TSG-RAN Meeting #10 ***RP-000540***
Bangkok, Thailand, 6 - 8 December 2000

Title: **Agreed CRs to TS 25.214**

Source: **TSG-RAN WG1**

Agenda item: **5.1.3**

No.	R1 T-doc	Spec	CR	Rev	Subject	Cat	V_old	V_new
1	R1-001226	25.214	128	1	Clarification of downlink quality measurement in SSDT	F	3.4.0	3.5.0
2	R1-001183	25.214	129	-	Formula typography and reference corrections	F	3.4.0	3.5.0
3	R1-001274	25.214	130	1	Radio link establishment and sync status reporting	F	3.4.0	3.5.0
4	R1-001213	25.214	133	-	Correction of RACH/CPCH physical random access procedure	F	3.4.0	3.5.0
5	R1-001214	25.214	134	-	Correction of uplink power control algorithm 2	F	3.4.0	3.5.0
6	R1-001463	25.214	135	1	TPC command generation on downlink during RLS initialisation	F	3.4.0	3.5.0
7	R1-001273	25.214	136	1	Clarification of RACH behaviour at maximum and minimum power	F	3.4.0	3.5.0
8	R1-001333	25.214	137	-	Clarifications on the description of the radio link establishment procedure (when no radio link exists)	F	3.4.0	3.5.0
9	R1-001437	25.214	138	1	Corrections on power control preambles	F	3.4.0	3.5.0
10	R1-001438	25.214	139	1	Clarification of RACH procedure	F	3.4.0	3.5.0
11	R1-001400	25.214	140	-	Uplink power control in compressed mode	F	3.4.0	3.5.0
12	R1-001420	25.214	141	1	Revision of the abbreviation list	F	3.4.0	3.5.0

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25.214	**CR**	**128r1**		Current Version:	3.4.0

GSM (AA.BB) or 3G (AA.BBB) specification number ↑ *↑ CR number as allocated by MCC support team*

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Proposed change affects: *(at least one should be marked with an X)*	(U)SIM		ME	X	UTRAN / Radio	X	Core Network

Source: TSG RAN WG1 **Date:** 2000-10-10

Subject: Clarification of downlink quality measurement in SSDT

Work item:

Category:				**Release:**	
	F	Correction	X	Phase 2	
	A	Corresponds to a correction in an earlier release		Release 96	
(only one category	B	Addition of feature		Release 97	
Shall be marked	C	Functional modification of feature		Release 98	
With an X)	D	Editorial modification		Release 99	X
				Release 00	

Reason for change: During SSDT, UE should measure downlink reception quality only on the primary cell signal, and calculate downlink TPC commands based on that measurement. This should be clarified. Also, ambiguity in the description of TPC procedure in the network should be removed.

Clauses affected: 5.2.1.4.2, 5.2.1.4.5, Annex B.2

Other specs Affected:			
	Other 3G core specifications		→ List of CRs:
	Other GSM core specifications		→ List of CRs:
	MS test specifications		→ List of CRs:
	BSS test specifications		→ List of CRs:
	O&M specifications		→ List of CRs:

Other comments:

5.2.1.4.2 TPC procedure in UE

~~The TPC procedure of the UE in SSDT is identical to that described in subclause 5.2.1.2 or 5.2.1.3 in compressed mode.~~ The UE shall generate TPC commands to control the network transmit power and send them in the TPC field of the uplink DPCCH. An example on how to derive the TPC commands is given in Annex B.2.

5.2.1.4.3 Selection of primary cell

The UE selects a primary cell periodically by measuring the RSCP of CPICHs transmitted by the active cells. The cell with the highest CPICH RSCP is detected as a primary cell.

5.2.1.4.4 Delivery of primary cell ID

The UE periodically sends the ID code of the primary cell via portion of the uplink FBI field assigned for SSDT use (FBI S field). A cell recognises its state as non-primary if the following conditions are fulfilled simultaneously:

- the received primary ID code does not match with the own ID code;
- the received uplink signal quality satisfies a quality threshold, Qth, a parameter defined by the network;
- and when the use of uplink compressed mode does not result in excessive levels of puncturing on the coded ID. The acceptable level of puncturing on the coded ID is less than (int)N_{ID}/3 symbols in the coded ID, where N_{ID} is the length of the coded ID.

Otherwise the cell recognises its state as primary.

The state of the cells (primary or non-primary) in the active set is updated synchronously. If a cell receives the last portion of the coded ID in uplink slot j, the state of cell is updated in downlink slot (j+1+T_{os}) mod 15, where T_{os} is defined as a constant of 2 time slots. The updating of the cell state is not influenced by the operation of downlink compressed mode.

At the UE, the primary ID code to be sent to the cells is segmented into a number of portions. These portions are distributed in the uplink FBI S-field. The cell in SSDT collects the distributed portions of the primary ID code and then detects the transmitted ID. The period of the primary cell update depends on the settings of the code length and the number of FBI bits assigned for SSDT use as shown in table 5.

Table 5: Period of primary cell update

code length	The number of FBI bits per slot assigned for SSDT	
	1	2
"long"	1 update per frame	2 updates per frame
"medium"	2 updates per frame	4 updates per frame
"short"	3 updates per frame	5 updates per frame

5.2.1.4.5 TPC procedure in the network

In SSDT, a non-primary cell can switch off its DPDCH output (i.e. no transmissions).

The cell manages two downlink transmission power levels, P1, and P2. Power level P1 is used for downlink DPCCH transmission power level and this level is updated ~~as~~in the same way with the downlink DPCCH power adjustment specified in 5.2.1.2.2 (for normal mode) and ~~or~~ 5.2.1.3 (for~~in~~ compressed mode) regardless of the selected state (primary or non-primary). The actual transmission power of TFCI, TPC and pilot fields of DPCCH is set by adding P1 and the offsets PO1, PO2 and PO3, respectively, as specified in 5.2.1.1. P2 is used for downlink DPDCH transmission power level and this level is set to P1 if the cell is selected as primary, otherwise P2 is switched off. The cell updates P1 first and P2 next, and then the two power settings P1 and P2 are maintained within the power control dynamic range. Table 6 summarizes the updating method of P1 and P2.

Table 6: Updating of P1 and P2

State of cell	P1 (DPCCH)	P2 (DPDCH)
non primary	Updated ~~by~~in the same way ~~as~~with the downlink DPCCH power adjustment specified in 5.2.1.2.2 and~~or~~ 5.2.1.3 ~~in compressed mode~~	Switched off
primary		= P1

B.2 Example of implementation in the UE

The downlink inner-loop power control adjusts the network transmit power in order to keep the received downlink SIR at a given SIR target, SIR_{target}. A higher layer outer loop adjusts SIR_{target} independently for each connection.

The UE should estimate the received downlink DPCCH/DPDCH power of the connection to be power controlled. Simultaneously, the UE should estimate the received interference. The obtained SIR estimate SIR_{est} is then used by the UE to generate TPC commands according to the following rule: if $SIR_{est} > SIR_{target}$ then the TPC command to transmit is "0", requesting a transmit power decrease, while if $SIR_{est} < SIR_{target}$ then the TPC command to transmit is "1", requesting a transmit power increase.

When the UE is in soft handover and SSDT is not activated, the UE should estimate SIR_{est} from the downlink signals of all cells in the active set.

When SSDT is activated, the UE should estimate SIR_{est} from the downlink signals of the primary cell. If the state of the cells (primary or non-primary) in the active set is changed and the UE sends the last portion of the coded ID in uplink slot j, the UE should change the basis for the estimation of SIR_{est} at the beginning of downlink slot $(j+1+T_{os})$ mod 15, where T_{os} is defined as a constant of 2 time slots.

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25.214	**CR**	**129**	Current Version:	3.4.0

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Proposed change affects: *(at least one should be marked with an X)*

(U)SIM		ME	X	UTRAN / Radio	X	Core Network	

Source: TSG RAN WG1 **Date:** 29 Sep 2000

Subject: Formula typography and reference corrections

Work item:

Category: *(only one category shall be marked with an X)*

			Release:	
F	Correction	X	Phase 2	
A	Corresponds to a correction in an earlier release		Release 96	
B	Addition of feature		Release 97	
C	Functional modification of feature		Release 98	
D	Editorial modification		Release 99	X
			Release 00	

Reason for change: The changed expression is not in the correct 3GPP formulaic format. (it pretends to be in C code). Three document references have been changed into the proper reference table format.

Clauses affected: 5.1.3.2, 5.2.1.3, 5.2.1.4.4, 7.1

Other specs affected:

Other 3G core specifications		→ List of CRs:
Other GSM core specifications		→ List of CRs:
MS test specifications		→ List of CRs:
BSS test specifications		→ List of CRs:
O&M specifications		→ List of CRs:

Other comments:

<--------- double-click here for help and instructions on how to create a CR.

5.1.3.2 Power control in the message part

The uplink transmit power control procedure simultaneously controls the power of a PCPCH control part and its corresponding PCPCH data part. The relative transmit power offset between the PCPCH control part and the PCPCH data part is determined by the network and is computed according to sub-clause 5.1.2.5 using the gain factors signalled to the UE using higher-layer signalling, with the difference that:

- β_c is the gain factor for the PCPCH control part (similar to DPCCH);
- β_d is the gain factor for the PCPCH data part (similar to DPDCH).

The gain factors are applied as shown in sub clause 4.2.3.2 of [3]~~25.213~~.

The operation of the inner power control loop adjusts the power of the PCPCH control part and PCPCH data part by the same amount, provided there are no changes in gain factors.

Any change in the uplink PCPCH control part transmit power shall take place immediately before the start of the pilot field on the control part of the message part. The change in PCPCH control part power with respect to its value in the previous slot is derived by the UE and is denoted by $\Delta_{PCPCH-CP}$ (in dB).

During the operation of the uplink power control procedure the UE transmit power shall not exceed a maximum allowed value which is the lower out of the maximum output power of the terminal power class and a value which may be set by higher layer signalling.

Uplink power control shall be performed while the UE transmit power is below the maximum allowed output power.

The provisions for power control at the maximum allowed value and below the required minimum output power (as defined in [7]) are described in sub-clause 5.1.2.6.

The uplink inner-loop power control adjusts the UE transmit power in order to keep the received uplink signal-to-interference ratio (SIR) at a given SIR target, SIR_{target}, which is set by the higher layer outer loop.

The network should estimate the signal-to-interference ratio SIR_{est} of the received PCPCH . The network should then generate TPC commands and transmit the commands once per slot according to the following rule: if $SIR_{est} > SIR_{target}$ then the TPC command to transmit is "0", while if $SIR_{est} < SIR_{target}$ then the TPC command to transmit is "1".

The UE derives a TPC command, TPC_cmd, for each slot. Two algorithms shall be supported by the UE for deriving a TPC_cmd. Which of these two algorithms is used is determined by a higher-layer parameter, "PowerControlAlgorithm", and is under the control of the UTRAN. If "PowerControlAlgorithm" indicates "algorithm1", then the layer 1 parameter PCA shall take the value 1 and if "PowerControlAlgorithm" indicates "algorithm2" then PCA shall take the value 2.

If PCA has the value 1, Algorithm 1, described in subclause 5.1.2.2.2, shall be used for processing TPC commands.

If PCA has the value 2, Algorithm 2, described in subclause 5.1.2.2.3, shall be used for processing TPC commands.

The step size Δ_{TPC} is a layer 1 parameter which is derived from the higher-layer parameter "TPC-StepSize" which is under the control of the UTRAN. If "TPC-StepSize" has the value "dB1", then the layer 1 parameter Δ_{TPC} shall take the value 1 dB and if "TPC-StepSize" has the value "dB2", then Δ_{TPC} shall take the value 2 dB.

After deriving the TPC command TPC_cmd using one of the two supported algorithms, the UE shall adjust the transmit power of the uplink PCPCH control part with a step of $\Delta_{PCPCH-CP}$ (in dB) which is given by:

$$\Delta_{PCPCH-CP} = \Delta_{TPC} \times TPC\_cmd$$

5.2.1.3 Power control in compressed mode

The aim of downlink power control in uplink or/and downlink compressed mode is to recover as fast as possible a signal-to-interference ratio (SIR) close to the target SIR after each transmission gap.

The UE behaviour is the same in compressed mode as in normal mode, described in subclause 5.2.1.2.

In compressed mode, compressed frames may occur in either the uplink or the downlink or both. In compressed frames, the transmission of downlink DPDCH(s) and DPCCH shall be stopped during transmission gaps.

The power of the DPCCH and DPDCH in the first slot after the transmission gap should be set to the same value as in the slot just before the transmission gap.

In every slot during compressed mode except during downlink transmission gaps, UTRAN shall estimate the *k*:th TPC command and adjust the current downlink power $P(k-1)$ [dB] to a new power $P(k)$ [dB] according to the following formula:

$P(k) = P(k - 1) + P_{TPC}(k) + P_{SIR}(k) + P_{bal}(k)$,

where $P_{TPC}(k)$ is the *k*:th power adjustment due to the inner loop power control, $P_{SIR}(k)$ is the k-th power adjustment due to the downlink target SIR variation, and $P_{bal}(k)$ [dB] is a correction according to the downlink power control procedure for balancing radio link powers towards a common reference power. The power balancing procedure and control of the procedure is described in [6]~~TS 25.433~~, and an example of how $P_{bal}(k)$ can be calculated is given in Annex B.3.

Due to transmission gaps in uplink compressed frames, there may be missing TPC commands in the uplink. If no uplink TPC command is received, $P_{TPC}(k)$ derived by the Node B shall be set to zero. Otherwise, $P_{TPC}(k)$ is calculated the same way as in normal mode (see sub-clause 5.2.1.2.2) but with a step size Δ_{STEP} instead of Δ_{TPC}.

The power control step size $\Delta_{STEP} = \Delta_{RP-TPC}$ during RPL slots after each transmission gap and $\Delta_{STEP} = \Delta_{TPC}$ otherwise, where:

- RPL is the recovery period length and is expressed as a number of slots. RPL is equal to the minimum value out of the transmission gap length and 7 slots. If a transmission gap is scheduled to start before RPL slots have elapsed, then the recovery period shall end at the start of the gap, and the value of RPL shall be reduced accordingly.

- Δ_{RP-TPC} is called the recovery power control step size and is expressed in dB. Δ_{RP-TPC} is equal to the minimum value of 3 dB and $2\Delta_{TPC}$.

The power offset $P_{SIR}(k) = \delta P_{curr} - \delta P_{prev}$, where δP_{curr} and δP_{prev} are respectively the value of δP in the current slot and the most recently transmitted slot and δP is computed as follows:

$$\delta P = \max(\Delta P1\_compression, \ldots, \Delta Pn\_compression) + \Delta P1\_coding + \Delta P2\_coding$$

where n is the number of different TTI lengths amongst TTIs of all TrChs of the CCTrCh, where ΔP1_coding and ΔP2_coding are computed from uplink parameters DeltaSIR1, DeltaSIR2, DeltaSIRafter1, DeltaSIRafter2 signaled by higher layers as:

- ΔP1_coding = DeltaSIR1 if the start of the first transmission gap in the transmission gap pattern is within the current frame.

- ΔP1coding = DeltaSIRafter1 if the current frame just follows a frame containing the start of the first transmission gap in the transmission gap pattern.

- ΔP2_coding = DeltaSIR2 if the start of the second transmission gap in the transmission gap pattern is within the current frame.

- ΔP2_coding = DeltaSIRafter2 if the current frame just follows a frame containing the start of the second transmission gap in the transmission gap pattern.

- ΔP1_coding = 0 dB and ΔP2_coding = 0 dB in all other cases.

and ΔPi_compression is defined by :

- ΔPi_compression = 3 dB for downlink frames compressed by reducing the spreading factor by 2.

- ΔPi_compression = 10 log ($15 * F_i / (15 * F_i - TGL_i)$) if there is a transmission gap created by puncturing method within the current TTI of length F_i frames, where TGL_i is the gap length in number of slots (either from one gap or a sum of gaps) in the current TTI of length F_i frames.

- ΔPi_compression = 0 dB in all other cases.

In case several compressed mode patterns are used simultaneously, a δP offset is computed for each compressed mode pattern and the sum of all δP offsets is applied to the frame.

5.2.1.4.4 Delivery of primary cell ID

The UE periodically sends the ID code of the primary cell via portion of the uplink FBI field assigned for SSDT use (FBI S field). A cell recognises its state as non-primary if the following conditions are fulfilled simultaneously:

- the received primary ID code does not match with the own ID code;
- the received uplink signal quality satisfies a quality threshold, Qth, a parameter defined by the network;
- and when the use of uplink compressed mode does not result in excessive levels of puncturing on the coded ID. The acceptable level of puncturing on the coded ID is less than ~~(int)~~ $\lfloor N_{ID}/3 \rfloor$ symbols in the coded ID, where N_{ID} is the length of the coded ID.

Otherwise the cell recognises its state as primary.

The state of the cells (primary or non-primary) in the active set is updated synchronously. If a cell receives the last portion of the coded ID in uplink slot j, the state of cell is updated in downlink slot $(j+1+T_{os})$ mod 15, where T_{os} is defined as a constant of 2 time slots. The updating of the cell state is not influenced by the operation of downlink compressed mode.

7.1 Determination of feedback information

The UE uses the Common PIlot CHannel (CPICH) to separately estimate the channels seen from each antenna.

Once every slot, the UE computes the phase adjustment, ϕ, and for mode 2 the amplitude adjustment that should be applied at the UTRAN access point to maximise the UE received power. In non-soft handover case, that can be accomplished by e.g. solving for weight vector, $\underline{w}$, that maximises.

$$P=\underline{w}^H H^H H\underline{w} \qquad (1)$$

where

$H=[\underline{h_1}\ \ \underline{h_2}]$ and $\underline{w} = [\ w_1, w_2\ ]^T$

and where the column vectors $\underline{h_1}$ and $\underline{h_2}$ represent the estimated channel impulse responses for the transmission antennas 1 and 2, of length equal to the length of the channel impulse response. The elements of $\underline{w}$ correspond to the adjustments computed by the UE.

During soft handover or SSDT power control, the antenna weight vector, $\underline{w}$ can be, for example, determined so as to maximise the criteria function:

$$P = \underline{w}^H(H_1^H H_1 + H_2^H H_2 + \cdots)\underline{w} \qquad (2)$$

where H_i is an estimated channel impulse response for BS#i. In regular SHO, the set of BS#i corresponds to the active set. With SSDT, the set of BS#i corresponds to the primary base station(s).

The UE feeds back to the UTRAN access point the information on which phase/power settings to use. Feedback Signalling Message (FSM) bits are transmitted in the portion of FBI field of uplink DPCCH slot(s) assigned to closed loop mode transmit diversity, the FBI D field (see [1]~~25.211~~). Each message is of length $N_W = N_{po}+N_{ph}$ bits and its format is shown in the figure 4. The transmission order of bits is from MSB to LSB, i.e. MSB is transmitted first. FSM_{po} and FSM_{ph} subfields are used to transmit the power and phase settings, respectively.

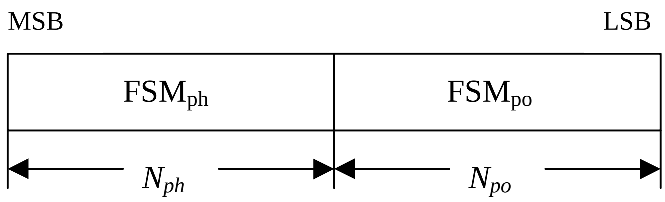

Figure 4: Format of feedback signalling message. FSM_{po} transmits the power setting and FSM_{ph} the phase setting

The adjustments are made by the UTRAN Access Point at the beginning of the downlink DPCCH pilot field. The downlink slot in which the adjustment is done is signalled to L1 of UE by higher layers. Two possibilities exist:

1) When feedback command is transmitted in uplink slot *i*, which is transmitted in a chip offset limited to 1024 ± 148 chips when compared to received downlink slot *j*, the adjustment is done at the beginning of the pilot field of the downlink slot (*j*+1) mod 15.

2) When feedback command is transmitted in uplink slot *i*, which is transmitted in a chip offset limited to 1024 ± 148 chips when compared to received downlink slot *j*, the adjustment is done at the beginning of the pilot field of the downlink slot (*j*+2) mod 15.

Thus, adjustment timing at UTRAN Access Point is either according to 1) or 2) as controlled by the higher layers.

In case a PDSCH is associated with a DPCH for which closed-loop transmit diversity is applied, the antenna weights applied to the PDSCH are the same as the antenna weights applied to the associated DPCH. The timing of the weight adjustment of the PDSCH is such that the PDSCH weight adjustment is done at the PDSCH slot border, N chips after the adjustment of the associated DPCH, where $0 \leq N < 2560$.

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25.214	**CR**	**130r1**	Current Version:	3.4.0

GSM (AA.BB) or 3G (AA.BBB) specification number ↑ *↑ CR number as allocated by MCC support team*

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Proposed change affects: *(at least one should be marked with an X)*	(U)SIM		ME	X	UTRAN / Radio	X	Core Network

Source:	TSG RAN WG1	**Date:**	2000-10-10
Subject:	Radio link establishment and sync status reporting		
Work item:			

Category:	F	Correction	X	**Release:**	Phase 2	
	A	Corresponds to a correction in an earlier release			Release 96	
(only one category	B	Addition of feature			Release 97	
Shall be marked	C	Functional modification of feature			Release 98	
With an X)	D	Editorial modification			Release 99	X
					Release 00	

Reason for change:	The current radio link establishment criteria cannot be fulfilled without the network sending the UE transport blocks. This is unnecessarily inflexible. Further, a number of clarifications of ambiguous formulations have been made.
Clauses affected:	4.3.1.2, 5.1.2.2.1.1

Other specs Affected:	Other 3G core specifications		→ List of CRs:	
	Other GSM core specifications		→ List of CRs:	
	MS test specifications		→ List of CRs:	
	BSS test specifications		→ List of CRs:	
	O&M specifications		→ List of CRs:	

Other comments:	

4 Synchronisation procedures

4.1 Cell search

During the cell search, the UE searches for a cell and determines the downlink scrambling code and common channel frame synchronisation of that cell. How cell search is typically done is described in Annex C.

4.2 Common physical channel synchronisation

The radio frame timing of all common physical channels can be determined after cell search. The P-CCPCH radio frame timing is found during cell search and the radio frame timing of all common physical channel are related to that timing as described in [1].

4.3 DPCCH/DPDCH synchronisation

4.3.1 Synchronisation primitives

4.3.1.1 General

For the dedicated channels, synchronisation primitives are used to indicate the synchronisation status of radio links, both in uplink and downlink. The definition of the primitives is given in the following subclauses.

4.3.1.2 Downlink synchronisation primitives

Layer 1 in the UE shall every radio frame check synchronisation status of the downlink dedicated channels. Synchronisation status is indicated to higher layers using the CPHY-Sync-IND and CPHY-Out-of-Sync-IND primitives.

The criteria for reporting synchronisation status are defined in two different phases.

The first phase lasts until 160 ms after the downlink dedicated channel is considered established by higher layers (physical channel establishment is defined in [5]). During this time out-of-sync shall not be reported and in-sync shall be reported using the CPHY-Sync-IND primitive if the following criterion is fulfilled:

- The UE estimates the DPCCH quality over the previous 40 ms period to be better than a threshold Q_{in}. This criterion shall be assumed not to be fulfilled before 40 ms of DPCCH quality measurements have been collected. Q_{in} is defined implicitly by the relevant tests in [7].

The second phase starts 160 ms after the downlink dedicated channel is considered established by higher layers. During this phase both out-of-sync and in-sync are reported as follows.

Out-of-sync shall be reported using the CPHY-Out-of-Sync-IND primitive if either of the following criteria are~~is~~ fulfilled:

- The UE estimates the DPCCH quality over the previous~~last~~ 160~~200~~ ms period to be worse than a threshold Q_{out}. ~~This criterion shall never be fulfilled during the first 200 ms of the dedicated channel's existence~~. Q_{out} is defined implicitly by the relevant tests in [7].
- The ~~last~~ 20 most recently received transport blocks with a CRC attached, as observed on all TrCHs using CRC, have been~~are~~ received with incorrect CRC. In addition, over the previous~~last~~ 160~~200~~ ms, all~~no~~ transport blocks with a CRC attached have~~s~~ been received with incorrect CRC.

In-sync shall be reported using the CPHY-Sync-IND primitive if both of the following criteria are fulfilled:

- The UE estimates the DPCCH quality over the previous~~last~~ 160~~200~~ ms period to be better than a threshold Q_{in}. ~~This criterion shall always be fulfilled during the first 200 ms of the dedicated channel's existence.~~ Q_{in} is defined implicitly by the relevant tests in [7].

- At least one transport block with a CRC attached, as observed on all TrCHs using CRC, is received in a TTI ending in the current frame with correct CRC. If no transport blocks are received, or no transport block has a CRC attached, this criterion shall be assumed to be fulfilled. ~~If there is no TrCH using CRC, this criterion is always fulfilled.~~

How the primitives are used by higher layers is described in [5]. The above definitions may lead to radio frames where neither the in-sync nor the out-of-sync primitives are reported.

4.3.1.3 Uplink synchronisation primitives

Layer 1 in the Node B shall every radio frame check synchronisation status of all radio link sets. Synchronisation status is indicated to the RL Failure/Restored triggering function using either the CPHY-Sync-IND or CPHY-Out-of-Sync-IND primitive. Hence, only one synchronisation status indication shall be given per radio link set.

The exact criteria for indicating in-sync/out-of-sync is not subject to specification, but could e.g. be based on received DPCCH quality or CRC checks. One example would be to have the same criteria as for the downlink synchronisation status primitives.

5.1.2.2.1.1 Out of synchronisation handling

After 160 ms after physical channel establishment (defined in [5]), the UE shall control its transmitter according to a downlink DPCCH quality criterion as follows:

- The UE shall shut its transmitter off when the UE estimates the DPCCH quality over the last 160200 ms period to be worse than a threshold Q_{out}. This criterion is never fulfilled during the first 200 ms of the dedicated channel's existence. Q_{out} is defined implicitly by the relevant tests in [7].

- The UE can turn its transmitter on again when the UE estimates the DPCCH quality over the last 160200 ms period to be better than a threshold Q_{in}. This criterion is always fulfilled during the first 200 ms of the dedicated channel's existence. Q_{in} is defined implicitly by the relevant tests in [7]. When transmission is resumed, the power of the DPCCH shall be the same as when the UE transmitter was shut off.

3GPP TSG RAN Meeting #10
Bangkok, Thailand, 6-8, December 2000

Document *R1-00-1213*
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25.214	**CR**	**133**	Current Version:	3.4.0	

GSM (AA.BB) or 3G (AA.BBB) specification number ↑ *↑ CR number as allocated by MCC support team*

For submission to:	RAN #10	for approval	X	strategic		*(for SMG use only)*
list expected approval meeting # here ↑		for information		non-strategic		

Form: CR cover sheet, version 2 for 3GPP and SMG *The latest version of this form is available from:* ftp://ftp.3gpp.org/Information/CR-Form-v2.doc

Proposed change affects: (U)SIM [ ] ME [X] UTRAN / Radio [X] Core Network [ ]
(at least one should be marked with an X)

Source:	TSG RAN WG1	**Date:**	2000-10-06
Subject:	Correction of RACH/CPCH physical random access procedure		
Work item:			

Category:
(only one category shall be marked with an X)

F	Correction	X	**Release:**	Phase 2	
A	Corresponds to a correction in an earlier release			Release 96	
B	Addition of feature			Release 97	
C	Functional modification of feature			Release 98	
D	Editorial modification			Release 99	X
				Release 00	

Reason for change: In the RAN WG1 #15 meeting, there was the CR which title is "25.214CR122r1 clarifying the RACH subchannel description". But unfortunately, in step 2, the available access slots are derived but selecting one access slot from derived access slots is not specified. Our understanding in step 2 is random selection. The attached CR for 25.214 aims to resolve this issue. Same modifications are applied to the CPCH section.

Clauses affected: 6.1, 6.2

Other specs affected:

Other 3G core specifications		→ List of CRs:	
Other GSM core specifications		→ List of CRs:	
MS test specifications		→ List of CRs:	
BSS test specifications		→ List of CRs:	
O&M specifications		→ List of CRs:	

Other comments:

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6 Random access procedure

6.1 Physical random access procedure

The physical random access procedure described in this subclause is initiated upon request of a PHY-Data-REQ primitive from the MAC sublayer (cf. [9]).

Before the physical random-access procedure can be initiated, Layer 1 shall receive the following information from the higher layers (RRC):

- The preamble scrambling code.
- The message length in time, either 10 or 20 ms.
- The AICH_Transmission_Timing parameter [0 or 1].
- The available signatures and RACH sub-channel groups for each Access Service Class (ASC), where a sub-channel group is defined as a group of some of the sub-channels defined in subclause 6.1.1.
- The power-ramping factor Power_Ramp_Step [integer > 0].
- The parameter Preamble_Retrans_Max [integer > 0].
- The initial preamble power Preamble_Initial_Power.
- The power offset $\Delta P_{p\text{-}m} = P_{message\text{-}control} - P_{preamble}$, measured in dB, between the power of the last transmitted preamble and the control part of the random-access message.
- The set of Transport Format parameters. This includes the power offset between the data part and the control part of the random-access mesagee for each Transport Format.

Note that the above parameters may be updated from higher layers before each physical random access procedure is initiated.

At each initiation of the physical random access procedure, Layer 1 shall receive the following information from the higher layers (MAC):

- The Transport Format to be used for the PRACH message part.
- The ASC of the PRACH transmission.
- The data to be transmitted (Transport Block Set).

The physical random-access procedure shall be performed as follows:

1 Randomly select the RACH sub-channel group from the available ones for the given ASC. The random function shall be such that each of the allowed selections is chosen with equal probability.

2 Derive the available uplink access slots, in the next full access slot set, for the selected RACH sub-channel group with the help of subclauses 6.1.1. and 6.1.2. Randomly select one uplink access slot from the derived uplink access slots. If there is no access slot available in the selected set, randomly select one uplink access slot corresponding to the selected RACH sub-channel group from the next access slot set. The random function shall be such that each of the allowed selections is chosen with equal probability.

3 Randomly select a signature from the available signatures for the given ASC. The random function shall be such that each of the allowed selections is chosen with equal probability.

4 Set the Preamble Retransmission Counter to Preamble_Retrans_Max.

5 Set the preamble transmission power to Preamble_Initial_Power.

6 Transmit a preamble using the selected uplink access slot, signature, and preamble transmission power.

7 If no positive or negative acquisition indicator (AI ≠ +1 nor –1) corresponding to the selected signature is detected in the downlink access slot corresponding to the selected uplink access slot:

7.1 Select the next available access slot in the RACH sub-channel group chosen in 1.

7.2 Randomly selects a new signature from the available signatures within the given ASC. The random function shall be such that each of the allowed selections is chosen with equal probability.

7.3 Increase the preamble transmission power by ΔP_0 = Power_Ramp_Step [dB].

7.4 Decrease the Preamble Retransmission Counter by one.

7.5 If the Preamble Retransmission Counter > 0 then repeat from step 6. Otherwise pass L1 status ("No ack on AICH") to the higher layers (MAC) and exit the physical random access procedure.

8 If a negative acquisition indicator corresponding to the selected signature is detected in the downlink access slot corresponding to the selected uplink access slot, pass L1 status ("Nack on AICH received") to the higher layers (MAC) and exit the physical random access procedure.

9 Transmit the random access message three or four uplink access slots after the uplink access slot of the last transmitted preamble depending on the AICH transmission timing parameter. Transmission power of the control part of the random access message should be $\Delta P_{p\text{-}m}$ [dB] higher than the power of the last transmitted preamble. Transmission power of the data part of the random access message is set according to subclause 5.1.1.2.

10 Pass L1 status "RACH message transmitted" to the higher layers and exit the physical random access procedure.

6.1.1 RACH sub-channels

A RACH sub-channel defines a sub-set of the total set of uplink access slots. There are a total of 12 RACH sub-channels. RACH sub-channel #i (i = 0, …, 11) consists of the following uplink access slots:

- Uplink access slot #i leading by $\tau_{p\text{-}a}$ chips the downlink access slot #i contained within the 10 ms interval that is time aligned with P-CCPCH frames for which SFN mod 8 = 0 or SFN mod 8 = 1.
- Every 12^{th} access slot relative to this access slot.

The access slots of different RACH sub-channels are also illustrated in Table 7.

Table 7: The available uplink access slots for different RACH sub-channels

SFN modulo 8 of corresponding P-CCPCH frame	**Sub-channel number**											
	0	1	2	3	4	5	6	7	8	9	10	11
0	0	1	2	3	4	5	6	7				
1	12	13	14						8	9	10	11
2				0	1	2	3	4	5	6	7	
3	9	10	11	12	13	14						8
4	6	7					0	1	2	3	4	5
5			8	9	10	11	12	13	14			
6	3	4	5	6	7					0	1	2
7						8	9	10	11	12	13	14

6.1.2 RACH access slot sets

The PRACH contains two sets of access slots as shown in Figure 2. Access slot set 1 contains PRACH slots 0 – 7 and starts $\tau_{p\text{-}a}$ chips before the downlink P-CCPCH frame for which SFN mod 2 = 0. Access slot set 2 contains PRACH slots 8 - 14 and starts ($\tau_{p\text{-}a}$ –2560) chips before the downlink P-CCPCH frame for which SFN mod 2 = 1.

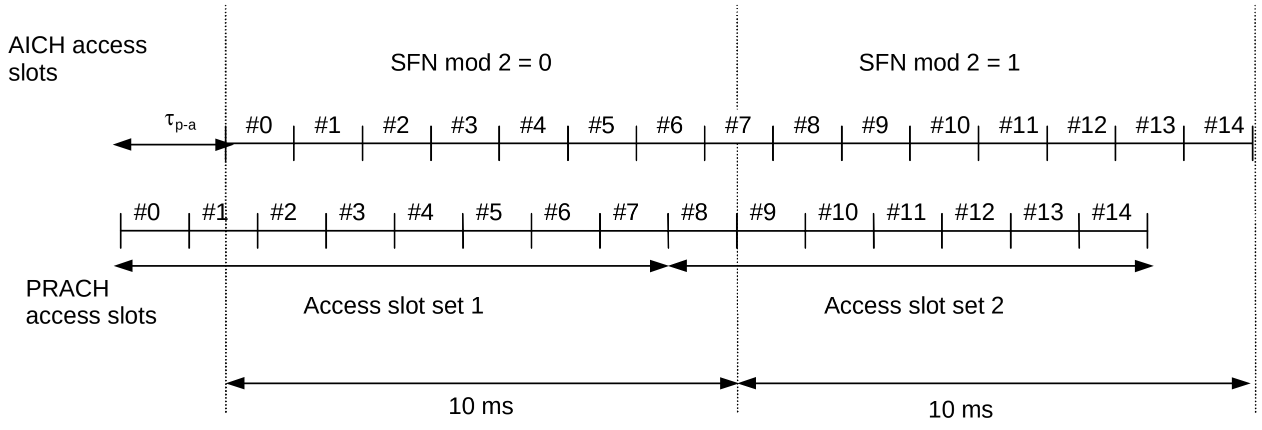

Figure 2: PRACH access slot and downlink AICH relation (τ_{p-a} = 7680 chips)

6.2 CPCH Access Procedures

For each CPCH physical channel in a CPCH set allocated to a cell the following physical layer parameters are included in the System Information message: L1 shall receive the following information from the higher layers (RRC).

- UL Access Preamble (AP) scrambling code.
- UL Access Preamble signature set.
- The Access preamble slot sub-channels group.
- AP- AICH preamble channelization code.
- UL Collision Detection(CD) preamble scrambling code.
- CD Preamble signature set.
- CD preamble slot sub-channels group.
- CD-AICH preamble channelization code.
- CPCH UL scrambling code.
- DPCCH DL channelization code.([512] chip).

NOTE: There may be some overlap between the AP signature set and CD signature set if they correspond to the same scrambling code.

The following physical layer parameters are received from the RRC layer:

1) N_AP_retrans_max = Maximum Number of allowed consecutive access attempts (retransmitted preambles) if there is no AICH response. This is a CPCH parameter and is equivalent to Preamble_Retrans_Max in RACH.

2) $P_{RACH} = P_{CPCH}$ = Initial open loop power level for the first CPCH access preamble sent by the UE.

   - [RACH/CPCH parameter].

3) ΔP_0 = Power step size for each successive CPCH access preamble.

   - [RACH/CPCH parameter].

4) ΔP_1 = Power step size for each successive RACH/CPCH access preamble in case of negative AICH. A timer is set upon receipt of a negative AICH. This timer is used to determine the period after receipt of a negative AICH when ΔP_1 is used in place of ΔP_0.

   - [RACH/CPCH parameter].

5) $\Delta P_{p\text{-}m} = P_{message\text{-}control} - P_{cd}$, measured in dB. This is the power offset between the transmit power of the CD preamble and the initial transmit power of the CPCH power control preamble (or the control part of the CPCH message part if the power control preamble length is 0 slots).

   [CPCH parameter]

6) T_{cpch} = CPCH transmission timing parameter: This parameter is identical to PRACH/AICH transmission timing parameter.

   - [RACH/CPCH parameter].

7) $L_{pc\text{-}preamble}$ = Length of power control preamble (0 or 8 slots).

   - [CPCH parameter].

8) $N_{Start\_Message}$ = Number of frames for the transmission of Start of Message Indicator in DL-DPCCH for CPCH.

9) The set of Transport Format parameters. This includes a Transport Format to PCPCH mapping table.

L1 shall receive the following information from MAC prior to packet transmission:

1) Transport Format of the message part.

2) The data to be transmitted is delivered to L1 once every TTI until the data buffer is empty.

The overall CPCH -access procedure consists of two parts:

1) Upon receipt of a Status-REQ message from the MAC layer, the UE shall start monitoring the CSICH to determine the availability of the transport formats in the transport format subset included in the Status-REQ message. UTRAN transmits availability of each PCPCH or maximum available data rate with availability of each PCPCH over the CSICH in case CA is active. Upper layers will supply the UE with information to map the transport formats to the PCPCHs. The UE shall send a Status-CNF message to the MAC layer containing the transport format subset listing the transport formats of the requested subset which are currently indicated as "available".

The actual access procedure is then:

2) Upon receipt of the Access-REQ message from the MAC layer, which contains an identified transport format from the available ones ,the following sequence of events occur. The use of step 2a or 2b depends on whether availability of each PCPCH or the Maximum available data rate along with the availability of each PCPCH is transmitted over CSICH. Note that in the first case, each access resource combination (AP signatures and access subchannel group) maps to each PCPCH resource and in the second case each access resource combination maps to each data rate.

2a) (In case CA is not Active) The UE shall test the value(s) of the most recent transmission of the CSICH Status Indicator(s) corresponding to the PCPCH channel(s) for the identified transport format included in the Access-REQ message. If this indicates that no channel is ‘available’ the UE shall abort the access attempt and send a failure message to the MAC layer. The UE shall also retain the availability status of the each PCPCH for further verification in a later phase.

2b) (In case CA is active) The CSICH Status Indicators indicate the maximum available data rate along with individual PCPCH availability. The UE shall test the value of the most recent transmission of the Status Indicator(s). If this indicates that the maximum available data rate is less than the requested data rate, the UE shall abort the access attempt and send a failure message to the MAC layer. The PHY provides the availability information to the MAC. The UE shall also retain the availability status of the each PCPCH for further channel assignment message verification in a later phase in case of success.

3) The UE sets the preamble transmit power to the value $P_{CPCH\_}$ which is supplied by the MAC layer for initial power level for this CPCH access attempt.

4) The UE sets the AP Retransmission Counter to N_AP_Retrans_Max.

5a) In the case CA is not active, the uplink access slot and signature to be used for the CPCH-AP transmission are selected in the following steps:

a) The UE selects randomly one PCPCH from the set of available PCPCH channel(s) as indicated on the CSICH and supporting the identified transport format included in the Access-REQ message. The random function shall be such that each of the allowed selections is chosen with equal probability.

b) The UE randomly selects a CPCH-AP signature from the set of available signatures in the access resource combination corresponding to the selected PCPCH in step a). The random function shall be such that each of the allowed selections is chosen with equal probability.

c) Using the AP access slot sub-channel group of the access resource combination corresponding to selected PCPCH in step a), the UE derives the available CPCH-AP access slots with the help of subclauses 6.1.1. and 6.1.2. The UE randomly selects one uplink access slot from the derived available CPCH-AP access slots. If there is no access slot available in the selected set, the UE randomly selects one uplink access slot corresponding to the selected CPCH sub-channel group from the next access slot set. The random function shall be such that each of the allowed selections is chosen with equal probability.

5b) In the case CA is active, the uplink access slot and signature to be used for the CPCH-AP transmission are selected in the following steps:

a) The UE randomly selects a CPCH-AP signature from the set of available signatures in the access resource combination corresponding to the transport format identified in the Access-REQ message. The random function shall be such that each of the allowed selections is chosen with equal probability.

b) Using the AP access slot sub-channel group of the access resource combination corresponding to the transport format identified in the Access-REQ message, the UE derives the available CPCH-AP access slots with the help of subclauses 6.1.1 and 6.1.2. The UE randomly selects one uplink access slot from the derived available CPCH-AP access slots. If there is no access slot available in the selected set, the UE randomly selects one uplink access slot corresponding to the selected CPCH sub-channel group from the next access slot set. The random function shall be such that each of the allowed selections is chosen with equal probability.

6) The UE transmits the AP using the selected uplink access slot and signature, and MAC supplied initial preamble transmission power. The following sequence of events occur based on whether availability of each PCPCH or the Maximum available data rate along with the availability of each PCPCH is transmitted over CSICH.

6a) (In case CA is not Active) The UE shall test the value of the most recent transmission of the Status Indicator corresponding to the identified CPCH transport channel immediately before AP transmission. If this indicates that the channel is 'not available' the UE shall abort the access attempt and send a failure message to the MAC layer. Otherwise the UE transmits the AP using the UE selected uplink signature and access slot, and the initial preamble transmission power from step 3, above.

6b) (In case CA is active) The Status Indicator indicates the maximum available data rate as well as the availability of each PCPCH. The UE shall test the value of the Status Indicator. If this indicates that the maximum available data rate is less than the requested data rate, the UE shall abort the access attempt and send a failure message to the MAC layer. Otherwise the UE shall transmit the AP using the UE selected uplink access slot, the MAC supplied signature and initial preamble transmission power from step 3, above.

7) If the UE does not detect the positive or negative acquisition indicator corresponding to the selected signature in the downlink access slot corresponding to the selected uplink access slot, the UE shall test the value of the most recent transmission of the Status Indicator corresponding to the selected PCPCH immediately before AP transmission. If this indicates that the PCPCH is 'not available' the UE shall abort the access attempt and send a failure message to the MAC layer. Otherwise the following steps shall be executed:

a) Select the next available access slot in the sub-channel group used. There must be a minimum distance of three or four (per Tcpch parameter) access slots from the uplink access slot in which the last preamble was transmitted depending on the CPCH/AICH transmission timing parameter.

b) Increases the preamble transmission power with the specified offset ΔP. Power offset ΔP_0 s is used unless the negative AICH timer is running, in which case ΔP_1 is used instead.

c) Decrease the AP Retransmission Counter by one.

d) If the AP Retransmission Counter < 0, the UE aborts the access attempt and sends a failure message to the MAC layer.

8) If the UE detects the AP-AICH_nak (negative acquisition indicator) corresponding to the selected signature in the downlink access slot corresponding to the selected uplink access slot, the UE aborts the access attempt and sends a failure message to the MAC layer. The UE sets the negative AICH timer to indicate use of ΔP_1 use as the preamble power offset until timer expiry.

9) Upon reception of AP-AICH_ack with matching signature, the access segment ends and the contention resolution segment begins. In this segment, the UE randomly selects a CD signature from the CD signature set and also selects one CD access slot sub-channel from the CD sub-channel group supported in the cell and transmits a CD Preamble at the same power as the last AP, then waits for a CD/CA-ICH and the channel assignment (CA) (in case CA is active) message from the Node B. The slot selection procedure is as follows:

   a) The next available slot when the PRACH and PCPCH scrambling code are not shared. Furthermore, the PCPCH AP preamble scrambling code and CD Preamble scrambling codes are different.

   b) When the PRACH and PCPCH AP preamble scrambling code and CD preamble scrambling code are shared, the UE randomly selects one of the available access slots in the next 12 access slots. Number of CD sub-channels will be greater than 2.

10) If the UE does not receive a CD/CA-ICH in the designated slot, the UE aborts the access attempt and sends a failure message to the MAC layer.

11) If the UE receives a CD/CA-ICH in the designated slot with a signature that does not match the signature used in the CD Preamble, the UE aborts the access attempt and sends a failure message to the MAC layer.

12a) (In case CA is not Active) If the UE receives a CDI from the CD/CA-ICH with a matching signature, the UE transmits the power control preamble $\tau_{cd\text{-}p\text{-}pc\text{-}p}$ ms later as measured from initiation of the CD Preamble. The initial transmission power of the power control preamble shall be $\Delta P_{p\text{-}m}$ [dB] higher than the power of the CD preamble. The inner loop power control in the power control preamble is described in sub clause 5.1.3.3. The transmission of the message portion of the burst starts immediately after the power control preamble. Power control in the message part is described in sub clause 5.1.3.2.

12b) (In case CA is active) If the UE receives a CDI from the CD/CA-ICH with a matching signature and CA message that points out to one of the PCPCH's (mapping rule is in [5]) that were indicated to be free by the last received CSICH broadcast, the UE transmits the power control preamble $\tau_{cd\text{-}p\text{-}pc\text{-}p}$ ms later as measured from initiation of the CD Preamble. The initial transmission power of the power control preamble shall be $\Delta P_{p\text{-}m}$ [dB] higher than the power of the CD preamble. The inner loop power control in the power control preamble is described in sub clause 5.1.3.3. The transmission of the message portion of the burst starts immediately after the power control preamble. Power control in the message part is described in sub clause 5.1.3.2. If the CA message received points out the channel that was indicated to be busy on the last status information transmission received on the CSICH, the UE shall abort the access attempt and send a failure message to the MAC layer.

NOTE: If the $L_{pc\text{-}preamble}$ parameter indicates a zero length preamble, then there is no power control preamble and the message portion of the burst starts $\tau_{cd\text{-}p\text{-}pc\text{-}p}$ ms after the initiation of the CD Preamble. In this case the initial transmission power of the control part of the message part shall be $\Delta P_{p\text{-}m}$ [dB] higher than the power of the CD preamble. Power control in the message part is described in sub clause 5.1.3.2

13) The UE shall test the value of Start of Message Indicator received from DL-DPCCH for CPCH during the first $N_{Start\_Message}$ frames after Power Control preamble. Start of Message Indicator is a known sequence repeated on a frame by frame basis. The value of $N_{Start\_Message}$ shall be provided by the higher layers.

14) If the UE does not detect Start of Message Indicator in the first $N_{Start\_Message}$ frames of DL-DPCCH for CPCH after Power Control preamble, the UE aborts the access attempt and sends a failure message to the MAC layer. Otherwise, UE continuously transmits the packet data.

15) During CPCH Packet Data transmission, the UE and UTRAN perform inner-loop power control on both the CPCH UL and the DPCCH DL, as described in sub clause 5.1.3.

16) After the first $N_{Start\_Message}$ frames after Power Control preamble, upon the detection of an Emergency Stop command sent by UTRAN, the UE halts CPCH UL transmission, aborts the access attempt and sends a failure message to the MAC layer.

17) If the UE detects loss of DPCCH DL during transmission of the power control preamble or the packet data, the UE halts CPCH UL transmission, aborts the access attempt and sends a failure message to the MAC layer.

18) The UE may send empty frames after the end of the packet to indicate the end of transmission. The number of the empty frames is set by higher layers.

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Bangkok, Thailand, 6-8, December 2000

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25.214 CR 134 Current Version: 3.4.0

GSM (AA.BB) or 3G (AA.BBB) specification number ↑ *↑ CR number as allocated by MCC support team*

For submission to: RAN #10
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for approval	X	strategic		*(for SMG use only)*
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Form: CR cover sheet, version 2 for 3GPP and SMG *The latest version of this form is available from:* ftp://ftp.3gpp.org/Information/CR-Form-v2.doc

Proposed change affects: (U)SIM [ ] ME [X] UTRAN / Radio [ ] Core Network [ ]
(at least one should be marked with an X)

Source: TSG RAN WG1 **Date:** 2000-10-06

Subject: Correction of uplink power control alrorithm 2

Work item:

Category:
(only one category shall be marked with an X)

F	Correction	X
A	Corresponds to a correction in an earlier release	
B	Addition of feature	
C	Functional modification of feature	
D	Editorial modification	

Release:

Phase 2	
Release 96	
Release 97	
Release 98	
Release 99	X
Release 00	

Reason for change: Uplink power control algorithm 2 remains unclear in relation to thedefinition of soft handover. This CR tries to clarify this.

Clauses affected: 5.1.2.2.3

Other specs affected:

Other 3G core specifications		→ List of CRs:	
Other GSM core specifications		→ List of CRs:	
MS test specifications		→ List of CRs:	
BSS test specifications		→ List of CRs:	
O&M specifications		→ List of CRs:	

Other comments:

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5.1.2.2.3 Algorithm 2 for processing TPC commands

NOTE: Algorithm 2 makes it possible to emulate smaller step sizes than the minimum power control step specified in subclause 5.1.2.2.1, or to turn off uplink power control by transmitting an alternating series of TPC commands.

5.1.2.2.3.1 Derivation of TPC_cmd when only one TPC command is received in each slot

When a UE is not in soft handover, only one TPC command will be received in each slot. In this case, the UE shall process received TPC commands on a 5-slot cycle, where the sets of 5 slots shall be aligned to the frame boundaries and there shall be no overlap between each set of 5 slots.

The value of TPC_cmd shall be derived as follows:

- For the first 4 slots of a set, TPC_cmd = 0.
- For the fifth slot of a set, the UE uses hard decisions on each of the 5 received TPC commands as follows:
  - If all 5 hard decisions within a set are 1 then TPC_cmd = 1 in the 5th slot.
  - If all 5 hard decisions within a set are 0 then TPC_cmd = -1 in the 5th slot.
  - Otherwise, TPC_cmd = 0 in the 5th slot.

5.1.2.2.3.2 Combining of TPC commands from radio links of the same radio link set

When a UE is in soft handover, multiple TPC commands may be received in each slot from different cells in the active set. In some cases, the UE has the knowledge that some of the transmitted TPC commands in a slot are the same. This is the case when the radio links are in the same radio link set. For these cases, the TPC commands from radio links of the same radio link set shall be combined into one TPC command, to be processed and further combined with any other TPC commands as described in subclause 5.1.2.2.3.3.

5.1.2.2.3.3 Combining of TPC commands from radio links of different radio link sets

This subclause describes the general scheme for combination of the TPC commands from radio links of different radio link sets.

The UE shall make a hard decision on the value of each TPC_i, where i = 1, 2, …, N and N is the number of TPC commands from radio links of different radio link sets, that may be the result of a first phase of combination according to subclause 5.1.2.2.3.2.

The UE shall follow this procedure for 5~~3~~ consecutive slots, resulting in N hard decisions for each of the 5~~3~~ slots.

The sets of 5~~3~~ slots shall be aligned to the frame boundaries and there shall be no overlap between each set of 5~~3~~ slots.

The value of TPC_cmd is zero for the first 4~~2~~ slots. After 5~~3~~ slots have elapsed, the UE shall determine the value of TPC_cmd for the ~~third~~ fifth slot in the following way:

The UE first determines one temporary TPC command, TPC_temp_i, for each of the N sets of 5~~3~~ TPC commands as follows:

- If all 5~~3~~ hard decisions within a set are "1", TPC_temp_i = 1.
- If all 5~~3~~ hard decisions within a set are "0", TPC_temp_i = -1.
- Otherwise, TPC_temp_i = 0.

Finally, the UE derives a combined TPC command for the ~~third~~ fifth slot, TPC_cmd, as a function γ of all the N temporary power control commands TPC_temp_i:

TPC_cmd(5th~~3rd~~ slot) = γ (TPC_temp_1, TPC_temp_2, …, TPC_temp_N), where TPC_cmd(5th ~~3rd~~ slot) can take the values 1, 0 or –1, and γ is given by the following definition:

- TPC_cmd is set to 1 if $\frac{1}{N}\sum_{i=1}^{N} TPC\_temp_i > 0.5$.
- TPC_cmd is set to -1 if $\frac{1}{N}\sum_{i=1}^{N} TPC\_temp_i < -0.5$.

Otherwise, TPC_cmd is set to 0.

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Bangkok, Thailand, 6-8, December 2000

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25.214 CR 135r1 Current Version: 3.4.0

GSM (AA.BB) or 3G (AA.BBB) specification number ↑ *↑ CR number as allocated by MCC support team*

For submission to: RAN#10 — for approval [X] — for information [ ] — strategic [ ] — non-strategic [ ] *(for SMG use only)*
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Proposed change affects: (U)SIM [ ] ME [X] UTRAN / Radio [X] Core Network [ ]
(at least one should be marked with an X)

Source: TSG RAN WG1 **Date:** 22 Nov 2000

Subject: TPC command generation on downlink during RLS initialisation

Work item:

Category: *(only one category shall be marked with an X)*

F	Correction	X
A	Corresponds to a correction in an earlier release	
B	Addition of feature	
C	Functional modification of feature	
D	Editorial modification	

Release:

Phase 2	
Release 96	
Release 97	
Release 98	
Release 99	X
Release 00	

Reason for change: The text in this CR is derived from 25.433 section 8.2.17.2 in which a pattern of TPC bits is sent on the DL for the benefit of UEs which may operate on the values during the time in which SIR estimates cannot be made of UL signals from that UE.

Clauses affected: 4.3.2.2 & 5.1.2.2.1.2 (added to document)

Other specs affected:

Other 3G core specifications	[ ]	→ List of CRs:
Other GSM core specifications	[ ]	→ List of CRs:
MS test specifications	[ ]	→ List of CRs:
BSS test specifications	[ ]	→ List of CRs:
O&M specifications	[ ]	→ List of CRs:

Other comments:

<--------- double-click here for help and instructions on how to create a CR.

4.3.2.2 No existing radio link

When one or several radio links are to be established and there is no existing radio link for the UE already, a dedicated physical channel is to be set up in uplink and at least one dedicated physical channel is to be set up in downlink. This corresponds to the case when a dedicated physical channel is initially set up on a frequency.

The radio link establishment is as follows:

a) Node B considers the radio link sets which are to be set up to be in the initial state. UTRAN starts the transmission of downlink DPCCH/DPDCHs. Downlink TPC commands are generated as described in 5.1.2.2.1.2.

b) The UE establishes downlink chip and frame synchronisation of DPCCH/DPDCHs, using the P-CCPCH timing and timing offset information notified from UTRAN. Frame synchronisation can be confirmed using the frame synchronisation word. Downlink synchronisation status is reported to higher layers every radio frame according to subclause 4.3.1.2.

c) If no activation time for uplink DPCCH/DPDCH has been signalled to the UE, uplink DPCCH/DPDCH transmission is started when higher layers consider the downlink physical channel established. If an activation time has been given, uplink DPCCH/DPDCH transmission is started at the activation time or later, as soon as higher layers consider the downlink physical channel established. Physical channel establishment and activation time are defined in [5]. The total signalling response delay for the establishment of a new DPCH shall not exceed the requirements given in [8] sub-clause 7.3. If a power control preamble of non-zero length is used for initialisation of the DCH, uplink DPDCH transmission shall not start before the end of the power control preamble. The length of the power control preamble is N_{pcp} slots beginning at the start of uplink DPCCH transmission, where N_{pcp} is a higher layer parameter set by the network (see section 5.1.2.4). The starting time for transmission of DPDCHs shall also satisfy the constraints on adding transport channels to a CCTrCH, as defined in [2] sub-clause 4.2.14.

d) UTRAN establishes uplink chip and frame synchronisation. Frame synchronisation can be confirmed using the frame synchronisation word. Radio link sets remain in the initial state until N_INSYNC_IND successive in-sync indications are received from layer 1, when Node B shall trigger the RL Restore procedure indicating which radio link set has obtained synchronisation. When RL Restore has been triggered the radio link set shall be considered to be in the in-sync state. The parameter value of N_INSYNC_IND is configurable, see [6]. The RL Restore procedure may be triggered several times, indicating when synchronisation is obtained for different radio link sets.

5.1.2.2 Ordinary transmit power control

5.1.2.2.1 General

The uplink inner-loop power control adjusts the UE transmit power in order to keep the received uplink signal-to-interference ratio (SIR) at a given SIR target, SIR_{target}.

The serving cells (cells in the active set) should estimate signal-to-interference ratio SIR_{est} of the received uplink DPCH. The serving cells should then generate TPC commands and transmit the commands once per slot according to the following rule: if $SIR_{est} > SIR_{target}$ then the TPC command to transmit is "0", while if $SIR_{est} < SIR_{target}$ then the TPC command to transmit is "1".

Upon reception of one or more TPC commands in a slot, the UE shall derive a single TPC command, TPC_cmd, for each slot, combining multiple TPC commands if more than one is received in a slot. Two algorithms shall be supported by the UE for deriving a TPC_cmd. Which of these two algorithms is used is determined by a UE-specific higher-layer parameter, "PowerControlAlgorithm", and is under the control of the UTRAN. If "PowerControlAlgorithm" indicates "algorithm1", then the layer 1 parameter PCA shall take the value 1 and if "PowerControlAlgorithm" indicates "algorithm2" then PCA shall take the value 2.

If PCA has the value 1, Algorithm 1, described in subclause 5.1.2.2.2, shall be used for processing TPC commands.

If PCA has the value 2, Algorithm 2, described in subclause 5.1.2.2.3, shall be used for processing TPC commands.

The step size Δ_{TPC} is a layer 1 parameter which is derived from the UE-specific higher-layer parameter "TPC-StepSize" which is under the control of the UTRAN. If "TPC-StepSize" has the value "dB1", then the layer 1 parameter Δ_{TPC} shall take the value 1 dB and if "TPC-StepSize" has the value "dB2", then Δ_{TPC} shall take the value 2 dB.

After deriving of the combined TPC command TPC_cmd using one of the two supported algorithms, the UE shall adjust the transmit power of the uplink DPCCH with a step of Δ_{DPCCH} (in dB) which is given by:

$\Delta_{DPCCH} = \Delta_{TPC} \times TPC\_cmd$.

5.1.2.2.1.1 Out of synchronisation handling

The UE shall shut its transmitter off when the UE estimates the DPCCH quality over the last 200 ms period to be worse than a threshold Q_{out}. This criterion is never fulfilled during the first 200 ms of the dedicated channel's existence. Q_{out} is defined implicitly by the relevant tests in [7].

The UE can turn its transmitter on when the UE estimates the DPCCH quality over the last 200 ms period to be better than a threshold Q_{in}. This criterion is always fulfilled during the first 200 ms of the dedicated channel's existence. Q_{in} is defined implicitly by the relevant tests in [7]. When transmission is resumed, the power of the DPCCH shall be the same as when the UE transmitter was shut off.

5.1.2.2.1.2 TPC command generation on downlink during RL initialisation

When commanded by higher layers the TPC commands sent on a downlink radio link from Node Bs that have not yet achieved uplink synchronisation shall follow a pattern as follows:

If higher layers indicate by "First RLS indicator" that the radio link is part of the first radio link set sent to the UE

- a value 'n' is obtained from the parameter "DL TPC pattern 01 count" passed by higher layers,
- the TPC pattern shall consist of n instances of "01" plus one instance of "1",
- the TPC pattern continuously repeat but shall be forcibly re-started at the beginning of each frame where CFN mod 4 = 0.

else

- The TPC pattern shall consist of all "1".

The TPC pattern shall terminate once uplink synchronisation is achieved.

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Bangkok, Thailand, 6-8, December 2000

Document *R1-00-1273*
e.g. for 3GPP use the format TP-99xxx
or for SMG, use the format P-99-xxx

CHANGE REQUEST

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25.214	**CR**	**136r1**	Current Version:	3.4.0

GSM (AA.BB) or 3G (AA.BBB) specification number ↑ *↑ CR number as allocated by MCC support team*

For submission to:	RAN #10	for approval	X	strategic		(for SMG use only)
list expected approval meeting # here ↑		for information		non-strategic		

Form: CR cover sheet, version 2 for 3GPP and SMG *The latest version of this form is available from: ftp://ftp.3gpp.org/Information/CR-Form-v2.doc*

Proposed change affects: (U)SIM [ ] ME [X] UTRAN / Radio [ ] Core Network [ ]
(at least one should be marked with an X)

Source: TSG RAN WG1 **Date:** 11.10.2000

Subject: Clarification of RACH behaviour at maximum and minimum power

Work item:

Category: *(only one category shall be marked with an X)*

F	Correction	X
A	Corresponds to a correction in an earlier release	
B	Addition of feature	
C	Functional modification of feature	
D	Editorial modification	

Release:

Phase 2	
Release 96	
Release 97	
Release 98	
Release 99	X
Release 00	

Reason for change: The behaviour of the UE is not specified clearly for the case that the power would exceed the capability of the UE during the RACH preamble transmission. A similar handling as proposed in section (5.1.2.5.1) for power control is proposed. Additionally the nomenclature is aligned with the RRC specification.

Clauses affected: 6.1, 6.2

Other specs affected:

Other 3G core specifications		→ List of CRs:
Other GSM core specifications		→ List of CRs:
MS test specifications		→ List of CRs:
BSS test specifications		→ List of CRs:
O&M specifications		→ List of CRs:

Other comments:

6 Random access procedure

6.1 Physical random access procedure

The physical random access procedure described in this subclause is initiated upon request of a PHY-Data-REQ primitive from the MAC sublayer (cf. [9]).

Before the physical random-access procedure can be initiated, Layer 1 shall receive the following information from the higher layers (RRC):

- The preamble scrambling code.
- The message length in time, either 10 or 20 ms.
- The AICH_Transmission_Timing parameter [0 or 1].
- The available signatures and RACH sub-channel groups for each Access Service Class (ASC), where a sub-channel group is defined as a group of some of the sub-channels defined in subclause 6.1.1.
- The power-ramping factor ~~Power_Ramp_Step~~Power Ramp Step [integer > 0].
- The parameter ~~Preamble_Retrans_Max~~Preamble Retrans Max [integer > 0].
- The initial preamble power Preamble_Initial_Power.
- The Power offset P_{p-m}~~power offset ΔP_{p-m}~~ = $P_{message-control} - P_{preamble}$, measured in dB, between the power of the last transmitted preamble and the control part of the random-access message.
- The set of Transport Format parameters. This includes the power offset between the data part and the control part of the random-access mes~~s~~agee for each Transport Format.

Note that the above parameters may be updated from higher layers before each physical random access procedure is initiated.

At each initiation of the physical random access procedure, Layer 1 shall receive the following information from the higher layers (MAC):

- The Transport Format to be used for the PRACH message part.
- The ASC of the PRACH transmission.
- The data to be transmitted (Transport Block Set).

The physical random-access procedure shall be performed as follows:

1 Randomly select the RACH sub-channel group from the available ones for the given ASC. The random function shall be such that each of the allowed selections is chosen with equal probability.

2 Derive the available uplink access slots, in the next full access slot set, for the selected RACH sub-channel group with the help of subclauses 6.1.1. and 6.1.2. If there is no access slot available in the selected set, randomly select one uplink access slot corresponding to the selected RACH sub-channel group from the next access slot set. The random function shall be such that each of the allowed selections is chosen with equal probability.

3 Randomly select a signature from the available signatures for the given ASC. The random function shall be such that each of the allowed selections is chosen with equal probability.

4 Set the Preamble Retransmission Counter to ~~Preamble_Retrans_Max~~Preamble Retrans Max.

5 Set the parameter Commanded P~~p~~reamble ~~transmission~~ P~~p~~ower to Preamble_Initial_Power.

6 In the case that the Commanded Preamble Power exceeds the maximum allowed value, set the preamble transmission power to the maximum allowed power. In the case that the Commanded Preamble Power is below the minimum level required in [7], set the preamble transmission power to a value, which shall be at or above the

Commanded Preamble Power and at or below the required minimum power specified in [7]. Otherwise set the preamble transmission power to the Commanded Preamble Power.
Transmit a preamble using the selected uplink access slot, signature, and preamble transmission power.

7 If no positive or negative acquisition indicator (AI ≠ +1 nor –1) corresponding to the selected signature is detected in the downlink access slot corresponding to the selected uplink access slot:

7.1 Select the next available access slot in the RACH sub-channel group chosen in 1.

7.2 Randomly select~~s~~ a new signature from the available signatures within the given ASC. The random function shall be such that each of the allowed selections is chosen with equal probability.

7.3 Increase the Commanded P~~p~~reamble ~~transmission~~ P~~p~~ower by ΔP_0 = ~~Power_Ramp_Step~~Power Ramp Step [dB]. If the Commanded Preamble Power exceeds the maximum allowed power by 6dB, the UE may pass L1 status ("No ack on AICH") to the higher layers (MAC) and exit the physical random access procedure.

7.4 Decrease the Preamble Retransmission Counter by one.

7.5 If the Preamble Retransmission Counter > 0 then repeat from step 6. Otherwise pass L1 status ("No ack on AICH") to the higher layers (MAC) and exit the physical random access procedure.

8 If a negative acquisition indicator corresponding to the selected signature is detected in the downlink access slot corresponding to the selected uplink access slot, pass L1 status ("Nack on AICH received") to the higher layers (MAC) and exit the physical random access procedure.

9 Transmit the random access message three or four uplink access slots after the uplink access slot of the last transmitted preamble depending on the AICH transmission timing parameter. Transmission power of the control part of the random access message should be P_{p-m} ~~ΔP_{p-m}~~ [dB] higher than the power of the last transmitted preamble. Transmission power of the data part of the random access message is set according to subclause 5.1.1.2.

10 Pass L1 status "RACH message transmitted" to the higher layers and exit the physical random access procedure.

6.1.1 RACH sub-channels

A RACH sub-channel defines a sub-set of the total set of uplink access slots. There are a total of 12 RACH sub-channels. RACH sub-channel #i (i = 0, …, 11) consists of the following uplink access slots:

- Uplink access slot #i leading by τ_{p-a} chips the downlink access slot #i contained within the 10 ms interval that is time aligned with P-CCPCH frames for which SFN mod 8 = 0 or SFN mod 8 = 1.
- Every 12^{th} access slot relative to this access slot.

The access slots of different RACH sub-channels are also illustrated in Table 7.

Table 7: The available uplink access slots for different RACH sub-channels

SFN modulo 8 of corresponding P-CCPCH frame	Sub-channel number											
	0	1	2	3	4	5	6	7	8	9	10	11
0	0	1	2	3	4	5	6	7				
1	12	13	14						8	9	10	11
2				0	1	2	3	4	5	6	7	
3	9	10	11	12	13	14						8
4	6	7					0	1	2	3	4	5
5			8	9	10	11	12	13	14			
6	3	4	5	6	7					0	1	2
7						8	9	10	11	12	13	14

6.1.2 RACH access slot sets

The PRACH contains two sets of access slots as shown in Figure 2. Access slot set 1 contains PRACH slots 0 – 7 and starts $\tau_{p\text{-}a}$ chips before the downlink P-CCPCH frame for which SFN mod 2 = 0. Access slot set 2 contains PRACH slots 8 - 14 and starts ($\tau_{p\text{-}a}$ –2560) chips before the downlink P-CCPCH frame for which SFN mod 2 = 1.

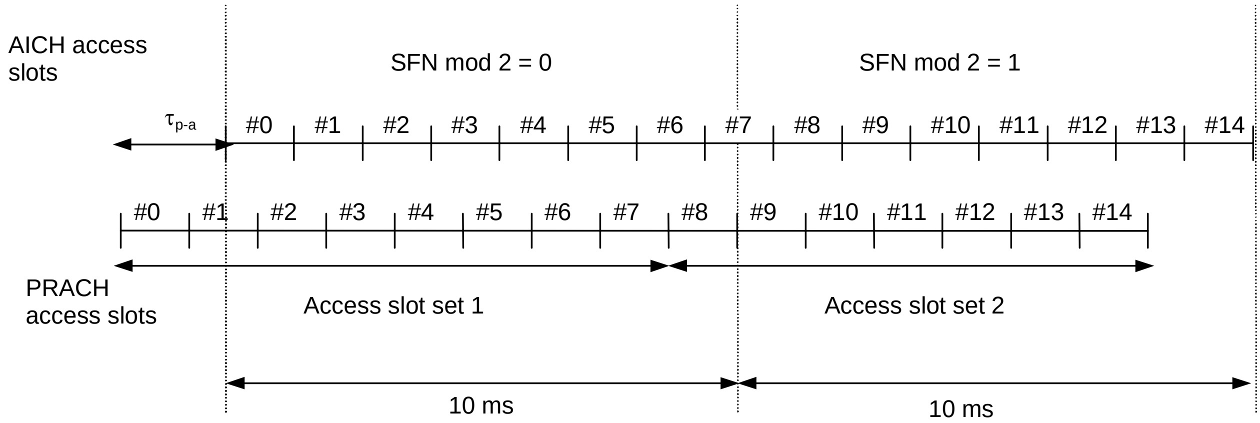

Figure 2: PRACH access slot and downlink AICH relation ($\tau_{p\text{-}a}$ = 7680 chips)

6.2 CPCH Access Procedures

For each CPCH physical channel in a CPCH set allocated to a cell the following physical layer parameters are included in the System Information message: L1 shall receive the following information from the higher layers (RRC).

- UL Access Preamble (AP) scrambling code.
- UL Access Preamble signature set.
- The Access preamble slot sub-channels group.
- AP- AICH preamble channelization code.
- UL Collision Detection(CD) preamble scrambling code.
- CD Preamble signature set.
- CD preamble slot sub-channels group.
- CD-AICH preamble channelization code.
- CPCH UL scrambling code.
- DPCCH DL channelization code.([512] chip).

NOTE: There may be some overlap between the AP signature set and CD signature set if they correspond to the same scrambling code.

The following physical layer parameters are received from the RRC layer:

1) N_AP_retrans_max = Maximum Number of allowed consecutive access attempts (retransmitted preambles) if there is no AICH response. This is a CPCH parameter and is equivalent to ~~Preamble_Retrans_Max~~Preamble Retrans Max in RACH.

2) $P_{RACH} = P_{CPCH}$ = Initial open loop power level for the first CPCH access preamble sent by the UE.

   - [RACH/CPCH parameter].

3) ΔP_0 = Power step size for each successive CPCH access preamble.

   - [RACH/CPCH parameter].

4) ΔP_1 = Power step size for each successive RACH/CPCH access preamble in case of negative AICH. A timer is set upon receipt of a negative AICH. This timer is used to determine the period after receipt of a negative AICH when ΔP_1 is used in place of $\Delta P_{0.}$

   - [RACH/CPCH parameter].

5) $\Delta P_{p\text{-}m} = P_{message\text{-}control} – P_{cd}$, measured in dB. This is the power offset between the transmit power of the CD preamble and the initial transmit power of the CPCH power control preamble (or the control part of the CPCH message part if the power control preamble length is 0 slots).

   [CPCH parameter]

6) T_{cpch} = CPCH transmission timing parameter: This parameter is identical to PRACH/AICH transmission timing parameter.

   - [RACH/CPCH parameter].

7) $L_{pc\text{-}preamble}$ = Length of power control preamble (0 or 8 slots).

   - [CPCH parameter].

8) $N_{Start\_Message}$ = Number of frames for the transmission of Start of Message Indicator in DL-DPCCH for CPCH.

9) The set of Transport Format parameters. This includes a Transport Format to PCPCH mapping table.

L1 shall receive the following information from MAC prior to packet transmission:

1) Transport Format of the message part.

2) The data to be transmitted is delivered to L1 once every TTI until the data buffer is empty.

The overall CPCH -access procedure consists of two parts:

1) Upon receipt of a Status-REQ message from the MAC layer, the UE shall start monitoring the CSICH to determine the availability of the transport formats in the transport format subset included in the Status-REQ message. UTRAN transmits availability of each PCPCH or maximum available data rate with availability of each PCPCH over the CSICH in case CA is active. Upper layers will supply the UE with information to map the transport formats to the PCPCHs. The UE shall send a Status-CNF message to the MAC layer containing the transport format subset listing the transport formats of the requested subset which are currently indicated as "available".

The actual access procedure is then:

2) Upon receipt of the Access-REQ message from the MAC layer, which contains an identified transport format from the available ones ,the following sequence of events occur. The use of step 2a or 2b depends on whether availability of each PCPCH or the Maximum available data rate along with the availability of each PCPCH is transmitted over CSICH. Note that in the first case, each access resource combination (AP signatures and access subchannel group) maps to each PCPCH resource and in the second case each access resource combination maps to each data rate.

2a) (In case CA is not Active) The UE shall test the value(s) of the most recent transmission of the CSICH Status Indicator(s) corresponding to the PCPCH channel(s) for the identified transport format included in the Access-REQ message. If this indicates that no channel is ‘available’ the UE shall abort the access attempt and send a failure message to the MAC layer. The UE shall also retain the availability status of the each PCPCH for further verification in a later phase.

2b) (In case CA is active) The CSICH Status Indicators indicate the maximum available data rate along with individual PCPCH availability. The UE shall test the value of the most recent transmission of the Status Indicator(s). If this indicates that the maximum available data rate is less than the requested data rate, the UE shall abort the access attempt and send a failure message to the MAC layer. The PHY provides the availability information to the MAC. The UE shall also retain the availability status of the each PCPCH for further channel assignment message verification in a later phase in case of success.

3) The UE sets the preamble transmit power to the value $P_{CPCH\_}$ which is supplied by the MAC layer for initial power level for this CPCH access attempt.

4) The UE sets the AP Retransmission Counter to N_AP_Retrans_Max.

5a) In the case CA is not active, the uplink access slot and signature to be used for the CPCH-AP transmission are selected in the following steps:

   a) The UE selects randomly one PCPCH from the set of available PCPCH channel(s) as indicated on the CSICH and supporting the identified transport format included in the Access-REQ message. The random function shall be such that each of the allowed selections is chosen with equal probability.

   b) The UE randomly selects a CPCH-AP signature from the set of available signatures in the access resource combination corresponding to the selected PCPCH in step a). The random function shall be such that each of the allowed selections is chosen with equal probability.

   c) Using the AP access slot sub-channel group of the access resource combination corresponding to selected PCPCH in step a), the UE derives the available CPCH-AP access slots with the help of subclauses 6.1.1. and 6.1.2. If there is no access slot available in the selected set, the UE randomly selects one uplink access slot corresponding to the selected CPCH sub-channel group from the next access slot set. The random function shall be such that each of the allowed selections is chosen with equal probability.

5b) In the case CA is active, the uplink access slot and signature to be used for the CPCH-AP transmission are selected in the following steps:

   a) The UE randomly selects a CPCH-AP signature from the set of available signatures in the access resource combination corresponding to the transport format identified in the Access-REQ message. The random function shall be such that each of the allowed selections is chosen with equal probability.

   b) Using the AP access slot sub-channel group of the access resource combination corresponding to the transport format identified in the Access-REQ message, the UE derives the available CPCH-AP access slots with the help of subclauses 6.1.1 and 6.1.2. If there is no access slot available in the selected set, the UE randomly selects one uplink access slot corresponding to the selected CPCH sub-channel group from the next access slot set. The random function shall be such that each of the allowed selections is chosen with equal probability.

6) The UE transmits the AP using the selected uplink access slot and signature, and MAC supplied initial preamble transmission power. The following sequence of events occur based on whether availability of each PCPCH or the Maximum available data rate along with the availability of each PCPCH is transmitted over CSICH.

6a) (In case CA is not Active) The UE shall test the value of the most recent transmission of the Status Indicator corresponding to the identified CPCH transport channel immediately before AP transmission. If this indicates that the channel is 'not available' the UE shall abort the access attempt and send a failure message to the MAC layer. Otherwise the UE transmits the AP using the UE selected uplink signature and access slot, and the initial preamble transmission power from step 3, above.

6b) (In case CA is active) The Status Indicator indicates the maximum available data rate as well as the availability of each PCPCH. The UE shall test the value of the Status Indicator. If this indicates that the maximum available data rate is less than the requested data rate, the UE shall abort the access attempt and send a failure message to the MAC layer. Otherwise the UE shall transmit the AP using the UE selected uplink access slot, the MAC supplied signature and initial preamble transmission power from step 3, above.

7) If the UE does not detect the positive or negative acquisition indicator corresponding to the selected signature in the downlink access slot corresponding to the selected uplink access slot, the UE shall test the value of the most recent transmission of the Status Indicator corresponding to the selected PCPCH immediately before AP transmission. If this indicates that the PCPCH is 'not available' the UE shall abort the access attempt and send a failure message to the MAC layer. Otherwise the following steps shall be executed:

   a) Select the next available access slot in the sub-channel group used. There must be a minimum distance of three or four (per Tcpch parameter) access slots from the uplink access slot in which the last preamble was transmitted depending on the CPCH/AICH transmission timing parameter.

   b) Increases the preamble transmission power with the specified offset ΔP. Power offset ΔP_0 s is used unless the negative AICH timer is running, in which case ΔP_1 is used instead.

   c) Decrease the AP Retransmission Counter by one.

d) If the AP Retransmission Counter < 0, the UE aborts the access attempt and sends a failure message to the MAC layer.

8) If the UE detects the AP-AICH_nak (negative acquisition indicator) corresponding to the selected signature in the downlink access slot corresponding to the selected uplink access slot, the UE aborts the access attempt and sends a failure message to the MAC layer. The UE sets the negative AICH timer to indicate use of ΔP_1 use as the preamble power offset until timer expiry.

9) Upon reception of AP-AICH_ack with matching signature, the access segment ends and the contention resolution segment begins. In this segment, the UE randomly selects a CD signature from the CD signature set and also selects one CD access slot sub-channel from the CD sub-channel group supported in the cell and transmits a CD Preamble at the same power as the last AP, then waits for a CD/CA-ICH and the channel assignment (CA) (in case CA is active) message from the Node B. The slot selection procedure is as follows:

a) The next available slot when the PRACH and PCPCH scrambling code are not shared. Furthermore, the PCPCH AP preamble scrambling code and CD Preamble scrambling codes are different.

b) When the PRACH and PCPCH AP preamble scrambling code and CD preamble scrambling code are shared, the UE randomly selects one of the available access slots in the next 12 access slots. Number of CD sub-channels will be greater than 2.

10) If the UE does not receive a CD/CA-ICH in the designated slot, the UE aborts the access attempt and sends a failure message to the MAC layer.

11) If the UE receives a CD/CA-ICH in the designated slot with a signature that does not match the signature used in the CD Preamble, the UE aborts the access attempt and sends a failure message to the MAC layer.

12a) (In case CA is not Active) If the UE receives a CDI from the CD/CA-ICH with a matching signature, the UE transmits the power control preamble $\tau_{cd\text{-}p\text{-}pc\text{-}p}$ ms later as measured from initiation of the CD Preamble. The initial transmission power of the power control preamble shall be $\Delta P_{p\text{-}m}$ [dB] higher than the power of the CD preamble. The inner loop power control in the power control preamble is described in sub clause 5.1.3.3. The transmission of the message portion of the burst starts immediately after the power control preamble. Power control in the message part is described in sub clause 5.1.3.2.

12b) (In case CA is active) If the UE receives a CDI from the CD/CA-ICH with a matching signature and CA message that points out to one of the PCPCH's (mapping rule is in [5]) that were indicated to be free by the last received CSICH broadcast, the UE transmits the power control preamble $\tau_{cd\text{-}p\text{-}pc\text{-}p}$ ms later as measured from initiation of the CD Preamble. The initial transmission power of the power control preamble shall be $\Delta P_{p\text{-}m}$ [dB] higher than the power of the CD preamble. The inner loop power control in the power control preamble is described in sub clause 5.1.3.3. The transmission of the message portion of the burst starts immediately after the power control preamble. Power control in the message part is described in sub clause 5.1.3.2. If the CA message received points out the channel that was indicated to be busy on the last status information transmission received on the CSICH, the UE shall abort the access attempt and send a failure message to the MAC layer.

NOTE: If the $L_{pc\text{-}preamble}$ parameter indicates a zero length preamble, then there is no power control preamble and the message portion of the burst starts $\tau_{cd\text{-}p\text{-}pc\text{-}p}$ ms after the initiation of the CD Preamble. In this case the initial transmission power of the control part of the message part shall be $\Delta P_{p\text{-}m}$ [dB] higher than the power of the CD preamble. Power control in the message part is described in sub clause 5.1.3.2

13) The UE shall test the value of Start of Message Indicator received from DL-DPCCH for CPCH during the first $N_{Start\_Message}$ frames after Power Control preamble. Start of Message Indicator is a known sequence repeated on a frame by frame basis. The value of $N_{Start\_Message}$ shall be provided by the higher layers.

14) If the UE does not detect Start of Message Indicator in the first $N_{Start\_Message}$ frames of DL-DPCCH for CPCH after Power Control preamble, the UE aborts the access attempt and sends a failure message to the MAC layer. Otherwise, UE continuously transmits the packet data.

15) During CPCH Packet Data transmission, the UE and UTRAN perform inner-loop power control on both the CPCH UL and the DPCCH DL, as described in sub clause 5.1.3.

16) After the first $N_{Start\_Message}$ frames after Power Control preamble, upon the detection of an Emergency Stop command sent by UTRAN, the UE halts CPCH UL transmission, aborts the access attempt and sends a failure message to the MAC layer.

17) If the UE detects loss of DPCCH DL during transmission of the power control preamble or the packet data, the UE halts CPCH UL transmission, aborts the access attempt and sends a failure message to the MAC layer.

18) The UE may send empty frames after the end of the packet to indicate the end of transmission. The number of the empty frames is set by higher layers.

3GPP TSG RAN Meeting #10
Bangkok, Thailand, 6-8, December 2000

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25.214	**CR**	**137**	Current Version: 3.4.0

GSM (AA.BB) or 3G (AA.BBB) specification number ↑ ↑ *CR number as allocated by MCC support team*

For submission to: RAN#10 — for approval [X] — for information [ ] — strategic [ ] — non-strategic [ ] *(for SMG use only)*
list expected approval meeting # here ↑

Form: CR cover sheet, version 2 for 3GPP and SMG The latest version of this form is available from: ftp://ftp.3gpp.org/Information/CR-Form-v2.doc

Proposed change affects: (U)SIM [ ] ME [X] UTRAN / Radio [X] Core Network [ ]
(at least one should be marked with an X)

Source: TSG RAN WG1 **Date:** 2000-11-08

Subject: Clarifications on the description of the radio link establishment procedure (when no radio link exists)

Work item:

Category: *(only one category Shall be marked With an X)*

F	Correction	X
A	Corresponds to a correction in an earlier release	
B	Addition of feature	
C	Functional modification of feature	
D	Editorial modification	

Release:

Phase 2	
Release 96	
Release 97	
Release 98	
Release 99	X
Release 00	

Reason for change: The current description of the radio link establishment procedure (when no radio link exists) has still some unclear points and inconsistencies. Some changes are proposed here to improve this description.

Clauses affected: 4.3.2.2

Other specs Affected:

Other 3G core specifications	[ ]	→ List of CRs:
Other GSM core specifications	[ ]	→ List of CRs:
MS test specifications	[ ]	→ List of CRs:
BSS test specifications	[ ]	→ List of CRs:
O&M specifications	[ ]	→ List of CRs:

Other comments:

Consequence if not accepted The inconsistencies and ambiguities in the specifications can lead to misinterpretation of the requirement and therefore incorrect implementations. The consequence of that is to seriously undermine the performance of the network and introduce delay in the availabilty of correctly functioning terminals.

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4 Synchronisation procedures

4.1 Cell search

During the cell search, the UE searches for a cell and determines the downlink scrambling code and common channel frame synchronisation of that cell. How cell search is typically done is described in Annex C.

4.2 Common physical channel synchronisation

The radio frame timing of all common physical channels can be determined after cell search. The P-CCPCH radio frame timing is found during cell search and the radio frame timing of all common physical channel are related to that timing as described in [1].

4.3 DPCCH/DPDCH synchronisation

4.3.1 Synchronisation primitives

4.3.1.1 General

For the dedicated channels, synchronisation primitives are used to indicate the synchronisation status of radio links, both in uplink and downlink. The definition of the primitives is given in the following subclauses.

4.3.1.2 Downlink synchronisation primitives

Layer 1 in the UE shall every radio frame check synchronisation status of the downlink dedicated channels. Synchronisation status is indicated to higher layers using the CPHY-Sync-IND and CPHY-Out-of-Sync-IND primitives.

Out-of-sync shall be reported using the CPHY-Out-of-Sync-IND primitive if either of the following criteria is fulfilled:

- The UE estimates the DPCCH quality over the last 200 ms period to be worse than a threshold Q_{out}. This criterion shall never be fulfilled during the first 200 ms of the dedicated channel's existence. Q_{out} is defined implicitly by the relevant tests in [7].

- The last 20 transport blocks, as observed on all TrCHs using CRC, are received with incorrect CRC. In addition, over the last 200 ms, no transport block has been received with correct CRC.

In-sync shall be reported using the CPHY-Sync-IND primitive if both of the following criteria are fulfilled:

- The UE estimates the DPCCH quality over the last 200 ms period to be better than a threshold Q_{in}. This criterion shall always be fulfilled during the first 200 ms of the dedicated channel's existence. Q_{in} is defined implicitly by the relevant tests in [7].

- At least one transport block, as observed on all TrCHs using CRC, is received with correct CRC. If there is no TrCH using CRC, this criterion is always fulfilled.

How the primitives are used by higher layers is described in [5].

4.3.1.3 Uplink synchronisation primitives

Layer 1 in the Node B shall every radio frame check synchronisation status of all radio link sets. Synchronisation status is indicated to the RL Failure/Restored triggering function using either the CPHY-Sync-IND or CPHY-Out-of-Sync-IND primitive. Hence, only one synchronisation status indication shall be given per radio link set.

The exact criteria for indicating in-sync/out-of-sync is not subject to specification, but could e.g. be based on received DPCCH quality or CRC checks. One example would be to have the same criteria as for the downlink synchronisation status primitives.

4.3.2 Radio link establishment

4.3.2.1 General

The establishment of a radio link can be divided into two cases:

- when there is no existing radio link, i.e. when at least one downlink dedicated physical channel and one uplink dedicated physical channel are to be set up;
- or when one or several radio links already exist, i.e. when at least one downlink dedicated physical channel is to be set up and an uplink dedicated physical channel already exists.

The two cases are described in subclauses 4.3.2.2 and 4.3.2.3 respectively.

In Node B, each radio link set can be in three different states: initial state, out-of-sync state and in-sync state. Transitions between the different states is shown in figure 1 below. The state of the Node B at the start of radio link establishment is described in the following subclauses. Transitions between initial state and in-sync state are described in subclauses 4.3.2.2 and 4.3.2.3 and transitions between the in-sync and out-of-sync states are described in subclause 4.3.3.2.

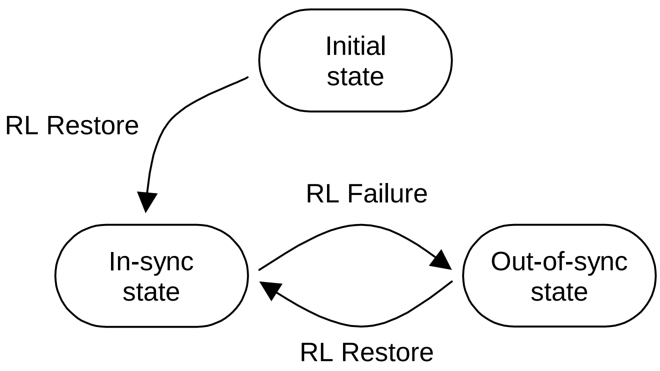

Figure 1: Node B radio link set states and transitions

4.3.2.2 No existing radio link

When one or several radio links are to be established and there is no existing radio link for the UE already, a dedicated physical channel is to be set up in uplink and at least one dedicated physical channel is to be set up in downlink. This corresponds to the case when a dedicated physical channel is initially set up on a frequency.

The radio link establishment is as follows:

a) Node B considers the radio link sets which are to be set up to be in the initial state. UTRAN shall start~~s~~ the transmission of the downlink DPCCH~~/DPDCHs~~ and may start the transmission of DPDCH if any data is to be transmitted. The initial downlink DPCCH transmit power is set by higher layers [6].

b) The UE establishes downlink chip and frame synchronisation of DPCCH~~/DPDCHs~~, using the P-CCPCH timing and timing offset information notified from UTRAN. Frame synchronisation can be confirmed using the frame synchronisation word. Downlink synchronisation status is reported to higher layers every radio frame according to subclause 4.3.1.2.

c) If no activation time for uplink DPCCH~~/DPDCH~~ has been signalled to the UE, uplink DPCCH~~/DPDCH~~ transmission ~~is~~ shall start~~ed~~ when higher layers consider the downlink physical channel established. If an activation time has been given, uplink DPCCH transmission shall not start before the downlink physical channel has been established and the activation time has been reached ~~DPCCH/DPDCH transmission is started at the activation time or later, as soon as higher layers consider the downlink physical channel established~~. Physical channel establishment and activation time are defined in [5]. The initial uplink DPCCH transmit power is set by higher layers [5]. The total signalling response delay for the establishment of a new DPCH shall not exceed the requirements given in [8] sub-clause 7.3. ~~If a power control preamble of non-zero length is used for initialisation of the DCH,~~ The uplink DPDCH transmission shall not start before the end of the power control preamble. The length of the power control preamble is N_{pcp} radio frames ~~slots~~ beginning at the start of uplink DPCCH transmission, where N_{pcp} is a higher layer parameter set by UTRAN by the network (see section 5.1.2.4) [5]. Note that the transmission start delay between DPCCH and DPDCH may be cancelled using a power control preamble of 0 length. The starting time for transmission of DPDCHs shall also satisfy the constraints on adding transport channels to a CCTrCH, as defined in [2] sub-clause 4.2.14.

d) UTRAN establishes uplink chip and frame synchronisation. Frame synchronisation can be confirmed using the frame synchronisation word. Radio link sets remain in the initial state until N_INSYNC_IND successive in-sync indications are received from layer 1, when Node B shall trigger the RL Restore procedure indicating which radio link set has obtained synchronisation. When RL Restore has been triggered the radio link set shall be considered to be in the in-sync state. The parameter value of N_INSYNC_IND is configurable, see [6]. The RL Restore procedure may be triggered several times, indicating when synchronisation is obtained for different radio link sets.

4.3.2.3 One or several existing radio links

When one or several radio links are to be established and one or several radio links already exist, there is an existing DPCCH/DPDCH in the uplink, and at least one corresponding dedicated physical channel shall be set up in the downlink. This corresponds to the case when new radio links are added to the active set and downlink transmission starts for those radio links.

The radio link establishment is as follows:

a) Node B considers new radio link sets to be set up to be in initial state. If a radio link is to be added to an existing radio link set this radio link set shall be considered to be in the state the radio link set was prior to the addition of the radio link, i.e. if the radio link set was in the in-sync state before the addition of the radio link it shall remain in that state.

b) UTRAN starts the transmission of the downlink DPCCH/DPDCH at a frame timing such that the frame timing received at the UE will be within $T_0 \pm 148$ chips prior to the frame timing of the uplink DPCCH/DPDCH at the UE. Simultaneously, UTRAN establishes uplink chip and frame synchronisation of the new radio link. Frame synchronisation can be confirmed using the frame synchronization word. Radio link sets considered to be in the initial state shall remain in the initial state until N_INSYNC_IND successive in-sync indications are received from layer 1, when Node B shall trigger the RL Restore procedure indicating which radio link set has obtained synchronisation. When RL Restore is triggered the radio link set shall be considered to be in the in-sync state. The parameter value of N_INSYNC_IND is configurable, see [6]. The RL Restore procedure may be triggered several times, indicating when synchronisation is obtained for different radio link sets.

c) The UE establishes chip and frame synchronisation of the new radio link. Frame synchronisation can be confirmed using the frame synchronization word. Downlink synchronisation status shall be reported to higher layers every radio frame according to subclause 4.3.1.2.

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Bangkok, Thailand, 6-8, December 2000

Document R1-00-1437
e.g. for 3GPP use the format TP-99xxx
or for SMG, use the format P-99-xxx

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25.214	**CR**	**138r1**	Current Version:	3.4.0

GSM (AA.BB) or 3G (AA.BBB) specification number ↑ *↑ CR number as allocated by MCC support team*

For submission to:	RAN #10	for approval	X	strategic		(for SMG use only)
list expected approval meeting # here ↑		for information		non-strategic		

Form: CR cover sheet, version 2 for 3GPP and SMG *The latest version of this form is available from: ftp://ftp.3gpp.org/Information/CR-Form-v2.doc*

Proposed change affects: (U)SIM [ ] ME [X] UTRAN / Radio [X] Core Network [ ]
(at least one should be marked with an X)

Source: TSG RAN WG1 **Date:** 2000-11-21

Subject: Corrections on power control preambles

Work item:

Category:
(only one category shall be marked with an X)

F	Correction	X
A	Corresponds to a correction in an earlier release	
B	Addition of feature	
C	Functional modification of feature	
D	Editorial modification	

Release:

Phase 2	
Release 96	
Release 97	
Release 98	
Release 99	X
Release 00	

Reason for change: The behavior of the power control preambles is inconsistent with the DL toggling scheme applied at the radio link initialisation stage.

Clauses affected: 5.1.2.4, 5.1.3.3

Other specs affected:

Other 3G core specifications	X	→ List of CRs:	CR25211-088
Other GSM core specifications		→ List of CRs:	
MS test specifications		→ List of CRs:	
BSS test specifications		→ List of CRs:	
O&M specifications		→ List of CRs:	

Other comments: This CR supersedes CR 131 to TS 25.214 from Philips in R1-00-1197. Note that in this new CR the following change approved in CR 131 is deleted, then it does not appear anymore in revision mark:
- the world "Initially" at the beginning of the sentence "TPC_cmd is derived according to algorithm 1 as described in sub clause 5.1.2.2.1, regardless of the value of PCA." was added in CR 131 and then deleted in CR 138. So it does not appear anymore in this revision of CR 138.

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5.1.2.4 Transmit power control in DPCCH power control preamble

An UL DPCCH power control preamble is a period of UL DPCCH transmission prior to the start of the uplink DPDCH. ~~A power control preamble may be used for initialisation of a DCH. Both the UL and~~The DL DPCCH~~s~~ shall also be transmitted during ~~the~~ an uplink power control preamble. ~~The UL DPDCH shall not commence before the end of the power control preamble.~~

The length of the uplink power control preamble is a ~~UE-specific~~ higher layer parameter signalled by the network as defined in [5]~~, and can take the values 0 slots or 15 slots~~. The UL DPDCH shall not commence before the end of the power control preamble.

~~If the length of the power control preamble is greater than zero, the details of power control used during the power control preamble differ from the ordinary power control which is used afterwards. After the first slot of the~~ During the uplink power control preamble the change in uplink DPCCH transmit power shall ~~initially~~ be given by:

$$\Delta_{DPCCH} = \Delta_{TPC\text{-}init} \times TPC\_cmd.$$

~~For PCA equal to 1 and 2, the value of $\Delta_{TPC\text{-}init}$ is set to Δ_{TPC}.~~

During the power control preamble TPC_cmd is derived according to algorithm 1 as described in sub clause 5.1.2.2.1, regardless of the value of PCA.

Ordinary power control (see subclause 5.1.2.2), with the power control algorithm determined by the value of PCA and step size Δ_{TPC}, shall be used ~~as soon as the sign of TPC_cmd reverses for the first time, or at~~ after the end of the uplink power control preamble~~ if the power control preamble ends first~~.

5.1.2.5 Setting of the uplink DPCCH/DPDCH power difference

5.1.2.5.1 General

The uplink DPCCH and DPDCH(s) are transmitted on different codes as defined in subclause 4.2.1 of [3]. The gain factors β_c and β_d may vary for each TFC. There are two ways of controlling the gain factors of the DPCCH code and the DPDCH codes for different TFCs in normal (non-compressed) frames:

- β_c and β_d are signalled for the TFC, or
- β_c and β_d is computed for the TFC, based on the signalled settings for a reference TFC.

Combinations of the two above methods may be used to associate β_c and β_d values to all TFCs in the TFCS. The two methods are described in subclauses 5.1.2.5.2 and 5.1.2.5.3 respectively. Several reference TFCs may be signalled from higher layers.

The gain factors may vary on radio frame basis depending on the current TFC used. Further, the setting of gain factors is independent of the inner loop power control.

After applying the gain factors, the UE shall scale the total transmit power of the DPCCH and DPDCH(s), such that the DPCCH output power follows the changes required by the power control procedure with power adjustments of Δ_{DPCCH} dB, subject to the provisions of sub-clause 5.1.2.6.

The gain factors during compressed frames are based on the nominal power relation defined in normal frames, as specified in subclause 5.1.2.5.4.

5.1.2.5.2 Signalled gain factors

When the gain factors β_c and β_d are signalled by higher layers for a certain TFC, the signalled values are used directly for weighting of DPCCH and DPDCH(s). The variable A_j, called the nominal power relation is then computed as:

$$A_j = \frac{\beta_d}{\beta_c}.$$

5.1.3 PCPCH

5.1.3.1 General

The power control during the CPCH access procedure is described in clause 6.2. The inner loop power control for the PCPCH is described in the following sub-clauses.

5.1.3.2 Power control in the message part

The uplink transmit power control procedure simultaneously controls the power of a PCPCH control part and its corresponding PCPCH data part. The relative transmit power offset between the PCPCH control part and the PCPCH data part is determined by the network and is computed according to sub-clause 5.1.2.5 using the gain factors signalled to the UE using higher-layer signalling, with the difference that:

- β_c is the gain factor for the PCPCH control part (similar to DPCCH);
- β_d is the gain factor for the PCPCH data part (similar to DPDCH).

The gain factors are applied as shown in sub clause 4.2.3.2 of 25.213.

The operation of the inner power control loop adjusts the power of the PCPCH control part and PCPCH data part by the same amount, provided there are no changes in gain factors.

Any change in the uplink PCPCH control part transmit power shall take place immediately before the start of the pilot field on the control part of the message part. The change in PCPCH control part power with respect to its value in the previous slot is derived by the UE and is denoted by $\Delta_{PCPCH-CP}$ (in dB).

During the operation of the uplink power control procedure the UE transmit power shall not exceed a maximum allowed value which is the lower out of the maximum output power of the terminal power class and a value which may be set by higher layer signalling.

Uplink power control shall be performed while the UE transmit power is below the maximum allowed output power.

The provisions for power control at the maximum allowed value and below the required minimum output power (as defined in [7]) are described in sub-clause 5.1.2.6.

The uplink inner-loop power control adjusts the UE transmit power in order to keep the received uplink signal-to-interference ratio (SIR) at a given SIR target, SIR_{target}, which is set by the higher layer outer loop.

The network should estimate the signal-to-interference ratio SIR_{est} of the received PCPCH . The network should then generate TPC commands and transmit the commands once per slot according to the following rule: if $SIR_{est} > SIR_{target}$ then the TPC command to transmit is "0", while if $SIR_{est} < SIR_{target}$ then the TPC command to transmit is "1".

The UE derives a TPC command, TPC_cmd, for each slot. Two algorithms shall be supported by the UE for deriving a TPC_cmd. Which of these two algorithms is used is determined by a higher-layer parameter, "PowerControlAlgorithm", and is under the control of the UTRAN. If "PowerControlAlgorithm" indicates "algorithm1", then the layer 1 parameter PCA shall take the value 1 and if "PowerControlAlgorithm" indicates "algorithm2" then PCA shall take the value 2.

If PCA has the value 1, Algorithm 1, described in subclause 5.1.2.2.2, shall be used for processing TPC commands.

If PCA has the value 2, Algorithm 2, described in subclause 5.1.2.2.3, shall be used for processing TPC commands.

The step size Δ_{TPC} is a layer 1 parameter which is derived from the higher-layer parameter "TPC-StepSize" which is under the control of the UTRAN. If "TPC-StepSize" has the value "dB1", then the layer 1 parameter Δ_{TPC} shall take the value 1 dB and if "TPC-StepSize" has the value "dB2", then Δ_{TPC} shall take the value 2 dB.

After deriving the TPC command TPC_cmd using one of the two supported algorithms, the UE shall adjust the transmit power of the uplink PCPCH control part with a step of $\Delta_{PCPCH-CP}$ (in dB) which is given by:

$$\Delta_{PCPCH-CP} = \Delta_{TPC} \times TPC\_cmd$$

5.1.3.3 Power control in the power control preamble

A PCPCH power control preamble ~~may be used for initialisation of a PCPCH~~is a period when~~. B~~both the UL PCPCH control part and the associated DL DPCCH ~~shall be~~are transmitted ~~during the uplink power control preamble~~prior to the start of the uplink PCPCH data part. ~~The uplink PCPCH data part shall not commence before the end of the power control preamble.~~

The length of the power control preamble is a higher layer parameter, $L_{pc\text{-}preamble}$ (see section 6.2), and can take the value 0 slots or 8 slots. The uplink PCPCH data part shall not commence before the end of the power control preamble.

If $L_{pc\text{-}preamble} > 0$, the details of power control used during the power control preamble differ from the ordinary power control which is used afterwards. After the first slot of the power control preamble the change in uplink PCPCH control part transmit power shall initially be given by:

$$\Delta_{PCPCH\text{-}CP} = \Delta_{TPC\text{-}init} \times TPC\_cmd$$

If the value of PCA is 1 then $\Delta_{TPC\text{-}init}$ is equal to the minimum value out of 3 dB and $2\Delta_{TPC}$.

If the value of PCA is 2 then $\Delta_{TPC\text{-}init}$ is equal to 2dB.

TPC_cmd is derived according to algorithm 1 as described in sub clause 5.1.2.2.2, regardless of the value of PCA.

Power control as defined for the message part (see sub-clause 5.1.3.2), with the power control algorithm determined by the value of PCA and step size $\Delta_{TPC,}$ shall be used as soon as the sign of TPC_cmd reverses for the first time, or at the end of the power control preamble if the power control preamble ends first.

5.2 Downlink power control

The transmit power of the downlink channels is determined by the network. In general the ratio of the transmit power between different downlink channels is not specified and may change with time. However, regulations exist as described in the following subclauses.

Higher layer power settings shall be interpreted as setting of the total power, i.e. the sum of the power from the two antennas in case of transmit diversity.

5.2.1 DPCCH/DPDCH

5.2.1.1 General

The downlink transmit power control procedure controls simultaneously the power of a DPCCH and its corresponding DPDCHs. The power control loop adjusts the power of the DPCCH and DPDCHs with the same amount, i.e. the relative power difference between the DPCCH and DPDCHs is not changed.

The relative transmit power offset between DPCCH fields and DPDCHs is determined by the network The TFCI, TPC and pilot fields of the DPCCH are offset relative to the DPDCHs power by PO1, PO2 and PO3 dB respectively. The power offsets may vary in time. The method for controlling the power offsets within UTRAN is specified in [6]

The power of CCC field in DL DPCCH for CPCH is the same as the power of the pilot field.

5.2.1.2 Ordinary transmit power control

5.2.1.2.1 UE behaviour

The UE shall generate TPC commands to control the network transmit power and send them in the TPC field of the uplink DPCCH. An example on how to derive the TPC commands in given in Annex B.2.

The UE shall check the downlink power control mode (DPC_MODE) before generating the TPC command:

- if DPC_MODE = 0 : the UE sends a unique TPC command in each slot and the TPC command generated is transmitted in the first available TPC field in the uplink DPCCH;

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Bangkok, Thailand, 6-8, December 2000

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GSM (AA.BB) or 3G (AA.BBB) specification number ↑ *↑ CR number as allocated by MCC support team*

For submission to:	RAN#10	for approval	X	strategic		(for SMG
list expected approval meeting # here ↑		for information		non-strategic		use only)

Form: CR cover sheet, version 2 for 3GPP and SMG The latest version of this form is available from: ftp://ftp.3gpp.org/Information/CR-Form-v2.doc

Proposed change affects: (U)SIM [ ] ME [X] UTRAN / Radio [X] Core Network [ ]
(at least one should be marked with an X)

Source: TSG RAN WG1 **Date:** 2000-11-21

Subject: Clarification of RACH procedure

Work item:

Category: *(only one category Shall be marked With an X)*

F	Correction	X	**Release:**	Phase 2	
A	Corresponds to a correction in an earlier release			Release 96	
B	Addition of feature			Release 97	
C	Functional modification of feature			Release 98	
D	Editorial modification			Release 99	X
				Release 00	

Reason for change: There is some ambiguity about the RACH access service class (ASC) setting with respect to sub-channel groups in the specifications in WG1 (25.214) and WG2 (25.331).

Clauses affected: 6.1

Other specs Affected:

Other 3G core specifications		→ List of CRs:	
Other GSM core specifications		→ List of CRs:	
MS test specifications		→ List of CRs:	
BSS test specifications		→ List of CRs:	
O&M specifications		→ List of CRs:	

Other comments: This CR supersedes the changes with respect to section 6.1 introduced in CR 133 (R1-00-1213) that was approved at WG1#16.

Consequence if not accepted

6 Random access procedure

6.1 Physical random access procedure

The physical random access procedure described in this subclause is initiated upon request of a PHY-Data-REQ primitive from the MAC sublayer (cf. [9]).

Before the physical random-access procedure can be initiated, Layer 1 shall receive the following information from the higher layers (RRC):

- The preamble scrambling code.
- The message length in time, either 10 or 20 ms.
- The AICH_Transmission_Timing parameter [0 or 1].
- The set of available signatures and the set of available RACH sub-channels ~~groups~~ for each Access Service Class (ASC).~~,~~ ~~where a s~~Sub-channels ~~group is~~ are defined ~~as a group of some of the sub-channels defined~~ in subclause 6.1.1.
- The power-ramping factor Power_Ramp_Step [integer > 0].
- The parameter Preamble_Retrans_Max [integer > 0].
- The initial preamble power Preamble_Initial_Power.
- The power offset $\Delta P_{p\text{-}m} = P_{message\text{-}control} - P_{preamble}$, measured in dB, between the power of the last transmitted preamble and the control part of the random-access message.
- The set of Transport Format parameters. This includes the power offset between the data part and the control part of the random-access messagee for each Transport Format.

Note that the above parameters may be updated from higher layers before each physical random access procedure is initiated.

At each initiation of the physical random access procedure, Layer 1 shall receive the following information from the higher layers (MAC):

- The Transport Format to be used for the PRACH message part.
- The ASC of the PRACH transmission.
- The data to be transmitted (Transport Block Set).

The physical random-access procedure shall be performed as follows:

~~1 Randomly select the RACH sub-channel group from the available ones for the given ASC. The random function shall be such that each of the allowed selections is chosen with equal probability.~~

~~2~~1 Derive the available uplink access slots, in the next full access slot set, for the ~~selected~~ set of available RACH sub-channels ~~group~~ within the given ASC with the help of subclauses 6.1.1. and 6.1.2. Randomly select one access slot among the ones previously determined. If there is no access slot available in the selected set, randomly select one uplink access slot corresponding to the ~~selected~~ set of available RACH sub-channels ~~group~~ within the given ASC from the next access slot set. The random function shall be such that each of the allowed selections is chosen with equal probability.

~~3~~2 Randomly select a signature from the set of available signatures ~~for~~ within the given ASC. The random function shall be such that each of the allowed selections is chosen with equal probability.

~~4~~3 Set the Preamble Retransmission Counter to Preamble_Retrans_Max.

~~5~~4 Set the preamble transmission power to Preamble_Initial_Power.

~~6~~5 Transmit a preamble using the selected uplink access slot, signature, and preamble transmission power.

~~7~~6 If no positive or negative acquisition indicator (AI ≠ +1 nor –1) corresponding to the selected signature is detected in the downlink access slot corresponding to the selected uplink access slot:

~~7~~6.1 Select the next available access slot in the set of available RACH sub-channels within the given ASC. ~~group chosen in 1.~~

~~7~~6.2 Randomly selects a new signature from the set of available signatures within the given ASC. The random function shall be such that each of the allowed selections is chosen with equal probability.

~~7~~6.3 Increase the preamble transmission power by ΔP_0 = Power_Ramp_Step [dB].

~~7~~6.4 Decrease the Preamble Retransmission Counter by one.

~~7~~6.5 If the Preamble Retransmission Counter > 0 then repeat from step ~~6~~5. Otherwise pass L1 status ("No ack on AICH") to the higher layers (MAC) and exit the physical random access procedure.

~~8~~7 If a negative acquisition indicator corresponding to the selected signature is detected in the downlink access slot corresponding to the selected uplink access slot, pass L1 status ("Nack on AICH received") to the higher layers (MAC) and exit the physical random access procedure.

~~9~~8 Transmit the random access message three or four uplink access slots after the uplink access slot of the last transmitted preamble depending on the AICH transmission timing parameter. Transmission power of the control part of the random access message should be $\Delta P_{p\text{-}m}$ [dB] higher than the power of the last transmitted preamble. Transmission power of the data part of the random access message is set according to subclause 5.1.1.2.

~~10~~9 Pass L1 status "RACH message transmitted" to the higher layers and exit the physical random access procedure.

6.1.1 RACH sub-channels

A RACH sub-channel defines a sub-set of the total set of uplink access slots. There are a total of 12 RACH sub-channels. RACH sub-channel #i (i = 0, …, 11) consists of the following uplink access slots:

- Uplink access slot #i leading by $\tau_{p\text{-}a}$ chips the downlink access slot #i contained within the 10 ms interval that is time aligned with P-CCPCH frames for which SFN mod 8 = 0 or SFN mod 8 = 1.
- Every 12th access slot relative to this access slot.

The access slots of different RACH sub-channels are also illustrated in Table 7.

Table 7: The available uplink access slots for different RACH sub-channels

SFN modulo 8 of corresponding P-CCPCH frame	**Sub-channel number**											
	0	1	2	3	4	5	6	7	8	9	10	11
0	0	1	2	3	4	5	6	7				
1	12	13	14						8	9	10	11
2				0	1	2	3	4	5	6	7	
3	9	10	11	12	13	14						8
4	6	7					0	1	2	3	4	5
5			8	9	10	11	12	13	14			
6	3	4	5	6	7					0	1	2
7						8	9	10	11	12	13	14

6.1.2 RACH access slot sets

The PRACH contains two sets of access slots as shown in Figure 2. Access slot set 1 contains PRACH slots 0 – 7 and starts $\tau_{p\text{-}a}$ chips before the downlink P-CCPCH frame for which SFN mod 2 = 0. Access slot set 2 contains PRACH slots 8 - 14 and starts ($\tau_{p\text{-}a}$ –2560) chips before the downlink P-CCPCH frame for which SFN mod 2 = 1.

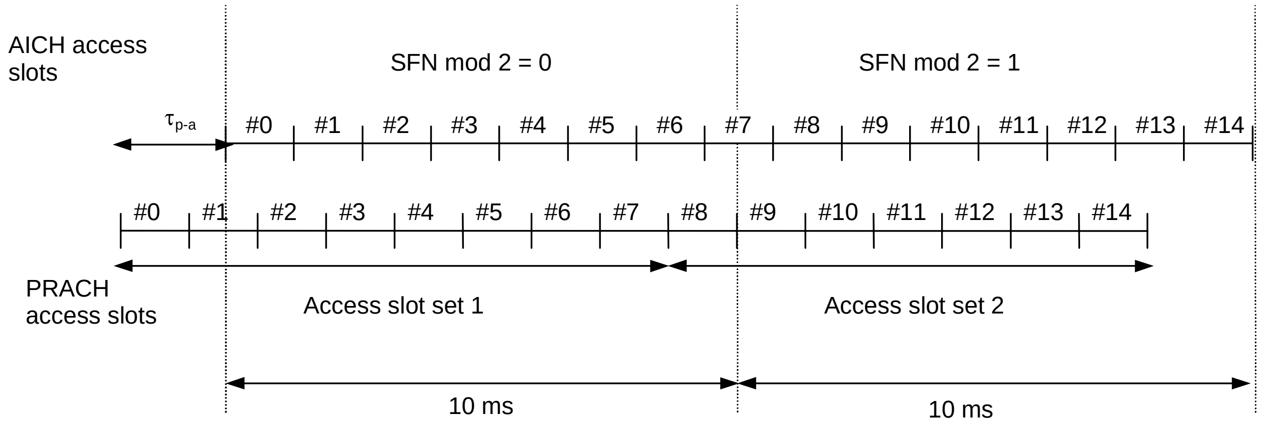

Figure 2: PRACH access slot and downlink AICH relation (τ_{p-a} = 7680 chips)

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[1]

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e.g. for 3GPP use the format TP-99xxx
or for SMG, use the format P-99-xxx

CHANGE REQUEST

Please see embedded help file at the bottom of this page for instructions on how to fill in this form correctly.

25.214	**CR**	**140**	Current Version:	3.4.0

GSM (AA.BB) or 3G (AA.BBB) specification number ↑ *↑ CR number as allocated by MCC support team*

For submission to: TSG-RAN #10	for approval	X	strategic		(for SMG
List expected approval meeting # here ↑	For information		non-strategic		use only)

Form: CR cover sheet, version 2 for 3GPP and SMG *The latest version of this form is available from: ftp://ftp.3gpp.org/Information/CR-Form-v2.doc*

Proposed change affects: (U)SIM [ ] ME [ ] UTRAN / Radio [X] Core Network [ ]
(at least one should be marked with an X)

Source:	TSG RAN WG1
Date:	2000-11-21
Subject:	Uplink power control in compressed mode
Work item:	Uplink power control

Category:
(only one category Shall be marked With an X)

			Release:	
F	Correction	X	Phase 2	
A	Corresponds to a correction in an earlier release		Release 96	
B	Addition of feature		Release 97	
C	Functional modification of feature		Release 98	
D	Editorial modification		Release 99	X
			Release 00	

Reason for change:	Correction of the uplink power control algorithm in compressed mode
Clauses affected:	5.1.2.3

Other specs affected:

Other 3G core specifications		→ List of CRs:
Other GSM core specifications		→ List of CRs:
MS test specifications		→ List of CRs:
BSS test specifications		→ List of CRs:
O&M specifications		→ List of CRs:

Other comments:

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5.1.2.3 Transmit power control in compressed mode

In compressed mode, some frames are compressed and contain transmission gaps. The uplink power control procedure is as specified in clause 5.1.2.2, using the same UTRAN supplied parameters for Power Control Algorithm and step size (Δ_{TPC}), but with additional features which aim to recover as rapidly as possible a signal-to-interference ratio (SIR) close to the target SIR after each transmission gap.

The serving cells (cells in the active set) should estimate signal-to-interference ratio SIR_{est} of the received uplink DPCH. The serving cells should then generate TPC commands and transmit the commands once per slot, except during downlink transmission gaps, according to the following rule: if $SIR_{est} > SIR_{cm\_target}$ then the TPC command to transmit is "0", while if $SIR_{est} < SIR_{cm\_target}$ then the TPC command to transmit is "1".

SIR_{cm_target} is the target SIR during compressed mode and fulfils

$SIR_{cm\_target} = SIR_{target}$ ~~+ ΔSIR_compression~~ + Δ_{PILOT} + ΔSIR1_coding + ΔSIR2_coding,

where ΔSIR1_coding and ΔSIR2_coding are computed from uplink parameters DeltaSIR1, DeltaSIR2, DeltaSIRafter1, DeltaSIRafter2 signaled by higher layers as:

- ΔSIR1_coding = DeltaSIR1 if the start of the first transmission gap in the transmission gap pattern is within the current uplink frame.
- ΔSIR1_coding = DeltaSIRafter1 if the current uplink frame just follows a frame containing the start of the first transmission gap in the transmission gap pattern.
- ΔSIR2_coding = DeltaSIR2 if the start of the second transmission gap in the transmission gap pattern is within the current uplink frame.
- ΔSIR2_coding = DeltaSIRafter2 if the current uplink frame just follows a frame containing the start of the second transmission gap in the transmission gap pattern.
- ΔSIR1_coding = 0 dB and ΔSIR2_coding = 0 dB in all other cases.

And Δ_{PILOT} is defined below.

~~and ΔSIR_compression is defined by :~~

- ~~ΔSIR_compression = 10 log (15 / (15 - TGL)) dB if there is a transmission gap within the current uplink frame created by compressed mode by reducing the spreading factor by 2, where TGL is the gap length in the current uplink frame in number of slots.~~
- ~~ΔSIR_compression = 0 dB in all other cases.~~

In case several compressed mode patterns are used simultaneously, ΔSIR1_coding and ΔSIR2_coding offsets are computed for each compressed mode pattern and all ΔSIR1_coding and ΔSIR2_coding offsets are summed together.

In compressed mode, compressed frames may occur in either the uplink or the downlink or both. In uplink compressed frames, the transmission of uplink DPDCH(s) and DPCCH shall both be stopped during transmission gaps.

Due to the transmission gaps in compressed frames, there may be missing TPC commands in the downlink. If no downlink TPC command is transmitted, the corresponding TPC_cmd derived by the UE shall be set to zero.

Compressed and non-compressed frames in the uplink DPCCH may have a different number of pilot bits per slot. A change in the transmit power of the uplink DPCCH would be needed in order to compensate for the change in the total pilot energy. Therefore at the start of each slot the UE shall derive the value of a power offset Δ_{PILOT}. If the number of pilot bits per slot in the uplink DPCCH is different from its value in the most recently transmitted slot, Δ_{PILOT} (in dB) shall be given by:

$$\Delta_{PILOT} = 10\mathrm{Log}_{10} (N_{pilot,prev}/N_{pilot,curr});$$

where $N_{pilot,prev}$ is the number of pilot bits in the most recently transmitted slot , and $N_{pilot,curr}$ is the number of pilot bits in the current slot. Otherwise, including during transmission gaps in the downlink, Δ_{PILOT} shall be zero.

Unless otherwise specified, in every slot during compressed mode the UE shall adjust the transmit power of the uplink DPCCH with a step of Δ_{DPCCH} (in dB) which is given by:

$$\Delta_{DPCCH} = \Delta_{TPC} \times TPC\_cmd + \Delta_{PILOT}.$$

At the start of the first slot after an uplink or downlink transmission gap the UE shall apply a change in the transmit power of the uplink DPCCH by an amount Δ_{DPCCH} (in dB), with respect to the uplink DPCCH power in the most recently transmitted uplink slot, where:

$$\Delta_{DPCCH} = \Delta_{RESUME} + \Delta_{PILOT}.$$

The value of Δ_{RESUME} (in dB) shall be determined by the UE according to the Initial Transmit Power mode (ITP). The ITP is a UE specific parameter, which is signalled by the network with the other compressed mode parameters (see [4]). The different modes are summarised in table 1.

Table 1: Initial Transmit Power modes during compressed mode

Initial Transmit Power mode	Description
0	$\Delta_{RESUME} = \Delta_{TPC} \times TPC\_cmd_{gap}$
1	$\Delta_{RESUME} = \delta_{last}$

In the case of a transmission gap in the uplink, TPC_cmd_{gap} shall be the value of TPC_cmd derived in the first slot of the uplink transmission gap, if a downlink TPC_command is transmitted in that slot. Otherwise TPC_cmd_{gap} shall be zero.

δ_{last} shall be equal to the most recently computed value of δ_i. δ_i shall be updated according to the following recursive relations, which shall be executed in all slots in which both the uplink DPCCH and a downlink TPC command are transmitted, and in the first slot of an uplink transmission gap if a downlink TPC command is transmitted in that slot:

$$\delta_i = 0.9375\delta_{i-1} - 0.96875 TPC\_cmd_i \Delta_{TPC} k_{sc}$$
$$\delta_{i-1} = \delta_i$$

where: TPC_cmd_i is the power control command derived by the UE in that slot;

$k_{sc} = 0$ if additional scaling is applied in the current slot and the previous slot as described in sub-clause 5.1.2.6, and $k_{sc} = 1$ otherwise.

δ_{i-1} is the value of δ_i computed for the previous slot. The value of δ_{i-1} shall be initialised to zero when the uplink DPCCH is activated, and also at the end of the first slot after each uplink transmission gap, and also at the end of the first slot after each downlink transmission gap. The value of δ_i shall be set to zero at the end of the first slot after each uplink transmission gap.

After a transmission gap in either the uplink or the downlink, the period following resumption of simultaneous uplink and downlink DPCCH transmission is called a recovery period. RPL is the recovery period length and is expressed as a number of slots. RPL is equal to the minimum value out of the transmission gap length and 7 slots. If a transmission gap is scheduled to start before RPL slots have elapsed, then the recovery period shall end at the start of the gap, and the value of RPL shall be reduced accordingly.

During the recovery period, 2 modes are possible for the power control algorithm. The Recovery Period Power control mode (RPP) is signalled with the other compressed mode parameters (see [4]). The different modes are summarised in the table 2:

Table 2: Recovery Period Power control modes during compressed mode

Recovery Period power control mode	Description
0	Transmit power control is applied using the algorithm determined by the value of PCA, as in subclause 5.1.2.2 with step size Δ_{TPC}.
1	Transmit power control is applied using algorithm 1 (see subclause 5.1.2.2.2) with step size Δ_{RP-TPC} during RPL slots after each transmission gap.

For RPP mode 0, the step size is not changed during the recovery period and ordinary transmit power control is applied (see subclause 5.1.2.2), using the algorithm for processing TPC commands determined by the value of PCA (see sub clauses 5.1.2.2.2 and 5.1.2.2.3).

For RPP mode 1, during RPL slots after each transmission gap, power control algorithm 1 is applied with a step size $\Delta_{RP\text{-}TPC}$ instead of Δ_{TPC}, regardless of the value of PCA. Therefore, the change in uplink DPCCH transmit power at the start of each of the RPL+1 slots immediately following the transmission gap (except for the first slot after the transmission gap) is given by:

$$\Delta_{DPCCH} = \Delta_{RP\text{-}TPC} \times TPC\_cmd + \Delta_{PILOT}$$

$\Delta_{RP\text{-}TPC}$ is called the recovery power control step size and is expressed in dB. If PCA has the value 1, $\Delta_{RP\text{-}TPC}$ is equal to the minimum value of 3 dB and $2\Delta_{TPC}$. If PCA has the value 2 , $\Delta_{RP\text{-}TPC}$ is equal to 1 dB.

After the recovery period, ordinary transmit power control resumes using the algorithm specified by the value of PCA and with step size Δ_{TPC}.

If PCA has the value 2 , the sets of slots over which the TPC commands are processed shall remain aligned to the frame boundaries in the compressed frame. For both RPP mode 0 and RPP mode 1, if the transmission gap or the recovery period results in any incomplete sets of TPC commands, TPC_cmd shall be zero for those sets of slots which are incomplete.

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CHANGE REQUEST

⌘ **25.214 CR 141** ⌘ rev **1** ⌘ Current version: **3.4.0** ⌘

For ***HELP*** *on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: ⌘ (U)SIM [ ] ME/UE [X] Radio Access Network [X] Core Network [ ]

Title: ⌘	Revision of the abbreviation list		
Source: ⌘	TSG RAN WG1		
Work item code: ⌘		***Date:*** ⌘	2000-11-19
Category: ⌘	**F**	***Release:*** ⌘	R99
	Use one of the following categories: ***F*** *(essential correction)* ***A*** *(corresponds to a correction in an earlier release)* ***B*** *(Addition of feature),* ***C*** *(Functional modification of feature)* ***D*** *(Editorial modification)* Detailed explanations of the above categories can be found in 3GPP TR 21.900.		*Use one of the following releases:* *2 (GSM Phase 2)* *R96 (Release 1996)* *R97 (Release 1997)* *R98 (Release 1998)* *R99 (Release 1999)* *REL-4 (Release 4)* *REL-5 (Release 5)*

Reason for change: ⌘	The abbreviation list should be revised because some abbreviations used in this spec are not defined, and because some of them are unnecessary.
Summary of change: ⌘	Add/remove abbreviations.
Consequences if not approved: ⌘	The exact meaning of an abbreviation may be misunderstood.

Clauses affected: ⌘	3	
Other specs affected: ⌘	[ ] Other core specifications [ ] Test specifications [ ] O&M Specifications	⌘
Other comments: ⌘		

3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

AICH	Acquisition Indicator Channel
ASC	Access Service Class
AP	Access Preamble
BCH	Broadcast Channel
CA	Channel Assignment
CCC	CPCH Control Command
CCPCH	Common Control Physical Channel
CCTrCH	Coded Composite Transport Channel
CD	Collision Detection
CPCH	Common Packet Channel
CPICH	Common Pilot Channel
CRC	Cyclic Redundancy Check
CSICH	CPCH Status Indicator Channel
DCH	Dedicated Channel
DL	Downlink
DPCCH	Dedicated Physical Control Channel
DPCH	Dedicated Physical Channel
~~DTX~~	~~Discontinuous Transmission~~
DPDCH	Dedicated Physical Data Channel
DTX	Discontinuous Transmission
~~FACH~~	~~Forward Access Channel~~
~~MUI~~	~~Mobile User Identifier~~
~~PCH~~	~~Paging Channel~~
P-CCPCH	Primary Common Control Physical Channel
PCA	Power Control Algorithm
PCPCH	Physical Common Packet Channel
PDSCH	Physical Downlink Shared Channel
PICH	Paging Indicat~~ion~~or Channel
PRACH	Physical Random Access Channel
RACH	Random Access Channel
RL	Radio Link
RPL	Recovery Period Length
RSCP	Received Signal Code Power
S-CCPCH	Secondary Common Control Physical Channel
SCH	Synchronisation Channel
SFN	System Frame Number
SIR	Signal-to-Interference Ratio
SNIR	Signal to Noise Interference Ratio
SSDT	Site Selection Diversity TPC
TFC	Transport Format Combination
TPC	Transmit Power Control
TrCH	Transport Channel
TTI	Transmission Time Interval
UE	User Equipment
UL	Uplink
UTRAN	UMTS Terrestrial Radio Access Network