

TSG-RAN Meeting #7
Madrid, Spain, 13 – 15 March 2000

RP-000062

Title: Agreed CRs to TS 25.212 (2)

Source: TSG-RAN WG1

Agenda item: 6.1.3

No.	Doc #	Spec	CR	Rev	Subject	Cat	Versio	Versio
21	R1-000426	25.212	059	1	Revision: Editorial correction to the calculation of	D	3.1.1	3.2.0
22	R1-000437	25.212	060	1	Editorial changes of channel coding section	D	3.1.1	3.2.0
23	R1-000364	25.212	061	-	Removal of DL compressed mode by higher layer	C	3.1.1	3.2.0

3GPP TSG RAN Meeting #7
Madrid, Spain, 13-15 March 2000

Document R1-00-0426

e.g. for 3GPP use the format TP-99xxx
or for SMG, use the format P-99-xxx

CHANGE REQUEST

Please see embedded help file at the bottom of this page for instructions on how to fill in this form correctly.

25.212 CR 059r1

Current Version: **V3.1.0**

GSM (AA.BB) or 3G (AA.BBB) specification number ↑

↑ CR number as allocated by MCC support team

For submission to: **RAN #7**

list expected approval meeting # here ↑

for approval
for information

strategic
non-strategic (for SMG use only)

Form: CR cover sheet, version 2 for 3GPP and SMG The latest version of this form is available from: <ftp://ftp.3gpp.org/Information/CR-Form-v2.doc>

Proposed change affects: (U)SIM ME UTRAN / Radio Core Network
(at least one should be marked with an X)

Source: TSG RAN WG1 **Date:** 25 Feb 2000

Subject: Revision: Editorial correction to the calculation of Rate Matching parameters

Work item: TS25.212

Category: F Correction **Release:** Phase 2
A Corresponds to a correction in an earlier release Release 96
B Addition of feature Release 97
C Functional modification of feature Release 98
D Editorial modification Release 99
Release 00

(only one category shall be marked with an X)

Reason for change: In current specification, there is no description of calculating order of $a * b / c$. Result is sometimes incorrect according to the order of calculation.

Clauses affected:

Other specs affected:

Other 3G core specifications	<input type="checkbox"/>	→ List of CRs:	
Other GSM core specifications	<input type="checkbox"/>	→ List of CRs:	
MS test specifications	<input type="checkbox"/>	→ List of CRs:	
BSS test specifications	<input type="checkbox"/>	→ List of CRs:	
O&M specifications	<input type="checkbox"/>	→ List of CRs:	

Other comments:

<----- double-click here for help and instructions on how to create a CR.

4.2.7 Rate matching

Rate matching means that bits on a transport channel are repeated or punctured. Higher layers assign a rate-matching attribute for each transport channel. This attribute is semi-static and can only be changed through higher layer signalling. The rate-matching attribute is used when the number of bits to be repeated or punctured is calculated.

The number of bits on a transport channel can vary between different transmission time intervals. In the downlink the transmission is interrupted if the number of bits is lower than maximum. When the number of bits between different transmission time intervals in uplink is changed, bits are repeated or punctured to ensure that the total bit rate after TrCH multiplexing is identical to the total channel bit rate of the allocated dedicated physical channels.

If no bits are input to the rate matching for all TrCHs within a CCTrCH, the rate matching shall output no bits for all TrCHs within the CCTrCH and no uplink DPDCH will be selected in the case of uplink rate matching.

Notation used in section 4.2.7 and subsections:

N_{ij} : For uplink: Number of bits in a radio frame before rate matching on TrCH i with transport format combination j .

For downlink : An intermediate calculation variable (not an integer but a multiple of 1/8).

N_{il}^{TTI} : Number of bits in a transmission time interval before rate matching on TrCH i with transport format l .
Used in downlink only.

ΔN_{ij} : For uplink: If positive - number of bits that should be repeated in each radio frame on TrCH i with transport format combination j .

If negative - number of bits that should be punctured in each radio frame on TrCH i with transport format combination j .

For downlink : An intermediate calculation variable (not an integer but a multiple of 1/8).

ΔN_{il}^{TTI} : If positive - number of bits to be repeated in each transmission time interval on TrCH i with transport format j .

If negative - number of bits to be punctured in each transmission time interval on TrCH i with transport format j .

Used in downlink only.

RM_i : Semi-static rate matching attribute for transport channel i . Signalled from higher layers.

PL : Puncturing limit for uplink. This value limits the amount of puncturing that can be applied in order to avoid multicode or to enable the use of a higher spreading factor. Signalled from higher layers.

$N_{data,j}$: Total number of bits that are available for the CCTrCH in a radio frame with transport format combination j .

I : Number of TrCHs in the CCTrCH.

Z_{ij} : Intermediate calculation variable.

F_i : Number of radio frames in the transmission time interval of TrCH i .

n_i : Radio frame number in the transmission time interval of TrCH i ($0 \leq n_i < F_i$).

q : Average puncturing or repetition distance (normalised to only show the remaining rate matching on top of an integer number of repetitions). Used in uplink only.

$I_F(n_i)$: The inverse interleaving function of the 1st interleaver (note that the inverse interleaving function is identical to the interleaving function itself for the 1st interleaver). Used in uplink only.

$S(n_i)$: The shift of the puncturing or repetition pattern for radio frame n_i . Used in uplink only.

$TF_i(j)$: Transport format of TrCH i for the transport format combination j .

$TFS(i)$	The set of transport format indexes l for TrCH i .
$TFCS$	The set of transport format combination indexes j .
e_{ini}	Initial value of variable e in the rate matching pattern determination algorithm of section 4.2.7.5.
e_{plus}	Increment of variable e in the rate matching pattern determination algorithm of section 4.2.7.5.
e_{minus}	Decrement of variable e in the rate matching pattern determination algorithm of section 4.2.7.5.
b :	Indicates systematic and parity bits
$b=1$:	Systematic bit. $X(t)$ in section 4.2.3.2.1.
$b=2$:	1 st parity bit (from the upper Turbo constituent encoder). $Y(t)$ in section 4.2.3.2.1.
$b=3$:	2 nd parity bit (from the lower Turbo constituent encoder). $Y'(t)$ in section 4.2.3.2.1.

The * (star) notation is used to replace an index x when the indexed variable X_x does not depend on the index x . In the left wing of an assignment the meaning is that " $X_* = Y$ " is equivalent to "**for all \underline{x} do $X_x = Y$** ". In the right wing of an assignment, the meaning is that " $Y = X_*$ " is equivalent to "**take any \underline{x} and do $Y = X_x$** ".

The following relations, defined for all TFC j , are used when calculating the rate matching parameters:

$$Z_{0,j} = 0$$

$$Z_{ij} = \left\lfloor \frac{\left\{ \left(\sum_{m=1}^i RM_m \cdot N_{mj} \right) \cdot N_{data,j} \right\}}{\sum_{m=1}^I RM_m \cdot N_{mj}} \right\rfloor \quad \text{for all } i = 1 \dots I \quad (1)$$

$$\Delta N_{ij} = Z_{ij} - Z_{i-1,j} - N_{ij} \quad \text{for all } i = 1 \dots I$$

4.2.7.1 Determination of rate matching parameters in uplink

4.2.7.1.1 Determination of SF and number of PhCHs needed

In uplink, puncturing can be applied to match the CCTrCH bit rate to the PhCH bit rate. The bit rate of the PhCH(s) is limited by the UE capability and restrictions imposed by UTRAN, through limitations on the PhCH spreading factor. The maximum amount of puncturing that can be applied is signalled from higher layers and denoted by PL . The number of available bits in the radio frames for all possible spreading factors is given in [2]. Denote these values by N_{256} , N_{128} , N_{64} , N_{32} , N_{16} , N_8 , and N_4 , where the index refers to the spreading factor. The possible values of N_{data} then are $\{N_{256}, N_{128}, N_{64}, N_{32}, N_{16}, N_8, N_4, 2N_4, 3N_4, 4N_4, 5N_4, 6N_4\}$. Depending on the UE capability and the restrictions from UTRAN, the allowed set of N_{data} , denoted SET0, can be a subset of $\{N_{256}, N_{128}, N_{64}, N_{32}, N_{16}, N_8, N_4, 2N_4, 3N_4, 4N_4, 5N_4, 6N_4\}$. $N_{data,j}$ for the transport format combination j is determined by executing the following algorithm:

$$SET1 = \{ N_{data} \text{ in SET0 such that } \min_{1 \leq y \leq I} \{ RM_y \} \cdot N_{data} - \sum_{x=1}^I RM_x \cdot N_{x,j} \text{ is non negative} \}$$

If SET1 is not empty and the smallest element of SET1 requires just one PhCH then

$$N_{data,j} = \min SET1$$

else

$$SET2 = \{ N_{data} \text{ in SET0 such that } \min_{1 \leq y \leq I} \{ RM_y \} \cdot N_{data} - PL \cdot \sum_{x=1}^I RM_x \cdot N_{x,j} \text{ is non negative} \}$$

Sort SET2 in ascending order

$N_{data} = \min \text{SET2}$

While N_{data} is not the max of SET2 and the follower of N_{data} requires no additional PhCH do

$N_{data} = \text{follower of } N_{data} \text{ in SET2}$

End while

$N_{data,j} = N_{data}$

End if

4.2.7.1.2 Determination of parameters needed for calculating the rate matching pattern

The number of bits to be repeated or punctured, ΔN_{ij} , within one radio frame for each TrCH i is calculated with equation 1 for all possible transport format combinations j and selected every radio frame. $N_{data,j}$ is given from section 4.2.7.1.1.

In compressed mode $N_{data,j}$ is replaced by $N_{data,j}^{cm}$ in Equation 1. $N_{data,j}^{cm}$ is given from the following relation:

$N_{data,j}^{cm} = 2N_{data,j} - 2N_{TGL}$, for compressed mode by spreading factor reduction

$N_{data,j}^{cm} = N_{data,j} - N_{TGL}$, for compressed mode by higher layer scheduling

$$N_{TGL} = \begin{cases} \frac{TGL}{15} N_{data,j}, & \text{if } N_{first} + TGL \leq 15 \\ \frac{15 - N_{first}}{15} N_{data,j}, & \text{in first frame if } N_{first} + TGL > 15 \\ \frac{TGL - (15 - N_{first})}{15} N_{data,j}, & \text{in second frame if } N_{first} + TGL > 15 \end{cases}$$

N_{first} and TGL are defined in section 4.4.

If $\Delta N_{ij} = 0$ then the output data of the rate matching is the same as the input data and the rate matching algorithm of section 4.2.7.5 does not need to be executed.

If $\Delta N_{ij} \neq 0$ the parameters listed in sections 4.2.7.1.2.1 and 4.2.7.1.2.2 shall be used for determining e_{mi} , e_{plus} , and e_{minus} (regardless if the radio frame is compressed or not).

4.2.7.1.2.1 Uncoded and convolutionally encoded TrCHs

$R = \Delta N_{ij} \bmod N_{ij}$ -- note: in this context $\Delta N_{ij} \bmod N_{ij}$ is in the range of 0 to $N_{ij}-1$ i.e. $-1 \bmod 10 = 9$.

if $R \neq 0$ and $2R \leq N_{ij}$

then $q = \lceil N_{ij} / R \rceil$

else

$q = \lceil N_{ij} / (R - N_{ij}) \rceil$

endif

-- note: q is a signed quantity.

if q is even

then $q' = q + \gcd(|q|, F_i)/F_i$ -- where $\gcd(|q|, F_i)$ means greatest common divisor of $|q|$ and F_i

-- note that q' is not an integer, but a multiple of 1/8

else

$$q' = q$$

endif

for $x = 0$ to $F_i - 1$

$$S(I_F(\lfloor \lfloor x \cdot q' \rfloor \rfloor \bmod F_i)) = (\lfloor \lfloor x \cdot q' \rfloor \rfloor \operatorname{div} F_i)$$

end for

$$\Delta N_i = \Delta N_{i,j}$$

$$a = 2$$

For each radio frame, the rate-matching pattern is calculated with the algorithm in section 4.2.7.5, where :

$$X_i = N_{i,j}, \text{ and}$$

$$e_{\text{mi}} = (a \cdot S(n_i) \cdot |\Delta N_i| + 1) \bmod (a \cdot N_{i,j}).$$

$$e_{\text{plus}} = a \cdot N_{i,j}$$

$$e_{\text{minus}} = a \cdot |\Delta N_i|$$

puncturing for $\Delta N < 0$, repetition otherwise.

4.2.7.1.2.2 Turbo encoded TrCHs

If repetition is to be performed on turbo encoded TrCHs, i.e. $\Delta N_{i,j} > 0$, the parameters in section 4.2.7.1.2.1 are used.

If puncturing is to be performed, the parameters below shall be used. Index b is used to indicate systematic ($b=1$), 1st parity ($b=2$), and 2nd parity bit ($b=3$).

$$a = 2 \text{ when } b = 2$$

$$a = 1 \text{ when } b = 3$$

$$\Delta N_i = \begin{cases} \lfloor \Delta N_{i,j} / 2 \rfloor, & b = 2 \\ \lfloor \Delta N_{i,j} / 2 \rfloor, & b = 3 \end{cases}$$

$$X_i = \lfloor N_{i,j} / 3 \rfloor,$$

$$q = \lfloor X_i / |\Delta N_i| \rfloor$$

if ($q \leq 2$)

for $x = 0$ to $F_i - 1$

$$S[I_F[(3x + b - 1) \bmod F_i]] = x \bmod 2;$$

end for

else

if q is even

then $q' = q - \operatorname{gcd}(q, F_i) / F_i$ -- where $\operatorname{gcd}(q, F_i)$ means greatest common divisor of q and F_i
 -- note that q' is not an integer, but a multiple of $1/8$

$$\text{else } q' = q$$

endif

for $x = 0$ to $F_i - 1$

$$r = \lceil x * q' \rceil \bmod F_i;$$

$$S[\lfloor F_i[(3r+b-1) \bmod F_i] \rfloor] = \lceil x * q' \rceil \text{div } F_i;$$

endfor

endif

For each radio frame, the rate-matching pattern is calculated with the algorithm in section 4.2.7.5, where:

X_i is as above,

$$e_{ini} = (a \cdot S(n_i) \cdot |\Delta N_i| + X_i) \bmod (a \cdot X_i), \text{ if } e_{ini} = 0 \text{ then } e_{ini} = a \cdot X_i.$$

$$e_{plus} = a \cdot X_i$$

$$e_{minus} = a \cdot |\Delta N_i|$$

4.2.7.2 Determination of rate matching parameters in downlink

For downlink $N_{data,j}$ does not depend on the transport format combination j . $N_{data,*}$ is given by the channelization code(s) assigned by higher layers.

4.2.7.2.1 Determination of rate matching parameters for fixed positions of TrCHs

First an intermediate calculation variable $N_{i,*}$ is calculated for all transport channels i by the following formula:

$$N_{i,*} = \frac{1}{F_i} \cdot \max_{l \in TFS(i)} N_{i,l}^{TTI}$$

The computation of the $\Delta N_{i,l}^{TTI}$ parameters is then performed in for all TrCH i and all TF l by the following formula, where $\Delta N_{i,*}$ is derived from $N_{i,*}$ by the formula given at section 4.2.7:

$$\Delta N_{max} = F_i \cdot \Delta N_{i,*}$$

If $\Delta N_{max} = 0$ then, for TrCH i , the output data of the rate matching is the same as the input data and the rate matching algorithm of section 4.2.7.5 does not need to be executed. In this case we have :

$$\forall l \in TFS(i) \Delta N_{i,l}^{TTI} = 0$$

If $\Delta N_{max} \neq 0$ the parameters listed in sections 4.2.7.2.1.1 and 4.2.7.2.1.2 shall be used for determining e_{ini} , e_{plus} , and e_{minus} .

4.2.7.2.1.1 Uncoded and convolutionally encoded TrCHs

$$\Delta N_i = \Delta N_{max}$$

$$a=2$$

$$N_{max} = \max_{l \in TFS(i)} N_{il}^{TTI}$$

For each transmission time interval of TrCH i with TF l , the rate-matching pattern is calculated with the algorithm in section 4.2.7.5. The following parameters are used as input:

$$X_i = N_{il}^{TTI}$$

$$e_{ini} = 1$$

$$e_{plus} = a \cdot N_{max}$$

$$e_{minus} = a \cdot |\Delta N_i|$$

Puncturing if $\Delta N_i < 0$, repetition otherwise. The values of $\Delta N_{i,l}^{TTI}$ may be computed by counting repetitions or puncturing when the algorithm of section 4.2.7.5 is run.

4.2.7.2.1.2 Turbo encoded TrCHs

If repetition is to be performed on turbo encoded TrCHs, i.e. $\Delta N_{max} > 0$, the parameters in section 4.2.7.2.1.1 are used.

If puncturing is to be performed, the parameters below shall be used. Index b is used to indicate systematic ($b=1$), 1st parity ($b=2$), and 2nd parity bit ($b=3$).

$$a=2 \text{ when } b=2$$

$$a=1 \text{ when } b=3$$

The bits indicated by $b=1$ shall not be punctured.

$$\Delta N_i = \begin{cases} \lfloor \Delta N_{max} / 2 \rfloor, & b = 2 \\ \lceil \Delta N_{max} / 2 \rceil, & b = 3 \end{cases}$$

$$N_{max} = \max_{l \in TFS(i)} (N_{il}^{TTI} / 3)$$

For each transmission time interval of TrCH i with TF l , the rate-matching pattern is calculated with the algorithm in section 4.2.7.5. The following parameters are used as input:

$$X_i = N_{il}^{TTI} / 3$$

$$e_{ini} = N_{max}$$

$$e_{plus} = a \cdot N_{max}$$

$$e_{minus} = a \cdot |\Delta N_i|$$

The values of $\Delta N_{i,l}^{TTI}$ may be computed by counting repetitions or puncturing when the algorithm of section 4.2.7.5 is run.

4.2.7.2.2 Determination of rate matching parameters for flexible positions of TrCHs

First an intermediate calculation variable N_{ij} is calculated for all transport channels i and all transport format combinations j by the following formula:

$$N_{i,j} = \frac{1}{F_i} \cdot N_{i,TF_i(j)}^{TTI}$$

Then rate matching ratios RF_i are calculated for each the transport channel i in order to minimise the number of DTX bits when the bit rate of the CCTrCH is maximum. The RF_i ratios are defined by the following formula:

$$RF_i = \frac{N_{data,*}}{\max_{j \in TFCs} \sum_{i=1} (RM_i \cdot N_{i,j})} \cdot RM_i$$

The computation of $\Delta N_{i,l}^{TTI}$ parameters is then performed in two phases. In a first phase, tentative temporary values of $\Delta N_{i,l}^{TTI}$ are computed, and in the second phase they are checked and corrected. The first phase, by use of the RF_i ratios, ensures that the number of DTX indication bits inserted is minimum when the CCTrCH bit rate is maximum, but it does not ensure that the maximum CCTrCH bit rate is not greater than $N_{data,*}$ per 10ms. The latter condition is ensured through the checking and possible corrections carried out in the second phase.

At the end of the second phase, the latest value of $\Delta N_{i,l}^{TTI}$ is the definitive value.

The first phase defines the tentative temporary $\Delta N_{i,l}^{TTI}$ for all transport channel i and any of its transport format l by use of the following formula:

$$\Delta N_{i,l}^{TTI} = F_i \cdot \left\lfloor \frac{RF_i \cdot N_{i,l}^{TTI}}{F_i} \right\rfloor - N_{i,l}^{TTI} = F_i \cdot \left\lfloor \frac{N_{data,*} \cdot RM_i \cdot N_{i,l}^{TTI}}{F_i \cdot \max_{j \in TFCS} \sum_{i=1}^l (RM_i \cdot N_{i,j})} \right\rfloor - N_{i,l}^{TTI}$$

The second phase is defined by the following algorithm:

for all j in $TFCS$ do -- for all TFC

$$D = \sum_{i=1}^{i=l} \frac{N_{i,TF_i(j)}^{TTI} + \Delta N_{i,TF_i(j)}^{TTI}}{F_i} \quad \text{-- CCTrCH bit rate (bits per 10ms) for TFC } l$$

if $D > N_{data,*}$ then

for $i = 1$ to l do -- for all TrCH

$$\Delta N = F_i \cdot \Delta N_{i,j} \quad \text{-- } \Delta N_{i,j} \text{ is derived from } N_{i,j} \text{ by the formula given at section 4.2.7.}$$

if $\Delta N_{i,TF_i(j)}^{TTI} > \Delta N$ then

$$\Delta N_{i,TF_i(j)}^{TTI} = \Delta N$$

end-if

end-for

end-if

end-for

NOTE: The order in which the transport format combinations are checked does not change the final result.

If $\Delta N_{i,l}^{TTI} = 0$ then, for TrCH i at TF l , the output data of the rate matching is the same as the input data and the rate matching algorithm of section 4.2.7.5 does not need to be executed.

If $\Delta N_{i,l}^{TTI} \neq 0$ the parameters listed in sections 4.2.7.2.2.1 and 4.2.7.2.2.2 shall be used for determining e_{ini} , e_{plus} , and e_{minus} .

4.2.7.2.2.1 Uncoded and convolutionally encoded TrCHs

$$\Delta N_i = \Delta N_{il}^{TTI}$$

$a=2$

For each transmission time interval of TrCH i with TF l , the rate-matching pattern is calculated with the algorithm in section 4.2.7.5. The following parameters are used as input:

$$X_i = N_{il}^{TTI}$$

$$e_{ini} = 1$$

$$e_{plus} = a \cdot N_{il}^{TTI}$$

$$e_{minus} = a \cdot |\Delta N_i|$$

puncturing for $\Delta N_i < 0$, repetition otherwise.

4.2.7.2.2.2 Turbo encoded TrCHs

If repetition is to be performed on turbo encoded TrCHs, i.e. $\Delta N_{il}^{TTI} > 0$, the parameters in section 4.2.7.2.1 are used.

If puncturing is to be performed, the parameters below shall be used. Index b is used to indicate systematic ($b=1$), 1st parity ($b=2$), and 2nd parity bit ($b=3$).

$$a=2 \text{ when } b=2$$

$$a=1 \text{ when } b=3$$

The bits indicated by $b=1$ shall not be punctured.

$$\Delta N_i = \begin{cases} \left\lfloor \frac{\Delta N_{il}^{TTI}}{2} \right\rfloor, & b = 2 \\ \left\lfloor \frac{\Delta N_{il}^{TTI}}{2} \right\rfloor, & b = 3 \end{cases}$$

For each transmission time interval of TrCH i with TF l , the rate-matching pattern is calculated with the algorithm in section 4.2.7.5. The following parameters are used as input:

$$X_i = N_{il}^{TTI} / 3N,$$

$$e_{ini} = X_i,$$

$$e_{plus} = a \cdot X_i$$

$$e_{minus} = a \cdot |\Delta N_i|$$

4.2.3 Channel coding

Code blocks are delivered to the channel coding block. They are denoted by $O_{ir1}, O_{ir2}, O_{ir3}, \dots, O_{irK_i}$, where i is the TrCH number, r is the code block number, and K_i is the number of bits in each code block. The number of code blocks on TrCH i is denoted by C_i . After encoding the bits are denoted by $y_{ir1}, y_{ir2}, y_{ir3}, \dots, y_{irY_i}$, where Y_i is the number of encoded bits. The encoded blocks are serially multiplexed so that the block with lowest index r is output first from the channel coding block. The bits output are denoted by $c_{i1}, c_{i2}, c_{i3}, \dots, c_{iE_i}$, where i is the TrCH number and $E_i = C_i Y_i$. The output bits are defined by the following relations:

~~$$c_{ik} = y_{ir1} \quad k = 1, 2, \dots, Y_i$$~~

~~$$c_{ik} = y_{ir2, (k-Y_i)} \quad k = Y_i + 1, Y_i + 2, \dots, 2Y_i$$~~

~~$$c_{ik} = y_{ir3, (k-2Y_i)} \quad k = 2Y_i + 1, 2Y_i + 2, \dots, 3Y_i$$~~

~~-----~~

~~$$c_{ik} = y_{irC_i, (k-(C_i-1)Y_i)} \quad k = (C_i - 1)Y_i + 1, (C_i - 1)Y_i + 2, \dots, C_i Y_i$$~~

~~-----~~ The relation between O_{irk} and y_{irk} and between K_i and Y_i is dependent on the channel coding scheme.

The following channel coding schemes can be applied to TrCHs:

- Convolutional coding
- Turbo coding
- No channel-coding

Usage of coding scheme and coding rate for the different types of TrCH is shown in table 1.

The values of Y_i in connection with each coding scheme:

- Convolutional coding: ~~with rate 1/2~~ with rate 1/2: $Y_i = 2 * K_i + 16$; ~~rate 1/3~~ rate: $Y_i = 3 * K_i + 24$
- Turbo coding: ~~with rate 1/3~~ rate: $Y_i = 3 * K_i + 12$
- No channel-coding: $Y_i = K_i$

Table 1: Usage of channel coding scheme and coding rate Error Correction Coding Parameters

Type of TrCH	Coding scheme	Coding rate
BCH	Convolutional coding	1/2
PCH		
RACH		1/3, 1/2
CPCH, DCH, DSCH, FACH	Turbo coding	1/3
	No coding	

Transport channel type	Coding scheme	Coding rate
BCH	Convolutional code	1/2
PCH		
RACH		
CPCH, DCH, DSCH, FACH	Turbo Code	1/3, 1/2
	No coding	1/3

If no code blocks are input to the channel coding ($C_i = 0$), no bits shall be output from the channel coding, i.e. $E_i = 0$.

4.2.3.1 Convolutional coding

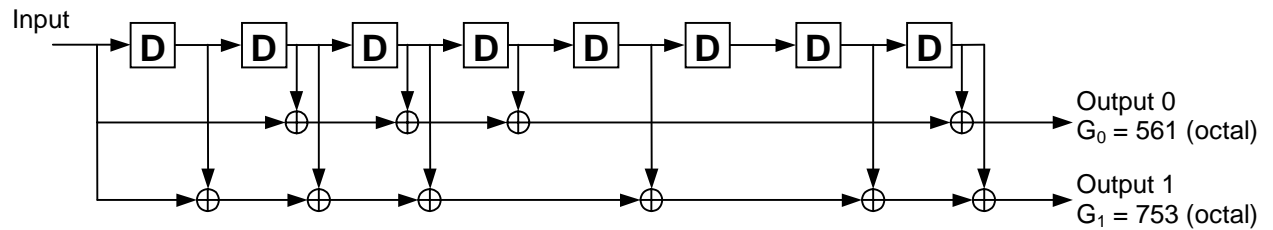
Convolutional codes with constraint length 9 and coding rates 1/3 and 1/2 are defined.

The configuration of the convolutional coder is presented in figure 3.

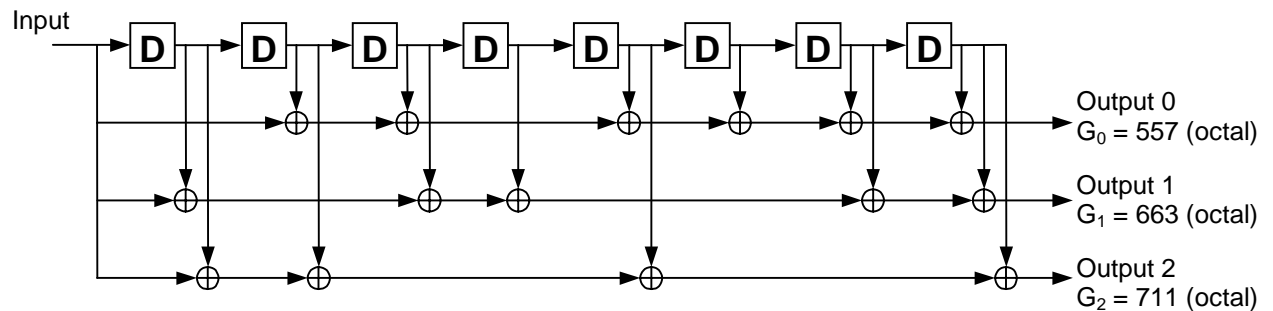
Output from the rate 1/3 convolutional coder shall be done in the order output0, output1, output2, output0, output1, output 2, output 0, ..., output2. Output from the rate 1/2 convolutional coder shall be done in the order output 0, output 1, output 0, output 1, output 0, ..., output 1.

8 tail bits with binary value 0 shall be added to the end of the code block before encoding.

The initial value of the shift register of the coder shall be "all 0" when starting to encode the input bits.



(a) Rate 1/2 convolutional coder



(b) Rate 1/3 convolutional coder

Figure 3: Rate 1/2 and rate 1/3 convolutional coders

4.2.3.2 Turbo coding

4.2.3.2.1 Turbo coder

The turbo coding scheme of Turbo coder is a parallel concatenated Convolutional Code (PCCC) with two 8-state constituent encoders and one Turbo code internal interleaver. The coding rate of Turbo coder is 1/3. The structure of Turbo coder is illustrated in figure 4.

The transfer function of the 8-state constituent code for PCCC is

$$G(D) = \begin{bmatrix} 1 & n(D) \\ 1 & d(D) \end{bmatrix} \begin{bmatrix} g_1(D) \\ g_0(D) \end{bmatrix}$$

where;

$$g_0(D) = 1 + D^2 + D^3$$

$$g_1(D) = 1 + D + D^3$$

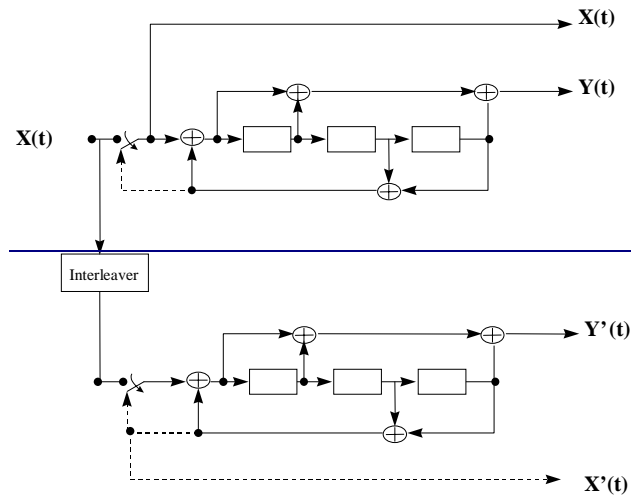


Figure 4: Structure of the 8-state PCCC encoder (dotted lines effective for trellis termination only)

The initial value of the shift registers of the PCCC-8-state constituent encoders shall be all zeros when starting to encode the input bits.

The output of the PCCC encoder is punctured to produce coded bits corresponding to the desired code rate. For rate 1/3, none of the systematic or parity bits are punctured, and the output sequence from the Turbo coder is X(0), Y(0), Y'(0), X(1), Y(1), Y'(1), etc.

$$x_1, z_1, z'_1, x_2, z_2, z'_2, \dots, x_K, z_K, z'_K$$

where x_1, x_2, \dots, x_K are the bits input to the Turbo coder i.e. both first 8-state constituent encoder and Turbo code internal interleaver, and K is the number of bits, and z_1, z_2, \dots, z_K and z'_1, z'_2, \dots, z'_K are the bits output from first and second 8-state constituent encoders, respectively.

The bits output from Turbo code internal interleaver are denoted by x'_1, x'_2, \dots, x'_K , and these bits are to be input to the second 8-state constituent encoder.

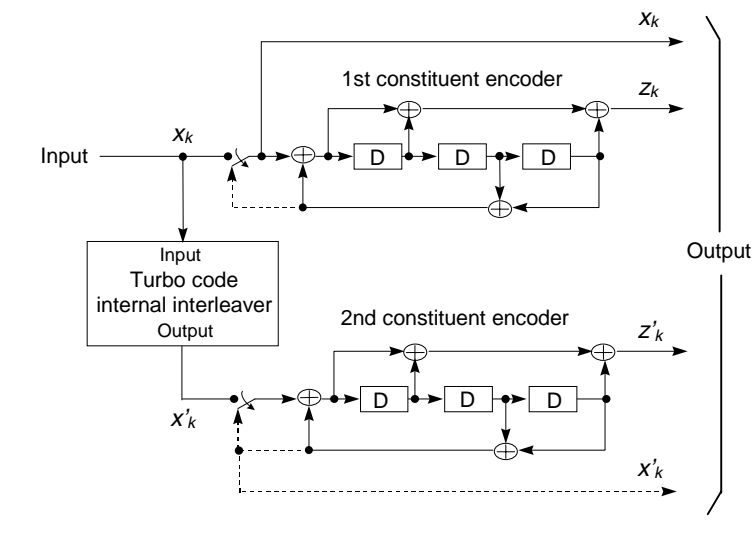


Figure 4: Structure of rate 1/3 Turbo coder (dotted lines apply for trellis termination only)

4.2.3.2.2 Trellis termination for Turbo coding

Trellis termination is performed by taking the tail bits from the shift register feedback after all information bits are encoded. Tail bits are padded after the encoding of information bits.

The first three tail bits shall be used to terminate the first constituent encoder (upper switch of figure 4 in lower position) while the second constituent encoder is disabled. The last three tail bits shall be used to terminate the second constituent encoder (lower switch of figure 4 in lower position) while the first constituent encoder is disabled.

The transmitted bits for trellis termination shall then be

$$\begin{aligned} & \underline{X(t)} \ Y(t) \ X(t+1) \ Y(t+1) \ X(t+2) \ Y(t+2) \ X'(t) \ Y'(t) \ X'(t+1) \ Y'(t+1) \ X'(t+2) \ Y'(t+2) \ \underline{X_{K+1}, Z_{K+1}, X_{K+2}, Z_{K+2}, X_{K+3}, Z_{K+3},} \\ & \quad \underline{X'_{K+1}, Z'_{K+1}, X'_{K+2}, Z'_{K+2}, X'_{K+3}, Z'_{K+3}.} \end{aligned}$$

4.2.3.2.3 Turbo code internal interleaver

Figure 5 depicts the overall 8 state PCCC Turbo coding scheme including Turbo code internal interleaver. The Turbo code internal interleaver consists of bits-input to a rectangular matrix, intra-row and inter-row permutations of the rectangular matrix, and bits-output from the rectangular matrix with pruning. The bits input to the Turbo code internal interleaver are denoted by $x_1, x_2, x_3, \dots, x_K$, where K is the integer number of the bits and takes one value of $40 \leq K \leq 5114$. The relation between the bits input to the Turbo code internal interleaver and the bits input to the channel coding is defined by $x_k = o_{irk}$ and $K = K_i$ of mother interleaver generation and pruning. For arbitrary given block length K , one mother interleaver is selected from the 134 mother interleavers set. The generation scheme of mother interleaver is described in section 4.2.3.2.3.1. After the mother interleaver generation, l bits are pruned in order to adjust the mother interleaver to the block length K . Tail bits T_1 and T_2 are added for constituent encoders RSC1 and RSC2, respectively. The definition of l is shown in section 4.2.3.2.3.2.

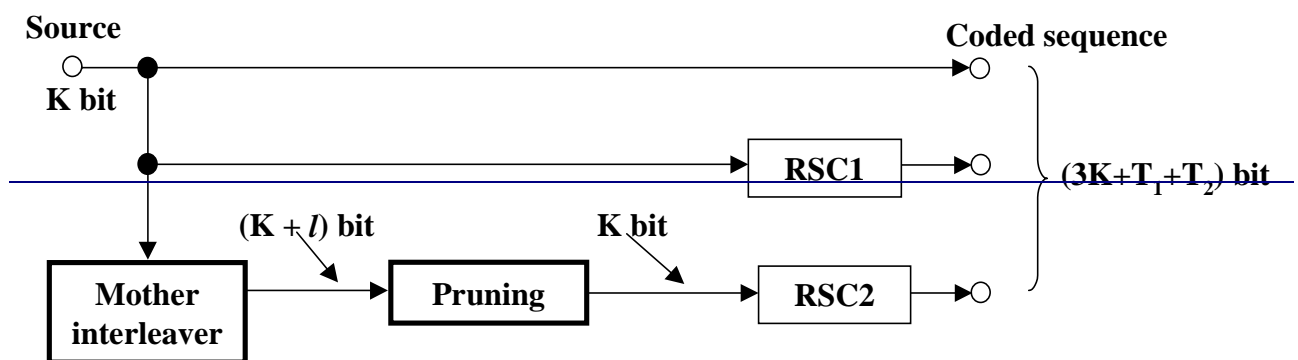


Figure 5: Overall 8 State PCCC Turbo Coding

The following section specific symbols are used in sections 4.2.3.2.3.1 – 4.2.3.4.3.3:

K	Number of bits input to Turbo code internal interleaver
R	Number of rows of rectangular matrix
C	Number of columns of rectangular matrix
p	Prime number
v	Primitive root
$s(i)$	Base sequence for intra-row permutation
q_i	Minimum prime integers
r_i	Permuted prime integers
$T(j)$	Inter-row permutation pattern
$U_j(i)$	Intra-row permutation pattern
i	Index of matrix

j Index of matrix
 k Index of bit sequence

4.2.3.2.3.1 Bits-input to rectangular matrix ~~Mother interleaver generation~~

~~The bit sequence input to the Turbo code internal interleaver x_k . The interleaving consists of three stages. In first stage, the input sequence is written into the rectangular matrix as follows: row by row. The second stage is intra-row permutation. The third stage is inter row permutation. The three stage permutations are described as follows, the input block length is assumed to be K (320 to 5114 bits).~~

First Stage:

(1) Determine the number of rows R of the rectangular matrix such that

$$R = \begin{cases} 5, & \text{if } (40 \leq K \leq 159) \\ 10, & \text{if } ((160 \leq K \leq 200) \text{ or } (481 \leq K \leq 530)) \\ 20, & \text{if } (K = \text{any other value}) \end{cases}$$

$R = 10$ ($K = 481$ to 530 bits; Case 1)

$R = 20$ ($K = \text{any other block length except } 481 \text{ to } 530 \text{ bits; Case 2}$)

where the rows of rectangular matrix are numbered $0, 1, 2, \dots, R - 1$ from top to bottom.

(2) Determine the number of columns C of rectangular matrix such that

if $(481 \leq K \leq 530)$ then

$p = 53$ and Case 1; $C = p - 53$.

else Case 2;

(i) Find minimum prime p such that;

$0 \leq (p + 1) - K/R \leq 0$,

and determine C such that;

(ii) if $(0 \leq p - K/R \leq 0)$ then go to (iii);

if $(p - 1 - K/R \geq 0)$ then

$C = p - 1$.

else

$C = p$.

end if

else

$C = p + 1$.

end if

end if

where the columns of rectangular matrix are numbered $0, 1, 2, \dots, C - 1$ from left to right.

~~(iii) if $(0 \leq p - 1 - K/R)$ then $C = p - 1$,~~

~~else $C = p$.~~

(3) ~~Write~~ The input bit sequence x_k ~~of the interleaver is written~~ into the $R \times C$ rectangular matrix row by row starting with bit x_1 ~~from in column 0 of row 0~~:

$$\begin{bmatrix} x_1 & x_2 & x_3 & \dots & x_C \\ x_{(C+1)} & x_{(C+2)} & x_{(C+3)} & \dots & x_{2C} \\ \vdots & \vdots & \vdots & \dots & \vdots \\ x_{((R-1)C+1)} & x_{((R-1)C+2)} & x_{((R-1)C+3)} & \dots & x_{RC} \end{bmatrix}^z$$

Second Stage:

A. If $C = p$

4.2.3.2.3.2 Intra-row and inter-row permutations

After the bits-input to the $R \times C$ rectangular matrix, the intra-row and inter-row permutations are performed by using the following algorithm:

(1) ~~(A-1)~~ Select a primitive root g_{UV} from table 2.

(2) ~~(A-2)~~ Construct the base sequence $e_S(i)$ for intra-row permutation as:

$$e_S(i) = [g_{UV} \times e_S(i-1)] \bmod p, \quad i = 1, 2, \dots, (p-2), \text{ and } e_S(0) = 1.$$

(3) ~~(A-3)~~ Let $q_0 = 1$ be the first prime integer in $\{q_j\}$, and ~~S~~select the consecutive minimum prime integers ~~set~~ $\{q_j\}$ ($j = 1, 2, \dots, R-1$) such that

$$\text{g.c.d}\{q_j, p-1\} = 1,$$

$$q_j > 6, \text{ and}$$

$$q_j > q_{(j-1)},$$

where g.c.d. is greatest common ~~divider~~divisor. ~~And~~ $q_0 = 1$.

(4) ~~(A-4)~~ ~~Permute~~ The set $\{q_j\}$ is ~~permuted~~ to make a new set $\{p_{r_j}\}$ such that

$$P_{PT(j)} = q_j, \quad j = 0, 1, \dots, R-1,$$

where $PT(j)$ indicates the original row position of the j -th permuted row, and $T(j)$ is the inter-row permutation pattern defined as the one of the following four kind of patterns: Pat_1 , Pat_2 , Pat_3 and Pat_4 depending on the number of input bits K . ~~in the third stage.~~

$$T(j) = \begin{cases} Pat_4 & \text{if } (40 \leq K \leq 159) \\ Pat_3 & \text{if } (160 \leq K \leq 200) \\ Pat_1 & \text{if } (201 \leq K \leq 480) \\ Pat_3 & \text{if } (481 \leq K \leq 530) \\ Pat_1 & \text{if } (531 \leq K \leq 2280) \\ Pat_2 & \text{if } (2281 \leq K \leq 2480) \\ Pat_1 & \text{if } (2481 \leq K \leq 3160) \\ Pat_2 & \text{if } (3161 \leq K \leq 3210) \\ Pat_1 & \text{if } (3211 \leq K \leq 5114) \end{cases}$$

where Pat_1 , Pat_2 , Pat_3 and Pat_4 have the following patterns respectively.

$$Pat_1: \{19, 9, 14, 4, 0, 2, 5, 7, 12, 18, 10, 8, 13, 17, 3, 1, 16, 6, 15, 11\}$$

$$Pat_2: \{19, 9, 14, 4, 0, 2, 5, 7, 12, 18, 16, 13, 17, 15, 3, 1, 6, 11, 8, 10\}$$

$$Pat_3: \{9, 8, 7, 6, 5, 4, 3, 2, 1, 0\}$$

$$Pat_4: \{4, 3, 2, 1, 0\}$$

(5) ~~(A-5)~~ Perform the j -th ($j = 0, 1, 2, \dots, R-1$) intra-row permutation as:

~~if~~ $(C = p)$ then

$$e_{U_j}(i) = e_S([i \times p_{r_j}] \bmod (p-1)), \quad i = 0, 1, 2, \dots, (p-2), \text{ and } e_{U_j}(p-1) = 0,$$

where $e_{U_j}(i)$ is the input bit position of i -th output after the permutation of j -th row.

~~end if~~

~~B. If~~ $(C = p + 1)$ then

~~(B-1)~~ Same as case A-1.

~~(B-2)~~ Same as case A-2.

~~(B-3)~~ Same as case A-3.

~~(B-4)~~ Same as case A-4.

~~(B 5) Perform the j -th ($j = 0, 1, 2, \dots, R - 1$) intra row permutation as:~~

$$\underline{eU_j(i)} = \underline{eS}([i \times \underline{pR_j}] \bmod (p - 1)), \quad i = 0, 1, 2, \dots, (p - 2), \quad \underline{eU_j(p - 1)} = 0, \text{ and } \underline{eU_j(p)} = p,$$

~~(B 6) If ($K = C \times R$) then exchange $\underline{eR_{-1}(p)}$ with $\underline{eR_{-1}(0)}$.~~

where $\underline{eU_j(i)}$ is the input bit position of i -th output after the permutation of j -th row, and

if ($K = C \times R$) then

Exchange $U_{R-1}(p)$ with $U_{R-1}(0)$.

end if

end if

~~C. If ($C = p - 1$) then~~

~~(C 1) Same as case A 1.~~

~~(C 2) Same as case A 2.~~

~~(C 3) Same as case A 3.~~

~~(C 4) Same as case A 4.~~

~~(C 5) Perform the j -th ($j = 0, 1, 2, \dots, R - 1$) intra row permutation as:~~

$$\underline{eU_j(i)} = \underline{eS}([i \times \underline{pR_j}] \bmod (p - 1)) - 1, \quad i = 0, 1, 2, \dots, (p - 2),$$

where $\underline{eU_j(i)}$ is the input bit position of i -th output after the permutation of j -th row.

end if

~~Third Stage:~~

~~(1) Perform the inter row permutation based on the following $P(j)$ ($j = 0, 1, \dots, R - 1$) patterns, where $P(j)$ is the original row position of the j -th permuted row.~~

~~$P_A = \{19, 9, 14, 4, 0, 2, 5, 7, 12, 18, 10, 8, 13, 17, 3, 1, 16, 6, 15, 11\}$ for $R = 20$~~

~~$P_B = \{19, 9, 14, 4, 0, 2, 5, 7, 12, 18, 16, 13, 17, 15, 3, 1, 6, 11, 8, 10\}$ for $R = 20$~~

~~$P_C = \{9, 8, 7, 6, 5, 4, 3, 2, 1, 0\}$ for $R = 10$~~

The usage of these patterns is as follows:

Block length K : ~~$P(j)$~~

320 to 480 bit: ~~P_A~~

481 to 530 bit: ~~P_C~~

531 to 2280 bit: ~~P_A~~

2281 to 2480 bit: ~~P_B~~

2481 to 3160 bit: ~~P_A~~

3161 to 3210 bit: ~~P_B~~

3211 to 5114 bit: ~~P_A~~

~~(2) The output of the mother interleaver is the sequence read out column by column from the permuted $R \times C$ matrix starting from column 0.~~

Table 2: Table of prime p and associated primitive root v

p	v	p	v	p	v	p	v	p	v
7	3	47	5	101	2	157	5	223	3
11	2	53	2	103	5	163	2	227	2
13	2	59	2	107	2	167	5	229	6
17	3	61	2	109	6	173	2	233	3
19	2	67	2	113	3	179	2	239	7
23	5	71	7	127	3	181	2	241	7
29	2	73	5	131	2	191	19	251	6
31	3	79	3	137	3	193	5	257	3
37	2	83	2	139	2	197	2		
41	6	89	3	149	2	199	3		
43	3	97	5	151	6	211	2		

p	g_p	P	g_p	p	g_p	P	g_p	P	g_p
17	3	59	2	103	5	157	5	211	2
19	2	61	2	107	2	163	2	223	3
23	5	67	2	109	6	167	5	227	2
29	2	71	7	113	3	173	2	229	6
31	3	73	5	127	3	179	2	233	3
37	2	79	3	131	2	181	2	239	7
41	6	83	2	137	3	191	19	241	7
43	3	89	3	139	2	193	5	251	6
47	5	97	5	149	2	197	2	257	3
53	2	101	2	151	6	199	3		

4.2.3.2.3.32 Bits-output from rectangular matrix with Definition of number of pruning-bits

After intra-row and inter-row permutations, the bits of the permuted rectangular matrix are denoted by y'_k :

$$\begin{bmatrix} y'_1 & y'_{(R+1)} & y'_{(2R+1)} & \cdots & y'_{((C-1)R+1)} \\ y'_2 & y'_{(R+2)} & y'_{(2R+2)} & \cdots & y'_{((C-1)R+2)} \\ \vdots & \vdots & \vdots & \cdots & \vdots \\ y'_R & y'_{2R} & y'_{3R} & \cdots & y'_{CR} \end{bmatrix}$$

The output of the Turbo code internal interleaver is the bit sequence read out column by column from the intra-row and inter-row permuted $R \times C$ matrix starting with bit y'_1 in row 0 of column 0 and ending with bit y'_{CR} in row $R - 1$ of column $C - 1$. The output is pruned by deleting bits that were not present in the input bit sequence, i.e. bits y'_k that corresponds to bits x_k with $k > K$ are removed from the output. The bits output from Turbo code internal interleaver are denoted by $x'_1, x'_2, \dots, x'_{K_2}$, where x'_1 corresponds to the bit y'_k with smallest index k after pruning, x'_2 to the bit y'_k with second smallest index k after pruning, and so on. The output of the mother interleaver is pruned by deleting the l bits in order to adjust the mother interleaver to the block length K , where the deleted bits are non-existent bits in the input sequence. The number of bits output from Turbo code internal interleaver is K and the total number of pruning bits number l is defined as:

$$l = R \times C - K,$$

where R is the row number and C is the column number defined in section 4.2.3.2.3.1

4.2.3.3 Concatenation of encoded blocks

After the channel coding for each code block, if C_i is greater than 1, the encoded blocks are serially concatenated so that the block with lowest index r is output first from the channel coding block, otherwise the encoded block is output

from channel coding block as it is. The bits output are denoted by $c_{i1}, c_{i2}, c_{i3}, \dots, c_{iE_i}$, where i is the TrCH number and $E_i = C_i Y_i$. The output bits are defined by the following relations:

$$c_{ik} = y_{i1k} \quad k = 1, 2, \dots, Y_i$$

$$c_{ik} = y_{i,2,(k-Y_i)} \quad k = Y_i + 1, Y_i + 2, \dots, 2Y_i$$

$$c_{ik} = y_{i,3,(k-2Y_i)} \quad k = 2Y_i + 1, 2Y_i + 2, \dots, 3Y_i$$

...

$$c_{ik} = y_{i,C_i,(k-(C_i-1)Y_i)} \quad k = (C_i - 1)Y_i + 1, (C_i - 1)Y_i + 2, \dots, C_i Y_i$$

If no code blocks are input to the channel coding ($C_i = 0$), no bits shall be output from the channel coding, i.e. $E_i = 0$.

4.2.9 Insertion of discontinuous transmission (DTX) indication bits

In the downlink, DTX is used to fill up the radio frame with bits. The insertion point of DTX indication bits depends on whether fixed or flexible positions of the TrCHs in the radio frame are used. It is up to the UTRAN to decide for each CCTrCH whether fixed or flexible positions are used during the connection. DTX indication bits only indicate when the transmission should be turned off, they are not transmitted.

4.2.9.1 1st insertion of DTX indication bits

This step of inserting DTX indication bits is used only if the positions of the TrCHs in the radio frame are fixed. With fixed position scheme a fixed number of bits is reserved for each TrCH in the radio frame.

The bits from rate matching are denoted by $g_{i1}, g_{i2}, g_{i3}, \dots, g_{iG_i}$, where G_i is the number of bits in one TTI of TrCH i .

Denote the number of bits in one radio frame of TrCH i by H_i . In normal or compressed mode by spreading factor reduction, H_i is constant and corresponds to the maximum number of bits from TrCH i in one radio frame for any transport format of TrCH i . ~~In compressed mode by higher layer scheduling, only a subset of the TFC Set is allowed. From this subset it is possible to derive which TFs on each TrCH that are allowed. The maximum number of bits~~

~~belonging to one TTI of TrCH i for the allowed TFs is denoted by X_i . H_i is then calculated as $H_i = \left\lceil \frac{X_i}{F_i} \right\rceil$, where F_i is~~

~~the number of radio frames in a TTI of TrCH i .~~ The bits output from the DTX insertion are denoted

by $h_{i1}, h_{i2}, h_{i3}, \dots, h_{i(F_i H_i)}$. Note that these bits are three valued. They are defined by the following relations:

$$h_{ik} = g_{ik} \quad k = 1, 2, 3, \dots, G_i$$

$$h_{ik} = \delta \quad k = G_i+1, G_i+2, G_i+3, \dots, F_i H_i$$

where DTX indication bits are denoted by δ . Here $g_{ik} \in \{0, 1\}$ and $\delta \notin \{0, 1\}$.

4.2.9.2 2nd insertion of DTX indication bits

The DTX indication bits inserted in this step shall be placed at the end of the radio frame. Note that the DTX will be distributed over all slots after 2nd interleaving.

The bits input to the DTX insertion block are denoted by $s_1, s_2, s_3, \dots, s_S$, where S is the number of bits from TrCH multiplexing. The number of PhCHs is denoted by P and the number of bits in one radio frame, including DTX indication bits, for each PhCH by U . The number of available bits on the PhCH is denoted by N_{data} and $N_{data} = 15N_{data1} + 15N_{data2}$, where N_{data1} and N_{data2} are defined in [25.211]. In normal mode $U = N_{data}$. In compressed mode N_{data} is changed from the value in normal mode for the radio frames containing the TGs or part of the TGs. The exact value of N_{data} is dependent on the TGL and the transmission time reduction method, which are signalled from higher layers. The number of bits that are located within the transmission gap is denoted N_{TGL} and defined as:

$$N_{TGL} = \begin{cases} \frac{TGL}{15} N_{data}, & \text{if } N_{first} + TGL \leq 15 \\ \frac{15 - N_{first}}{15} N_{data}, & \text{in first frame if } N_{first} + TGL > 15 \\ \frac{TGL - (15 - N_{first})}{15} N_{data}, & \text{in second frame if } N_{first} + TGL > 15 \end{cases}$$

N_{first} and TGL are defined in Section 4.4.

In the radio frames that contain the TGs or part of the TGs ~~compressed mode~~ $U = N_{data} - N_{TGL}$.

The bits output from the DTX insertion block are denoted by $w_1, w_2, w_3, \dots, w_{(PU)}$. Note that these bits are threevalued. They are defined by the following relations:

$$w_k = s_k \quad k = 1, 2, 3, \dots, S$$

$$w_k = \delta \quad k = S+1, S+2, S+3, \dots, PU$$

where DTX indication bits are denoted by δ . Here $s_k \in \{0,1\}$ and $\delta \notin \{0,1\}$.

4.4.3 Transmission time reduction method

When in compressed mode, the information normally transmitted during a 10 ms frame is compressed in time. The mechanisms provided for achieving this are puncturing, reduction of the spreading factor by a factor of two, and higher layer scheduling. In the downlink, all methods are supported while compressed mode by puncturing is not used in the uplink. The maximum idle length is defined to be 7 slots per one 10 ms frame. The slot formats that are used in compressed mode are listed in [2].

4.4.3.1 Compressed mode by puncturing

During compressed mode, rate matching (puncturing) is applied for creating transmission gap in one frame. The algorithm for rate matching (puncturing) as described in section 4.2.7 is used.

4.4.3.2 Compressed mode by reducing the spreading factor by 2

During compressed mode, the spreading factor (SF) can be reduced by 2 during one radio frame to enable the transmission of the information bits in the remaining time slots of a compressed frame.

On the downlink, UTRAN can also order the UE to use a different scrambling code in compressed mode than in normal mode. If the UE is ordered to use a different scrambling code in compressed mode, then there is a one-to-one mapping between the scrambling code used in normal mode and the one used in compressed mode, as described in TS 25.213[3] section 5.2.1.

4.4.3.3 Compressed mode by higher layer scheduling

Compressed mode can be obtained by higher layer scheduling. Higher layers then set restrictions so that only a subset of the allowed TFCs are used in compressed mode. The maximum number of bits that will be delivered to the physical layer during the compressed radio frame is then known and a transmission gap can be generated. Note that in the downlink, the TFCI field is expanded on the expense of the data fields and this shall be taken into account by higher layers when setting the restrictions on the TFCs. Compressed mode by higher layer scheduling shall not be used with fixed starting positions of the TrCHs in the radio frame.