr*		Protocol error information 10.3.8.12	

Multi Bound	Explanation
MaxNoOfMeas	Maximum number of active measurements, upper limit 16

Condition	Explanation
Setup	The IE is mandatory present when the IE Measurement command has the value "Setup", otherwise the IE is not needed.
Ciphering	The IE is mandatory present when the IE Ciphering Status has the value "started" and the ciphering counters need not be reinitialised, otherwise the IE is not needed.
IP	The IE is mandatory present when the IE Integrity protection status has the value "started" and the integrity protection counters need not be reinitialised, otherwise the IE is not needed.
ProtErr	This IE is mandatory present if the IE "Protocol error indicator" is included and has the value "TRUE". Otherwise it is not needed.
SRB1	The IE is mandatory present for RB1. Otherwise it is not needed.

3GPP TSG-RAN2 Meeting #32
Stockholm, Sweden, 19-23 August, 2002

R2-022410

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **1672** ⌘ **rev** **-** ⌘ Current version: **4.5.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☐ Radio Access Network ☒ Core Network ☐

Title:	⌘	SRNS relocation with integrity
Source:	⌘	TSG-RAN WG2
Work item code:	⌘	TEI
Date:	⌘	09/8/2002
Category:	⌘	**A**
Release:	⌘	Rel-4

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:

2	*(GSM Phase 2)*
R96	*(Release 1996)*
R97	*(Release 1997)*
R98	*(Release 1998)*
R99	*(Release 1999)*
Rel-4	*(Release 4)*
Rel-5	*(Release 5)*
Rel-6	*(Release 6)*

Reason for change:	⌘	With the current standard the IE “integrity check info” is supposed to be calculated by the source SRNC in the case of a SRNS relocation “UE involved”. However the source SRNC is not always able to calculate this if the target SRNC uses a message format that the source SRNC does not understand (i.e. Rel 4 message, or a non critical extension that is not known by the source SRNC). Also the target SRNC could choose an integrity protection algorithm that is not implemented by the source SRNC.
Summary of change:	⌘	A spare entry in the RRC IE “Target RNC to Source RNC Transparent Container” which includes the entirely compiled downlink message. An additional optional IE giving the RB Id on which the relocation message will be transmitted to the UE is added to the IE “SRNS RELOCATION INFO”. Absence of this IE indicates that the source SRNC expects a formerly defined entry and it will calculate the MAC-I itself (if possible). Impact analysis: The problem resolved is the SRNS relocation with integrity active between two RNCs that use different versions of the protocol. There is no backwards incompatibility problems between two RNCs where one implements the change and the other one doesn’t.
Consequences if not approved:	⌘	It is not possible to apply integrity protection in the case of SRNS relocation of the type “UE involved” in case the target and the source RNC do not support the same messages, and though the SRNS relocation is not possible in all cases.

Clauses affected:	⌘	11.5, 14.12.2, 14.12.4.2

		Y	N		
Other specs affected:	⌘		X	Other core specifications	⌘
			X	Test specifications	

	X	O&M Specifications

Other comments: ⌘

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

11.5 RRC information between network nodes

```
Internode-definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

    HandoverToUTRANCommand,
    MeasurementReport,
    PhysicalChannelReconfiguration,
    RadioBearerReconfiguration,
    RadioBearerRelease,
    RadioBearerSetup,
    RRC-FailureInfo-r3-IEs,
    TransportChannelReconfiguration
FROM PDU-definitions

-- Core Network IEs :
    CN-DomainIdentity,
    CN-DomainInformationList,
    CN-DRX-CycleLengthCoefficient,
    NAS-SystemInformationGSM-MAP,
-- UTRAN Mobility IEs :
    CellIdentity,
    URA-Identity,
-- User Equipment IEs :
    C-RNTI,
    DL-PhysChCapabilityFDD-v380ext,
    FailureCauseWithProtErr,
    RRC-MessageSequenceNumber,
    STARTList,
    STARTSingle,
    START-Value,
    U-RNTI,
    UE-RadioAccessCapability,
    UE-RadioAccessCapability-v370ext,
    UE-RadioAccessCapability-v380ext,
    UE-RadioAccessCapability-v3a0ext,
    UE-RadioAccessCapability-v4xyext,
-- Radio Bearer IEs :
    PredefinedConfigStatusList,
    PredefinedConfigValueTag,
    RAB-InformationSetupList,
    RAB-Identity,
    SRB-InformationSetupList,
-- Transport Channel IEs :
    CPCH-SetID,
    DL-CommonTransChInfo,
    DL-AddReconfTransChInfoList,
    DRAC-StaticInformationList,
    UL-CommonTransChInfo,
    UL-AddReconfTransChInfoList,
-- Measurement IEs :
    MeasurementIdentity,
    MeasurementReportingMode,
    MeasurementType,
    MeasurementType-r4,
    AdditionalMeasurementID-List,
    PositionEstimate,
    UE-Positioning-IPDL-Parameters-TDD-r4-ext,
-- Other IEs :
InterRAT-UE-RadioAccessCapabilityList
FROM InformationElements

    maxCNdomains,
    maxNoOfMeas,

    maxRB,
    maxSRBsetup
FROM Constant-definitions
;

-- Part 1: Class definitions similar to what has been defined in 11.1 for RRC messages
```

```
-- Information that is tranferred in the same direction and across the same path is
grouped

-- ************************************************
--
-- RRC information, to target RNC
--
-- ************************************************
-- RRC Information to target RNC sent either from source RNC or from another RAT

ToTargetRNC-Container ::= CHOICE {
    interRATHandoverInfo                InterRATHandoverInfoWithInterRATCapabilities-
r3,
    srncRelocation                      SRNC-RelocationInfo-r3,
    extension                           NULL
}

-- ************************************************
--
-- RRC information, target RNC to source RNC
--
-- ************************************************


Target-RNC-ToSourceRNC-Container ::= CHOICE {
    radioBearerSetup                    RadioBearerSetup,
    radioBearerReconfiguration          RadioBearerReconfiguration,
    radioBearerRelease                  RadioBearerRelease,
    transportChannelReconfiguration     TransportChannelReconfiguration,
    physicalChannelReconfiguration      PhysicalChannelReconfiguration,
    rrc-FailureInfo                     RRC-FailureInfo-r3-IEs,
    dL-DCCHmessage                      OCTET STRING~~extension                                NULL~~
}

-- Part 2: Container definitions, similar to the PDU definitions in 11.2 for RRC
messages
-- In alphabetical order


-- ************************************************
--
-- Handover to UTRAN information
--
-- ************************************************

InterRATHandoverInfoWithInterRATCapabilities-r3 ::= CHOICE {
    r3                                  SEQUENCE {
        -- IE InterRATHandoverInfoWithInterRATCapabilities-r3-IEs also
        -- includes non critical extensions
        interRATHandoverInfo-r3         InterRATHandoverInfoWithInterRATCapabilities-
r3-IEs,
        v390NonCriticalExtensions           SEQUENCE {
            interRATHandoverInfoWithInterRATCapabilities-v390ext
    InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs,
            -- Reserved for future non critical extension
            nonCriticalExtensions           SEQUENCE {} OPTIONAL
        }       OPTIONAL
    },
    criticalExtensions              SEQUENCE {}
}

InterRATHandoverInfoWithInterRATCapabilities-r3-IEs::=      SEQUENCE {
        -- The order of the IEs may not reflect the tabular format
        --  but has been chosen to simplify the handling of the information in the BSC
    --  Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList
    OPTIONAL,
        -- interRATHandoverInfo, Octet string is used to obtain 8 bit length field
prior to
        -- actual information.  This makes it possible for BSS to transparently handle
information
        -- received via GSM air interface even when it includes non critical
extensions.
        -- The octet string shall include the InterRATHandoverInfo information
        -- The BSS can re-use the 04.18 length field received from the MS
        interRATHandoverInfo            OCTET STRING (SIZE (0..255))
}
```

```
InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        failureCauseWithProtErr             FailureCauseWithProtErr
    OPTIONAL
}

-- **************************************************
--
-- SRNC Relocation information
--
-- **************************************************

SRNC-RelocationInfo-r3 ::= CHOICE {
    r3                                  SEQUENCE {
        sRNC-RelocationInfo-r3          SRNC-RelocationInfo-r3-IEs,
            v380NonCriticalExtensions           SEQUENCE {
                sRNC-RelocationInfo-v380ext SRNC-RelocationInfo-v380ext-IEs,
                -- Reserved for future non critical extension
                v390NonCriticalExtensions           SEQUENCE {
                    sRNC-RelocationInfo-v390ext         SRNC-RelocationInfo-v390ext-
IEs,
                    v3a0NonCriticalExtensions           SEQUENCE {
                        sRNC-RelocationInfo-v3a0ext         SRNC-RelocationInfo-
v3a0ext-IEs,
                        v3b0NonCriticalExtensions           SEQUENCE {
                            sRNC-RelocationInfo-v3b0ext         SRNC-RelocationInfo-
v3b0ext-IEs,
                            v3c0NonCriticalExtensions           SEQUENCE {
                                sRNC-RelocationInfo-v3c0ext         SRNC-
RelocationInfo-v3c0ext-IEs,
                            v4xyNonCriticalExtensions           SEQUENCE {
                                sRNC-RelocationInfo-v4xyext         SRNC-
RelocationInfo-v4xyext-IEs,
                                -- Reserved for future non critical extension
                                nonCriticalExtensions           SEQUENCE {}
    OPTIONAL
                            }       OPTIONAL
                        }       OPTIONAL
                    }       OPTIONAL
                }       OPTIONAL
            }       OPTIONAL
    },
    criticalExtensions              SEQUENCE {}
}

SRNC-RelocationInfo-r3-IEs ::=      SEQUENCE {
    -- Non-RRC IEs
        stateOfRRC                      StateOfRRC,
        stateOfRRC-Procedure            StateOfRRC-Procedure,
    -- Ciphering related information IEs
    -- If the extension v380 is included use the extension for the ciphering status
per CN domain
        cipheringStatus                 CipheringStatus,
        calculationTimeForCiphering     CalculationTimeForCiphering         OPTIONAL,
        cipheringInfoPerRB-List         CipheringInfoPerRB-List             OPTIONAL,
        count-C-List                    COUNT-C-List                        OPTIONAL,
        integrityProtectionStatus       IntegrityProtectionStatus,
        srb-SpecificIntegrityProtInfo   SRB-SpecificIntegrityProtInfoList,
        implementationSpecificParams    ImplementationSpecificParams        OPTIONAL,
    -- User equipment IEs
        u-RNTI                          U-RNTI,
        c-RNTI                          C-RNTI                              OPTIONAL,
        ue-RadioAccessCapability        UE-RadioAccessCapability,
        ue-Positioning-LastKnownPos     UE-Positioning-LastKnownPos         OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList
    OPTIONAL,
    -- UTRAN mobility IEs
        ura-Identity                    URA-Identity                        OPTIONAL,
    -- Core network IEs
        cn-CommonGSM-MAP-NAS-SysInfo    NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList        CN-DomainInformationList            OPTIONAL,
    -- Measurement IEs
        ongoingMeasRepList              OngoingMeasRepList                  OPTIONAL,
    -- Radio bearer IEs
```

```
        predefinedConfigStatusList      PredefinedConfigStatusList,
        srb-InformationList             SRB-InformationSetupList,
        rab-InformationList             RAB-InformationSetupList            OPTIONAL,
    -- Transport channel IEs
        ul-CommonTransChInfo            UL-CommonTransChInfo                OPTIONAL,
        ul-TransChInfoList              UL-AddReconfTransChInfoList         OPTIONAL,
        modeSpecificInfo                CHOICE {
            fdd                             SEQUENCE {
                cpch-SetID                      CPCH-SetID                  OPTIONAL,
                transChDRAC-Info                DRAC-StaticInformationList  OPTIONAL
            },
            tdd                             NULL
        },
        dl-CommonTransChInfo            DL-CommonTransChInfo                OPTIONAL,
        dl-TransChInfoList              DL-AddReconfTransChInfoList         OPTIONAL,
    -- Measurement report
        measurementReport               MeasurementReport                   OPTIONAL ,
        nonCriticalExtensions           SEQUENCE {
            -- In case of TDD only up-Ipdl-Parameters-TDD is present, otherwise
            -- this IE is absent
            up-Ipdl-Parameters-TDD          UE-Positioning-IPDL-Parameters-TDD-r4-ext   OPTIONAL,
        -- Extension mechanism for non- release4 information
            nonCriticalExtensions           SEQUENCE {}                                OPTIONAL
        }                                                                       OPTIONAL
}

SRNC-RelocationInfo-v380ext-IEs ::= SEQUENCE {
    -- Ciphering related information IEs
        cn-DomainIdentity               CN-DomainIdentity,
        cipheringStatusList             CipheringStatusList
}

SRNC-RelocationInfo-v390ext-IEs ::= SEQUENCE {
        cn-DomainInformationList-v390ext    CN-DomainInformationList-v390ext
    OPTIONAL,
        ue-RadioAccessCapability-v370ext    UE-RadioAccessCapability-v370ext
    OPTIONAL,
        ue-RadioAccessCapability-v380ext    UE-RadioAccessCapability-v380ext
    OPTIONAL,
        dl-PhysChCapabilityFDD-v380ext      DL-PhysChCapabilityFDD-v380ext,
        failureCauseWithProtErr             FailureCauseWithProtErr
    OPTIONAL
}

SRNC-RelocationInfo-v3a0ext-IEs ::= SEQUENCE {
        -- cn-domain identity for IE startValueForCiphering-v3a0ext is specified
        -- in subsequent extension (SRNC-RelocationInfo-v3b0ext-IEs)
        startValueForCIphering-v3a0ext      START-Value,
        cipheringInfoForSRB1-v3a0ext        CipheringInfoForSRB1-v3a0ext,
        ue-RadioAccessCapability-v3a0ext    UE-RadioAccessCapability-v3a0ext
    OPTIONAL
}

SRNC-RelocationInfo-v3b0ext-IEs ::= SEQUENCE {
        -- cn-domain identity for IE startValueForCiphering-v3a0ext included in
previous extension
        cn-DomainIdentity               CN-DomainIdentity,
        -- the remaining start values are contained in IE startValueForCiphering-
v3b0ext
        startValueForCiphering-v3b0ext      STARTList2
    OPTIONAL
}

SRNC-RelocationInfo-v3c0ext-IEs ::= SEQUENCE {
-- RB Identity on which the source SRNC will send the message contained in the
-- IE "TargetRNC-ToSourceRNC-Container". Only included if type is "UE involved"
        rb-Identity                     RB-Identity         OPTIONAL
}

STARTList2 ::=                      SEQUENCE (SIZE (2..maxCNdomains)) OF
                                        STARTSingle


SRNC-RelocationInfo-v4xyext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v4xyext    UE-RadioAccessCapability-v4xyext
}
```

```
CipheringInfoForSRB1-v3a0ext ::= SEQUENCE {
        dl-UM-SN                            BIT STRING (SIZE (7))
}

CipheringStatusList ::=         SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CipheringStatusCNdomain

CipheringStatusCNdomain ::=             SEQUENCE {
        cn-DomainIdentity               CN-DomainIdentity,
        cipheringStatus                 CipheringStatus
}

SRNC-RelocationInfo-r4 ::=          SEQUENCE {
    -- Non-RRC IEs
        rb-Identity                     RB-Identity                         OPTIONAL,
        stateOfRRC                      StateOfRRC,
        stateOfRRC-Procedure            StateOfRRC-Procedure,
        cipheringStatus                 CipheringStatus,
        calculationTimeForCiphering     CalculationTimeForCiphering         OPTIONAL,
        cipheringInfoPerRB-List         CipheringInfoPerRB-List             OPTIONAL,
        integrityProtectionStatus       IntegrityProtectionStatus,
        srb-SpecificIntegrityProtInfo   SRB-SpecificIntegrityProtInfoList,
        implementationSpecificParams    ImplementationSpecificParams        OPTIONAL,
    -- User equipment IEs
        u-RNTI                          U-RNTI,
        c-RNTI                          C-RNTI                              OPTIONAL,
        ue-RadioAccessCapability        UE-RadioAccessCapability,
        ue-Positioning-LastKnownPos     UE-Positioning-LastKnownPos         OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList
    OPTIONAL,
    -- UTRAN mobility IEs
        ura-Identity                    URA-Identity                        OPTIONAL,
    -- Core network IEs
        cn-CommonGSM-MAP-NAS-SysInfo    NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList        CN-DomainInformationList            OPTIONAL,
    -- Measurement IEs
        ongoingMeasRepList              OngoingMeasRepList-r4               OPTIONAL,
    -- Radio bearer IEs
        predefinedConfigStatusList      PredefinedConfigStatusList,
        srb-InformationList             SRB-InformationSetupList,
        rab-InformationList             RAB-InformationSetupList            OPTIONAL,
    -- Transport channel IEs
        ul-CommonTransChInfo            UL-CommonTransChInfo                OPTIONAL,
        ul-TransChInfoList              UL-AddReconfTransChInfoList         OPTIONAL,
        modeSpecificInfo                CHOICE {
            fdd                             SEQUENCE {
                cpch-SetID                      CPCH-SetID                  OPTIONAL,
                transChDRAC-Info                DRAC-StaticInformationList  OPTIONAL
            },
            tdd                             NULL
        },
        dl-CommonTransChInfo            DL-CommonTransChInfo                OPTIONAL,
        dl-TransChInfoList              DL-AddReconfTransChInfoList         OPTIONAL,
    -- Measurement report
        measurementReport               MeasurementReport                   OPTIONAL,
        nonCriticalExtensions           SEQUENCE {
            -- In case of TDD only up-Ipdl-Parameters-TDD is present, otherwise
            -- this IE is absent
            up-Ipdl-Parameters-TDD          UE-Positioning-IPDL-Parameters-TDD-r4-ext    OPTIONAL,
        -- Extension mechanism for non- release4 information
            nonCriticalExtensions           SEQUENCE {}                                  OPTIONAL
        }                                                                                OPTIONAL
}

-- IE definitions

CalculationTimeForCiphering ::=     SEQUENCE {
    cell-Id                             CellIdentity,
    sfn                                 INTEGER (0..4095)
}

CipheringInfoPerRB ::=              SEQUENCE {
    dl-HFN                              BIT STRING (SIZE (20..25)),
    ul-HFN                              BIT STRING (SIZE (20..25))
}
```

```
-- TABULAR: CipheringInfoPerRB-List, multiplicity value numberOfRadioBearers
-- has been replaced with maxRB.
CipheringInfoPerRB-List ::=         SEQUENCE (SIZE (1..maxRB)) OF
                                        CipheringInfoPerRB

CipheringStatus ::=                 ENUMERATED {
                                        started, notStarted }

CN-DomainInformation-v390ext ::=        SEQUENCE {
    cn-DRX-CycleLengthCoeff             CN-DRX-CycleLengthCoefficient
}

CN-DomainInformationList-v390ext ::=    SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CN-DomainInformation-v390ext

COUNT-C-List ::=                        SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        COUNT-CSingle

COUNT-CSingle ::=                       SEQUENCE {
    cn-DomainIdentity                   CN-DomainIdentity,
    count-C                             BIT STRING (SIZE (32))
}

ImplementationSpecificParams ::=    BIT STRING (SIZE (1..512))


IntegrityProtectionStatus ::=       ENUMERATED {
                                        started, notStarted }

MeasurementCommandWithType ::=      CHOICE {
    setup                               MeasurementType,
    modify                              NULL,
    release                             NULL
}

MeasurementCommandWithType-r4 ::=   CHOICE {
    setup                               MeasurementType-r4,
    modify                              NULL,
    release                             NULL
}

OngoingMeasRep ::=                  SEQUENCE {
    measurementIdentity         MeasurementIdentity,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType
    measurementCommandWithType          MeasurementCommandWithType,
    measurementReportingMode            MeasurementReportingMode            OPTIONAL,
    additionalMeasurementID-List        AdditionalMeasurementID-List        OPTIONAL
}

OngoingMeasRep-r4 ::=               SEQUENCE {
    measurementIdentity         MeasurementIdentity,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType-r4.
    measurementCommandWithType          MeasurementCommandWithType-r4,
    measurementReportingMode            MeasurementReportingMode            OPTIONAL,
    additionalMeasurementID-List        AdditionalMeasurementID-List        OPTIONAL
}

OngoingMeasRepList ::=              SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                        OngoingMeasRep

OngoingMeasRepList-r4 ::=           SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                        OngoingMeasRep-r4

SRB-SpecificIntegrityProtInfo ::=   SEQUENCE {
    ul-RRC-HFN                          BIT STRING (SIZE (28)),
    dl-RRC-HFN                          BIT STRING (SIZE (28)),
    ul-RRC-SequenceNumber               RRC-MessageSequenceNumber,
    dl-RRC-SequenceNumber               RRC-MessageSequenceNumber
}

SRB-SpecificIntegrityProtInfoList ::= SEQUENCE (SIZE (4..maxSRBsetup)) OF
                                        SRB-SpecificIntegrityProtInfo

StateOfRRC ::=                      ENUMERATED {
```

```
                                        cell-DCH, cell-FACH,
                                        cell-PCH, ura-PCH }

StateOfRRC-Procedure ::=            ENUMERATED {
                                        awaitNoRRC-Message,
                                        awaitRRC-ConnectionRe-establishmentComplete,
                                        awaitRB-SetupComplete,
                                        awaitRB-ReconfigurationComplete,
                                        awaitTransportCH-ReconfigurationComplete,
                                        awaitPhysicalCH-ReconfigurationComplete,
                                        awaitActiveSetUpdateComplete,
                                        awaitHandoverComplete,
                                        sendCellUpdateConfirm,
                                        sendUraUpdateConfirm,
                                        sendRrcConnectionReestablishment,
                                        otherStates
}

UE-Positioning-LastKnownPos ::=     SEQUENCE {
        sfn                             INTEGER (0..4095),
        cell-id                         CellIdentity,
        positionEstimate                PositionEstimate
}
```

END

14.12.2 RRC information, target RNC to source RNC

There are 2 possible cases for RNC relocation:

1. The UE is already under control of target RNC; and
2. The SRNC Relocation with Hard Handover (UE still under control of SRNC), but UE is moving to a location controlled by the target RNC (based on measurement information).

In case 1 the relocation is transparent to the UE and there is no "reverse" direction container. The SRNC just assigns the 'serving' function to the target RNC, which then becomes the Serving RNC.

In case 2 the relocation is initiated by SRNC, which also provides the RRC Initialisation Information to the target RNC. Base on this information, the target RNC prepares the Hard Handover Message ("Physical channel reconfiguration" (subclause 8.2.6), "radio bearer establishment" (subclause 8.2.1), "Radio bearer reconfiguration" (subclause 8.2.2), "Radio bearer release" (subclause 8.2.3) or "Transport channel reconfiguration" (subclause 8.2.4).

The IE “DL DCCH message” may be chosen and should contain the DL DCCH message that should be transmitted transparently to the UE by the source SRNC in case the IE “RB Id for handover message” has been received by the target SRNC in the IE “SRNS Relocation Info”. If the target SRNC did not receive the IE “RB Id for handover message” in the IE “SRNS Relocation Info” the target SRNC should use another choice.

The source RNC then transmits the Handover Message to the UE, which then performs the handover.

In the successful case, the UE transmits an XXX COMPLETE message, using the new configuration, to the target RNC.

In case of failure, the UE transmits an XXX FAILURE, using the old configuration, to the source RNC and the RRC context remains unchanged (has to be confirmed and checked with the SRNS relocation procedure).

Information Element/Group name	Need	Multi	Type and reference	Semantics description
CHOICE *RRC message*	MP			At least one spare choice, Criticality: Reject, is needed
>RADIO BEARER SETUP			RADIO BEARER SETUP 10.2.31	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
>RADIO BEARER RECONFIGURATION			RADIO BEARER RECONFIGURATION 10.2.25	
>RADIO BEARER RELEASE			RADIO BEARER RELEASE 10.2.28	
>TRANSPORT CHANNEL RECONFIGURATION			TRANSPORT CHANNEL RECONFIGURATION 10.2.51	
>PHYSICAL CHANNEL RECONFIGURATION			PHYSICAL CHANNEL RECONFIGURATION 10.2.20	
>RRC FAILURE INFO			RRC FAILURE INFO 10.2.41a	
>DL DCCH message			OCTET STRING	

14.12.4.2 SRNS RELOCATION INFO

This RRC message is sent between network nodes when preparing for an SRNS relocation.

With the presence or absence of the IE "RB identity for Hard Handover message" the source SRNC can indicate to the target SRNC whether the source RNC expects to receive the choice "DL DCCH message" in the IE "RRC information, target RNC to source RNC" in case the SRNS relocation is of type "UE involved"

Direction: source RAT→target RNC

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
Non RRC IEs				
RB identity for Handover message	OP		RB identity 10.3.4.16	Gives the id of the radio bearer on which the sourc RNC will transmit the RRC message in the case the relocation is of type "UE involved".
>State of RRC	MP		RRC state indicator, 10.3.3.35a	
>State of RRC procedure	MP		Enumerated (await no RRC message, Complete, await RB Setup Complete, await RB Reconfiguration Complete, await RB Release Complete,	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			await Transport CH Reconfiguration Complete, await Physical CH Reconfiguration Complete, await Active Set Update Complete, await Handover Complete, send Cell Update Confirm, send URA Update Confirm, , others)	
Ciphering related information				
>Ciphering status for each CN domain	MP	<1 to maxCNDomains>		
>>CN domain identity	MP		CN domain identity 10.3.1.1	
>>Ciphering status	MP		Enumerated(Not started, Started)	
>>START	MP		START 10.3.3.38	START value to be used in this CN domain.
>Latest configured CN domain	MP		CN domain identity 10.3.1.1	Value contained in the variable of the same name.
>Calculation time for ciphering related information	CV-*Ciphering*			Time when the ciphering information of the message were calculated, relative to a cell of the target RNC
>>Cell Identity	MP		Cell Identity 10.3.2.2	Identity of one of the cells under the target RNC and included in the active set of the current call
>>SFN	MP		Integer(0..4095)	
>COUNT-C list	CV-*Ciphering*	1 to <maxCNdomains>		COUNT-C values for radio bearers using transparent mode RLC
>>CN domain identity	MP		CN domain identity 10.3.1.1	
>>COUNT-C	MP		Bit string(32)	
>Ciphering info per radio bearer	OP	1 to <maxRB>		For signalling radio bearers this IE is mandatory.
>>RB identity	MP		RB identity 10.3.4.16	
>>Downlink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)
>>Downlink SN	CV-*SRB1*		Bit String(7)	VT(US) of RLC UM

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>>Uplink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)
Integrity protection related information				
>Integrity protection status	MP		Enumerated(Not started, Started)	
>Signalling radio bearer specific integrity protection information	CV-*IP*	4 to <maxSRBsetup>		
>>Uplink RRC HFN	MP		Bit string (28)	
>>Downlink RRC HFN	MP		Bit string (28)	
>>Uplink RRC Message sequence number	MP		Integer (0..15)	
>>Downlink RRC Message sequence number	MP		Integer (0..15)	
>Implementation specific parameters	OP		Bit string (1..512)	
RRC IEs				
UE Information elements				
>U-RNTI	MP		U-RNTI 10.3.3.47	
>C-RNTI	OP		C-RNTI 10.3.3.8	
>UE radio access Capability	MP		UE radio access capability 10.3.3.42	
>UE radio access capability extension	OP		UE radio access capability extension 10.3.3.42a	
>Last known UE position	OP			
>>SFN	MP		Integer (0..4095)	Time when position was estimated
>>Cell ID	MP		Cell identity; 10.3.2.2	Indicates the cell, the SFN is valid for.
>>CHOICE *Position estimate*	MP			
>>>Ellipsoid Point			Ellipsoid Point; 10.3.8.4a	
>>>Ellipsoid point with uncertainty circle			Ellipsoid point with uncertainty circle 10.3.8.4d	
>>>Ellipsoid point with uncertainty ellipse			Ellipsoid point with uncertainty ellipse 10.3.8.4e	
>>>Ellipsoid point with altitude			Ellipsoid point with altitude 10.3.8.4b	
>>>Ellipsoid point with altitude and uncertainty ellipsoid			Ellipsoid point with altitude and uncertainty ellipsoid	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			10.3.8.4c	
Other Information elements				
>UE system specific capability	OP	1 to <maxSystemCapability>		
>>Inter-RAT UE radio access capability	MP		Inter-RAT UE radio access capability 10.3.8.7	
UTRAN Mobility Information elements				
>URA Identifier	OP		URA identity 10.3.2.6	
CN Information Elements				
>CN common GSM-MAP NAS system information	MP		NAS system information (GSM-MAP) 10.3.1.9	
>CN domain related information	OP	1 to <MaxCNdomains>		CN related information to be provided for each CN domain
>>CN domain identity	MP			
>>CN domain specific GSM-MAP NAS system info	MP		NAS system information (GSM-MAP) 10.3.1.9	
>>CN domain specific DRX cycle length coefficient	MP		CN domain specific DRX cycle length coefficient, 10.3.3.6	
Measurement Related Information elements				
>For each ongoing measurement reporting	OP	1 to <MaxNoOfMeas>		
>>Measurement Identity	MP		Measurement identity 10.3.7.48	
>>Measurement Command	MP		Measurement command 10.3.7.46	
>>Measurement Type	CV-*Setup*		Measurement type 10.3.7.50	
>>Measurement Reporting Mode	OP		Measurement reporting mode 10.3.7.49	
>>Additional Measurements list	OP		Additional measurements list 10.3.7.1	
>>CHOICE *Measurement*	OP			
>>>Intra-frequency				
>>>>Intra-frequency cell info	OP		Intra-frequency cell info list 10.3.7.33	
>>>>Intra-frequency measurement quantity	OP		Intra-frequency measuremen	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			t quantity 10.3.7.38	
>>>>Intra-frequency reporting quantity	OP		Intra-frequency reporting quantity 10.3.7.41	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Intra-frequency measurement reporting criteria			Intra-frequency measurement reporting criteria 10.3.7.39	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Inter-frequency				
>>>>Inter-frequency cell info	OP		Inter-frequency cell info list 10.3.7.13	
>>>>Inter-frequency measurement quantity	OP		Inter-frequency measurement quantity 10.3.7.18	
>>>>Inter-frequency reporting quantity	OP		Inter-frequency reporting quantity 10.3.7.21	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Inter-frequency measurement reporting criteria			Inter-frequency measurement reporting criteria 10.3.7.19	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Inter-RAT				
>>>>Inter-RAT cell info	OP		Inter-RAT cell info list 10.3.7.23	
>>>>Inter-RAT measurement quantity	OP		Inter-RAT measuremen	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			t quantity 10.3.7.29	
>>>>Inter-RAT reporting quantity	OP		Inter-RAT reporting quantity 10.3.7.32	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Inter-RAT measurement reporting criteria			Inter-RAT measurement reporting criteria 10.3.7.30	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Traffic Volume				
>>>>Traffic volume measurement Object	OP		Traffic volume measurement object 10.3.7.70	
>>>>Traffic volume measurement quantity	OP		Traffic volume measurement quantity 10.3.7.71	
>>>>Traffic volume reporting quantity	OP		Traffic volume reporting quantity 10.3.7.74	
>>>>CHOICE *report criteria*	OP			
>>>>>Traffic volume measurement reporting criteria			Traffic volume measurement reporting criteria 10.3.7.72	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Quality				
>>>>Quality measurement Object	OP		Quality measurement object	
>>>>CHOICE *report criteria*	OP			
>>>>>Quality measurement reporting criteria			Quality measurement reporting criteria 10.3.7.58	
>>>>>Periodical reporting			Periodical reporting criteria	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			10.3.7.53	
>>>>>No reporting			NULL	
>>>UE internal				
>>>>UE internal measurement quantity	OP		UE internal measurement quantity 10.3.7.79	
>>>>UE internal reporting quantity	OP		UE internal reporting quantity 10.3.7.82	
>>>>CHOICE *report criteria*	OP			
>>>>>UE internal measurement reporting criteria			UE internal measurement reporting criteria 10.3.7.80	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>UE positioning				
>>>>LCS reporting quantity	OP		LCS reporting quantity 10.3.7.111	
>>>>CHOICE *report criteria*	OP			
>>>>>LCS reporting criteria			LCS reporting criteria 10.3.7.110	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting				
Radio Bearer Information Elements				
>Predefined configuration status information	OP		Predefined configuration status information 10.3.4.5a	
>Signalling RB information list	MP	1 to <maxSRBsetup>		For each signalling radio bearer
>>Signalling RB information	MP		Signalling RB information to setup 10.3.4.24	
>RAB information list	OP	1 to <maxRABsetup>		Information for each RAB
>>RAB information	MP		RAB information to setup 10.3.4.10	
Transport Channel Information Elements				
Uplink transport channels				
>UL Transport channel information common for all	OP		UL Transport channel	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
transport channels			information common for all transport channels 10.3.5.24	
>UL transport channel information list	OP	1 to <MaxTrCH>		
>>UL transport channel information	MP		Added or reconfigured UL TrCH information 10.3.5.2	
>CHOICE *mode*	OP			
>>FDD				
>>>CPCH set ID	OP		CPCH set ID 10.3.5.5	
>>>Transport channel information for DRAC list	OP	1 to <MaxTrCH>		
>>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>>TDD				(no data)
Downlink transport channels				
>DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	
>DL transport channel information list	OP	1 to <MaxTrCH>		
>>DL transport channel information	MP		Added or reconfigured DL TrCH information 10.3.5.1	
>Measurement report	OP		MEASUREMENT REPORT 10.2.17	
Other Information elements				
Failure cause	OP		Failure cause 10.3.3.13	Diagnostics information related to an earlier SRNC Relocation request (see NOTE 2 in 14.12.0a)
Protocol error information	CV-*ProtErr*		Protocol error information 10.3.8.12	

Multi Bound	Explanation
MaxNoOfMeas	Maximum number of active measurements, upper limit 16

Condition	Explanation
Setup	The IE is mandatory present when the IE Measurement command has the value "Setup", otherwise the IE is not needed.
Ciphering	The IE is mandatory present when the IE Ciphering Status has the value "started" and the ciphering counters need not be reinitialised, otherwise the IE is not needed.
IP	The IE is mandatory present when the IE Integrity protection status has the value "started" and the integrity protection counters need not be reinitialised, otherwise the IE is not needed.
ProtErr	This IE is mandatory present if the IE "Protocol error indicator" is included and has the value "TRUE". Otherwise it is not needed.
SRB1	The IE is mandatory present for RB1. Otherwise it is not needed.

3GPP TSG-RAN2 Meeting #32
Stockholm, Sweden, 19-23 August, 2002

R2-022411

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **1673** ⌘ **rev** **-** ⌘ Current version: **5.1.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☐ Radio Access Network ☒ Core Network ☐

Title:	⌘	SRNS relocation with integrity
Source:	⌘	TSG-RAN WG2
Work item code:	⌘	TEI
Date:	⌘	09/8/2002
Category:	⌘	**A**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)

Reason for change:	⌘	With the current standard the IE "integrity check info" is supposed to be calculated by the source SRNC in the case of a SRNS relocation "UE involved". However the source SRNC is not always able to calculate this if the target SRNC uses a message format that the source SRNC does not understand (i.e. Rel 4 message, or a non critical extension that is not known by the source SRNC). Also the target SRNC could choose an integrity protection algorithm that is not implemented by the source SRNC.
Summary of change:	⌘	A spare entry in the RRC IE "Target RNC to Source RNC Transparent Container" which includes the entirely compiled downlink message. An additional optional IE giving the RB Id on which the relocation message will be transmitted to the UE is added to the IE "SRNS RELOCATION INFO". Absence of this IE indicates that the source SRNC expects a formerly defined entry and it will calculate the MAC-I itself (if possible). Impact analysis: The problem resolved is the SRNS relocation with integrity active between two RNCs that use different versions of the protocol. There is no backwards incompatibility problems between two RNCs where one implements the change and the other one doesn't.
Consequences if not approved:	⌘	It is not possible to apply integrity protection in the case of SRNS relocation of the type "UE involved" in case the target and the source RNC do not support the same messages, and though the SRNS relocation is not possible in all cases.

Clauses affected:	⌘	11.5, 14.12.2, 14.12.4.2

Other specs affected:	⌘	Y	N	
			X	Other core specifications
			X	Test specifications

⌘

	X	O&M Specifications

Other comments: ⌘

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

11.5 RRC information between network nodes

```
Internode-definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

    HandoverToUTRANCommand,
    MeasurementReport,
    PhysicalChannelReconfiguration,
    RadioBearerReconfiguration,
    RadioBearerRelease,
    RadioBearerSetup,
    RRC-FailureInfo-r3-IEs,
    TransportChannelReconfiguration
FROM PDU-definitions

-- Core Network IEs :
    CN-DomainIdentity,
    CN-DomainInformationList,
    CN-DRX-CycleLengthCoefficient,
    NAS-SystemInformationGSM-MAP,
-- UTRAN Mobility IEs :
    CellIdentity,
    URA-Identity,
-- User Equipment IEs :
    C-RNTI,
    DL-PhysChCapabilityFDD-v380ext,
    FailureCauseWithProtErr,
    RRC-MessageSequenceNumber,
    STARTList,
    STARTSingle,
    START-Value,
    U-RNTI,
    UE-RadioAccessCapability,
    UE-RadioAccessCapability-v370ext,
    UE-RadioAccessCapability-v380ext,
    UE-RadioAccessCapability-v3a0ext,
    UE-RadioAccessCapability-v4xyext,
-- Radio Bearer IEs :
    PredefinedConfigStatusList,
    PredefinedConfigValueTag,
    RAB-InformationSetupList,
    RAB-Identity,
    RB-Identity,
    SRB-InformationSetupList,
-- Transport Channel IEs :
    CPCH-SetID,
    DL-CommonTransChInfo,
    DL-AddReconfTransChInfoList,
    DRAC-StaticInformationList,
    UL-CommonTransChInfo,
    UL-AddReconfTransChInfoList,
-- Measurement IEs :
    MeasurementIdentity,
    MeasurementReportingMode,
    MeasurementType,
    MeasurementType-r4,
    AdditionalMeasurementID-List,
    PositionEstimate,
    UE-Positioning-IPDL-Parameters-TDD-r4-ext,
-- Other IEs :
InterRAT-UE-RadioAccessCapabilityList
FROM InformationElements

    maxCNdomains,
    maxNoOfMeas,

    maxRB,
    maxRBallRABs,
    maxRFC3095-CID,
    maxSRBsetup
FROM Constant-definitions
;
```

```
-- Part 1: Class definitions similar to what has been defined in 11.1 for RRC messages
-- Information that is tranferred in the same direction and across the same path is
grouped

-- **************************************************
--
-- RRC information, to target RNC
--
-- **************************************************
-- RRC Information to target RNC sent either from source RNC or from another RAT

ToTargetRNC-Container ::= CHOICE {
    interRATHandoverInfo                InterRATHandoverInfoWithInterRATCapabilities-
r3,
    srncRelocation                      SRNC-RelocationInfo-r3,
    rfc3095-ContextInfo                 RFC3095-ContextInfo-r5,
    extension                           NULL
}

-- **************************************************
--
-- RRC information, target RNC to source RNC
--
-- **************************************************


Target-RNC-ToSourceRNC-Container ::= CHOICE {
    radioBearerSetup                    RadioBearerSetup,
    radioBearerReconfiguration          RadioBearerReconfiguration,
    radioBearerRelease                  RadioBearerRelease,
    transportChannelReconfiguration     TransportChannelReconfiguration,
    physicalChannelReconfiguration      PhysicalChannelReconfiguration,
    rrc-FailureInfo                     RRC-FailureInfo-r3-IEs,
    dL-DCCHmessage                      OCTET STRING~~extension                                NULL~~
}

-- Part 2: Container definitions, similar to the PDU definitions in 11.2 for RRC
messages
-- In alphabetical order


-- **************************************************
--
-- Handover to UTRAN information
--
-- **************************************************

InterRATHandoverInfoWithInterRATCapabilities-r3 ::= CHOICE {
    r3                              SEQUENCE {
        -- IE InterRATHandoverInfoWithInterRATCapabilities-r3-IEs also
        -- includes non critical extensions
        interRATHandoverInfo-r3         InterRATHandoverInfoWithInterRATCapabilities-
r3-IEs,
        v390NonCriticalExtensions           SEQUENCE {
            interRATHandoverInfoWithInterRATCapabilities-v390ext
    InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs,
            -- Reserved for future non critical extension
            nonCriticalExtensions           SEQUENCE {} OPTIONAL
        }       OPTIONAL
    },
    criticalExtensions              SEQUENCE {}
}

InterRATHandoverInfoWithInterRATCapabilities-r3-IEs::=      SEQUENCE {
        -- The order of the IEs may not reflect the tabular format
        --  but has been chosen to simplify the handling of the information in the BSC
    --  Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList
    OPTIONAL,
        -- interRATHandoverInfo, Octet string is used to obtain 8 bit length field
prior to
        -- actual information.  This makes it possible for BSS to transparently handle
information
        -- received via GSM air interface even when it includes non critical
extensions.
        -- The octet string shall include the InterRATHandoverInfo information
```

```
        -- The BSS can re-use the 04.18 length field received from the MS
        interRATHandoverInfo            OCTET STRING (SIZE (0..255))
}

InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        failureCauseWithProtErr             FailureCauseWithProtErr
    OPTIONAL
}

-- **************************************************
--
-- RFC3095 context, source RNC to target RNC
--
-- **************************************************

RFC3095-ContextInfo-r5 ::= CHOICE {
    r5                              SEQUENCE {
        rFC3095-ContextInfoList-r5      RFC3095-ContextInfoList-r5,
        -- Reserved for future non critical extension
        nonCriticalExtensions           SEQUENCE {} OPTIONAL
    },
    criticalExtensions              SEQUENCE {}
}

RFC3095-ContextInfoList-r5  ::=     SEQUENCE (SIZE (1..maxRBallRABs)) OF
                                        RFC3095-ContextInfo


-- **************************************************
--
-- SRNC Relocation information
--
-- **************************************************

SRNC-RelocationInfo-r3 ::= CHOICE {
    r3                              SEQUENCE {
        sRNC-RelocationInfo-r3          SRNC-RelocationInfo-r3-IEs,
            v380NonCriticalExtensions           SEQUENCE {
                sRNC-RelocationInfo-v380ext SRNC-RelocationInfo-v380ext-IEs,
                -- Reserved for future non critical extension
                v390NonCriticalExtensions           SEQUENCE {
                    sRNC-RelocationInfo-v390ext         SRNC-RelocationInfo-v390ext-
IEs,
                    v3a0NonCriticalExtensions           SEQUENCE {
                        sRNC-RelocationInfo-v3a0ext         SRNC-RelocationInfo-
v3a0ext-IEs,
                        v3b0NonCriticalExtensions           SEQUENCE {
                            sRNC-RelocationInfo-v3b0ext         SRNC-RelocationInfo-
v3b0ext-IEs,
                            v3c0NonCriticalExtensions           SEQUENCE {
                                sRNC-RelocationInfo-v3c0ext         SRNC-
RelocationInfo-v3c0ext-IEs,
                            v4xyNonCriticalExtensions           SEQUENCE {
                            sRNC-RelocationInfo-v4xyext         SRNC-
RelocationInfo-v4xyext-IEs,
                            -- Reserved for future non critical extension
                            nonCriticalExtensions           SEQUENCE {}
    OPTIONAL
                            }       OPTIONAL
                        }       OPTIONAL
                    }       OPTIONAL
                }       OPTIONAL
            }       OPTIONAL
    },
    criticalExtensions              SEQUENCE {}
}

SRNC-RelocationInfo-r3-IEs ::=      SEQUENCE {
    -- Non-RRC IEs
        stateOfRRC                      StateOfRRC,
        stateOfRRC-Procedure            StateOfRRC-Procedure,
    -- Ciphering related information IEs
    -- If the extension v380 is included use the extension for the ciphering status
per CN domain
        cipheringStatus                 CipheringStatus,
```

```
        calculationTimeForCiphering     CalculationTimeForCiphering         OPTIONAL,
        cipheringInfoPerRB-List         CipheringInfoPerRB-List             OPTIONAL,
        count-C-List                    COUNT-C-List                        OPTIONAL,
        integrityProtectionStatus       IntegrityProtectionStatus,
        srb-SpecificIntegrityProtInfo   SRB-SpecificIntegrityProtInfoList,
        implementationSpecificParams    ImplementationSpecificParams        OPTIONAL,
    -- User equipment IEs
        u-RNTI                          U-RNTI,
        c-RNTI                          C-RNTI                              OPTIONAL,
        ue-RadioAccessCapability        UE-RadioAccessCapability,
        ue-Positioning-LastKnownPos     UE-Positioning-LastKnownPos         OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList
    OPTIONAL,
    -- UTRAN mobility IEs
        ura-Identity                    URA-Identity                        OPTIONAL,
    -- Core network IEs
        cn-CommonGSM-MAP-NAS-SysInfo    NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList        CN-DomainInformationList            OPTIONAL,
    -- Measurement IEs
        ongoingMeasRepList              OngoingMeasRepList                  OPTIONAL,
    -- Radio bearer IEs
        predefinedConfigStatusList      PredefinedConfigStatusList,
        srb-InformationList             SRB-InformationSetupList,
        rab-InformationList             RAB-InformationSetupList            OPTIONAL,
    -- Transport channel IEs
        ul-CommonTransChInfo            UL-CommonTransChInfo                OPTIONAL,
        ul-TransChInfoList              UL-AddReconfTransChInfoList         OPTIONAL,
        modeSpecificInfo                CHOICE {
            fdd                             SEQUENCE {
                cpch-SetID                      CPCH-SetID                  OPTIONAL,
                transChDRAC-Info                DRAC-StaticInformationList  OPTIONAL
            },
            tdd                             NULL
        },
        dl-CommonTransChInfo            DL-CommonTransChInfo                OPTIONAL,
        dl-TransChInfoList              DL-AddReconfTransChInfoList         OPTIONAL,
    -- Measurement report
        measurementReport               MeasurementReport                   OPTIONAL ,
        nonCriticalExtensions           SEQUENCE {
            -- In case of TDD only up-Ipdl-Parameters-TDD is present, otherwise
            -- this IE is absent
            up-Ipdl-Parameters-TDD          UE-Positioning-IPDL-Parameters-TDD-r4-ext   OPTIONAL,
        -- Extension mechanism for non- release4 information
            nonCriticalExtensions           SEQUENCE {}                                OPTIONAL
        }                                                                       OPTIONAL
}

SRNC-RelocationInfo-v380ext-IEs ::= SEQUENCE {
    -- Ciphering related information IEs
        cn-DomainIdentity               CN-DomainIdentity,
        cipheringStatusList             CipheringStatusList
}

SRNC-RelocationInfo-v390ext-IEs ::= SEQUENCE {
        cn-DomainInformationList-v390ext    CN-DomainInformationList-v390ext
    OPTIONAL,
        ue-RadioAccessCapability-v370ext    UE-RadioAccessCapability-v370ext
    OPTIONAL,
        ue-RadioAccessCapability-v380ext    UE-RadioAccessCapability-v380ext
    OPTIONAL,
        dl-PhysChCapabilityFDD-v380ext      DL-PhysChCapabilityFDD-v380ext,
        failureCauseWithProtErr             FailureCauseWithProtErr
    OPTIONAL
}

SRNC-RelocationInfo-v3a0ext-IEs ::= SEQUENCE {
        -- cn-domain identity for IE startValueForCiphering-v3a0ext is specified
        -- in subsequent extension (SRNC-RelocationInfo-v3b0ext-IEs)
        startValueForCIphering-v3a0ext      START-Value,
        cipheringInfoForSRB1-v3a0ext        CipheringInfoForSRB1-v3a0ext,
        ue-RadioAccessCapability-v3a0ext    UE-RadioAccessCapability-v3a0ext
    OPTIONAL
}

SRNC-RelocationInfo-v3b0ext-IEs ::= SEQUENCE {
```

```
        -- cn-domain identity for IE startValueForCiphering-v3a0ext included in
previous extension
        cn-DomainIdentity               CN-DomainIdentity,
        -- the remaining start values are contained in IE startValueForCiphering-
v3b0ext
        startValueForCiphering-v3b0ext      STARTList2
    OPTIONAL
}
SRNC-RelocationInfo-v3c0ext-IEs ::= SEQUENCE {
-- RB Identity on which the source SRNC will send the message contained in the
-- IE "TargetRNC-ToSourceRNC-Container". Only included if type is "UE involved"
        rb-Identity                     RB-Identity         OPTIONAL
}

STARTList2 ::=                      SEQUENCE (SIZE (2..maxCNdomains)) OF
                                        STARTSingle

SRNC-RelocationInfo-v4xyext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v4xyext    UE-RadioAccessCapability-v4xyext
}

CipheringInfoForSRB1-v3a0ext ::= SEQUENCE {
        dl-UM-SN                            BIT STRING (SIZE (7))
}

CipheringStatusList ::=         SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CipheringStatusCNdomain

CipheringStatusCNdomain ::=             SEQUENCE {
        cn-DomainIdentity               CN-DomainIdentity,
        cipheringStatus                 CipheringStatus
}

SRNC-RelocationInfo-r4 ::=          SEQUENCE {
    -- Non-RRC IEs
-- RB Identity on which the source SRNC will send the message contained in the
-- IE "TargetRNC-ToSourceRNC-Container". Only included if type is "UE involved"
        rb-Identity                     RB-Identity                         OPTIONAL,
        stateOfRRC                      StateOfRRC,
        stateOfRRC-Procedure            StateOfRRC-Procedure,
        cipheringStatus                 CipheringStatus,
        calculationTimeForCiphering     CalculationTimeForCiphering         OPTIONAL,
        cipheringInfoPerRB-List         CipheringInfoPerRB-List             OPTIONAL,
        integrityProtectionStatus       IntegrityProtectionStatus,
        srb-SpecificIntegrityProtInfo   SRB-SpecificIntegrityProtInfoList,
        implementationSpecificParams    ImplementationSpecificParams        OPTIONAL,
    -- User equipment IEs
        u-RNTI                          U-RNTI,
        c-RNTI                          C-RNTI                              OPTIONAL,
        ue-RadioAccessCapability        UE-RadioAccessCapability,
        ue-Positioning-LastKnownPos     UE-Positioning-LastKnownPos         OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList
    OPTIONAL,
    -- UTRAN mobility IEs
        ura-Identity                    URA-Identity                        OPTIONAL,
    -- Core network IEs
        cn-CommonGSM-MAP-NAS-SysInfo    NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList        CN-DomainInformationList            OPTIONAL,
    -- Measurement IEs
        ongoingMeasRepList              OngoingMeasRepList-r4               OPTIONAL,
    -- Radio bearer IEs
        predefinedConfigStatusList      PredefinedConfigStatusList,
        srb-InformationList             SRB-InformationSetupList,
        rab-InformationList             RAB-InformationSetupList            OPTIONAL,
    -- Transport channel IEs
        ul-CommonTransChInfo            UL-CommonTransChInfo                OPTIONAL,
        ul-TransChInfoList              UL-AddReconfTransChInfoList         OPTIONAL,
        modeSpecificInfo                CHOICE {
            fdd                             SEQUENCE {
                cpch-SetID                      CPCH-SetID                  OPTIONAL,
                transChDRAC-Info                DRAC-StaticInformationList  OPTIONAL
            },
            tdd                             NULL
        },
        dl-CommonTransChInfo            DL-CommonTransChInfo                OPTIONAL,
```

```
        dl-TransChInfoList              DL-AddReconfTransChInfoList         OPTIONAL,
    -- Measurement report
        measurementReport               MeasurementReport                   OPTIONAL,
        nonCriticalExtensions           SEQUENCE {
            -- In case of TDD only up-Ipdl-Parameters-TDD is present, otherwise
            -- this IE is absent
            up-Ipdl-Parameters-TDD          UE-Positioning-IPDL-Parameters-TDD-r4-ext   OPTIONAL,
        -- Extension mechanism for non- release4 information
            nonCriticalExtensions           SEQUENCE {}                                 OPTIONAL
        }                                                                               OPTIONAL
}

-- IE definitions

CalculationTimeForCiphering ::=     SEQUENCE {
    cell-Id                             CellIdentity,
    sfn                                 INTEGER (0..4095)
}

CipheringInfoPerRB ::=              SEQUENCE {
    dl-HFN                              BIT STRING (SIZE (20..25)),
    ul-HFN                              BIT STRING (SIZE (20..25))
}

-- TABULAR: CipheringInfoPerRB-List, multiplicity value numberOfRadioBearers
-- has been replaced with maxRB.
CipheringInfoPerRB-List ::=         SEQUENCE (SIZE (1..maxRB)) OF
                                        CipheringInfoPerRB

CipheringStatus ::=                 ENUMERATED {
                                        started, notStarted }

CN-DomainInformation-v390ext ::=        SEQUENCE {
    cn-DRX-CycleLengthCoeff             CN-DRX-CycleLengthCoefficient
}

CN-DomainInformationList-v390ext ::=    SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CN-DomainInformation-v390ext

COUNT-C-List ::=                        SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        COUNT-CSingle

COUNT-CSingle ::=                       SEQUENCE {
    cn-DomainIdentity                   CN-DomainIdentity,
    count-C                             BIT STRING (SIZE (32))
}

-- The structure of DL-RFC3095-Context is FFS
DL-RFC3095-Context ::=              SEQUENCE {
    rfc3095-Context-Identity            INTEGER (0..16383),
    dl-mode                             ENUMERATED {u, o, r}
}

ImplementationSpecificParams ::=    BIT STRING (SIZE (1..512))


IntegrityProtectionStatus ::=       ENUMERATED {
                                        started, notStarted }

MeasurementCommandWithType ::=      CHOICE {
    setup                               MeasurementType,
    modify                              NULL,
    release                             NULL
}

MeasurementCommandWithType-r4 ::=   CHOICE {
    setup                               MeasurementType-r4,
    modify                              NULL,
    release                             NULL
}

OngoingMeasRep ::=                  SEQUENCE {
    measurementIdentity         MeasurementIdentity,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType
    measurementCommandWithType          MeasurementCommandWithType,
```

```
    measurementReportingMode            MeasurementReportingMode            OPTIONAL,
    additionalMeasurementID-List        AdditionalMeasurementID-List        OPTIONAL
}

OngoingMeasRep-r4 ::=               SEQUENCE {
    measurementIdentity         MeasurementIdentity,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType-r4.
    measurementCommandWithType          MeasurementCommandWithType-r4,
    measurementReportingMode            MeasurementReportingMode            OPTIONAL,
    additionalMeasurementID-List        AdditionalMeasurementID-List        OPTIONAL
}

OngoingMeasRepList ::=              SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                        OngoingMeasRep

OngoingMeasRepList-r4 ::=           SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                        OngoingMeasRep-r4

RFC3095-ContextInfo ::=             SEQUENCE {
    rb-Identity                         RB-Identity,
    rfc3095-Context-List                RFC3095-Context-List
}

RFC3095-Context-List ::=            SEQUENCE (SIZE (1..maxRFC3095-CID)) OF SEQUENCE {
    dl-RFC3095-Context                  DL-RFC3095-Context      OPTIONAL,
    ul-RFC3095-Context                  UL-RFC3095-Context      OPTIONAL
 }

SRB-SpecificIntegrityProtInfo ::=   SEQUENCE {
    ul-RRC-HFN                          BIT STRING (SIZE (28)),
    dl-RRC-HFN                          BIT STRING (SIZE (28)),
    ul-RRC-SequenceNumber               RRC-MessageSequenceNumber,
    dl-RRC-SequenceNumber               RRC-MessageSequenceNumber
}

SRB-SpecificIntegrityProtInfoList ::= SEQUENCE (SIZE (4..maxSRBsetup)) OF
                                        SRB-SpecificIntegrityProtInfo

StateOfRRC ::=                      ENUMERATED {
                                        cell-DCH, cell-FACH,
                                        cell-PCH, ura-PCH }

StateOfRRC-Procedure ::=            ENUMERATED {
                                        awaitNoRRC-Message,
                                        awaitRRC-ConnectionRe-establishmentComplete,
                                        awaitRB-SetupComplete,
                                        awaitRB-ReconfigurationComplete,
                                        awaitTransportCH-ReconfigurationComplete,
                                        awaitPhysicalCH-ReconfigurationComplete,
                                        awaitActiveSetUpdateComplete,
                                        awaitHandoverComplete,
                                        sendCellUpdateConfirm,
                                        sendUraUpdateConfirm,
                                        sendRrcConnectionReestablishment,
                                        otherStates
}

UE-Positioning-LastKnownPos ::=     SEQUENCE {
        sfn                             INTEGER (0..4095),
        cell-id                         CellIdentity,
        positionEstimate                PositionEstimate
}

-- The structure of UL-RFC3095-Context is FFS
UL-RFC3095-Context ::=              SEQUENCE {
    rfc3095-Context-Identity            INTEGER (0..16383),
    ul-mode                             ENUMERATED {u, o, r}
}

END
```

14.12.2 RRC information, target RNC to source RNC

There are 2 possible cases for RNC relocation:

1. The UE is already under control of target RNC; and

2. The SRNC Relocation with Hard Handover (UE still under control of SRNC), but UE is moving to a location controlled by the target RNC (based on measurement information).

In case 1 the relocation is transparent to the UE and there is no "reverse" direction container. The SRNC just assigns the 'serving' function to the target RNC, which then becomes the Serving RNC.

In case 2 the relocation is initiated by SRNC, which also provides the RRC Initialisation Information to the target RNC. Base on this information, the target RNC prepares the Hard Handover Message ("Physical channel reconfiguration" (subclause 8.2.6), "radio bearer establishment" (subclause 8.2.1), "Radio bearer reconfiguration" (subclause 8.2.2), "Radio bearer release" (subclause 8.2.3) or "Transport channel reconfiguration" (subclause 8.2.4).

The IE “DL DCCH message” may be chosen and should contain the DL DCCH message that should be transmitted transparently to the UE by the source SRNC in case the IE “RB Id for handover message” has been received by the target SRNC in the IE “SRNS Relocation Info”. If the target SRNC did not receive the IE “RB Id for handover message” in the IE “SRNS Relocation Info” the target SRNC should use another choice.

The source RNC then transmits the Handover Message to the UE, which then performs the handover.

In the successful case, the UE transmits an XXX COMPLETE message, using the new configuration, to the target RNC.

In case of failure, the UE transmits an XXX FAILURE, using the old configuration, to the source RNC and the RRC context remains unchanged (has to be confirmed and checked with the SRNS relocation procedure).

Information Element/Group name	Need	Multi	Type and reference	Semantics description
CHOICE *RRC message*	MP			At least one spare choice, Criticality: Reject, is needed
>RADIO BEARER SETUP			RADIO BEARER SETUP 10.2.31	
>RADIO BEARER RECONFIGURATION			RADIO BEARER RECONFIGURATION 10.2.25	
>RADIO BEARER RELEASE			RADIO BEARER RELEASE 10.2.28	
>TRANSPORT CHANNEL RECONFIGURATION			TRANSPORT CHANNEL RECONFIGURATION 10.2.51	
>PHYSICAL CHANNEL RECONFIGURATION			PHYSICAL CHANNEL RECONFIGURATION 10.2.20	
>RRC FAILURE INFO			RRC FAILURE INFO 10.2.41 a	
>DL DCCH message			OCTET STRING	

14.12.4.2 SRNS RELOCATION INFO

This RRC message is sent between network nodes when preparing for an SRNS relocation.

With the presence or absence of the IE "RB identity for Hard Handover message" the source SRNC can indicate to the target SRNC whether the source RNC expects to receive the choice "DL DCCH message" in the IE "RRC information, target RNC to source RNC" in case the SRNS relocation is of type "UE involved"

Direction: source RAT→target RNC

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
Non RRC IEs				
RB identity for Hard Handover message	OP		RB identity 10.3.4.16	Gives the id of the radio bearer on which the sourc RNC will transmit the RRC message in the case the relocation is of type "UE involved".
>State of RRC	MP		RRC state indicator, 10.3.3.35a	
>State of RRC procedure	MP		Enumerated (await no RRC message, Complete, await RB Setup Complete, await RB Reconfiguration Complete, await RB Release Complete, await Transport CH Reconfiguration Complete, await Physical CH Reconfiguration Complete, await Active Set Update Complete, await Handover Complete, send Cell Update Confirm, send URA Update Confirm, , others)	
Ciphering related information				
>Ciphering status for each CN domain	MP	<1 to maxCNDomains>		
>>CN domain identity	MP		CN domain	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			identity 10.3.1.1	
>>Ciphering status	MP		Enumerated(Not started, Started)	
>>START	MP		START 10.3.3.38	START value to be used in this CN domain.
>Latest configured CN domain	MP		CN domain identity 10.3.1.1	Value contained in the variable of the same name.
>Calculation time for ciphering related information	CV-*Ciphering*			Time when the ciphering information of the message were calculated, relative to a cell of the target RNC
>>Cell Identity	MP		Cell Identity 10.3.2.2	Identity of one of the cells under the target RNC and included in the active set of the current call
>>SFN	MP		Integer(0..4095)	
>COUNT-C list	CV-*Ciphering*	1 to <maxCNdomains>		COUNT-C values for radio bearers using transparent mode RLC
>>CN domain identity	MP		CN domain identity 10.3.1.1	
>>COUNT-C	MP		Bit string(32)	
>Ciphering info per radio bearer	OP	1 to <maxRB>		For signalling radio bearers this IE is mandatory.
>>RB identity	MP		RB identity 10.3.4.16	
>>Downlink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)
>>Downlink SN	CV-*SRB1*		Bit String(7)	VT(US) of RLC UM
>>Uplink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)
Integrity protection related information				
>Integrity protection status	MP		Enumerated(Not started, Started)	
>Signalling radio bearer specific integrity protection information	CV-*IP*	4 to <maxSRBsetup>		
>>Uplink RRC HFN	MP		Bit string (28)	
>>Downlink RRC HFN	MP		Bit string (28)	
>>Uplink RRC Message sequence number	MP		Integer (0..15)	
>>Downlink RRC Message sequence number	MP		Integer (0..15)	
>Implementation specific parameters	OP		Bit string (1..512)	
RRC IEs				
UE Information elements				
>U-RNTI	MP		U-RNTI 10.3.3.47	
>C-RNTI	OP		C-RNTI 10.3.3.8	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>UE radio access Capability	MP		UE radio access capability 10.3.3.42	
>UE radio access capability extension	OP		UE radio access capability extension 10.3.3.42a	
>Last known UE position	OP			
>>SFN	MP		Integer (0..4095)	Time when position was estimated
>>Cell ID	MP		Cell identity; 10.3.2.2	Indicates the cell, the SFN is valid for.
>>CHOICE *Position estimate*	MP			
>>>Ellipsoid Point			Ellipsoid Point; 10.3.8.4a	
>>>Ellipsoid point with uncertainty circle			Ellipsoid point with uncertainty circle 10.3.8.4d	
>>>Ellipsoid point with uncertainty ellipse			Ellipsoid point with uncertainty ellipse 10.3.8.4e	
>>>Ellipsoid point with altitude			Ellipsoid point with altitude 10.3.8.4b	
>>>Ellipsoid point with altitude and uncertainty ellipsoid			Ellipsoid point with altitude and uncertainty ellipsoid 10.3.8.4c	
Other Information elements				
>UE system specific capability	OP	1 to <maxSystemCapability>		
>>Inter-RAT UE radio access capability	MP		Inter-RAT UE radio access capability 10.3.8.7	
UTRAN Mobility Information elements				
>URA Identifier	OP		URA identity 10.3.2.6	
CN Information Elements				
>CN common GSM-MAP NAS system information	MP		NAS system information (GSM-MAP) 10.3.1.9	
>CN domain related information	OP	1 to <MaxCNdomains>		CN related information to be provided for each CN domain
>>CN domain identity	MP			
>>CN domain specific GSM-MAP NAS system info	MP		NAS system information (GSM-MAP)	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			10.3.1.9	
>>CN domain specific DRX cycle length coefficient	MP		CN domain specific DRX cycle length coefficient, 10.3.3.6	
Measurement Related Information elements				
>For each ongoing measurement reporting	OP	1 to <MaxNoOf Meas>		
>>Measurement Identity	MP		Measurement identity 10.3.7.48	
>>Measurement Command	MP		Measurement command 10.3.7.46	
>>Measurement Type	CV-*Setup*		Measurement type 10.3.7.50	
>>Measurement Reporting Mode	OP		Measurement reporting mode 10.3.7.49	
>>Additional Measurements list	OP		Additional measurements list 10.3.7.1	
>>CHOICE *Measurement*	OP			
>>>Intra-frequency				
>>>>Intra-frequency cell info	OP		Intra-frequency cell info list 10.3.7.33	
>>>>Intra-frequency measurement quantity	OP		Intra-frequency measurement quantity 10.3.7.38	
>>>>Intra-frequency reporting quantity	OP		Intra-frequency reporting quantity 10.3.7.41	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Intra-frequency measurement reporting criteria			Intra-frequency measurement reporting criteria 10.3.7.39	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Inter-frequency				

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>>>>Inter-frequency cell info	OP		Inter-frequency cell info list 10.3.7.13	
>>>>Inter-frequency measurement quantity	OP		Inter-frequency measurement quantity 10.3.7.18	
>>>>Inter-frequency reporting quantity	OP		Inter-frequency reporting quantity 10.3.7.21	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Inter-frequency measurement reporting criteria			Inter-frequency measurement reporting criteria 10.3.7.19	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Inter-RAT				
>>>>Inter-RAT cell info	OP		Inter-RAT cell info list 10.3.7.23	
>>>>Inter-RAT measurement quantity	OP		Inter-RAT measurement quantity 10.3.7.29	
>>>>Inter-RAT reporting quantity	OP		Inter-RAT reporting quantity 10.3.7.32	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Inter-RAT measurement reporting criteria			Inter-RAT measurement reporting criteria 10.3.7.30	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Traffic Volume				

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>>>>Traffic volume measurement Object	OP		Traffic volume measurement object 10.3.7.70	
>>>>Traffic volume measurement quantity	OP		Traffic volume measurement quantity 10.3.7.71	
>>>>Traffic volume reporting quantity	OP		Traffic volume reporting quantity 10.3.7.74	
>>>>CHOICE *report criteria*	OP			
>>>>>Traffic volume measurement reporting criteria			Traffic volume measurement reporting criteria 10.3.7.72	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Quality				
>>>>Quality measurement Object	OP		Quality measurement object	
>>>>CHOICE *report criteria*	OP			
>>>>>Quality measurement reporting criteria			Quality measurement reporting criteria 10.3.7.58	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>UE internal				
>>>>UE internal measurement quantity	OP		UE internal measurement quantity 10.3.7.79	
>>>>UE internal reporting quantity	OP		UE internal reporting quantity 10.3.7.82	
>>>>CHOICE *report criteria*	OP			
>>>>>UE internal measurement reporting criteria			UE internal measurement reporting criteria 10.3.7.80	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>UE positioning				

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>>>>LCS reporting quantity	OP		LCS reporting quantity 10.3.7.111	
>>>>CHOICE *report criteria*	OP			
>>>>>LCS reporting criteria			LCS reporting criteria 10.3.7.110	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting				
Radio Bearer Information Elements				
>Predefined configuration status information	OP		Predefined configuration status information 10.3.4.5a	
>Signalling RB information list	MP	1 to <maxSRBsetup>		For each signalling radio bearer
>>Signalling RB information	MP		Signalling RB information to setup 10.3.4.24	
>RAB information list	OP	1 to <maxRABsetup>		Information for each RAB
>>RAB information	MP		RAB information to setup 10.3.4.10	
Transport Channel Information Elements				
Uplink transport channels				
>UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
>UL transport channel information list	OP	1 to <MaxTrCH>		
>>UL transport channel information	MP		Added or reconfigured UL TrCH information 10.3.5.2	
>CHOICE *mode*	OP			
>>FDD				
>>>CPCH set ID	OP		CPCH set ID 10.3.5.5	
>>>Transport channel information for DRAC list	OP	1 to <MaxTrCH>		
>>>>DRAC static information	MP		DRAC static information 10.3.5.7	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>>TDD				(no data)
Downlink transport channels				
>DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	
>DL transport channel information list	OP	1 to <MaxTrCH>		
>>DL transport channel information	MP		Added or reconfigured DL TrCH information 10.3.5.1	
>Measurement report	OP		MEASUREMENT REPORT 10.2.17	
Other Information elements				
Failure cause	OP		Failure cause 10.3.3.13	Diagnostics information related to an earlier SRNC Relocation request (see NOTE 2 in 14.12.0a)
Protocol error information	CV-*ProtErr*		Protocol error information 10.3.8.12	

Multi Bound	Explanation
MaxNoOfMeas	Maximum number of active measurements, upper limit 16

Condition	Explanation
Setup	The IE is mandatory present when the IE Measurement command has the value "Setup", otherwise the IE is not needed.
Ciphering	The IE is mandatory present when the IE Ciphering Status has the value "started" and the ciphering counters need not be reinitialised, otherwise the IE is not needed.
IP	The IE is mandatory present when the IE Integrity protection status has the value "started" and the integrity protection counters need not be reinitialised, otherwise the IE is not needed.
ProtErr	This IE is mandatory present if the IE "Protocol error indicator" is included and has the value "TRUE". Otherwise it is not needed.
SRB1	The IE is mandatory present for RB1. Otherwise it is not needed.

3GPP TSG-RAN WG2 Meeting #31
Stockholm, Sweden, 19th – 23rd August 2002

Tdoc ⌘R2-022427

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **1674** ⌘ **rev** **-** ⌘ Current version: **3.11.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Reception of MEASUREMENT CONTROL in state CELL_FACH
Source:	⌘	TSG-RAN WG2
Work item code:	⌘	TEI
Date:	⌘	20/08/2002
Category:	⌘	**F**
Release:	⌘	R99

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)

Reason for change: ⌘

UE behaviour is unclear in the following situations:
1. Reception of MEASUREMENT CONTROL in CELL_FACH for intra, inter frequency and interRAT measurements
2. It is not clear what should be in the UE's CELL_INFO_LIST following a transition to CELL_FACH/PCH (on a return to CELL_DCH).
3. It is not clear that intra-frequency measurements cannot be requested as an additional measurement for reporting in CELL_FACH.

Summary of change: ⌘

1. The UE is no longer required to store MEASUREMENT CONTROL in CELL_FACH/PCH for intra, inter and interRAT measurements, but may reply with MEASUREMENT CONTROL FAILURE.
2. ~~The UE should always delete CELL_INFO_LIST and MEASUREMENT_IDENTITY (for intra / inter / inter-RAT measurements) on transition to CELL_FACH/PCH.~~Clarifications on updates to CELL_INFO_LIST and MEASUREMENT_IDENTITY are in R2-022295.
3. In section 8.7.6.1 it is clarified that if measurement validity is present, UE behaviour is unspecified if its value is not set to CELL_DCH.

Impact Analysis:
Impact is isolated only to intra, inter and interRAT measurements in (or transition to) CELL_FACH:
- Correction to a function where the specification was
  - Unclear

Would not affect implementations behaving as indicated in the CR, may affect implementations supporting the corrected functionality otherwise.

Consequences if not approved:	⌘	1. Requirement that the UE must support storage of MEASUREMENT CONTROL in CELL_FACH. 2. ~~Unclear what the contents of CELL_INFO_LIST are following CELL_DCH=>CELL_FACH=>CELL_DCH with no change of cell.~~ 3. Unclear that additional intra-frequency measurements cannot be configured in CELL_FACH.

Clauses affected:	⌘	8.4.1.3, ~~8.4.1.6.1, 8.4.1.6.2, 8.4.1.6.3, 8.4.1.6a, 8.4.1.7.1, 8.4.1.7.2, 8.4.1.8.1,~~ 8.6.7.1, ~~13.4.0~~

		Y	N		
Other specs affected:	⌘		X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	

Other comments:	⌘	

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.4.1 Measurement control

Figure 8.4.1-1: Measurement Control, normal case

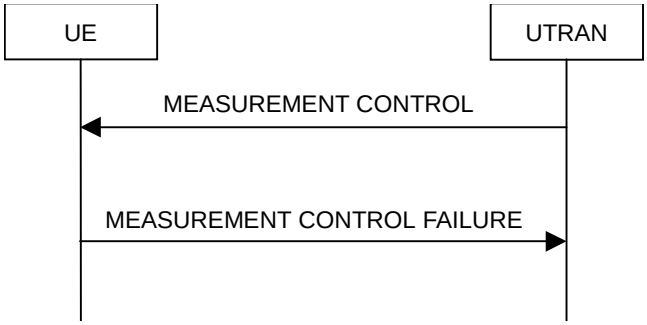

Figure 8.4.1-2: Measurement Control, failure case

8.4.1.1 General

The purpose of the measurement control procedure is to setup, modify or release a measurement in the UE.

8.4.1.2 Initiation

The UTRAN may request a measurement by the UE to be setup, modified or released with a MEASUREMENT CONTROL message, which is transmitted on the downlink DCCH using AM RLC.

The UTRAN should take the UE capabilities into account when a measurement is requested from the UE.

When a new measurement is created, UTRAN should set the IE "Measurement identity" to a value, which is not used for other measurements. UTRAN may use several "Measurement identity" for the same "Measurement type". In case of setting several "Measurement identity" within a same "Measurement type", the measurement object or the list of measurement objects can be set differently for each measurement with different "Measurement identity ".

When a current measurement is modified or released, UTRAN should set the IE "Measurement identity" to the value, which is used for the measurement being modified or released. In case of modifying IEs within a "Measurement identity", it is not needed for UTRAN to indicate the IEs other than modified IEs, and the UE continues to use the current values of the IEs that are not modified. UTRAN should not use "modify" to change the type of measurement stored in the variable MEASUREMENT_IDENTITY for a given measurement identity.

8.4.1.3 Reception of MEASUREMENT CONTROL by the UE

Upon reception of a MEASUREMENT CONTROL message the UE shall perform actions specified in subclause 8.6 unless otherwise specified below.

The UE shall:

1> read the IE "Measurement command";

1> if the IE "Measurement command" has the value "setup":

2> store this measurement in the variable MEASUREMENT_IDENTITY according to the IE "measurement identity", first releasing any previously stored measurement with that identity if that exists;

2> if the measurement type is quality, UE internal, intra-frequency, inter-frequency or inter-RAT

3> if the UE is in CELL_FACH state:

4> the UE behaviour is not specified.

~~3> otherwise~~

~~4> if the measurement is valid in the current RRC state of the UE:~~

~~5> begin measurements according to the stored control information for this measurement identity.~~

2> for measurement types "inter-RAT measurement" or "inter-frequency measurement":

3> if, according to its measurement capabilities, the UE requires compressed mode to perform that measurement type and a compressed mode pattern sequence with an appropriate measurement purpose is simultaneously activated by the IE "DPCH compressed mode status info"; or

3> if the IE "Inter-frequency cell info list" for that measurement identity is empty; or

3> if, according to its measurement capabilities, the UE does not require compressed mode to perform the measurements:

4> if the measurement is valid in the current RRC state of the UE:

5> begin measurements according to the stored control information for this measurement identity.

2> for measurement type "UE positioning measurement":

3> if the UE is in CELL_FACH state:

4> if IE "Positioning Method" is set to "OTDOA":

5> if IE "Method Type" is set to "UE assisted":

6> if IE "UE positioning OTDOA assistance data for UE assisted" is not included:

7> if System Information Block type 15.4 is broadcast:

8> read System Information Block type 15.4.

7> act as specified in subclause 8.6.7.19.2.

5> if IE "Method Type" is set to "UE based":

6> if IE "UE positioning OTDOA assistance data for UE based" is not included:

7> if System Information Block type 15.5 is broadcast:

8> read System Information Block type 15.5.

7> act as specified in subclause 8.6.7.19.2a.

2> for any other measurement type:

3> if the measurement is valid in the current RRC state of the UE:

4> begin measurements according to the stored control information for this measurement identity.

1> if the IE "Measurement command" has the value "modify":

2> for all IEs present in the MEASUREMENT CONTROL message:

3> if a measurement was stored in the variable MEASUREMENT_IDENTITY associated to the identity by the IE "measurement identity":

4> if the measurement type is quality, UE internal, intra-frequency, inter-frequency or inter-RAT

5> if the UE is in CELL_FACH state:

6> the UE behaviour is not specified

~~5> otherwise~~

~~6> if the measurement is valid in the current RRC state of the UE:~~

~~7> replace the corresponding information stored in variable MEASUREMENT_IDENTITY associated to the identity indicated by the IE "measurement identity" with the one received in the MEASUREMENT CONTROL message;~~

~~7> resume the measurements according to the new stored measurement control information.~~

4> for measurement types "inter-frequency measurement" that require measurements on a frequency other than the actually used frequency, or that require measurements on another RAT:

5> if, according to its measurement capabilities, the UE requires compressed mode to perform that measurement type and a compressed mode pattern sequence with an appropriate measurement purpose is simultaneously activated by the IE "DPCH compressed mode status info"; and

5> if the IE "Inter-frequency cell info list" for that measurement identity is empty; or

5> if, according to its measurement capabilities, the UE does not require compressed mode to perform the measurements:

6> replace the corresponding information stored in variable MEASUREMENT_IDENTITY associated with the identity indicated by the IE "measurement identity" with the one received in the MEASUREMENT CONTROL message;

6> resume the measurements according to the new stored measurement control information.

4> for any other measurement type:

5> replace the corresponding information stored in variable MEASUREMENT_IDENTITY associated to the identity indicated by the IE "measurement identity" with the one received in the MEASUREMENT CONTROL message;

5> resume the measurements according to the new stored measurement control information.

3> otherwise:

4> set the variable CONFIGURATION_INCOMPLETE to TRUE.

2> for all optional IEs that are not present in the MEASUREMENT CONTROL message:

3> leave the currently stored information elements unchanged in the variable MEASUREMENT_IDENTITY if not stated otherwise for that IE.

1> if the IE "measurement command" has the value "release":

2> terminate the measurement associated with the identity given in the IE "measurement identity";

2> clear all stored measurement control information related associated to this measurement identity in variable MEASUREMENT_IDENTITY.

1> if the IE "DPCH Compressed Mode Status Info" is present:

2> if, as the result of this message, UE will have more than one transmission gap pattern sequence with the same measurement purpose active (according to IE 'TGMP' in variable TGPS_IDENTITY):

3> set the variable CONFIGURATION_INCOMPLETE to TRUE.

2> if pattern sequence corresponding to IE "TGPSI" is already active (according to "Current TGPS Status Flag") in the variable TGPS_IDENTITY):

3> if the "TGPS Status Flag" in this message is set to "deactivate" for the corresponding pattern sequence:

4> deactivate this pattern sequence at the beginning of the frame indicated by IE "TGPS reconfiguration CFN" received in the message;

4> set the "Current TGPS Status Flag" for this pattern sequence in the variable TGPS_IDENTITY to "inactive".

3> if the "TGPS Status Flag" in this message is set to "activate" for the corresponding pattern sequence:

4> deactivate this pattern sequence at the beginning of the frame indicated by IE "TGPS reconfiguration CFN" received in the message.

NOTE: The temporary deactivation of pattern sequences for which the status flag is set to "activate" can be used by the network to align the timing of already active patterns with newly activated patterns.

2> after the time indicated by IE "TGPS reconfiguration CFN" has elapsed:

3> activate the pattern sequence corresponding to each IE "TGPSI" for which the "TGPS status flag" in this message is set to "activate" at the time indicated by IE "TGCFN"; and

3> set the corresponding "Current TGPS status flag" for this pattern sequence in the variable TGPS_IDENTITY to "active"; and

3> begin the inter-frequency and/or inter-RAT measurements corresponding to the pattern sequence measurement purpose of each activated pattern sequence;

3> if the values of IE "TGPS reconfiguration CFN" and IE "TGCFN" are equal:

4> start the concerned pattern sequence immediately at that CFN.

2> not alter pattern sequences stored in variable TGPS_IDENTITY, if the pattern sequence is not identitifed in IE "TGPSI" in the received message.

1> if the UE in CELL_FACH state receives a MEASUREMENT CONTROL message, which indicates the same measurement identity as that stored in the variable MEASUREMENT_IDENTITY:

2> update the stored information with the traffic volume measurement control information in variable MEASUREMENT_IDENTITY; and

2> refrain from updating the traffic volume measurement control information associated with this measurement identity in the variable MEASUREMENT_IDENTITY with the information received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11) until this measurement is explicitly released with another MEASUREMENT CONTROL message.

1> if the IE "Read SFN indicator" included in the IE "Cell info" of an inter-frequency cell is set to TRUE and the variable UE_CAPABILITY_TRANSFERRED has the DL "Measurement capability" for "FDD measurements" set to TRUE (the UE requires DL compressed mode in order to perform measurements on FDD):

2> set the variable CONFIGURATION_INCOMPLETE to TRUE.

1> clear the entry for the MEASUREMENT CONTROL message in the table "Accepted transactions" in the variable TRANSACTIONS;

1> if the UE "Additional Measurement List" is present:

2> if the received measurement configuration in this MEASUREMENT CONTROL message, or any measurement identities in the "Additional Measurement List" do not all have the same validity:

3> set the variable CONFIGURATION_INCOMPLETE to TRUE.

The UE may:

1> if the IE "Measurement command" has the value "setup":

2> for measurement type "UE positioning measurement":

3> if the UE is CELL_FACH state:

4> if IE "Positioning Method" is set to "GPS":

5> if IE "UE positioning GPS assistance data" is not included and variable UE_POSITIONING_GPS_DATA is empty:

6> if System Information Block types 15, 15.1, 15.2 and 15.3 are broadcast:

7> read System Information Block types 15, 15.1, 15.2 and 15.3.

6> act as specified in subclause 8.6.7.19.3.

1> and the procedure ends.

8.4.1.6 Measurements after transition from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH state

The UE shall apply the following rules for different measurement types after transiting from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH state:

8.4.1.6.1 Intra-frequency measurement

Upon transition from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH state, the UE shall:

1> stop intra-frequency type measurement reporting;

1> if the transition is due to a reconfiguration message which included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects a cell other than that indicated by this IE; or

1> if the transition is due to a reconfiguration message which does not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD); or

1> if the transition is not due to a reconfiguration message:

2> delete the measurements of type intra-frequency associated with the variable MEASUREMENT_IDENTITY.

1> begin monitoring cells listed in the IE "intra-frequency cell info list" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11).

8.4.1.6.2 Inter-frequency measurement

Upon transition from CELL_DCH to CELL_FACH/ CELL_PCH/URA_PCH state, the UE shall:

1> stop the inter-frequency type measurement reporting assigned in a MEASUREMENT CONTROL message;

1> if the transition is due to a reconfiguration message which included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects a cell other than that indicated by this IE; or

1> if the transition is due to a reconfiguration message which does not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD); or

1> if the transition is not due to a reconfiguration message:

2> delete the measurements of type inter-frequency associated with the variable MEASUREMENT_IDENTITY and delete the corresponding compressed mode pattern.

1> begin monitoring cells listed in the IE "inter-frequency cell info list" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11);

1> in CELL_FACH state:

2> perform measurements on other frequencies according to the IE "FACH measurement occasion info".

8.4.1.6.3 Inter-RAT measurement

Upon transition from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH state, the UE shall:

1> stop the inter-RAT type measurement reporting assigned in a MEASUREMENT CONTROL message;

1> delete the measurements of type inter-RAT associated with the variable MEASUREMENT_IDENTITY and delete the corresponding compressed mode pattern;

1> begin monitoring cells listed in the IE "inter-RAT cell info list" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11);

1> in CELL_FACH state:

2> perform measurements on other systems according to the IE "FACH measurement occasion info".

8.4.1.6a Actions in CELL_FACH/CELL_PCH/URA/PCH state upon cell re-selection

Upon cell reselection while in CELL_FACH/CELL_PCH/URA/PCH state and the cell reselection has occurred after the measurement control information was stored, the UE shall:

1> delete all measurements of type intra-frequency, inter-frequency, and inter-RAT associated with the variable MEASUREMENT_IDENTITY;

1> delete all compressed mode patterns associated with inter-frequency and inter-RAT measurements;

1> delete the traffic volume measurements that have not been set up or modified through a MEASUREMENT CONTROL message.

8.4.1.7 Measurements after transition from CELL_FACH to CELL_DCH state

The UE shall apply the following rules for different measurement types after transiting from CELL_FACH to CELL_DCH state:

8.4.1.7.1 Intra-frequency measurement

Upon transition from CELL_FACH to CELL_DCH state, the UE shall:

1> retrieve each set of measurement control information of measurement type "intra-frequency" stored in the variable MEASUREMENT_IDENTITY;

1> resume the measurement reporting;

1> if no intra-frequency measurements applicable to CELL_DCH state are stored in the variable MEASUREMENT_IDENTITY:

2> continue monitoring the list of neighbouring cells assigned in the IE "intra-frequency cell info list" in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11);

2> if the IE "intra-frequency measurement reporting criteria" was included in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11):

3> send the MEASUREMENT REPORT message when reporting criteria in IE "Reporting information for state CELL_DCH" are fulfilled.

8.4.1.7.2 Inter-frequency measurement

Upon transition from CELL_FACH to CELL_DCH state, the UE shall:

1> stop monitoring the list of cells assigned in the IE "inter-frequency cell info list" in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11);

1> retrieve each set of measurement control information of measurement type "inter-frequency" stored in the variable MEASUREMENT_IDENTITY; and

1> resume the measurement reporting.

8.4.1.8 Measurements after transition from idle mode to CELL_DCH state

The UE shall obey the following rules for different measurement types after transiting from idle mode to CELL_DCH state:

8.4.1.8.1 Intra-frequency measurement

Upon transition from idle mode to CELL_DCH state, the UE shall:

1> begin or continue monitoring the list of cells assigned in the IE "intra-frequency cell info list" in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11);

1> if the "intra-frequency measurement reporting criteria" IE was included in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11):

2> begin measurement reporting according to the IE.

8.6.7.1 Measurement validity

If the IE "measurement validity" for a given measurement has not been included in measurement control information, the UE shall delete the measurement associated with the variable MEASUREMENT_IDENTITY after the UE makes a transition to a new state.

If the IE "measurement validity" for this measurement has been included in measurement control information, the UE shall save the measurement associated with the variable MEASUREMENT_IDENTITY. The IE "UE state" defines the scope of resuming the measurement.

If the "UE state" is defined as "all states", the UE shall continue the measurement after making a transition to a new state. This scope is assigned for traffic volume measurement type and UE positioning measurement type. For traffic volume measurement type this scope can only be applied by the UE if the IE " traffic volume measurement object" has been included in measurement control information. If the IE " traffic volume measurement object" has not been included in measurement control information, the UE shall not save the measurement control information in variable MEASUREMENT_IDENTITY, but shall send a MEASUREMENT CONTROL FAILURE message to the UTRAN with failure cause "Configuration incomplete".

If the "UE state" is defined as "all states except CELL_DCH", the UE shall store the measurement to be resumed after a subsequent transition from CELL_DCH state to any of the other states in connected mode. This scope is assigned for traffic volume measurement type or UE positioning measurement type.

If the "UE state" is defined as "CELL_DCH", the UE shall store the measurement to be resumed after a subsequent transition to CELL_DCH state.

If the "measurement type" received in MEASUREMENT CONTROL is set to "inter-frequency measurement" or "intra-frequency measurement" and the IE "measurement validity" is present and is set to a value other than "CELL_DCH" the UE behaviour is unspecified.

13.4 UE variables

13.4.0 CELL_INFO_LIST

This variable contains cell information on intra-frequency, inter-frequency and inter-RAT cells, as received in messages System Information Block Type 11, System Information Block Type 12, and MEASUREMENT CONTROL.

The first position in Intra-frequency cell info list corresponds to Intra-frequency cell id 0, the second to Intra-frequency cell id 1, etc.

The first position in Inter-frequency cell info list corresponds to Inter-frequency cell id 0, the second to Inter-frequency cell id 1, etc.

The first position in Inter-RAT cell info list corresponds to Intra-frequency cell id 0, the second to Inter-RAT cell id 1, etc.

This variable shall be cleared at cell re-selection, when leaving UTRA RRC connected mode, when switched off as well as at selection of a new PLMN.

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Intra-frequency cell info	OP	1..<maxCellMeas>		
>CHOICE *position status*	MP			
>>Occupied				
>>>Cell info	MP		Cell info 10.3.7.2	
>>Vacant				No data
Inter-frequency cell info	OP	1..<maxCellMeas>		
>CHOICE *position status*	MP			
>>Occupied				
>>>Frequency info	MP		Frequency info 10.3.6.36	
>>>Cell info	MP		Cell info 10.3.7.2	
>>Vacant				No data
Inter-RAT cell info	OP	1..<maxCellMeas>		
>CHOICE *position status*	MP			
>>Occupied				
>>>CHOICE *Radio Access Technology*				
>>>>GSM				
>>>>>Cell selection and re-selection info	MP		Cell selection and re-selection info for SIB11/12 10.3.2.4	
>>>>>BSIC	MP		BSIC 10.3.8.2	
>>>>>BCCH ARFCN	MP		Integer	[43]

Information Element/Group name	Need	Multi	Type and reference	Semantics description
			(0..1023)	
>>>>IS-2000				
>>>>>System specific measurement info			enumerated (frequency, timeslot, colour code, output power, PN offset)	For IS-2000, use fields from TIA/EIA/IS-2000.5, subclause 3. 7.3.3.2.27, *Candidate Frequency Neighbour List Message*
>>Vacant				No data

13.4.00 Void

3GPP TSG-RAN WG2 Meeting #31
Stockholm, Sweden, 19th – 23rd August 2002

Tdoc ⌘R2-02428

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **1675** ⌘ **rev** **-** ⌘ Current version: **4.5.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Reception of MEASUREMENT CONTROL in state CELL_FACH
Source:	⌘	TSG-RAN WG2
Work item code:	⌘	TEI
Date:	⌘	22/08/2002
Category:	⌘	**A**
Release:	⌘	Rel-4

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 *(GSM Phase 2)*
R96 *(Release 1996)*
R97 *(Release 1997)*
R98 *(Release 1998)*
R99 *(Release 1999)*
Rel-4 *(Release 4)*
Rel-5 *(Release 5)*
Rel-6 *(Release 6)*

Reason for change: ⌘

UE behaviour is unclear in the following situations:
1. Reception of MEASUREMENT CONTROL in CELL_FACH for intra, inter frequency and interRAT measurements
2. It is not clear what should be in the UE's CELL_INFO_LIST following a transition to CELL_FACH/PCH (on a return to CELL_DCH).
3. It is not clear that intra-frequency measurements cannot be requested as an additional measurement for reporting in CELL_FACH.

Summary of change: ⌘

1. The UE is no longer required to store MEASUREMENT CONTROL in CELL_FACH/PCH for intra, inter and interRAT measurements, but may reply with MEASUREMENT CONTROL FAILURE.
2. ~~The UE should always delete CELL_INFO_LIST and MEASUREMENT_IDENTITY (for intra / inter / inter-RAT measurements) on transition to CELL_FACH/PCH.~~Clarifications on updates to CELL_INFO_LIST and MEASUREMENT_IDENTITY are in R2-022296.
3. In section 8.7.6.1 it is clarified that if measurement validity is present, UE behaviour is unspecified if its value is not set to CELL_DCH.

Impact Analysis:
Impact is isolated only to intra, inter and interRAT measurements in (or transition to) CELL_FACH:
- Correction to a function where the specification was
  - Unclear

Would not affect implementations behaving as indicated in the CR, may affect implementations supporting the corrected functionality otherwise.

Consequences if not approved:	⌘	1. Requirement that the UE must support storage of MEASUREMENT CONTROL in CELL_FACH. 2. ~~Unclear what the contents of CELL_INFO_LIST are following CELL_DCH=>CELL_FACH=>CELL_DCH with no change of cell.~~ 3. Unclear that additional intra-frequency measurements cannot be configured in CELL_FACH.

Clauses affected:	⌘	8.4.1.3, ~~8.4.1.6.1, 8.4.1.6.2, 8.4.1.6.3, 8.4.1.6a, 8.4.1.7.1, 8.4.1.7.2, 8.4.1.8.1,~~ 8.6.7.1 ~~13.4.0~~

		Y	N		
Other specs affected:	⌘		X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	

Other comments:	⌘	

How to create CRs using this form:

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1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.4.1 Measurement control

Figure 8.4.1-1: Measurement Control, normal case

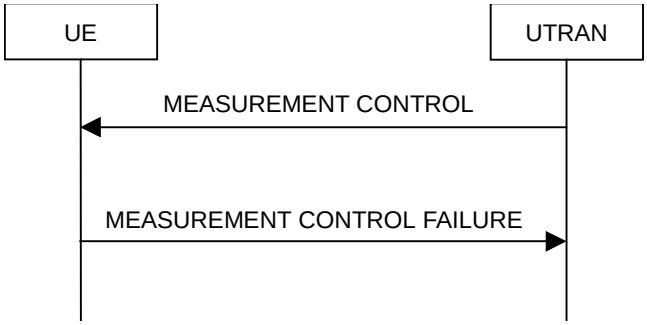

Figure 8.4.1-2: Measurement Control, failure case

8.4.1.1 General

The purpose of the measurement control procedure is to setup, modify or release a measurement in the UE.

8.4.1.2 Initiation

The UTRAN may request a measurement by the UE to be setup, modified or released with a MEASUREMENT CONTROL message, which is transmitted on the downlink DCCH using AM RLC.

The UTRAN should take the UE capabilities into account when a measurement is requested from the UE.

When a new measurement is created, UTRAN should set the IE "Measurement identity" to a value, which is not used for other measurements. UTRAN may use several "Measurement identity" for the same "Measurement type". In case of setting several "Measurement identity" within a same "Measurement type", the measurement object or the list of measurement objects can be set differently for each measurement with different "Measurement identity ".

When a current measurement is modified or released, UTRAN should set the IE "Measurement identity" to the value, which is used for the measurement being modified or released. In case of modifying IEs within a "Measurement identity", it is not needed for UTRAN to indicate the IEs other than modified IEs, and the UE continues to use the current values of the IEs that are not modified. UTRAN should not use "modify" to change the type of measurement stored in the variable MEASUREMENT_IDENTITY for a given measurement identity.

8.4.1.3 Reception of MEASUREMENT CONTROL by the UE

Upon reception of a MEASUREMENT CONTROL message the UE shall perform actions specified in subclause 8.6 unless otherwise specified below.

The UE shall:

1> read the IE "Measurement command";

1> if the IE "Measurement command" has the value "setup":

2> store this measurement in the variable MEASUREMENT_IDENTITY according to the IE "measurement identity", first releasing any previously stored measurement with that identity if that exists;

2> if the measurement type is quality, UE internal, intra-frequency, inter-frequency or inter-RAT

3> if the UE is in CELL_FACH state:

4> the UE behaviour is not specified.

~~3> otherwise~~

~~4> if the measurement is valid in the current RRC state of the UE:~~

~~5> begin measurements according to the stored control information for this measurement identity.~~

2> for measurement types "inter-RAT measurement" or "inter-frequency measurement":

3> if, according to its measurement capabilities, the UE requires compressed mode to perform that measurement type and a compressed mode pattern sequence with an appropriate measurement purpose is simultaneously activated by the IE "DPCH compressed mode status info"; or

3> if the IE "Inter-frequency cell info list" for that measurement identity is empty; or

3> if, according to its measurement capabilities, the UE does not require compressed mode to perform the measurements:

4> if the measurement is valid in the current RRC state of the UE:

5> begin measurements according to the stored control information for this measurement identity.

2> for measurement type "UE positioning measurement":

3> if the UE is in CELL_FACH state:

4> if IE "Positioning Method" is set to "OTDOA":

5> if IE "Method Type" is set to "UE assisted":

6> if IE "UE positioning OTDOA assistance data for UE assisted" is not included:

7> if System Information Block type 15.4 is broadcast:

8> read System Information Block type 15.4.

7> act as specified in subclause 8.6.7.19.2.

5> if IE "Method Type" is set to "UE based":

6> if IE "UE positioning OTDOA assistance data for UE based" is not included:

7> if System Information Block type 15.5 is broadcast:

8> read System Information Block type 15.5.

7> act as specified in subclause 8.6.7.19.2a.

2> for any other measurement type:

3> if the measurement is valid in the current RRC state of the UE:

4> begin measurements according to the stored control information for this measurement identity.

1> if the IE "Measurement command" has the value "modify":

2> for all IEs present in the MEASUREMENT CONTROL message:

3> if a measurement was stored in the variable MEASUREMENT_IDENTITY associated to the identity by the IE "measurement identity":

4> if the measurement type is quality, UE internal, intra-frequency, inter-frequency or inter-RAT

5> if the UE is in CELL_FACH state:

6> the UE behaviour is not specified.

~~5> otherwise~~

~~6> if the measurement is valid in the current RRC state of the UE:~~

~~7> replace the corresponding information stored in variable MEASUREMENT_IDENTITY associated to the identity indicated by the IE "measurement identity" with the one received in the MEASUREMENT CONTROL message;~~

~~7> resume the measurements according to the new stored measurement control information.~~

4> for measurement types "inter-frequency measurement" that require measurements on a frequency other than the actually used frequency, or that require measurements on another RAT:

5> if, according to its measurement capabilities, the UE requires compressed mode to perform that measurement type and a compressed mode pattern sequence with an appropriate measurement purpose is simultaneously activated by the IE "DPCH compressed mode status info"; and

5> if the IE "Inter-frequency cell info list" for that measurement identity is empty; or

5> if, according to its measurement capabilities, the UE does not require compressed mode to perform the measurements:

6> replace the corresponding information stored in variable MEASUREMENT_IDENTITY associated with the identity indicated by the IE "measurement identity" with the one received in the MEASUREMENT CONTROL message;

6> resume the measurements according to the new stored measurement control information.

4> for any other measurement type:

5> replace the corresponding information stored in variable MEASUREMENT_IDENTITY associated to the identity indicated by the IE "measurement identity" with the one received in the MEASUREMENT CONTROL message;

5> resume the measurements according to the new stored measurement control information.

3> otherwise:

4> set the variable CONFIGURATION_INCOMPLETE to TRUE.

2> for all optional IEs that are not present in the MEASUREMENT CONTROL message:

3> leave the currently stored information elements unchanged in the variable MEASUREMENT_IDENTITY if not stated otherwise for that IE.

1> if the IE "measurement command" has the value "release":

2> terminate the measurement associated with the identity given in the IE "measurement identity";

2> clear all stored measurement control information related associated to this measurement identity in variable MEASUREMENT_IDENTITY.

1> if the IE "DPCH Compressed Mode Status Info" is present:

2> if, as the result of this message, UE will have more than one transmission gap pattern sequence with the same measurement purpose active (according to IE 'TGMP' in variable TGPS_IDENTITY):

3> set the variable CONFIGURATION_INCOMPLETE to TRUE.

2> if pattern sequence corresponding to IE "TGPSI" is already active (according to "Current TGPS Status Flag") in the variable TGPS_IDENTITY):

3> if the "TGPS Status Flag" in this message is set to "deactivate" for the corresponding pattern sequence:

4> deactivate this pattern sequence at the beginning of the frame indicated by IE "TGPS reconfiguration CFN" received in the message;

4> set the "Current TGPS Status Flag" for this pattern sequence in the variable TGPS_IDENTITY to "inactive".

3> if the "TGPS Status Flag" in this message is set to "activate" for the corresponding pattern sequence:

4> deactivate this pattern sequence at the beginning of the frame indicated by IE "TGPS reconfiguration CFN" received in the message.

NOTE: The temporary deactivation of pattern sequences for which the status flag is set to "activate" can be used by the network to align the timing of already active patterns with newly activated patterns.

2> after the time indicated by IE "TGPS reconfiguration CFN" has elapsed:

3> activate the pattern sequence corresponding to each IE "TGPSI" for which the "TGPS status flag" in this message is set to "activate" at the time indicated by IE "TGCFN"; and

3> set the corresponding "Current TGPS status flag" for this pattern sequence in the variable TGPS_IDENTITY to "active"; and

3> begin the inter-frequency and/or inter-RAT measurements corresponding to the pattern sequence measurement purpose of each activated pattern sequence;

3> if the values of IE "TGPS reconfiguration CFN" and IE "TGCFN" are equal:

4> start the concerned pattern sequence immediately at that CFN.

2> not alter pattern sequences stored in variable TGPS_IDENTITY, if the pattern sequence is not identitifed in IE "TGPSI" in the received message.

1> if the UE in CELL_FACH state receives a MEASUREMENT CONTROL message, which indicates the same measurement identity as that stored in the variable MEASUREMENT_IDENTITY:

2> update the stored information with the traffic volume measurement control information in variable MEASUREMENT_IDENTITY; and

2> refrain from updating the traffic volume measurement control information associated with this measurement identity in the variable MEASUREMENT_IDENTITY with the information received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11) until this measurement is explicitly released with another MEASUREMENT CONTROL message.

1> if the IE "Read SFN indicator" included in the IE "Cell info" of an inter-frequency cell is set to TRUE and the variable UE_CAPABILITY_TRANSFERRED has the DL "Measurement capability" for "FDD measurements" set to TRUE (the UE requires DL compressed mode in order to perform measurements on FDD):

2> set the variable CONFIGURATION_INCOMPLETE to TRUE.

1> clear the entry for the MEASUREMENT CONTROL message in the table "Accepted transactions" in the variable TRANSACTIONS;

1> if the UE "Additional Measurement List" is present:

2> if the received measurement configuration in this MEASUREMENT CONTROL message, or any measurement identities in the "Additional Measurement List" do not all have the same validity:

3> set the variable CONFIGURATION_INCOMPLETE to TRUE.

The UE may:

1> if the IE "Measurement command" has the value "setup":

2> for measurement type "UE positioning measurement":

3> if the UE is CELL_FACH state:

4> if IE "Positioning Method" is set to "GPS":

5> if IE "UE positioning GPS assistance data" is not included and variable UE_POSITIONING_GPS_DATA is empty:

6> if System Information Block types 15, 15.1, 15.2 and 15.3 are broadcast:

7> read System Information Block types 15, 15.1, 15.2 and 15.3.

6> act as specified in subclause 8.6.7.19.3.

1> and the procedure ends.

8.4.1.6 Measurements after transition from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH state

The UE shall apply the following rules for different measurement types after transiting from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH state:

8.4.1.6.1 Intra-frequency measurement

Upon transition from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH state, the UE shall:

1> stop intra-frequency type measurement reporting;

1> if the transition is due to a reconfiguration message which included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects a cell other than that indicated by this IE; or

1> if the transition is due to a reconfiguration message which does not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD); or

1> if the transition is not due to a reconfiguration message:

2> delete the measurements of type intra-frequency associated with the variable MEASUREMENT_IDENTITY.

1> begin monitoring cells listed in the IE "intra-frequency cell info list" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11).

8.4.1.6.2 Inter-frequency measurement

Upon transition from CELL_DCH to CELL_FACH/ CELL_PCH/URA_PCH state, the UE shall:

1> stop the inter-frequency type measurement reporting assigned in a MEASUREMENT CONTROL message;

1> if the transition is due to a reconfiguration message which included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects a cell other than that indicated by this IE; or

1> if the transition is due to a reconfiguration message which does not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD); or

1> if the transition is not due to a reconfiguration message:

2> delete the measurements of type inter-frequency associated with the variable MEASUREMENT_IDENTITY and delete the corresponding compressed mode pattern.

1> begin monitoring cells listed in the IE "inter-frequency cell info list" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11);

1> in CELL_FACH state:

2> perform measurements on other frequencies according to the IE "FACH measurement occasion info".

8.4.1.6.3 Inter-RAT measurement

Upon transition from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH state, the UE shall:

1> stop the inter-RAT type measurement reporting assigned in a MEASUREMENT CONTROL message;

1> delete the measurements of type inter-RAT associated with the variable MEASUREMENT_IDENTITY and delete the corresponding compressed mode pattern;

1> begin monitoring cells listed in the IE "inter-RAT cell info list" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11);

1> in CELL_FACH state:

2> perform measurements on other systems according to the IE "FACH measurement occasion info".

8.4.1.6a Actions in CELL_FACH/CELL_PCH/URA/PCH state upon cell re-selection

Upon cell reselection while in CELL_FACH/CELL_PCH/URA/PCH state and the cell reselection has occurred after the measurement control information was stored, the UE shall:

1> delete all measurements of type intra-frequency, inter-frequency, and inter-RAT associated with the variable MEASUREMENT_IDENTITY;

1> delete all compressed mode patterns associated with inter-frequency and inter-RAT measurements;

1> delete the traffic volume measurements that have not been set up or modified through a MEASUREMENT CONTROL message.

8.4.1.7 Measurements after transition from CELL_FACH to CELL_DCH state

The UE shall apply the following rules for different measurement types after transiting from CELL_FACH to CELL_DCH state:

8.4.1.7.1 Intra-frequency measurement

Upon transition from CELL_FACH to CELL_DCH state, the UE shall:

1> retrieve each set of measurement control information of measurement type "intra-frequency" stored in the variable MEASUREMENT_IDENTITY;

1> resume the measurement reporting;

1> if no intra-frequency measurements applicable to CELL_DCH state are stored in the variable MEASUREMENT_IDENTITY:

2> continue monitoring the list of neighbouring cells assigned in the IE "intra-frequency cell info list" in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11);

2> if the IE "intra-frequency measurement reporting criteria" was included in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11):

3> send the MEASUREMENT REPORT message when reporting criteria in IE "Reporting information for state CELL_DCH" are fulfilled.

8.4.1.7.2 Inter-frequency measurement

Upon transition from CELL_FACH to CELL_DCH state, the UE shall:

1> stop monitoring the list of cells assigned in the IE "inter-frequency cell info list" in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11);

1> retrieve each set of measurement control information of measurement type "inter-frequency" stored in the variable MEASUREMENT_IDENTITY; and

1> resume the measurement reporting.

8.4.1.8 Measurements after transition from idle mode to CELL_DCH state

The UE shall obey the following rules for different measurement types after transiting from idle mode to CELL_DCH state:

8.4.1.8.1 Intra-frequency measurement

Upon transition from idle mode to CELL_DCH state, the UE shall:

1> begin or continue monitoring the list of cells assigned in the IE "intra-frequency cell info list" in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11);

1> if the "intra-frequency measurement reporting criteria" IE was included in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11):

2> begin measurement reporting according to the IE.

8.6.7.1 Measurement validity

If the IE "measurement validity" for a given measurement has not been included in measurement control information, the UE shall delete the measurement associated with the variable MEASUREMENT_IDENTITY after the UE makes a transition to a new state.

If the IE "measurement validity" for this measurement has been included in measurement control information, the UE shall save the measurement associated with the variable MEASUREMENT_IDENTITY. The IE "UE state" defines the scope of resuming the measurement.

If the "UE state" is defined as "all states", the UE shall continue the measurement after making a transition to a new state. This scope is assigned for traffic volume measurement type and UE positioning measurement type. For traffic volume measurement type this scope can only be applied by the UE if the IE " traffic volume measurement object" has been included in measurement control information. If the IE " traffic volume measurement object" has not been included in measurement control information, the UE shall not save the measurement control information in variable MEASUREMENT_IDENTITY, but shall send a MEASUREMENT CONTROL FAILURE message to the UTRAN with failure cause "Configuration incomplete".

If the "UE state" is defined as "all states except CELL_DCH", the UE shall store the measurement to be resumed after a subsequent transition from CELL_DCH state to any of the other states in connected mode. This scope is assigned for traffic volume measurement type or UE positioning measurement type.

If the "UE state" is defined as "CELL_DCH", the UE shall store the measurement to be resumed after a subsequent transition to CELL_DCH state.

If the "measurement type" received in MEASUREMENT CONTROL is set to "inter-frequency measurement" or "intra-frequency measurement" and the IE "measurement validity" is present and is set to a value other than "CELL_DCH" the UE behaviour is unspecified.

13.4.0 CELL_INFO_LIST

This variable contains cell information on intra-frequency, inter-frequency and inter-RAT cells, as received in messages System Information Block Type 11, System Information Block Type 12, and MEASUREMENT CONTROL.

The first position in Intra-frequency cell info list corresponds to Intra-frequency cell id 0, the second to Intra-frequency cell id 1, etc.

The first position in Inter-frequency cell info list corresponds to Inter-frequency cell id 0, the second to Inter-frequency cell id 1, etc.

The first position in Inter-RAT cell info list corresponds to Intra-frequency cell id 0, the second to Inter-RAT cell id 1, etc.

This variable shall be cleared at cell re-selection, when leaving UTRA RRC connected mode, when switched off as well as at selection of a new PLMN.

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Intra-frequency cell info	OP	1..<maxCellMeas>		
>CHOICE *position status*	MP			
>>Occupied				
>>>Cell info	MP		Cell info 10.3.7.2	
>>Vacant				No data
Inter-frequency cell info	OP	1..<maxCellMeas>		
>CHOICE *position status*	MP			
>>Occupied				
>>>Frequency info	MP		Frequency info 10.3.6.36	
>>>Cell info	MP		Cell info 10.3.7.2	
>>Vacant				No data
Inter-RAT cell info	OP	1..<maxCellMeas>		
>CHOICE *position status*	MP			
>>Occupied				
>>>CHOICE *Radio Access Technology*				
>>>>GSM				
>>>>>Cell selection and re-selection info	MP		Cell selection and re-selection info for SIB11/12 10.3.2.4	
>>>>>BSIC	MP		BSIC 10.3.8.2	
>>>>>BCCH ARFCN	MP		Integer (0..1023)	[43]
>>>>IS-2000				
>>>>>System specific measurement info			enumerated (frequency,	For IS-2000, use fields from TIA/EIA/IS-2000.5,

Information Element/Group name	Need	Multi	Type and reference	Semantics description
			timeslot, colour code, output power, PN offset)	subclause 3. 7.3.3.2.27, *Candidate Frequency Neighbour List Message*
>>Vacant				No data

13.4.00 Void

3GPP TSG-RAN WG2 Meeting #31
Stockholm, Sweden, 19th – 23rd August 2002

Tdoc ⌘R2-022429

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **1676** ⌘ **rev** **-** ⌘ Current version: **5.1.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Reception of MEASUREMENT CONTROL in state CELL_FACH
Source:	⌘	TSG-RAN WG2
Work item code:	⌘	TEI
Date:	⌘	22/08/2002
Category:	⌘	**A**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)

Reason for change: ⌘

UE behaviour is unclear in the following situations:
1. Reception of MEASUREMENT CONTROL in CELL_FACH for intra, inter frequency and interRAT measurements
2. It is not clear what should be in the UE's CELL_INFO_LIST following a transition to CELL_FACH/PCH (on a return to CELL_DCH).
3. It is not clear that intra-frequency measurements cannot be requested as an additional measurement for reporting in CELL_FACH.

Summary of change: ⌘

1. The UE is no longer required to store MEASUREMENT CONTROL in CELL_FACH/PCH for intra, inter and interRAT measurements, but may reply with MEASUREMENT CONTROL FAILURE.
2. ~~The UE should always delete CELL_INFO_LIST and MEASUREMENT_IDENTITY (for intra / inter / inter-RAT measurements) on transition to CELL_FACH/PCH.~~ Clarifications on updates to CELL_INFO_LIST and MEASUREMENT_IDENTITY are in R2-022295.
3. In section 8.7.6.1 it is clarified that if measurement validity is present, UE behaviour is unspecified if its value is not set to CELL_DCH.

Impact Analysis:
Impact is isolated only to intra, inter and interRAT measurements in (or transition to) CELL_FACH:
- Correction to a function where the specification was
  - o Unclear

Would not affect implementations behaving as indicated in the CR, may affect implementations supporting the corrected functionality otherwise.

Consequences if not approved:	⌘	1. Requirement that the UE must support storage of MEASUREMENT CONTROL in CELL_FACH. 2. ~~Unclear what the contents of CELL_INFO_LIST are following CELL_DCH=>CELL_FACH=>CELL_DCH with no change of cell.~~ 3. Unclear that additional intra-frequency measurements cannot be configured in CELL_FACH.

Clauses affected:	⌘	8.4.1.3, ~~8.4.1.6.1, 8.4.1.6.2, 8.4.1.6.3, 8.4.1.6a, 8.4.1.7.1, 8.4.1.7.2, 8.4.1.8.1,~~ 8.6.7.1~~13.4.0~~

		Y	N		
Other specs affected:	⌘		X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.4.1 Measurement control

Figure 8.4.1-1: Measurement Control, normal case

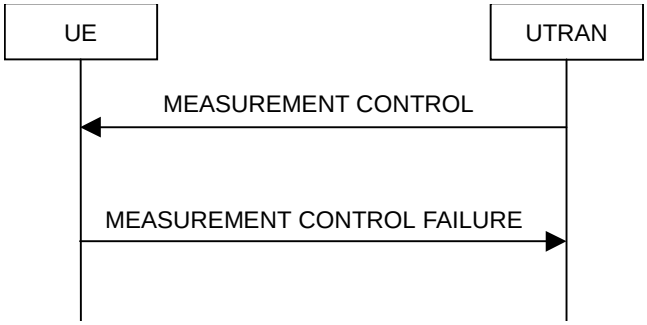

Figure 8.4.1-2: Measurement Control, failure case

8.4.1.1 General

The purpose of the measurement control procedure is to setup, modify or release a measurement in the UE.

8.4.1.2 Initiation

The UTRAN may request a measurement by the UE to be setup, modified or released with a MEASUREMENT CONTROL message, which is transmitted on the downlink DCCH using AM RLC.

The UTRAN should take the UE capabilities into account when a measurement is requested from the UE.

When a new measurement is created, UTRAN should set the IE "Measurement identity" to a value, which is not used for other measurements. UTRAN may use several "Measurement identity" for the same "Measurement type". In case of setting several "Measurement identity" within a same "Measurement type", the measurement object or the list of measurement objects can be set differently for each measurement with different "Measurement identity ".

When a current measurement is modified or released, UTRAN should set the IE "Measurement identity" to the value, which is used for the measurement being modified or released. In case of modifying IEs within a "Measurement identity", it is not needed for UTRAN to indicate the IEs other than modified IEs, and the UE continues to use the current values of the IEs that are not modified. UTRAN should not use "modify" to change the type of measurement stored in the variable MEASUREMENT_IDENTITY for a given measurement identity.

8.4.1.3 Reception of MEASUREMENT CONTROL by the UE

Upon reception of a MEASUREMENT CONTROL message the UE shall perform actions specified in subclause 8.6 unless otherwise specified below.

The UE shall:

1> read the IE "Measurement command";

1> if the IE "Measurement command" has the value "setup":

2> store this measurement in the variable MEASUREMENT_IDENTITY according to the IE "measurement identity", first releasing any previously stored measurement with that identity if that exists;

2> if the measurement type is quality, UE internal, intra-frequency, inter-frequency or inter-RAT

3> if the UE is in CELL_FACH state:

4> the UE behaviour is not specified.

~~3> otherwise~~

~~4> if the measurement is valid in the current RRC state of the UE:~~

~~5> begin measurements according to the stored control information for this measurement identity.~~

2> for measurement types "inter-RAT measurement" or "inter-frequency measurement":

3> if, according to its measurement capabilities, the UE requires compressed mode to perform that measurement type and a compressed mode pattern sequence with an appropriate measurement purpose is simultaneously activated by the IE "DPCH compressed mode status info"; or

3> if the IE "Inter-frequency cell info list" for that measurement identity is empty; or

3> if, according to its measurement capabilities, the UE does not require compressed mode to perform the measurements:

4> if the measurement is valid in the current RRC state of the UE:

5> begin measurements according to the stored control information for this measurement identity.

2> for measurement type "UE positioning measurement":

3> if the UE is in CELL_FACH state:

4> if IE "Positioning Method" is set to "OTDOA":

5> if IE "Method Type" is set to "UE assisted":

6> if IE "UE positioning OTDOA assistance data for UE assisted" is not included:

7> if System Information Block type 15.4 is broadcast:

8> read System Information Block type 15.4.

7> act as specified in subclause 8.6.7.19.2.

5> if IE "Method Type" is set to "UE based":

6> if IE "UE positioning OTDOA assistance data for UE based" is not included:

7> if System Information Block type 15.5 is broadcast:

8> read System Information Block type 15.5.

7> act as specified in subclause 8.6.7.19.2a.

2> for any other measurement type:

3> if the measurement is valid in the current RRC state of the UE:

4> begin measurements according to the stored control information for this measurement identity.

1> if the IE "Measurement command" has the value "modify":

2> for all IEs present in the MEASUREMENT CONTROL message:

3> if a measurement was stored in the variable MEASUREMENT_IDENTITY associated to the identity by the IE "measurement identity":

4> if the measurement type is quality, UE internal, intra-frequency, inter-frequency or inter-RAT

5> if the UE is in CELL_FACH state:

6> the UE behaviour is not specified.

~~5> otherwise~~

~~6> if the measurement is valid in the current RRC state of the UE:~~

~~7> replace the corresponding information stored in variable MEASUREMENT_IDENTITY associated to the identity indicated by the IE "measurement identity" with the one received in the MEASUREMENT CONTROL message;~~

~~7> resume the measurements according to the new stored measurement control information.~~

4> for measurement types "inter-frequency measurement" that require measurements on a frequency other than the actually used frequency, or that require measurements on another RAT:

5> if, according to its measurement capabilities, the UE requires compressed mode to perform that measurement type and a compressed mode pattern sequence with an appropriate measurement purpose is simultaneously activated by the IE "DPCH compressed mode status info"; and

5> if the IE "Inter-frequency cell info list" for that measurement identity is empty; or

5> if, according to its measurement capabilities, the UE does not require compressed mode to perform the measurements:

6> replace the corresponding information stored in variable MEASUREMENT_IDENTITY associated with the identity indicated by the IE "measurement identity" with the one received in the MEASUREMENT CONTROL message;

6> resume the measurements according to the new stored measurement control information.

4> for any other measurement type:

5> replace the corresponding information stored in variable MEASUREMENT_IDENTITY associated to the identity indicated by the IE "measurement identity" with the one received in the MEASUREMENT CONTROL message;

5> resume the measurements according to the new stored measurement control information.

3> otherwise:

4> set the variable CONFIGURATION_INCOMPLETE to TRUE.

2> for all optional IEs that are not present in the MEASUREMENT CONTROL message:

3> leave the currently stored information elements unchanged in the variable MEASUREMENT_IDENTITY if not stated otherwise for that IE.

1> if the IE "measurement command" has the value "release":

2> terminate the measurement associated with the identity given in the IE "measurement identity";

2> clear all stored measurement control information related associated to this measurement identity in variable MEASUREMENT_IDENTITY.

1> if the IE "DPCH Compressed Mode Status Info" is present:

2> if, as the result of this message, UE will have more than one transmission gap pattern sequence with the same measurement purpose active (according to IE 'TGMP' in variable TGPS_IDENTITY):

3> set the variable CONFIGURATION_INCOMPLETE to TRUE.

2> if pattern sequence corresponding to IE "TGPSI" is already active (according to "Current TGPS Status Flag") in the variable TGPS_IDENTITY):

3> if the "TGPS Status Flag" in this message is set to "deactivate" for the corresponding pattern sequence:

4> deactivate this pattern sequence at the beginning of the frame indicated by IE "TGPS reconfiguration CFN" received in the message;

4> set the "Current TGPS Status Flag" for this pattern sequence in the variable TGPS_IDENTITY to "inactive".

3> if the "TGPS Status Flag" in this message is set to "activate" for the corresponding pattern sequence:

4> deactivate this pattern sequence at the beginning of the frame indicated by IE "TGPS reconfiguration CFN" received in the message.

NOTE: The temporary deactivation of pattern sequences for which the status flag is set to "activate" can be used by the network to align the timing of already active patterns with newly activated patterns.

2> after the time indicated by IE "TGPS reconfiguration CFN" has elapsed:

3> activate the pattern sequence corresponding to each IE "TGPSI" for which the "TGPS status flag" in this message is set to "activate" at the time indicated by IE "TGCFN"; and

3> set the corresponding "Current TGPS status flag" for this pattern sequence in the variable TGPS_IDENTITY to "active"; and

3> begin the inter-frequency and/or inter-RAT measurements corresponding to the pattern sequence measurement purpose of each activated pattern sequence;

3> if the values of IE "TGPS reconfiguration CFN" and IE "TGCFN" are equal:

4> start the concerned pattern sequence immediately at that CFN.

2> not alter pattern sequences stored in variable TGPS_IDENTITY, if the pattern sequence is not identitifed in IE "TGPSI" in the received message.

1> if the UE in CELL_FACH state receives a MEASUREMENT CONTROL message, which indicates the same measurement identity as that stored in the variable MEASUREMENT_IDENTITY:

2> update the stored information with the traffic volume measurement control information in variable MEASUREMENT_IDENTITY; and

2> refrain from updating the traffic volume measurement control information associated with this measurement identity in the variable MEASUREMENT_IDENTITY with the information received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11) until this measurement is explicitly released with another MEASUREMENT CONTROL message.

1> if the IE "Read SFN indicator" included in the IE "Cell info" of an inter-frequency cell is set to TRUE and the variable UE_CAPABILITY_TRANSFERRED has the DL "Measurement capability" for "FDD measurements" set to TRUE (the UE requires DL compressed mode in order to perform measurements on FDD):

2> set the variable CONFIGURATION_INCOMPLETE to TRUE.

1> clear the entry for the MEASUREMENT CONTROL message in the table "Accepted transactions" in the variable TRANSACTIONS;

1> if the UE "Additional Measurement List" is present:

2> if the received measurement configuration in this MEASUREMENT CONTROL message, or any measurement identities in the "Additional Measurement List" do not all have the same validity:

3> set the variable CONFIGURATION_INCOMPLETE to TRUE.

The UE may:

1> if the IE "Measurement command" has the value "setup":

2> for measurement type "UE positioning measurement":

3> if the UE is CELL_FACH state:

4> if IE "Positioning Method" is set to "GPS":

5> if IE "UE positioning GPS assistance data" is not included and variable UE_POSITIONING_GPS_DATA is empty:

6> if System Information Block types 15, 15.1, 15.2 and 15.3 are broadcast:

7> read System Information Block types 15, 15.1, 15.2 and 15.3.

6> act as specified in subclause 8.6.7.19.3.

1> and the procedure ends.

8.4.1.6 Measurements after transition from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH state

The UE shall apply the following rules for different measurement types after transiting from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH state:

8.4.1.6.1 Intra-frequency measurement

Upon transition from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH state, the UE shall:

1> stop intra-frequency type measurement reporting;

1> if the transition is due to a reconfiguration message which included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects a cell other than that indicated by this IE; or

1> if the transition is due to a reconfiguration message which does not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD); or

1> if the transition is not due to a reconfiguration message:

2> delete the measurements of type intra-frequency associated with the variable MEASUREMENT_IDENTITY.

1> begin monitoring cells listed in the IE "intra-frequency cell info list" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11).

8.4.1.6.2 Inter-frequency measurement

Upon transition from CELL_DCH to CELL_FACH/ CELL_PCH/URA_PCH state, the UE shall:

1> stop the inter-frequency type measurement reporting assigned in a MEASUREMENT CONTROL message;

1> if the transition is due to a reconfiguration message which included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects a cell other than that indicated by this IE; or

1> if the transition is due to a reconfiguration message which does not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD); or

1> if the transition is not due to a reconfiguration message:

2> delete the measurements of type inter-frequency associated with the variable MEASUREMENT_IDENTITY and delete the corresponding compressed mode pattern.

1> begin monitoring cells listed in the IE "inter-frequency cell info list" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11);

1> in CELL_FACH state:

2> perform measurements on other frequencies according to the IE "FACH measurement occasion info".

8.4.1.6.3 Inter-RAT measurement

Upon transition from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH state, the UE shall:

1> stop the inter-RAT type measurement reporting assigned in a MEASUREMENT CONTROL message;

1> delete the measurements of type inter-RAT associated with the variable MEASUREMENT_IDENTITY and delete the corresponding compressed mode pattern;

1> begin monitoring cells listed in the IE "inter-RAT cell info list" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11);

1> in CELL_FACH state:

2> perform measurements on other systems according to the IE "FACH measurement occasion info".

8.4.1.6a Actions in CELL_FACH/CELL_PCH/URA/PCH state upon cell re-selection

Upon cell reselection while in CELL_FACH/CELL_PCH/URA/PCH state and the cell reselection has occurred after the measurement control information was stored, the UE shall:

1> delete all measurements of type intra-frequency, inter-frequency, and inter-RAT associated with the variable MEASUREMENT_IDENTITY;

1> delete all compressed mode patterns associated with inter-frequency and inter-RAT measurements;

1> delete the traffic volume measurements that have not been set up or modified through a MEASUREMENT CONTROL message.

8.4.1.7 Measurements after transition from CELL_FACH to CELL_DCH state

The UE shall apply the following rules for different measurement types after transiting from CELL_FACH to CELL_DCH state:

8.4.1.7.1 Intra-frequency measurement

Upon transition from CELL_FACH to CELL_DCH state, the UE shall:

1> retrieve each set of measurement control information of measurement type "intra-frequency" stored in the variable MEASUREMENT_IDENTITY;

1> resume the measurement reporting;

1> if no intra-frequency measurements applicable to CELL_DCH state are stored in the variable MEASUREMENT_IDENTITY:

2> continue monitoring the list of neighbouring cells assigned in the IE "intra-frequency cell info list" in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11);

2> if the IE "intra-frequency measurement reporting criteria" was included in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11):

3> send the MEASUREMENT REPORT message when reporting criteria in IE "Reporting information for state CELL_DCH" are fulfilled.

8.4.1.7.2 Inter-frequency measurement

Upon transition from CELL_FACH to CELL_DCH state, the UE shall:

1> stop monitoring the list of cells assigned in the IE "inter-frequency cell info list" in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11);

1> retrieve each set of measurement control information of measurement type "inter-frequency" stored in the variable MEASUREMENT_IDENTITY; and

1> resume the measurement reporting.

8.6.7.1 Measurement validity

If the IE "measurement validity" for a given measurement has not been included in measurement control information, the UE shall delete the measurement associated with the variable MEASUREMENT_IDENTITY after the UE makes a transition to a new state.

If the IE "measurement validity" for this measurement has been included in measurement control information, the UE shall save the measurement associated with the variable MEASUREMENT_IDENTITY. The IE "UE state" defines the scope of resuming the measurement.

If the "UE state" is defined as "all states", the UE shall continue the measurement after making a transition to a new state. This scope is assigned for traffic volume measurement type and UE positioning measurement type. For traffic volume measurement type this scope can only be applied by the UE if the IE " traffic volume measurement object" has been included in measurement control information. If the IE " traffic volume measurement object" has not been included in measurement control information, the UE shall not save the measurement control information in variable MEASUREMENT_IDENTITY, but shall send a MEASUREMENT CONTROL FAILURE message to the UTRAN with failure cause "Configuration incomplete".

If the "UE state" is defined as "all states except CELL_DCH", the UE shall store the measurement to be resumed after a subsequent transition from CELL_DCH state to any of the other states in connected mode. This scope is assigned for traffic volume measurement type or UE positioning measurement type.

If the "UE state" is defined as "CELL_DCH", the UE shall store the measurement to be resumed after a subsequent transition to CELL_DCH state.

If the "measurement type" received in MEASUREMENT CONTROL is set to "inter-frequency measurement" or "intra-frequency measurement" and the IE "measurement validity" is present and is set to a value other than "CELL_DCH" the UE behaviour is unspecified.

8.4.1.8 Measurements after transition from idle mode to CELL_DCH state

The UE shall obey the following rules for different measurement types after transiting from idle mode to CELL_DCH state:

8.4.1.8.1 Intra-frequency measurement

Upon transition from idle mode to CELL_DCH state, the UE shall:

1> begin or continue monitoring the list of cells assigned in the IE "intra-frequency cell info list" in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11);

1> if the "intra-frequency measurement reporting criteria" IE was included in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11):

2> begin measurement reporting according to the IE.

13.4 UE variables

13.4.0 CELL_INFO_LIST

This variable contains cell information on intra-frequency, inter-frequency and inter-RAT cells, as received in messages System Information Block Type 11, System Information Block Type 12, and MEASUREMENT CONTROL.

The first position in Intra-frequency cell info list corresponds to Intra-frequency cell id 0, the second to Intra-frequency cell id 1, etc.

The first position in Inter-frequency cell info list corresponds to Inter-frequency cell id 0, the second to Inter-frequency cell id 1, etc.

The first position in Inter-RAT cell info list corresponds to Intra-frequency cell id 0, the second to Inter-RAT cell id 1, etc.

This variable shall be cleared at cell re-selection, when leaving UTRA RRC connected mode, when switched off as well as at selection of a new PLMN.

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Intra-frequency cell info	OP	1..<maxCellMeas>		
>CHOICE *position status*	MP			
>>Occupied				
>>>Cell info	MP		Cell info 10.3.7.2	
>>Vacant				No data
Inter-frequency cell info	OP	1..<maxCellMeas>		
>CHOICE *position status*	MP			
>>Occupied				
>>>Frequency info	MP		Frequency info 10.3.6.36	
>>>Cell info	MP		Cell info 10.3.7.2	
>>Vacant				No data
Inter-RAT cell info	OP	1..<maxCellMeas>		
>CHOICE *position status*	MP			
>>Occupied				
>>>CHOICE *Radio Access Technology*				
>>>>GSM				
>>>>>Cell selection and re-selection info	MP		Cell selection and re-selection info for SIB11/12 10.3.2.4	
>>>>>BSIC	MP		BSIC 10.3.8.2	
>>>>>BCCH ARFCN	MP		Integer (0..1023)	[43]
>>>>IS-2000				
>>>>>System specific measurement info			enumerated (frequency,	For IS-2000, use fields from TIA/EIA/IS-2000.5,

Information Element/Group name	Need	Multi	Type and reference	Semantics description
			timeslot, colour code, output power, PN offset)	subclause 3. 7.3.3.2.27, *Candidate Frequency Neighbour List Message*
>>Vacant				No data

13.4.00 Void

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CHANGE REQUEST

⌘ **25.331** **CR** **1677** ⌘ **rev** **-** ⌘ Current version: **3.11.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Unsupported configuration
Source:	⌘	TSG-RAN WG2
Work item code:	⌘	TEI
Date:	⌘	19 August 2002
Category:	⌘	**F**
Release:	⌘	R99

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)

Reason for change:	⌘	It is not clearly specified when the UE should use the failure case "unsupported configuration"
Summary of change:	⌘	It is clarified that the UE should consider as unsupported each configuration that is not according to the UE capabilities. **Isolated Impact Change Analysis.** This change clarifies the failure case "unsupported configuration". If the does not support this change, it may use a different failure case, which in turn could impair UTRAN ability to resolve the failure case. It would not affect implementations behaving like indicated in the CR, it would affect implementations supporting the corrected functionality otherwise.
Consequences if not approved:	⌘	UE behaviour would be unspecified in many failure cases.

Clauses affected:	⌘	8.5.x (new)			
Other specs affected:	⌘	**Y** / **N**	Other core specifications	⌘	
		[ ] / [X]			
		[X] / [ ]	Test specifications		TS 34.123-1
		[ ] / [X]	O&M Specifications		
Other comments:	⌘				

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

[…]

8.5.x Unsupported configuration

The UE should set the variable UNSUPPORTED_CONFIGURATION to TRUE if the received message is not according to the UE capabilities.

[…]

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Tdoc ⌘R2-022431

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **1678** ⌘ **rev** **-** ⌘ Current version: **4.5.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☒ Radio Access Network ☒ Core Network ☐

Title:	⌘	Unsupported configuration
Source:	⌘	TSG-RAN WG2
Work item code:	⌘	TEI
Date:	⌘	19 August 2002
Category:	⌘	**A**
Release:	⌘	Rel-4

Use one of the following categories:
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A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:

2	*(GSM Phase 2)*
R96	*(Release 1996)*
R97	*(Release 1997)*
R98	*(Release 1998)*
R99	*(Release 1999)*
Rel-4	*(Release 4)*
Rel-5	*(Release 5)*
Rel-6	*(Release 6)*

Reason for change:	⌘	It is not clearly specified when the UE should use the failure case "unsupported configuration"
Summary of change:	⌘	It is clarified that the UE should consider as unsupported each configuration that is not according to the UE capabilities. **Isolated Impact Change Analysis.** This change clarifies the failure case "unsupported configuration". If the does not support this change, it may use a different failure case, which in turn could impair UTRAN ability to resolve the failure case. It would not affect implementations behaving like indicated in the CR, it would affect implementations supporting the corrected functionality otherwise.
Consequences if not approved:	⌘	UE behaviour would be unspecified in many failure cases.

Clauses affected:	⌘	8.5.x (new)			
Other specs affected:	⌘	**Y** / **N**	Other core specifications	⌘	
			X	Test specifications	TS 34.123-1
		X		O&M Specifications	
			X		
Other comments:	⌘				

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3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

[…]

8.5.x Unsupported configuration

The UE should set the variable UNSUPPORTED_CONFIGURATION to TRUE if the received message is not according to the UE capabilities.

[…]

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CHANGE REQUEST

⌘ **25.331** **CR** **1679** ⌘ **rev** **-** ⌘ Current version: **5.1.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Unsupported configuration
Source:	⌘	TSG-RAN WG2
Work item code:	⌘	TEI
Date:	⌘	19 August 2002
Category:	⌘	**A**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:

2	*(GSM Phase 2)*
R96	*(Release 1996)*
R97	*(Release 1997)*
R98	*(Release 1998)*
R99	*(Release 1999)*
Rel-4	*(Release 4)*
Rel-5	*(Release 5)*
Rel-6	*(Release 6)*

Reason for change:	⌘	It is not clearly specified when the UE should use the failure case "unsupported configuration"
Summary of change:	⌘	It is clarified that the UE should consider as unsupported each configuration that is not according to the UE capabilities. **Isolated Impact Change Analysis.** This change clarifies the failure case "unsupported configuration". If the does not support this change, it may use a different failure case, which in turn could impair UTRAN ability to resolve the failure case. It would not affect implementations behaving like indicated in the CR, it would affect implementations supporting the corrected functionality otherwise.
Consequences if not approved:	⌘	UE behaviour would be unspecified in many failure cases.

Clauses affected:	⌘	8.5.x (new)			
Other specs affected:	⌘	**Y** / **N**		⌘	
		[ ] [X]	Other core specifications		
		[X] [ ]	Test specifications		TS 34.123-1
		[ ] [X]	O&M Specifications		
Other comments:	⌘				

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3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

[…]

8.5.x Unsupported configuration

The UE should set the variable UNSUPPORTED_CONFIGURATION to TRUE if the received message is not according to the UE capabilities.

[…]

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CHANGE REQUEST

⌘ **25.331** **CR** **1680** ⌘ **rev** **-** ⌘ Current version: **3.11.0** ⌘

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Proposed change affects: UICC apps⌘ ☐ ME ☒ Radio Access Network ☒ Core Network ☐

Title:	⌘	Handover corrections
Source:	⌘	TSG-RAN WG2
Work item code:	⌘	TEI
Date:	⌘	19 August 2002
Category:	⌘	**F**
Release:	⌘	R99

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
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R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)

Reason for change: ⌘

1. The current procedures only refer to synchronization procedure, without clarifying which of the two possible procedures (A or B) should be applied in each case.

2. If the UE receives the IE "Frequency info" that includes the currently used frequency, it is not clear if a syncronization procedure has to be applied or not.

~~3. It is not clear if reconfiguration messages can be used to perform soft handover~~

Summary of change: ⌘

1. The use of synchronization procedures A and B is clarified wherever applicable.

2. If the UE receives the IE "Frequency info" while in DCH, it ~~it is allowed to~~should ~~shall~~ perform synchronization procedure ~~B~~A, regardless of the actual value received.

~~3. It is clarified that reconfiguration messages can not be used to perform soft handover.~~

Isolated Impact Change Analysis.

These changes correct or clarify the handover procedure.
1. The changes are aligning the signalling specs with the physical layer specs and should be seen as a clarification

2. If the UE does not implement this change, it may fail to perform the appropriate synchronization procedure, resulting in a higher probability of

dropped call.

~~3. Removal of feature. If the UE does not support this change and UTRAN does, there are no problems, since the soft handover procedure with reconfiguration messages will never be attempted by UTRAN. If the UE supports this and UTRAN does not, the UE behaviour will be unspecified in case UTRAN attempts soft handover with reconfiguration messages. This may result in a dropped call.~~

It would not affect implementations behaving like indicated in the CR, it would affect implementations supporting the corrected functionality otherwise.

Impacts to the test specifications
1. No impacts
2. All tests reviewed assign a new value for "Frequency info". No impacts.
~~3. No impacts~~

Consequences if not approved:	⌘	1. UE may apply the wrong synchronization procedure 2. Unspecified behaviour for the UE when the current frequency is included in the IE "Frequency info" ~~3. Unspecified behaviour of the UE when soft handover is attempted with a reconfiguration message~~

Clauses affected:	⌘	8.1.3.6, 8.2.2.3, 8.3.1.6, 8.3.4.3, 8.6.6.1, 8.6.6.11, 10.2.8, 10.2.22, 10.2.27, 10.2.30, 10.2.33, 10.2.40, 10.2.50

		Y	N		
Other specs affected:	⌘		X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	

Other comments:	⌘	

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Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

[…]

8.1.3.6 Reception of an RRC CONNECTION SETUP message by the UE

The UE shall compare the value of the IE "Initial UE identity" in the received RRC CONNECTION SETUP message with the value of the variable INITIAL_UE_IDENTITY.

If the values are different, the UE shall:

1> ignore the rest of the message.

If the values are identical, the UE shall:

1> stop timer T300, and act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:

2> if the UE will be in the CELL_FACH state at the conclusion of this procedure:

3> if the IE "Frequency info" is included:

4> select a suitable UTRA cell according to [4] on that frequency;

3> select PRACH according to subclause 8.5.17;

3> select Secondary CCPCH according to subclause 8.5.19;

3> ignore the IE "UTRAN DRX cycle length coefficient" and stop using DRX.

1> if the UE will be in the CELL_DCH state at the conclusion of this procedure:

~~1~~2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);

1> enter UTRA RRC connected mode, in a state according to subclause 8.6.3.3;

1> submit an RRC CONNECTION SETUP COMPLETE message to the lower layers on the uplink DCCH after successful state transition per subclause 8.6.3.3, with the contents set as specified below:

2> set the IE "RRC transaction identifier" to:

3> the value of "RRC transaction identifier" in the entry for the RRC CONNECTION SETUP message in the table "Accepted transactions" in the variable TRANSACTIONS; and

3> clear that entry.

2> if the USIM or SIM is present:

3> set the "START" for each CN domain in the IE "START list" in the RRC CONNECTION SETUP COMPLETE message with the corresponding START value that is stored in the USIM [50] if present, or as stored in the UE if the SIM is present; and then

3> set the START value stored in the USIM [50] if present, and as stored in the UE if the SIM is present for any CN domain to the value "THRESHOLD" of the variable START_THRESHOLD.

2> if neither the USIM nor SIM is present:

3> set the "START" for each CN domain in the IE "START list" in the RRC CONNECTION SETUP COMPLETE message to zero;

3> set the value of "THRESHOLD" in the variable "START_THRESHOLD" to the default value [40].

2> retrieve its UTRA UE radio access capability information elements from variable UE_CAPABILITY_REQUESTED; and then

2> include this in IE "UE radio access capability" and IE "UE radio access capability extension", provided this IE is included in variable UE_CAPABILITY_REQUESTED;

2> retrieve its inter-RAT-specific UE radio access capability information elements from variable UE_CAPABILITY_REQUESTED; and then

2> include this in IE "UE system specific capability".

When the RRC CONNECTION SETUP COMPLETE message has been submitted to lower layers for transmission the UE shall:

1> if the UE has entered CELL_FACH state:

2> start timer T305 using its initial value if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS.

1> store the contents of the variable UE_CAPABILITY_REQUESTED in the variable UE_CAPABILITY_TRANSFERRED;

1> initialise variables upon entering UTRA RRC connected mode as specified in subclause 13.4;

1> consider the procedure to be successful;

And the procedure ends.

[…]

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall be able to receive any of the following messages:

- RADIO BEARER SETUP message; or
- RADIO BEARER RECONFIGURATION message; or
- RADIO BEARER RELEASE message; or
- TRANSPORT CHANNEL RECONFIGURATION message; or
- PHYSICAL CHANNEL RECONFIGURATION message.

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);

1> apply the hard handover procedure as specified in subclause 8.3.5;

1> be able to perform this procedure even if no prior UE measurements have been performed on the target cell and/or frequency.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message:

it shall:

1> set the variable ORDERED_RECONFIGURATION to TRUE;

1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:

~~1~~2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);

1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:

2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and

2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.

1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

1> handle the message as if IE "RB information to reconfigure" was absent.

NOTE: The RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

If after state transition the UE enters CELL_DCH state, the UE shall, after the state transition:

1> in FDD; or

1> in TDD when "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

2> remove any C-RNTI from MAC;

2> clear the variable C_RNTI.

In FDD, if after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

1> remove any DSCH-RNTI from MAC;

1> clear the variable DSCH_RNTI.

If the UE was in CELL_DCH state upon reception of the reconfiguration message and remains in CELL_DCH state, the UE shall:

1> if the IE "Uplink DPCH Info" is absent, not change its current UL Physical channel configuration;

1> if the IE "Downlink information for each radio link" is absent, not change its current DL Physical channel configuration.

1> in TDD:

2> if "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

3> remove any C-RNTI from MAC;

3> clear the variable C_RNTI.

NOTE: The RADIO BEARER RECONFIGURATION message always includes the IE "Downlink information per radio link list" containing the mandatory IEs, even if UTRAN does not require the reconfiguration of any RL. In FDD, if the UE receives a RADIO BEARER RECONFIGURATION message where the IE "Downlink information per radio link list" includes only a number of "Primary CPICH Info" IEs, but the correct Primary CPICH for each of the cells in the active set is not included, then the UE behaviour is undefined.

If after state transition the UE enters CELL_FACH state, the UE shall, after the state transition:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

1> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

2> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

2> when the cell update procedure completed successfully:

3> if the UE is in CELL_PCH or URA_PCH state:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";

4> proceed as below.

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select PRACH according to subclause 8.5.17;

1> select Secondary CCPCH according to subclause 8.5.19;

1> use the transport format set given in system information;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> ignore that IE and stop using DRX.

1> if the contents of the variable C_RNTI is empty:

2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

2> when the cell update procedure completed successfully:

3> if the UE is in CELL_PCH or URA_PCH state:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";

4> proceed as below.

If the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state, the UE shall:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency;

2> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

3> when the cell update procedure completed successfully:

4> proceed as below.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or

1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:

2> re-establish RB2;

2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);

2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;

2> calculate the START value according to subclause 8.5.9;

2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".

1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":

2> if the variable START_VALUE_TO_TRANSMIT is set:

3> include and set the IE "START" to the value of that variable.

2> if the variable START_VALUE_TO_TRANSMIT is not set and the IE "New U-RNTI" is included:

3> calculate the START value according to subclause 8.5.9;

3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".

2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:

3> calculate the START value according to subclause 8.5.9;

3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".

1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

1> if the received reconfiguration message contained the IE "Ciphering mode info":

2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH":

2> if prior to this procedure there exist no transparent mode RLC radio bearers for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":

3> if, at the conclusion of this procedure, the UE will be in CELL_DCH state; and

3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":

4> include the IE "COUNT-C activation time" and specify a CFN value for this IE.

NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration messages unless it is also used to perform an SRNS relocation with change of ciphering algorithm.

1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and

1> clear that entry;

1> if the variable PDCP_SN_INFO is not empty:

2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP_SN_INFO.

1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):

2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.

1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters CELL_PCH or URA_PCH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

1> if the UE enters CELL_PCH state from CELL_DCH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

2> when the cell update procedure completed successfully:

3> the procedure ends.

1> if the UE enters CELL_PCH state from CELL_FACH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE:

2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

2> when the cell update procedure is successfully completed:

3> the procedure ends.

1> if the UE enters URA_PCH state, and after cell selection the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled:

2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";

2> when the URA update procedure is successfully completed:

3> the procedure ends.

[…]

8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U_RNTI; or
- if the message is received on DCCH:

the UE shall:

1> stop timer T302;

1> in case of a cell update procedure and the CELL UPDATE CONFIRM message:

2> includes "RB information elements"; and/or

2> includes "Transport channel information elements"; and/or

2> includes "Physical channel information elements"; and

2> if the variable ORDERED_RECONFIGURATION is set to FALSE:

3> set the variable ORDERED_RECONFIGURATION to TRUE.

1> act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:

2> if the IE "Frequency info" is included in the message:

3> if the IE "RRC State Indicator" is set to the value "CELL_FACH" or "CELL_PCH" or URA_PCH":

4> select a suitable UTRA cell according to [4] on that frequency;

4> act as specified in subclause 8.3.1.12.

3> if the IE "RRC State Indicator" is set to the value "CELL_DCH":

4> act on the IE "Frequency info" as specified in subclause 8.6.6.1.

2> use the transport channel(s) applicable for the physical channel types that is used; and

2> if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):

3> use the TFS given in system information.

2> if none of the TFS stored is compatible with the physical channel:

3> delete the stored TFS;

3> use the TFS given in system information.

~~2> perform the physical layer synchronisation procedure as specified in [29];~~

2> if the CELL UPDATE CONFIRM message includes the IE "RLC re-establish indicator (RB2, RB3 and RB4)":

3> re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);

3> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN is set to "Started":

4> set the HFN component of the respective COUNT-C values for AM RLC entities with RB identity 2,RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest transmitted CELL UPDATE message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN.

2> if the CELL UPDATE CONFIRM message includes the IE "RLC re-establish indicator (RB5 and upwards)":

3> for radio bearers with RB identity 5 and upwards:

4> re-establish the AM RLC entities;

4> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":

5> set the HFN component of the respective COUNT-C values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS.

1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

1> enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.

If the UE after state transition enters CELL_DCH state, it shall:

1> <u>perform the physical layer synchronisation procedure A as specified in [29] (FDD only);</u>

1> not prohibit periodical status transmission in RLC.

If the UE after state transition remains in CELL_FACH state, it shall

1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

1> select PRACH according to subclause 8.5.17;

1> select Secondary CCPCH according to subclause 8.5.19;

1> not prohibit periodical status transmission in RLC;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> ignore that IE and stop using DRX.

If the UE after state transition enters URA_PCH or CELL_PCH state, it shall:

1> prohibit periodical status transmission in RLC;

1> clear the variable C_RNTI;

1> stop using that C_RNTI just cleared from the variable C_RNTI in MAC;

1> start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2 in CELL_PCH state.

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

If the UE after the state transition remains in CELL_FACH state; and

1> the contents of the variable C_RNTI are empty:

it shall check the value of V302; and:

1> if V302 is equal to or smaller than N302:

2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

3> the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE; and/or

3> the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to TRUE:

4> abort the ongoing integrity and/or ciphering reconfiguration;

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

5> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

5> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

5> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

5> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

2> in case of a URA update procedure:

3> stop the URA update procedure; and

3> continue with a cell update procedure.

2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";

2> submit the CELL UPDATE message for transmission on the uplink CCCH;

2> increment counter V302;

2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.

1> if V302 is greater than N302:

2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;

2> in case of a cell update procedure:

3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> in case of a URA update procedure:

3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> release all its radio resources;

2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;

2> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;

2> clear the variable ESTABLISHED_RABS;

2> enter idle mode;

2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;

2> and the procedure ends.

If the UE after the state transition remains in CELL_FACH state; and

- a C-RNTI is stored in the variable C_RNTI;

or

- the UE after the state transition moves to another state than the CELL_FACH state:

the UE shall:

1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

2> include and set the IE "Radio bearer uplink ciphering activation time info" in any response message transmitted below to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> in case of a cell update procedure:

2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry.

1> in case of a URA update procedure:

2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry;

1> if the variable PDCP_SN_INFO is non-empty:

2> include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP_SN_INFO.

1> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":

2> re-establish RB2;

2> set the new uplink and downlink HFN component of the COUNT-C of RB2 to MAX(uplink HFN component of the COUNT-C of RB2, downlink HFN component of the COUNT-C of RB2);

2> increment by one the downlink and uplink values of the HFN component of the COUNT-C for RB2;

2> calculate the START value according to subclause 8.5.9;

2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.

1> transmit a response message as specified in subclause 8.3.1.7;

1> if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

1> if the variable ORDERED_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:

2> set the variable ORDERED_RECONFIGURATION to FALSE.

1> clear the variable PDCP_SN_INFO;

1> when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:

2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;

3> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

3> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;

3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;

3> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE.

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

1> in case of a cell update procedure:

2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

1> in case of a URA update procedure:

2> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

1> set the variable CELL_UPDATE_STARTED to FALSE;

1> clear the variable SECURITY_MODIFICATION.

The procedure ends.

[…]

8.3.4.3 Reception of an ACTIVE SET UPDATE message by the UE

Upon reception of an ACTIVE SET UPDATE message the UE shall act upon all received information elements as specified in 8.6, unless specified otherwise in the following. The UE shall:

1> first add the RLs indicated in the IE "Radio Link Addition Information";

1> remove the RLs indicated in the IE "Radio Link Removal Information". If the UE active set is full or becomes full, an RL, which is included in the IE "Radio Link Removal Information" for removal, shall be removed before adding RL, which is included in the IE "Radio Link Addition Information" for addition;

1> perform the physical layer synchronisation procedure B as specified in [29];

1> if the IE "TFCI combining indicator" associated with a radio link to be added is set to TRUE:

2> if a DSCH transport channel is assigned and there is a 'hard' split in the TFCI field:

3> configure Layer 1 to soft-combine TFCI (field 2) of this new link with those links already in the TFCI (field 2) combining set.

1> set the IE "RRC transaction identifier" in the ACTIVE SET UPDATE COMPLETE message to the value of "RRC transaction identifier" in the entry for the ACTIVE SET UPDATE message in the table "Accepted transactions" in the variable TRANSACTIONS; and

1> clear that entry;

1> transmit an ACTIVE SET UPDATE COMPLETE message on the uplink DCCH using AM RLC without waiting for the completion of the Physical Layer synchronisation B, specified in [29];

1> the procedure ends on the UE side.

[…]

8.6.6.1 Frequency info

If, after completion of the procedure, the UE will be in ~~cell~~ CELL_DCH state~~, the UE shall~~:

1> if the IE "Frequency info" is included:

2> if the frequency is different from the currently used ~~stored active~~ frequency, the UE shall:

3> store and use that frequency indicated by the IE "Frequency Info" ~~as the active frequency~~; and

~~2> tune to that frequency.~~

3> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).

2> if the frequency is the same as the ~~stored active~~curently used frequency:

3> the UE shall:

4> continue to use the ~~stored active~~currently used frequency.

3> and the UE should:

4> perform the physical layer synchronisation procedure A as specified in [29] (FDD only)

1> if the IE "Frequency info" is not included and the UE has a ~~stored active~~currently used frequency:

2> continue to use the ~~stored active~~currently used frequency.

[…]

8.6.6.11 Uplink DPCH power control info

The UE shall:

1> in FDD:

2> if the IE "Uplink DPCH power control info" is included:

3> if a synchronisation procedure A is performed according to [29]:

4> calculate and set an initial uplink transmission power;

4> start inner loop power control as specified in subclause 8.5.3;

4> for the UL inner loop power control:

5> use the parameters specified in the IE.

3> else:

4> act on the IE "Power control algorithm" and the IE "TPC step size" if included and ignore any other IEs that are included.

1> in TDD:

2> if the IE "Uplink DPCH power control info" is included:

3> use the parameters specified in the IE for open loop power control as defined in subclause 8.5.7.

2> else:

3> use the current uplink transmission power.

1> both in FDD and TDD;

2> if the IE "Uplink DPCH power control info" is not included in a message used to enter CELL_DCH:

3> set the variable INVALID_CONFIGURATION to true.

[…]

10.2.8 CELL UPDATE CONFIRM

This message confirms the cell update procedure and can be used to reallocate new RNTI information for the UE valid in the new cell.

RLC-SAP: UM

Logical channel: CCCH or DCCH

Direction: UTRAN→UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE Information Elements				
U-RNTI	CV-*CCCH*		U-RNTI 10.3.3.47	
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Integrity check info	CH		Integrity check info 10.3.3.16	
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	
Activation time	MD		Activation time 10.3.3.1	Default value is "now"
New U-RNTI	OP		U-RNTI 10.3.3.47	
New C-RNTI	OP		C-RNTI 10.3.3.8	
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a	
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a	
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49	
RLC re-establish indicator (RB2, RB3 and RB4)	MP		RLC re-establish indicator 10.3.3.35	
RLC re-establish indicator (RB5 and upwards)	MP		RLC re-establish indicator 10.3.3.35	
CN Information Elements				
CN Information info	OP		CN Information info 10.3.1.3	
UTRAN Information Elements				
URA identity	OP		URA identity 10.3.2.6	
RB information elements				
RB information to release list	OP	1 to <maxRB>		
>RB information to release	MP		RB information to release 10.3.4.19	
RB information to reconfigure list	OP	1 to <maxRB>		
>RB information to reconfigure	MP		RB information to reconfigure 10.3.4.18	
RB information to be affected list	OP	1 to <maxRB>		
>RB information to be affected	MP		RB information to be affected 10.3.4.17	
Downlink counter synchronisation info	OP			
>RB with PDCP information list	OP	1 to <maxRBall		This IE is needed for each RB having PDCP in the case of

Information Element/Group name	Need	Multi	Type and reference	Semantics description
		RABs>		lossless SRNS relocation
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	
TrCH Information Elements				
Uplink transport channels				
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
Deleted TrCH information list	OP	1 to <maxTrCH>		
>Deleted UL TrCH information	MP		Deleted UL TrCH information 10.3.5.5	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>		
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2	
CHOICE *mode*	MP			
>FDD				
>>CPCH set ID	OP		CPCH set ID 10.3.5.3	
>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH>		
>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>TDD				(no data)
Downlink transport channels				
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	
Deleted TrCH information list	OP	1 to <maxTrCH>		
>Deleted DL TrCH information	MP		Deleted DL TrCH information 10.3.5.4	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>		
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1	
PhyCH information elements				
Frequency info	~~MD~~OP		Frequency	~~Default value is the existing~~

Information Element/Group name	Need	Multi	Type and reference	Semantics description
			info 10.3.6.36	~~value of frequency information~~
Uplink radio resources				
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power
CHOICE *channel requirement*	OP			
>Uplink DPCH info			Uplink DPCH info 10.3.6.88.	
>CPCH SET Info			CPCH SET Info 10.3.6.13	
Downlink radio resources				
CHOICE *mode*	MP			
>FDD				
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30	
>TDD				(no data)
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24	
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link to be set-up
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27	

Condition	Explanation
CCCH	This IE is mandatory present when CCCH is used and ciphering is not required and not needed otherwise.

[…]

10.2.22 PHYSICAL CHANNEL RECONFIGURATION

This message is used by UTRAN to assign, replace or release a set of physical channels used by a UE.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE Information Elements				
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	CH		Integrity check info	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
			10.3.3.16	
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	
Activation time	MD		Activation time 10.3.3.1	Default value is "now"
New U-RNTI	OP		U-RNTI 10.3.3.47	
New C-RNTI	OP		C-RNTI 10.3.3.8	
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a	
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a	
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49	
CN Information Elements				
CN Information info	OP		CN Information info 10.3.1.3	
UTRAN mobility information elements				
URA identity	OP		URA identity 10.3.2.6	
RB information elements				
Downlink counter synchronisation info	OP			
>RB with PDCP information list	OP	1 to <maxRBallRABs>		This IE is needed for each RB having PDCP in the case of lossless SRNS relocation
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	
PhyCH information elements				
Frequency info	~~MD~~OP		Frequency info 10.3.6.36	~~Default value is the existing value of frequency information~~
Uplink radio resources				
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing value of the maximum allowed UL TX power
CHOICE *channel requirement*	OP			
>Uplink DPCH info			Uplink DPCH info 10.3.6.88	
>CPCH SET Info			CPCH SET Info 10.3.6.13	
>CPCH set ID			CPCH set ID 10.3.5.3	
Downlink radio resources				
CHOICE *mode*	MP			
>FDD				
>>Downlink PDSCH information	OP		Downlink PDSCH information	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
			10.3.6.30	
>TDD				(no data)
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24	
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27	

[…]

10.2.27 RADIO BEARER RECONFIGURATION

This message is sent from UTRAN to reconfigure parameters related to a change of QoS. This procedure can also change the multiplexing of MAC, reconfigure transport channels and physical channels.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE Information elements				
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	CH		Integrity check info 10.3.3.16	
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	
Activation time	MD		Activation time 10.3.3.1	Default value is "now"
New U-RNTI	OP		U-RNTI 10.3.3.47	
New C-RNTI	OP		C-RNTI 10.3.3.8	
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a	
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a	
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49	
CN information elements				

Information Element/Group name	Need	Multi	Type and reference	Semantics description
CN Information info	OP		CN Information info 10.3.1.3	
UTRAN mobility information elements				
URA identity	OP		URA identity 10.3.2.6	
RB information elements				
RAB information to reconfigure list	OP	1 to < maxRABsetup >		
>RAB information to reconfigure	MP		RAB information to reconfigure 10.3.4.11	
RB information to reconfigure list	MP	1to <maxRB>		Although this IE is not always required, need is MP to align with ASN.1
>RB information to reconfigure	MP		RB information to reconfigure 10.3.4.18	
RB information to be affected list	OP	1 to <maxRB>		
>RB information to be affected	MP		RB information to be affected 10.3.4.17	
TrCH Information Elements				
Uplink transport channels				
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
Deleted TrCH information list	OP	1 to <maxTrCH>		
>Deleted UL TrCH information	MP		Deleted UL TrCH information 10.3.5.5	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>		
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2	
CHOICE *mode*	OP			
>FDD				
>>CPCH set ID	OP		CPCH set ID 10.3.5.3	
>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH>		
>>>DRAC static information	MP		DRAC static information 10.3.5.7	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
>TDD				(no data)
Downlink transport channels				
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	
Deleted TrCH information list	OP	1 to <maxTrCH>		
>Deleted DL TrCH information	MP		Deleted DL TrCH information 10.3.5.4	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>		
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1	
PhyCH information elements				
Frequency info	~~MD~~OP		Frequency info 10.3.6.36	~~Default value is the existing value of frequency information~~
Uplink radio resources				
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power
CHOICE *channel requirement*	OP			
>Uplink DPCH info			Uplink DPCH info 10.3.6.88	
>CPCH SET Info			CPCH SET Info 10.3.6.13	
Downlink radio resources				
CHOICE *mode*	MP			
>FDD				
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30	
>TDD				(no data)
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24	
Downlink information per radio link list	MP	1 to <maxRL>		Although this IE is not always required, need is MP to align with ASN.1
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27	

[…]

10.2.30 RADIO BEARER RELEASE

This message is used by UTRAN to release a radio bearer. It can also include modifications to the configurations of transport channels and/or physical channels. It can simultaneously indicate release of a signalling connection when UE is connected to more than one CN domain.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE Information Elements				
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	CH		Integrity check info 10.3.3.16	
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	
Activation time	MD		Activation time 10.3.3.1	Default value is "now"
New U-RNTI	OP		U-RNTI 10.3.3.47	
New C-RNTI	OP		C-RNTI 10.3.3.8	
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a	
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a	
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49	
CN Information Elements				
CN Information info	OP		CN Information info 10.3.1.3	
Signalling Connection release indication	OP		CN domain identity 10.3.1.1	
UTRAN mobility information elements				
URA identity	OP		URA identity 10.3.2.6	
RB Information Elements				
RAB information to reconfigure list	OP	1 to < maxRABsetup >		
>RAB information to reconfigure	MP		RAB information to reconfigure 10.3.4.11	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
RB information to release list	MP	1 to <maxRB>		
>RB information to release	MP		RB information to release 10.3.4.19	
RB information to be affected list	OP	1 to <maxRB>		
>RB information to be affected	MP		RB information to be affected 10.3.4.17	
Downlink counter synchronisation info	OP			
>RB with PDCP information list	OP	1 to <maxRBallRABs>		This IE is needed for each RB having PDCP in the case of lossless SRNS relocation
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	
TrCH Information Elements				
Uplink transport channels				
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
Deleted TrCH information list	OP	1 to <maxTrCH>		
>Deleted UL TrCH information	MP		Deleted UL TrCH information 10.3.5.5	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>		
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2	
CHOICE *mode*	OP			
>FDD				
>>CPCH set ID	OP		CPCH set ID 10.3.5.3	
>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH>		
>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>TDD				(no data)
Downlink transport channels				
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Deleted TrCH information list	OP	1 to <maxTrCH>		
>Deleted DL TrCH information	MP		Deleted DL TrCH information 10.3.5.4	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>		
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1	
PhyCH information elements				
Frequency info	~~MD~~OP		Frequency info 10.3.6.36	~~Default value is the existing value of frequency information~~
Uplink radio resources				
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power
CHOICE *channel requirement*	OP			
>Uplink DPCH info			Uplink DPCH info 10.3.6.88	
>CPCH SET Info			CPCH SET Info 10.3.6.13	
Downlink radio resources				
CHOICE *mode*	MP			
>FDD				
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30	
>TDD				(no data)
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24	
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link to be set-up
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27	

[…]

10.2.33 RADIO BEARER SETUP

This message is sent by UTRAN to the UE to establish new radio bearer(s). It can also include modifications to the configurations of transport channels and/or physical channels.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE Information Elements				
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	CH		Integrity check info 10.3.3.16	
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	
Activation time	MD		Activation time 10.3.3.1	Default value is "now"
New U-RNTI	OP		U-RNTI 10.3.3.47	
New C-RNTI	OP		C-RNTI 10.3.3.8	
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a	
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a	
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49	
CN Information Elements				
CN Information info	OP		CN Information info 10.3.1.3	
UTRAN mobility information elements				
URA identity	OP		URA identity 10.3.2.6	
RB Information Elements				
Signalling RB information to setup list	OP	1 to <maxSRBsetup>		For each signalling radio bearer established
>Signalling RB information to setup	MP		Signalling RB information to setup 10.3.4.24	
RAB information to setup list	OP	1 to <maxRABsetup>		For each RAB established
>RAB information for setup	MP		RAB information for setup 10.3.4.10	
RB information to be affected list	OP	1 to <maxRB>		
>RB information to be affected	MP		RB information to be affected 10.3.4.17	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Downlink counter synchronisation info	OP			
>RB with PDCP information list	OP	1 to <maxRBallRABs>		This IE is needed for each RB having PDCP in the case of lossless SRNS relocation
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	
TrCH Information Elements				
Uplink transport channels				
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
Deleted TrCH information list	OP	1 to <maxTrCH>		
>Deleted UL TrCH information	MP		Deleted UL TrCH information 10.3.5.5	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>		
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2	
CHOICE *mode*	OP			
>FDD				
>>CPCH set ID	OP		CPCH set ID 10.3.5.3	
>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH>		
>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>TDD				(no data)
Downlink transport channels				
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels10.3.5.6	
Deleted TrCH information list	OP	1 to <maxTrCH>		
>Deleted DL TrCH information	MP		Deleted DL TrCH information 10.3.5.4	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>		
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
			information 10.3.5.1	
PhyCH information elements				
Frequency info	~~MD~~OP		Frequency info 10.3.6.36	~~Default value is the existing value of frequency information~~
Uplink radio resources				
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power
CHOICE *channel requirement*	OP			
>Uplink DPCH info			Uplink DPCH info 10.3.6.88	
>CPCH SET Info			CPCH SET Info 10.3.6.13	
Downlink radio resources				
CHOICE *mode*	MP			
>FDD				
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30	
>TDD				(no data)
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24	
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27	

[…]

10.2.40 RRC CONNECTION SETUP

This message is used by the network to accept the establishment of an RRC connection for an UE, including assignment of signalling link information, transport channel information and optionally physical channel information.

RLC-SAP: UM

Logical channel: CCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE Information Elements				
Initial UE identity	MP		Initial UE identity 10.3.3.15	
RRC transaction identifier	MP		RRC transaction identifier	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
			10.3.3.36	
Activation time	MD		Activation time 10.3.3.1	Default value is "now"
New U-RNTI	MP		U-RNTI 10.3.3.47	
New C-RNTI	OP		C-RNTI 10.3.3.8	
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a	
UTRAN DRX cycle length coefficient	MP		UTRAN DRX cycle length coefficient 10.3.3.49	
Capability update requirement	MD		Capability update requirement 10.3.3.2	Default value is defined in subclause 10.3.3.2
RB Information Elements				
Signalling RB information to setup list	MP	3 to 4		
>Signalling RB information to setup	MP		Signalling RB information to setup 10.3.4.24	
TrCH Information Elements				
Uplink transport channels				
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
Added or Reconfigured TrCH information list	MP	1 to <maxTrCH>		Although this IE is not required when the IE "RRC state indicator" is set to "CELL_FACH", need is MP to align with ASN.1
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2	
Downlink transport channels				
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	
Added or Reconfigured TrCH information list	MP	1 to <maxTrCH>		Although this IE is not required when the IE "RRC state indicator" is set to "CELL_FACH", need is MP to align with ASN.1
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1	
PhyCH information elements				
Frequency info	~~MD~~OP		Frequency	~~Default value is the existing~~

Information Element/Group name	Need	Multi	Type and reference	Semantics description
			info 10.3.6.36	~~value of frequency information~~
Uplink radio resources				
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power
CHOICE *channel requirement*	OP			
>Uplink DPCH info			Uplink DPCH info 10.3.6.88	
>CPCH SET Info			CPCH SET Info 10.3.6.13	
Downlink radio resources				
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24	
Downlink information per radio link list	OP	1 to <MaxRL>		Send downlink information for each radio link to be set-up
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27	

[…]

10.2.50 TRANSPORT CHANNEL RECONFIGURATION

This message is used by UTRAN to configure the transport channel of a UE. This also includes a possible reconfiguration of physical channels. The message can also be used to assign a TFC subset and reconfigure physical channel.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE Information Elements				
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	CH		Integrity check info 10.3.3.16	
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	
Activation time	MD		Activation time 10.3.3.1	Default value is "now"

Information Element/Group name	Need	Multi	Type and reference	Semantics description
New U-RNTI	OP		U-RNTI 10.3.3.47	
New C-RNTI	OP		C-RNTI 10.3.3.8	
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a	
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a	
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49	
CN Information Elements				
CN Information info	OP		CN Information info 10.3.1.3	
UTRAN mobility information elements				
URA identity	OP		URA identity 10.3.2.6	
RB information elements				
Downlink counter synchronisation info	OP			
>RB with PDCP information list	OP	1 to <maxRBallRABs>		This IE is needed for each RB having PDCP in the case of lossless SRNS relocation
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	
TrCH Information Elements				
Uplink transport channels				
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>		
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2	
CHOICE *mode*	OP			
>FDD				
>>CPCH set ID	OP		CPCH set ID 10.3.5.3	
>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH>		
>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>TDD				(no data)
Downlink transport channels				
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
			channels 10.3.5.6	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>		
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1	
PhyCH information elements				
Frequency info	~~MD~~OP		Frequency info 10.3.6.36	~~Default value is the existing value of frequency information~~
Uplink radio resources				
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power
CHOICE *channel requirement*	OP			
>Uplink DPCH info			Uplink DPCH info 10.3.6.88	
>CPCH SET Info			CPCH SET Info 10.3.6.13	
Downlink radio resources				
CHOICE *mode*	MP			
>FDD				
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30	
>TDD				(no data)
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24	
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27	

3GPP TSG-RAN2 Meeting #31
Arlanda, Sweden, 19-23 August, 2002

Tdoc ⌘ R2-022435

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **1681** ⌘ **rev** **-** ⌘ Current version: **4.5.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☒ Radio Access Network ☒ Core Network ☐

Title:	⌘	Handover corrections
Source:	⌘	TSG-RAN WG2
Work item code:	⌘	TEI
Date:	⌘	19 August 2002
Category:	⌘	**A**
Release:	⌘	Rel-4

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 *(GSM Phase 2)*
R96 *(Release 1996)*
R97 *(Release 1997)*
R98 *(Release 1998)*
R99 *(Release 1999)*
Rel-4 *(Release 4)*
Rel-5 *(Release 5)*
Rel-6 *(Release 6)*

Reason for change: ⌘

1. The current procedures only refer to synchronization procedure, without clarifying which of the two possible procedures (A or B) should be applied in each case.

2. If the UE receives the IE "Frequency info" that includes the currently used frequency, it is not clear if a syncronization procedure has to be applied or not.

~~3. It is not clear if reconfiguration messages can be used to perform soft handover~~

Summary of change: ⌘

1. The use of synchronization procedures A and B is clarified wherever applicable.

2. If the UE receives the IE "Frequency info" while in DCH, it shall perform synchronization procedure ~~B~~A, regardless of the actual value received.

~~3. It is clarified that reconfiguration messages can not be used to perform soft handover.~~

Isolated Impact Change Analysis.

These changes correct or clarify the handover procedure.
1. The changes are aligning the signalling specs with the physical layer specs and should be seen as a clarification

2. If the UE does not implement this change, it may fail to perform the appropriate synchronization procedure, resulting in a higher probability of dropped call.

~~3. Removal of feature. If the UE does not support this change and UTRAN does, there are no problems, since the soft handover procedure with reconfiguration messages will never be attempted by UTRAN. If the UE supports this and UTRAN does not, the UE behaviour will be unspecified in case UTRAN attempts soft handover with reconfiguration messages. This may result in a dropped call.~~

It would not affect implementations behaving like indicated in the CR, it would affect implementations supporting the corrected functionality otherwise.

Impacts to the test specifications
1. No impacts
2. All tests reviewed assign a new value for "Frequency info". No impacts.
~~3. No impacts~~

Consequences if not approved:	⌘	1. UE may apply the wrong synchronization procedure 2. Unspecified behaviour for the UE when the current frequency is included in the IE "Frequency info" ~~3. Unspecified behaviour of the UE when soft handover is attempted with a reconfiguration message~~

Clauses affected:	⌘	8.1.3.6, 8.2.2.3, 8.3.1.6, 8.3.4.3, 8.6.6.1, 8.6.6.11, 10.2.8, 10.2.22, 10.2.27, 10.2.30, 10.2.33, 10.2.40, 10.2.50

		Y	N		
Other specs affected:	⌘		X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	

Other comments:	⌘	

How to create CRs using this form:
Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

[…]

8.1.3.6 Reception of an RRC CONNECTION SETUP message by the UE

The UE shall compare the value of the IE "Initial UE identity" in the received RRC CONNECTION SETUP message with the value of the variable INITIAL_UE_IDENTITY.

If the values are different, the UE shall:

1> ignore the rest of the message.

If the values are identical, the UE shall:

1> stop timer T300, and act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:

2> if the UE will be in the CELL_FACH state at the conclusion of this procedure:

3> if the IE "Frequency info" is included:

4> select a suitable UTRA cell according to [4] on that frequency;

3> select PRACH according to subclause 8.5.17;

3> select Secondary CCPCH according to subclause 8.5.19;

3> ignore the IE "UTRAN DRX cycle length coefficient" and stop using DRX.

1> if the UE will be in the CELL_DCH state at the conclusion of this procedure:

~~1~~2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);

1> enter UTRA RRC connected mode, in a state according to subclause 8.6.3.3;

1> submit an RRC CONNECTION SETUP COMPLETE message to the lower layers on the uplink DCCH after successful state transition per subclause 8.6.3.3, with the contents set as specified below:

2> set the IE "RRC transaction identifier" to:

3> the value of "RRC transaction identifier" in the entry for the RRC CONNECTION SETUP message in the table "Accepted transactions" in the variable TRANSACTIONS; and

3> clear that entry.

2> if the USIM or SIM is present:

3> set the "START" for each CN domain in the IE "START list" in the RRC CONNECTION SETUP COMPLETE message with the corresponding START value that is stored in the USIM [50] if present, or as stored in the UE if the SIM is present; and then

3> set the START value stored in the USIM [50] if present, and as stored in the UE if the SIM is present for any CN domain to the value "THRESHOLD" of the variable START_THRESHOLD.

2> if neither the USIM nor SIM is present:

3> set the "START" for each CN domain in the IE "START list" in the RRC CONNECTION SETUP COMPLETE message to zero;

3> set the value of "THRESHOLD" in the variable "START_THRESHOLD" to the default value [40].

2> retrieve its UTRA UE radio access capability information elements from variable UE_CAPABILITY_REQUESTED; and then

2> include this in IE "UE radio access capability" and IE "UE radio access capability extension", provided this IE is included in variable UE_CAPABILITY_REQUESTED;

2> retrieve its inter-RAT-specific UE radio access capability information elements from variable UE_CAPABILITY_REQUESTED; and then

2> include this in IE "UE system specific capability".

When the RRC CONNECTION SETUP COMPLETE message has been submitted to lower layers for transmission the UE shall:

1> if the UE has entered CELL_FACH state:

2> start timer T305 using its initial value if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS.

1> store the contents of the variable UE_CAPABILITY_REQUESTED in the variable UE_CAPABILITY_TRANSFERRED;

1> initialise variables upon entering UTRA RRC connected mode as specified in subclause 13.4;

1> consider the procedure to be successful;

And the procedure ends.

[…]

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall be able to receive any of the following messages:

- RADIO BEARER SETUP message; or
- RADIO BEARER RECONFIGURATION message; or
- RADIO BEARER RELEASE message; or
- TRANSPORT CHANNEL RECONFIGURATION message; or
- PHYSICAL CHANNEL RECONFIGURATION message.

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);

1> apply the hard handover procedure as specified in subclause 8.3.5;

1> be able to perform this procedure even if no prior UE measurements have been performed on the target cell and/or frequency.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message:

it shall:

1> set the variable ORDERED_RECONFIGURATION to TRUE;

1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:

~~1~~2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);

1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:

2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and

2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.

1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

1> handle the message as if IE "RB information to reconfigure" was absent.

NOTE: The RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

If after state transition the UE enters CELL_DCH state, the UE shall, after the state transition:

1> in FDD; or

1> in TDD when "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

2> remove any C-RNTI from MAC;

2> clear the variable C_RNTI.

In FDD, if after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

1> remove any DSCH-RNTI from MAC;

1> clear the variable DSCH_RNTI.

If the UE was in CELL_DCH state upon reception of the reconfiguration message and remains in CELL_DCH state, the UE shall:

1> if the IE "Uplink DPCH Info" is absent, not change its current UL Physical channel configuration;

1> if the IE "Downlink information for each radio link" is absent, not change its current DL Physical channel configuration.

1> in TDD:

2> if "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

3> remove any C-RNTI from MAC;

3> clear the variable C_RNTI.

NOTE: The RADIO BEARER RECONFIGURATION message always includes the IE "Downlink information per radio link list" containing the mandatory IEs, even if UTRAN does not require the reconfiguration of any RL. In FDD, if the UE receives a RADIO BEARER RECONFIGURATION message where the IE "Downlink information per radio link list" includes only a number of "Primary CPICH Info" IEs, but the correct Primary CPICH for each of the cells in the active set is not included, then the UE behaviour is undefined.

If after state transition the UE enters CELL_FACH state, the UE shall, after the state transition:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

1> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

2> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

2> when the cell update procedure completed successfully:

3> if the UE is in CELL_PCH or URA_PCH state:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";

4> proceed as below.

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select PRACH according to subclause 8.5.17;

1> select Secondary CCPCH according to subclause 8.5.19;

1> use the transport format set given in system information;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> ignore that IE and stop using DRX.

1> if the contents of the variable C_RNTI is empty:

2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

2> when the cell update procedure completed successfully:

3> if the UE is in CELL_PCH or URA_PCH state:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";

4> proceed as below.

If the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state, the UE shall:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency;

2> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

3> when the cell update procedure completed successfully:

4> proceed as below.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or

1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:

2> re-establish RB2;

2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);

2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;

2> calculate the START value according to subclause 8.5.9;

2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".

1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":

2> if the variable START_VALUE_TO_TRANSMIT is set:

3> include and set the IE "START" to the value of that variable.

2> if the variable START_VALUE_TO_TRANSMIT is not set and the IE "New U-RNTI" is included:

3> calculate the START value according to subclause 8.5.9;

3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".

2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:

3> calculate the START value according to subclause 8.5.9;

3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".

1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

1> if the received reconfiguration message contained the IE "Ciphering mode info":

2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH":

2> if prior to this procedure there exist no transparent mode RLC radio bearers for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":

3> if, at the conclusion of this procedure, the UE will be in CELL_DCH state; and

3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":

4> include the IE "COUNT-C activation time" and specify a CFN value for this IE.

NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration messages unless it is also used to perform an SRNS relocation with change of ciphering algorithm.

1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and

1> clear that entry;

1> if the variable PDCP_SN_INFO is not empty:

2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP_SN_INFO.

1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):

2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.

1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters CELL_PCH or URA_PCH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

1> if the UE enters CELL_PCH state from CELL_DCH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

2> when the cell update procedure completed successfully:

3> the procedure ends.

1> if the UE enters CELL_PCH state from CELL_FACH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE:

2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

2> when the cell update procedure is successfully completed:

3> the procedure ends.

1> if the UE enters URA_PCH state, and after cell selection the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled:

2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";

2> when the URA update procedure is successfully completed:

3> the procedure ends.

[…]

8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U_RNTI; or
- if the message is received on DCCH:

the UE shall:

1> stop timer T302;

1> in case of a cell update procedure and the CELL UPDATE CONFIRM message:

2> includes "RB information elements"; and/or

2> includes "Transport channel information elements"; and/or

2> includes "Physical channel information elements"; and

2> if the variable ORDERED_RECONFIGURATION is set to FALSE:

3> set the variable ORDERED_RECONFIGURATION to TRUE.

1> act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:

2> if the IE "Frequency info" is included in the message:

3> if the IE "RRC State Indicator" is set to the value "CELL_FACH" or "CELL_PCH" or URA_PCH":

4> select a suitable UTRA cell according to [4] on that frequency;

4> act as specified in subclause 8.3.1.12.

3> if the IE "RRC State Indicator" is set to the value "CELL_DCH":

4> act on the IE "Frequency info" as specified in subclause 8.6.6.1.

2> use the transport channel(s) applicable for the physical channel types that is used; and

2> if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):

3> use the TFS given in system information.

2> if none of the TFS stored is compatible with the physical channel:

3> delete the stored TFS;

3> use the TFS given in system information.

~~2> perform the physical layer synchronisation procedure as specified in [29];~~

2> if the CELL UPDATE CONFIRM message includes the IE "RLC re-establish indicator (RB2, RB3 and RB4)":

3> re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);

3> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN is set to "Started":

4> set the HFN component of the respective COUNT-C values for AM RLC entities with RB identity 2,RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest transmitted CELL UPDATE message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN.

2> if the CELL UPDATE CONFIRM message includes the IE "RLC re-establish indicator (RB5 and upwards)":

3> for radio bearers with RB identity 5 and upwards:

4> re-establish the AM RLC entities;

4> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":

5> set the HFN component of the respective COUNT-C values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS.

1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

1> enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.

If the UE after state transition enters CELL_DCH state, it shall:

1> <u>perform the physical layer synchronisation procedure A as specified in [29] (FDD only);</u>

1> not prohibit periodical status transmission in RLC.

If the UE after state transition remains in CELL_FACH state, it shall

1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

1> select PRACH according to subclause 8.5.17;

1> select Secondary CCPCH according to subclause 8.5.19;

1> not prohibit periodical status transmission in RLC;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> ignore that IE and stop using DRX.

If the UE after state transition enters URA_PCH or CELL_PCH state, it shall:

1> prohibit periodical status transmission in RLC;

1> clear the variable C_RNTI;

1> stop using that C_RNTI just cleared from the variable C_RNTI in MAC;

1> start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2 in CELL_PCH state.

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

If the UE after the state transition remains in CELL_FACH state; and

1> the contents of the variable C_RNTI are empty:

it shall check the value of V302; and:

1> if V302 is equal to or smaller than N302:

2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

3> the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE; and/or

3> the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to TRUE:

4> abort the ongoing integrity and/or ciphering reconfiguration;

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

5> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

5> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

5> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

5> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

2> in case of a URA update procedure:

3> stop the URA update procedure; and

3> continue with a cell update procedure.

2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";

2> submit the CELL UPDATE message for transmission on the uplink CCCH;

2> increment counter V302;

2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.

1> if V302 is greater than N302:

2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;

2> in case of a cell update procedure:

3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> in case of a URA update procedure:

3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> release all its radio resources;

2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;

2> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;

2> clear the variable ESTABLISHED_RABS;

2> enter idle mode;

2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;

2> and the procedure ends.

If the UE after the state transition remains in CELL_FACH state; and

- a C-RNTI is stored in the variable C_RNTI;

or

- the UE after the state transition moves to another state than the CELL_FACH state:

the UE shall:

1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

2> include and set the IE "Radio bearer uplink ciphering activation time info" in any response message transmitted below to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> in case of a cell update procedure:

2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry.

1> in case of a URA update procedure:

2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry;

1> if the variable PDCP_SN_INFO is non-empty:

2> include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP_SN_INFO.

1> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":

2> re-establish RB2;

2> set the new uplink and downlink HFN component of the COUNT-C of RB2 to MAX(uplink HFN component of the COUNT-C of RB2, downlink HFN component of the COUNT-C of RB2);

2> increment by one the downlink and uplink values of the HFN component of the COUNT-C for RB2;

2> calculate the START value according to subclause 8.5.9;

2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.

1> transmit a response message as specified in subclause 8.3.1.7;

1> if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

1> if the variable ORDERED_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:

2> set the variable ORDERED_RECONFIGURATION to FALSE.

1> clear the variable PDCP_SN_INFO;

1> when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:

2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;

3> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

3> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;

3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;

3> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE.

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

1> in case of a cell update procedure:

2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

1> in case of a URA update procedure:

2> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

1> set the variable CELL_UPDATE_STARTED to FALSE;

1> clear the variable SECURITY_MODIFICATION.

The procedure ends.

[…]

8.3.4.3 Reception of an ACTIVE SET UPDATE message by the UE

Upon reception of an ACTIVE SET UPDATE message the UE shall act upon all received information elements as specified in 8.6, unless specified otherwise in the following. The UE shall:

1> first add the RLs indicated in the IE "Radio Link Addition Information";

1> remove the RLs indicated in the IE "Radio Link Removal Information". If the UE active set is full or becomes full, an RL, which is included in the IE "Radio Link Removal Information" for removal, shall be removed before adding RL, which is included in the IE "Radio Link Addition Information" for addition;

1> perform the physical layer synchronisation procedure B as specified in [29];

1> if the IE "TFCI combining indicator" associated with a radio link to be added is set to TRUE:

2> if a DSCH transport channel is assigned and there is a 'hard' split in the TFCI field:

3> configure Layer 1 to soft-combine TFCI (field 2) of this new link with those links already in the TFCI (field 2) combining set.

1> set the IE "RRC transaction identifier" in the ACTIVE SET UPDATE COMPLETE message to the value of "RRC transaction identifier" in the entry for the ACTIVE SET UPDATE message in the table "Accepted transactions" in the variable TRANSACTIONS; and

1> clear that entry;

1> transmit an ACTIVE SET UPDATE COMPLETE message on the uplink DCCH using AM RLC without waiting for the completion of the Physical Layer synchronisation B, specified in [29];

1> the procedure ends on the UE side.

[…]

8.6.6.1 Frequency info

If, after completion of the procedure, the UE will be in ~~cell~~ CELL_DCH state~~, the UE shall~~:

1> if the IE "Frequency info" is included:

2> if the frequency is different from the currently used ~~stored active~~ frequency, the UE shall:

3> store and use that frequency indicated by the IE "Frequency Info" ~~as the active frequency~~; and

~~2> tune to that frequency.~~

3> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).

2> if the frequency is the same as the ~~stored active~~curently used frequency:

3> the UE shall:

4> continue to use the ~~stored active~~currently used frequency.

3> and the UE shall:

4> perform the physical layer synchronisation procedure A as specified in [29] (FDD only)

1> if the IE "Frequency info" is not included and the UE has a ~~stored active~~currently used frequency:

2> continue to use the ~~stored active~~currently used frequency.

[…]

8.6.6.11 Uplink DPCH power control info

The UE shall:

1> in FDD:

2> if the IE "Uplink DPCH power control info" is included:

3> if a synchronisation procedure A is performed according to [29]:

4> calculate and set an initial uplink transmission power;

4> start inner loop power control as specified in subclause 8.5.3;

4> for the UL inner loop power control:

5> use the parameters specified in the IE.

[…]

10.2.8 CELL UPDATE CONFIRM

This message confirms the cell update procedure and can be used to reallocate new RNTI information for the UE valid in the new cell.

RLC-SAP: UM

Logical channel: CCCH or DCCH

Direction: UTRAN→UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE Information Elements				
U-RNTI	CV-*CCCH*		U-RNTI 10.3.3.47	
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	CH		Integrity check info 10.3.3.16	
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	
Activation time	MD		Activation time 10.3.3.1	Default value is "now"
New U-RNTI	OP		U-RNTI 10.3.3.47	
New C-RNTI	OP		C-RNTI 10.3.3.8	
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a	
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49	
RLC re-establish indicator (RB2, RB3 and RB4)	MP		RLC re-establish indicator 10.3.3.35	
RLC re-establish indicator (RB5 and upwards)	MP		RLC re-establish indicator 10.3.3.35	
CN Information Elements				
CN Information info	OP		CN Information info 10.3.1.3	
UTRAN Information Elements				
URA identity	OP		URA identity 10.3.2.6	
RB information elements				
RB information to release list	OP	1 to <maxRB>		
>RB information to release	MP		RB information to release 10.3.4.19	
RB information to reconfigure list	OP	1 to <maxRB>		
>RB information to reconfigure	MP		RB information to reconfigure 10.3.4.18	
RB information to be affected list	OP	1 to <maxRB>		
>RB information to be affected	MP		RB information to be affected 10.3.4.17	
Downlink counter synchronisation info	OP			
>RB with PDCP information list	OP	1 to <maxRBallRABs>		This IE is needed for each RB having PDCP in the case of lossless SRNS relocation
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	
TrCH Information Elements				
Uplink transport channels				
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Deleted TrCH information list	OP	1 to <maxTrCH>		
>Deleted UL TrCH information	MP		Deleted UL TrCH information 10.3.5.5	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>		
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2	
CHOICE *mode*	MP			
>FDD				
>>CPCH set ID	OP		CPCH set ID 10.3.5.3	
>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH>		
>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>TDD				(no data)
Downlink transport channels				
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	
Deleted TrCH information list	OP	1 to <maxTrCH>		
>Deleted DL TrCH information	MP		Deleted DL TrCH information 10.3.5.4	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>		
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1	
PhyCH information elements				
Frequency info	~~MD~~OP		Frequency info 10.3.6.36	~~Default value is the existing value of frequency information~~
Uplink radio resources				
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power
CHOICE *channel requirement*	OP			
>Uplink DPCH info			Uplink DPCH info 10.3.6.88.	
>CPCH SET Info			CPCH SET Info 10.3.6.13	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Downlink radio resources				
CHOICE *mode*	MP			
>FDD				
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30	
>TDD				(no data)
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24	
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link to be set-up
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27	

Condition	Explanation
CCCH	This IE is mandatory present when CCCH is used and ciphering is not required and not needed otherwise.

[…]

10.2.22 PHYSICAL CHANNEL RECONFIGURATION

This message is used by UTRAN to assign, replace or release a set of physical channels used by a UE.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE Information Elements				
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	CH		Integrity check info 10.3.3.16	
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	
Activation time	MD		Activation time 10.3.3.1	Default value is "now"
New U-RNTI	OP		U-RNTI 10.3.3.47	
New C-RNTI	OP		C-RNTI 10.3.3.8	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a	
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a	
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49	
CN Information Elements				
CN Information info	OP		CN Information info 10.3.1.3	
UTRAN mobility information elements				
URA identity	OP		URA identity 10.3.2.6	
RB information elements				
Downlink counter synchronisation info	OP			
>RB with PDCP information list	OP	1 to <maxRBallRABs>		This IE is needed for each RB having PDCP in the case of lossless SRNS relocation
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	
PhyCH information elements				
Frequency info	~~MD~~OP		Frequency info 10.3.6.36	~~Default value is the existing value of frequency information~~
Uplink radio resources				
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing value of the maximum allowed UL TX power
CHOICE *channel requirement*	OP			
>Uplink DPCH info			Uplink DPCH info 10.3.6.88	
>CPCH SET Info			CPCH SET Info 10.3.6.13	
>CPCH set ID			CPCH set ID 10.3.5.3	
Downlink radio resources				
CHOICE *mode*	MP			
>FDD				
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30	
>TDD				(no data)
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24	
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27	

[…]

10.2.27 RADIO BEARER RECONFIGURATION

This message is sent from UTRAN to reconfigure parameters related to a change of QoS. This procedure can also change the multiplexing of MAC, reconfigure transport channels and physical channels.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Message Type	MP		Message Type		
UE Information elements					
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36		
Integrity check info	CH		Integrity check info 10.3.3.16		
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19		
Ciphering mode info	OP		Ciphering mode info 10.3.3.5		
Activation time	MD		Activation time 10.3.3.1	Default value is "now"	
New U-RNTI	OP		U-RNTI 10.3.3.47		
New C-RNTI	OP		C-RNTI 10.3.3.8		
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a		
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a		
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49		
CN information elements					
CN Information info	OP		CN Information info 10.3.1.3		
UTRAN mobility information elements					
URA identity	OP		URA identity 10.3.2.6		
RB information elements					
RAB information to reconfigure list	OP	1 to < maxRABsetup >			
>RAB information to reconfigure	MP		RAB information to reconfigure 10.3.4.11		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
RB information to reconfigure list	MP	1to <maxRB>		Although this IE is not always required, need is MP to align with ASN.1	
	OP				REL-4
>RB information to reconfigure	MP		RB information to reconfigure 10.3.4.18		
RB information to be affected list	OP	1 to <maxRB>			
>RB information to be affected	MP		RB information to be affected 10.3.4.17		
TrCH Information Elements					
Uplink transport channels					
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24		
Deleted TrCH information list	OP	1 to <maxTrCH>			
>Deleted UL TrCH information	MP		Deleted UL TrCH information 10.3.5.5		
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>			
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2		
CHOICE *mode*	OP				
>FDD					
>>CPCH set ID	OP		CPCH set ID 10.3.5.3		
>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH>			
>>>DRAC static information	MP		DRAC static information 10.3.5.7		
>TDD				(no data)	
Downlink transport channels					
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6		
Deleted TrCH information list	OP	1 to <maxTrCH>			
>Deleted DL TrCH information	MP		Deleted DL		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
			TrCH information 10.3.5.4		
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>			
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1		
PhyCH information elements					
Frequency info	~~MD~~OP		Frequency info 10.3.6.36	~~Default value is the existing value of frequency information~~	
Uplink radio resources					
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power	
CHOICE *channel requirement*	OP				
>Uplink DPCH info			Uplink DPCH info 10.3.6.88		
>CPCH SET Info			CPCH SET Info 10.3.6.13		
Downlink radio resources					
CHOICE *mode*	MP				
>FDD					
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30		
>TDD				(no data)	
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24		
Downlink information per radio link list	MP	1 to <maxRL>		Although this IE is not always required, need is MP to align with ASN.1	
	OP				REL-4
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27		

[…]

10.2.30 RADIO BEARER RELEASE

This message is used by UTRAN to release a radio bearer. It can also include modifications to the configurations of transport channels and/or physical channels. It can simultaneously indicate release of a signalling connection when UE is connected to more than one CN domain.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE Information Elements				
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	CH		Integrity check info 10.3.3.16	
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	
Activation time	MD		Activation time 10.3.3.1	Default value is "now"
New U-RNTI	OP		U-RNTI 10.3.3.47	
New C-RNTI	OP		C-RNTI 10.3.3.8	
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a	
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a	
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49	
CN Information Elements				
CN Information info	OP		CN Information info 10.3.1.3	
Signalling Connection release indication	OP		CN domain identity 10.3.1.1	
UTRAN mobility information elements				
URA identity	OP		URA identity 10.3.2.6	
RB Information Elements				
RAB information to reconfigure list	OP	1 to < maxRABsetup >		
>RAB information to reconfigure	MP		RAB information to reconfigure 10.3.4.11	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
RB information to release list	MP	1 to <maxRB>		
>RB information to release	MP		RB information to release 10.3.4.19	
RB information to be affected list	OP	1 to <maxRB>		
>RB information to be affected	MP		RB information to be affected 10.3.4.17	
Downlink counter synchronisation info	OP			
>RB with PDCP information list	OP	1 to <maxRBallRABs>		This IE is needed for each RB having PDCP in the case of lossless SRNS relocation
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	
TrCH Information Elements				
Uplink transport channels				
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
Deleted TrCH information list	OP	1 to <maxTrCH>		
>Deleted UL TrCH information	MP		Deleted UL TrCH information 10.3.5.5	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>		
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2	
CHOICE *mode*	OP			
>FDD				
>>CPCH set ID	OP		CPCH set ID 10.3.5.3	
>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH>		
>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>TDD				(no data)
Downlink transport channels				
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Deleted TrCH information list	OP	1 to <maxTrCH>		
>Deleted DL TrCH information	MP		Deleted DL TrCH information 10.3.5.4	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>		
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1	
PhyCH information elements				
Frequency info	~~MD~~OP		Frequency info 10.3.6.36	~~Default value is the existing value of frequency information~~
Uplink radio resources				
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power
CHOICE *channel requirement*	OP			
>Uplink DPCH info			Uplink DPCH info 10.3.6.88	
>CPCH SET Info			CPCH SET Info 10.3.6.13	
Downlink radio resources				
CHOICE *mode*	MP			
>FDD				
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30	
>TDD				(no data)
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24	
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link to be set-up
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27	

[…]

10.2.33 RADIO BEARER SETUP

This message is sent by UTRAN to the UE to establish new radio bearer(s). It can also include modifications to the configurations of transport channels and/or physical channels.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE Information Elements				
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	CH		Integrity check info 10.3.3.16	
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	
Activation time	MD		Activation time 10.3.3.1	Default value is "now"
New U-RNTI	OP		U-RNTI 10.3.3.47	
New C-RNTI	OP		C-RNTI 10.3.3.8	
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a	
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a	
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49	
CN Information Elements				
CN Information info	OP		CN Information info 10.3.1.3	
UTRAN mobility information elements				
URA identity	OP		URA identity 10.3.2.6	
RB Information Elements				
Signalling RB information to setup list	OP	1 to <maxSRBsetup>		For each signalling radio bearer established
>Signalling RB information to setup	MP		Signalling RB information to setup 10.3.4.24	
RAB information to setup list	OP	1 to <maxRABsetup>		For each RAB established
>RAB information for setup	MP		RAB information for setup 10.3.4.10	
RB information to be affected list	OP	1 to <maxRB>		
>RB information to be affected	MP		RB information to be affected 10.3.4.17	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Downlink counter synchronisation info	OP			
>RB with PDCP information list	OP	1 to <maxRBallRABs>		This IE is needed for each RB having PDCP in the case of lossless SRNS relocation
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	
TrCH Information Elements				
Uplink transport channels				
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
Deleted TrCH information list	OP	1 to <maxTrCH>		
>Deleted UL TrCH information	MP		Deleted UL TrCH information 10.3.5.5	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>		
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2	
CHOICE *mode*	OP			
>FDD				
>>CPCH set ID	OP		CPCH set ID 10.3.5.3	
>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH>		
>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>TDD				(no data)
Downlink transport channels				
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels10.3.5.6	
Deleted TrCH information list	OP	1 to <maxTrCH>		
>Deleted DL TrCH information	MP		Deleted DL TrCH information 10.3.5.4	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>		
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
			information 10.3.5.1	
PhyCH information elements				
Frequency info	~~MD~~OP		Frequency info 10.3.6.36	~~Default value is the existing value of frequency information~~
Uplink radio resources				
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power
CHOICE *channel requirement*	OP			
>Uplink DPCH info			Uplink DPCH info 10.3.6.88	
>CPCH SET Info			CPCH SET Info 10.3.6.13	
Downlink radio resources				
CHOICE *mode*	MP			
>FDD				
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30	
>TDD				(no data)
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24	
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27	

[…]

10.2.40 RRC CONNECTION SETUP

This message is used by the network to accept the establishment of an RRC connection for an UE, including assignment of signalling link information, transport channel information and optionally physical channel information.

RLC-SAP: UM

Logical channel: CCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Message Type	MP		Message Type		
UE Information Elements					
Initial UE identity	MP		Initial UE identity 10.3.3.15		
RRC transaction identifier	MP		RRC transaction identifier		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
			10.3.3.36		
Activation time	MD		Activation time 10.3.3.1	Default value is "now"	
New U-RNTI	MP		U-RNTI 10.3.3.47		
New C-RNTI	OP		C-RNTI 10.3.3.8		
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a		
UTRAN DRX cycle length coefficient	MP		UTRAN DRX cycle length coefficient 10.3.3.49		
Capability update requirement	MD		Capability update requirement 10.3.3.2	Default value is defined in subclause 10.3.3.2	
RB Information Elements					
Signalling RB information to setup list	MP	3 to 4			
>Signalling RB information to setup	MP		Signalling RB information to setup 10.3.4.24		
TrCH Information Elements					
Uplink transport channels					
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24		
Added or Reconfigured TrCH information list	MP	1 to <maxTrCH>		Although this IE is not required when the IE "RRC state indicator" is set to "CELL_FACH", need is MP to align with ASN.1	
	OP				REL-4
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2		
Downlink transport channels					
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6		
Added or Reconfigured TrCH information list	MP	1 to <maxTrCH>		Although this IE is not required when the IE "RRC state indicator" is set to "CELL_FACH", need is MP to align with ASN.1	
	OP				REL-4
>Added or Reconfigured DL	MP		Added or		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
TrCH information			Reconfigured DL TrCH information 10.3.5.1		
PhyCH information elements					
Frequency info	~~MD~~OP		Frequency info 10.3.6.36	~~Default value is the existing value of frequency information~~	
Uplink radio resources					
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power	
CHOICE *channel requirement*	OP				
>Uplink DPCH info			Uplink DPCH info 10.3.6.88		
>CPCH SET Info			CPCH SET Info 10.3.6.13		
Downlink radio resources					
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24		
Downlink information per radio link list	OP	1 to <MaxRL>		Send downlink information for each radio link to be set-up	
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27		

[…]

10.2.50 TRANSPORT CHANNEL RECONFIGURATION

This message is used by UTRAN to configure the transport channel of a UE. This also includes a possible reconfiguration of physical channels. The message can also be used to assign a TFC subset and reconfigure physical channel.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE Information Elements				
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	CH		Integrity check info 10.3.3.16	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	
Activation time	MD		Activation time 10.3.3.1	Default value is "now"
New U-RNTI	OP		U-RNTI 10.3.3.47	
New C-RNTI	OP		C-RNTI 10.3.3.8	
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a	
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a	
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49	
CN Information Elements				
CN Information info	OP		CN Information info 10.3.1.3	
UTRAN mobility information elements				
URA identity	OP		URA identity 10.3.2.6	
RB information elements				
Downlink counter synchronisation info	OP			
>RB with PDCP information list	OP	1 to <maxRBallRABs>		This IE is needed for each RB having PDCP in the case of lossless SRNS relocation
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	
TrCH Information Elements				
Uplink transport channels				
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>		
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2	
CHOICE *mode*	OP			
>FDD				
>>CPCH set ID	OP		CPCH set ID 10.3.5.3	
>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH>		
>>>DRAC static information	MP		DRAC static	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
			information 10.3.5.7	
>TDD				(no data)
Downlink transport channels				
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>		
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1	
PhyCH information elements				
Frequency info	~~MD~~OP		Frequency info 10.3.6.36	~~Default value is the existing value of frequency information~~
Uplink radio resources				
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power
CHOICE *channel requirement*	OP			
>Uplink DPCH info			Uplink DPCH info 10.3.6.88	
>CPCH SET Info			CPCH SET Info 10.3.6.13	
Downlink radio resources				
CHOICE *mode*	MP			
>FDD				
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30	
>TDD				(no data)
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24	
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27	

[…]

3GPP TSG-RAN2 Meeting #31
Arlanda, Sweden, 19-23 August, 2002

Tdoc ⌘ R2-022436

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **1682** ⌘ **rev** **-** ⌘ Current version: **5.1.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☒ Radio Access Network ☒ Core Network ☐

Title:	⌘	Handover corrections
Source:	⌘	TSG-RAN WG2
Work item code:	⌘	TEI
Date:	⌘	19 August 2002
Category:	⌘	**A**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 *(GSM Phase 2)*
R96 *(Release 1996)*
R97 *(Release 1997)*
R98 *(Release 1998)*
R99 *(Release 1999)*
Rel-4 *(Release 4)*
Rel-5 *(Release 5)*
Rel-6 *(Release 6)*

Reason for change: ⌘

1. The current procedures only refer to synchronization procedure, without clarifying which of the two possible procedures (A or B) should be applied in each case.

2. If the UE receives the IE "Frequency info" that includes the currently used frequency, it is not clear if a syncronization procedure has to be applied or not.

~~3. It is not clear if reconfiguration messages can be used to perform soft handover~~

Summary of change: ⌘

1. The use of synchronization procedures A and B is clarified wherever applicable.

2. If the UE receives the IE "Frequency info" while in DCH, it shall perform synchronization procedure ~~B~~A, regardless of the actual value received.

~~3. It is clarified that reconfiguration messages can not be used to perform soft handover.~~

Isolated Impact Change Analysis.

These changes correct or clarify the handover procedure.
1. The changes are aligning the signalling specs with the physical layer specs and should be seen as a clarification

2. If the UE does not implement this change, it may fail to perform the appropriate synchronization procedure, resulting in a higher probability of dropped call.

~~3. Removal of feature. If the UE does not support this change and UTRAN does, there are no problems, since the soft handover procedure with reconfiguration messages will never be attempted by UTRAN. If the UE supports this and UTRAN does not, the UE behaviour will be unspecified in case UTRAN attempts soft handover with reconfiguration messages. This may result in a dropped call.~~

It would not affect implementations behaving like indicated in the CR, it would affect implementations supporting the corrected functionality otherwise.

Impacts to the test specifications
1. No impacts
2. All tests reviewed assign a new value for "Frequency info". No impacts.
~~3. No impacts~~

Consequences if not approved:	⌘	1. UE may apply the wrong synchronization procedure 2. Unspecified behaviour for the UE when the current frequency is included in the IE "Frequency info" ~~3. Unspecified behaviour of the UE when soft handover is attempted with a reconfiguration message~~

Clauses affected:	⌘	8.1.3.6, 8.2.2.3, 8.3.1.6, 8.3.4.3, 8.6.6.1, 8.6.6.11, 10.2.8, 10.2.22, 10.2.27, 10.2.30, 10.2.33, 10.2.40, 10.2.50

		Y	N		
Other specs affected:	⌘		X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	

Other comments:	⌘	

How to create CRs using this form:
Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

[…]

8.1.3.6 Reception of an RRC CONNECTION SETUP message by the UE

The UE shall compare the value of the IE "Initial UE identity" in the received RRC CONNECTION SETUP message with the value of the variable INITIAL_UE_IDENTITY.

If the values are different, the UE shall:

1> ignore the rest of the message.

If the values are identical, the UE shall:

1> stop timer T300, and act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:

2> if the UE will be in the CELL_FACH state at the conclusion of this procedure:

3> if the IE "Frequency info" is included:

4> select a suitable UTRA cell according to [4] on that frequency;

3> select PRACH according to subclause 8.5.17;

3> select Secondary CCPCH according to subclause 8.5.19;

3> ignore the IE "UTRAN DRX cycle length coefficient" and stop using DRX.

1> if the UE will be in the CELL_DCH state at the conclusion of this procedure:

~~1~~2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);

1> enter UTRA RRC connected mode, in a state according to subclause 8.6.3.3;

1> submit an RRC CONNECTION SETUP COMPLETE message to the lower layers on the uplink DCCH after successful state transition per subclause 8.6.3.3, with the contents set as specified below:

2> set the IE "RRC transaction identifier" to:

3> the value of "RRC transaction identifier" in the entry for the RRC CONNECTION SETUP message in the table "Accepted transactions" in the variable TRANSACTIONS; and

3> clear that entry.

2> if the USIM or SIM is present:

3> set the "START" for each CN domain in the IE "START list" in the RRC CONNECTION SETUP COMPLETE message with the corresponding START value that is stored in the USIM [50] if present, or as stored in the UE if the SIM is present; and then

3> set the START value stored in the USIM [50] if present, and as stored in the UE if the SIM is present for any CN domain to the value "THRESHOLD" of the variable START_THRESHOLD.

2> if neither the USIM nor SIM is present:

3> set the "START" for each CN domain in the IE "START list" in the RRC CONNECTION SETUP COMPLETE message to zero;

3> set the value of "THRESHOLD" in the variable "START_THRESHOLD" to the default value [40].

2> retrieve its UTRA UE radio access capability information elements from variable UE_CAPABILITY_REQUESTED; and then

2> include this in IE "UE radio access capability" and IE "UE radio access capability extension", provided this IE is included in variable UE_CAPABILITY_REQUESTED;

2> retrieve its inter-RAT-specific UE radio access capability information elements from variable UE_CAPABILITY_REQUESTED; and then

2> include this in IE "UE system specific capability".

When the RRC CONNECTION SETUP COMPLETE message has been submitted to lower layers for transmission the UE shall:

1> if the UE has entered CELL_FACH state:

2> start timer T305 using its initial value if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS.

1> store the contents of the variable UE_CAPABILITY_REQUESTED in the variable UE_CAPABILITY_TRANSFERRED;

1> initialise variables upon entering UTRA RRC connected mode as specified in subclause 13.4;

1> consider the procedure to be successful;

And the procedure ends.

[…]

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall be able to receive any of the following messages:

- RADIO BEARER SETUP message; or
- RADIO BEARER RECONFIGURATION message; or
- RADIO BEARER RELEASE message; or
- TRANSPORT CHANNEL RECONFIGURATION message; or
- PHYSICAL CHANNEL RECONFIGURATION message.

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);

1> apply the hard handover procedure as specified in subclause 8.3.5;

1> be able to perform this procedure even if no prior UE measurements have been performed on the target cell and/or frequency.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message:

it shall:

1> set the variable ORDERED_RECONFIGURATION to TRUE;

1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:

~~1~~2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);

1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:

2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and

2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.

1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

1> handle the message as if IE "RB information to reconfigure" was absent.

NOTE: The RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

If after state transition the UE enters CELL_DCH state, the UE shall, after the state transition:

1> in FDD; or

1> in TDD when "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

2> remove any C-RNTI from MAC;

2> clear the variable C_RNTI.

In FDD, if after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

1> remove any DSCH-RNTI from MAC;

1> clear the variable DSCH_RNTI.

If the UE was in CELL_DCH state upon reception of the reconfiguration message and remains in CELL_DCH state, the UE shall:

1> if the IE "Uplink DPCH Info" is absent, not change its current UL Physical channel configuration;

1> if the IE "Downlink information for each radio link" is absent, not change its current DL Physical channel configuration.

1> in TDD:

2> if "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

3> remove any C-RNTI from MAC;

3> clear the variable C_RNTI.

NOTE: The RADIO BEARER RECONFIGURATION message always includes the IE "Downlink information per radio link list" containing the mandatory IEs, even if UTRAN does not require the reconfiguration of any RL. In FDD, if the UE receives a RADIO BEARER RECONFIGURATION message where the IE "Downlink information per radio link list" includes only a number of "Primary CPICH Info" IEs, but the correct Primary CPICH for each of the cells in the active set is not included, then the UE behaviour is undefined.

If after state transition the UE enters CELL_FACH state, the UE shall, after the state transition:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

1> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

2> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

2> when the cell update procedure completed successfully:

3> if the UE is in CELL_PCH or URA_PCH state:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";

4> proceed as below.

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select PRACH according to subclause 8.5.17;

1> select Secondary CCPCH according to subclause 8.5.19;

1> use the transport format set given in system information;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> ignore that IE and stop using DRX.

1> if the contents of the variable C_RNTI is empty:

2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

2> when the cell update procedure completed successfully:

3> if the UE is in CELL_PCH or URA_PCH state:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";

4> proceed as below.

If the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state, the UE shall:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency;

2> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

3> when the cell update procedure completed successfully:

4> proceed as below.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or

1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:

2> re-establish RB2;

2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);

2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;

2> calculate the START value according to subclause 8.5.9;

2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".

1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":

2> if the variable START_VALUE_TO_TRANSMIT is set:

3> include and set the IE "START" to the value of that variable.

2> if the variable START_VALUE_TO_TRANSMIT is not set and the IE "New U-RNTI" is included:

3> calculate the START value according to subclause 8.5.9;

3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".

2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:

3> calculate the START value according to subclause 8.5.9;

3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".

1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

1> if the received reconfiguration message contained the IE "Ciphering mode info":

2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH":

2> if prior to this procedure there exist no transparent mode RLC radio bearers for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":

3> if, at the conclusion of this procedure, the UE will be in CELL_DCH state; and

3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":

4> include the IE "COUNT-C activation time" and specify a CFN value for this IE.

NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration messages unless it is also used to perform an SRNS relocation with change of ciphering algorithm.

1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and

1> clear that entry;

1> if the variable PDCP_SN_INFO is not empty:

2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP_SN_INFO.

1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):

2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.

1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters CELL_PCH or URA_PCH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

1> if the UE enters CELL_PCH state from CELL_DCH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

2> when the cell update procedure completed successfully:

3> the procedure ends.

1> if the UE enters CELL_PCH state from CELL_FACH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE:

2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

2> when the cell update procedure is successfully completed:

3> the procedure ends.

1> if the UE enters URA_PCH state, and after cell selection the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled:

2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";

2> when the URA update procedure is successfully completed:

3> the procedure ends.

[…]

8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U_RNTI; or
- if the message is received on DCCH:

the UE shall:

1> stop timer T302;

1> in case of a cell update procedure and the CELL UPDATE CONFIRM message:

2> includes "RB information elements"; and/or

2> includes "Transport channel information elements"; and/or

2> includes "Physical channel information elements"; and

2> if the variable ORDERED_RECONFIGURATION is set to FALSE:

3> set the variable ORDERED_RECONFIGURATION to TRUE.

1> act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:

2> if the IE "Frequency info" is included in the message:

3> if the IE "RRC State Indicator" is set to the value "CELL_FACH" or "CELL_PCH" or URA_PCH":

4> select a suitable UTRA cell according to [4] on that frequency;

4> act as specified in subclause 8.3.1.12.

3> if the IE "RRC State Indicator" is set to the value "CELL_DCH":

4> act on the IE "Frequency info" as specified in subclause 8.6.6.1.

2> use the transport channel(s) applicable for the physical channel types that is used; and

2> if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):

3> use the TFS given in system information.

2> if none of the TFS stored is compatible with the physical channel:

3> delete the stored TFS;

3> use the TFS given in system information.

~~2> perform the physical layer synchronisation procedure as specified in [29];~~

2> if the CELL UPDATE CONFIRM message includes the IE "RLC re-establish indicator (RB2, RB3 and RB4)":

3> re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);

3> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN is set to "Started":

4> set the HFN component of the respective COUNT-C values for AM RLC entities with RB identity 2,RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest transmitted CELL UPDATE message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN.

2> if the CELL UPDATE CONFIRM message includes the IE "RLC re-establish indicator (RB5 and upwards)":

3> for radio bearers with RB identity 5 and upwards:

4> re-establish the AM RLC entities;

4> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":

5> set the HFN component of the respective COUNT-C values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS.

1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

1> enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.

If the UE after state transition enters CELL_DCH state, it shall:

1> <u>perform the physical layer synchronisation procedure A as specified in [29] (FDD only);</u>

1> not prohibit periodical status transmission in RLC.

If the UE after state transition remains in CELL_FACH state, it shall

1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

1> select PRACH according to subclause 8.5.17;

1> select Secondary CCPCH according to subclause 8.5.19;

1> not prohibit periodical status transmission in RLC;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> ignore that IE and stop using DRX.

If the UE after state transition enters URA_PCH or CELL_PCH state, it shall:

1> prohibit periodical status transmission in RLC;

1> clear the variable C_RNTI;

1> stop using that C_RNTI just cleared from the variable C_RNTI in MAC;

1> start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2 in CELL_PCH state.

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

If the UE after the state transition remains in CELL_FACH state; and

1> the contents of the variable C_RNTI are empty:

it shall check the value of V302; and:

1> if V302 is equal to or smaller than N302:

2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

3> the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE; and/or

3> the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to TRUE:

4> abort the ongoing integrity and/or ciphering reconfiguration;

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

5> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

5> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

5> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

5> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

2> in case of a URA update procedure:

3> stop the URA update procedure; and

3> continue with a cell update procedure.

2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";

2> submit the CELL UPDATE message for transmission on the uplink CCCH;

2> increment counter V302;

2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.

1> if V302 is greater than N302:

2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;

2> in case of a cell update procedure:

3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> in case of a URA update procedure:

3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> release all its radio resources;

2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;

2> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;

2> clear the variable ESTABLISHED_RABS;

2> enter idle mode;

2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;

2> and the procedure ends.

If the UE after the state transition remains in CELL_FACH state; and

- a C-RNTI is stored in the variable C_RNTI;

or

- the UE after the state transition moves to another state than the CELL_FACH state:

the UE shall:

1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

2> include and set the IE "Radio bearer uplink ciphering activation time info" in any response message transmitted below to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> in case of a cell update procedure:

2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry.

1> in case of a URA update procedure:

2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry;

1> if the variable PDCP_SN_INFO is non-empty:

2> include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP_SN_INFO.

1> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":

2> re-establish RB2;

2> set the new uplink and downlink HFN component of the COUNT-C of RB2 to MAX(uplink HFN component of the COUNT-C of RB2, downlink HFN component of the COUNT-C of RB2);

2> increment by one the downlink and uplink values of the HFN component of the COUNT-C for RB2;

2> calculate the START value according to subclause 8.5.9;

2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.

1> transmit a response message as specified in subclause 8.3.1.7;

1> if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

1> if the variable ORDERED_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:

2> set the variable ORDERED_RECONFIGURATION to FALSE.

1> clear the variable PDCP_SN_INFO;

1> when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:

2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;

3> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

3> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;

3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;

3> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE.

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

1> in case of a cell update procedure:

2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

1> in case of a URA update procedure:

2> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

1> set the variable CELL_UPDATE_STARTED to FALSE;

1> clear the variable SECURITY_MODIFICATION.

The procedure ends.

[…]

8.3.4.3 Reception of an ACTIVE SET UPDATE message by the UE

Upon reception of an ACTIVE SET UPDATE message the UE shall act upon all received information elements as specified in 8.6, unless specified otherwise in the following. The UE shall:

1> first add the RLs indicated in the IE "Radio Link Addition Information";

1> remove the RLs indicated in the IE "Radio Link Removal Information". If the UE active set is full or becomes full, an RL, which is included in the IE "Radio Link Removal Information" for removal, shall be removed before adding RL, which is included in the IE "Radio Link Addition Information" for addition;

1> perform the physical layer synchronisation procedure B as specified in [29];

1> if the IE "TFCI combining indicator" associated with a radio link to be added is set to TRUE:

2> if a DSCH transport channel is assigned and there is a 'hard' split in the TFCI field:

3> configure Layer 1 to soft-combine TFCI (field 2) of this new link with those links already in the TFCI (field 2) combining set.

1> set the IE "RRC transaction identifier" in the ACTIVE SET UPDATE COMPLETE message to the value of "RRC transaction identifier" in the entry for the ACTIVE SET UPDATE message in the table "Accepted transactions" in the variable TRANSACTIONS; and

1> clear that entry;

1> transmit an ACTIVE SET UPDATE COMPLETE message on the uplink DCCH using AM RLC without waiting for the completion of the Physical Layer synchronisation B, specified in [29];

1> the procedure ends on the UE side.

[…]

8.6.6.1 Frequency info

If, after completion of the procedure, the UE will be in ~~cell~~ CELL_DCH state~~, the UE shall~~:

1> if the IE "Frequency info" is included:

2> if the frequency is different from the currently used ~~stored active~~ frequency, the UE shall:

3> store and use that frequency indicated by the IE "Frequency Info" ~~as the active frequency~~; and

~~2> tune to that frequency.~~

3> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).

2> if the frequency is the same as the ~~stored active~~curently used frequency:

3> the UE shall:

4> continue to use the ~~stored active~~currently used frequency.

3> and the UE shall:

4> perform the physical layer synchronisation procedure A as specified in [29] (FDD only)

1> if the IE "Frequency info" is not included and the UE has a ~~stored active~~currently used frequency:

2> continue to use the ~~stored active~~currently used frequency.

[…]

8.6.6.11 Uplink DPCH power control info

The UE shall:

1> in FDD:

2> if the IE "Uplink DPCH power control info" is included:

3> if a synchronisation procedure A is performed according to [29]:

4> calculate and set an initial uplink transmission power;

4> start inner loop power control as specified in subclause 8.5.3;

4> for the UL inner loop power control:

5> use the parameters specified in the IE.

[…]

10.2.8 CELL UPDATE CONFIRM

This message confirms the cell update procedure and can be used to reallocate new RNTI information for the UE valid in the new cell.

RLC-SAP: UM

Logical channel: CCCH or DCCH

Direction: UTRAN→UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Message Type	MP		Message Type		
UE Information Elements					
U-RNTI	CV-*CCCH*		U-RNTI 10.3.3.47		
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36		
Integrity check info	CH		Integrity check info 10.3.3.16		
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19		
Ciphering mode info	OP		Ciphering mode info 10.3.3.5		
Activation time	MD		Activation time 10.3.3.1	Default value is "now"	
New U-RNTI	OP		U-RNTI 10.3.3.47		
New C-RNTI	OP		C-RNTI 10.3.3.8		
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a		
New H-RNTI	OP		H-RNTI 10.3.3.14a		REL-5

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
RRC State Indicator	MP		RRC State Indicator 10.3.3.10		
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49		
RLC re-establish indicator (RB2, RB3 and RB4)	MP		RLC re-establish indicator 10.3.3.35		
RLC re-establish indicator (RB5 and upwards)	MP		RLC re-establish indicator 10.3.3.35		
CN Information Elements					
CN Information info	OP		CN Information info 10.3.1.3		
UTRAN Information Elements					
URA identity	OP		URA identity 10.3.2.6		
RB information elements					
RB information to release list	OP	1 to <maxRB>			
>RB information to release	MP		RB information to release 10.3.4.19		
RB information to reconfigure list	OP	1 to <maxRB>			
>RB information to reconfigure	MP		RB information to reconfigure 10.3.4.18		
RB information to be affected list	OP	1 to <maxRB>			
>RB information to be affected	MP		RB information to be affected 10.3.4.17		
Downlink counter synchronisation info	OP				
>RB with PDCP information list	OP	1 to <maxRBallRABs>			
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	This IE is needed for each RB having PDCP in the case of lossless SRNS relocation	
	OP				REL-5
>>PDCP context relocation info	OP		PDCP context relocation info 10.3.4.1a	This IE is needed for each RB having PDCP and performing PDCP context relocation	REL-5
TrCH Information Elements					
Uplink transport channels					
UL Transport channel information common for all transport channels	OP		UL Transport channel information		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
			common for all transport channels 10.3.5.24		
Deleted TrCH information list	OP	1 to <maxTrCH>			
>Deleted UL TrCH information	MP		Deleted UL TrCH information 10.3.5.5		
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>			
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2		
CHOICE *mode*	MP				
>FDD					
>>CPCH set ID	OP		CPCH set ID 10.3.5.3		
>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH>			
>>>DRAC static information	MP		DRAC static information 10.3.5.7		
>TDD				(no data)	
Downlink transport channels					
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6		
Deleted TrCH information list	OP	1 to <maxTrCH>			
>Deleted DL TrCH information	MP		Deleted DL TrCH information 10.3.5.4		
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>			
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1		
PhyCH information elements					
Frequency info	~~MD~~OP		Frequency info 10.3.6.36	~~Default value is the existing value of frequency information~~	
Uplink radio resources					
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power	
CHOICE *channel requirement*	OP				
>Uplink DPCH info			Uplink		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
			DPCH info 10.3.6.88.		
>CPCH SET Info			CPCH SET Info 10.3.6.13		
Downlink radio resources					
CHOICE *mode*	MP				
>FDD					
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30		
>TDD				(no data)	
Downlink HS-PDSCH Information	OP		Downlink HS_PDSCH Information 10.3.6.23a		REL-5
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24		
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link to be set-up	
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27		

Condition	Explanation
CCCH	This IE is mandatory present when CCCH is used and ciphering is not required and not needed otherwise.

[…]

10.2.22 PHYSICAL CHANNEL RECONFIGURATION

This message is used by UTRAN to assign, replace or release a set of physical channels used by a UE.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Message Type	MP		Message Type		
UE Information Elements					
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36		
Integrity check info	CH		Integrity check info 10.3.3.16		
Integrity protection mode info	OP		Integrity protection		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
			mode info 10.3.3.19		
Ciphering mode info	OP		Ciphering mode info 10.3.3.5		
Activation time	MD		Activation time 10.3.3.1	Default value is "now"	
New U-RNTI	OP		U-RNTI 10.3.3.47		
New C-RNTI	OP		C-RNTI 10.3.3.8		
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a		
New H-RNTI	OP		H-RNTI 10.3.3.14a		REL-5
RRC State Indicator	MP		RRC State Indicator 10.3.3.10		
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49		
CN Information Elements					
CN Information info	OP		CN Information info 10.3.1.3		
UTRAN mobility information elements					
URA identity	OP		URA identity 10.3.2.6		
RB information elements					
Downlink counter synchronisation info	OP				
>RB with PDCP information list	OP	1 to <maxRBallRABs>			
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	This IE is needed for each RB having PDCP in the case of lossless SRNS relocation	
	OP				REL-5
>>PDCP context relocation info	OP		PDCP context relocation info 10.3.4.1a	This IE is needed for each RB having PDCP and performing PDCP context relocation	REL-5
PhyCH information elements					
Frequency info	~~MD~~OP		Frequency info 10.3.6.36	~~Default value is the existing value of frequency information~~	
Uplink radio resources					
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing value of the maximum allowed UL TX power	
CHOICE *channel requirement*	OP				
>Uplink DPCH info			Uplink DPCH info 10.3.6.88		
>CPCH SET Info			CPCH SET Info		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
			10.3.6.13		
>CPCH set ID			CPCH set ID 10.3.5.3		
Downlink radio resources					
CHOICE *mode*	MP				
>FDD					
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30		
>TDD				(no data)	
Downlink HS-PDSCH Information	OP		Downlink HS_PDSCH Information 10.3.6.23a		REL-5
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24		
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link	
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27		

[…]

10.2.27 RADIO BEARER RECONFIGURATION

This message is sent from UTRAN to reconfigure parameters related to a change of QoS. This procedure can also change the multiplexing of MAC, reconfigure transport channels and physical channels.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Message Type	MP		Message Type		
UE Information elements					
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36		
Integrity check info	CH		Integrity check info 10.3.3.16		
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19		
Ciphering mode info	OP		Ciphering mode info 10.3.3.5		
Activation time	MD		Activation time 10.3.3.1	Default value is "now"	
New U-RNTI	OP		U-RNTI 10.3.3.47		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
New C-RNTI	OP		C-RNTI 10.3.3.8		
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a		
New H-RNTI	OP		H-RNTI 10.3.3.14a		REL-5
RRC State Indicator	MP		RRC State Indicator 10.3.3.10		
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49		
CN information elements					
CN Information info	OP		CN Information info 10.3.1.3		
UTRAN mobility information elements					
URA identity	OP		URA identity 10.3.2.6		
RB information elements					
RAB information to reconfigure list	OP	1 to < maxRABsetup >			
>RAB information to reconfigure	MP		RAB information to reconfigure 10.3.4.11		
RB information to reconfigure list	MP	1to <maxRB>		Although this IE is not always required, need is MP to align with ASN.1	
	OP				REL-4
>RB information to reconfigure	MP		RB information to reconfigure 10.3.4.18		
RB information to be affected list	OP	1 to <maxRB>			
>RB information to be affected	MP		RB information to be affected 10.3.4.17		
RB with PDCP context relocation info list	OP	1 to <maxRBallRABs>		This IE is needed for each RB having PDCP and performing PDCP context relocation	REL-5
>RB identity	MP		RB identity 10.3.4.16		REL-5
>PDCP context relocation info	MP		PDCP context relocation info 10.3.4.1a		REL-5
TrCH Information Elements					
Uplink transport channels					
UL Transport channel information common for all transport channels	OP		UL Transport channel information		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
			common for all transport channels 10.3.5.24		
Deleted TrCH information list	OP	1 to <maxTrCH>			
>Deleted UL TrCH information	MP		Deleted UL TrCH information 10.3.5.5		
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>			
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2		
CHOICE *mode*	OP				
>FDD					
>>CPCH set ID	OP		CPCH set ID 10.3.5.3		
>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH>			
>>>DRAC static information	MP		DRAC static information 10.3.5.7		
>TDD				(no data)	
Downlink transport channels					
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6		
Deleted TrCH information list	OP	1 to <maxTrCH>			
>Deleted DL TrCH information	MP		Deleted DL TrCH information 10.3.5.4		
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>			
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1		
PhyCH information elements					
Frequency info	~~MD~~OP		Frequency info 10.3.6.36	~~Default value is the existing value of frequency information~~	
Uplink radio resources					
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power	
CHOICE *channel requirement*	OP				
>Uplink DPCH info			Uplink		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
			DPCH info 10.3.6.88		
>CPCH SET Info			CPCH SET Info 10.3.6.13		
Downlink radio resources					
CHOICE *mode*	MP				
>FDD					
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30		
>TDD				(no data)	
Downlink HS-PDSCH Information	OP		Downlink HS-PDSCH Information 10.3.6.23a		REL-5
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24		
Downlink information per radio link list	MP	1 to <maxRL>		Although this IE is not always required, need is MP to align with ASN.1	
	OP				REL-4
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27		

[…]

10.2.30 RADIO BEARER RELEASE

This message is used by UTRAN to release a radio bearer. It can also include modifications to the configurations of transport channels and/or physical channels. It can simultaneously indicate release of a signalling connection when UE is connected to more than one CN domain.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Message Type	MP		Message Type		
UE Information Elements					
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36		
Integrity check info	CH		Integrity check info 10.3.3.16		
Integrity protection mode info	OP		Integrity protection mode info		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
			10.3.3.19		
Ciphering mode info	OP		Ciphering mode info 10.3.3.5		
Activation time	MD		Activation time 10.3.3.1	Default value is "now"	
New U-RNTI	OP		U-RNTI 10.3.3.47		
New C-RNTI	OP		C-RNTI 10.3.3.8		
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a		
New H-RNTI	OP		H-RNTI 10.3.3.14a		REL-5
RRC State Indicator	MP		RRC State Indicator 10.3.3.10		
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49		
CN Information Elements					
CN Information info	OP		CN Information info 10.3.1.3		
Signalling Connection release indication	OP		CN domain identity 10.3.1.1		
UTRAN mobility information elements					
URA identity	OP		URA identity 10.3.2.6		
RB Information Elements					
RAB information to reconfigure list	OP	1 to < maxRABsetup >			
>RAB information to reconfigure	MP		RAB information to reconfigure 10.3.4.11		
RB information to release list	MP	1 to <maxRB>			
>RB information to release	MP		RB information to release 10.3.4.19		
RB information to be affected list	OP	1 to <maxRB>			
>RB information to be affected	MP		RB information to be affected 10.3.4.17		
Downlink counter synchronisation info	OP				
>RB with PDCP information list	OP	1 to <maxRBallRABs>			
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	This IE is needed for each RB having PDCP in the case of lossless SRNS relocation	

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
	OP				REL-5
>>PDCP context relocation info	OP		PDCP context relocation info 10.3.4.1a	This IE is needed for each RB having PDCP and performing PDCP context relocation	REL-5
TrCH Information Elements					
Uplink transport channels					
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24		
Deleted TrCH information list	OP	1 to <maxTrCH>			
>Deleted UL TrCH information	MP		Deleted UL TrCH information 10.3.5.5		
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>			
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2		
CHOICE *mode*	OP				
>FDD					
>>CPCH set ID	OP		CPCH set ID 10.3.5.3		
>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH>			
>>>DRAC static information	MP		DRAC static information 10.3.5.7		
>TDD				(no data)	
Downlink transport channels					
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6		
Deleted TrCH information list	OP	1 to <maxTrCH>			
>Deleted DL TrCH information	MP		Deleted DL TrCH information 10.3.5.4		
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>			
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1		
PhyCH information elements					

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Frequency info	~~MD~~OP		Frequency info 10.3.6.36	~~Default value is the existing value of frequency information~~	
Uplink radio resources					
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power	
CHOICE *channel requirement*	OP				
>Uplink DPCH info			Uplink DPCH info 10.3.6.88		
>CPCH SET Info			CPCH SET Info 10.3.6.13		
Downlink radio resources					
CHOICE *mode*	MP				
>FDD					
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30		
>TDD				(no data)	
Downlink HS-PDSCH Information	OP		Downlink HS-PDSCH Information 10.3.6.23a		REL-5
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24		
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link to be set-up	
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27		

[…]

10.2.33 RADIO BEARER SETUP

This message is sent by UTRAN to the UE to establish new radio bearer(s). It can also include modifications to the configurations of transport channels and/or physical channels.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Message Type	MP		Message Type		
UE Information Elements					
RRC transaction identifier	MP		RRC transaction		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
			identifier 10.3.3.36		
Integrity check info	CH		Integrity check info 10.3.3.16		
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19		
Ciphering mode info	OP		Ciphering mode info 10.3.3.5		
Activation time	MD		Activation time 10.3.3.1	Default value is "now"	
New U-RNTI	OP		U-RNTI 10.3.3.47		
New C-RNTI	OP		C-RNTI 10.3.3.8		
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a		
New H-RNTI	OP		H-RNTI 10.3.3.14a		REL-5
RRC State Indicator	MP		RRC State Indicator 10.3.3.10		
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49		
CN Information Elements					
CN Information info	OP		CN Information info 10.3.1.3		
UTRAN mobility information elements					
URA identity	OP		URA identity 10.3.2.6		
RB Information Elements					
Signalling RB information to setup list	OP	1 to <maxSRBsetup>		For each signalling radio bearer established	
>Signalling RB information to setup	MP		Signalling RB information to setup 10.3.4.24		
RAB information to setup list	OP	1 to <maxRABsetup>		For each RAB established	
>RAB information for setup	MP		RAB information for setup 10.3.4.10		
RB information to be affected list	OP	1 to <maxRB>			
>RB information to be affected	MP		RB information to be affected 10.3.4.17		
Downlink counter synchronisation info	OP				
>RB with PDCP information list	OP	1 to <maxRBallRABs>			

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	This IE is needed for each RB having PDCP in the case of lossless SRNS relocation	
	OP				REL-5
>>PDCP context relocation info	OP		PDCP context relocation info 10.3.4.1a	This IE is needed for each RB having PDCP and performing PDCP context relocation	REL-5
TrCH Information Elements					
Uplink transport channels					
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24		
Deleted TrCH information list	OP	1 to <maxTrCH>			
>Deleted UL TrCH information	MP		Deleted UL TrCH information 10.3.5.5		
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>			
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2		
CHOICE *mode*	OP				
>FDD					
>>CPCH set ID	OP		CPCH set ID 10.3.5.3		
>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH>			
>>>DRAC static information	MP		DRAC static information 10.3.5.7		
>TDD				(no data)	
Downlink transport channels					
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels10.3.5.6		
Deleted TrCH information list	OP	1 to <maxTrCH>			
>Deleted DL TrCH information	MP		Deleted DL TrCH information 10.3.5.4		
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>			

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1		
PhyCH information elements					
Frequency info	~~MD~~OP		Frequency info 10.3.6.36	~~Default value is the existing value of frequency information~~	
Uplink radio resources					
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power	
CHOICE *channel requirement*	OP				
>Uplink DPCH info			Uplink DPCH info 10.3.6.88		
>CPCH SET Info			CPCH SET Info 10.3.6.13		
Downlink radio resources					
CHOICE *mode*	MP				
>FDD					
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30		
>TDD				(no data)	
Downlink HS-PDSCH Information	OP		Downlink HS-PDSCH Information 10.3.6.23a		REL-5
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24		
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link	
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27		

[…]

10.2.40 RRC CONNECTION SETUP

This message is used by the network to accept the establishment of an RRC connection for an UE, including assignment of signalling link information, transport channel information and optionally physical channel information.

RLC-SAP: UM

Logical channel: CCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Message Type	MP		Message Type		
UE Information Elements					
Initial UE identity	MP		Initial UE identity 10.3.3.15		
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36		
Activation time	MD		Activation time 10.3.3.1	Default value is "now"	
New U-RNTI	MP		U-RNTI 10.3.3.47		
New C-RNTI	OP		C-RNTI 10.3.3.8		
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a		
UTRAN DRX cycle length coefficient	MP		UTRAN DRX cycle length coefficient 10.3.3.49		
Capability update requirement	MD		Capability update requirement 10.3.3.2	Default value is defined in subclause 10.3.3.2	
RB Information Elements					
Signalling RB information to setup list	MP	3 to 4			
>Signalling RB information to setup	MP		Signalling RB information to setup 10.3.4.24		
TrCH Information Elements					
Uplink transport channels					
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24		
Added or Reconfigured TrCH information list	MP	1 to <maxTrCH>		Although this IE is not required when the IE "RRC state indicator" is set to "CELL_FACH", need is MP to align with ASN.1	
	OP				REL-4
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2		
Downlink transport channels					
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Added or Reconfigured TrCH information list	MP	1 to <maxTrCH>		Although this IE is not required when the IE "RRC state indicator" is set to "CELL_FACH", need is MP to align with ASN.1	
	OP				REL-4
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1		
PhyCH information elements					
Frequency info	~~MD~~OP		Frequency info 10.3.6.36	~~Default value is the existing value of frequency information~~	
Uplink radio resources					
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power	
CHOICE *channel requirement*	OP				
>Uplink DPCH info			Uplink DPCH info 10.3.6.88		
>CPCH SET Info			CPCH SET Info 10.3.6.13		
Downlink radio resources					
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24		
Downlink information per radio link list	OP	1 to <MaxRL>		Send downlink information for each radio link to be set-up	
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27		

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10.2.50 TRANSPORT CHANNEL RECONFIGURATION

This message is used by UTRAN to configure the transport channel of a UE. This also includes a possible reconfiguration of physical channels. The message can also be used to assign a TFC subset and reconfigure physical channel.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Message Type	MP		Message		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
			Type		
UE Information Elements					
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36		
Integrity check info	CH		Integrity check info 10.3.3.16		
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19		
Ciphering mode info	OP		Ciphering mode info 10.3.3.5		
Activation time	MD		Activation time 10.3.3.1	Default value is "now"	
New U-RNTI	OP		U-RNTI 10.3.3.47		
New C-RNTI	OP		C-RNTI 10.3.3.8		
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a		
New H-RNTI	OP		H-RNTI 10.3.3.14a		REL-5
RRC State Indicator	MP		RRC State Indicator 10.3.3.10		
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49		
CN Information Elements					
CN Information info	OP		CN Information info 10.3.1.3		
UTRAN mobility information elements					
URA identity	OP		URA identity 10.3.2.6		
RB information elements					
Downlink counter synchronisation info	OP				
>RB with PDCP information list	OP	1 to <maxRBallRABs>			
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	This IE is needed for each RB having PDCP in the case of lossless SRNS relocation	
	OP				REL-5
>>PDCP context relocation info	OP		PDCP context relocation info 10.3.4.1a	This IE is needed for each RB having PDCP and performing PDCP context relocation	REL-5
TrCH Information Elements					
Uplink transport channels					
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
			all transport channels 10.3.5.24		
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>			
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2		
CHOICE *mode*	OP				
>FDD					
>>CPCH set ID	OP		CPCH set ID 10.3.5.3		
>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH>			
>>>DRAC static information	MP		DRAC static information 10.3.5.7		
>TDD				(no data)	
Downlink transport channels					
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6		
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>			
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1		
PhyCH information elements					
Frequency info	~~MD~~OP		Frequency info 10.3.6.36	~~Default value is the existing value of frequency information~~	
Uplink radio resources					
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power	
CHOICE *channel requirement*	OP				
>Uplink DPCH info			Uplink DPCH info 10.3.6.88		
>CPCH SET Info			CPCH SET Info 10.3.6.13		
Downlink radio resources					
CHOICE *mode*	MP				
>FDD					
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30		
>TDD				(no data)	
Downlink HS-PDSCH Information	OP		Downlink HS-PDSCH		REL-5

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
			Information 10.3.6.23a		
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24		
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link	
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27		

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