# TSG-RAN Meeting #12
# Stockholm, Sweden, 12 - 15 June 2001

***TSGRP#12(01) 0454***

**Title:** **Agreed CRs to TS 25.413**

**Source:** **TSG-RAN WG3**

**Agenda item:** **8.3.3/8.3.4**

| Tdoc_Num | Specification | CR_Num | Revision_Num | CR_Subject | CR_Category | WG_Status | Cur_Ver_Num | New_Ver_Num | Workitem |
|---|---|---|---|---|---|---|---|---|---|
| R3-011858 | 25.413 | 276 | 2 | Corrections and introduction of an appendix for usage of Criticality Diagnostics IE | F | agreed | 3.5.0 | 3.6.0 | TEI |
| R3-011859 | 25.413 | 277 | 1 | Corrections and introduction of an appendix for usage of Criticality Diagnostics IE | A | agreed | 4.0.0 | 4.1.0 | TEI |
| R3-011323 | 25.413 | 278 | | Reporting of Logical Error with Error Indication Procedure | F | agreed | 3.5.0 | 3.6.0 | TEI |
| R3-011324 | 25.413 | 279 | | Reporting of Logical Error with Error Indication Procedure | A | agreed | 4.0.0 | 4.1.0 | TEI |
| R3-011331 | 25.413 | 280 | | Clarification of IEs order rule | F | agreed | 3.5.0 | 3.6.0 | TEI |
| R3-011332 | 25.413 | 281 | | Clarification of IEs order rule | A | agreed | 4.0.0 | 4.1.0 | TEI |
| R3-011348 | 25.413 | 284 | | CN Domain Indicator in ERROR INDICATION | F | agreed | 3.5.0 | 3.6.0 | TEI |
| R3-011349 | 25.413 | 285 | | CN Domain Indicator in ERROR INDICATION | A | agreed | 4.0.0 | 4.1.0 | TEI |
| R3-011350 | 25.413 | 286 | | Correction to RAB Release Procedures description | F | agreed | 3.5.0 | 3.6.0 | TEI |
| R3-011351 | 25.413 | 287 | | Correction to RAB Release Procedures description | A | agreed | 4.0.0 | 4.1.0 | TEI |
| R3-011352 | 25.413 | 288 | | TRELOCalloc_usage | F | agreed | 3.5.0 | 3.6.0 | TEI |
| R3-011353 | 25.413 | 289 | | TRELOCalloc_usage | A | agreed | 4.0.0 | 4.1.0 | TEI |

| | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| R3-011354 | 25.413 | 290 | | Relocation Resource Allocation in case of Cell/URA Update | F | agreed | 3.5.0 | 3.6.0 | TEI |
| R3-011355 | 25.413 | 291 | | Relocation Resource Allocation in case of Cell/URA Update | A | agreed | 4.0.0 | 4.1.0 | TEI |
| R3-011672 | 25.413 | 293 | 1 | Global Cell-ID IE in INITIAL UE MESSAGE | F | agreed | 3.5.0 | 3.6.0 | TEI |
| R3-011673 | 25.413 | 294 | 1 | Global Cell-ID IE in INITIAL UE MESSAGE | A | agreed | 4.0.0 | 4.1.0 | TEI |
| R3-011817 | 25.413 | 295 | 2 | CN Domain Indicator in OVERLOAD message | F | agreed | 3.5.0 | 3.6.0 | TEI |
| R3-011884 | 25.413 | 296 | 3 | CN Domain Indicator in OVERLOAD message | A | agreed | 4.0.0 | 4.1.0 | TEI |
| R3-011733 | 25.413 | 298 | 1 | Reference to superseeded versions of ASN.1 documents | F | agreed | 3.5.0 | 3.6.0 | TEI |
| R3-011734 | 25.413 | 299 | 1 | Reference to superseeded versions of ASN.1 documents | A | agreed | 4.0.0 | 4.1.0 | TEI |

**3GPP TSG-RAN WG3 Meeting #21** ***R3-011858***
**Busan, Korea, May 21st – 25th, 2001**

*CR-Form-v3*

# CHANGE REQUEST

⌘ **25.413** **CR** **276** ⌘ rev **2** ⌘ Current version: **3.5.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

***Proposed change affects:*** ⌘ (U)SIM ☐ ME/UE ☐ Radio Access Network ☒ Core Network ☒

| | | |
|---|---|---|
| ***Title:*** | ⌘ | Corrections and introduction of an appendix for usage of *Criticality Diagnostics* IE |
| ***Source:*** | ⌘ | R-WG3 |
| ***Work item code:*** | ⌘ | TEI |
| ***Date:*** | ⌘ | 2001-05-16 |
| ***Category:*** | ⌘ | **F** |
| ***Release:*** | ⌘ | R99 |

*Use one of the following categories:*
***F*** *(essential correction)*
***A*** *(corresponds to a correction in an earlier release)*
***B*** *(Addition of feature),*
***C*** *(Functional modification of feature)*
***D*** *(Editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

*Use one of the following releases:*
*2 (GSM Phase 2)*
*R96 (Release 1996)*
*R97 (Release 1997)*
*R98 (Release 1998)*
*R99 (Release 1999)*
*REL-4 (Release 4)*
*REL-5 (Release 5)*

***Reason for change:*** ⌘ The *Criticality Diagnostics* IE cannot tell if a reported error is due to a not understood or a missing IE. This needs to be added.
Also the usage of Criticality Diagnostics IE needs to be made easier to understand. An informative annex is thus added.

***Summary of change:*** ⌘ Type of Error is added to the *Criticality Diagnostics* IE and an informative appendix with examples of the usage of *Criticality Diagnostics* IE is also added.

Changes since R3 #20:

The semantics of the *Repetition Number* IE in the *Criticality Diagnostics* IE and *Message Structure* IE have been improved.

One figure per example have been included in the Appendix.

One example on "missing IE" has been included in the Appendix.

The *Type of Error* IE has been added in the *Information Element Criticality Diagnostics* IE in the *Criticality Diagnostics* IE to allow the reporting of multiple causes to the inclusion of the Criticality Diagnostics IE.
The main reason for reporting Criticality Diagnostics can be indicated by the *Cause* IE, but the reason may be different for different reported IEs. E.g the main reason my be a missing IE (cause="Abstract Syntax Error (Falsely Constructed Message)") but still there may be a not understood IE reported as well (cause="Abstract Syntax Error (Reject)" or "Abstract Syntax Error (Ignore and Notify)").

The value range for the *Repetition Number* IE in the *Criticality Diagnostics* IE has been changed from (1..256) to (0..255).

The value range for the *Repetition Number* IE in the *Message Structure* IE has been changed from (1..256) to (1..256).

Information for revision 1:

It was recognised, that the addition of the extension marker for the *Repetition Number* IE in the *Criticality Diagnostics* IE and the *Message Structure* IE will lead to a non backwards compatible change, as it e.g. causes an transfer syntax (decoder) error if this IE is received by a node of an version which did not

| | | |
|---|---|---|
| | | implemented this change.<br><br>R2: correction in ASN.1+removal of ellipsis from the repetition number. |
| ***Consequences if not approved:*** | ⌘ | It will not be possible to know what type of error that is reported, making it difficult to take appropriate actions.<br><br>The proposed change is not backwards compatible due to:<br><br>• The changes done to the value range for Repetition Number.<br>• The introduction of the possibility to report missing IEs, thus making received information ambiguous for a receiver implemented according to Criticality Diagnostics without this possibility. |

| | | | | | |
|---|---|---|---|---|---|
| ***Clauses affected:*** | ⌘ | 9.2.1.35, 9.2.1.42, 9.3.4, 9.3.6 and Appendix A.2 (new) | | | |
| ***Other specs affected:*** | ⌘ | **X** | Other core specifications | ⌘ | 25.413 V4.0.0, CR277<br>25.419 V3.4.0, CR035<br>25.419 V4.0.0, CR036<br>25.423 V3.5.0, CR340<br>25.423 V4.0.0, CR341<br>25.433 V3.5.0, CR389<br>25.433 V4.0.0, CR390 |
| | | | Test specifications | | |
| | | | O&M Specifications | | |
| ***Other comments:*** | ⌘ | | | | |

**How to create CRs using this form:**
Comprehensive information and tips about how to create CRs can be found at: http://www.3gpp.org/3G_Specs/CRs.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://www.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

### 9.2.1.35 Criticality Diagnostics

For further details on how to use the *Criticality Diagnostics* IE, see Annex A.2.

| IE/Group Name | Presence | Range | IE type and reference | Semantics description |
|---|---|---|---|---|
| **Criticality Diagnostics** | | | | |
| >Procedure Code | O | | INTEGER (0..255) | Procedure Code is to be used if Criticality Diagnostics is part of Error Indication procedure, and not within the response message of the same procedure that caused the error |
| >Triggering Message | O | | ENUMERATED(initiating message, successful outcome, unsuccessful outcome, outcome) | The Triggering Message is used only if the Criticality Diagnostics is part of Error Indication procedure. |
| >Procedure Criticality | O | | ENUMERATED(reject, ignore, notify) | This Procedure Criticality is used for reporting the Criticality of the Triggering message (Procedure). The value 'ignore' shall never be used. |
| **Information Element Criticality Diagnostics** | | 0 to <maxnoof errors> | | |
| >IE Criticality | M | | ENUMERATED(reject, ignore, notify) | The IE Criticality is used for reporting the criticality of the triggering IE. The value 'ignore' shall not be used. |
| >IE ID | M | | INTEGER (0..65535) | The IE ID of the not understood or missing IE |
| >Repetition Number | O | | INTEGER (01..256) | The *Repetition Number* IE gives<br>• in case of a not understood IE: The number of occurrences of the reported IE up to and including the not understood occurrence<br>• in case of a missing IE: The number of occurrences up to but not including the missing occurrence.<br>Note: All the counted occurrences of the reported IE must have the same topdown hierachical message structure of IEs with assigned criticality above them. ~~The repetition number of the not understood IE within the bottom most repetition level identified by the message structure IE, if applicable~~ |
| >Message Structure | O | | 9.2.1.42 | The *Message Structure* IE describes the structure where the not understood or missing IE was detected. This IE is included if the not understood IE is not the top level of the message. |
| >Type of Error | M | | ENUMERATED(not | |

| | | | | |
|---|---|---|---|---|
| | | | understood, missing, …) | |

| Range bound | Explanation |
|---|---|
| maxnooferrors | Maximum no. of IE errors allowed to be reported with a single message. The value for maxnooferrors is 256. |

### 9.2.1.42 Message Structure

The *Message Structure* IE gives information for each level with assigned criticality in an hierachical message structure from top level down to the lowest level above the reported level for the occured error (reported in the *Information Element Criticality Diagnostics* IE).

| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|---|---|---|---|---|---|---|
| **Message structure** | | 1 to <maxnooflevels> | | The first repetition of the *Message Structure* IE corresponds to the top level of the message. The last repetition of the *Message Structure* IE corresponds to the level above the reported level for the occured error of the message.~~Information given per level with assigned criticality in an hierachical message structure. Given from top level down to the level above the reported level for the occured error (reported in the *Information Element Criticality Diagnostics* IE).~~ | GLOBAL | ignore |
| >IE ID | M | | INTEGER (0..65535) | The IE ID of this level's IE containing the not understood or missing IE. | - | |
| >Repetition Number | O | | INTEGER (1..256) | The *Repetition Number* IE gives, if applicable, the number of occurrences of this level's reported IE up to and including the occurrence containing the not understood or missing IE.<br><br>Note: All the counted occurrences of the reported IE must have the same topdown hierachical message structure of IEs with assigned criticality above them.~~The repetition number of this level's reported IE, if applicable~~ | - | |

| Range bound | Explanation |
|---|---|
| maxnooflevels | Maximum no. of message levels to report. The value for maxnooflevels is 256. |

## 9.3.4 Information Element Definitions

```
-- **************************************************************
--
-- Information Element Definitions
--
-- **************************************************************

RANAP-IEs {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
umts-Access (20) modules (3) ranap (0) version1 (1) ranap-IEs (2) }

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS
    maxNrOfErrors,
    maxNrOfPDPDirections,
    maxNrOfPoints,
    maxNrOfRABs,
    maxNrOfSeparateTrafficDirections,
    maxRAB-Subflows,
    maxRAB-SubflowCombination,
    maxNrOfLevels,

    id-MessageStructure,
    id-TypeOfError

FROM RANAP-Constants

    Criticality,
    ProcedureCode,
    ProtocolIE-ID,
    TriggeringMessage
FROM RANAP-CommonDataTypes

    ProtocolExtensionContainer{},
    RANAP-PROTOCOL-EXTENSION
FROM RANAP-Containers;

-- A

AllocationOrRetentionPriority ::= SEQUENCE {
    priorityLevel           PriorityLevel,
    pre-emptionCapability       Pre-emptionCapability,
    pre-emptionVulnerability    Pre-emptionVulnerability,
    queuingAllowed          QueuingAllowed,
    iE-Extensions           ProtocolExtensionContainer { {AllocationOrRetentionPriority-ExtIEs} } OPTIONAL,
    ...
}
```

```
AllocationOrRetentionPriority-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

AreaIdentity ::= CHOICE {
    sAI             SAI,
    geographicalArea        GeographicalArea,
    ...
}

-- B

BindingID               ::= OCTET STRING (SIZE (4))

-- C


Cause ::= CHOICE {
    radioNetwork            CauseRadioNetwork,
    transmissionNetwork     CauseTransmissionNetwork,
    nAS             CauseNAS,
    protocol            CauseProtocol,
    misc                CauseMisc,
    non-Standard            CauseNon-Standard,
    ...
}

CauseMisc ::= INTEGER {
    om-intervention (113),
    no-resource-available (114),
    unspecified-failure (115),
    network-optimisation (116)
} (113..128)

CauseNAS ::= INTEGER {
    user-restriction-start-indication (81),
    user-restriction-end-indication (82),
    normal-release (83)
} (81..96)

CauseProtocol ::= INTEGER {
    transfer-syntax-error (97),
    semantic-error (98),
    message-not-compatible-with-receiver-state (99),
    abstract-syntax-error-reject (100),
    abstract-syntax-error-ignore-and-notify (101),
    abstract-syntax-error-falsely-constructed-message (102)

} (97..112)

CauseRadioNetwork ::= INTEGER {
    rab-pre-empted (1),
```

```
    trelocoverall-expiry (2),
    trelocprep-expiry (3),
    treloccomplete-expiry (4),
    tqueing-expiry (5),
    relocation-triggered (6),
    trellocalloc-expiry(7),
    unable-to-establish-during-relocation (8),
    unknown-target-rnc (9),
    relocation-cancelled (10),
    successful-relocation (11),
    requested-ciphering-and-or-integrity-protection-algorithms-not-supported (12),
    change-of-ciphering-and-or-integrity-protection-is-not-supported (13),
    failure-in-the-radio-interface-procedure (14),
    release-due-to-utran-generated-reason (15),
    user-inactivity (16),
    time-critical-relocation (17),
    requested-traffic-class-not-available (18),
    invalid-rab-parameters-value (19),
    requested-maximum-bit-rate-not-available (20),
    requested-guaranteed-bit-rate-not-available (21),
    requested-transfer-delay-not-achievable (22),
    invalid-rab-parameters-combination (23),
    condition-violation-for-sdu-parameters (24),
    condition-violation-for-traffic-handling-priority (25),
    condition-violation-for-guaranteed-bit-rate (26),
    user-plane-versions-not-supported (27),
    iu-up-failure (28),
    relocation-failure-in-target-CN-RNC-or-target-system(29),
    invalid-RAB-ID (30),
    no-remaining-rab (31),
    interaction-with-other-procedure (32),
    requested-maximum-bit-rate-for-dl-not-available (33),
    requested-maximum-bit-rate-for-ul-not-available (34),
    requested-guaranteed-bit-rate-for-dl-not-available (35),
    requested-guaranteed-bit-rate-for-ul-not-available (36),
    repeated-integrity-checking-failure (37),
    requested-report-type-not-supported (38),
    request-superseded (39),
    release-due-to-UE-generated-signalling-connection-release (40),
    resource-optimisation-relocation (41),
    requested-information-not-available (42),
    relocation-desirable-for-radio-reasons (43),
    relocation-not-supported-in-target-RNC-or-target-system (44),
    directed-retry (45),
    radio-connection-with-UE-Lost (46)
} (1..64)

CauseNon-Standard ::= INTEGER (129..256)

CauseTransmissionNetwork ::= INTEGER {
    signalling-transport-resource-failure (65),
    iu-transport-connection-failed-to-establish (66)
} (65..80)
```

```
CriticalityDiagnostics ::= SEQUENCE {
    procedureCode           ProcedureCode           OPTIONAL,
    triggeringMessage       TriggeringMessage       OPTIONAL,
    procedureCriticality        Criticality         OPTIONAL,
    iEsCriticalityDiagnostics       CriticalityDiagnostics-IE-List  OPTIONAL,
    iE-Extensions           ProtocolExtensionContainer { {CriticalityDiagnostics-ExtIEs} } OPTIONAL,
    ...
}

CriticalityDiagnostics-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

CriticalityDiagnostics-IE-List ::= SEQUENCE (SIZE (1..maxNrOfErrors)) OF
    SEQUENCE {
        iECriticality       Criticality,
        iE-ID               ProtocolIE-ID,
        repetitionNumber        RepetitionNumber0       OPTIONAL,
        iE-Extensions           ProtocolExtensionContainer { {CriticalityDiagnostics-IE-List-ExtIEs} } OPTIONAL,
        ...
    }

CriticalityDiagnostics-IE-List-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    {   ID id-MessageStructure  CRITICALITY ignore      EXTENSION MessageStructure  PRESENCE optional   },
    {   ID id-TypeOfError       CRITICALITY ignore      EXTENSION TypeOfError       PRESENCE mandatory  },
    ...
}

MessageStructure ::= SEQUENCE (SIZE (1..maxNrOfLevels)) OF
    SEQUENCE {
        iE-ID                   ProtocolIE-ID,
        repetitionNumber        RepetitionNumber1       OPTIONAL,
        iE-Extensions           ProtocolExtensionContainer { {MessageStructure-ExtIEs} } OPTIONAL,
        ...
    }


MessageStructure-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    ...
}
```

****** LOTS OF UNAFFECTED ASN.1 DESCRIPTION FROM SECTION 9.3.4 NOT SHOWN ******

```
RelocationType ::= ENUMERATED {
    ue-not-involved,
    ue-involved,
    ...
}
```

```
RepetitionNumber0 ::= INTEGER (01..2556)

RepetitionNumber1 ::= INTEGER (1..256)
```

****** LOTS OF UNAFFECTED ASN.1 DESCRIPTION FROM SECTION 9.3.4 NOT SHOWN ******

```
TriggerID               ::= OCTET STRING (SIZE (3..22))

TypeOfError ::= ENUMERATED {
    not-understood,
    missing,
    ...
}

-- U

UE-ID ::= CHOICE {
    imsi                IMSI,
    imei                IMEI,
    ...
}

UL-GTP-PDU-SequenceNumber       ::= INTEGER (0..65535)

UL-N-PDU-SequenceNumber         ::= INTEGER (0..65535)

UP-ModeVersions             ::= BIT STRING (SIZE (16))

USCH-ID                     ::= INTEGER (0..255)

UserPlaneMode ::= ENUMERATED {
    transparent-mode,
    support-mode-for-predefined-SDU-sizes,
    ...
}

END
```

## 9.3.6 Constant Definitions

```
-- **************************************************************
--
-- Constant definitions
--
-- **************************************************************

RANAP-Constants {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
umts-Access (20) modules (3) ranap (0) version1 (1) ranap-Constants (4) }

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

-- **************************************************************
--
-- Elementary Procedures
--
-- **************************************************************

id-RAB-Assignment                   INTEGER ::= 0
id-Iu-Release                       INTEGER ::= 1
id-RelocationPreparation            INTEGER ::= 2
id-RelocationResourceAllocation     INTEGER ::= 3
id-RelocationCancel                 INTEGER ::= 4
id-SRNS-ContextTransfer             INTEGER ::= 5
id-SecurityModeControl              INTEGER ::= 6
id-DataVolumeReport                 INTEGER ::= 7
id-Reset                            INTEGER ::= 9
id-RAB-ReleaseRequest               INTEGER ::= 10
id-Iu-ReleaseRequest                INTEGER ::= 11
id-RelocationDetect                 INTEGER ::= 12
id-RelocationComplete               INTEGER ::= 13
id-Paging                           INTEGER ::= 14
id-CommonID                         INTEGER ::= 15
id-CN-InvokeTrace                   INTEGER ::= 16
id-LocationReportingControl         INTEGER ::= 17
id-LocationReport                   INTEGER ::= 18
id-InitialUE-Message                INTEGER ::= 19
id-DirectTransfer                   INTEGER ::= 20
id-OverloadControl                  INTEGER ::= 21
id-ErrorIndication                  INTEGER ::= 22
id-SRNS-DataForward                 INTEGER ::= 23
id-ForwardSRNS-Context              INTEGER ::= 24
id-privateMessage                   INTEGER ::= 25
id-CN-DeactivateTrace               INTEGER ::= 26
id-ResetResource                    INTEGER ::= 27
id-RANAP-Relocation                 INTEGER ::= 28
```

```
-- **************************************************************
--
-- Extension constants
--
-- **************************************************************

maxPrivateIEs                       INTEGER ::= 65535
maxProtocolExtensions               INTEGER ::= 65535
maxProtocolIEs                      INTEGER ::= 65535

-- **************************************************************
--
-- Lists
--
-- **************************************************************

maxNrOfDTs                          INTEGER ::= 15
maxNrOfErrors                       INTEGER ::= 256
maxNrOfIuSigConIds                  INTEGER ::= 250
maxNrOfPDPDirections                INTEGER ::= 2
maxNrOfPoints                       INTEGER ::= 15
maxNrOfRABs                         INTEGER ::= 256
maxNrOfSeparateTrafficDirections    INTEGER ::= 2
maxNrOfVol                          INTEGER ::= 2
maxNrOfLevels                       INTEGER ::= 256

maxRAB-Subflows                     INTEGER ::= 7
maxRAB-SubflowCombination           INTEGER ::= 64

-- **************************************************************
--
-- IEs
--
-- **************************************************************

id-AreaIdentity                                 INTEGER ::= 0
id-CN-DomainIndicator                           INTEGER ::= 3
id-Cause                                        INTEGER ::= 4
id-ChosenEncryptionAlgorithm                    INTEGER ::= 5
id-ChosenIntegrityProtectionAlgorithm           INTEGER ::= 6
id-ClassmarkInformation2                        INTEGER ::= 7
id-ClassmarkInformation3                        INTEGER ::= 8
id-CriticalityDiagnostics                       INTEGER ::= 9
id-DL-GTP-PDU-SequenceNumber                    INTEGER ::= 10
id-EncryptionInformation                        INTEGER ::= 11
id-IntegrityProtectionInformation               INTEGER ::= 12
id-IuTransportAssociation                       INTEGER ::= 13
id-L3-Information                               INTEGER ::= 14
id-LAI                                          INTEGER ::= 15
id-NAS-PDU                                      INTEGER ::= 16
id-NonSearchingIndication                       INTEGER ::= 17
id-NumberOfSteps                                INTEGER ::= 18
id-OMC-ID                                       INTEGER ::= 19
```

```
id-OldBSS-ToNewBSS-Information                  INTEGER ::= 20
id-PagingAreaID                                 INTEGER ::= 21
id-PagingCause                                  INTEGER ::= 22
id-PermanentNAS-UE-ID                           INTEGER ::= 23
id-RAB-ContextItem                              INTEGER ::= 24
id-RAB-ContextList                              INTEGER ::= 25
id-RAB-DataForwardingItem                       INTEGER ::= 26
id-RAB-DataForwardingItem-SRNS-CtxReq           INTEGER ::= 27
id-RAB-DataForwardingList                       INTEGER ::= 28
id-RAB-DataForwardingList-SRNS-CtxReq           INTEGER ::= 29
id-RAB-DataVolumeReportItem                     INTEGER ::= 30
id-RAB-DataVolumeReportList                     INTEGER ::= 31
id-RAB-DataVolumeReportRequestItem              INTEGER ::= 32
id-RAB-DataVolumeReportRequestList              INTEGER ::= 33
id-RAB-FailedItem                               INTEGER ::= 34
id-RAB-FailedList                               INTEGER ::= 35
id-RAB-ID                                       INTEGER ::= 36
id-RAB-QueuedItem                               INTEGER ::= 37
id-RAB-QueuedList                               INTEGER ::= 38
id-RAB-ReleaseFailedList                        INTEGER ::= 39
id-RAB-ReleaseItem                              INTEGER ::= 40
id-RAB-ReleaseList                              INTEGER ::= 41
id-RAB-ReleasedItem                             INTEGER ::= 42
id-RAB-ReleasedList                             INTEGER ::= 43
id-RAB-ReleasedList-IuRelComp                   INTEGER ::= 44
id-RAB-RelocationReleaseItem                    INTEGER ::= 45
id-RAB-RelocationReleaseList                    INTEGER ::= 46
id-RAB-SetupItem-RelocReq                       INTEGER ::= 47
id-RAB-SetupItem-RelocReqAck                    INTEGER ::= 48
id-RAB-SetupList-RelocReq                       INTEGER ::= 49
id-RAB-SetupList-RelocReqAck                    INTEGER ::= 50
id-RAB-SetupOrModifiedItem                      INTEGER ::= 51
id-RAB-SetupOrModifiedList                      INTEGER ::= 52
id-RAB-SetupOrModifyItem                        INTEGER ::= 53
id-RAB-SetupOrModifyList                        INTEGER ::= 54
id-RAC                                          INTEGER ::= 55
id-RelocationType                               INTEGER ::= 56
id-RequestType                                  INTEGER ::= 57
id-SAI                                          INTEGER ::= 58
id-SAPI                                         INTEGER ::= 59
id-SourceID                                     INTEGER ::= 60
id-SourceRNC-ToTargetRNC-TransparentContainer   INTEGER ::= 61
id-TargetID                                     INTEGER ::= 62
id-TargetRNC-ToSourceRNC-TransparentContainer   INTEGER ::= 63
id-TemporaryUE-ID                               INTEGER ::= 64
id-TraceReference                               INTEGER ::= 65
id-TraceType                                    INTEGER ::= 66
id-TransportLayerAddress                        INTEGER ::= 67
id-TriggerID                                    INTEGER ::= 68
id-UE-ID                                        INTEGER ::= 69
id-UL-GTP-PDU-SequenceNumber                    INTEGER ::= 70
id-RAB-FailedtoReportItem                       INTEGER ::= 71
id-RAB-FailedtoReportList                       INTEGER ::= 72
```

```
id-KeyStatus                                    INTEGER ::= 75
id-DRX-CycleLengthCoefficient                   INTEGER ::= 76
id-IuSigConIdList                               INTEGER ::= 77
id-IuSigConIdItem                               INTEGER ::= 78
id-IuSigConId                                   INTEGER ::= 79
id-DirectTransferInformationItem-RANAP-RelocInf INTEGER ::= 80
id-DirectTransferInformationList-RANAP-RelocInf INTEGER ::= 81
id-RAB-ContextItem-RANAP-RelocInf               INTEGER ::= 82
id-RAB-ContextList-RANAP-RelocInf               INTEGER ::= 83
id-RAB-ContextFailedtoTransferItem              INTEGER ::= 84
id-RAB-ContextFailedtoTransferList              INTEGER ::= 85
id-GlobalRNC-ID                                 INTEGER ::= 86
id-RAB-ReleasedItem-IuRelComp                   INTEGER ::= 87
id-MessageStructure                             INTEGER ::= 88
id-TypeOfError                                  INTEGER ::= 93

END
```

# A.2 Guidelines for Usage of the Criticality Diagnostics IE

## A.2.1 EXAMPLE MESSAGE Layout

Assume the following message format:

| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|---|---|---|---|---|---|---|
| Message Type | M | | | | YES | reject |
| A | M | | | | YES | reject |
| **B** | M | | | | YES | reject |
| **>E** | | *1..<maxE>* | | | EACH | ignore |
| **>>F** | | *1..<maxF>* | | | - | |
| >>>G | | *0..3, …* | | | EACH | ignore |
| **>>H** | | *1..<maxH>* | | | EACH | ignore |
| >>>G | | *0..3, …* | | | EACH | ignore and notify |
| >>G | M | | | | YES | reject |
| **>>J** | | *1..<maxJ>* | | | - | |
| >>>G | | *0..3, …* | | | EACH | reject |
| **C** | M | | | | YES | reject |
| **>K** | | *1..<maxK>* | | | EACH | ignore and notify |
| **>>L** | | *1..<maxL>* | | | - | |
| >>>M | O | | | | - | |
| D | M | | | | YES | reject |
| | | | | | | |

Note 1. The IEs F, J, and L do not have assigned criticality. The IEs F, J, and L are consequently realised as the ASN.1 type SEQUENCE OF of "ordinary" ASN.1 type, e.g. INTEGER. On the other hand, the repeatable IEs with assigned criticality are realised as the ASN.1 type SEQUENCE OF of an IE object, e.g. ProtocolIE-Container.

For the corresponding ASN.1 layout, see subclause A.2.4.

## A.2.2 Example on a Received EXAMPLE MESSAGE

Assume further more that a received message based on the above tabular format is according to the figure below.

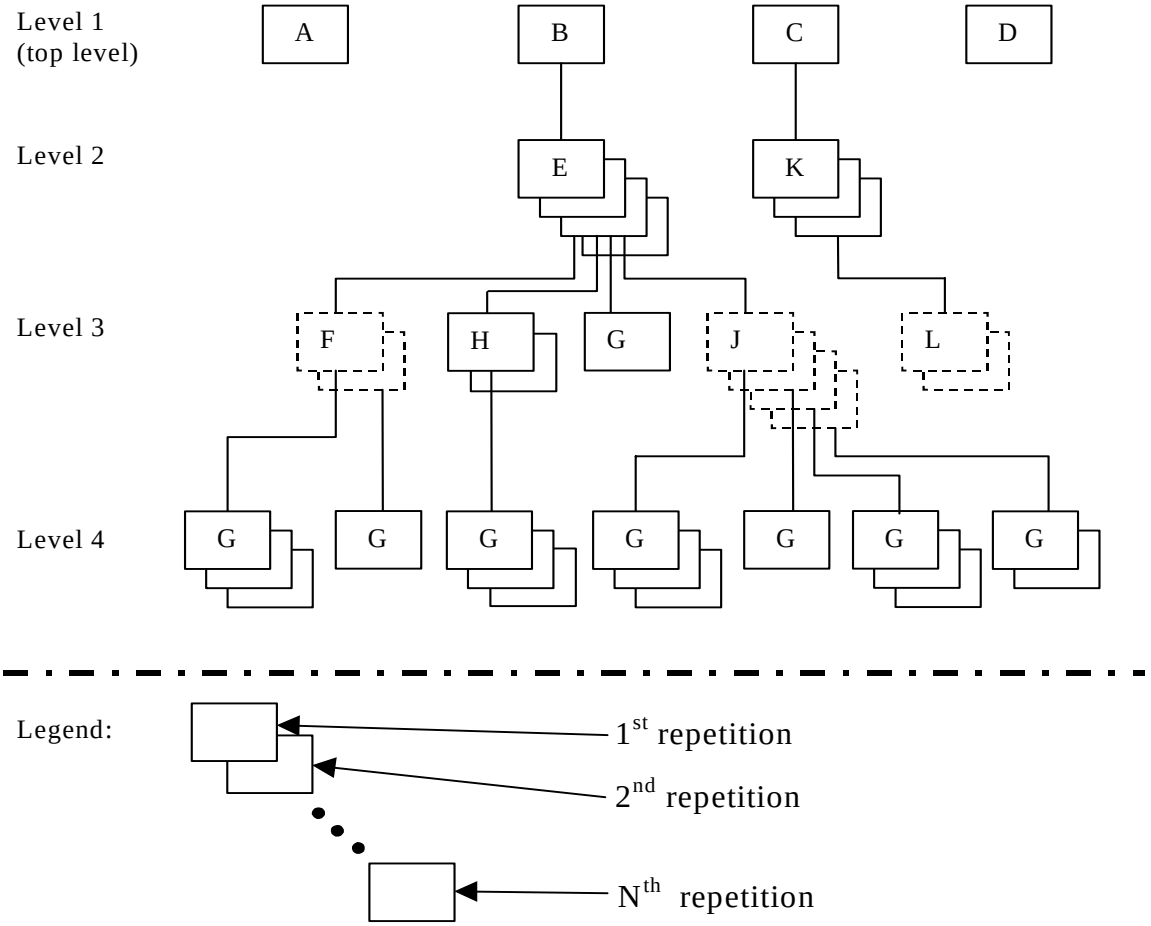



**Figure A.1: Example of content of a received RANAP message based on the EXAMPLE MESSAGE**

## A.2.3 Content of Criticality Diagnostics

### A.2.3.1 Example 1

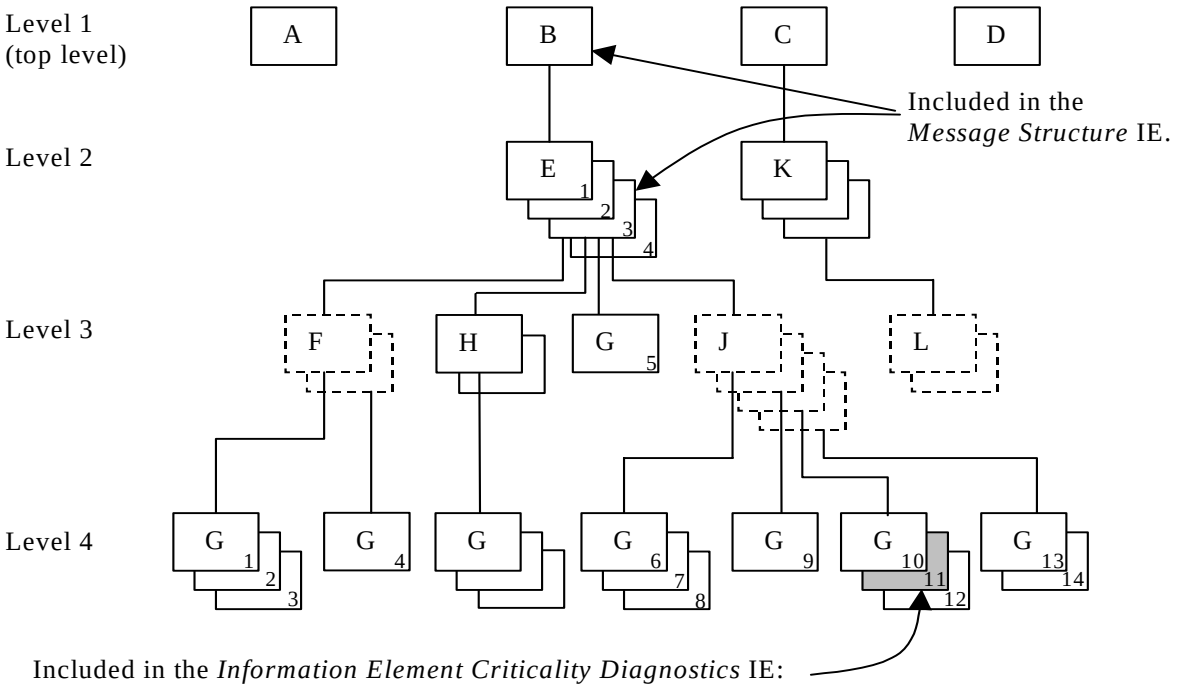



**Figure A.2: Example of a received RANAP message containing a not comprehended IE**

If there is an error within the instance marked as grey in the IE G in the IE J shown in the figure A.2 above, this will be reported within the *Information Element Criticality Diagnostics* IE within the *Criticality Diagnostics* IE as follows:

| IE name | Value | Comment |
|---|---|---|
| IE Criticality | reject | Criticality for IE on the reported level, i.e. level 4. |
| IE ID | id-G | IE ID from the reported level, i.e. level 4. |
| Repetition Number | 11 | Repetition number on the reported level, i.e. level 4.<br>(Since the IE E (level 2) is the lowest level included in the *Message Structure* IE this is the eleventh occurrence of IE G within the IE E (level 2). |
| Type of Error | not understood | |
| Message Structure, *first repetition* | | |
| >IE ID | id-B | IE ID from level 1. |
| Message Structure, *second repetition* | | |
| >IE ID | id-E | IE ID from the lowest level above the reported level, i.e. level 2. |
| >Repetition Number | 3 | Repetition number from the lowest level above the reported level, i.e. level 2. |

Note 2. The IE J on level 3 cannot be included in the *Message Structure* IE since they have no criticality of their own.

Note 3. The repetition number of the reported IE indicates the number of repetitions of IE G received up to the detected erroneous repetition, counting all occurrences of the IE G below the same instance of the previous level with assigned criticality (instance 3 of IE E on level 2).

### A.2.3.2 Example 2

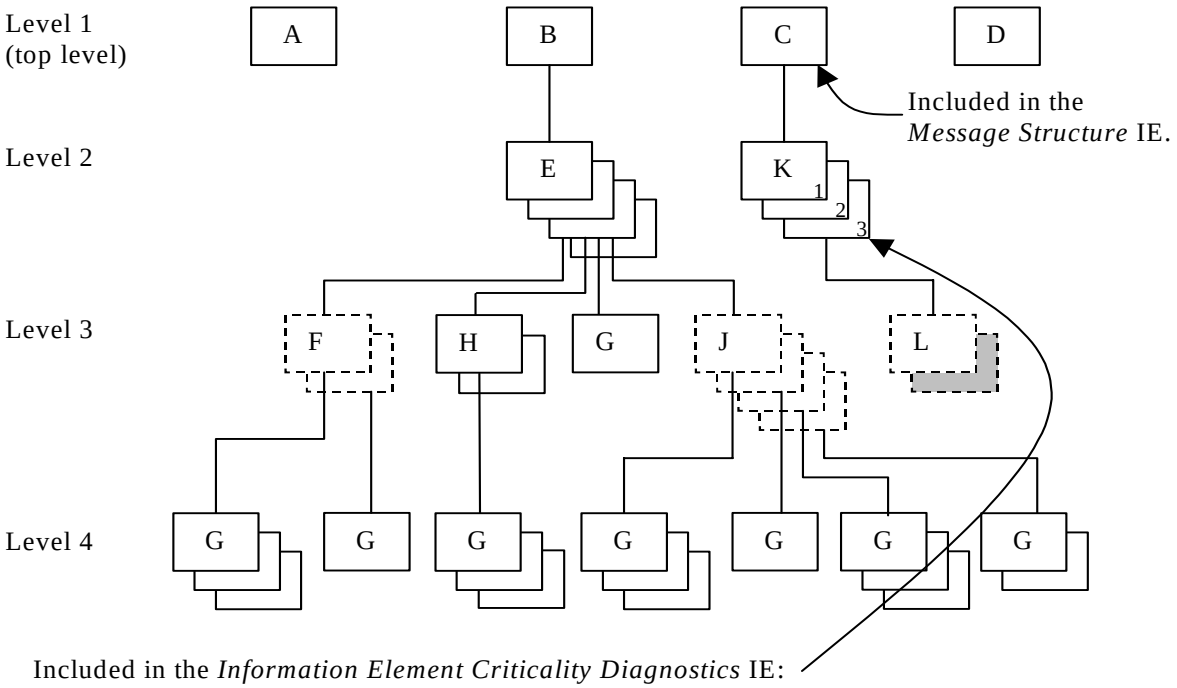


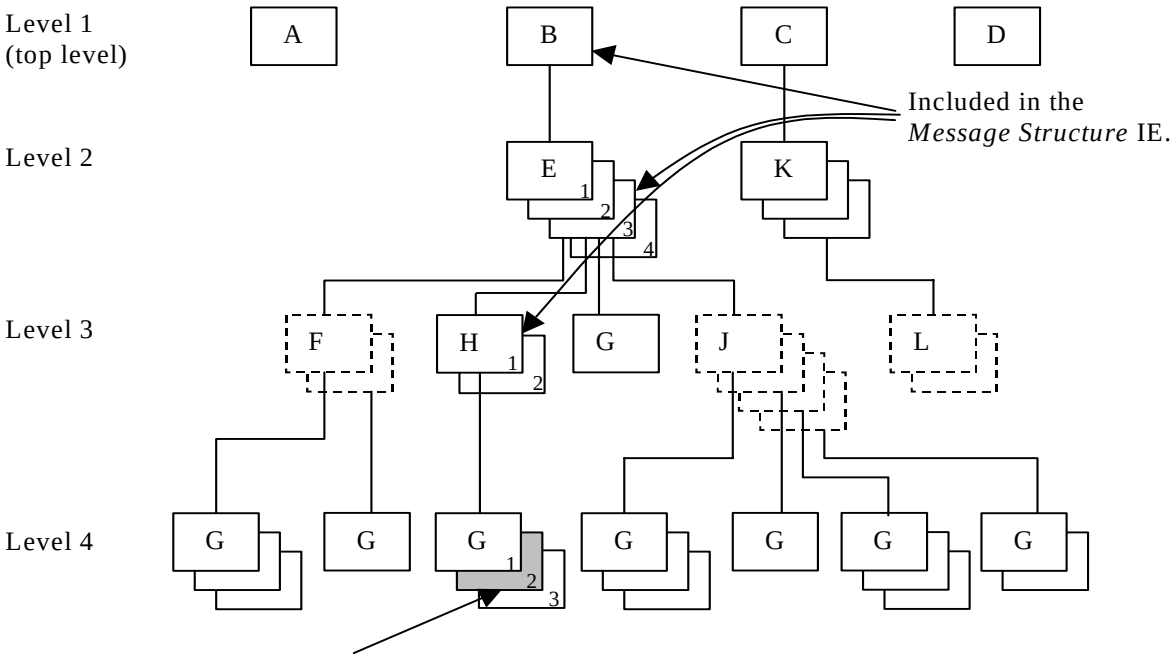

**Figure A.3: Example of a received RANAP message containing a not comprehended IE**

If there is an error within the second instance (marked as grey) in the sequence (IE L in the tabular format) on level 3 below IE K in the structure shown in the figure A.3 above, this will be reported within the *Information Element Criticality Diagnostics* IE within the *Criticality Diagnostics* IE as follows:

| IE name | Value | Comment |
|---|---|---|
| IE Criticality | ignore and notify | Criticality for IE on the reported level, i.e. level 2. |
| IE ID | id-K | IE ID from the reported level, i.e. level 2. |
| Repetition Number | 3 | Repetition number on the reported level, i.e. level 2. |
| Type of Error | not understood | |
| Message Structure, *first repetition* | | |
| >IE ID | id-C | IE ID from the lowest level above the reported level, i.e. level 1. |

Note 4. The IE L on level 3 cannot be reported individually included in the *Message Structure* IE since it has no criticality of its own.

### A.2.3.3 Example 3




Included in the *Information Element Criticality Diagnostics* IE:

a) *IE ID* IE

b) *Repetition Number* IE

**Figure A.4: Example of a received RANAP message containing a not comprehended IE**

If there is an error within the instance marked as grey in the IE G in the IE H shown in the figure A.4 above, this will be reported within the *Information Element Criticality Diagnostics* IE within the *Criticality Diagnostics* IE as follows:

| IE name | Value | Comment |
|---|---|---|
| IE Criticality | reject | Criticality for IE on the reported level, i.e. level 4. |
| IE ID | id-G | IE ID from the reported level, i.e. level 4. |
| Repetition Number | 2 | Repetition number on the reported level, i.e. level 4. |
| Type of Error | not understood | |
| Message Structure, *first repetition* | | |
| >IE ID | id-B | IE ID from level 1. |
| Message Structure, *second repetition* | | |
| >IE ID | id-E | IE ID from level 2. |
| >Repetition Number | 3 | Repetition number from level 2. |
| Message Structure, *third repetition* | | |
| >IE ID | id-H | IE ID from the lowest level above the reported level, i.e. level 3. |
| >Repetition Number | 1 | Repetition number from the lowest level above the reported level, i.e. level 3. |

Note 5. The repetition number of level 4 indicates the number of repetitions of IE G received up to the detected erroneous repetition, counted below the same instance of the previous level with assigned criticality (instance 1 of IE H on level 3).

### A.2.3.4 Example 4

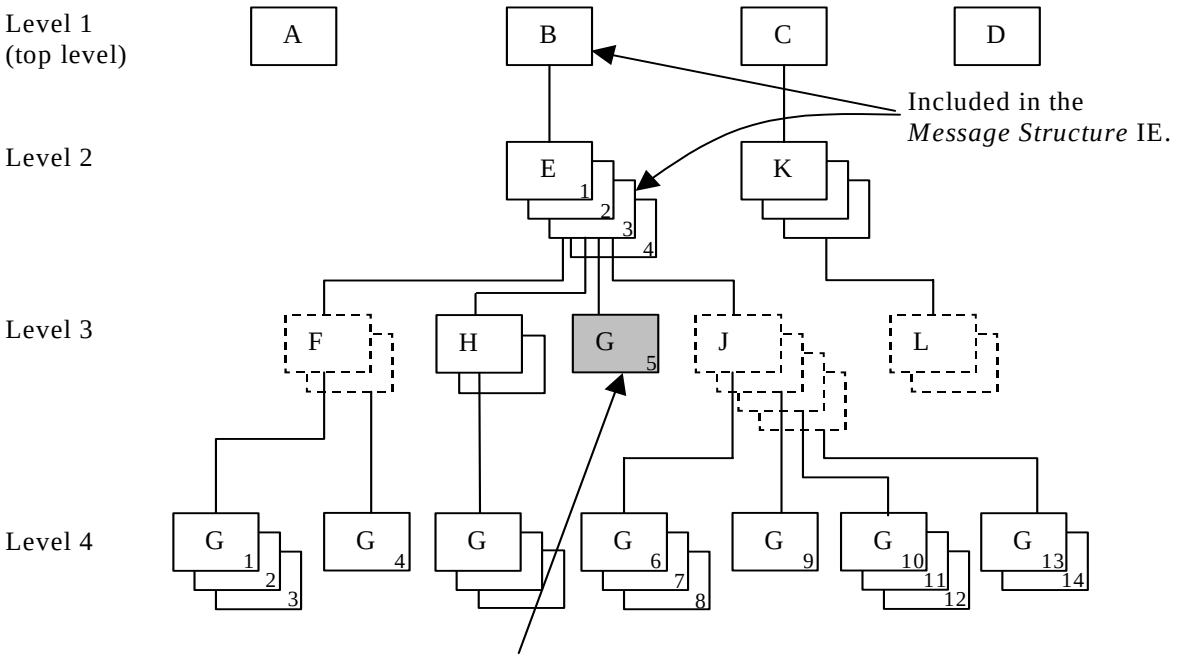



**Figure A.5: Example of a received RANAP message containing a not comprehended IE**

If there is an error within the instance marked as grey in the IE G in the IE E shown in the figure A.5 above, this will be reported within the *Information Element Criticality Diagnostics* IE within the *Criticality Diagnostics* IE as follows:

| IE name | Value | Comment |
|---|---|---|
| IE Criticality | reject | Criticality for IE on the reported level, i.e. level 3. |
| IE ID | id-G | IE ID from the reported level, i.e. level 3. |
| Repetition Number | 5 | Repetition number on the reported level, i.e. level 3.<br>(Since the IE E (level 2) is the lowest level included in the *Message Structure* IE this is the fifth occurrence of IE G within the IE E (level 2). |
| Type of Error | not understood | |
| Message Structure, *first repetition* | | |
| >IE ID | id-B | IE ID from level 1. |
| Message Structure, *second repetition* | | |
| >IE ID | id-E | IE ID from the lowest level above the reported level, i.e. level 2. |
| >Repetition Number | 3 | Repetition number from the lowest level above the reported level, i.e. level 2. |

Note 6. The repetition number of the reported IE indicates the number of repetitions of IE G received up to the detected erroneous repetition, counting all occurrences of the IE G below the same instance of the previous level with assigned criticality (instance 3 of IE E on level 2).

### A.2.3.5 Example 5

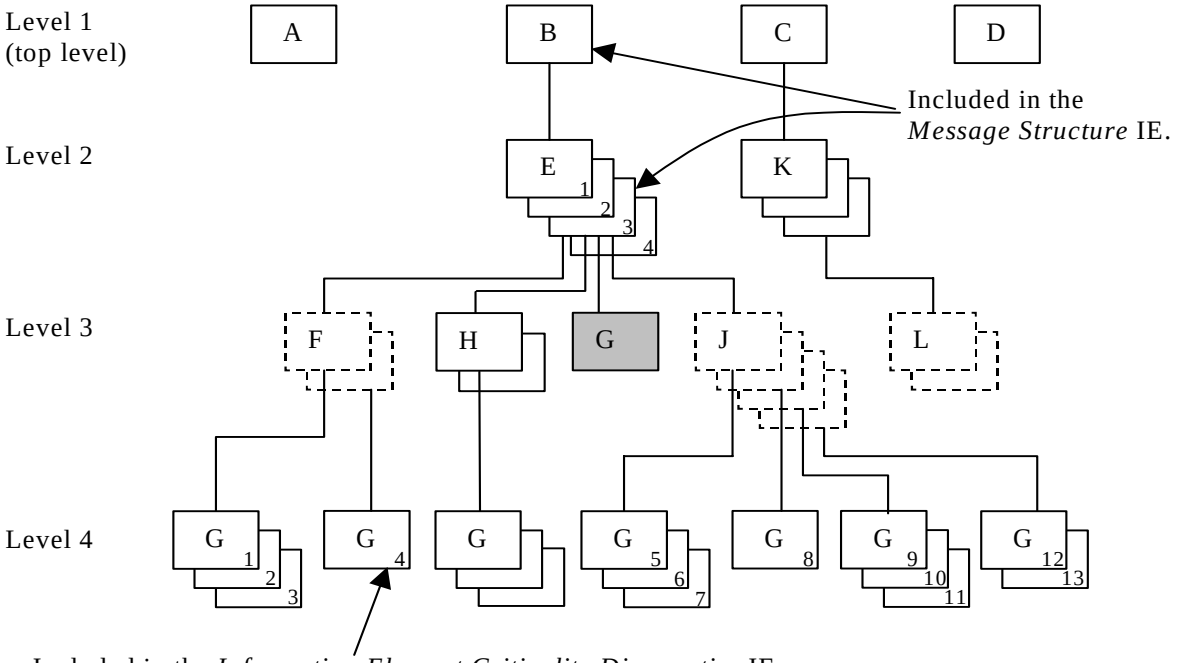



**Figure A.6: Example of a received RANAP message with a missing IE**

If the instance marked as grey in the IE G in the IE E shown in the figure A.6 above, is missing this will be reported within the *Information Element Criticality Diagnostics* IE within the *Criticality Diagnostics* IE as follows:

| IE name | Value | Comment |
|---|---|---|
| IE Criticality | reject | Criticality for IE on the reported level, i.e. level 3. |
| IE ID | id-G | IE ID from the reported level, i.e. level 3. |
| Repetition Number | 4 | Repetition number up to the missing IE on the reported level, i.e. level 3.<br>(Since the IE E (level 2) is the lowest level included in the *Message Structure* IE there have been four occurrences of IE G within the IE E (level 2) up to the missing occurrence. |
| Type of Error | missing | |
| Message Structure, *first repetition* | | |
| >IE ID | id-B | IE ID from level 1. |
| Message Structure, *second repetition* | | |
| >IE ID | id-E | IE ID from the lowest level above the reported level, i.e. level 2. |
| >Repetition Number | 3 | Repetition number from the lowest level above the reported level, i.e. level 2. |

Note 7. The repetition number of the reported IE indicates the number of repetitions of IE G received up to but not including the missing occurrence, counting all occurrences of the IE G below the same instance of the previous level with assigned criticality (instance 3 of IE E on level 2).

## A.2.4 ASN.1 of EXAMPLE MESSAGE

```
ExampleMessage ::= SEQUENCE {
    ProtocolIEs         ProtocolIE-Container        {{ExampleMessage-IEs}},
    ProtocolExtensions  ProtocolExtensionContainer  {{ExampleMessage-Extensions}}   OPTIONAL,
    ...
}

ExampleMessage-IEs RANAP-PROTOCOL-IES ::= {
    { ID id-A   CRITICALITY reject  TYPE A  PRESENCE mandatory} |
    { ID id-B   CRITICALITY reject  TYPE B  PRESENCE mandatory} |
    { ID id-C   CRITICALITY reject  TYPE C  PRESENCE mandatory} |
    { ID id-D   CRITICALITY reject  TYPE D  PRESENCE mandatory} ,
    ...
}

B ::= SEQUENCE {
    e               E-List,
    iE-Extensions   ProtocolExtensionContainer { {B-ExtIEs} }   OPTIONAL,
    ...
}

B-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

E-List ::= SEQUENCE (SIZE (1..maxE)) OF ProtocolIE-Container { {E-IEs} }

E-IEs RANAP-PROTOCOL-IES ::= {
    { ID id-E   CRITICALITY ignore  TYPE E  PRESENCE mandatory  },
    ...
}

E ::= SEQUENCE {
    f               F-List,
    h               H-List,
    g               G-List1,
    j               J-List,
    iE-Extensions   ProtocolExtensionContainer { {E-ExtIEs} }   OPTIONAL,
    ...
}

E-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

F-List ::= SEQUENCE (SIZE (1..maxF)) OF F

F ::= SEQUENCE {
    g               G-List2 OPTIONAL,
    iE-Extensions   ProtocolExtensionContainer { {F-ExtIEs} }   OPTIONAL,
    ...
}

F-ExtIEs    RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

G-List2 ::= SEQUENCE (SIZE (1..3, ...)) OF ProtocolIE-Container { {G2-IEs} }

G2-IEs RANAP-PROTOCOL-IES ::= {
    { ID id-G   CRITICALITY ignore  TYPE G  PRESENCE mandatory  },
    ...
}

H-List ::= SEQUENCE (SIZE (1..maxH)) OF ProtocolIE-Container { {H-IEs} }

H-IEs RANAP-PROTOCOL-IES ::= {
    { ID id-H   CRITICALITY ignore  TYPE H  PRESENCE mandatory  },
    ...
}

H ::= SEQUENCE {
    g               G-List3 OPTIONAL,
    iE-Extensions                   ProtocolExtensionContainer { {H-ExtIEs} } OPTIONAL,
    ...
}

H-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
```

```
    ...
}

G-List3 ::= SEQUENCE (SIZE (1..3, ...)) OF ProtocolIE-Container { {G3-IEs} }

G3-IEs RANAP-PROTOCOL-IES ::= {
    { ID id-G   CRITICALITY notify  TYPE G  PRESENCE mandatory  },
    ...
}

G-List1 ::= ProtocolIE-Container { {G1-IEs} }

G1-IEs RANAP-PROTOCOL-IES ::= {
    { ID id-G   CRITICALITY reject  TYPE G  PRESENCE mandatory  },
    ...
}

J-List ::= SEQUENCE (SIZE (1..maxJ)) OF J

J ::= SEQUENCE {
    g               G-List4 OPTIONAL,
    iE-Extensions   ProtocolExtensionContainer { {J-ExtIEs} }   OPTIONAL,
    ...
}

J-ExtIEs    RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

G-List4 ::= SEQUENCE (SIZE (1..3, ...)) OF ProtocolIE-Container { {G4-IEs} }

G4-IEs RANAP-PROTOCOL-IES ::= {
    { ID id-G   CRITICALITY reject  TYPE G  PRESENCE mandatory  },
    ...
}

C ::= SEQUENCE {
    k               K-List,
    iE-Extensions   ProtocolExtensionContainer { {C-ExtIEs} }   OPTIONAL,
    ...
}

C-ExtIEsA -PROTOCOL-EXTENSION ::= {
    ...
}

K-List ::= SEQUENCE (SIZE (1..maxK)) OF ProtocolIE-Container { {K-IEs} }

K-IEs RANAP-PROTOCOL-IES ::= {
    { ID id-K   CRITICALITY notify  TYPE K  PRESENCE mandatory  },
    ...
}

K ::= SEQUENCE {
    l               L-List,
    iE-Extensions   ProtocolExtensionContainer { {K-ExtIEs} }   OPTIONAL,
    ...
}

K-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

L-List ::= SEQUENCE (SIZE (1..maxL)) OF L

L ::= SEQUENCE {
    m               M   OPTIONAL,
    iE-Extensions   ProtocolExtensionContainer { {L-ExtIEs} }   OPTIONAL,
    ...
}

L-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

ExampleMessage-Extensions RANAP-PROTOCOL-EXTENSION ::= {
    ...
}
```

**3GPP TSG-RAN WG3 Meeting #21** ***R3-011859***
**Busan, Korea, May 21st – 25th, 2001**

*CR-Form-v3*

# CHANGE REQUEST

⌘ **25.413** **CR** **277** ⌘ rev **1** ⌘ Current version: **4.0.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

***Proposed change affects:*** ⌘ (U)SIM ☐ ME/UE ☐ Radio Access Network ☒ Core Network ☒

| | | |
|---|---|---|
| ***Title:*** | ⌘ | Corrections and introduction of an appendix for usage of *Criticality Diagnostics* IE |
| ***Source:*** | ⌘ | R-WG3 |
| ***Work item code:*** | ⌘ | TEI |
| ***Date:*** | ⌘ | 2001-05-16 |
| ***Category:*** | ⌘ | **A** |
| ***Release:*** | ⌘ | REL-4 |

*Use one of the following categories:*
***F*** *(essential correction)*
***A*** *(corresponds to a correction in an earlier release)*
***B*** *(Addition of feature),*
***C*** *(Functional modification of feature)*
***D*** *(Editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

*Use one of the following releases:*
*2 (GSM Phase 2)*
*R96 (Release 1996)*
*R97 (Release 1997)*
*R98 (Release 1998)*
*R99 (Release 1999)*
*REL-4 (Release 4)*
*REL-5 (Release 5)*

***Reason for change:*** ⌘ The *Criticality Diagnostics* IE cannot tell if a reported error is due to a not understood or a missing IE. This needs to be added.
Also the usage of Criticality Diagnostics IE needs to be made easier to understand. An informative annex is thus added.

***Summary of change:*** ⌘ Type of Error is added to the *Criticality Diagnostics* IE and an informative appendix with examples of the usage of *Criticality Diagnostics* IE is also added.

Changes since R3 #20:

- The semantics of the *Repetition Number* IE in the *Criticality Diagnostics* IE and *Message Structure* IE have been improved.
- One figure per example have been included in the Appendix.
- One example on "missing IE" has been included in the Appendix.
- The *Type of Error* IE has been added in the *Information Element Criticality Diagnostics* IE in the *Criticality Diagnostics* IE to allow the reporting of multiple causes to the inclusion of the Criticality Diagnostics IE.
  The main reason for reporting Criticality Diagnostics can be indicated by the *Cause* IE, but the reason may be different for different reported IEs. E.g the main reason my be a missing IE (cause="Abstract Syntax Error (Falsely Constructed Message)") but still there may be a not understood IE reported as well (cause="Abstract Syntax Error (Reject)" or "Abstract Syntax Error (Ignore and Notify)").
- The value range for the *Repetition Number* IE in the *Criticality Diagnostics* IE has been changed from (1..256) to (0..255, …).
- The value range for the *Repetition Number* IE in the *Message Structure* IE has been changed from (1..256) to (1..256, …).

Information for revision 1:

It was recognised, that the addition of the extension marker for the *Repetition Number* IE in the *Criticality Diagnostics* IE and the *Message Structure* IE will lead to a non backwards compatible change, as it e.g. causes an transfer syntax

| | | |
|---|---|---|
| | | (decoder) error if this IE is received by a node of an version which did not implemented this change.<br><br>Correction in ASN.1+removal of ellipsis from the repetition number were performed. |
| ***Consequences if not approved:*** | ⌘ | It will not be possible to know what type of error that is reported, making it difficult to take appropriate actions.<br><br>The proposed change is not backwards compatible due to:<br>• The changes done to the value range for Repetition Number.<br>• The introduction of the possibility to report missing IEs, thus making received information ambiguous for a receiver implemented according to Criticality Diagnostics without this possibility. |

| | | | | | |
|---|---|---|---|---|---|
| ***Clauses affected:*** | ⌘ | 9.2.1.35, 9.2.1.42, 9.3.4, 9.3.6 and Appendix A.2 (new) | | | |
| ***Other specs affected:*** | ⌘ | X | Other core specifications | ⌘ | 25.413 V3.5.0, CR276<br>25.419 V3.4.0, CR035<br>25.419 V4.0.0, CR036<br>25.423 V3.5.0, CR340<br>25.423 V4.0.0, CR341<br>25.433 V3.5.0, CR389<br>25.433 V4.0.0, CR390 |
| | | | Test specifications | | |
| | | | O&M Specifications | | |
| ***Other comments:*** | ⌘ | | | | |

**How to create CRs using this form:**
Comprehensive information and tips about how to create CRs can be found at: http://www.3gpp.org/3G_Specs/CRs.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://www.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.
3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

### 9.2.1.35 Criticality Diagnostics

For further details on how to use the *Criticality Diagnostics* IE, see Annex A.2.

| IE/Group Name | Presence | Range | IE type and reference | Semantics description |
|---|---|---|---|---|
| **Criticality Diagnostics** | | | | |
| >Procedure Code | O | | INTEGER (0..255) | Procedure Code is to be used if Criticality Diagnostics is part of Error Indication procedure, and not within the response message of the same procedure that caused the error |
| >Triggering Message | O | | ENUMERATED(initiating message, successful outcome, unsuccessful outcome, outcome) | The Triggering Message is used only if the Criticality Diagnostics is part of Error Indication procedure. |
| >Procedure Criticality | O | | ENUMERATED(reject, ignore, notify) | This Procedure Criticality is used for reporting the Criticality of the Triggering message (Procedure). The value 'ignore' shall never be used. |
| **Information Element Criticality Diagnostics** | | 0 to <maxnoof errors> | | |
| >IE Criticality | M | | ENUMERATED(reject, ignore, notify) | The IE Criticality is used for reporting the criticality of the triggering IE. The value 'ignore' shall not be used. |
| >IE ID | M | | INTEGER (0..65535) | The IE ID of the not understood or missing IE |
| >Repetition Number | O | | INTEGER (01..2556) | The *Repetition Number* IE gives<br>• in case of a not understood IE: The number of occurrences of the reported IE up to and including the not understood occurrence<br>• in case of a missing IE: The number of occurrences up to but not including the missing occurrence.<br>Note: All the counted occurrences of the reported IE must have the same topdown hierachical message structure of IEs with assigned criticality above them.~~The repetition number of the not understood IE within the bottom most repetition level identified by the message structure IE, if applicable~~ |
| >Message Structure | O | | 9.2.1.42 | The *Message Structure* IE describes the structure where the not understood or missing IE was detected.<br>This IE is included if the not understood IE is not the top level of the message. |
| >Type of Error | M | | ENUMERATED(not | |

| | | | | |
|---|---|---|---|---|
| | | | understood, missing, …) | |

| Range bound | Explanation |
|---|---|
| maxnooferrors | Maximum no. of IE errors allowed to be reported with a single message. The value for maxnooferrors is 256. |

### 9.2.1.42 Message Structure

The *Message Structure* IE gives information for each level with assigned criticality in an hierachical message structure from top level down to the lowest level above the reported level for the occured error (reported in the *Information Element Criticality Diagnostics* IE).

| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|---|---|---|---|---|---|---|
| **Message structure** | | 1 to <maxnooflevels> | | The first repetition of the *Message Structure* IE corresponds to the top level of the message. The last repetition of the *Message Structure* IE corresponds to the level above the reported level for the occured error of the message.~~Information given per level with assigned criticality in an hierachical message structure. Given from top level down to the level above the reported level for the occured error (reported in the *Information Element Criticality Diagnostics* IE).~~ | GLOBAL | ignore |
| >IE ID | M | | INTEGER (0..65535) | The IE ID of this level's IE containing the not understood or missing IE. | - | |
| >Repetition Number | O | | INTEGER (1..256) | The *Repetition Number* IE gives, if applicable, the number of occurrences of this level's reported IE up to and including the occurrence containing the not understood or missing IE.<br><br>Note: All the counted occurrences of the reported IE must have the same topdown hierachical message structure of IEs with assigned criticality above them.~~The repetition number of this level's reported IE, if applicable~~ | - | |

| Range bound | Explanation |
|---|---|
| maxnooflevels | Maximum no. of message levels to report. The value for maxnooflevels is 256. |

## 9.3.4 Information Element Definitions

```
-- **************************************************************
--
-- Information Element Definitions
--
-- **************************************************************

RANAP-IEs {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
umts-Access (20) modules (3) ranap (0) version1 (1) ranap-IEs (2) }

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS
    maxNrOfErrors,
    maxNrOfPDPDirections,
    maxNrOfPoints,
    maxNrOfRABs,
    maxNrOfSeparateTrafficDirections,
    maxRAB-Subflows,
    maxRAB-SubflowCombination,
    maxNrOfLevels,
    maxNrOfAltValues,

    id-MessageStructure,
    id-TypeOfError

FROM RANAP-Constants

    Criticality,
    ProcedureCode,
    ProtocolIE-ID,
    TriggeringMessage
FROM RANAP-CommonDataTypes

    ProtocolExtensionContainer{},
    RANAP-PROTOCOL-EXTENSION
FROM RANAP-Containers;

-- A

AllocationOrRetentionPriority ::= SEQUENCE {
    priorityLevel           PriorityLevel,
    pre-emptionCapability       Pre-emptionCapability,
    pre-emptionVulnerability    Pre-emptionVulnerability,
    queuingAllowed          QueuingAllowed,
    iE-Extensions           ProtocolExtensionContainer { {AllocationOrRetentionPriority-ExtIEs} } OPTIONAL,
    ...
```

```
}

AllocationOrRetentionPriority-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

Alt-RAB-Parameters ::= SEQUENCE {
    altMaxBitrateInf            Alt-RAB-Parameter-MaxBitrateInf                         OPTIONAL,
    altGuaranteedBitRateInf     Alt-RAB-Parameter-GuaranteedBitrateInf                  OPTIONAL,
    iE-Extensions           ProtocolExtensionContainer { {Alt-RAB-Parameters-ExtIEs} }  OPTIONAL,
    ...
}

Alt-RAB-Parameters-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

Alt-RAB-Parameter-GuaranteedBitrateInf ::= SEQUENCE {
    altGuaranteedBitrateType            Alt-RAB-Parameter-GuaranteedBitrateType,
    altGuaranteedBitrates               Alt-RAB-Parameter-GuaranteedBitrates           OPTIONAL
    -- This IE is only present when a value range or discrete values are given --,
    ...
}

Alt-RAB-Parameter-GuaranteedBitrateType ::= ENUMERATED{
    unspecified,
    value-range,
    discrete-values,
    ...
}

Alt-RAB-Parameter-GuaranteedBitrates ::= SEQUENCE (SIZE (1..maxNrOfAltValues)) OF
    Alt-RAB-Parameter-GuaranteedBitrateList


Alt-RAB-Parameter-GuaranteedBitrateList ::= SEQUENCE (SIZE (1..maxNrOfSeparateTrafficDirections)) OF GuaranteedBitrate


Alt-RAB-Parameter-MaxBitrateInf ::= SEQUENCE {
    altMaxBitrateType           Alt-RAB-Parameter-MaxBitrateType,
    altMaxBitrates              Alt-RAB-Parameter-MaxBitrates           OPTIONAL
    -- This IE is only present when a value range or discrete values are given --,
    ...
}

Alt-RAB-Parameter-MaxBitrateType ::= ENUMERATED{
    unspecified,
    value-range,
    discrete-values,
    ...
}

Alt-RAB-Parameter-MaxBitrates ::= SEQUENCE (SIZE (1..maxNrOfAltValues)) OF
```

```
    Alt-RAB-Parameter-MaxBitrateList


Alt-RAB-Parameter-MaxBitrateList ::= SEQUENCE (SIZE (1..maxNrOfSeparateTrafficDirections)) OF MaxBitrate


AreaIdentity ::= CHOICE {
    sAI             SAI,
    geographicalArea        GeographicalArea,
    ...
}

Ass-RAB-Parameters ::= SEQUENCE {
    assMaxBitrateInf            Ass-RAB-Parameter-MaxBitrateList                        OPTIONAL
    -- This IE is only present when RAB QoS Negotiation has been performed for the RAB Parameter in question --,
    assGuaranteedBitRateInf     Ass-RAB-Parameter-GuaranteedBitrateList                 OPTIONAL
    -- This IE is only present when RAB QoS Negotiation has been performed for the RAB Parameter in question --,
    iE-Extensions           ProtocolExtensionContainer { {Ass-RAB-Parameters-ExtIEs} }  OPTIONAL,
    ...
}

Ass-RAB-Parameters-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    ...
}


Ass-RAB-Parameter-GuaranteedBitrateList ::= SEQUENCE (SIZE (1..maxNrOfSeparateTrafficDirections)) OF GuaranteedBitrate


Ass-RAB-Parameter-MaxBitrateList ::= SEQUENCE (SIZE (1..maxNrOfSeparateTrafficDirections)) OF MaxBitrate


-- B

BindingID               ::= OCTET STRING (SIZE (4))

-- C


Cause ::= CHOICE {
    radioNetwork            CauseRadioNetwork,
    transmissionNetwork     CauseTransmissionNetwork,
    nAS             CauseNAS,
    protocol            CauseProtocol,
    misc                CauseMisc,
    non-Standard            CauseNon-Standard,
    ...
}

CauseMisc ::= INTEGER {
    om-intervention (113),
    no-resource-available (114),
    unspecified-failure (115),
```

```
    network-optimisation (116)
} (113..128)

CauseNAS ::= INTEGER {
    user-restriction-start-indication (81),
    user-restriction-end-indication (82),
    normal-release (83)
} (81..96)

CauseProtocol ::= INTEGER {
    transfer-syntax-error (97),
    semantic-error (98),
    message-not-compatible-with-receiver-state (99),
    abstract-syntax-error-reject (100),
    abstract-syntax-error-ignore-and-notify (101),
    abstract-syntax-error-falsely-constructed-message (102)

} (97..112)

CauseRadioNetwork ::= INTEGER {
    rab-pre-empted (1),
    trelocoverall-expiry (2),
    trelocprep-expiry (3),
    treloccomplete-expiry (4),
    tqueing-expiry (5),
    relocation-triggered (6),
    trellocalloc-expiry(7),
    unable-to-establish-during-relocation (8),
    unknown-target-rnc (9),
    relocation-cancelled (10),
    successful-relocation (11),
    requested-ciphering-and-or-integrity-protection-algorithms-not-supported (12),
    change-of-ciphering-and-or-integrity-protection-is-not-supported (13),
    failure-in-the-radio-interface-procedure (14),
    release-due-to-utran-generated-reason (15),
    user-inactivity (16),
    time-critical-relocation (17),
    requested-traffic-class-not-available (18),
    invalid-rab-parameters-value (19),
    requested-maximum-bit-rate-not-available (20),
    requested-guaranteed-bit-rate-not-available (21),
    requested-transfer-delay-not-achievable (22),
    invalid-rab-parameters-combination (23),
    condition-violation-for-sdu-parameters (24),
    condition-violation-for-traffic-handling-priority (25),
    condition-violation-for-guaranteed-bit-rate (26),
    user-plane-versions-not-supported (27),
    iu-up-failure (28),
    relocation-failure-in-target-CN-RNC-or-target-system(29),
    invalid-RAB-ID (30),
    no-remaining-rab (31),
    interaction-with-other-procedure (32),
    requested-maximum-bit-rate-for-dl-not-available (33),
```

```
    requested-maximum-bit-rate-for-ul-not-available (34),
    requested-guaranteed-bit-rate-for-dl-not-available (35),
    requested-guaranteed-bit-rate-for-ul-not-available (36),
    repeated-integrity-checking-failure (37),
    requested-report-type-not-supported (38),
    request-superseded (39),
    release-due-to-UE-generated-signalling-connection-release (40),
    resource-optimisation-relocation (41),
    requested-information-not-available (42),
    relocation-desirable-for-radio-reasons (43),
    relocation-not-supported-in-target-RNC-or-target-system (44),
    directed-retry (45),
    radio-connection-with-UE-Lost (46),
    rNC-unable-to-establish-all-RFCs  (47)
} (1..64)

CauseNon-Standard ::= INTEGER (129..256)

CauseTransmissionNetwork ::= INTEGER {
    signalling-transport-resource-failure (65),
    iu-transport-connection-failed-to-establish (66)
} (65..80)


CriticalityDiagnostics ::= SEQUENCE {
    procedureCode           ProcedureCode           OPTIONAL,
    triggeringMessage       TriggeringMessage       OPTIONAL,
    procedureCriticality        Criticality         OPTIONAL,
    iEsCriticalityDiagnostics       CriticalityDiagnostics-IE-List  OPTIONAL,
    iE-Extensions           ProtocolExtensionContainer { {CriticalityDiagnostics-ExtIEs} } OPTIONAL,
    ...
}

CriticalityDiagnostics-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

CriticalityDiagnostics-IE-List ::= SEQUENCE (SIZE (1..maxNrOfErrors)) OF
    SEQUENCE {
        iECriticality       Criticality,
        iE-ID               ProtocolIE-ID,
        repetitionNumber        RepetitionNumber0       OPTIONAL,
        iE-Extensions           ProtocolExtensionContainer { {CriticalityDiagnostics-IE-List-ExtIEs} } OPTIONAL,
        ...
    }

CriticalityDiagnostics-IE-List-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    {   ID id-MessageStructure  CRITICALITY ignore      EXTENSION MessageStructure  PRESENCE optional   },
    {   ID id-TypeOfError       CRITICALITY ignore      EXTENSION TypeOfError       PRESENCE mandatory  },
    ...
}

MessageStructure ::= SEQUENCE (SIZE (1..maxNrOfLevels)) OF
```

```
    SEQUENCE {
        iE-ID                   ProtocolIE-ID,
        repetitionNumber        RepetitionNumber1       OPTIONAL,
        iE-Extensions           ProtocolExtensionContainer { {MessageStructure-ExtIEs} } OPTIONAL,
        ...
    }


MessageStructure-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    ...
}
```

****** LOTS OF UNAFFECTED ASN.1 DESCRIPTION FROM SECTION 9.3.4 NOT SHOWN ******

```
RelocationType ::= ENUMERATED {
    ue-not-involved,
    ue-involved,
    ...
}

RepetitionNumber0 ::= INTEGER (01..2556)

RepetitionNumber1 ::= INTEGER (1..256)
```

****** LOTS OF UNAFFECTED ASN.1 DESCRIPTION FROM SECTION 9.3.4 NOT SHOWN ******

```
TriggerID               ::= OCTET STRING (SIZE (3..22))

TypeOfError ::= ENUMERATED {
    not-understood,
    missing,
    ...
}

-- U

UE-ID ::= CHOICE {
    imsi                IMSI,
    imei                IMEI,
    ...
}

UL-GTP-PDU-SequenceNumber       ::= INTEGER (0..65535)
```

```
UL-N-PDU-SequenceNumber         ::= INTEGER (0..65535)

UP-ModeVersions             ::= BIT STRING (SIZE (16))

USCH-ID                     ::= INTEGER (0..255)

UserPlaneMode ::= ENUMERATED {
    transparent-mode,
    support-mode-for-predefined-SDU-sizes,
    ...
}

END
```

## 9.3.6 Constant Definitions

```
-- **************************************************************
--
-- Constant definitions
--
-- **************************************************************

RANAP-Constants {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
umts-Access (20) modules (3) ranap (0) version1 (1) ranap-Constants (4) }

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

-- **************************************************************
--
-- Elementary Procedures
--
-- **************************************************************

id-RAB-Assignment                   INTEGER ::= 0
id-Iu-Release                       INTEGER ::= 1
id-RelocationPreparation            INTEGER ::= 2
id-RelocationResourceAllocation     INTEGER ::= 3
id-RelocationCancel                 INTEGER ::= 4
id-SRNS-ContextTransfer             INTEGER ::= 5
id-SecurityModeControl              INTEGER ::= 6
id-DataVolumeReport                 INTEGER ::= 7
id-Reset                            INTEGER ::= 9
id-RAB-ReleaseRequest               INTEGER ::= 10
id-Iu-ReleaseRequest                INTEGER ::= 11
id-RelocationDetect                 INTEGER ::= 12
id-RelocationComplete               INTEGER ::= 13
id-Paging                           INTEGER ::= 14
id-CommonID                         INTEGER ::= 15
id-CN-InvokeTrace                   INTEGER ::= 16
id-LocationReportingControl         INTEGER ::= 17
id-LocationReport                   INTEGER ::= 18
id-InitialUE-Message                INTEGER ::= 19
id-DirectTransfer                   INTEGER ::= 20
id-OverloadControl                  INTEGER ::= 21
id-ErrorIndication                  INTEGER ::= 22
id-SRNS-DataForward                 INTEGER ::= 23
id-ForwardSRNS-Context              INTEGER ::= 24
id-privateMessage                   INTEGER ::= 25
id-CN-DeactivateTrace               INTEGER ::= 26
id-ResetResource                    INTEGER ::= 27
id-RANAP-Relocation                 INTEGER ::= 28
id-RAB-ModifyRequest                INTEGER ::= 29
```

```
-- **************************************************************
--
-- Extension constants
--
-- **************************************************************

maxPrivateIEs                       INTEGER ::= 65535
maxProtocolExtensions               INTEGER ::= 65535
maxProtocolIEs                      INTEGER ::= 65535

-- **************************************************************
--
-- Lists
--
-- **************************************************************

maxNrOfDTs                          INTEGER ::= 15
maxNrOfErrors                       INTEGER ::= 256
maxNrOfIuSigConIds                  INTEGER ::= 250
maxNrOfPDPDirections                INTEGER ::= 2
maxNrOfPoints                       INTEGER ::= 15
maxNrOfRABs                         INTEGER ::= 256
maxNrOfSeparateTrafficDirections    INTEGER ::= 2
maxNrOfVol                          INTEGER ::= 2
maxNrOfLevels                       INTEGER ::= 256
maxNrOfAltValues                    INTEGER ::= 16


maxRAB-Subflows                     INTEGER ::= 7
maxRAB-SubflowCombination           INTEGER ::= 64

-- **************************************************************
--
-- IEs
--
-- **************************************************************

id-AreaIdentity                                 INTEGER ::= 0
id-CN-DomainIndicator                           INTEGER ::= 3
id-Cause                                        INTEGER ::= 4
id-ChosenEncryptionAlgorithm                    INTEGER ::= 5
id-ChosenIntegrityProtectionAlgorithm           INTEGER ::= 6
id-ClassmarkInformation2                        INTEGER ::= 7
id-ClassmarkInformation3                        INTEGER ::= 8
id-CriticalityDiagnostics                       INTEGER ::= 9
id-DL-GTP-PDU-SequenceNumber                    INTEGER ::= 10
id-EncryptionInformation                        INTEGER ::= 11
id-IntegrityProtectionInformation               INTEGER ::= 12
id-IuTransportAssociation                       INTEGER ::= 13
id-L3-Information                               INTEGER ::= 14
id-LAI                                          INTEGER ::= 15
id-NAS-PDU                                      INTEGER ::= 16
```

```
id-NonSearchingIndication                       INTEGER ::= 17
id-NumberOfSteps                                INTEGER ::= 18
id-OMC-ID                                       INTEGER ::= 19
id-OldBSS-ToNewBSS-Information                  INTEGER ::= 20
id-PagingAreaID                                 INTEGER ::= 21
id-PagingCause                                  INTEGER ::= 22
id-PermanentNAS-UE-ID                           INTEGER ::= 23
id-RAB-ContextItem                              INTEGER ::= 24
id-RAB-ContextList                              INTEGER ::= 25
id-RAB-DataForwardingItem                       INTEGER ::= 26
id-RAB-DataForwardingItem-SRNS-CtxReq           INTEGER ::= 27
id-RAB-DataForwardingList                       INTEGER ::= 28
id-RAB-DataForwardingList-SRNS-CtxReq           INTEGER ::= 29
id-RAB-DataVolumeReportItem                     INTEGER ::= 30
id-RAB-DataVolumeReportList                     INTEGER ::= 31
id-RAB-DataVolumeReportRequestItem              INTEGER ::= 32
id-RAB-DataVolumeReportRequestList              INTEGER ::= 33
id-RAB-FailedItem                               INTEGER ::= 34
id-RAB-FailedList                               INTEGER ::= 35
id-RAB-ID                                       INTEGER ::= 36
id-RAB-QueuedItem                               INTEGER ::= 37
id-RAB-QueuedList                               INTEGER ::= 38
id-RAB-ReleaseFailedList                        INTEGER ::= 39
id-RAB-ReleaseItem                              INTEGER ::= 40
id-RAB-ReleaseList                              INTEGER ::= 41
id-RAB-ReleasedItem                             INTEGER ::= 42
id-RAB-ReleasedList                             INTEGER ::= 43
id-RAB-ReleasedList-IuRelComp                   INTEGER ::= 44
id-RAB-RelocationReleaseItem                    INTEGER ::= 45
id-RAB-RelocationReleaseList                    INTEGER ::= 46
id-RAB-SetupItem-RelocReq                       INTEGER ::= 47
id-RAB-SetupItem-RelocReqAck                    INTEGER ::= 48
id-RAB-SetupList-RelocReq                       INTEGER ::= 49
id-RAB-SetupList-RelocReqAck                    INTEGER ::= 50
id-RAB-SetupOrModifiedItem                      INTEGER ::= 51
id-RAB-SetupOrModifiedList                      INTEGER ::= 52
id-RAB-SetupOrModifyItem                        INTEGER ::= 53
id-RAB-SetupOrModifyList                        INTEGER ::= 54
id-RAC                                          INTEGER ::= 55
id-RelocationType                               INTEGER ::= 56
id-RequestType                                  INTEGER ::= 57
id-SAI                                          INTEGER ::= 58
id-SAPI                                         INTEGER ::= 59
id-SourceID                                     INTEGER ::= 60
id-SourceRNC-ToTargetRNC-TransparentContainer   INTEGER ::= 61
id-TargetID                                     INTEGER ::= 62
id-TargetRNC-ToSourceRNC-TransparentContainer   INTEGER ::= 63
id-TemporaryUE-ID                               INTEGER ::= 64
id-TraceReference                               INTEGER ::= 65
id-TraceType                                    INTEGER ::= 66
id-TransportLayerAddress                        INTEGER ::= 67
id-TriggerID                                    INTEGER ::= 68
id-UE-ID                                        INTEGER ::= 69
```

```
id-UL-GTP-PDU-SequenceNumber                    INTEGER ::= 70
id-RAB-FailedtoReportItem                       INTEGER ::= 71
id-RAB-FailedtoReportList                       INTEGER ::= 72
id-KeyStatus                                    INTEGER ::= 75
id-DRX-CycleLengthCoefficient                   INTEGER ::= 76
id-IuSigConIdList                               INTEGER ::= 77
id-IuSigConIdItem                               INTEGER ::= 78
id-IuSigConId                                   INTEGER ::= 79
id-DirectTransferInformationItem-RANAP-RelocInf INTEGER ::= 80
id-DirectTransferInformationList-RANAP-RelocInf INTEGER ::= 81
id-RAB-ContextItem-RANAP-RelocInf               INTEGER ::= 82
id-RAB-ContextList-RANAP-RelocInf               INTEGER ::= 83
id-RAB-ContextFailedtoTransferItem              INTEGER ::= 84
id-RAB-ContextFailedtoTransferList              INTEGER ::= 85
id-GlobalRNC-ID                                 INTEGER ::= 86
id-RAB-ReleasedItem-IuRelComp                   INTEGER ::= 87
id-MessageStructure                             INTEGER ::= 88
id-Alt-RAB-Parameters                           INTEGER ::= 89
id-Ass-RAB-Parameters                           INTEGER ::= 90
id-RAB-ModifyList                               INTEGER ::= 91
id-RAB-ModifyItem                               INTEGER ::= 92
id-TypeOfError                                  INTEGER ::= 93


END
```

# A.2 Guidelines for Usage of the Criticality Diagnostics IE

## A.2.1 EXAMPLE MESSAGE Layout

Assume the following message format:

| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|---|---|---|---|---|---|---|
| Message Type | M | | | | YES | reject |
| A | M | | | | YES | reject |
| **B** | M | | | | YES | reject |
| **>E** | | *1..<maxE>* | | | EACH | ignore |
| **>>F** | | *1..<maxF>* | | | - | |
| >>>G | | *0..3, …* | | | EACH | ignore |
| **>>H** | | *1..<maxH>* | | | EACH | ignore |
| >>>G | | *0..3, …* | | | EACH | ignore and notify |
| >>G | M | | | | YES | reject |
| **>>J** | | *1..<maxJ>* | | | - | |
| >>>G | | *0..3, …* | | | EACH | reject |
| **C** | M | | | | YES | reject |
| **>K** | | *1..<maxK>* | | | EACH | ignore and notify |
| **>>L** | | *1..<maxL>* | | | - | |
| >>>M | O | | | | - | |
| D | M | | | | YES | reject |
| | | | | | | |

Note 1. The IEs F, J, and L do not have assigned criticality. The IEs F, J, and L are consequently realised as the ASN.1 type SEQUENCE OF of "ordinary" ASN.1 type, e.g. INTEGER. On the other hand, the repeatable IEs with assigned criticality are realised as the ASN.1 type SEQUENCE OF of an IE object, e.g. ProtocolIE-Container.

For the corresponding ASN.1 layout, see subclause A.2.4.

## A.2.2 Example on a Received EXAMPLE MESSAGE

Assume further more that a received message based on the above tabular format is according to the figure below.

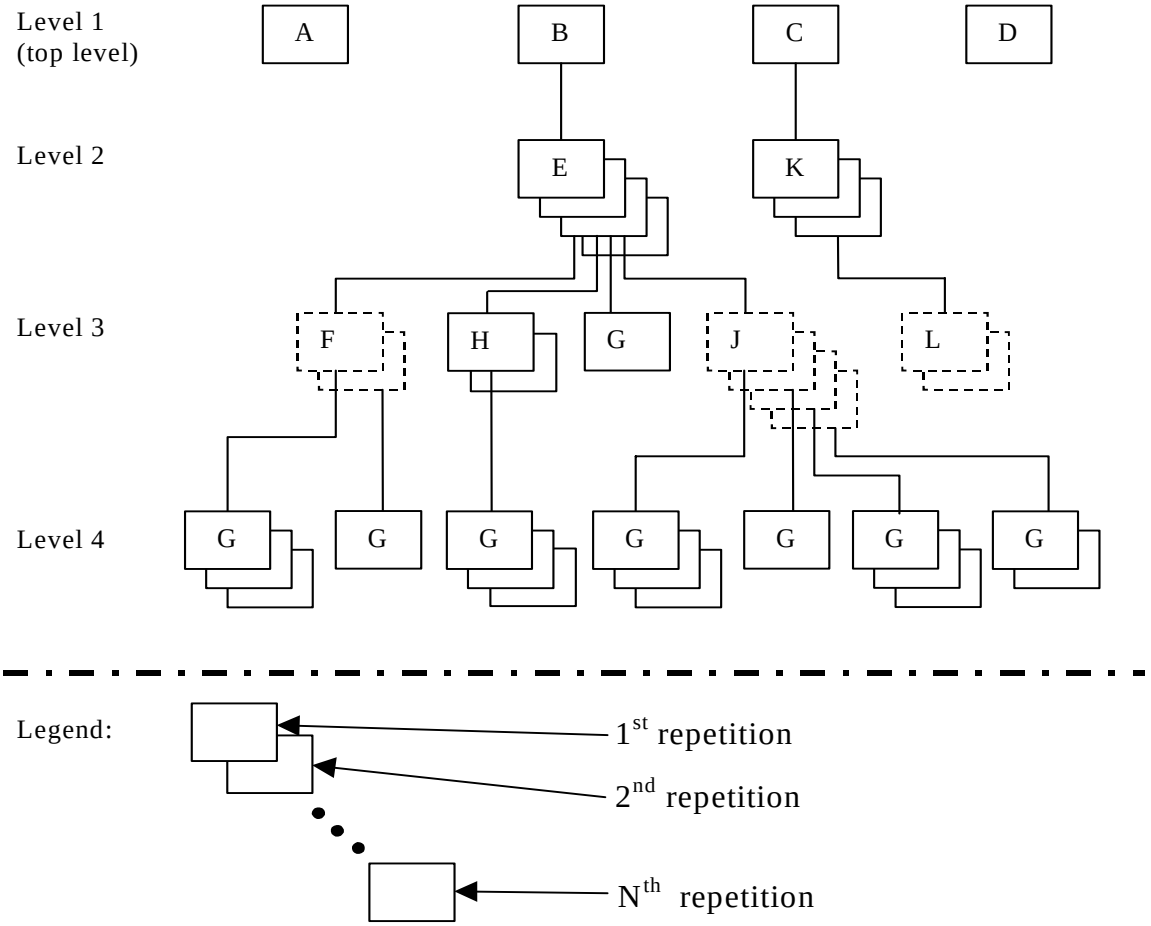



**Figure A.1: Example of content of a received RANAP message based on the EXAMPLE MESSAGE**

## A.2.3 Content of Criticality Diagnostics

### A.2.3.1 Example 1

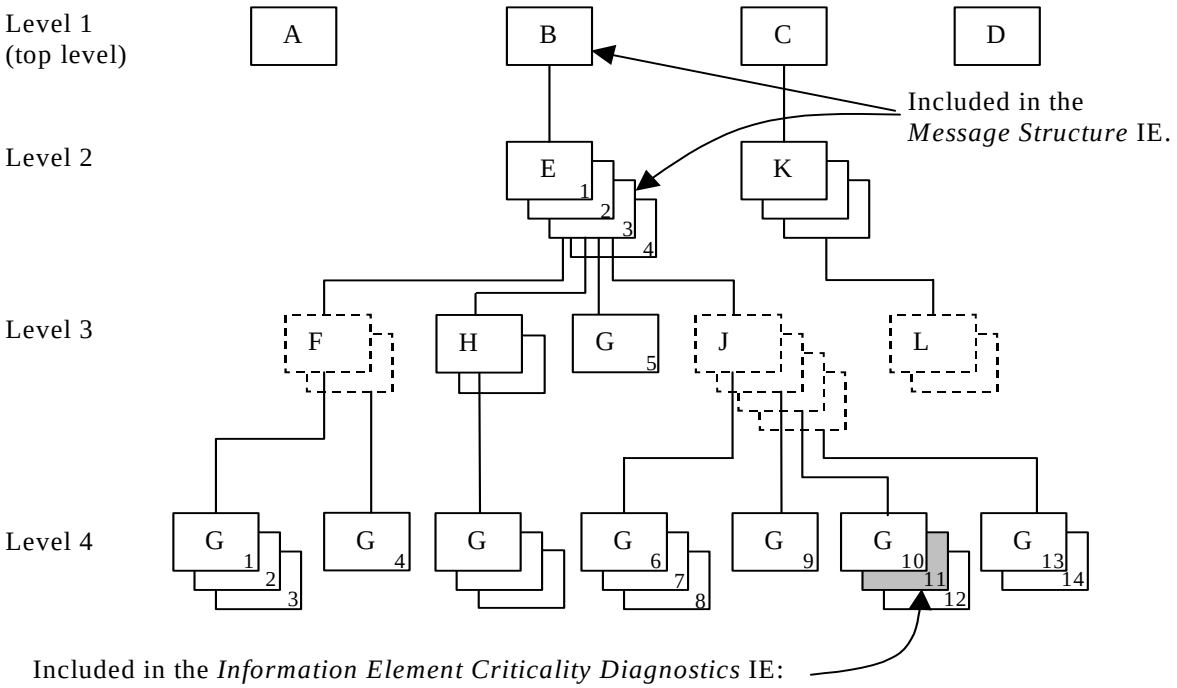



**Figure A.2: Example of a received RANAP message containing a not comprehended IE**

If there is an error within the instance marked as grey in the IE G in the IE J shown in the figure A.2 above, this will be reported within the *Information Element Criticality Diagnostics* IE within the *Criticality Diagnostics* IE as follows:

| IE name | Value | Comment |
|---|---|---|
| IE Criticality | reject | Criticality for IE on the reported level, i.e. level 4. |
| IE ID | id-G | IE ID from the reported level, i.e. level 4. |
| Repetition Number | 11 | Repetition number on the reported level, i.e. level 4.<br>(Since the IE E (level 2) is the lowest level included in the *Message Structure* IE this is the eleventh occurrence of IE G within the IE E (level 2). |
| Type of Error | not understood | |
| Message Structure, *first repetition* | | |
| >IE ID | id-B | IE ID from level 1. |
| Message Structure, *second repetition* | | |
| >IE ID | id-E | IE ID from the lowest level above the reported level, i.e. level 2. |
| >Repetition Number | 3 | Repetition number from the lowest level above the reported level, i.e. level 2. |

Note 2. The IE J on level 3 cannot be included in the *Message Structure* IE since they have no criticality of their own.

Note 3. The repetition number of the reported IE indicates the number of repetitions of IE G received up to the detected erroneous repetition, counting all occurrences of the IE G below the same instance of the previous level with assigned criticality (instance 3 of IE E on level 2).

### A.2.3.2 Example 2

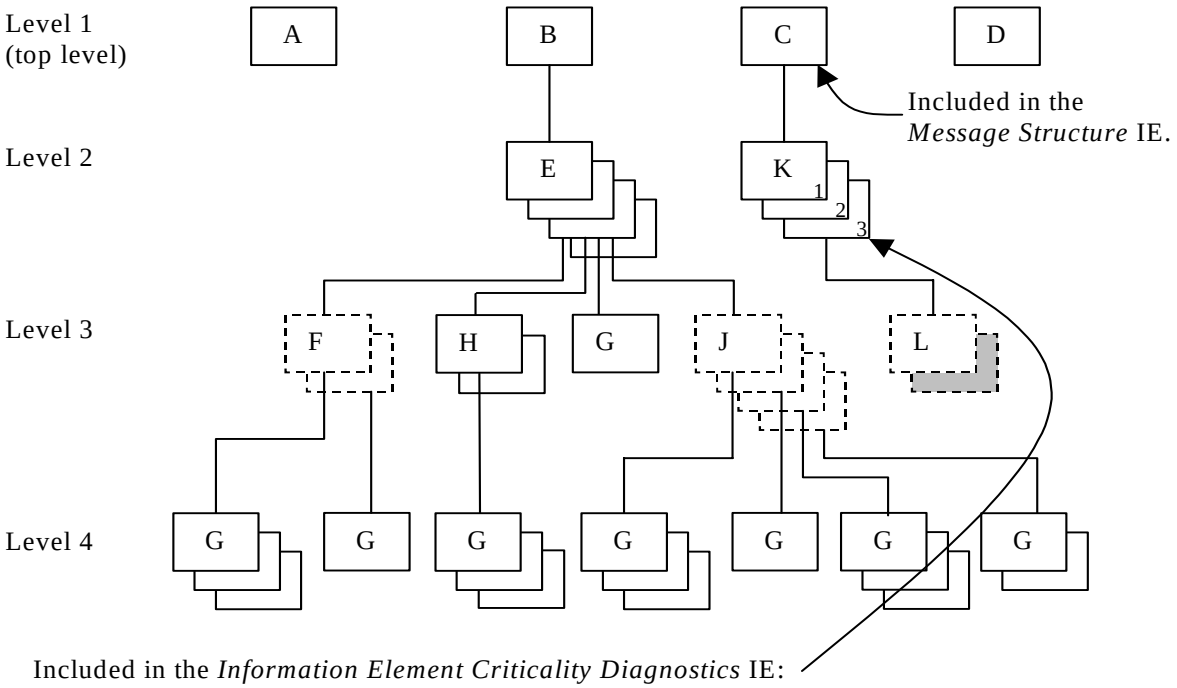


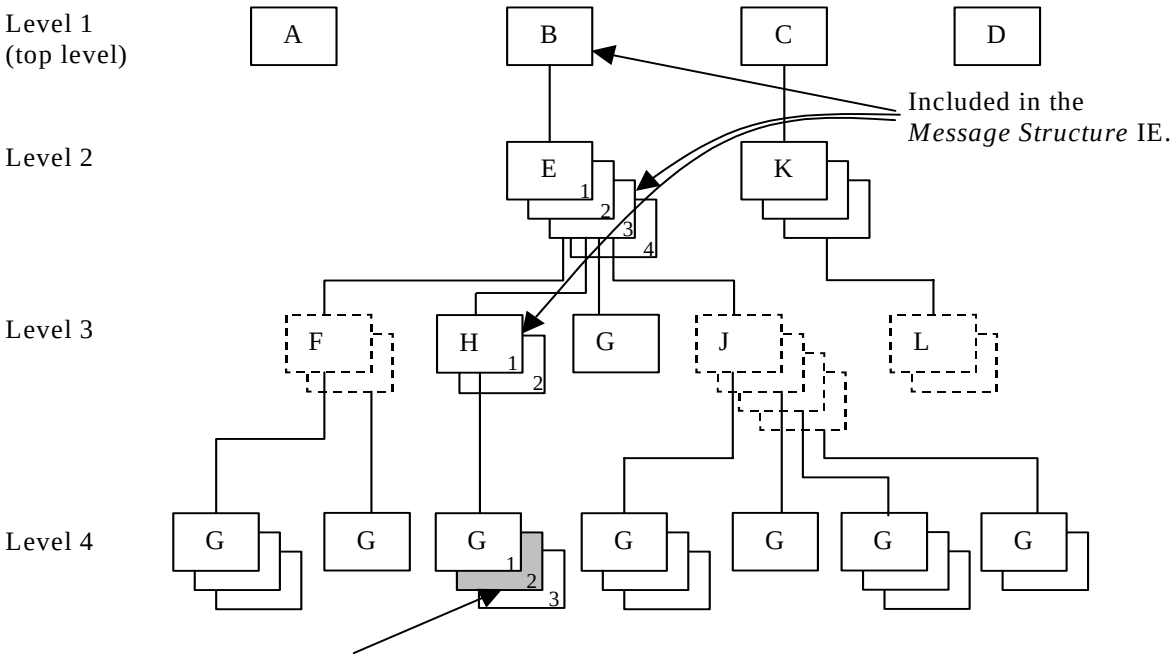

**Figure A.3: Example of a received RANAP message containing a not comprehended IE**

If there is an error within the second instance (marked as grey) in the sequence (IE L in the tabular format) on level 3 below IE K in the structure shown in the figure A.3 above, this will be reported within the *Information Element Criticality Diagnostics* IE within the *Criticality Diagnostics* IE as follows:

| IE name | Value | Comment |
|---|---|---|
| IE Criticality | ignore and notify | Criticality for IE on the reported level, i.e. level 2. |
| IE ID | id-K | IE ID from the reported level, i.e. level 2. |
| Repetition Number | 3 | Repetition number on the reported level, i.e. level 2. |
| Type of Error | not understood | |
| Message Structure, *first repetition* | | |
| >IE ID | id-C | IE ID from the lowest level above the reported level, i.e. level 1. |

Note 4. The IE L on level 3 cannot be reported individually included in the *Message Structure* IE since it has no criticality of its own.

### A.2.3.3 Example 3




Included in the *Information Element Criticality Diagnostics* IE:

a) *IE ID* IE

b) *Repetition Number* IE

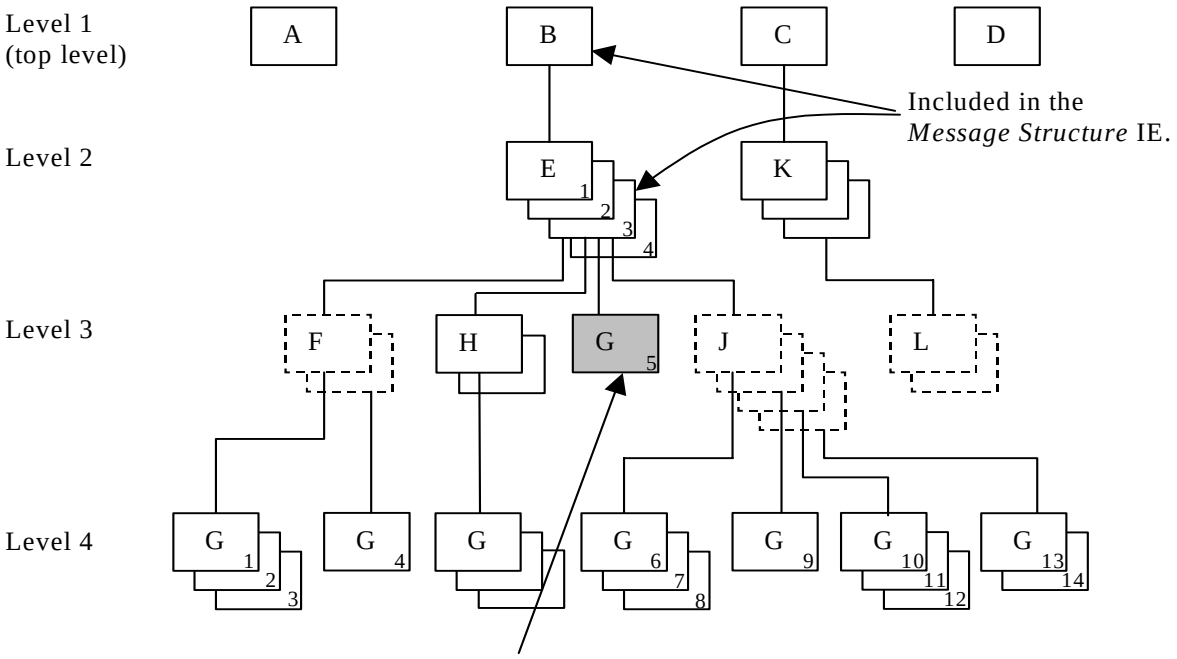
**Figure A.4: Example of a received RANAP message containing a not comprehended IE**

If there is an error within the instance marked as grey in the IE G in the IE H shown in the figure A.4 above, this will be reported within the *Information Element Criticality Diagnostics* IE within the *Criticality Diagnostics* IE as follows:

| IE name | Value | Comment |
|---|---|---|
| IE Criticality | reject | Criticality for IE on the reported level, i.e. level 4. |
| IE ID | id-G | IE ID from the reported level, i.e. level 4. |
| Repetition Number | 2 | Repetition number on the reported level, i.e. level 4. |
| Type of Error | not understood | |
| Message Structure, *first repetition* | | |
| >IE ID | id-B | IE ID from level 1. |
| Message Structure, *second repetition* | | |
| >IE ID | id-E | IE ID from level 2. |
| >Repetition Number | 3 | Repetition number from level 2. |
| Message Structure, *third repetition* | | |
| >IE ID | id-H | IE ID from the lowest level above the reported level, i.e. level 3. |
| >Repetition Number | 1 | Repetition number from the lowest level above the reported level, i.e. level 3. |

Note 5. The repetition number of level 4 indicates the number of repetitions of IE G received up to the detected erroneous repetition, counted below the same instance of the previous level with assigned criticality (instance 1 of IE H on level 3).

### A.2.3.4 Example 4




Included in the *Information Element Criticality Diagnostics* IE:
a) *IE ID* IE
b) *Repetition Number* IE

**Figure A.5: Example of a received RANAP message containing a not comprehended IE**

If there is an error within the instance marked as grey in the IE G in the IE E shown in the figure A.5 above, this will be reported within the *Information Element Criticality Diagnostics* IE within the *Criticality Diagnostics* IE as follows:

| IE name | Value | Comment |
|---|---|---|
| IE Criticality | reject | Criticality for IE on the reported level, i.e. level 3. |
| IE ID | id-G | IE ID from the reported level, i.e. level 3. |
| Repetition Number | 5 | Repetition number on the reported level, i.e. level 3.<br>(Since the IE E (level 2) is the lowest level included in the *Message Structure* IE this is the fifth occurrence of IE G within the IE E (level 2). |
| Type of Error | not understood | |
| Message Structure, *first repetition* | | |
| >IE ID | id-B | IE ID from level 1. |
| Message Structure, *second repetition* | | |
| >IE ID | id-E | IE ID from the lowest level above the reported level, i.e. level 2. |
| >Repetition Number | 3 | Repetition number from the lowest level above the reported level, i.e. level 2. |

Note 6. The repetition number of the reported IE indicates the number of repetitions of IE G received up to the detected erroneous repetition, counting all occurrences of the IE G below the same instance of the previous level with assigned criticality (instance 3 of IE E on level 2).

### A.2.3.5 Example 5

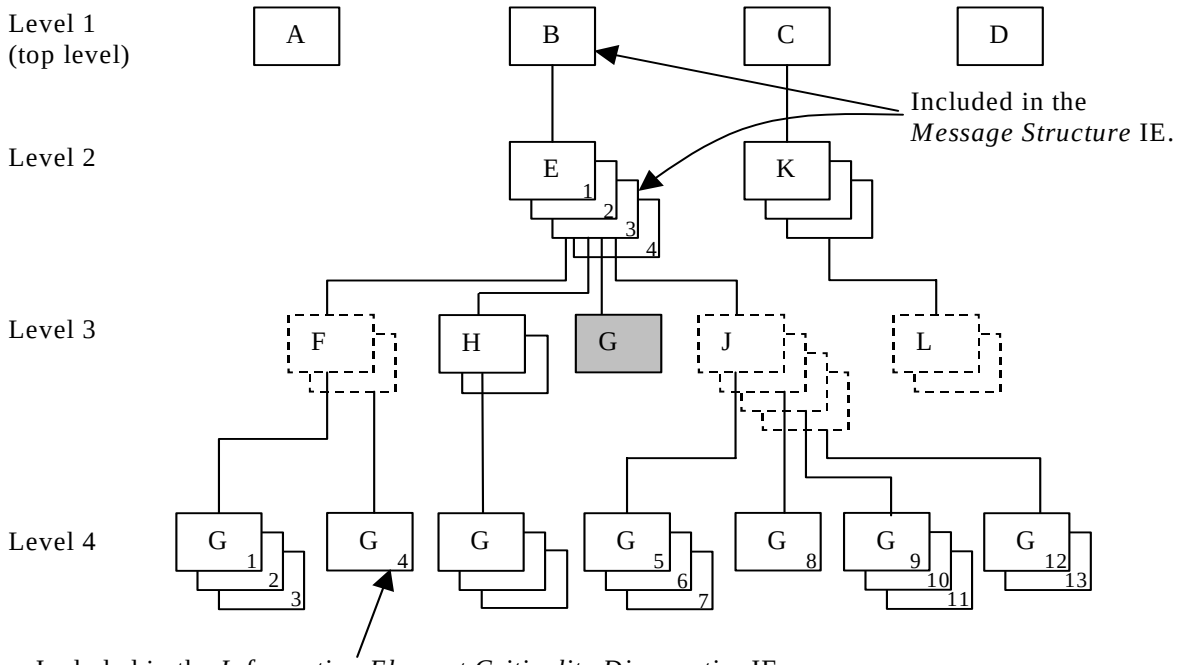



**Figure A.6: Example of a received RANAP message with a missing IE**

If the instance marked as grey in the IE G in the IE E shown in the figure A.6 above, is missing this will be reported within the *Information Element Criticality Diagnostics* IE within the *Criticality Diagnostics* IE as follows:

| IE name | Value | Comment |
|---|---|---|
| IE Criticality | reject | Criticality for IE on the reported level, i.e. level 3. |
| IE ID | id-G | IE ID from the reported level, i.e. level 3. |
| Repetition Number | 4 | Repetition number up to the missing IE on the reported level, i.e. level 3.<br>(Since the IE E (level 2) is the lowest level included in the *Message Structure* IE there have been four occurrences of IE G within the IE E (level 2) up to the missing occurrence. |
| Type of Error | missing | |
| Message Structure, *first repetition* | | |
| >IE ID | id-B | IE ID from level 1. |
| Message Structure, *second repetition* | | |
| >IE ID | id-E | IE ID from the lowest level above the reported level, i.e. level 2. |
| >Repetition Number | 3 | Repetition number from the lowest level above the reported level, i.e. level 2. |

Note 7. The repetition number of the reported IE indicates the number of repetitions of IE G received up to but not including the missing occurrence, counting all occurrences of the IE G below the same instance of the previous level with assigned criticality (instance 3 of IE E on level 2).

## A.2.4 ASN.1 of EXAMPLE MESSAGE

```
ExampleMessage ::= SEQUENCE {
    ProtocolIEs         ProtocolIE-Container        {{ExampleMessage-IEs}},
    ProtocolExtensions  ProtocolExtensionContainer  {{ExampleMessage-Extensions}}   OPTIONAL,
    ...
}

ExampleMessage-IEs RANAP-PROTOCOL-IES ::= {
    { ID id-A   CRITICALITY reject  TYPE A  PRESENCE mandatory} |
    { ID id-B   CRITICALITY reject  TYPE B  PRESENCE mandatory} |
    { ID id-C   CRITICALITY reject  TYPE C  PRESENCE mandatory} |
    { ID id-D   CRITICALITY reject  TYPE D  PRESENCE mandatory} ,
    ...
}

B ::= SEQUENCE {
    e               E-List,
    iE-Extensions   ProtocolExtensionContainer { {B-ExtIEs} }   OPTIONAL,
    ...
}

B-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

E-List ::= SEQUENCE (SIZE (1..maxE)) OF ProtocolIE-Container { {E-IEs} }

E-IEs RANAP-PROTOCOL-IES ::= {
    { ID id-E   CRITICALITY ignore  TYPE E  PRESENCE mandatory  },
    ...
}

E ::= SEQUENCE {
    f               F-List,
    h               H-List,
    g               G-List1,
    j               J-List,
    iE-Extensions   ProtocolExtensionContainer { {E-ExtIEs} }   OPTIONAL,
    ...
}

E-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

F-List ::= SEQUENCE (SIZE (1..maxF)) OF F

F ::= SEQUENCE {
    g               G-List2 OPTIONAL,
    iE-Extensions   ProtocolExtensionContainer { {F-ExtIEs} }   OPTIONAL,
    ...
}

F-ExtIEs    RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

G-List2 ::= SEQUENCE (SIZE (1..3, ...)) OF ProtocolIE-Container { {G2-IEs} }

G2-IEs RANAP-PROTOCOL-IES ::= {
    { ID id-G   CRITICALITY ignore  TYPE G  PRESENCE mandatory  },
    ...
}

H-List ::= SEQUENCE (SIZE (1..maxH)) OF ProtocolIE-Container { {H-IEs} }

H-IEs RANAP-PROTOCOL-IES ::= {
    { ID id-H   CRITICALITY ignore  TYPE H  PRESENCE mandatory  },
    ...
}

H ::= SEQUENCE {
    g               G-List3 OPTIONAL,
    iE-Extensions                   ProtocolExtensionContainer { {H-ExtIEs} } OPTIONAL,
    ...
}

H-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
```

```
    ...
}

G-List3 ::= SEQUENCE (SIZE (1..3, ...)) OF ProtocolIE-Container { {G3-IEs} }

G3-IEs RANAP-PROTOCOL-IES ::= {
    { ID id-G   CRITICALITY notify  TYPE G  PRESENCE mandatory  },
    ...
}

G-List1 ::= ProtocolIE-Container { {G1-IEs} }

G1-IEs RANAP-PROTOCOL-IES ::= {
    { ID id-G   CRITICALITY reject  TYPE G  PRESENCE mandatory  },
    ...
}

J-List ::= SEQUENCE (SIZE (1..maxJ)) OF J

J ::= SEQUENCE {
    g               G-List4 OPTIONAL,
    iE-Extensions   ProtocolExtensionContainer { {J-ExtIEs} }   OPTIONAL,
    ...
}

J-ExtIEs    RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

G-List4 ::= SEQUENCE (SIZE (1..3, ...)) OF ProtocolIE-Container { {G4-IEs} }

G4-IEs RANAP-PROTOCOL-IES ::= {
    { ID id-G   CRITICALITY reject  TYPE G  PRESENCE mandatory  },
    ...
}

C ::= SEQUENCE {
    k               K-List,
    iE-Extensions   ProtocolExtensionContainer { {C-ExtIEs} }   OPTIONAL,
    ...
}

C-ExtIEsA -PROTOCOL-EXTENSION ::= {
    ...
}

K-List ::= SEQUENCE (SIZE (1..maxK)) OF ProtocolIE-Container { {K-IEs} }

K-IEs RANAP-PROTOCOL-IES ::= {
    { ID id-K   CRITICALITY notify  TYPE K  PRESENCE mandatory  },
    ...
}

K ::= SEQUENCE {
    l               L-List,
    iE-Extensions   ProtocolExtensionContainer { {K-ExtIEs} }   OPTIONAL,
    ...
}

K-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

L-List ::= SEQUENCE (SIZE (1..maxL)) OF L

L ::= SEQUENCE {
    m               M   OPTIONAL,
    iE-Extensions   ProtocolExtensionContainer { {L-ExtIEs} }   OPTIONAL,
    ...
}

L-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    ...
}

ExampleMessage-Extensions RANAP-PROTOCOL-EXTENSION ::= {
    ...
}
```

**3GPP TSG-RAN WG3 Meeting #20** ***R3-011323***
**Beijing, China, April 2nd – April 6th, 2001**

*CR-Form-v3*

# CHANGE REQUEST

⌘ **25.413** **CR** **278** ⌘ rev **-** ⌘ Current version: **3.5.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

***Proposed change affects:*** ⌘ (U)SIM ☐ ME/UE ☐ Radio Access Network ☒ Core Network ☒

| | | |
|---|---|---|
| ***Title:*** | ⌘ | Error Indication for reporting of logical error |
| ***Source:*** | ⌘ | R-WG3 |
| ***Work item code:*** | ⌘ | TEI |
| ***Date:*** | ⌘ | 2001-04-23 |
| ***Category:*** | ⌘ | **F** |
| ***Release:*** | ⌘ | R99 |

*Use one of the following categories:*
***F*** *(essential correction)*
***A*** *(corresponds to a correction in an earlier release)*
***B*** *(Addition of feature),*
***C*** *(Functional modification of feature)*
***D*** *(Editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

*Use one of the following releases:*
*2 (GSM Phase 2)*
*R96 (Release 1996)*
*R97 (Release 1997)*
*R98 (Release 1998)*
*R99 (Release 1999)*
*REL-4 (Release 4)*
*REL-5 (Release 5)*

| | | |
|---|---|---|
| ***Reason for change:*** | ⌘ | In clause 10.4 it is not clear that when reporting a logical error with the ERROR INDICATION message, the *Procedure Code* IE and the *Triggering Message* IE within the *Criticality Diagnostics* IE must be included in order to identify the message containing the logical error. |
| ***Summary of change:*** | ⌘ | Text in clause 10.4 is updated in order to clarify that the *Procedure Code* IE and the *Triggering Message* IE within the *Criticality Diagnostics* IE must be included in order to identify the message containing the logical error. |
| ***Consequences if not approved:*** | ⌘ | It will not be clear which information to include in ERROR INDICATION when reporting a logical error, which may lead to different implementations.<br><br>Additional information:<br>The proposed change is backwards compatible. |

| | | | | |
|---|---|---|---|---|
| ***Clauses affected:*** | ⌘ | 9.2.1.35, 10.4 | | |
| ***Other specs affected:*** | ⌘ | ☒ Other core specifications<br>☐ Test specifications<br>☐ O&M Specifications | ⌘ | 25.413 CR279 REL-4 |
| ***Other comments:*** | ⌘ | | | |

**How to create CRs using this form:**
Comprehensive information and tips about how to create CRs can be found at: http://www.3gpp.org/3G_Specs/CRs.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be

downloaded from the 3GPP server under ftp://www.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

### 9.2.1.35 Criticality Diagnostics

The *Criticality Diagnostics* IE is sent by the RNC or the CN when parts of a received message have not been comprehended or were missing, or if the message contained logical errors. When applicable, it contains information about which IEs that were not comprehended or were missing.

| IE/Group Name | Presence | Range | IE type and reference | Semantics description |
|---|---|---|---|---|
| **Criticality Diagnostics** | | | | |
| >Procedure Code | O | | INTEGER (0..255) | Procedure Code is to be used if Criticality Diagnostics is part of Error Indication procedure, and not within the response message of the same procedure that caused the error |
| >Triggering Message | O | | ENUMERATED(initiating message, successful outcome, unsuccessful outcome, outcome) | The Triggering Message is used only if the Criticality Diagnostics is part of Error Indication procedure. |
| >Procedure Criticality | O | | ENUMERATED(reject, ignore, notify) | This Procedure Criticality is used for reporting the Criticality of the Triggering message (Procedure). The value 'ignore' shall never be used. |
| **Information Element Criticality Diagnostics** | | 0 to <maxnoof errors> | | |
| >IE Criticality | M | | ENUMERATED(reject, ignore, notify) | The IE Criticality is used for reporting the criticality of the triggering IE. The value 'ignore' shall not be used. |
| >IE ID | M | | INTEGER (0..65535) | The IE ID of the not understood or missing IE |
| >Repetition Number | O | | INTEGER (1..256) | The repetition number of the not understood IE within the bottom most repetition level identified by the message structure IE, if applicable |
| >Message Structure | O | | 9.2.1.42 | |

| Range bound | Explanation |
|---|---|
| maxnooferrors | Maximum no. of IE errors allowed to be reported with a single message. The value for maxnooferrors is 256. |

## 10.4 Logical Error

Logical error situations occur when a message is comprehended correctly, but the information contained within the message is not valid (i.e. semantic error), or describes a procedure which is not compatible with the state of the receiver. In these conditions, the following behaviour shall be performed (unless otherwise specified) as defined by the class of the elementary procedure, irrespective of the criticality information of the IEs/IE groups containing the erroneous values.

**Class 1:**

Where the logical error occurs in a request message of a class 1 procedure, and the procedure has a failure message, the failure message shall be sent with an appropriate cause value. Typical cause values are:

- Semantic Error.
- Message not compatible with receiver state.

Where the logical error is contained in a request message of a class 1 procedure, and the procedure does not have a failure message, the procedure shall be terminated and the Error Indication procedure shall be initiated with an appropriate cause value. The *Procedure Code* IE and the *Triggering Message* IE within the *Criticality Diagnostics* IE shall then be included in order to identify the message containing the logical error.

Where the logical error exists in a response message of a class 1 procedure, local error handling shall be initiated.

**Class 2:**

Where the logical error occurs in a message of a class 2 procedure, the procedure shall be terminated and the Error Indication procedure shall be initiated with an appropriate cause value. The *Procedure Code* IE and the *Triggering Message* IE within the *Criticality Diagnostics* IE shall then be included in order to identify the message containing the logical error.

**Class 3:**

Where the logical error occurs in a request message of a class 3 procedure, and the procedure has a failure message, the failure message shall be sent with an appropriate cause value. Typical cause values are:

- Semantic Error.
- Message not compatible with receiver state.

Where the logical error is contained in a request message of a class 3 procedure, and the procedure does not have a failure message, the procedure shall be terminated and the Error Indication procedure shall be initiated with an appropriate cause value. The *Procedure Code* IE and the *Triggering Message* IE within the *Criticality Diagnostics* IE shall then be included in order to identify the message containing the logical error.

Where the logical error exists in a response message of a class 3 procedure, local error handling shall be initiated.

**3GPP TSG-RAN WG3 Meeting #20** ***R3-011324***
**Beijing, China, April 2nd – April 6th, 2001**

*CR-Form-v3*

# CHANGE REQUEST

⌘ **25.413** **CR** **279** ⌘ rev **-** ⌘ Current version: **4.0.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

***Proposed change affects:*** ⌘ (U)SIM ☐ ME/UE ☐ Radio Access Network ☒ Core Network ☒

| | | |
|---|---|---|
| ***Title:*** | ⌘ | Error Indication for reporting of logical error |
| ***Source:*** | ⌘ | R-WG3 |
| ***Work item code:*** | ⌘ | TEI |
| ***Date:*** | ⌘ | 2001-04-23 |
| ***Category:*** | ⌘ | **A** |
| ***Release:*** | ⌘ | REL-4 |

*Use one of the following categories:*
***F*** *(essential correction)*
***A*** *(corresponds to a correction in an earlier release)*
***B*** *(Addition of feature),*
***C*** *(Functional modification of feature)*
***D*** *(Editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

*Use one of the following releases:*
*2 (GSM Phase 2)*
*R96 (Release 1996)*
*R97 (Release 1997)*
*R98 (Release 1998)*
*R99 (Release 1999)*
*REL-4 (Release 4)*
*REL-5 (Release 5)*

| | | |
|---|---|---|
| ***Reason for change:*** | ⌘ | In clause 10.4 it is not clear that when reporting a logical error with the ERROR INDICATION message, the *Procedure Code* IE and the *Triggering Message* IE within the *Criticality Diagnostics* IE must be included in order to identify the message containing the logical error. |
| ***Summary of change:*** | ⌘ | Text in clause 10.4 is updated in order to clarify that the *Procedure Code* IE and the *Triggering Message* IE within the *Criticality Diagnostics* IE must be included in order to identify the message containing the logical error. |
| ***Consequences if not approved:*** | ⌘ | It will not be clear which information to include in ERROR INDICATION when reporting a logical error, which may lead to different implementations.<br><br>Additional information:<br>The proposed change is backwards compatible. |

| | | | | |
|---|---|---|---|---|
| ***Clauses affected:*** | ⌘ | 9.2.1.35, 10.4 | | |
| ***Other specs affected:*** | ⌘ | ☒ Other core specifications<br>☐ Test specifications<br>☐ O&M Specifications | ⌘ | 25.413 CR278 R99 |
| ***Other comments:*** | ⌘ | | | |

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2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be

downloaded from the 3GPP server under ftp://www.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

### 9.2.1.35 Criticality Diagnostics

The *Criticality Diagnostics* IE is sent by the RNC or the CN when parts of a received message have not been comprehended or were missing, or if the message contained logical errors. When applicable, it contains information about which IEs that were not comprehended or were missing.

| IE/Group Name | Presence | Range | IE type and reference | Semantics description |
|---|---|---|---|---|
| **Criticality Diagnostics** | | | | |
| >Procedure Code | O | | INTEGER (0..255) | Procedure Code is to be used if Criticality Diagnostics is part of Error Indication procedure, and not within the response message of the same procedure that caused the error |
| >Triggering Message | O | | ENUMERATED(initiating message, successful outcome, unsuccessful outcome, outcome) | The Triggering Message is used only if the Criticality Diagnostics is part of Error Indication procedure. |
| >Procedure Criticality | O | | ENUMERATED(reject, ignore, notify) | This Procedure Criticality is used for reporting the Criticality of the Triggering message (Procedure). The value 'ignore' shall never be used. |
| **Information Element Criticality Diagnostics** | | 0 to <maxnoof errors> | | |
| >IE Criticality | M | | ENUMERATED(reject, ignore, notify) | The IE Criticality is used for reporting the criticality of the triggering IE. The value 'ignore' shall not be used. |
| >IE ID | M | | INTEGER (0..65535) | The IE ID of the not understood or missing IE |
| >Repetition Number | O | | INTEGER (1..256) | The repetition number of the not understood IE within the bottom most repetition level identified by the message structure IE, if applicable |
| >Message Structure | O | | 9.2.1.42 | |

| Range bound | Explanation |
|---|---|
| maxnooferrors | Maximum no. of IE errors allowed to be reported with a single message. The value for maxnooferrors is 256. |

## 10.4 Logical Error

Logical error situations occur when a message is comprehended correctly, but the information contained within the message is not valid (i.e. semantic error), or describes a procedure which is not compatible with the state of the receiver. In these conditions, the following behaviour shall be performed (unless otherwise specified) as defined by the class of the elementary procedure, irrespective of the criticality information of the IEs/IE groups containing the erroneous values.

**Class 1:**

Where the logical error occurs in a request message of a class 1 procedure, and the procedure has a failure message, the failure message shall be sent with an appropriate cause value. Typical cause values are:

- Semantic Error.
- Message not compatible with receiver state.

Where the logical error is contained in a request message of a class 1 procedure, and the procedure does not have a failure message, the procedure shall be terminated and the Error Indication procedure shall be initiated with an appropriate cause value. The *Procedure Code* IE and the *Triggering Message* IE within the *Criticality Diagnostics* IE shall then be included in order to identify the message containing the logical error.

Where the logical error exists in a response message of a class 1 procedure, local error handling shall be initiated.

**Class 2:**

Where the logical error occurs in a message of a class 2 procedure, the procedure shall be terminated and the Error Indication procedure shall be initiated with an appropriate cause value. The *Procedure Code* IE and the *Triggering Message* IE within the *Criticality Diagnostics* IE shall then be included in order to identify the message containing the logical error.

**Class 3:**

Where the logical error occurs in a request message of a class 3 procedure, and the procedure has a failure message, the failure message shall be sent with an appropriate cause value. Typical cause values are:

- Semantic Error.
- Message not compatible with receiver state.

Where the logical error is contained in a request message of a class 3 procedure, and the procedure does not have a failure message, the procedure shall be terminated and the Error Indication procedure shall be initiated with an appropriate cause value. The *Procedure Code* IE and the *Triggering Message* IE within the *Criticality Diagnostics* IE shall then be included in order to identify the message containing the logical error.

Where the logical error exists in a response message of a class 3 procedure, local error handling shall be initiated.

**3GPP TSG-RAN WG3 Meeting #21** ***R3-011331***
**Busan, Korea, May 21st – 25th, 2001**

*CR-Form-v3*

# CHANGE REQUEST

⌘ **25.413** **CR** **280** ⌘ rev **-** ⌘ Current version: **3.5.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

***Proposed change affects:*** ⌘ (U)SIM ☐ ME/UE ☐ Radio Access Network **X** Core Network ☐

| | | |
|---|---|---|
| ***Title:*** | ⌘ | Clarification IEs order rule |
| ***Source:*** | ⌘ | R-WG3 |
| ***Work item code:*** | ⌘ | TEI |
| ***Date:*** | ⌘ | May 2001 |
| ***Category:*** | ⌘ | **F** |
| ***Release:*** | ⌘ | R99 |

*Use one of the following categories:*
***F*** *(essential correction)*
***A*** *(corresponds to a correction in an earlier release)*
***B*** *(Addition of feature),*
***C*** *(Functional modification of feature)*
***D*** *(Editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

*Use one of the following releases:*

| | |
|---|---|
| *2* | *(GSM Phase 2)* |
| *R96* | *(Release 1996)* |
| *R97* | *(Release 1997)* |
| *R98* | *(Release 1998)* |
| *R99* | *(Release 1999)* |
| *REL-4* | *(Release 4)* |
| *REL-5* | *(Release 5)* |

| | | |
|---|---|---|
| ***Reason for change:*** | ⌘ | Introduction of new IEs in the extension containers results in different message contents in different specification versions. To ensure interoperability the receiving node shall be able to interprete correctly messages coming from nodes of higher specification versions. Therefore when determining the right order of the IEs the receiving node shall ignore IEs specified only in the higher specification version and consider only IEs of it's own specification version. |
| ***Summary of change:*** | ⌘ | A clarification to consider only IEs specified in the specification version of the receiving node when determining the right order of the IEs has been added into chapter 'Handling of Unknown, Unforeseen and Erroneous Protocol Data'. |
| ***Consequences if not approved:*** | ⌘ | In case this CR is not approved there might be interoperability problems between nodes of different specification versions.<br><br>This change is backward compatible. |

| | | | | |
|---|---|---|---|---|
| ***Clauses affected:*** | ⌘ | 10.3.6 | | |
| ***Other specs*** | ⌘ | **X** Other core specifications | ⌘ | CR281 Rel4 TS 25.413,<br>CR039 R99 TS 25.419,<br>CR040 Rel4 TS 25.419,<br>CR344 R99 TS 25.423,<br>CR345 Rel4 TS 25.423,<br>CR393 R99 TS 25.433,<br>CR394 Rel4 TS 25.433. |

| | | |
|---|---|---|
| ***affected:*** | ☐ Test specifications<br>☐ O&M Specifications | |
| ***Other comments:*** ⌘ | | |

**How to create CRs using this form:**
Comprehensive information and tips about how to create CRs can be found at: http://www.3gpp.org/3G_Specs/CRs.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://www.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

### 10.3.6 IEs or IE groups received in wrong order or with too many occurrences

If a message with IEs or IE groups in wrong order or with too many occurrences is received, the receiving node shall behave according to the following:

- If a message *initiating* a procedure is received containing IEs or IE groups in wrong order or with too many occurrences, none of the functional requests of the message shall be executed. The receiving node shall reject the procedure and report the cause value "Abstract Syntax Error (Falsely Constructed Message)" using the message normally used to report unsuccessful outcome of the procedure. In case the information received in the initiating message was insufficient to determine a value for all IEs that are required to be present in the message used to report the unsuccessful outcome of the procedure, the receiving node shall instead terminate the procedure and initiate the Error Indication procedure.

- If a message *initiating* a procedure that does not have a message to report unsuccessful outcome is received containing IEs or IE groups in wrong order or with too many occurrences, the receiving node shall terminate the procedure and initiate the Error Indication procedure, and use cause value "Abstract Syntax Error (Falsely Constructed Message)".

- If a *response* message is received containing IEs or IE groups in wrong order or with too many occurrences, the receiving node shall initiate local error handling.

When determining the correct order only the IEs specified in the specification version used by the receiver shall be considered.

**3GPP TSG-RAN WG3 Meeting #21** ***R3-011332***
**Busan, Korea, May 21st – 25th, 2001**

*CR-Form-v3*

# CHANGE REQUEST

⌘ **25.413** **CR 281** ⌘ rev **-** ⌘ Current version: **4.0.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

***Proposed change affects:*** ⌘ (U)SIM ☐ ME/UE ☐ Radio Access Network ☒ Core Network ☐

| | | |
|---|---|---|
| ***Title:*** | ⌘ | Clarification IEs order rule |
| ***Source:*** | ⌘ | R-WG3 |
| ***Work item code:*** | ⌘ | TEI |
| ***Date:*** | ⌘ | May 2001 |
| ***Category:*** | ⌘ | **A** |
| ***Release:*** | ⌘ | REL-4 |

*Use one of the following categories:*
***F*** *(essential correction)*
***A*** *(corresponds to a correction in an earlier release)*
***B*** *(Addition of feature),*
***C*** *(Functional modification of feature)*
***D*** *(Editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

*Use one of the following releases:*
*2 (GSM Phase 2)*
*R96 (Release 1996)*
*R97 (Release 1997)*
*R98 (Release 1998)*
*R99 (Release 1999)*
*REL-4 (Release 4)*
*REL-5 (Release 5)*

| | | |
|---|---|---|
| ***Reason for change:*** | ⌘ | Introduction of new IEs in the extension containers results in different message contents in different specification versions. To ensure interoperability the receiving node shall be able to interprete correctly messages coming from nodes of higher specification versions. Therefore when determining the right order of the IEs the receiving node shall ignore IEs specified only in the higher specification version and consider only IEs of it's own specification version. |
| ***Summary of change:*** | ⌘ | A clarification to consider only IEs specified in the specification version of the receiving node when determining the right order of the IEs has been added into chapter 'Handling of Unknown, Unforeseen and Erroneous Protocol Data'. |
| ***Consequences if not approved:*** | ⌘ | In case this CR is not approved there might be interoperability problems between nodes of different specification versions.<br><br>This change is backward compatible. |

| | | |
|---|---|---|
| ***Clauses affected:*** | ⌘ | 10.3.6 |
| ***Other specs*** | ⌘ | ☒ Other core specifications ⌘ CR280 R99 TS 25.413,<br>CR039 R99 TS 25.419,<br>CR040 Rel4 TS 25.419,<br>CR344 R99 TS 25.423,<br>CR345 Rel4 TS 25.423,<br>CR393 R99 TS 25.433,<br>CR394 Rel4 TS 25.433. |

| | | |
|---|---|---|
| ***affected:*** | ☐ Test specifications<br>☐ O&M Specifications | |
| ***Other comments:*** ⌘ | | |

**How to create CRs using this form:**
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2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://www.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

### 10.3.6 IEs or IE groups received in wrong order or with too many occurrences

If a message with IEs or IE groups in wrong order or with too many occurrences is received, the receiving node shall behave according to the following:

- If a message *initiating* a procedure is received containing IEs or IE groups in wrong order or with too many occurrences, none of the functional requests of the message shall be executed. The receiving node shall reject the procedure and report the cause value "Abstract Syntax Error (Falsely Constructed Message)" using the message normally used to report unsuccessful outcome of the procedure. In case the information received in the initiating message was insufficient to determine a value for all IEs that are required to be present in the message used to report the unsuccessful outcome of the procedure, the receiving node shall instead terminate the procedure and initiate the Error Indication procedure.

- If a message *initiating* a procedure that does not have a message to report unsuccessful outcome is received containing IEs or IE groups in wrong order or with too many occurrences, the receiving node shall terminate the procedure and initiate the Error Indication procedure, and use cause value "Abstract Syntax Error (Falsely Constructed Message)".

- If a *response* message is received containing IEs or IE groups in wrong order or with too many occurrences, the receiving node shall initiate local error handling.

When determining the correct order only the IEs specified in the specification version used by the receiver shall be considered.

**3GPP TSG-RAN WG3 Meeting #20** ***R3-011348***
**Beijing, China, April 2nd – April 6th, 2001**

*CR-Form-v3*

# CHANGE REQUEST

⌘ **25.413** **CR** **284** ⌘ rev **-** ⌘ Current version: **3.5.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

***Proposed change affects:*** ⌘ (U)SIM ☐ ME/UE ☐ Radio Access Network **X** Core Network **X**

| | | |
|---|---|---|
| ***Title:*** | ⌘ | CN Domain Indicator in ERROR INDICATION |
| ***Source:*** | ⌘ | R-WG3 |
| ***Work item code:*** | ⌘ | TEI |
| ***Date:*** | ⌘ | 2001-04-23 |
| ***Category:*** | ⌘ | **F** |
| ***Release:*** | ⌘ | R99 |

*Use one of the following categories:*
***F*** *(essential correction)*
***A*** *(corresponds to a correction in an earlier release)*
***B*** *(Addition of feature),*
***C*** *(Functional modification of feature)*
***D*** *(Editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

*Use one of the following releases:*

| | |
|---|---|
| *2* | *(GSM Phase 2)* |
| *R96* | *(Release 1996)* |
| *R97* | *(Release 1997)* |
| *R98* | *(Release 1998)* |
| *R99* | *(Release 1999)* |
| *REL-4* | *(Release 4)* |
| *REL-5* | *(Release 5)* |

| | | |
|---|---|---|
| ***Reason for change:*** | ⌘ | CN Domain Indicator is optional in ERROR INDICATION. Since CN Domain Indicator shall be included when the message is sent connectionless, it is proposed to change the Presence to indicate this. |
| ***Summary of change:*** | ⌘ | CN Domain Indicator gets Presence: C – ifCL (= if connectionless) |
| ***Consequences if not approved:*** | ⌘ | It will not be clear when to include CN Domain Indicator in ERROR INDICATION.<br><br>Additional information:<br>The proposed change is backwards compatible. |

| | | | | |
|---|---|---|---|---|
| ***Clauses affected:*** | ⌘ | 9.1.41, 9.3.3 | | |
| ***Other specs affected:*** | ⌘ | **X** Other core specifications<br>☐ Test specifications<br>☐ O&M Specifications | ⌘ | 25.413 CR285 REL-4 |
| ***Other comments:*** | ⌘ | | | |

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2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://www.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.
3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 9.1.41 ERROR INDICATION

This message is sent by both the CN and the RNC and is used to indicate that some error has been detected in the node.

Direction: RNC → CN and CN → RNC.

Signalling bearer mode: Connection oriented or connectionless.

| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|---|---|---|---|---|---|---|
| Message Type | M | | 9.2.1.1 | | YES | ignore |
| Cause | C - ifalone | | 9.2.1.4 | | YES | ignore |
| Criticality Diagnostics | C - ifalone | | 9.2.1.35 | | YES | ignore |
| CN Domain Indicator | ~~O~~C - ifCL | | 9.2.1.5 | | YES | ignore |
| Global RNC-ID | C – ifULandCL | | 9.2.1.39 | | YES | ignore |

| Condition | Explanation |
|---|---|
| ifalone | At least either Cause IE or Criticality Diagnostics IE shall be present. |
| ifULandCL | This IE is always used in uplink direction when message is sent connectionless |
| ifCL | This IE is always used when the message is sent connectionless |

## 9.3.3 PDU Definitions

```
-- **************************************************************
--
-- PDU definitions for RANAP.
--
-- **************************************************************
```

****** LOTS OF UNAFFECTED ASN.1 DESCRIPTION FROM SECTION 9.3.3 REMOVED ******

```
-- **************************************************************
--
-- ERROR INDICATION ELEMENTARY PROCEDURE
--
-- **************************************************************

-- **************************************************************
--
-- Error Indication
--
-- **************************************************************

ErrorIndication ::= SEQUENCE {
    protocolIEs         ProtocolIE-Container       { {ErrorIndicationIEs} },
    protocolExtensions      ProtocolExtensionContainer { {ErrorIndicationExtensions} }           OPTIONAL,
    ...
}

ErrorIndicationIEs RANAP-PROTOCOL-IES ::= {
    { ID id-Cause                   CRITICALITY ignore  TYPE Cause                  PRESENCE conditional
    -- At least either of Cause IE or Criticality IE shall be present --                                  } |
    { ID id-CriticalityDiagnostics          CRITICALITY ignore  TYPE CriticalityDiagnostics      PRESENCE conditional
    -- At least either of Cause IE or Criticality IE shall be present --                                  } |
    { ID id-CN-DomainIndicator          CRITICALITY ignore  TYPE CN-DomainIndicator            PRESENCE conditionaloptional
    -- This IE is always used when the message is sent connectionless --                                  } |
    { ID id-GlobalRNC-ID                CRITICALITY ignore  TYPE GlobalRNC-ID               PRESENCE conditional
    -- This IE is always used in the uplink direction when message is sent connectionless --                  },
    ...
}

ErrorIndicationExtensions RANAP-PROTOCOL-EXTENSION ::= {
    ...
}
```

**3GPP TSG-RAN WG3 Meeting #20** ***R3-011349***
**Beijing, China, April 2nd – April 6th, 2001**

CR-Form-v3

# CHANGE REQUEST

⌘ **25.413** **CR** **285** ⌘ rev **-** ⌘ Current version: **4.0.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

***Proposed change affects:*** ⌘ (U)SIM ☐ ME/UE ☐ Radio Access Network ☒ Core Network ☒

| | | |
|---|---|---|
| ***Title:*** | ⌘ | CN Domain Indicator in ERROR INDICATION |
| ***Source:*** | ⌘ | R-WG3 |
| ***Work item code:*** | ⌘ | TEI |
| ***Date:*** | ⌘ | 2001-04-23 |
| ***Category:*** | ⌘ | **A** |
| ***Release:*** | ⌘ | REL-4 |

*Use one of the following categories:*
***F*** *(essential correction)*
***A*** *(corresponds to a correction in an earlier release)*
***B*** *(Addition of feature),*
***C*** *(Functional modification of feature)*
***D*** *(Editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

*Use one of the following releases:*

| | |
|---|---|
| *2* | *(GSM Phase 2)* |
| *R96* | *(Release 1996)* |
| *R97* | *(Release 1997)* |
| *R98* | *(Release 1998)* |
| *R99* | *(Release 1999)* |
| *REL-4* | *(Release 4)* |
| *REL-5* | *(Release 5)* |

| | | |
|---|---|---|
| ***Reason for change:*** | ⌘ | CN Domain Indicator is optional in ERROR INDICATION. Since CN Domain Indicator shall be included when the message is sent connectionless, it is proposed to change the Presence to indicate this. |
| ***Summary of change:*** | ⌘ | CN Domain Indicator gets Presence: C – ifCL (= if connectionless) |
| ***Consequences if not approved:*** | ⌘ | It will not be clear when to include CN Domain Indicator in ERROR INDICATION.<br><br>Additional information:<br>The proposed change is backwards compatible. |

| | | | | |
|---|---|---|---|---|
| ***Clauses affected:*** | ⌘ | 9.1.41, 9.3.3 | | |
| ***Other specs affected:*** | ⌘ | ☒ Other core specifications<br>☐ Test specifications<br>☐ O&M Specifications | ⌘ | 25.413 CR284 R99 |
| ***Other comments:*** | ⌘ | | | |

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3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 9.1.41 ERROR INDICATION

This message is sent by both the CN and the RNC and is used to indicate that some error has been detected in the node.

Direction: RNC → CN and CN → RNC.

Signalling bearer mode: Connection oriented or connectionless.

| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|---|---|---|---|---|---|---|
| Message Type | M | | 9.2.1.1 | | YES | ignore |
| Cause | C - ifalone | | 9.2.1.4 | | YES | ignore |
| Criticality Diagnostics | C - ifalone | | 9.2.1.35 | | YES | ignore |
| CN Domain Indicator | ~~O~~C - ifCL | | 9.2.1.5 | | YES | ignore |
| Global RNC-ID | C – ifULandCL | | 9.2.1.39 | | YES | ignore |

| Condition | Explanation |
|---|---|
| ifalone | At least either Cause IE or Criticality Diagnostics IE shall be present. |
| ifULandCL | This IE is always used in uplink direction when message is sent connectionless |
| ifCL | This IE is always used when the message is sent connectionless |

## 9.3.3 PDU Definitions

```
-- **************************************************************
--
-- PDU definitions for RANAP.
--
-- **************************************************************
```

****** LOTS OF UNAFFECTED ASN.1 DESCRIPTION FROM SECTION 9.3.3 REMOVED ******

```
-- **************************************************************
--
-- ERROR INDICATION ELEMENTARY PROCEDURE
--
-- **************************************************************

-- **************************************************************
--
-- Error Indication
--
-- **************************************************************

ErrorIndication ::= SEQUENCE {
    protocolIEs         ProtocolIE-Container       { {ErrorIndicationIEs} },
    protocolExtensions      ProtocolExtensionContainer { {ErrorIndicationExtensions} }           OPTIONAL,
    ...
}

ErrorIndicationIEs RANAP-PROTOCOL-IES ::= {
    { ID id-Cause                   CRITICALITY ignore  TYPE Cause                  PRESENCE conditional
    -- At least either of Cause IE or Criticality IE shall be present --                                  } |
    { ID id-CriticalityDiagnostics          CRITICALITY ignore  TYPE CriticalityDiagnostics       PRESENCE conditional
    -- At least either of Cause IE or Criticality IE shall be present --                                  } |
    { ID id-CN-DomainIndicator          CRITICALITY ignore  TYPE CN-DomainIndicator            PRESENCE conditional~~optional~~
    -- This IE is always used when the message is sent connectionless --                                  } |
    { ID id-GlobalRNC-ID                CRITICALITY ignore  TYPE GlobalRNC-ID               PRESENCE conditional
    -- This IE is always used in the uplink direction when message is sent connectionless --                  },
    ...
}

ErrorIndicationExtensions RANAP-PROTOCOL-EXTENSION ::= {
    ...
}
```

**3GPP TSG-RAN3 Meeting #21** ***R3-011350***
**Pusan, South Korea, May 21-25, 2001** **Revision of Tdoc R3-011265**

*CR-Form-v3*

# CHANGE REQUEST

⌘ **25.413** **CR** **286** ⌘ rev **-** ⌘ Current version: **3.5.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

***Proposed change affects:*** ⌘ (U)SIM ☐ ME/UE ☐ Radio Access Network ☒ Core Network ☒

| | | |
|---|---|---|
| ***Title:*** | ⌘ | Correction to RAB Release Procedures description |
| ***Source:*** | ⌘ | R-WG3 |
| ***Work item code:*** | ⌘ | TEI |
| ***Date:*** | ⌘ | May 2001 |
| ***Category:*** | ⌘ | **F** |
| ***Release:*** | ⌘ | R99 |

*Use one of the following categories:*
***F*** *(essential correction)*
***A*** *(corresponds to a correction in an earlier release)*
***B*** *(Addition of feature),*
***C*** *(Functional modification of feature)*
***D*** *(Editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

*Use one of the following releases:*
*2 (GSM Phase 2)*
*R96 (Release 1996)*
*R97 (Release 1997)*
*R98 (Release 1998)*
*R99 (Release 1999)*
*REL-4 (Release 4)*
*REL-5 (Release 5)*

***Reason for change:*** ⌘ According to TS 23.060, e.g. in section 9.2.5.1.1, the CN may (re-)establish a RAB after having released it. However TS 25.413 does not specify when the RAB release result (RAB ASSIGNMENT RESPONSE) should be reported, for example whether on receipt of a RAB release request (RAB ASSIGNMENT REQUEST) or on the completion of the release of the associated radio bearer(s). If the reporting is done before the RAB is released by the RNC, then it is possible that the CN requests a RAB (re-)establishment using the same RAB ID as that of the assumed released RAB.

***Summary of change:*** ⌘ It is proposed to add the following sentence in section 8.2.2 :

"*After sending a RAB ASSIGNMENT RESPONSE message containing a RAB ID within the RABs Released IE, the RNC shall be prepared to receive a new establishment request of a RAB identified by the same RAB ID*".

***Consequences if not approved:*** ⌘ It will not be clear that the SRNC must not send RAB ASSIGNMENT RESPONSE (for RABs Released) until the RAB ID can be re-used. This could result in inter-working problems.

Backwards Compatibility Statement : This CR is backward compatible with the intended behaviour of the previous version of RANAP.

***Clauses affected:*** ⌘ 8.2.2

***Other specs affected:*** ⌘
- ☒ Other core specifications ⌘ 25.931 v3.3.0 : CR 009 (R99)
  25.413 v4.0.0 : CR 287 (REL-4)
- ☐ Test specifications
- ☐ O&M Specifications

***Other comments:*** ⌘

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## 8.2.2 Successful Operation

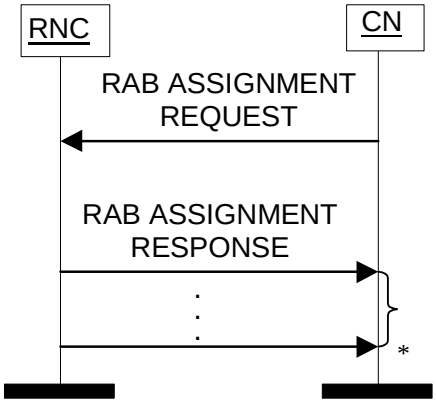


**Figure 1: RAB Assignment procedure. Successful operation.**

The CN shall initiate the procedure by sending a RAB ASSIGNMENT REQUEST message. When sending the RAB ASSIGNMENT REQUEST message, the CN shall start the $T_{RABAssgt}$ timer.

The CN may request UTRAN to:

- establish,
- modify,
- release

one or several RABs with one RAB ASSIGNMENT REQUEST message.

The message shall contain the information required by the UTRAN to build the new RAB configuration, such as:

- list of RABs to establish or modify with their bearer characteristics;
- list of RABs to release.

For each RAB requested to establish, the message shall contain:

- RAB ID.
- RAB parameters (including e.g. Allocation/Retention Priority).
- User Plane Mode Information (i e User Plane Mode and UP Mode Versions).
- Transport Layer Address.
- Iu Transport Association.
- PDP Type Information (only for PS)
- Data Volume Reporting Indication (only for PS).

- DL GTP-PDU sequence number (only when GTP-PDU sequence number is available in cases of handover from GPRS to UMTS or when establishing a RAB for an existing PDP context).

- UL GTP-PDU sequence number (only when GTP-PDU sequence number is available in cases of handover from GPRS to UMTS or when establishing a RAB for an existing PDP context).

- DL N-PDU sequence number (only when N-PDU sequence number is available in case of handover from GPRS to UMTS).

- UL N-PDU sequence number (only when N-PDU sequence number is available in case of handover from GPRS to UMTS).

For each RAB requested to modify, the message may contain:

- RAB ID (mandatory).

- NAS Synchronisation Indicator.

- RAB parameters.

- Transport Layer Address.

- Iu Transport Association.

For each RAB request to release, the message shall contain:

- RAB ID.

- Cause.

Upon reception of the RAB ASSIGNMENT REQUEST message UTRAN shall execute the requested RAB configuration.

The RAB ID shall identify uniquely the RAB for the specific CN domain for the particular UE, which makes the RAB ID unique over the Iu connection on which the RAB ASSIGNMENT REQUEST message is received. When a RAB ID already in use over that particular Iu instance is used, the procedure is considered as modification of that RAB.

The RNC shall pass the contents of *RAB ID* IE to the radio interface protocol for each RAB requested to establish or modify.

The RNC shall establish or modify the resources according to the values of the *Allocation/Retention Priority* IE (priority level, pre-emption indicators, queuing) and the resource situation as follows:

- The RNC shall consider the priority level of the requested RAB, when deciding on the resource allocation.

- If the requested RAB is allowed for queuing and the resource situation so requires, RNC may place the RAB in the establishment queue.

- The priority levels and the pre-emption indicators may (singularly or in combination) be used to determine whether the RAB assignment has to be performed unconditionally and immediately. If the requested RAB is marked as "may trigger pre-emption" and the resource situation so requires, RNC may trigger the pre-emption procedure which may then cause the forced release of a lower priority RAB which is marked as "pre-emptable". Whilst the process and the extent of the pre-emption procedure is operator dependent, the pre-emption indicators, if given in the RAB ASSIGNMENT REQUEST message, shall be treated as follows:

1. The values of the last received *Pre-emption Vulnerability* IE and *Priority Level* IE shall prevail.

2. If the *Pre-emption Capability* IE is set to "may trigger pre-emption", then this allocation request may trigger the pre-emption procedure.

3. If the *Pre-emption Capability* IE is set to "shall not trigger pre-emption", then this allocation request shall not trigger the pre-emption procedure.

4. If the *Pre-emption Vulnerability* IE is set to "pre-emptable", then this connection shall be included in the pre-emption process.

5. If the *Pre-emption Vulnerability* IE is set to "not pre-emptable", then this connection shall not be included in the pre-emption process.

6. If the *Priority Level* IE is set to "no priority used" the given values for the *Pre-emption Capability* IE and *Pre-emption Vulnerability* IE shall not be considered. Instead the values "shall not trigger pre-emption" and "not pre-emptable" shall prevail.

- If the *Allocation/Retention Priority* IE is not given in the RAB ASSIGNMENT REQUEST message, the allocation request shall not trigger the pre-emption process and the connection may be pre-empted and considered to have the value "lowest" as priority level. Moreover, queuing shall not be allowed.

- The UTRAN pre-emption process shall keep the following rules:

  1. UTRAN shall only pre-empt RABs with lower priority, in ascending order of priority.

  2. The pre-emption may be done for RABs belonging to the same UE or to other UEs.

If the *NAS Synchronisation Indicator* IE is contained in the RAB ASSIGNMENT REQUEST message, the RNC shall pass it to the radio interface protocol for the transfer to the UE.

If the RAB ASSIGNMENT REQUEST message includes the *PDP Type Information* IE, the UTRAN may use this to configure any compression algorithms.

If the *Service Handover* IE is included, this tells if the RAB

- should be handed over to GSM, i.e. from NAS point of view, the RAB should be handed over to GSM as soon as possible although the final decision whether to perform a handover to GSM is still made in UTRAN.
- should not be handed over to GSM, i.e. from NAS point of view, the RAB should remain in UMTS as long as possible although the final decision whether to perform a handover to GSM is still made in UTRAN.
- shall not be handed over to GSM, i.e. the RAB shall never be handed over to GSM. This means that UTRAN shall not initiate handover to GSM for the UE unless the RABs with this indication have first been released with the normal release procedures.

The value of the *Service Handover* IE is valid throughout the lifetime of the RAB or until changed by a RAB modification.

The *Service Handover* IE shall only influence decisions made regarding UTRAN initiated handovers.

If the *Service Handover* IE is not included, the decision whether to perform a handover to GSM is only an internal UTRAN matter.

UTRAN shall report to CN, in the first RAB ASSIGNMENT RESPONSE message, the result for all the requested RABs, such as:

- List of RABs successfully established or modified.
- List of RABs released.
- List of RABs queued.
- List of RABs failed to establish or modify.
- List of RABs failed to release.

UTRAN shall report the outcome of a specific RAB to establish or modify only after the transport network control plane signalling, which is needed for RAB establishment or modification, has been executed. The transport network control plane signalling shall use the *Transport Layer Address* IE and *Iu Transport Association* IE. At a RAB modification, it is up to the RNC to decide if any transport network control plane signalling shall be performed for the possibly included *Transport Layer Address* IE and *Iu Transport Association* IE or if the already existing transport bearer shall be used. If the RNC decides to establish a new transport bearer, then the switch over to this new transport bearer shall be done immediately after transport bearer establishment and initialisation of the user plane mode.

Before reporting the outcome of a specific RAB to establish or modify, the RNC shall have executed the initialisation of the user plane mode as requested by the CN in the *User Plane Mode* IE. This initialisation is described in ref.[6].

In case of establishment of a RAB for the PS domain, the CN must be prepared to receive user data before the RAB ASSIGNMENT RESPONSE message has been received.

If none of the RABs have been queued, the CN shall stop timer $T_{RABAssgt.}$ And the RAB Assignment procedure terminates. In that case, the procedure shall also be terminated in UTRAN.

When the request to establish or modify one or several RABs is put in the queue, UTRAN shall start the timer $T_{QUEUING}$. This timer specifies the maximum time for queuing of the request of establishment or modification. The same timer $T_{QUEUING}$ is supervising all RABs being queued.

For each RAB that is queued the following outcomes shall be possible:

- successfully established or modified;
- failed to establish or modify;
- failed due to expiry of the timer $T_{QUEUING}$.

For the queued RABs, indicated in the first RAB ASSIGNMENT RESPONSE message, UTRAN shall report the outcome of the queuing for every RAB individually or for several RABs in subsequent RAB ASSIGNMENT RESPONSE message(s). This is left to implementation. UTRAN shall stop $T_{QUEUING}$ when all RABs have been either successfully established or modified or failed to establish or modify. The RAB Assignment procedure is then terminated both in CN and UTRAN when all RABs have been responded to.

When CN receives the response that one or several RABs are queued, CN shall expect UTRAN to provide the outcome of the queuing function for each RAB before expiry of the $T_{RABAssgt}$ timer. In case the timer $T_{RABAssgt}$ expires, the CN shall consider the RAB Assignment procedure terminated and the RABs not reported shall be considered as failed.

In the case the timer $T_{QUEUING}$ expires, the RAB Assignment procedure terminates in UTRAN for all queued RABs, and UTRAN shall respond for all of them in one RAB ASSIGNMENT RESPONSE message. The RAB Assignment procedure shall also be terminated in CN.

In case a request to modify or release a RAB contains the RAB ID of a RAB being queued, the RAB shall be taken out of the queue and treated according to the second request. The first request shall be responded to as a RAB failed to setup or modify with the cause value "Request superseded".

When UTRAN reports unsuccessful establishment/modification of a RAB, the cause value should be precise enough to enable the core network to know the reason for unsuccessful establishment/modification. Typical cause values are: "Requested Traffic Class not Available", "Invalid RAB Parameters Value", "Requested Maximum Bit Rate not Available", "Requested Maximum Bit Rate for DL not Available", "Requested Maximum Bit Rate for UL not Available", "Requested Guaranteed Bit Rate not Available", "Requested Guaranteed Bit Rate for DL not Available", "Requested Guaranteed Bit Rate for UL not Available", "Requested Transfer Delay not Achievable", "Invalid RAB Parameters Combination", "Condition Violation for SDU Parameters", "Condition Violation for Traffic Handling Priority", "Condition Violation for Guaranteed Bit Rate", "User Plane Versions not Supported", "Iu UP Failure", “Iu Transport Connection Failed to Establish”.

If the RAB ID of a RAB requested to be released is unknown in the RNC, this shall be reported as a RAB failed to release with the cause value "Invalid RAB ID".

The RNC may indicate an impending directed retry attempt to GSM by sending RAB ASSIGNMENT RESPONSE message with a RAB ID included in the list of RABs failed to setup and a cause value of “Directed Retry”.

The RNC shall be prepared to receive a RAB ASSIGNMENT REQUEST message containing a *RABs To Be Released* IE at any time and shall always reply to it. If there is an ongoing RAB Assignment procedure for a RAB indicated within the *RABs To Be Released* IE, the RNC shall discard the preceding RAB Assignment procedure for that specific RAB, release any related resources and report the released RAB within the RAB ASSIGNMENT RESPONSE message.

After sending RAB ASSIGNMENT RESPONSE message containing RAB ID within the *RABs Released* IE, the RNC shall be prepared to receive new establishment request of a RAB identified by the same RAB ID.

**3GPP TSG-RAN3 Meeting #21** ***R3-011351***
**Pusan, South Korea, May 21-25, 2001**

*CR-Form-v3*

# CHANGE REQUEST

⌘ **25.413** **CR** **287** ⌘ rev **-** ⌘ Current version: **4.0.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

***Proposed change affects:*** ⌘ (U)SIM ☐ ME/UE ☐ Radio Access Network **X** Core Network **X**

| | | |
|---|---|---|
| ***Title:*** | ⌘ | Correction to RAB Release Procedures description |
| ***Source:*** | ⌘ | R-WG3 |
| ***Work item code:*** | ⌘ | TEI |
| ***Date:*** | ⌘ | May 2001 |
| ***Category:*** | ⌘ | **A** |
| ***Release:*** | ⌘ | REL-4 |

*Use one of the following categories:*
***F*** *(essential correction)*
***A*** *(corresponds to a correction in an earlier release)*
***B*** *(Addition of feature),*
***C*** *(Functional modification of feature)*
***D*** *(Editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

*Use one of the following releases:*

| | |
|---|---|
| *2* | *(GSM Phase 2)* |
| *R96* | *(Release 1996)* |
| *R97* | *(Release 1997)* |
| *R98* | *(Release 1998)* |
| *R99* | *(Release 1999)* |
| *REL-4* | *(Release 4)* |
| *REL-5* | *(Release 5)* |

***Reason for change:*** ⌘ According to TS 23.060, e.g. in section 9.2.5.1.1, the CN may (re-)establish a RAB after having released it. However TS 25.413 does not specify when the RAB release result (RAB ASSIGNMENT RESPONSE) should be reported, for example whether on receipt of a RAB release request (RAB ASSIGNMENT REQUEST) or on the completion of the release of the associated radio bearer(s). If the reporting is done before the RAB is released by the RNC, then it is possible that the CN requests a RAB (re-)establishment using the same RAB ID as that of the assumed released RAB.

***Summary of change:*** ⌘ It is proposed to add the following sentence in section 8.2.2 :

"*After sending a RAB ASSIGNMENT RESPONSE message containing a RAB ID within the RABs Released IE, the RNC shall be prepared to receive a new establishment request of a RAB identified by the same RAB ID*".

***Consequences if not approved:*** ⌘ It will not be clear that the SRNC must not send RAB ASSIGNMENT RESPONSE (for RABs Released) until the RAB ID can be re-used. This could result in inter-working problems.

Backwards Compatibility Statement : This CR is backward compatible with the intended behaviour of the previous version of RANAP.

***Clauses affected:*** ⌘ 8.2.2

***Other specs affected:*** ⌘
- **X** Other core specifications ⌘ 25.931 v 4.0.0 : CR 010 (REL-4)
  25.413 v 3.5.0 : CR 286 (R99)
- ☐ Test specifications
- ☐ O&M Specifications

***Other comments:*** ⌘

**How to create CRs using this form:**
Comprehensive information and tips about how to create CRs can be found at: http://www.3gpp.org/3G_Specs/CRs.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://www.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 8.2.2 Successful Operation

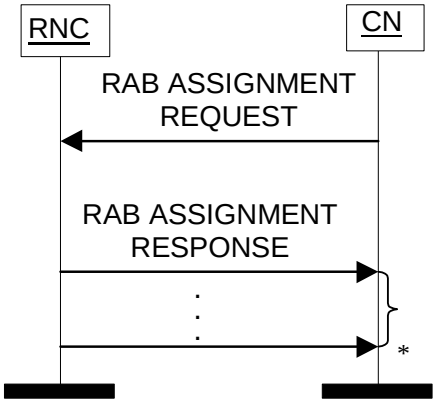


**Figure 1: RAB Assignment procedure. Successful operation.**

The CN shall initiate the procedure by sending a RAB ASSIGNMENT REQUEST message. When sending the RAB ASSIGNMENT REQUEST message, the CN shall start the $T_{RABAssgt}$ timer.

The CN may request UTRAN to:

- establish,
- modify,
- release

one or several RABs with one RAB ASSIGNMENT REQUEST message.

The message shall contain the information required by the UTRAN to build the new RAB configuration, such as:

- list of RABs to establish or modify with their bearer characteristics;
- list of RABs to release.

For each RAB requested to establish, the message shall contain:

- RAB ID.
- RAB parameters (including e.g. Allocation/Retention Priority).
- User Plane Mode Information (i e User Plane Mode and UP Mode Versions).
- Transport Layer Address.
- Iu Transport Association.
- PDP Type Information (only for PS)
- Data Volume Reporting Indication (only for PS).

- DL GTP-PDU sequence number (only when GTP-PDU sequence number is available in cases of handover from GPRS to UMTS or when establishing a RAB for an existing PDP context).

- UL GTP-PDU sequence number (only when GTP-PDU sequence number is available in cases of handover from GPRS to UMTS or when establishing a RAB for an existing PDP context).

- DL N-PDU sequence number (only when N-PDU sequence number is available in case of handover from GPRS to UMTS).

- UL N-PDU sequence number (only when N-PDU sequence number is available in case of handover from GPRS to UMTS).

For each RAB requested to modify, the message may contain:

- RAB ID (mandatory).

- NAS Synchronisation Indicator.

- RAB parameters.

- Transport Layer Address.

- Iu Transport Association.

For each RAB request to release, the message shall contain:

- RAB ID.

- Cause.

Upon reception of the RAB ASSIGNMENT REQUEST message UTRAN shall execute the requested RAB configuration. The CN may indicate that RAB QoS negotiation is allowed for certain RAB parameters and in some cases also which alternative values to be used in the negotiation.

The RAB ID shall identify uniquely the RAB for the specific CN domain for the particular UE, which makes the RAB ID unique over the Iu connection on which the RAB ASSIGNMENT REQUEST message is received. When a RAB ID already in use over that particular Iu instance is used, the procedure is considered as modification of that RAB.

The RNC shall pass the contents of *RAB ID* IE to the radio interface protocol for each RAB requested to establish or modify.

The RNC shall establish or modify the resources according to the values of the *Allocation/Retention Priority* IE (priority level, pre-emption indicators, queuing) and the resource situation as follows:

- The RNC shall consider the priority level of the requested RAB, when deciding on the resource allocation.

- If the requested RAB is allowed for queuing and the resource situation so requires, RNC may place the RAB in the establishment queue.

- The priority levels and the pre-emption indicators may (singularly or in combination) be used to determine whether the RAB assignment has to be performed unconditionally and immediately. If the requested RAB is marked as "may trigger pre-emption" and the resource situation so requires, RNC may trigger the pre-emption procedure which may then cause the forced release of a lower priority RAB which is marked as "pre-emptable". Whilst the process and the extent of the pre-emption procedure is operator dependent, the pre-emption indicators, if given in the RAB ASSIGNMENT REQUEST message, shall be treated as follows:

1. The values of the last received *Pre-emption Vulnerability* IE and *Priority Level* IE shall prevail.

2. If the *Pre-emption Capability* IE is set to "may trigger pre-emption", then this allocation request may trigger the pre-emption procedure.

3. If the *Pre-emption Capability* IE is set to "shall not trigger pre-emption", then this allocation request shall not trigger the pre-emption procedure.

4. If the *Pre-emption Vulnerability* IE is set to "pre-emptable", then this connection shall be included in the pre-emption process.

5. If the *Pre-emption Vulnerability* IE is set to "not pre-emptable", then this connection shall not be included in the pre-emption process.

6. If the *Priority Level* IE is set to "no priority used" the given values for the *Pre-emption Capability* IE and *Pre-emption Vulnerability* IE shall not be considered. Instead the values "shall not trigger pre-emption" and "not pre-emptable" shall prevail.

- If the *Allocation/Retention Priority* IE is not given in the RAB ASSIGNMENT REQUEST message, the allocation request shall not trigger the pre-emption process and the connection may be pre-empted and considered to have the value "lowest" as priority level. Moreover, queuing shall not be allowed.

- The UTRAN pre-emption process shall keep the following rules:

  1. UTRAN shall only pre-empt RABs with lower priority, in ascending order of priority.

  2. The pre-emption may be done for RABs belonging to the same UE or to other UEs.

If the *NAS Synchronisation Indicator* IE is contained in the RAB ASSIGNMENT REQUEST message, the RNC shall pass it to the radio interface protocol for the transfer to the UE.

If the RAB ASSIGNMENT REQUEST message includes the *PDP Type Information* IE, the UTRAN may use this to configure any compression algorithms.

If the *Service Handover* IE is included, this tells if the RAB

- should be handed over to GSM, i.e. from NAS point of view, the RAB should be handed over to GSM as soon as possible although the final decision whether to perform a handover to GSM is still made in UTRAN.
- should not be handed over to GSM, i.e. from NAS point of view, the RAB should remain in UMTS as long as possible although the final decision whether to perform a handover to GSM is still made in UTRAN.
- shall not be handed over to GSM, i.e. the RAB shall never be handed over to GSM. This means that UTRAN shall not initiate handover to GSM for the UE unless the RABs with this indication have first been released with the normal release procedures.

The value of the *Service Handover* IE is valid throughout the lifetime of the RAB or until changed by a RAB modification.

The *Service Handover* IE shall only influence decisions made regarding UTRAN initiated handovers.

If the *Service Handover* IE is not included, the decision whether to perform a handover to GSM is only an internal UTRAN matter.

UTRAN shall report to CN, in the first RAB ASSIGNMENT RESPONSE message, the result for all the requested RABs, such as:

- List of RABs successfully established or modified.
- List of RABs released.
- List of RABs queued.
- List of RABs failed to establish or modify.
- List of RABs failed to release.

If any alternative RAB parameter values have been used when establishing or modifying a RAB, these RAB parameter values shall be included in the RAB ASSIGNMENT RESPONSE message.

UTRAN shall report the outcome of a specific RAB to establish or modify only after the transport network control plane signalling, which is needed for RAB establishment or modification, has been executed. At a RAB establishment, the transport network control plane signalling shall use the *Transport Layer Address* IE and *Iu Transport Association* IE. At a RAB modification, it is up to the RNC to decide if any transport network control plane signalling shall be performed or if the already existing transport bearer shall be used. If the RNC decides to establish a new transport bearer, the transport network control plane signalling shall use the possibly included *Transport Layer Address* IE and *Iu Transport Association* IE. Then the switch over to this new transport bearer shall be done immediately after transport bearer establishment and initialisation of the user plane mode. If the RNC decides to modify the already existing transport bearer, the transport network control plane signalling shall not use the possibly included *Transport Layer Address* IE and *Iu Transport Association* IE. That is, re-binding with *Iu Transport Association* IE shall not be done.

Before reporting the successful outcome of a specific RAB to establish or modify, the RNC shall have executed the initialisation of the user plane mode as requested by the CN in the *User Plane Mode* IE. If the RNC is requested to execute the user plane initialisation for the *User Plane Mode* "support mode for predefined SDU sizes", it shall initialise all RAB subflow combinations on Iu as indicated in the *RAB parameters* IE. If not all of the indicated RAB subflow combinations can be initialised the RAB Assignment fails with the cause value "RNC unable to establish all RFCs". The user plane initialisation is described in ref.[6].

In case of establishment of a RAB for the PS domain, the CN must be prepared to receive user data before the RAB ASSIGNMENT RESPONSE message has been received.

If none of the RABs have been queued, the CN shall stop timer $T_{RABAssgt.}$ And the RAB Assignment procedure terminates. In that case, the procedure shall also be terminated in UTRAN.

When the request to establish or modify one or several RABs is put in the queue, UTRAN shall start the timer $T_{QUEUING}$. This timer specifies the maximum time for queuing of the request of establishment or modification. The same timer $T_{QUEUING}$ is supervising all RABs being queued.

For each RAB that is queued the following outcomes shall be possible:

- successfully established or modified;
- failed to establish or modify;
- failed due to expiry of the timer $T_{QUEUING}$.

For the queued RABs, indicated in the first RAB ASSIGNMENT RESPONSE message, UTRAN shall report the outcome of the queuing for every RAB individually or for several RABs in subsequent RAB ASSIGNMENT RESPONSE message(s). This is left to implementation. UTRAN shall stop $T_{QUEUING}$ when all RABs have been either successfully established or modified or failed to establish or modify. The RAB Assignment procedure is then terminated both in CN and UTRAN when all RABs have been responded to.

When CN receives the response that one or several RABs are queued, CN shall expect UTRAN to provide the outcome of the queuing function for each RAB before expiry of the $T_{RABAssgt}$ timer. In case the timer $T_{RABAssgt}$ expires, the CN shall consider the RAB Assignment procedure terminated and the RABs not reported shall be considered as failed.

In the case the timer $T_{QUEUING}$ expires, the RAB Assignment procedure terminates in UTRAN for all queued RABs, and UTRAN shall respond for all of them in one RAB ASSIGNMENT RESPONSE message. The RAB Assignment procedure shall also be terminated in CN.

In case a request to modify or release a RAB contains the RAB ID of a RAB being queued, the RAB shall be taken out of the queue and treated according to the second request. The first request shall be responded to as a RAB failed to setup or modify with the cause value "Request superseded".

When UTRAN reports unsuccessful establishment/modification of a RAB, the cause value should be precise enough to enable the core network to know the reason for unsuccessful establishment/modification. Typical cause values are: "Requested Traffic Class not Available", "Invalid RAB Parameters Value", "Requested Maximum Bit Rate not Available", "Requested Maximum Bit Rate for DL not Available", "Requested Maximum Bit Rate for UL not Available", "Requested Guaranteed Bit Rate not Available", "Requested Guaranteed Bit Rate for DL not Available", "Requested Guaranteed Bit Rate for UL not Available", "Requested Transfer Delay not Achievable", "Invalid RAB Parameters Combination", "Condition Violation for SDU Parameters", "Condition Violation for Traffic Handling Priority", "Condition Violation for Guaranteed Bit Rate", "User Plane Versions not Supported", "Iu UP Failure", “Iu Transport Connection Failed to Establish”.

If the RAB ID of a RAB requested to be released is unknown in the RNC, this shall be reported as a RAB failed to release with the cause value "Invalid RAB ID".

The RNC may indicate an impending directed retry attempt to GSM by sending RAB ASSIGNMENT RESPONSE message with a RAB ID included in the list of RABs failed to setup and a cause value of “Directed Retry”.

The RNC shall be prepared to receive a RAB ASSIGNMENT REQUEST message containing a *RABs To Be Released* IE at any time and shall always reply to it. If there is an ongoing RAB Assignment procedure for a RAB indicated within the *RABs To Be Released* IE, the RNC shall discard the preceding RAB Assignment procedure for that specific RAB, release any related resources and report the released RAB within the RAB ASSIGNMENT RESPONSE message.

After sending RAB ASSIGNMENT RESPONSE message containing RAB ID within the *RABs Released* IE, the RNC shall be prepared to receive new establishment request of a RAB identified by the same RAB ID.

**3GPP TSG-RAN WG3 #21** ***R3-011352***
**Busan, Korea, May 21st - May 25th, 2001**

*CR-Form-v3*

# CHANGE REQUEST

⌘ **TS 25.413 CR 288** ⌘ rev **-** ⌘ Current version: **3.5.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

***Proposed change affects:*** ⌘ (U)SIM ☐ ME/UE ☐ Radio Access Network [X] Core Network [X]

| | | |
|---|---|---|
| ***Title:*** | ⌘ | TRELOCalloc usage |
| ***Source:*** | ⌘ | R-WG3 |
| ***Work item code:*** | ⌘ | TEI |
| ***Date:*** | ⌘ | May 11, 2001 |
| ***Category:*** | ⌘ | **F** |
| ***Release:*** | ⌘ | R99 |

*Use one of the following categories:*
***F*** *(essential correction)*
***A*** *(corresponds to a correction in an earlier release)*
***B*** *(Addition of feature),*
***C*** *(Functional modification of feature)*
***D*** *(Editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

*Use one of the following releases:*
*2 (GSM Phase 2)*
*R96 (Release 1996)*
*R97 (Release 1997)*
*R98 (Release 1998)*
*R99 (Release 1999)*
*REL-4 (Release 4)*
*REL-5 (Release 5)*

| | | |
|---|---|---|
| ***Reason for change:*** | ⌘ | Clarification of usage of $T_{RELOCalloc}$ timer. |
| ***Summary of change:*** | ⌘ | In 8.7.4, state that expiration of $T_{RELOCalloc}$, is an example whereby CN shall initiate Iu release towards target RNC if Iu connection has been or will become established.<br><br>This change is backward compatible. |
| ***Consequences if not approved:*** | ⌘ | If this CR is not approved there may be misinterpretation on actions of CN what to do if timer expires. |

| | | | | |
|---|---|---|---|---|
| ***Clauses affected:*** | ⌘ | 8.7.4 | | |
| ***Other specs affected:*** | ⌘ | [X] Other core specifications<br>☐ Test specifications<br>☐ O&M Specifications | ⌘ | 25.413 CR289 REL-4 |
| ***Other comments:*** | ⌘ | | | |

**How to create CRs using this form:**
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1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://www.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

# 8.7 Relocation Resource Allocation

## 8.7.1 General

The purpose of the Relocation Resource Allocation procedure is to allocate resources from target RNS for a relocation of SRNS. Procedure shall be co-ordinated in all Iu signalling connections existing for the UE. The procedure uses connection oriented signalling.

## 8.7.2 Successful Operation

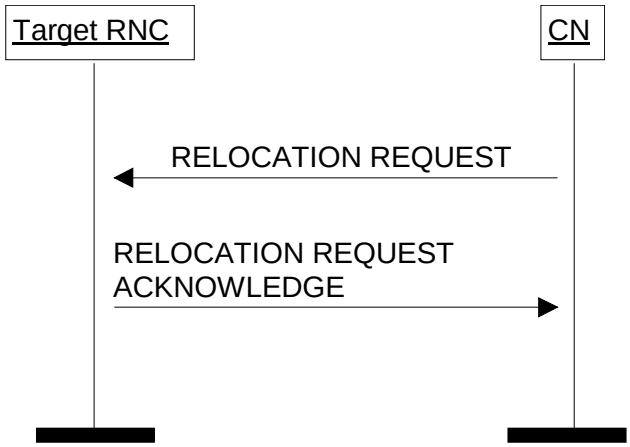


**Figure 1: Relocation Resource Allocation procedure. Successful operation.**

The CN shall initiate the procedure by generating RELOCATION REQUEST message. In a UTRAN to UTRAN relocation, this message shall contain the information (if any) required by the UTRAN to build the same RAB configuration as existing for the UE before the relocation.

The CN shall transmit the RELOCATION REQUEST message to target RNC and the CN shall start the timer $T_{RELOCalloc.}$

Upon reception of the RELOCATION REQUEST message, the target RNC shall initiate allocation of requested resources. The following information elements received in RELOCATION REQUEST message require the same special actions in the RNC as specified for the same IEs in the RAB Assignment procedure:

- RAB-ID
- User plane mode
- Priority level, queuing and pre-emption indication
- Service Handover

If the RELOCATION REQUEST message includes the *PDP Type Information* IE, the UTRAN may use this to configure any compression algorithms.

The *Cause* IE shall contain the same value as the one received in the related RELOCATION REQUIRED message.

The *Iu Signalling Connection Identifier* IE contains an Iu signalling connection identifier which is allocated by the CN, and which the RNC is required to store and remember for the duration of the Iu connection.

Following additional actions shall be executed in the target RNC during Relocation Resource Allocation procedure:

If the relocation type is "UE involved in relocation of SRNS":

- The target RNC may accept a requested RAB only if the RAB can be supported by the target RNC.
- Other RABs shall be rejected by the target RNC in the RELOCATION REQUEST ACKNOWLEDGE message with an appropriate value for *Cause* IE, e.g. "Unable to Establish During Relocation".

- The target RNC shall include information adapted to the resulting RAB configuration in the target to source RNC transparent container to be included in the RELOCATION REQUEST ACKNOWLEDGE message sent to the CN. If the target RNC supports triggering of the Relocation Detect procedure via the Iur interface, the RNC shall assign a d-RNTI for the context of the relocation and include it in the container. If two CNs are involved in the relocation of SRNS, the target RNC may, however, decide to send the container to only one CN.

If the relocation type IE is "UE not involved in relocation of SRNS":

- The target RNC may accept a RAB only if the radio bearer(s) for the RAB exist(s) and can be used for the RAB by the target RNC.

- If existing radio bearers are not related to any RAB that is accepted by target RNC, the radio bearers shall be ignored during the relocation of SRNS and the radio bearers shall be released by radio interface protocols after completion of relocation of SRNS.

After all necessary resources for accepted RABs including the initialised Iu user plane, are successfully allocated, the target RNC shall send RELOCATION REQUEST ACKNOWLEDGE message to the CN. The resources associated with the RABs indicated as failed to set up shall not be released in the CN until the relocation is completed. This is in order to make a return to the old configuration possible in case of a failed or cancelled relocation.

The RELOCATION REQUEST ACKNOWLEDGE message received by the CN may optionally contain a transparent container, which shall be transferred by CN to the source RNC or the external relocation source while completing the Relocation Preparation procedure.

If one or more of the RABs that the target RNC has decided to support can not be supported by the CN, then these failed RABs shall not be released towards the target RNC until the relocation is completed.

If the *NAS Synchronisation Indicator* IE is contained in the RELOCATION REQUEST message, the target RNC shall pass it to the source RNC within the *RRC Container* IE contained in the *Target RNC to Source RNC Transparent Container* IE.

Transmission and reception of RELOCATION REQUEST ACKNOWLEDGE message terminates the procedure in the UTRAN and the CN respectively.

### 8.7.3 Unsuccessful Operation

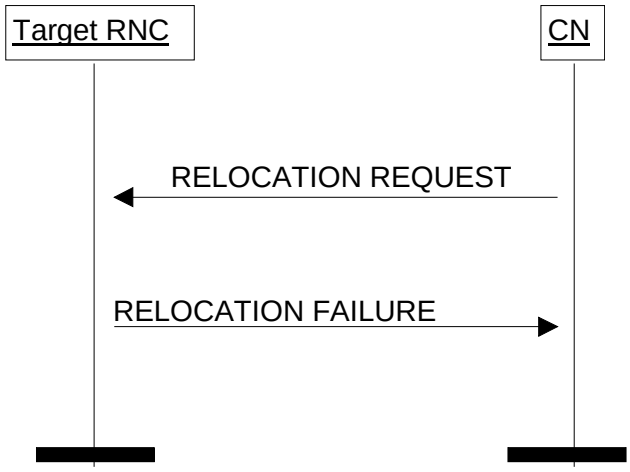


**Figure 2: Relocation Resource Allocation procedure: Unsuccessful operation.**

If the target RNC can not even partially accept the relocation of SRNS or a failure occurs during the Relocation Resource Allocation procedure in the target RNC, the target RNC shall send RELOCATION FAILURE message to the CN.

Transmission and reception of RELOCATION FAILURE message terminates the procedure in the UTRAN and the CN respectively.

When CN has received RELOCATION FAILURE message from target RNC, CN shall stop timer $T_{RELOCalloc}$ and shall assume possibly allocated resources within target RNC completely released.

## 8.7.4 Abnormal Conditions

If after reception of the RELOCATION REQUEST message, the target RNC receives another RELOCATION REQUEST message on the same Iu connection, then the target RNC shall discard the latter message and the original Relocation Resource Allocation procedure shall continue normally.

**Interactions with Iu Release procedure:**

If the CN decides to not continue the Relocation Resource Allocation procedure (e.g. due to $T_{RELOCalloc}$ expiry) before the Relocation Resource Allocation procedure is completed, the CN shall stop timer $T_{RELOCalloc}$ (if timer $T_{RELOCalloc}$ has not already expired) and the CN shall, if the Iu signalling connection has been established or later becomes established, initiate the Iu Release procedure towards the target RNC with an appropriate value for the *Cause* IE, e.g. "Relocation Cancelled".

**3GPP TSG-RAN WG3 #21** ***R3-011353***
**Busan, Korea, May 21st - May 25th, 2001**

*CR-Form-v3*

# CHANGE REQUEST

⌘ **TS 25.413 CR 289** ⌘ rev **-** ⌘ Current version: **4.0.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

***Proposed change affects:*** ⌘ (U)SIM ☐ ME/UE ☐ Radio Access Network [X] Core Network [X]

| | | |
|---|---|---|
| ***Title:*** | ⌘ | TRELOCalloc usage |
| ***Source:*** | ⌘ | R-WG3 |
| ***Work item code:*** | ⌘ | TEI |
| ***Date:*** | ⌘ | May 11, 2001 |
| ***Category:*** | ⌘ | **A** |
| ***Release:*** | ⌘ | REL-4 |

*Use one of the following categories:*
***F*** *(essential correction)*
***A*** *(corresponds to a correction in an earlier release)*
***B*** *(Addition of feature),*
***C*** *(Functional modification of feature)*
***D*** *(Editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

*Use one of the following releases:*
*2 (GSM Phase 2)*
*R96 (Release 1996)*
*R97 (Release 1997)*
*R98 (Release 1998)*
*R99 (Release 1999)*
*REL-4 (Release 4)*
*REL-5 (Release 5)*

| | | |
|---|---|---|
| ***Reason for change:*** | ⌘ | Clarification of usage of $T_{RELOCalloc}$ timer. |
| ***Summary of change:*** | ⌘ | In 8.7.4, state that expiration of $T_{RELOCalloc}$, is an example whereby CN shall initiate Iu release towards target RNC if Iu connection has been or will become established.<br><br>This change is backward compatible. |
| ***Consequences if not approved:*** | ⌘ | If this CR is not approved there may be misinterpretation on actions of CN what to do if timer expires. |

| | | | | |
|---|---|---|---|---|
| ***Clauses affected:*** | ⌘ | | | |
| ***Other specs affected:*** | ⌘ | [X] Other core specifications<br>[ ] Test specifications<br>[ ] O&M Specifications | ⌘ | 25.413 CR288 R99 |
| ***Other comments:*** | ⌘ | | | |

**How to create CRs using this form:**
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2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://www.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.
3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

# 8.7 Relocation Resource Allocation

## 8.7.1 General

The purpose of the Relocation Resource Allocation procedure is to allocate resources from target RNS for a relocation of SRNS. Procedure shall be co-ordinated in all Iu signalling connections existing for the UE. The procedure uses connection oriented signalling.

## 8.7.2 Successful Operation

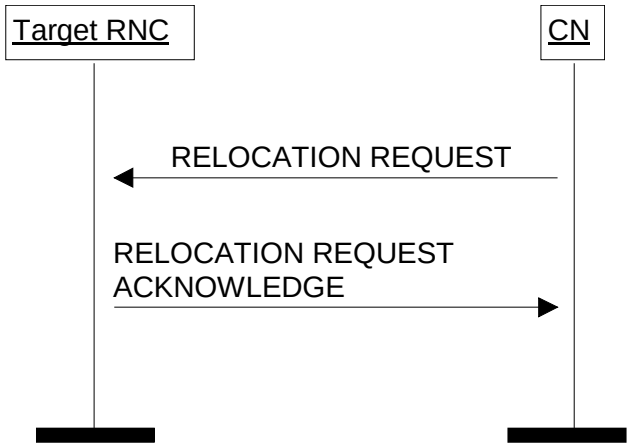


**Figure 1: Relocation Resource Allocation procedure. Successful operation.**

The CN shall initiate the procedure by generating RELOCATION REQUEST message. In a UTRAN to UTRAN relocation, this message shall contain the information (if any) required by the UTRAN to build the same RAB configuration as existing for the UE before the relocation. The CN may indicate that RAB QoS negotiation is allowed for certain RAB parameters and in some cases also which alternative values to be used in the negotiation.

The CN shall transmit the RELOCATION REQUEST message to target RNC and the CN shall start the timer $T_{RELOCalloc.}$

Upon reception of the RELOCATION REQUEST message, the target RNC shall initiate allocation of requested resources. The following information elements received in RELOCATION REQUEST message require the same special actions in the RNC as specified for the same IEs in the RAB Assignment procedure:

- RAB-ID
- User plane mode
- Priority level, queuing and pre-emption indication
- Service Handover

If the RELOCATION REQUEST message includes the *PDP Type Information* IE, the UTRAN may use this to configure any compression algorithms.

The *Cause* IE shall contain the same value as the one received in the related RELOCATION REQUIRED message.

The *Iu Signalling Connection Identifier* IE contains an Iu signalling connection identifier which is allocated by the CN, and which the RNC is required to store and remember for the duration of the Iu connection.

Following additional actions shall be executed in the target RNC during Relocation Resource Allocation procedure:

If the relocation type is "UE involved in relocation of SRNS":

- The target RNC may accept a requested RAB only if the RAB can be supported by the target RNC.

- Other RABs shall be rejected by the target RNC in the RELOCATION REQUEST ACKNOWLEDGE message with an appropriate value for *Cause* IE, e.g. "Unable to Establish During Relocation".

- The target RNC shall include information adapted to the resulting RAB configuration in the target to source RNC transparent container to be included in the RELOCATION REQUEST ACKNOWLEDGE message sent to the CN. If the target RNC supports triggering of the Relocation Detect procedure via the Iur interface, the RNC shall assign a d-RNTI for the context of the relocation and include it in the container. If two CNs are involved in the relocation of SRNS, the target RNC may, however, decide to send the container to only one CN.

- If any alternative RAB parameter values have been used when allocate the resource, these RAB parameter values shall be included in the RELOCATION REQUEST ACKNOWLEDGE message.

If the relocation type IE is "UE not involved in relocation of SRNS":

- The target RNC may accept a RAB only if the radio bearer(s) for the RAB exist(s) and can be used for the RAB by the target RNC.

- If existing radio bearers are not related to any RAB that is accepted by target RNC, the radio bearers shall be ignored during the relocation of SRNS and the radio bearers shall be released by radio interface protocols after completion of relocation of SRNS.

- If any alternative RAB parameter values have been used when allocate the resource, these RAB parameter values shall be included in the RELOCATION REQUEST ACKNOWLEDGE message. This shall not apply to the UTRAN initiated relocation.

After all necessary resources for accepted RABs including the initialised Iu user plane, are successfully allocated, the target RNC shall send RELOCATION REQUEST ACKNOWLEDGE message to the CN. The resources associated with the RABs indicated as failed to set up shall not be released in the CN until the relocation is completed. This is in order to make a return to the old configuration possible in case of a failed or cancelled relocation.

The RELOCATION REQUEST ACKNOWLEDGE message received by the CN may optionally contain a transparent container, which shall be transferred by CN to the source RNC or the external relocation source while completing the Relocation Preparation procedure.

If one or more of the RABs that the target RNC has decided to support can not be supported by the CN, then these failed RABs shall not be released towards the target RNC until the relocation is completed.

If the *NAS Synchronisation Indicator* IE is contained in the RELOCATION REQUEST message, the target RNC shall pass it to the source RNC within the *RRC Container* IE contained in the *Target RNC to Source RNC Transparent Container* IE.

Transmission and reception of RELOCATION REQUEST ACKNOWLEDGE message terminates the procedure in the UTRAN and the CN respectively.

Before reporting the successful outcome of the Relocation Resource allocation procedure, the RNC shall have executed the initialisation of the user plane mode as requested by the CN in the *User Plane Mode* IE. If the RNC is requested to execute the user plane initialisation for the *User Plane Mode* “support mode for predefined SDU sizes”, it shall initialise all RAB subflow combinations on Iu as indicated in the *RAB parameters* IE. If not all of the indicated RAB subflow combinations can be initialised the RAB Assignment fails with the cause value “RNC unable to establish all RFCs”. The user plane initialisation is described in ref.[6].

## 8.7.3 Unsuccessful Operation

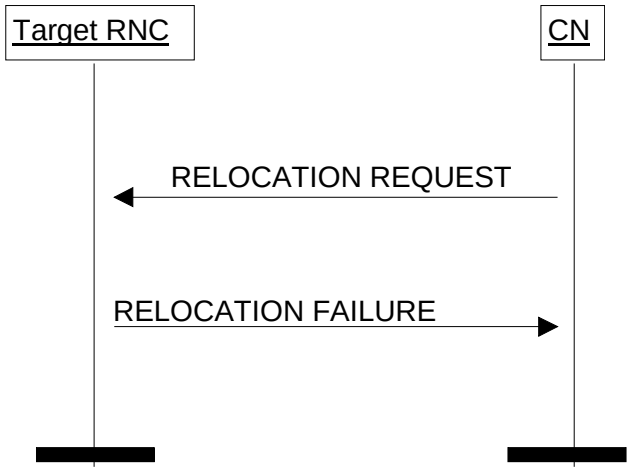


**Figure 2: Relocation Resource Allocation procedure: Unsuccessful operation.**

If the target RNC can not even partially accept the relocation of SRNS or a failure occurs during the Relocation Resource Allocation procedure in the target RNC, the target RNC shall send RELOCATION FAILURE message to the CN.

Transmission and reception of RELOCATION FAILURE message terminates the procedure in the UTRAN and the CN respectively.

When CN has received RELOCATION FAILURE message from target RNC, CN shall stop timer $T_{RELOCalloc}$ and shall assume possibly allocated resources within target RNC completely released.

## 8.7.4 Abnormal Conditions

If after reception of the RELOCATION REQUEST message, the target RNC receives another RELOCATION REQUEST message on the same Iu connection, then the target RNC shall discard the latter message and the original Relocation Resource Allocation procedure shall continue normally.

**Interactions with Iu Release procedure:**

If the CN decides to not continue the Relocation Resource Allocation procedure (e.g. due to $T_{RELOCalloc}$ expiry) before the Relocation Resource Allocation procedure is completed, the CN shall stop timer $T_{RELOCalloc}$ (if timer $T_{RELOCalloc}$ has not already expired) and the CN shall, if the Iu signalling connection has been established or later becomes established, initiate the Iu Release procedure towards the target RNC with an appropriate value for the *Cause* IE, e.g. "Relocation Cancelled".

**3GPP TSG-RAN WG3 Meeting #21** ***R3-011354***
**Pusan, South Korea, May 21–25, 2001** ***revision of Tdoc R3-011282***

*CR-Form-v3*

# CHANGE REQUEST

⌘ **25.413** **CR** **290** ⌘ rev **-** ⌘ Current version: **3.5.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

***Proposed change affects:*** ⌘ (U)SIM ☐ ME/UE ☐ Radio Access Network ☒ Core Network ☒

| | | |
|---|---|---|
| ***Title:*** | ⌘ | Relocation Ressource Allocation in case of Cell/URA Update |
| ***Source:*** | ⌘ | R-WG3 |
| ***Work item code:*** | ⌘ | TEI |
| ***Date:*** | ⌘ | 10 May, 2001 |
| ***Category:*** | ⌘ | **F** |
| ***Release:*** | ⌘ | R99 |

*Use one of the following categories:*
***F*** *(essential correction)*
***A*** *(corresponds to a correction in an earlier release)*
***B*** *(Addition of feature),*
***C*** *(Functional modification of feature)*
***D*** *(Editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

*Use one of the following releases:*
*2 (GSM Phase 2)*
*R96 (Release 1996)*
*R97 (Release 1997)*
*R98 (Release 1998)*
*R99 (Release 1999)*
*REL-4 (Release 4)*
*REL-5 (Release 5)*

***Reason for change:*** ⌘ The definition of Relocation Type is as follows:

*9.2.1.23 Relocation Type*

*This information element indicates whether the relocation of SRNS is to be executed with or without involvement of the UE. If the UE is involved then a radio interface handover command shall be sent to the UE to trigger the execution of the relocation. If the UE is not involved then the relocation execution is triggered via Iur.*

There are two cases in which the UE is already in the target RNC, i.e. Cell/URA Update case and the case when the radio links are in the Target RNC, and Iur U-Plane is used. Here the "UE not involved in relocation of SRNS" value of Relocation Type is used.

In the Cell/URA Update case the radio resources need to be set up, and do not exist before hand. However the explanations of the Relocation Ressource Allocation procedure does not take that into account.

***Summary of change:*** ⌘ Add new explanations about successful Relocation Ressource Allocation procedure handling for "Not UE involved" case.

***Consequences if not approved:*** ⌘ In the Cell/URA Update case, during then a "not UE involved" relocation procedure, the radio resources will not be set up by RNC and the relocation will always fail.
Additional information:
The proposed change is backwards compatible.

***Clauses affected:*** ⌘ 8.7.2

***Other specs*** ⌘ ☒ Other core specifications ⌘ 25.413 CR291 REL-4

| | | |
|---|---|---|
| ***affected:*** | ☐ Test specifications<br>☐ O&M Specifications | |
| ***Other comments:*** ⌘ | | |

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3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 8.7.2 Successful Operation

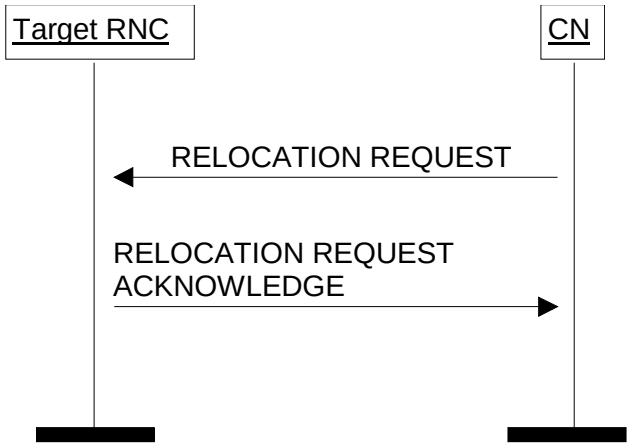


**Figure 1: Relocation Resource Allocation procedure. Successful operation.**

The CN shall initiate the procedure by generating RELOCATION REQUEST message. In a UTRAN to UTRAN relocation, this message shall contain the information (if any) required by the UTRAN to build the same RAB configuration as existing for the UE before the relocation.

The CN shall transmit the RELOCATION REQUEST message to target RNC and the CN shall start the timer $T_{RELOCalloc.}$

Upon reception of the RELOCATION REQUEST message, the target RNC shall initiate allocation of requested resources. The following information elements received in RELOCATION REQUEST message require the same special actions in the RNC as specified for the same IEs in the RAB Assignment procedure:

- RAB-ID
- User plane mode
- Priority level, queuing and pre-emption indication
- Service Handover

If the RELOCATION REQUEST message includes the *PDP Type Information* IE, the UTRAN may use this to configure any compression algorithms.

The *Cause* IE shall contain the same value as the one received in the related RELOCATION REQUIRED message.

The *Iu Signalling Connection Identifier* IE contains an Iu signalling connection identifier which is allocated by the CN, and which the RNC is required to store and remember for the duration of the Iu connection.

Following additional actions shall be executed in the target RNC during Relocation Resource Allocation procedure:

If the relocation type is "UE involved in relocation of SRNS":

- The target RNC may accept a requested RAB only if the RAB can be supported by the target RNC.
- Other RABs shall be rejected by the target RNC in the RELOCATION REQUEST ACKNOWLEDGE message with an appropriate value for *Cause* IE, e.g. "Unable to Establish During Relocation".
- The target RNC shall include information adapted to the resulting RAB configuration in the target to source RNC transparent container to be included in the RELOCATION REQUEST ACKNOWLEDGE message sent to the CN. If the target RNC supports triggering of the Relocation Detect procedure via the Iur interface, the RNC shall assign a d-RNTI for the context of the relocation and include it in the container. If two CNs are involved in the relocation of SRNS, the target RNC may, however, decide to send the container to only one CN.

If the relocation type IE is "UE not involved in relocation of SRNS":

- The target RNC may accept a RAB only if the radio bearer(s) for the RAB either exist(s) already, and can be used for the RAB by the target RNC, or does not exist before the relocation but can be established in order to support the RAB in the target RNC.

- If existing radio bearers are not related to any RAB that is accepted by target RNC, the radio bearers shall be ignored during the relocation of SRNS and the radio bearers shall be released by radio interface protocols after completion of relocation of SRNS.

After all necessary resources for accepted RABs including the initialised Iu user plane, are successfully allocated, the target RNC shall send RELOCATION REQUEST ACKNOWLEDGE message to the CN. The resources associated with the RABs indicated as failed to set up shall not be released in the CN until the relocation is completed. This is in order to make a return to the old configuration possible in case of a failed or cancelled relocation.

The RELOCATION REQUEST ACKNOWLEDGE message received by the CN may optionally contain a transparent container, which shall be transferred by CN to the source RNC or the external relocation source while completing the Relocation Preparation procedure.

If one or more of the RABs that the target RNC has decided to support can not be supported by the CN, then these failed RABs shall not be released towards the target RNC until the relocation is completed.

If the *NAS Synchronisation Indicator* IE is contained in the RELOCATION REQUEST message, the target RNC shall pass it to the source RNC within the *RRC Container* IE contained in the *Target RNC to Source RNC Transparent Container* IE.

Transmission and reception of RELOCATION REQUEST ACKNOWLEDGE message terminates the procedure in the UTRAN and the CN respectively.

**3GPP TSG-RAN WG3 Meeting #20** ***R3-011355***
**Beijing, China, April 2nd – April 6th, 2001**

*CR-Form-v3*

# CHANGE REQUEST

⌘ **25.413** **CR** **291** ⌘ rev **-** ⌘ Current version: **4.0.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

***Proposed change affects:*** ⌘ (U)SIM ☐ ME/UE ☐ Radio Access Network ☒ Core Network ☒

| | | |
|---|---|---|
| ***Title:*** | ⌘ | Relocation Ressource Allocation in case of Cell/URA Update |
| ***Source:*** | ⌘ | R-WG3 |
| ***Work item code:*** | ⌘ | ***Date:*** ⌘ 2001-05-02 |
| ***Category:*** | ⌘ **A** | ***Release:*** ⌘ REL-4 |

*Use one of the following categories:*
***F*** *(essential correction)*
***A*** *(corresponds to a correction in an earlier release)*
***B*** *(Addition of feature),*
***C*** *(Functional modification of feature)*
***D*** *(Editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

*Use one of the following releases:*
*2 (GSM Phase 2)*
*R96 (Release 1996)*
*R97 (Release 1997)*
*R98 (Release 1998)*
*R99 (Release 1999)*
*REL-4 (Release 4)*
*REL-5 (Release 5)*

***Reason for change:*** ⌘ The definition of Relocation Type is as follows:

*9.2.1.23 Relocation Type*

*This information element indicates whether the relocation of SRNS is to be executed with or without involvement of the UE. If the UE is involved then a radio interface handover command shall be sent to the UE to trigger the execution of the relocation. If the UE is not involved then the relocation execution is triggered via Iur.*

There are two cases in which the UE is already in the target RNC, i.e. Cell/URA Update case and the case when the radio links are in the Target RNC, and Iur U-Plane is used. Here the "UE not involved in relocation of SRNS" value of Relocation Type is used.

In the Cell/URA Update case the radio resources need to be set up, and do not exist before hand. However the explanations of the Relocation Ressource Allocation procedure does not take that into account.

***Summary of change:*** ⌘ Add new explanations about successful Relocation Ressource Allocation procedure handling for "Not UE involved" case.

***Consequences if not approved:*** ⌘ In the Cell/URA Update case, during then a "not UE involved" relocation procedure, the radio resources will not be set up by RNC and the relocation will always fail.
Additional information:
The proposed change is backwards compatible.

***Clauses affected:*** ⌘ 8.7.2

***Other specs*** ⌘ ☒ Other core specifications ⌘ 25.413 CR290 REL-99

| | | |
|---|---|---|
| ***affected:*** | ☐ Test specifications<br>☐ O&M Specifications | |
| ***Other comments:*** ⌘ | | |

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3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 8.7.2 Successful Operation

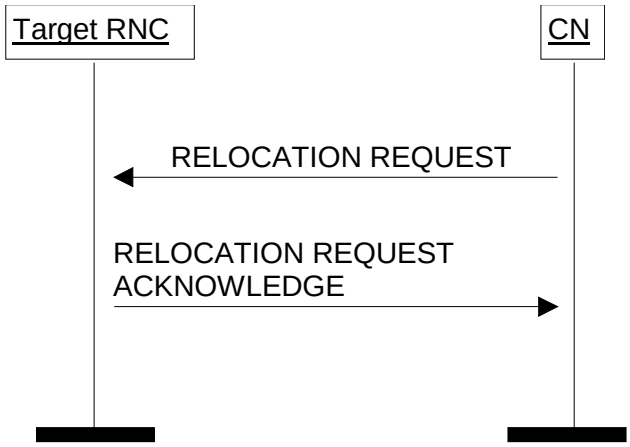


**Figure 1: Relocation Resource Allocation procedure. Successful operation.**

The CN shall initiate the procedure by generating RELOCATION REQUEST message. In a UTRAN to UTRAN relocation, this message shall contain the information (if any) required by the UTRAN to build the same RAB configuration as existing for the UE before the relocation. The CN may indicate that RAB QoS negotiation is allowed for certain RAB parameters and in some cases also which alternative values to be used in the negotiation.

The CN shall transmit the RELOCATION REQUEST message to target RNC and the CN shall start the timer $T_{RELOCalloc.}$

Upon reception of the RELOCATION REQUEST message, the target RNC shall initiate allocation of requested resources. The following information elements received in RELOCATION REQUEST message require the same special actions in the RNC as specified for the same IEs in the RAB Assignment procedure:

- RAB-ID
- User plane mode
- Priority level, queuing and pre-emption indication
- Service Handover

If the RELOCATION REQUEST message includes the *PDP Type Information* IE, the UTRAN may use this to configure any compression algorithms.

The *Cause* IE shall contain the same value as the one received in the related RELOCATION REQUIRED message.

The *Iu Signalling Connection Identifier* IE contains an Iu signalling connection identifier which is allocated by the CN, and which the RNC is required to store and remember for the duration of the Iu connection.

Following additional actions shall be executed in the target RNC during Relocation Resource Allocation procedure:

If the relocation type is "UE involved in relocation of SRNS":

- The target RNC may accept a requested RAB only if the RAB can be supported by the target RNC.
- Other RABs shall be rejected by the target RNC in the RELOCATION REQUEST ACKNOWLEDGE message with an appropriate value for *Cause* IE, e.g. "Unable to Establish During Relocation".
- The target RNC shall include information adapted to the resulting RAB configuration in the target to source RNC transparent container to be included in the RELOCATION REQUEST ACKNOWLEDGE message sent to the CN. If the target RNC supports triggering of the Relocation Detect procedure via the Iur interface, the RNC shall assign a d-RNTI for the context of the relocation and include it in the container. If two CNs are involved in the relocation of SRNS, the target RNC may, however, decide to send the container to only one CN.

- If any alternative RAB parameter values have been used when allocate the resource, these RAB parameter values shall be included in the RELOCATION REQUEST ACKNOWLEDGE message.

If the relocation type IE is "UE not involved in relocation of SRNS":

- The target RNC may accept a RAB only if the radio bearer(s) for the RAB either exist(s) already, and can be used for the RAB by the target RNC, or does not exist before the relocation but can be established in order to support the RAB in the target RNC.

- If existing radio bearers are not related to any RAB that is accepted by target RNC, the radio bearers shall be ignored during the relocation of SRNS and the radio bearers shall be released by radio interface protocols after completion of relocation of SRNS.

- If any alternative RAB parameter values have been used when allocate the resource, these RAB parameter values shall be included in the RELOCATION REQUEST ACKNOWLEDGE message. This shall not apply to the UTRAN initiated relocation.

After all necessary resources for accepted RABs including the initialised Iu user plane, are successfully allocated, the target RNC shall send RELOCATION REQUEST ACKNOWLEDGE message to the CN. The resources associated with the RABs indicated as failed to set up shall not be released in the CN until the relocation is completed. This is in order to make a return to the old configuration possible in case of a failed or cancelled relocation.

The RELOCATION REQUEST ACKNOWLEDGE message received by the CN may optionally contain a transparent container, which shall be transferred by CN to the source RNC or the external relocation source while completing the Relocation Preparation procedure.

If one or more of the RABs that the target RNC has decided to support can not be supported by the CN, then these failed RABs shall not be released towards the target RNC until the relocation is completed.

If the *NAS Synchronisation Indicator* IE is contained in the RELOCATION REQUEST message, the target RNC shall pass it to the source RNC within the *RRC Container* IE contained in the *Target RNC to Source RNC Transparent Container* IE.

Transmission and reception of RELOCATION REQUEST ACKNOWLEDGE message terminates the procedure in the UTRAN and the CN respectively.

Before reporting the successful outcome of the Relocation Resource allocation procedure, the RNC shall have executed the initialisation of the user plane mode as requested by the CN in the *User Plane Mode* IE. If the RNC is requested to execute the user plane initialisation for the *User Plane Mode* “support mode for predefined SDU sizes”, it shall initialise all RAB subflow combinations on Iu as indicated in the *RAB parameters* IE. If not all of the indicated RAB subflow combinations can be initialised the RAB Assignment fails with the cause value “RNC unable to establish all RFCs”. The user plane initialisation is described in ref.[6].

**3GPP TSG-RAN3 Meeting #21** ***R3-011672***
**Busan, South Korea, May 21-25, 2001** **Revised version of R3-011516**

*CR-Form-v3*

# CHANGE REQUEST

⌘ **25.413** **CR** **293** ⌘ rev **1** ⌘ Current version: **3.5.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

***Proposed change affects:*** ⌘ (U)SIM ☐ ME/UE ☐ Radio Access Network ☒ Core Network ☒

| | | |
|---|---|---|
| ***Title:*** | ⌘ | Global RNC ID IE in INITIAL UE MESSAGE |
| ***Source:*** | ⌘ | R-WG3 |
| ***Work item code:*** | ⌘ | TEI |
| ***Date:*** | ⌘ | May 2001 |
| ***Category:*** | ⌘ | **F** |
| ***Release:*** | ⌘ | R99 |

*Use one of the following categories:*
***F*** *(correction)*
***A*** *(corresponds to a correction in an earlier release)*
***B*** *(Addition of feature),*
***C*** *(Functional modification of feature)*
***D*** *(Editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

*Use one of the following releases:*
*2 (GSM Phase 2)*
*R96 (Release 1996)*
*R97 (Release 1997)*
*R98 (Release 1998)*
*R99 (Release 1999)*
*REL-4 (Release 4)*
*REL-5 (Release 5)*

| | | |
|---|---|---|
| ***Reason for change:*** | ⌘ | In section 8.22.2, the Global RNC ID IE is missing in the list of IEs that must be included in the INITIAL UE MESSAGE. |
| ***Summary of change:*** | ⌘ | It is proposed to add the Global RNC ID IE to the list of IEs that must be included in the INITIAL UE MESSAGE. |
| ***Consequences if not approved:*** | ⌘ | Incomplete description of the INITIAL UE MESSAGE construction in section 8.22.2.<br>Backwards Compatibility Statement: This CR is backward compatible with the previous version of RANAP. |

| | | | | |
|---|---|---|---|---|
| ***Clauses affected:*** | ⌘ | 8.22.2 | | |
| ***Other specs affected:*** | ⌘ | ☒ Other core specifications<br>☐ Test specifications<br>☐ O&M Specifications | ⌘ | 25.413 v4.0.0: CR 294 (REL-4) |
| ***Other comments:*** | ⌘ | | | |

**How to create CRs using this form:**
Comprehensive information and tips about how to create CRs can be found at: http://www.3gpp.org/3G_Specs/CRs.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://www.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 8.22.2 Successful Operation


**Figure 1: Initial UE Message procedure. Successful operation.**

When RNC has received from radio interface a NAS message (see ref. [8]) to be forwarded to CN domain to which the Iu signalling connection for the UE does not exist, RNC shall initiate the Initial UE Message procedure and send the INITIAL UE MESSAGE message to the CN.

In addition to the received NAS-PDU, RNC shall add following information to the INITIAL UE MESSAGE message:

- CN domain indicator, indicating the CN domain towards which this message is sent.

- For CS domain, the LAI which was the last LAI indicated to the UE by UTRAN via the current RRC connection, or if UTRAN had not yet indicated any LAI to the UE via the current RRC connection, then the LAI of the cell via which the current RRC connection was established.

- For PS domain, the LAI+RAC which were the last LAI+RAC indicated to the UE by UTRAN via the current RRC connection, or if UTRAN had not yet indicated any LAI+RAC to the UE via the current RRC connection, then the LAI+RAC of the cell via which the current RRC connection was established.

- Service Area corresponding to at least one of the cells from which the UE is consuming radio resources.

- Iu signalling connection identifier.

- Global RNC identifier.

The *Iu Signalling Connection Identifier* IE contains an Iu signalling connection identifier which is allocated by the RNC, and which the CN is required to store and remember for the duration of the Iu connection.

Whereas several processing entities within the CN (e.g. charging, interception, etc.) may make use of the location information given in the *SAI* IE and the *LAI* (and *RAC*) IE, the mobility management within the CN shall rely on the information given within the *LAI* IE (resp. *LAI* and *RAC* IEs) only.

**3GPP TSG-RAN3 Meeting #21** ***R3-011673***
**Busan, South Korea, May 21-25, 2001** **Revised version of R3-011517**

*CR-Form-v3*

# CHANGE REQUEST

⌘ **25.413** **CR** **294** ⌘ rev **1** ⌘ Current version: **4.0.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

***Proposed change affects:*** ⌘ (U)SIM ☐ ME/UE ☐ Radio Access Network ☒ Core Network ☒

| | | |
|---|---|---|
| ***Title:*** | ⌘ | Global RNC ID IE in INITIAL UE MESSAGE |
| ***Source:*** | ⌘ | R-WG3 |
| ***Work item code:*** | ⌘ | TEI |
| ***Date:*** | ⌘ | May 2001 |
| ***Category:*** | ⌘ | **A** |
| ***Release:*** | ⌘ | REL-4 |

*Use one of the following categories:*
***F*** *(correction)*
***A*** *(corresponds to a correction in an earlier release)*
***B*** *(Addition of feature),*
***C*** *(Functional modification of feature)*
***D*** *(Editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

*Use one of the following releases:*
*2 (GSM Phase 2)*
*R96 (Release 1996)*
*R97 (Release 1997)*
*R98 (Release 1998)*
*R99 (Release 1999)*
*REL-4 (Release 4)*
*REL-5 (Release 5)*

| | | |
|---|---|---|
| ***Reason for change:*** | ⌘ | In section 8.22.2, the Global RNC ID IE is missing in the list of IEs that must be included in the INITIAL UE MESSAGE. |
| ***Summary of change:*** | ⌘ | It is proposed to add the Global RNC ID IE to the list of IEs that must be included in the INITIAL UE MESSAGE. |
| ***Consequences if not approved:*** | ⌘ | Incomplete description of the INITIAL UE MESSAGE construction in section 8.22.2.<br>Backwards Compatibility Statement: This CR is backward compatible with the previous version of RANAP. |

| | | | | |
|---|---|---|---|---|
| ***Clauses affected:*** | ⌘ | 8.22.2 | | |
| ***Other specs affected:*** | ⌘ | ☒ Other core specifications<br>☐ Test specifications<br>☐ O&M Specifications | ⌘ | 25.413 v3.5.0: CR 293 (R99) |
| ***Other comments:*** | ⌘ | | | |

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2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://www.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 8.22.2 Successful Operation


**Figure 1: Initial UE Message procedure. Successful operation.**

When RNC has received from radio interface a NAS message (see ref. [8]) to be forwarded to CN domain to which the Iu signalling connection for the UE does not exist, RNC shall initiate the Initial UE Message procedure and send the INITIAL UE MESSAGE message to the CN.

In addition to the received NAS-PDU, RNC shall add following information to the INITIAL UE MESSAGE message:

- CN domain indicator, indicating the CN domain towards which this message is sent.

- For CS domain, the LAI which was the last LAI indicated to the UE by UTRAN via the current RRC connection, or if UTRAN had not yet indicated any LAI to the UE via the current RRC connection, then the LAI of the cell via which the current RRC connection was established.

- For PS domain, the LAI+RAC which were the last LAI+RAC indicated to the UE by UTRAN via the current RRC connection, or if UTRAN had not yet indicated any LAI+RAC to the UE via the current RRC connection, then the LAI+RAC of the cell via which the current RRC connection was established.

- Service Area corresponding to at least one of the cells from which the UE is consuming radio resources.

- Iu signalling connection identifier.

- Global RNC identifier.

The *Iu Signalling Connection Identifier* IE contains an Iu signalling connection identifier which is allocated by the RNC, and which the CN is required to store and remember for the duration of the Iu connection.

Whereas several processing entities within the CN (e.g. charging, interception, etc.) may make use of the location information given in the *SAI* IE and the *LAI* (and *RAC*) IE, the mobility management within the CN shall rely on the information given within the *LAI* IE (resp. *LAI* and *RAC* IEs) only.

**3GPP TSG-RAN WG3 Meeting #21** ***R3-011817***
**Busan, Korea, 21st – 25th May, 2001**

*CR-Form-v3*

# CHANGE REQUEST

⌘ **25.413** **CR** **295** ⌘ rev **2** ⌘ Current version: **3.5.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

***Proposed change affects:*** ⌘ (U)SIM ☐ ME/UE ☐ Radio Access Network [X] Core Network [X]

| | | |
|---|---|---|
| ***Title:*** | ⌘ | CN Domain Indicator in OVERLOAD message |
| ***Source:*** | ⌘ | R-WG3 |
| ***Work item code:*** | ⌘ | TEI |
| ***Date:*** | ⌘ | 2001-05-14 |
| ***Category:*** | ⌘ | **F** |
| ***Release:*** | ⌘ | R99 |

*Use one of the following categories:*
***F*** *(essential correction)*
***A*** *(corresponds to a correction in an earlier release)*
***B*** *(Addition of feature),*
***C*** *(Functional modification of feature)*
***D*** *(Editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

*Use one of the following releases:*
*2 (GSM Phase 2)*
*R96 (Release 1996)*
*R97 (Release 1997)*
*R98 (Release 1998)*
*R99 (Release 1999)*
*REL-4 (Release 4)*
*REL-5 (Release 5)*

| | | |
|---|---|---|
| ***Reason for change:*** | ⌘ | The Overload procedure is currently lacking of a means to indicate to the RNC the CN domain suffering the signalling traffic overload.<br>If the CN domain indicator is given to the RNC (in DL direction), the RNC is able to apply signalling traffic reduction mechanisms towards the indicated domain only.<br>Due to the fact, that UTRAN is implemented in a domain independent way, the possibility to indicate the domain within the UL OVERLOAD message is seen unnecessary. |
| ***Summary of change:*** | ⌘ | Introduction of CN domain indicator in the DL OVERLOAD message to indicate the CN domain suffering the signalling traffic overload. |
| ***Consequences if not approved:*** | ⌘ | The RNC might apply signalling traffic reduction mechanisms to not affected CN domain.<br>This CR is backwards compatible |

| | | | | |
|---|---|---|---|---|
| ***Clauses affected:*** | ⌘ | 8.25.3, 9.1.38, 9.3.3, A.1.1 | | |
| ***Other specs affected:*** | ⌘ | [X] Other core specifications<br>☐ Test specifications<br>☐ O&M Specifications | ⌘ | 25.413 CR296r2 Rel-4 |
| ***Other comments:*** | ⌘ | | | |

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Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://www.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 8.25.3 Successful Operation

### 8.25.3.1 Overload at the CN


**Figure 11~~1~~: Overload at the CN. Successful operation.**

The CN should indicate to the RNC that it is in a congested state by sending an OVERLOAD message. The *CN Domain Indicator* IE may be included, if the CN can determine the domain suffering the signalling traffic overload.

~~At~~ The UTRAN receipt of this message should cause the reduction of signalling traffic towards the CN. ~~to the CN node sending the message.~~ If *CN Domain Indicator* IE is indicated within the OVERLOAD message, the RNC should apply signalling traffic reduction mechanisms to the indicated domain.

### 8.25.3.2 Overload at the UTRAN


**Figure 22~~2~~: Overload at the UTRAN. Successful operation.**

If the UTRAN is not capable to send signalling messages to the UE due to overloaded resources then the UTRAN should send an OVERLOAD message to the CN.

## 9.1.38 OVERLOAD

This message is sent by both the CN and the RNC to indicate that the node is overloaded.

Direction: RNC → CN and CN → RNC.

Signalling bearer mode: Connectionless.

| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|---|---|---|---|---|---|---|
| Message Type | M | | 9.2.1.1 | | YES | ignore |
| Number Of Steps | O | | 9.2.1.32 | | YES | ignore |
| Global RNC-ID | C- ifUL | | 9.2.1.39 | | YES | ignore |
| CN Domain Indicator | O | | 9.2.1.25 | | YES | ignore |

| Condition | Explanation |
|---|---|
| IfUL | This IE is always used in uplink direction |

## 9.3.3 PDU Definitions

***** unchanged ASN.1 code omitted *****

```
-- **************************************************************
--
-- OVERLOAD CONTROL ELEMENTARY PROCEDURE
--
-- **************************************************************

-- **************************************************************
--
-- Overload
--
-- **************************************************************

Overload ::= SEQUENCE {
    protocolIEs         ProtocolIE-Container       { {OverloadIEs} },
    protocolExtensions      ProtocolExtensionContainer { {OverloadExtensions} }                OPTIONAL,
    ...
}

OverloadIEs RANAP-PROTOCOL-IES ::= {
    { ID id-NumberOfSteps               CRITICALITY ignore  TYPE NumberOfSteps              PRESENCE optional } |
    { ID id-GlobalRNC-ID                CRITICALITY ignore  TYPE GlobalRNC-ID               PRESENCE conditional
    -- This IE is always used in the uplink direction --                                            },
    ...
}

OverloadExtensions RANAP-PROTOCOL-EXTENSION ::= {
    { ID id-CN-DomainIndicator          CRITICALITY ignore  EXTENSION CN-DomainIndicator    PRESENCE optional } ,
    ...
}
```

# Annex A (informative): RANAP Guidelines

## A.1 Rules for building RANAP messages

### A.1.1 Rules for RANAP messages that shall contain the CN Domain Indicator IE

Based on the principles described in [3], following rules can be deduced:

1) Any RANAP message initiating a connection oriented signalling connection shall contain the *CN Domain Indicator* IE. For the time being, two such RANAP messages are known: INITIAL UE MESSAGE message and RELOCATION REQUEST message.

2) Any RANAP message belonging to class 1 procedures that uses connectionless signalling shall contain the *CN Domain Indicator* IE.

3) Following RANAP message belonging to class 2 procedures that uses connectionless signalling shall contain the *CN Domain Indicator* IE: PAGING message and ERROR INDICATION message, the OVERLOAD message in DL direction (see chapter 8.25.3.1) may contain the *CN Domain Indicator* IE.

**3GPP TSG-RAN WG3 Meeting #21** ***R3-011884***
**Busan, Korea, 21st – 25th May, 2001**

*CR-Form-v3*

# CHANGE REQUEST

⌘ **25.413 CR 296** ⌘ rev **3** ⌘ Current version: **4.0.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

***Proposed change affects:*** ⌘ (U)SIM ☐ ME/UE ☐ Radio Access Network ☒ Core Network ☒

| | | |
|---|---|---|
| ***Title:*** | ⌘ | CN Domain Indicator in OVERLOAD message |
| ***Source:*** | ⌘ | R-WG3 |
| ***Work item code:*** | ⌘ | TEI |
| ***Date:*** | ⌘ | 2001-05-14 |
| ***Category:*** | ⌘ | **A** |
| ***Release:*** | ⌘ | Rel-4 |

*Use one of the following categories:*
***F*** *(essential correction)*
***A*** *(corresponds to a correction in an earlier release)*
***B*** *(Addition of feature),*
***C*** *(Functional modification of feature)*
***D*** *(Editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

*Use one of the following releases:*
*2 (GSM Phase 2)*
*R96 (Release 1996)*
*R97 (Release 1997)*
*R98 (Release 1998)*
*R99 (Release 1999)*
*REL-4 (Release 4)*
*REL-5 (Release 5)*

| | | |
|---|---|---|
| ***Reason for change:*** | ⌘ | The Overload procedure is currently lacking of a means to indicate to the RNC the CN domain suffering the signalling traffic overload.<br>If the CN domain indicator is given to the RNC (in DL direction), the RNC is able to apply signalling traffic reduction mechanisms towards the indicated domain only.<br>Due to the fact, that UTRAN is implemented in a domain independent way, the possibility to indicate the domain within the UL OVERLOAD message is seen unnecessary. |
| ***Summary of change:*** | ⌘ | Introduction of CN domain indicator in the DL OVERLOAD message to indicate the CN domain suffering the signalling traffic overload. |
| ***Consequences if not approved:*** | ⌘ | The RNC might apply signalling traffic reduction mechanisms to not affected CN domain.<br>This CR is backwards compatible |

| | | | | |
|---|---|---|---|---|
| ***Clauses affected:*** | ⌘ | 8.25.3, 9.1.38, 9.3.3, A.1.1 | | |
| ***Other specs affected:*** | ⌘ | ☒ Other core specifications<br>☐ Test specifications<br>☐ O&M Specifications | ⌘ | 25.413 CR295r2 R99 |
| ***Other comments:*** | ⌘ | | | |

**How to create CRs using this form:**
Comprehensive information and tips about how to create CRs can be found at: http://www.3gpp.org/3G_Specs/CRs.htm.
Below is a brief summary:

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2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://www.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 8.25.3 Successful Operation

### 8.25.3.1 Overload at the CN


**Figure 1: Overload at the CN. Successful operation.**

The CN should indicate to the RNC that it is in a congested state by sending an OVERLOAD message. . The *CN Domain Indicator* IE may be included, if the CN can determine the domain suffering the signalling traffic overload.

~~At t~~The UTRAN receipt of this message should cause the reduction of signalling traffic towards the CN ~~node sending the message~~. If *CN Domain Indicator* IE is indicated within the OVERLOAD message, the RNC should apply signalling traffic reduction mechanisms to the indicated domain

### 8.25.3.2 Overload at the UTRAN


**Figure 2: Overload at the UTRAN. Successful operation.**

If the UTRAN is not capable to send signalling messages to the UE due to overloaded resources then the UTRAN should send an OVERLOAD message to the CN.

## 9.1.38 OVERLOAD

This message is sent by both the CN and the RNC to indicate that the node is overloaded.

Direction: RNC → CN and CN → RNC.

Signalling bearer mode: Connectionless.

| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|---|---|---|---|---|---|---|
| Message Type | M | | 9.2.1.1 | | YES | ignore |
| Number Of Steps | O | | 9.2.1.32 | | YES | ignore |
| Global RNC-ID | C- ifUL | | 9.2.1.39 | | YES | ignore |
| CN Domain Indicator | O | | 9.2.1.25 | | YES | ignore |

| Condition | Explanation |
|---|---|
| IfUL | This IE is always used in uplink direction |

## 9.3.3 PDU Definitions

***** unchanged ASN.1 code omitted *****

```
-- **************************************************************
--
-- OVERLOAD CONTROL ELEMENTARY PROCEDURE
--
-- **************************************************************

-- **************************************************************
--
-- Overload
--
-- **************************************************************

Overload ::= SEQUENCE {
    protocolIEs         ProtocolIE-Container       { {OverloadIEs} },
    protocolExtensions      ProtocolExtensionContainer { {OverloadExtensions} }                OPTIONAL,
    ...
}

OverloadIEs RANAP-PROTOCOL-IES ::= {
    { ID id-NumberOfSteps               CRITICALITY ignore  TYPE NumberOfSteps              PRESENCE optional } |
    { ID id-GlobalRNC-ID                CRITICALITY ignore  TYPE GlobalRNC-ID               PRESENCE conditional
    -- This IE is always used in the uplink direction --                                            },
    ...
}

OverloadExtensions RANAP-PROTOCOL-EXTENSION ::= {
    { ID id-CN-DomainIndicator          CRITICALITY ignore  EXTENSION CN-DomainIndicator    PRESENCE optional } ,
    ...
}
```

# Annex A (informative): RANAP guidelines

## A.1 Rules for building RANAP messages

### A.1.1 Rules for RANAP messages that shall contain the CN Domain Indicator IE

Based on the principles described in [3], following rules can be deduced:

1) Any RANAP message initiating a connection oriented signalling connection shall contain the *CN Domain Indicator* IE. For the time being, two such RANAP messages are known: INITIAL UE MESSAGE message and RELOCATION REQUEST message.

2) Any RANAP message belonging to class 1 procedures that uses connectionless signalling shall contain the *CN Domain Indicator* IE.

3) Following RANAP message belonging to class 2 procedures that uses connectionless signalling shall contain the *CN Domain Indicator* IE: PAGING message and ERROR INDICATION message, the OVERLOAD message in DL direction (see chapter 8.25.3.1) may contain the *CN Domain Indicator* IE.

**3GPP TSG-RAN WG3 Meeting #21** ***R3-011733***
**Busan, Korea, May 21st – 25th, 2001**

*CR-Form-v3*

# CHANGE REQUEST

⌘ **25.413** **CR** **298** ⌘ rev **-1** ⌘ Current version: **3.5.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

***Proposed change affects:*** ⌘ (U)SIM ☐ ME/UE ☐ Radio Access Network **X** Core Network **X**

| | | |
|---|---|---|
| ***Title:*** | ⌘ | Reference to superseded versions of ASN.1 documents |
| ***Source:*** | ⌘ | R-WG3 |
| ***Work item code:*** | ⌘ | TEI |
| ***Date:*** | ⌘ | 2001-05-23 |
| ***Category:*** | ⌘ | **F** |
| ***Release:*** | ⌘ | R99 |

*Use one of the following categories:*
***F*** *(essential correction)*
***A*** *(corresponds to a correction in an earlier release)*
***B*** *(Addition of feature),*
***C*** *(Functional modification of feature)*
***D*** *(Editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

*Use one of the following releases:*
*2 (GSM Phase 2)*
*R96 (Release 1996)*
*R97 (Release 1997)*
*R98 (Release 1998)*
*R99 (Release 1999)*
*REL-4 (Release 4)*
*REL-5 (Release 5)*

| | | |
|---|---|---|
| ***Reason for change:*** | ⌘ | The 1994 versions of X.680, X.681 and X.691 are referred to in 25.413. These versions have, however, been superseded by the 1997 versions. It is thus proposed to refer to the 1997 versions instead. |
| ***Summary of change:*** | ⌘ | Version of ASN.1 specifications changed to 1997 version.<br>25.921 is also updated to state that even though version 1997 is referenced, the specifications will only make use of version 1994 functionality. |
| ***Consequences if not approved:*** | ⌘ | References are made to not maintained specification versions.<br>The proposed changes are backwards compatible. |

| | | | | |
|---|---|---|---|---|
| ***Clauses affected:*** | ⌘ | 2 | | |
| ***Other specs affected:*** | ⌘ | **X** Other core specifications<br>☐ Test specifications<br>☐ O&M Specifications | ⌘ | CR299 (25.413 V4.0.0) , CRxxx (25.921 V3.3.0) |
| ***Other comments:*** | ⌘ | | | |

**How to create CRs using this form:**
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2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://www.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply".
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document.*


[1] 3GPP TR 23.930: "3rd Generation Partnership Project (3GPP) Technical Specification Group Services and System Aspects; Iu Principles".

[2] 3GPP TS 25.410: "3rd Generation Partnership Project (3GPP) Technical Specification Group Radio Access Network; UTRAN Iu Interface: General Aspects and Principles".

[3] 3GPP TS 25.401: "3rd Generation Partnership Project (3GPP) Technical Specification Group Radio Access Network; UTRAN Overall Description".

[4] 3GPP TR 25.931: "3rd Generation Partnership Project (3GPP) Technical Specification Group Radio Access Network; UTRAN Functions, Examples on Signalling Procedures".

[5] 3GPP TS 25.412: "3rd Generation Partnership Project (3GPP) Technical Specification Group Radio Access Network; UTRAN Iu Interface Signalling Transport".

[6] 3GPP TS 25.415: "3rd Generation Partnership Project (3GPP) Technical Specification Group Radio Access Network; UTRAN Iu Interface User Plane Protocols".

[7] 3GPP TS 23.107: "3rd Generation Partnership Project (3GPP) Technical Specification Group Services and System Aspects; QoS Concept and Architecture".

[8] 3GPP TS 24.008: "3rd Generation Partnership Project (3GPP); Mobile radio interface layer 3 specification, Core Network Protocols – Stage 3".

[9] 3GPP TS 25.414: "3rd Generation Partnership Project (3GPP) Technical Specification Group Radio Access Network; Iu Interface Data Transport and Transport Signalling".

[10] 3GPP TS 25.331: "3rd Generation Partnership Project (3GPP) Technical Specification Group Radio Access Network; RRC Protocol Specification".

[11] 3GPP TS 08.08: "Mobile services Switching Centre – Base Station System (MSC – BSS) interface".

[12] 3GPP TS 12.08: "Subscriber and equipment trace".

[13] X.691 (12/94): "Information Technology - ASN.1 encoding rules - Specification of Packed Encoding Rules (PER)".

[14] X.680, (12/94): "Information Technology - Abstract Syntax Notation One (ASN.1):Specification of basic notation".

[15] X.681 (12/94): "Information Technology - Abstract Syntax Notation One (ASN.1): Information object specification".

[16] 3GPP TS 23.110: "3rd Generation Partnership Project (3GPP) Technical Specification Group Services and System Aspects, UMTS Access Stratum, Services and Functions".

[17] 3GPP TS 25.323: "3rd Generation Partnership Project (3GPP) Technical Specification Group Radio Access Network; Packet Data Convergence Protocol (PDCP) Specification".

[18] 3GPP TS 25.921: "3rd Generation Partnership Project (3GPP) Technical Specification Group Radio Access Network; Guidelines and principles for protocol description and error handling".

[19] 3GPP TS 23.003: "3rd Generation Partnership Project (3GPP) Technical Specification Group Core Network; Numbering, addressing and identification".

[20] 3GPP TS 23.032: "3rd Generation Partnership Project (3GPP) Technical Specification Group Core Network; Universal Geographical Area Description (GAD)".

[21] 3GPP TS 23.060: "3rd Generation Partnership Project (3GPP) Technical Specification Group Services and System Aspect; General Packet Radio Service (GPRS); Service description; Stage 2".

**3GPP TSG-RAN WG3 Meeting #21** ***R3-011734***
**Busan, Korea, May 21st – 25th, 2001**

*CR-Form-v3*

# CHANGE REQUEST

⌘ **25.413** **CR** **299** ⌘ rev **-1** ⌘ Current version: **4.0.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

***Proposed change affects:*** ⌘ (U)SIM ☐ ME/UE ☐ Radio Access Network **X** Core Network **X**

| | | |
|---|---|---|
| ***Title:*** | ⌘ | Reference to superseded versions of ASN.1 documents |
| ***Source:*** | ⌘ | R-WG3 |
| ***Work item code:*** | ⌘ | TEI |
| ***Date:*** | ⌘ | 2001-05-23 |
| ***Category:*** | ⌘ | **A** |
| ***Release:*** | ⌘ | REL-4 |

*Use one of the following categories:*
***F*** *(essential correction)*
***A*** *(corresponds to a correction in an earlier release)*
***B*** *(Addition of feature),*
***C*** *(Functional modification of feature)*
***D*** *(Editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

*Use one of the following releases:*

| | |
|---|---|
| *2* | *(GSM Phase 2)* |
| *R96* | *(Release 1996)* |
| *R97* | *(Release 1997)* |
| *R98* | *(Release 1998)* |
| *R99* | *(Release 1999)* |
| *REL-4* | *(Release 4)* |
| *REL-5* | *(Release 5)* |

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|---|---|---|
| ***Reason for change:*** | ⌘ | The 1994 versions of X.680, X.681 and X.691 are referred to in 25.413. These versions have, however, been superseded by the 1997 versions. It is thus proposed to refer to the 1997 versions instead. |
| ***Summary of change:*** | ⌘ | Version of ASN.1 specifications changed to 1997 version.<br>25.921 is also updated to state that even though version 1997 is referenced, the specifications will only make use of version 1994 functionality. |
| ***Consequences if not approved:*** | ⌘ | References are made to not maintained specification versions.<br><br>The proposed changes are backwards compatible. |

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|---|---|---|---|---|---|
| ***Clauses affected:*** | ⌘ | 2 | | | |
| ***Other specs affected:*** | ⌘ | **X** | Other core specifications | ⌘ | CR298 (25.413 V3.5.0), CRxxx (25.921 V4.0.0) |
| | | ☐ | Test specifications | | |
| | | ☐ | O&M Specifications | | |
| ***Other comments:*** | ⌘ | | | | |

**How to create CRs using this form:**
Comprehensive information and tips about how to create CRs can be found at: http://www.3gpp.org/3G_Specs/CRs.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://www.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply".
- For a non-specific reference, the latest version applies".


[1] 3GPP TR 23.930: "3rd Generation Partnership Project (3GPP) Technical Specification Group Services and System Aspects; Iu Principles".

[2] 3GPP TS 25.410: "3rd Generation Partnership Project (3GPP) Technical Specification Group Radio Access Network; UTRAN Iu Interface: General Aspects and Principles".

[3] 3GPP TS 25.401: "3rd Generation Partnership Project (3GPP) Technical Specification Group Radio Access Network; UTRAN Overall Description".

[4] 3GPP TR 25.931: "3rd Generation Partnership Project (3GPP) Technical Specification Group Radio Access Network; UTRAN Functions, Examples on Signalling Procedures".

[5] 3GPP TS 25.412: "3rd Generation Partnership Project (3GPP) Technical Specification Group Radio Access Network; UTRAN Iu Interface Signalling Transport".

[6] 3GPP TS 25.415: "3rd Generation Partnership Project (3GPP) Technical Specification Group Radio Access Network; UTRAN Iu Interface User Plane Protocols".

[7] 3GPP TS 23.107: "3rd Generation Partnership Project (3GPP) Technical Specification Group Services and System Aspects; QoS Concept and Architecture".

[8] 3GPP TS 24.008: "3rd Generation Partnership Project (3GPP); Mobile radio interface layer 3 specification, Core Network Protocols – Stage 3".

[9] 3GPP TS 25.414: "3rd Generation Partnership Project (3GPP) Technical Specification Group Radio Access Network; Iu Interface Data Transport and Transport Signalling".

[10] 3GPP TS 25.331: "3rd Generation Partnership Project (3GPP) Technical Specification Group Radio Access Network; RRC Protocol Specification".

[11] 3GPP TS 08.08: "Mobile services Switching Centre – Base Station System (MSC – BSS) interface".

[12] 3GPP TS 12.08: "Subscriber and equipment trace".

[13] X.691 (12/97**4**): "Information Technology - ASN.1 encoding rules - Specification of Packed Encoding Rules (PER)".

[14] X.680, (12/97**4**): "Information Technology - Abstract Syntax Notation One (ASN.1):Specification of basic notation".

[15] X.681 (12/97**4**): "Information Technology - Abstract Syntax Notation One (ASN.1): Information object specification".

[16] 3GPP TS 23.110: "3rd Generation Partnership Project (3GPP) Technical Specification Group Services and System Aspects, UMTS Access Stratum, Services and Functions".

[17] 3GPP TS 25.323: "3rd Generation Partnership Project (3GPP) Technical Specification Group Radio Access Network; Packet Data Convergence Protocol (PDCP) Specification".

[18] 3GPP TS 25.921: "3rd Generation Partnership Project (3GPP) Technical Specification Group Radio Access Network; Guidelines and principles for protocol description and error handling".

[19] 3GPP TS 23.003: "3rd Generation Partnership Project (3GPP) Technical Specification Group Core Network; Numbering, addressing and identification".

[20] 3GPP TS 23.032: "3rd Generation Partnership Project (3GPP) Technical Specification Group Core Network; Universal Geographical Area Description (GAD)".

[21] 3GPP TS 23.060: "3rd Generation Partnership Project (3GPP) Technical Specification Group Services and System Aspect; General Packet Radio Service (GPRS); Service description; Stage 2".