TSG-RAN Meeting #16　　　　　　　　　　　　　　　　　　　　　　　　*RP-020325*
Marco Island, FL, USA, 4 - 7 June 2002

Title:　　　　**Agreed CRs (Release '99 and Rel-4/Rel-5 category A) to TS 25.304**

Source:　　　**TSG-RAN WG2**

Agenda item:　7.2.3

Doc-1st-	Status-	Spec	CR	Rev	Phase	Subject	Cat	Version	Versio
R2-021437	agreed	25.306	042		R99	Security Capabilities	F	3.5.0	3.6.0
R2-021438	agreed	25.306	043		Rel-4	Security Capabilities	A	4.4.0	4.5.0
R2-021439	agreed	25.306	044		Rel-5	Security Capabilities	A	5.0.0	5.1.0

3GPP TSG-RAN2 Meeting #29
Gyeongju, Korea, 13-17 May 2002

R2-021437

CR-Form-v5

CHANGE REQUEST

| ⌘ | **25.306** CR **042** | ⌘ **rev** | **-** | ⌘ | Current version: | **3.5.0** | ⌘ |

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: ⌘　(U)SIM ☐　ME/UE **X**　Radio Access Network **X**　Core Network ☐

Title:	⌘	Security Capabilities
Source:	⌘	TSG-RAN WG2
Work item code: ⌘	TEI	***Date:*** ⌘　2002-04-13

Category: ⌘ **F**	***Release:*** ⌘　R99

Use <u>one</u> of the following categories:
　F *(correction)*
　A *(corresponds to a correction in an earlier release)*
　B *(addition of feature),*
　C *(functional modification of feature)*
　D *(editorial modification)*
Detailed explanations of the above categories can
be found in 3GPP TR 21.900.

Use <u>one</u> of the following releases:
　2　　(GSM Phase 2)
　R96　(Release 1996)
　R97　(Release 1997)
　R98　(Release 1998)
　R99　(Release 1999)
　REL-4　(Release 4)
　REL-5　(Release 5)

Reason for change:	⌘	The Security Capability parameters were missing of the specification.
Summary of change:	⌘	The meaning of Security Capability parameters has been added in a new section.

Impact analysis:
Impacted functionality: Security
Correction to a function where the specification was uncomplet. The change only affects the Security functionality.

If the UE does not implement the change, but the UTRAN does, there is a risk for different interpretation of the meaning of the IE included in the Security Capability in the UE and in the RAN. This may lead in theory to RAN not respecting the UE capabilities when configuring the RBs, which could lead to failure of the connection.

If the UTRAN does not implement the change, but the UE does, the same applies.

Note that the same problem exists if neither of the peer entities implements the change

Consequences if not approved:	⌘	The meaning of Security Capability parameters will not be correctly defined. This will only be done in the Tabular using Sementics description.

Clauses affected:	⌘	4.7a (new), 5.1, 5.2.1

Other specs	⌘ **X**	Other core specifications	⌘　25.331
			25.304 v4.4.0, CR 100
			25.304 v5.0.0, CR 101
affected:	☐	Test specifications	
	☐	O&M Specifications	

Other comments:	⌘	

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at: http://www.3gpp.org/3G_Specs/CRs.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

4.7a Security parameters

Ciphering algorithm capability

This capabilities defines the ciphering algorithms supported by the UE. In this version of the protocol, the UE shall support UEA0 and UEA1.

Integrity protection algorithm capability

This capabilities defines the integrity protection algorithms supported by the UE. In this version of the protocol, the UE shall support UIA1.

5 Possible UE radio access capability parameter settings

5.1 Value ranges

Table 5.1: UE radio access capability parameter value ranges

		UE radio access capability parameter	Value range
PDCP parameters		Support for RFC 2507	Yes/No
		Support for loss-less SRNS relocation	Yes/No
		Maximum header compression context space	512, 1024, 2048, 4096, 8192 bytes
RLC parameters		Total RLC AM buffer size	2, 10, 50, 100, 150, 500, 1000 kBytes
		Maximum number of AM entities	3, 4, 5, 6, 8, 16, 30
PHY parameters	Transport channel parameters in downlink	Maximum sum of number of bits of all transport blocks being received at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840
		Maximum sum of number of bits of all convolutionally coded transport blocks being received at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840
		Maximum sum of number of bits of all turbo coded transport blocks being received at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840
		Maximum number of simultaneous transport channels	4, 8, 16, 32
		Maximum number of simultaneous CCTrCH	1, 2, 3, 4, 5, 6, 7, 8
		Maximum total number of transport blocks received within TTIs that end within the same 10 ms interval	4, 8, 16, 32, 48, 64, 96, 128, 256, 512
		Maximum number of TFC	16, 32, 48, 64, 96, 128, 256, 512, 1024
		Maximum number of TF	32, 64, 128, 256, 512, 1024
		Support for turbo decoding	Yes/No
	Transport channel parameters in uplink	Maximum sum of number of bits of all transport blocks being transmitted at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840
		Maximum sum of number of bits of all convolutionally coded transport blocks being transmitted at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840
		Maximum sum of number of bits of all turbo coded transport blocks being transmitted at an arbitrary time instant	640, 180, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840

		UE radio access capability parameter	Value range
		Maximum number of simultaneous transport channels	2, 4, 8, 16, 32
		Maximum number of simultaneous CCTrCH of DCH type (TDD only)	1, 2, 3, 4, 5, 6, 7, 8
		Maximum total number of transport blocks transmitted within TTIs that start at the same time	2, 4, 8, 16, 32, 48, 64, 96, 128, 256, 512
		Maximum number of TFC	4, 8, 16, 32, 48, 64, 96, 128, 256, 512, 1024
		Maximum number of TF	32, 64, 128, 256, 512, 1024
		Support for turbo encoding	Yes/No
	FDD Physical channel parameters in downlink	Maximum number of DPCH/PDSCH codes to be simultaneously received	1, 2, 3, 4, 5, 6, 7, 8
		Maximum number of physical channel bits received in any 10 ms interval (DPCH, PDSCH, S-CCPCH)	600, 1200, 2400, 3600, 4800, 7200, 9600, 14400, 19200, 28800, 38400, 48000, 57600, 67200, 76800
		Support for SF 512	Yes/No
		Support of PDSCH	Yes/No
		Simultaneous reception of SCCPCH and DPCH	Yes/No
		Simultaneous reception of SCCPCH, DPCH and PDSCH	Yes/No
		Maximum number of simultaneous S-CCPCH radio links	1 NOTE: Only the value 1 is part of this release of the specification
		Support of dedicated pilots for channel estimation	Yes/No
	FDD Physical channel parameters in uplink	Maximum number of DPDCH bits transmitted per 10 ms	600, 1200, 2400, 4800, 9600, 19200, 28800, 38400, 48000, 57600
		Support of PCPCH	Yes/No
	TDD physical channel parameters in downlink	Maximum number of timeslots per frame	1..14
		Maximum number of physical channels per frame	1, 2, 3..224
		Minimum SF	16, 1
		Support of PDSCH	Yes/No
		Maximum number of physical channels per timeslot	1..16
	TDD physical channel parameters in uplink	Maximum Number of timeslots per frame	1..14
		Maximum number of physical channels per timeslot	1, 2
		Minimum SF	16, 8, 4, 2, 1
		Support of PUSCH	Yes/No
RF parameters	FDD RF parameters	UE power class	3, 4 NOTE: Only power classes 3 and 4 are part of this release of the specification
		Tx/Rx frequency separation	190 MHz 174.8 MHz to 205.2 MHz 134.8 MHz to 245.2 MHz
RF parameters	TDD RF parameters	UE power class	2, 3 NOTE: Only power classes 2 and 3 are part of this release of the specification
		Radio frequency bands	a), b), c), a+b), a+c), a+b+c)
		Chip rate capability	3.84, 1.28
Multi-mode related parameters		Support of UTRA FDD/TDD	FDD, TDD, FDD+TDD
Multi-RAT related parameters		Support of GSM	Yes/No (per GSM frequency band)
		Support of multi-carrier	Yes/No
Security parameters		Support of ciphering algorithm UEA0	Yes
		Support of ciphering algorithm UEA1	Yes

	UE radio access capability parameter	Value range
	Support of integrity protection algorithm UIA0	Yes
UE positioning related parameters	Standalone location method(s) supported	Yes/No
	Network assisted GPS support	Network based / UE based / Both/ None
	GPS reference time capable	Yes/No
	Support for IPDL	Yes/No
	Support for OTDOA UE based method	Yes/No
	Support for Rx-Tx time difference type 2 measurement	Yes/No
	Support for UE Positioning measurement validity in CELL_PCH and URA_PCH RRC states	Yes/No
Measurement related capabilities	Need for downlink compressed mode	Yes/No (per frequency band, UTRA mode and RAT)
	Need for uplink compressed mode	Yes/No (per frequency band, UTRA mode and RAT)
General capabilities	Access Stratum release indicator	R99

5.2   Reference UE radio access capability combinations

Based on required UE radio access capabilities to support reference RABs as defined in [2], this clause lists reference UE Radio Access capability combinations. Subclause 5.2.1 defines reference combinations of UE radio access capability parameters common for UL and DL. Subclauses 5.2.2 and 5.2.3 define reference combinations of UE radio access capability parameters that are separate for DL and UL respectively. A reference combination for common UL and DL parameters, one combination for UL parameters and one combination for DL parameters together relate to a UE with a certain implementation complexity, that allows support for one or several combined reference RABs. Combinations for UL and DL can be chosen independently. The bit rate supported by the selected combination of common UL and DL parameters needs to be at least as high as the maximum out of the supported bit rates of the selected combination of DL parameters and the selected combination of UL parameters. Different combinations have different levels of implementation complexity.

For defined reference RABs, it is possible to require a UE to meet a certain reference UE radio access capability combination. Each UE needs to have capabilities complying with a given reference radio access capability combination. Each individual radio access capability parameter as defined in subclause 5.1 shall be signalled.

The reference combination numbers shall not be used in the signalling of UE radio access capabilities between the UE and UTRAN. Reference UE radio access capability combinations provide default configurations that should be used as a basis for conformance testing against reference RABs.

Allowed values of UE capability parameters are limited by the defined range and granularity of values in subclause 5.1. Values might change depending on further definition of reference RABs for testing.

5.2.1   Combinations of common UE Radio Access Parameters for UL and DL

NOTE: Measurement-related capabilities are not included in the combinations. These capabilities are independent from the supported RABs.

Table 5.2.1.1: UE radio access capability parameter combinations, parameters common for UL and DL

Reference combination of UE Radio Access capability parameters common for UL and DL	32kbps class	64kbps class	128 kbps class	384 kbps class	768 kbps class	2048 kbps class
PDCP parameters						

Reference combination of UE Radio Access capability parameters common for UL and DL	32kbps class	64kbps class	128 kbps class	384 kbps class	768 kbps class	2048 kbps class
Support for RFC 2507	No	No/Yes NOTE 1	No/Yes NOTE 1	No/Yes NOTE 1	No/Yes NOTE 1	No/Yes NOTE 1
Support for loss-less SRNS relocation	No/Yes NOTE 1					
Maximum header compression context space	Not applicable for conformance testing					
RLC parameters						
Total RLC AM buffer size (kbytes)	10	10	50	50	100	500
Maximum number of AM entities	4	4	5	6	8	8
Multi-mode related parameters						
Support of UTRA FDD/TDD	FDD / FDD+TDD / TDD NOTE 1					
Multi-RAT related parameters						
Support of GSM	Yes/No NOTE 1					
Support of multi-carrier	Yes/No NOTE 1					
Security parameters						
Support of ciphering algorithm UEA0	Yes					
Support of ciphering algorithm UEA1	Yes					
Support of integrity protection algorithm UIA0	Yes					
UE positioning related parameters						
Standalone location method(s) supported	Yes/No NOTE 1					
Network assisted GPS support	Network based / UE based / Both/ None NOTE 1					
GPS reference time capable	Yes/No NOTE 1					
Support for IPDL	Yes/No NOTE 1					
Support for OTDOA UE based method	Yes/No NOTE 1					
Support for Rx-Tx time difference type 2 measurement	Yes/No NOTE 1					
Support for UE Positioning measurement validity in CELL_PCH and URA_PCH RRC states	Yes/No NOTE 1					
RF parameters for FDD						
UE power class	3 / 4 NOTE 1					
Tx/Rx frequency separation	190 MHz					
RF parameters for TDD						
Radio frequency bands	A / b / c / a+b / a+c / b+c / a+b+c NOTE 1					
Chip rate capability	1.28 / 3.84 Mchip/s NOTE 1					
UE power class	2 / 3 NOTE 1					

NOTE 1: Options represent different combinations that should be supported with Conformance Tests.

3GPP TSG-RAN2 Meeting #29   *R2-021438*
Gyeongju, Korea, 13-17 May 2002

CR-Form-v5

CHANGE REQUEST

| ⌘ | **25.306 CR 043** | ⌘ **rev** | **-** | ⌘ | Current version: | **4.4.0** | ⌘ |

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: ⌘ (U)SIM ☐ ME/UE **X** Radio Access Network **X** Core Network ☐

Title:	⌘	Security Capabilities
Source:	⌘	TSG-RAN WG2

Work item code: ⌘	TEI		***Date:*** ⌘	2002-04-13

Category: ⌘ **A**   **Release:** ⌘ REL-4

Use <u>one</u> of the following categories:
   F *(correction)*
   A *(corresponds to a correction in an earlier release)*
   B *(addition of feature),*
   C *(functional modification of feature)*
   D *(editorial modification)*
Detailed explanations of the above categories can
be found in 3GPP TR 21.900.

Use <u>one</u> of the following releases:
   2   *(GSM Phase 2)*
   R96   *(Release 1996)*
   R97   *(Release 1997)*
   R98   *(Release 1998)*
   R99   *(Release 1999)*
   REL-4 *(Release 4)*
   REL-5 *(Release 5)*

Reason for change:	⌘	The Security Capability parameters were missing of the specification.
Summary of change:	⌘	The meaning of Security Capability parameters has been added in a new section. Rel4 shadow CR. **Impact analysis:** Impacted functionality: Security Correction to a function where the specification was uncomplet. The change only affects the Security functionality. If the UE does not implement the change, but the UTRAN does, there is a risk for different interpretation of the meaning of the IE included in the Security Capability in the UE and in the RAN. This may lead in theory to RAN not respecting the UE capabilities when configuring the RBs, which could lead to failure of the connection. If the UTRAN does not implement the change, but the UE does, the same applies. Note that the same problem exists if neither of the peer entities implements the change
Consequences if not approved:	⌘	The meaning of Security Capability parameters will not be correctly defined. This will only be done in the Tabular using Sementics description.

Clauses affected:	⌘	4.7a (new), 5.1, 5.2.1

Other specs	⌘	**X** Other core specifications	⌘	25.331 25.304 v3.5.0, CR 099 25.304 v5.0.0, CR 101
affected:		☐ Test specifications ☐ O&M Specifications		

Other comments:	⌘	

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4.7a   Security parameters

Ciphering algorithm capability

This capabilities defines the ciphering algorithms supported by the UE. In this version of the protocol, the UE shall support UEA0 and UEA1.

Integrity protection algorithm capability

This capabilities defines the integrity protection algorithms supported by the UE. In this version of the protocol, the UE shall support UIA1.

5   Possible UE radio access capability parameter settings

5.1   Value ranges

Table 5.1: UE radio access capability parameter value ranges

		UE radio access capability parameter	Value range
PDCP parameters		Support for RFC 2507	Yes/No
		Support for RFC 3095	Yes/No
		Support for loss-less SRNS relocation	Yes/No
		Maximum header compression context space	512, 1024, 2048, 4096, 8192 bytes
RLC parameters		Total RLC AM buffer size	2, 10, 50, 100, 150, 500, 1000 kBytes
		Maximum number of AM entities	3, 4, 5, 6, 8, 16, 30
PHY parameters	Transport channel parameters in downlink	Maximum sum of number of bits of all transport blocks being received at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840
		Maximum sum of number of bits of all convolutionally coded transport blocks being received at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840
		Maximum sum of number of bits of all turbo coded transport blocks being received at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840
		Maximum number of simultaneous transport channels	4, 8, 16, 32
		Maximum number of simultaneous CCTrCH	1, 2, 3, 4, 5, 6, 7, 8
		Maximum total number of transport blocks received within TTIs that end within the same 10 ms interval	4, 8, 16, 32, 48, 64, 96, 128, 256, 512
		Maximum number of TFC	16, 32, 48, 64, 96, 128, 256, 512, 1024
		Maximum number of TF	32, 64, 128, 256, 512, 1024
		Support for turbo decoding	Yes/No
	Transport channel parameters in uplink	Maximum sum of number of bits of all transport blocks being transmitted at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840
		Maximum sum of number of bits of all convolutionally coded transport blocks being transmitted at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840
		Maximum sum of number of bits of all turbo coded transport blocks being transmitted at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840

		UE radio access capability parameter	**Value range**
		Maximum number of simultaneous transport channels	2, 4, 8, 16, 32
		Maximum number of simultaneous CCTrCH of DCH type (TDD only)	1, 2, 3, 4, 5, 6, 7, 8
		Maximum total number of transport blocks transmitted within TTIs that start at the same time	2, 4, 8, 16, 32, 48, 64, 96, 128, 256, 512
		Maximum number of TFC	4, 8, 16, 32, 48, 64, 96, 128, 256, 512, 1024
		Maximum number of TF	32, 64, 128, 256, 512, 1024
		Support for turbo encoding	Yes/No
	FDD Physical channel parameters in downlink	Maximum number of DPCH/PDSCH codes to be simultaneously received	1, 2, 3, 4, 5, 6, 7, 8
		Maximum number of physical channel bits received in any 10 ms interval (DPCH, PDSCH, S-CCPCH)	600, 1200, 2400, 3600, 4800, 7200, 9600, 14400, 19200, 28800, 38400, 48000, 57600, 67200, 76800
		Support for SF 512	Yes/No
		Support of PDSCH	Yes/No
		Simultaneous reception of SCCPCH and DPCH	Yes/No
		Simultaneous reception of SCCPCH, DPCH and PDSCH	Yes/No
		Maximum number of simultaneous S-CCPCH radio links	1 NOTE: Only the value 1 is part of this release of the specification
		Support of dedicated pilots for channel estimation	Yes/No
	FDD Physical channel parameters in uplink	Maximum number of DPDCH bits transmitted per 10 ms	600, 1200, 2400, 4800, 9600, 19200, 28800, 38400, 48000, 57600
		Support of PCPCH	Yes/No
	TDD 3.84 Mcps physical channel parameters in downlink	Maximum number of timeslots per frame	1..14
		Maximum number of physical channels per frame	1, 2, 3..224
		Minimum SF	16, 1
		Support of PDSCH	Yes/No
		Maximum number of physical channels per timeslot	1..16
	TDD 3.84 Mcps physical channel parameters in uplink	Maximum Number of timeslots per frame	1..14
		Maximum number of physical channels per timeslot	1, 2
		Minimum SF	16, 8, 4, 2, 1
		Support of PUSCH	Yes/No
	TDD 1.28 Mcps physical channel parameters in downlink	Maximum number of timeslots per subframe	1..6
		Maximum number of physical channels per subframe	1, 2, 3, …, 96
		Minimum SF	16, 1
		Support of PDSCH	Yes/No
		Maximum number of physical channels per timeslot	1..16
		Support 8PSK	Yes/No
	TDD 1.28 Mcps physical channel parameters in uplink	Maximum number of timeslots per subframe	1..6
		Maximum number of physical channels per timeslot	1, 2
		Minimum SF	16, 8, 4, 2, 1
		Support of 8PSK	Yes/No
		Support of PUSCH	Yes/No

		UE radio access capability parameter	Value range
RF parameters	FDD RF parameters	UE power class	3, 4 NOTE: Only power classes 3 and 4 are part of this release of the specification
		Tx/Rx frequency separation	190 MHz 174.8 MHz to 205.2 MHz 134.8 MHz to 245.2 MHz
RF parameters	TDD 3.84 Mcps RF parameters	UE power class	2, 3 NOTE: Only power classes 2 and 3 are part of this release of the specification
		Radio frequency bands	a), b), c), a+b), a+c), b+c), a+b+c)
	TDD 1.28 Mcps RF parameters	UE power class	2, 3
		Radio frequency bands	a), b), c), a+b), a+c), b+c), a+b+c)
Multi-mode related parameters		Support of UTRA FDD	Yes/No
		Support of UTRA TDD 3.84 Mcps	Yes/No
		Support of UTRA TDD 1.28 Mcps	Yes/No
Multi-RAT related parameters		Support of GSM	Yes/No (per GSM frequency band)
		Support of multi-carrier	Yes/No
Security parameters		Support of ciphering algorithm UEA0	Yes
		Support of ciphering algorithm UEA1	Yes
		Support of integrity protection algorithm UIA0	Yes
UE positioning related parameters		Standalone location method(s) supported	Yes/No
		Network assisted GPS support	Network based / UE based / Both/ None
		GPS reference time capable	Yes/No
		Support for IPDL	Yes/No
		Support for OTDOA UE based method	Yes/No
		Support for Rx-Tx time difference type 2 measurement	Yes/No
		Support for UE Positioning measurement validity in CELL_PCH and URA_PCH RRC states	Yes/No
Measurement related capabilities		Need for downlink compressed mode	Yes/No (per frequency band, UTRA mode and RAT)
		Need for uplink compressed mode	Yes/No (per frequency band, UTRA mode and RAT)
General capabilities		Access Stratum release indicator	R99, REL-4

5.2 Reference UE radio access capability combinations

Based on required UE radio access capabilities to support reference RABs as defined in [2], this clause lists reference UE Radio Access capability combinations. Subclause 5.2.1 defines reference combinations of UE radio access capability parameters common for UL and DL. Subclauses 5.2.2 and 5.2.3 define reference combinations of UE radio access capability parameters that are separate for DL and UL respectively. A reference combination for common UL and DL parameters, one combination for UL parameters and one combination for DL parameters together relate to a UE with a certain implementation complexity, that allows support for one or several combined reference RABs. Combinations for UL and DL can be chosen independently. The bit rate supported by the selected combination of common UL and DL parameters needs to be at least as high as the maximum out of the supported bit rates of the selected combination of DL parameters and the selected combination of UL parameters. Different combinations have different levels of implementation complexity.

For defined reference RABs, it is possible to require a UE to meet a certain reference UE radio access capability combination. Each UE needs to have capabilities complying with a given reference radio access capability combination. Each individual radio access capability parameter as defined in subclause 5.1 shall be signalled.

The reference combination numbers shall not be used in the signalling of UE radio access capabilities between the UE and UTRAN. Reference UE radio access capability combinations provide default configurations that should be used as a basis for conformance testing against reference RABs.

Allowed values of UE capability parameters are limited by the defined range and granularity of values in subclause 5.1. Values might change depending on further definition of reference RABs for testing.

5.2.1 Combinations of common UE Radio Access Parameters for UL and DL

NOTE: Measurement-related capabilities are not included in the combinations. These capabilities are independent from the supported RABs.

**Table 5.2.1.1: UE radio access capability parameter combinations,
parameters common for UL and DL**

Reference combination of UE Radio Access capability parameters common for UL and DL	32 kbps class	64 kbps class	128 kbps class	384 kbps class	768 kbps class	2048 kbps class
PDCP parameters						
Support for RFC 2507	No	No/Yes NOTE 1	No/Yes NOTE 1	No/Yes NOTE 1	No/Yes NOTE 1	No/Yes NOTE 1
Support for RFC 3095	No/Yes NOTE 1	No/Yes NOTE 1	No/Yes NOTE 1	No/Yes NOTE 1	No/Yes NOTE 1	No/Yes NOTE 1
Support for loss-less SRNS relocation	No/Yes NOTE 1					
Maximum header compression context space	Not applicable for conformance testing					
RLC parameters						
Total RLC AM buffer size (kbytes)	10	10	50	50	100	500
Maximum number of AM entities	4	4	5	6	8	8
Multi-mode related parameters						
Support of UTRA FDD	Yes/No NOTE 1					
Support of UTRA TDD 3.84 Mcps	Yes/No NOTE 1					
Support of UTRA TDD 1.28 Mcps	Yes/No NOTE 1					
Multi-RAT related parameters						
Support of GSM	Yes/No NOTE 1					
Support of multi-carrier	Yes/No NOTE 1					
Security parameters						
Support of ciphering algorithm UEA0	Yes					
Support of ciphering algorithm UEA1	Yes					
Support of integrity protection algorithm UIA0	Yes					
UE positioning related parameters						
Standalone location method(s) supported	Yes/No NOTE 1					
Network assisted GPS support	Network based / UE based / Both/ None NOTE 1					
GPS reference time capable	Yes/No NOTE 1					
Support for IPDL	Yes/No NOTE 1					
Support for OTDOA UE based method	Yes/No NOTE 1					
Support for Rx-Tx time difference type 2 measurement	Yes/No NOTE 1					
Support for UE Positioning measurement validity in CELL_PCH and URA_PCH RRC states	Yes/No NOTE 1					

Reference combination of UE Radio Access capability parameters common for UL and DL	32 kbps class	64 kbps class	128 kbps class	384 kbps class	768 kbps class	2048 kbps class
RF parameters for FDD						
UE power class	3 / 4 NOTE 1					
Tx/Rx frequency separation	190 MHz					
RF parameters for TDD 3.84 Mcps						
Radio frequency bands	A / b / c / a+b / a+c / b+c / a+b+c NOTE 1					
UE power class	2 / 3 NOTE 1					
RF parameters for TDD 1.28 Mcps						
Radio frequency bands	A / b / c / a+b / a+c / b+c/ a+b+c NOTE 1					
UE power class	2 / 3 NOTE 1					

Reference combination of UE Radio Access capability parameters common for UL and DL	32kbps class	64kbps class	128 kbps class	384 kbps class	768 kbps class	2048 kbps class
PDCP parameters						
Support for RFC 2507	No	No/Yes NOTE 1	No/Yes NOTE 1	No/Yes NOTE 1	No/Yes NOTE 1	No/Yes NOTE 1
Support for loss-less SRNS relocation	No/Yes NOTE 1					
Maximum header compression context space	Not applicable for conformance testing					
RLC parameters						
Total RLC AM buffer size (kbytes)	10	10	50	50	100	500
Maximum number of AM entities	4	4	5	6	8	8
Multi-mode related parameters						
Support of UTRA FDD/TDD	FDD / FDD+TDD / TDD NOTE 1					
Multi-RAT related parameters						
Support of GSM	Yes/No NOTE 1					
Support of multi-carrier	Yes/No NOTE 1					
Security parameters						
Support of ciphering algorithm UEA0	Yes					
Support of ciphering algorithm UEA1	Yes					
Support of integrity protection algorithm UIA0	Yes					
UE positioning related parameters						
Standalone location method(s) supported	Yes/No NOTE 1					
Network assisted GPS support	Network based / UE based / Both/ None NOTE 1					
GPS reference time capable	Yes/No NOTE 1					
Support for IPDL	Yes/No NOTE 1					
Support for OTDOA UE based method	Yes/No NOTE 1					
Support for Rx-Tx time difference type 2 measurement	Yes/No NOTE 1					

Reference combination of UE Radio Access capability parameters common for UL and DL	32kbps class	64kbps class	128 kbps class	384 kbps class	768 kbps class	2048 kbps class
Support for UE Positioning measurement validity in CELL_PCH and URA_PCH RRC states	Yes/No NOTE 1					
RF parameters for FDD						
UE power class	3 / 4 NOTE 1					
Tx/Rx frequency separation	190 MHz					
RF parameters for TDD						
Radio frequency bands	A / b / c / a+b / a+c / b+c / a+b+c NOTE 1					
Chip rate capability	1.28 / 3.84 Mchip/s NOTE 1					
UE power class	2 / 3 NOTE 1					

NOTE 1: Options represent different combinations that should be supported with Conformance Tests.

Reference combination of UE Radio Access capability parameters common for UL and DL	32kbps class	64kbps class	128 kbps class	384 kbps class	768 kbps class	2048 kbps class

3GPP TSG-RAN2 Meeting #29
Gyeongju, Korea, 13-17 May 2002

R2-021439

CR-Form-v5

CHANGE REQUEST

| ⌘ | **25.306** CR **044** | ⌘ **rev** | **-** | ⌘ Current version: | **5.0.0** | ⌘ |

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: ⌘   (U)SIM ☐   ME/UE **X**   Radio Access Network **X**   Core Network ☐

Title:	⌘	Security Capabilities
Source:	⌘	TSG-RAN WG2
Work item code: ⌘	TEI	***Date:*** ⌘ 2002-04-13

Category: ⌘ **A**

Use <u>one</u> of the following categories:
F (correction)
A (corresponds to a correction in an earlier release)
B (addition of feature),
C (functional modification of feature)
D (editorial modification)
Detailed explanations of the above categories can
be found in 3GPP TR 21.900.

Release: ⌘   REL-5

Use <u>one</u> of the following releases:
2   (GSM Phase 2)
R96   (Release 1996)
R97   (Release 1997)
R98   (Release 1998)
R99   (Release 1999)
REL-4   (Release 4)
REL-5   (Release 5)

Reason for change:	⌘	The Security Capability parameters were missing of the specification.

Summary of change: ⌘   The meaning of Security Capability parameters has been added in a new section.

Rel5 shadow CR.

Impact analysis:
Impacted functionality: Security
Correction to a function where the specification was uncomplet. The change only affects the Security functionality.

If the UE does not implement the change, but the UTRAN does, there is a risk for different interpretation of the meaning of the IE included in the Security Capability in the UE and in the RAN. This may lead in theory to RAN not respecting the UE capabilities when configuring the RBs, which could lead to failure of the connection.

If the UTRAN does not implement the change, but the UE does, the same applies.

Note that the same problem exists if neither of the peer entities implements the change

Consequences if not approved:	⌘	The meaning of Security Capability parameters will not be correctly defined. This will only be done in the Tabular using Sementics description.

Clauses affected:	⌘	4.7a (new), 5.1, 5.2.1

Other specs	⌘	**X**	Other core specifications	⌘	25.331
					25.304 v3.5.0, CR 099
					25.304 v4.4.0, CR 100
affected:		☐	Test specifications		
		☐	O&M Specifications		

Other comments:	⌘	

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at: http://www.3gpp.org/3G_Specs/CRs.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

4.7a Security parameters

Ciphering algorithm capability

This capabilities defines the ciphering algorithms supported by the UE. In this version of the protocol, the UE shall support UEA0 and UEA1.

Integrity protection algorithm capability

This capabilities defines the integrity protection algorithms supported by the UE. In this version of the protocol, the UE shall support UIA1.

5 Possible UE radio access capability parameter settings

5.1 Value ranges

Table 5.1: UE radio access capability parameter value ranges

		UE radio access capability parameter	Value range
PDCP parameters		Support for RFC 2507	Yes/No
		Support for RFC 3095	Yes/No
		Support for loss-less SRNS relocation	Yes/No
		Maximum header compression context space	512, 1024, 2048, 4096, 8192 bytes
RLC and MAC-hs parameters		Total RLC AM and MAC-hs buffer size	2, 10, 50, 100, 150, 500, 1000 kBytes
		Maximum number of AM entities	3, 4, 5, 6, 8, 16, 30
PHY parameters	Transport channel parameters in downlink	Maximum sum of number of bits of all transport blocks being received at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840
		Maximum sum of number of bits of all convolutionally coded transport blocks being received at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840
		Maximum sum of number of bits of all turbo coded transport blocks being received at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840
		Maximum number of simultaneous transport channels	4, 8, 16, 32
		Maximum number of simultaneous CCTrCH	1, 2, 3, 4, 5, 6, 7, 8
		Maximum total number of transport blocks received within TTIs that end within the same 10 ms interval	4, 8, 16, 32, 48, 64, 96, 128, 256, 512
		Maximum number of TFC	16, 32, 48, 64, 96, 128, 256, 512, 1024
		Maximum number of TF	32, 64, 128, 256, 512, 1024
		Support for turbo decoding	Yes/No
	Transport channel parameters in uplink	Maximum sum of number of bits of all transport blocks being transmitted at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840
		Maximum sum of number of bits of all convolutionally coded transport blocks being transmitted at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840

		UE radio access capability parameter	Value range
		Maximum sum of number of bits of all turbo coded transport blocks being transmitted at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840
		Maximum number of simultaneous transport channels	2, 4, 8, 16, 32
		Maximum number of simultaneous CCTrCH of DCH type (TDD only)	1, 2, 3, 4, 5, 6, 7, 8
		Maximum total number of transport blocks transmitted within TTIs that start at the same time	2, 4, 8, 16, 32, 48, 64, 96, 128, 256, 512
		Maximum number of TFC	4, 8, 16, 32, 48, 64, 96, 128, 256, 512, 1024
		Maximum number of TF	32, 64, 128, 256, 512, 1024
		Support for turbo encoding	Yes/No
	FDD Physical channel parameters in downlink	Maximum number of DPCH/PDSCH codes to be simultaneously received	1, 2, 3, 4, 5, 6, 7, 8
		Maximum number of physical channel bits received in any 10 ms interval (DPCH, PDSCH, S-CCPCH)	600, 1200, 2400, 3600, 4800, 7200, 9600, 14400, 19200, 28800, 38400, 48000, 57600, 67200, 76800
		Support for SF 512	Yes/No
		Support of PDSCH	Yes/No
		Support of HS-PDSCH	Yes/No
		Simultaneous reception of SCCPCH and DPCH	Yes/No
		Simultaneous reception of SCCPCH, DPCH and PDSCH	Yes/No
		Maximum number of simultaneous S-CCPCH radio links	1 NOTE: Only the value 1 is part of this release of the specification
		Support of dedicated pilots for channel estimation	Yes/No
	FDD Physical channel parameters in uplink	Maximum number of DPDCH bits transmitted per 10 ms	600, 1200, 2400, 4800, 9600, 19200, 28800, 38400, 48000, 57600
		Support of PCPCH	Yes/No
	TDD 3.84 Mcps physical channel parameters in downlink	Maximum number of timeslots per frame	1..14
		Maximum number of physical channels per frame	1, 2, 3..224
		Minimum SF	16, 1
		Support of PDSCH	Yes/No
		Maximum number of physical channels per timeslot	1..16
	TDD 3.84 Mcps physical channel parameters in uplink	Maximum Number of timeslots per frame	1..14
		Maximum number of physical channels per timeslot	1, 2
		Minimum SF	16, 8, 4, 2, 1
		Support of PUSCH	Yes/No
	TDD 1.28 Mcps physical channel parameters in downlink	Maximum number of timeslots per subframe	1..6
		Maximum number of physical channels per subframe	1, 2, 3, …, 96
		Minimum SF	16, 1
		Support of PDSCH	Yes/No
		Maximum number of physical channels per timeslot	1..16
		Support 8PSK	Yes/No
	TDD 1.28 Mcps physical channel parameters in uplink	Maximum number of timeslots per subframe	1..6
		Maximum number of physical channels per timeslot	1, 2
		Minimum SF	16, 8, 4, 2, 1
		Support of 8PSK	Yes/No

		UE radio access capability parameter	Value range
		Support of PUSCH	Yes/No
RF parameters	FDD RF parameters	UE power class	3, 4 NOTE: Only power classes 3 and 4 are part of this release of the specification
		Tx/Rx frequency separation	190 MHz 174.8 MHz to 205.2 MHz 134.8 MHz to 245.2 MHz
RF parameters	TDD 3.84 Mcps RF parameters	UE power class	2, 3 NOTE: Only power classes 2 and 3 are part of this release of the specification
		Radio frequency bands	a), b), c), a+b), a+c), b+c), a+b+c)
	TDD 1.28 Mcps RF parameters	UE power class	2, 3
		Radio frequency bands	a), b), c), a+b), a+c), b+c), a+b+c)
Multi-mode related parameters		Support of UTRA FDD	Yes/No
		Support of UTRA TDD 3.84 Mcps	Yes/No
		Support of UTRA TDD 1.28 Mcps	Yes/No
Multi-RAT related parameters		Support of GSM	Yes/No (per GSM frequency band)
		Support of multi-carrier	Yes/No
Security parameters		Support of ciphering algorithm UEA0	Yes
		Support of ciphering algorithm UEA1	Yes
		Support of integrity protection algorithm UIA0	Yes
UE positioning related parameters		Standalone location method(s) supported	Yes/No
		Network assisted GPS support	Network based / UE based / Both/ None
		GPS reference time capable	Yes/No
		Support for IPDL	Yes/No
		Support for OTDOA UE based method	Yes/No
		Support for Rx-Tx time difference type 2 measurement	Yes/No
		Support for UE Positioning measurement validity in CELL_PCH and URA_PCH RRC states	Yes/No
Measurement related capabilities		Need for downlink compressed mode	Yes/No (per frequency band, UTRA mode and RAT)
		Need for uplink compressed mode	Yes/No (per frequency band, UTRA mode and RAT)
General capabilities		Access Stratum release indicator	R99, REL-4

5.2   Reference UE radio access capability combinations

Based on required UE radio access capabilities to support reference RABs as defined in [2], this clause lists reference UE Radio Access capability combinations. Subclause 5.2.1 defines reference combinations of UE radio access capability parameters common for UL and DL. Subclauses 5.2.2 and 5.2.3 define reference combinations of UE radio access capability parameters that are separate for DL and UL respectively. A reference combination for common UL and DL parameters, one combination for UL parameters and one combination for DL parameters together relate to a UE with a certain implementation complexity, that allows support for one or several combined reference RABs. Combinations for UL and DL can be chosen independently. The bit rate supported by the selected combination of common UL and DL parameters needs to be at least as high as the maximum out of the supported bit rates of the selected combination of DL parameters and the selected combination of UL parameters. Different combinations have different levels of implementation complexity.

For defined reference RABs, it is possible to require a UE to meet a certain reference UE radio access capability combination. Each UE needs to have capabilities complying with a given reference radio access capability combination. Each individual radio access capability parameter as defined in subclause 5.1 shall be signalled.

The reference combination numbers shall not be used in the signalling of UE radio access capabilities between the UE and UTRAN. Reference UE radio access capability combinations provide default configurations that should be used as a basis for conformance testing against reference RABs.

Allowed values of UE capability parameters are limited by the defined range and granularity of values in subclause 5.1. Values might change depending on further definition of reference RABs for testing.

5.2.1 Combinations of common UE Radio Access Parameters for UL and DL

NOTE:	Measurement-related capabilities are not included in the combinations. These capabilities are independent from the supported RABs.

Table 5.2.1.1: UE radio access capability parameter combinations, parameters common for UL and DL

Reference combination of UE Radio Access capability parameters common for UL and DL	32 kbps class	64 kbps class	128 kbps class	384 kbps class	768 kbps class	2048 kbps class
PDCP parameters						
Support for RFC 2507	No	No/Yes NOTE 1	No/Yes NOTE 1	No/Yes NOTE 1	No/Yes NOTE 1	No/Yes NOTE 1
Support for RFC 3095	No/Yes NOTE 1	No/Yes NOTE 1	No/Yes NOTE 1	No/Yes NOTE 1	No/Yes NOTE 1	No/Yes NOTE 1
Support for loss-less SRNS relocation	No/Yes NOTE 1					
Maximum header compression context space	Not applicable for conformance testing					
RLC parameters						
Total RLC AM buffer size (kbytes)	10	10	50	50	100	500
Maximum number of AM entities	4	4	5	6	8	8
Multi-mode related parameters						
Support of UTRA FDD	Yes/No NOTE 1					
Support of UTRA TDD 3.84 Mcps	Yes/No NOTE 1					
Support of UTRA TDD 1.28 Mcps	Yes/No NOTE 1					
Multi-RAT related parameters						
Support of GSM	Yes/No NOTE 1					
Support of multi-carrier	Yes/No NOTE 1					
Security parameters						
Support of ciphering algorithm UEA0	Yes					
Support of ciphering algorithm UEA1	Yes					
Support of integrity protection algorithm UIA0	Yes					
UE positioning related parameters						
Standalone location method(s) supported	Yes/No NOTE 1					
Network assisted GPS support	Network based / UE based / Both/ None NOTE 1					
GPS reference time capable	Yes/No NOTE 1					
Support for IPDL	Yes/No NOTE 1					
Support for OTDOA UE based method	Yes/No NOTE 1					
Support for Rx-Tx time difference type 2 measurement	Yes/No NOTE 1					
Support for UE Positioning measurement validity in CELL_PCH and URA_PCH RRC states	Yes/No NOTE 1					

Reference combination of UE Radio Access capability parameters common for UL and DL	32 kbps class	64 kbps class	128 kbps class	384 kbps class	768 kbps class	2048 kbps class
RF parameters for FDD						
UE power class	3 / 4 NOTE 1					
Tx/Rx frequency separation	190 MHz					
RF parameters for TDD 3.84 Mcps						
Radio frequency bands	A / b / c / a+b / a+c / b+c / a+b+c NOTE 1					
UE power class	2 / 3 NOTE 1					
RF parameters for TDD 1.28 Mcps						
Radio frequency bands	A / b / c / a+b / a+c / b+c/ a+b+c NOTE 1					
UE power class	2 / 3 NOTE 1					

NOTE 1: Options represent different combinations that should be supported with Conformance Tests.

Reference combination of UE Radio Access capability parameters common for UL and DL	32 kbps class	64 kbps class	128 kbps class	384 kbps class	768 kbps class	2048 kbps class