

TSG-RAN Meeting #17
Biarritz, France, 3 - 6 September 2002

RP-020545

Title: Agreed CRs (Release '99 and Rel-4/Rel-5 category A) to TS 25.331
Source: TSG-RAN WG2
Agenda item: 7.2.3

| Doc-1st- | Status- | Spec | CR | Rev | Phase | Subject | Cat | Versio | Versio |
|-----------|---------|--------|------|-----|-------|--|-----|--------|--------|
| R2-022262 | agreed | 25.331 | 1585 | | R99 | Correction to the handling of IE "UTRAN DRX cycle length coefficient" in CELL/URA UPDATE procedure | F | 3.11.0 | 3.12.0 |
| R2-022263 | agreed | 25.331 | 1586 | | Rel-4 | Correction to the handling of IE "UTRAN DRX cycle length coefficient" in CELL/URA UPDATE procedure | A | 4.5.0 | 4.6.0 |
| R2-022264 | agreed | 25.331 | 1587 | | Rel-5 | Correction to the handling of IE "UTRAN DRX cycle length coefficient" in CELL/URA UPDATE procedure | A | 5.1.0 | 5.2.0 |
| R2-022268 | agreed | 25.331 | 1588 | | R99 | Correction to RLC unrecoverable error in CELL_DCH state | F | 3.11.0 | 3.12.0 |
| R2-022269 | agreed | 25.331 | 1589 | | Rel-4 | Correction to RLC unrecoverable error in CELL_DCH state | A | 4.5.0 | 4.6.0 |
| R2-022270 | agreed | 25.331 | 1590 | | Rel-5 | Correction to RLC unrecoverable error in CELL_DCH state | A | 5.1.0 | 5.2.0 |
| R2-022271 | agreed | 25.331 | 1591 | | R99 | Use of scrambling change when activating CM pattern using SF/2 by MEASUREMENT CONTROL | F | 3.11.0 | 3.12.0 |
| R2-022272 | agreed | 25.331 | 1592 | | Rel-4 | Use of scrambling change when activating CM pattern using SF/2 by MEASUREMENT CONTROL | A | 4.5.0 | 4.6.0 |
| R2-022273 | agreed | 25.331 | 1593 | | Rel-5 | Use of scrambling change when activating CM pattern using SF/2 by MEASUREMENT CONTROL | A | 5.1.0 | 5.2.0 |
| R2-022274 | agreed | 25.331 | 1594 | | R99 | Actions when optional IE "Maximum allowed UL TX power" is missing | F | 3.11.0 | 3.12.0 |
| R2-022275 | agreed | 25.331 | 1595 | | Rel-4 | Actions when optional IE "Maximum allowed UL TX power" is missing | A | 4.5.0 | 4.6.0 |
| R2-022276 | agreed | 25.331 | 1596 | | Rel-5 | Actions when optional IE "Maximum allowed UL TX power" is missing | A | 5.1.0 | 5.2.0 |
| R2-022280 | agreed | 25.331 | 1600 | | R99 | Clarification on the IE "Frequency Info" | F | 3.11.0 | 3.12.0 |
| R2-022281 | agreed | 25.331 | 1601 | | Rel-4 | Clarification on the IE "Frequency Info" | A | 4.5.0 | 4.6.0 |
| R2-022282 | agreed | 25.331 | 1602 | | Rel-5 | Clarification on the IE "Frequency Info" | A | 5.1.0 | 5.2.0 |

CR-Form-v7

CHANGE REQUEST

25.331 CR 1585 # rev **-** # Current version: **3.11.0**

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

| | | | |
|------------------------|---|--|---|
| Title: | # | Correction to the handling of IE "UTRAN DRX cycle length coefficient" in CELL/URA UPDATE procedure | |
| Source: | # | TSG-RAN WG2 | |
| Work item code: | # | TEI | Date: # 05/08/2002 |
| Category: | # | F | Release: # R99 |
| | | Use <u>one</u> of the following categories: | Use <u>one</u> of the following releases: |
| | | F (correction) | 2 (GSM Phase 2) |
| | | A (corresponds to a correction in an earlier release) | R96 (Release 1996) |
| | | B (addition of feature), | R97 (Release 1997) |
| | | C (functional modification of feature) | R98 (Release 1998) |
| | | D (editorial modification) | R99 (Release 1999) |
| | | Detailed explanations of the above categories can be found in 3GPP TR 21.900 . | Rel-4 (Release 4) |
| | | | Rel-5 (Release 5) |
| | | | Rel-6 (Release 6) |

| | | |
|--------------------------------------|---|---|
| Reason for change: | # | If the IE "UTRAN DRX cycle length coefficient" is included in CELL/URA UPDATE CONFIRM message and the UE after state transition enters URA_PCH or CELL_PCH state, the UE shall, according to the current text, use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2 in CELL_PCH state . This might lead to UE's failure to receive the paging if the UE enters the URA_PCH state. |
| Summary of change: | # | Remove the text "in CELL_PCH state". |
| | | <p>Isolated Impact Analysis: Proposed change has an isolated impact.</p> <ul style="list-style-type: none"> • Corrected functionality: CELL/URA UPDATE procedures • Correction to a function where the specification was: <ul style="list-style-type: none"> ○ ambiguous or not sufficiently explicit. • Would not affect implementations behaving like indicated in the CR, would affect implementations supporting the corrected functionality otherwise. |
| Consequences if not approved: | # | The UE might fail to receive the paging, after it receiving a CELL/URA UPDATE CONFIRM message with IE "UTRAN DRX Cycle length coefficient" is included and the IE "RRC State Indicator" is set to 'URA_PCH'. |

| | | | | |
|--------------------------|---|--|---|---|
| Clauses affected: | # | 8.3.1.6 | | |
| | | <table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="padding: 2px 5px;">Y</td> <td style="padding: 2px 5px;">N</td> </tr> </table> | Y | N |
| Y | N | | | |

| | | | | | |
|------------------------------|---|-------------------------------------|---------------------------|---|--|
| Other specs affected: | ⌘ | <input checked="" type="checkbox"/> | Other core specifications | ⌘ | |
| | | <input checked="" type="checkbox"/> | Test specifications | | |
| | | <input checked="" type="checkbox"/> | O&M Specifications | | |
| Other comments: | ⌘ | | | | |

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>.

Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U_RNTI; or
- if the message is received on DCCH:

the UE shall:

- 1> stop timer T302;
- 1> in case of a cell update procedure and the CELL UPDATE CONFIRM message:
 - 2> includes "RB information elements"; and/or
 - 2> includes "Transport channel information elements"; and/or
 - 2> includes "Physical channel information elements"; and
 - 2> if the variable ORDERED_RECONFIGURATION is set to FALSE:
 - 3> set the variable ORDERED_RECONFIGURATION to TRUE.
- 1> act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:
 - 2> if the IE "Frequency info" is included in the message:
 - 3> if the IE "RRC State Indicator" is set to the value "CELL_FACH" or "CELL_PCH" or "URA_PCH":
 - 4> select a suitable UTRA cell according to [4] on that frequency;
 - 4> act as specified in subclause 8.3.1.12.
 - 3> if the IE "RRC State Indicator" is set to the value "CELL_DCH":
 - 4> act on the IE "Frequency info" as specified in subclause 8.6.6.1.
 - 2> use the transport channel(s) applicable for the physical channel types that is used; and
 - 2> if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):
 - 3> use the TFS given in system information.
 - 2> if none of the TFS stored is compatible with the physical channel:
 - 3> delete the stored TFS;
 - 3> use the TFS given in system information.
 - 2> perform the physical layer synchronisation procedure as specified in [29];
 - 2> if the CELL UPDATE CONFIRM message includes the IE "RLC re-establish indicator (RB2, RB3 and RB4)":
 - 3> re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);
 - 3> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN is set to "Started":
 - 4> set the HFN component of the respective COUNT-C values for AM RLC entities with RB identity 2, RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest

transmitted CELL UPDATE message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN.

2> if the CELL UPDATE CONFIRM message includes the IE "RLC re-establish indicator (RB5 and upwards)":

3> for radio bearers with RB identity 5 and upwards:

4> re-establish the AM RLC entities;

4> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":

5> set the HFN component of the respective COUNT-C values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS.

1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

1> enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.

If the UE after state transition enters CELL_DCH state, it shall:

1> not prohibit periodical status transmission in RLC.

If the UE after state transition remains in CELL_FACH state, it shall

1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

1> select PRACH according to subclause 8.5.17;

1> select Secondary CCPCH according to subclause 8.5.19;

1> not prohibit periodical status transmission in RLC;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> ignore that IE and stop using DRX.

If the UE after state transition enters URA_PCH or CELL_PCH state, it shall:

1> prohibit periodical status transmission in RLC;

1> clear the variable C_RNTI;

1> stop using that C_RNTI just cleared from the variable C_RNTI in MAC;

1> start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2 ~~in CELL_PCH state~~.

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

If the UE after the state transition remains in CELL_FACH state; and

- 1> the contents of the variable C_RNTI are empty:

it shall check the value of V302; and:

- 1> if V302 is equal to or smaller than N302:

- 2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

- 3> the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE; and/or

- 3> the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to TRUE:

- 4> abort the ongoing integrity and/or ciphering reconfiguration;

- 4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

- 5> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

- 5> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

- 4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

- 5> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

- 5> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

- 2> in case of a URA update procedure:

- 3> stop the URA update procedure; and

- 3> continue with a cell update procedure.

- 2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";

- 2> submit the CELL UPDATE message for transmission on the uplink CCCH;

- 2> increment counter V302;

- 2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.

- 1> if V302 is greater than N302:

- 2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;

- 2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;

- 2> in case of a cell update procedure:

- 3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

- 2> in case of a URA update procedure:

- 3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

- 2> release all its radio resources;

- 2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;

- 2> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;

- 2> clear the variable ESTABLISHED_RABS;
- 2> enter idle mode;
- 2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;
- 2> and the procedure ends.

If the UE after the state transition remains in CELL_FACH state; and

- a C-RNTI is stored in the variable C_RNTI;
- or
- the UE after the state transition moves to another state than the CELL_FACH state:

the UE shall:

- 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" in any response message transmitted below to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> in case of a cell update procedure:
 - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
 - 2> clear that entry.
- 1> in case of a URA update procedure:
 - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
 - 2> clear that entry;
- 1> if the variable PDCP_SN_INFO is non-empty:
 - 2> include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP_SN_INFO.
- 1> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":
 - 2> re-establish RB2;
 - 2> set the new uplink and downlink HFN component of the COUNT-C of RB2 to MAX(uplink HFN component of the COUNT-C of RB2, downlink HFN component of the COUNT-C of RB2);
 - 2> increment by one the downlink and uplink values of the HFN component of the COUNT-C for RB2;
 - 2> calculate the START value according to subclause 8.5.9;
 - 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.
- 1> transmit a response message as specified in subclause 8.3.1.7;
- 1> if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

- 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.
- 1> if the variable ORDERED_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:
 - 2> set the variable ORDERED_RECONFIGURATION to FALSE.
- 1> clear the variable PDCP_SN_INFO;
- 1> when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:
 - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
 - 3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
 - 3> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and
 - 3> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
 - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":
 - 3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
 - 3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
 - 3> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE.
 - 2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.
- 1> in case of a cell update procedure:
 - 2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 1> in case of a URA update procedure:
 - 2> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 1> set the variable CELL_UPDATE_STARTED to FALSE;
- 1> clear the variable SECURITY_MODIFICATION.

The procedure ends.

CHANGE REQUEST

25.331 CR 1586 # rev **-** # Current version: **4.5.0**

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

| | | | |
|------------------------|---|--|---|
| Title: | # | Correction to the handling of IE "UTRAN DRX cycle length coefficient" in CELL/URA UPDATE procedure | |
| Source: | # | TSG-RAN WG2 | |
| Work item code: | # | TEI | Date: # 05/08/2002 |
| Category: | # | A | Release: # Rel-4 |
| | | Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900. | Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6) |

| | | |
|--------------------------------------|---|---|
| Reason for change: | # | If the IE "UTRAN DRX cycle length coefficient" is included in CELL/URA UPDATE CONFIRM message and the UE after state transition enters URA_PCH or CELL_PCH state, the UE shall, according to the current text, use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2 in CELL_PCH state. This might lead to UE's failure to receive the paging if the UE enters the URA_PCH state. |
| Summary of change: | # | Remove the text "in CELL_PCH state". |
| Consequences if not approved: | # | The UE might fail to receive the paging, after it receiving a CELL/URA UPDATE CONFIRM message with IE "UTRAN DRX Cycle length coefficient" is included and the IE "RRC State Indicator" is set to 'URA_PCH'. |

| | | | | | | | | | | | | | | |
|------------------------------|-------------------------------------|---|---|---|--------------------------|-------------------------------------|---|---|--------------------------|-------------------------------------|---|---|--------------------------|-------------------------------------|
| Clauses affected: | # | 8.3.1.6 | | | | | | | | | | | | |
| Other specs affected: | # | <table border="1" style="display: inline-table; vertical-align: middle;"> <tr> <td style="width: 20px;">Y</td> <td style="width: 20px;">N</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> Other core specifications # <table border="1" style="display: inline-table; vertical-align: middle;"> <tr> <td style="width: 20px;">Y</td> <td style="width: 20px;">N</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> Test specifications # <table border="1" style="display: inline-table; vertical-align: middle;"> <tr> <td style="width: 20px;">Y</td> <td style="width: 20px;">N</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> O&M Specifications # | Y | N | <input type="checkbox"/> | <input checked="" type="checkbox"/> | Y | N | <input type="checkbox"/> | <input checked="" type="checkbox"/> | Y | N | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| Y | N | | | | | | | | | | | | | |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | | | | | | | | | | | | | |
| Y | N | | | | | | | | | | | | | |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | | | | | | | | | | | | | |
| Y | N | | | | | | | | | | | | | |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | | | | | | | | | | | | | |
| Other comments: | # | | | | | | | | | | | | | |

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U_RNTI; or
- if the message is received on DCCH:

the UE may:

- 1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

the UE shall:

- 1> stop timer T302;

- 1> in case of a cell update procedure and the CELL UPDATE CONFIRM message:

- 2> includes "RB information elements"; and/or
- 2> includes "Transport channel information elements"; and/or
- 2> includes "Physical channel information elements"; and
- 2> if the variable ORDERED_RECONFIGURATION is set to FALSE:
 - 3> set the variable ORDERED_RECONFIGURATION to TRUE.

- 1> act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:

- 2> if the IE "Frequency info" is included in the message:
 - 3> if the IE "RRC State Indicator" is set to the value "CELL_FACH" or "CELL_PCH" or URA_PCH":
 - 4> select a suitable UTRA cell according to [4] on that frequency;
 - 4> act as specified in subclause 8.3.1.12.
 - 3> if the IE "RRC State Indicator" is set to the value "CELL_DCH":
 - 4> act on the IE "Frequency info" as specified in subclause 8.6.6.1.
- 2> use the transport channel(s) applicable for the physical channel types that is used; and
- 2> if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):
 - 3> use the TFS given in system information.
- 2> if none of the TFS stored is compatible with the physical channel:
 - 3> delete the stored TFS;
 - 3> use the TFS given in system information.
- 2> perform the physical layer synchronisation procedure as specified in [29];
- 2> if the CELL UPDATE CONFIRM message includes the IE "RLC re-establish indicator (RB2, RB3 and RB4)":
 - 3> re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);
 - 3> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN is set to "Started":

- 4> set the HFN component of the respective COUNT-C values for AM RLC entities with RB identity 2, RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest transmitted CELL UPDATE message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN.
- 2> if the CELL UPDATE CONFIRM message includes the IE "RLC re-establish indicator (RB5 and upwards)":
 - 3> for radio bearers with RB identity 5 and upwards:
 - 4> re-establish the AM RLC entities;
 - 4> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":
 - 5> set the HFN component of the respective COUNT-C values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS.
 - 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".
 - 1> enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.

If the UE after state transition enters CELL_DCH state, it shall:

- 1> not prohibit periodical status transmission in RLC.

If the UE after state transition remains in CELL_FACH state, it shall

- 1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- 1> select PRACH according to subclause 8.5.17;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> not prohibit periodical status transmission in RLC;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> ignore that IE and stop using DRX.

If the UE after state transition enters URA_PCH or CELL_PCH state, it shall:

- 1> prohibit periodical status transmission in RLC;
- 1> clear the variable C_RNTI;
- 1> stop using that C_RNTI just cleared from the variable C_RNTI in MAC;
- 1> start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2 ~~in CELL_PCH state~~.
- 1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

If the UE after the state transition remains in CELL_FACH state; and

1> the contents of the variable C_RNTI are empty;

it shall check the value of V302; and:

1> if V302 is equal to or smaller than N302:

2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

3> the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE; and/or

3> the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to TRUE;

4> abort the ongoing integrity and/or ciphering reconfiguration;

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

5> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

5> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

5> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

5> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

2> in case of a URA update procedure:

3> stop the URA update procedure; and

3> continue with a cell update procedure.

2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";

2> submit the CELL UPDATE message for transmission on the uplink CCCH;

2> increment counter V302;

2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.

1> if V302 is greater than N302:

2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;

2> in case of a cell update procedure:

3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> in case of a URA update procedure:

3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> release all its radio resources;

2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;

- 2> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;
- 2> clear the variable ESTABLISHED_RABS;
- 2> enter idle mode;
- 2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;
- 2> and the procedure ends.

If the UE after the state transition remains in CELL_FACH state; and

- a C-RNTI is stored in the variable C_RNTI;

or

- the UE after the state transition moves to another state than the CELL_FACH state:

the UE shall:

- 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" in any response message transmitted below to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> in case of a cell update procedure:
 - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
 - 2> clear that entry.
- 1> in case of a URA update procedure:
 - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
 - 2> clear that entry;
- 1> if the variable PDCP_SN_INFO is non-empty:
 - 2> include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP_SN_INFO.
- 1> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":
 - 2> re-establish RB2;
 - 2> set the new uplink and downlink HFN component of the COUNT-C of RB2 to MAX(uplink HFN component of the COUNT-C of RB2, downlink HFN component of the COUNT-C of RB2);
 - 2> increment by one the downlink and uplink values of the HFN component of the COUNT-C for RB2;
 - 2> calculate the START value according to subclause 8.5.9;
 - 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.
- 1> transmit a response message as specified in subclause 8.3.1.7;
- 1> if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

- 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.
- 1> if the variable ORDERED_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:
 - 2> set the variable ORDERED_RECONFIGURATION to FALSE.
- 1> clear the variable PDCP_SN_INFO;
- 1> when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:
 - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
 - 3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
 - 3> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and
 - 3> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
 - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":
 - 3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
 - 3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
 - 3> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE.
 - 2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.
- 1> in case of a cell update procedure:
 - 2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 1> in case of a URA update procedure:
 - 2> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 1> set the variable CELL_UPDATE_STARTED to FALSE;
- 1> clear the variable SECURITY_MODIFICATION.

The procedure ends.

CHANGE REQUEST

25.331 CR 1587 # rev **-** # Current version: **5.1.0**

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

| | | | |
|------------------------|---|--|---|
| Title: | # | Correction to the handling of IE "UTRAN DRX cycle length coefficient" in CELL/URA UPDATE procedure | |
| Source: | # | TSG-RAN WG2 | |
| Work item code: | # | TEI | Date: # 05/08/2002 |
| Category: | # | A | Release: # Rel-5 |
| | | Use <u>one</u> of the following categories: | Use <u>one</u> of the following releases: |
| | | F (correction) | 2 (GSM Phase 2) |
| | | A (corresponds to a correction in an earlier release) | R96 (Release 1996) |
| | | B (addition of feature), | R97 (Release 1997) |
| | | C (functional modification of feature) | R98 (Release 1998) |
| | | D (editorial modification) | R99 (Release 1999) |
| | | Detailed explanations of the above categories can be found in 3GPP TR 21.900. | Rel-4 (Release 4) |
| | | | Rel-5 (Release 5) |
| | | | Rel-6 (Release 6) |

| | | |
|--------------------------------------|---|---|
| Reason for change: | # | If the IE "UTRAN DRX cycle length coefficient" is included in CELL/URA UPDATE CONFIRM message and the UE after state transition enters URA_PCH or CELL_PCH state, the UE shall, according to the current text, use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2 in CELL_PCH state. This might lead to UE's failure to receive the paging if the UE enters the URA_PCH state. |
| Summary of change: | # | Remove the text "in CELL_PCH state". |
| Consequences if not approved: | # | The UE might fail to receive the paging, after it receiving a CELL/URA UPDATE CONFIRM message with IE "UTRAN DRX Cycle length coefficient" is included and the IE "RRC State Indicator" is set to 'URA_PCH'. |

| | | | | | | |
|-------------------------------------|-------------------------------------|---|-------------------------------------|---|--------------------------|-------------------------------------|
| Clauses affected: | # | 8.3.1.6 | | | | |
| Other specs affected: | # | <table border="1" style="display: inline-table; vertical-align: middle;"> <tr> <td style="width: 20px; text-align: center;">Y</td> <td style="width: 20px; text-align: center;">N</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> Other core specifications # | Y | N | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| Y | N | | | | | |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | | | | | |
| | | <table border="1" style="display: inline-table; vertical-align: middle;"> <tr> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> Test specifications # | <input checked="" type="checkbox"/> | | | |
| <input checked="" type="checkbox"/> | | | | | | |
| | | <table border="1" style="display: inline-table; vertical-align: middle;"> <tr> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> O&M Specifications # | <input checked="" type="checkbox"/> | | | |
| <input checked="" type="checkbox"/> | | | | | | |
| Other comments: | # | | | | | |

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ¶ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U_RNTI; or
- if the message is received on DCCH:

the UE may:

- 1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

the UE shall:

- 1> stop timer T302;

- 1> in case of a cell update procedure and the CELL UPDATE CONFIRM message:

- 2> includes "RB information elements"; and/or
- 2> includes "Transport channel information elements"; and/or
- 2> includes "Physical channel information elements"; and
- 2> if the variable ORDERED_RECONFIGURATION is set to FALSE:
 - 3> set the variable ORDERED_RECONFIGURATION to TRUE.

- 1> act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:

- 2> if the IE "Frequency info" is included in the message:
 - 3> if the IE "RRC State Indicator" is set to the value "CELL_FACH" or "CELL_PCH" or URA_PCH":
 - 4> select a suitable UTRA cell according to [4] on that frequency;
 - 4> act as specified in subclause 8.3.1.12.
 - 3> if the IE "RRC State Indicator" is set to the value "CELL_DCH":
 - 4> act on the IE "Frequency info" as specified in subclause 8.6.6.1.
- 2> use the transport channel(s) applicable for the physical channel types that is used; and
- 2> if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):
 - 3> use the TFS given in system information.
- 2> if none of the TFS stored is compatible with the physical channel:
 - 3> delete the stored TFS;
 - 3> use the TFS given in system information.
- 2> perform the physical layer synchronisation procedure as specified in [29];
- 2> if the CELL UPDATE CONFIRM message includes the IE "RLC re-establish indicator (RB2, RB3 and RB4)":
 - 3> re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);
 - 3> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN is set to "Started":

- 4> set the HFN component of the respective COUNT-C values for AM RLC entities with RB identity 2, RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest transmitted CELL UPDATE message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN.
- 2> if the CELL UPDATE CONFIRM message includes the IE "RLC re-establish indicator (RB5 and upwards)":
 - 3> for radio bearers with RB identity 5 and upwards:
 - 4> re-establish the AM RLC entities;
 - 4> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":
 - 5> set the HFN component of the respective COUNT-C values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS.
 - 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".
 - 1> enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.

If the UE after state transition enters CELL_DCH state, it shall:

- 1> not prohibit periodical status transmission in RLC.

If the UE after state transition remains in CELL_FACH state, it shall

- 1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- 1> select PRACH according to subclause 8.5.17;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> not prohibit periodical status transmission in RLC;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> ignore that IE and stop using DRX.

If the UE after state transition enters URA_PCH or CELL_PCH state, it shall:

- 1> prohibit periodical status transmission in RLC;
- 1> clear the variable C_RNTI;
- 1> stop using that C_RNTI just cleared from the variable C_RNTI in MAC;
- 1> start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2 ~~in CELL_PCH state.~~
- 1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

If the UE after the state transition remains in CELL_FACH state; and

1> the contents of the variable C_RNTI are empty;

it shall check the value of V302; and:

1> if V302 is equal to or smaller than N302:

2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

3> the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE; and/or

3> the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to TRUE:

4> abort the ongoing integrity and/or ciphering reconfiguration;

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

5> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

5> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

5> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

5> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

2> in case of a URA update procedure:

3> stop the URA update procedure; and

3> continue with a cell update procedure.

2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";

2> submit the CELL UPDATE message for transmission on the uplink CCCH;

2> increment counter V302;

2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.

1> if V302 is greater than N302:

2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;

2> in case of a cell update procedure:

3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> in case of a URA update procedure:

3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> release all its radio resources;

2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;

- 2> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;
- 2> clear the variable ESTABLISHED_RABS;
- 2> enter idle mode;
- 2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;
- 2> and the procedure ends.

If the UE after the state transition remains in CELL_FACH state; and

- a C-RNTI is stored in the variable C_RNTI;

or

- the UE after the state transition moves to another state than the CELL_FACH state:

the UE shall:

- 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" in any response message transmitted below to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> in case of a cell update procedure:
 - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
 - 2> clear that entry.
- 1> in case of a URA update procedure:
 - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
 - 2> clear that entry;
- 1> if the variable PDCP_SN_INFO is non-empty:
 - 2> include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP_SN_INFO.
- 1> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":
 - 2> re-establish RB2;
 - 2> set the new uplink and downlink HFN component of the COUNT-C of RB2 to MAX(uplink HFN component of the COUNT-C of RB2, downlink HFN component of the COUNT-C of RB2);
 - 2> increment by one the downlink and uplink values of the HFN component of the COUNT-C for RB2;
 - 2> calculate the START value according to subclause 8.5.9;
 - 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.
- 1> transmit a response message as specified in subclause 8.3.1.7;
- 1> if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

- 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.
- 1> if the variable ORDERED_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:
 - 2> set the variable ORDERED_RECONFIGURATION to FALSE.
- 1> clear the variable PDCP_SN_INFO;
- 1> when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:
 - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
 - 3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
 - 3> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and
 - 3> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
 - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":
 - 3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
 - 3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
 - 3> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE.
 - 2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.
- 1> in case of a cell update procedure:
 - 2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 1> in case of a URA update procedure:
 - 2> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 1> set the variable CELL_UPDATE_STARTED to FALSE;
- 1> clear the variable SECURITY_MODIFICATION.

The procedure ends.

CHANGE REQUEST

⌘ **25.331 CR 1588** ⌘ rev **-** ⌘ Current version: **3.11.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

| | | | |
|------------------------|--|-----------------|---|
| Title: | ⌘ Correction to RLC unrecoverable error occurs in CELL_DCH state | | |
| Source: | ⌘ TSG-RAN WG2 | | |
| Work item code: | ⌘ TEI | Date: | ⌘ 21/08/2002 |
| Category: | ⌘ F | Release: | ⌘ R99 |
| | Use <u>one</u> of the following categories: | | Use <u>one</u> of the following releases: |
| | F (correction) | | 2 (GSM Phase 2) |
| | A (corresponds to a correction in an earlier release) | | R96 (Release 1996) |
| | B (addition of feature), | | R97 (Release 1997) |
| | C (functional modification of feature) | | R98 (Release 1998) |
| | D (editorial modification) | | R99 (Release 1999) |
| | Detailed explanations of the above categories can be found in 3GPP TR 21.900 . | | Rel-4 (Release 4) |
| | | | Rel-5 (Release 5) |
| | | | Rel-6 (Release 6) |

| | |
|-----------------------------|--|
| Reason for change: ⌘ | <p>1. According to current text, the UE shall treat both the cases of RL failure and RLC unrecoverable error on DCH state with the same way as specified in subclause 8.3.1.2. However, the RL failure and RLC unrecoverable error have some essential differences, e.g. the RLC unrecoverable error can possibly be recovered to initial condition by RLC re-establishment, but RL failure can not. The usage of T314/T315 for RLC unrecoverable error on dedicated channel is not proper because this may lead to some normal RABs (i.e. services or applications) to be released before the RLC is re-established if the timers T314/T315 are shorter than the time period of performing RLC re-establishment. Besides, according to section 13.1, the timer T314 and T315 are designed for RL failure case only.</p> <p>2. For consistency, when release all radio bearers associated with T314/T315 that is set zero, the UE shall also indicate release of those radio access bearers to upper layers and delete all information about those radio access bearers from the variable ESTABLISHED_RABS.</p> <p><u>3.1.</u> According to section 8.3.1.6, the UE shall handle both the IE "RLC re-establish indicator (RB2, RB3 and RB4)" and IE "RLC re-establish indicator (RB5 and upwards)" if received in the CELL UPDATE CONFIRM message. However, section 8.3.1.5 only states that the UTRAN should optionally include the IE "RLC re-establish indicator (RB5 and upwards)" in the CELL UPDATE CONFIRM message. This makes the IE "RLC re-establish indicator (RB2, RB3 and RB4)" become useless, and will prohibit the UTRAN to re-establish the RLC entities for SRB2, SRB3 and SRB4 (if established) even if the UTRAN would like to do this.</p> <p><u>4.2.</u> Both the IE "RLC re-establish indicator (RB2, RB3 and RB4)" and IE "RLC</p> |
|-----------------------------|--|

re-establish indicator (RB5 and upwards)" are 'MP', and the UE shall re-establish the corresponding RLC entities only if any of these two IEs is set to TRUE. However, the text in 8.3.1.6 may be misunderstood to that the UE shall always re-establish the corresponding RLC entities if any of these two IEs is included even if the IE is set to FALSE. This will cause the UE always re-establishes all the RLC entities.

Summary of change: ☼

- ~~1. The timer T314/T315 only apply to Radio link failure.~~
- ~~2. Explicitly state the UE shall indicate release of those radio access bearers to upper layers and delete all information about those radio access bearers from the variable ESTABLISHED_RABS when release all radio bearers associated with T314/T315 that is set zero.~~
- ~~3.1.~~ Allow the UTRAN to include both IE "RLC re-establish indicator (RB2, RB3 and RB4)" and IE "RLC re-establish indicator (RB5 and upwards)" in the CELL UPDATE CONFIRM message.
- ~~4.2.~~ Only if the received IE "RLC re-establish indicator (RB2, RB3 and RB4)" and/or IE "RLC re-establish indicator (RB5 and upwards)" is set to TRUE, the UE shall re-establish the corresponding RLC entities. ~~And add notes into section 10.2.8 to state "Although this IE is not always required, need is MP to align with ASN.1".~~

- Isolated Impact Analysis:** Proposed change has an isolated impact.
- Corrected functionality: RLC unrecoverable error occurs in CELL_DCH state
 - Correction to a function where the specification:
 - ambiguous or not sufficiently explicit.
 - Would not affect implementations behaving like indicated in the CR, would affect implementations supporting the corrected functionality otherwise.

Consequences if not approved: ☼

- ~~1. Some normal RABs (i.e. services or applications) will be released before the RLC is re-established if the timers T314/T315 are shorter than the time period of performing RLC re-establishment.~~
- ~~2. The memory might be filled with obsolete information, and further, NAS can be potentially not know about the current establishment condition of the RABs.~~
- ~~3.1.~~ The IE "RLC re-establish indicator (RB2, RB3 and RB4)" becomes useless, and the UTRAN will be prohibited to re-establish the RLC entities for SRB2, SRB3 and SRB4.
- ~~4.2.~~ The UE might re-establish all the RLC entities.

Clauses affected: ☼

~~8.3.1.2, 8.3.1.5, 8.3.1.6, 10.2.8~~

Other specs affected:

| Y | N | | ☼ |
|---|---|---------------------------|---|
| | X | Other core specifications | |
| | X | Test specifications | |
| | X | O&M Specifications | |

Other comments: ☼

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ☼ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be

downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.3.1.2 Initiation

A UE shall initiate the cell update procedure in the following cases:

1> Uplink data transmission:

- 2> if the UE is in URA_PCH or CELL_PCH state; and
- 2> if the UE has uplink RLC data PDU or uplink RLC control PDU on RB1 or upwards to transmit:
 - 3> perform cell update using the cause "uplink data transmission".

1> Paging response:

- 2> if the criteria for performing cell update with the cause specified above in the current subclause is not met; and
- 2> if the UE in URA_PCH or CELL_PCH state, receives a PAGING TYPE 1 message fulfilling the conditions for initiating a cell update procedure specified in subclause 8.1.2.3:
 - 3> perform cell update using the cause "paging response".

1> Radio link failure:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and
- 2> if the UE is in CELL_DCH state; and
- 2> if the criteria for radio link failure is met as specified in subclause 8.5.6:
 - 3> perform cell update using the cause "radio link failure".

1> Re-entering service area:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and
- 2> if the UE is in CELL_FACH or CELL_PCH state; and
- 2> if the UE has been out of service area and re-enters service area before T307 or T317 expires:
 - 3> perform cell update using the cause "re-entering service area".

1> RLC unrecoverable error:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and
- 2> if the UE detects RLC unrecoverable error [16] in an AM RLC entity:
 - 3> perform cell update using the cause "RLC unrecoverable error".

1> Cell reselection:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met:
 - 3> if the UE is in CELL_FACH or CELL_PCH state and the UE performs cell re-selection; or
 - 3> if the UE is in CELL_FACH state and the variable C_RNTI is empty:
 - 4> perform cell update using the cause "cell reselection".

1> Periodical cell update:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and
- 2> if the UE is in CELL_FACH or CELL_PCH state; and
- 2> if the timer T305 expires; and
- 2> if the criteria for "in service area" as specified in subclause 8.5.5.2 is fulfilled; and
- 2> if periodic updating has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity":
 - 3> perform cell update using the cause "periodical cell update".

A UE in URA_PCH state shall initiate the URA update procedure in the following cases:

1> URA reselection:

- 2> if the UE detects that the current URA assigned to the UE, stored in the variable URA_IDENTITY, is not present in the list of URA identities in system information block type 2; or
- 2> if the list of URA identities in system information block type 2 is empty; or
- 2> if the system information block type 2 can not be found:
 - 3> perform URA update using the cause "change of URA".

1> Periodic URA update:

- 2> if the criteria for performing URA update with the causes as specified above in the current subclause are not met; and
- 2> if the timer T305 expires while the UE is in the service area; and
- 2> if periodic updating has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity":
 - 3> perform URA update using the cause "periodic URA update".

When initiating the URA update or cell update procedure, the UE shall:

1> stop timer T305;

1> if the UE is in CELL_DCH state:

- 2> in the variable RB_TIMER_INDICATOR, set the IE "T314 expired" and the IE "T315 expired" to FALSE;
- 2> if the stored values of the timer T314 and timer T315 are both equal to zero; or
- 2> if the stored value of the timer T314 is equal to zero and there are no radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT315":
 - 3> release all its radio resources;
 - 3> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;
 - 3> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;
 - 3> clear the variable ESTABLISHED_RABS;
 - 3> enter idle mode;
 - 3> perform other actions when entering idle mode from connected mode as specified in subclause 8.5.2;
 - 3> and the procedure ends.

- 2> if the stored value of the timer T314 is equal to zero:
 - 3> release all radio bearers, associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT314";
 - 3> in the variable RB_TIMER_INDICATOR set the IE "T314 expired" to TRUE.
- 2> if the stored value of the timer T315 is equal to zero:
 - 3> release all radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT315";
 - 3> in the variable RB_TIMER_INDICATOR set the IE "T315 expired" to TRUE.
- 2> if the stored value of the timer T314 is greater than zero:
 - 3> if there are radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT314":
 - 4> start timer T314.
 - 3> if there are no radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT314" or "useT315":
 - 4> start timer T314.
- 2> if the stored value of the timer T315 is greater than zero:
 - 3> if there are radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT315":
 - 4> start timer T315.
- 2> for the released radio bearer(s):
 - 3> delete the information about the radio bearer from the variable ESTABLISHED_RABS;
 - 3> when all radio bearers belonging to the same radio access bearer have been released:
 - 4> indicate local end release of the radio access bearer to upper layers using the CN domain identity together with the RAB identity stored in the variable ESTABLISHED_RABS;
 - 4> delete all information about the radio access bearer from the variable ESTABLISHED_RABS.
- 2> select a suitable UTRA cell according to [4];
- 2> set the variable ORDERED_RECONFIGURATION to FALSE.
- 1> set the variables PROTOCOL_ERROR_INDICATOR, FAILURE_INDICATOR, UNSUPPORTED_CONFIGURATION and INVALID_CONFIGURATION to FALSE;
- 1> set the variable CELL_UPDATE_STARTED to TRUE;
- 1> if the UE is not already in CELL_FACH state:
 - 2> move to CELL_FACH state;
 - 2> select PRACH according to subclause 8.5.17;
 - 2> select Secondary CCPCH according to subclause 8.5.19;
 - 2> use the transport format set given in system information as specified in subclause 8.6.5.1.
- 1> if the UE performs cell re-selection:
 - 2> clear the variable C_RNTI; and
 - 2> stop using that C_RNTI just cleared from the variable C_RNTI in MAC.

- 1> set CFN in relation to SFN of current cell according to subclause 8.5.15;
- 1> in case of a cell update procedure:
 - 2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3;
 - 2> submit the CELL UPDATE message for transmission on the uplink CCCH.
- 1> in case of a URA update procedure:
 - 2> set the contents of the URA UPDATE message according to subclause 8.3.1.3;
 - 2> submit the URA UPDATE message for transmission on the uplink CCCH.
- 1> set counter V302 to 1;
- 1> start timer T302 when the MAC layer indicates success or failure in transmitting the message.

8.3.1.5 Reception of an CELL UPDATE/URA UPDATE message by the UTRAN

When the UTRAN receives a CELL UPDATE/URA UPDATE message, the UTRAN should:

- 1> in case the procedure was triggered by reception of a CELL UPDATE:
 - 2> if SRNS relocation was performed:
 - 3> transmit a CELL UPDATE CONFIRM message on the downlink DCCH.
 - 2> otherwise:
 - 3> update the START value for each CN domain as maintained in UTRAN (refer to subclause 8.5.9) with "START" in the IE "START list" for the CN domain as indicated by "CN domain identity" in the IE "START list";
 - 3> if this procedure was triggered while the UE was not in CELL_DCH state, then for each CN domain as indicated by "CN domain identity" in the IE "START list":
 - 4> set the 20 MSB of the MAC-d HFN with the corresponding START value in the IE "START list";
 - 4> set the remaining LSB of the MAC-d HFN to zero.
 - 3> transmit a CELL UPDATE CONFIRM message on the downlink DCCH or optionally on the CCCH but only if ciphering is not required; and
 - 3> optionally ~~include~~ [set the IE "RLC re-establish indicator \(RB2, RB3 and RB4\)"](#) and/or the IE "RLC re-establish indicator (RB5 and upwards)" [to TRUE](#) to request a RLC re-establishment in the UE, in which case the corresponding RLC entities should also be re-established in UTRAN; or
- 1> in case the procedure was triggered by reception of a URA UPDATE:
 - 2> if SRNS relocation was performed:
 - 3> transmit a URA UPDATE CONFIRM message on the downlink DCCH.
 - 2> otherwise:
 - 3> transmit a URA UPDATE CONFIRM message on the downlink CCCH or DCCH.
 - 2> include the IE "URA identity" in the URA UPDATE CONFIRM message in a cell where multiple URA identifiers are broadcast; or
- 1> initiate an RRC connection release procedure (see subclause 8.1.4) by transmitting an RRC CONNECTION RELEASE message on the downlink CCCH. In particular UTRAN should:
 - 2> if the CELL UPDATE message was sent because of an unrecoverable error in RB2, RB3 or RB4:
 - 3> initiate an RRC connection release procedure (subclause 8.1.4) by transmitting an RRC CONNECTION RELEASE message on the downlink CCCH.

UTRAN may transmit several CELL UPDATE CONFIRM/URA UPDATE CONFIRM messages to increase the probability of proper reception of the message by the UE. In such a case, the RRC SN for these repeated messages should be the same.

8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U_RNTI; or
- if the message is received on DCCH:

the UE shall:

1> stop timer T302;

1> in case of a cell update procedure and the CELL UPDATE CONFIRM message:

2> includes "RB information elements"; and/or

2> includes "Transport channel information elements"; and/or

2> includes "Physical channel information elements"; and

2> if the variable ORDERED_RECONFIGURATION is set to FALSE:

3> set the variable ORDERED_RECONFIGURATION to TRUE.

1> act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:

2> if the IE "Frequency info" is included in the message:

3> if the IE "RRC State Indicator" is set to the value "CELL_FACH" or "CELL_PCH" or "URA_PCH":

4> select a suitable UTRA cell according to [4] on that frequency;

4> act as specified in subclause 8.3.1.12.

3> if the IE "RRC State Indicator" is set to the value "CELL_DCH":

4> act on the IE "Frequency info" as specified in subclause 8.6.6.1.

2> use the transport channel(s) applicable for the physical channel types that is used; and

2> if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):

3> use the TFS given in system information.

2> if none of the TFS stored is compatible with the physical channel:

3> delete the stored TFS;

3> use the TFS given in system information.

2> perform the physical layer synchronisation procedure as specified in [29];

2> if ~~the CELL UPDATE CONFIRM message includes~~ the IE "RLC re-establish indicator (RB2, RB3 and RB4)" in the CELL UPDATE CONFIRM message and it is set to TRUE:

3> re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);

3> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN is set to "Started":

4> set the HFN component of the respective COUNT-C values for AM RLC entities with RB identity 2, RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest

transmitted CELL UPDATE message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN.

- 2> if ~~the CELL UPDATE CONFIRM message includes~~ the IE "RLC re-establish indicator (RB5 and upwards)" ~~in the CELL UPDATE CONFIRM message~~ and it is set to TRUE:
 - 3> for radio bearers with RB identity 5 and upwards:
 - 4> re-establish the AM RLC entities;
 - 4> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":
 - 5> set the HFN component of the respective COUNT-C values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS.
 - 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".
 - 1> enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.

If the UE after state transition enters CELL_DCH state, it shall:

- 1> not prohibit periodical status transmission in RLC.

If the UE after state transition remains in CELL_FACH state, it shall

- 1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- 1> select PRACH according to subclause 8.5.17;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> not prohibit periodical status transmission in RLC;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> ignore that IE and stop using DRX.

If the UE after state transition enters URA_PCH or CELL_PCH state, it shall:

- 1> prohibit periodical status transmission in RLC;
- 1> clear the variable C_RNTI;
- 1> stop using that C_RNTI just cleared from the variable C_RNTI in MAC;
- 1> start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2 in CELL_PCH state.
- 1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

If the UE after the state transition remains in CELL_FACH state; and

1> the contents of the variable C_RNTI are empty;

it shall check the value of V302; and:

1> if V302 is equal to or smaller than N302:

2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

3> the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE; and/or

3> the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to TRUE:

4> abort the ongoing integrity and/or ciphering reconfiguration;

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

5> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

5> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

5> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

5> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

2> in case of a URA update procedure:

3> stop the URA update procedure; and

3> continue with a cell update procedure.

2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";

2> submit the CELL UPDATE message for transmission on the uplink CCCH;

2> increment counter V302;

2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.

1> if V302 is greater than N302:

2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;

2> in case of a cell update procedure:

3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> in case of a URA update procedure:

3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> release all its radio resources;

2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;

- 2> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;
- 2> clear the variable ESTABLISHED_RABS;
- 2> enter idle mode;
- 2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;
- 2> and the procedure ends.

If the UE after the state transition remains in CELL_FACH state; and

- a C-RNTI is stored in the variable C_RNTI;

or

- the UE after the state transition moves to another state than the CELL_FACH state:

the UE shall:

- 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" in any response message transmitted below to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> in case of a cell update procedure:
 - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
 - 2> clear that entry.
- 1> in case of a URA update procedure:
 - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
 - 2> clear that entry;
- 1> if the variable PDCP_SN_INFO is non-empty:
 - 2> include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP_SN_INFO.
- 1> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":
 - 2> re-establish RB2;
 - 2> set the new uplink and downlink HFN component of the COUNT-C of RB2 to MAX(uplink HFN component of the COUNT-C of RB2, downlink HFN component of the COUNT-C of RB2);
 - 2> increment by one the downlink and uplink values of the HFN component of the COUNT-C for RB2;
 - 2> calculate the START value according to subclause 8.5.9;
 - 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.
- 1> transmit a response message as specified in subclause 8.3.1.7;
- 1> if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

- 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.
- 1> if the variable ORDERED_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:
 - 2> set the variable ORDERED_RECONFIGURATION to FALSE.
- 1> clear the variable PDCP_SN_INFO;
- 1> when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:
 - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
 - 3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
 - 3> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and
 - 3> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
 - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":
 - 3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
 - 3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
 - 3> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE.
 - 2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.
- 1> in case of a cell update procedure:
 - 2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 1> in case of a URA update procedure:
 - 2> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 1> set the variable CELL_UPDATE_STARTED to FALSE;
- 1> clear the variable SECURITY_MODIFICATION.

The procedure ends.

10.2.8 CELL UPDATE CONFIRM

This message confirms the cell update procedure and can be used to reallocate new RNTI information for the UE valid in the new cell.

RLC-SAP: UM

Logical channel: CCCH or DCCH

Direction: UTRAN→UE

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|---|-----------------|-----------------|---|------------------------|
| Message Type | MP | | Message Type | |
| UE Information Elements | | | | |
| U-RNTI | CV- <i>CCCH</i> | | U-RNTI 10.3.3.47 | |
| RRC transaction identifier | MP | | RRC transaction identifier 10.3.3.36 | |
| Integrity check info | CH | | Integrity check info 10.3.3.16 | |
| Integrity protection mode info | OP | | Integrity protection mode info 10.3.3.19 | |
| Ciphering mode info | OP | | Ciphering mode info 10.3.3.5 | |
| Activation time | MD | | Activation time 10.3.3.1 | Default value is "now" |
| New U-RNTI | OP | | U-RNTI 10.3.3.47 | |
| New C-RNTI | OP | | C-RNTI 10.3.3.8 | |
| New DSCH-RNTI | OP | | DSCH-RNTI 10.3.3.9a | |
| RRC State Indicator | MP | | RRC State Indicator 10.3.3.35a | |
| UTRAN DRX cycle length coefficient | OP | | UTRAN DRX cycle length coefficient 10.3.3.49 | |
| RLC re-establish indicator (RB2, RB3 and RB4) | MP | | RLC re-establish indicator 10.3.3.35 | |
| RLC re-establish indicator (RB5 and upwards) | MP | | RLC re-establish indicator 10.3.3.35 | |
| CN Information Elements | | | | |
| CN Information info | OP | | CN Information info 10.3.1.3 | |
| UTRAN Information Elements | | | | |
| URA identity | OP | | URA identity 10.3.2.6 | |
| RB information elements | | | | |
| RB information to release list | OP | 1 to <maxRB> | | |
| >RB information to release | MP | | RB | |

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--|------|----------------------|--|---|
| | | | information to release 10.3.4.19 | |
| RB information to reconfigure list | OP | 1 to <maxRB> | | |
| >RB information to reconfigure | MP | | RB information to reconfigure 10.3.4.18 | |
| RB information to be affected list | OP | 1 to <maxRB> | | |
| >RB information to be affected | MP | | RB information to be affected 10.3.4.17 | |
| Downlink counter synchronisation info | OP | | | |
| >RB with PDCP information list | OP | 1 to <maxRBall RABs> | | This IE is needed for each RB having PDCP in the case of lossless SRNS relocation |
| >>RB with PDCP information | MP | | RB with PDCP information 10.3.4.22 | |
| TrCH Information Elements | | | | |
| Uplink transport channels | | | | |
| UL Transport channel information common for all transport channels | OP | | UL Transport channel information common for all transport channels 10.3.5.24 | |
| Deleted TrCH information list | OP | 1 to <maxTrCH > | | |
| >Deleted UL TrCH information | MP | | Deleted UL TrCH information 10.3.5.5 | |
| Added or Reconfigured TrCH information list | OP | 1 to <maxTrCH > | | |
| >Added or Reconfigured UL TrCH information | MP | | Added or Reconfigured UL TrCH information 10.3.5.2 | |
| CHOICE <i>mode</i> | MP | | | |
| >FDD | | | | |
| >>CPCH set ID | OP | | CPCH set ID 10.3.5.3 | |
| >>>Added or Reconfigured TrCH information for DRAC list | OP | 1 to <maxTrCH > | | |
| >>>DRAC static information | MP | | DRAC static information 10.3.5.7 | |
| >TDD | | | | (no data) |
| Downlink transport channels | | | | |
| DL Transport channel information common for all transport channels | OP | | DL Transport channel information | |

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|---|------|-----------------|--|--|
| | | | common for all transport channels 10.3.5.6 | |
| Deleted TrCH information list | OP | 1 to <maxTrCH > | | |
| >Deleted DL TrCH information | MP | | Deleted DL TrCH information 10.3.5.4 | |
| Added or Reconfigured TrCH information list | OP | 1 to <maxTrCH > | | |
| >Added or Reconfigured DL TrCH information | MP | | Added or Reconfigured DL TrCH information 10.3.5.1 | |
| PhyCH information elements | | | | |
| Frequency info | MD | | Frequency info 10.3.6.36 | Default value is the existing value of frequency information |
| Uplink radio resources | | | | |
| Maximum allowed UL TX power | MD | | Maximum allowed UL TX power 10.3.6.39 | Default value is the existing maximum UL TX power |
| <i>CHOICE channel requirement</i> | | | | |
| >Uplink DPCH info | OP | | Uplink DPCH info 10.3.6.88. | |
| >CPCH SET Info | | | CPCH SET Info 10.3.6.13 | |
| Downlink radio resources | | | | |
| <i>CHOICE mode</i> | | | | |
| >FDD | MP | | | |
| >>Downlink PDSCH information | OP | | Downlink PDSCH information 10.3.6.30 | |
| >TDD | | | | (no data) |
| Downlink information common for all radio links | OP | | Downlink information common for all radio links 10.3.6.24 | |
| Downlink information per radio link list | OP | 1 to <maxRL> | | Send downlink information for each radio link to be set-up |
| >Downlink information for each radio link | MP | | Downlink information for each radio link 10.3.6.27 | |

| Condition | Explanation |
|-----------|--|
| CCCH | This IE is mandatory present when CCCH is used and ciphering is not required and not needed otherwise. |

CHANGE REQUEST

25.331 CR 1589 # rev **-** # Current version: **4.5.0**

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

| | | | |
|------------------------|---|-----------------|---|
| Title: | # Correction to RLC unrecoverable error occurs in CELL_DCH state | | |
| Source: | # TSG-RAN WG2 | | |
| Work item code: | # TEI | Date: | # 21/08/2002 |
| Category: | # A | Release: | # Rel-4 |
| | Use <u>one</u> of the following categories: | | Use <u>one</u> of the following releases: |
| | F (correction) | | 2 (GSM Phase 2) |
| | A (corresponds to a correction in an earlier release) | | R96 (Release 1996) |
| | B (addition of feature), | | R97 (Release 1997) |
| | C (functional modification of feature) | | R98 (Release 1998) |
| | D (editorial modification) | | R99 (Release 1999) |
| | Detailed explanations of the above categories can be found in 3GPP TR 21.900. | | Rel-4 (Release 4) |
| | | | Rel-5 (Release 5) |
| | | | Rel-6 (Release 6) |

| | |
|-----------------------------|--|
| Reason for change: # | <p>1. According to current text, the UE shall treat both the cases of RL failure and RLC unrecoverable error on DCH state with the same way as specified in subclause 8.3.1.2. However, the RL failure and RLC unrecoverable error have some essential differences, e.g. the RLC unrecoverable error can possibly be recovered to initial condition by RLC re-establishment, but RL failure can not. The usage of T314/T315 for RLC unrecoverable error on dedicated channel is not proper because this may lead to some normal RABs (i.e. services or applications) to be released before the RLC is re-established if the timers T314/T315 are shorter than the time period of performing RLC re-establishment. Besides, according to section 13.1, the timer T314 and T315 are designed for RL failure case only.</p> <p>2. For consistency, when release all radio bearers associated with T314/T315 that is set zero, the UE shall also indicate release of those radio access bearers to upper layers and delete all information about those radio access bearers from the variable ESTABLISHED_RABS.</p> <p>3.1. According to section 8.3.1.6, the UE shall handle both the IE "RLC re-establish indicator (RB2, RB3 and RB4)" and IE "RLC re-establish indicator (RB5 and upwards)" if received in the CELL UPDATE CONFIRM message. However, section 8.3.1.5 only states that the UTRAN should optionally include the IE "RLC re-establish indicator (RB5 and upwards)" in the CELL UPDATE CONFIRM message. This makes the IE "RLC re-establish indicator (RB2, RB3 and RB4)" become useless, and will prohibit the UTRAN to re-establish the RLC entities for SRB2, SRB3 and SRB4 (if established) even if the UTRAN would like to do this.</p> <p>4.2. Both the IE "RLC re-establish indicator (RB2, RB3 and RB4)" and IE "RLC</p> |
|-----------------------------|--|

re-establish indicator (RB5 and upwards)" are 'MP', and the UE shall re-establish the corresponding RLC entities only if any of these two IEs is set to TRUE. However, the text in 8.3.1.6 may be misunderstood to that the UE shall always re-establish the corresponding RLC entities if any of these two IEs is included even if the IE is set to FALSE. This will cause the UE always re-establishes all the RLC entities.

Summary of change: ⌘ 1. ~~The timer T314/T315 only apply to Radio link failure.~~

2. ~~Explicitly state the UE shall indicate release of those radio access bearers to upper layers and delete all information about those radio access bearers from the variable ESTABLISHED_RABS when release all radio bearers associated with T314/T315 that is set zero.~~

3. ~~1. Allow the UTRAN to include both IE "RLC re-establish indicator (RB2, RB3 and RB4)" and IE "RLC re-establish indicator (RB5 and upwards)" in the CELL UPDATE CONFIRM message.~~

4. ~~2. Only if the received IE "RLC re-establish indicator (RB2, RB3 and RB4)" and/or IE "RLC re-establish indicator (RB5 and upwards)" is set to TRUE, the UE shall re-establish the corresponding RLC entities. And add notes into section 10.2.8 to state "Although this IE is not always required, need is MP to align with ASN.1".~~

Consequences if not approved: ⌘ 1. ~~Some normal RABs (i.e. services or applications) will be released before the RLC is re-established if the timers T314/T315 are shorter than the time period of performing RLC re-establishment.~~

2. ~~The memory might be filled with obsolete information, and further, NAS can be potentially not know about the current establishment condition of the RABs.~~

3. ~~1. The IE "RLC re-establish indicator (RB2, RB3 and RB4)" becomes useless, and the UTRAN will be prohibited to re-establish the RLC entities for SRB2, SRB3 and SRB4.~~

4. ~~2. The UE might re-establish all the RLC entities.~~

Clauses affected: ⌘ ~~8.3.1.2, 8.3.1.5, 8.3.1.6, 10.2.8~~

| | Y | N | |
|--------------------------------|-------------------------------------|--------------------------|-----------------------------|
| Other specs affected: ⌘ | <input checked="" type="checkbox"/> | <input type="checkbox"/> | Other core specifications ⌘ |
| | <input checked="" type="checkbox"/> | <input type="checkbox"/> | Test specifications |
| | <input checked="" type="checkbox"/> | <input type="checkbox"/> | O&M Specifications |

Other comments: ⌘

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/>. For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.3.1.2 Initiation

A UE shall initiate the cell update procedure in the following cases:

1> Uplink data transmission:

- 2> if the UE is in URA_PCH or CELL_PCH state; and
- 2> if the UE has uplink RLC data PDU or uplink RLC control PDU on RB1 or upwards to transmit:
 - 3> perform cell update using the cause "uplink data transmission".

1> Paging response:

- 2> if the criteria for performing cell update with the cause specified above in the current subclause is not met; and
- 2> if the UE in URA_PCH or CELL_PCH state, receives a PAGING TYPE 1 message fulfilling the conditions for initiating a cell update procedure specified in subclause 8.1.2.3:
 - 3> perform cell update using the cause "paging response".

1> Radio link failure:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and
- 2> if the UE is in CELL_DCH state; and
- 2> if the criteria for radio link failure is met as specified in subclause 8.5.6:
 - 3> perform cell update using the cause "radio link failure".

1> Re-entering service area:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and
- 2> if the UE is in CELL_FACH or CELL_PCH state; and
- 2> if the UE has been out of service area and re-enters service area before T307 or T317 expires:
 - 3> perform cell update using the cause "re-entering service area".

1> RLC unrecoverable error:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and
- 2> if the UE detects RLC unrecoverable error [16] in an AM RLC entity:
 - 3> perform cell update using the cause "RLC unrecoverable error".

1> Cell reselection:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met:
 - 3> if the UE is in CELL_FACH or CELL_PCH state and the UE performs cell re-selection; or
 - 3> if the UE is in CELL_FACH state and the variable C_RNTI is empty:
 - 4> perform cell update using the cause "cell reselection".

1> Periodical cell update:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and
- 2> if the UE is in CELL_FACH or CELL_PCH state; and
- 2> if the timer T305 expires; and
- 2> if the criteria for "in service area" as specified in subclause 8.5.5.2 is fulfilled; and
- 2> if periodic updating has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity":
 - 3> perform cell update using the cause "periodical cell update".

A UE in URA_PCH state shall initiate the URA update procedure in the following cases:

1> URA reselection:

- 2> if the UE detects that the current URA assigned to the UE, stored in the variable URA_IDENTITY, is not present in the list of URA identities in system information block type 2; or
- 2> if the list of URA identities in system information block type 2 is empty; or
- 2> if the system information block type 2 can not be found:
 - 3> perform URA update using the cause "change of URA".

1> Periodic URA update:

- 2> if the criteria for performing URA update with the causes as specified above in the current subclause are not met; and
- 2> if the timer T305 expires while the UE is in the service area; and
- 2> if periodic updating has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity":
 - 3> perform URA update using the cause "periodic URA update".

When initiating the URA update or cell update procedure, the UE shall:

- 1> stop timer T305;
- 1> if the UE is in CELL_DCH state:
 - 2> in the variable RB_TIMER_INDICATOR, set the IE "T314 expired" and the IE "T315 expired" to FALSE;
 - 2> if the stored values of the timer T314 and timer T315 are both equal to zero; or
 - 2> if the stored value of the timer T314 is equal to zero and there are no radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT315":
 - 3> release all its radio resources;
 - 3> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;
 - 3> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;
 - 3> clear the variable ESTABLISHED_RABS;
 - 3> enter idle mode;
 - 3> perform other actions when entering idle mode from connected mode as specified in subclause 8.5.2;
 - 3> and the procedure ends.

- 2> if the stored value of the timer T314 is equal to zero:
 - 3> release all radio bearers, associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT314";
 - 3> in the variable RB_TIMER_INDICATOR set the IE "T314 expired" to TRUE.
- 2> if the stored value of the timer T315 is equal to zero:
 - 3> release all radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT315";
 - 3> in the variable RB_TIMER_INDICATOR set the IE "T315 expired" to TRUE.
- 2> if the stored value of the timer T314 is greater than zero:
 - 3> if there are radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT314":
 - 4> start timer T314.
 - 3> if there are no radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT314" or "useT315":
 - 4> start timer T314.
- 2> if the stored value of the timer T315 is greater than zero:
 - 3> if there are radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT315":
 - 4> start timer T315.
- 2> for the released radio bearer(s):
 - 3> delete the information about the radio bearer from the variable ESTABLISHED_RABS;
 - 3> when all radio bearers belonging to the same radio access bearer have been released:
 - 4> indicate local end release of the radio access bearer to upper layers using the CN domain identity together with the RAB identity stored in the variable ESTABLISHED_RABS;
 - 4> delete all information about the radio access bearer from the variable ESTABLISHED_RABS.
- 2> select a suitable UTRA cell according to [4];
- 2> set the variable ORDERED_RECONFIGURATION to FALSE.
- 1> set the variables PROTOCOL_ERROR_INDICATOR, FAILURE_INDICATOR, UNSUPPORTED_CONFIGURATION and INVALID_CONFIGURATION to FALSE;
- 1> set the variable CELL_UPDATE_STARTED to TRUE;
- 1> if the UE is not already in CELL_FACH state:
 - 2> move to CELL_FACH state;
 - 2> select PRACH according to subclause 8.5.17;
 - 2> select Secondary CCPCH according to subclause 8.5.19;
 - 2> use the transport format set given in system information as specified in subclause 8.6.5.1.
- 1> if the UE performs cell re-selection:
 - 2> clear the variable C_RNTI; and
 - 2> stop using that C_RNTI just cleared from the variable C_RNTI in MAC.

- 1> set CFN in relation to SFN of current cell according to subclause 8.5.15;
- 1> in case of a cell update procedure:
 - 2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3;
 - 2> submit the CELL UPDATE message for transmission on the uplink CCCH.
- 1> in case of a URA update procedure:
 - 2> set the contents of the URA UPDATE message according to subclause 8.3.1.3;
 - 2> submit the URA UPDATE message for transmission on the uplink CCCH.
- 1> set counter V302 to 1;
- 1> start timer T302 when the MAC layer indicates success or failure in transmitting the message.

8.3.1.5 Reception of an CELL UPDATE/URA UPDATE message by the UTRAN

When the UTRAN receives a CELL UPDATE/URA UPDATE message, the UTRAN should:

- 1> in case the procedure was triggered by reception of a CELL UPDATE:
 - 2> if SRNS relocation was performed:
 - 3> transmit a CELL UPDATE CONFIRM message on the downlink DCCH.
 - 2> otherwise:
 - 3> update the START value for each CN domain as maintained in UTRAN (refer to subclause 8.5.9) with "START" in the IE "START list" for the CN domain as indicated by "CN domain identity" in the IE "START list";
 - 3> if this procedure was triggered while the UE was not in CELL_DCH state, then for each CN domain as indicated by "CN domain identity" in the IE "START list":
 - 4> set the 20 MSB of the MAC-d HFN with the corresponding START value in the IE "START list";
 - 4> set the remaining LSB of the MAC-d HFN to zero.
 - 3> transmit a CELL UPDATE CONFIRM message on the downlink DCCH or optionally on the CCCH but only if ciphering is not required; and
 - 3> optionally ~~include~~ set the IE "RLC re-establish indicator (RB2, RB3 and RB4)" and/or the IE "RLC re-establish indicator (RB5 and upwards)" to TRUE to request a RLC re-establishment in the UE, in which case the corresponding RLC entities should also be re-established in UTRAN; or
- 1> in case the procedure was triggered by reception of a URA UPDATE:
 - 2> if SRNS relocation was performed:
 - 3> transmit a URA UPDATE CONFIRM message on the downlink DCCH.
 - 2> otherwise:
 - 3> transmit a URA UPDATE CONFIRM message on the downlink CCCH or DCCH.
 - 2> include the IE "URA identity" in the URA UPDATE CONFIRM message in a cell where multiple URA identifiers are broadcast; or
- 1> initiate an RRC connection release procedure (see subclause 8.1.4) by transmitting an RRC CONNECTION RELEASE message on the downlink CCCH. In particular UTRAN should:
 - 2> if the CELL UPDATE message was sent because of an unrecoverable error in RB2, RB3 or RB4:
 - 3> initiate an RRC connection release procedure (subclause 8.1.4) by transmitting an RRC CONNECTION RELEASE message on the downlink CCCH.

UTRAN may transmit several CELL UPDATE CONFIRM/URA UPDATE CONFIRM messages to increase the probability of proper reception of the message by the UE. In such a case, the RRC SN for these repeated messages should be the same.

8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U_RNTI; or
- if the message is received on DCCH:

the UE may:

- 1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

the UE shall:

- 1> stop timer T302;

- 1> in case of a cell update procedure and the CELL UPDATE CONFIRM message:

- 2> includes "RB information elements"; and/or
- 2> includes "Transport channel information elements"; and/or
- 2> includes "Physical channel information elements"; and
- 2> if the variable ORDERED_RECONFIGURATION is set to FALSE:
 - 3> set the variable ORDERED_RECONFIGURATION to TRUE.

- 1> act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:

- 2> if the IE "Frequency info" is included in the message:
 - 3> if the IE "RRC State Indicator" is set to the value "CELL_FACH" or "CELL_PCH" or URA_PCH":
 - 4> select a suitable UTRA cell according to [4] on that frequency;
 - 4> act as specified in subclause 8.3.1.12.
 - 3> if the IE "RRC State Indicator" is set to the value "CELL_DCH":
 - 4> act on the IE "Frequency info" as specified in subclause 8.6.6.1.

- 2> use the transport channel(s) applicable for the physical channel types that is used; and

- 2> if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):

- 3> use the TFS given in system information.

- 2> if none of the TFS stored is compatible with the physical channel:

- 3> delete the stored TFS;
- 3> use the TFS given in system information.

- 2> perform the physical layer synchronisation procedure as specified in [29];

- 2> if the CELL UPDATE CONFIRM message includes the IE "RLC re-establish indicator (RB2, RB3 and RB4)" in the CELL UPDATE CONFIRM message and it is set to TRUE:

- 3> re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);

- 3> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN is set to "Started":

- 4> set the HFN component of the respective COUNT-C values for AM RLC entities with RB identity 2, RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest transmitted CELL UPDATE message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN.
- 2> if the CELL UPDATE CONFIRM message includes the IE "RLC re-establish indicator (RB5 and upwards)" in the CELL UPDATE CONFIRM message and it is set to TRUE:
 - 3> for radio bearers with RB identity 5 and upwards:
 - 4> re-establish the AM RLC entities;
 - 4> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":
 - 5> set the HFN component of the respective COUNT-C values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS.
 - 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".
 - 1> enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.

If the UE after state transition enters CELL_DCH state, it shall:

- 1> not prohibit periodical status transmission in RLC.

If the UE after state transition remains in CELL_FACH state, it shall

- 1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- 1> select PRACH according to subclause 8.5.17;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> not prohibit periodical status transmission in RLC;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> ignore that IE and stop using DRX.

If the UE after state transition enters URA_PCH or CELL_PCH state, it shall:

- 1> prohibit periodical status transmission in RLC;
- 1> clear the variable C_RNTI;
- 1> stop using that C_RNTI just cleared from the variable C_RNTI in MAC;
- 1> start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2 in CELL_PCH state.

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

If the UE after the state transition remains in CELL_FACH state; and

1> the contents of the variable C_RNTI are empty:

it shall check the value of V302; and:

1> if V302 is equal to or smaller than N302:

2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

3> the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE; and/or

3> the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to TRUE:

4> abort the ongoing integrity and/or ciphering reconfiguration;

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

5> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

5> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

5> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

5> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

2> in case of a URA update procedure:

3> stop the URA update procedure; and

3> continue with a cell update procedure.

2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";

2> submit the CELL UPDATE message for transmission on the uplink CCCH;

2> increment counter V302;

2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.

1> if V302 is greater than N302:

2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;

2> in case of a cell update procedure:

3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> in case of a URA update procedure:

3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> release all its radio resources;

- 2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;
- 2> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;
- 2> clear the variable ESTABLISHED_RABS;
- 2> enter idle mode;
- 2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;
- 2> and the procedure ends.

If the UE after the state transition remains in CELL_FACH state; and

- a C-RNTI is stored in the variable C_RNTI;

or

- the UE after the state transition moves to another state than the CELL_FACH state:

the UE shall:

- 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" in any response message transmitted below to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> in case of a cell update procedure:
 - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
 - 2> clear that entry.
- 1> in case of a URA update procedure:
 - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
 - 2> clear that entry;
- 1> if the variable PDCP_SN_INFO is non-empty:
 - 2> include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP_SN_INFO.
- 1> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":
 - 2> re-establish RB2;
 - 2> set the new uplink and downlink HFN component of the COUNT-C of RB2 to MAX(uplink HFN component of the COUNT-C of RB2, downlink HFN component of the COUNT-C of RB2);
 - 2> increment by one the downlink and uplink values of the HFN component of the COUNT-C for RB2;
 - 2> calculate the START value according to subclause 8.5.9;
 - 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.

- 1> transmit a response message as specified in subclause 8.3.1.7;
- 1> if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.
- 1> if the variable ORDERED_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:
 - 2> set the variable ORDERED_RECONFIGURATION to FALSE.
- 1> clear the variable PDCP_SN_INFO;
- 1> when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:
 - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
 - 3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
 - 3> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and
 - 3> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
 - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":
 - 3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
 - 3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
 - 3> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE.
 - 2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.
- 1> in case of a cell update procedure:
 - 2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 1> in case of a URA update procedure:
 - 2> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 1> set the variable CELL_UPDATE_STARTED to FALSE;
- 1> clear the variable SECURITY_MODIFICATION.

The procedure ends.

10.2.8 CELL UPDATE CONFIRM

This message confirms the cell update procedure and can be used to reallocate new RNTI information for the UE valid in the new cell.

RLC-SAP: UM

Logical channel: CCCH or DCCH

Direction: UTRAN→UE

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|---|---------|--------------|--|------------------------|
| Message Type | MP | | Message Type | |
| UE Information Elements | | | | |
| U-RNTI | CV-CCCH | | U-RNTI 10.3.3.47 | |
| RRC transaction identifier | MP | | RRC transaction identifier 10.3.3.36 | |
| Integrity check info | CH | | Integrity check info 10.3.3.16 | |
| Integrity protection mode info | OP | | Integrity protection mode info 10.3.3.19 | |
| Ciphering mode info | OP | | Ciphering mode info 10.3.3.5 | |
| Activation time | MD | | Activation time 10.3.3.1 | Default value is "now" |
| New U-RNTI | OP | | U-RNTI 10.3.3.47 | |
| New C-RNTI | OP | | C-RNTI 10.3.3.8 | |
| New DSCH-RNTI | OP | | DSCH-RNTI 10.3.3.9a | |
| RRC State Indicator | MP | | RRC State Indicator 10.3.3.35a | |
| UTRAN DRX cycle length coefficient | OP | | UTRAN DRX cycle length coefficient 10.3.3.49 | |
| RLC re-establish indicator (RB2, RB3 and RB4) | MP | | RLC re-establish indicator 10.3.3.35 | |
| RLC re-establish indicator (RB5 and upwards) | MP | | RLC re-establish indicator 10.3.3.35 | |
| CN Information Elements | | | | |
| CN Information info | OP | | CN Information info 10.3.1.3 | |
| UTRAN Information Elements | | | | |
| URA identity | OP | | URA identity 10.3.2.6 | |
| RB information elements | | | | |
| RB information to release list | OP | 1 to <maxRB> | | |
| >RB information to release | MP | | RB information | |

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--|------|----------------------|--|---|
| | | | to release 10.3.4.19 | |
| RB information to reconfigure list | OP | 1 to <maxRB> | | |
| >RB information to reconfigure | MP | | RB information to reconfigure 10.3.4.18 | |
| RB information to be affected list | OP | 1 to <maxRB> | | |
| >RB information to be affected | MP | | RB information to be affected 10.3.4.17 | |
| Downlink counter synchronisation info | OP | | | |
| >RB with PDCP information list | OP | 1 to <maxRBall RABs> | | This IE is needed for each RB having PDCP in the case of lossless SRNS relocation |
| >>RB with PDCP information | MP | | RB with PDCP information 10.3.4.22 | |
| TrCH Information Elements | | | | |
| Uplink transport channels | | | | |
| UL Transport channel information common for all transport channels | OP | | UL Transport channel information common for all transport channels 10.3.5.24 | |
| Deleted TrCH information list | OP | 1 to <maxTrCH > | | |
| >Deleted UL TrCH information | MP | | Deleted UL TrCH information 10.3.5.5 | |
| Added or Reconfigured TrCH information list | OP | 1 to <maxTrCH > | | |
| >Added or Reconfigured UL TrCH information | MP | | Added or Reconfigured UL TrCH information 10.3.5.2 | |
| CHOICE <i>mode</i> | MP | | | |
| >FDD | | | | |
| >>CPCH set ID | OP | | CPCH set ID 10.3.5.3 | |
| >>>Added or Reconfigured TrCH information for DRAC list | OP | 1 to <maxTrCH > | | |
| >>>DRAC static information | MP | | DRAC static information 10.3.5.7 | |
| >TDD | | | | (no data) |
| Downlink transport channels | | | | |
| DL Transport channel information common for all transport channels | OP | | DL Transport channel information common for | |

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|---|------|-----------------|--|--|
| | | | all transport channels 10.3.5.6 | |
| Deleted TrCH information list | OP | 1 to <maxTrCH > | | |
| >Deleted DL TrCH information | MP | | Deleted DL TrCH information 10.3.5.4 | |
| Added or Reconfigured TrCH information list | OP | 1 to <maxTrCH > | | |
| >Added or Reconfigured DL TrCH information | MP | | Added or Reconfigured DL TrCH information 10.3.5.1 | |
| PhyCH information elements | | | | |
| Frequency info | MD | | Frequency info 10.3.6.36 | Default value is the existing value of frequency information |
| Uplink radio resources | | | | |
| Maximum allowed UL TX power | MD | | Maximum allowed UL TX power 10.3.6.39 | Default value is the existing maximum UL TX power |
| <i>CHOICE channel requirement</i> | | | | |
| >Uplink DPCH info | | | Uplink DPCH info 10.3.6.88. | |
| >CPCH SET Info | | | CPCH SET Info 10.3.6.13 | |
| Downlink radio resources | | | | |
| <i>CHOICE mode</i> | | | | |
| >FDD | | | | |
| >>Downlink PDSCH information | OP | | Downlink PDSCH information 10.3.6.30 | |
| >TDD | | | | (no data) |
| Downlink information common for all radio links | OP | | Downlink information common for all radio links 10.3.6.24 | |
| Downlink information per radio link list | OP | 1 to <maxRL> | | Send downlink information for each radio link to be set-up |
| >Downlink information for each radio link | MP | | Downlink information for each radio link 10.3.6.27 | |

| Condition | Explanation |
|-----------|--|
| CCCH | This IE is mandatory present when CCCH is used and ciphering is not required and not needed otherwise. |

CHANGE REQUEST

25.331 CR 1590 # rev **-** # Current version: **5.1.0**

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

| | | | |
|------------------------|---|-----------------|---|
| Title: | # Correction to RLC unrecoverable error occurs in CELL_DCH state | | |
| Source: | # TSG-RAN WG2 | | |
| Work item code: | # TEI | Date: | # 21/08/2002 |
| Category: | # A | Release: | # Rel-5 |
| | Use <u>one</u> of the following categories: | | Use <u>one</u> of the following releases: |
| | F (correction) | | 2 (GSM Phase 2) |
| | A (corresponds to a correction in an earlier release) | | R96 (Release 1996) |
| | B (addition of feature), | | R97 (Release 1997) |
| | C (functional modification of feature) | | R98 (Release 1998) |
| | D (editorial modification) | | R99 (Release 1999) |
| | Detailed explanations of the above categories can be found in 3GPP TR 21.900. | | Rel-4 (Release 4) |
| | | | Rel-5 (Release 5) |
| | | | Rel-6 (Release 6) |

| | |
|-----------------------------|--|
| Reason for change: # | <p>1. According to current text, the UE shall treat both the cases of RL failure and RLC unrecoverable error on DCH state with the same way as specified in subclause 8.3.1.2. However, the RL failure and RLC unrecoverable error have some essential differences, e.g. the RLC unrecoverable error can possibly be recovered to initial condition by RLC re-establishment, but RL failure can not. The usage of T314/T315 for RLC unrecoverable error on dedicated channel is not proper because this may lead to some normal RABs (i.e. services or applications) to be released before the RLC is re-established if the timers T314/T315 are shorter than the time period of performing RLC re-establishment. Besides, according to section 13.1, the timer T314 and T315 are designed for RL failure case only.</p> <p>2. For consistency, when release all radio bearers associated with T314/T315 that is set zero, the UE shall also indicate release of those radio access bearers to upper layers and delete all information about those radio access bearers from the variable ESTABLISHED_RABS.</p> <p>3.1. According to section 8.3.1.6, the UE shall handle both the IE "RLC re-establish indicator (RB2, RB3 and RB4)" and IE "RLC re-establish indicator (RB5 and upwards)" if received in the CELL UPDATE CONFIRM message. However, section 8.3.1.5 only states that the UTRAN should optionally include the IE "RLC re-establish indicator (RB5 and upwards)" in the CELL UPDATE CONFIRM message. This makes the IE "RLC re-establish indicator (RB2, RB3 and RB4)" become useless, and will prohibit the UTRAN to re-establish the RLC entities for SRB2, SRB3 and SRB4 (if established) even if the UTRAN would like to do this.</p> <p>4.2. Both the IE "RLC re-establish indicator (RB2, RB3 and RB4)" and IE "RLC</p> |
|-----------------------------|--|

re-establish indicator (RB5 and upwards)" are 'MP', and the UE shall re-establish the corresponding RLC entities only if any of these two IEs is set to TRUE. However, the text in 8.3.1.6 may be misunderstood to that the UE shall always re-establish the corresponding RLC entities if any of these two IEs is included even if the IE is set to FALSE. This will cause the UE always re-establishes all the RLC entities.

Summary of change: ⌘

1. ~~The timer T314/T315 only apply to Radio link failure.~~
2. ~~Explicitly state the UE shall indicate release of those radio access bearers to upper layers and delete all information about those radio access bearers from the variable ESTABLISHED_RABS when release all radio bearers associated with T314/T315 that is set zero.~~
- 3.1. ~~Allow the UTRAN to include both IE "RLC re-establish indicator (RB2, RB3 and RB4)" and IE "RLC re-establish indicator (RB5 and upwards)" in the CELL UPDATE CONFIRM message.~~
- 4.2. ~~Only if the received IE "RLC re-establish indicator (RB2, RB3 and RB4)" and/or IE "RLC re-establish indicator (RB5 and upwards)" is set to TRUE, the UE shall re-establish the corresponding RLC entities. And add notes into section 10.2.8 to state "Although this IE is not always required, need is MP to align with ASN.1".~~

Consequences if not approved: ⌘

1. ~~Some normal RABs (i.e. services or applications) will be released before the RLC is re-established if the timers T314/T315 are shorter than the time period of performing RLC re-establishment.~~
2. ~~The memory might be filled with obsolete information, and further, NAS can be potentially not know about the current establishment condition of the RABs.~~
- 3.1. ~~The IE "RLC re-establish indicator (RB2, RB3 and RB4)" becomes useless, and the UTRAN will be prohibited to re-establish the RLC entities for SRB2, SRB3 and SRB4.~~
- 4.1. ~~The UE might re-establish all the RLC entities.~~

Clauses affected: ⌘ ~~8.3.1.2, 8.3.1.5, 8.3.1.6, 10.2.8~~

Other specs affected: ⌘

| Y | N |
|-------------------------------------|--------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> |

Other core specifications ⌘
 Test specifications ⌘
 O&M Specifications ⌘

Other comments: ⌘

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/>. For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.3.1.2 Initiation

A UE shall initiate the cell update procedure in the following cases:

1> Uplink data transmission:

- 2> if the UE is in URA_PCH or CELL_PCH state; and
- 2> if the UE has uplink RLC data PDU or uplink RLC control PDU on RB1 or upwards to transmit:
 - 3> perform cell update using the cause "uplink data transmission".

1> Paging response:

- 2> if the criteria for performing cell update with the cause specified above in the current subclause is not met; and
- 2> if the UE in URA_PCH or CELL_PCH state, receives a PAGING TYPE 1 message fulfilling the conditions for initiating a cell update procedure specified in subclause 8.1.2.3:
 - 3> perform cell update using the cause "paging response".

1> Radio link failure:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and
- 2> if the UE is in CELL_DCH state; and
- 2> if the criteria for radio link failure is met as specified in subclause 8.5.6:
 - 3> perform cell update using the cause "radio link failure".

1> Re-entering service area:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and
- 2> if the UE is in CELL_FACH or CELL_PCH state; and
- 2> if the UE has been out of service area and re-enters service area before T307 or T317 expires:
 - 3> perform cell update using the cause "re-entering service area".

1> RLC unrecoverable error:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and
- 2> if the UE detects RLC unrecoverable error [16] in an AM RLC entity:
 - 3> perform cell update using the cause "RLC unrecoverable error".

1> Cell reselection:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met:
 - 3> if the UE is in CELL_FACH or CELL_PCH state and the UE performs cell re-selection; or
 - 3> if the UE is in CELL_FACH state and the variable C_RNTI is empty:
 - 4> perform cell update using the cause "cell reselection".

1> Periodical cell update:

- 2> if none of the criteria for performing cell update with the causes specified above in the current subclause is met; and
- 2> if the UE is in CELL_FACH or CELL_PCH state; and
- 2> if the timer T305 expires; and
- 2> if the criteria for "in service area" as specified in subclause 8.5.5.2 is fulfilled; and
- 2> if periodic updating has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity":
 - 3> perform cell update using the cause "periodical cell update".

A UE in URA_PCH state shall initiate the URA update procedure in the following cases:

1> URA reselection:

- 2> if the UE detects that the current URA assigned to the UE, stored in the variable URA_IDENTITY, is not present in the list of URA identities in system information block type 2; or
- 2> if the list of URA identities in system information block type 2 is empty; or
- 2> if the system information block type 2 can not be found:
 - 3> perform URA update using the cause "change of URA".

1> Periodic URA update:

- 2> if the criteria for performing URA update with the causes as specified above in the current subclause are not met; and
- 2> if the timer T305 expires while the UE is in the service area; and
- 2> if periodic updating has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity":
 - 3> perform URA update using the cause "periodic URA update".

When initiating the URA update or cell update procedure, the UE shall:

- 1> stop timer T305;
- 1> if the UE is in CELL_DCH state:
 - 2> in the variable RB_TIMER_INDICATOR, set the IE "T314 expired" and the IE "T315 expired" to FALSE;
 - 2> if the stored values of the timer T314 and timer T315 are both equal to zero; or
 - 2> if the stored value of the timer T314 is equal to zero and there are no radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT315":
 - 3> release all its radio resources;
 - 3> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;
 - 3> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;
 - 3> clear the variable ESTABLISHED_RABS;
 - 3> enter idle mode;
 - 3> perform other actions when entering idle mode from connected mode as specified in subclause 8.5.2;
 - 3> and the procedure ends.

- 2> if the stored value of the timer T314 is equal to zero:
 - 3> release all radio bearers, associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT314";
 - 3> in the variable RB_TIMER_INDICATOR set the IE "T314 expired" to TRUE.
- 2> if the stored value of the timer T315 is equal to zero:
 - 3> release all radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT315";
 - 3> in the variable RB_TIMER_INDICATOR set the IE "T315 expired" to TRUE.
- 2> if the stored value of the timer T314 is greater than zero:
 - 3> if there are radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT314":
 - 4> start timer T314.
 - 3> if there are no radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT314" or "useT315":
 - 4> start timer T314.
- 2> if the stored value of the timer T315 is greater than zero:
 - 3> if there are radio bearers associated with any radio access bearers for which in the variable ESTABLISHED_RABS the value of the IE "Re-establishment timer" is set to "useT315":
 - 4> start timer T315.
- 2> for the released radio bearer(s):
 - 3> delete the information about the radio bearer from the variable ESTABLISHED_RABS;
 - 3> when all radio bearers belonging to the same radio access bearer have been released:
 - 4> indicate local end release of the radio access bearer to upper layers using the CN domain identity together with the RAB identity stored in the variable ESTABLISHED_RABS;
 - 4> delete all information about the radio access bearer from the variable ESTABLISHED_RABS.
- 2> select a suitable UTRA cell according to [4];
- 2> set the variable ORDERED_RECONFIGURATION to FALSE.
- 1> set the variables PROTOCOL_ERROR_INDICATOR, FAILURE_INDICATOR, UNSUPPORTED_CONFIGURATION and INVALID_CONFIGURATION to FALSE;
- 1> set the variable CELL_UPDATE_STARTED to TRUE;
- 1> if the UE is not already in CELL_FACH state:
 - 2> move to CELL_FACH state;
 - 2> select PRACH according to subclause 8.5.17;
 - 2> select Secondary CCPCH according to subclause 8.5.19;
 - 2> use the transport format set given in system information as specified in subclause 8.6.5.1.
- 1> if the UE performs cell re-selection:
 - 2> clear the variable C_RNTI; and
 - 2> stop using that C_RNTI just cleared from the variable C_RNTI in MAC.

- 1> set CFN in relation to SFN of current cell according to subclause 8.5.15;
- 1> in case of a cell update procedure:
 - 2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3;
 - 2> submit the CELL UPDATE message for transmission on the uplink CCCH.
- 1> in case of a URA update procedure:
 - 2> set the contents of the URA UPDATE message according to subclause 8.3.1.3;
 - 2> submit the URA UPDATE message for transmission on the uplink CCCH.
- 1> set counter V302 to 1;
- 1> start timer T302 when the MAC layer indicates success or failure in transmitting the message.

8.3.1.5 Reception of an CELL UPDATE/URA UPDATE message by the UTRAN

When the UTRAN receives a CELL UPDATE/URA UPDATE message, the UTRAN should:

- 1> in case the procedure was triggered by reception of a CELL UPDATE:
 - 2> if SRNS relocation was performed:
 - 3> transmit a CELL UPDATE CONFIRM message on the downlink DCCH.
 - 2> otherwise:
 - 3> update the START value for each CN domain as maintained in UTRAN (refer to subclause 8.5.9) with "START" in the IE "START list" for the CN domain as indicated by "CN domain identity" in the IE "START list";
 - 3> if this procedure was triggered while the UE was not in CELL_DCH state, then for each CN domain as indicated by "CN domain identity" in the IE "START list":
 - 4> set the 20 MSB of the MAC-d HFN with the corresponding START value in the IE "START list";
 - 4> set the remaining LSB of the MAC-d HFN to zero.
 - 3> transmit a CELL UPDATE CONFIRM message on the downlink DCCH or optionally on the CCCH but only if ciphering is not required; and
 - 3> optionally ~~include~~ set the IE "RLC re-establish indicator (RB2, RB3 and RB4)" and/or the IE "RLC re-establish indicator (RB5 and upwards)" to TRUE to request a RLC re-establishment in the UE, in which case the corresponding RLC entities should also be re-established in UTRAN; or
- 1> in case the procedure was triggered by reception of a URA UPDATE:
 - 2> if SRNS relocation was performed:
 - 3> transmit a URA UPDATE CONFIRM message on the downlink DCCH.
 - 2> otherwise:
 - 3> transmit a URA UPDATE CONFIRM message on the downlink CCCH or DCCH.
 - 2> include the IE "URA identity" in the URA UPDATE CONFIRM message in a cell where multiple URA identifiers are broadcast; or
- 1> initiate an RRC connection release procedure (see subclause 8.1.4) by transmitting an RRC CONNECTION RELEASE message on the downlink CCCH. In particular UTRAN should:
 - 2> if the CELL UPDATE message was sent because of an unrecoverable error in RB2, RB3 or RB4:
 - 3> initiate an RRC connection release procedure (subclause 8.1.4) by transmitting an RRC CONNECTION RELEASE message on the downlink CCCH.

UTRAN may transmit several CELL UPDATE CONFIRM/URA UPDATE CONFIRM messages to increase the probability of proper reception of the message by the UE. In such a case, the RRC SN for these repeated messages should be the same.

8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U_RNTI; or
- if the message is received on DCCH:

the UE may:

- 1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

the UE shall:

- 1> stop timer T302;

- 1> in case of a cell update procedure and the CELL UPDATE CONFIRM message:

- 2> includes "RB information elements"; and/or
- 2> includes "Transport channel information elements"; and/or
- 2> includes "Physical channel information elements"; and
- 2> if the variable ORDERED_RECONFIGURATION is set to FALSE:
 - 3> set the variable ORDERED_RECONFIGURATION to TRUE.

- 1> act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:

- 2> if the IE "Frequency info" is included in the message:
 - 3> if the IE "RRC State Indicator" is set to the value "CELL_FACH" or "CELL_PCH" or "URA_PCH":
 - 4> select a suitable UTRA cell according to [4] on that frequency;
 - 4> act as specified in subclause 8.3.1.12.
 - 3> if the IE "RRC State Indicator" is set to the value "CELL_DCH":
 - 4> act on the IE "Frequency info" as specified in subclause 8.6.6.1.

- 2> use the transport channel(s) applicable for the physical channel types that is used; and

- 2> if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):

- 3> use the TFS given in system information.

- 2> if none of the TFS stored is compatible with the physical channel:

- 3> delete the stored TFS;
- 3> use the TFS given in system information.

- 2> perform the physical layer synchronisation procedure as specified in [29];

- 2> if the CELL UPDATE CONFIRM message includes the IE "RLC re-establish indicator (RB2, RB3 and RB4)" in the CELL UPDATE CONFIRM message and it is set to TRUE:

- 3> re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);

- 3> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN is set to "Started":

- 4> set the HFN component of the respective COUNT-C values for AM RLC entities with RB identity 2, RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest transmitted CELL UPDATE message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN.
- 2> if the CELL UPDATE CONFIRM message includes the IE "RLC re-establish indicator (RB5 and upwards)" in the CELL UPDATE CONFIRM message and it is set to TRUE:
 - 3> for radio bearers with RB identity 5 and upwards:
 - 4> re-establish the AM RLC entities;
 - 4> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":
 - 5> set the HFN component of the respective COUNT-C values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS.
 - 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".
 - 1> enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.

If the UE after state transition enters CELL_DCH state, it shall:

- 1> not prohibit periodical status transmission in RLC.

If the UE after state transition remains in CELL_FACH state, it shall

- 1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- 1> select PRACH according to subclause 8.5.17;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> not prohibit periodical status transmission in RLC;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> ignore that IE and stop using DRX.

If the UE after state transition enters URA_PCH or CELL_PCH state, it shall:

- 1> prohibit periodical status transmission in RLC;
- 1> clear the variable C_RNTI;
- 1> stop using that C_RNTI just cleared from the variable C_RNTI in MAC;
- 1> start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2 in CELL_PCH state.

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

If the UE after the state transition remains in CELL_FACH state; and

1> the contents of the variable C_RNTI are empty:

it shall check the value of V302; and:

1> if V302 is equal to or smaller than N302:

2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

3> the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE; and/or

3> the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to TRUE:

4> abort the ongoing integrity and/or ciphering reconfiguration;

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

5> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

5> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

5> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

5> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

2> in case of a URA update procedure:

3> stop the URA update procedure; and

3> continue with a cell update procedure.

2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";

2> submit the CELL UPDATE message for transmission on the uplink CCCH;

2> increment counter V302;

2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.

1> if V302 is greater than N302:

2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;

2> in case of a cell update procedure:

3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> in case of a URA update procedure:

3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> release all its radio resources;

- 2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;
- 2> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;
- 2> clear the variable ESTABLISHED_RABS;
- 2> enter idle mode;
- 2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;
- 2> and the procedure ends.

If the UE after the state transition remains in CELL_FACH state; and

- a C-RNTI is stored in the variable C_RNTI;

or

- the UE after the state transition moves to another state than the CELL_FACH state:

the UE shall:

- 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" in any response message transmitted below to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> in case of a cell update procedure:
 - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
 - 2> clear that entry.
- 1> in case of a URA update procedure:
 - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
 - 2> clear that entry;
- 1> if the variable PDCP_SN_INFO is non-empty:
 - 2> include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP_SN_INFO.
- 1> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":
 - 2> re-establish RB2;
 - 2> set the new uplink and downlink HFN component of the COUNT-C of RB2 to MAX(uplink HFN component of the COUNT-C of RB2, downlink HFN component of the COUNT-C of RB2);
 - 2> increment by one the downlink and uplink values of the HFN component of the COUNT-C for RB2;
 - 2> calculate the START value according to subclause 8.5.9;
 - 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.

- 1> transmit a response message as specified in subclause 8.3.1.7;
- 1> if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.
- 1> if the variable ORDERED_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:
 - 2> set the variable ORDERED_RECONFIGURATION to FALSE.
- 1> clear the variable PDCP_SN_INFO;
- 1> when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:
 - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
 - 3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
 - 3> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and
 - 3> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
 - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":
 - 3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
 - 3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
 - 3> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE.
 - 2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.
- 1> in case of a cell update procedure:
 - 2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 1> in case of a URA update procedure:
 - 2> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 1> set the variable CELL_UPDATE_STARTED to FALSE;
- 1> clear the variable SECURITY_MODIFICATION.

The procedure ends.

10.2.8 CELL UPDATE CONFIRM

This message confirms the cell update procedure and can be used to reallocate new RNTI information for the UE valid in the new cell.

RLC-SAP: UM

Logical channel: CCCH or DCCH

Direction: UTRAN→UE

| Information Element/Group name | Need | Multi | Type and reference | Semantics description | Version |
|---|---------|--------------|--|------------------------|---------|
| Message Type | MP | | Message Type | | |
| UE Information Elements | | | | | |
| U-RNTI | CV-CCCH | | U-RNTI 10.3.3.47 | | |
| RRC transaction identifier | MP | | RRC transaction identifier 10.3.3.36 | | |
| Integrity check info | CH | | Integrity check info 10.3.3.16 | | |
| Integrity protection mode info | OP | | Integrity protection mode info 10.3.3.19 | | |
| Ciphering mode info | OP | | Ciphering mode info 10.3.3.5 | | |
| Activation time | MD | | Activation time 10.3.3.1 | Default value is "now" | |
| New U-RNTI | OP | | U-RNTI 10.3.3.47 | | |
| New C-RNTI | OP | | C-RNTI 10.3.3.8 | | |
| New DSCH-RNTI | OP | | DSCH-RNTI 10.3.3.9a | | |
| New H-RNTI | OP | | H-RNTI 10.3.3.14a | | REL-5 |
| RRC State Indicator | MP | | RRC State Indicator 10.3.3.10 | | |
| UTRAN DRX cycle length coefficient | OP | | UTRAN DRX cycle length coefficient 10.3.3.49 | | |
| RLC re-establish indicator (RB2, RB3 and RB4) | MP | | RLC re-establish indicator 10.3.3.35 | | |
| RLC re-establish indicator (RB5 and upwards) | MP | | RLC re-establish indicator 10.3.3.35 | | |
| CN Information Elements | | | | | |
| CN Information info | OP | | CN Information info 10.3.1.3 | | |
| UTRAN Information Elements | | | | | |
| URA identity | OP | | URA identity 10.3.2.6 | | |
| RB information elements | | | | | |
| RB information to release list | OP | 1 to <maxRB> | | | |

| Information Element/Group name | Need | Multi | Type and reference | Semantics description | Version |
|--|------|----------------------|--|---|---------|
| >RB information to release | MP | | RB information to release 10.3.4.19 | | |
| RB information to reconfigure list | OP | 1 to <maxRB> | | | |
| >RB information to reconfigure | MP | | RB information to reconfigure 10.3.4.18 | | |
| RB information to be affected list | OP | 1 to <maxRB> | | | |
| >RB information to be affected | MP | | RB information to be affected 10.3.4.17 | | |
| Downlink counter synchronisation info | OP | | | | |
| >RB with PDCP information list | OP | 1 to <maxRBall RABs> | | | |
| >>RB with PDCP information | MP | | RB with PDCP information 10.3.4.22 | This IE is needed for each RB having PDCP in the case of lossless SRNS relocation | |
| | OP | | | | REL-5 |
| >>PDCP context relocation info | OP | | PDCP context relocation info 10.3.4.1a | This IE is needed for each RB having PDCP and performing PDCP context relocation | REL-5 |
| TrCH Information Elements | | | | | |
| Uplink transport channels | | | | | |
| UL Transport channel information common for all transport channels | OP | | UL Transport channel information common for all transport channels 10.3.5.24 | | |
| Deleted TrCH information list | OP | 1 to <maxTrCH > | | | |
| >Deleted UL TrCH information | MP | | Deleted UL TrCH information 10.3.5.5 | | |
| Added or Reconfigured TrCH information list | OP | 1 to <maxTrCH > | | | |
| >Added or Reconfigured UL TrCH information | MP | | Added or Reconfigured UL TrCH information 10.3.5.2 | | |
| CHOICE <i>mode</i> | MP | | | | |
| >FDD | | | | | |
| >>CPCH set ID | OP | | CPCH set ID 10.3.5.3 | | |
| >>Added or Reconfigured TrCH information for DRAC list | OP | 1 to <maxTrCH | | | |

| Information Element/Group name | Need | Multi | Type and reference | Semantics description | Version |
|--|------|-----------------|--|--|---------|
| >>>DRAC static information | MP | > | DRAC static information 10.3.5.7 | | |
| >TDD | | | | (no data) | |
| Downlink transport channels | | | | | |
| DL Transport channel information common for all transport channels | OP | | DL Transport channel information common for all transport channels 10.3.5.6 | | |
| Deleted TrCH information list | OP | 1 to <maxTrCH > | | | |
| >Deleted DL TrCH information | MP | | Deleted DL TrCH information 10.3.5.4 | | |
| Added or Reconfigured TrCH information list | OP | 1 to <maxTrCH > | | | |
| >Added or Reconfigured DL TrCH information | MP | | Added or Reconfigured DL TrCH information 10.3.5.1 | | |
| PhyCH information elements | | | | | |
| Frequency info | MD | | Frequency info 10.3.6.36 | Default value is the existing value of frequency information | |
| Uplink radio resources | | | | | |
| Maximum allowed UL TX power | MD | | Maximum allowed UL TX power 10.3.6.39 | Default value is the existing maximum UL TX power | |
| <i>CHOICE channel requirement</i> | | | | | |
| >Uplink DPCH info | | | Uplink DPCH info 10.3.6.88. | | |
| >CPCH SET Info | | | CPCH SET Info 10.3.6.13 | | |
| Downlink radio resources | | | | | |
| <i>CHOICE mode</i> | MP | | | | |
| >FDD | | | | | |
| >>Downlink PDSCH information | OP | | Downlink PDSCH information 10.3.6.30 | | |
| >TDD | | | | (no data) | |
| Downlink HS-PDSCH Information | OP | | Downlink HS_PDSCH Information 10.3.6.23a | | REL-5 |
| Downlink information common for all radio links | OP | | Downlink information common for all radio links 10.3.6.24 | | |
| Downlink information per radio link list | OP | 1 to <maxRL> | | Send downlink information for each radio link to | |

| Information Element/Group name | Need | Multi | Type and reference | Semantics description | Version |
|---|------|-------|---|-----------------------|---------|
| | | | | be set-up | |
| >Downlink information for each radio link | MP | | Downlink information for each radio link 10.3.6.27 | | |

| Condition | Explanation |
|-------------|--|
| <i>CCCH</i> | This IE is mandatory present when CCCH is used and ciphering is not required and not needed otherwise. |

3GPP TSG-RAN WG2 Meeting #31
 Arlanda, Sweden, 19th – 23rd August 2002

Tdoc # R2-022271

| |
|---|
| CR-Form-v7 |
| CHANGE REQUEST |
| ⌘ 25.331 CR 1591 ⌘ rev - ⌘ Current version: 3.11.0 ⌘ |

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

| | | |
|------------------------|---|--|
| Title: | ⌘ | Use of scrambling change when activating CM pattern using SF/2 by MEASUREMENT CONTROL |
| Source: | ⌘ | TSG-RAN WG2 |
| Work item code: | ⌘ | TEI |
| | | Date: ⌘ 12/08/2002 |
| Category: | ⌘ | F |
| | | Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900. |
| | | Release: ⌘ R99 Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6) |

| | | |
|---------------------------|---|---|
| Reason for change: | ⌘ | The changes included in this CR are proposed for the following reasons: <u>Need for IE "Scrambling code change"</u> UTRAN may apply a reconfiguration message to configure a compressed mode pattern. The pattern may later be activated either by means of another reconfiguration message or by means of a measurement control message. In case the CM pattern applies the SF/2 method, UTRAN will have to specify whether or not to change to the alternative scrambling code. Whether or not to use the alternative scrambling code can only be indicated in the reconfiguration messages but not in the MEASUREMENT CONTROL message. In case the CM pattern is configured by means of a reconfiguration message but activated by means of a MEASUREMENT CONTROL message, UTRAN should decide whether or not to apply the alternative scrambling code already when configuring the CM pattern, that is when applying the reconfiguration procedure. For this case, UTRAN should also have the possibility to change to the alternative scrambling code. This means that the IE "Scrambling code change" should be included in the reconfiguration message also for the case a CM pattern using SF/2 is configured but not activated. Impact analysis: <u>Impacted functionality:</u> Activation of compressed mode using SF/2 by means of a MEASUREMENT CONTROL message <u>Correction type:</u> Correction of a function where the specification is incomplete. CR is expected to affect implementations since the CR involves a change in the handling of an IE in certain cases, although some implementations could already |
|---------------------------|---|---|

| | | <p>have implemented the change introduced in this CR</p> <p><u>Interoperability:</u></p> <ul style="list-style-type: none"> • Isolated impact: the impact is isolated; only the corrected functionality is affected • CR implemented only by UTRAN: Activation of compressed mode using SF/2 by means of a MEASUREMENT CONTROL message will not work • CR implemented only by the UE: Only UTRANs that apply activation of compressed mode using SF/2 by means of a MEASUREMENT CONTROL message should implement this CR. There is no impact on other UTRANs since this CR has isolated impact | | | | | | | | |
|--------------------------------------|---|---|---|---|--|--|--|--|--|--|
| Summary of change: | ⌘ | <p>The changes included in this CR are proposed for the following reasons:</p> <p><u>10.3.6.21 Downlink DPCH info for each RL</u> The condition used for the need of IE "Scrambling code change" has been changed from "when a CM pattern using SF/2 is <u>activated</u>" into "when a CM patter using SF/2 is <u>configured</u>"</p> <p><u>10.3.6.22 Downlink DPCH info for each RL Post</u> The same condition applies as in the previous case. Since it was missing, it has been added</p> | | | | | | | | |
| Consequences if not approved: | ⌘ | The UE behaviour upon activation of a compressed mode pattern using method SF/2 by means of a MEASUREMENT CONTROL message is not specified | | | | | | | | |
| Clauses affected: | ⌘ | 10.3.6.21, 10.3.6.22 | | | | | | | | |
| Other specs affected: | ⌘ | <table border="1" style="display: inline-table; border-collapse: collapse; text-align: center;"> <thead> <tr> <th style="padding: 2px;">Y</th> <th style="padding: 2px;">N</th> </tr> </thead> <tbody> <tr> <td style="width: 20px; height: 15px;"></td> <td style="width: 20px; height: 15px;"></td> </tr> <tr> <td style="width: 20px; height: 15px;"></td> <td style="width: 20px; height: 15px;"></td> </tr> <tr> <td style="width: 20px; height: 15px;"></td> <td style="width: 20px; height: 15px;"></td> </tr> </tbody> </table> <div style="display: inline-block; vertical-align: middle; margin-left: 10px;"> Other core specifications Test specifications O&M Specifications </div> | Y | N | | | | | | |
| Y | N | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| Other comments: | ⌘ | | | | | | | | | |

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

10.3.6.21 Downlink DPCH info for each RL

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--|------------------------------------|------------------------------|---|--|
| CHOICE <i>mode</i> | MP | | | |
| >FDD | | | | |
| >>Primary CPICH usage for channel estimation | MP | | Primary CPICH usage for channel estimation 10.3.6.62 | |
| >>DPCH frame offset | MP | | Integer(0..38 144 by step of 256) | Offset (in number of chips) between the beginning of the P-CCPCH frame and the beginning of the DPCH frame This is called $\tau_{DPCH,n}$ in [26] |
| >>Secondary CPICH info | OP | | Secondary CPICH info 10.3.6.73 | |
| >>DL channelisation code | MP | 1 to <maxDPC H-DLchan> | | For the purpose of physical channel mapping [27] the DPCHs are numbered, starting from DPCH number 1, according to the order that they are contained in this IE. |
| >>>Secondary scrambling code | MD | | Secondary scrambling code 10.3.6.74 | Default is the same scrambling code as for the Primary CPICH |
| >>>Spreading factor | MP | | Integer(4, 8, 16, 32, 64, 128, 256, 512) | Defined in CHOICE SF512-AndCodenumbr with "code number" in ASN.1 |
| >>>Code number | MP | | Integer(0..Sp reading factor - 1) | |
| >>>Scrambling code change | CH-SF/2 | | Enumerated (code change, no code change) | Indicates whether the alternative scrambling code is used for compressed mode method 'SF/2'. |
| >>TPC combination index | MP | | TPC combination index 10.3.6.85 | |
| >>SSDT Cell Identity | OP | | SSDT Cell Identity 10.3.6.76 | |
| >>Closed loop timing adjustment mode | CH- <i>TxDiversity Mode</i> | | Integer(1, 2) | It is present if Tx Diversity is used in the radio link. |
| >TDD | | | | |
| >>DL CCTrCh List | OP | 1..<maxCC TrCH> | | DL physical channels to establish or reconfigure list. |
| >>>TFCS ID | MD | | Integer(1..8) | Identity of this CCTrCh. Default value is 1 |
| >>>Time info | MP | | Time Info 10.3.6.83 | |
| >>>Common timeslot info | MD | | Common Timeslot Info 10.3.6.10 | Default is the current Common timeslot info |
| >>>Downlink DPCH timeslots and codes | MD | | Downlink Timeslots and Codes 10.3.6.32 | Default is to use the old timeslots and codes. |
| >>>UL CCTrCH TPC List | MD | 0..<maxCC | | UL CCTrCH identities for TPC |

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-----------------|---|---|
| | | TrCH> | | commands associated with this DL CCTrCH. Default is previous list or all defined UL CCTrCHs |
| >>>>UL TPC TFCS Identity | MP | | Transport Format Combination Set Identity 10.3.5.21 | |
| >>DL CCTrCH List to Remove | OP | 1..<maxCC TrCH> | | DL physical channels to remove list. |
| >>>TFCS ID | MP | | Integer(1..8) | |

| Condition | Explanation |
|------------------|---|
| SF/2 | The information element is mandatory present if the UE has an active compressed mode pattern sequence configured in variable TGPS_IDENTITY or included in the message including IE "Downlink DPCH info for each RL", which is using compressed mode method "SF/2". Otherwise the IE is not needed. |
| TxDiversity Mode | This IE is mandatory present if any TX Diversity Mode is used on the radio link, i.e. if STTD, "closed loop mode 1" or "closed loop mode 2" is used on the radio link. Otherwise the IE is not needed. |

10.3.6.22 Downlink DPCH info for each RL Post

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--|---------|-------|--|--|
| CHOICE <i>mode</i> | MP | | | |
| >FDD | | | | |
| >>Primary CPICH usage for channel estimation | MP | | Primary CPICH usage for channel estimation 10.3.6.62 | |
| >>Secondary scrambling code | MD | | Secondary scrambling code 10.3.6.74 | Default is the same scrambling code as for the Primary CPICH |
| >>CHOICE <i>Spreading factor</i> | MP | | Integer(4, 8, 16, 32, 64, 128, 256, 512) | Defined in CHOICE SF512-AndCodenummer with "code number" in ASN.1 |
| >>Code number | MP | | Integer(0.. Spreading factor - 1) | |
| >>Scrambling code change | CH-SF/2 | | Enumerated (code change, no code change) | Indicates whether the alternative scrambling code is used for compressed mode method 'SF/2'. |
| >>>TPC combination index | MP | | TPC combination index 10.3.6.85 | |
| >TDD | | | | |
| >>Downlink DPCH timeslots and codes | MP | | Downlink Timeslots and Codes 10.3.6.32 | |

| <u>Condition</u> | <u>Explanation</u> |
|------------------|---|
| <u>SF/2</u> | The information element is mandatory present if the UE has a compressed mode pattern sequence configured in variable TGPS_IDENTITY or included in the message including IE "Downlink DPCH info for each RL Post", which is using compressed mode method "SF/2". Otherwise the IE is not needed. |

3GPP TSG-RAN WG2 Meeting #31
 Arlanda, Sweden, 19th – 23rd August 2002

Tdoc # R2-022272

| | |
|--|-----------------------|
| CR-Form-v7 | CHANGE REQUEST |
| ⌘ 25.331 CR 1592 ⌘ rev - ⌘ Current version: 4.5.0 ⌘ | |

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

| | | | |
|--|--|--|--|
| Title: | ⌘ Use of scrambling change when activating CM pattern using SF/2 by MEASUREMENT CONTROL | | |
| Source: | ⌘ TSG-RAN WG2 | | |
| Work item code: | ⌘ TEI Date: ⌘ 12/08/2002 | | |
| Category: | <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top;"> ⌘ A Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900. </td> <td style="width: 50%; vertical-align: top;"> Release: ⌘ REL-4 Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6) </td> </tr> </table> | ⌘ A Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900. | Release: ⌘ REL-4 Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6) |
| ⌘ A Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900. | Release: ⌘ REL-4 Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6) | | |

| | |
|---------------------------|---|
| Reason for change: | ⌘ The changes included in this CR are proposed for the following reasons: <u>Need for IE "Scrambling code change"</u> UTRAN may apply a reconfiguration message to configure a compressed mode pattern. The pattern may later be activated either by means of another reconfiguration message or by means of a measurement control message. In case the CM pattern applies the SF/2 method, UTRAN will have to specify whether or not to change to the alternative scrambling code. Whether or not to use the alternative scrambling code can only be indicated in the reconfiguration messages but not in the MEASUREMENT CONTROL message. In case the CM pattern is configured by means of a reconfiguration message but activated by means of a MEASUREMENT CONTROL message, UTRAN should decide whether or not to apply the alternative scrambling code already when configuring the CM pattern, that is when applying the reconfiguration procedure. For this case, UTRAN should also have the possibility to change to the alternative scrambling code. This means that the IE "Scrambling code change" should be included in the reconfiguration message also for the case a CM pattern using SF/2 is configured but not activated. |
| Summary of change: | ⌘ The changes included in this CR are proposed for the following reasons: <u>10.3.6.21 Downlink DPCH info for each RL</u> The condition used for the need of IE "Scrambling code change" has been changed from "when a CM pattern using SF/2 is <u>activated</u> " into "when a CM patter using SF/2 is <u>configured</u> " |

| | | <p><u>10.3.6.22 Downlink DPCH info for each RL Post</u> The same condition applies as in the previous case. Since it was missing, it has been added</p> | | | | | | | | | | |
|--------------------------------------|---|---|---|---|--|--|--|--|--|--|---------------------------|---|
| Consequences if not approved: | ⌘ | The UE behaviour upon activation of a compressed mode pattern using method SF/2 by means of a MEASUREMENT CONTROL message is not specified | | | | | | | | | | |
| Clauses affected: | ⌘ | 10.3.6.21, 10.3.6.22 | | | | | | | | | | |
| Other specs affected: | ⌘ | <table border="1"> <thead> <tr> <th>Y</th> <th>N</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> </tr> <tr> <td></td> <td></td> </tr> <tr> <td></td> <td></td> </tr> </tbody> </table> | Y | N | | | | | | | Other core specifications | ⌘ |
| | | Y | N | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | Test specifications | | | | | | | | | | |
| | | O&M Specifications | | | | | | | | | | |
| Other comments: | ⌘ | | | | | | | | | | | |

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

10.3.6.21 Downlink DPCH info for each RL

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--|------------------------------------|------------------------------|---|--|
| CHOICE <i>mode</i> | MP | | | |
| >FDD | | | | |
| >>Primary CPICH usage for channel estimation | MP | | Primary CPICH usage for channel estimation 10.3.6.62 | |
| >>DPCH frame offset | MP | | Integer(0..38 144 by step of 256) | Offset (in number of chips) between the beginning of the P-CCPCH frame and the beginning of the DPCH frame This is called $\tau_{DPCH,n}$ in [26] |
| >>Secondary CPICH info | OP | | Secondary CPICH info 10.3.6.73 | |
| >>DL channelisation code | MP | 1 to <maxDPC H-DLchan> | | For the purpose of physical channel mapping [27] the DPCHs are numbered, starting from DPCH number 1, according to the order that they are contained in this IE. |
| >>>Secondary scrambling code | MD | | Secondary scrambling code 10.3.6.74 | Default is the same scrambling code as for the Primary CPICH |
| >>>Spreading factor | MP | | Integer(4, 8, 16, 32, 64, 128, 256, 512) | Defined in CHOICE SF512-AndCodenummer with "code number" in ASN.1 |
| >>>Code number | MP | | Integer(0..Sp reading factor - 1) | |
| >>>Scrambling code change | CH-SF/2 | | Enumerated (code change, no code change) | Indicates whether the alternative scrambling code is used for compressed mode method 'SF/2'. |
| >>TPC combination index | MP | | TPC combination index 10.3.6.85 | |
| >>SSDT Cell Identity | OP | | SSDT Cell Identity 10.3.6.76 | |
| >>Closed loop timing adjustment mode | CH- <i>TxDiversity Mode</i> | | Integer(1, 2) | It is present if Tx Diversity is used in the radio link. |
| >TDD | | | | |
| >>DL CCTrCh List | OP | 1..<maxCC TrCH> | | DL physical channels to establish or reconfigure list. |
| >>>TFCS ID | MD | | Integer(1..8) | Identity of this CCTrCh. Default value is 1 |
| >>>Time info | MP | | Time Info 10.3.6.83 | |
| >>>Common timeslot info | MD | | Common Timeslot Info 10.3.6.10 | Default is the current Common timeslot info |
| >>>Downlink DPCH timeslots and codes | MD | | Downlink Timeslots and Codes 10.3.6.32 | Default is to use the old timeslots and codes. |
| >>>UL CCTrCH TPC List | MD | 0..<maxCC | | UL CCTrCH identities for TPC |

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-----------------|---|---|
| | | TrCH> | | commands associated with this DL CCTrCH. Default is previous list or all defined UL CCTrCHs |
| >>>>UL TPC TFCS Identity | MP | | Transport Format Combination Set Identity 10.3.5.21 | |
| >>DL CCTrCH List to Remove | OP | 1..<maxCC TrCH> | | DL physical channels to remove list. |
| >>>TFCS ID | MP | | Integer(1..8) | |

| Condition | Explanation |
|------------------|---|
| SF/2 | The information element is mandatory present if the UE has an active compressed mode pattern sequence configured in variable TGPS_IDENTITY or included in the message including IE "Downlink DPCH info for each RL", which is using compressed mode method "SF/2". Otherwise the IE is not needed. |
| TxDiversity Mode | This IE is mandatory present if any TX Diversity Mode is used on the radio link, i.e. if STTD, "closed loop mode 1" or "closed loop mode 2" is used on the radio link. Otherwise the IE is not needed. |

10.3.6.22 Downlink DPCH info for each RL Post

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--|---------|-------|--|--|
| CHOICE mode | MP | | | |
| >FDD | | | | |
| >>Primary CPICH usage for channel estimation | MP | | Primary CPICH usage for channel estimation 10.3.6.62 | |
| >>Secondary scrambling code | MD | | Secondary scrambling code 10.3.6.74 | Default is the same scrambling code as for the Primary CPICH |
| >>CHOICE Spreading factor | MP | | Integer(4, 8, 16, 32, 64, 128, 256, 512) | Defined in CHOICE SF512-AndCodenumbr with "code number" in ASN.1 |
| >>Code number | MP | | Integer(0.. Spreading factor - 1) | |
| >>Scrambling code change | CH-SF/2 | | Enumerated (code change, no code change) | Indicates whether the alternative scrambling code is used for compressed mode method 'SF/2'. |
| >>>TPC combination index | MP | | TPC combination index 10.3.6.85 | |
| >TDD | | | | |
| >>Downlink DPCH timeslots and codes | MP | | Downlink Timeslots and Codes 10.3.6.32 | |

| <u>Condition</u> | <u>Explanation</u> |
|------------------|---|
| <u>SF/2</u> | The information element is mandatory present if the UE has a compressed mode pattern sequence configured in variable TGPS_IDENTITY or included in the message including IE "Downlink DPCH info for each RL Post", which is using compressed mode method "SF/2". Otherwise the IE is not needed. |

3GPP TSG-RAN WG2 Meeting #31
 Arlanda, Sweden, 19th – 23rd August 2002

Tdoc # R2-022273

| |
|--|
| CR-Form-v7 |
| CHANGE REQUEST |
| ⌘ 25.331 CR 1593 ⌘ rev - ⌘ Current version: 5.1.0 ⌘ |

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

| | | |
|------------------------|---|--|
| Title: | ⌘ | Use of scrambling change when activating CM pattern using SF/2 by MEASUREMENT CONTROL |
| Source: | ⌘ | TSG-RAN WG2 |
| Work item code: | ⌘ | TEI |
| | | Date: ⌘ 12/08/2002 |
| Category: | ⌘ | A |
| | | Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900. |
| | | Release: ⌘ REL-5 Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6) |

| | | |
|---------------------------|---|---|
| Reason for change: | ⌘ | The changes included in this CR are proposed for the following reasons: <u>Need for IE "Scrambling code change"</u> UTRAN may apply a reconfiguration message to configure a compressed mode pattern. The pattern may later be activated either by means of another reconfiguration message or by means of a measurement control message. In case the CM pattern applies the SF/2 method, UTRAN will have to specify whether or not to change to the alternative scrambling code. Whether or not to use the alternative scrambling code can only be indicated in the reconfiguration messages but not in the MEASUREMENT CONTROL message. In case the CM pattern is configured by means of a reconfiguration message but activated by means of a MEASUREMENT CONTROL message, UTRAN should decide whether or not to apply the alternative scrambling code already when configuring the CM pattern, that is when applying the reconfiguration procedure. For this case, UTRAN should also have the possibility to change to the alternative scrambling code. This means that the IE "Scrambling code change" should be included in the reconfiguration message also for the case a CM pattern using SF/2 is configured but not activated. |
| Summary of change: | ⌘ | The changes included in this CR are proposed for the following reasons: <u>10.3.6.21 Downlink DPCH info for each RL</u> The condition used for the need of IE "Scrambling code change" has been changed from "when a CM pattern using SF/2 is <u>activated</u> " into "when a CM patter using SF/2 is <u>configured</u> " |

| | | <p><u>10.3.6.22 Downlink DPCH info for each RL Post</u> The same condition applies as in the previous case. Since it was missing, it has been added</p> | | | | | | | | | | |
|--------------------------------------|---|---|---|---|--|--|--|--|--|--|---------------------------|---|
| Consequences if not approved: | ⌘ | The UE behaviour upon activation of a compressed mode pattern using method SF/2 by means of a MEASUREMENT CONTROL message is not specified | | | | | | | | | | |
| Clauses affected: | ⌘ | 10.3.6.21, 10.3.6.22 | | | | | | | | | | |
| Other specs affected: | ⌘ | <table border="1"> <thead> <tr> <th>Y</th> <th>N</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> </tr> <tr> <td></td> <td></td> </tr> <tr> <td></td> <td></td> </tr> </tbody> </table> | Y | N | | | | | | | Other core specifications | ⌘ |
| | | Y | N | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | Test specifications | | | | | | | | | | |
| | | O&M Specifications | | | | | | | | | | |
| Other comments: | ⌘ | | | | | | | | | | | |

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

10.3.6.21 Downlink DPCH info for each RL

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--|------------------------------------|------------------------------|---|--|
| CHOICE <i>mode</i> | MP | | | |
| >FDD | | | | |
| >>Primary CPICH usage for channel estimation | MP | | Primary CPICH usage for channel estimation 10.3.6.62 | |
| >>DPCH frame offset | MP | | Integer(0..38 144 by step of 256) | Offset (in number of chips) between the beginning of the P-CCPCH frame and the beginning of the DPCH frame This is called $\tau_{DPCH,n}$ in [26] |
| >>Secondary CPICH info | OP | | Secondary CPICH info 10.3.6.73 | |
| >>DL channelisation code | MP | 1 to <maxDPC H-DLchan> | | For the purpose of physical channel mapping [27] the DPCHs are numbered, starting from DPCH number 1, according to the order that they are contained in this IE. |
| >>>Secondary scrambling code | MD | | Secondary scrambling code 10.3.6.74 | Default is the same scrambling code as for the Primary CPICH |
| >>>Spreading factor | MP | | Integer(4, 8, 16, 32, 64, 128, 256, 512) | Defined in CHOICE SF512-AndCodenumbr with "code number" in ASN.1 |
| >>>Code number | MP | | Integer(0..Sp reading factor - 1) | |
| >>>Scrambling code change | CH-SF/2 | | Enumerated (code change, no code change) | Indicates whether the alternative scrambling code is used for compressed mode method 'SF/2'. |
| >>TPC combination index | MP | | TPC combination index 10.3.6.85 | |
| >>SSDT Cell Identity | OP | | SSDT Cell Identity 10.3.6.76 | |
| >>Closed loop timing adjustment mode | CH- <i>TxDiversity Mode</i> | | Integer(1, 2) | It is present if Tx Diversity is used in the radio link. |
| >TDD | | | | |
| >>DL CCTrCh List | OP | 1..<maxCC TrCH> | | DL physical channels to establish or reconfigure list. |
| >>>TFCS ID | MD | | Integer(1..8) | Identity of this CCTrCh. Default value is 1 |
| >>>Time info | MP | | Time Info 10.3.6.83 | |
| >>>Common timeslot info | MD | | Common Timeslot Info 10.3.6.10 | Default is the current Common timeslot info |
| >>>Downlink DPCH timeslots and codes | MD | | Downlink Timeslots and Codes 10.3.6.32 | Default is to use the old timeslots and codes. |
| >>>UL CCTrCH TPC List | MD | 0..<maxCC | | UL CCTrCH identities for TPC |

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-----------------|---|---|
| | | TrCH> | | commands associated with this DL CCTrCH. Default is previous list or all defined UL CCTrCHs |
| >>>>UL TPC TFCS Identity | MP | | Transport Format Combination Set Identity 10.3.5.21 | |
| >>DL CCTrCH List to Remove | OP | 1..<maxCC TrCH> | | DL physical channels to remove list. |
| >>>TFCS ID | MP | | Integer(1..8) | |

| Condition | Explanation |
|------------------|---|
| SF/2 | The information element is mandatory present if the UE has an active compressed mode pattern sequence configured in variable TGPS_IDENTITY or included in the message including IE "Downlink DPCH info for each RL", which is using compressed mode method "SF/2". Otherwise the IE is not needed. |
| TxDiversity Mode | This IE is mandatory present if any TX Diversity Mode is used on the radio link, i.e. if STTD, "closed loop mode 1" or "closed loop mode 2" is used on the radio link. Otherwise the IE is not needed. |

10.3.6.22 Downlink DPCH info for each RL Post

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--|---------|-------|--|--|
| CHOICE <i>mode</i> | MP | | | |
| >FDD | | | | |
| >>Primary CPICH usage for channel estimation | MP | | Primary CPICH usage for channel estimation 10.3.6.62 | |
| >>>Secondary scrambling code | MD | | Secondary scrambling code 10.3.6.74 | Default is the same scrambling code as for the Primary CPICH |
| >>>CHOICE <i>Spreading factor</i> | MP | | Integer(4, 8, 16, 32, 64, 128, 256, 512) | Defined in CHOICE SF512-AndCodenummer with "code number" in ASN.1 |
| >>>Code number | MP | | Integer(0.. Spreading factor - 1) | |
| >>>Scrambling code change | CH-SF/2 | | Enumerated (code change, no code change) | Indicates whether the alternative scrambling code is used for compressed mode method 'SF/2'. |
| >>>>TPC combination index | MP | | TPC combination index 10.3.6.85 | |
| >TDD | | | | |
| >>>Downlink DPCH timeslots and codes | MP | | Downlink Timeslots and Codes 10.3.6.32 | |

| <u>Condition</u> | <u>Explanation</u> |
|------------------|---|
| <u>SF/2</u> | The information element is mandatory present if the UE has a compressed mode pattern sequence configured in variable TGPS_IDENTITY or included in the message including IE "Downlink DPCH info for each RL Post", which is using compressed mode method "SF/2". Otherwise the IE is not needed. |

3GPP TSG-RAN WG2 Meeting #31
Arlanda, Sweden, 19th – 23rd August 2002

Tdoc # R2-022274

| |
|---|
| CR-Form-v7 |
| <h2 style="margin: 0;">CHANGE REQUEST</h2> |
| # 25.331 CR 1594 # rev - # Current version: 3.11.0 # |

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

| | | | |
|---|---|---|---|
| Title: | # Actions when optional IE "Maximum allowed UL TX power" is missing | | |
| Source: | # TSG-RAN WG2 | | |
| Work item code: | # TEI Date: # 2002-08-08 | | |
| Category: | # F Release: # R99 | | |
| | <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top;"> Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900. </td> <td style="width: 50%; vertical-align: top;"> Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6) </td> </tr> </table> | Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 . | Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6) |
| Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 . | Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6) | | |

| | |
|--------------------------------------|--|
| Reason for change: | # Unclear behaviour when using the IE "Maximum allowed UL TX power" |
| Summary of change: | # In CR 1390 the behavior was clarified that, <ul style="list-style-type: none"> - when the IE "Maximum allowed UL TX power" is received in SIB 3 or Handover to UTRAN command, to use the received value, - or in case the IE is not received in any dedicated message, use a previously stored value. <p>Though in CR 1390, SIB 4 was forgotten. This is now added in this new CR.</p> <p>Isolated impact analysis: It is assumed that the clarifications are inline with RAN2 assumptions. However, if a UE has another interpretation the following may occur:</p> <ul style="list-style-type: none"> - If the CR is not implemented in UE: Risk that the value to use is unclear in case the IE "Maximum allowed UL TX power" is missing. - If the CR is not implemented in UTRAN: Does not affect UTRAN. <p>Impact on T1: No impact.</p> |
| Consequences if not approved: | # Unclear behaviour when using the IE "Maximum allowed UL TX power" |

| | | | | | | | | | | | |
|------------------------------|--|---------------------------|---|---------------------------|---|---|---|---|---|---------------------|--|
| Clauses affected: | # 8.6.6.8 | | | | | | | | | | |
| Other specs affected: | <table style="border: none;"> <tr> <td style="border: 1px solid black; padding: 2px; text-align: center;">Y</td> <td style="border: 1px solid black; padding: 2px; text-align: center;">N</td> <td rowspan="3" style="padding-left: 10px;">Other core specifications</td> <td rowspan="3" style="padding-left: 10px;">#</td> </tr> <tr> <td style="border: 1px solid black; padding: 2px; text-align: center;">#</td> <td style="border: 1px solid black; padding: 2px; text-align: center;">X</td> </tr> <tr> <td style="border: 1px solid black; padding: 2px; text-align: center;">#</td> <td style="border: 1px solid black; padding: 2px; text-align: center;">X</td> <td style="padding-left: 10px;">Test specifications</td> <td></td> </tr> </table> | Y | N | Other core specifications | # | # | X | # | X | Test specifications | |
| Y | N | Other core specifications | # | | | | | | | | |
| # | X | | | | | | | | | | |
| # | X | | | Test specifications | | | | | | | |

O&M Specifications

Other comments: ☞

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ☞ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.6.6.8 Maximum allowed UL TX power

If the IE "Maximum allowed UL TX power" is included in the Handover to UTRAN Command, in any other dedicated message or in System Information Block 3 [or in System Information Block 4](#), the UE shall:

- 1> store and use the value until it is updated;

If the IE "Maximum allowed UL TX power" was not included in any dedicated message the UE shall:

- 1> use the value previously stored, when received in an earlier dedicated message, Handover to UTRAN Command message or received in System Information Block 3 [or in System Information Block 4](#);

For all cases, the UE shall:

- 1> keep the UE uplink transmit power below the indicated power value;
- 1> if the current UE uplink transmit power is above the indicated power value:
 - 2> decrease the power to a level below the power value.

The maximum UE transmitter power is defined as the lower of the maximum output power of the UE power class and the maximum allowed UL TX power indicated in this IE. The maximum UE transmitter power shall not be exceeded.

3GPP TSG-RAN WG2 Meeting #31
Arlanda, Sweden, 19th – 23rd August 2002

Tdoc # R2-022275

| |
|--|
| CR-Form-v7 |
| CHANGE REQUEST |
| # 25.331 CR 1595 # rev - # Current version: 4.5.0 # |

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

| | | | |
|---|---|---|---|
| Title: | # Actions when optional IE "Maximum allowed UL TX power" is missing | | |
| Source: | # TSG-RAN WG2 | | |
| Work item code: | # TEI Date: # 2002-08-08 | | |
| Category: | # A Release: # Rel-4 | | |
| | <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top;"> Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900. </td> <td style="width: 50%; vertical-align: top;"> Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6) </td> </tr> </table> | Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 . | Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6) |
| Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 . | Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6) | | |

| | |
|--------------------------------------|--|
| Reason for change: | # Unclear behaviour when using the IE "Maximum allowed UL TX power" |
| Summary of change: | # In CR 1390 the behavior was clarified that, <ul style="list-style-type: none"> - when the IE "Maximum allowed UL TX power" is received in SIB 3 or Handover to UTRAN command, to use the received value, - or in case the IE is not received in any dedicated message, use a previously stored value. Though in CR 1390, SIB 4 was forgotten. This is now added in this new CR. Isolated impact analysis: It is assumed that the clarifications are inline with RAN2 assumptions. However, if a UE has another interpretation the following may occur: <ul style="list-style-type: none"> - If the CR is not implemented in UE: Risk that the value to use is unclear in case the IE "Maximum allowed UL TX power" is missing. - If the CR is not implemented in UTRAN: Does not affect UTRAN. Impact on T1: No impact. |
| Consequences if not approved: | # Unclear behaviour when using the IE "Maximum allowed UL TX power" |

| | | | | | | | | | | | |
|------------------------------|--|---------------------------|---|---------------------------|---|---------------------|---|---------------------|---|---|---|
| Clauses affected: | # 8.6.6.8 | | | | | | | | | | |
| Other specs affected: | <table style="border-collapse: collapse;"> <tr> <td style="border: 1px solid black; padding: 2px; text-align: center;">Y</td> <td style="border: 1px solid black; padding: 2px; text-align: center;">N</td> <td rowspan="3" style="padding-left: 10px;">Other core specifications</td> <td rowspan="3" style="padding-left: 10px;">#</td> </tr> <tr> <td style="border: 1px solid black; padding: 2px; text-align: center;">#</td> <td style="border: 1px solid black; padding: 2px; text-align: center;">X</td> <td rowspan="2" style="padding-left: 10px;">Test specifications</td> <td rowspan="2" style="padding-left: 10px;">#</td> </tr> <tr> <td style="border: 1px solid black; padding: 2px; text-align: center;">#</td> <td style="border: 1px solid black; padding: 2px; text-align: center;">X</td> </tr> </table> | Y | N | Other core specifications | # | # | X | Test specifications | # | # | X |
| Y | N | Other core specifications | # | | | | | | | | |
| # | X | | | | | Test specifications | # | | | | |
| # | X | | | | | | | | | | |

| | | | |
|--------------------------|-------------------------------------|--------------------------|--|
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | O&M Specifications | |
| Other comments: | | <input type="checkbox"/> | |

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ☹ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.6.6.8 Maximum allowed UL TX power

If the IE "Maximum allowed UL TX power" is included in the Handover to UTRAN Command, in any other dedicated message or in System Information Block 3 [or in System Information Block 4](#), the UE shall:

- 1> store and use the value until it is updated;

If the IE "Maximum allowed UL TX power" was not included in any dedicated message the UE shall:

- 1> use the value previously stored, when received in an earlier dedicated message, Handover to UTRAN Command message or received in System Information Block 3 [or in System Information Block 4](#);

For all cases, the UE shall:

- 1> keep the UE uplink transmit power below the indicated power value;
- 1> if the current UE uplink transmit power is above the indicated power value:
 - 2> decrease the power to a level below the power value.

The maximum UE transmitter power is defined as the lower of the maximum output power of the UE power class and the maximum allowed UL TX power indicated in this IE. The maximum UE transmitter power shall not be exceeded.

3GPP TSG-RAN WG2 Meeting #31
Arlanda, Sweden, 19th – 23rd August 2002

Tdoc # R2-022276

| |
|---|
| CR-Form-v7 |
| <h2 style="margin: 0;">CHANGE REQUEST</h2> |
| # TS 25.331 CR 1596 # rev - # Current version: 5.1.0 # |

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

| | | | |
|---|---|---|---|
| Title: | # Actions when optional IE "Maximum allowed UL TX power" is missing | | |
| Source: | # TSG-RAN WG2 | | |
| Work item code: | # TEI Date: # 2002-08-08 | | |
| Category: | # A Release: # Rel-5 | | |
| | <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top;"> Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900. </td> <td style="width: 50%; vertical-align: top;"> Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6) </td> </tr> </table> | Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 . | Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6) |
| Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 . | Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6) | | |

| | |
|--------------------------------------|--|
| Reason for change: | # Unclear behaviour when using the IE "Maximum allowed UL TX power" |
| Summary of change: | # In CR 1390 the behavior was clarified that, <ul style="list-style-type: none"> - when the IE "Maximum allowed UL TX power" is received in SIB 3 or Handover to UTRAN command, to use the received value, - or in case the IE is not received in any dedicated message, use a previously stored value. Though in CR 1390, SIB 4 was forgotten. This is now added in this new CR. Isolated impact analysis: It is assumed that the clarifications are inline with RAN2 assumptions. However, if a UE has another interpretation the following may occur: <ul style="list-style-type: none"> - If the CR is not implemented in UE: Risk that the value to use is unclear in case the IE "Maximum allowed UL TX power" is missing. - If the CR is not implemented in UTRAN: Does not affect UTRAN. Impact on T1: No impact. |
| Consequences if not approved: | # Unclear behaviour when using the IE "Maximum allowed UL TX power" |

| | | | | | | | | | | | |
|------------------------------|--|---------------------------|---|---------------------------|---|---|---|---|---|---------------------|--|
| Clauses affected: | # 8.6.6.8 | | | | | | | | | | |
| Other specs affected: | <table style="border: none;"> <tr> <td style="border: 1px solid black; padding: 2px; text-align: center;">Y</td> <td style="border: 1px solid black; padding: 2px; text-align: center;">N</td> <td rowspan="3" style="padding-left: 10px;">Other core specifications</td> <td rowspan="3" style="padding-left: 10px;">#</td> </tr> <tr> <td style="border: 1px solid black; padding: 2px; text-align: center;">#</td> <td style="border: 1px solid black; padding: 2px; text-align: center;">X</td> </tr> <tr> <td style="border: 1px solid black; padding: 2px; text-align: center;">#</td> <td style="border: 1px solid black; padding: 2px; text-align: center;">X</td> <td style="padding-left: 10px;">Test specifications</td> <td></td> </tr> </table> | Y | N | Other core specifications | # | # | X | # | X | Test specifications | |
| Y | N | Other core specifications | # | | | | | | | | |
| # | X | | | | | | | | | | |
| # | X | | | Test specifications | | | | | | | |

O&M Specifications

Other comments: ☞

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ☞ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.6.6.8 Maximum allowed UL TX power

If the IE "Maximum allowed UL TX power" is included in the Handover to UTRAN Command, in any other dedicated message or in System Information Block 3 [or in System Information Block 4](#), the UE shall:

- 1> store and use the value until it is updated;

If the IE "Maximum allowed UL TX power" was not included in any dedicated message the UE shall:

- 1> use the value previously stored, when received in an earlier dedicated message, Handover to UTRAN Command message or received in System Information Block 3 [or in System Information Block 4](#);

For all cases, the UE shall:

- 1> keep the UE uplink transmit power below the indicated power value;
- 1> if the current UE uplink transmit power is above the indicated power value:
 - 2> decrease the power to a level below the power value.

The maximum UE transmitter power is defined as the lower of the maximum output power of the UE power class and the maximum allowed UL TX power indicated in this IE. The maximum UE transmitter power shall not be exceeded.

CHANGE REQUEST

25.331 CR 1600 # rev **-** # Current version: **3.11.0**

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

| | | | |
|------------------------|--|-----------------|---|
| Title: | # Clarification on the IE "Frequency Info" | | |
| Source: | # TSG-RAN WG2 | | |
| Work item code: | # TEI | Date: | # 09-08-2002 |
| Category: | # F | Release: | # R99 |
| | Use <u>one</u> of the following categories: | | Use <u>one</u> of the following releases: |
| | F (correction) | | 2 (GSM Phase 2) |
| | A (corresponds to a correction in an earlier release) | | R96 (Release 1996) |
| | B (addition of feature), | | R97 (Release 1997) |
| | C (functional modification of feature) | | R98 (Release 1998) |
| | D (editorial modification) | | R99 (Release 1999) |
| | Detailed explanations of the above categories can be found in 3GPP TR 21.900 . | | Rel-4 (Release 4) |
| | | | Rel-5 (Release 5) |
| | | | Rel-6 (Release 6) |

| | |
|--------------------------------------|---|
| Reason for change: | # In section 10.3.6.36 "Frequency Info", the semantic description of the IE "UARFCN uplink(Nu)" specifies that if this IE is absent then the UE shall use the duplex separation of 190 MHz. But this is true only for UMTS 2100 (i.e. frequency band a) since for UMTS 1900 (i.e. frequency band b) the duplex separation is 80 MHz. |
| Summary of change: | # The CR clarifies the semantic description of the IE "UARFCN uplink(Nu)" that in case this IE is not present then the default duplex separation of the operating frequency band should be used. |
| | Impact analysis: Impacted functionality: Rx/Tx frequency duplex separation Clarification of a function where the specification is ambiguous. Does not affect implementations behaving like indicated in the CR, would affect implementations supporting the corrected functionality otherwise. This CR impacts only UE or UTRAN supporting 1900 MHz frequency band. If UTRAN (supporting 1900 MHz frequency band) does not implement the CR, it will be necessary to send the UL frequency in addition to the DL frequency which will lead to a waste of 14 bits over the radio. If the UE (supporting 1900 MHz frequency band) is not implementing the CR but UTRAN does then there is an ambiguity on the Rx/Tx frequency separation. |
| Consequences if not approved: | # This CR affects only UTRAN or UE supporting 1900 MHz frequency band. For UTRAN and UE with this capability if this CR is not approved a potential ambiguity regarding the UL frequency definition remains in the specification. To |

avoid this ambiguity UTRAN is forced to send explicitly the UL frequency which may lead to a waste of 14 bits over the radio.

| Clauses affected: | ⌘ | 10.3.6.36 | | | | | | | | | | |
|------------------------------|--------------------------|--|--------------------------|---|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|---------------------------|---|
| Other specs affected: | ⌘ | <table border="1"><tr><th>Y</th><th>N</th></tr><tr><td><input type="checkbox"/></td><td><input type="checkbox"/></td></tr><tr><td><input type="checkbox"/></td><td><input type="checkbox"/></td></tr><tr><td><input type="checkbox"/></td><td><input type="checkbox"/></td></tr></table> | Y | N | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Other core specifications | ⌘ |
| | | Y | N | | | | | | | | | |
| | | <input type="checkbox"/> | <input type="checkbox"/> | | | | | | | | | |
| <input type="checkbox"/> | <input type="checkbox"/> | | | | | | | | | | | |
| <input type="checkbox"/> | <input type="checkbox"/> | | | | | | | | | | | |
| | | Test specifications | | | | | | | | | | |
| | | O&M Specifications | | | | | | | | | | |
| Other comments: | ⌘ | | | | | | | | | | | |

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

10.3.6.36 Frequency info

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|---------------------|--|
| CHOICE <i>mode</i> | MP | | | |
| >FDD | | | | |
| >>UARFCN uplink (Nu) | OP | | Integer(0..16383) | [21] If IE not present, default duplex distance of 190 MHz shall be used. If this IE is not present, the default duplex distance defined for the operating frequency band shall be used [21] |
| >>UARFCN downlink (Nd) | MP | | Integer(0 .. 16383) | [21] |
| >TDD | | | | |
| >>UARFCN (Nt) | MP | | Integer(0 .. 16383) | [22] |

CR-Form-v7

CHANGE REQUEST

25.331 CR 1601 # rev **-** # Current version: **4.5.0**

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

| | | | |
|------------------------|---|-----------------|---|
| Title: | # Clarification on the IE "Frequency Info" | | |
| Source: | # TSG-RAN WG2 | | |
| Work item code: | # TEI | Date: | # 09-08-2002 |
| Category: | # A | Release: | # Rel-4 |
| | Use <u>one</u> of the following categories: | | Use <u>one</u> of the following releases: |
| | F (correction) | | 2 (GSM Phase 2) |
| | A (corresponds to a correction in an earlier release) | | R96 (Release 1996) |
| | B (addition of feature), | | R97 (Release 1997) |
| | C (functional modification of feature) | | R98 (Release 1998) |
| | D (editorial modification) | | R99 (Release 1999) |
| | Detailed explanations of the above categories can be found in 3GPP TR 21.900. | | Rel-4 (Release 4) |
| | | | Rel-5 (Release 5) |
| | | | Rel-6 (Release 6) |

| | |
|--------------------------------------|--|
| Reason for change: | # In section 10.3.6.36 "Frequency Info", the semantic description of the IE "UARFCN uplink(Nu)" specifies that if this IE is absent then the UE shall use the duplex separation of 190 MHz. But this is true only for UMTS 2100 (i.e. frequency band a) since for UMTS 1900 (i.e. frequency band b) the duplex separation is 80 MHz. |
| Summary of change: | # The CR clarifies the semantic description of the IE "UARFCN uplink(Nu)" that in case this IE is not present then the default duplex separation of the operating frequency band should be used. |
| Consequences if not approved: | # The specification remains ambiguous |

| | | | | | | | |
|------------------------------|---|---|---|--|--|--|--|
| Clauses affected: | # 10.3.6.36 | | | | | | |
| Other specs affected: | # | | | | | | |
| | <table border="1" style="display: inline-table; border-collapse: collapse; text-align: center;"> <tr> <td style="width: 20px;">Y</td> <td style="width: 20px;">N</td> </tr> <tr> <td style="width: 20px;"> </td> <td style="width: 20px;"> </td> </tr> <tr> <td style="width: 20px;"> </td> <td style="width: 20px;"> </td> </tr> </table> Other core specifications # Test specifications # O&M Specifications # | Y | N | | | | |
| Y | N | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Other comments: | # | | | | | | |

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.

- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

10.3.6.36 Frequency info

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|---------------------|--|
| CHOICE <i>mode</i> | MP | | | |
| >FDD | | | | |
| >>UARFCN uplink (Nu) | OP | | Integer(0..16383) | If this IE is not present, the default duplex distance defined for the operating frequency band shall be used [21] If IE not present, default duplex distance of 190 MHz shall be used. |
| >>UARFCN downlink (Nd) | MP | | Integer(0 .. 16383) | [21] |
| >TDD | | | | |
| >>UARFCN (Nt) | MP | | Integer(0 .. 16383) | [22] |

CR-Form-v7

CHANGE REQUEST

25.331 CR 1602 # rev **-** # Current version: **5.1.0**

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

| | | | |
|------------------------|---|--------------------|---|
| Title: | # Clarification on the IE "Frequency Info" | | |
| Source: | # TSG-RAN WG2 | | |
| Work item code: | # TEI | Date: | # 09-08-2002 |
| Category: | # A | Release: | # Rel-5 |
| | Use <u>one</u> of the following categories: | | Use <u>one</u> of the following releases: |
| | F (correction) | | 2 (GSM Phase 2) |
| | A (corresponds to a correction in an earlier release) | R96 (Release 1996) | |
| | B (addition of feature), | R97 (Release 1997) | |
| | C (functional modification of feature) | R98 (Release 1998) | |
| | D (editorial modification) | R99 (Release 1999) | |
| | Detailed explanations of the above categories can be found in 3GPP TR 21.900. | | Rel-4 (Release 4) |
| | | | Rel-5 (Release 5) |
| | | | Rel-6 (Release 6) |

| | |
|--------------------------------------|--|
| Reason for change: | # In section 10.3.6.36 "Frequency Info", the semantic description of the IE "UARFCN uplink(Nu)" specifies that if this IE is absent then the UE shall use the duplex separation of 190 MHz. But this is true only for UMTS 2100 (i.e. frequency band I) since for UMTS 1900 (i.e. frequency band II) the duplex separation is 80 MHz and 95 MHz for UMTS 1800 (i.e. frequency band III). |
| Summary of change: | # The CR clarifies the semantic description of the IE "UARFCN uplink(Nu)" that in case this IE is not present then the default duplex separation of the operating frequency band should be used. |
| Consequences if not approved: | # The specification remains ambiguous |

| | | | | | | | |
|------------------------------|---|---|---|--|--|--|--|
| Clauses affected: | # 10.3.6.36 | | | | | | |
| Other specs affected: | # | | | | | | |
| | <table border="1" style="display: inline-table; border-collapse: collapse; text-align: center;"> <tr> <td style="width: 20px;">Y</td> <td style="width: 20px;">N</td> </tr> <tr> <td style="width: 20px;"> </td> <td style="width: 20px;"> </td> </tr> <tr> <td style="width: 20px;"> </td> <td style="width: 20px;"> </td> </tr> </table> Other core specifications # Test specifications # O&M Specifications # | Y | N | | | | |
| Y | N | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Other comments: | # | | | | | | |

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.

- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

10.3.6.36 Frequency info

| Information Element/Group name | Need | Multi | Type and reference | Semantics description |
|--------------------------------|------|-------|---------------------|---|
| CHOICE <i>mode</i> | MP | | | |
| >FDD | | | | |
| >>UARFCN uplink (Nu) | OP | | Integer(0..16383) | [24] If this IE is not present, the default duplex distance defined for the operating frequency band shall be used [21]not present, default duplex distance of 190 MHz shall be used. |
| >>UARFCN downlink (Nd) | MP | | Integer(0 .. 16383) | [21] |
| >TDD | | | | |
| >>UARFCN (Nt) | MP | | Integer(0 .. 16383) | [22] |