

TSG-RAN Meeting #14
Kyoto, Japan, 11 - 14, December, 2001

TSGRP#14(01) 0846

Title: Agreed CRs to TS 25.402

Source: TSG-RAN WG3

Agenda item: 8.3.3/8.3.4/9.4.3

RP Tdoc	R3 Tdoc	Spec	CR_Num	Rev	Release	CR_Subject	Cat	Cur_Ver	New_Ver	Workitem
RP-010846	R3-013699	25.402	029	2	R99	CFN Calculation for UE	F	3.7.0	3.8.0	TEI
RP-010846	R3-013700	25.402	030	2	Rel-4	CFN Calculation for UE	A	4.2.0	4.3.0	TEI

3GPP TSG-RAN WG3 Meeting #25
Makuhari, Japan, November 26th-30th, 2001

Tdoc R3-013699

CR-Form-v4

CHANGE REQUEST

⌘ 25.402 CR 029 ⌘ ev 2 ⌘ Current version: 3.7.0 ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: ⌘ (U)SIM ME/UE Radio Access Network Core Network

Title:	⌘ Correction to CFN Calculation for UE		
Source:	⌘ R-WG3		
Work item code:	⌘ TEI	Date:	⌘ November 30, 2001
Category:	⌘ F Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .	Release:	⌘ R99 Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) REL-4 (Release 4) REL-5 (Release 5)

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Summary of change:	⌘ The formula is corrected to: $CFN = (SFN - ((DOFF * 512) \text{ div } 38400)) \text{ mod } 256$ and the following definition is added: 'This formula gives the CFN of the downlink DPCH frame which starts at the same time as or which starts during the PCCPCH frame with the given SFN.' Impact Analysis: Impact assessment towards the previous version of the specification (same release): This CR has <u>non</u> isolated impact with the previous version of the specification (same release) because specification was not sufficiently explicit and contained some contradiction with another specification. This CR would not affect implementations based on Figure 15 , would affect implementations based on the old formula . ---- ONLY if there is impact following shall also be included: ---- This CR has an impact under functional point of view. The impact can <u>not</u> be considered isolated because the change affects every function requiring tight synchronisation between the CFN in the UE and the CFN in the UTRAN (e.g. cipherring, synchronous RL reconfiguration) .
Consequences if not approved:	⌘ If not approved the calculation of CFN will be ambiguous. This could lead to UEs and all UTRAN nodes with incorrect implementations of the CFN calculation

and cause layer 1, 2, and 3 interoperability problems.

Clauses affected:	⌘	8.2.1, 9.1, 9.3.1, 9.3.1A, 9.5			
Other specs affected:	⌘	<input checked="" type="checkbox"/>	Other core specifications	⌘	TS 25.402 v4.2.0 CR 030
		<input type="checkbox"/>	Test specifications		TS 25.331 CR 1167
		<input type="checkbox"/>	O&M Specifications		
Other comments:	⌘	See R2-012549 for further explanation.			

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8 Radio Interface Synchronisation

8.1 General

This subclause describes the Radio Interface Synchronisation for FDD and TDD.

8.2 FDD Radio Interface Synchronisation

8.2.1 General

FDD Radio Interface Synchronisation assures that UE gets the correct frames when received from several cells. The UE measures the Timing difference between its DPCH and SFN in the target cell when doing handover and reports it to SRNC. SRNC sends this Time difference value in two parameters Frame Offset and Chip Offset over Iub to Node B. Node B rounds this value to the closest 256 chip boundary in order to get DL orthogonality (regardless of used spreading factor). The rounded value is used in Node B for the DL DPCH.

$DOFF_{FDD}$ is selected by the SRNC considering the interleaving period (e.g. 10, 20, 40 or 80ms) when entering in dedicated state from common channel state.

Services are scheduled by using $DOFF_{FDD}$ in order to average out the Iub traffic load and the Node B processing load. $DOFF_{FDD}$ (FDD Default DPCH Offset value) is only used when setting up the first RL in order to initialise Frame Offset and Chip Offset and to tell UE when frames are expected.

UE uses the UL DPCH as it is a more defined time instant compared with DL DPCH.

The handover reference is the time instant $T_{UETx} - T_o$, which is called $DL\ DPCH_{nom}$ in the timing diagram.

T_{cell} is used to skew cells in the same Node B in order to not get colliding SCH bursts, one SCH burst is 1/10 of a slot time.

The timing diagram in Figure 15 shows an example with two cells connected to one UE where handover is done from source cell (Cell 1) to target cell (Cell 2).

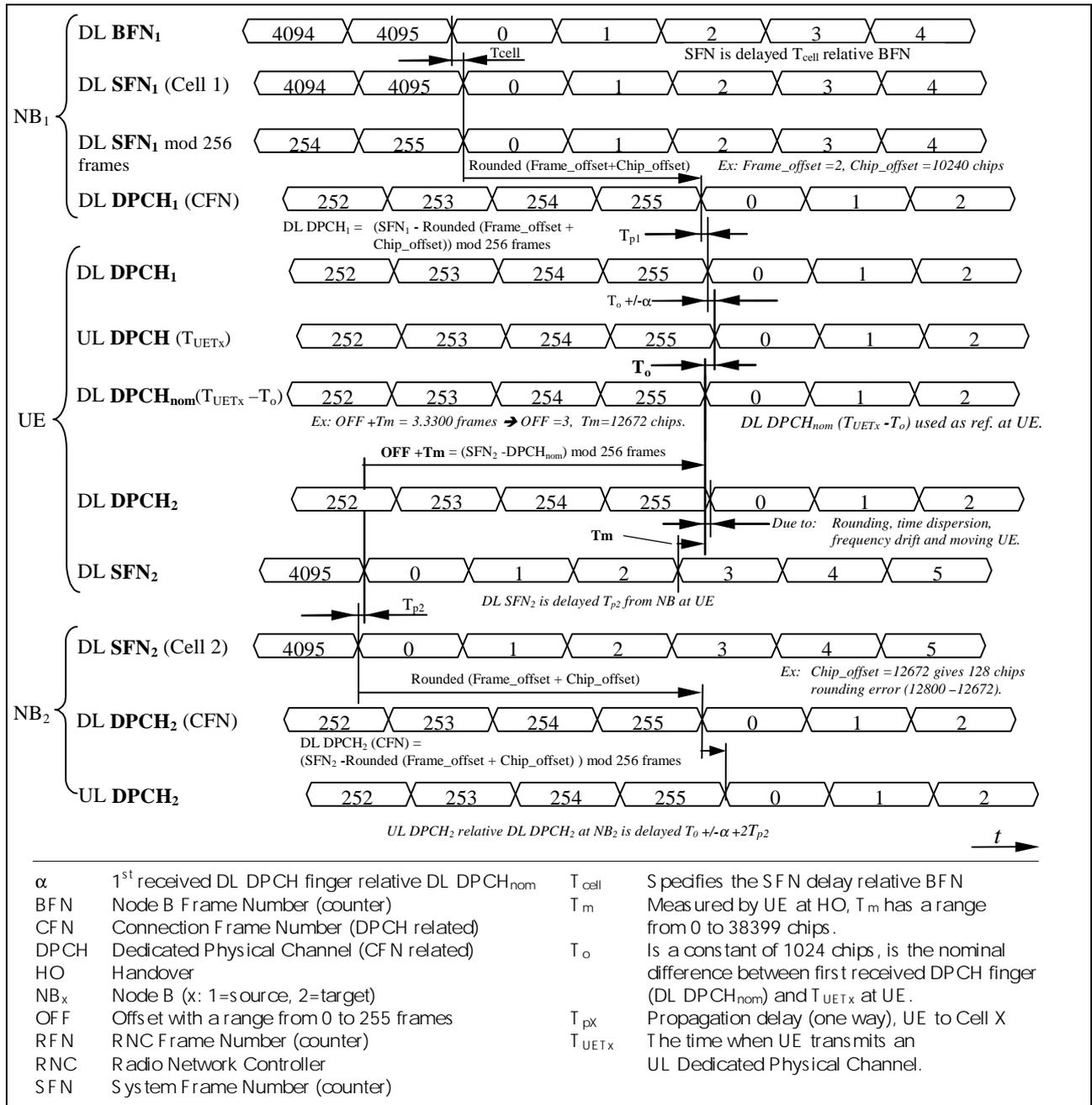


Figure 15: FDD Radio Interface Synchronisation timing diagram

SFN₁ is found in Cell 1 at Node B₁ and SFN₂ at Cell 2 and Node B₂. SFN₁ is sent T_{cell1} after the Node B₁ reference BFN₁. CFN is the frame numbering that is related to each DL and UL Dedicated Physical Channel (DPCH). UL DPCH is sent from UE to both Cells (both Node B's in this example). UL DPCH₂ at Node B₂ is shown to indicate the difference to the DL DPCH₂ at Node B₂.

The new RL (DL DPCH₂) which is setup at the HO will face some deviation from nominal position due to the rounding of Frame Offset and Chip Offset to 256 chip boundary in Node B. Time dispersion and UE movements are examples of other factors affecting this phase deviation.

The nominal DL DPCH timing at UE is T_o before the T_{UETX} time instant, which could be expressed:

$$DL\ DPCH_{nom} = T_{UETX} - T_o \tag{8.1}$$

In UE dedicated state, OFF and T_m are measured at UE according to the following equation:

$$OFF + T_m = (SFN_{target} - DL\ DPCH_{nom}) \bmod 256\ frames\ [chips] \tag{8.2}$$

NOTE: OFF has the unit Frames and T_m the unit Chips.

EXAMPLE 1: Assume that $OFF + T_m$ equals "3.3300" frames (as given as an example in Figure 158). Then $OFF = 3$ and $T_m = "0.33"$ which corresponds to $T_m = 12672$ chips.

In other words (referring to the timing diagram in Figure 158):

- How to determine T_m at UE: Select a time instant 1) where frame N starts at DL SFN₂ e.g. frame number 3, the time from that time instant to the next frame border of DL DPCH_{nom} 2) equals T_m (if these are in phase with each other, T_m is zero).
- How to determine OFF: The difference between the frame number selected for time instant 1) and the frame number starting at instant 2) mod 256 frames equals OFF.

EXAMPLE 2: $(3 - 0) \bmod 256 = 3$, another example is $(1 - 254) \bmod 256 = 3$.

9 Usage of Synchronisation Counters and Parameters to support Transport Channel and Radio Interface Synchronisation

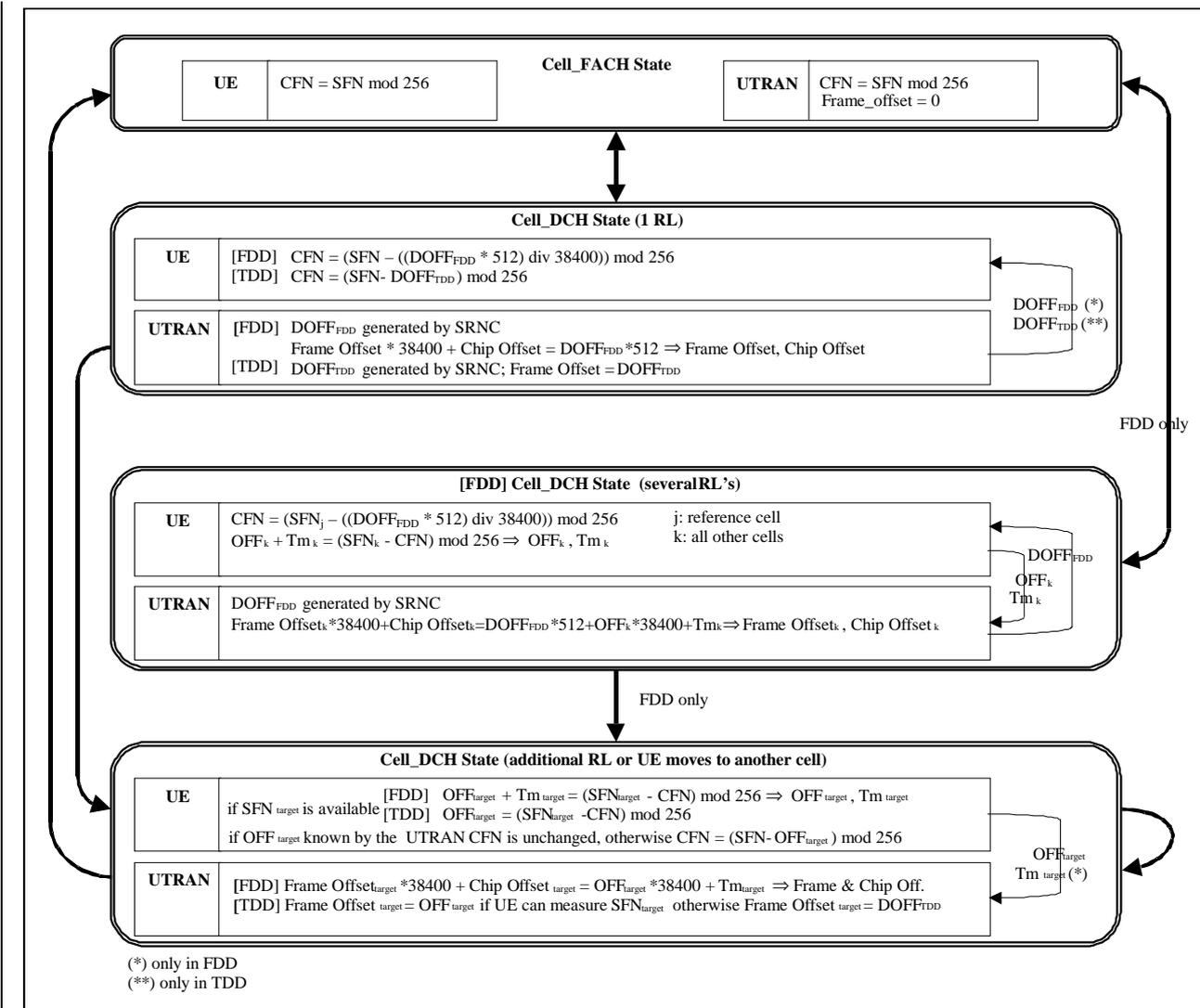
9.1 General

This subclause describes how the different synchronisation parameters and counters are computed and used in order to obtain Transport Channel (L2) and Radio Interface (L1) Synchronisation.

The parameters that need to be determined by the UE are CFN, OFF [FDD – and Tm].

The parameter that need to be determined by the UTRAN are [FDD – DOFF_{FDD}], [TDD – DOFF_{TDD}], Frame Offset and [FDD – Chip Offset].

Figure 17 summarises how these parameters are computed. A detailed description of the actions in each state is given in the subclauses 9.2 – 9.4, while some examples of corrections applied to synchronisation counters during UE state transitions are shown in subclause 9.5.



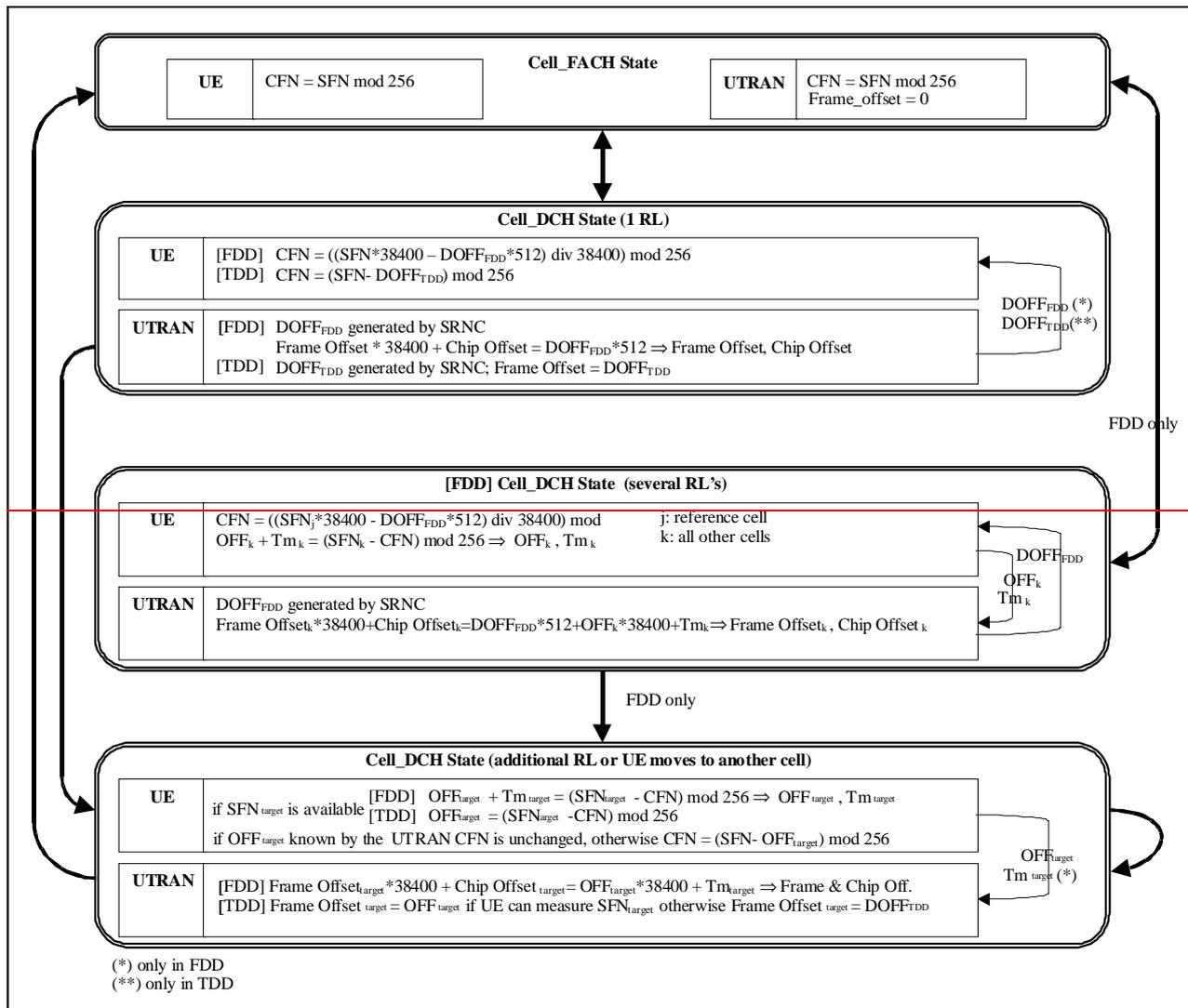


Figure 17: Calculations performed by UE and UTRAN

Figure 18 describes what offset parameters are signalled and used in the different nodes at Initial RL setup and at Handover (HO) in FDD. The rounding to closest 256 chip boundary is done in Node B. The rounded Frame Offset and Chip Offset control the DL DPCH air-interface timing. The 256 chip boundary is to maintain DL orthogonality in the cell (the rounding to the closest 256 chip boundary is done in Node B to facilitate the initial UL chip synchronisation process in Node B).

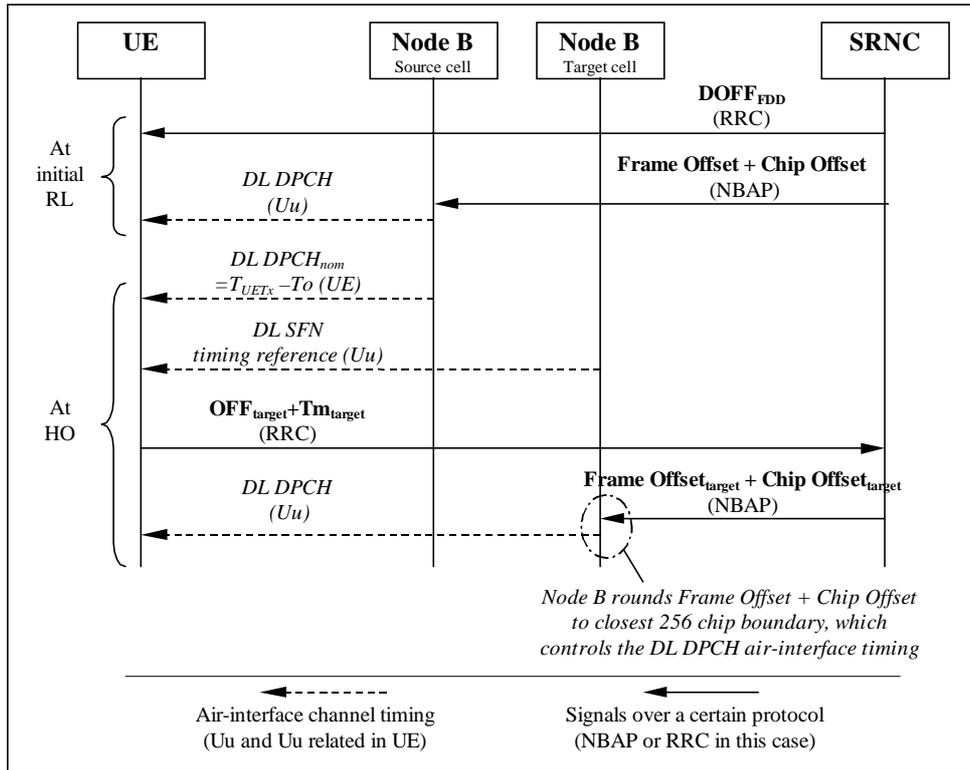


Figure18: [FDD - Usage of Offset values at initial RL and at HO]

Figure 19 describes what offset parameters are signalled and used in the different nodes at Initial RL setup and at Handover (HO) in TDD.

Note that in some cases the parameter $\text{OFF}_{\text{target}}$ cannot be measured by the UE before handover (e.g. in case of inter frequency handover or inter-mode handover). In these cases a value as defined in [FDD - 13] [TDD - 14] shall be reported by the UE.

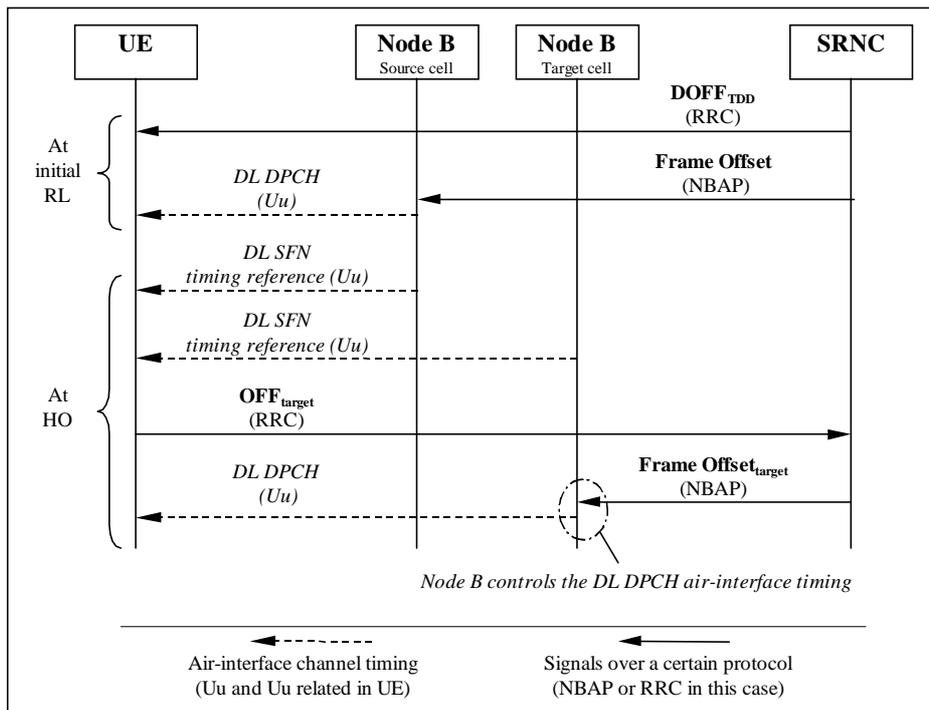


Figure 19: [TDD- Usage of Offset values at initial RL and at HO]

9.2 Calculations performed in the UTRAN

9.2.1 UE in CELL_FACH/PCH state

In CELL_FACH/PCH state the Frame Offset is set to 0 (for all common and shared channels).

9.2.2 UE changes from CELL_FACH/PCH state to CELL_DCH state: 1 RL

[FDD - Based on the received parameters from the UE and the $DOFF_{FDD}$ value generated in the SRNC, the SRNC calculates the Frame Offset and the Chip Offset from formula (9.1):

$$\text{Frame Offset} * 38400 + \text{Chip Offset} = DOFF_{FDD} * 512 \quad (9.1)$$

Frame Offset and Chip Offset are then signalled to the Node B controlling the serving cell.]

[TDD - Based on the $DOFF_{TDD}$ value generated in the SRNC, the SRNC calculates the Frame Offset = $DOFF_{TDD}$.

Frame Offset is then signalled to the Node B controlling the serving cell.]

[TDD - Note that for all common and shared channels Frame Offset is set to 0 even during CELL_DCH state.]

9.2.3 [FDD - UE changes from CELL_FACH/PCH state to CELL_DCH state: several RL's]

Based on the received parameters from the UE for each cell_k (OFF_k and Tm_k) and the $DOFF_{FDD}$ value generated in the SRNC, the SRNC calculates the Frame Offset_k and the Chip Offset_k. The Frame Offset_k and the Chip Offset_k are calculated from formula (9.2):

$$\text{Frame Offset}_k * 38400 + \text{Chip Offset}_k = DOFF_{FDD} * 512 + OFF_k * 38400 + Tm_k \quad (9.2)$$

NOTE: Formula (9.2) is covering formula (9.1) since in the case described in subclause 9.2.2, OFF_k and Tm_k are both equal to zero.

Each Frame Offset_k and Chip Offset_k are then signalled to the Node B controlling the cell_k.

9.2.4 UE in CELL_DCH state: addition of a new RL or handover to a new cell

[FDD-Based on the received parameters from the UE or already known by the UTRAN (OFF_{target} , Tm_{target}), the SRNC calculates the Frame Offset_{target} and the Chip Offset_{target} with formula (9.3):

$$\text{Frame Offset}_{target} * 38400 + \text{Chip Offset}_{target} = OFF_{target} * 38400 + Tm_{target} \quad (9.3)$$

During hard handover in case the parameter OFF_{target} cannot be measured by the UE and it is not already known by the UTRAN, than the SRNC calculates the Frame Offset_{target} and the Chip Offset_{target} with formula (9.1).

Frame Offset_{target} and Chip Offset_{target} are then signalled to the Node B controlling the target cell.]

[TDD - Based on the parameter OFF_{target} received from the UE or already known by the UTRAN, the SRNC calculates the Frame Offset_{target} = OFF_{target} .

In case the parameter OFF_{target} cannot be measured by the UE and it is not already known by the UTRAN, than the SRNC calculates the Frame Offset_{target} = $DOFF_{TDD}$.

It is signalled to the Node B controlling the target cell.]

9.2.5 Handover from other RAN to UMTS

[FDD- Based on the definitions for OFF and Tm formula (9.1) can also be used when the UE enters the UTRAN from another CN and establishes one dedicated RL. The same is true for formula (9.2) when establishing one or more dedicated RL's.]

[TDD - When the UE enters the UTRAN from another CN and establishes one dedicated RL, OFF is 0.]

9.3 Calculations performed in the UE

9.3.A UE in CELL_FACH/PCH state

In CELL_FACH/PCH state the CFN is initialised with the values $CFN = SFN$ for PCH and $CFN = SFN \bmod 256$ for all other common and shared channels. The CFN for all common and shared channels in the CRNC is increased (mod 256) by 1 every frame, except PCH, which CFN has the same range of the SFN.

9.3.1 UE changes from CELL_FACH/PCH state to CELL_DCH state: 1 RL

[FDD - Based on the received $DOFF_{FDD}$ and the SFN of the cell in which the UE is source, the UE can initialise the CFN with the value given by formula (9.4):

$$CFN = \frac{(SFN - ((DOFF_{FDD} * 512) \text{ div } 38400))}{256} \left(\frac{(SFN * 38400 - DOFF_{FDD} * 512) \text{ div } 38400}{256} \right) \bmod 256 \quad (9.4)$$

[This formula gives the CFN of the downlink DPCH frame which starts at the same time as or which starts during the PCCPCH frame with the given SFN.](#)

[TDD - Based on the received $DOFF_{TDD}$, the UE can initialise the CFN with the value given by formula (9.5):

$$CFN = (SFN - DOFF_{TDD}) \bmod 256 \quad (9.5)$$

After the initialisation, the CFN in the UE is increased (mod 256) by 1 every frame.

[TDD - Note that for all common and shared channels $CFN = SFN \bmod 256$ even during CELL_DCH state.]

9.3.1A [FDD - UE changes from CELL_FACH/PCH to CELL_DCH state: several RL's]

The UE reports to the SRNC the parameters OFF_k and Tm_k for each cell_k measured respect to the reference cell_j determined by means of formula (9.6):

$$OFF_k + Tm_k = (SFN_k - CFN) \bmod 256 \quad (9.6)$$

After having performed OFF_k and Tm_k measurements for all target cells, the UE initialises the CFN with the value given by formula (9.7), based on the received $DOFF_{FDD}$ and the SFN_j of the reference cell:

$$CFN = \frac{(SFN_j - ((DOFF_{FDD} * 512) \text{ div } 38400))}{256} \left(\frac{(SFN_j * 38400 - DOFF_{FDD} * 512) \text{ div } 38400}{256} \right) \bmod 256 \quad (9.7)$$

[This formula gives the CFN of the downlink DPCH frame which starts at the same time as or which starts during the PCCPCH frame with the given SFN.](#)

After the initialisation, the CFN in the UE is increased (mod 256) by 1 every frame.

9.3.2 UE in CELL_DCH state: addition of a new RL or handover to a new cell

The UE in CELL_DCH state may be requested by the UTRAN to report OFF_{target} by means of System Info broadcast in the source cell.

[FDD - In case the SFN_{target} can be measured, the target cell OFF_{target} is calculated using formula (9.8):

$$\text{OFF}_{\text{target}} + \text{Tm}_{\text{target}} = (\text{SFN}_{\text{target}} - \text{CFN}) \bmod 256 \quad (9.8)$$

otherwise a value as defined in [13] is reported. $\text{Tm}_{\text{target}}$ is always reported, except for the case of FDD-TDD handover.]

[TDD - In case the $\text{SFN}_{\text{target}}$ can be measured, the target cell $\text{OFF}_{\text{target}}$ is calculated using formula (9.9):

$$\text{OFF}_{\text{target}} = (\text{SFN}_{\text{target}} - \text{CFN}) \bmod 256 \quad (9.9)$$

otherwise a value as defined in [14] is reported.]

Note that, regarding the CFN, two cases may occur:

- a) the value of $\text{OFF}_{\text{target}}$ is known by the UTRAN before handover execution:
 - a1) either because the $\text{SFN}_{\text{target}}$ has been measured by the UE and reported to the UTRAN by means of the $\text{OFF}_{\text{target}}$ before handover;
 - a2) or because the UTRAN already knows the difference between serving cell $\text{SFN}_{\text{source}}$ and target cell $\text{SFN}_{\text{target}}$ and derives $\text{OFF}_{\text{target}}$ from $\text{OFF}_{\text{source}}$ by applying the difference between $\text{SFN}_{\text{target}}$ and $\text{SFN}_{\text{source}}$ (this difference between SFNs may be known in the UTRAN from previous UE's measurement reports);
 - a3) [TDD - or because cells involved in the handover are synchronised – and hence $\text{OFF}_{\text{target}}$ equals $\text{OFF}_{\text{source}}$].
- b) the value of $\text{OFF}_{\text{target}}$ is not known by the UTRAN before handover execution because the $\text{SFN}_{\text{target}}$ cannot be measured by the UE before handover and the UTRAN does not know the difference between serving cell SFN and target cell SFN.

In case a) the UTRAN shall not signal to the UE any value of [FDD- DOFF_{FDD}] [TDD- DOFF_{TDD}] before handover in the RRC message PHYSICAL CHANNEL RECONFIGURATION, and the UE shall maintain the old CFN, i.e. no correction to CFN is needed during handover.

In case b) the UTRAN shall signal to the UE the new value of [FDD- DOFF_{FDD}] [TDD- DOFF_{TDD}] before handover by means of the RRC message PHYSICAL CHANNEL RECONFIGURATION. The CFN shall be re-initialised after handover (as soon as the UE reads the $\text{SFN}_{\text{target}}$) according to formula [FDD- (9.4)] [TDD- (9.5)].

Note that in cases a2) and a3) the UTRAN may not request the UE to report $\text{OFF}_{\text{target}}$, while in case b) the value of $\text{OFF}_{\text{target}}$ reported by the UE is the one defined in [FDD - 13], [TDD - 14] for this case.

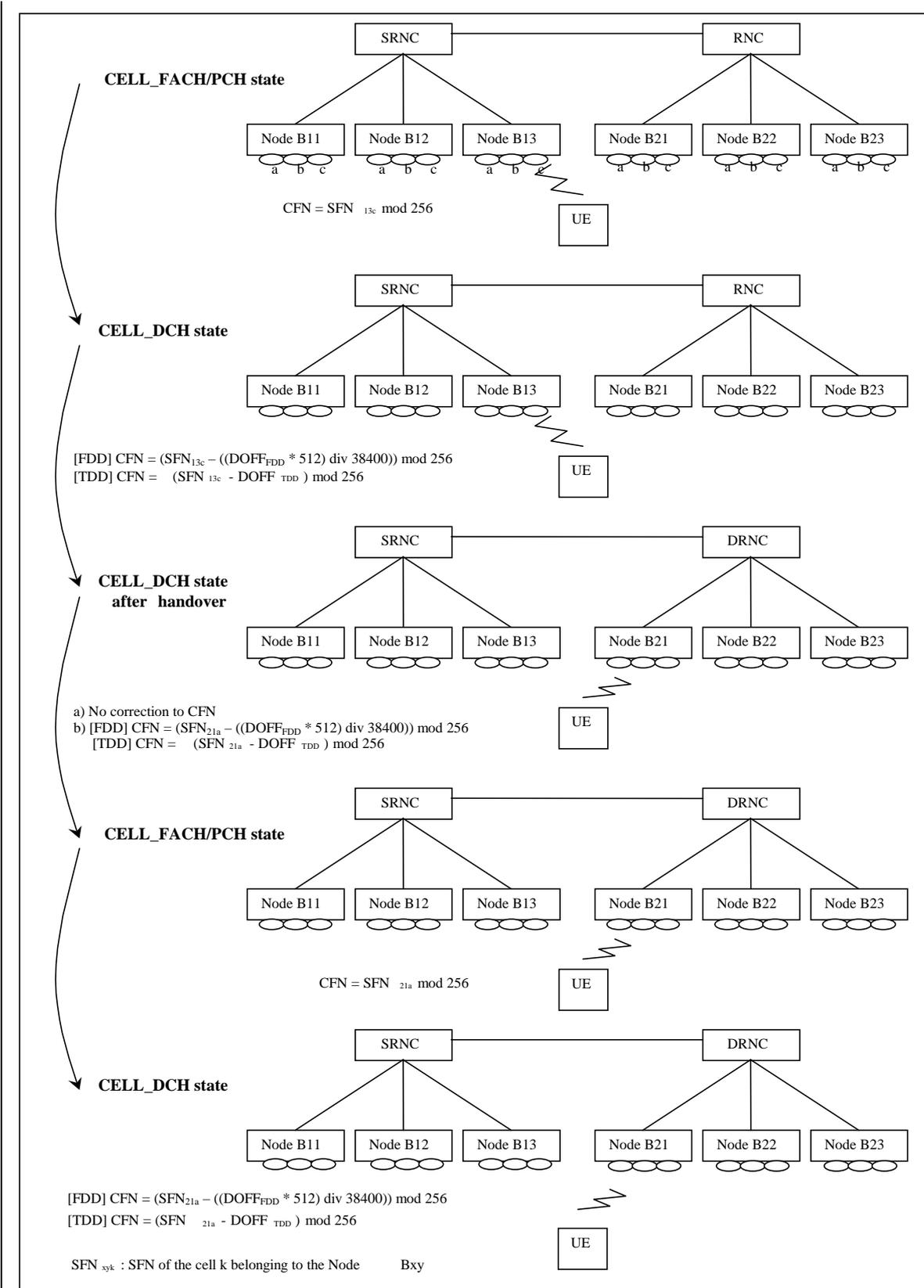
9.4 Synchronisation of L1 configuration changes

When a synchronised L1 configuration change shall be made, the SRNC commands the related Node B's to prepare for the change. When preparations are completed and SRNC informed, serving RNC decides appropriate change time. SRNC tells the CFN for the change by a suitable RRC message. The Node B's are informed the CFN by RNSAP and NBAP Synchronised Radio Link Reconfiguration procedures.

At indicated switch time UE and Node B's change the L1 configuration.

9.5 Examples of synchronisation counters during state transitions

The example of Figure 20 shows the corrections applied to UTRAN synchronisation counters during multiple transitions from CELL_FACH/PCH state to CELL_DCH state before and after handover, without SRNS relocation. In this example two handover cases described in 9.3.2 are considered.



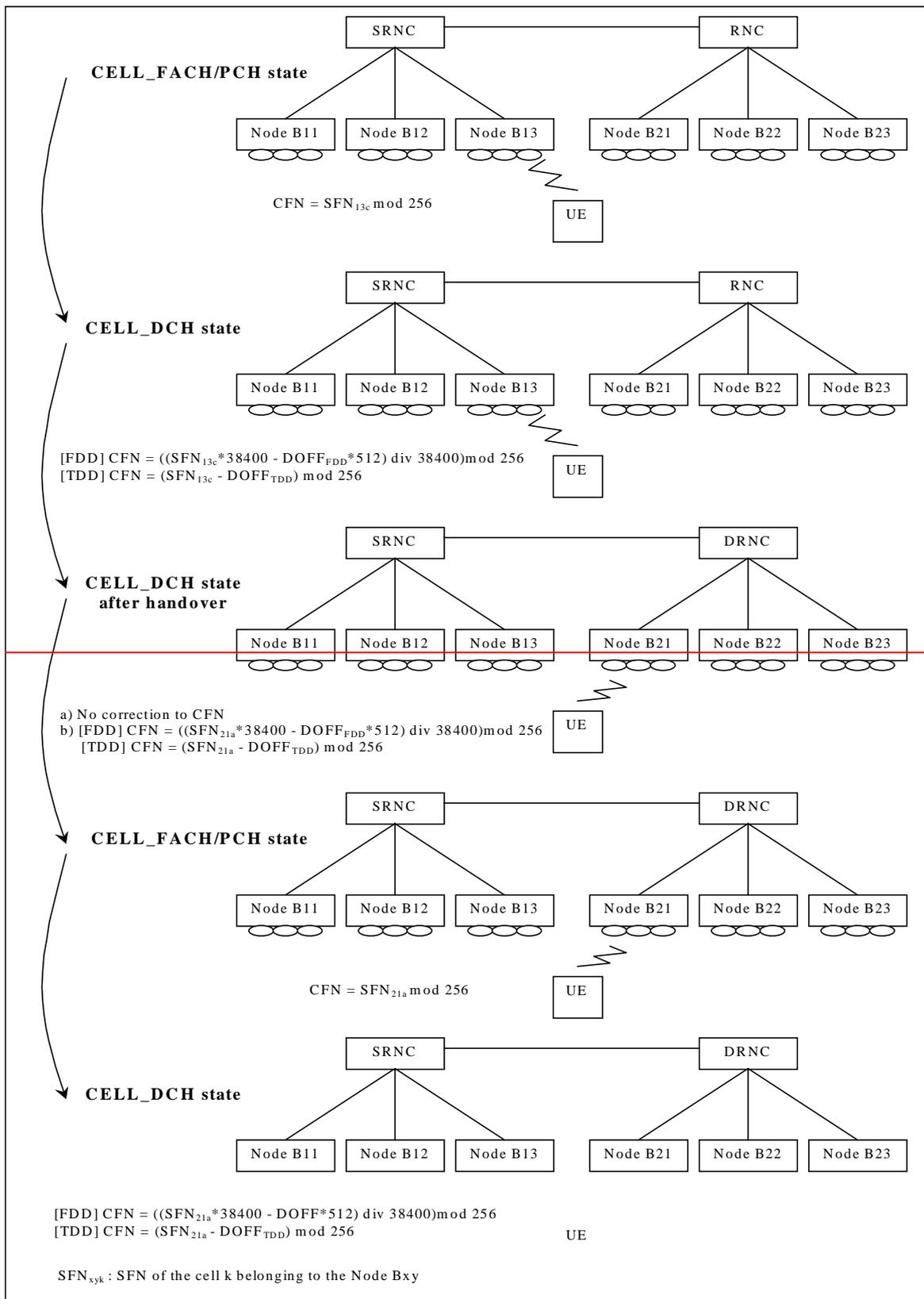
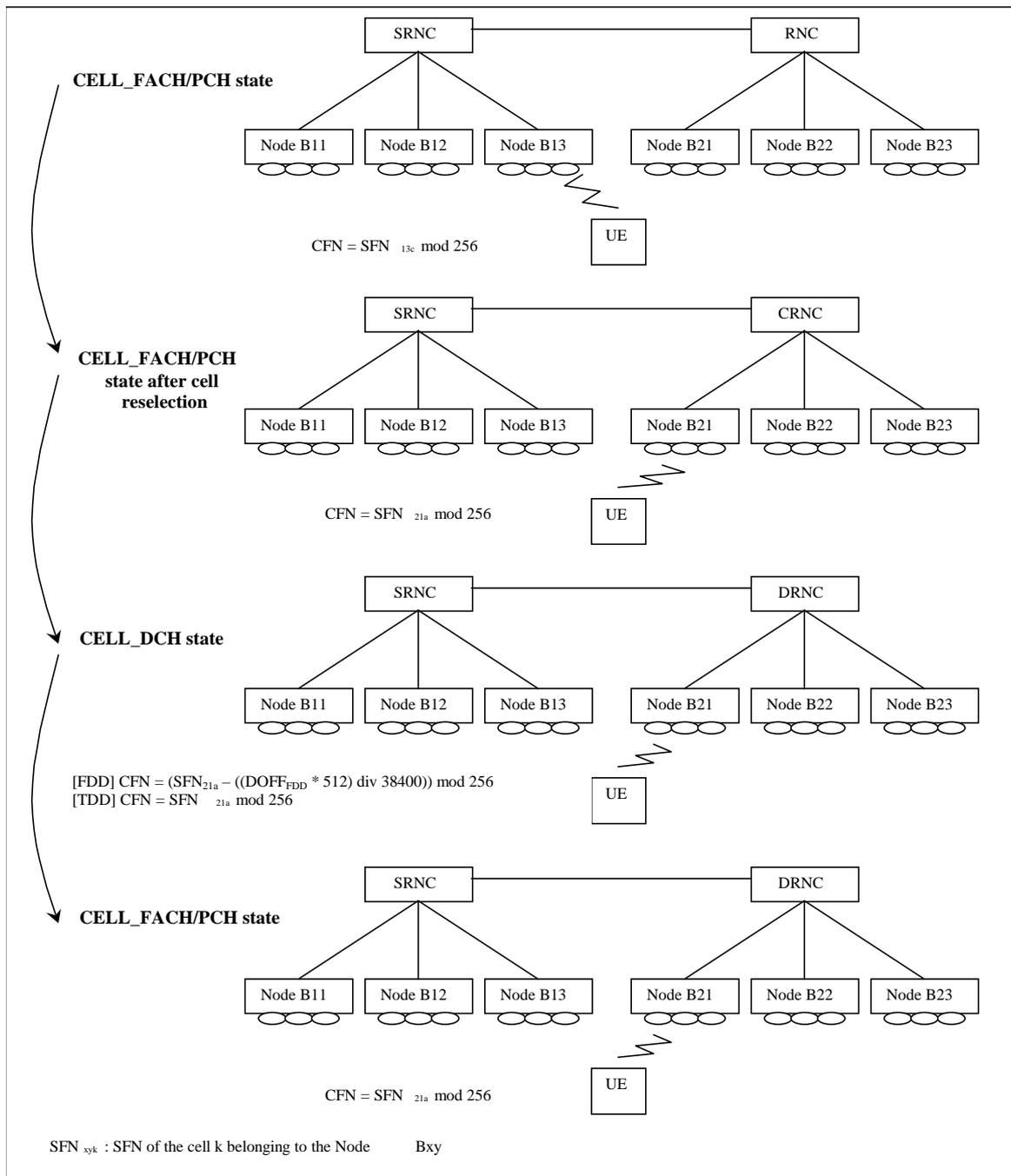


Figure 20: Example 1

The example of Figure 21 shows the corrections applied to UTRAN synchronisation during multiple transitions from CELL_FACH/PCH state to CELL_DCH state after cell reselection, without SRNC relocation.



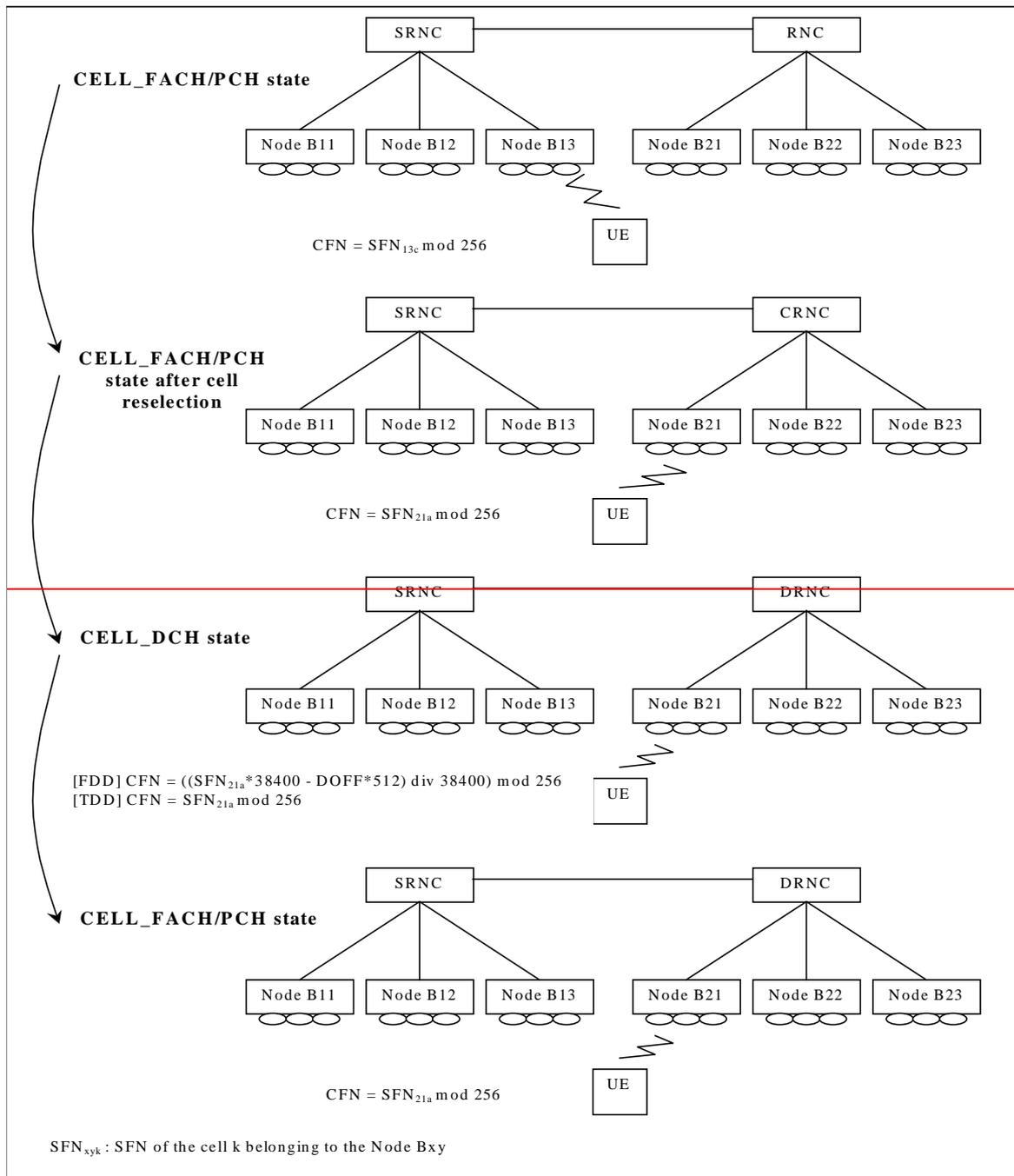
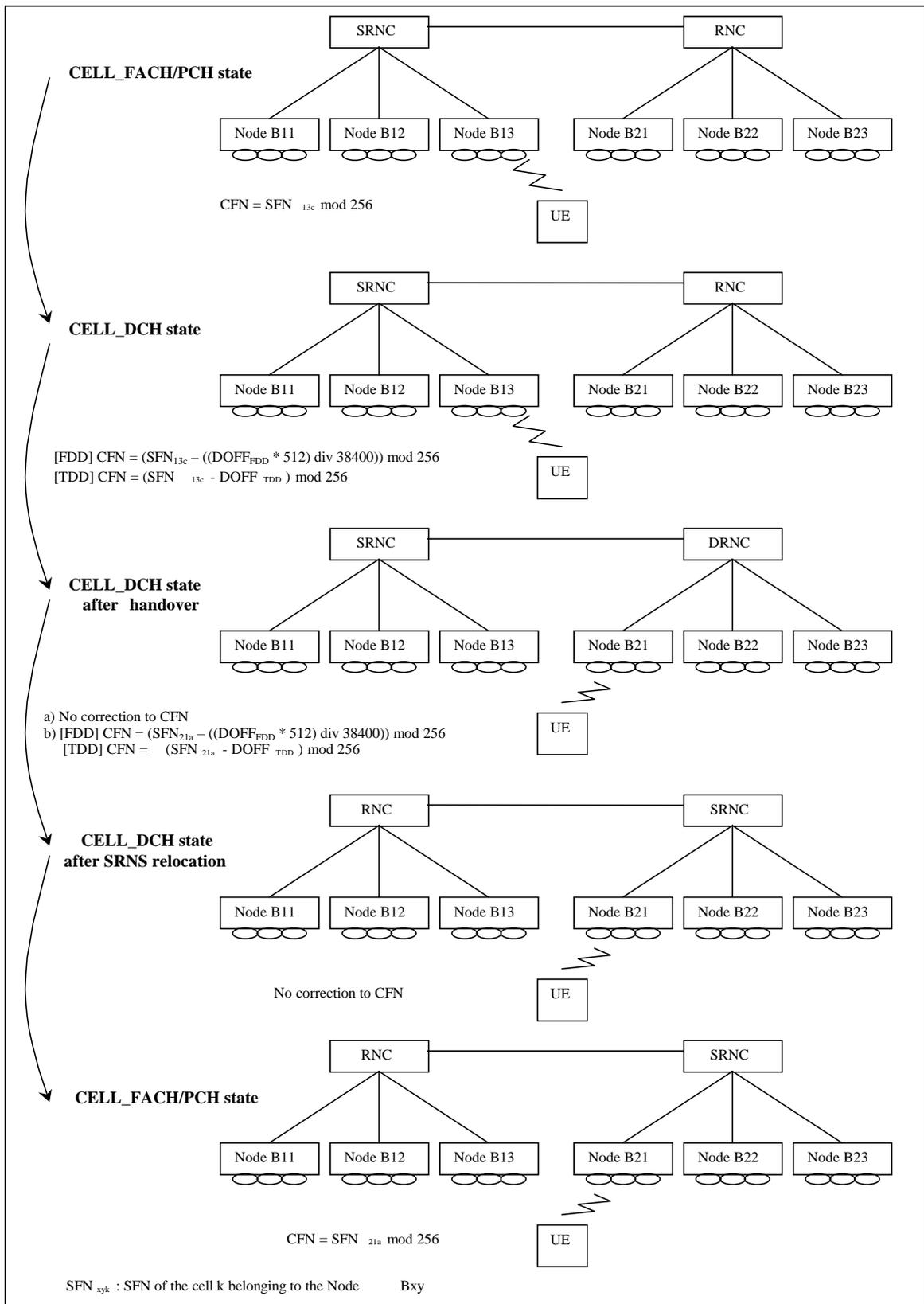


Figure 21: Example 2

The example of Figure 22 shows the corrections applied to UTRAN synchronisation counters during multiple transitions from CELL_FACH/PCH state to CELL_DCH state before and after handover and SRNS relocation (without UE involvement). In this example two handover cases described in 9.3.2 are considered.



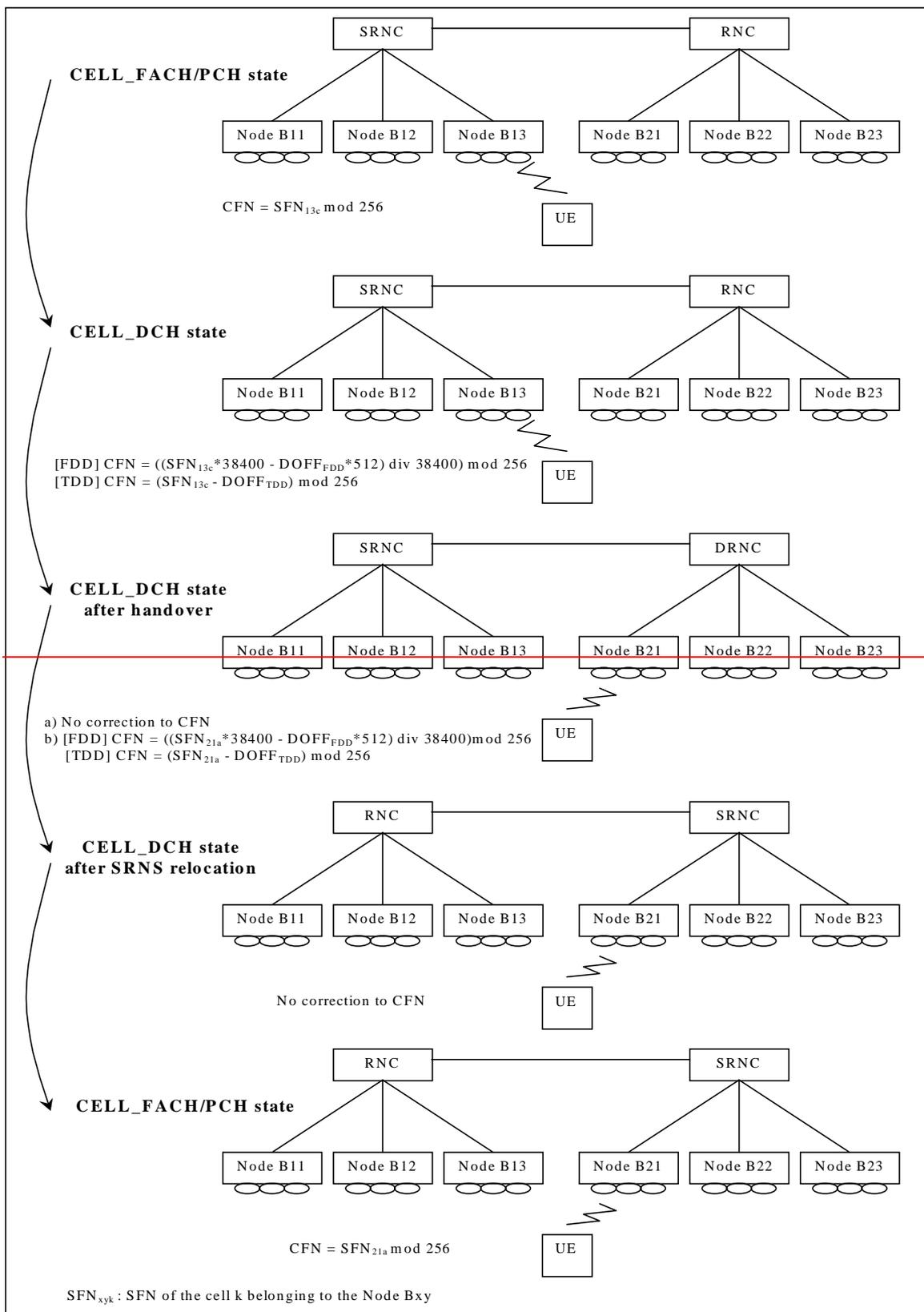


Figure 22: Example 3

3GPP TSG-RAN WG3 Meeting #25
Makuhari, Japan, November 26th -30th, 2001

Tdoc R3-013700

CR-Form-v4

CHANGE REQUEST

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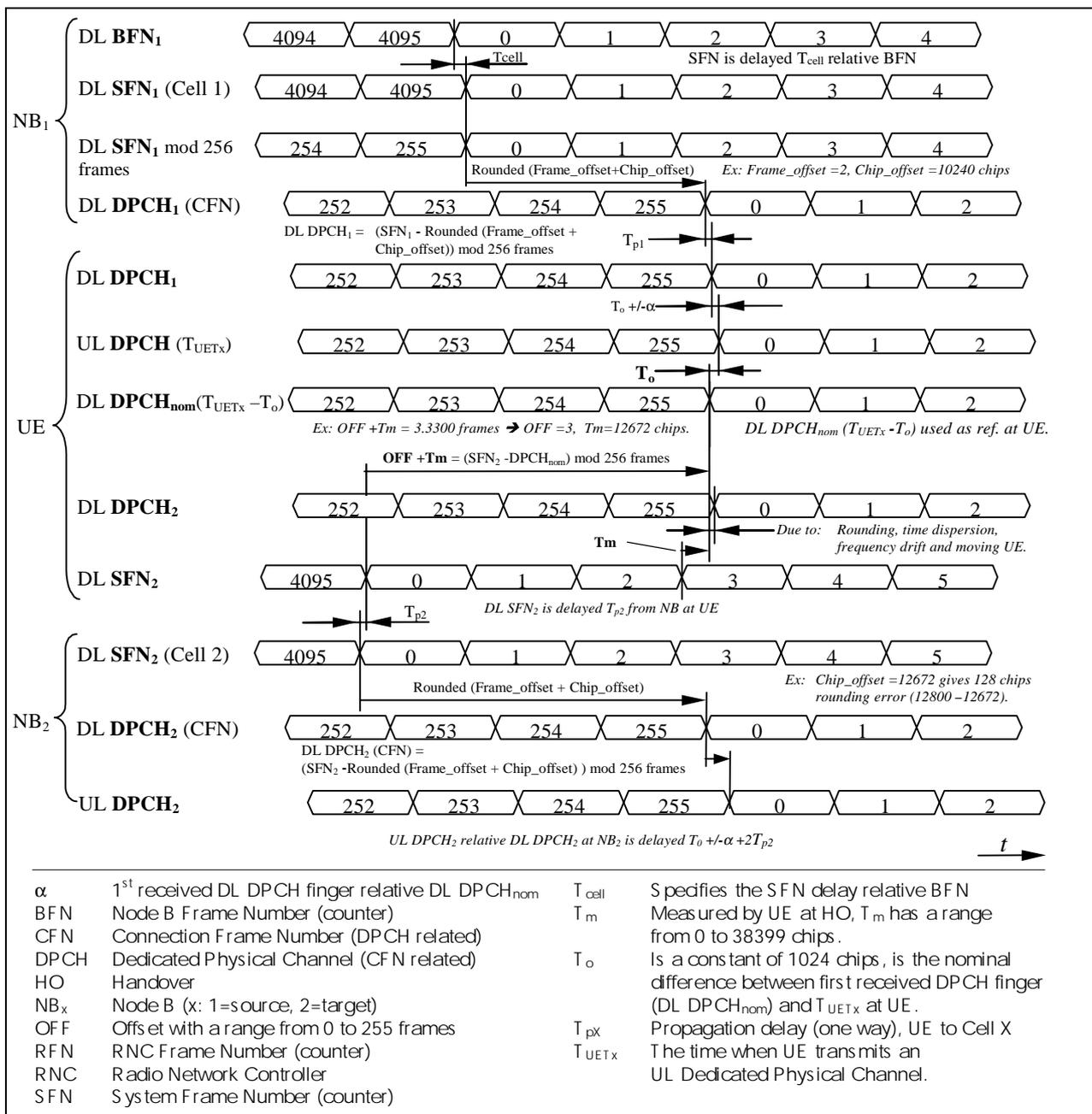


Figure 15: FDD Radio Interface Synchronisation timing diagram

SFN₁ is found in Cell 1 at Node B₁ and SFN₂ at Cell 2 and Node B₂. SFN₁ is sent T_{cell1} after the Node B₁ reference BFN₁. CFN is the frame numbering that is related to each DL and UL Dedicated Physical Channel (DPCH). UL DPCH is sent from UE to both Cells (both Node B's in this example). UL DPCH₂ at Node B₂ is shown to indicate the difference to the DL DPCH₂ at Node B₂.

The new RL (DL DPCH₂) which is setup at the HO will face some deviation from nominal position due to the rounding of Frame Offset and Chip Offset to 256 chip boundary in Node B. Time dispersion and UE movements are examples of other factors affecting this phase deviation.

The nominal DL DPCH timing at UE is T₀ before the T_{UE_TX} time instant, which could be expressed:

$$DL\ DPCH_{nom} = T_{UE_TX} - T_0 \tag{8.1}$$

In UE dedicated state, OFF and T_m are measured at UE according to the following equation:

$$OFF + T_m = (SFN_{target} - DL\ DPCH_{nom}) \bmod 256\ frames\ [chips] \tag{8.2}$$

NOTE: OFF has the unit Frames and T_m the unit Chips.

EXAMPLE 1: Assume that $OFF + T_m$ equals "3.3300" frames (as given as an example in Figure 158). Then $OFF = 3$ and $T_m = "0.33"$ which corresponds to $T_m = 12672$ chips.

In other words (referring to the timing diagram in Figure 158):

- How to determine T_m at UE: Select a time instant 1) where frame N starts at DL SFN₂ e.g. frame number 3, the time from that time instant to the next frame border of DL DPCH_{nom} 2) equals T_m (if these are in phase with each other, T_m is zero).
- How to determine OFF: The difference between the frame number selected for time instant 1) and the frame number starting at instant 2) mod 256 frames equals OFF.

EXAMPLE 2: $(3 - 0) \bmod 256 = 3$, another example is $(1 - 254) \bmod 256 = 3$.

9 Usage of Synchronisation Counters and Parameters to support Transport Channel and Radio Interface Synchronisation

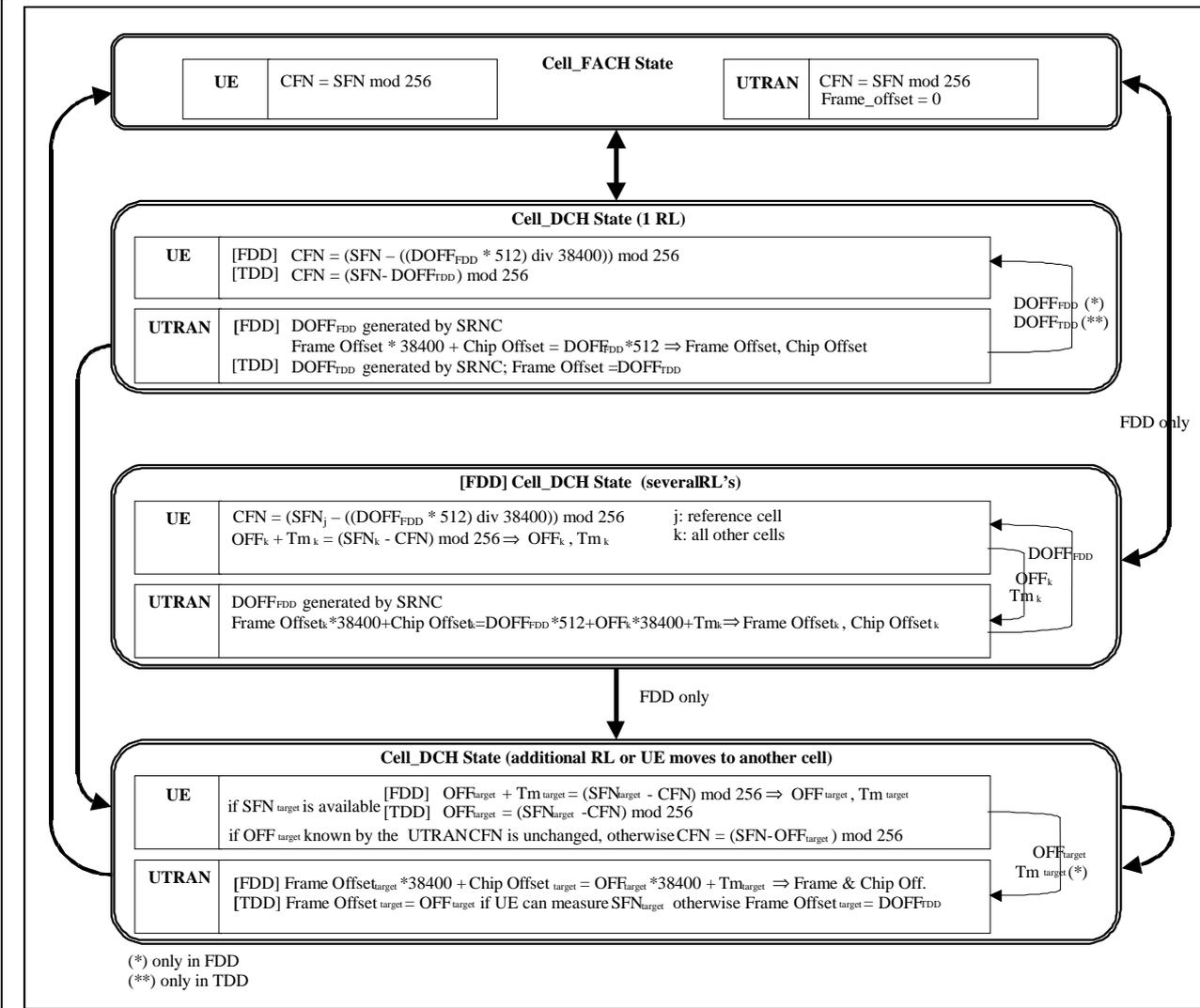
9.1 General

This subclause describes how the different synchronisation parameters and counters are computed and used in order to obtain Transport Channel (L2) and Radio Interface (L1) Synchronisation.

The parameters that need to be determined by the UE are CFN, OFF [FDD – and Tm].

The parameter that need to be determined by the UTRAN are [FDD – DOFF_{FDD}], [TDD – DOFF_{TDD}], Frame Offset and [FDD – Chip Offset].

Figure 17 summarises how these parameters are computed. A detailed description of the actions in each state is given in the sections 9.2 – 9.4, while some examples of corrections applied to synchronisation counters during UE state transitions are shown in section 9.5.



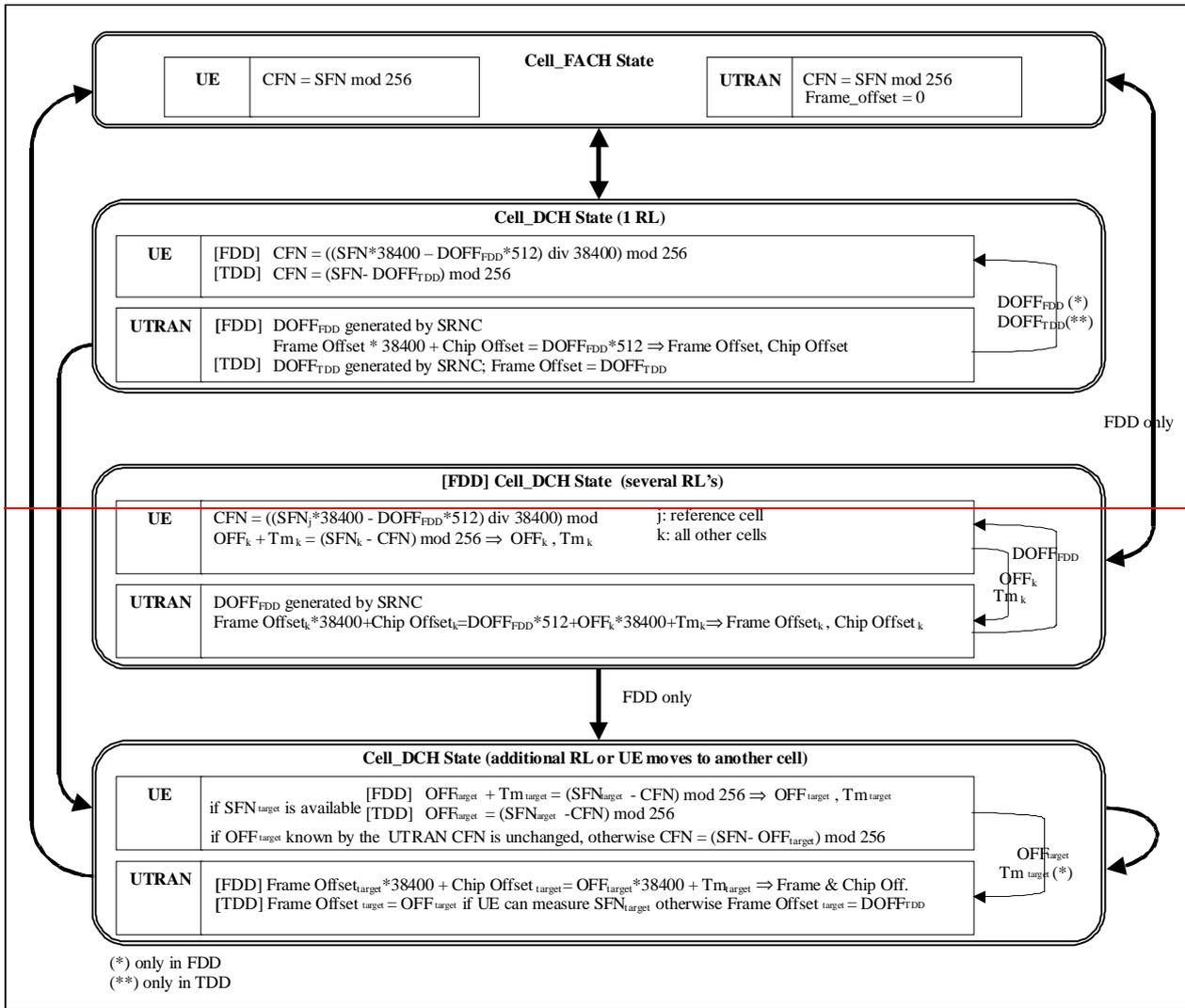


Figure 17: Calculations performed by UE and UTRAN

Figure 18 describes what offset parameters are signalled and used in the different nodes at Initial RL setup and at Handover (HO) in FDD. The rounding to closest 256 chip boundary is done in Node B. The rounded Frame Offset and Chip Offset control the DL DPCH air-interface timing. The 256 chip boundary is to maintain DL orthogonality in the cell (the rounding to the closest 256 chip boundary is done in Node B to facilitate the initial UL chip synchronisation process in Node B).

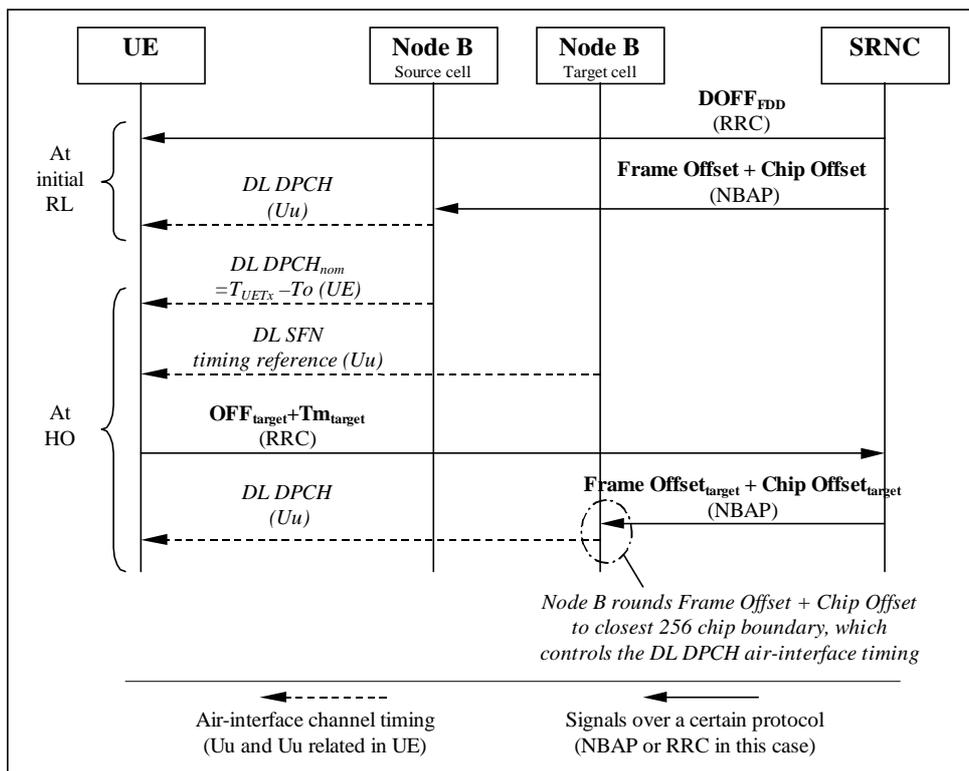


Figure18: [FDD - Usage of Offset values at initial RL and at HO]

Figure 19 describes what offset parameters are signalled and used in the different nodes at Initial RL setup and at Handover (HO) in TDD.

Note that in some cases the parameter $\text{OFF}_{\text{target}}$ cannot be measured by the UE before handover (e.g. in case of inter frequency handover or inter-mode handover). In these cases a value as defined in [FDD - 13] [TDD - 14] shall be reported by the UE.

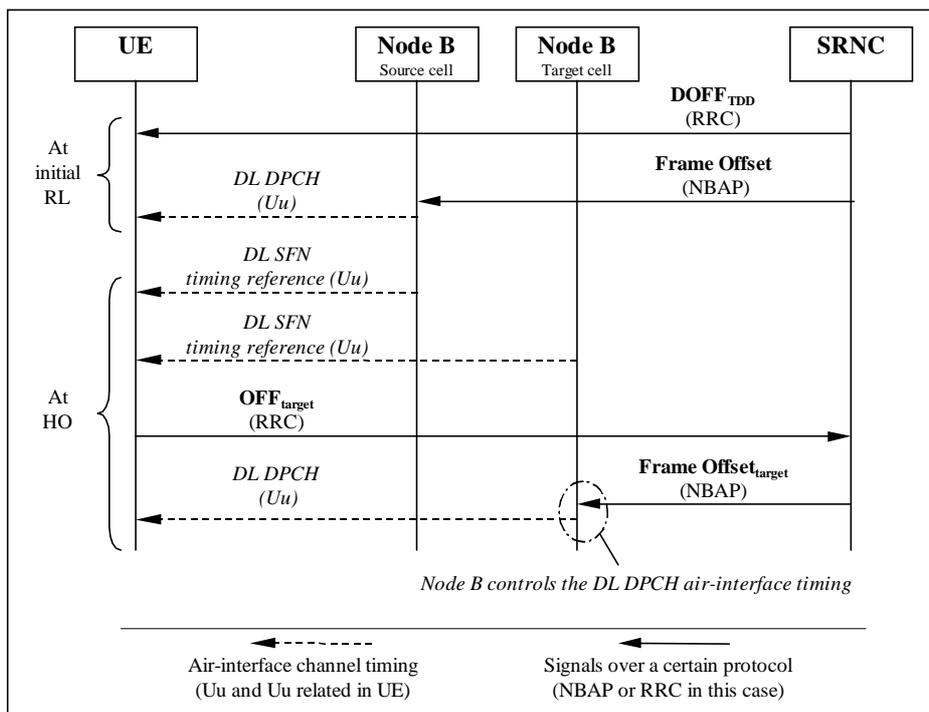


Figure 19: [TDD- Usage of Offset values at initial RL and at HO]

9.2 Calculations performed in the UTRAN

9.2.1 UE in CELL_FACH/PCH state

In CELL_FACH/PCH state the Frame Offset is set to 0 (for all common and shared channels).

9.2.2 UE changes from CELL_FACH/PCH state to CELL_DCH state: 1 RL

[FDD - Based on the received parameters from the UE and the $DOFF_{FDD}$ value generated in the SRNC, the SRNC calculates the Frame Offset and the Chip Offset from formula (9.1):

$$\text{Frame Offset} * 38400 + \text{Chip Offset} = DOFF_{FDD} * 512 \quad (9.1)$$

Frame Offset and Chip Offset are then signalled to the Node B controlling the serving cell.]

[TDD - Based on the $DOFF_{TDD}$ value generated in the SRNC, the SRNC calculates the Frame Offset = $DOFF_{TDD}$.

Frame Offset is then signalled to the Node B controlling the serving cell.]

[TDD - Note that for all common and shared channels Frame Offset is set to 0 even during CELL_DCH state.]

9.2.3 [FDD - UE changes from CELL_FACH/PCH state to CELL_DCH state: several RL's]

Based on the received parameters from the UE for each cell_k (OFF_k and Tm_k) and the $DOFF_{FDD}$ value generated in the SRNC, the SRNC calculates the Frame Offset_k and the Chip Offset_k. The Frame Offset_k and the Chip Offset_k are calculated from formula (9.2):

$$\text{Frame Offset}_k * 38400 + \text{Chip Offset}_k = DOFF_{FDD} * 512 + OFF_k * 38400 + Tm_k \quad (9.2)$$

NOTE: Formula (9.2) is covering formula (9.1) since in the case described in section 9.2.2, OFF_k and Tm_k are both equal to zero.

Each Frame Offset_k and Chip Offset_k are then signalled to the Node B controlling the cell_k.

9.2.4 UE in CELL_DCH state: addition of a new RL or handover to a new cell

[FDD - Based on the received parameters from the UE or already known by the UTRAN (OFF_{target} , Tm_{target}), the SRNC calculates the Frame Offset_{target} and the Chip Offset_{target} with formula (9.3):

$$\text{Frame Offset}_{target} * 38400 + \text{Chip Offset}_{target} = OFF_{target} * 38400 + Tm_{target} \quad (9.3)$$

During hard handover in case the parameter OFF_{target} cannot be measured by the UE and it is not already known by the UTRAN, than the SRNC calculates the Frame Offset_{target} and the Chip Offset_{target} with formula (9.1).

Frame Offset_{target} and Chip Offset_{target} are then signalled to the Node B controlling the target cell.]

[TDD - Based on the parameter OFF_{target} received from the UE or already known by the UTRAN, the SRNC calculates the Frame Offset_{target} = OFF_{target} .

In case the parameter OFF_{target} cannot be measured by the UE and it is not already known by the UTRAN, than the SRNC calculates the Frame Offset_{target} = $DOFF_{TDD}$.

It is signalled to the Node B controlling the target cell.]

9.2.5 Handover from other RAN to UMTS

[FDD - Based on the definitions for OFF and Tm formula (9.1) can also be used when the UE enters the UTRAN from another CN and establishes one dedicated RL. The same is true for formula (9.2) when establishing one or more dedicated RL's.]

[TDD - When the UE enters the UTRAN from another CN and establishes one dedicated RL, OFF is 0.]

9.3 Calculations performed in the UE

9.3.A UE in CELL_FACH/PCH state

In CELL_FACH/PCH state the CFN is initialised with the values $CFN = SFN$ for PCH and $CFN = SFN \bmod 256$ for all other common and shared channels. The CFN for all common and shared channels in the CRNC is increased (mod 256) by 1 every frame, except PCH, which CFN has the same range of the SFN.

9.3.1 UE changes from CELL_FACH/PCH state to CELL_DCH state: 1 RL

[FDD - Based on the received $DOFF_{FDD}$ and the SFN of the cell in which the UE is source, the UE can initialise the CFN with the value given by formula (9.4):

$$CFN = \frac{(SFN - ((DOFF_{FDD} * 512) \text{ div } 38400)) \cdot ((SFN * 38400 - DOFF_{FDD} * 512) \text{ div } 38400)}{256} \bmod 256 \quad (9.4)$$

[This formula gives the CFN of the downlink DPCH frame which starts at the same time as or which starts during the PCCPCH frame with the given SFN.](#)

[TDD - Based on the received $DOFF_{TDD}$, the UE can initialise the CFN with the value given by formula (9.5):

$$CFN = (SFN - DOFF_{TDD}) \bmod 256 \quad (9.5)$$

After the initialisation, the CFN in the UE is increased (mod 256) by 1 every frame.

[TDD - Note that for all common and shared channels $CFN = SFN \bmod 256$ even during CELL_DCH state.]

9.3.1A [FDD - UE changes from CELL_FACH/PCH to CELL_DCH state: several RL's]

The UE reports to the SRNC the parameters OFF_k and Tm_k for each $cell_k$ measured respect to the reference $cell_j$ determined by means of formula (9.6):

$$OFF_k + Tm_k = (SFN_k - CFN) \bmod 256 \quad (9.6)$$

After having performed OFF_k and Tm_k measurements for all target cells, the UE initialises the CFN with the value given by formula (9.7), based on the received $DOFF_{FDD}$ and the SFN_j of the reference cell:

$$CFN = \frac{(SFN_j - ((DOFF_{FDD} * 512) \text{ div } 38400)) \cdot ((SFN_j * 38400 - DOFF_{FDD} * 512) \text{ div } 38400)}{256} \bmod 256 \quad (9.7)$$

[This formula gives the CFN of the downlink DPCH frame which starts at the same time as or which starts during the PCCPCH frame with the given SFN.](#)

After the initialisation, the CFN in the UE is increased (mod 256) by 1 every frame.

9.3.2 UE in CELL_DCH state: addition of a new RL or handover to a new cell

The UE in CELL_DCH state may be requested by the UTRAN to report OFF_{target} by means of System Info broadcast in the source cell.

[FDD - In case the SFN_{target} can be measured, the target cell OFF_{target} is calculated using formula (9.8):

$$OFF_{target} + Tm_{target} = (SFN_{target} - CFN) \bmod 256 \quad (9.8)$$

otherwise a value as defined in [13] is reported. Tm_{target} is always reported, except for the case of FDD-TDD handover.]

[TDD - In case the SFN_{target} can be measured, the target cell OFF_{target} is calculated using formula (9.9):

$$OFF_{target} = (SFN_{target} - CFN) \bmod 256 \quad (9.9)$$

otherwise a value as defined in [14] is reported.]

Note that, regarding the CFN, two cases may occur:

- a) the value of OFF_{target} is known by the UTRAN before handover execution:
 - a1) either because the SFN_{target} has been measured by the UE and reported to the UTRAN by means of the OFF_{target} before handover;
 - a2) or because the UTRAN already knows the difference between serving cell SFN_{source} and target cell SFN_{target} and derives OFF_{target} from OFF_{source} by applying the difference between SFN_{target} and SFN_{source} (this difference between SFNs may be known in the UTRAN from previous UE's measurement reports);
 - a3) [TDD - or because cells involved in the handover are synchronised – and hence OFF_{target} equals OFF_{source}].
- b) the value of OFF_{target} is not known by the UTRAN before handover execution because the SFN_{target} cannot be measured by the UE before handover and the UTRAN does not know the difference between serving cell SFN and target cell SFN.

In case a) the UTRAN shall not signal to the UE any value of [FDD- $DOFF_{FDD}$] [TDD- $DOFF_{TDD}$] before handover in the RRC message PHYSICAL CHANNEL RECONFIGURATION, and the UE shall maintain the old CFN, i.e. no correction to CFN is needed during handover.

In case b) the UTRAN shall signal to the UE the new value of [FDD- $DOFF_{FDD}$] [TDD- $DOFF_{TDD}$] before handover by means of the RRC message PHYSICAL CHANNEL RECONFIGURATION. The CFN shall be re-initialised after handover (as soon as the UE reads the SFN_{target}) according to formula [FDD- (9.4)] [TDD- (9.5)].

Note that in cases a2) and a3) the UTRAN may not request the UE to report OFF_{target} , while in case b) the value of OFF_{target} reported by the UE is the one defined in [FDD - 13], [TDD - 14] for this case.

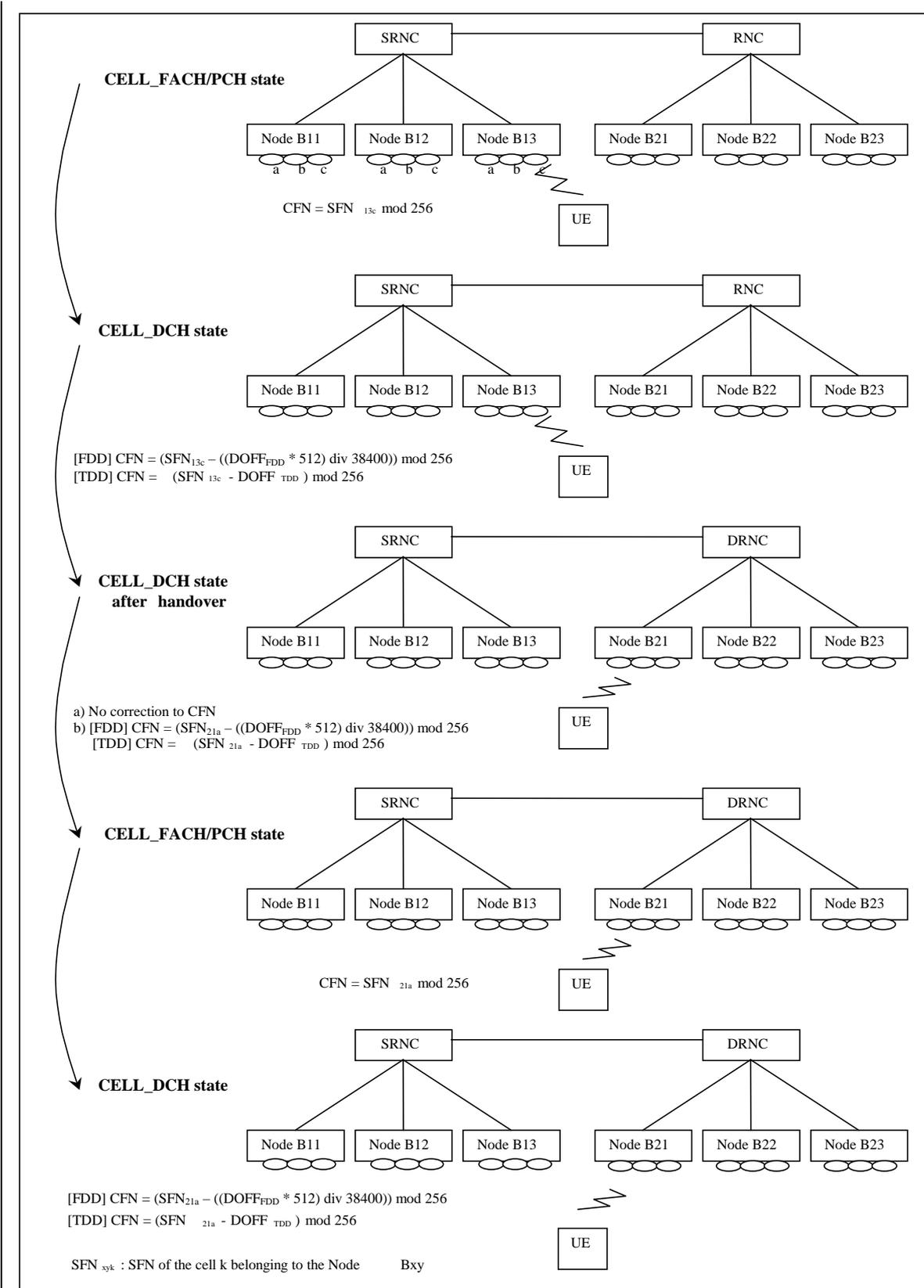
9.4 Synchronisation of L1 configuration changes

When a synchronised L1 configuration change shall be made, the SRNC commands the related Node B's to prepare for the change. When preparations are completed and SRNC informed, serving RNC decides appropriate change time. SRNC tells the CFN for the change by a suitable RRC message. The Node B's are informed the CFN by RNSAP and NBAP Synchronised Radio Link Reconfiguration procedures.

At indicated switch time UE and Node B's change the L1 configuration.

9.5 Examples of synchronisation counters during state transitions

The example of Figure 20 shows the corrections applied to UTRAN synchronisation counters during multiple transitions from CELL_FACH/PCH state to CELL_DCH state before and after handover, without SRNS relocation. In this example two handover cases described in 9.3.2 are considered.



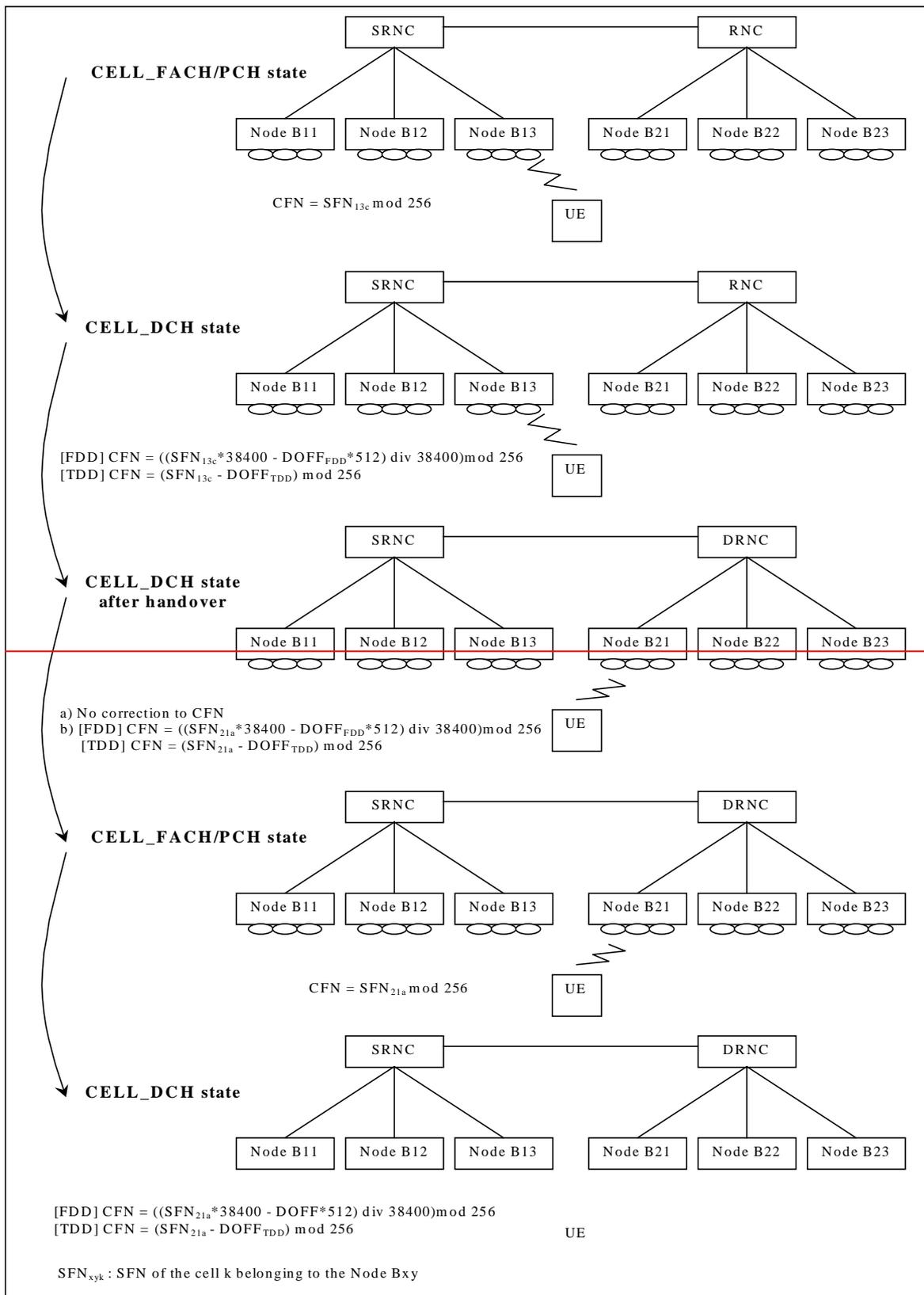
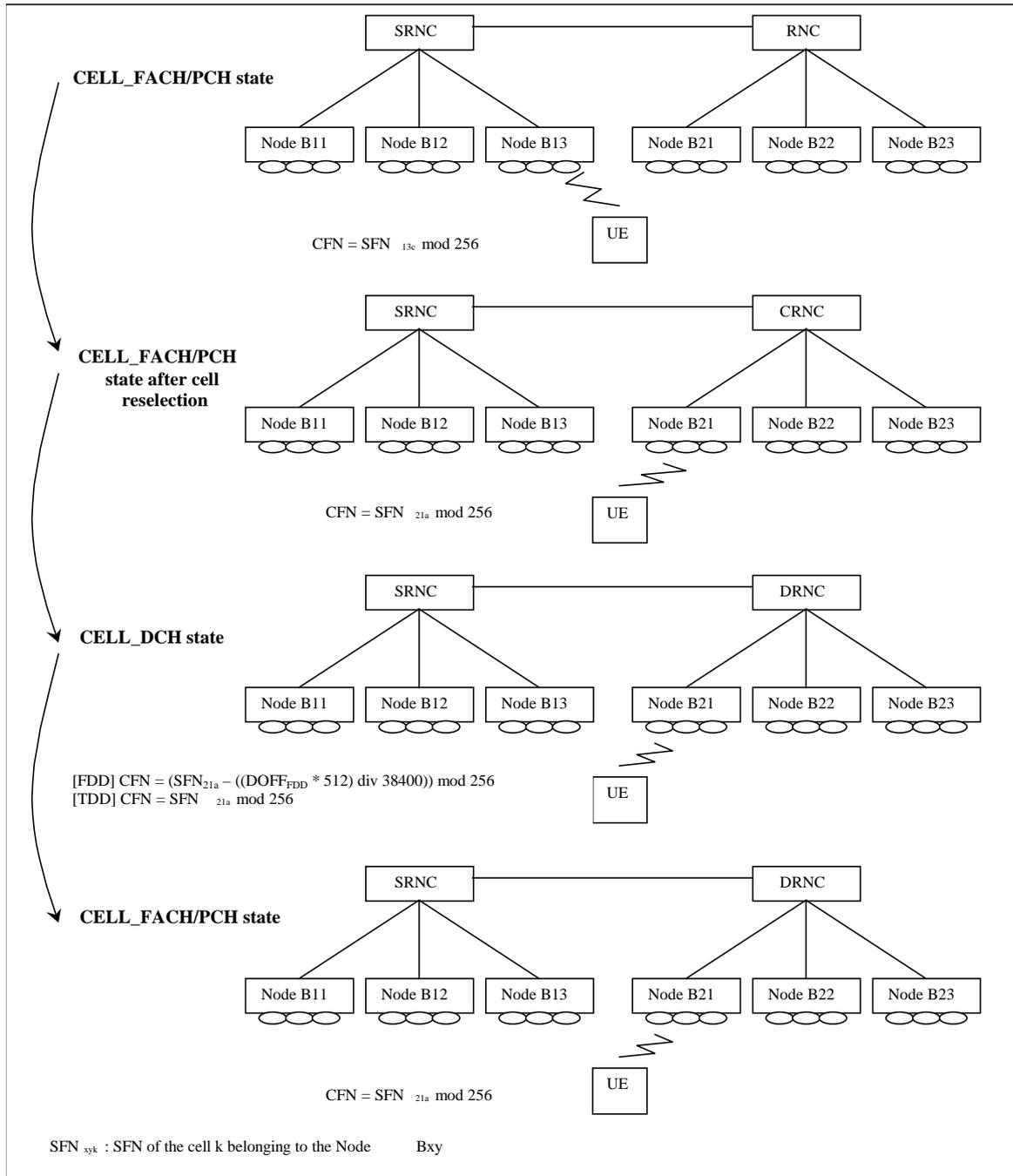


Figure 20: Example 1

The example of Figure 21 shows the corrections applied to UTRAN synchronisation during multiple transitions from CELL_FACH/PCH state to CELL_DCH state after cell reselection, without SRNC relocation.



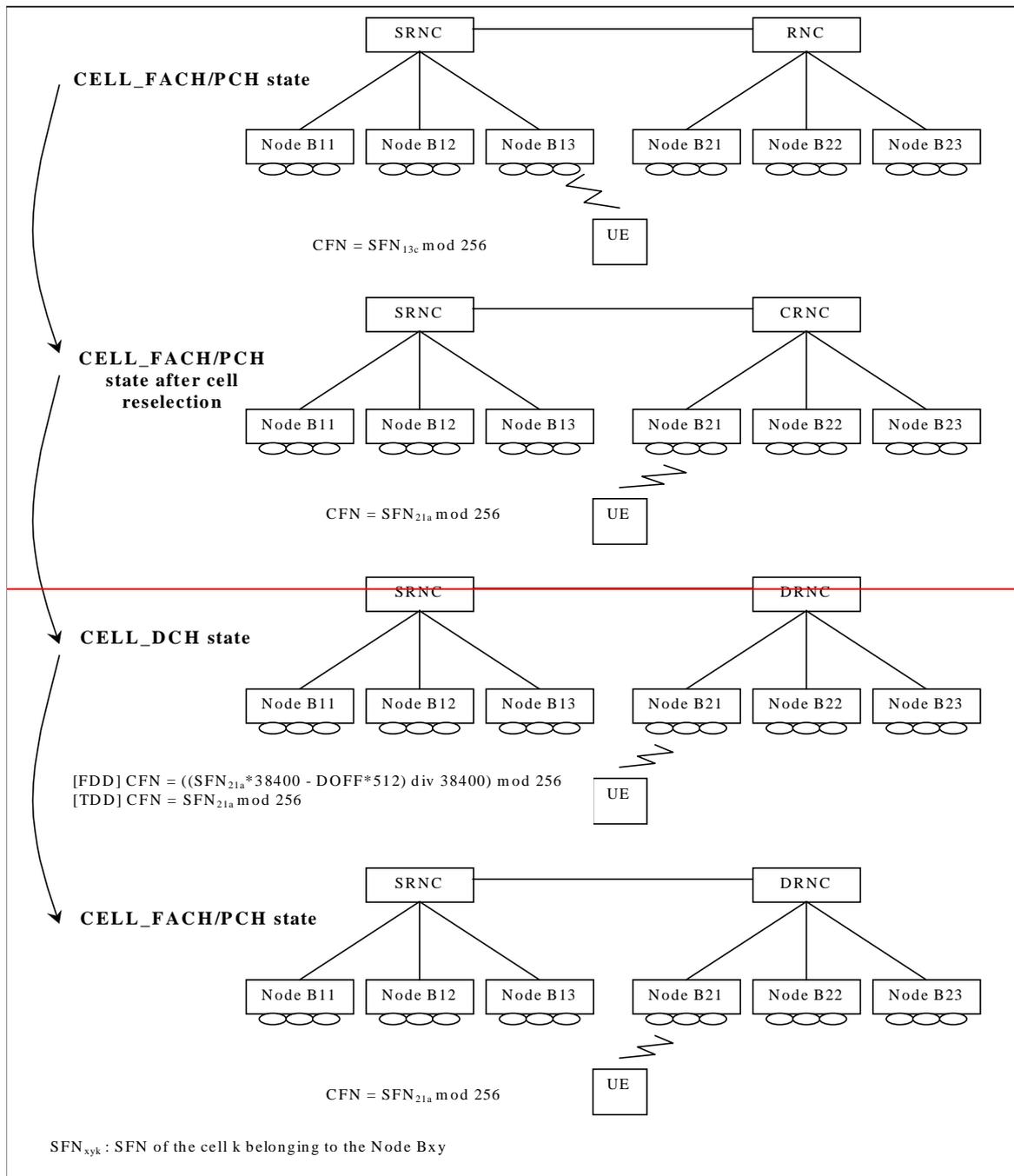
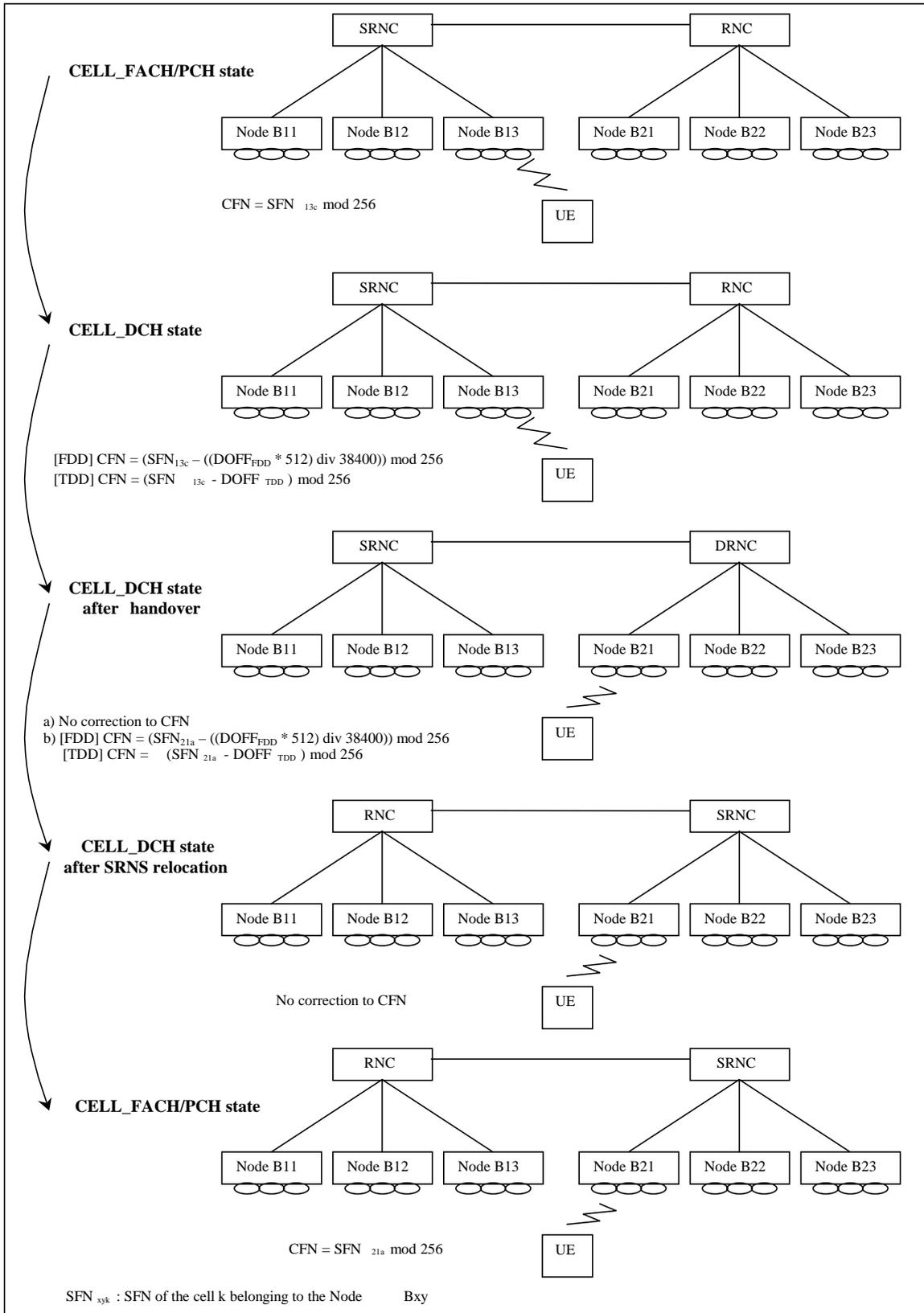


Figure 21: Example 2

The example of Figure 22 shows the corrections applied to UTRAN synchronisation counters during multiple transitions from CELL_FACH/PCH state to CELL_DCH state before and after handover and SRNS relocation (without UE involvement). In this example two handover cases described in 9.3.2 are considered.



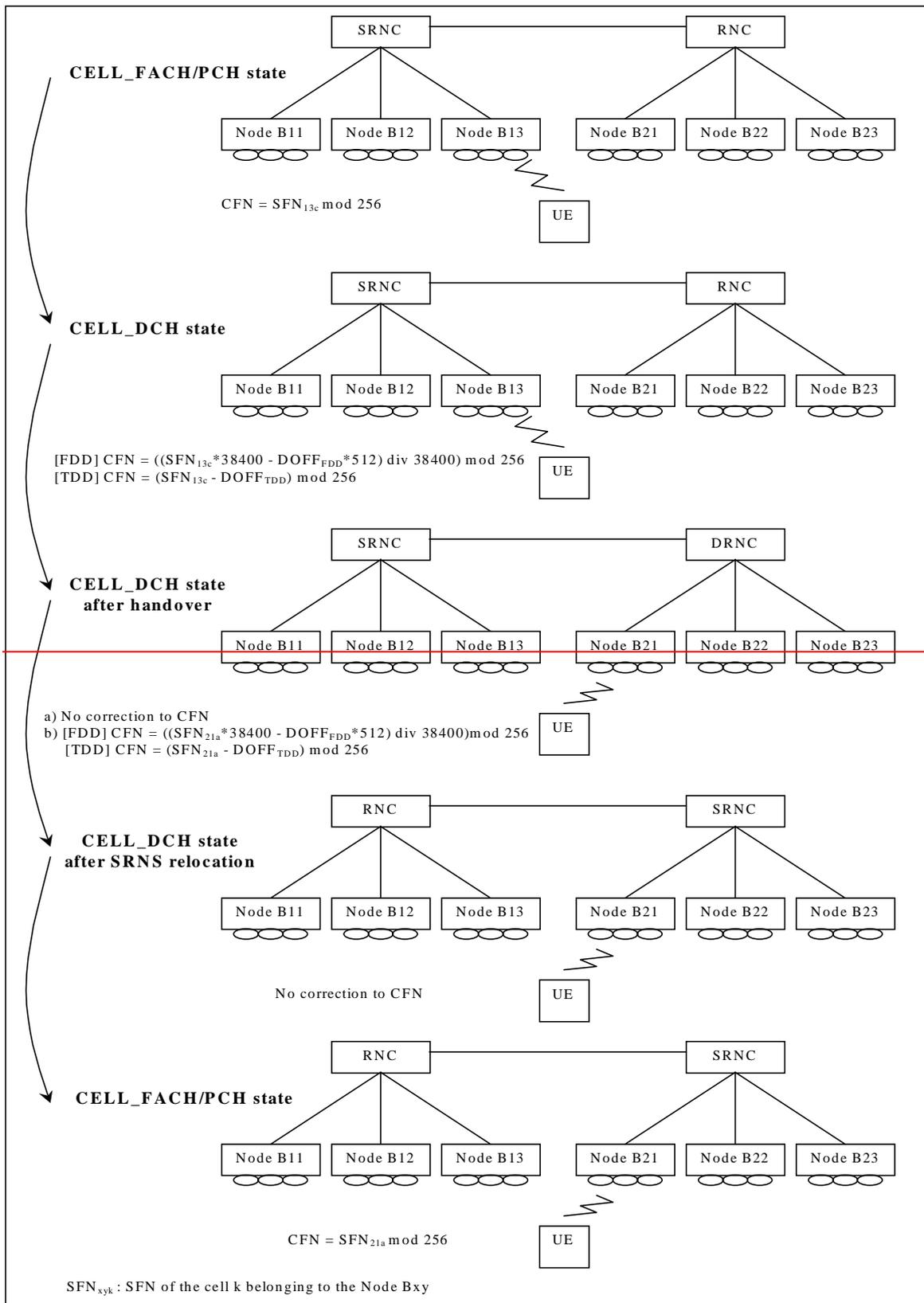


Figure 22: Example 3