

**TSG-RAN Meeting #7  
Madrid, Spain, 13 – 15 March 2000**

**RP-000040**

**Title: Agreed CRs to TS 25.322**

**Source: TSG-RAN WG2**

**Agenda item: 6.3.3**

<b>Doc-1st-</b>	<b>Spec</b>	<b>CR</b>	<b>Rev</b>	<b>Subject</b>	<b>Cat</b>	<b>Version</b>	<b>Versio</b>
R2-000234	25.322	018	1	RLC editorial changes	D	3.1.2	3.2.0
R2-000209	25.322	021	1	Corrections to RLC	F	3.1.2	3.2.0
R2-000635	25.322	025	2	Corrections to RLC	F	3.1.2	3.2.0
R2-000557	25.322	026	1	STATUS PDUs	F	3.1.2	3.2.0
R2-000652	25.322	027	1	Clarification of RLC AMD Model	F	3.1.2	3.2.0
R2-000371	25.322	028		Corrections to Timer_discard procedures	F	3.1.2	3.2.0
R2-000556	25.322	029	1	Segmentation of RLC SDUs	D	3.1.2	3.2.0
R2-000634	25.322	030	2	Modification of SDU discard to support	C	3.1.2	3.2.0
R2-000571	25.322	031		Removal of SCCH	F	3.1.2	3.2.0
R2-000440	25.322	032		Updated RLC SDL	F	3.1.2	3.2.0
R2-000597	25.322	033	1	RLC Editorial Changes	F	3.1.2	3.2.0
R2-000645	25.322	034		Order of bit transmission for RLC PDUs	F	3.1.2	3.2.0

## CHANGE REQUEST

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**25.322 CR 018r1**

Current Version: **3.1.2**

GSM (AA.BB) or 3G (AA.BBB) specification number ↑

↑ CR number as allocated by MCC support team

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Form: CR cover sheet, version 2 for 3GPP and SMG The latest version of this form is available from: <ftp://ftp.3gpp.org/Information/CR-Form-v2.doc>

**Proposed change affects:** (U)SIM  ME  UTRAN / Radio  Core Network   
(at least one should be marked with an X)

**Source:** TSG-RAN WG2 **Date:** 2000-1-17

**Subject:** RLC Editorial changes

**Work item:**

**Category:** F Correction  **Release:** Phase 2   
A Corresponds to a correction in an earlier release  Release 96   
(only one category shall be marked with an X) B Addition of feature  Release 97   
C Functional modification of feature  Release 98   
D Editorial modification  Release 99   
Release 00

**Reason for change:**

- Currently it is not clear what the value "0000" of LENGTH field in the LIST, BITMAP, and RLIST super-field means.
- There is an editorial mistake in the formula in chapter 9.6.
- The formula in chapter 11.3.2.1.1 should be same as the one in chapter 9.6.

**Clauses affected:** 9.2.2.11.4, 9.2.2.11.5, 9.2.2.11.6, 9.6, 11.3.2.1.1

**Other specs affected:** Other 3G core specifications  → List of CRs:  
Other GSM core specifications  → List of CRs:  
MS test specifications  → List of CRs:  
BSS test specifications  → List of CRs:  
O&M specifications  → List of CRs:

**Other comments:**



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#### 9.2.2.11.4 The List super-field

The List Super-Field consists of a type identifier field (LIST), a list length field (LENGTH) and a list of LENGTH number of pairs as shown in Figure 9.11 below:

Type = LIST
LENGTH
SN <sub>1</sub>
L <sub>1</sub>
SN <sub>2</sub>
L <sub>2</sub>
...
SN <sub>LENGTH</sub>
L <sub>LENGTH</sub>

Figure 9.11: The List fields in a STATUS PDU for a list

#### LENGTH

Length: 4 bits

The number of (SN<sub>*i*</sub>, L<sub>*i*</sub>)-pairs in the super-field of type LIST. The value of "0000" is invalid and the STATUS PDU the list is consequently discarded.

#### SN<sub>*i*</sub>

Length: 12 bits

Sequence number of PU, which was not correctly received.

#### L<sub>*i*</sub>

Length: 4 bits

Number of consecutive PUs not correctly received following PU with sequence number SN<sub>*i*</sub>.

#### 9.2.2.11.5 The Bitmap super-field

The Bitmap Super-Field consists of a type identifier field (BITMAP), a bitmap length field (LENGTH), a first sequence number (FSN) and a bitmap as shown in Figure 9.12 below:

Type = BITMAP
LENGTH
FSN
Bitmap

Figure 9.12: The Bitmap fields in a STATUS PDU

#### LENGTH

Length: 4 bits

The size of the bitmap in octets (maximum bitmap size:  $2^4 * 8 = 128 \text{ bits} = 16 \text{ octets}$ ). The value "0000" means that the size of bitmap is one octet.

#### FSN

Length: 12 bits

The sequence number for the first bit in the bitmap.

#### Bitmap

Length: Variable number of octets given by the LENGTH field.

Status of the SNs in the interval [FSN, FSN + LENGTH\*8 - 1] indicated in the bitmap where each position (from left to right) can have two different values (0 and 1) with the following meaning (bit\_position ∈ [0,LENGTH\*8 - 1]):

1: SN = (FSN + bit\_position) has been correctly received

0: SN = (FSN + bit\_position) has not been correctly received

### 9.2.2.11.6 The Relative List super-field

The Relative List super-field consists of a type identifier field (RLIST), a list length field (LENGTH), the first sequence number (FSN) and a list of LENGTH number of codewords (CW) as shown in Figure 9.134 below.

Type = <b>RLIST</b>
LENGTH
FSN
CW <sub>1</sub>
CW <sub>2</sub>
...
CW <sub>LENGTH</sub>

Figure 9.13: The RList fields in a STATUS PDU

#### LENGTH

Length: 4 bits

The number of codewords (CW) in the super-field of type RLIST. ~~The value "0000" is invalid and the STATUS PDU is discarded.~~

#### FSN

Length: 12 bits

The sequence number for the first erroneous PU in the RLIST.

#### CW

Length: 4 bits

The CW consists of 4 bits where the three first bits are part of a number and the last bit is a status indicator and it shall be interpreted as follows.

Code Word	Description
X <sub>1</sub> X <sub>2</sub> X <sub>3</sub> 0	Next 3 bits of the number are X <sub>1</sub> X <sub>2</sub> X <sub>3</sub> and the number continues in the next CW. The most significant bit within this CW is X <sub>1</sub> .
X <sub>1</sub> X <sub>2</sub> X <sub>3</sub> 1	Next 3 bits of the number are X <sub>1</sub> X <sub>2</sub> X <sub>3</sub> and the number is terminated. The most significant bit within this CW is X <sub>1</sub> . This is the most significant CW within the number.

By default, the number given by the CWs represents a distance between the previous indicated erroneous PU up to and including the next erroneous PU.

One special value of CW is defined:

000 1 'Error burst indicator'

The error burst indicator means that the next CWs will represent the number of subsequent erroneous PUs (not counting the already indicated error position). After the number of errors in a burst is terminated with XXX 1, the next codeword will again by default be the least significant bits (LSB) of the distance to the next error.

## 9.6 Protocol Parameters

### a) MaxDAT

It is the maximum value for the number of retransmissions of a PU. This parameter is an upper limit of counter VT(DAT). When the value of VT(DAT) comes to MaxDAT, error recovery procedure will be performed.

### b) Poll\_PU

This parameter indicates how often the transmitter should poll the receiver in case of polling every Poll\_PU PU. This is an upper limit for the VT(PU) state variable, when VT(PU) reaches Poll\_PU a poll is transmitted to the peer entity.

### c) Poll\_SDU

This parameter indicates how often the transmitter should poll the receiver in case of polling every Poll\_SDU SDU. This is an upper limit for the VT(SDU) state variable, when VT(SDU) reaches Poll\_SDU a poll is transmitted to the peer entity.

### d) Poll\_Window

This parameter indicates when the transmitter should poll the receiver in case of performing window-based polling. A poll is transmitted when:

$$\left[ 1 - \frac{(Tx\_Window\_Size + VT(S) - VT(MS)) \bmod Tx\_Window\_Size}{Tx\_Window\_Size} \right] * 100 > Poll\_Window$$

$$\left[ 1 - \frac{(Tx\_Window\_Size + VT(MS) - VT(S)) \bmod Tx\_Window\_Size}{Tx\_Window\_Size} \right] * 100 > Poll\_Window$$

### e) MaxRST

It is the maximum value for the number of retransmission of RESET PDU. This parameter is an upper limit of counter VT(RST). When the value of VT(RST) comes to MaxRST, the higher layer (RRC) is notified.

### f) Tx\_Window\_Size

The maximum allowed transmitter window size.

### g) Rx\_Window\_Size

The maximum allowed receiver window size.

### h) MaxMRW

It is the maximum value for the number of retransmissions of a MRW command. This parameter is an upper limit of counter VT(MRW). When the value of VT(MRW) comes to MaxMRW, error recovery procedure will be performed.

### 11.3.2.1.1 Setting of the Polling bit

The Polling bit shall be set to 1 if any of following conditions are fulfilled except when the poll prohibit function is used and the timer Timer\_Poll\_Prohibit is active (the different triggers are described in 9.7.4):

- 1) Last PU in buffer is used and the last PU available for transmission is transmitted.
- 2) Last PU in retransmission buffer is used and the last PU to be retransmitted is transmitted.
- 3) Poll timer is used and timer Timer\_Poll has expired.

- 4) Every Poll\_PU PU is used and when  $VT(PU)=Poll\_PU$ .
- 5) Every Poll\_SDU is used and  $VT(SDU)=Poll\_SDU$  and the PDU contains the last segment that SDU.
- 6) Poll\_Window% of transmission window is used and

$$1 - \frac{(Window\_Size + VT(MS) - VT(S)) \bmod Window\_Size}{Window\_Size} > Poll\_Window.$$

$$\left[ 1 - \frac{(Tx\_Window\_Size + VT(MS) - VT(S)) \bmod Tx\_Window\_Size}{Tx\_Window\_Size} \right] * 100 > Poll\_Window$$

- 7) Timer based polling is used and Timer\_Poll\_Periodic has expired.
- 8) Poll prohibit shall be used, the timer Timer\_Poll\_Prohibit has expired and one or several polls were prohibited during the time Timer\_Poll\_Prohibit was active.

**CHANGE REQUEST**

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**25.322 CR 021r1**

Current Version: **3.1.2**

GSM (AA.BB) or 3G (AA.BBB) specification number ↑

↑ CR number as allocated by MCC support team

For submission to: **TSG-RAN#7**  
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Form: CR cover sheet, version 2 for 3GPP and SMG The latest version of this form is available from: <http://ftp.3gpp.org/Information/CR-Form-v2.doc>

**Proposed change affects:** (U)SIM  ME  UTRAN / Radio  Core Network   
 (at least one should be marked with an X)

**Source:** TSG-RAN WG2 **Date:** 17/01 2000

**Subject:** Corrections to RLC

**Work item:**

**Category:** F Correction  **Release:** Phase 2   
 A Corresponds to a correction in an earlier release  Release 96   
 B Addition of feature  Release 97   
 C Functional modification of feature  Release 98   
 D Editorial modification  Release 99   
 Release 00   
 (only one category shall be marked with an X)

**Reason for change:**

- 1) There is a reference to several PUs per AMD PDU (4.2.1.3, 11.3.2.1).
- 2) The AMD model incorrectly shows piggybacking after ciphering (4.2.1.3).
- 3) CRLC-SUSPEND-Cnf should be CRLC-SUSPEND-Conf. Also VT(S) is only for AM and VT(US) is only for UM (8.1).
- 4) UM RLC PDU Format: UM does not support Piggybacked STATUS PDUs (9.2.1.2).
- 5) Sequence Number: In Release 99, there is now only one PU in an AMD PDU and thus there is no Extended Header (9.2.2.3).
- 6) HE Field: No indication of the length indicator size is required as this is fixed for an RLC entity (9.2.2.7).
- 7) Correction of inconsistencies regarding the Local Suspend state (9.3.2.2, 9.3.3.4)

**Clauses affected:** 4.2.1.3, 8.1, 9.2.1.2, 9.2.2.3, 9.2.2.7, 9.3.2.2, 9.3.3.4, 11.3.2.1

**Other specs affected:**

Other 3G core specifications	<input type="checkbox"/>	→ List of CRs:	
Other GSM core specifications	<input type="checkbox"/>	→ List of CRs:	
MS test specifications	<input type="checkbox"/>	→ List of CRs:	
BSS test specifications	<input type="checkbox"/>	→ List of CRs:	
O&M specifications	<input type="checkbox"/>	→ List of CRs:	

**Other comments:**



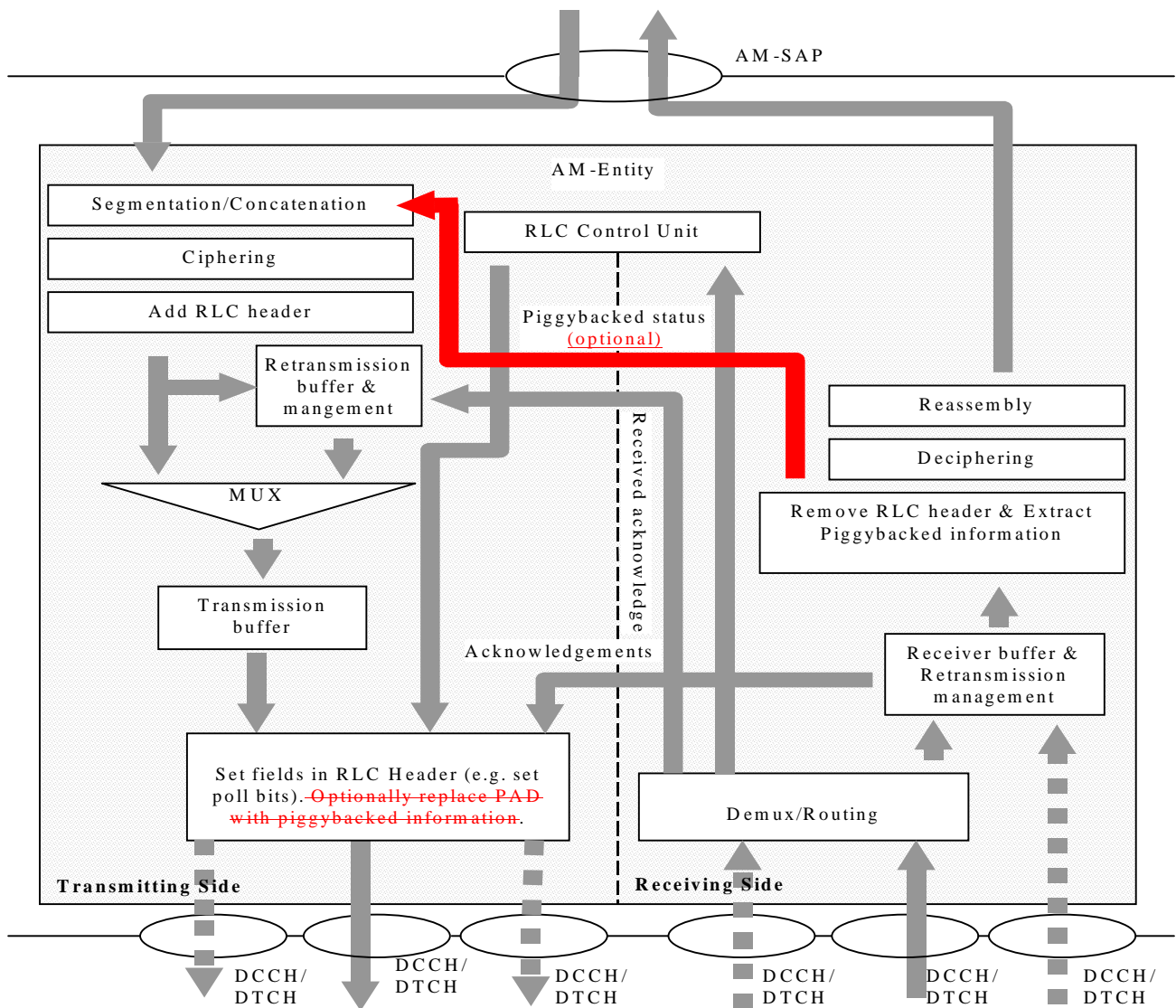
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### 4.2.1.3 Acknowledged mode entity

Figure 4.4 below shows the model of an acknowledged mode entity.



**Figure 4.4: Model of an acknowledged mode entity**

The transmitting side of the AM-entity receives SDUs from the higher layers. The SDUs are segmented and/or concatenated to PUs of fixed length. PU length is a semi-static value that is decided in bearer setup and can only be changed through bearer reconfiguration by RRC.

For purposes of RLC buffering and retransmission handling, the operation is the same as if there would be one PU per PDU. For concatenation or padding purposes, bits of information on the length and extension, are inserted into the beginning of the last PU where data from an SDU is included. Padding can be replaced by piggybacked status information. This includes setting the poll bit.

If several SDUs fit into one PU, they are concatenated and the appropriate length indicators are inserted into the beginning of the PU. After that the PUs are placed in the retransmission buffer and the transmission buffer. One or several PUs are included in one RLC PDU.

The MUX then decides which PDUs and when the PDUs are delivered to MAC, e.g. it could be useful to send RLC control PDUs on one logical channel and data PDUs on another logical channel. The PDUs are delivered via a function that completes the RLC-PDU header, and potentially replaces padding with piggybacked status information. This includes setting the poll bit compressing subsequent PUs into one RLC PDU or setting up the extended RLC PDU

header (PUs not in sequence) where applicable.

When Piggybacking mechanism is applied the padding is replaced by control information, in order to increase the transmission efficiency and making possible a faster message exchange between the peer to peer RLC entities. The piggybacked control information is not saved in any retransmission buffer. The piggybacked control information is contained in the piggybacked STATUS PDU, which is in turn included into the AMD-PDU. The piggybacked STATUS PDUs will be of variable size in order to match with the amount of free space in the AMD PDU.

The dashed lines illustrate the case where AMD PDUs and control PDUs are transmitted on separate logical channels. The retransmission buffer also receives acknowledgements from the receiving side, which are used to indicate retransmissions of PUs and when to delete a PU from the retransmission buffer.

The Receiving Side of the AM-entity receives PDUs through one of the logical channels from the MAC sublayer. The RLC-PDUs are expanded into separate PUs and potential piggybacked status information are extracted. The PUs are placed in the receiver buffer until a complete SDU has been received. The receiver buffer requests retransmissions of PUs by sending negative acknowledgements to the peer entity. After that the headers are removed from the PDUs and the PDUs are reassembled into a SDU. Finally the SDU is delivered to the higher layer. The receiving side also receives acknowledgements from the peer entity. The acknowledgements are passed to the retransmission buffer on the transmitting side.

## 8.1 Primitives between RLC and higher layers

The primitives between RLC and upper layers are shown in Table 8.1.

**Table 8.1 : Primitives between RLC and upper layers**

Generic Name	Parameter			
	Req.	Ind.	Resp.	Conf.
<b>RLC-AM-DATA</b>	Data, CNF, MUI	Data	Not Defined	MUI
<b>RLC-UM-DATA</b>	Data,	Data	Not Defined	Not Defined
<b>RLC-TR-DATA</b>	Data	Data	Not Defined	Not Defined
<b>CRLC-CONFIG</b>	E/R, Ciphering Elements (UM/AM only), AM_parameters (AM only)	Not Defined	Not Defined	Not Defined
<b>CRLC-SUSPEND (UM/AM only)</b>	N	Not Defined	Not Defined	<a href="#">VT(US) (UM only)</a> , <a href="#">VT(S) (AM only)</a>
<b>CRLC-RESUME (UM/AM only)</b>	No Parameter	Not Defined	Not Defined	Not Defined
<b>CRLC-STATUS</b>	Not Defined	EVC	Not Defined	Not Defined

### **CRLC-SUSPEND-Req/Conf**

This primitive is used by RRC to suspend the RLC. The N parameter indicates that RLC shall not send a PDU with  $SN \geq VT(S) + N$ , where N is an integer. RLC informs RRC of the VT(S) value in the confirm primitive.

### 9.2.1.2 UMD PDU

The UMD PDU transfers user data when RLC is operating in unacknowledged mode. The UMD PDU is octet-aligned.

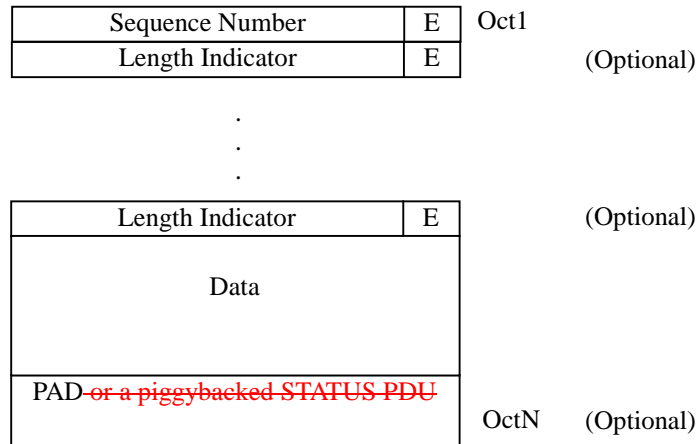


Figure 9.2: UMD PDU

### 9.2.2.3 Sequence Number (SN)

This field indicates the sequence number of the payload unit. ~~If header compression is applied the sequence number of the first PU in the PDU is indicated. Otherwise a sequence number is indicated separately for each PU in the extended header.~~

PDU type	Length	Notes
<b>AMD PDU</b>	12 bits	Used for retransmission and reassembly
<b>UMD PDU</b>	7 bits	Used for reassembly

### 9.2.2.7 Header Extension Type (HE)

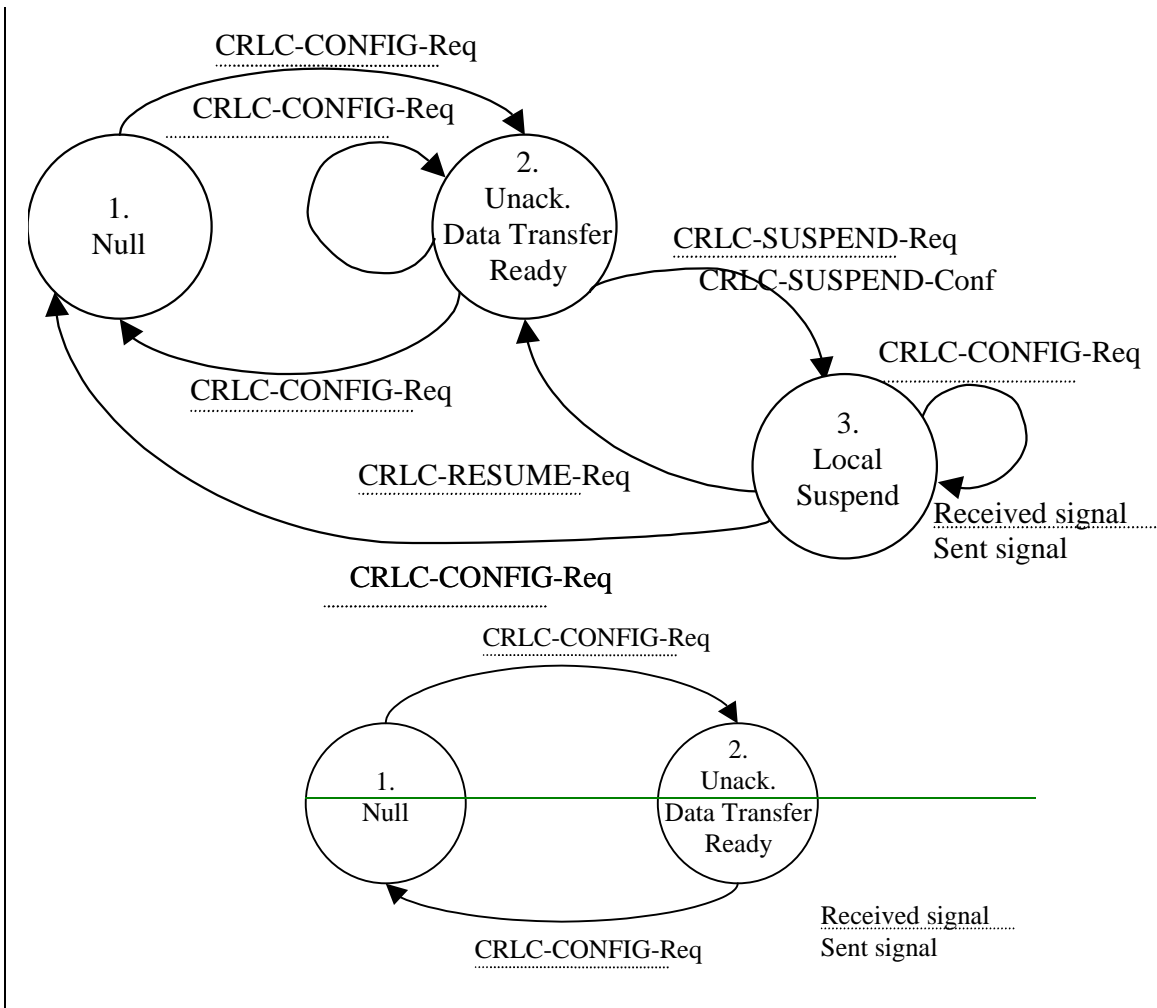
Length: 2 bits

This two-bit field indicates ~~the format of the extended header~~ if the next octet will be data or a length indicator and E bit.

Value	Description
<b>00</b>	The succeeding octet contains data
<b>01</b>	The succeeding octet contains a <del>7bit</del> length indicator and E bit
<del>10</del>	<del>The succeeding octet contains a 15bit length indicator and E bit</del>
<u>10-11</u>	Reserved (PDUs with this coding will be discarded by this version of the protocol).

### 9.3.2.2 Unacknowledged Data Transfer Ready State

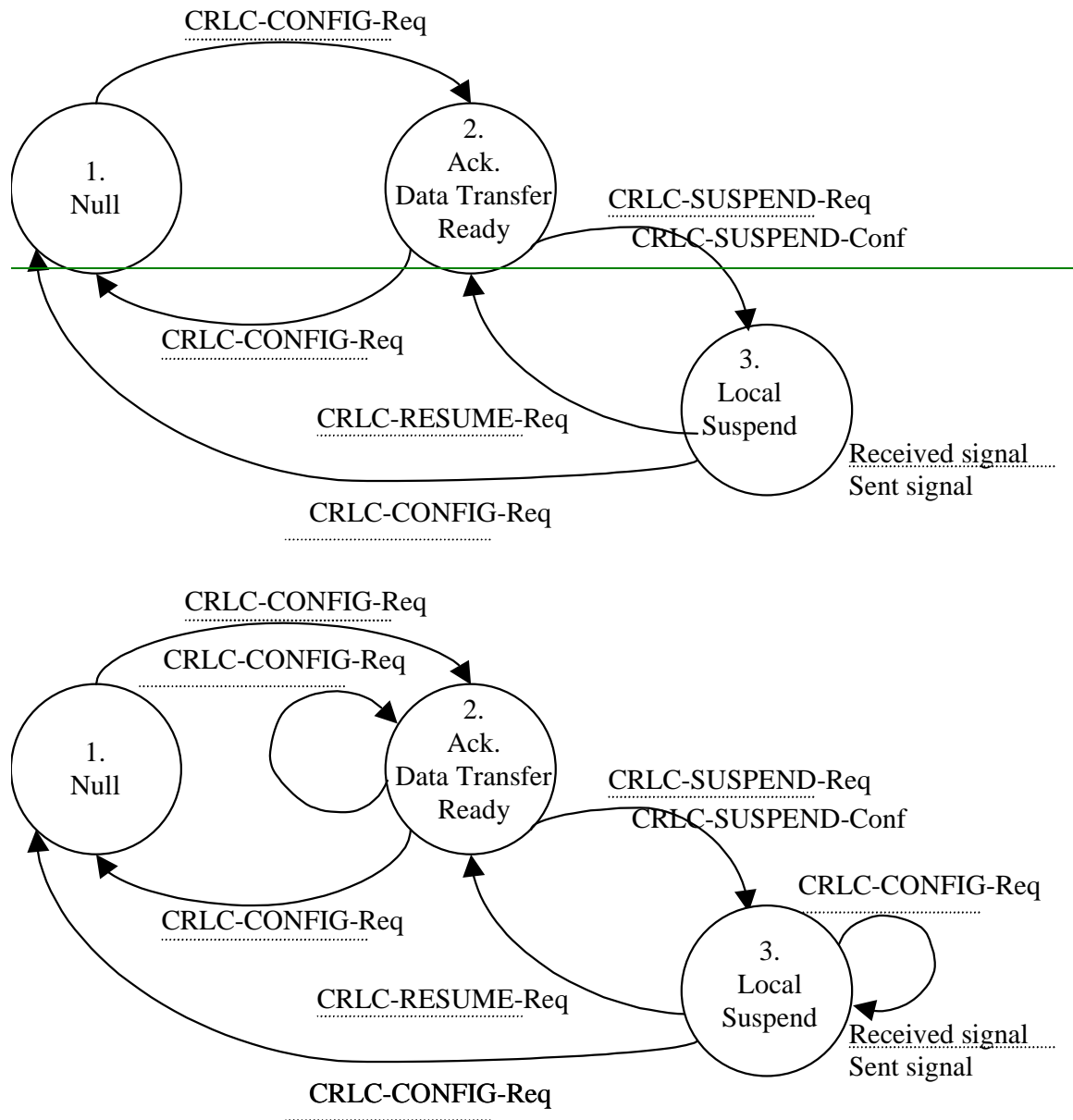
In the unacknowledged data transfer ready, unacknowledged mode data can be exchanged between the entities. Upon reception of an CRLC-CONFIG-Req from higher layer the RLC entity is terminated and the null state is entered.



**Figure 9.17: The state model for unacknowledged mode entities**

### 9.3.3.4 Local Suspend State

Upon reception of CRLC-SUSPEND-Req from higher layer (RRC) the RLC entity is suspended and the Local Suspend state is entered. In the Local Suspend state RLC shall not send a RLC-PDUs with a  $SN \geq VT(S) + N$ . Upon reception of CRLC-RESUME-Req from higher layer (RRC) the RLC entity is resumed and the Data Transfer Ready state is entered.



**Figure 9.19: The state model for the acknowledged mode entities when local suspend is performed**

### 11.3.2.1 AMD PDU contents to set

If the PDU is transmitted for the first time, the Sequence Number field shall be set equal to VT(S) and VT(S) shall be updated. ~~In case of multiple in sequence PUs in PDU the Sequence Number field shows the Sequence Number of the first PU in that PDU.~~

The setting of the Polling bit is specified in section 11.3.2.1.1.

~~Extended Header field is needed when out of sequence PUs are placed in a PDU or when the rest of a PDU, which is not filled with PUs, is equal or larger than the size of a PU.~~

One length indicator field shall be included for each end of a SDU that the PDU includes. The length indicator shall be set equal to the number of octets between the end of the header fields and the end of the segment. If the PDU is exactly filled with the last segment of a SDU and there is no room for a length indicator field a length indicator field set to only 0's shall be included in the next PDU. How to perform the segmentation of a SDU is specified in subsection 11.3.2.1.2.





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<----- double-click here for help and instructions on how to create a CR.

### 4.2.1.1 Transparent mode entities

Figure 4.2 below shows the model of two transparent mode peer entities.

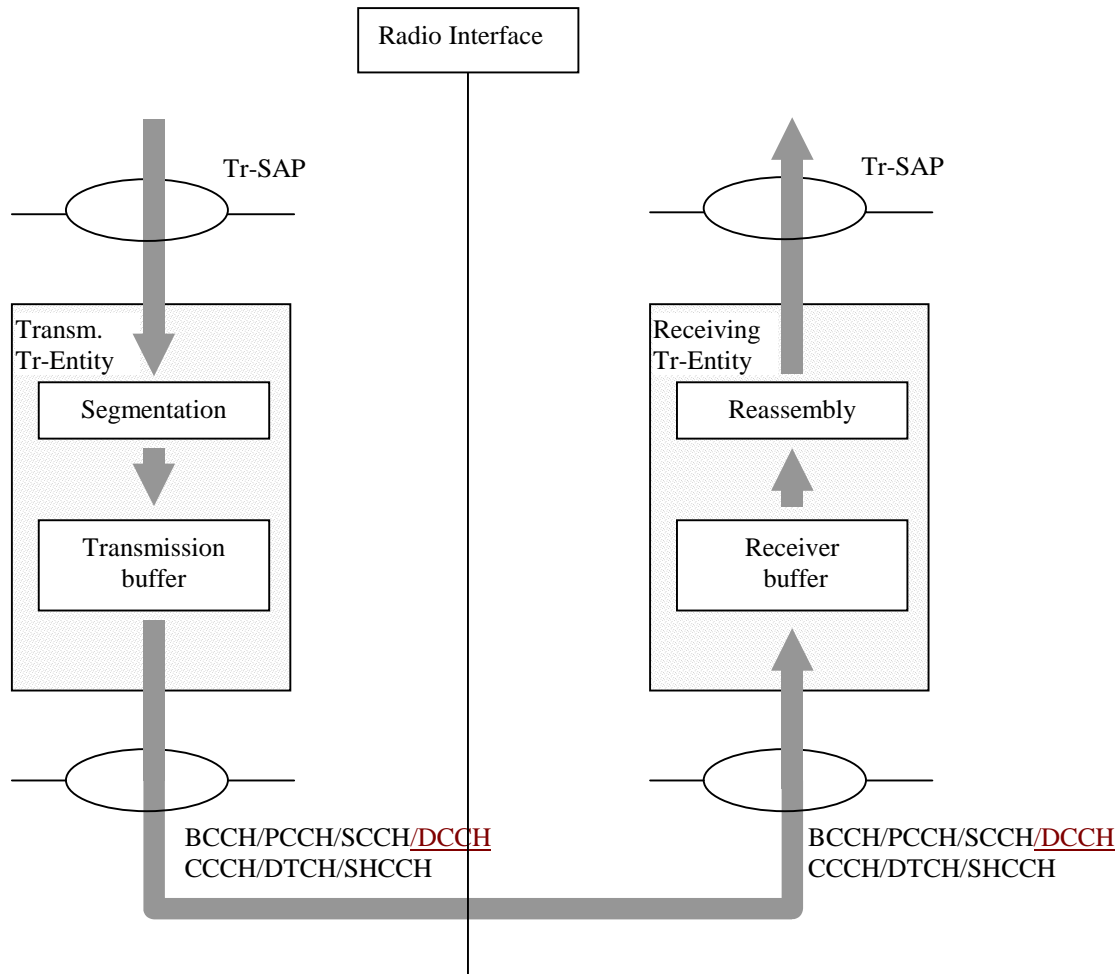


Figure 4.2: Model of two transparent mode peer entities

The transmitting Tr-entity receives SDUs from the higher layers through the Tr-SAP. RLC might segment the SDUs into appropriate RLC PDUs without adding any overhead. How to perform the segmentation is decided upon when the service is established. RLC delivers the RLC PDUs to MAC through either a BCCH, DCCH, PCCH, SHCCH, SCCH or a DTCH. The CCCH also uses transparent mode, but only for the uplink. Which type of logical channel depends on if the higher layer is located in the control plane (BCCH, DCCH, PCCH, CCCH, SHCCH, SCCH (downlink only)) or user plane (DTCH).

The Tr-entity receives PDUs through one of the logical channels from the MAC sublayer. RLC reassembles (if segmentation has been performed) the PDUs into RLC SDUs. How to perform the reassembling is decided upon when the service is established. RLC delivers the RLC SDUs to the higher layer through the Tr-SAP.

## 6.1 Mapping of services/functions onto logical channels

The following tables show the applicability of services and functions to the logical channels in UL/DL and UE/UTRAN. A '+' in a column denotes that the service/function is applicable for the logical channel in question whereas a '-' denotes that the service/function is not applicable.



**Table 6.1: RLC modes and functions in UE uplink side**

Service	Functions	CCCH	SHCCH	DCCH	DTCH
Transparent Service	Applicability	+	+	±	+
	Segmentation	-	-	±	+
	Transfer of user data	+	+	±	+
Unacknowledged Service	Applicability	-	-	+	+
	Segmentation	-	-	+	+
	Concatenation	-	-	+	+
	Padding	-	-	+	+
	Transfer of user data	-	-	+	+
Acknowledged Service	Ciphering	-	-	+	+
	Applicability	-	-	+	+
	Segmentation	-	-	+	+
	Concatenation	-	-	+	+
	Padding	-	-	+	+
	Transfer of user data	-	-	+	+
	Flow Control	-	-	+	+
	Error Correction	-	-	+	+
	Protocol error correction & recovery	-	-	+	+
Ciphering	-	-	+	+	

**Table 6.2: RLC modes and functions in UE downlink side**

Service	Functions	SCCH	BCCH	PCCH	SHCCH	CCCH	DCCH	DTCH	CTCH
Transparent Service	Applicability	+	+	+	+	-	±	+	-
	Reassembly	+	+	+	-	-	±	+	-
Unacknowledged Service	Applicability	-	-	-	+	+	+	+	+
	Reassembly	-	-	-	+	+	+	+	+
	Deciphering	-	-	-	-	-	+	+	-
	Sequence number check	-	-	-	+	+	+	+	+
Acknowledged Service	Applicability	-	-	-	-	-	+	+	-
	Reassembly	-	-	-	-	-	+	+	-
	Error correction	-	-	-	-	-	+	+	-
	Flow Control	-	-	-	-	-	+	+	-
	In sequence delivery	-	-	-	-	-	+	+	-
	Duplicate detection	-	-	-	-	-	+	+	-
	Protocol error correction & recovery	-	-	-	-	-	+	+	-
	Deciphering	-	-	-	-	-	+	+	-

**Table 6.3: RLC modes and functions in UTRAN downlink side**

Service	Functions	SCCH	BCCH	PCCH	CCCH	SHCCH	DCCH	DTCH	CTCH
Transparent Service	Applicability	+	+	+	-	+	±	+	-
	Segmentation	+	+	+	-	-	±	+	-
	Transfer of user data	+	+	+	-	+	±	+	-
Unacknowledged Service	Applicability	-	-	-	+	+	+	+	+
	Segmentation	-	-	-	+	+	+	+	+
	Concatenation	-	-	-	+	+	+	+	+
	Padding	-	-	-	+	+	+	+	+
	Ciphering	-	-	-	-	-	+	+	-
Acknowledged Service	Applicability	-	-	-	-	-	+	+	-
	Segmentation	-	-	-	-	-	+	+	-
	Concatenation	-	-	-	-	-	+	+	-
	Padding	-	-	-	-	-	+	+	-
	Transfer of user data	-	-	-	-	-	+	+	-
	Flow Control	-	-	-	-	-	+	+	-
	Error Correction	-	-	-	-	-	+	+	-
	Protocol error correction & recovery	-	-	-	-	-	+	+	-
Ciphering	-	-	-	-	-	+	+	-	

Table 6.4: RLC modes and functions in UTRAN uplink side

Service	Functions	CCCH	SHCCH	DCCH	DTCH
Transparent Service	Applicability	+	+	±	+
	Reassembly	-	-	±	+
Unacknowledged Service	Applicability	-	-	+	+
	Reassembly	-	-	+	+
	Deciphering	-	-	+	+
	Sequence number check	-	-	+	+
Acknowledged Service	Applicability	-	-	+	+
	Reassembly	-	-	+	+
	Error correction	-	-	+	+
	Flow Control	-	-	+	+
	In sequence delivery	-	-	+	+
	Duplicate detection	-	-	+	+
	Protocol error correction & recovery	-	-	+	+
	Deciphering	-	-	+	+

## 8 Elements for layer-to-layer communication

[The interaction between the RLC layer and other layers are described in terms of primitives where the primitives represent the logical exchange of information and control between the RLC layer and other layers. The primitives shall not specify or constrain implementations.](#)

### 8.1 Primitives between RLC and higher layers

#### 9.2.2.8 Length Indicator (LI)

The Length Indicator is used to indicate, each time, the end of an SDU occurs in the PU. The Length Indicator points out the number of octets between the end of the last Length Indicator field and up to and including the octet at the end of an SDU segment. Length Indicators are included in the PUs that they refer to. The size of the Length Indicator may be either 7bits or 15bits. The maximum value of a Length Indicator will be no greater than the RLC PDU size – AMD PDU Header – PADDING.

A Length Indicator group is a set of Length Indicators that refer to a PU. Length Indicators that are part of a Length Indicator group must never be reordered within the Length Indicator group or removed from the Length Indicator group.

If there can be more than one Length Indicator, each specifying the end of an SDU in a PU, the order of these Length Indicators must be in the same order as the SDUs that they refer to.

In the case where the end of last segment of an SDU exactly ends at the end of a PDU, the next Length Indicator, shall be placed as the first Length Indicator in the next PU and have value LI=0.

In the case where the last segment of an RLC SDU is one octet short of exactly filling the last RLC PU, and 15-bit Length Indicators are used, the next Length Indicator shall be placed as the first Length Indicator in the next PU and have value LI=111 1111 1111 1011.

A PU that has unused space, to be referred to as padding, must use a Length Indicator to indicate that this space is used as padding. A padding Length Indicator must be placed after any Length Indicators for a PU.

All unused space in a PU must be located at the end of the PDU, be a homogeneous space and is referred to as padding. Predefined values of the Length Indicator are used to indicate this. The values that are reserved for special purposes are listed in the tables below depending on the size of the Length Indicator. Only predefined Length Indicator values can refer to the padding space.

STATUS PDUs can be piggybacked on the AMD PDU by using part or all of the padding space. A Length Indicator must be used to indicate the piggybacked STATUS PDU. This Length Indicator takes space from the padding space or piggybacked STATUS PDU and not the PDU data and will always be the last Length Indicator. Where only part of the padding space is used by a piggybacked STATUS PDU then the end of the piggybacked STATUS PDU is determined by [one of the SUFI fields](#), NO\_MORE [or ACK](#), thus no additional Length Indicator is required to show that there is still padding in the PDU. The padding/piggybacked STATUS PDU predefined Length Indicators shall be added after the

very last (i.e. there could be more than one SDU that end within a PDU) Length Indicator that indicates the end of the last SDU segment in the PU.

If RLC PDUs always carry only one PU, 7bit indicators are used in a particular RLC PDU if the address space is sufficient to indicate all SDU segment borders. Otherwise 15bit Length Indicators are applied.

The length of the Length Indicator only depends on the size of the largest RLC PDU. The length of the Length Indicator is always the same for all PUs, for one RLC entity.

For Release 99, there is one PU in a AMD PDU.

Length: 7bit

Bit	Description
0000000	The previous RLC PDU was exactly filled with the last segment of a RLC SDU.
1111100	Reserved (PDUs with this coding will be discarded by this version of the protocol).
1111101	Reserved (PDUs with this coding will be discarded by this version of the protocol).
1111110	The rest of the RLC PDU includes a piggybacked STATUS PDU.
1111111	The rest of the RLC PDU is padding.

Length: 15bit

Bit	Description
000000000000000	The previous RLC PDU was exactly filled with the last segment of a RLC SDU.
111111111111011	The last segment of an RLC SDU was one octet short of exactly filling the last RLC PDU.
111111111111100	Reserved (PDUs with this coding will be discarded by this version of the protocol).
111111111111101	Reserved (PDUs with this coding will be discarded by this version of the protocol).
111111111111110	The rest of the RLC PDU includes a piggybacked STATUS PDU.
111111111111111	The rest of the RLC PDU is padding.

### 9.2.2.11 SUFI

[Which SUFI fields to use is implementation dependent, but when a STATUS PDU includes information about which PUs have been received and which are detected as missing, information shall not be included about PUs with  \$SN \geq VR\(H\)\$  i.e. PUs that have not yet reached the receiver.](#)

Length: variable number of bits

The SUFI (Super-Field) includes three sub-fields: type information (type of super-field, e.g. list, bitmap, acknowledgement, etc), length information (providing the length of a variable length field within the following value field) and a value.

Figure 9.7 shows the structure of the super-field. The size of the type sub-field is non-zero but the size of the other sub-fields may be zero.

Type
Length
Value

**Figure 9.7: The Structure of a Super-Field**

The length of the type field is 4 bits and it may have any of following values.

Bit	Description
0000	No More Data ( <b>NO_MORE</b> )
0001	Window Size ( <b>WINDOW</b> )
0010	Acknowledgement ( <b>ACK</b> )
0011	List ( <b>LIST</b> )
0100	Bitmap ( <b>BITMAP</b> )
0101	Relative list ( <b>Rlist</b> )
0110	Move Receiving Window ( <b>MRW</b> )
0111	Move Receiving Window and ignore first LI ( <b>MRW_N_IFL</b> )
1000-1111	Reserved (PDUs with this encoding are invalid for this version of the protocol)

The length sub-field gives the length of the variable size part of the following value sub-field and the length of it depends on the super-field type. The value sub-field includes the value of the super-field, e.g. the bitmap in case of a BITMAP super-field, and the length is given by the length of the type sub-field.

#### 9.2.2.11.5 The Bitmap super-field

The Bitmap Super-Field consists of a type identifier field (BITMAP), a bitmap length field (LENGTH), a first sequence number (FSN) and a bitmap as shown in Figure 9.12 below:

Type = <b>BITMAP</b>
LENGTH
FSN
Bitmap

**Figure 9.12: The Bitmap fields in a STATUS PDU**

#### **LENGTH**

Length: 4 bits

The size of the bitmap in octets equals LENGTH+1, i.e. LENGTH="0000" means that the size of the bitmap is one octet and LENGTH="1111" gives the (maximum bitmap size of  $2^4$  16 octets\*8=128 bits).

#### **FSN**

Length: 12 bits

The sequence number for the first bit in the bitmap.

#### **Bitmap**

Length: Variable number of octets given by the LENGTH field.

Status of the SNs in the interval [FSN, FSN + (LENGTH+1)\*8 - 1] indicated in the bitmap where each position (from left to right) can have two different values (0 and 1) with the following meaning (bit\_position ∈ [0, (LENGTH+1)\*8 - 1]):

1: SN = (FSN + bit\_position) has been correctly received

0: SN = (FSN + bit\_position) has not been correctly received

#### 9.3.3.2 Acknowledged Data Transfer Ready State

In the acknowledged data transfer ready state, acknowledged mode data can be exchanged between the entities. Upon reception of a CRLC-CONFIG-Req from higher layer the RLC entity is terminated and the null state is entered.

Upon errors in the protocol, the RLC entity sends a RESET PDU to its peer and enters the reset pending state.

Upon reception of a RESET PDU, the RLC entity resets the protocol ([resets the state variables in 9.4 to their initial value and resets configurable parameters to their configured value](#)) and responds to the peer entity with a RESET ACK PDU.

Upon reception of a RESET ACK PDU, the RLC takes no action.

### 9.3.3.3 Reset Pending State

In the reset pending state the entity waits for a response from its peer entity and no data can be exchanged between the entities. Upon reception of CRLC-CONFIG-Req from higher layer the RLC entity is terminated and the null state is entered.

Upon reception of a RESET ACK PDU, the RLC entity resets the protocol ([resets the state variables in 9.4 to their initial value and resets configurable parameters to their configured value](#)) and enters the acknowledged data transfer ready state.

Upon reception of a RESET PDU, the RLC entity resets the protocol, send a RESET ACK PDU and enters the acknowledged data transfer ready state.

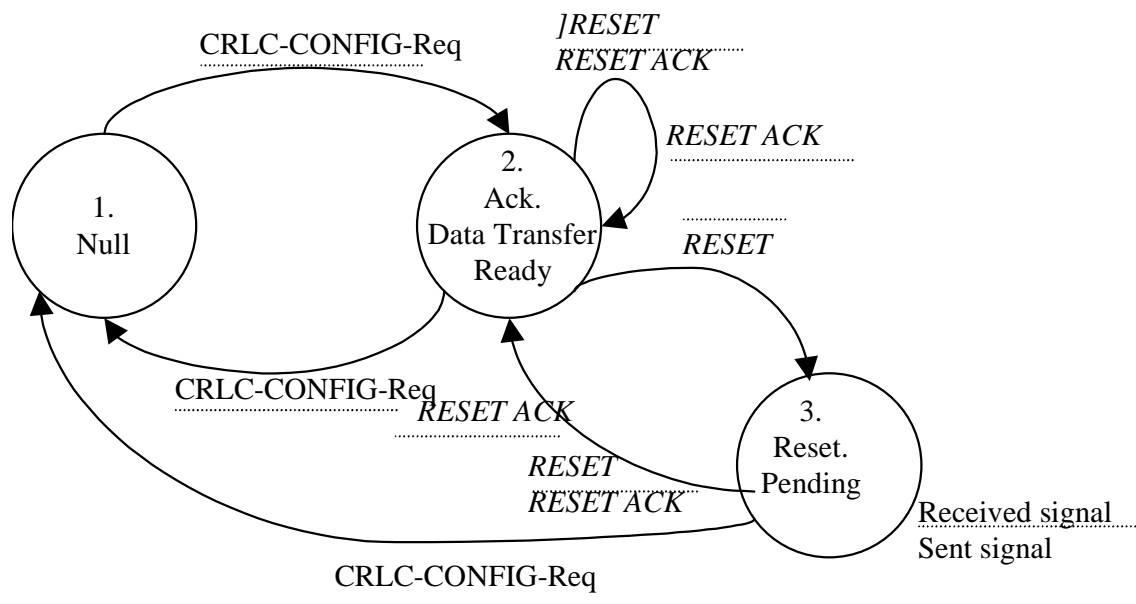


Figure 9.18: The state model for the acknowledged mode entities when reset is performed

## 9.5 Timers

### a) Timer\_Poll

This timer is only used when the poll timer trigger is used. It is started when the transmitting side sends a poll to the peer entity. The timer is stopped when receiving a STATUS PDU that contains an acknowledgement or negative acknowledgement of the AMD PDU that triggered the timer. The value of the timer is signalled by RRC.

If the timer expires and no STATUS PDU containing an acknowledgement or negative acknowledgement of the AMD PDU that triggered the timer has been received, the receiver is polled once more (either by the transmission of a PDU which was not yet sent, or by a retransmission) and the timer is restarted. If there is no PU to be transmitted and all PUs have already been acknowledged, the receiver shall not be polled.

If a new poll is sent when the timer is running it is restarted.

### b) Timer\_Poll\_Prohibit

This timer is only used when the poll prohibit function is used. It is used to prohibit transmission of polls within a certain period. A poll shall be delayed until the timer expires if a poll is triggered when the timer is active.

Only one poll shall be transmitted when the timer expires even if several polls were triggered when the timer was active. If there is no PU to be transmitted and all PUs have already been acknowledged, a poll shall not be transmitted. This timer will not be stopped by a STATUS PDU. The value of the timer is signalled by RRC.

c) Timer\_EPC

This timer is only used when the EPC function is used and it accounts for the roundtrip delay, i.e. the time when the first retransmitted PU should be received after a STATUS has been sent. The timer is started when a STATUS report is transmitted and when it expires EPC can start decrease (see section 9.7.3). The value of the timer is signalled by RRC.

d) Timer\_Discard

This timer is used for the SDU discard function. In the transmitter, the timer is activated upon reception of a SDU from higher layer. If the SDU has not been acknowledged and/or transmitted when the timer expires, the SDU is discarded. Following which, if the SDU discard function uses explicit signalling, a Move Receiving Window request is sent to the receiver. The value of the timer is signalled by RRC.

e) Timer\_Poll\_Periodic

This timer is only used when the timer based polling is used. The timer is started when the RLC entity is created. Each time the timer expires a poll is transmitted ([either by the transmission of a PDU which was not yet sent, or by a retransmission](#)) and the timer is restarted. If there is no PU to be transmitted and all PUs have already been acknowledged, a poll shall not be transmitted and the timer shall only be restarted. The value of the timer is signalled by RRC.

f) Timer\_Status\_Prohibit

This timer is only used when the STATUS PDU prohibit function is used. It prohibits the receiving side from sending STATUS PDUs. The timer is started when a STATUS PDU is transmitted and no new STATUS PDU can be transmitted before the timer has expired. The value of the timer is signalled by RRC.

g) Timer\_Status\_Periodic

This timer is only used when timer based STATUS PDU sending is used. The timer is started when the RLC entity is created. Each time the timer expires a STATUS PDU is transmitted and the timer is restarted. The value of the timer is signalled by RRC.

h) Timer\_RST

It is used to detect the loss of RESET ACK PDU from the peer RLC entity. This timer is set when the RESET PDU is transmitted. And it will be stopped upon reception of RESET ACK PDU. If it expires, RESET PDU will be retransmitted. [The value of the timer is signalled by RRC.](#)

i) Timer\_MRW

This timer is used as part of the Move Receiving Window protocol. It is used to trigger the retransmission of a STATUS PDU containing an MRW SUFI field. The timer is started when the STATUS PDU is first transmitted. Each time the timer expires the STATUS PDU is retransmitted and the timer is restarted. It shall be stopped when a STATUS PDU is received that indicates that  $VR(R) \geq SN\_MRW$ . It shall also be stopped if a new MRW procedure is triggered whilst it is running. [The value of the timer is signalled by RRC.](#)

## 9.6 Protocol Parameters

[The values of the protocol parameters in this section are signalled by RRC.](#)

a) MaxDAT

It is the maximum value for the number of retransmissions of a PU. This parameter is an upper limit of counter VT(DAT). When the value of VT(DAT) comes to MaxDAT, error recovery procedure will be performed.

b) Poll\_PU

This parameter indicates how often the transmitter should poll the receiver in case of polling every Poll\_PU PU. This is an upper limit for the VT(PU) state variable, when VT(PU) reaches Poll\_PU a poll is transmitted to the peer entity.

c) Poll\_SDU

This parameter indicates how often the transmitter should poll the receiver in case of polling every Poll\_SDU SDU. This is an upper limit for the VT(SDU) state variable, when VT(SDU) reaches Poll\_SDU a poll is transmitted to the peer entity.

d) Poll\_Window

This parameter indicates when the transmitter should poll the receiver in case of performing window-based polling. A poll is transmitted when:

$$\left[ 1 - \frac{(Tx\_Window\_Size + VT(S) - VT(MS)) \bmod Tx\_Window\_Size}{Tx\_Window\_Size} \right] * 100 > Poll\_Window$$

e) MaxRST

It is the maximum value for the number of retransmission of RESET PDU. This parameter is an upper limit of counter VT(RST). When the value of VT(RST) comes to MaxRST, the higher layer (RRC) is notified.

f) Tx\_Window\_Size

The maximum allowed transmitter window size.

g) Rx\_Window\_Size

The maximum allowed receiver window size.

h) MaxMRW

It is the maximum value for the number of retransmissions of a MRW command. This parameter is an upper limit of counter VT(MRW). When the value of VT(MRW) comes to MaxMRW, error recovery procedure will be performed.

## 11.3 Acknowledged mode data transfer procedure

### 11.3.2 Initiation

The sender initiates this procedure upon a request of acknowledged mode data transfer from higher layer or upon retransmission of PUs. Retransmitted PUs have higher priority than PUs transmitted for the first time.

The sender is only allowed to retransmit PUs that have been indicated missing by the receiver. An exception is the PU with SN VT(S)-1 which can always be retransmitted. In addition, the PU with highest SN that has not yet been acknowledged may be retransmitted if the peer Rx window size is less than half the maximum RLC AM sequence number. ~~There is one exception and that is the last PU that was transmitted can always be retransmitted.~~

RLC shall segment the data received from the higher layer into PUs. When the sender is in data transfer ready state one or several PUs are included in one AMD PDU, which is sent to the receiver. The PDUs shall be transmitted on the DCCCH logical channel if the sender is located in the control plane and on the DTCH if it is located in the user plane. One or several PDUs may be transmitted in each transmission time interval (TTI) and MAC decides how many PDUs shall be transmitted in each TTI.

The VT(DAT) state variables shall be updated for each AMD PDU that is transmitted. The PDU shall not include any PU with Sequence Number  $\geq$  VT(MS).

If the poll bit is set in any of the AMD PDUs and the timer Timer\_Poll shall be used the sender shall start the timer Timer\_Poll.

If timer based SDU discard is used the timer Timer\_Discard shall be started when the RLC entity receives an SDU from higher layer.

If the trigger for polling, "Every Poll\_PU PU", is used the VT(PU) shall be increased by 1 for each PU that is transmitted.

If the trigger for polling, "Every Poll\_SDU SDU", is used the VT(SDU) shall be increased by 1 for each SDU that is transmitted.



### 11.4.3 Reception of the RESET PDU by the receiver

Upon reception of a RESET PDU the receiver shall respond with a RESET ACK PDU. The receiver resets the state variables in 9.4 to their initial value and resets configurable parameters to their configured value.

The RESET ACK PDU shall be transmitted on the DCCH logical channel if the sender is located in the control plane and on the DTCH if it is located in the user plane.

The RESET ACK PDU has higher priority than data PDUs.

#### 11.4.3.1 RESET ACK PDU contents to set

The size of the RESET ACK PDU shall be equal to one of the allowed PDU sizes.

### 11.4.4 Reception of the RESET ACK PDU by the sender

Upon reception of a RESET ACK the Timer\_RST shall be stopped, ~~and VT(RST) shall be reset.~~ The sender resets the state variables in 9.4 to their initial value and resets configurable parameters to their configured value. The sender shall enter data transfer ready state.

#### 11.5.2.2 STATUS PDU contents to set

The size of the STATUS PDU shall be equal to one of the allowed PDU sizes. The information that needs to be transmitted can be split into several STATUS PDUs if one STATUS PDU does not accommodate all the information.

Which SUFI fields to use is implementation dependent, but the STATUS PDU shall include information about which PUs have been received and which are detected as missing. No information shall be given for PUs with  $SN \geq VR(H)$ , i.e. PUs that have not yet reached the receiver.

~~not received.~~

Padding shall be inserted if the SUFI fields do not fill the entire STATUS PDU. If the PDU contains padding the last SUFI field shall be either an Acknowledgement super-field or a No More super-field.

# CHANGE REQUEST

Please see embedded help file at the bottom of this page for instructions on how to fill in this form correctly.

**25.322 CR 026r1**

Current Version: **3.1.2**

GSM (AA.BB) or 3G (AA.BBB) specification number ↑

↑ CR number as allocated by MCC support team

For submission to: **TSG-RAN #7**

list expected approval meeting # here ↑

for approval

for information

strategic

non-strategic

(for SMG use only)

Form: CR cover sheet, version 2 for 3GPP and SMG The latest version of this form is available from: ftp://ftp.3gpp.org/Information/CR-Form-v2.doc

**Proposed change affects:**

(at least one should be marked with an X)

(U)SIM

ME

UTRAN / Radio

Core Network

**Source:** TSG-RAN WG2

**Date:** 2000-02-22

**Subject:** STATUS PDUs

**Work item:**

**Category:**

(only one category shall be marked with an X)

- F Correction
- A Corresponds to a correction in an earlier release
- B Addition of feature
- C Functional modification of feature
- D Editorial modification

**Release:**

- Phase 2
- Release 96
- Release 97
- Release 98
- Release 99
- Release 00

**Reason for change:**

1. The usage of the terms status report and STATUS PDU is not consistent.
2. It is not clear when the status prohibit timer shall be started, when a status report is split in several STATUS PDUs
3. The rules for using the piggybacked STATUS PDU are unclear

**Clauses affected:**

**Other specs affected:**

- Other 3G core specifications  → List of CRs:
- Other GSM core specifications  → List of CRs:
- MS test specifications  → List of CRs:
- BSS test specifications  → List of CRs:
- O&M specifications  → List of CRs:

**Other comments:**



help.doc

<----- double-click here for help and instructions on how to create a CR.

#### 9.2.2.4 Polling bit (P)

Length: 1bit

This field is used to request a status report ([one or several STATUS PDUs](#)) from the receiver RLC.

Bit	Description
0	Status report not requested
1	Request a status report

## 9.4 State variables

This sub-clause describes the state variables used in the specification of the peer-to-peer protocol. PUs are sequentially and independently numbered and may have the value 0 through n minus 1 (where n is the modulus of the sequence numbers). The modulus equals  $2^{12}$  for AM and  $2^7$  for UM; the sequence numbers cycle through the entire range: 0 through  $2^{12} - 1$  for AM and 0 through  $2^7 - 1$  for UM. All arithmetic operations on the following state variables and sequence numbers contained in this specification are affected by the modulus: VT(S), VT(A), VT(MS), VR(R), VR(H), VR(MR), VT(US) and VR(US). When performing arithmetic comparisons of transmitter variables, VT(A) is assumed to be the base. When performing arithmetic comparisons of receiver variables, VR(R) is assumed to be the base.

The RLC maintains the following state variables at the transmitter.

a) VT(S) - Send state variable

The sequence number of the next PU to be transmitted for the first time (i.e. excluding retransmission). It is updated after transmission of a PDU, which includes not earlier transmitted PUs. The initial value of this variable is 0.

b) VT(A) - Acknowledge state variable

The sequence number of the next in-sequence PU expected to be acknowledged, which forms the lower edge of the window of acceptable acknowledgements. VT(A) is updated based on receipt of a STATUS PDU including an ACK super-field. The initial value of this variable is 0.

c) VT(DAT)

This state variable counts the number of times a PU has been transmitted. There is one VT(DAT) for each PU and it is incremented each time the PU is transmitted. The initial value of this variable is 0.

d) VT(MS) - Maximum Send state variable

The sequence number of the first PU not allowed by the peer receiver [i.e. the receiver will allow up to VT(MS) - 1],  $VT(MS) = VT(A) + Tx\_Window\_Size$ . This value represents the upper edge of the transmit window. The transmitter shall not transmit a new PU if  $VT(S) \geq VT(MS)$ . VT(MS) is updated based on receipt of a STATUS PDU including an ACK and/or a WINDOW super-field.

e) VT(US) – UM data state variable

This state variable gives the sequence number of the next UMD PDU to be transmitted. It is updated each time a UMD PDU is transmitted. The initial value of this variable is 0.

f) VT(PU)

This state variable is used when the poll every Poll\_PU PU function is used. It is incremented with 1 for each PU that is transmitted. It should be incremented for both new and retransmitted PUs. When it reaches Poll\_PU a new poll is transmitted and the state variable is set to zero. The initial value of this variable is 0.

g) VT(SDU)

This state variable is used when the poll every Poll\_SDU SDU function is used. It is incremented with 1 for each SDU that is transmitted. When it reaches Poll\_SDU a new poll is transmitted and the state variable is set to zero.

The poll bit should be set in the PU that contains the last segment of the SDU. The initial value of this variable is 0.

h) VT(RST) - Reset state variable

It is used to count the number of times a RESET PDU is transmitted. VT(RST) is incremented with 1 each time a RESET PDU is transmitted. VT(RST) is reset upon the reception of a RESET ACK PDU. The initial value of this variable is 0.

i) VT(MRW) – MRW command send state variable

It is used to count the number of times a MRW command is transmitted. VT(MRW) is incremented with 1 each time a MRW command is transmitted. VT(MRW) is reset upon the reception of a STATUS PDU which suggests the acknowledgement of a MRW command in the receiver or the occurrence of discarding new SDU. The initial value of this variable is 0.

The RLC maintains the following state variables at the receiver:

a) VR(R) - Receive state variable

The sequence number of the next in-sequence PU expected to be received. It is updated upon receipt of the next in-sequence PU. The initial value of this variable is 0.

b) VR(H) - Highest expected state variable

The sequence number of the highest expected PU. This state variable is updated when a new PU is received with  $SN \geq VR(H)$ . The initial value of this variable is 0.

c) VR(MR) - Maximum acceptable Receive state variable

The sequence number of the first PU not allowed by the receiver [i.e. the receiver will allow up to  $VR(MR) - 1$ ],  $VR(MR) = VR(R) + Rx\_Window\_Size$ . The receiver shall discard PUs with  $SN \geq VR(MR)$ , (in one case, such a PU may cause the transmission of an unsolicited STATUS PDU).

d) VR(US) - Receiver Send Sequence state variable

The sequence number of the next PDU to be received. It shall set equal to  $SN + 1$  upon reception of a PDU. The initial value of this variable is 0.

e) VR(EP) – Estimated PDU Counter state variable

The number of PUs that should be received yet as a consequence of the transmission of the latest [STATUS PDU/status report](#). In acknowledged mode, this state variable is updated at the end of each transmission time interval. It is decremented by the number of PUs that should have been received during the transmission time interval. If VR(EP) is equal to zero, then check if all PUs requested for retransmission in the latest [status STATUS PDU/report](#) have been received.

## 9.5 Timers

a) Timer\_Poll

This timer is only used when the poll timer trigger is used. It is started when the transmitting side sends a poll to the peer entity. The timer is stopped when receiving a STATUS PDU that contains an acknowledgement or negative acknowledgement of the AMD PDU that triggered the timer. The value of the timer is signalled by RRC.

If the timer expires and no STATUS PDU containing an acknowledgement or negative acknowledgement of the AMD PDU that triggered the timer has been received, the receiver is polled once more (either by the transmission of a PDU which was not yet sent, or by a retransmission) and the timer is restarted. If there is no PU to be transmitted and all PUs have already been acknowledged, the receiver shall not be polled.

If a new poll is sent when the timer is running it is restarted.

b) Timer\_Poll\_Prohibit

This timer is only used when the poll prohibit function is used. It is used to prohibit transmission of polls within a certain period. A poll shall be delayed until the timer expires if a poll is triggered when the timer is active. Only one poll shall be transmitted when the timer expires even if several polls were triggered when the timer was active. If there is no PU to be transmitted and all PUs have already been acknowledged, a poll shall not be transmitted. This timer will not be stopped by a STATUS PDU. The value of the timer is signalled by RRC.

c) Timer\_EPC

This timer is only used when the EPC function is used and it accounts for the roundtrip delay, i.e. the time when the first retransmitted PU should be received after a [STATUS-status report](#) has been sent. The timer is started when [the last STATUS PDU of a statusSTATUS](#) report is transmitted and when it expires EPC can start decrease (see section 9.7.3). The value of the timer is signalled by RRC.

d) Timer\_Discard

This timer is used for the SDU discard function. In the transmitter, the timer is activated upon reception of a SDU from higher layer. If the SDU has not been acknowledged and/or transmitted when the timer expires, the SDU is discarded. Following which, if the SDU discard function uses explicit signalling, a Move Receiving Window request is sent to the receiver. The value of the timer is signalled by RRC.

e) Timer\_Poll\_Periodic

This timer is only used when the timer based polling is used. The timer is started when the RLC entity is created. Each time the timer expires a poll is transmitted and the timer is restarted. If there is no PU to be transmitted and all PUs have already been acknowledged, a poll shall not be transmitted and the timer shall only be restarted. The value of the timer is signalled by RRC.

f) Timer\_Status\_Prohibit

This timer is only used when the STATUS [PDU-prohibit](#) function is used. It prohibits the receiving side from sending [status reportSTATUS PDU](#)s. The timer is started when [the last STATUS PDU in a status report](#) is transmitted and no new [STATUS PDUstatus report](#) can be transmitted before the timer has expired. The value of the timer is signalled by RRC.

g) Timer\_Status\_Periodic

This timer is only used when timer based [STATUS-status reportPDU](#) sending is used. The timer is started when the RLC entity is created. Each time the timer expires a [STATUS-status PDU-report](#) is transmitted and the timer is restarted. The value of the timer is signalled by RRC.

h) Timer\_RST

It is used to detect the loss of RESET ACK PDU from the peer RLC entity. This timer is set when the RESET PDU is transmitted. And it will be stopped upon reception of RESET ACK PDU. If it expires, RESET PDU will be retransmitted.

i) Timer\_MRW

This timer is used as part of the Move Receiving Window protocol. It is used to trigger the retransmission of a [statusSTATUS PDU-report](#) containing an MRW SUFI field. The timer is started when the [last STATUS PDU of the statusSTATUS PDU-report](#) is first transmitted. Each time the timer expires the [STATUS-status PDU-report](#) is retransmitted and the timer is restarted ([when the last STATUS PDU of the status report is retransmitted](#)). It shall be stopped when a STATUS PDU is received that indicates that  $VR(R) \geq SN\_MRW$ . It shall also be stopped if a new MRW procedure is triggered while it is running.

## 9.7.1 Polling function for acknowledged mode transfer

The transmitter of AMD PDUs may poll the receiver for a [STATUS-status PDUreport \(consisting of one or several STATUS PDUs\)](#). The Polling bit in the AMD PDU indicates the poll request. There are several triggers for setting the polling bit. The network (RRC) controls, which triggers should be used for each RLC entity. Following triggers are possible:

1) Last PU in buffer

The sender transmits a poll when the last PU available for transmission is transmitted.

2) Last PU in retransmission buffer

The sender transmits a poll when the last PU to be retransmitted is transmitted.

3) Poll timer

The timer Timer\_Poll is started when a poll is transmitted to the receiver and if no STATUS PDU [containing an acknowledgement or negative acknowledgement of the AMD PDU that triggered the timer](#) has been received before the timer Timer\_Poll expires a new poll is transmitted to the receiver.

4) Every Poll\_PU PU

The sender polls the receiver every Poll\_PU PU. Both retransmitted and new PUs shall be counted.

5) Every Poll\_SDU SDU

The sender polls the receiver every Poll\_SDU SDU.

6) Poll\_Window% of transmission window

The sender polls the receiver when it has reached Poll\_Window% of the transmission window.

7) Timer based

The sender polls the receiver periodically.

The network also controls if the poll prohibit function shall be used. The poll bit shall be set to 0 if the poll prohibit function is used and the timer Timer\_Poll\_Prohibit is active. This function has higher priority than any of the above mentioned triggers.

## 9.7.2 STATUS ~~PDU~~ transmission for acknowledged mode

The receiver of AMD PDUs transmits [status reports \(each status report consists of one or several STATUS PDUs\)](#) to the sender in order to inform about which PUs that have been received and not received. There are several triggers for sending a [STATUS ~~PDU~~status report](#). The network (RRC) controls which triggers should be used for each RLC entity, except for one, which is always present. The receiver shall always send a [STATUS ~~PDU~~status report](#) when receiving a poll request. Except for that trigger following triggers are configurable:

1) Detection of missing PU(s).

If the receiver detects one or several missing PUs it shall send a [STATUS ~~PDU~~status report](#) to the sender.

2) Timer based STATUS ~~PDU~~-transfer

The receiver transmits a [STATUS ~~PDU~~status report](#) periodically to the sender. The timer Timer\_Status\_Periodic controls the time period.

3) The EPC mechanism

The EPC is started when [the last STATUS PDU of a status reportSTATUS PDU](#) is transmitted to the peer entity. If not all PUs requested for retransmission have been received before the EPC has expired a new [status reportSTATUS PDU](#) is transmitted to the peer entity. A more detailed description of the EPC mechanism is given in section 9.7.4.

There are two functions that can prohibit the receiver from sending a ~~STATUS status report~~PDU. The network (RRC) controls which functions should be used for each RLC entity. If any of the following functions is used the sending of the ~~STATUS status report~~PDU shall be delayed, even if any of the conditions above are fulfilled:

1) STATUS ~~PDU~~prohibit

The Timer\_Status\_Prohibit is started when ~~the last~~ STATUS PDU ~~of a status report~~ is transmitted to the peer entity. As long as the timer is running the receiving side is not allowed to send a ~~STATUS PDU~~status report to the peer entity. The ~~status report~~STATUS PDU is transmitted after the timer has expired. The receiver shall only send ~~information about a PU~~one status report, even if there are several triggers when the timer running.

2) The EPC mechanism

If the EPC mechanism is active and the sending of a ~~status report~~STATUS PDU is triggered it shall be delayed until the EPC mechanism has ended. The receiver shall only send ~~information about a PU~~one status report, even if there are several triggers when the timer is active or the counter is counting down.

## 9.7.4 The Estimated PDU Counter

The Estimated PDU Counter is a mechanism used for scheduling the retransmission of status reports in the receiver side. With this mechanism, the receiver will send a new ~~s~~Status reportPDU in which it requests for PUs not yet received. The time between two subsequent status report retransmissions is not fixed, but it is controlled by the Estimated PDU Counter (EPC), which adapt this time to the current bit rate, indicated in the TFI, in order to minimise the delay of the status report retransmission.

The EPC is a counter, which is decremented every transmission time interval with the estimated number of PUs that should have been transmitted during that transmission time interval. When the receiver detects that PDUs are missing it generates and sends a ~~s~~Status reportPDU to the transmitter and sets the EPC equal to the number of requested PUs.

A special timer, called EPC timer, controls the maximum time that the EPC needs to wait before it will start counting down. This timer starts immediately after a transmission of a retransmission request from the receiver (~~when the last~~ STATUS~~status~~ PDU ~~of the status report is transmitted~~). The EPC timer typically depends on the roundtrip delay, which consists of the propagation delay, processing time in the transmitter and receiver and the frame structure. This timer can also be implemented as a counter, which counts the number of 10 ms radio frames that could be expected to elapse before the first requested AMD PDU is received.

When the EPC is equal to zero and not all of these requested PUs have been received correctly, a new ~~s~~Status reportPDU will be transmitted and the EPC will be reset accordingly. The EPC timer will be started once more.

## 11.5 STATUS ~~PDU~~report transfer procedure

### 11.5.1 Purpose

The ~~STATUS PDU~~status report transfer procedure is used for transferring of status information between two RLC peer entities, which are operating in acknowledged mode. Figure 11.5 below illustrates the elementary procedure for ~~STATUS PDU~~status report transfer. ~~A status report consists of one or several STATUS PDUs~~. The receiver is the receiver of AMD PDUs and it is either the UE or the network and the sender is the sender of AMD PDUs and it is either the network or the UE.



Figure 11.5: ~~STATUS~~Status PDU~~report~~ transfer procedure

## 11.5.2 Initiation

The receiver in any of following cases initiates this procedure:

- 1) The poll bit in a received AMD PDU is set to 1.
- 2) Detection of missing PUs is used and a missing PU is detected.
- 3) The timer based STATUS ~~PDU~~-transfer is used and the timer Timer\_Status\_Periodic has expired.

The receiver shall transmit a [status report](#)~~STATUS PDU~~ on the DCCH logical channel if the receiver is located in the control plane and on the DTCH if it is located in the user plane. Separate logical channels can be assigned for AMD PDU transfer and for Control PDU transfer.

The STATUS PDUs ~~has~~ [higher](#) priority than data PDUs.

There are two functions that can prohibit the receiver from sending a ~~STATUS PDU~~[status report](#). If any of following conditions are fulfilled the sending of the ~~STATUS PDU~~[status report](#) shall be delayed, even if any of the conditions above are fulfilled:

- 1) STATUS ~~PDU~~-prohibit is used and the timer Timer\_Status\_Prohibit is active.

The ~~STATUS PDU~~[status report](#) shall be transmitted after the Timer\_Status\_Prohibit has expired. The receiver shall send only one ~~STATUS PDU~~[status report](#), even if there are several triggers when the timer is running.

- 2) The EPC mechanism is used and the timer Timer\_EPC is active or VR(EP) is counting down.

The ~~STATUS PDU~~[status report](#) shall be transmitted after the VR(EP) has reached 0. The receiver send only one ~~STATUS~~[status PDU](#)~~report~~, even if there are several triggers when the timer is active or the counter is counting down.

If the timer based STATUS ~~PDU~~-transfer shall be used and the Timer\_Status\_Periodic has expired it shall be restarted.

If the EPC mechanism shall be used the timer Timer\_EPC shall be started and the VR(EP) shall be set equal to the number PUs requested to be retransmitted.

### 11.5.2.1 Piggybacked STATUS PDU

It is possible to piggyback a STATUS PDU on an AMD PDU. If a PDU includes padding a piggybacked STATUS PDU can be inserted instead of the padding. The sending of ~~a piggybacked~~[such](#) STATUS PDU [follows the same rules as the sending of an ordinary STATUS PDU](#). ~~does not have to be triggered by the triggers in section 11.5.2. It shall not be sent if any of the prohibit conditions are fulfilled.~~

### 11.5.2.2 STATUS PDU contents to set

The size of the STATUS PDU shall be equal to one of the allowed PDU sizes. The information that needs to be transmitted [in a status report](#) can be split into several STATUS PDUs if one STATUS PDU does not accommodate all the information.

Which SUFI fields to use is implementation dependent, but the [status report](#)~~STATUS PDU~~ shall include information about which PUs have been received and not received.

Padding shall be inserted if the SUFI fields do not fill ~~an~~[the](#) entire STATUS PDU. If the PDU contains padding the last SUFI field shall be either an Acknowledgement super-field or a No More super-field.

## 11.5.3 Reception of the STATUS PDU by the sender

The sender shall upon reception of the STATUS PDU/piggybacked STATUS PDU update the state variables VT(A) and VT(MS) according to the received STATUS PDU/piggybacked STATUS PDU.

If the STATUS PDU includes negative acknowledged PUs the acknowledged data transfer procedure shall be initiated and the PUs shall be retransmitted. Retransmitted PUs have higher priority than new PUs.



## 11.5.4 Abnormal cases

### 11.5.4.1 EPC reaches zero and the requested PUs have not been received

If the EPC mechanism is used and VR(EP) has reached 0 and not all PUs requested for retransmission have been received the receiver shall:

Retransmit the [STATUS PDU status report](#). The retransmitted [STATUS PDU status report](#) may contain new or different SUFI fields in order to indicate that some PUs have been received and that some new have been lost.

## 11.6.2 Initiation

This procedure is initiated by the sender when the following conditions are fulfilled:

- 1) SDU discard with explicit signalling is used.
- 2) MaxDAT number of retransmissions is reached or Timer\_Discard expires for a SDU in acknowledged mode RLC.

The sender shall discard all PUs that contain a segment of the associated SDU. If the concatenation function is active, PDUs carrying segments of other SDUs that have not timed out shall not be discarded.

The sender shall transmit a [STATUS PDU status report](#) on the DCCH logical channel if the sender is located in the control plane and on the DTCH if it is located in the user plane.

If the PU with sequence number SN\_MRW contains LI indicating trailing data from the discarded SDU, the transmitter shall send SUFI MRW\_N\_IFL indicating to the receiver to discard the first LI and the corresponding data bytes. Otherwise the transmitter shall send SUFI MRW.

This [status report STATUS PDU](#) is sent even if the 'STATUS PDU prohibit' is used and the timer 'Timer\_Status\_Prohibit' is active.

The STATUS PDUs [have](#) higher priority than data PDUs.

The sender shall start timer Timer\_MRW. If a new MRW procedure is initiated whilst Timer\_MRW is running then Timer\_MRW shall be restarted and VT(MRW) should be reset.

### 11.6.2.1 Piggybacked STATUS PDU

It is possible to piggyback a STATUS PDU on an AMD PDU. If a PDU includes padding a piggybacked STATUS PDU can be inserted instead of the padding.

### 11.6.2.2 STATUS PDU contents to set

The size of the STATUS PDU shall be equal to one of the allowed PDU sizes. The information that needs to be transmitted [in a status report](#) can be split into several STATUS PDUs if one STATUS PDU does not accommodate all the information.

[STATUS PDU The status report](#) shall include the MRW/MRW\_N\_IFL SUFI, other SUFI fields can be used additionally. MRW/MRW\_N\_IFL SUFI shall convey information about the discarded SDU(s) to the receiver.

Padding shall be inserted if the SUFI fields do not fill the entire STATUS PDU. If the PDU contains padding the last SUFI field shall be a No More Data super-field.

## 11.6.3 Reception of the STATUS PDU by the receiver

The receiver shall upon reception of the STATUS PDU/piggybacked STATUS PDU discard PUs and update the state variables VR(R), VR(H) and VR(MR) according to the received STATUS PDU/piggybacked STATUS PDU.

The receiver shall initiate the transmission of a [STATUS PDU status report](#) indicating the revised value of VR(R).

In case of receiving SUFI\_MRW , the receiver shall start reassembling the next SDU from the first data byte of the PU with sequence number SN\_MRW .

If the receiver receives SUFI MRW\_N\_IFL , it shall discard the first LI and start reassembling the next SDU .

#### 11.6.4 Reception of STATUS PDU if $VR(R) \geq SN\_MRW$

The procedure is terminated in the sender when a STATUS PDU is received indicating a value of  $VR(R) \geq SN\_MRW$ . If this occurs Timer\_MRW is stopped thereby terminating the procedure.

#### 11.6.5 Expiration of timer Timer\_MRW

If Timer\_MRW expires before a STATUS PDU is received indicating a value of  $VR(R)$  greater or equal to the MRW parameter then the STATUS(MRW) shall be retransmitted, VT(MRW) is incremented by one and Timer\_MRW restarted.

#### 11.6.6 Abnormal cases

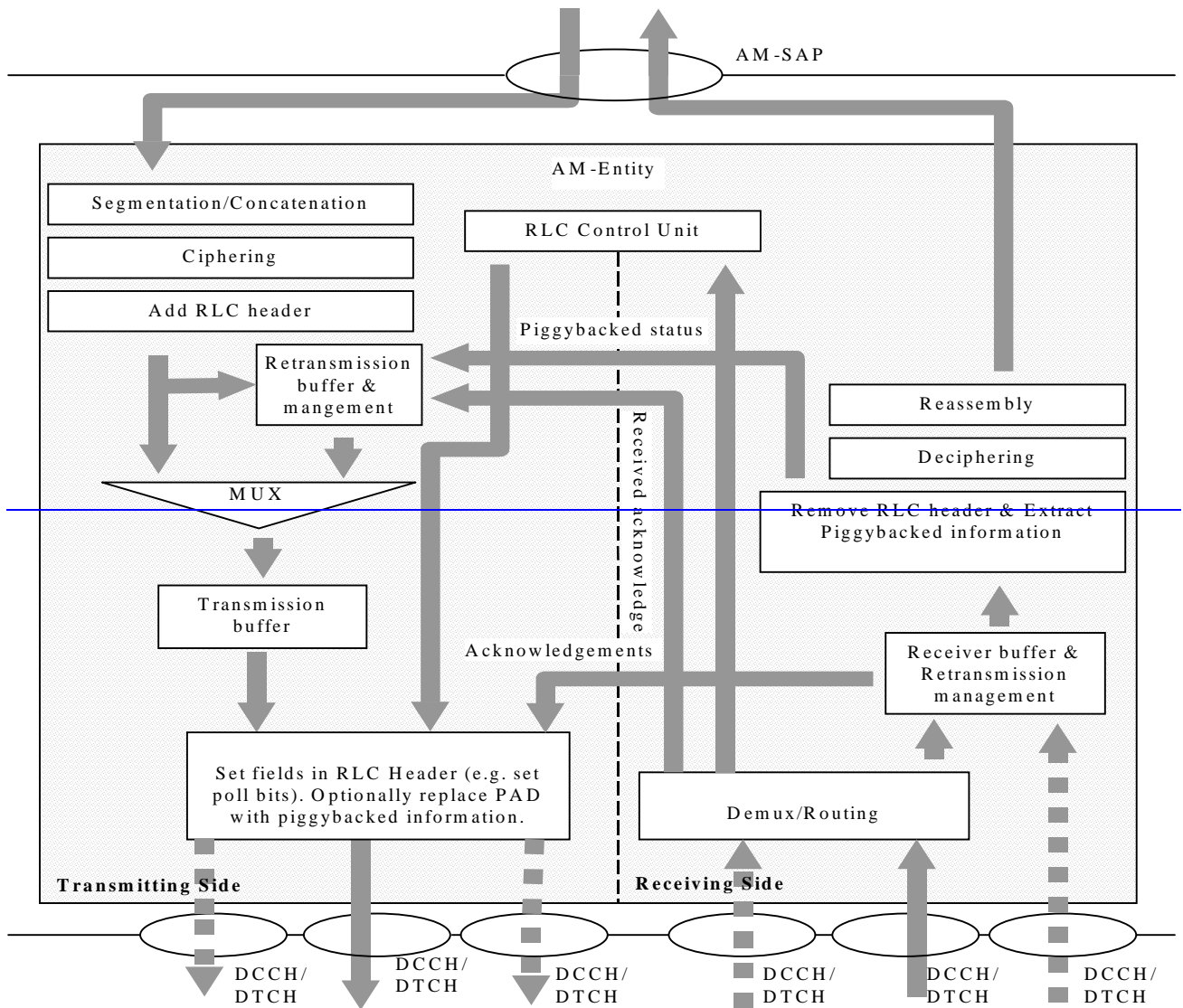
##### 11.6.6.1 Obsolete/corrupted MRW command

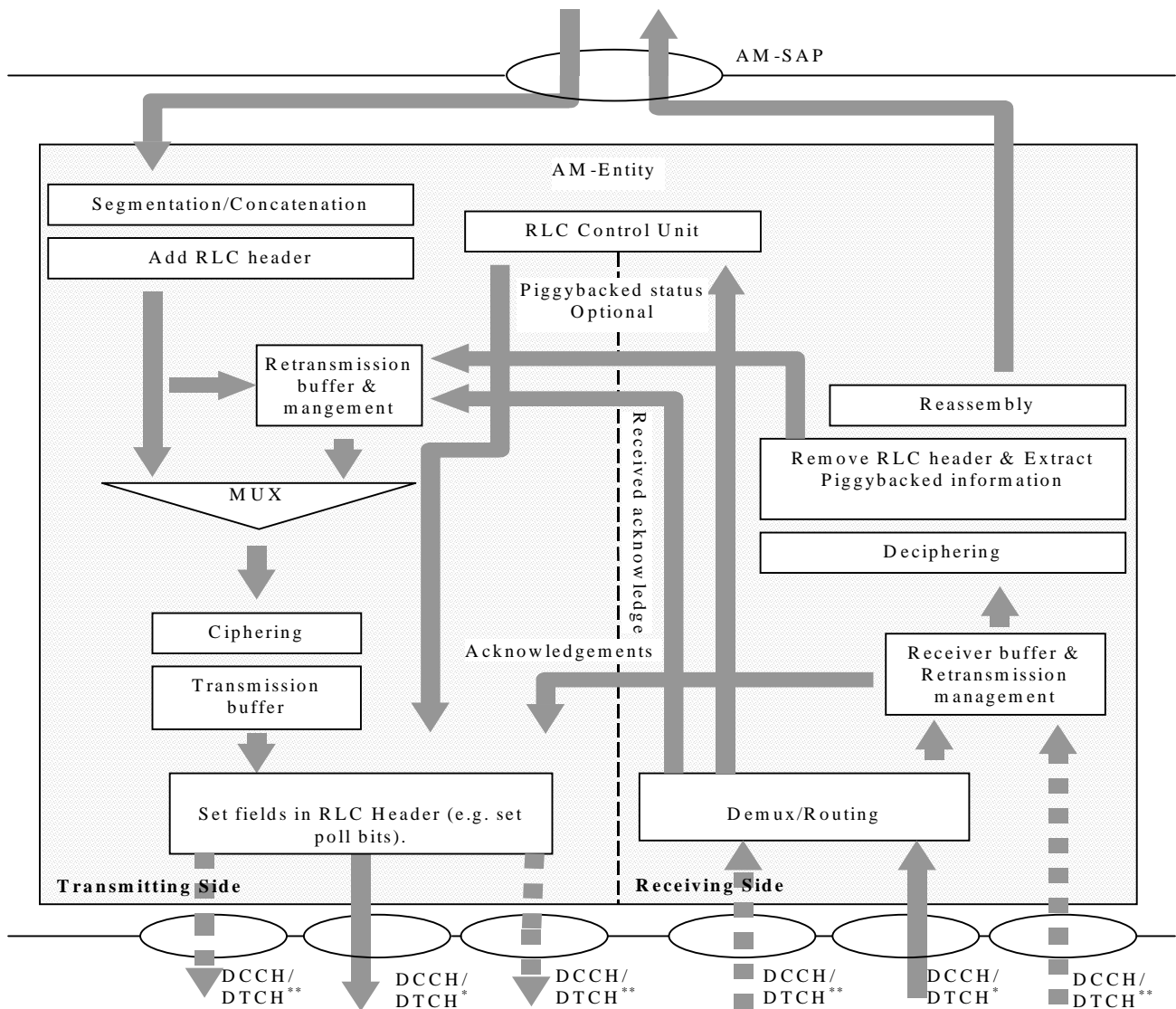
If the MRW command contains outdated information about the receiver window (receiver window already moved further than MRW command is indicating), the MRW command shall be discarded and a [STATUS PDU status report](#) containing SUFI ACK shall be transmitted.



### 4.2.1.3 Acknowledged mode entity

Figure 4.4 below shows the model of an acknowledged mode entity, when one logical channel (shown as a solid line) and when two logical channels (shown as dashed lines) are used.





**Figure 4.4: Model of a acknowledged mode entity**

The transmitting side of the AM-entity receives SDUs from the higher layers. The SDUs are segmented and/or concatenated to PUs of fixed length. PU length is a semi-static value that is decided in bearer setup and can only be changed through bearer reconfiguration by RRC.

For purposes of RLC buffering and retransmission handling, the operation is the same as if there would be one PU per PDU. For concatenation or padding purposes, bits of information on the length and extension, are inserted into the beginning of the last PU where data from an SDU is included. If several SDUs fit into one PU, they are concatenated and the appropriate length indicators are inserted into the beginning of the PU. After that the PUs are placed in the retransmission buffer and the transmission buffer. One or several PUs are included in one RLC PDU.

The MUX then decides which PDUs and when the PDUs are delivered to MAC, e.g. it could be useful to send RLC control PDUs on one logical channel and data PDUs on another logical channel. The PDUs are delivered via a function that completes the RLC-PDU header and potentially replaces padding with piggybacked status information. This includes setting the poll bit compressing subsequent PUs into one RLC-PDU or setting up the extended RLC-PDU header (PUs not in sequence) where applicable. The fixed 2 octet AMD PDU header is not ciphered.

When Piggybacking mechanism is applied the padding is replaced by control information, in order to increase the transmission efficiency and making possible a faster message exchange between the peer to peer RLC entities. The piggybacked control information is not saved in any retransmission buffer. The piggybacked control information is contained in the piggybacked STATUS PDU, which is in turn included into the AMD-PDU. The piggybacked STATUS PDUs will be of variable size in order to match with the amount of free space in the AMD PDU.

The dashed lines illustrate the case where AMD PDUs and control PDUs are transmitted on separate logical channels. The retransmission buffer also receives acknowledgements from the receiving side, which are used to indicate retransmissions of PUs and when to delete a PU from the retransmission buffer.

The Receiving Side of the AM-entity receives PDUs through one of the logical channels from the MAC sublayer. The RLC-PDUs are expanded into separate PUs and potential piggybacked status information are extracted. The PUs are placed in the receiver buffer until a complete SDU has been received. The receiver buffer requests retransmissions of PUs by sending negative acknowledgements to the peer entity. After that the headers are removed from the PDUs and the PDUs are reassembled into a SDU. Finally the SDU is delivered to the higher layer. The receiving side also receives acknowledgements from the peer entity. The acknowledgements are passed to the retransmission buffer on the transmitting side.

**CHANGE REQUEST**

*Please see embedded help file at the bottom of this page for instructions on how to fill in this form correctly.*

**25.322 CR 028**

Current Version: **3.1.2**

GSM (AA.BB) or 3G (AA.BBB) specification number ↑

↑ CR number as allocated by MCC support team

For submission to: **TSG-RAN#7** for approval   
list expected approval meeting # here ↑ for information

strategic  (for SMG use only)  
non-strategic

Form: CR cover sheet, version 2 for 3GPP and SMG The latest version of this form is available from: ftp://ftp.3gpp.org/Information/CR-Form-v2.doc

**Proposed change affects:** (U)SIM  ME  UTRAN / Radio  Core Network   
(at least one should be marked with an X)

**Source:** TSG-RAN WG2 **Date:** 2000-2-28

**Subject:** Corrections to Timer\_discard Procedures

**Work item:**

**Category:** F Correction  **Release:** Phase 2   
(only one category shall be marked with an X) A Corresponds to a correction in an earlier release  Release 96   
B Addition of feature  Release 97   
C Functional modification of feature  Release 98   
D Editorial modification  Release 99   
Release 00

**Reason for change:**

- In Section 9.7.3.2, it is stated that the timer based discard without explicit signalling is "applied only for **unacknowledged** mode RLC...". However, Section 11.3.4.3.2, which is under the **Acknowledged** Mode RLC procedures, refers to SDU discard without explicit signalling
- It is proposed to move the text of 11.3.4.3.2 to the new section 11.2.4.3, which is under the **Unacknowledged** mode RLC procedures.

**Clauses affected:** 11.3.3, 11.3.4.3, 11.3.4.3.2,

**Other specs affected:** Other 3G core specifications  → List of CRs:  
Other GSM core specifications  → List of CRs:  
MS test specifications  → List of CRs:  
BSS test specifications  → List of CRs:  
O&M specifications  → List of CRs:

**Other comments:**



<----- double-click here for help and instructions on how to create a CR.

## 11.2 Unacknowledged mode data transfer procedure

### 11.2.1 Purpose

The unacknowledged mode data transfer procedure is used for transferring data between two RLC peer entities, which are operating in unacknowledged mode. Figure 11.2 below illustrates the elementary procedure for unacknowledged mode data transfer. The sender can be either the UE or the network and the receiver is either the network or the UE.



**Figure 11.2: Unacknowledged mode data transfer procedure**

### 11.2.2 Initiation

The sender initiates this procedure upon a request of unacknowledged mode data transfer from higher layer.

When the sender is in data transfer ready state it shall segment the data received from the higher layer into PDUs.

Channels that can be used are DTCH, DCCH, CCCH (downlink only), CTCH, SHCCH (downlink only). The type of logical channel depends on if the RLC entity is located in the user plane (DTCH, CTCH) or in the control plane (DCCH/CCCH(downlink only)/SHCCH(downlink only)). One or several PDUs may be transmitted in each transmission time interval (TTI) and MAC decides how many PDUs shall be transmitted in each TTI.

The VT(US) state variable shall be updated for each UMD PDU that is transmitted.

#### 11.2.2.1 UMD PDU contents to set

The Sequence Number field shall be set equal to VT(US).

The Extension bit shall be set to 1 if the next field is a length indicator field, otherwise it shall be set to zero.

One length indicator field shall be included for each end of a SDU that the PDU includes. The length indicator shall be set equal to the number octets between the end of the header fields and the end of the segment. If padding is needed another length indicator shall be added. If the PDU is exactly filled with the last segment of a SDU and there is no room for a length indicator field a length indicator field set to only 0's shall be included in the next PDU.

### 11.2.3 Reception of UMD PDU

Upon reception of a UMD PDU the receiver shall update VR(US) state variable according to the received PDU(s).

The PDUs are reassembled into RLC SDUs. If a PDU with sequence number < VR(US) is missing then all SDUs that have segments in this PDU shall be discarded. RLC delivers the RLC SDUs to the higher layer through the UM-SAP.

### 11.2.4 Abnormal cases

#### 11.2.4.1 Length Indicator value 1111110

Upon reception of an UMD PDU that contains Length Indicator value 1111110 or 111111111111110 ("piggybacked STATUS PDU", in case 7bit or 15 bit Length Indicator field is used, respectively) the receiver shall discard that UMD PDU. This Length Indicator value is not used in unacknowledged mode data transfer.



### 11.2.4.2 Invalid length indicator value

If the length indicator of a PDU has a value that is larger than the PDU size, the PDU shall be discarded and treated as a missing PDU.

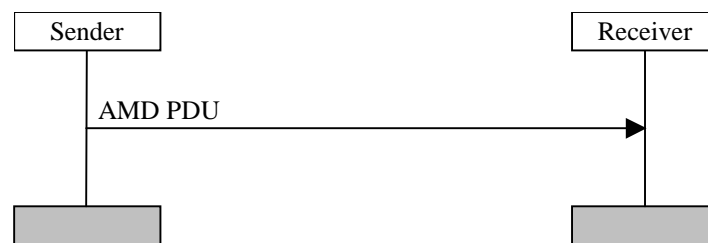
### 11.2.4.3 SDU discard without explicit signalling

Upon expiry of the Timer Discard on the sender side the sender shall discard all PDUs that contain segments of the associated SDU. If the concatenation function is active, PDUs carrying segments of other SDUs that have not timed out shall not be discarded. The state variable VT(US) shall be updated.

## 11.3 Acknowledged mode data transfer procedure

### 11.3.1 Purpose

The acknowledged mode data transfer procedure is used for transferring of data between two RLC peer entities, which are operating in acknowledged mode. Figure 11.3 below illustrates the elementary procedure for acknowledged mode data transfer. The sender can be either the UE or the network and the receiver is either the network or the UE.



**Figure 11.3: Acknowledged mode data transfer procedure**

### 11.3.2 Initiation

The sender initiates this procedure upon a request of acknowledged mode data transfer from higher layer or upon retransmission of PUs. Retransmitted PUs have higher priority than PUs transmitted for the first time.

The sender is only allowed to retransmit PUs that have been indicated missing by the receiver. There is one exception and that is the last PU that was transmitted can always be retransmitted.

RLC shall segment the data received from the higher layer into PUs. When the sender is in data transfer ready state one or several PUs are included in one AMD PDU, which is sent to the receiver. The PDUs shall be transmitted on the DCCCH logical channel if the sender is located in the control plane and on the DTCH if it is located in the user plane. One or several PDUs may be transmitted in each transmission time interval (TTI) and MAC decides how many PDUs shall be transmitted in each TTI.

The VT(DAT) state variables shall be updated for each AMD PDU that is transmitted. The PDU shall not include any PU with Sequence Number  $\geq$  VT(MS).

If the poll bit is set in any of the AMD PDUs and the timer Timer\_Poll shall be used the sender shall start the timer Timer\_Poll.

If timer based SDU discard is used the timer Timer\_Discard shall be started when the RLC entity receives an SDU from higher layer.

If the trigger for polling, "Every Poll\_PU PU", is used the VT(PU) shall be increased by 1 for each PU that is transmitted.

If the trigger for polling, "Every Poll\_SDU SDU", is used the VT(SDU) shall be increased by 1 for each SDU that is transmitted.

### 11.3.2.1 AMD PDU contents to set

If the PDU is transmitted for the first time, the Sequence Number field shall be set equal to VT(S) and VT(S) shall be updated. In case of multiple in-sequence PUs in PDU the Sequence Number field shows the Sequence Number of the first PU in that PDU.

The setting of the Polling bit is specified in section 11.3.2.1.1.

Extended Header field is needed when out-of-sequence PUs are placed in a PDU or when the rest of a PDU, which is not filled with PUs, is equal or larger than the size of a PU.

One length indicator field shall be included for each end of a SDU that the PDU includes. The length indicator shall be set equal to the number of octets between the end of the header fields and the end of the segment. If the PDU is exactly filled with the last segment of a SDU and there is no room for a length indicator field a length indicator field set to only 0's shall be included in the next PDU. How to perform the segmentation of a SDU is specified in subsection 11.3.2.1.2.

#### 11.3.2.1.1 Setting of the Polling bit

The Polling bit shall be set to 1 if any of following conditions are fulfilled except when the poll prohibit function is used and the timer *Timer\_Poll\_Prohibit* is active (the different triggers are described in 9.7.4):

- 1) Last PU in buffer is used and the last PU available for transmission is transmitted.
- 2) Last PU in retransmission buffer is used and the last PU to be retransmitted is transmitted.
- 3) Poll timer is used and timer *Timer\_Poll* has expired.
- 4) Every *Poll\_PU* PU is used and when  $VT(PU)=Poll\_PU$ .
- 5) Every *Poll\_SDU* is used and  $VT(SDU)=Poll\_SDU$  and the PDU contains the last segment that SDU.
- 6) *Poll\_Window%* of transmission window is used and

$$1 - \frac{(Window\_Size + VT(MS) - VT(S)) \bmod Window\_Size}{Window\_Size} > Poll\_Window.$$

- 7) Timer based polling is used and *Timer\_Poll\_Periodic* has expired.
- 8) Poll prohibit shall be used, the timer *Timer\_Poll\_Prohibit* has expired and one or several polls were prohibited during the time *Timer\_Poll\_Prohibit* was active.

#### 11.3.2.1.2 Segmentation of a SDU

Upon reception of a SDU, RLC shall segment the SDU to fit into the fixed size of a PU. The segments are inserted in the data field of a PU. A length indicator shall be added to each PU that includes a border of a SDU, i.e. if a PU does not contain a length indicator the SDU continues in the next PU. The length indicator indicates where the border occurs in the PU. The data after the indicated border can be either a new SDU, padding or piggybacked information. If padding or piggybacking is added another length indicator shall be added, see section 9.2.2.8.

### 11.3.3 Reception of AMD PDU by the receiver

Upon reception of a AMD PDU the receiver shall update VR(R), VR(H) and VR(MR) state variables according to the received PU(s).

If any of the PUs include a Polling bit set to 1 the STATUS PDU transfer procedure shall be initiated.

If the detection of missing PU(s) shall be used and the receiver detects that a PU is missing the receiver shall initiate the STATUS PDU transfer procedure.

If timer based SDU discard without explicit signalling is used and a missing PU is detected the timer *Timer\_Discard* is started.

## 11.3.4 Abnormal cases

### 11.3.4.1 Timer\_Poll timeout

Upon expiry of the Timer\_Poll the sender shall retransmit the poll. The poll can be retransmitted in either a new PDU or a retransmitted PDU.

### 11.3.4.2 Receiving a PU outside the receiving window

Upon reception of a PU with  $SN < VR(R)$  or  $SN \geq VR(MR)$  the receiver shall discard the PU. The poll bit shall be considered even if a complete PDU is discarded.

### 11.3.4.3 Timer\_Discard timeout

#### 11.3.4.3.1 SDU discard with explicit signalling

Upon expiry of Timer\_Discard the sender shall initiate the SDU discard with explicit signalling procedure.

#### ~~11.3.4.3.2 SDU discard without explicit signalling~~

~~Upon expiry of the Timer\_Discard on the sender side the sender shall discard all PDUs that contain segments of the associated SDU. If the concatenation function is active, PDUs carrying segments of other SDUs that have not timed out shall not be discarded. The state variable VT(US) shall be updated.~~

#### 11.3.4.4 $VT(DAT) > MaxDAT$

If SDU discard after MaxDAT number of retransmission is used and  $VT(DAT) > MaxDAT$  for any PU the sender shall initiate the SDU discard with explicit signalling procedure.

If the SDU discard is not used the sender shall initiate the RLC reset procedure when  $VT(DAT) > MaxDAT$ .

#### 11.3.4.5 Invalid length indicator value

If the length indicator of a PU has a value that is larger than the PU size, the PU shall be discarded and treated as a missing PU.

**CHANGE REQUEST**

Please see embedded help file at the bottom of this page for instructions on how to fill in this form correctly.

**25.322 CR 029r1**

Current Version: **3.1.2**

GSM (AA.BB) or 3G (AA.BBB) specification number ↑

↑ CR number as allocated by MCC support team

For submission to: **TSG-RAN #7**

list expected approval meeting # here ↑

for approval   
 for information

strategic   
 non-strategic  (for SMG use only)

Form: CR cover sheet, version 2 for 3GPP and SMG The latest version of this form is available from: <ftp://ftp.3gpp.org/Information/CR-Form-v2.doc>

**Proposed change affects:** (U)SIM  ME  UTRAN / Radio  Core Network   
 (at least one should be marked with an X)

**Source:** TSG-RAN WG2 **Date:** 2000-2-28

**Subject:** Segmentation of RLC SDUs

**Work item:**

**Category:** F Correction  **Release:** Phase 2   
 A Corresponds to a correction in an earlier release  Release 96   
 B Addition of feature  Release 97   
 C Functional modification of feature  Release 98   
 D Editorial modification  Release 99   
 Release 00

(only one category shall be marked with an X)

**Reason for change:**

- The current text in section 9.2.2.9 (Data RLC PDU) states that for **Transparent** mode RLC “the RLC PDUs belonging to one RLC SDU shall be sent in one transmission time interval”. This may be interpreted in the following deceitful way: “during a TTI only RLC PDUs belonging to one RLC SDU can be sent, but part can be sent in one TTI and part in another TTI”. To avoid this ambiguity it is here proposed to change the sentence into: “**all** the RLC PDUs belonging to one RLC SDU shall be sent in one transmission time interval”

**Clauses affected:** 9.2.2.9

**Other specs affected:**

Other 3G core specifications	<input type="checkbox"/>	→ List of CRs:	
Other GSM core specifications	<input type="checkbox"/>	→ List of CRs:	
MS test specifications	<input type="checkbox"/>	→ List of CRs:	
BSS test specifications	<input type="checkbox"/>	→ List of CRs:	
O&M specifications	<input type="checkbox"/>	→ List of CRs:	

**Other comments:**



<----- double-click here for help and instructions on how to create a CR.

### 9.2.2.9 Data

RLC SDUs in transparent, unacknowledged and acknowledged mode are mapped to this field.

Transparent mode data:

The RLC SDUs might be segmented. If segmented, then the segmentation is performed according to a predefined pattern. The allowed size for RLC SDUs and segments shall be known. ~~The~~ All the RLC PDUs belonging to carrying one RLC SDU shall be sent in one transmission time interval. Only one RLC SDU is segmented in one transmission time interval.

Unacknowledged mode data and Acknowledged mode data:

RLC SDUs might be segmented. If possible, the last segment of a SDU shall be concatenated with the first segment of the next SDU in order to fill the data field completely and avoid unnecessary padding. The length indicator field is used to point the borders between SDUs.

## CHANGE REQUEST

Please see embedded help file at the bottom of this page for instructions on how to fill in this form correctly.

25.322 CR 030r2

Current Version: 3.1.2

GSM (AA.BB) or 3G (AA.BBB) specification number ↑

↑ CR number as allocated by MCC support team

For submission to: TSG-RAN #7  
List expected approval meeting # here ↑

for approval   
for information

strategic  (for SMG use only)  
non-strategic

Form: CR cover sheet, version 2 for 3GPP and SMG    The latest version of this form is available from: <ftp://ftp.3gpp.org/Information/CR-Form-v2.doc>

**Proposed change affects:** (U)SIM  ME  UTRAN / Radio  Core Network   
(at least one should be marked with an X)

**Source:** TSG-RAN WG2 **Date:** 2000-01-23

**Subject:** Modification of SDU discard to support virtual PDCP sequence numbers

**Work item:**

<b>Category:</b>	F Correction <input type="checkbox"/>	<b>Release:</b> Phase 2 <input type="checkbox"/>
<small>(only one category shall be marked with an X)</small>	A Corresponds to a correction in an earlier release <input type="checkbox"/>	Release 96 <input type="checkbox"/>
	B Addition of feature <input type="checkbox"/>	Release 97 <input type="checkbox"/>
	C Functional modification of feature <input checked="" type="checkbox"/>	Release 98 <input type="checkbox"/>
	D Editorial modification <input type="checkbox"/>	Release 99 <input checked="" type="checkbox"/>

**Reason for change:** The current SDU discard function do not support virtual PDCP sequence numbering scheme properly:  
  
There is no indication to higher layers of the discarded SDUs in the receiving RLC => Discard indication added  
  
Additionally the function is not capable to inform the receiving RLC of the amount of actually discarded SDUs over the air interface  
=> MRW SUFI slightly extended

**Clauses affected:** 8.1, 9.2.2.11.7, 9.2.2.11.8, 11.6

<b>Other specs affected:</b>	Other 3G core specifications <input type="checkbox"/>	→ List of CRs:
	Other GSM core specifications <input type="checkbox"/>	→ List of CRs:
	MS test specifications <input type="checkbox"/>	→ List of CRs:
	BSS test specifications <input type="checkbox"/>	→ List of CRs:
	O&M specifications <input type="checkbox"/>	→ List of CRs:

**Other comments:**



<----- double-click here for help and instructions on how to create a CR.

## 8.1 Primitives between RLC and higher layers

The primitives between RLC and upper layers are shown in Table 8.1.

**Table 8.1 : Primitives between RLC and upper layers**

Generic Name	Parameter			
	Req.	Ind.	Resp.	Conf.
<b>RLC-AM-DATA</b>	Data, CNF, MUI	Data, <a href="#">DiscardInfo</a>	Not Defined	MUI
<b>RLC-UM-DATA</b>	Data,	Data	Not Defined	Not Defined
<b>RLC-TR-DATA</b>	Data	Data	Not Defined	Not Defined
<b>CRLC-CONFIG</b>	E/R, Ciphering Elements (UM/AM only), AM_parameters (AM only)	Not Defined	Not Defined	Not Defined
<b>CRLC-SUSPEND (UM/AM only)</b>	N	Not Defined	Not Defined	VT(S)
<b>CRLC-RESUME (UM/AM only)</b>	No Parameter	Not Defined	Not Defined	Not Defined
<b>CRLC-STATUS</b>	Not Defined	EVC	Not Defined	Not Defined

Each Primitive is defined as follows:

### **RLC-AM-DATA-Req/Ind/Conf**

- RLC-AM-DATA-Req is used by higher layers to request transmission of a higher layer PDU in acknowledged mode.
- RLC-AM-DATA-Ind is used by RLC to deliver to higher layers RLC SDUs, that have been transmitted in acknowledged mode [and to indicate higher layers of the discarded RLC SDU in the receiving RLC](#).
- RLC-AM-DATA-Conf is used by RLC to confirm to higher layers the transmission of a RLC SDU.

### **RLC-UM-DATA-Req/Ind**

- RLC-UM-DATA-Req is used by higher layers to request transmission of a higher layer PDU in unacknowledged mode.
- RLC-UM-DATA-Ind is used by RLC to deliver to higher layers RLC SDUs, that have been transmitted in unacknowledged mode.

### **RLC-TR-DATA-Req/Ind**

- RLC-TR-DATA-Req is used by higher layers to request transmission of a higher layer PDU in transparent mode.
- RLC-TR-DATA-Ind is used by RLC to deliver to higher layers RLC SDUs, that have been transmitted in transparent mode.

### **CRLC-CONFIG-Req**

This primitive is used by RRC to establish, release or reconfigure the RLC. Ciphering elements are included for UM and AM operation.

### **CRLC-SUSPEND-Req/Cnf**

This primitive is used by RRC to suspend the RLC. The N parameter indicates that RLC shall not send a PDU with  $SN \geq VT(S) + N$ , where N is an integer. RLC informs RRC of the VT(S) value in the confirm primitive.

### **CRLC-RESUME-Req**

This primitive is used by RRC to resume RLC when RLC has been suspended.

### **CRLC-STATUS-Ind**

It is used by the RLC to send status information to RRC.

Following parameters are used in the primitives:

- 1) The parameter Data is the RLC SDU that is mapped onto the Data field in RLC PDUs. The Data parameter may be divided over several RLC PDUs. In case of a RLC-AM-DATA or a RLC-UM-DATA primitive the length of the Data parameter shall be octet-aligned.
- 2) The parameter Confirmation request (CNF) indicates whether the RLC needs to confirm the correct transmission of the RLC SDU.
- 3) The parameter Message Unit Identifier (MUI) is an identity of the RLC SDU, which is used to indicate which RLC SDU that is confirmed with the RLC-AM-DATA conf. primitive.
- 4) The parameter E/R indicates whether RLC should enter or exit the data transfer ready state.
- 5) The parameter Event Code (EVC) indicates the reason for the CRLC-STATUS-ind (i.e., unrecoverable errors such as data link layer loss or recoverable status events such as reset, etc.).
- 6) The parameter ciphering elements are only applicable for UM and AM operation. These parameters are Ciphering Mode, Ciphering Key, Activation Time (SN to activate a new ciphering configuration) and Ciphering Sequence Number.
- 7) The AM\_parameters is only applicable for AM operation. It contains PU size, Timer values (see section 9.5), Protocol parameter values (see section 9.6), Polling triggers (see section 9.7.1), Status triggers (see section 9.7.2), SDU discard mode (see section 9.7.3),.
- 8) The parameter DiscardInfo indicates the upper layer of each of the discarded RLC SDU. It is applicable only when in-sequence delivery is active and it is purposed to be used when the upper layer requires the reliable data transfer and especially the information of the discarded RLC SDU.



### 9.2.2.11.7 The Move Receiving Window super-field

The 'Move Receiving Window' super-field is used to request the RLC receiver to move its receiving window and to indicate the amount of discarded SDUs, as a result of a SDU discard in the RLC transmitter. The format is given in the figure below.

Type = <b>MRW</b>
<b>LENGTH</b>
SN_MRW <sub>1</sub>
...
SN_MRW <sub>LENGTH</sub>

**Figure 9.14: The MRW fields in a STATUS PDU**

#### **LENGTH**

Length: 4 bits

The number of SN\_MRW<sub>i</sub> fields in the super-field of type MRW. It equals to the amount of discarded SDUs within one SUFI.

#### **SN\_MRW<sub>i</sub>**

Length: 12 bits

SN\_MRW<sub>i</sub> fields enumerate each of the discarded SDUs by indicating the sequence number of the next PU not anymore belonging to the i:th discarded SDU.

Additionally SN\_MRW<sub>LENGTH</sub> requests the RLC receiver to discard all PUs with sequence number < SN\_MRW<sub>LENGTH</sub>, and to move the receiving window accordingly. It also indicates the first data byte in the PU with sequence number SN\_MRW<sub>LENGTH</sub>-corresponds to the first byte of the SDU to be reassembled next.

### 9.2.2.11.8 The Move Receiving Window and Ignore First LI (MRW\_N\_IFL) super-field

The 'Move Receiving Window and ignore first N LIs' super-field is used to request the RLC receiver to move its receiving window and to indicate the amount of discarded SDUs, as a result of a SDU discard in the RLC transmitter. It also indicates to the receiver the presence and the amount of the trailing bytes of the discarded SDU in the PU with sequence number SN\_MRW<sub>LENGTH</sub>. The format is given in the figure below.

Type = <b>MRW_N_IFL</b>
<b>N</b>
<b>LENGTH</b>
SN_MRW <sub>1</sub>
...
SN_MRW <sub>LENGTH</sub>

**Figure 9.15: The MRW\_N\_IFL fields in a STATUS PDU**

#### **N**

Length: 4 bits

The number of LI fields in the PU that shall be ignored in the SN\_MRW<sub>LENGTH</sub>. It equals to the amount of SDUs in the PU that are discarded from the PU identified by SN\_MRW<sub>LENGTH</sub>.

#### **LENGTH**

Length: 4 bits

The number of SN\_MRW<sub>i</sub> fields in the super-field of type MRW. It equals to the amount of discarded SDUs within one MRW SUFI.

#### **SN\_MRW**

Length: 12 bits

SN\_MRW<sub>i</sub> fields enumerate each of the discarded SDUs by indicating the sequence number of the next PU not anymore belonging to the i:th discarded SDU.

Additionally SN\_MRW<sub>LENGTH</sub> requests the RLC receiver to discard all PUs with sequence number < SN\_MRW<sub>LENGTH</sub>, and to move the receiving window accordingly. In addition, the receiver has to discard the first N LIs and the corresponding data bytes in the PU with sequence number SN\_MRW<sub>LENGTH</sub>.

## 11.6 SDU discard with explicit signalling procedure

### 11.6.1 Purpose

An SDU can be discarded with explicit signalling when MaxDAT number of retransmissions is reached or the transmission time exceeds a predefined value (Timer\_Discard) for a SDU in acknowledged mode RLC. Move Receiving Window (MRW) command is sent to the receiver so that AMD PDUs carrying that SDU are discarded in the receiver and the receiver window is updated accordingly. Note that when the concatenation function is active, PDUs carrying segments of other SDUs that have not timed out shall not be discarded.

The MRW command is defined as a super-field in the RLC STATUS PDU, and piggybacked to status information of transmissions in the opposite direction.

Figure 11.6 below illustrates the elementary procedure for SDU discard with explicit signalling. The sender is the sender of AMD PDUs and it is either the UE or the network and the receiver is the receiver of AMD PDUs and it is either the network or the UE.

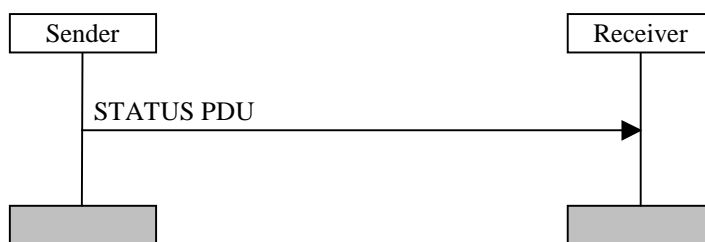


Figure 11.6: SDU discard with explicit signalling

### 11.6.2 Initiation

This procedure is initiated by the sender when the following conditions are fulfilled:

- 1) SDU discard with explicit signalling is used.
- 2) MaxDAT number of retransmissions is reached or Timer\_Discard expires for a SDU in acknowledged mode RLC.

The sender shall discard all PUs that contain a segment of the associated SDU. If the concatenation function is active, PDUs carrying segments of other SDUs that have not timed out shall not be discarded.

The sender shall transmit a STATUS PDU on the DCCH logical channel if the sender is located in the control plane and on the DTCH if it is located in the user plane.

If the PU with sequence number SN\_MRW<sup>LENGTH</sup> contains LI indicating trailing data from the discarded SDU, the transmitter shall send SUFI MRW\_N\_IFL indicating to the receiver to discard the first N LI<sub>s</sub> and the corresponding data bytes. Otherwise the transmitter shall send SUFI MRW.

This STATUS PDU is sent even if the 'STATUS PDU prohibit' is used and the timer 'Timer\_Status\_Prohibit' is active.

The STATUS PDU has higher priority than data PDUs.

The sender shall start timer Timer\_MRW. If a new SDU discard procedure is triggered when Timer\_MRW is running, no new MRW SUFIs should be sent until before the STATUS PDU is received indicating the appropriate value of VR(R). If a new MRW procedure is initiated whilst Timer\_MRW is running then Timer\_MRW shall be restarted and VT(MRW) should be reset. In this case there will certainly be several discarded SDUs at the same time to be informed to the receiver and the LENGTH field in SUFI MRW or SUFI MRW\_N\_IFL is greater than one.

#### 11.6.2.1 Piggybacked STATUS PDU

It is possible to piggyback a STATUS PDU on an AMD PDU. If a PDU includes padding a piggybacked STATUS PDU can be inserted instead of the padding.

### 11.6.2.2 STATUS PDU contents to set

The size of the STATUS PDU shall be equal to one of the allowed PDU sizes. The information that needs to be transmitted can be split into several STATUS PDUs if one STATUS PDU does not accommodate all the information.

STATUS PDU shall include the MRW/MRW\_N\_IFL SUFI, other SUFI fields can be used additionally. MRW/MRW\_N\_IFL SUFI shall convey information about the discarded SDU(s) to the receiver.

Padding shall be inserted if the SUFI fields do not fill the entire STATUS PDU. If the PDU contains padding the last SUFI field shall be a No More Data super-field.

### 11.6.3 Reception of the STATUS PDU by the receiver

The receiver shall upon reception of the STATUS PDU/piggybacked STATUS PDU discard PUs and update the state variables VR(R), VR(H) and VR(MR) according to the received STATUS PDU/piggybacked STATUS PDU.

Additionally the receiver shall indicate the higher layers of all of the discarded SDUs or all of them in the case of MRW SUFI with LENGTH value greater than one.

The receiver shall initiate the transmission of a STATUS PDU indicating the revised value of VR(R).

In case of receiving SUFI\_MRW-, the receiver shall start reassembling the next SDU from the first data byte of the PU with sequence number SN\_MRW<sub>LENGTH</sub>.

If the receiver receives SUFI\_MRW\_N\_IFL-, it shall discard the first N LIs and the corresponding data bytes and start reassembling the next SDU from the data byte indicated by the N+1:th LI field of the PU with sequence number SN\_MRW<sub>LENGTH</sub>.

### 11.6.4 Reception of STATUS PDU if $VR(R) \geq SN\_MRW_{LENGTH}$

The procedure is terminated in the sender when a STATUS PDU is received indicating a value of VR(R)  $\geq$  SN\_MRW<sub>LENGTH</sub>. If this occurs Timer\_MRW is stopped thereby terminating the procedure.

If new SDUs are discarded during the running of the Timer\_MRW, a new discard procedure shall be initiated no earlier than after the reception of STATUS PDU with VR(R)  $\geq$  SN\_MRW<sub>LENGTH</sub>.

### 11.6.5 Expiration of timer Timer\_MRW

If Timer\_MRW expires before a STATUS PDU is received indicating a value of VR(R) greater or equal to the MRW parameter then the STATUS(MRW) shall be retransmitted, VT(MRW) is incremented by one and Timer\_MRW restarted. MRW SUFI shall be exactly the same as previously transmitted even though some new SDUs would have been discarded during the running of the Timer\_MRW.

### 11.6.6 Abnormal cases

#### 11.6.6.1 Obsolete/corrupted MRW command

If the MRW command contains outdated information about the receiver window (receiver window already moved further than MRW command is indicating), the MRW command shall be discarded and a STATUS PDU containing SUFI ACK shall be transmitted.

#### 11.6.6.2 VT(MRW) equals MaxMRW

If the number of retransmission of a MRW command (i.e. VT(MRW)) reaches MaxMRW, an error indication shall be passed to RRC and RESET procedure should be performed.

## 3G CHANGE REQUEST

Please see embedded help file at the bottom of this page for instructions on how to fill in this form correctly.

**25.322 CR 031**

Current Version: **3.1.2**

3G specification number ↑

↑ CR number as allocated by 3G support team

For submission to **TSG-RAN #7**  
*list TSG meeting no. here ↑*

for approval  (only one box should  
for information  be marked with an X)

Form: 3G CR cover sheet, version 1.0 The latest version of this form is available from: ftp://ftp.3gpp.org/Information/3GCRF-xx.rtf

**Proposed change affects:**  
*(at least one should be marked with an X)*

USIM

ME

UTRAN

Core Network

**Source:** TSG-RAN WG2

**Date:** 22/02/2000

**Subject:** Removal of SCCH

**3G Work item:**

**Category:**

F Correction

A Corresponds to a correction in a 2G specification

B Addition of feature

C Functional modification of feature

D Editorial modification

*(only one category shall be marked with an X)*

**Reason for change:**

The performance of synchronisation case 3 is poor. Therefore it is removed from WG1 specifications and SCH and SCCH became obsolete.

**Clauses affected:** 3, 4.2.1.1, 6.1

**Other specs** Other 3G core specifications

→ List of CRs: CR034 on 25.301, CR045 on 25.302, CR040 on 25.321, CR268 on 25.331

**affected:** Other 2G core specifications

→ List of CRs:

MS test specifications

→ List of CRs:

BSS test specifications

→ List of CRs:

O&M specifications

→ List of CRs:

**Other comments:**



help.doc

<----- double-click here for help and instructions on how to create a CR.

### 3 Abbreviations

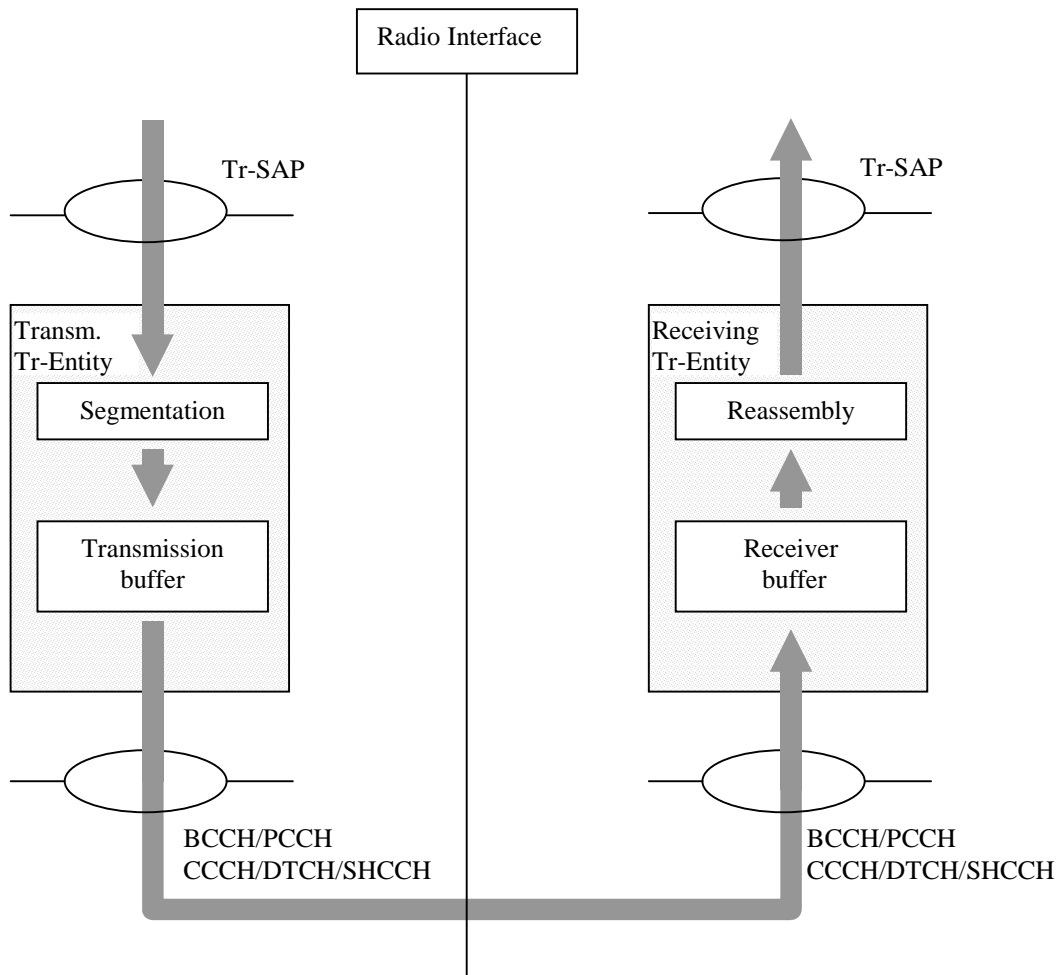
For the purposes of the present document, the following abbreviations apply:

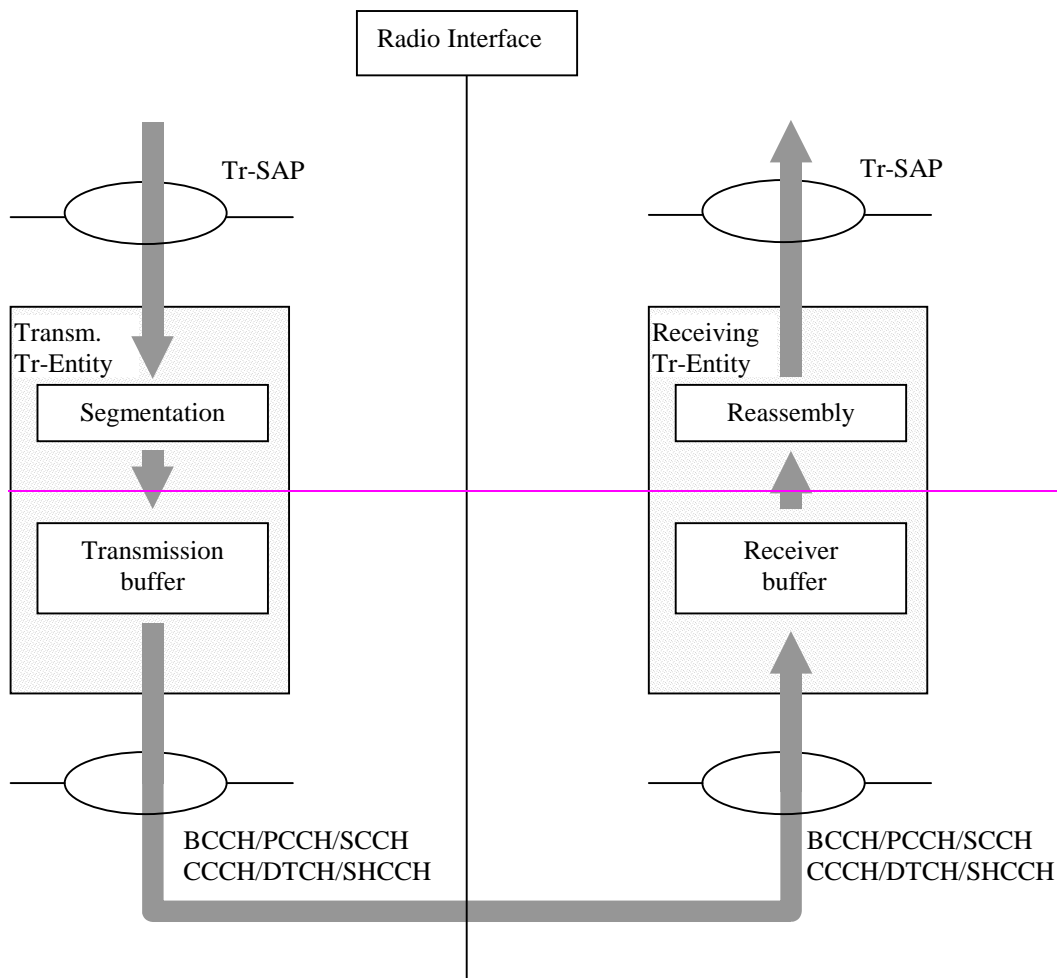
ARQ	Automatic Repeat Request
BCCH	Broadcast Control Channel
BCH	Broadcast Channel
C-	Control-
CC	Call Control
CCCH	Common Control Channel
CCH	Control Channel
CCTrCH	Coded Composite Transport Channel
CN	Core Network
CRC	Cyclic Redundancy Check
DC	Dedicated Control (SAP)
DCCH	Dedicated Control Channel
DCH	Dedicated Channel
DL	Downlink
DSCH	Downlink Shared Channel
DTCH	Dedicated Traffic Channel
FACH	Forward Link Access Channel
FCS	Frame Check Sequence
FDD	Frequency Division Duplex
GC	General Control (SAP)
HO	Handover
ITU	International Telecommunication Union
kbps	kilo-bits per second
L1	Layer 1 (physical layer)
L2	Layer 2 (data link layer)
L3	Layer 3 (network layer)
MAC	Medium Access Control
MS	Mobile Station
MM	Mobility Management
Nt	Notification (SAP)
PCCH	Paging Control Channel
PCH	Paging Channel
PDU	Protocol Data Unit
PU	Payload Unit.
PHY	Physical layer
PhyCH	Physical Channels
RACH	Random Access Channel
RLC	Radio Link Control
RNTI	Radio Network Temporary Identity
RRC	Radio Resource Control
SAP	Service Access Point
<del>SCCH</del>	<del>Synchronisation Control Channel</del>
<del>SCH</del>	<del>Synchronisation Channel</del>
SDU	Service Data Unit
SHCCH	Shared Channel Control Channel
TCH	Traffic Channel
TDD	Time Division Duplex
TFI	Transport Format Indicator
TFCI	Transport Format Combination Indicator
TPC	Transmit Power Control
U-	User-
UE	User Equipment
UL	Uplink
UMTS	Universal Mobile Telecommunications System

URA            UTRAN Registration Area  
UTRA           UMTS Terrestrial Radio Access  
UTRAN          UMTS Terrestrial Radio Access Network

### 4.2.1.1      Transparent mode entities

Figure 4.2 below shows the model of two transparent mode peer entities.





**Figure 4.2: Model of two transparent mode peer entities**

The transmitting Tr-entity receives SDUs from the higher layers through the Tr-SAP. RLC might segment the SDUs into appropriate RLC PDUs without adding any overhead. How to perform the segmentation is decided upon when the service is established. RLC delivers the RLC PDUs to MAC through either a BCCH, PCCH, SHCCH, ~~SCCH~~ or a DTCH. The CCCH also uses transparent mode, but only for the uplink. Which type of logical channel depends on if the higher layer is located in the control plane (BCCH, PCCH, CCCH, SHCCH, ~~SCCH (downlink-only)~~) or user plane (DTCH).

The Tr-entity receives PDUs through one of the logical channels from the MAC sublayer. RLC reassembles (if segmentation has been performed) the PDUs into RLC SDUs. How to perform the reassembling is decided upon when the service is established. RLC delivers the RLC SDUs to the higher layer through the Tr-SAP.

## 6.1 Mapping of services/functions onto logical channels

The following tables show the applicability of services and functions to the logical channels in UL/DL and UE/UTRAN. A '+' in a column denotes that the service/function is applicable for the logical channel in question whereas a '-' denotes that the service/function is not applicable.



**Table 6.1: RLC modes and functions in UE uplink side**

Service	Functions	CCCH	SHCCH	DCCH	DTCH
<b>Transparent Service</b>	Applicability	+	+	-	+
	Segmentation	-	-	-	+
	Transfer of user data	+	+	-	+
<b>Unacknowledged Service</b>	Applicability	-	-	+	+
	Segmentation	-	-	+	+
	Concatenation	-	-	+	+
	Padding	-	-	+	+
	Transfer of user data	-	-	+	+
	Ciphering	-	-	+	+
<b>Acknowledged Service</b>	Applicability	-	-	+	+
	Segmentation	-	-	+	+
	Concatenation	-	-	+	+
	Padding	-	-	+	+
	Transfer of user data	-	-	+	+
	Flow Control	-	-	+	+
	Error Correction	-	-	+	+
	Protocol error correction & recovery	-	-	+	+
	Ciphering	-	-	+	+

**Table 6.2: RLC modes and functions in UE downlink side**

Service	Functions	SGCH	BCCH	PCCH	SHCCH	CCCH	DCCH	DTCH	CTCH
<b>Transparent Service</b>	Applicability	+	+	+	+	-	-	+	-
	Reassembly	+	+	+	-	-	-	+	-
<b>Unacknowledged Service</b>	Applicability	-	-	-	+	+	+	+	+
	Reassembly	-	-	-	+	+	+	+	+
	Deciphering	-	-	-	-	-	+	+	-
	Sequence number check	-	-	-	+	+	+	+	+
<b>Acknowledged Service</b>	Applicability	-	-	-	-	-	+	+	-
	Reassembly	-	-	-	-	-	+	+	-
	Error correction	-	-	-	-	-	+	+	-
	Flow Control	-	-	-	-	-	+	+	-
	In sequence delivery	-	-	-	-	-	+	+	-
	Duplicate detection	-	-	-	-	-	+	+	-
	Protocol error correction & recovery	-	-	-	-	-	+	+	-
	Deciphering	-	-	-	-	-	+	+	-

**Table 6.3: RLC modes and functions in UTRAN downlink side**

Service	Functions	SGCH	BCCH	PCCH	CCCH	SHCCH	DCCH	DTCH	CTCH
<b>Transparent Service</b>	Applicability	+	+	+	-	+	-	+	-
	Segmentation	+	+	+	-	-	-	+	-
	Transfer of user data	+	+	+	-	+	-	+	-
<b>Unacknowledged Service</b>	Applicability	-	-	-	+	+	+	+	+
	Segmentation	-	-	-	+	+	+	+	+
	Concatenation	-	-	-	+	+	+	+	+
	Padding	-	-	-	+	+	+	+	+
	Ciphering	-	-	-	-	-	+	+	-
<b>Acknowledged Service</b>	Applicability	-	-	-	-	-	+	+	-
	Segmentation	-	-	-	-	-	+	+	-
	Concatenation	-	-	-	-	-	+	+	-
	Padding	-	-	-	-	-	+	+	-
	Transfer of user data	-	-	-	-	-	+	+	-
	Flow Control	-	-	-	-	-	+	+	-
	Error Correction	-	-	-	-	-	+	+	-
	Protocol error correction & recovery	-	-	-	-	-	+	+	-
Ciphering	-	-	-	-	-	+	+	-	

**Table 6.4: RLC modes and functions in UTRAN uplink side**

<b>Service</b>	<b>Functions</b>	<b>CCCH</b>	<b>SHCCH</b>	<b>DCCH</b>	<b>DTCH</b>
<b>Transparent Service</b>	Applicability	+	+	-	+
	Reassembly	-	-	-	+
<b>Unacknowledged Service</b>	Applicability	-	-	+	+
	Reassembly	-	-	+	+
	Deciphering	-	-	+	+
	Sequence number check	-	-	+	+
<b>Acknowledged Service</b>	Applicability	-	-	+	+
	Reassembly	-	-	+	+
	Error correction	-	-	+	+
	Flow Control	-	-	+	+
	In sequence delivery	-	-	+	+
	Duplicate detection	-	-	+	+
	Protocol error correction & recovery	-	-	+	+
	Deciphering	-	-	+	+

**3GPP TSG RAN WG2 meeting #11**  
**Turin, Italy, 28 February – 3 March, 2000**

**Document R2-000440**

e.g. for 3GPP use the format TP-99xxx  
 or for SMG, use the format P-99-xxx

**CHANGE REQUEST**

Please see embedded help file at the bottom of this page for instructions on how to fill in this form correctly.

**25.322 CR 032**

Current Version: **3.1.2**

GSM (AA.BB) or 3G (AA.BBB) specification number ↑

↑ CR number as allocated by MCC support team

For submission to: **TSG-RAN #7**  
list expected approval meeting # here ↑

for approval   
 for information

strategic   
 non-strategic  (for SMG use only)

Form: CR cover sheet, version 2 for 3GPP and SMG The latest version of this form is available from: <http://ftp.3gpp.org/Information/CR-Form-v2.doc>

**Proposed change affects:** (U)SIM  ME  UTRAN / Radio  Core Network   
(at least one should be marked with an X)

**Source:** TSG-RAN WG2 **Date:** 2000-2-28

**Subject:** Updated RLC SDL

**Work item:**

**Category:** F Correction  **Release:** Phase 2   
(only one category shall be marked with an X) A Corresponds to a correction in an earlier release  Release 96   
 B Addition of feature  Release 97   
 C Functional modification of feature  Release 98   
 D Editorial modification  Release 99   
 Release 00

**Reason for change:** The RLC SDL is updated in accordance with the text part in the latest RLC specification and corrected some minor mistakes. The main change is that local suspend state is added.

**Clauses affected:** Annex A

**Other specs affected:** Other 3G core specifications  → List of CRs:  
 Other GSM core specifications  → List of CRs:  
 MS test specifications  → List of CRs:  
 BSS test specifications  → List of CRs:  
 O&M specifications  → List of CRs:

**Other comments:**



help.doc

<----- double-click here for help and instructions on how to create a CR.

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## Annex A (informative): SDL diagrams

This annex contains the SDL diagrams. For Release'99, it is meant for informative purposes only.

NOTE: All the SDL diagrams presented are [FFS]

Virtual Process Type Acknowledged\_link

1\_Signals(73)

```
; SIGNALSET  
Crlc_amconfig_req,  
Crlc_Status_ind,  
Rlc_AmData_req,  
Rlc_AmData_ind,  
Rlc_AmData_conf,  
Reset_am,  
Reset_am_ack,  
AmdPduQueuedUp,  
StatusPdu,  
AmdPdu;
```

Am

[(Am\_to\_AcknowledgedLink)] [(AcknowledgedLink\_to\_Am)]

DtchDcch

[(DtchDcch\_to\_AcknowledgedLink)] [(AcknowledgedLink\_to\_DtchDcch)]

Cont

[(Cont\_to\_AcknowledgedLink)] [(AcknowledgedLink\_to\_Cont)]



## Virtual Process Type Acknowledged\_link

## 1\_Declarations(73

; SIGNALSET

```

DCL

/*SDU, PDU, and PU declarations:_____*/

sdu                               OctetType,
/*The sdu data from the upper layer protocol.*/

amd_pdu, pdu                      AmPdu,
/*A representation of data contained within an AmPdu.*/

amd_pu                            AmPuStructType,
/*A representation of a local am_pu*/

status_pdu, tx_status_pdu        StatPdu,
/*A representation of data contained within an StatPdu.*/

/*SDU, PDU, and PU array declarations:_____*/

sdus                              OctetArrayType,
/*An array containing SDUs.*/

pdus                              AmPduArrayType,
/*An array containing AMD PDUs created by segmenting a SDU.*/

pus                               AmPuArrayType,
/*An array containing PUs.*/

rem_pus                          AmPuArrayType,
/*An array containing PDUs to be removed from queues.*/

status_pdus                      StatusPduArrayType,
/*An array containing several STATUS PDUs.*/

/*Queue declarations:_____*/

receiver_queue                   Queue,
/*A queue used for storing PDUs as they arrive.*/

retransmission_queue            Queue,
/*A queue used for PDUs that are to be retransmitted.*/

assembly_queue                  Queue,
/*A queue used for reassembly of received PDUs into an SDU.*/

transmitted_queue               Queue,
/*A queue used for PDUs that have been transmitted.*/

amd_queue                       Queue,
/*A queue used for PDUs to be transmitted.*/

mui_queue                       Queue;
/*A queue used to store mui numbers for which confirmation
has been requested.*/

```

## Virtual Process Type Acknowledged\_link

## 2\_Declarations(73

; SIGNALSET

```

DCL
/*Indicator declarations:
*/

epc_active                IndicatorType,
/*An indicator used to store whether the Timer_EPC is active or not.*/

poll_periodic_active      IndicatorType,
/*An indicator used to store whether the Timer_Poll_Periodic is active or not.*/

poll_prohibit_active      IndicatorType,
/*An indicator used to store whether the Timer_Poll_Prohibit is active or not.*/

rst_active                IndicatorType,
/*An indicator used to store whether the Timer_RST is active or not.*/

status_periodic_active    IndicatorType,
/*An indicator used to store whether the Timer_Status_Periodic is active or not.*/

status_prohibit_active    IndicatorType,
/*An indicator used to store whether the Timer_Status_Prohibit is active or not.*/

empty                    IndicatorType,
/*An Indicator used to determine whether a queue is empty or not.*/

exists                    IndicatorType,
/*An indicator used to determine whether a particular pdu exists
   within a queue or not.*/

complete                 IndicatorType,
/*An indicator used to determine whether an SDU has been
   completely reassembled.*/

cnf                      IndicatorType,
/*An indicator used to determine whether an SDU requires
   confirmation.*/

possible                 IndicatorType,
/*An indicator used to indicate whether status piggyback is
   possible or not.*/

create_status            IndicatorType,
/*An indicator used to store whether a status report should be created or not.*/

poll_triggered           IndicatorType,
/*This variable is used to record if a poll is to be transmitted or not.*/

status_triggered         IndicatorType,
/*This variable is used to indicate whether a status report should be transmitted
   or not.*/

suspend                 IndicatorType,
/*This variable is used to indicate whether a local_suspend is in progress or not.*/

piggyback                IndicatorType;
/*This variable indicates whether a piggybacked status report is included
   in the PDU or not.*/

```

## Virtual Process Type Acknowledged\_link

## 3\_Declarations(73

```
; SIGNALSET
```

```
DCL
```

```
/*Indicator declarations:*/
```

```
MRW_active IndicatorType,  
/*An indicator used to store whether the Timer_MRW is active or not.*/
```

```
poll_active IndicatorType,  
/*An indicator used to keep track of whether the Poll_Timer is active or not.*/
```

```
contains, mrw_ans IndicatorType,  
/*These indicators are used when checking the contents of a received  
status Pdu.*/
```

```
discard_fli IndicatorType,  
/*This indicator is used to keep track of whether the first length indicator of a given  
PU should be discarded or not when the receiving window is moved.*/
```

```
retrans IndicatorType,  
/*This indicator keeps track of whether retransmissions should occur or not.*/
```

```
missing_pu_detected IndicatorType;  
/*This indicator is used to store whether the receive side has detected missing  
PUs.*/
```



## Virtual Process Type Acknowledged\_link

## 4\_Declarations(73

; SIGNALSET

```

DCL
/*Parameter declarations:_____*/

e_r                               ERParameterType,
/*The parameter indicating the desired end state.*/

poll_triggers                     PollTriggArrayType,
/*a configuration parameter dealing with when to issue poll requests.*/

protocol_parameters               ProtocolParametersStructType,
/*A struct variable containing the protocol parameters set.*/

status_triggers                  StatusTriggArrayType,
/*A configuraion parameter dealing with when to issue Status reports.*/

timer_durations                  TimerDurationsStructType,
/*A struct containing the various timer durations.*/

discard                           DiscardArrayType,
/*A configuration parameter identifying discard conditions.*/

cipherring_mode                  CipherringModeType,
/*The cipherring mode.*/

cipherring_key                   CipherringKeyType,
/*The cipherring key.*/

cipherring_sequence_number       CipherringSequenceNumberType,
/*The cipherring sequence number.*/

pdu_size                          OctetType,
/*The size in octets of an AMD PDU. It is indicated by MAC layer*/

pu_size                           OctetType,
/*The size in octets of a PU.*/

/*Sequence number variables:_____*/

n, sn_ack, sq                     SequenceNumberType,
/*A local sequence number.*/

poll_window                       SequenceNumberType,
/*The size of the poll_window.*/

receive_window                   SequenceNumberType,
/*The receive window size.*/

transmit_window                  SequenceNumberType,
/*The transmit window size.*/

polled_sn                         SequenceNumberType,
/*This variable stores a sequence number associated with the PDU that contained
a poll request.*/

n_susp, sn_suspend                SequenceNumberType,
/*These variables contains sequence numbers used after a local suspend has
been initiated.*/

sn_mrwn                           SequenceNumberType;
/*This variable stores the sequence number associated with a MRW request.*/

```

## Virtual Process Type Acknowledged\_link

## 5\_Declarations(73

; SIGNALSET

```

DCL
/*Local variables declarations:_____*/

logical_channel                LogicalChannelType,
/*The logical channel associated with transmissions.*/

i, j                            INTEGER,
/*A local counter.*/

mui                            MuiType,
/*The message uit identifier associated with a message to be transmitted.*/

muis                           MuiArrayType,
/*An array used to store message unit identifiers.*/

tot_mui, k, tot_rem,           PduIndexType,
n_sq                            PduIndexType,
/*Counters used to manage the amount of PUs and SDUs received.*/

tot_list                       PduIndexType,
/*A local variable for maintaining knowledge of the total number of
(SNi, Li)-pairs in a list super field.*/

tot_bitmap, tot_rlist         PduIndexType,
/*A local variable for maintaining knowledge of the total length of a bitmap or codewords.*/

n_sdu                          PduIndexType,
/*A local variable for maintaining knowledge of the number of SDUs reassembled PUs.*/

n_pdu                          PduIndexType,
/*A local variable for maintaining knowledge of the number of AMD PDUs created from a SDU.*/

n_pu                           PduIndexType,
/*A local variable for maintaining knowledge of the number of PUs included in a AMD PDU.*/

n_status                       PduIndexType,
/*A local variable for maintaining knowledge of the number of STATUS PDUs
which have been created.*/

n_pu_per_tti                   PduIndexType,
/*A local variable for maintaining knowledge of the number of PUs received within a TTI.*/

end_state                      EndStateType,
/*A variable used to ensure correct timer reset.*/

poll_win                       REAL,
/*A local variable used to store the current transmit window usage.*/

bitmap                         IndicatorArrayType,
/*This array of boolean values indicates losses experienced by the
receiver.*/

codewords                      IndicatorArrayType;
/*This array is used to store the codewords in the rlsit super field.*/

```

## Virtual Process Type Acknowledged\_link

## 6\_Declarations(73

; SIGNALSET

```

DCL
/*State variable declarations:_____*/

vt_s                SequenceNumberType,
/*Send state variable: The sequence number of the next pu to be transmitted for the first time (i.e
excluding retransmissions). It is updated after transmission of a PDU which includes not earlier
transmitted PUs. The initial value of this variable is 0.*/

vt_a                SequenceNumberType,
/*Acknowledge state variable: The sequence number of the next in-sequence PU expected to
be acknowledged, thus forming the lower edge of the window of acceptable acknowledgements.
The variable vt_a is updated based on receipt of a STATUS PDU including an ACK super-field.
The initial value of this variable is 0.*/

vt_ms               SequenceNumberType,
/*Maximum send state variable: The sequence number of the first PU not allowed by the peer
receiver (i.e. the receiver will allow up to vt_ms-1) vt_ms=vt_a+ window size. This value
represents the upper edge of the transmit window. The transmitter shall not transmit a
new PU if vt_s >= vt_ms. The variable vt_ms is updated based on receipt of a STATUS PDU
including an ACK and/or WINDOW super-field.*/

vt_pu               SequenceNumberType,
/*This state variable is used when the poll every Poll_PU PU function is used. It is incremented with
1 for each PU that is transmitted. It should be incremented for both new and retransmitted PUs.
When it reaches Poll_PU a new poll is transmitted and the state variable is set to zero. The initial
value of this variable is 0.*/

vt_sdu              SequenceNumberType,
/*This state variable is used when the poll every Poll_SDU SDU function is used. It is incremented
with 1 for each SDU that is transmitted. When it reaches Poll_SDU a new poll is transmitted and
the state variable is set to zero. The poll bit should be set in the PU that contains the last segment
of the SDU. The initial value of this variable is 0.*/

vt_rst              SequenceNumberType,
/*Reset state variable: This variable is used to count the number of times a RESET PDU is transmit-
ted. It is incremented with 1 each time a RESET PDU is transmitted. It is reset upon reception of
a RESET ACK PDU. The initial value of this variable is 0.*/

vr_r                SequenceNumberType,
/*Receive state variable: The sequence number of the next in sequence PU expected to be received.
It is updated upon receipt of the next in-sequence pdu. The initial value of this variable is 0.*/

vr_h                SequenceNumberType,
/*Highest expected state variable: The sequence number of the next highest expected pdu. The vari-
able is updated whenever a new pdu is received with SN>=vr_h. The initial value of this variable is 0.*/

vr_mr               SequenceNumberType,
/*Maximum acceptable receive state variable: The sequence number of the first pdu not allowed
by the receiver (i.e. the receiver will allow up to vr_mr-1), vr_mr=vr_r+window size. The receiver
shall discard PUs with SN>=vr_mr, (in one case, such a PU may cause the transmission of an
unsolicited STATUS PDU).*/

vr_ep               SequenceNumberType;
/*Estimated PDU counter state variable: The number of PUs that should be received yet as
a consequence of the transmission of the latest STATUS PDU. In acknowledged mode,
this state variable is updated at the end of each transmission time interval. It is decremented
by the number of PUs that should have been received during the transmission time interval. If
VR(EP) is equal to zero, then check if all PUs requested for retransmission in the latest STATUS
PDU have been received.*/

```

## Virtual Process Type Acknowledged\_link

7\_Declarations(73

```
;
SIGNALSET
Crc_amconfia_red
```

```
DCL
/*State variable declarations:
vt_dat                               SequenceNumberType,
/*This state variable counts the number of times a PU has been transmitted. There is one
VT(DAT) for each PU and it is incremented each time the PU is transmitted. The initial
value of this variable is 0.*/
vt_mrw                               SequenceNumberType;
/*It is used to count the number of times a MRW command is transmitted. VT(MRW) is
incremented with 1 each time a MRW command is transmitted. VT(MRW) is reset upon
the reception of a STATUS PDU which suggests the acknowledgement of a MRW
command in the receiver or the occurrence of discarding new SDU. The initial value
of this variable is 0.*/
```

## Virtual Process Type Acknowledged\_link

## 8\_Declarations(73

; SIGNALSET

## TIMER

Timer\_Poll,

/\*This timer is only used when the poll timer trigger is used. It is started when the transmitting side sends a poll to the peer entity. The timer is stopped when receiving a STATUS PDU that contains an acknowledgement or negative acknowledgement of the AMD PDU that triggered the timer. The value of the timer is signalled by RRC. If the timer expires and no STATUS PDU containing an acknowledgement or negative acknowledgement of the AMD PDU that triggered the timer has been received, the receiver is polled once more (either by the transmission of a PDU which was not yet sent, or by a retransmission) and the timer is restarted. If there is no PU to be transmitted and all PUs have already been acknowledged, the receiver shall not be polled. If a new poll is sent when the timer is running it is restarted.\*/

Timer\_Poll\_Prohibit,

/\*This timer is only used when the poll prohibit function is used. It is used to prohibit transmission of polls within a certain period. A poll shall be delayed until the timer expires if a poll is triggered when the timer is active. Only one poll shall be transmitted when the timer expires even if several polls were triggered when the timer was active. If there is no PU to be transmitted and all PUs have already been acknowledged, a poll shall not be transmitted. This timer will not be stopped by a STATUS PDU. The value of the timer is signalled by RRC.\*/

Timer\_EPC,

/\*This timer is only used when the EPC function is used and it accounts for the roundtrip delay, i.e. the time when the first retransmitted PU should be received after a STATUS has been sent. The timer is started when a STATUS report is transmitted and when it expires EPC can start decrease. The value of the timer is signalled by RRC.\*/

Timer\_EPC\_check,

/\*This timer is used to count down the state variable vr\_ep at a certain interval.\*/

Timer\_Discard(MuiType),

/\*This timer is used for the SDU discard function. In the transmitter, the timer is activated upon reception of a SDU from higher layer. If the SDU has not been acknowledged when the timer expires, the SDU is discarded. Following which, if the SDU discard function uses explicit signalling, a Move Receiving Window request is sent to the receiver. The value of the timer is signalled by RRC.\*/

Timer\_Poll\_Periodic;

/\*This timer is only used when the timer based polling is used. The timer is started when the RLC entity is created. Each time the timer expires a poll is transmitted and the timer is restarted. If there is no PU to be transmitted and all PUs have already been acknowledged, a poll shall not be transmitted and the timer shall only be restarted. The value of the timer is signalled by RRC.\*/

## Virtual Process Type Acknowledged\_link

9\_Declarations(73

; SIGNALSET

## TIMER

Timer\_Status\_Prohibit,

/\*This timer is only used when the STATUS PDU prohibit function is used. It prohibits the receiving side from sending STATUS PDUs. The timer is started when a STATUS PDU is transmitted and no new STATUS PDU can be transmitted before the timer has expired. The value of the timer is signalled by RRC.\*/

Timer\_Status\_Periodic,

/\*This timer is only used when timer based STATUS PDU sending is used. The timer is started when the RLC entity is created. Each time the timer expires a STATUS PDU is transmitted and the timer is restarted. The value of the timer is signalled by RRC.\*/

Timer\_MRW,

/\*This timer is used as part of the Move Receiving Window protocol. It is used to trigger the retransmission of a STATUS PDU containing an MRW SUFI field. The timer is started when the STATUS PDU is first transmitted. Each time the timer expires the STATUS PDU is retransmitted and the timer is restarted. It shall be stopped when a STATUS PDU is received that indicates that VR(R) <sup>3</sup> SN\_MRW. It shall also be stopped if a new MRW procedure is triggered whilst it is running.\*/

Timer\_RST;

/\*It is used to detect the loss of RESET ACK PDU from the peer RLC entity. This timer is set when the RESET PDU is transmitted. And it will be stopped upon reception of RESET ACK PDU. If it expires, RESET PDU will be retransmitted.\*/

Virtual Process Type Acknowledged\_link

1\_LocalProcedures(73

SIGNALSET

Sdu\_am\_segmentation

This procedure manages segmentation and concatenation of sdus. If the poll\_trigger EVERY\_POLL\_SDU is used, poll bit is set in accordance with the value POLL\_SDU. In case a SDU is smaller than a PU and waiting next SDU, n\_pdu=0 is returned.

FPAR

IN/OUT	sdu	OctetType,
IN	cfm	IndicatorType,
IN/OUT	np	SequenceNumberType,
IN/OUT	pdu_s	AmPduArrayType,
IN/OUT	qu	Queue,
IN	poll_trigg	PollTriggArrType,
IN	prtcl_parameter	ProtocolParameterStructType,
IN/OUT	vt_sdu	SequenceNumberType,
IN	cip_m	CipheringModeType,
IN	cip_k	CipheringKeyType,
IN	cip_s	CipheringSequenceNumberType,
IN/OUT	mui	MuiType,
IN	pdu_s	OctetType,
IN	pu_s	OctetType;

Set\_sequence\_number

This procedure sets the sequence numbers within an AmPdu.

FPAR

IN/OUT	pdu	AmPdu,
IN	vt_s	SequenceNumberType;

Read\_pdu

This procedure retrieves a copy of the first entry in the queue indicated as parameter to the procedure.

FPAR

IN/OUT	qu	Queue,
IN/OUT	am_pdu	AmPdu;

Virtual Process Type Acknowledged\_link

2\_LocalProcedures(73

; SIGNALSET

Place\_several\_in\_queue

This procedure places several pus in the indicated queue.

FPAR

IN/OUT qu Queue,  
 IN/OUT tot PduIndexType,  
 IN/OUT pus AmPuArrayStructType;

Place\_in\_queue

This procedure places the indicated pdu within the queue given as parameter to the procedure.

FPAR

IN/OUT qu Queue,  
 IN/OUT pdu AmPdu;

Place\_piggyback\_in\_queue

This procedure checks whether a STATUS PDU can be piggybacked onto the first AMD PDU within a queue or not. If SN of the AMD PDU is smaller than VT(MS) and it has enough space for piggyback, this procedure returns "YES".

FPAR

IN/OUT qu Queue,  
 IN/OUT re\_qu Queue,  
 IN/OUT stat\_pdu StatPdu,  
 IN vt\_ms SequenceNumberType,  
 IN/OUT pos IndicatorType;

Place\_in\_mui\_queue

This procedure places a message identifier in the sdu queue.

FPAR

IN/OUT qu Queue,  
 IN mui MuiType;

Place\_in\_transmitted\_queue

This procedure stores the individual pu:s within the transmission queue.

FPAR

IN/OUT qu Queue,  
 IN/OUT pdu AmPdu;



## Virtual Process Type Acknowledged\_link

## 3\_LocalProcedures(73)

; SIGNALSET

Remove\_from\_queue

This procedure removes the first PDU in the queue and returns the number of PUs within the removed PDU.

FPAR

```

IN/OUT qu      Queue,
IN/OUT pdu     AmPdu,
IN pdu_size   OctetType,
IN pu_size    OctetType,
IN/OUT n_pu    PduIndexType;

```

Remove\_identified\_from\_queue

This procedure removes a pu with a given sequence number from the queue identified.

FPAR

```

IN/OUT qu      Queue,
IN sn         SequenceNumberType,
IN/OUT pu     AmPuStructType;

```

Remove\_acks\_and\_get\_muis

This procedure removes all pus that have been acknowledged from the indicated queue and stores the muis that are removed from the queue in a special array.

FPAR

```

IN/OUT tx_qu   Queue,
IN re_qu      Queue,
IN sn         SequenceNumberType,
IN/OUT tot     PduIndexType,
IN/OUT muis    MuiArrayType,
IN/OUT poll_tot PduIndexType,
IN/OUT rem_poll SequenceNumberArrayType;

```

Virtual Process Type Acknowledged\_link

4\_LocalProcedures(73

; SIGNALSET

Remove\_list\_from\_transmitted\_queue

This procedure checks whether each sequence number of missing PU informed by LIST SUFI is within the value between vt\_a and vt\_s, and removes a list of pdus indicated by sequence numbers from the transmission queue and retransmission\_queue.

FPAR

IN/OUT qu Queue,  
 IN/OUT re\_qu Queue,  
 IN sq SequenceNumberType,  
 IN/OUT no PduIndexType,  
 IN/OUT tot PduIndexType,  
 IN/OUT pus AmPuArrayStructType;

Remove\_bitmap\_from\_transmitted\_queue

This procedure checks whether each sequence number of missing PU informed by LIST SUFI is within the value between vt\_a and vt\_s, and removes a list of pdus in accordance with a bitmap from the transmission queue and retransmission queue.

FPAR

IN/OUT qu Queue,  
 IN/OUT re\_qu Queue,  
 IN sq SequenceNumberType,  
 IN/OUT no PduIndexType,  
 IN/OUT bitmap IndicatorArrayType,  
 IN/OUT tot PduIndexType,  
 IN/OUT pus AmPuArrayStructType;

Remove\_mui\_from\_queue

This procedure removes all PUs associated with a given mui from the transmitted\_queue.

FPAR

IN/OUT mui MuiType,  
 IN/OUT tx\_qu Queue,  
 IN/OUT retx\_qu Queue;

Virtual Process Type Acknowledged\_link

5\_LocalProcedures(73

SIGNALSET

Remove\_list\_from\_transmitted\_queue

This procedure checks whether each sequence number of missing PU informed by LIST SUFI is within the value between vt\_a and vt\_s, and removes a list of pdus in accordance with a codewords from the transmission queue and retransmission queue.

FPAR

IN/OUT qu Queue,  
 IN/OUT re\_qu Queue,  
 IN sq SequenceNumberType,  
 IN/OUT no PduIndexType,  
 IN/OUT codewords IndicatorArrayType,  
 IN/OUT tot PduIndexType,  
 IN/OUT pus AmPuArrayType,  
 IN/OUT poss IndicatorType;

Remove\_all\_below\_mrw\_from\_queue

This procedure removes all PUs below the move receiving window from all receiver queues.

FPAR

IN/OUT r\_qu Queue,  
 IN/OUT a\_qu Queue,  
 IN/OUT sn SequenceNumberType;

Remove\_identified\_from\_mui\_queue

This procedure removes a specific mui from the mui queue used to keep track of Timer\_Discard instances.

FPAR

IN/OUT sdu\_queue Queue,  
 IN mui MuiType;

Virtual Process Type Acknowledged\_link

6\_LocalProcedures(73

; SIGNALSET

Virtual Transmit am_pdu	<p>This procedure manages transmission of an AMD PDU across the proper SAP.</p> <p>FPAR</p> <p>IN pdu            AmPdu,</p> <p>IN ch             LogicalChannelType;</p>
Virtual Transmit reset	<p>This procedure transmits a RESET PDU on the correct logical channel.</p> <p>FPAR</p> <p>IN ch             LogicalChannelType;</p>
Virtual Transmit reset_ack	<p>This procedure transmits a RESET ACK PDU on the correct logical channel.</p> <p>FPAR</p> <p>IN ch             LogicalChannelType;</p>
Virtual Transmit status	<p>This procedure transmits a STATUS PDU on the correct logical channel.</p> <p>FPAR</p> <p>IN pdu            StatPdu,</p> <p>IN ch             LogicalChannelType;</p>
Reassemble_am_pdu	<p>This procedure reassembles Rlc pdu contents into Sdu:s as they arrive.</p> <p>FPAR</p> <p>IN/OUT qu        Queue,</p> <p>IN/OUT comp     IndicatorType,</p> <p>IN/OUT sdus     OctetArrayType,</p> <p>IN/OUT n_sdu    PduIndexType;</p>

Virtual Process Type Acknowledged\_link

7\_LocalProcedures(73

SIGNALSET

**Extract\_status\_from\_pdu** This procedure extracts piggybacked status information from the received PDU.

FPAR

IN/OUT pdu AmPdu,  
IN/OUT st\_pdu StatPdu;

**Extract\_pus** This procedure places the pus in the received AMD PDU in an array in order to make them available for processing one by one and checks the number of PUs in the AMD PDU.

FPAR

IN/OUT pdu AmPdu,  
IN/OUT pus AmPuArrayType,  
IN/OUT n\_pu PduIndexType;

**Initialise\_state\_variables** This procedure sets the state variables appropriately.

FPAR

IN/OUT vt\_s, vt\_ms, vt\_sdu, vt\_pu, vt\_a,  
vr\_r, vr\_h, vr\_mr SequenceNumberType;

**Initialise\_vtDAT** This procedure initialises the retransmission counters associated with the PUs within the PDU.

FPAR

IN/OUT pdu AmPdu;

**Increment\_vtDAT** This procedure increments the retransmission counters associated with the PUs within the PDU.

FPAR

IN/OUT pdu AmPdu;

**Queue\_initialisations** This procedure initialises all queues needed within the process.

FPAR

IN/OUT a\_qu, t\_qu, retx\_qu, rx\_qu,  
as\_qu, sdu\_qu Queue;

Virtual Process Type Acknowledged\_link

8\_LocalProcedures(73

;  
SIGNALSET

Create\_status

This procedure creates a status report based on available information. The information can be split into several STATUS PDUs if it can not be mapped onto one STATUS PDU. At the same time, vr\_ep is set equal to the number of requested PUs.

FPAR

IN	vr_r	SequenceNumberType,
IN	vr_h	SequenceNumberType,
IN	rx_win	SequenceNumberType,
IN	pdu_size	OctetType,
IN	rx_qu	Queue,
IN/OUT	stat_pdus	StatusPduArrayType,
IN/OUT	vr_ep	SequenceNumberType,
IN/OUT	n_stat	PduIndexType,
IN	sn_mrw	SequenceNumberType;

Exists\_in\_receiver\_queue

This procedure checks if an identified pu exists within the receiver queue.

FPAR

IN	n	SequenceNumberType,
IN/OUT	qu	Queue,
IN/OUT	exists	IndicatorType;

Estimate\_number\_of\_pus

This procedure estimates the number of PUs that have been received within aTTI.

FPAR

IN/OUT	n_pu_tti	PduIndexType;
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Get\_sn\_mrw

This procedure sets the value of sn\_mrw according to the queue status.

FPAR

IN/OUT	sn_mrw	SequenceNumberType,
IN	am_qu	Queue,
IN	tx_qu	Queue,
IN	retx_qu	Queue;

Virtual Process Type Acknowledged\_link

9\_LocalProcedures(73

; SIGNALSET

Check\_status\_creation

This procedure checks if a status report should be generated.

FPAR

IN vr\_r SequenceNumberType,  
 IN vr\_h SequenceNumberType,  
 IN qu Queue,  
 IN/OUT status IndicatorType;

Check\_if\_queue\_empty

This procedure checks if there are any PDUs remaining in the queue given as parameter to the procedure.

FPAR

IN qu Queue,  
 IN/OUT empty IndicatorType;

Check\_and\_delete\_timer\_discards

This procedure checks if any timer polls are active and returns the first message identifier associated with the discard. If the queue is empty, empty=YES is returned.

FPAR

IN/OUT qu Queue,  
 IN mui MuiType,  
 IN/OUT empty IndicatorType;

Check\_if\_piggyback

This procedure checks if the current AMD PDU to be transmitted contains a piggybacked STATUS PDU or not

FPAR

IN pdu AmPdu,  
 IN/OUT piggyback IndicatorType;

Check\_if\_MRW\_answer

This procedure checks if the peer has responded to a MRW command.

FPAR

IN sn\_mrw SequenceNumberType,  
 IN status\_pdu StatPdu,  
 IN/OUT mrw\_ans IndicatorType;

## Virtual Process Type Acknowledged\_link

## 10\_LocalProcedures(73)

; SIGNALSET

Update\_state\_variables

This procedure updates the state variables vt\_a and vt\_s.

FPAR

```

IN/OUT vt_a    SequenceNumberType,
IN/OUT vt_ms   SequenceNumberType,
IN/OUT tx_win  SequenceNumberType,
IN      am_qu  Queue,
IN/OUT tx_qu   Queue,
IN/OUT retx_qu Queue;

```

Set\_poll\_bit\_in\_queue

This procedure ensures that a poll bit is set in the amd\_queue

FPAR

```

IN/OUT qu      Queue;

```

Contains\_polledSN

This procedure checks if the sequence number associated with a poll request has been acknowledged in the status pdu.

FPAR

```

IN      polled_sn    SequenceNumberType,
IN      status_pdu   StatPdu,
IN/OUT contains     IndicatorType;

```

Calculate\_polling\_window

This procedure calculates the current usage of the transmit window.

FPAR

```

IN/OUT pdu      AmPdu,
IN/OUT poll_win Real,
IN      vt_ms   SequenceNumberType,
IN      tx_win  SequenceNumberType;

```



Virtual Process Type Acknowledged\_link

11\_LocalProcedures(73

;  
SIGNALSET

Place\_in\_receive\_side\_queue

This procedure places a PU in one of the receive side queues.

FPAR

IN/OUT qu Queue,

IN/OUT pu AmPuStructType;

Place\_in\_retransmission\_queue

This procedure places a PU in the retransmission queue.

FPAR

IN/OUT qu Queue,

IN/OUT pu AmPuStructType;

Remove\_from\_retransmission\_queue

This procedure retrieves an Amd PDU from the retransmission queue.

FPAR

IN/OUT qu Queue,

IN/OUT pdu AmPdu,

IN pdu\_s OctetType,

IN pu\_s OctetType,

IN/OUT n\_pu PduIndexType;

Remove\_any\_from\_transmitted\_queue

This procedure retrieves an Amd PU from the transmitted queue. Note: It is implementation matter which Amd PU shall be retrieved (e.g. the oldest Amd PU).

FPAR

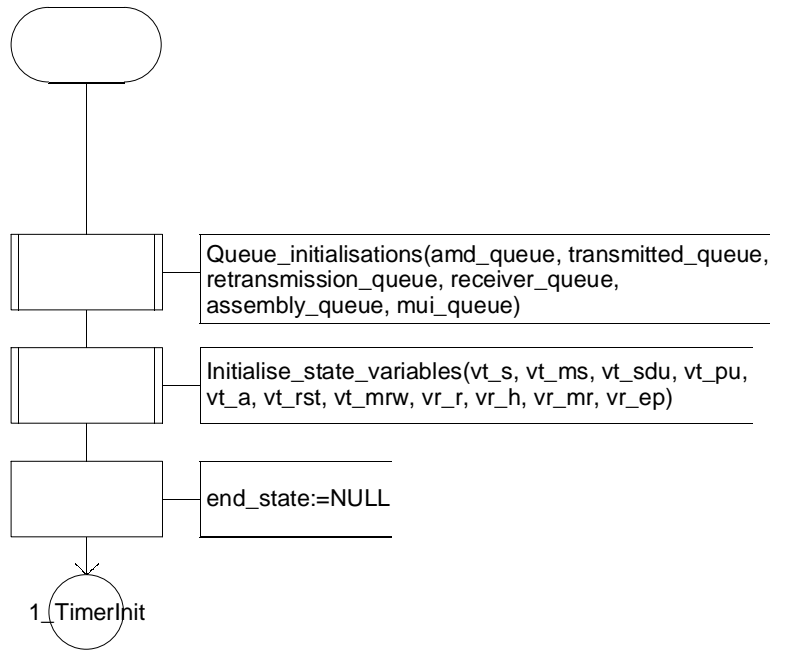
IN/OUT qu Queue,

IN/OUT pu AmPuStructType;

Virtual Process Type Acknowledged\_link

1\_ProcessTypeStart(73

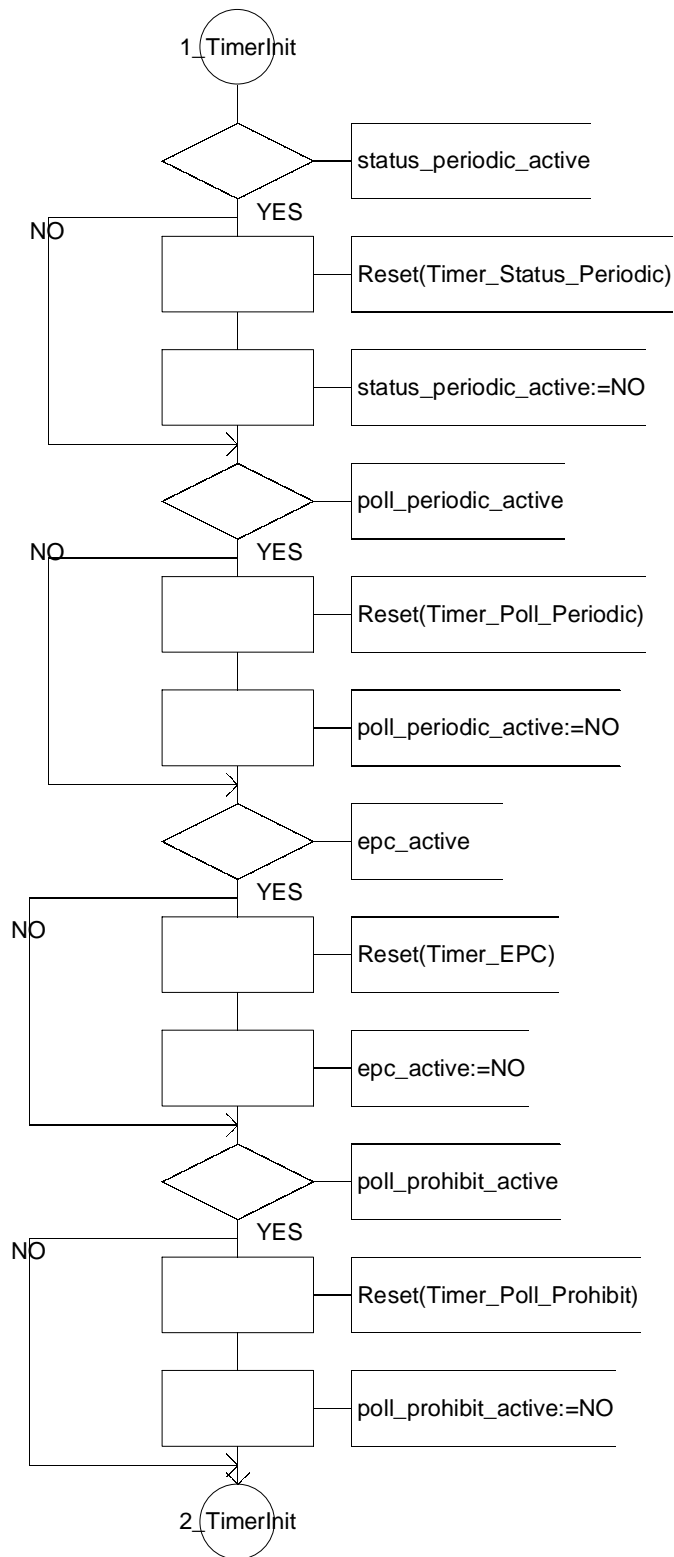
; SIGNALSET



Virtual Process Type Acknowledged\_link

1\_TimerInit(73)

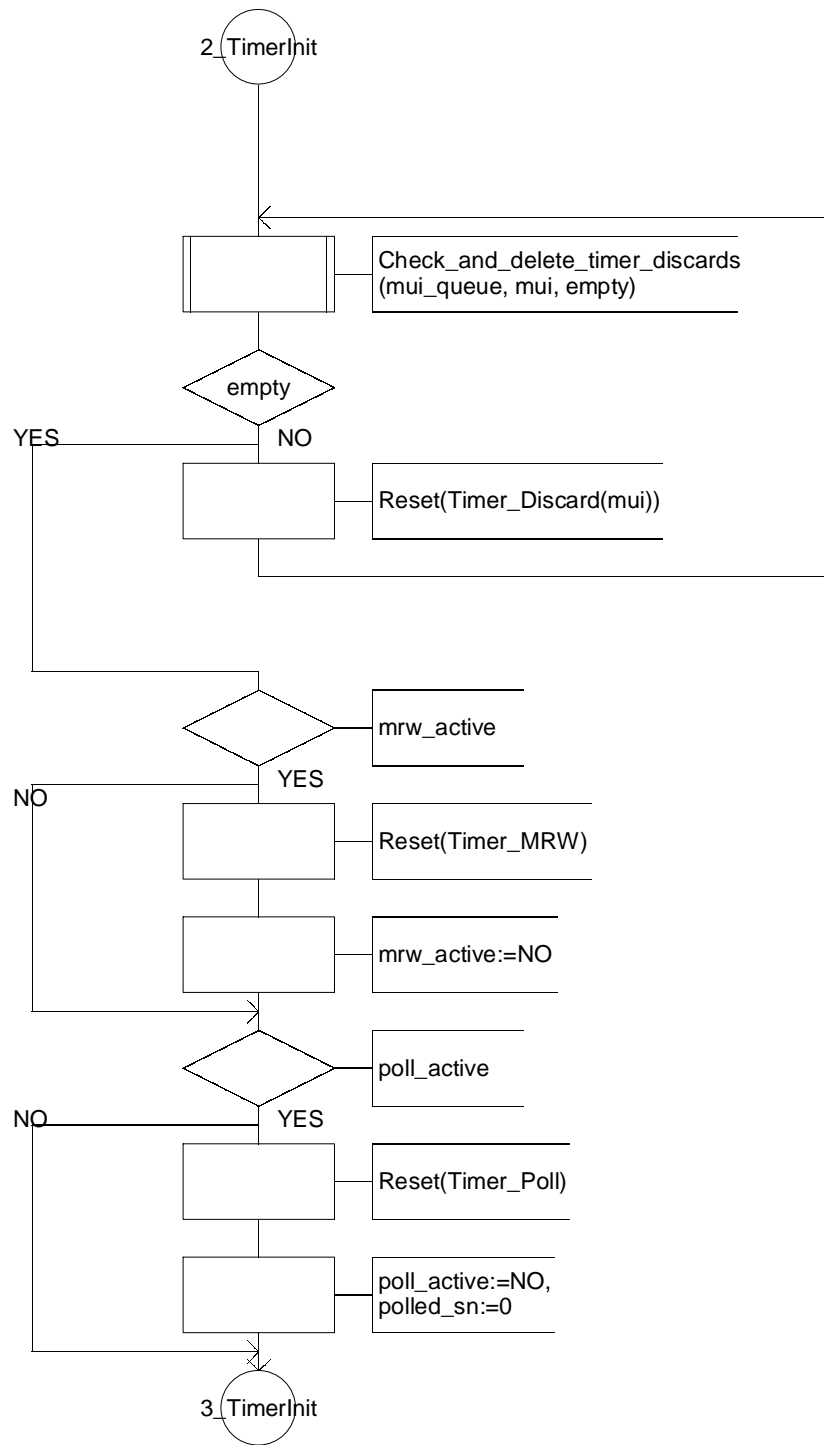
SIGNALSET



Virtual Process Type Acknowledged\_link

2\_TimerInit(73

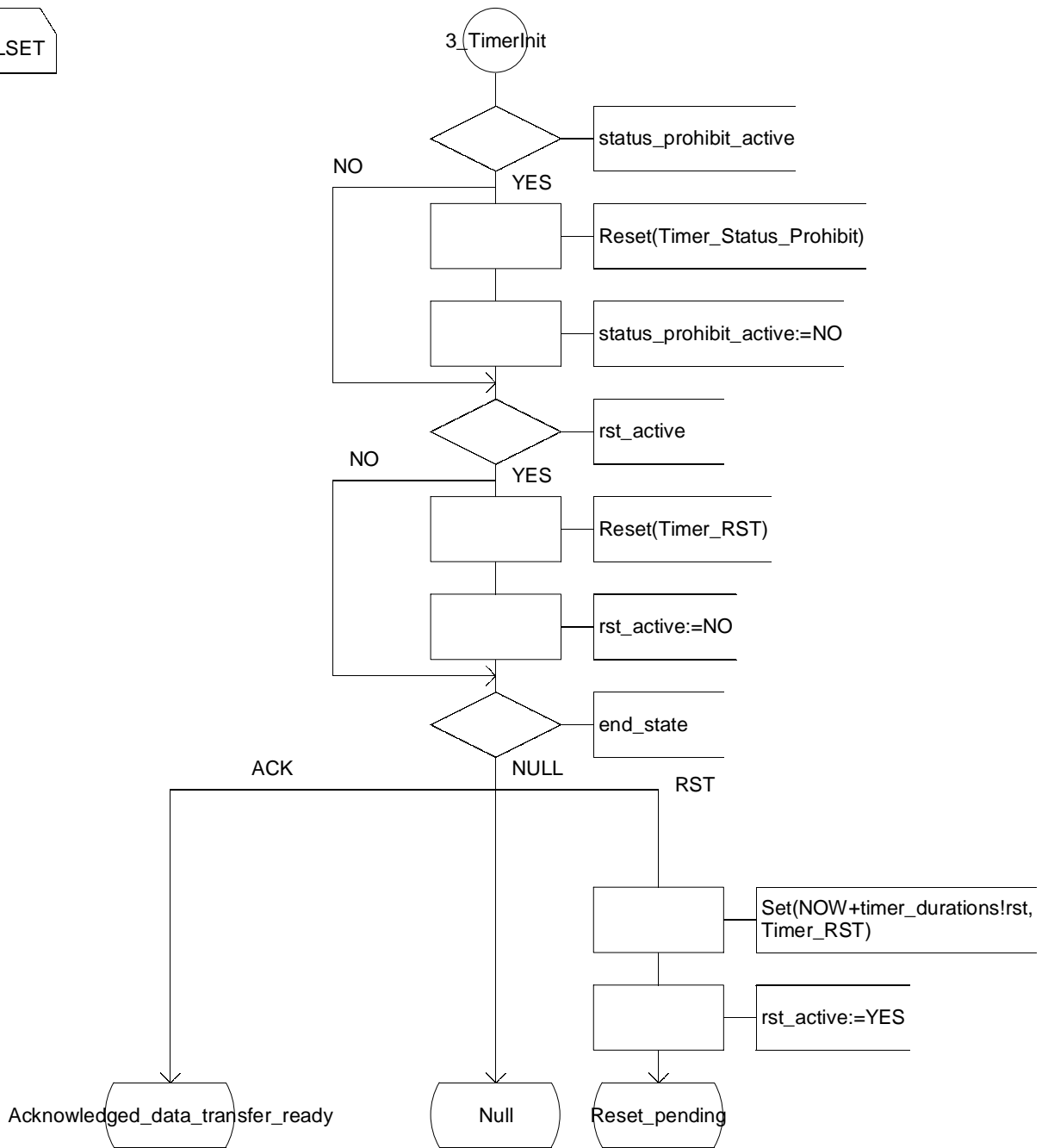
; SIGNALSET



Virtual Process Type Acknowledged\_link

3\_TimerInit(73

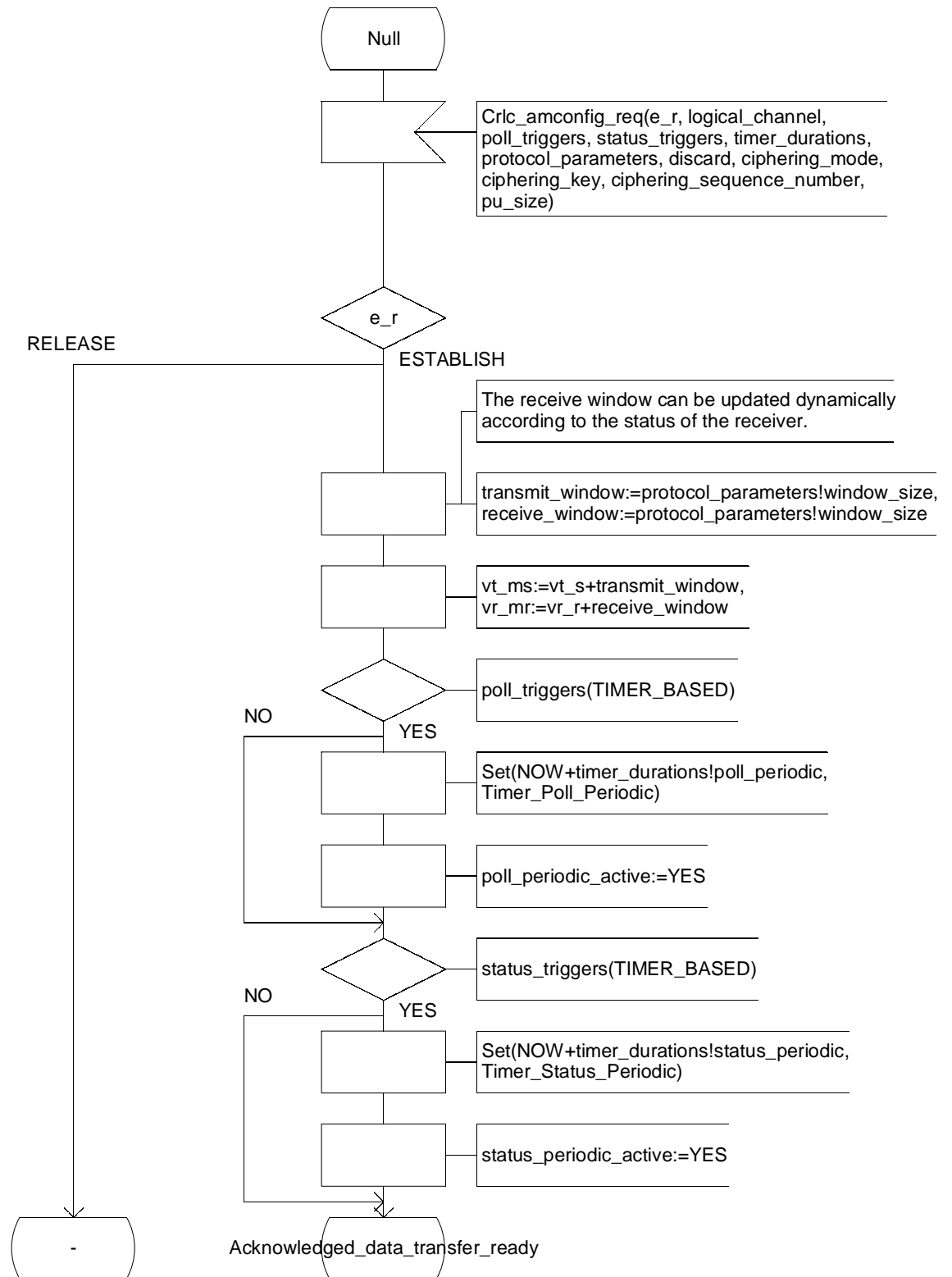
SIGNALSET



Virtual Process Type Acknowledged\_link

1\_Null(73)

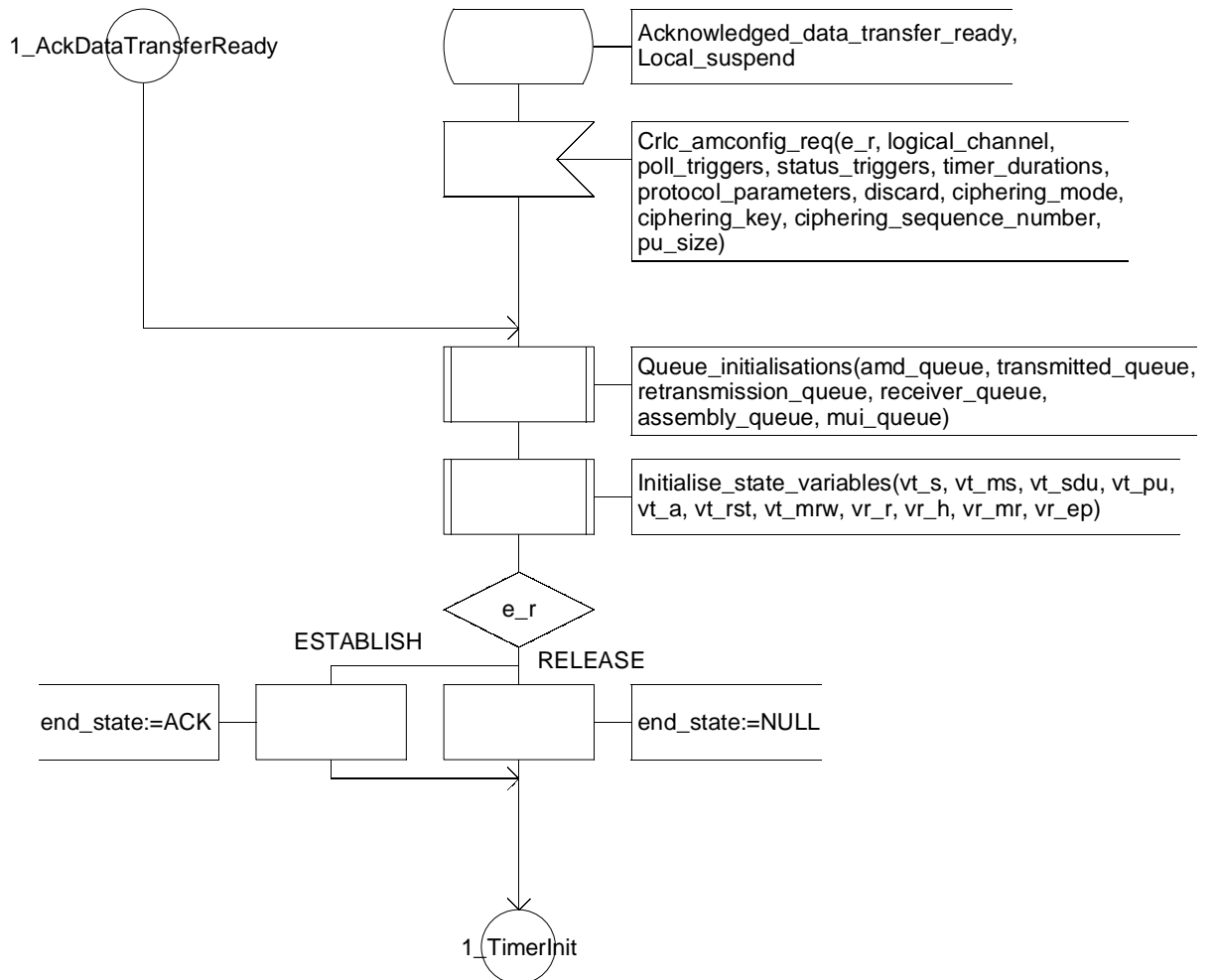
SIGNALSET



Virtual Process Type Acknowledged\_link

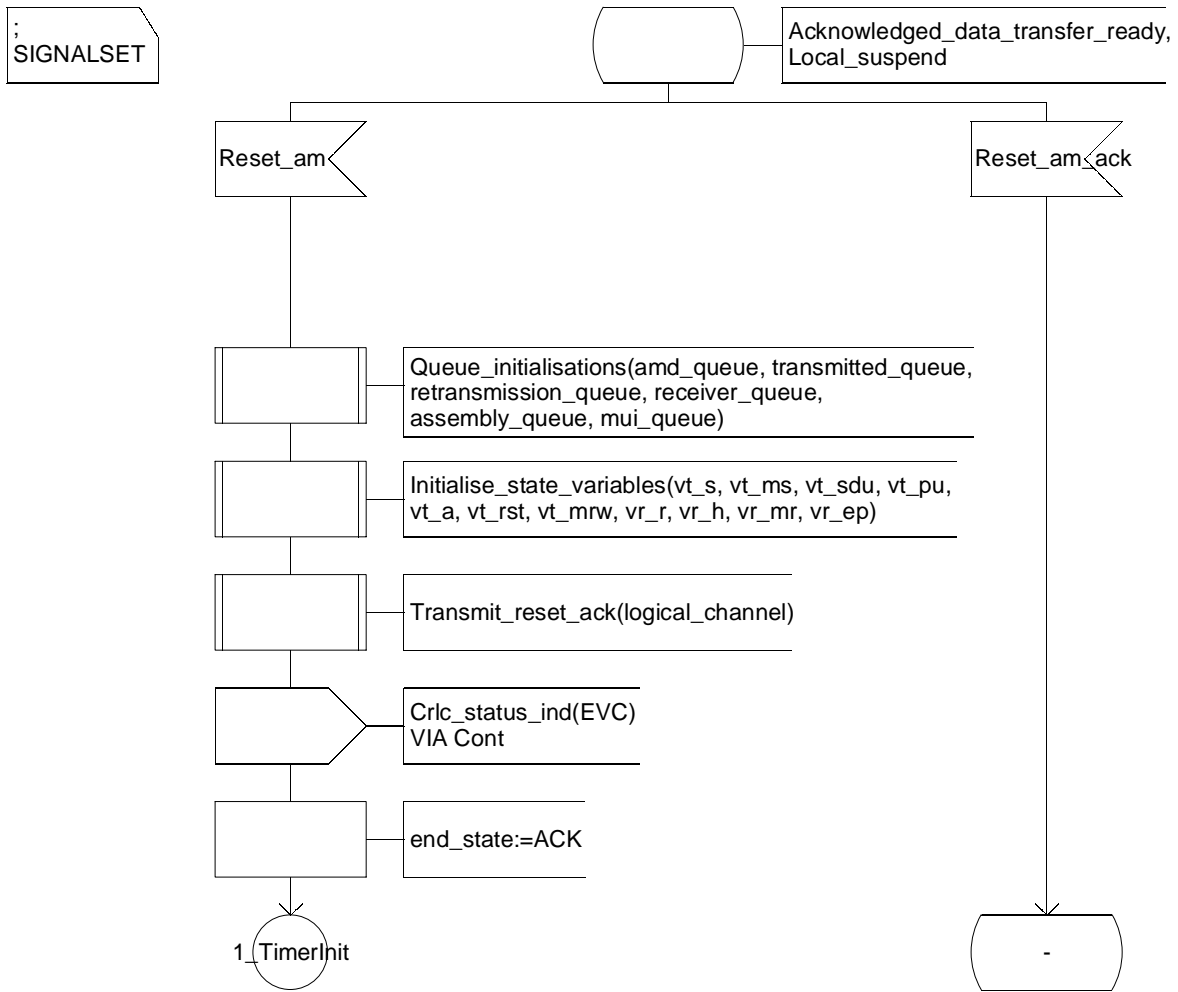
1\_DataTransferReadyAndLocalSuspend(73)

; SIGNALSET



Virtual Process Type Acknowledged\_link

2\_DataTransferReadyAndLocalSuspend(73

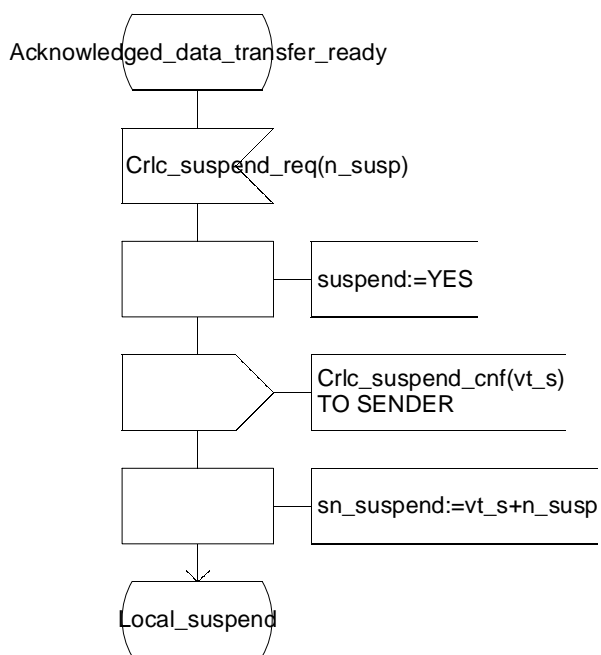




Virtual Process Type Acknowledged\_link

1\_AcknowledgedDataTransferReady(73

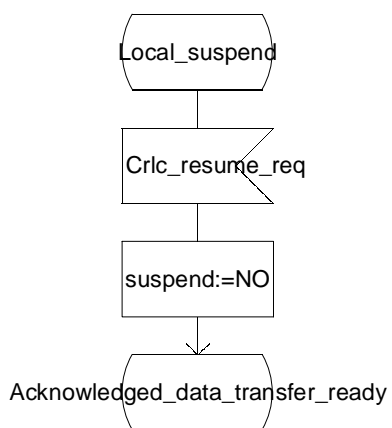
; SIGNALSET



Virtual Process Type Acknowledged\_link

1\_LocalSuspend(73

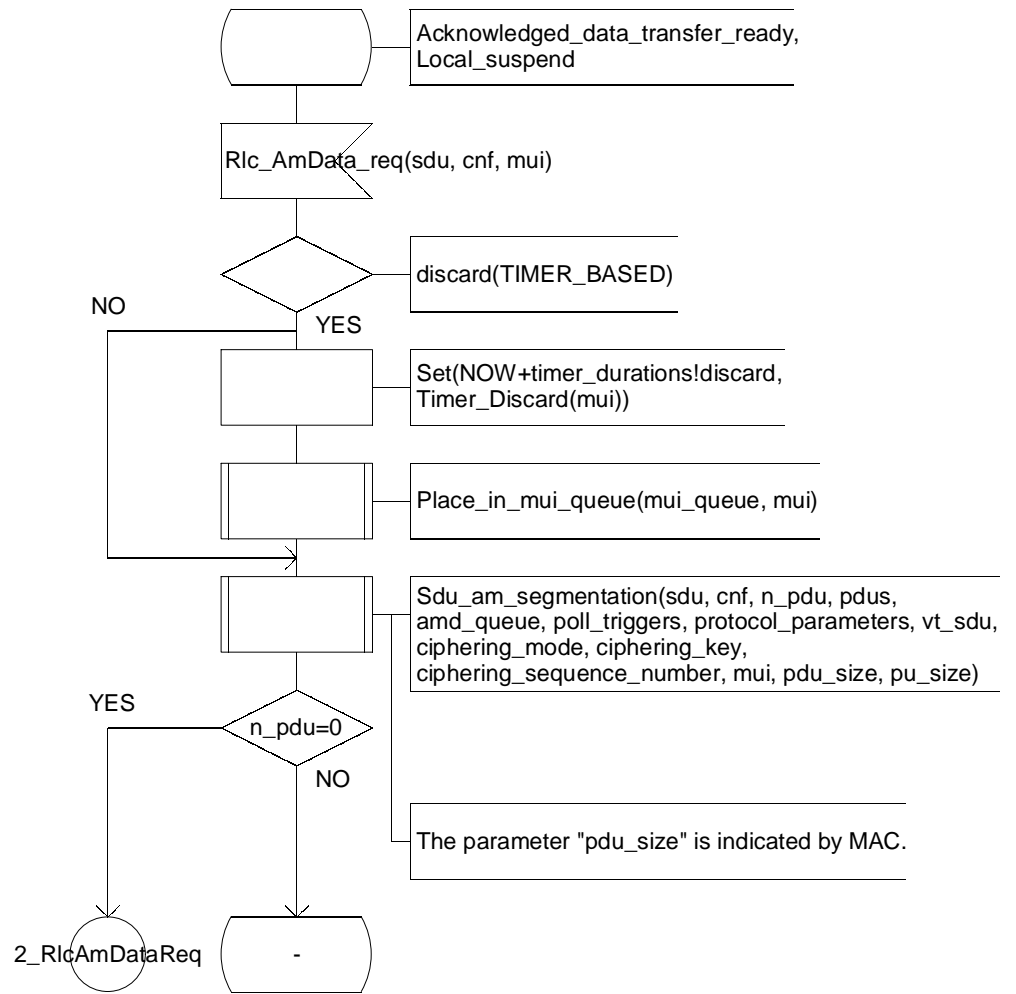
; SIGNALSET



Virtual Process Type Acknowledged\_link

1\_RlcAmDataReq(73)

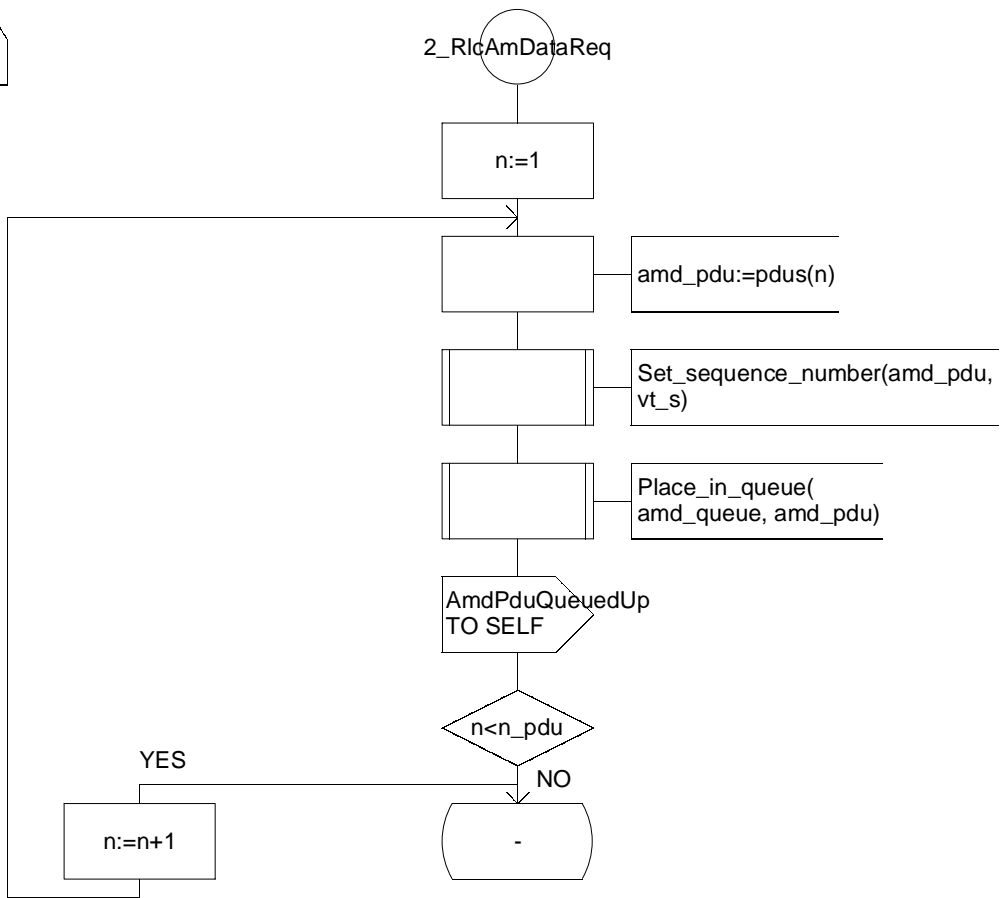
SIGNALSET



Virtual Process Type Acknowledged\_link

2\_RlcAmDataReq(73

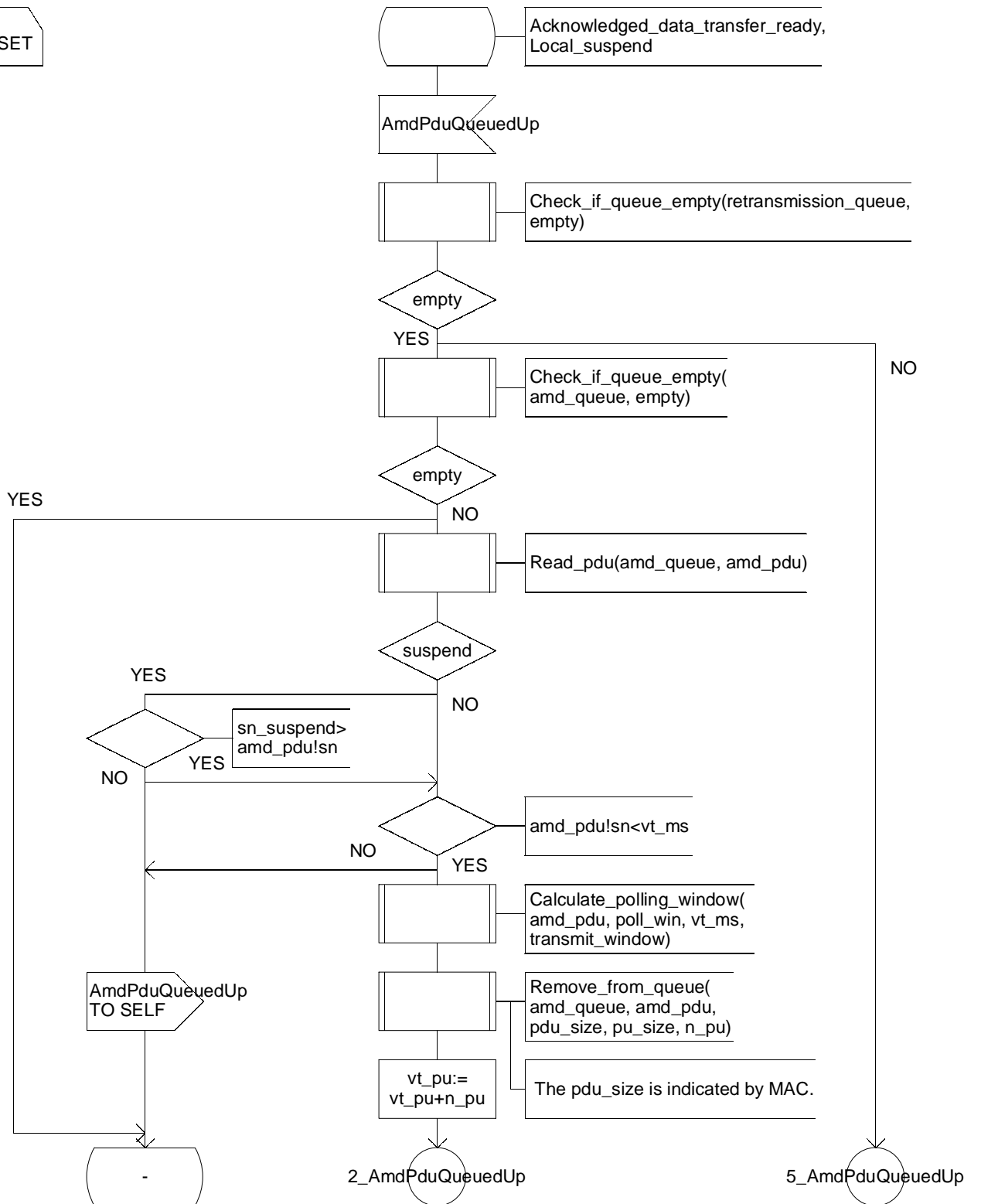
SIGNALSET



Virtual Process Type Acknowledged\_link

1\_AmdPduQueuedUp(73

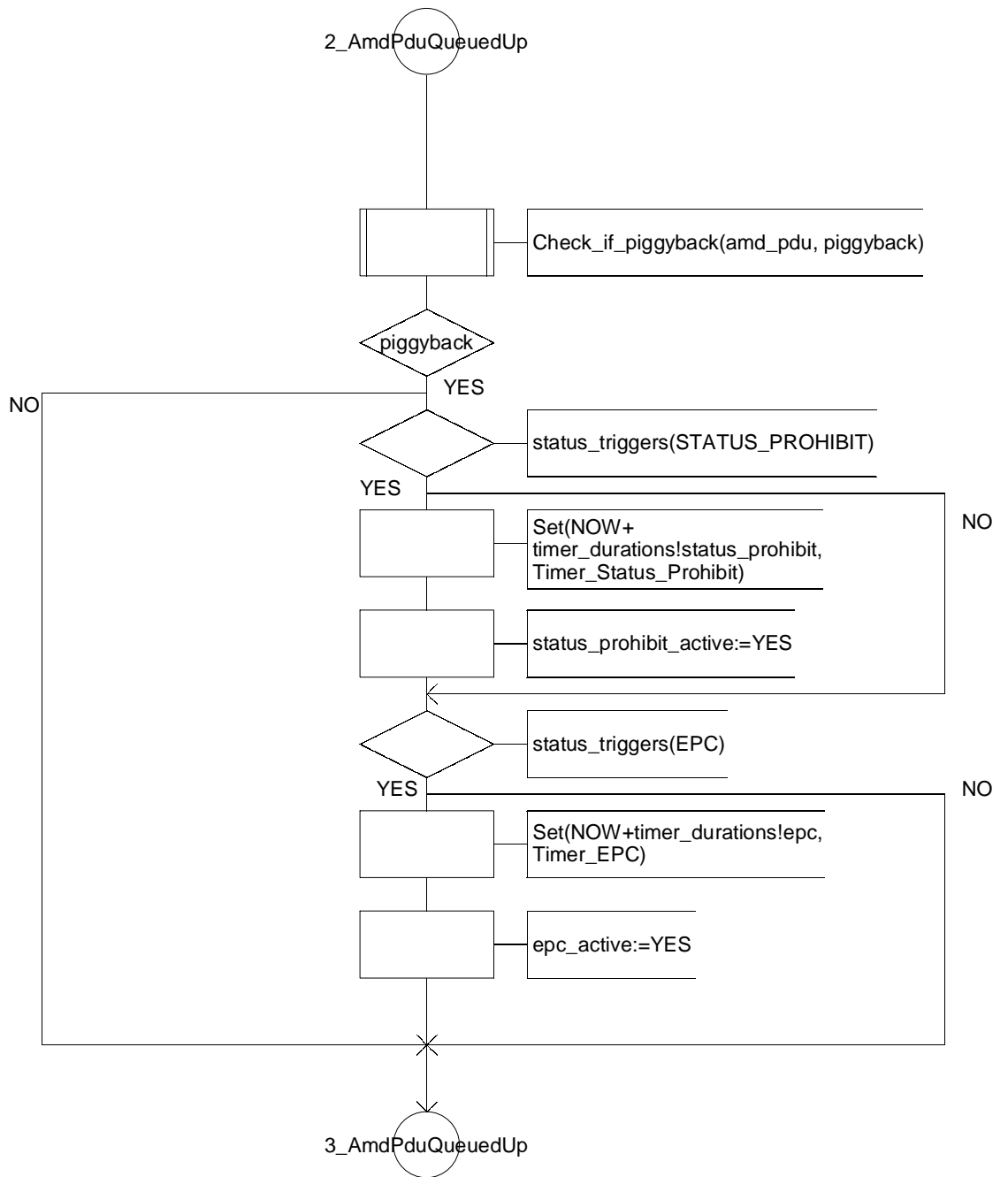
; SIGNALSET



Virtual Process Type Acknowledged\_link

2\_AmdPduQueuedUp(73

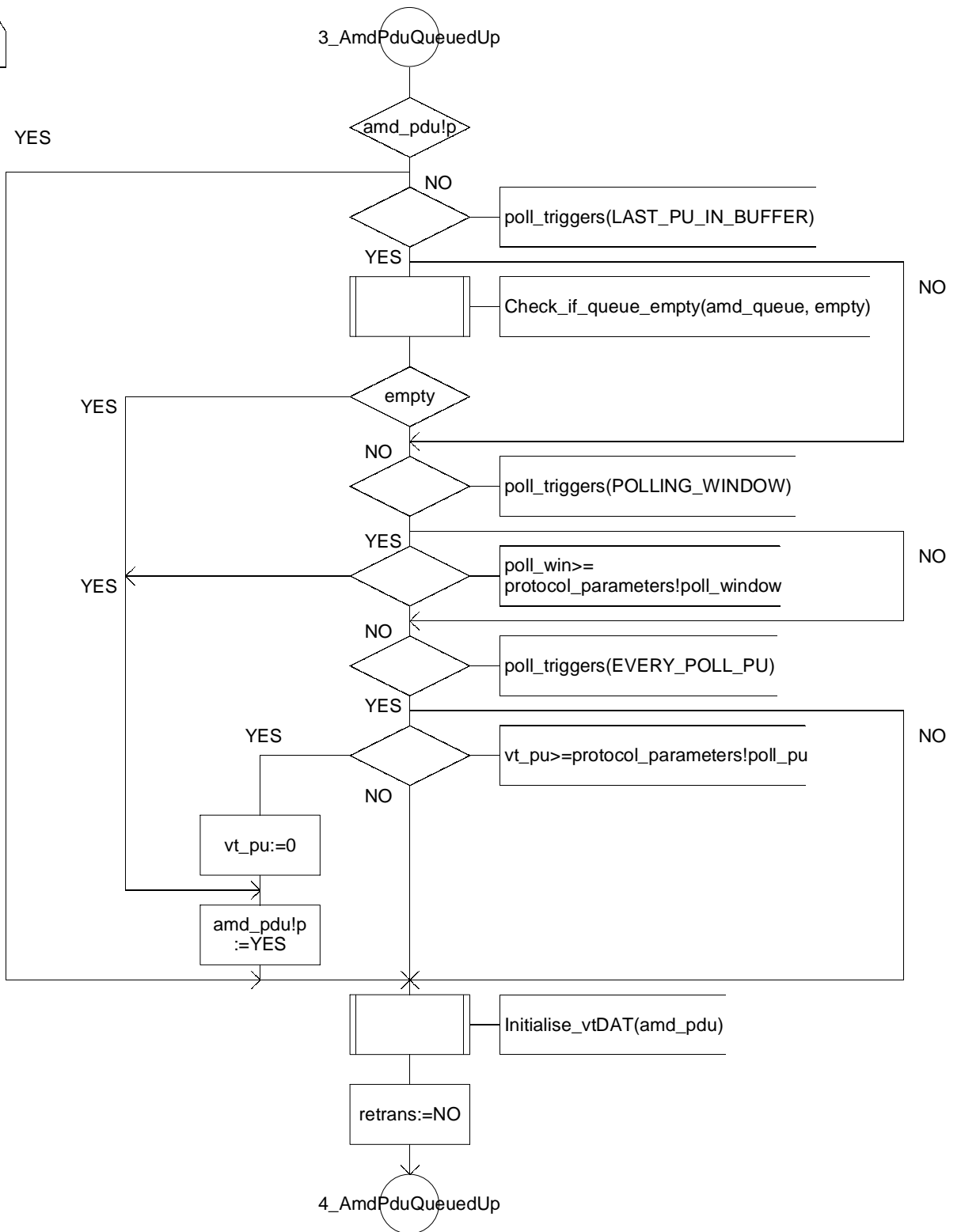
SIGNALSET



Virtual Process Type Acknowledged\_link

3\_AmdPduQueuedUp(73)

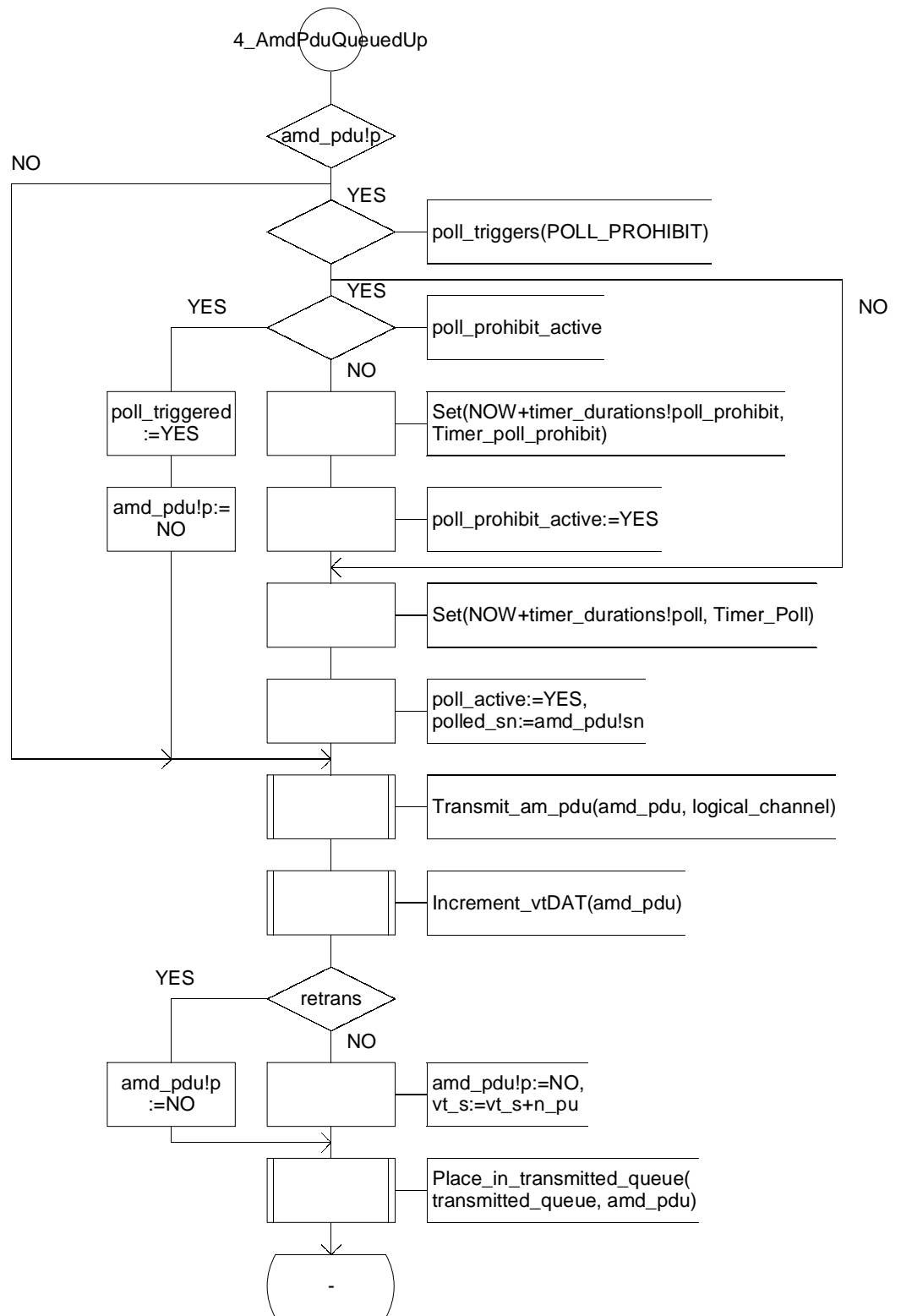
SIGNALSET



Virtual Process Type Acknowledged\_link

4\_AmdPduQueuedUp(73

SIGNALSET

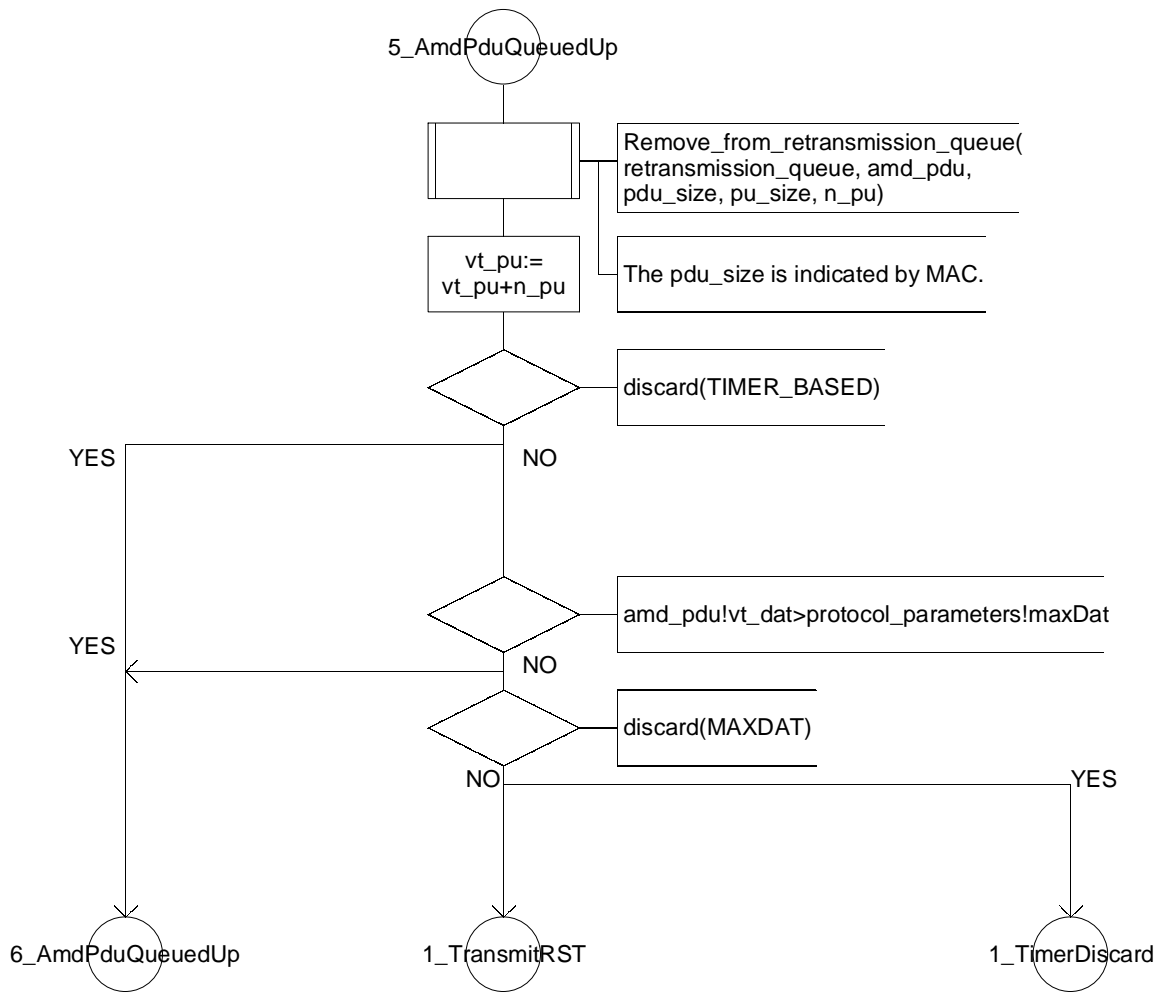




Virtual Process Type Acknowledged\_link

5\_AmdPduQueuedUp(73

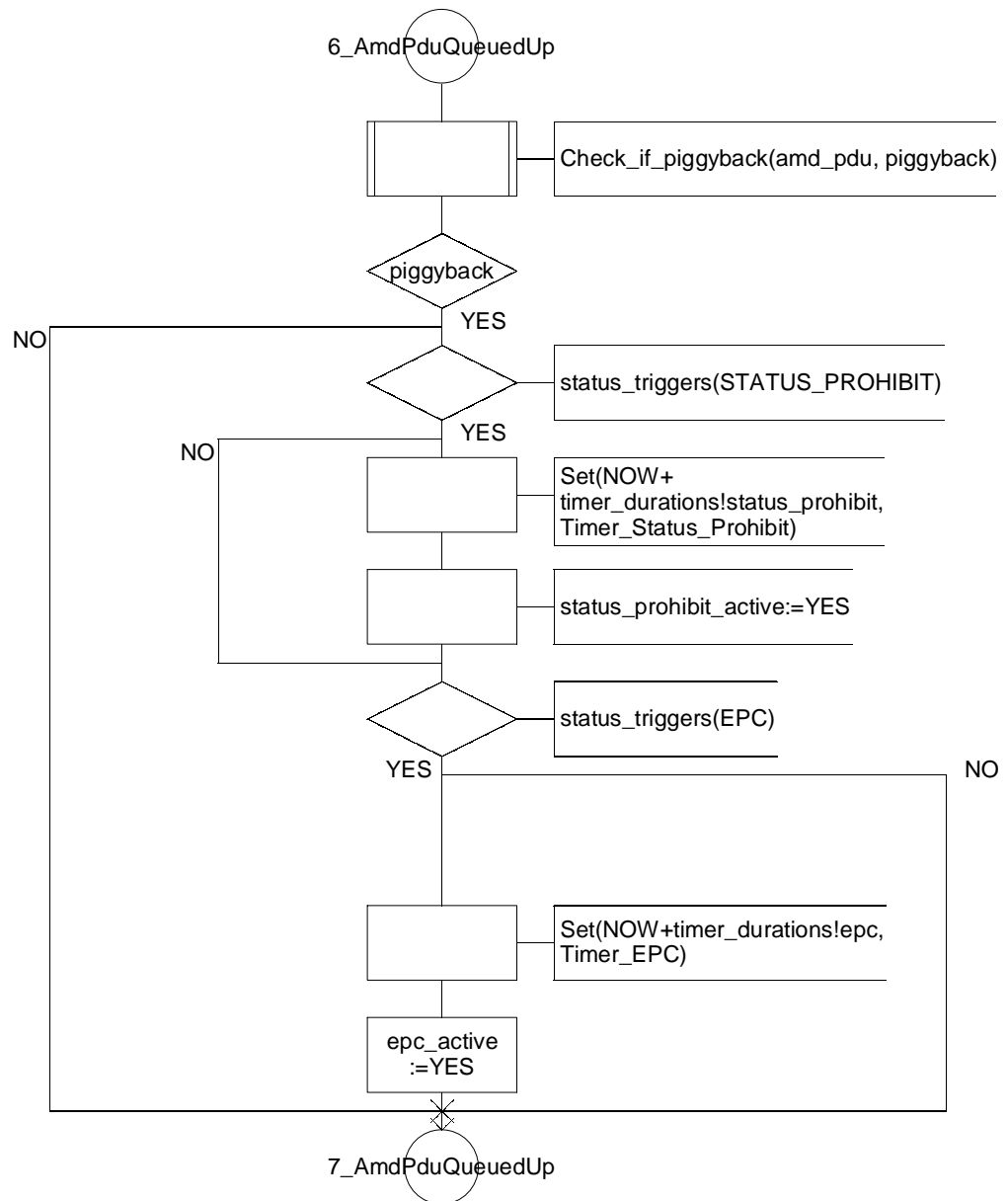
SIGNALSET



Virtual Process Type Acknowledged\_link

6\_AmdPduQueuedUp(73

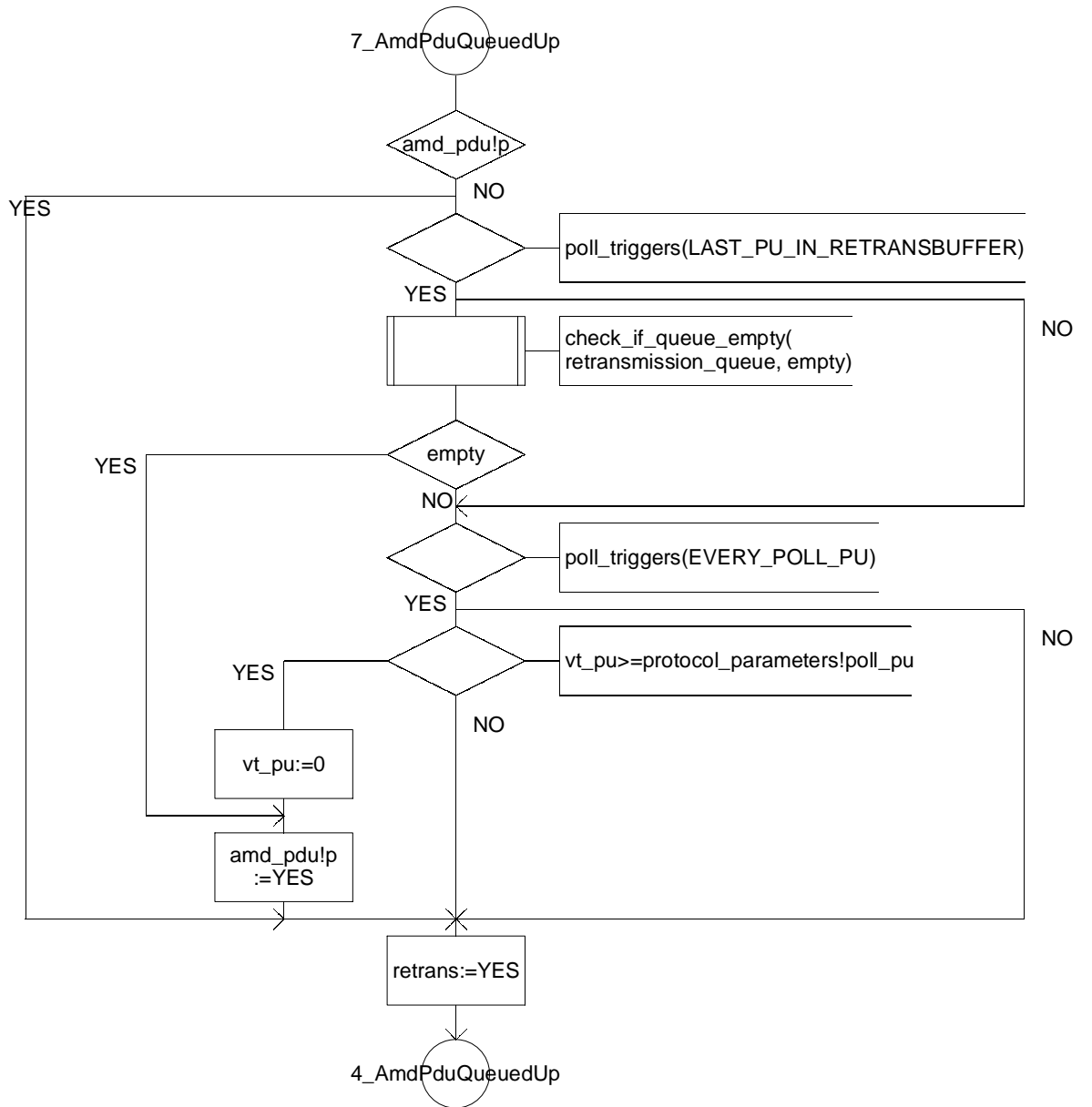
SIGNALSET



Virtual Process Type Acknowledged\_link

7\_AmdPduQueuedUp(73

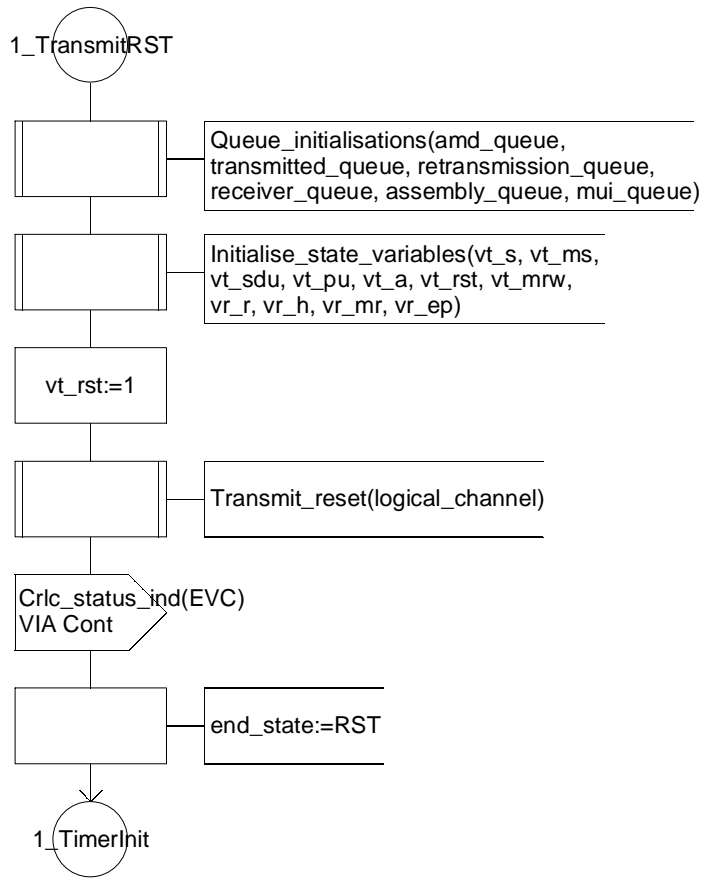
SIGNALSET



Virtual Process Type Acknowledged\_link

1\_TransmitRST(73

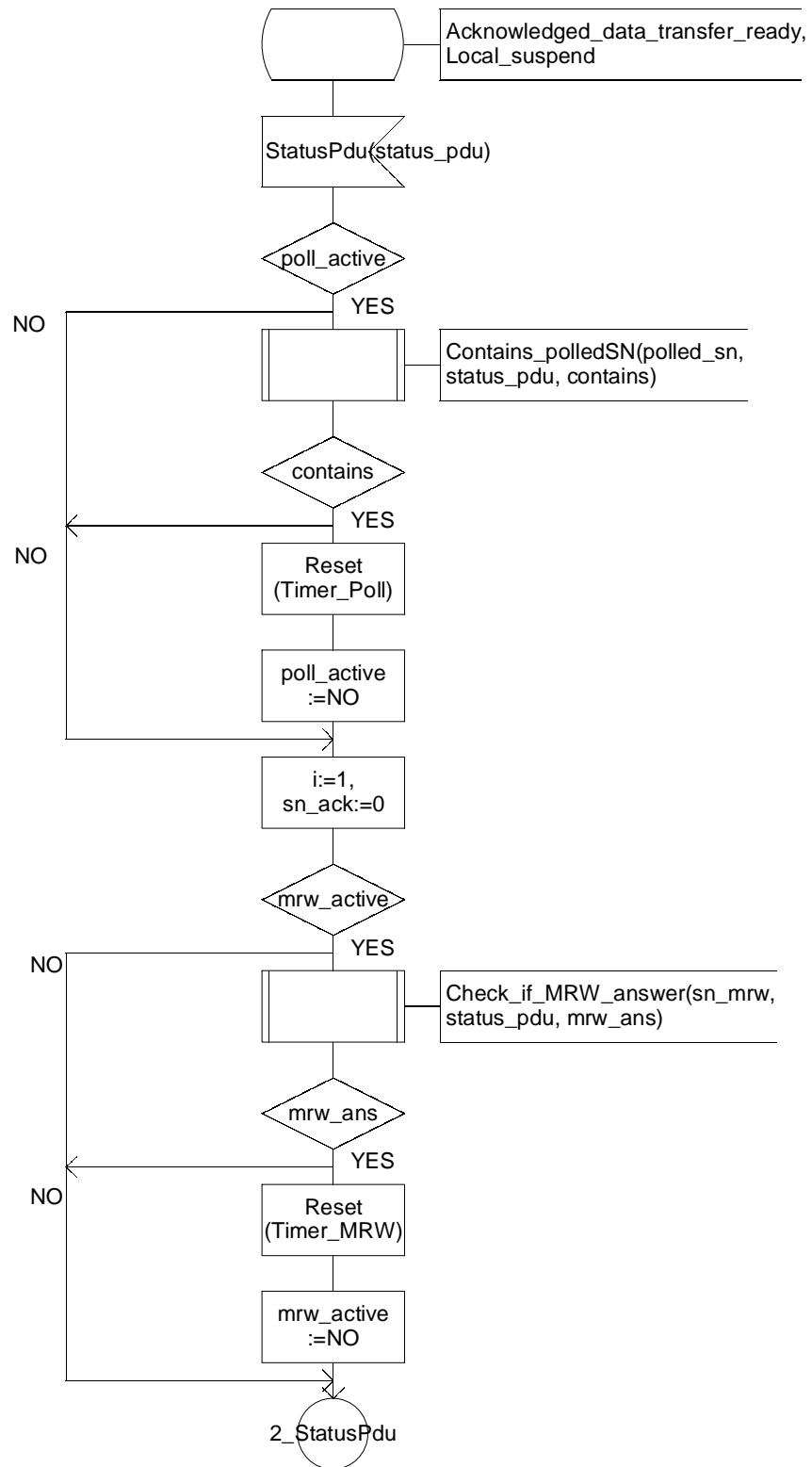
SIGNALSET  
Crlc amconfig req



Virtual Process Type Acknowledged\_link

1\_StatusPdu(73

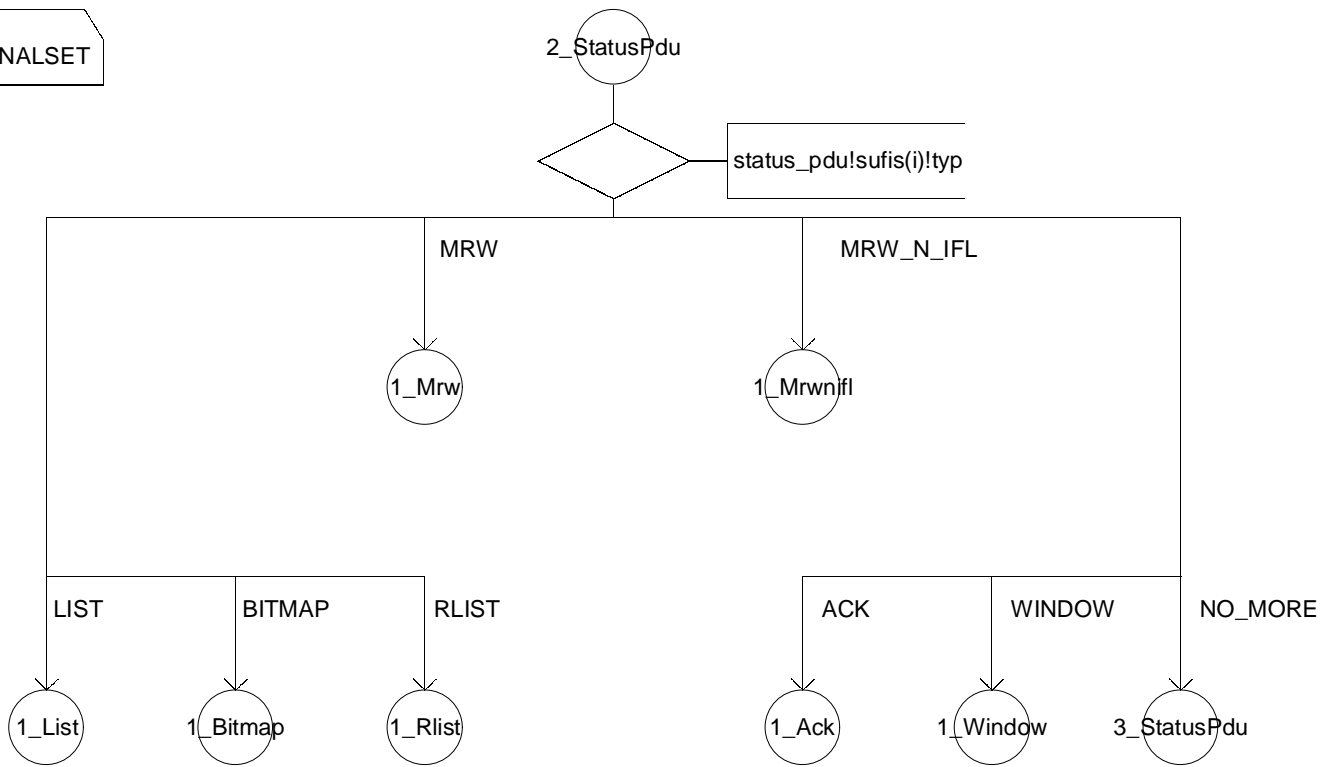
SIGNALSET



Virtual Process Type Acknowledged\_link

2\_StatusPdu(73

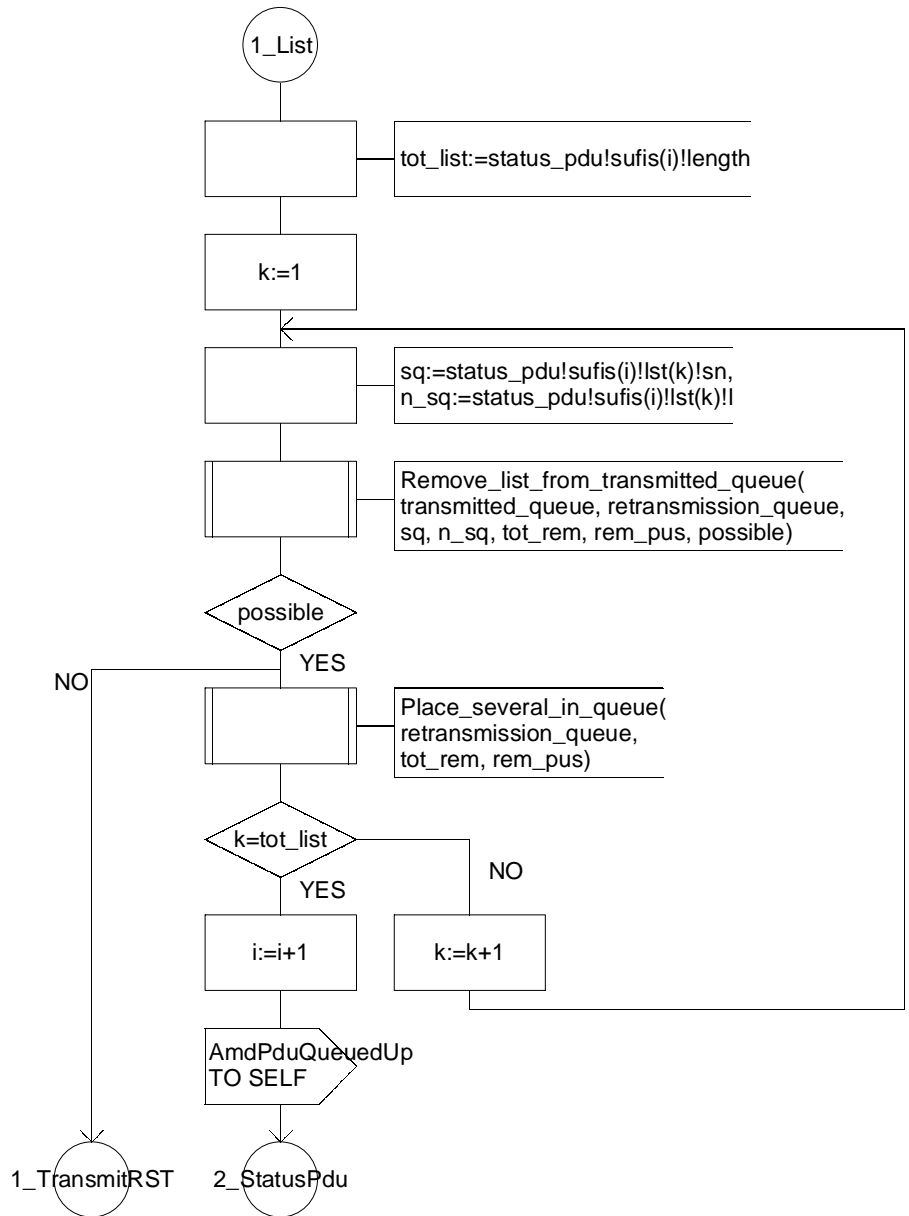
SIGNALSET



Virtual Process Type Acknowledged\_link

1\_StatusPduList(73

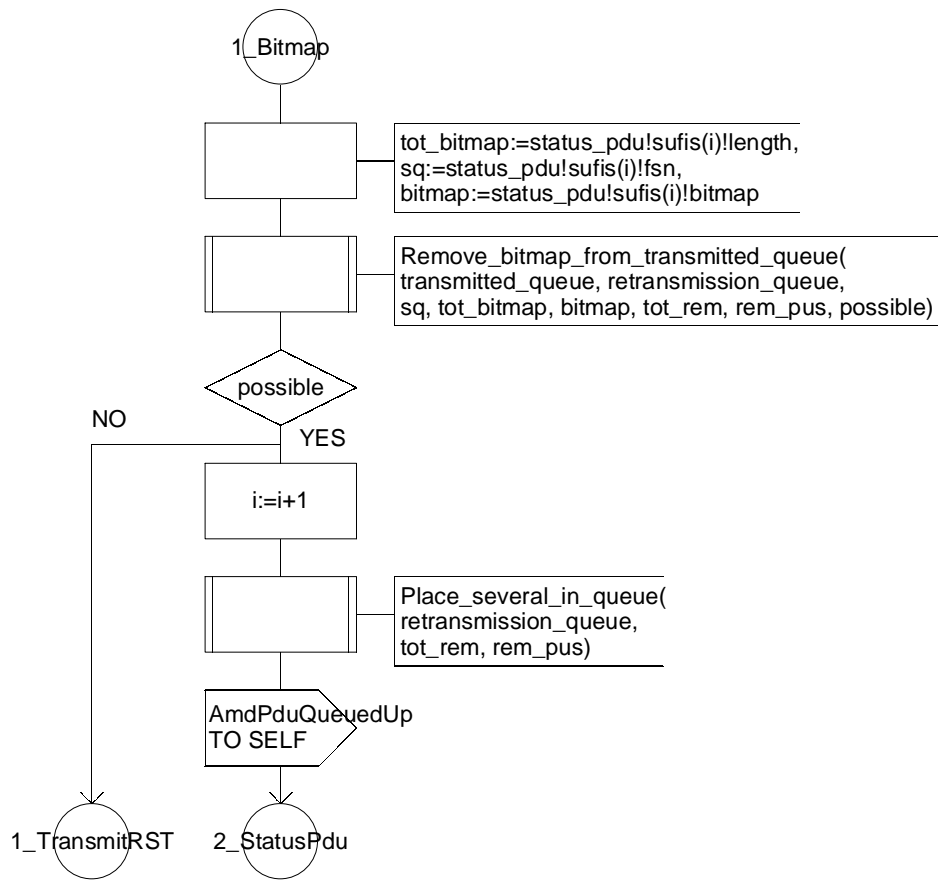
SIGNALSET



Virtual Process Type Acknowledged\_link

1\_StatusPduBitmap(73

SIGNALSET

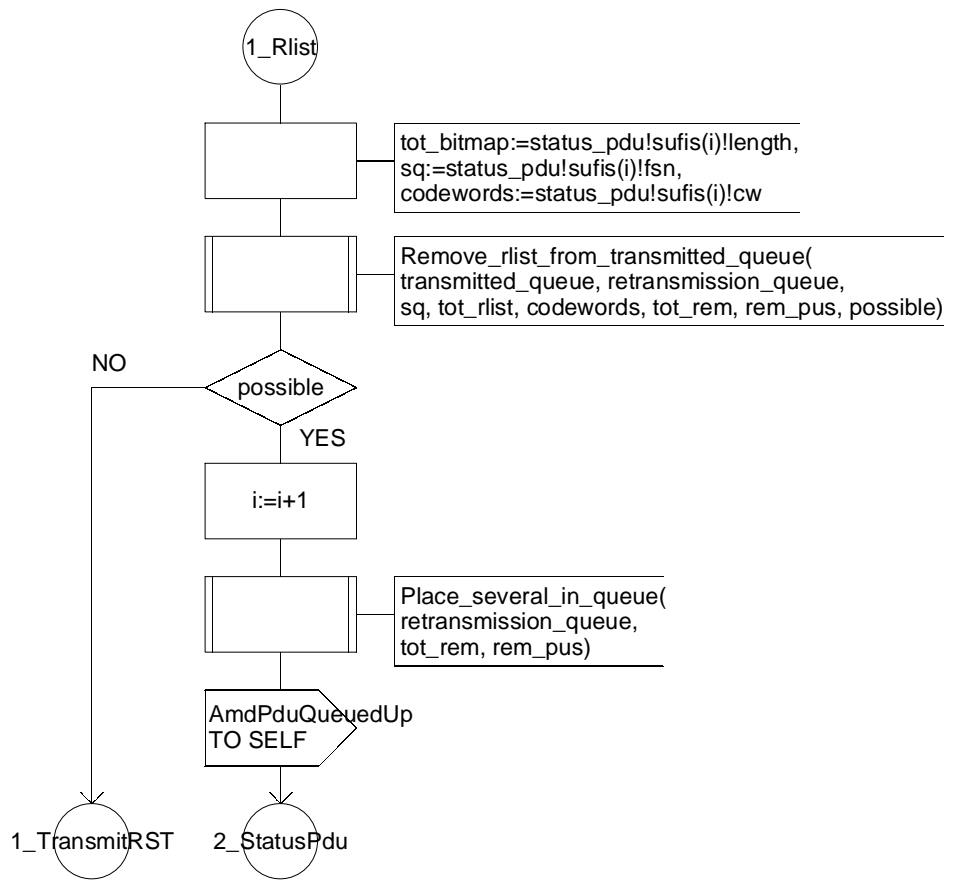




Virtual Process Type Acknowledged\_link

1\_StatusPduRlist(73

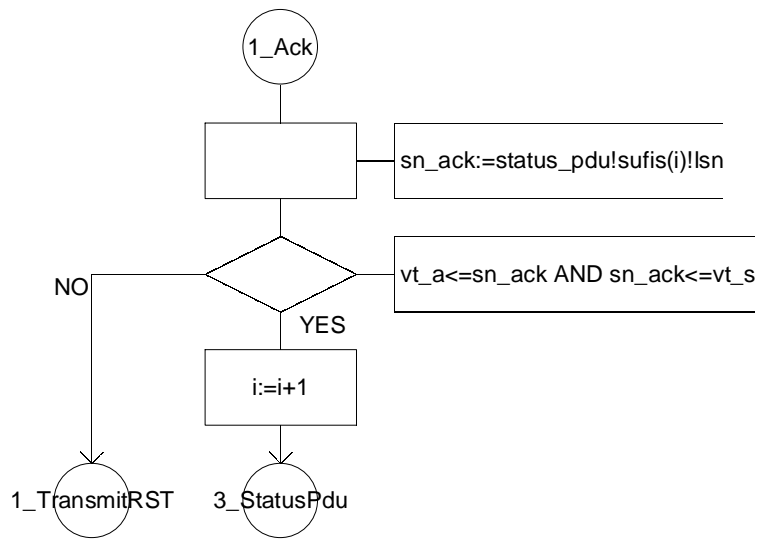
SIGNALSET



Virtual Process Type Acknowledged\_link

1\_StatusPduAck(73

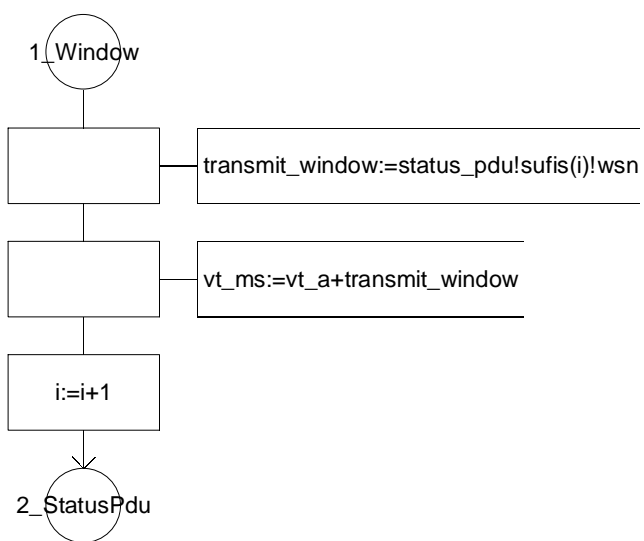
SIGNALSET



Virtual Process Type Acknowledged\_link

1\_StatusPduWindow(73

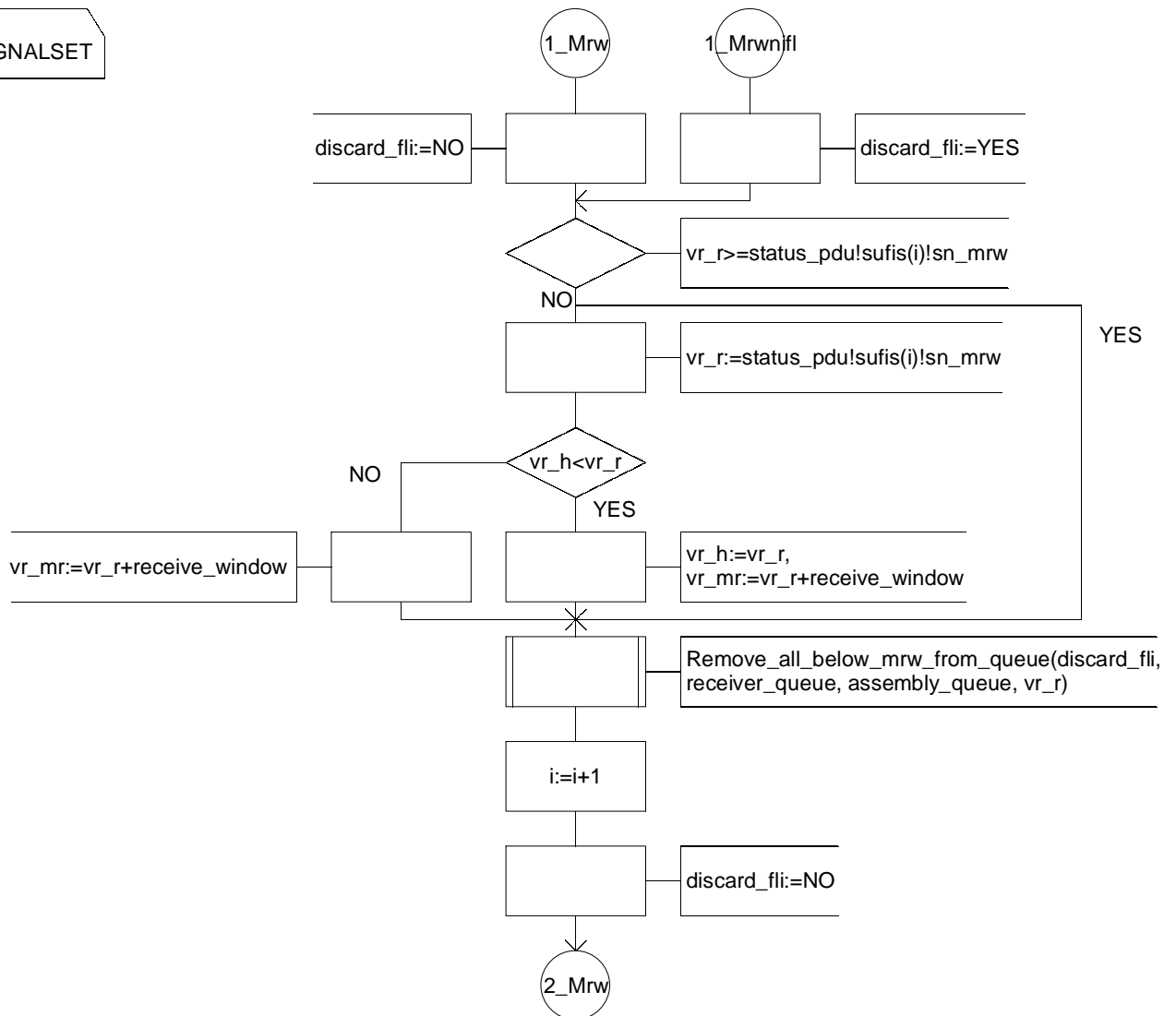
; SIGNALSET



Virtual Process Type Acknowledged\_link

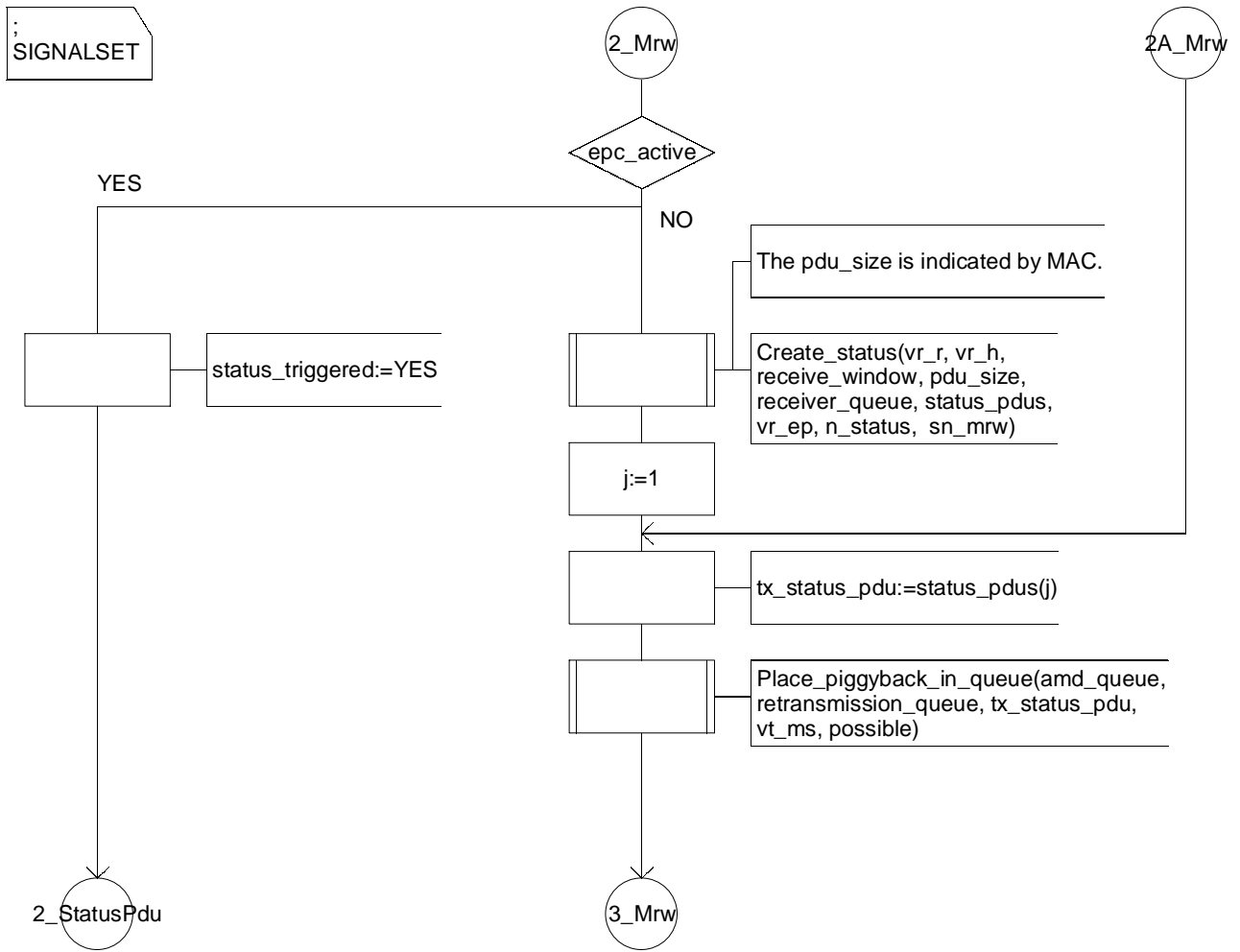
1\_StatusPduMrw(73

SIGNALSET



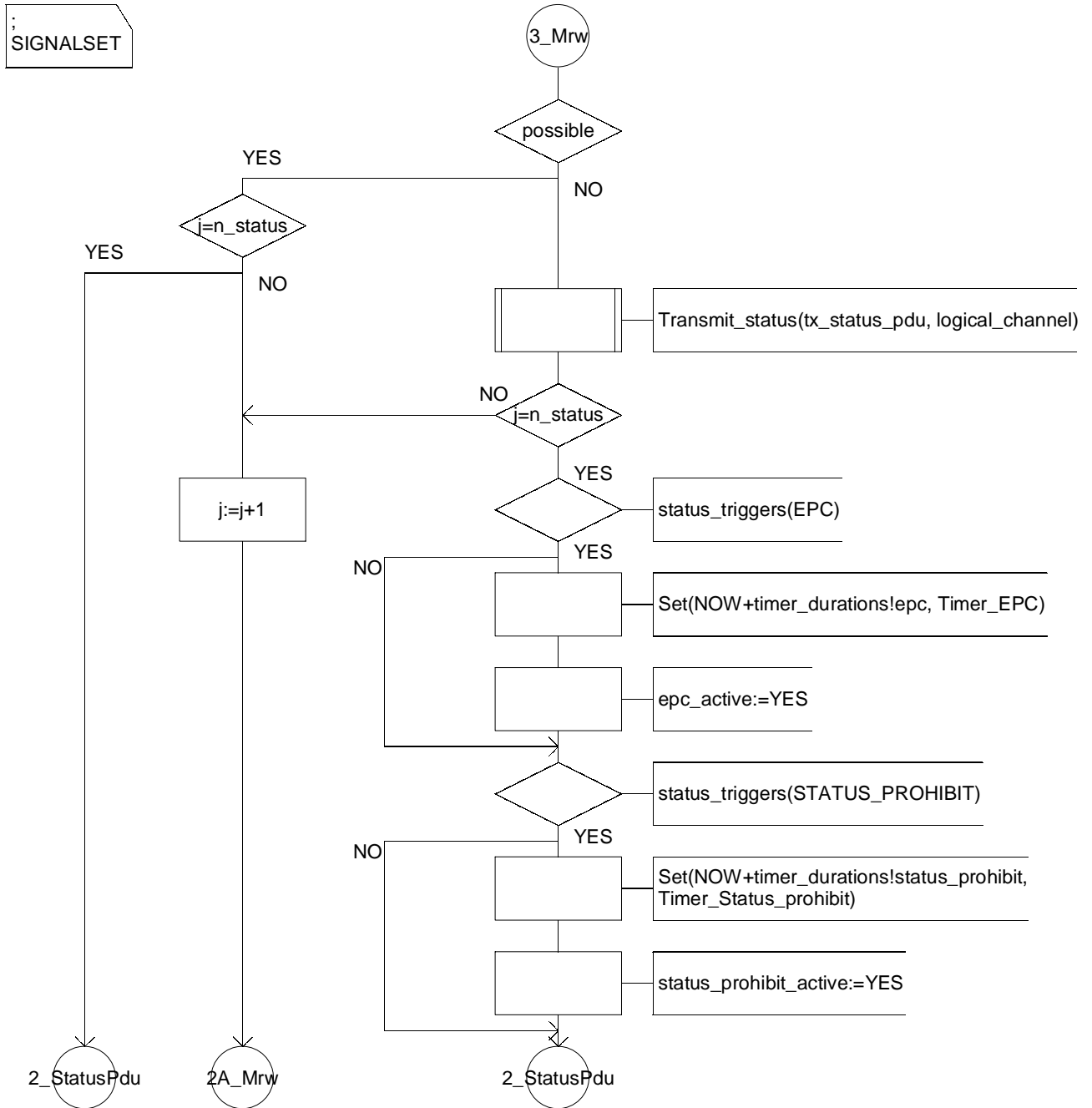
Virtual Process Type Acknowledged\_link

2\_StatusPduMrw(73



Virtual Process Type Acknowledged\_link

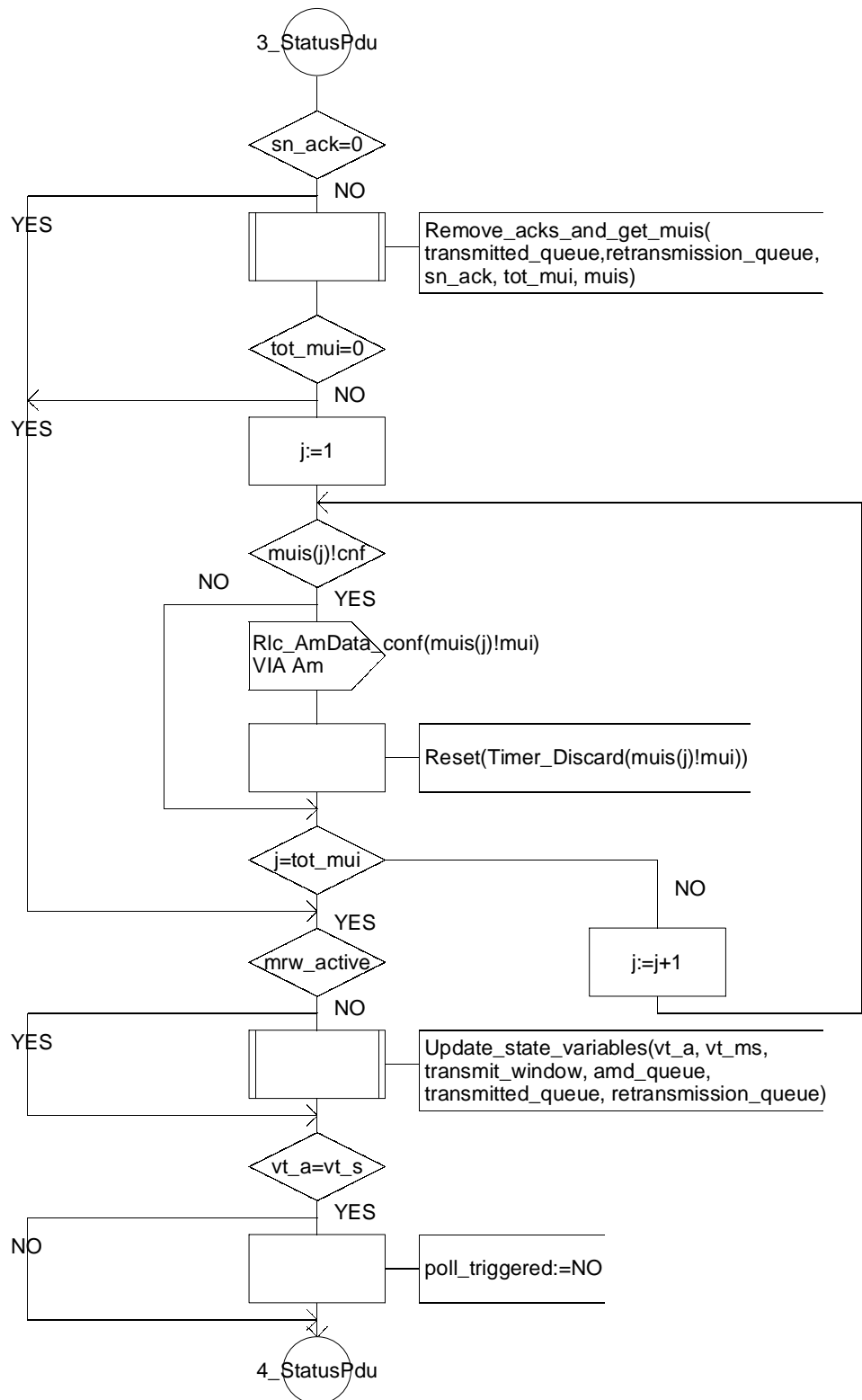
3\_StatusPduMrw(73



Virtual Process Type Acknowledged\_link

3\_StatusPdu(7)

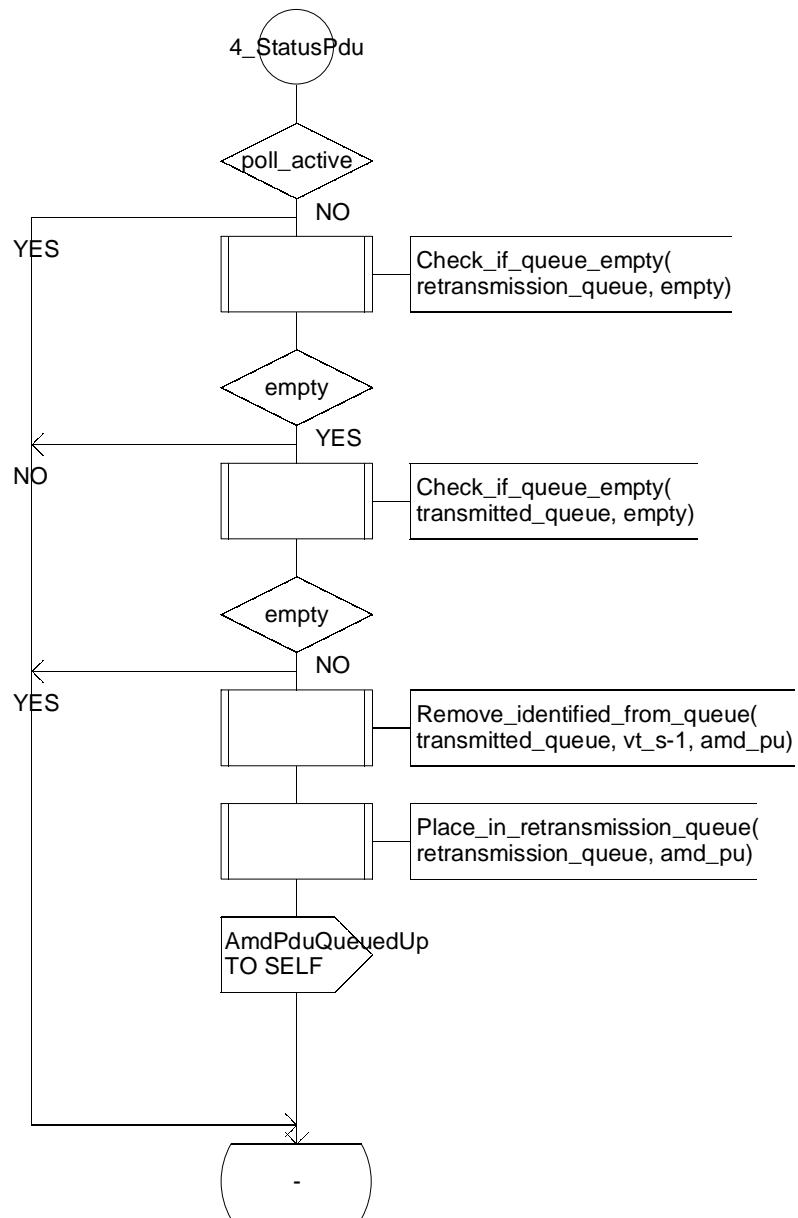
SIGNALSET



Virtual Process Type Acknowledged\_link

4\_StatusPdu(73

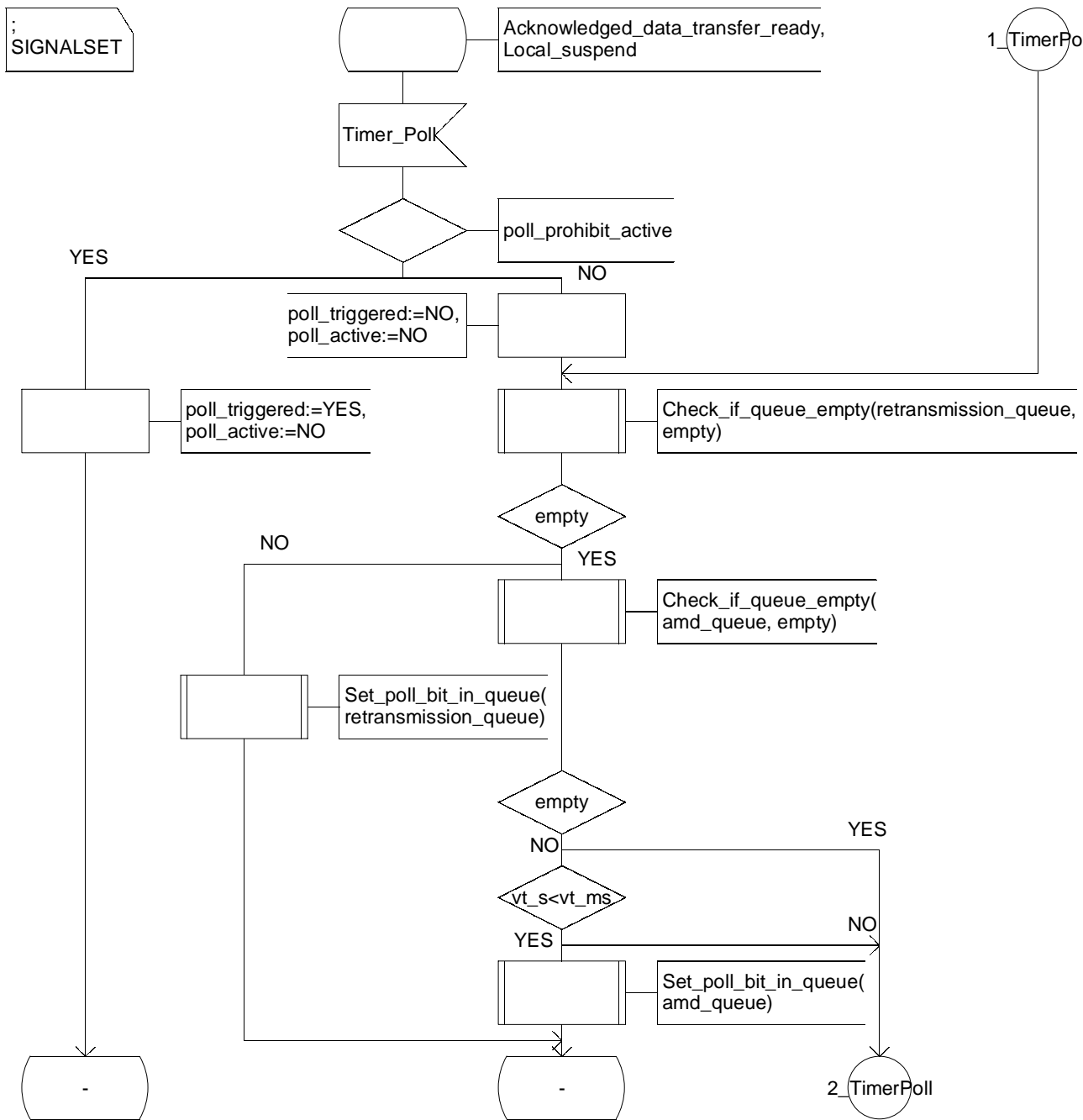
SIGNALSET





Virtual Process Type Acknowledged\_link

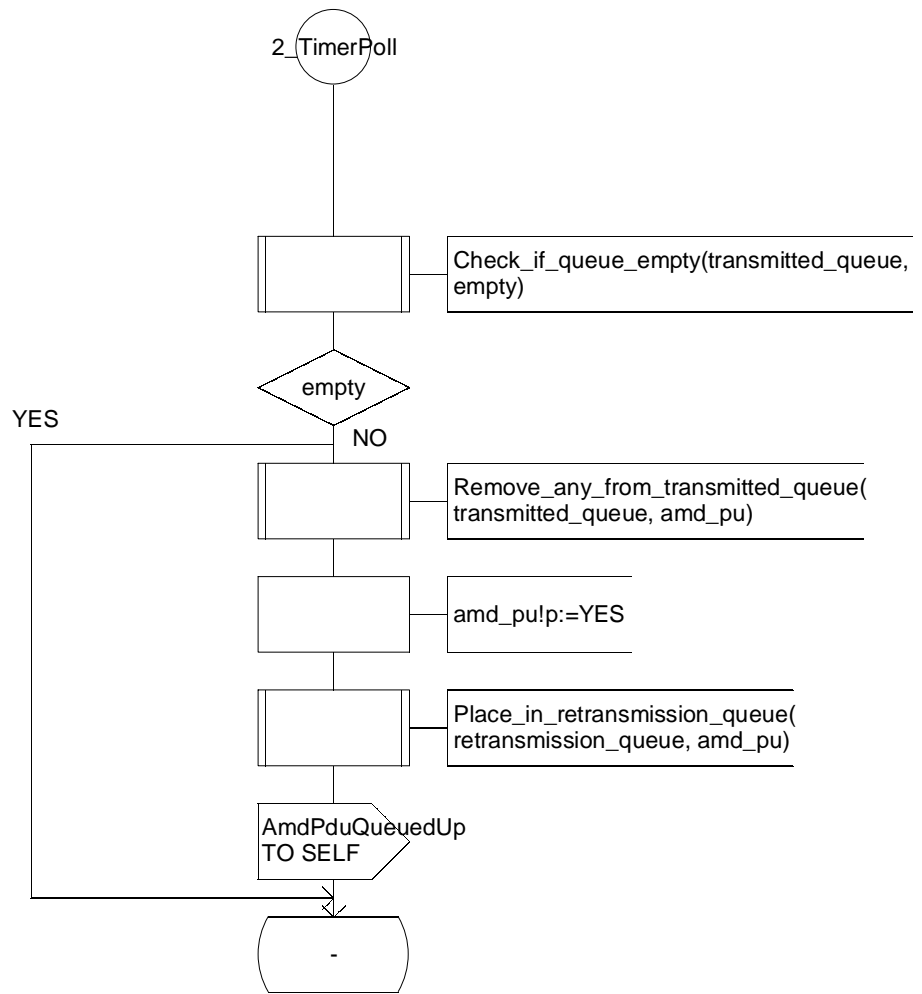
1\_TimerPoll(73)



Virtual Process Type Acknowledged\_link

2\_TimerPoll(73

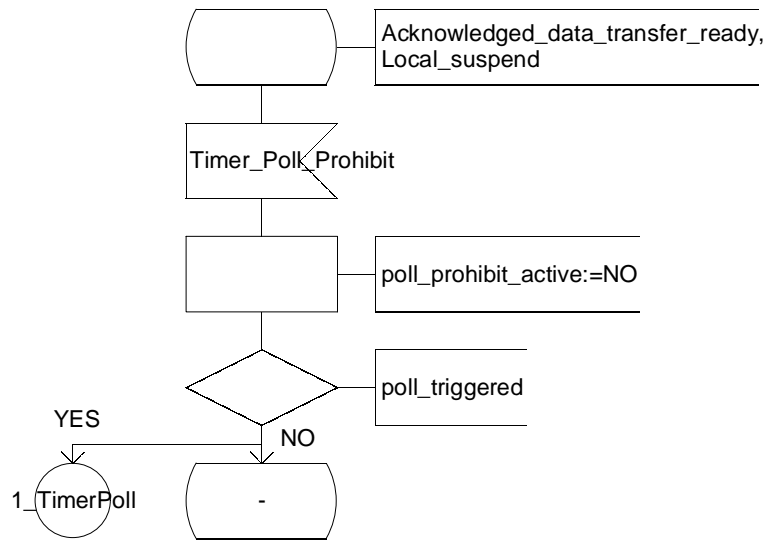
SIGNALSET



Virtual Process Type Acknowledged\_link

1\_TimerPollProhibit(73

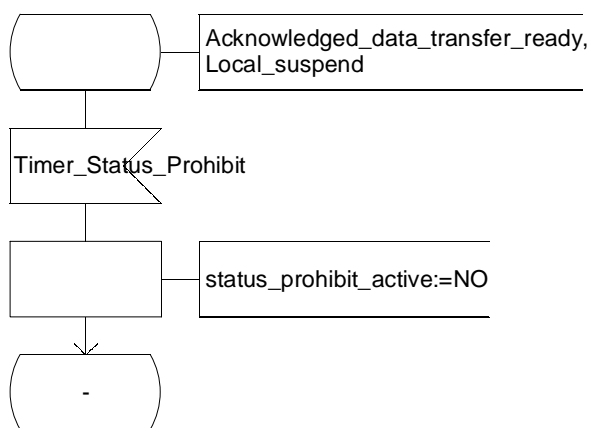
; SIGNALSET



Virtual Process Type Acknowledged\_link

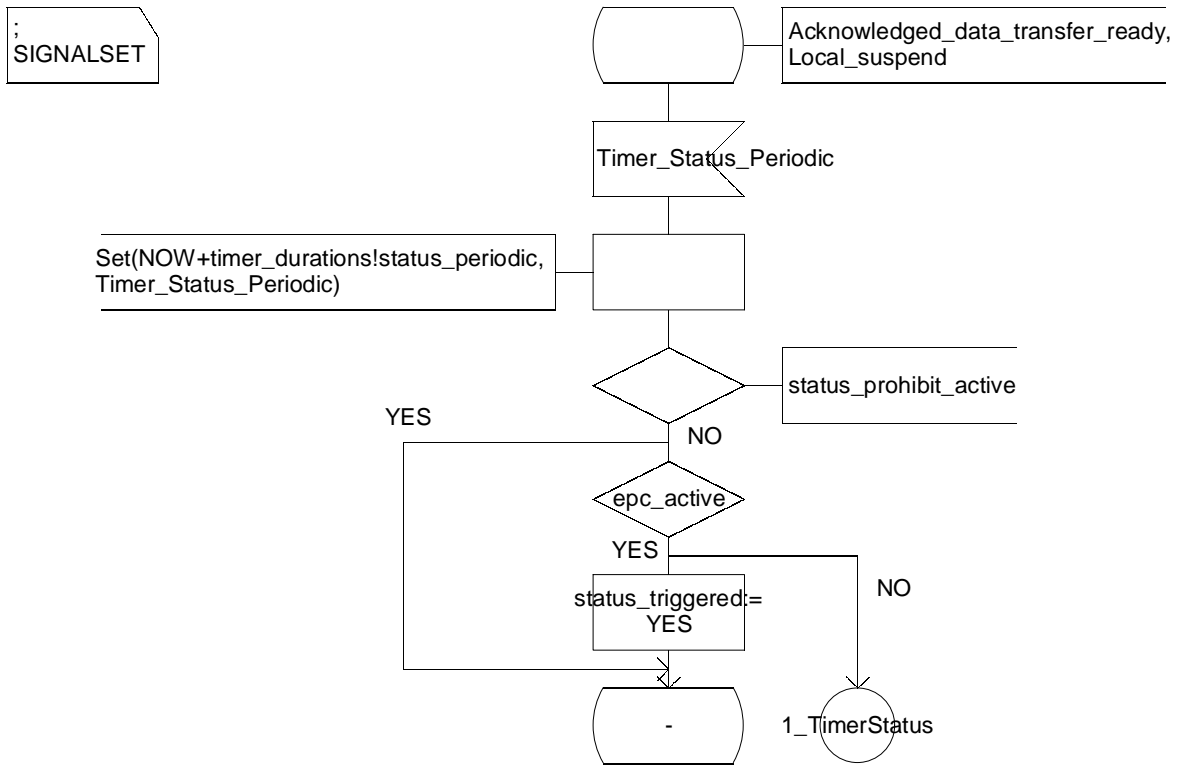
1\_TimerStatusProhibit(73

; SIGNALSET



Virtual Process Type Acknowledged\_link

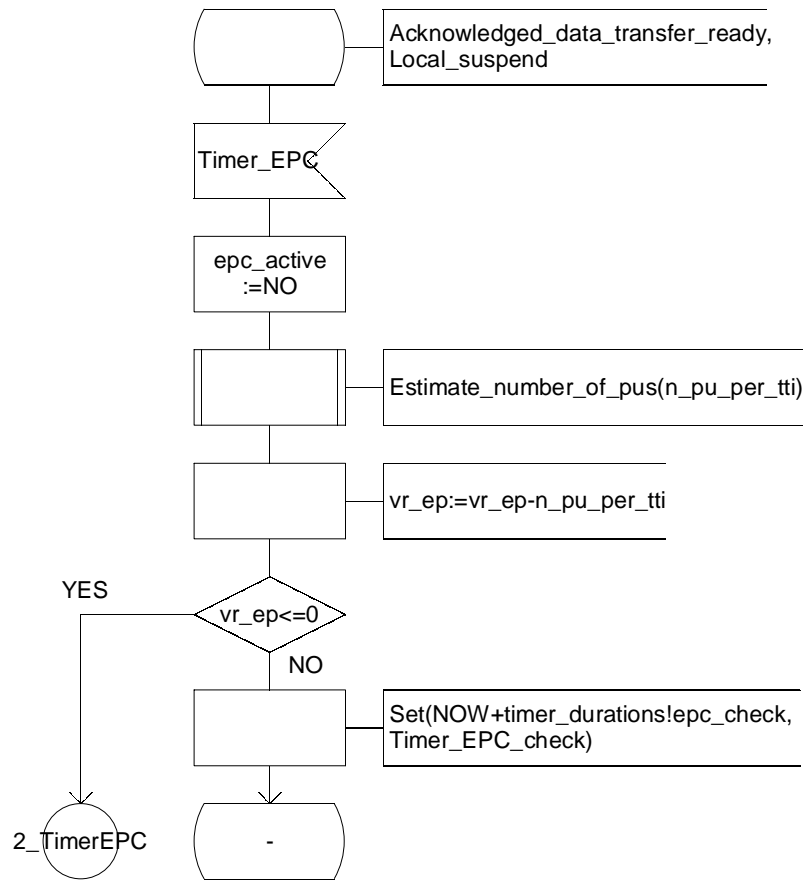
1\_TimerStatusPeriodic(73



Virtual Process Type Acknowledged\_link

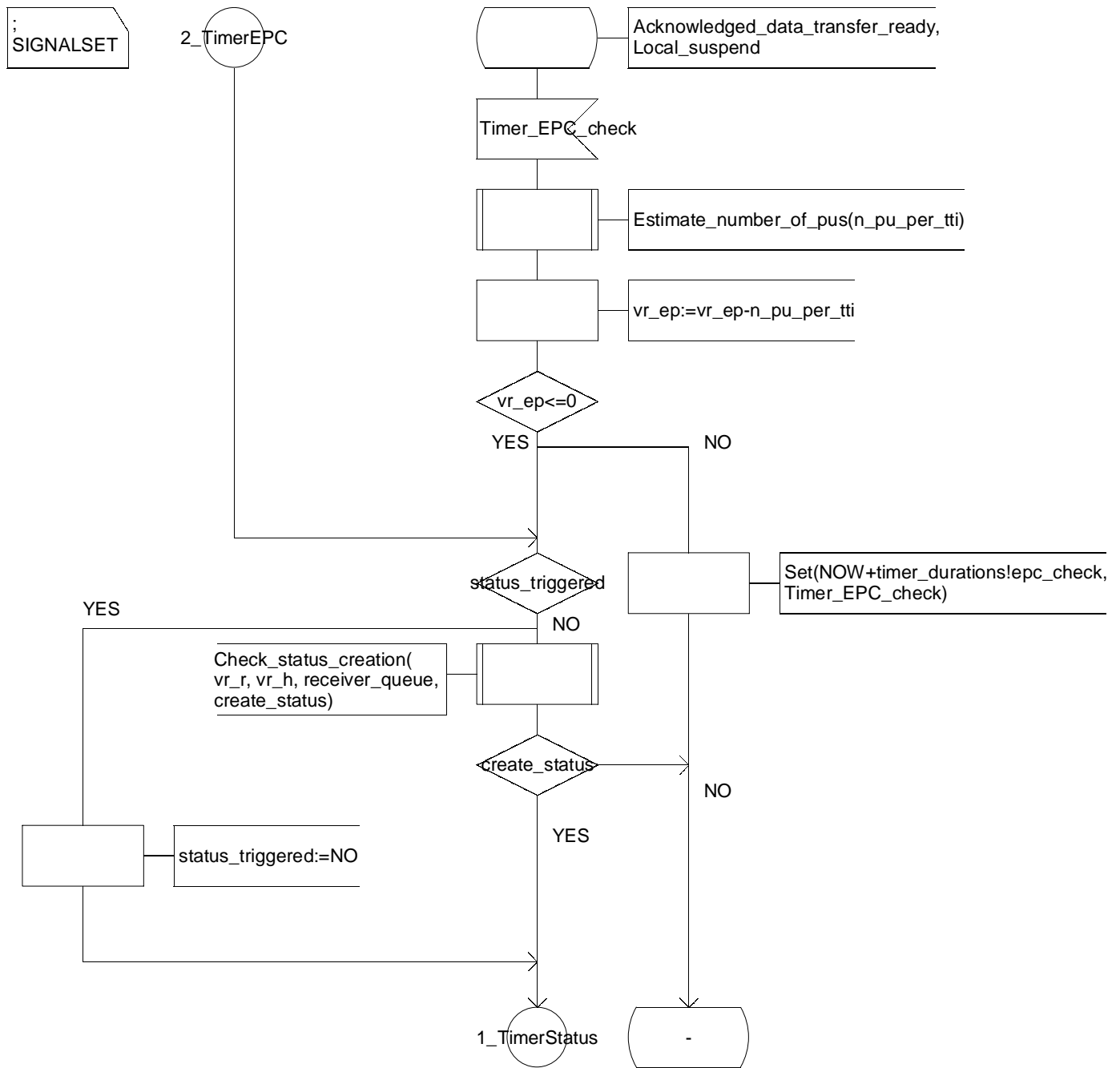
1\_TimerEpc(73

; SIGNALSET



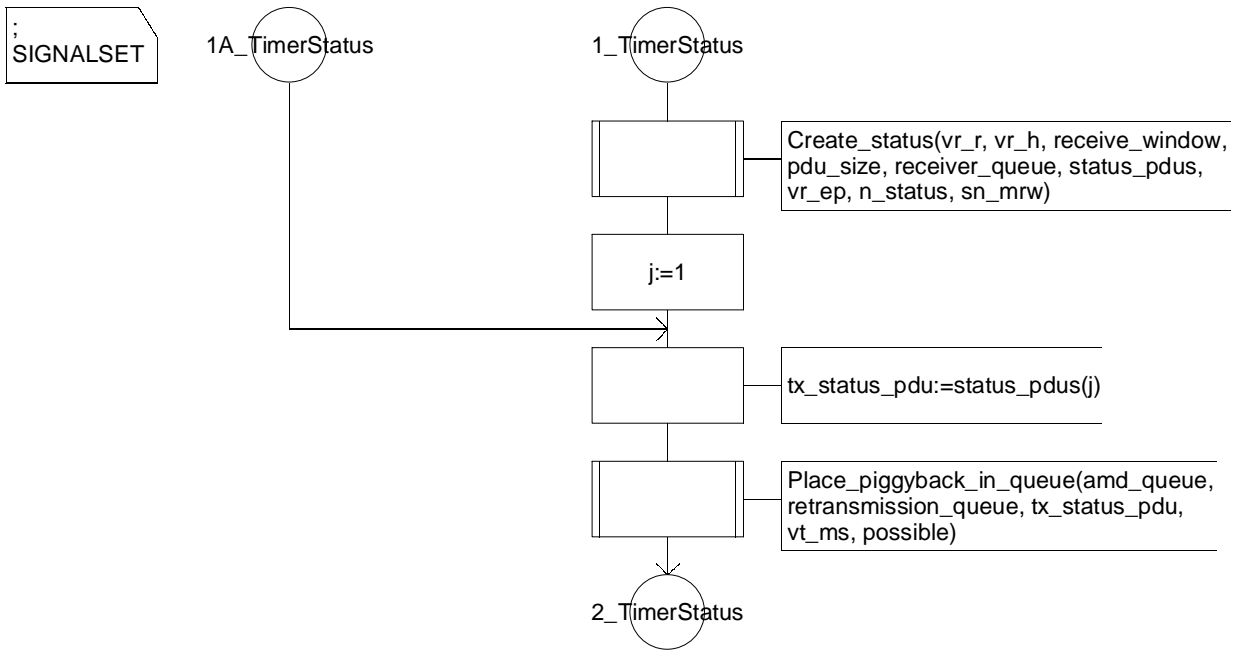
Virtual Process Type Acknowledged\_link

1\_TimerEpcCheck(73



Virtual Process Type Acknowledged\_link

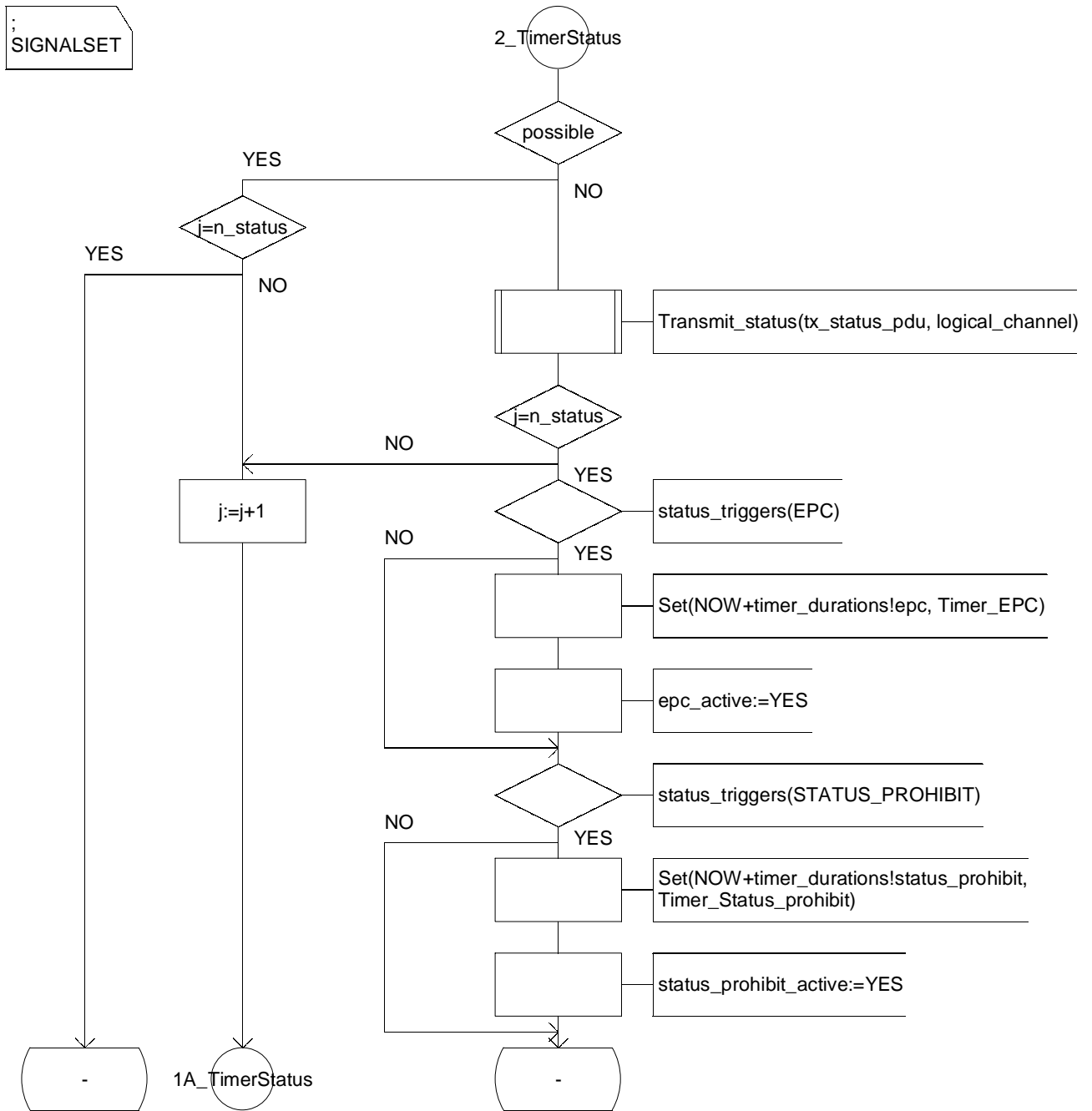
1\_TimerStatus(73





Virtual Process Type Acknowledged\_link

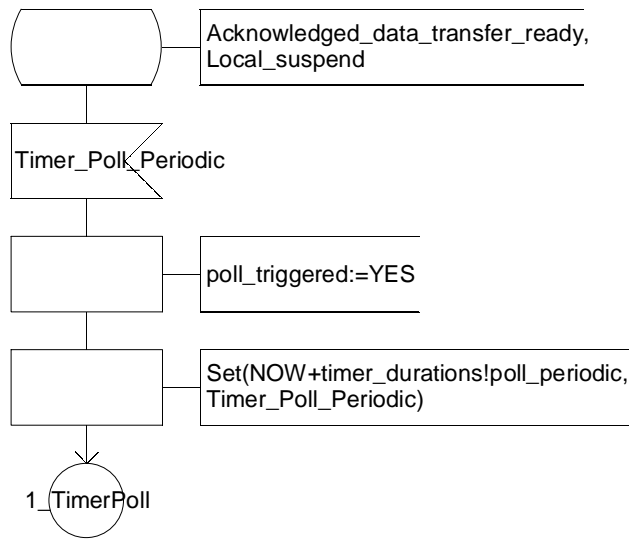
2\_TimerStatus(73



Virtual Process Type Acknowledged\_link

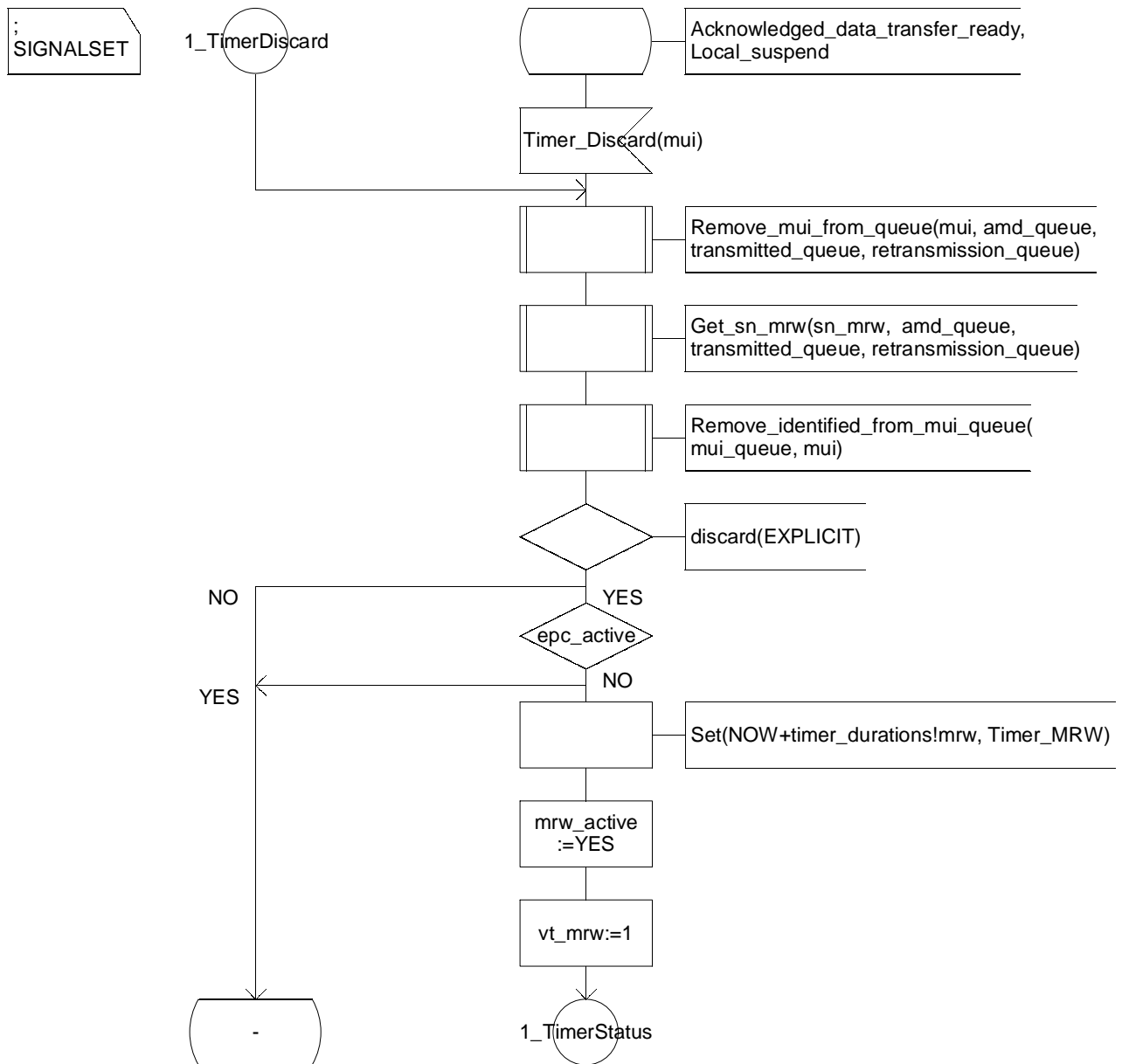
1\_TimerPollPeriodic(73

; SIGNALSET



Virtual Process Type Acknowledged\_link

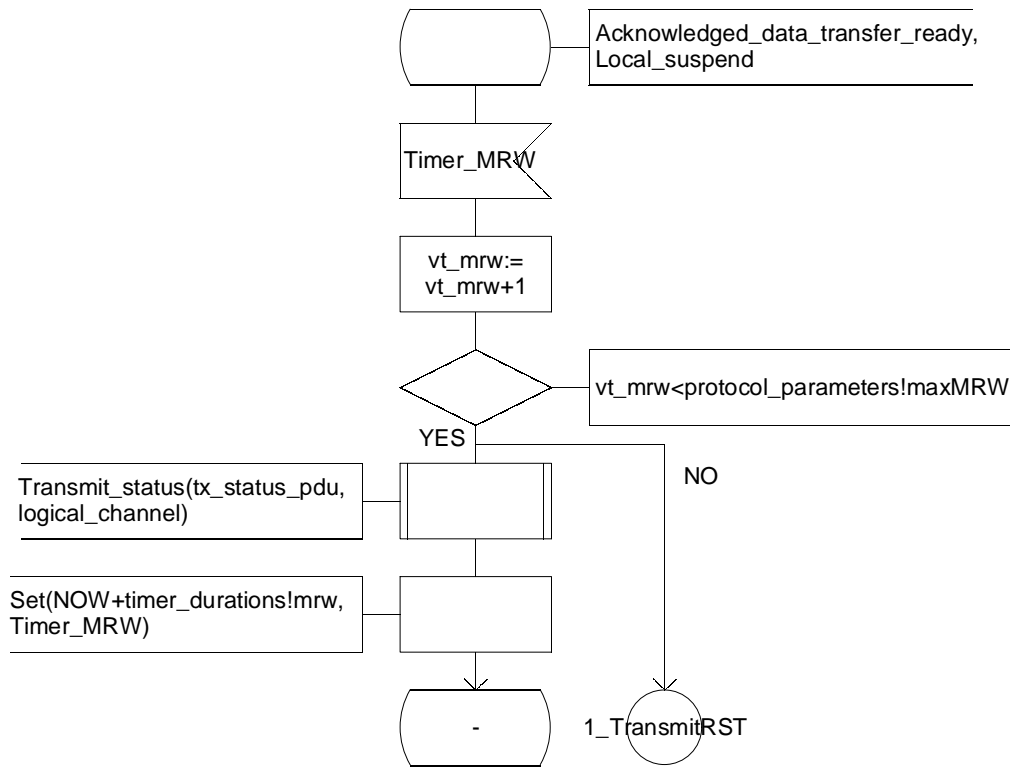
1\_TimerDiscard(73)



Virtual Process Type Acknowledged\_link

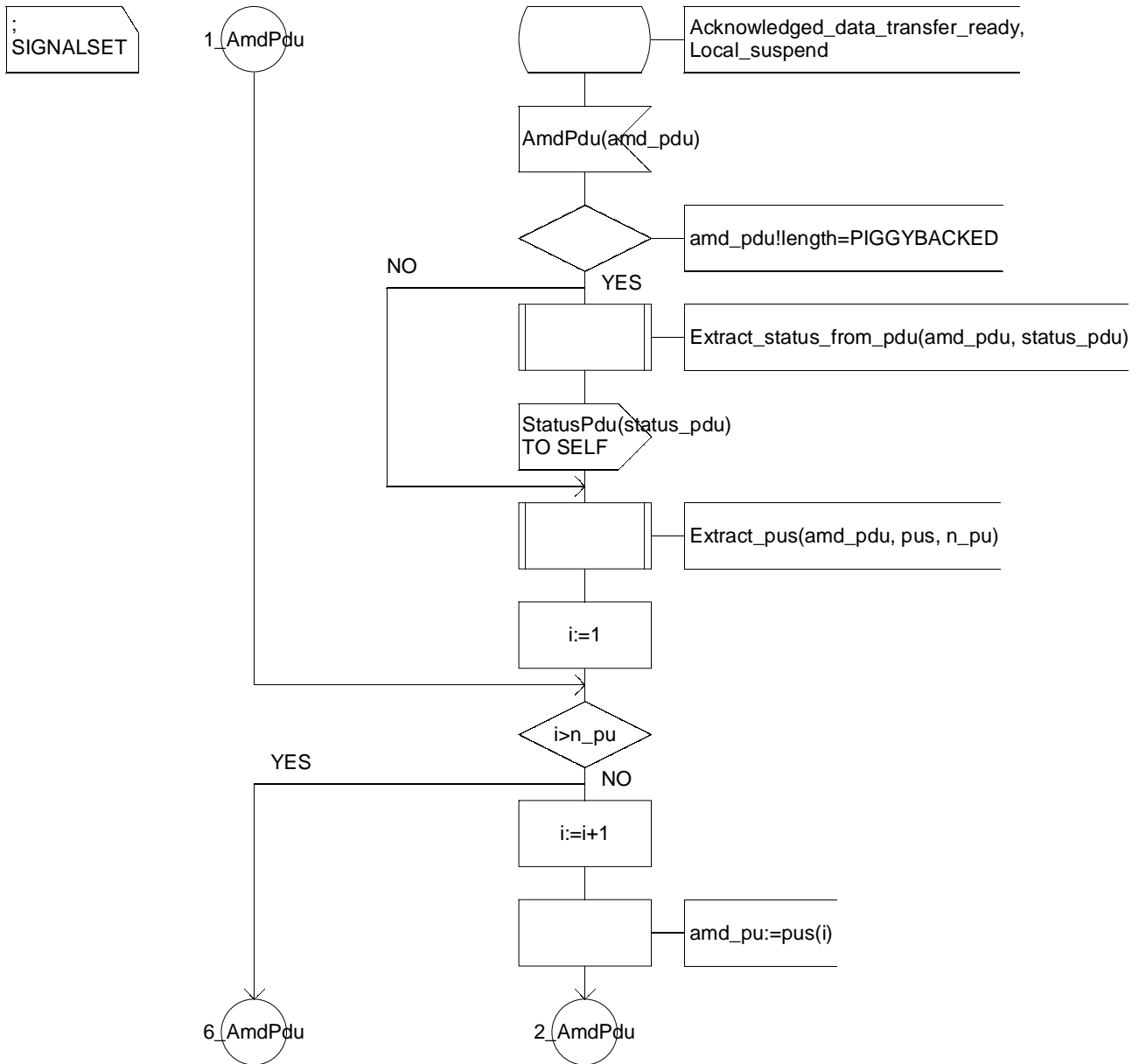
1\_TimerMRW(73

; SIGNALSET



Virtual Process Type Acknowledged\_link

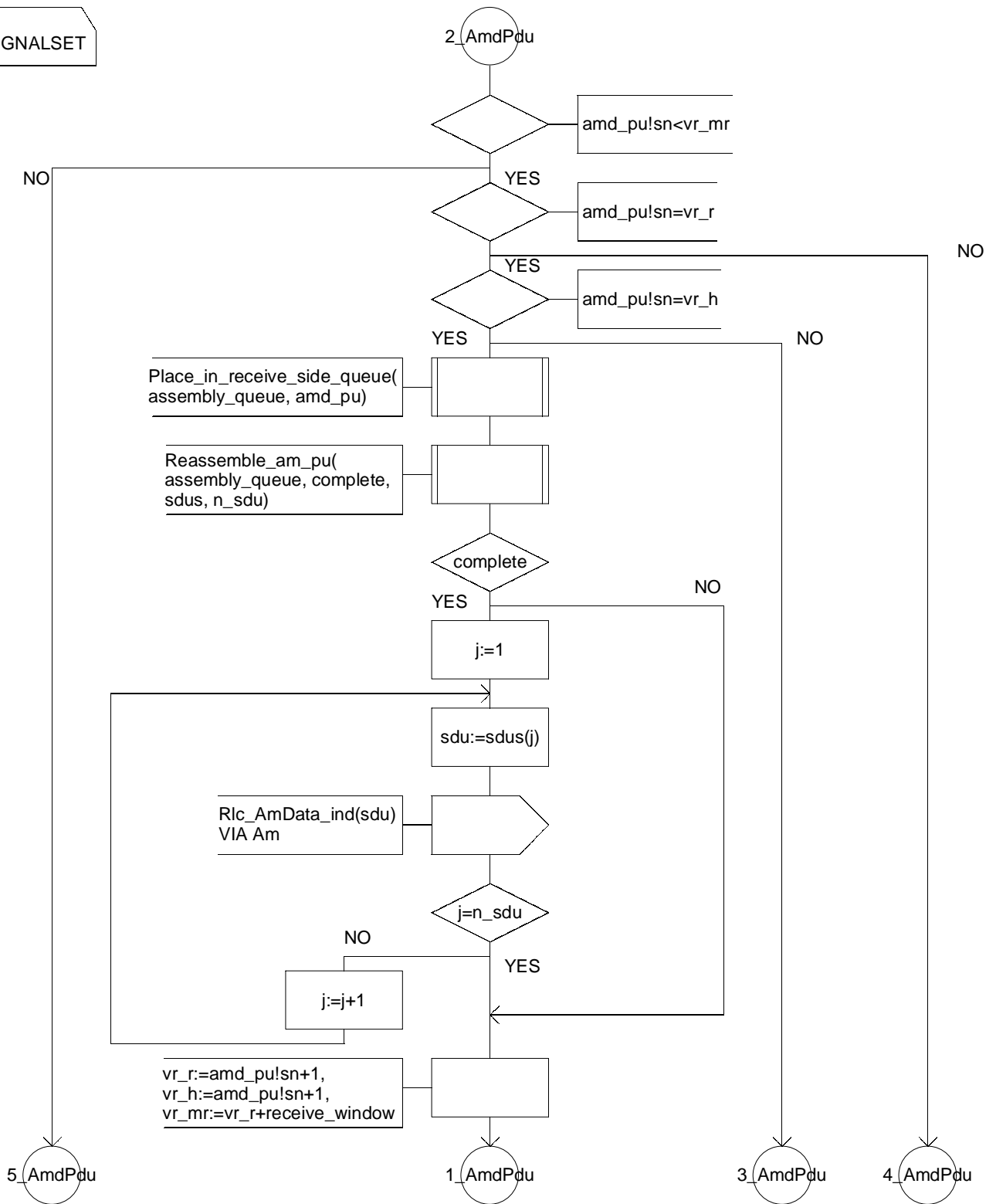
1\_AmdPdu(73



Virtual Process Type Acknowledged\_link

2\_AmdPdu(73

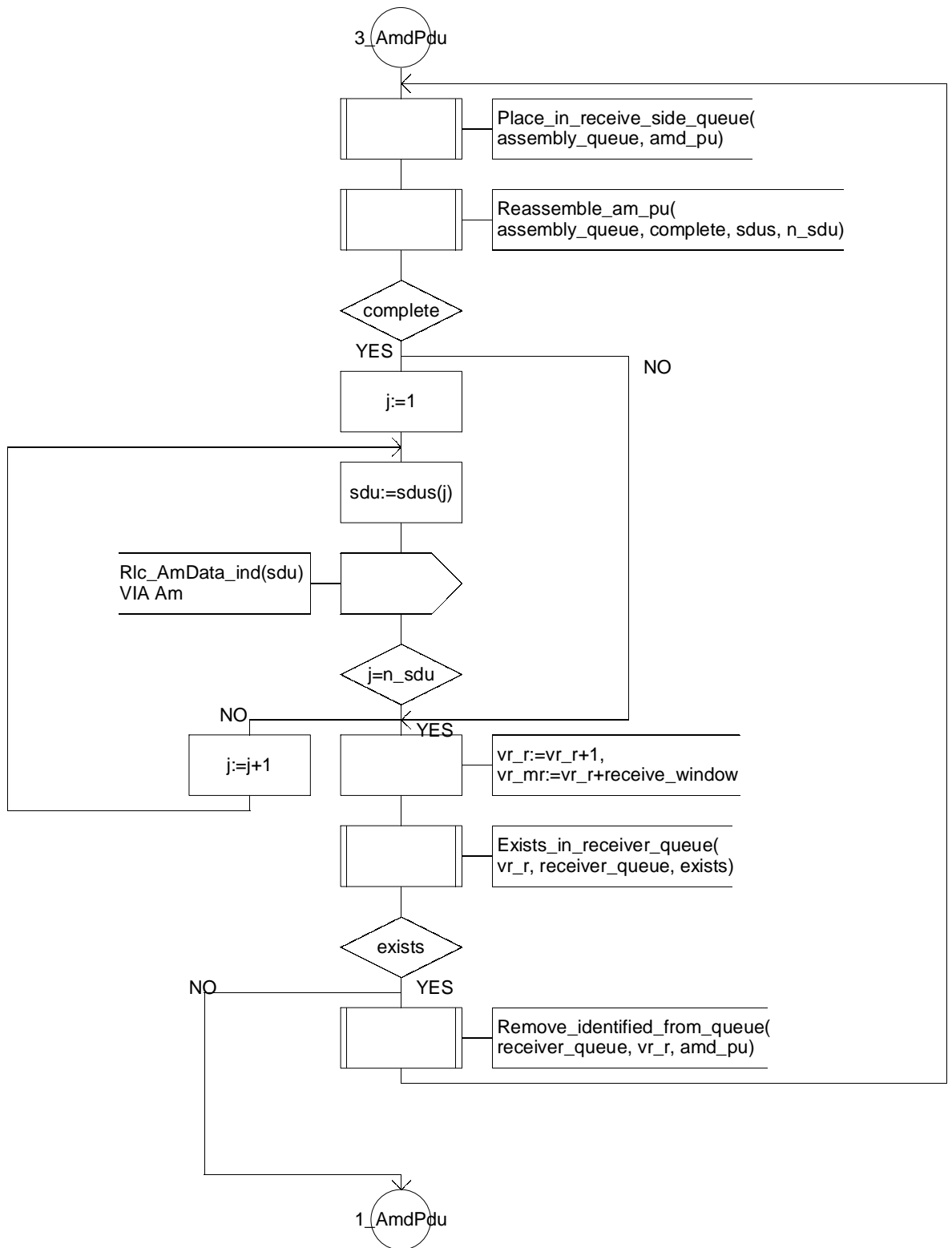
SIGNALSET



Virtual Process Type Acknowledged\_link

3\_AmdPdu(73

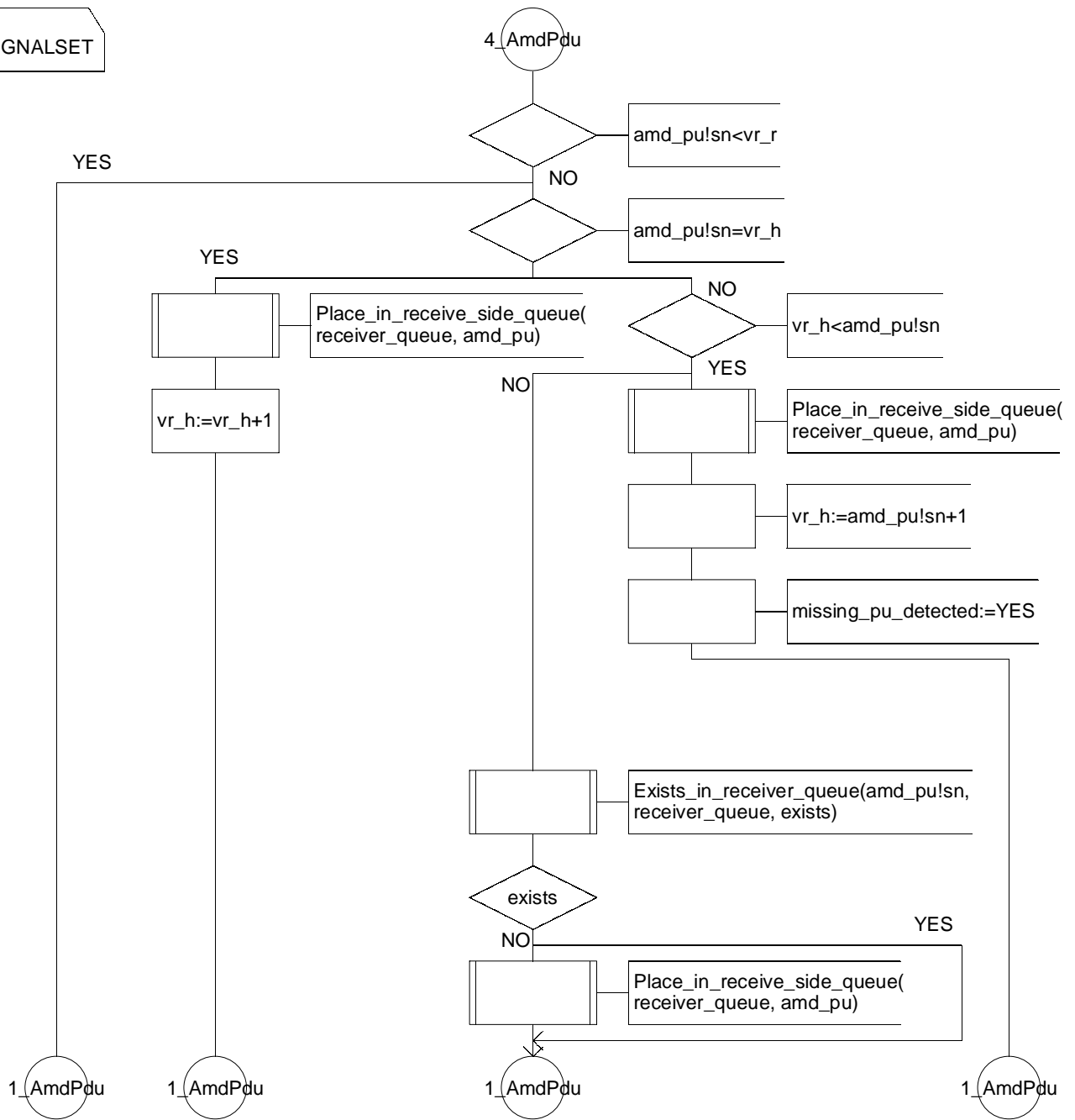
SIGNALSET



Virtual Process Type Acknowledged\_link

4\_AmdPdu(73

SIGNALSET

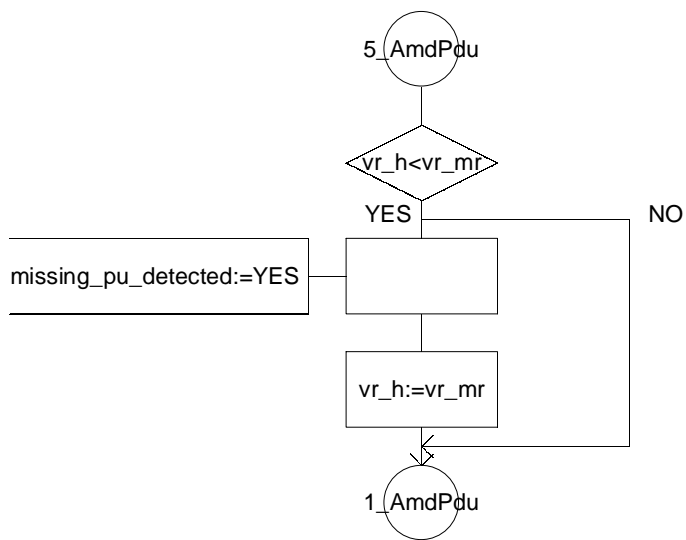




Virtual Process Type Acknowledged\_link

5\_AmdPdu(73

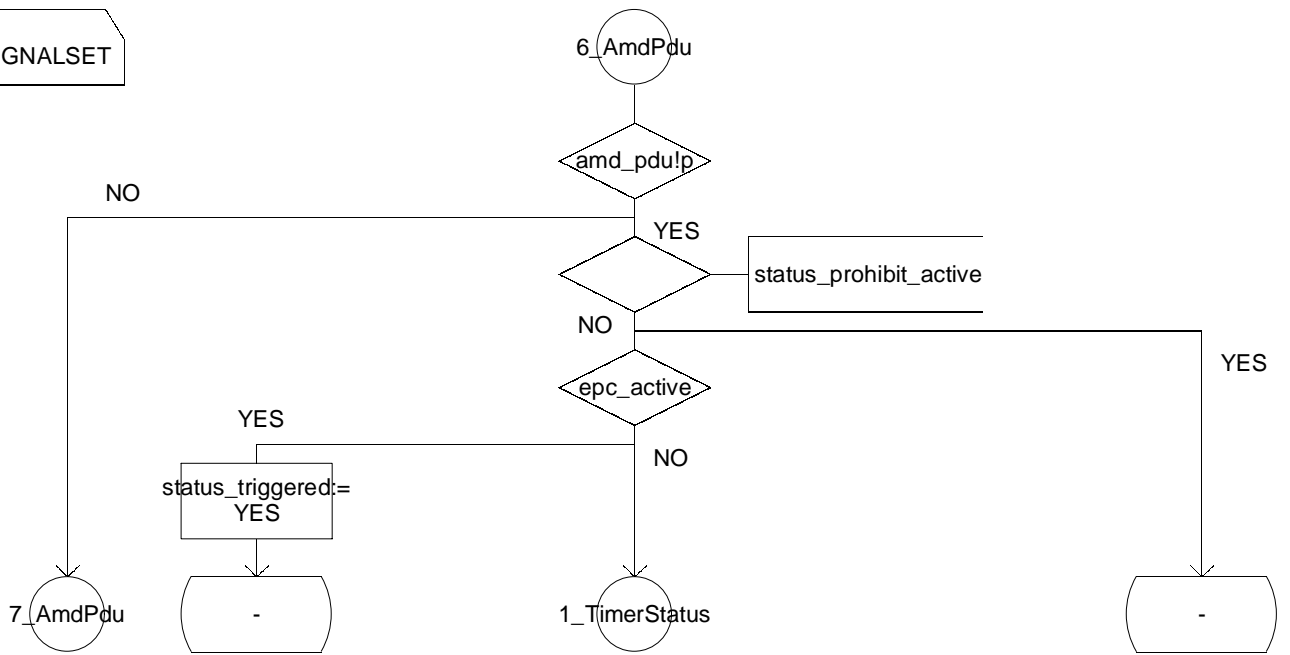
;  
SIGNALSET



Virtual Process Type Acknowledged\_link

6\_AmdPdu(73

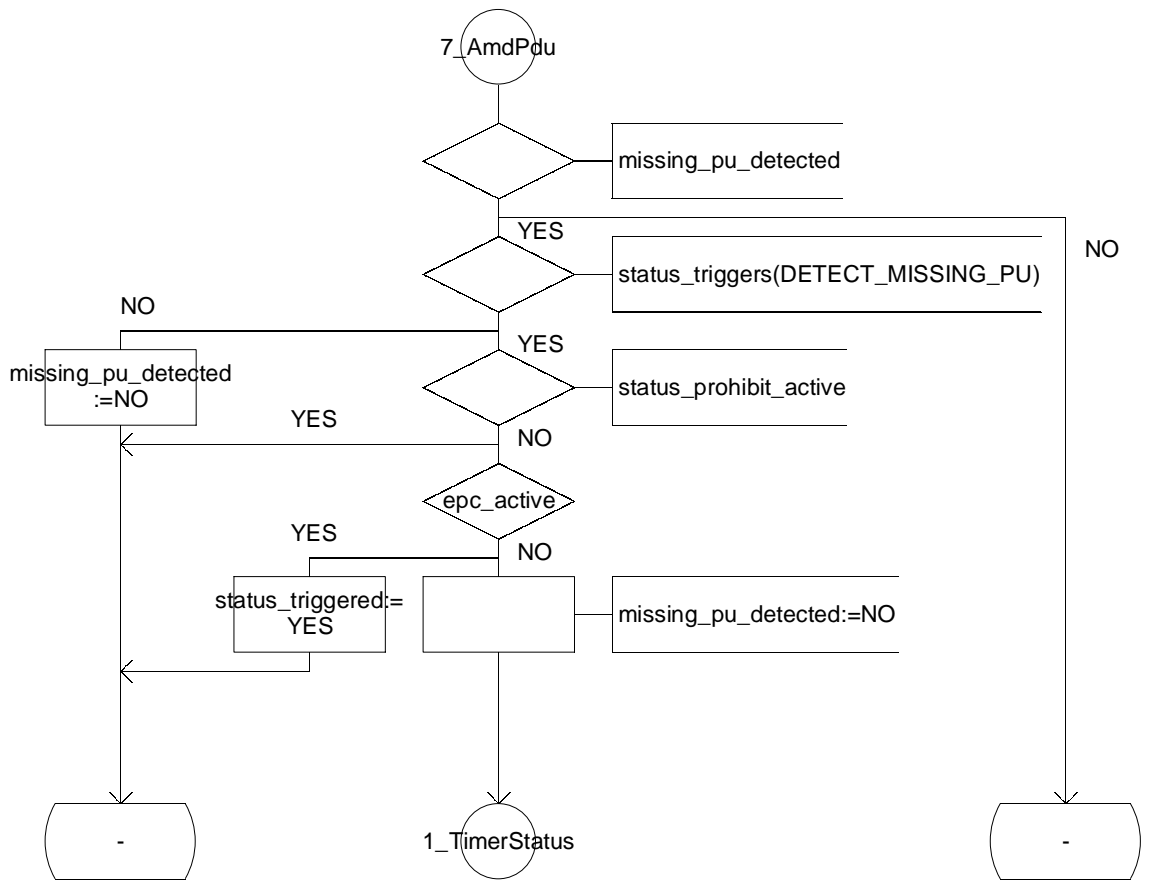
SIGNALSET



Virtual Process Type Acknowledged\_link

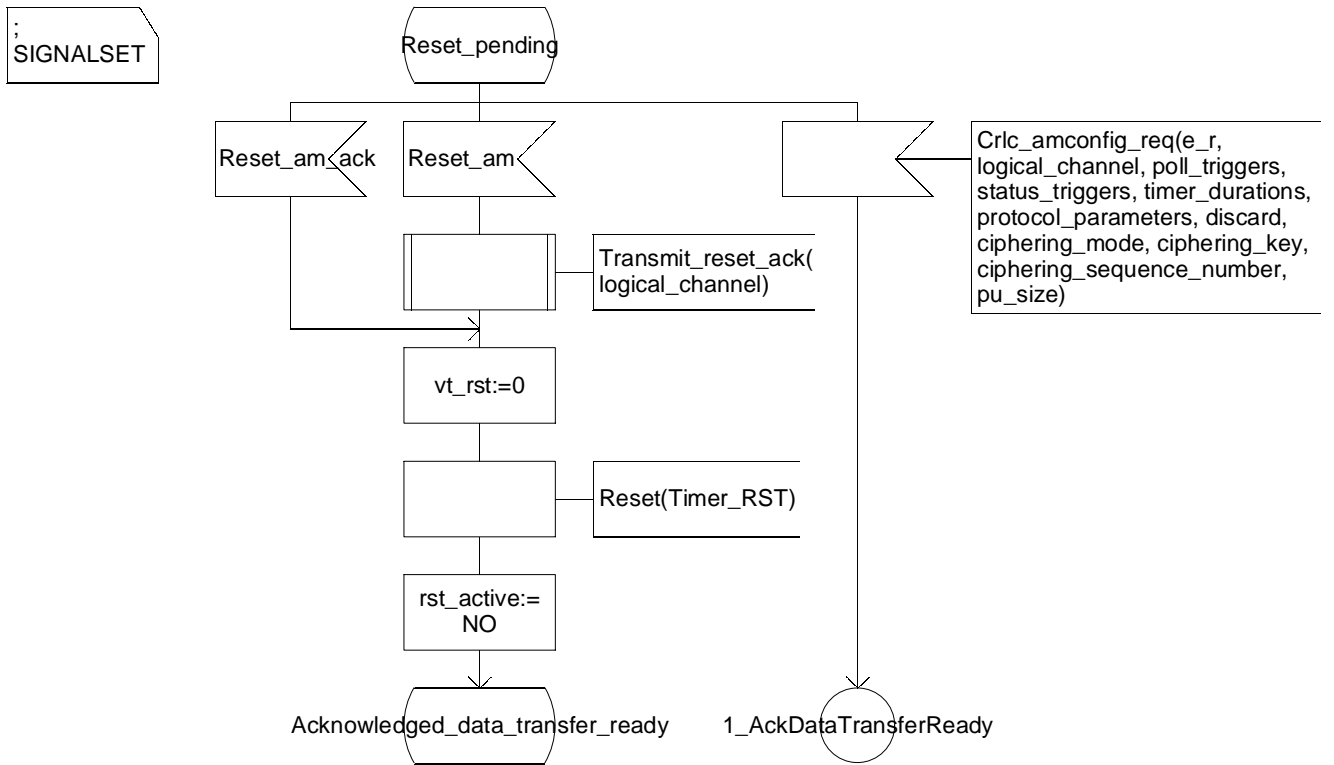
7\_AmdPdu(73

SIGNALSET



Virtual Process Type Acknowledged\_link

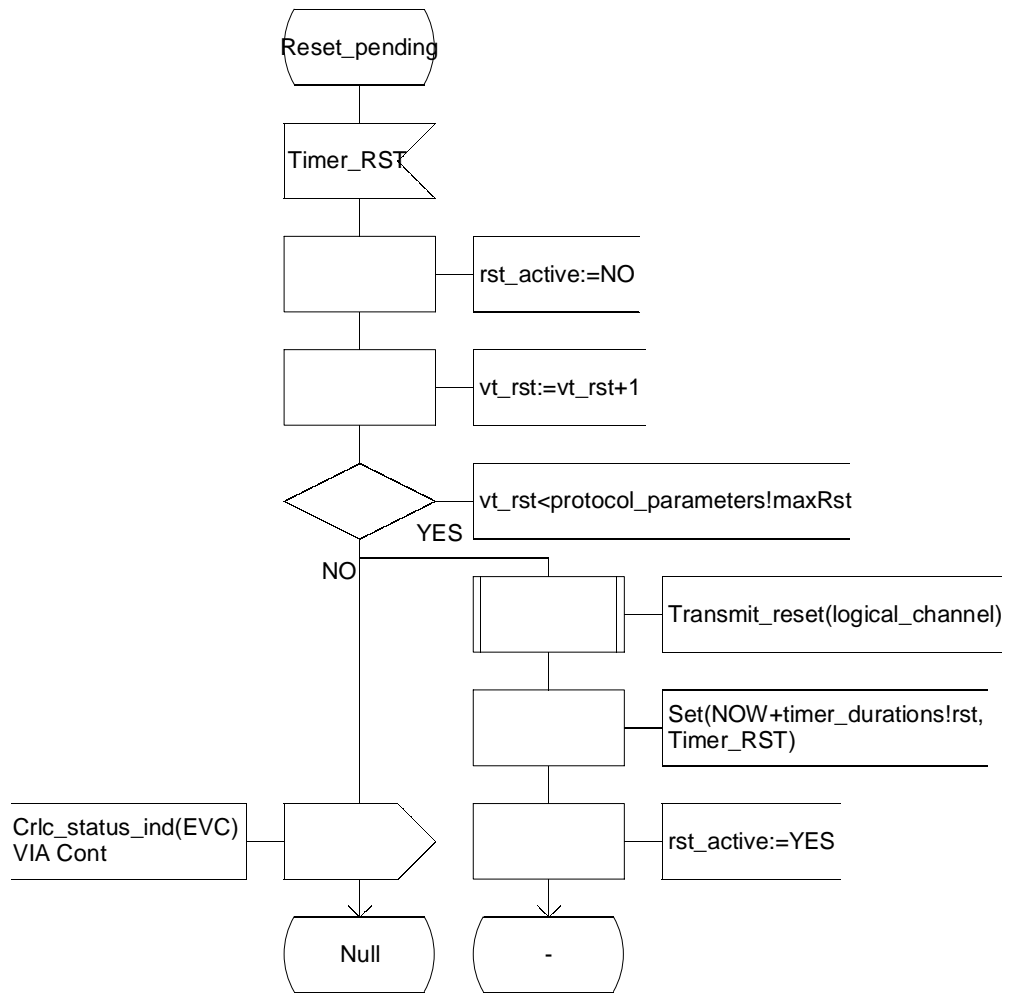
1\_ResetPending(73



Virtual Process Type Acknowledged\_link

2\_ResetPending(73

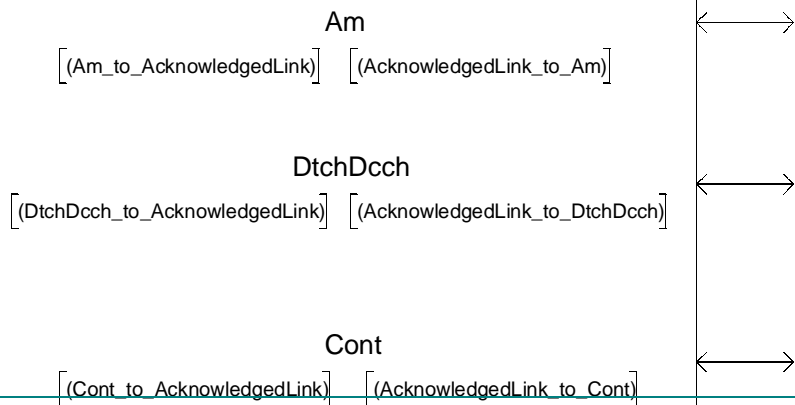
SIGNALSET



Virtual Process Type Acknowledged\_link

1\_Signals(69)

```
;  
SIGNALSET  
Crlc_amconfig_req,  
Crlc_Status_ind,  
Rlc_AmData_req,  
Rlc_AmData_ind,  
Rlc_AmData_conf,  
Reset_am,  
Reset_am_ack,  
AmdPduQueuedUp,  
StatusPdu,  
AmdPdu;
```



## Virtual Process Type Acknowledged\_link

1\_Declarations(69)

; SIGNALSET

```

DCL

/*SDU, PDU, and PU declarations:_____*/

sdu                               OctetType,
/*The sdu data from the upper layer protocol.*/

amd_pdu                           AmPdu,
/*A representation of data contained within an AmPdu.*/

amd_pu                             AmPuStructType,
/*A representation of a local am_pu*/

status_pdu, tx_status_pdu         StatPdu,
/*A representation of data contained within an StatPdu.*/

/*SDU, PDU, and PU array declarations:_____*/

sdus                               OctetArrayType,
/*An array containing SDUs.*/

pdus                               AmPduArrayType,
/*An array containing AMD PDUs created by segmenting a SDU.*/

pus                               AmPuArrayType,
/*An array containing PUs.*/

rem_pus                           AmPuArrayType,
/*An array containing PDUs to be removed from queues.*/

status_pdus                       StatusPduArrayType,
/*An array containing several STATUS PDUs.*/

/*Queue declarations:_____*/

receiver_queue                    Queue,
/*A queue used for storing PDUs as they arrive.*/

retransmission_queue              Queue,
/*A queue used for PDUs that are to be retransmitted.*/

assembly_queue                   Queue,
/*A queue used for reassembly of received PDUs into an SDU.*/

transmitted_queue                 Queue,
/*A queue used for PDUs that have been transmitted.*/

amd_queue                         Queue,
/*A queue used for PDUs to be transmitted.*/

mui_queue                         Queue;
/*A queue used to store mui numbers for which confirmation
has been requested.*/

```

## Virtual Process Type Acknowledged\_link

2\_Declarations(69)

; SIGNALSET

```

DCL
/*Indicator declarations:
*/
epc_active IndicatorType,
/*An indicator used to store whether the Timer_EPC is active or not.*/
poll_periodic_active IndicatorType,
/*An indicator used to store whether the Timer_Poll_Periodic is active or not.*/
poll_prohibit_active IndicatorType,
/*An indicator used to store whether the Timer_Poll_Prohibit is active or not.*/
rst_active IndicatorType,
/*An indicator used to store whether the Timer_RST is active or not.*/
status_periodic_active IndicatorType,
/*An indicator used to store whether the Timer_Status_Periodic is active or not.*/
status_prohibit_active IndicatorType,
/*An indicator used to store whether the Timer_Status_Prohibit is active or not.*/
empty IndicatorType,
/*An Indicator used to determine whether a queue is empty or not.*/
exists IndicatorType,
/*An indicator used to determine whether a particular pdu exists
within a queue or not.*/
complete IndicatorType,
/*An indicator used to determine whether an SDU has been
completely reassembled.*/
cnf IndicatorType,
/*An indicator used to determine whether an SDU requires
confirmation.*/
possible IndicatorType,
/*An indicator used to indicate whether status piggyback is
possible or not.*/
create_status IndicatorType,
/*An indicator used to store whether a status report should be created or not.*/
poll_triggered IndicatorType,
/*This variable is used to record if a poll is to be transmitted or not.*/
status_triggered IndicatorType,
/*This variable is used to indicate whether a status report should be transmitted
or not.*/
piggyback IndicatorType;
/*This variable indicates whether a piggybacked status report is included
in the PDU or not.*/

```



## Virtual Process Type Acknowledged\_link

3\_Declarations(69)

```
; SIGNALSET
```

```
DCL
```

```
/*Indicator declarations:*/
```

```
MRW_active IndicatorType,  
/*An indicator used to store whether the Timer_MRW is active or not.*/
```

```
poll_active IndicatorType,  
/*An indicator used to keep track of whether the Poll_Timer is active or not.*/
```

```
contains, mrw_ans IndicatorType,  
/*These indicators are used when checking the contents of a received  
status Pdu.*/
```

```
poll_answer IndicatorType,  
/*This indicator stores whether a status report is sent as an answer to a poll  
or not.*/
```

```
missing_pu_detected IndicatorType;  
/*This indicator is used to store whether he receive side has detected missing  
PUs.*/
```

Virtual Process Type Acknowledged\_link

4\_Declarations(69

SIGNALSET

```

DCL
/*Parameter declarations:_____*/

e_r                                ERParameterType,
/*The parameter indicating the desired end state.*/

poll_triggers                      PollTriggArrType,
/*a configuration parameter dealing with when to issue poll requests.*/

protocol_parameters                ProtocolParametersStructType,
/*A struct variable containing the protocol parameters set.*/

status_triggers                   StatusTriggArrType,
/*A configuraion parameter dealing with when to issue Status reports.*/

timer_durations                   TimerDurationsStructType,
/*A struct containing the various timer durations.*/

discard                            DiscardArrayType,
/*A configuration parameter identifying discard conditions.*/

ciphering_mode                    CipheringModeType,
/*The ciphering mode.*/

ciphering_key                     CipheringKeyType,
/*The ciphering key.*/

ciphering_sequence_number         CipheringSequenceNumberType,
/*The ciphering sequence number.*/

pdu_size                          OctetType,
/*The size in octets of an AMD PDU.*/

pu_size                           OctetType,
/*The size in octets of a PU.*/

/*Sequence number variables:_____*/

n, sn_ack, sq                     SequenceNumberType,
/*A local sequence number.*/

poll_window                       SequenceNumberType,
/*The size of the poll_window.*/

receive_window                    SequenceNumberType,
/*The receive window size.*/

transmit_window                   SequenceNumberType,
/*The transmit window size.*/

polled_sn                         SequenceNumberType,
/*This variable stores a sequence number associated with the PDU that contained
a poll request.*/

sn_mrw                            SequenceNumberType;
/*This variable stores the sequence number associated with a MRW request.*/
    
```

## Virtual Process Type Acknowledged\_link

5\_Declarations(69)

; SIGNALSET

```

DCL
/*Local variables declarations:_____*/

logical_channel                LogicalChannelType,
/*The logical channel associated with transmissions.*/

i, j                            INTEGER,
/*A local counter.*/

mui                            MuiType,
/*The message uit identifier associated with a message to be transmitted.*/

muis                           MuiArrayType,
/*An array used to store message unit identifiers.*/

tot_mui, k, tot_rem,           PduIndexType,
n_sq                            PduIndexType,
/*Counters used to manage the amount of PUs and SDUs received.*/

tot_list                       PduIndexType,
/*A local variable for maintaining knowledge of the total number of
(SNi, Li)-pairs in a list super field.*/

tot_bitmap, tot_rlist         PduIndexType,
/*A local variable for maintaining knowledge of the total length of a bitmap or codewords.*/

n_sdu                          PduIndexType,
/*A local variable for maintaining knowledge of the number of SDUs reassembled PUs.*/

n_pdu                          PduIndexType,
/*A local variable for maintaining knowledge of the number of AMD PDUs created from a SDU.*/

n_pu                           PduIndexType,
/*A local variable for maintaining knowledge of the number of PUs included in a AMD PDU.*/

n_status                       PduIndexType,
/*A local variable for maintaining knowledge of the number of STATUS PDUs
which have been created.*/

n_pu_per_tti                   PduIndexType,
/*A local variable for maintaining knowledge of the number of PUs received within a TTI.*/

end_state                      EndStateType,
/*A variable used to ensure correct timer reset.*/

poll_win                       REAL,
/*A local variable used to store the current transmit window usage.*/

bitmap                         IndicatorArrayType,
/*This array of boolean values indicates losses experienced by the
receiver.*/

codewords                      IndicatorArrayType;
/*This array is used to store the codewords in the rlsit super field.*/

```

## Virtual Process Type Acknowledged\_link

## 6\_Declarations(69

; SIGNALSET

```

DCL
/*State variable declarations:_____*/

vt_s                SequenceNumberType,
/*Send state variable: The sequence number of the next pu to be transmitted for the first time (i.e
excluding retransmissions). It is updated after transmission of a PDU which includes not earlier
transmitted PUs. The initial value of this variable is 0.*/

vt_a                SequenceNumberType,
/*Acknowledge state variable: The sequence number of the next in-sequence PU expected to
be acknowledged, thus forming the lower edge of the window of acceptable acknowledgements.
The variable vt_a is updated based on receipt of a STATUS PDU including an ACK super-field.
The initial value of this variable is 0.*/

vt_ms               SequenceNumberType,
/*Maximum send state variable: The sequence number of the first PU not allowed by the peer
receiver (i.e. the receiver will allow up to vt_ms-1) vt_ms=vt_a+ window size. This value
represents the upper edge of the transmit window. The transmitter shall not transmit a
new PU if vt_s >= vt_ms. The variable vt_ms is updated based on receipt of a STATUS PDU
including an ACK and/or WINDOW super-field.*/

vt_pu               SequenceNumberType,
/*This state variable is used when the poll every Poll_PU PU function is used. It is incremented with
1 for each PU that is transmitted. It should be incremented for both new and retransmitted PUs.
When it reaches Poll_PU a new poll is transmitted and the state variable is set to zero. The initial
value of this variable is 0.*/

vt_sdu              SequenceNumberType,
/*This state variable is used when the poll every Poll_SDU SDU function is used. It is incremented
with 1 for each SDU that is transmitted. When it reaches Poll_SDU a new poll is transmitted and
the state variable is set to zero. The poll bit should be set in the PU that contains the last segment
of the SDU. The initial value of this variable is 0.*/

vt_rst              SequenceNumberType,
/*Reset state variable: This variable is used to count the number of times a RESET PDU is transmit-
ted. It is incremented with 1 each time a RESET PDU is transmitted. It is reset upon reception of
a RESET ACK PDU. The initial value of this variable is 0.*/

vr_r                SequenceNumberType,
/*Receive state variable: The sequence number of the next in sequence PU expected to be received.
It is updated upon receipt of the next in-sequence pdu. The initial value of this variable is 0.*/

vr_h                SequenceNumberType,
/*Highest expected state variable: The sequence number of the next highest expected pdu. The vari-
able is updated whenever a new pdu is received with SN>=vr_h. The initial value of this variable is 0.*/

vr_mr               SequenceNumberType,
/*Maximum acceptable receive state variable: The sequence number of the first pdu not allowed
by the receiver (i.e. the receiver will allow up to vr_mr-1), vr_mr=vr_r+window size. The receiver
shall discard PUs with SN>=vr_mr, (in one case, such a PU may cause the transmission of an
unsolicited STATUS PDU).*/

vr_ep               SequenceNumberType;
/*Estimated PDU counter state variable: The number of PUs that should be received yet as
a consequence of the transmission of the latest STATUS PDU. In acknowledged mode,
this state variable is updated at the end of each transmission time interval. It is decremented
by the number of PUs that should have been received during the transmission time interval. If
VR(EP) is equal to zero, then check if all PUs requested for retransmission in the latest STATUS
PDU have been received.*/

```

Virtual Process Type Acknowledged\_link

7\_Declarations(69

```
;
SIGNALSET
Crlc_amconfia_red
```

```
DCL
/*State variable declarations:_____*/

vt_dat                               SequenceNumberType,
/*This is a local variable that stores the highest value associated with any
  PU within the PDU formed from the retransmission queue.*/

vt_mrw                               SequenceNumberType;
/*A variable used to keep track of the number of transmissions of MRW that has
  occurred.*/
```

## Virtual Process Type Acknowledged\_link

## 8\_Declarations(69

; SIGNALSET

## TIMER

Timer\_Poll,

/\*This timer is only used when the poll timer trigger is used. It is started when the transmitting side sends a poll to the peer entity. The timer is stopped when receiving a STATUS PDU that contains an acknowledgement or negative acknowledgement of the AMD PDU that triggered the timer. The value of the timer is signalled by RRC. If the timer expires and no STATUS PDU containing an acknowledgement or negative acknowledgement of the AMD PDU that triggered the timer has been received, the receiver is polled once more (either by the transmission of a PDU which was not yet sent, or by a retransmission) and the timer is restarted. If a new poll is sent when the timer is running it is restarted. \*/

Timer\_Poll\_Prohibit,

/\*This timer is only used when the poll prohibit function is used. It is used to prohibit transmission of polls within a certain period. A poll shall be delayed until the timer expires if a poll is triggered when the timer is active. Only one poll shall be transmitted when the timer expires even if several polls were triggered when the timer was active. This timer will not be stopped by a STATUS PDU. The value of the timer is signalled by RRC. \*/

Timer\_EPC,

/\*This timer is only used when the EPC function is used and it accounts for the roundtrip delay, i.e. the time when the first retransmitted PU should be received after a STATUS has been sent. The timer is started when a STATUS report is transmitted and when it expires EPC can start decrease (see section 9.7.3). The value of the timer is signalled by RRC\*/

Timer\_EPC\_check,

/\*This timer is used to count down the state variable vr\_ep at a certain interval.\*/

Timer\_Discard(MuiType),

/\*This timer is used for the SDU discard function. In the transmitter, the timer is activated upon reception of a SDU from higher layer. If the SDU has not been acknowledged when the timer expires, the SDU is discarded and a Move Receiving Window request is sent to the receiver. If the SDU discard function does not use the Move Receiving Window request, the timer is also used in the receiver, where it is activated once a PDU is detected as outstanding, i.e. there is a gap between sequence numbers of received PDUs. The value of the timer is signalled by RRC.\*/

Timer\_Poll\_Periodic,

/\*This timer is only used when the timer based polling is used. The timer is started when the RLC entity is created. Each time the timer expires a poll is transmitted and the timer is restarted. The value of the timer is signalled by RRC.\*/

Timer\_Status\_Prohibit,

/\*This timer is only used when the STATUS PDU prohibit function is used. It prohibits the receiving side from sending STATUS PDUs. The timer is started when a STATUS PDU is transmitted and no new STATUS PDU can be transmitted before the timer has expired. The value of the timer is signalled by RRC.\*/

Timer\_Status\_Periodic,

/\*This timer is only used when timer based STATUS PDU sending is used. The timer is started when the RLC entity is created. Each time the timer expires a STATUS PDU is transmitted and the timer is restarted. The value of the timer is signalled by RRC.\*/

Timer\_MRW,

/\*This timer is used to keep track of the response to the MRW sufi type.\*/

Timer\_RST;

/\*It is used to detect the loss of RESET ACK PDU from the peer RLC entity. This timer is set when the RESET PDU is transmitted. And it will be stopped upon reception of RESET ACK PDU. If it expires, RESET PDU will be retransmitted.\*/

Virtual Process Type Acknowledged\_link

1\_LocalProcedures(69

SIGNALSET

Sdu\_am\_segmentation

This procedure manages segmentation and concatenation of sdus. If the poll\_trigger EVERY\_POLL\_SDU is used, poll bit is set in accordance with the value POLL\_SDU. In case a SDU is smaller than a PU and waiting next SDU, n\_pdu=0 is returned.

FPAR

IN/OUT	sdu	OctetType,
IN	cfm	IndicatorType,
IN/OUT	np	SequenceNumberType,
IN/OUT	pdu_s	AmPduArrayType,
IN/OUT	qu	Queue,
IN	poll_trigg	PollTriggArrType,
IN	prtcl_parameter	ProtocolParameterStructType,
IN/OUT	vt_sdu	SequenceNumberType,
IN	cip_m	CipheringModeType,
IN	cip_k	CipheringKeyType,
IN	cip_s	CipheringSequenceNumberType,
IN/OUT	mui	MuiType,
IN	pdu_s	OctetType,
IN	pu_s	OctetType;

Set\_sequence\_number

This procedure sets the sequence numbers within an AmPdu.

FPAR

IN/OUT	pdu	AmPdu,
IN	vt_s	SequenceNumberType;

Read\_pdu

This procedure retrieves a copy of the first entry in the queue indicated as parameter to the procedure.

FPAR

IN/OUT	qu	Queue,
IN/OUT	am_pdu	AmPdu;

Virtual Process Type Acknowledged\_link

2\_LocalProcedures(69

; SIGNALSET

Place\_several\_in\_queue

This procedure places several pus in the indicated queue.

FPAR  
 IN/OUT qu Queue,  
 IN/OUT tot PduIndexType,  
 IN/OUT pus AmPuArrayStructType;

Place\_in\_queue

This procedure places the indicated pdu within the queue given as parameter to the procedure.

FPAR  
 IN/OUT qu Queue,  
 IN/OUT pdu AmPdu;

Place\_piggyback\_in\_queue

This procedure places a piggybacked STATUS PDU onto the first AMD PDU within a queue.

FPAR  
 IN/OUT qu Queue,  
 IN/OUT re\_qu Queue,  
 IN/OUT stat\_pdu StatPdu,  
 IN pa IndicatorType,  
 IN/OUT pos IndicatorType;

Place\_in\_mui\_queue

This procedure places a message identifier in the sdu queue.

FPAR  
 IN/OUT qu Queue,  
 IN mui MuiType;

Place\_in\_transmitted\_queue

This procedure stores the individual pu:s within the transmitted queue.

FPAR  
 IN/OUT qu Queue,  
 IN/OUT pdu AmPdu;



Virtual Process Type Acknowledged\_link

3\_LocalProcedures(69)

```

;
SIGNALSET
Crlc_amconfia_re
    
```

Place\_in\_receive\_side\_queue

This procedure places a PU in one of the receive side queues.

FPAR

IN/OUT qu Queue,

IN/OUT pu AmPuStructType;

Place\_in\_retransmission\_queue

This procedure places a PU in the retransmission queue.

FPAR

IN/OUT qu Queue,

IN/OUT pu AmPuStructType;

Remove\_from\_retransmission\_queue

This procedure retrieves an AMD PDU from the retransmission queue.

FPAR

IN/OUT qu Queue,

IN/OUT pdu AmPdu,

IN pdu\_s OctetType,

IN pu\_s OctetType,

IN/OUT n\_pu PduIndexType;

Virtual Process Type Acknowledged\_link

4\_LocalProcedures(69

;  
SIGNALSET

Remove\_from\_queue

This procedure removes the first PDU in the queue and returns the number of PUs within the removed PDU.

FPAR

IN/OUT qu Queue,  
IN/OUT pdu AmPdu,  
IN pdu\_size OctetType,  
IN pu\_size OctetType,  
IN/OUT n\_pu PduIndexType;

Remove\_identified\_from\_queue

This procedure removes a pu with a given sequence number from the queue identified.

FPAR

IN/OUT qu Queue,  
IN sn SequenceNumberType,  
IN/OUT pu AmPuStructType;

Remove\_acks\_and\_get\_muis

This procedure removes all pus that have been acknowledged from the indicated queue and stores the muis that are removed from the queue in a special array.

FPAR

IN/OUT tx\_qu Queue,  
IN re\_qu Queue,  
IN sn SequenceNumberType,  
IN/OUT tot PduIndexType,  
IN/OUT muis MuiArrayType,  
IN/OUT poll\_tot PduIndexType,  
IN/OUT rem\_poll SequenceNumberArrayType;

Virtual Process Type Acknowledged\_link

5\_LocalProcedures(69)

;  
SIGNALSET

Remove\_list\_from\_queue

This procedure checks whether each sequence number of missing PU informed by LIST SUFI is within the value between vt\_a and vt\_s, and removes a list of pdus indicated by sequence numbers from the transmission queue and retransmission\_queue.

FPAR

IN/OUT qu Queue,  
 IN/OUT re\_qu Queue,  
 IN sq SequenceNumberType,  
 IN/OUT no PduIndexType,  
 IN/OUT tot PduIndexType,  
 IN/OUT pus AmPuArrayStructType;

Remove\_bitmap\_from\_queue

This procedure checks whether each sequence number of missing PU informed by LIST SUFI is within the value between vt\_a and vt\_s, and removes a list of pdus in accordance with a bitmap from the transmission queue and retransmission queue.

FPAR

IN/OUT qu Queue,  
 IN/OUT re\_qu Queue,  
 IN sq SequenceNumberType,  
 IN/OUT no PduIndexType,  
 IN/OUT bitmap IndicatorArrayType,  
 IN/OUT tot PduIndexType,  
 IN/OUT pus AmPuArrayStructType;

Remove\_mui\_from\_queue

This procedure removes all PUs associated with a given mui from the transmitted\_queue.

FPAR

IN/OUT mui MuiType,  
 IN/OUT tx\_qu Queue,  
 IN/OUT retx\_qu Queue;

Virtual Process Type Acknowledged\_link

6\_LocalProcedures(69

; SIGNALSET

Remove\_list\_from\_queue

This procedure checks whether each sequence number of missing PU informed by LIST SUFI is within the value between vt\_a and vt\_s, and removes a list of pdus in accordance with a codewords from the transmission queue and retransmission queue.

FPAR

IN/OUT qu Queue,  
 IN/OUT re\_qu Queue,  
 IN sq SequenceNumberType,  
 IN/OUT no PduIndexType,  
 IN/OUT codewords IndicatorArrayType,  
 IN/OUT tot PduIndexType,  
 IN/OUT pus AmPuArrayType,  
 IN/OUT poss IndicatorType;

Remove\_all\_below\_mrw\_from\_queue

This procedure removes all PUs below the move receiving window from all receiver queues.

FPAR

IN/OUT r\_qu Queue,  
 IN/OUT a\_qu Queue,  
 IN/OUT sn SequenceNumberType;

Remove\_identified\_from\_mui\_queue

This procedure removes a specific mui from the mui queue used to keep track of Timer\_Discard instances.

FPAR

IN/OUT sdu\_queue Queue,  
 IN mui MuiType;

Virtual Process Type Acknowledged\_link

7\_LocalProcedures(69

; SIGNALSET

Virtual Transmit amd_pdu	<p>This procedure manages transmission of an AMD PDU across the proper SAP.</p> <p>FPAR</p> <p>IN pdu            AmPdu,</p> <p>IN ch             LogicalChannelType;</p>
Virtual Transmit reset	<p>This procedure transmits a RESET PDU on the correct logical channel.</p> <p>FPAR</p> <p>IN ch             LogicalChannelType;</p>
Virtual Transmit reset_ack	<p>This procedure transmits a RESET ACK PDU on the correct logical channel.</p> <p>FPAR</p> <p>IN ch             LogicalChannelType;</p>
Virtual Transmit status	<p>This procedure transmits a STATUS PDU on the correct logical channel.</p> <p>FPAR</p> <p>IN pdu            StatPdu,</p> <p>IN ch             LogicalChannelType;</p>
Reassemble_am_pdu	<p>This procedure reassembles Rlc pdu contents into Sdu:s as they arrive.</p> <p>FPAR</p> <p>IN/OUT qu        Queue,</p> <p>IN/OUT comp     IndicatorType,</p> <p>IN/OUT sdus     OctetArrayType,</p> <p>IN/OUT n_sdu    PduIndexType;</p>

Virtual Process Type Acknowledged\_link

8\_LocalProcedures(69

SIGNALSET

Extract\_status\_from\_pdu

This procedure extracts piggybacked status information from the received PDU.

FPAR

IN/OUT pdu AmPdu,  
IN/OUT st\_pdu StatPdu;

Extract\_pus

This procedure places the pus in the received AMD PDU in an array in order to make them available for processing one by one and checks the number of PUs in the AMD PDU.

FPAR

IN/OUT pdu AmPdu,  
IN/OUT pus AmPuArrayType,  
IN/OUT n\_pu PduIndexType;

Initialise\_state\_variables

This procedure ssets the state variables appropriately.

FPAR

IN/OUT vt\_s, vt\_ms, vt\_sdu, vt\_pu, vt\_a,  
vr\_r, vr\_h, vr\_mr SequenceNumberType;

Initialise\_vtDAT

This procedure initialises the retransmission counters associated with the PUs within the PDU.

FPAR

IN/OUT pdu AmPdu;

Increment\_vtDAT

This procedure increments the retransmission counters associated with the PUs within the PDU.

FPAR

IN/OUT pdu AmPdu;

Queue\_initialisations

This procedure initialises all queues needed within the process.

FPAR

IN/OUT a\_qu, t\_qu, retx\_qu, rx\_qu,  
as\_qu, sdu\_qu Queue;

Virtual Process Type Acknowledged\_link

9\_LocalProcedures(69)

; SIGNALSET

Create\_status

This procedure creates a status report based on available information. The information can be split into several STATUS PDUs if it can not be mapped onto one STATUS PDU. At the same time, vr\_ep is set equal to the number of requested PUs.

FPAR

IN	vr_r	SequenceNumberType,
IN	vr_h	SequenceNumberType,
IN	rx_win	SequenceNumberType,
IN	pdu_size	OctetType,
IN	rx_qu	Queue,
IN/OUT	stat_pdus	StatusPduArrayType,
IN/OUT	vr_ep	SequenceNumberType,
IN/OUT	n_stat	PduIndexType,
IN	sn_mrw	SequenceNumberType;

Exists\_in\_receiver\_queue

This procedure checks if an identified pu exists within the receiver queue.

FPAR

IN	n	SequenceNumberType,
IN/OUT	qu	Queue,
IN/OUT	exists	IndicatorType;

Estimate\_number\_of\_pus

This procedure estimates the number of PUs that have been received within aTTI.

FPAR

IN/OUT	n_pu_tti	PduIndexType;
--------	----------	---------------

Virtual Process Type Acknowledged\_link

10\_LocalProcedures(69

; SIGNALSET

Check\_status\_creation

This procedure checks if a status report should be generated.

FPAR

IN vr\_r SequenceNumberType,  
 IN vr\_h SequenceNumberType,  
 IN qu Queue,  
 IN/OUT status IndicatorType;

Check\_if\_queue\_empty

This procedure checks if there are any PDUs remaining in the queue given as parameter to the procedure.

FPAR

IN qu Queue,  
 IN/OUT empty IndicatorType;

Check\_and\_delete\_timer\_discards

This procedure checks if any timer polls are active and returns the first message identifier associated with the discard. If the queue is empty, empty=YES is returned.

FPAR

IN/OUT qu Queue,  
 IN mui MuiType,  
 IN/OUT empty IndicatorType;

Check\_if\_piggyback

This procedure checks if the current AMD PDU to be transmitted contains a piggybacked STATUS PDU or not

FPAR

IN pdu AmPdu,  
 IN/OUT piggyback IndicatorType;

Check\_if\_MRW\_answer

This procedure checks if the peer has responded to a MRW command.

FPAR

IN sn\_mrw SequenceNumberType,  
 IN status\_pdu StatPdu,  
 IN/OUT mrw\_ans IndicatorType;



Virtual Process Type Acknowledged\_link

11\_LocalProcedures(69

; SIGNALSET

Update\_state\_variables

This procedure updates the state variables vt\_a and vt\_s.

FPAR

IN/OUT vt\_a SequenceNumberType,  
 IN/OUT vt\_ms SequenceNumberType,  
 IN/OUT tx\_win SequenceNumberType,  
 IN am\_qu Queue,  
 IN/OUT tx\_qu Queue,  
 IN/OUT retx\_qu Queue;

Set\_poll\_bit\_in\_queue

This procedure ensures that a poll bit is set in the amd\_queue

FPAR

IN/OUT qu Queue;

Contains\_polledSN

This procedure checks if the sequence number associated with a poll request has been acknowledged in the status pdu.

FPAR

IN polled\_sn SequenceNumberType,  
 IN status\_pdu StatPdu,  
 IN/OUT contains IndicatorType;

Calculate\_polling\_window

This procedure calculates the current usage of the transmit window.

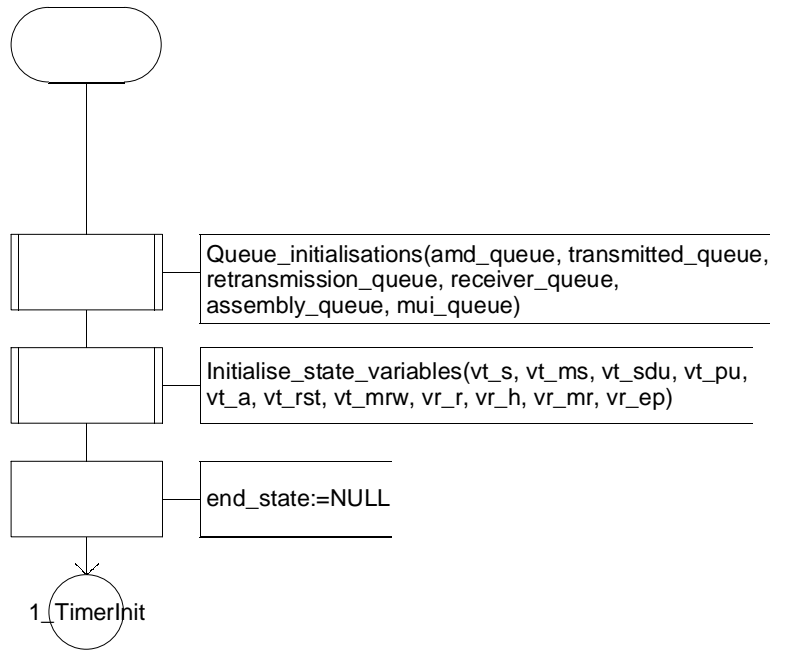
FPAR

IN/OUT pdu AmPdu,  
 IN/OUT poll\_win Real,  
 IN vt\_ms SequenceNumberType,  
 IN tx\_win SequenceNumberType;

Virtual Process Type Acknowledged\_link

1\_ProcessTypeStart(69

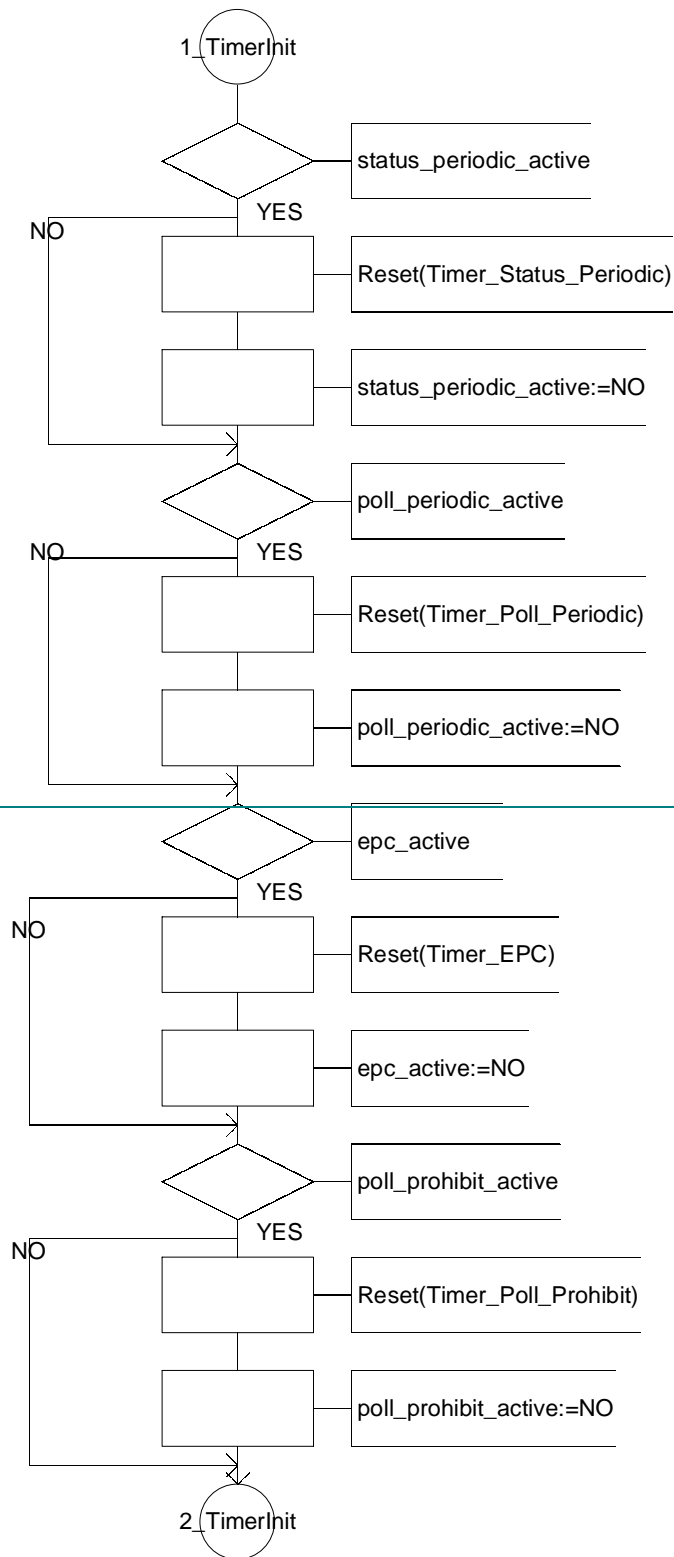
; SIGNALSET



Virtual Process Type Acknowledged\_link

1\_TimerInit(69)

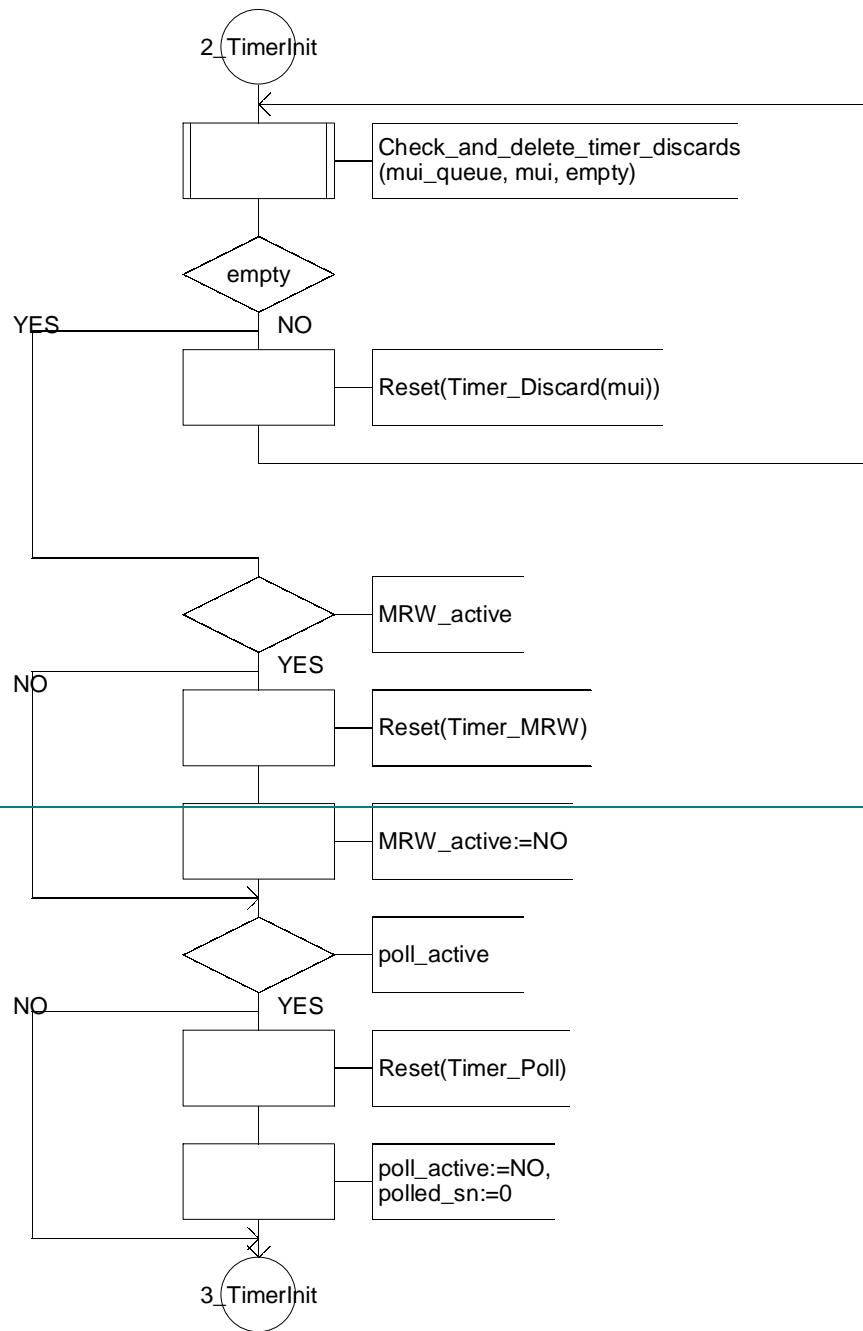
SIGNALSET



Virtual Process Type Acknowledged\_link

2\_TimerInit(69)

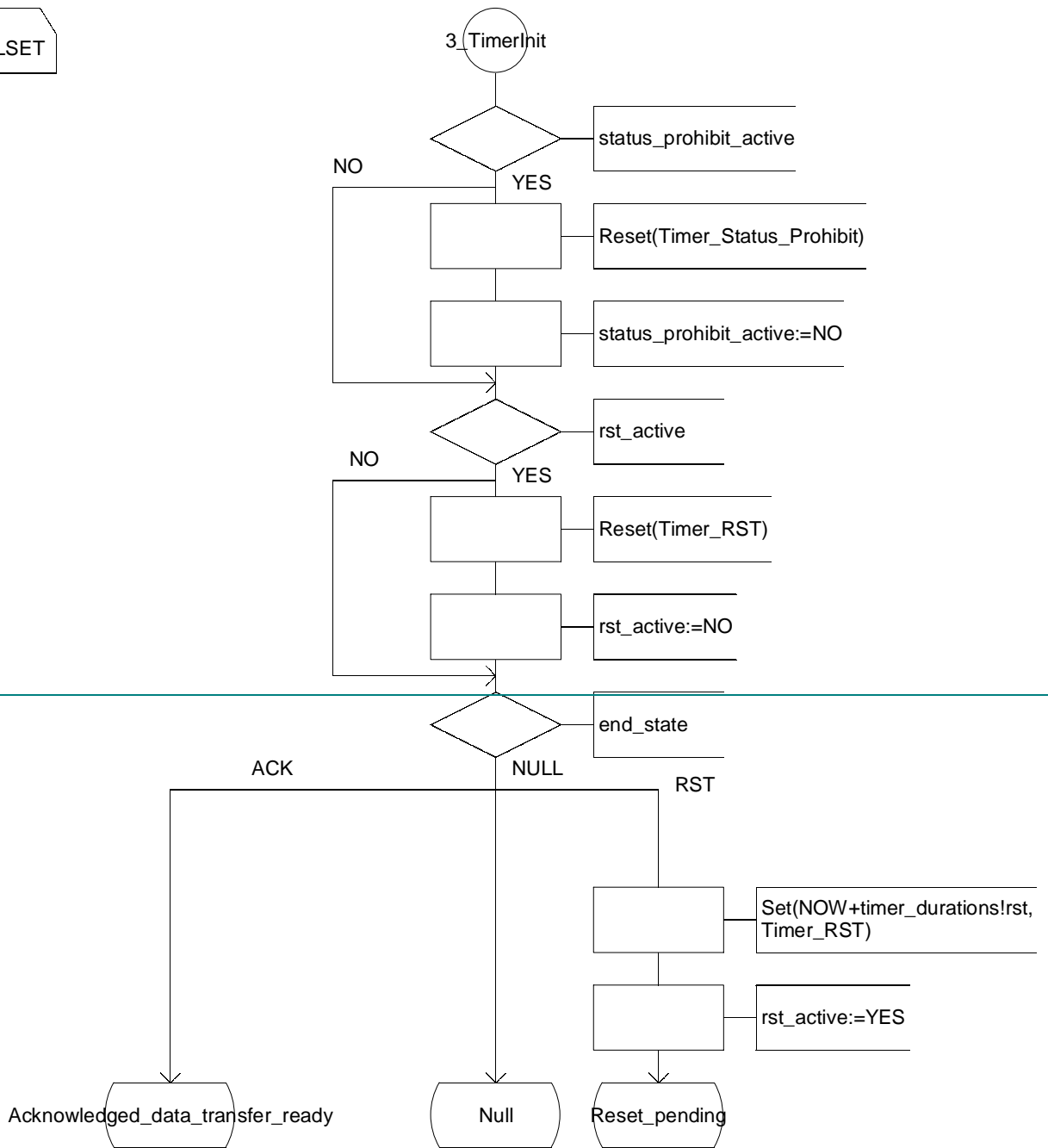
SIGNALSET



Virtual Process Type Acknowledged\_link

3\_TimerInit(69)

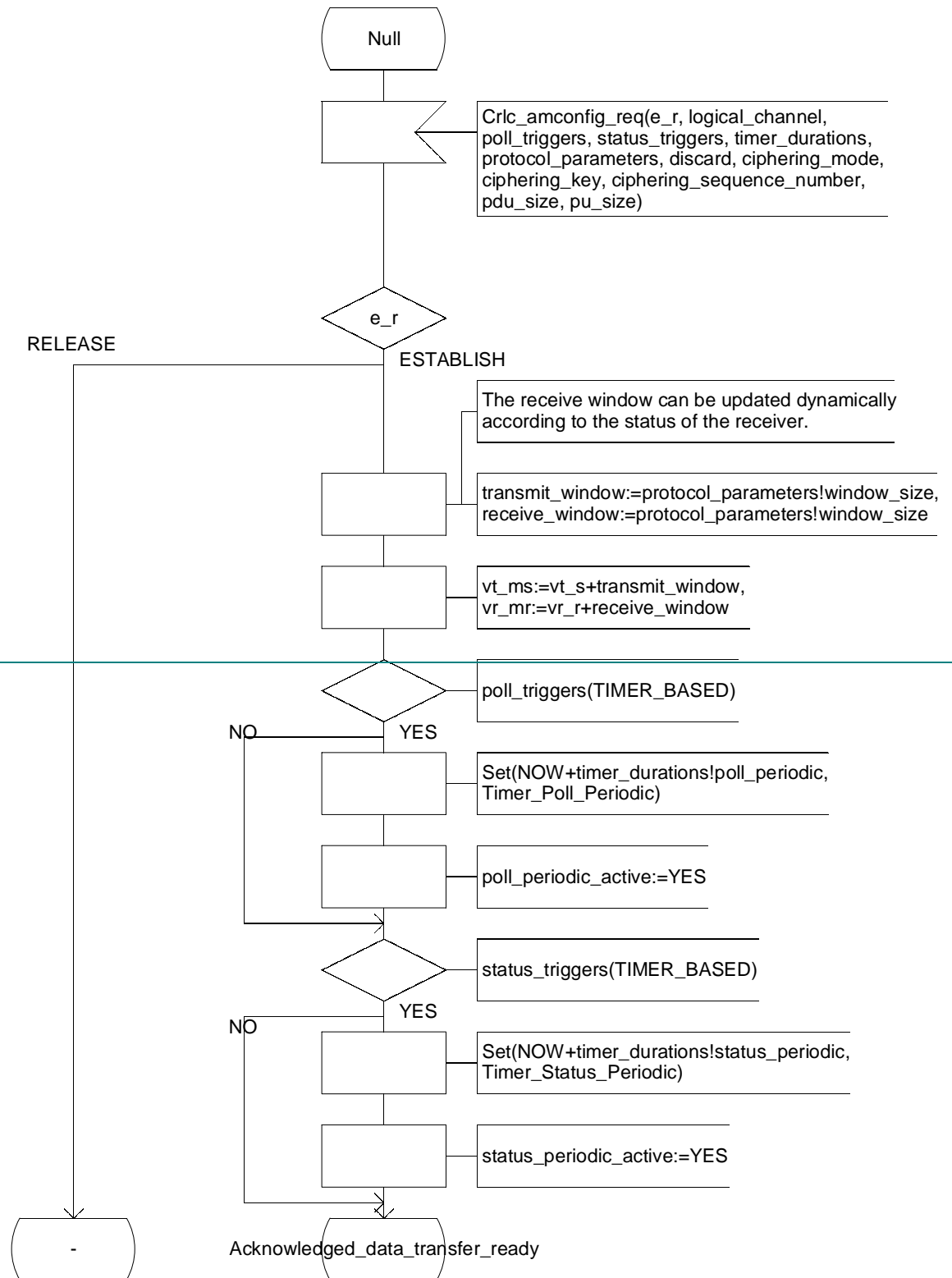
SIGNALSET



Virtual Process Type Acknowledged\_link

1\_Null(69)

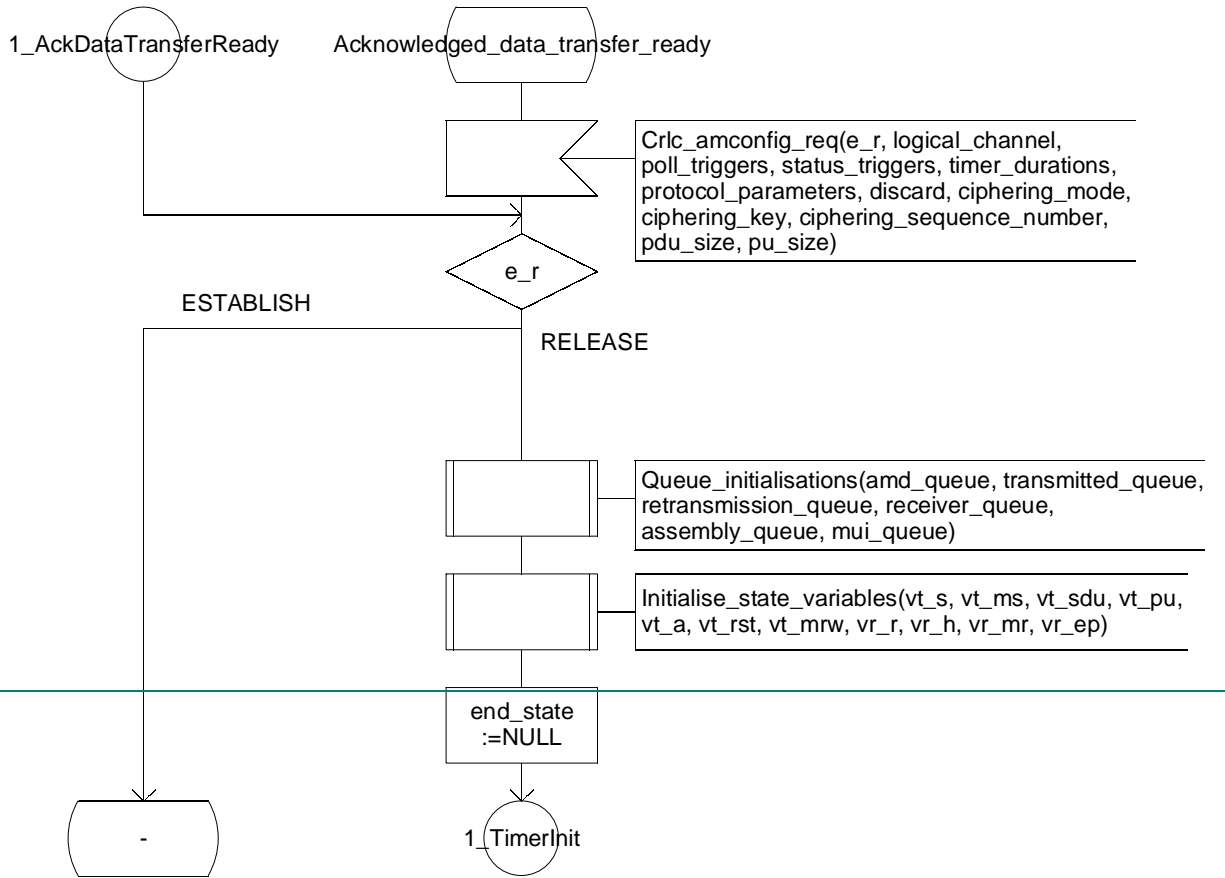
SIGNALSET



Virtual Process Type Acknowledged\_link

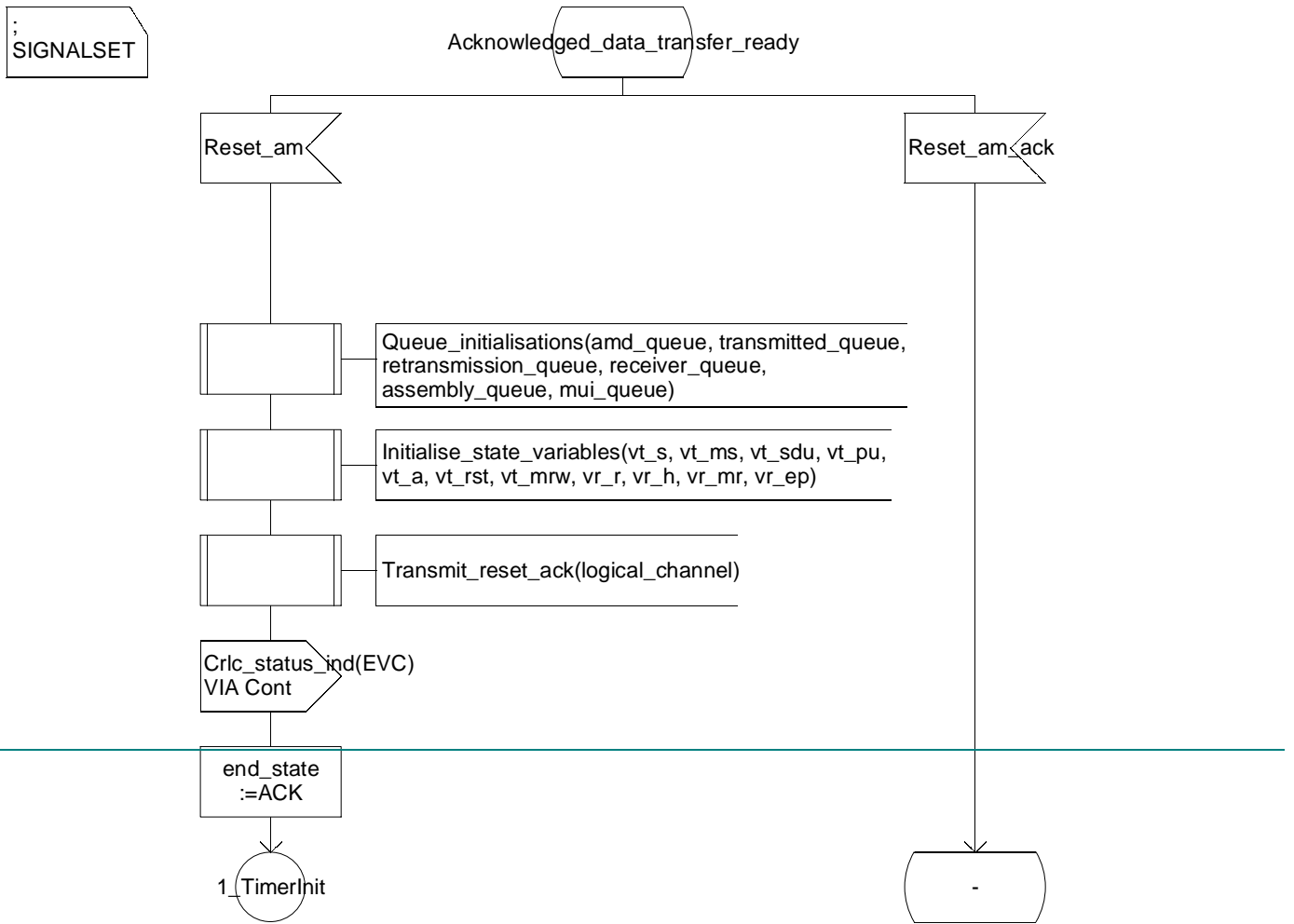
1\_AcknowledgedDataTransferReady(69

; SIGNALSET



Virtual Process Type Acknowledged\_link

2\_AcknowledgedDataTransferReady(69

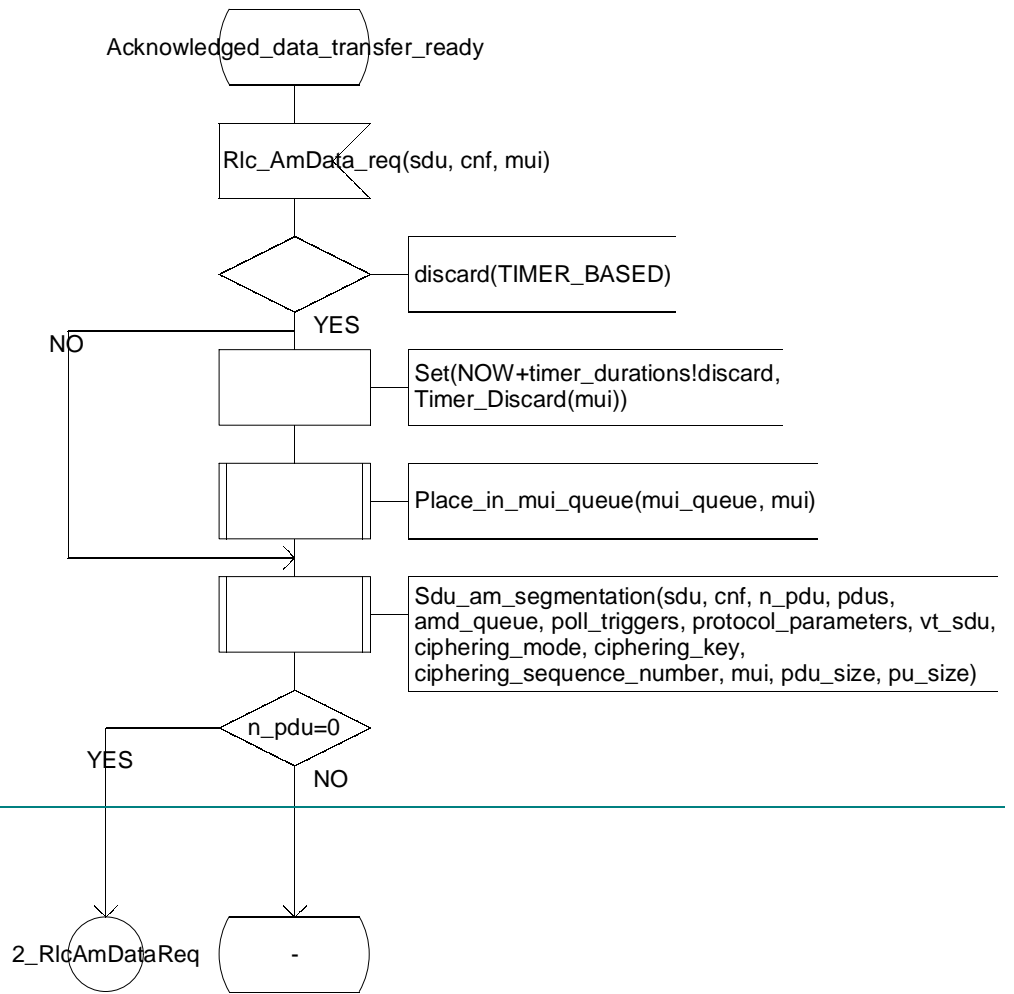




Virtual Process Type Acknowledged\_link

1\_RlcAmDataReq(69)

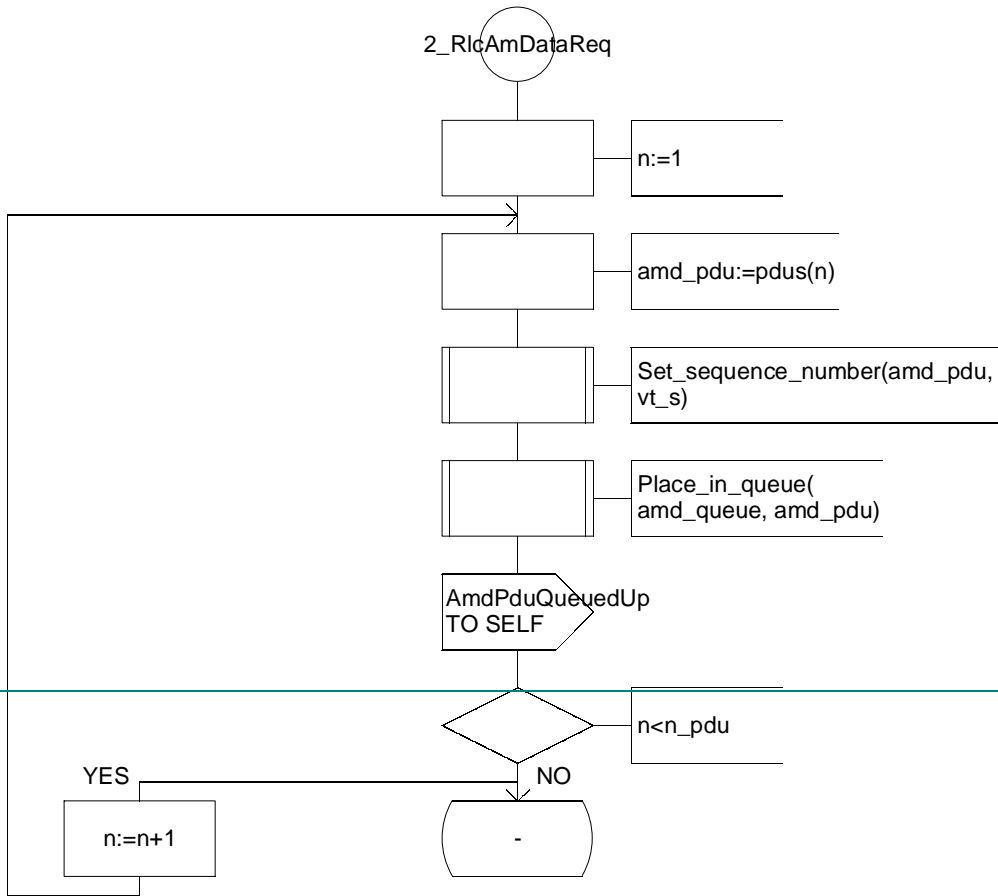
SIGNALSET



Virtual Process Type Acknowledged\_link

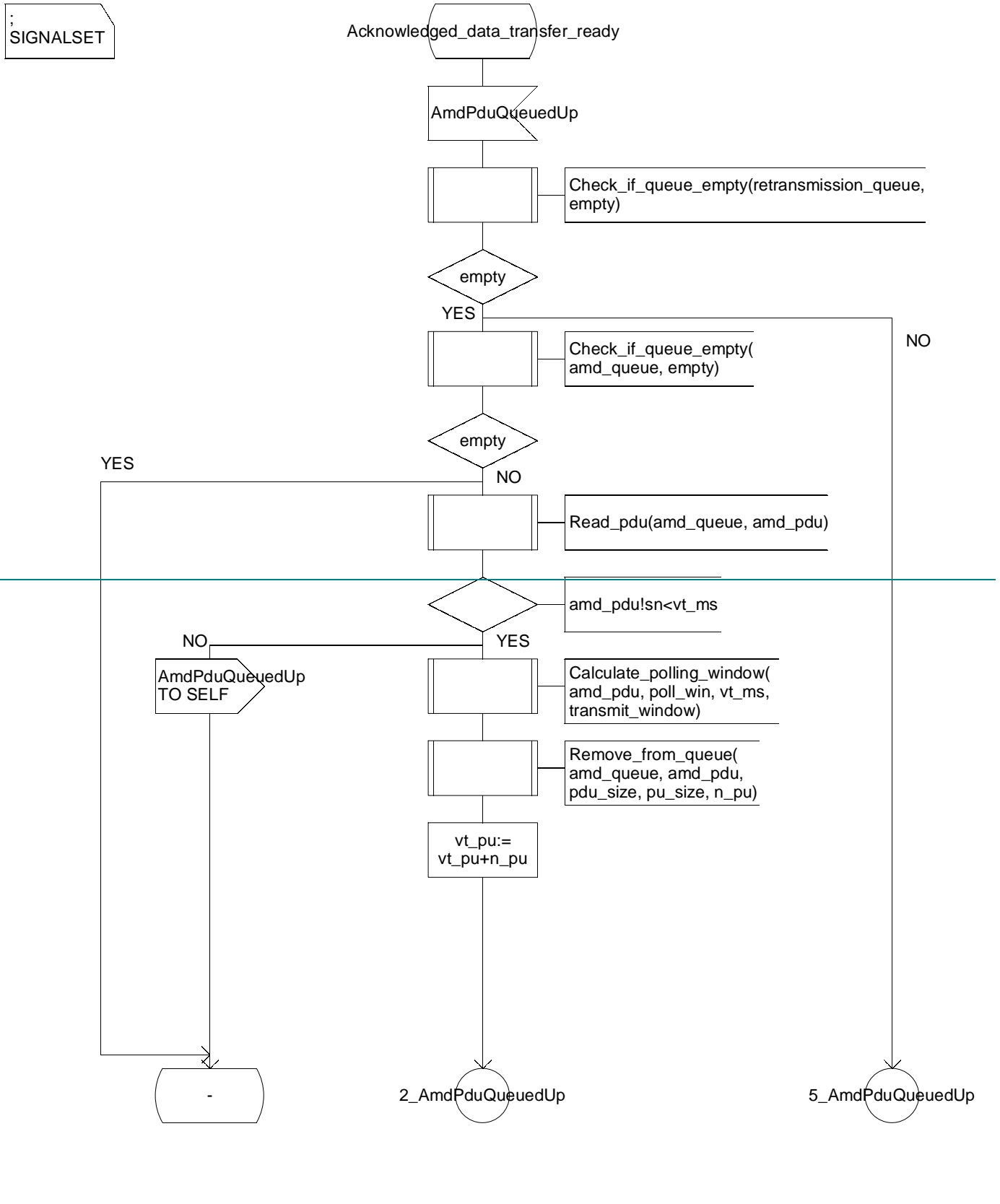
2\_RlcAmDataReq(69)

; SIGNALSET



Virtual Process Type Acknowledged\_link

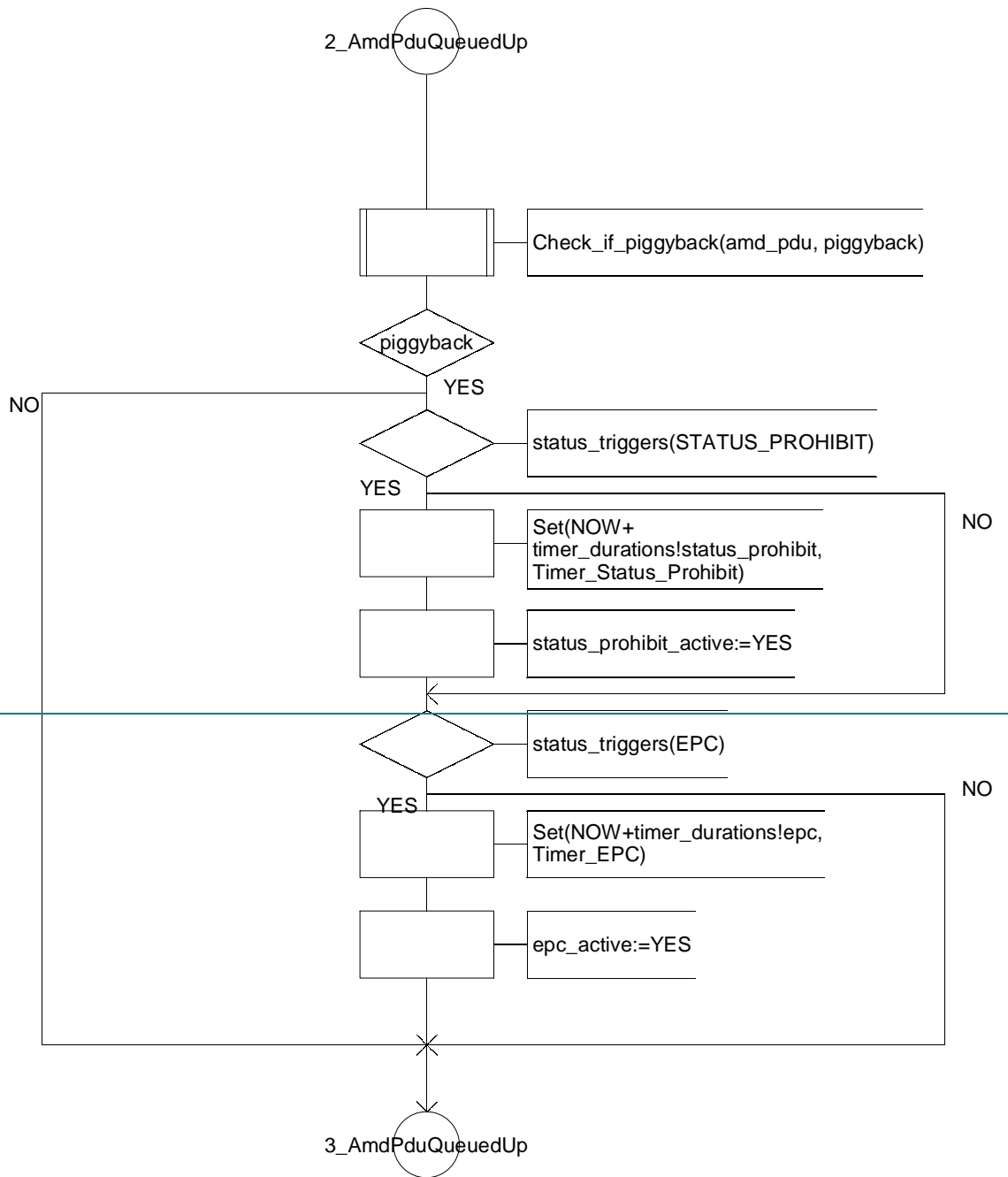
1\_AmdPduQueuedUp(69



Virtual Process Type Acknowledged\_link

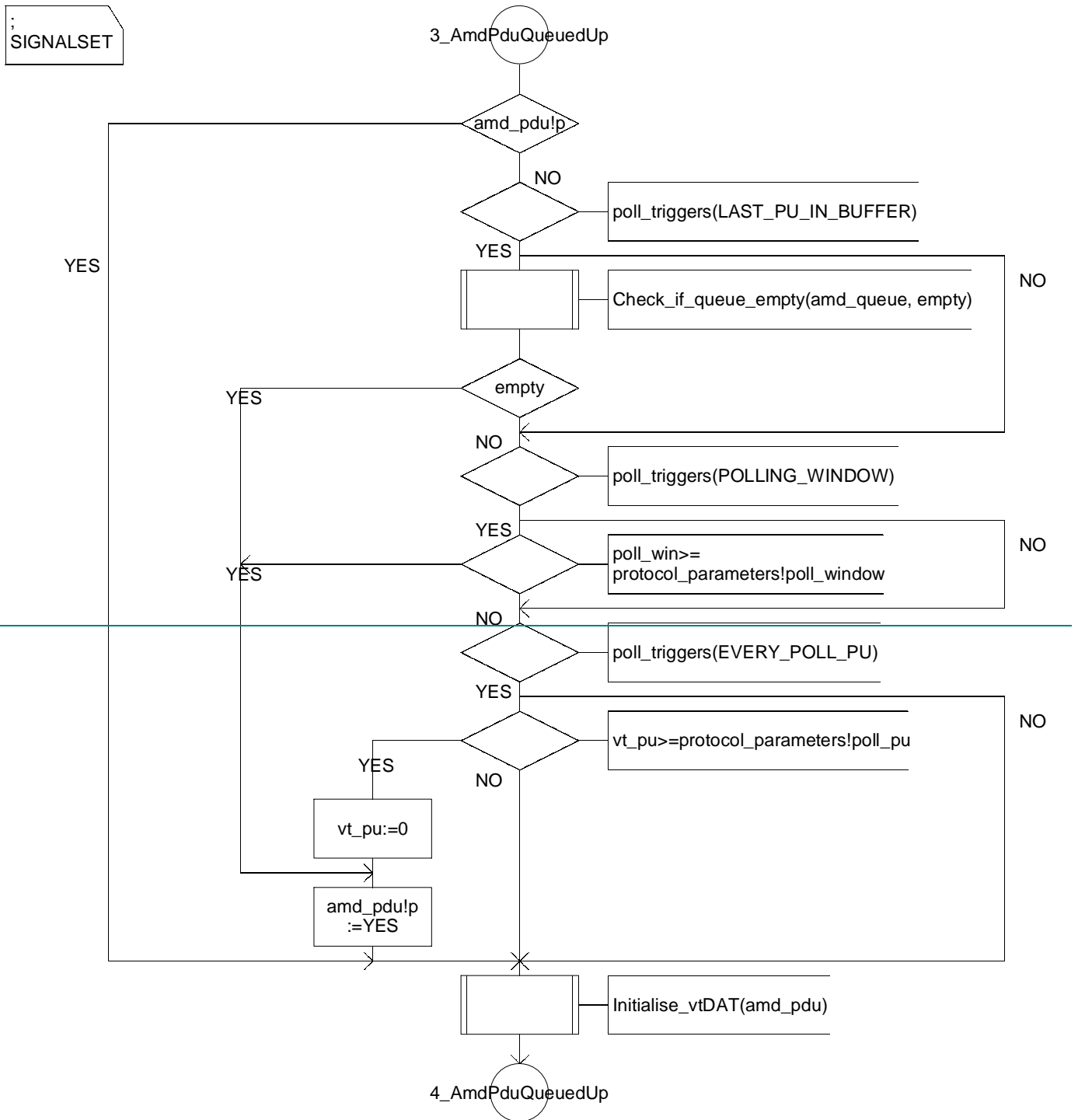
2\_AmdPduQueuedUp(69)

SIGNALSET



Virtual Process Type Acknowledged\_link

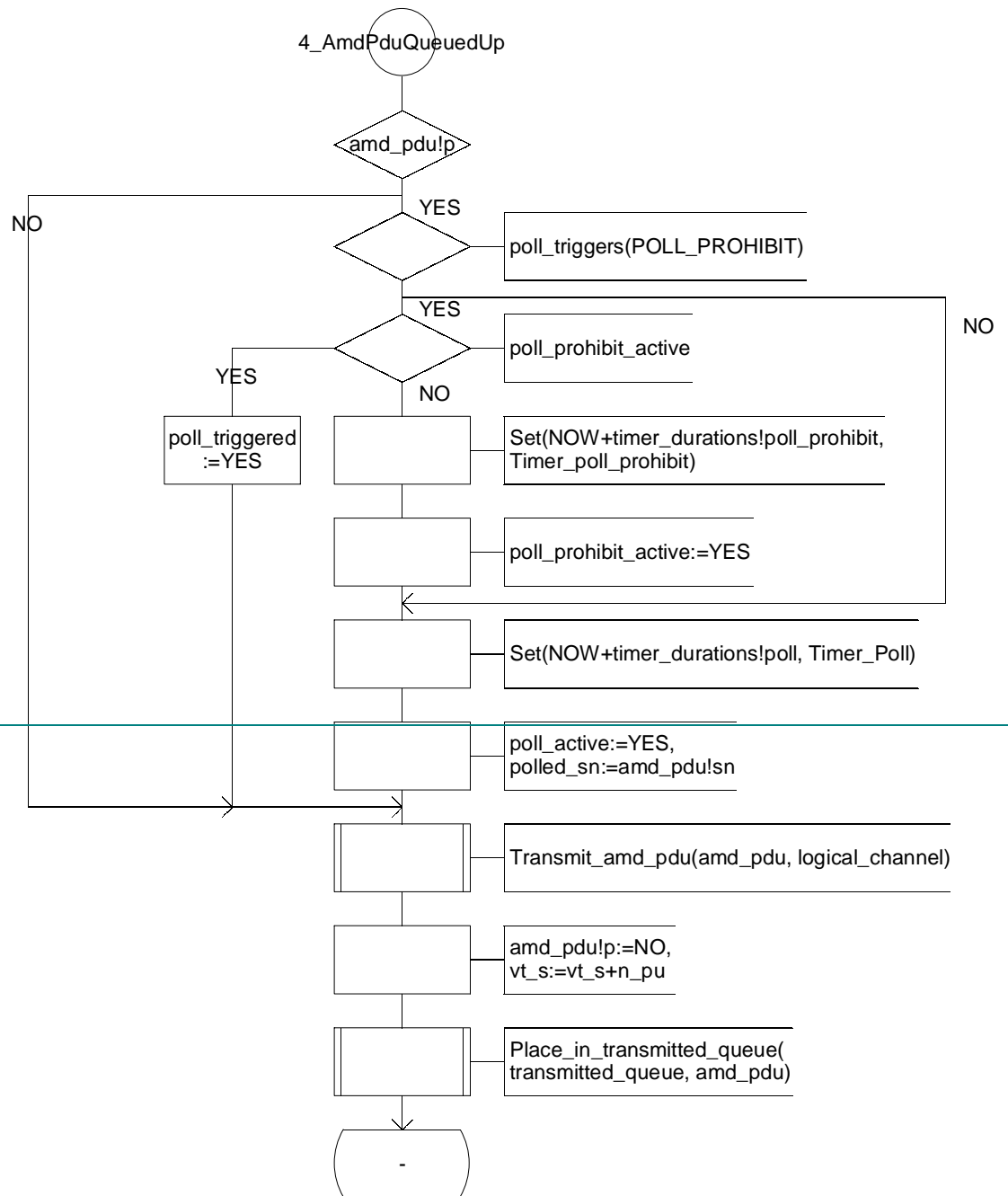
3\_AmdPduQueuedUp(69



Virtual Process Type Acknowledged\_link

4\_AmdPduQueuedUp(69)

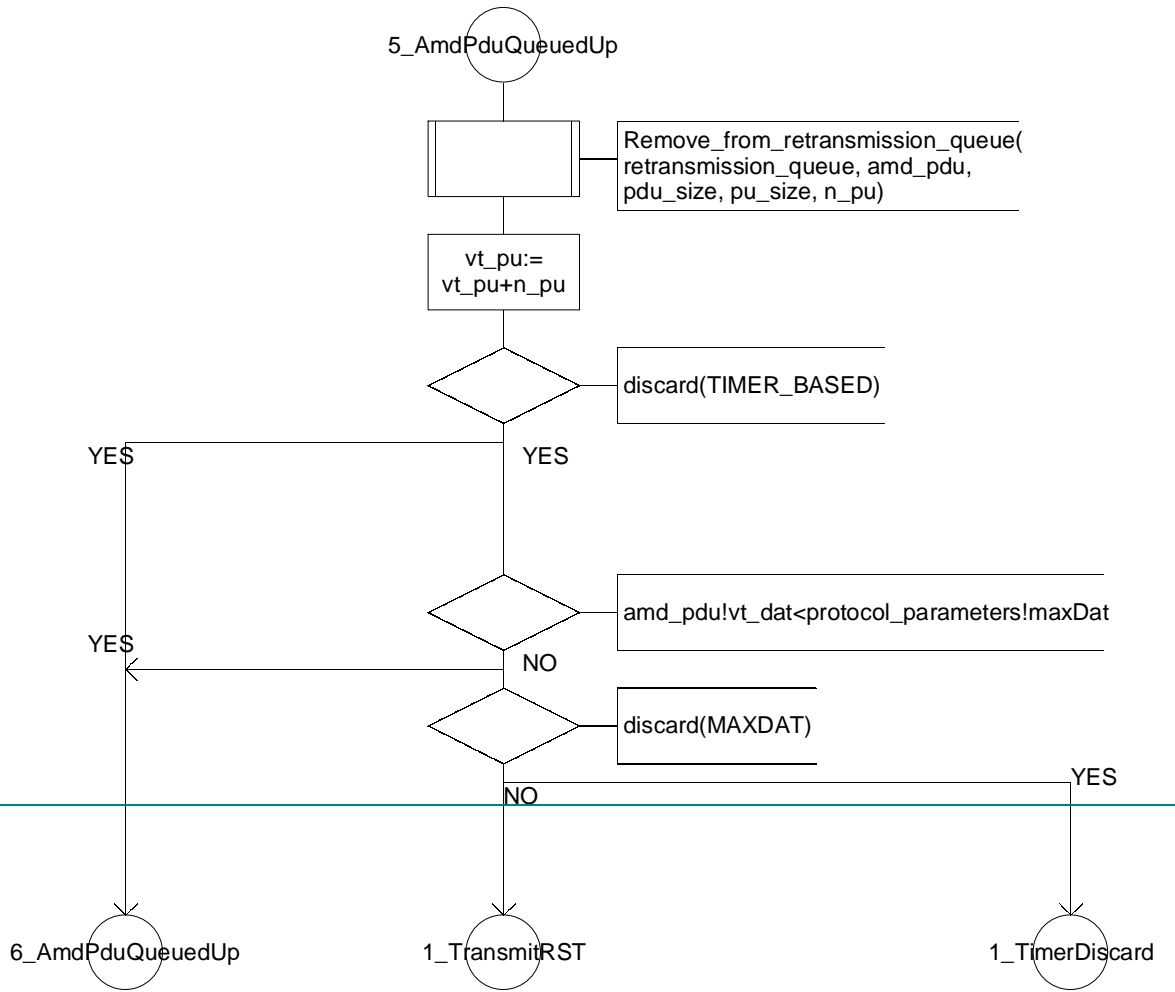
SIGNALSET



Virtual Process Type Acknowledged\_link

5\_AmdPduQueuedUp(69)

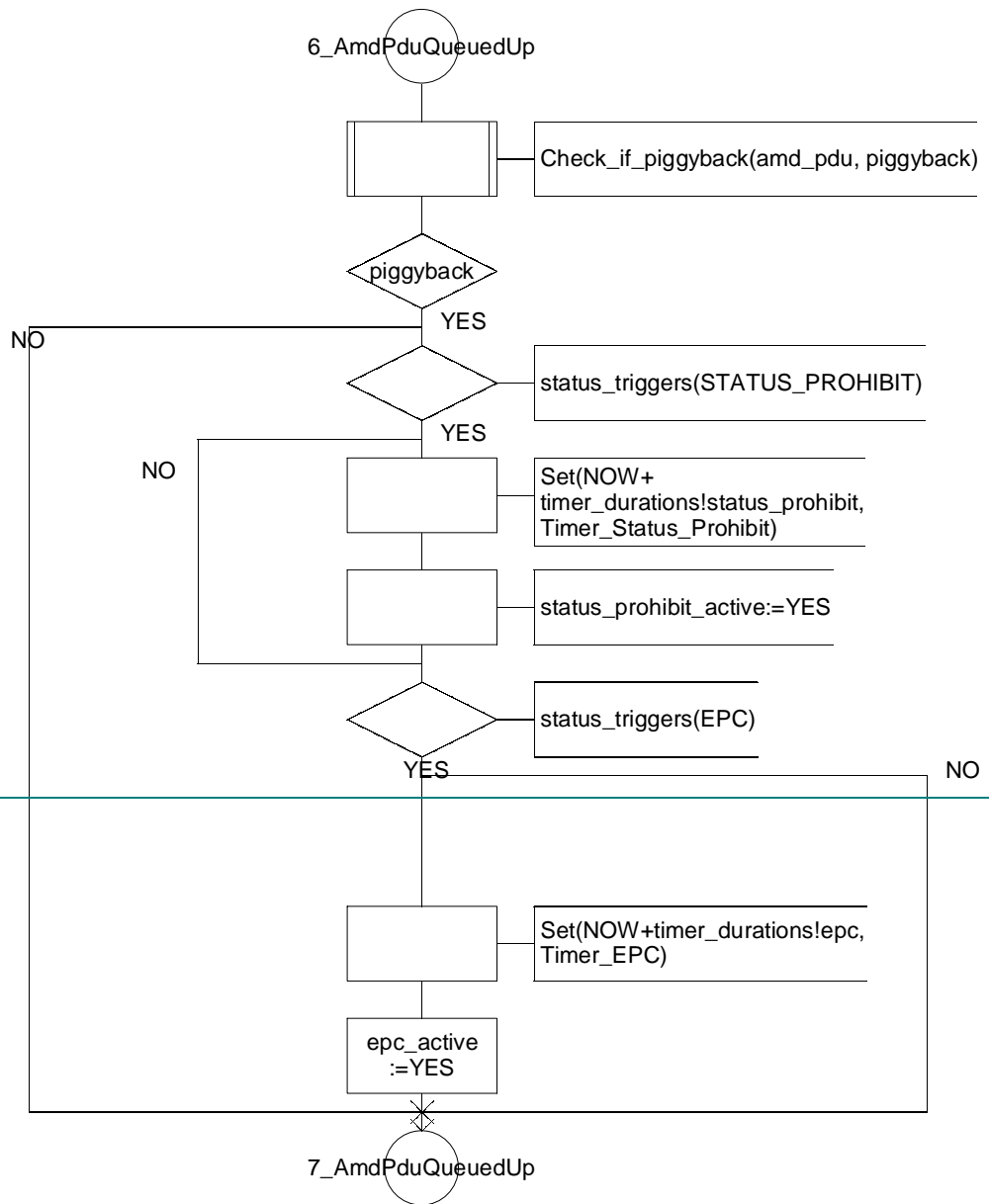
SIGNALSET



Virtual Process Type Acknowledged\_link

6\_AmdPduQueuedUp(69

SIGNALSET

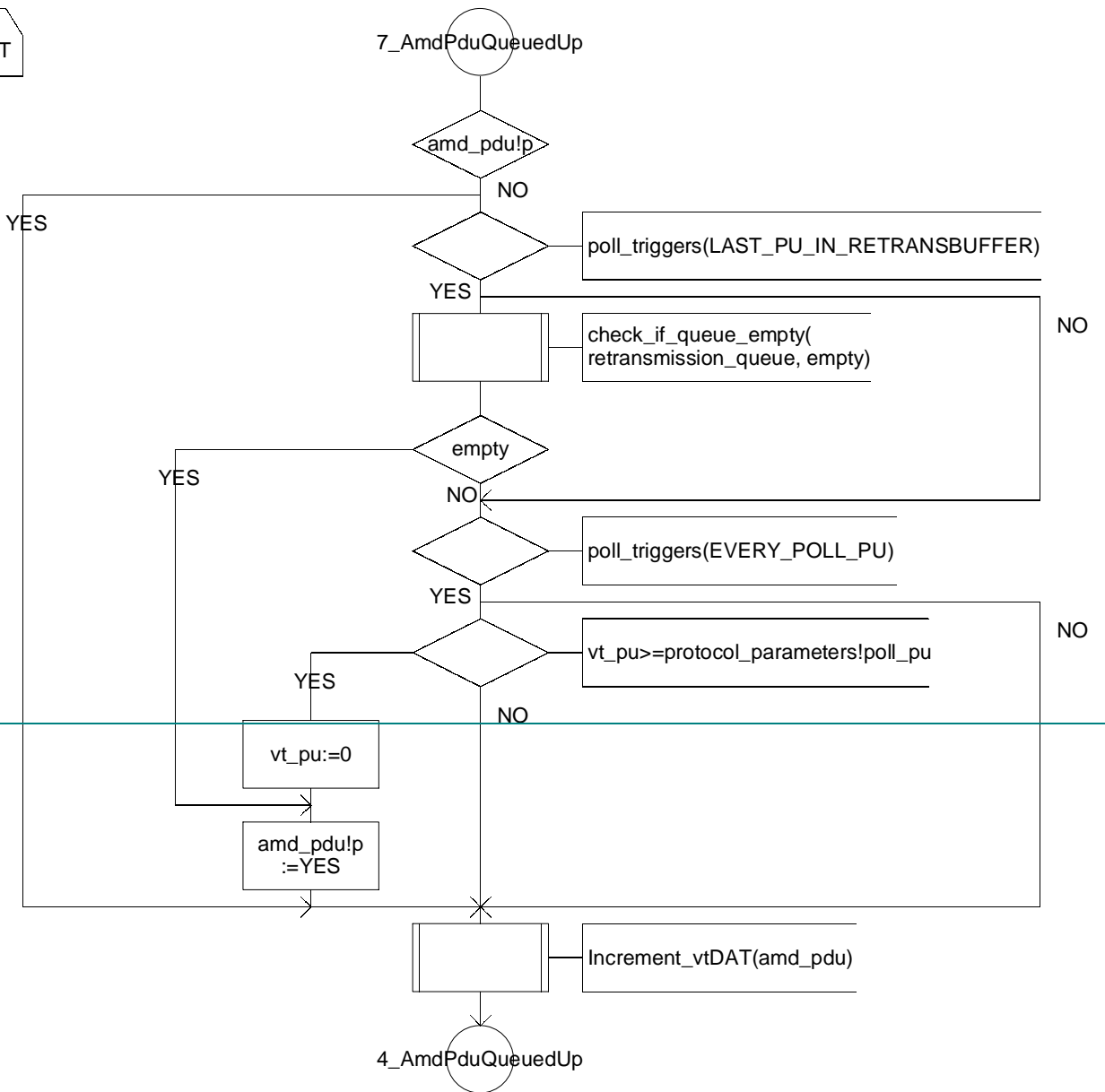




Virtual Process Type Acknowledged\_link

7\_AmdPduQueuedUp(69)

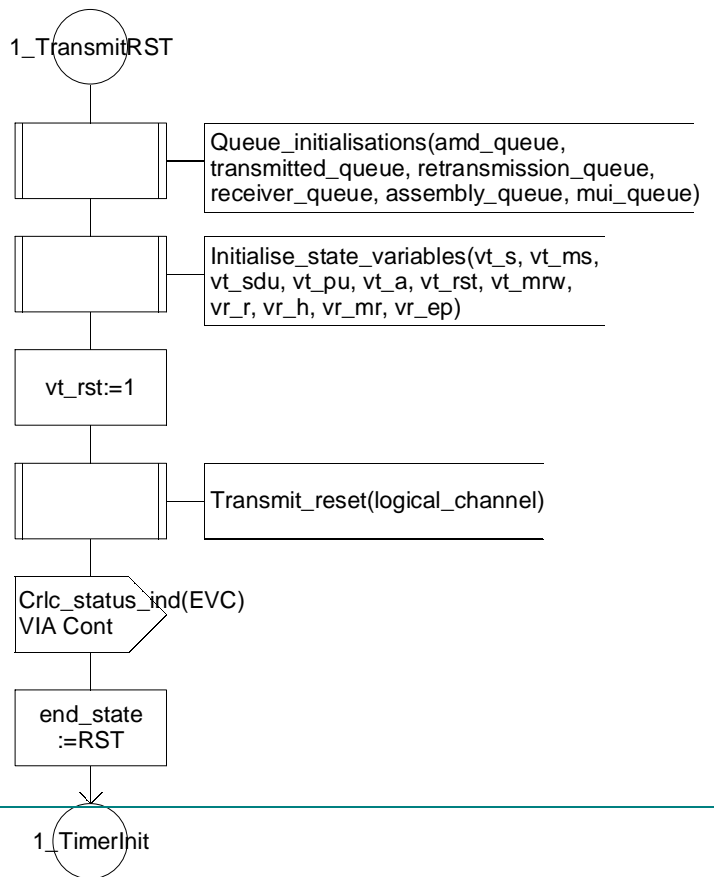
SIGNALSET



Virtual Process Type Acknowledged\_link

1\_TransmitRST(69

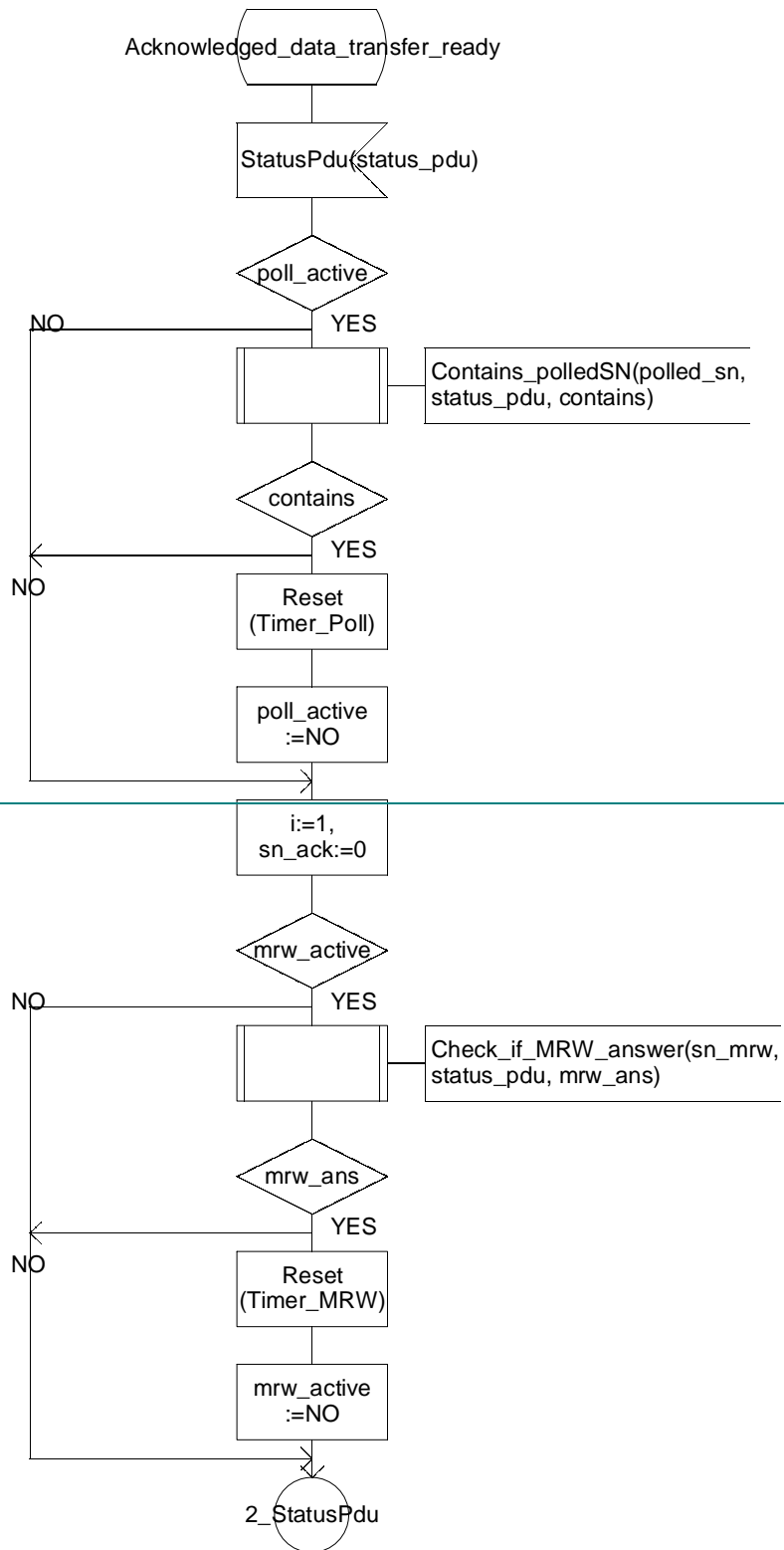
SIGNALSET  
Crlc amconfig req



Virtual Process Type Acknowledged\_link

1\_StatusPdu(69

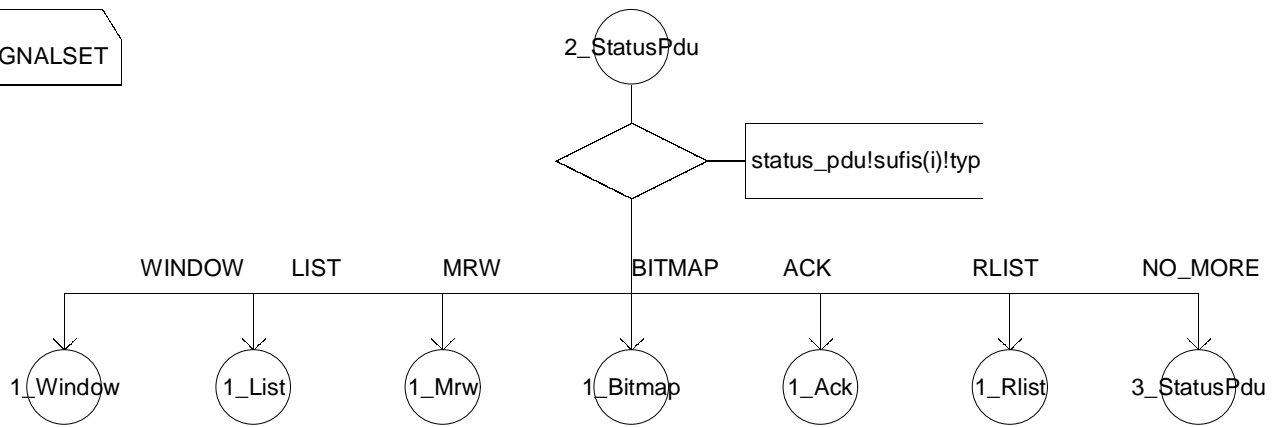
SIGNALSET



Virtual Process Type Acknowledged\_link

2\_StatusPdu(69

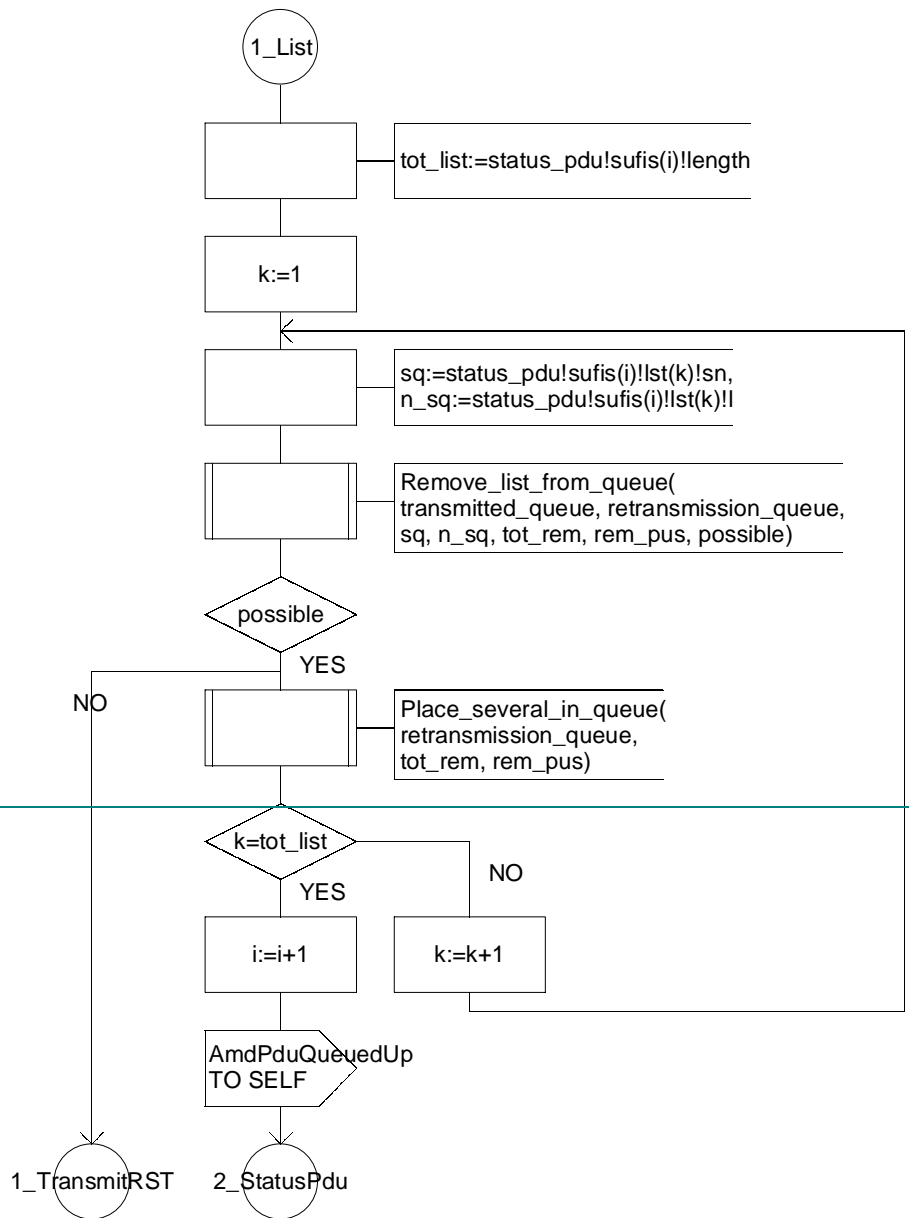
; SIGNALSET



Virtual Process Type Acknowledged\_link

1\_StatusPduList(69)

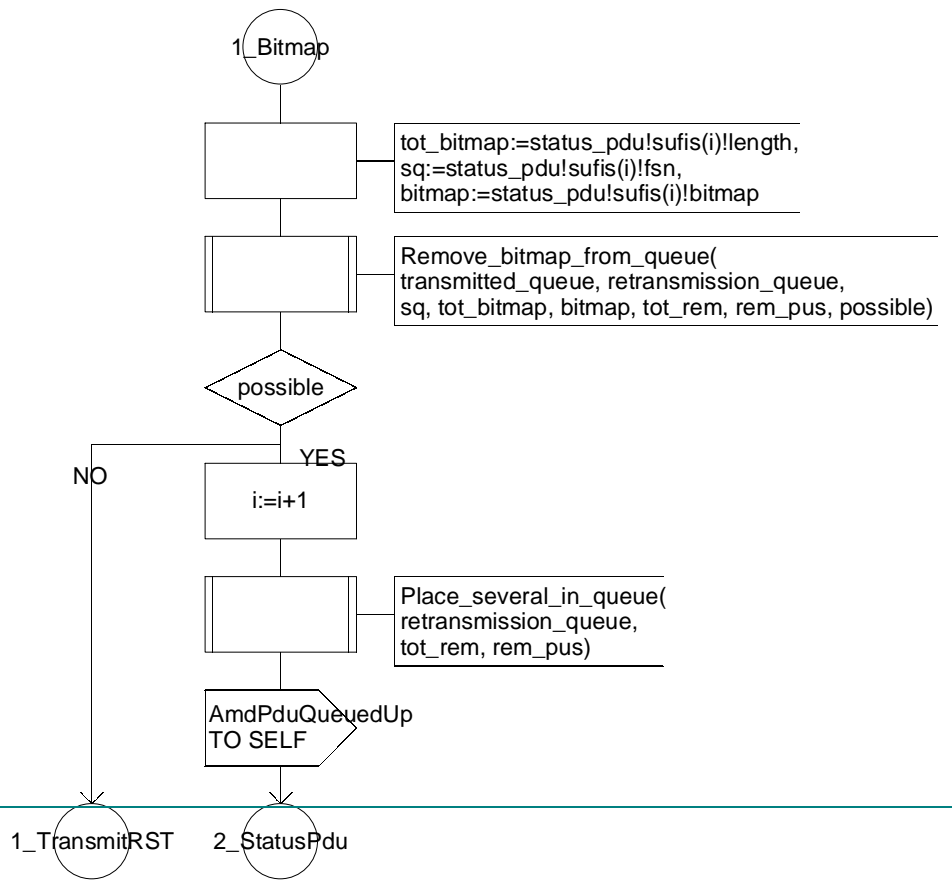
SIGNALSET



Virtual Process Type Acknowledged\_link

1\_StatusPduBitmap(69)

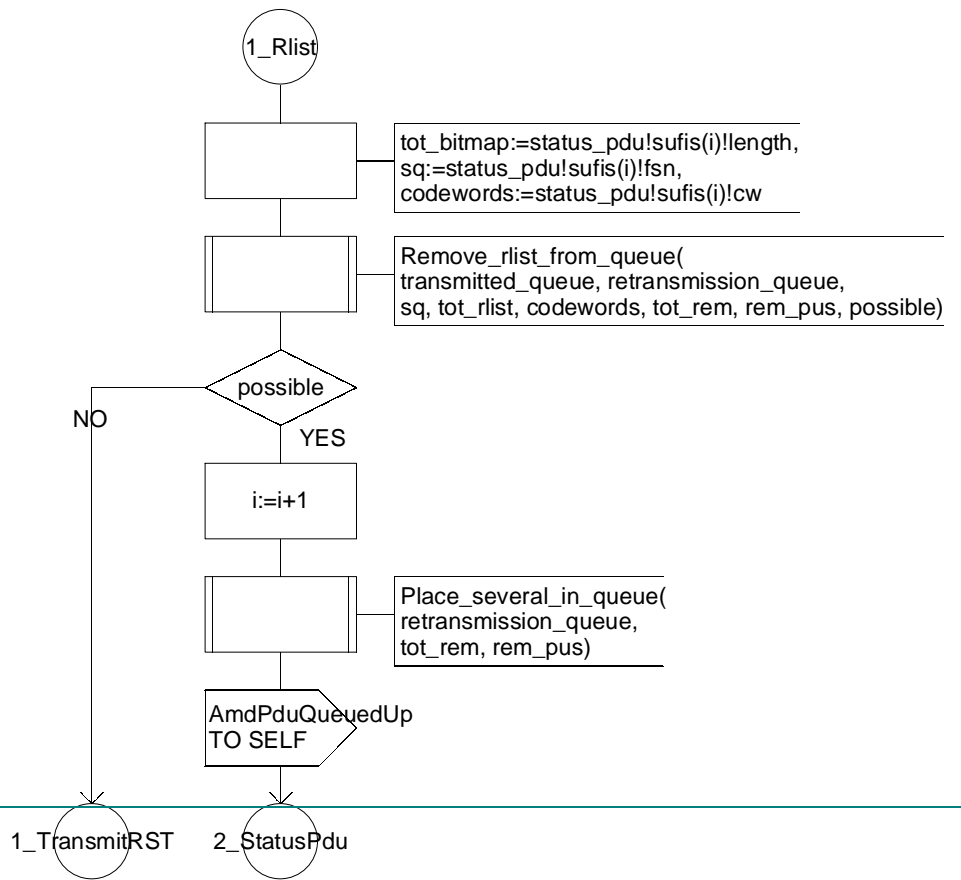
SIGNALSET



Virtual Process Type Acknowledged\_link

1\_StatusPduRlist(69)

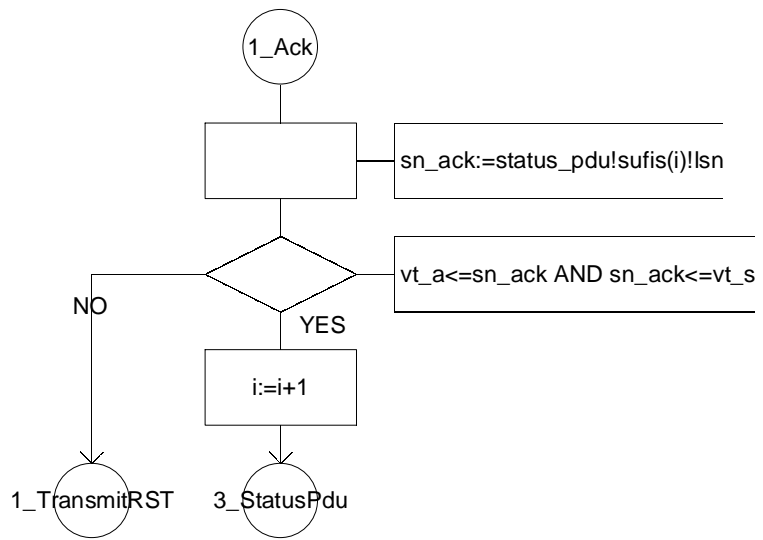
SIGNALSET



Virtual Process Type Acknowledged\_link

1\_StatusPduAck(69

SIGNALSET

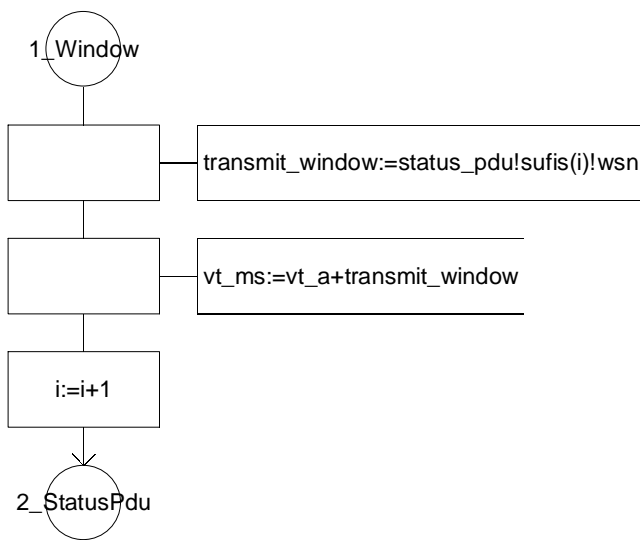




Virtual Process Type Acknowledged\_link

1\_StatusPduWindow(69

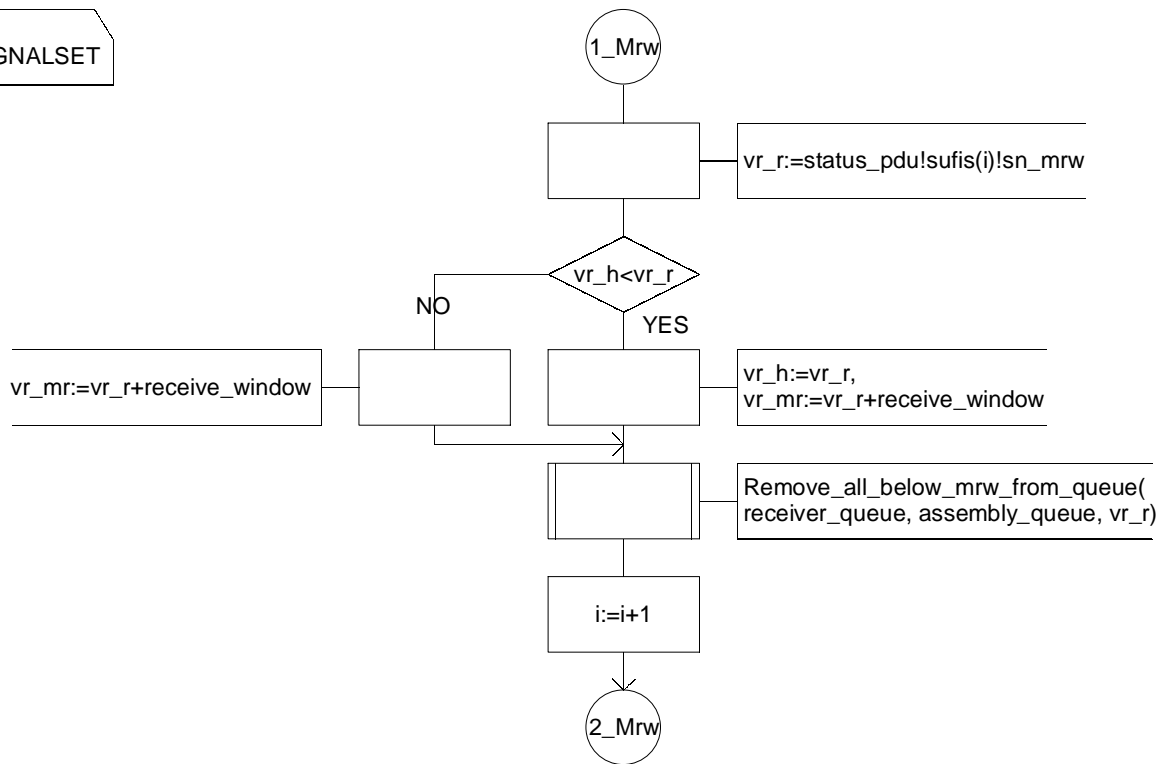
; SIGNALSET



Virtual Process Type Acknowledged\_link

1\_StatusPduMrw(69)

SIGNALSET

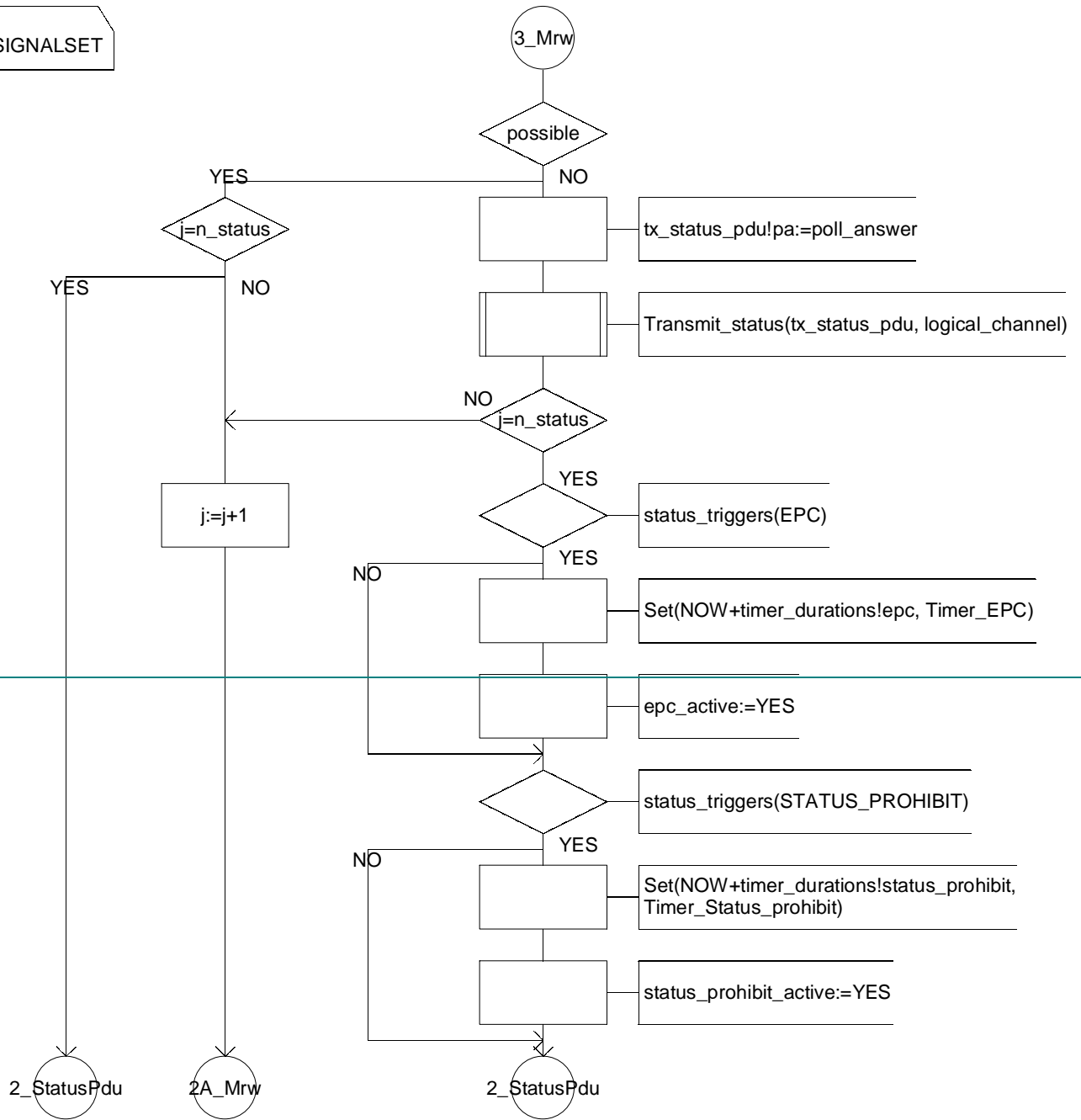




Virtual Process Type Acknowledged\_link

3\_StatusPduMrw(69

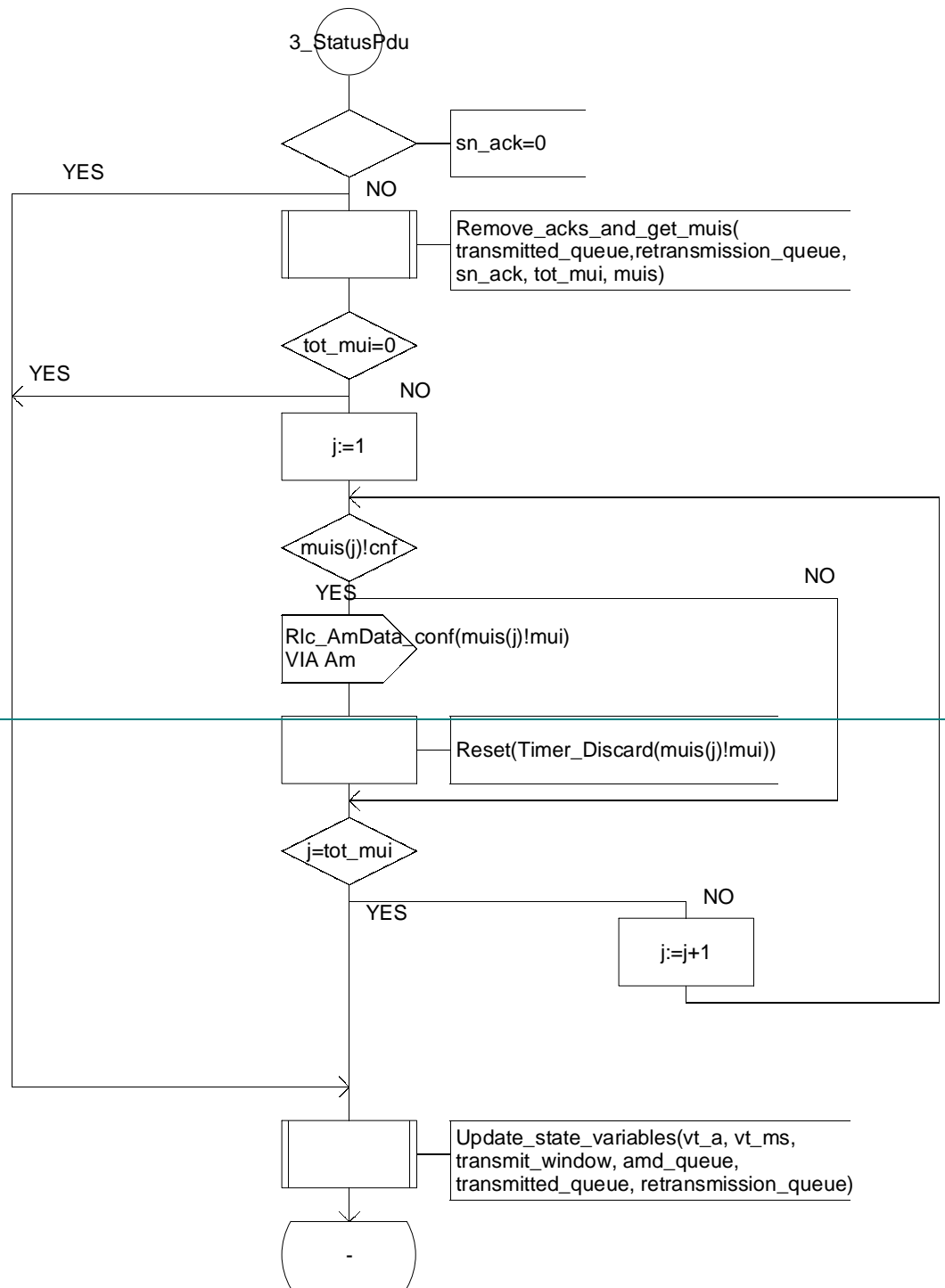
SIGNALSET



Virtual Process Type Acknowledged\_link

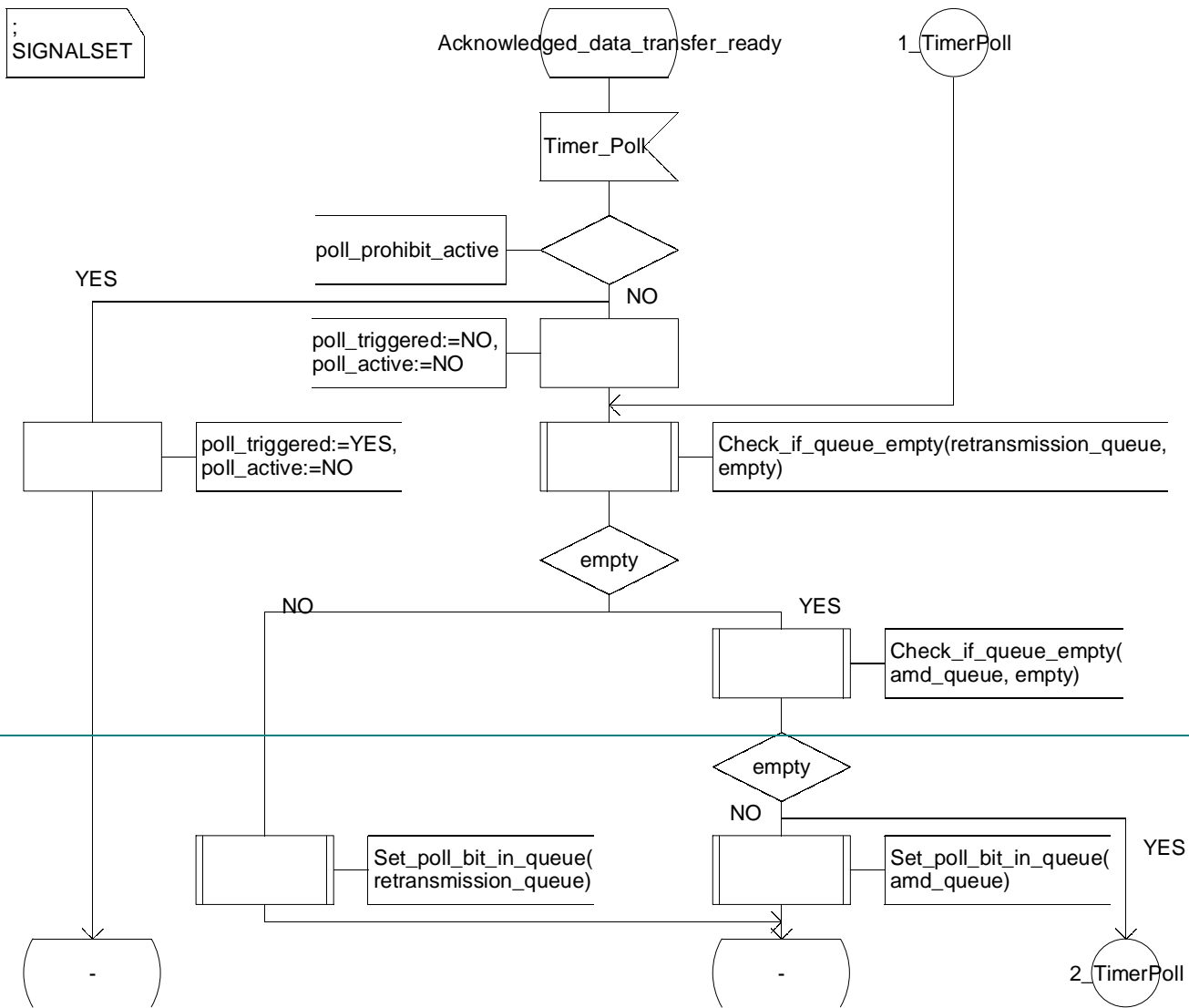
3\_StatusPdu(69)

SIGNALSET



Virtual Process Type Acknowledged\_link

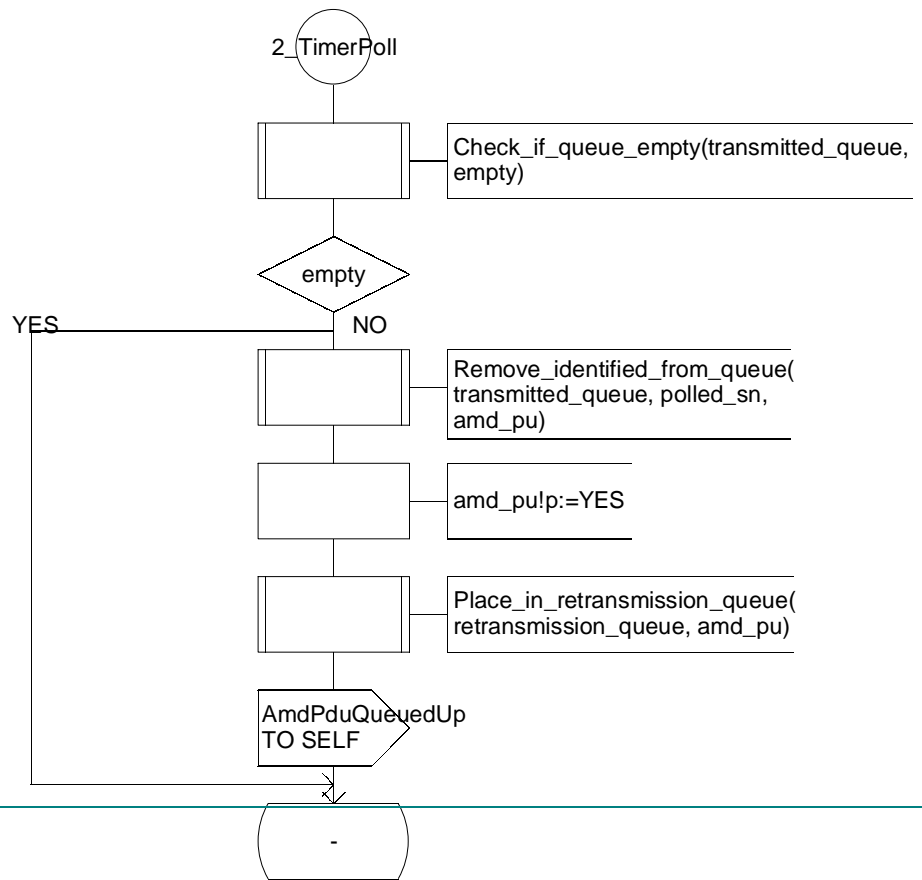
1\_TimerPoll(69)



Virtual Process Type Acknowledged\_link

2\_TimerPoll(69

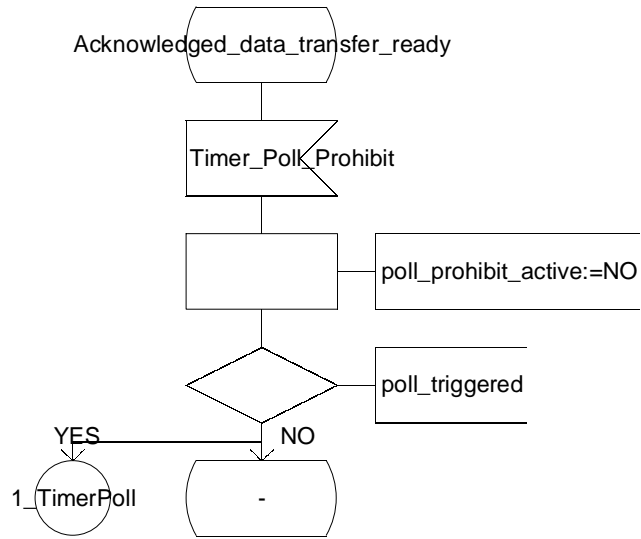
SIGNALSET



Virtual Process Type Acknowledged\_link

1\_TimerPollProhibit(69)

; SIGNALSET

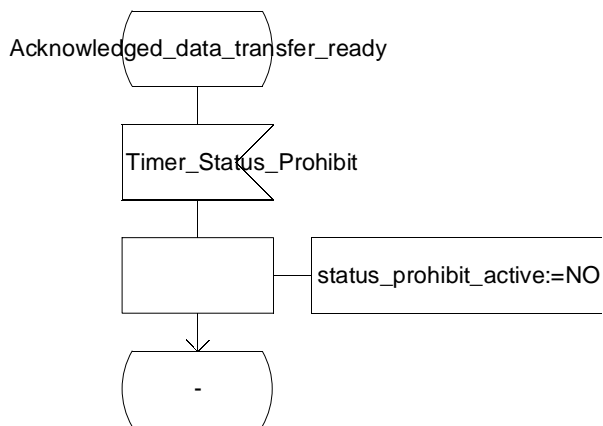




Virtual Process Type Acknowledged\_link

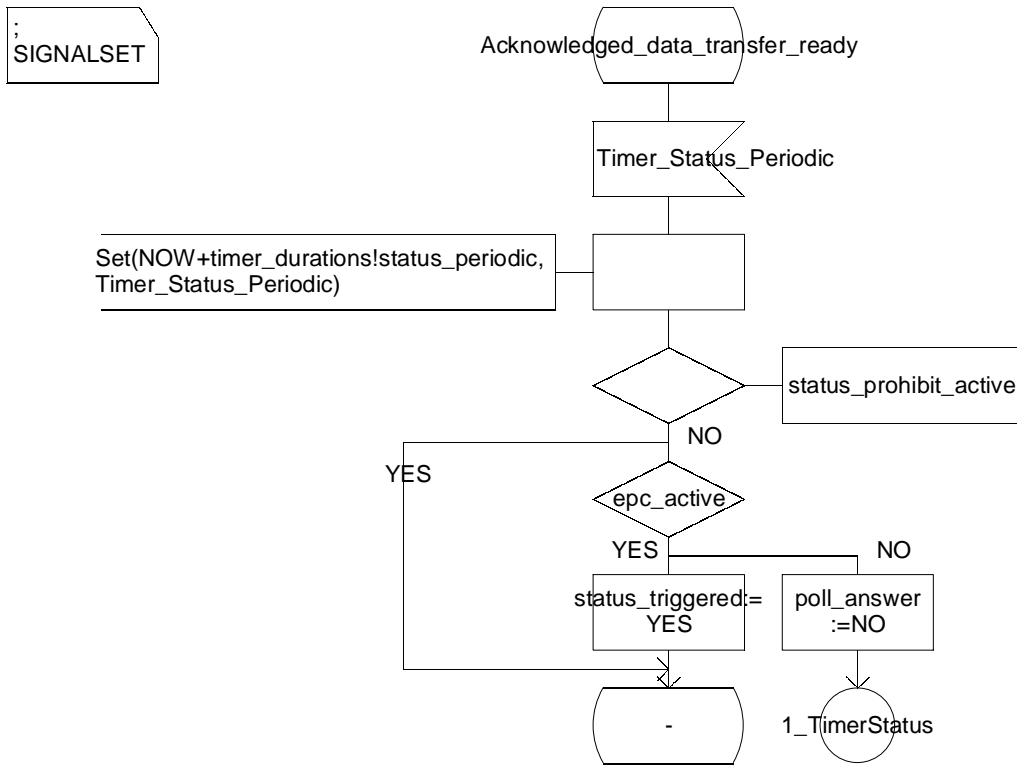
1\_TimerStatusProhibit(69

; SIGNALSET



Virtual Process Type Acknowledged\_link

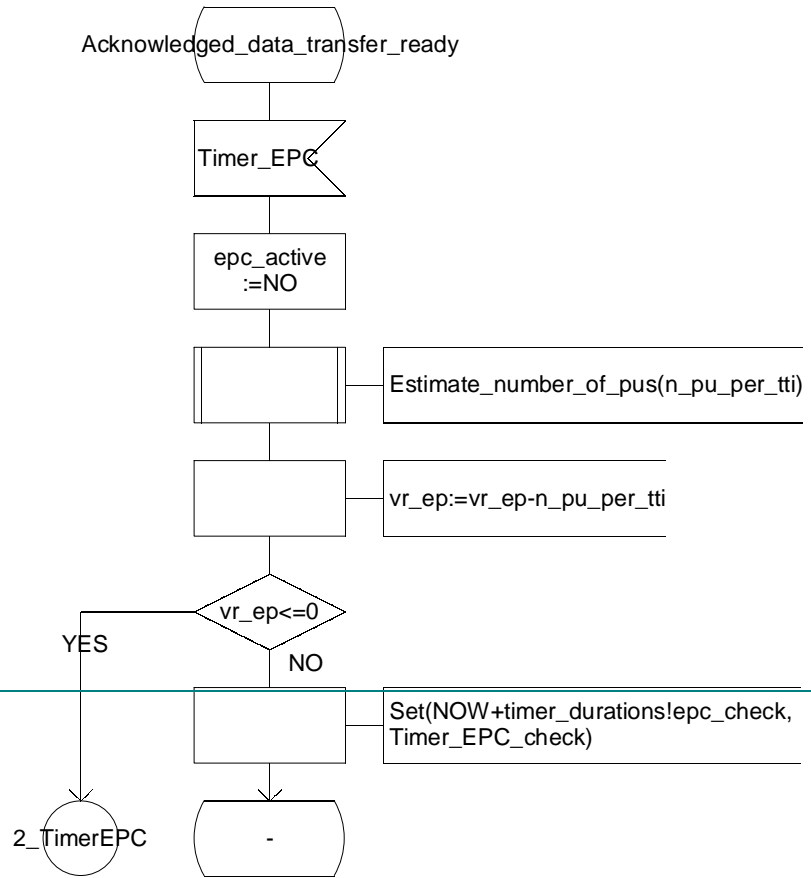
1\_TimerStatusPeriodic(69



Virtual Process Type Acknowledged\_link

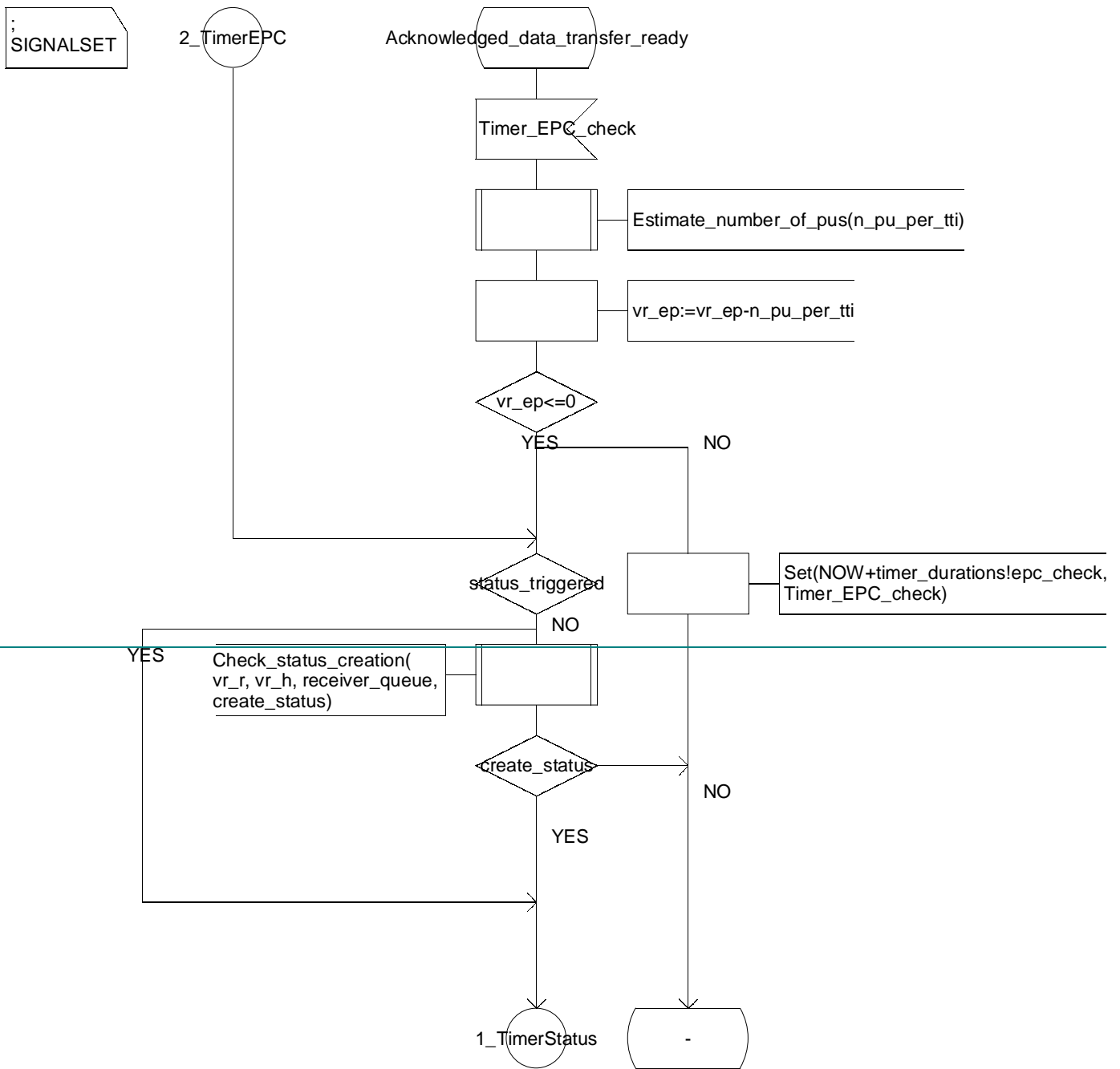
1\_TimerEpc(69

SIGNALSET



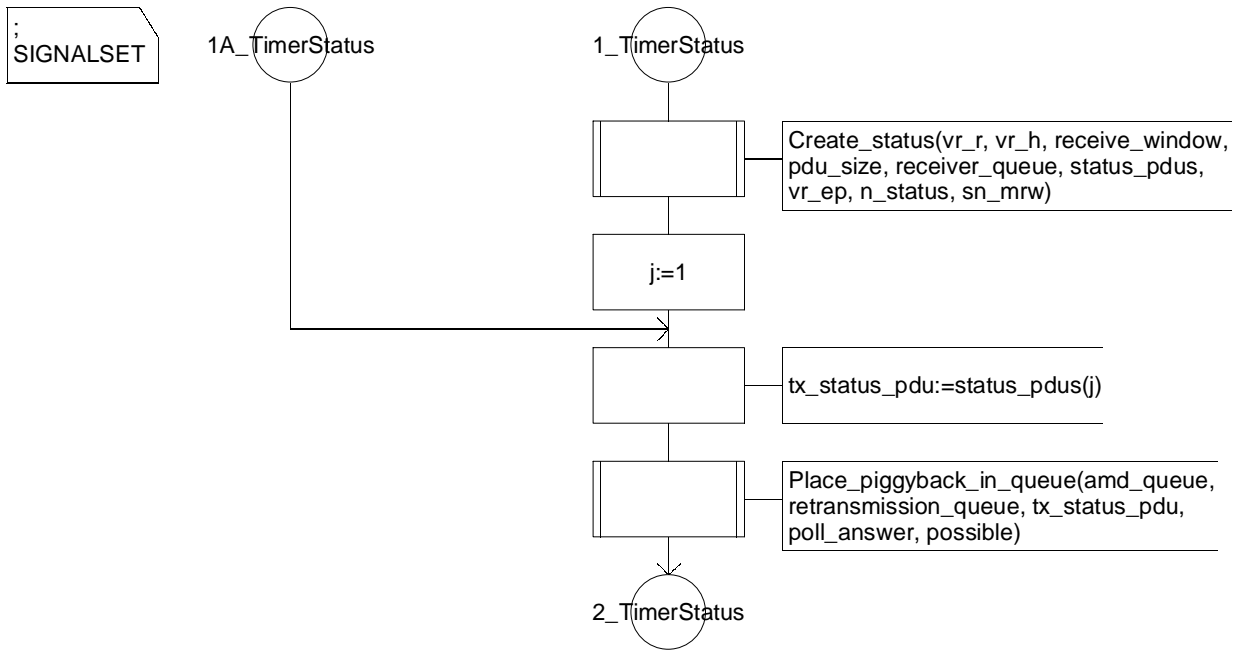
Virtual Process Type Acknowledged\_link

1\_TimerEpcCheck(69)



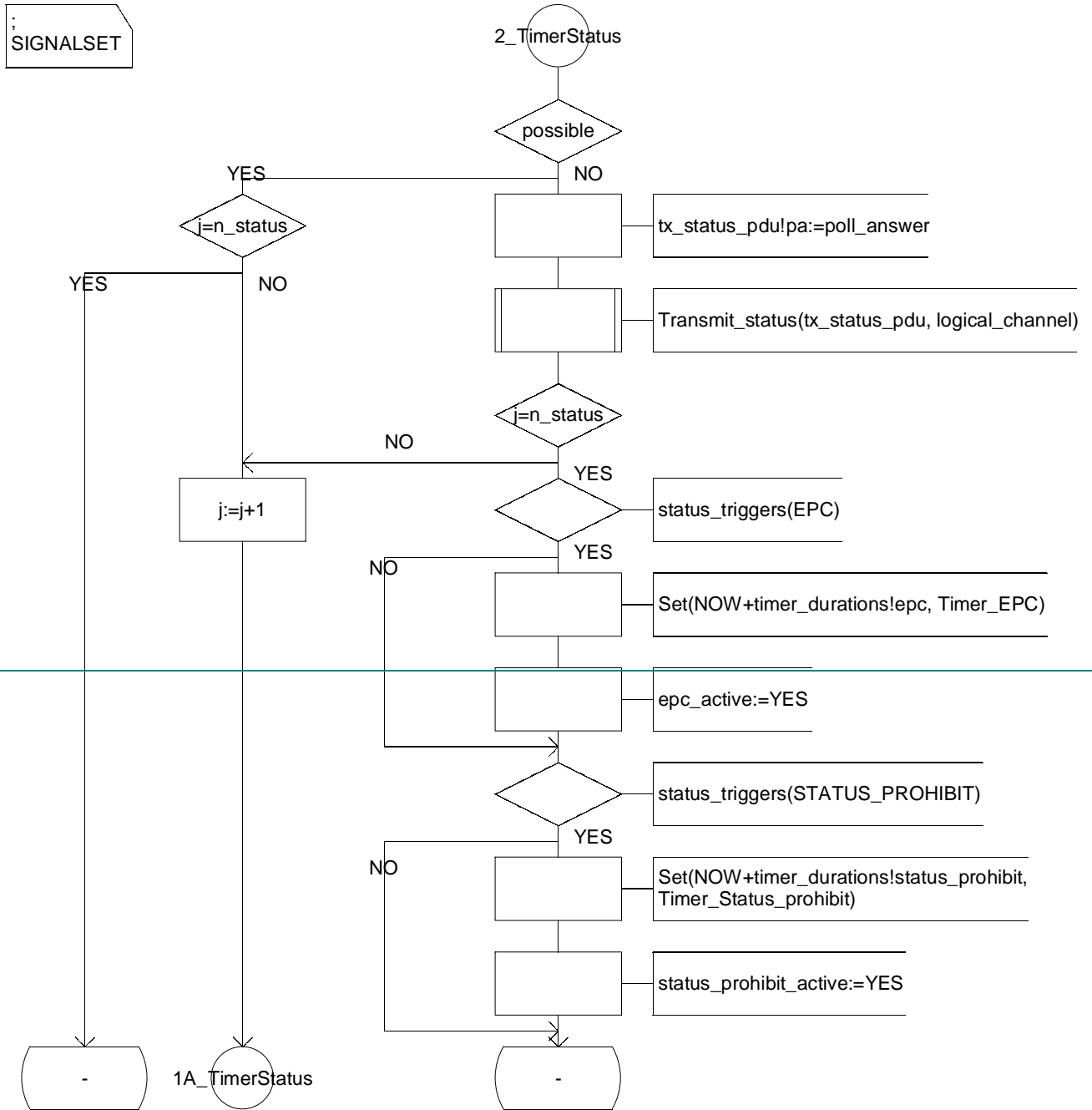
Virtual Process Type Acknowledged\_link

1\_TimerStatus(69



Virtual Process Type Acknowledged\_link

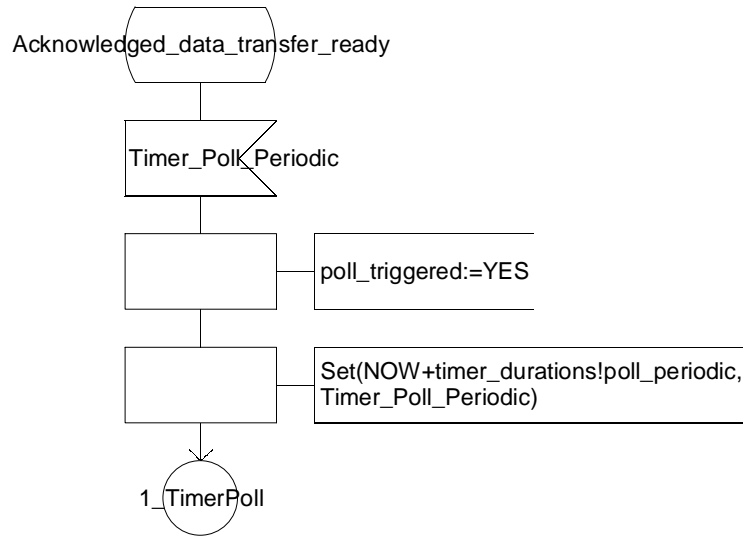
2\_TimerStatus(69)



Virtual Process Type Acknowledged\_link

1\_TimerPollPeriodic(69

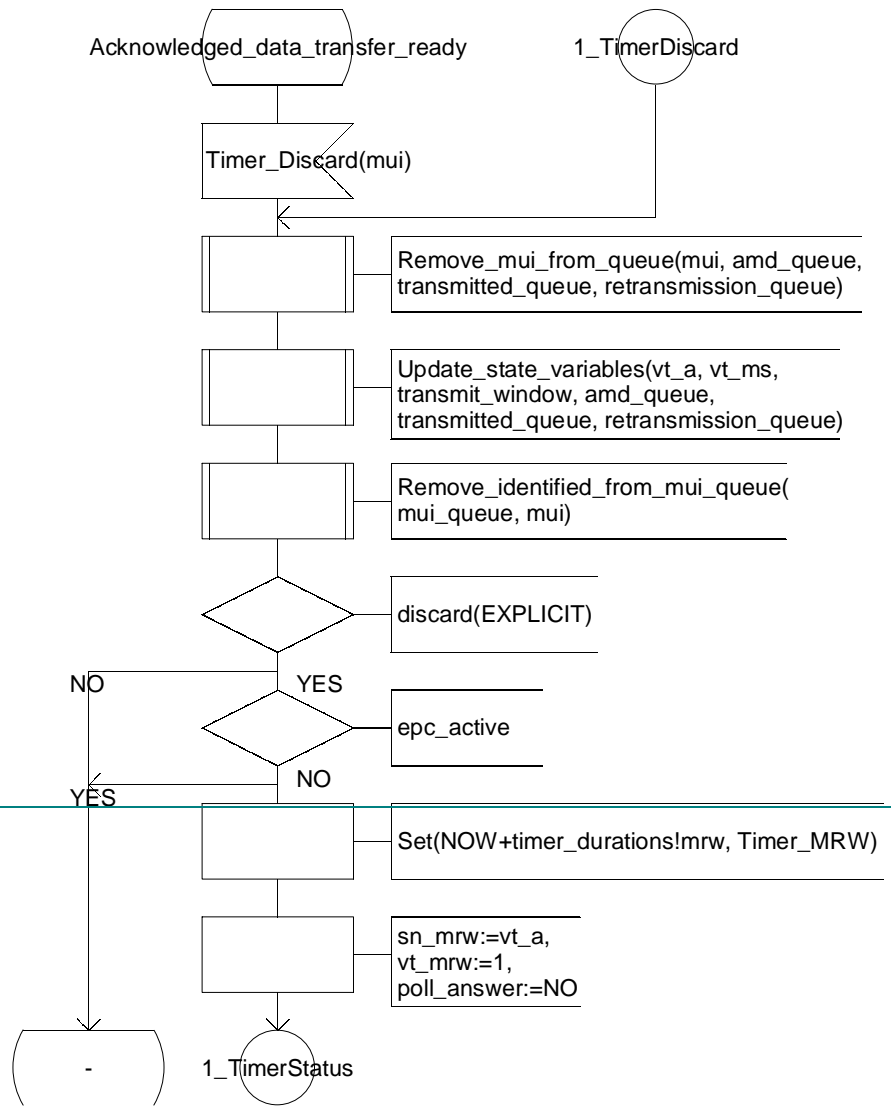
; SIGNALSET



Virtual Process Type Acknowledged\_link

1\_TimerDiscard(69)

SIGNALSET

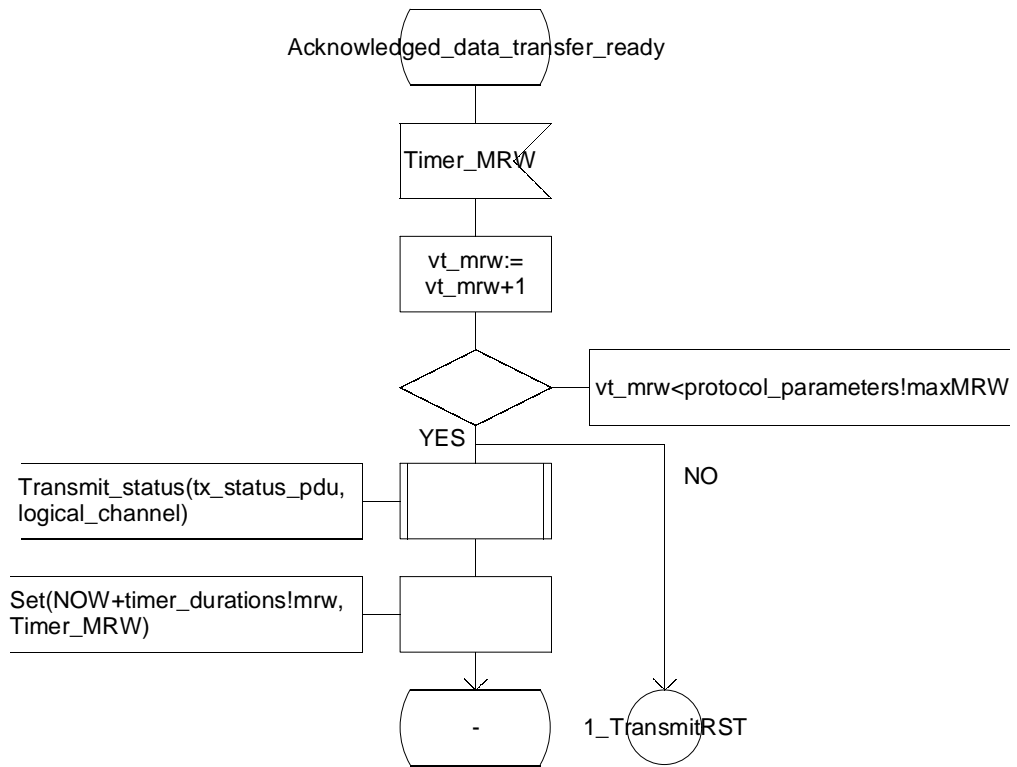




Virtual Process Type Acknowledged\_link

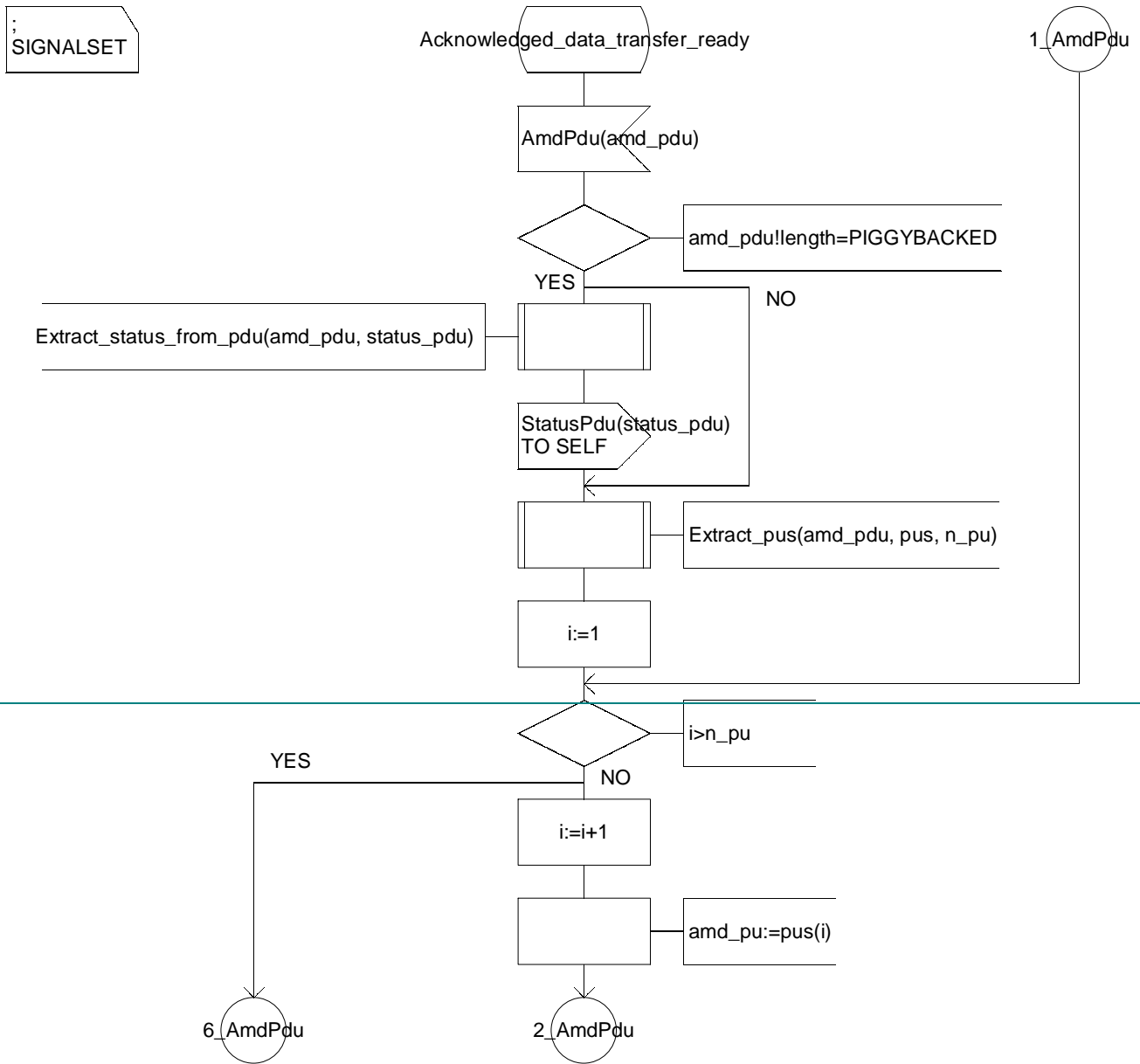
1\_TimerMRW(69

SIGNALSET



Virtual Process Type Acknowledged\_link

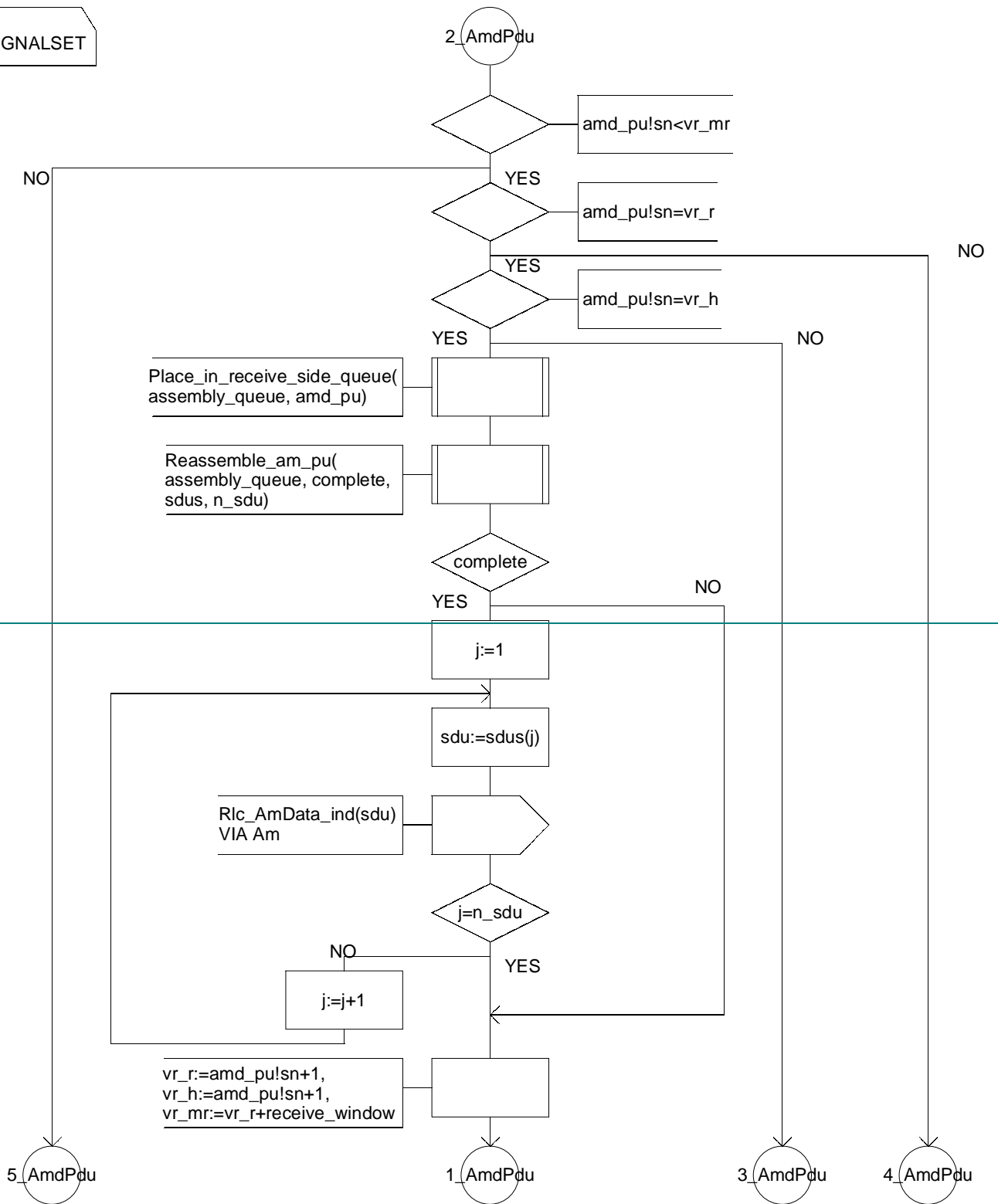
1\_AmdPdu(69



Virtual Process Type Acknowledged\_link

2\_AmdPdu(69)

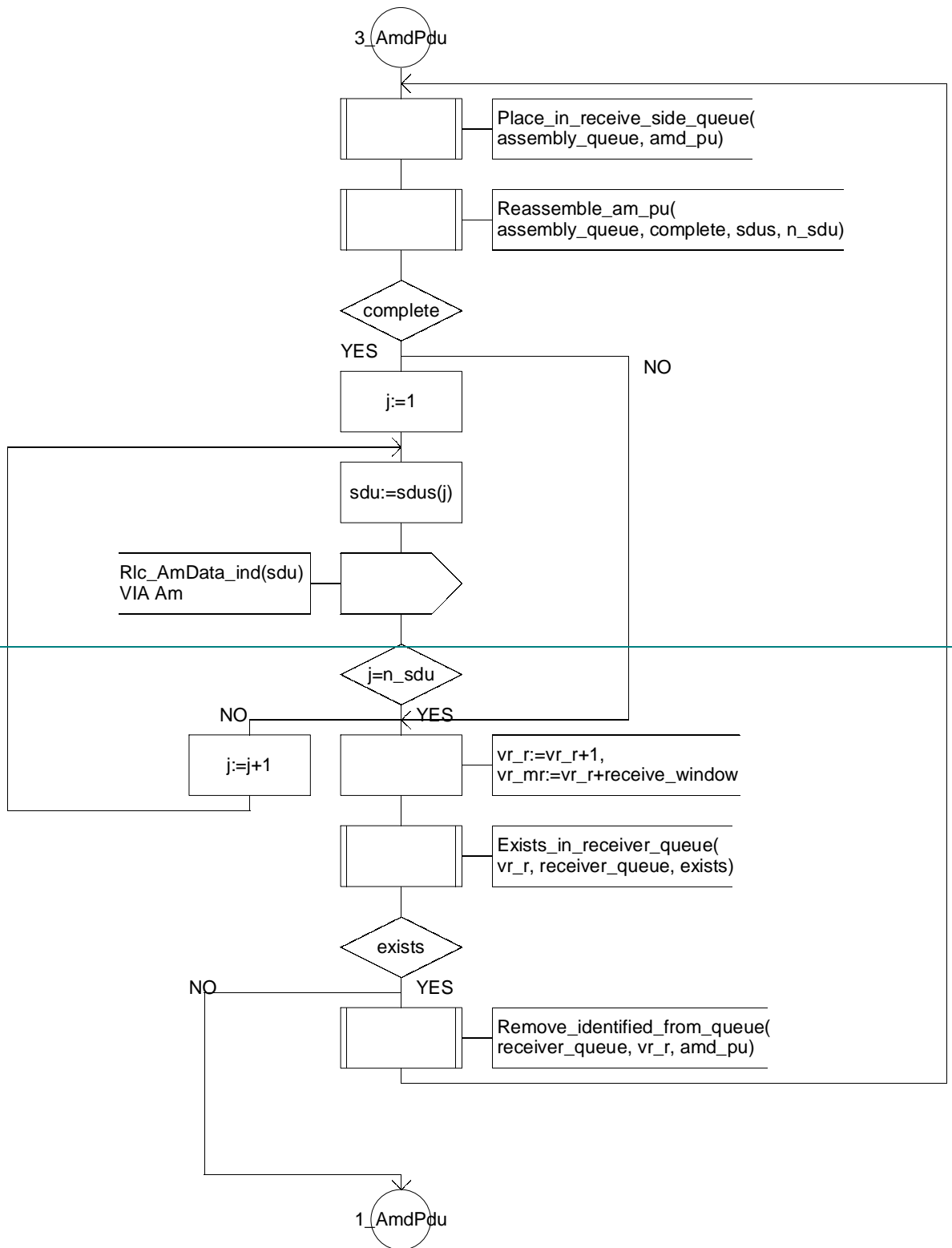
SIGNALSET



Virtual Process Type Acknowledged\_link

3\_AmdPdu(69)

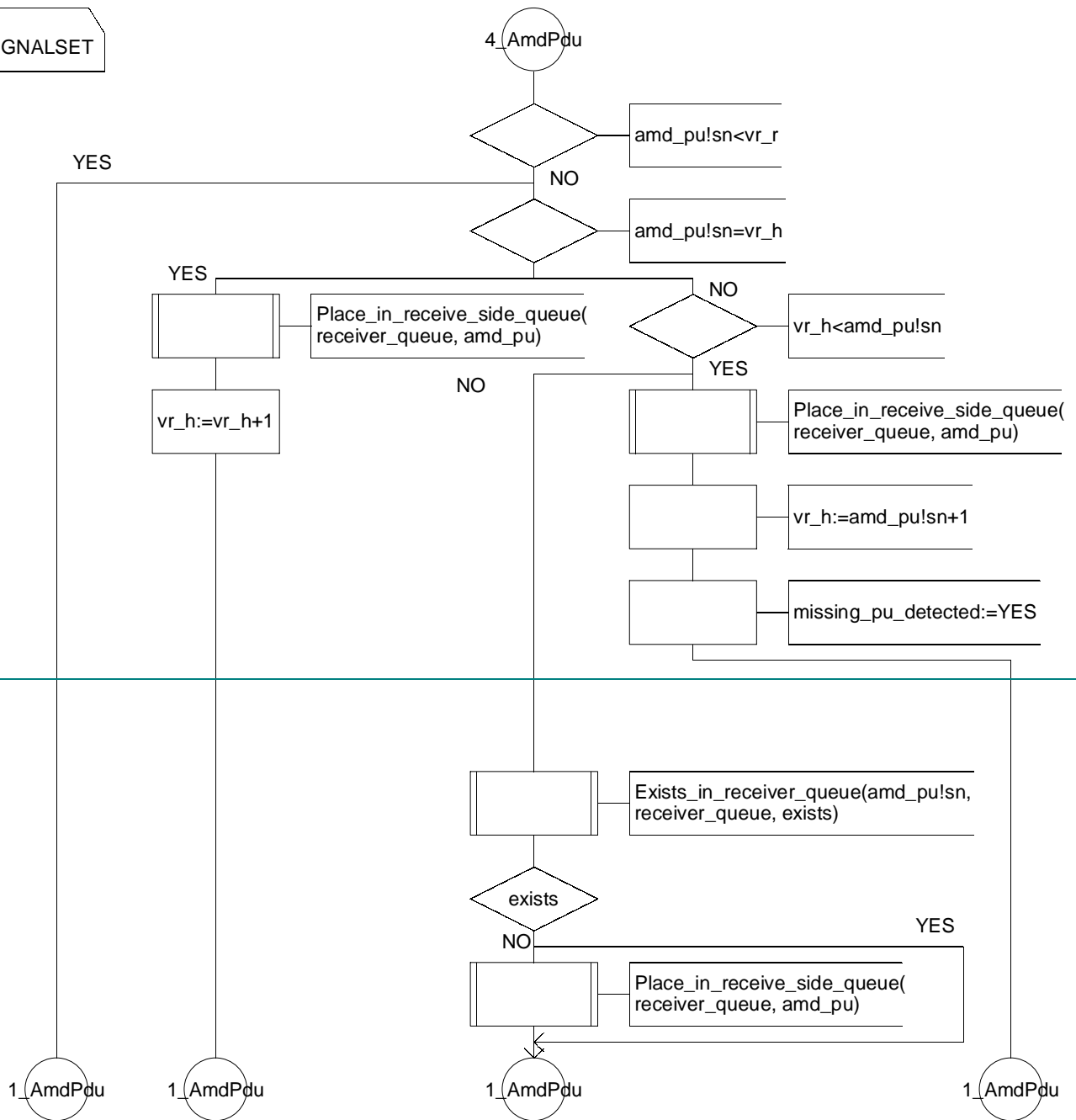
SIGNALSET



Virtual Process Type Acknowledged\_link

4\_AmdPdu(69)

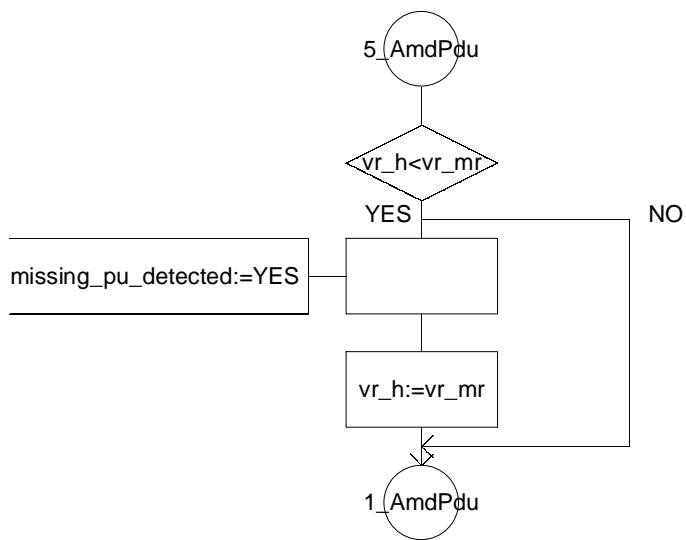
SIGNALSET



Virtual Process Type Acknowledged\_link

5\_AmdPdu(69

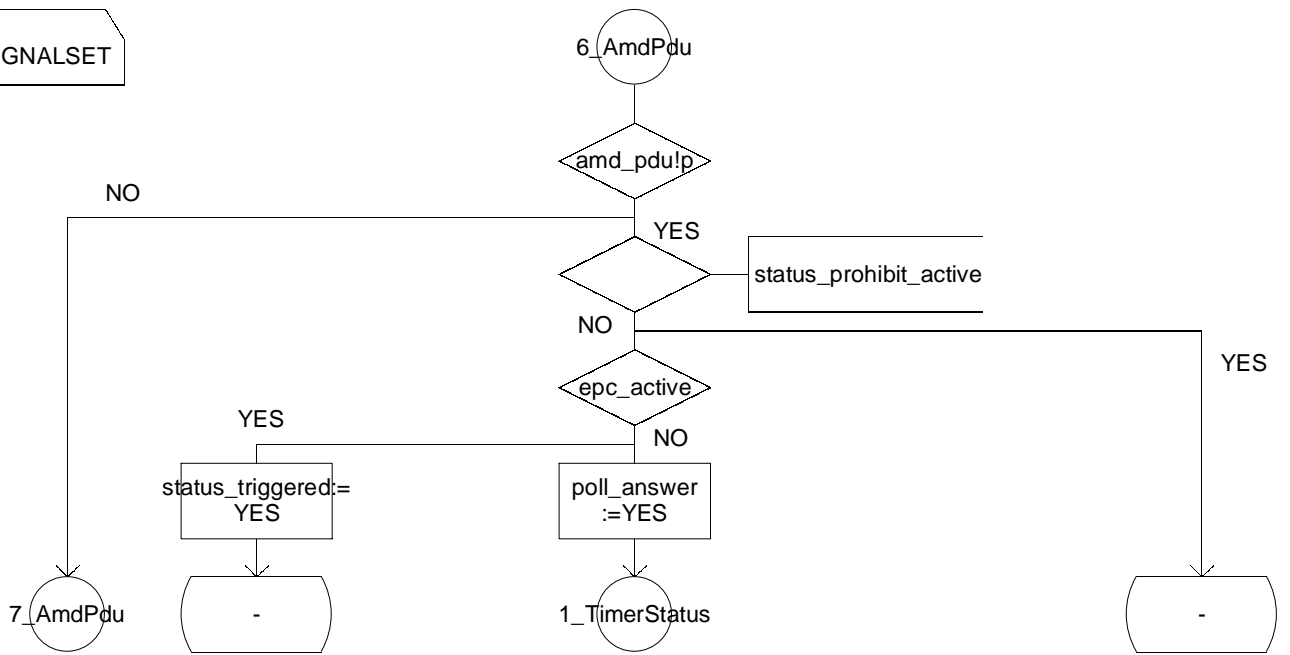
;  
SIGNALSET



Virtual Process Type Acknowledged\_link

6\_AmdPdu(69

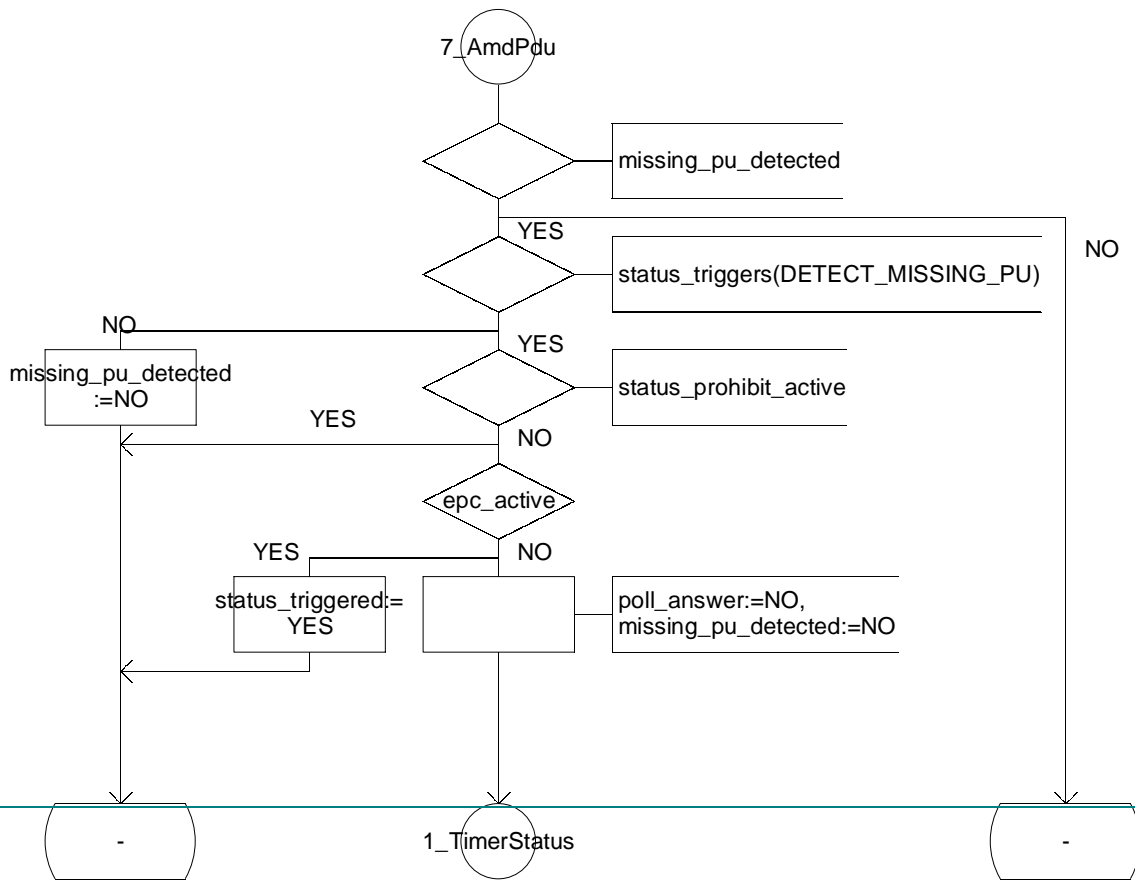
; SIGNALSET



Virtual Process Type Acknowledged\_link

7\_AmdPdu(69

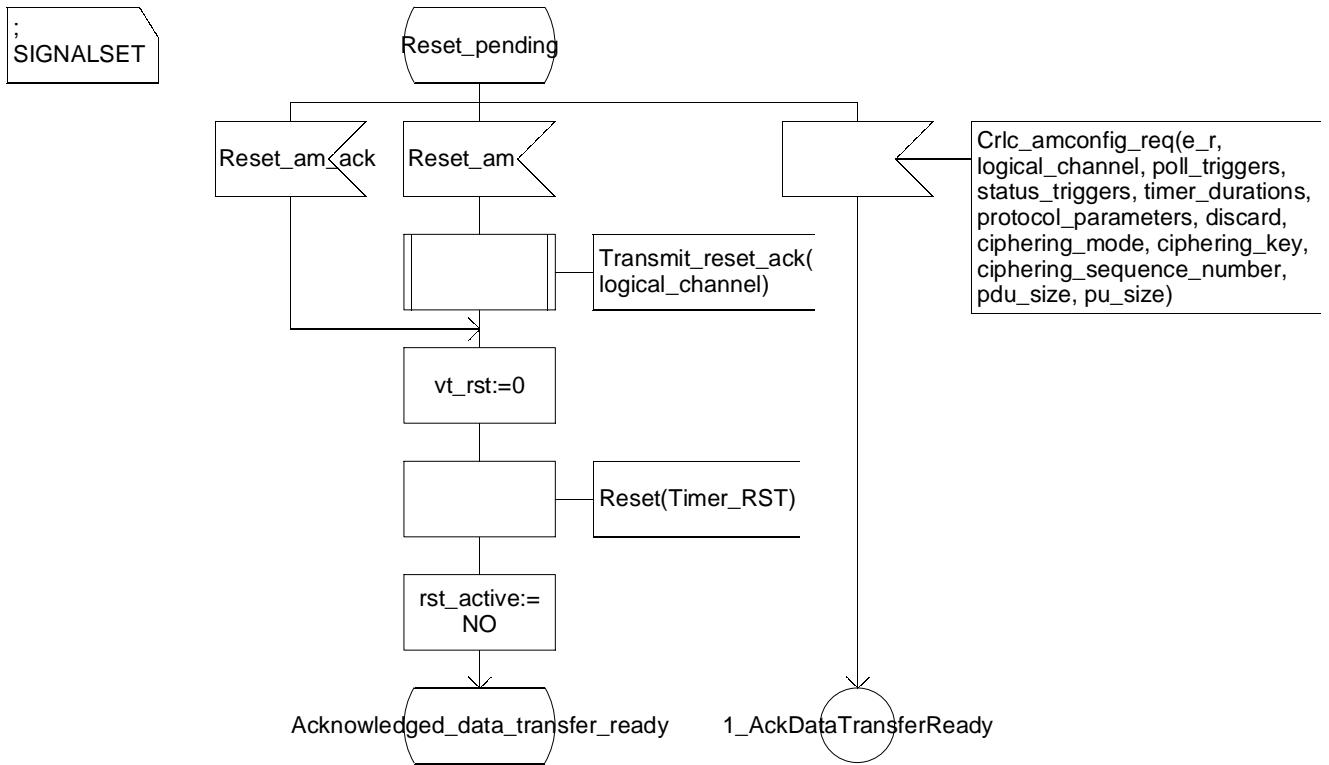
SIGNALSET





Virtual Process Type Acknowledged\_link

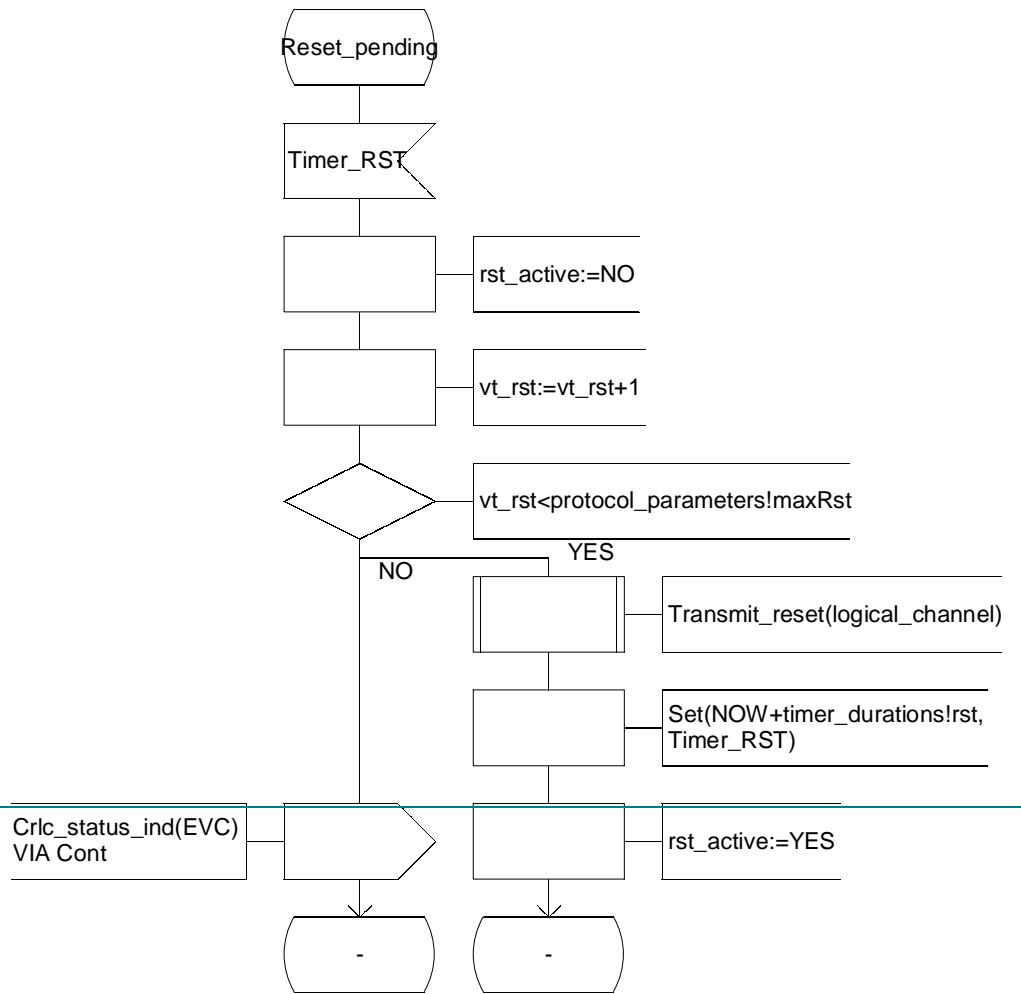
1\_ResetPending(69



Virtual Process Type Acknowledged\_link

2\_ResetPending(69)

SIGNALSET



**CHANGE REQUEST**

Please see embedded help file at the bottom of this page for instructions on how to fill in this form correctly.

**25.322 CR 033r1**

Current Version: **3.1.2**

GSM (AA.BB) or 3G (AA.BBB) specification number ↑

↑ CR number as allocated by MCC support team

For submission to: **TSG-RAN#7**

list expected approval meeting # here ↑

for approval   
 for information

strategic   
 non-strategic  (for SMG use only)

Form: CR cover sheet, version 2 for 3GPP and SMG The latest version of this form is available from: <ftp://ftp.3gpp.org/Information/CR-Form-v2.doc>

**Proposed change affects:** (U)SIM  ME  UTRAN / Radio  Core Network   
 (at least one should be marked with an X)

**Source:** TSG-RAN WG2 **Date:** 2000-2-28

**Subject:** RLC Editorial Changes

**Work item:**

**Category:** F Correction  **Release:** Phase 2   
 A Corresponds to a correction in an earlier release  Release 96   
 B Addition of feature  Release 97   
 C Functional modification of feature  Release 98   
 D Editorial modification  Release 99   
 Release 00   
 (only one category shall be marked with an X)

**Reason for change:**

- The primitive parameter E/R should indicate not only ESTABLISH and RELEASE but also MODIFY in order to reconfigure only the ciphering parameters with keeping other parameters when ciphering key or algorithm is changed.
- If the polling trigger "Last PU in buffer + Last PU in retransmission buffer" or "Timer based" is not chosen, the deadlock of polling may occur. Therefore, one of them can be chosen for every RLC entity to avoid deadlock.

**Clauses affected:** 8.1, 9.7.1

**Other specs affected:**

Other 3G core specifications	<input type="checkbox"/>	→ List of CRs:
Other GSM core specifications	<input type="checkbox"/>	→ List of CRs:
MS test specifications	<input type="checkbox"/>	→ List of CRs:
BSS test specifications	<input type="checkbox"/>	→ List of CRs:
O&M specifications	<input type="checkbox"/>	→ List of CRs:

**Other comments:**



help.doc

<----- double-click here for help and instructions on how to create a CR.

## 8.1 Primitives between RLC and higher layers

The primitives between RLC and upper layers are shown in Table 8.1.

**Table 8.1 : Primitives between RLC and upper layers**

Generic Name	Parameter			
	Req.	Ind.	Resp.	Conf.
<b>RLC-AM-DATA</b>	Data, CNF, MUI	Data	Not Defined	MUI
<b>RLC-UM-DATA</b>	Data	Data	Not Defined	Not Defined
<b>RLC-TR-DATA</b>	Data	Data	Not Defined	Not Defined
<b>CRLC-CONFIG</b>	E/R, Ciphering Elements (UM/AM only), AM_parameters (AM only)	Not Defined	Not Defined	Not Defined
<b>CRLC-SUSPEND (UM/AM only)</b>	N	Not Defined	Not Defined	VT(S)
<b>CRLC-RESUME (UM/AM only)</b>	No Parameter	Not Defined	Not Defined	Not Defined
<b>CRLC-STATUS</b>	Not Defined	EVC	Not Defined	Not Defined

Each Primitive is defined as follows:

### **RLC-AM-DATA-Req/Ind/Conf**

- RLC-AM-DATA-Req is used by higher layers to request transmission of a higher layer PDU in acknowledged mode.
- RLC-AM-DATA-Ind is used by RLC to deliver to higher layers RLC SDUs, that have been transmitted in acknowledged mode.
- RLC-AM-DATA-Conf is used by RLC to confirm to higher layers the transmission of a RLC SDU.

### **RLC-UM-DATA-Req/Ind**

- RLC-UM-DATA-Req is used by higher layers to request transmission of a higher layer PDU in unacknowledged mode.
- RLC-UM-DATA-Ind is used by RLC to deliver to higher layers RLC SDUs, that have been transmitted in unacknowledged mode.

### **RLC-TR-DATA-Req/Ind**

- RLC-TR-DATA-Req is used by higher layers to request transmission of a higher layer PDU in transparent mode.
- RLC-TR-DATA-Ind is used by RLC to deliver to higher layers RLC SDUs, that have been transmitted in transparent mode.

### **CRLC-CONFIG-Req**

This primitive is used by RRC to establish, release or reconfigure the RLC. Ciphering elements are included for UM and AM operation.

### **CRLC-SUSPEND-Req/Cnf**

This primitive is used by RRC to suspend the RLC. The N parameter indicates that RLC shall not send a PDU with  $SN \geq VT(S) + N$ , where N is an integer. RLC informs RRC of the VT(S) value in the confirm primitive.

### **CRLC-RESUME-Req**

This primitive is used by RRC to resume RLC when RLC has been suspended.

### **CRLC-STATUS-Ind**

It is used by the RLC to send status information to RRC.

Following parameters are used in the primitives:

- 1) The parameter Data is the RLC SDU that is mapped onto the Data field in RLC PDUs. The Data parameter may be divided over several RLC PDUs. In case of a RLC-AM-DATA or a RLC-UM-DATA primitive the length of the Data parameter shall be octet-aligned.
- 2) The parameter Confirmation request (CNF) indicates whether the RLC needs to confirm the correct transmission of the RLC SDU.
- 3) The parameter Message Unit Identifier (MUI) is an identity of the RLC SDU, which is used to indicate which RLC SDU that is confirmed with the RLC-AM-DATA conf. primitive.
- 4) The parameter E/R indicates (re)establishment, release or modification of RLC whether RLC should enter or exit the data transfer ready state. If it indicates (re)establishment, all protocol parameters, variables and timers shall be set or reset and RLC shall enter the data transfer ready state. If it indicates release, all protocol parameters, variables and timers shall be released and RLC shall exit the data transfer ready state. If it indicates modification, the protocol parameters indicated by RRC (e.g. ciphering parameters) shall only be modified with keeping the other protocol parameters, the protocol variables, the protocol timers and the protocol state.
- 5) The parameter Event Code (EVC) indicates the reason for the RLC-STATUS-ind (i.e., unrecoverable errors such as data link layer loss or recoverable status events such as reset, etc.).
- 6) The parameter ciphering elements are only applicable for UM and AM operation. These parameters are Ciphering Mode, Ciphering Key, Activation Time (SN to activate a new ciphering configuration) and Ciphering Sequence Number.
- 7) The AM\_parameters is only applicable for AM operation. It contains PU size, Timer values (see section 9.5), Protocol parameter values (see section 9.6), Polling triggers (see section 9.7.1), Status triggers (see section 9.7.2), SDU discard mode (see section 9.7.3),.

### 9.7.1 Polling function for acknowledged mode transfer

The transmitter of AMD PDUs may poll the receiver for a STATUS PDU. The Polling bit in the AMD PDU indicates the poll request. There are several triggers for setting the polling bit. The network (RRC) controls, which triggers should be used for each RLC entity. Following triggers are possible:

- 1) Last PU in buffer  
The sender transmits a poll when the last PU available for transmission is transmitted.
- 2) Last PU in retransmission buffer  
The sender transmits a poll when the last PU to be retransmitted is transmitted.
- 3) Poll timer  
The timer Timer\_Poll is started when a poll is transmitted to the receiver and if no STATUS PDU has been received before the timer Timer\_Poll expires a new poll is transmitted to the receiver.
- 4) Every Poll\_PU PU  
The sender polls the receiver every Poll\_PU PU. Both retransmitted and new PUs shall be counted.
- 5) Every Poll\_SDU SDU  
The sender polls the receiver every Poll\_SDU SDU.
- 6) Poll\_Window% of transmission window  
The sender polls the receiver when it has reached Poll\_Window% of the transmission window.
- 7) Timer based

The sender polls the receiver periodically.

Either the trigger “Last PU in buffer” and “Last PU in retransmission buffer” or “Timer based” can be chosen to avoid deadlock for every RLC entity. The network also controls if the poll prohibit function shall be used. The poll bit shall be set to 0 if the poll prohibit function is used and the timer Timer\_Poll\_Prohibit is active. This function has higher priority than any of the above mentioned triggers.

**3GPP TSG RAN WG2 meeting #11**  
**Turin, Italy, 28 February – 3 March 2000**

**Document R2-000645**

e.g. for 3GPP use the format TP-99xxx  
or for SMG, use the format P-99-xxx

<b>CHANGE REQUEST</b>		Please see embedded help file at the bottom of this page for instructions on how to fill in this form correctly.
<b>25.322</b>	<b>CR</b>	<b>034</b>
GSM (AA.BB) or 3G (AA.BBB) specification number ↑		↑ CR number as allocated by MCC support team
For submission to: <b>TSG-RAN #7</b>	for approval <input checked="" type="checkbox"/>	Current Version: <b>3.1.2</b>
list expected approval meeting # here ↑	for information <input type="checkbox"/>	strategic <input type="checkbox"/> (for SMG use only)
		non-strategic <input type="checkbox"/>

Form: CR cover sheet, version 2 for 3GPP and SMG The latest version of this form is available from: ftp://ftp.3gpp.org/Information/CR-Form-v2.doc

**Proposed change affects:** (U)SIM  ME  UTRAN / Radio  Core Network   
(at least one should be marked with an X)

**Source:** TSG-RAN WG2 **Date:** 2000-03-02

**Subject:** Order of bit transmission for RLC PDUs

**Work item:**

**Category:** F Correction  **Release:** Phase 2   
A Corresponds to a correction in an earlier release  Release 96   
B Addition of feature  Release 97   
C Functional modification of feature  Release 98   
D Editorial modification  Release 99   
Release 00

(only one category shall be marked with an X)

**Reason for change:** The order of bit transmission is currently not clearly specified throughout the radio interface specifications. This CR provides the necessary information.

**Clauses affected:** 9

**Other specs affected:**

Other 3G core specifications	<input type="checkbox"/>	→ List of CRs:	
Other GSM core specifications	<input type="checkbox"/>	→ List of CRs:	
MS test specifications	<input type="checkbox"/>	→ List of CRs:	
BSS test specifications	<input type="checkbox"/>	→ List of CRs:	
O&M specifications	<input type="checkbox"/>	→ List of CRs:	

**Other comments:**



help.doc

<----- double-click here for help and instructions on how to create a CR.

## 9 Elements for peer-to-peer communication

### 9.1 Protocol data units

#### 9.1.1 Data PDUs

a) TrD PDU (Transparent Mode Data PDU)

The TrD PDU is used to convey RLC SDU data without adding any RLC overhead. The TrD PDU is used by RLC when it is in transparent mode.

b) UMD PDU (Unacknowledged Mode Data PDU)

The UMD PDU is used to convey sequentially numbered PDUs containing RLC SDU data. It is used by RLC when using unacknowledged data transfer.

c) AMD PDU (Acknowledged Mode Data PDU)

The AMD PDU is used to convey sequentially numbered PUs containing RLC SDU data. The AMD PDU is used by RLC when it is in acknowledged mode.

#### 9.1.2 Control PDUs

a) STATUS PDU and Piggybacked STATUS PDU

The STATUS PDU and the Piggybacked STATUS PDU are used:

- by the receiving entity to inform the transmitting entity about missing PUs at the receiving entity;
- by the receiving entity to inform the transmitting entity about the size of the allowed transmission window;
- and by the transmitting entity to request the receiving entity to move the receiving window.

b) RESET (Reset)

The RESET PDU is used in acknowledged mode to reset all protocol states, protocol variables and protocol timers of the peer RLC entity in order to synchronise the two peer entities.

c) RESET ACK (Reset Acknowledge)

The RESET ACK PDU is an acknowledgement to the RESET PDU.

**Table 9.1: RLC PDU names and descriptions**

Data Transfer Mode	PDU name	Description
<b>Transparent</b>	TrD	Transparent mode data
<b>Unacknowledged</b>	UMD	Sequenced unacknowledged mode data
<b>Acknowledged</b>	AMD	Sequenced acknowledged mode data
	STATUS	Solicited or Unsolicited Status Report
	Piggybacked STATUS	Piggybacked Solicited or Unsolicited Status Report
	RESET	Reset Command
	RESET ACK	Reset Acknowledgement



## 9.2 Formats and parameters

### 9.2.1 Formats

This section specifies the format of the RLC PDUs. The parameters of each PDU are explained in section 9.2.2.

#### 9.2.1.1 General

An RLC PDU is a bit string, with a length not necessarily a multiple of 8 bits. In the drawings in clause 9.2, bit strings are represented by tables in which the first bit is the leftmost one on the first line of the table, the last bit is the rightmost on the last line of the table, and more generally the bit string is to be read from left to right and then in the reading order of the lines.

Depending on the provided service, RLC SDUs are bit strings, with any non-null length, or bit strings with a length an integral number of octets in length. An SDU is included into an RLC PDU from first bit onward.

#### 9.2.1.1.1 TrD PDU

The TrD PDU transfers user data when RLC is operating in transparent mode. No overhead is added to the SDU by RLC. ~~The TrD PDU is bit aligned. The data length is not constrained to be of a length an integral number of octets.~~

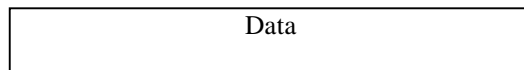


Figure 9.1: TrD PDU

#### 9.2.1.2 UMD PDU

The UMD PDU transfers user data when RLC is operating in unacknowledged mode. ~~The UMD PDU is octet aligned. The length of the data part must shall be of a length an integral number of octets.~~

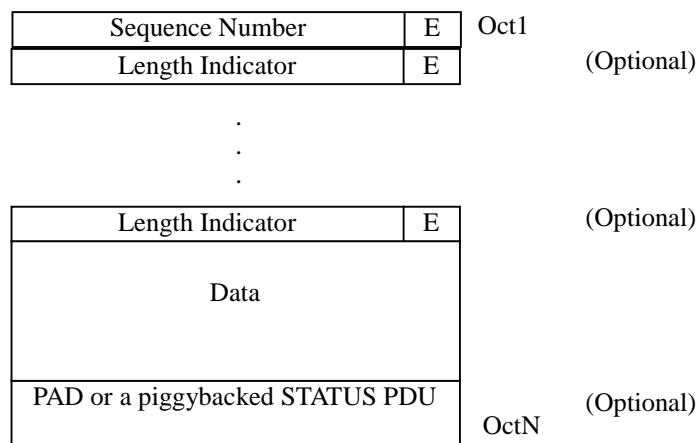
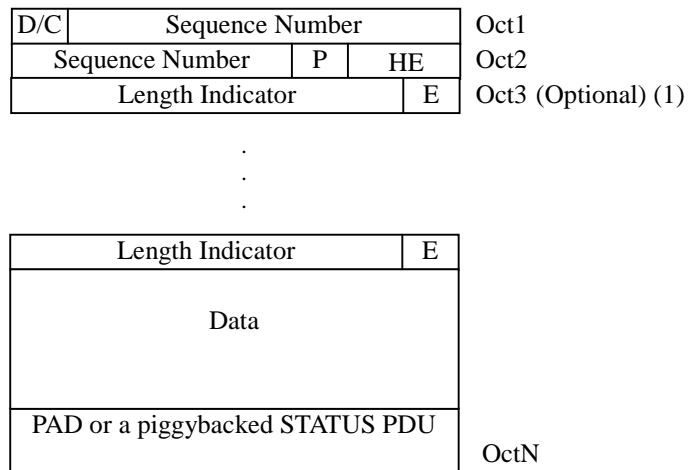


Figure 9.2: UMD PDU

#### 9.2.1.3 AMD PDU

The AMD PDU transfers user data and piggybacked status information and requests status report by setting Poll bit when RLC is operating in acknowledged mode. ~~The AMD PDU is octet aligned. The length of the data part must shall be of a length an integral number of octets.~~



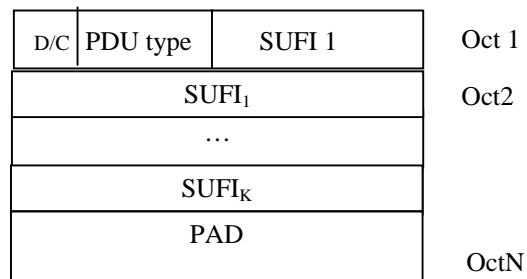
NOTE (1): The Length Indicator maybe 15 bits.

**Figure 9.3: AMD PDU**

#### 9.2.1.4 STATUS PDU

The STATUS PDU is used to report the status between two RLC AM entities. Both receiver and transmitter status information may be included in the same STATUS PDU.

The format of the STATUS PDU is given in Figure 9.4 below.

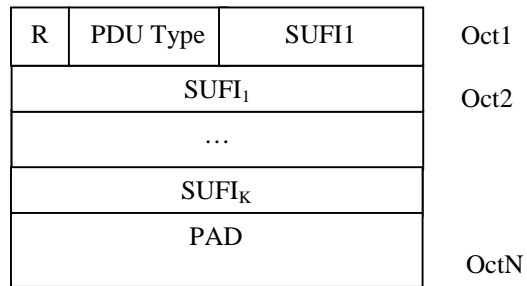


**Figure 9.4: Status Information Control PDU (STATUS PDU)**

Up to K different super-fields (SUFI<sub>1</sub>-SUFI<sub>k</sub>) can be included into one STATUS PDU. The size of a STATUS PDU is variable and upper bounded by the maximum RLC PDU size used by an RLC entity. Padding shall be included to exactly fit one of the PDU sizes used by the entity. ~~The AMD PDU is octet aligned.~~ The length of the STATUS PDU shall be an integer number of octets.

#### 9.2.1.5 Piggybacked STATUS PDU

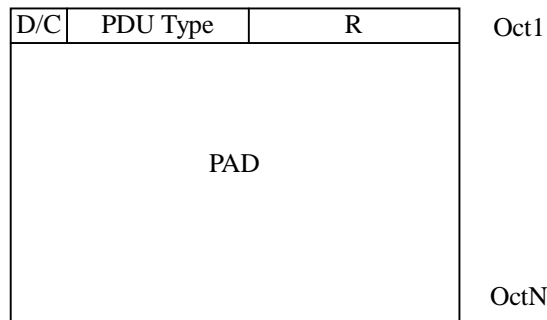
The format of the piggybacked STATUS PDU is the same as the ordinary Control PDU except that the D/C field is replaced by a reserved bit (R). This PDU can be used to piggyback STATUS PDU in an AMD PDU if the data does not fill the complete AMD PDU. The PDU Type field is set to zero and all other values are invalid for this version of the protocol and the PDU is discarded. ~~The STATUS PDU is octet aligned.~~



**Figure 9.5: Piggybacked STATUS PDU**

### 9.2.1.6 RESET, RESET ACK PDU

~~The RESET, RESET ACK PDUs ARE octet-aligned.~~



**Figure 9.6: RESET, RESET ACK PDU**

## 9.2.2 Parameters

If not otherwise mentioned in the definition of each field then the bits in the parameters shall be interpreted as follows:  
~~The~~ the left most bit string is the first and most significant and the right most bit is the last and least significant bit.

Unless otherwise mentioned, integers are encoded in standard binary encoding for unsigned integers. In all cases, including when a value extends over more than one octet as shown in the tables, the bits appear ordered from MSB to LSB when read in the PDU.

### 9.2.2.1 D/C field

Length: 1bit

The D/C field indicates the type of an acknowledged mode PDU. It can be either data or control PDU.

Bit	Description
0	Control PDU
1	Acknowledged mode data PDU

### 9.2.2.2 PDU Type

Length: 3 bit

The PDU type field indicates the Control PDU type

Bit	PDU Type
000	STATUS
001	RESET
010	RESET ACK

### 9.2.2.3 Sequence Number (SN)

This field indicates the sequence number of the payload unit, encoded in binary. If header compression is applied the sequence number of the first PU in the PDU is indicated. Otherwise a sequence number is indicated separately for each PU in the extended header.

PDU type	Length	Notes
AMD PDU	12 bits	Used for retransmission and reassembly
UMD PDU	7 bits	Used for reassembly

### 9.2.2.4 Polling bit (P)

Length: 1bit

This field is used to request a status report (STATUS PDU) from the receiver RLC.

Bit	Description
0	Status report not requested
1	Request a status report

### 9.2.2.5 Extension bit (E)

Length: 1bit

This bit indicates if the next octet will be a length indicator and E bit.

Bit	Description
0	The next field is data
1	The next field is Length Indicator and E bit

### 9.2.2.6 Reserved (R)

Length: 4 bits

This field is used to achieve octet alignment and for this purpose it is coded as 0000. Other functions of it are left for future releases.

### 9.2.2.7 Header Extension Type (HE)

Length: 2 bits

This two-bit field indicates the format of the extended header.

Value	Description
00	The succeeding octet contains data
01	The succeeding octet contains a 7bit length indicator and E bit
10	The succeeding octet contains a 15bit length indicator and E bit
11	Reserved (PDUs with this coding will be discarded by this version of the protocol).

### 9.2.2.8 Length Indicator (LI)

The Length Indicator is used to indicate, each time, the end of an SDU occurs in the PU. The Length Indicator points out the number of octets between the end of the last Length Indicator field and up to and including the octet at the end of an SDU segment. Length Indicators are included in the PUs that they refer to. The size of the Length Indicator may be either 7bits or 15bits. The maximum value of a Length Indicator will be no greater than the RLC PDU size – AMD PDU Header – PADDING.

A Length Indicator group is a set of Length Indicators that refer to a PU. Length Indicators that are part of a Length Indicator group must never be reordered within the Length Indicator group or removed from the Length Indicator group.

If there can be more than one Length Indicator, each specifying the end of an SDU in a PU, the order of these Length Indicators must be in the same order as the SDUs that they refer to.

In the case where the end of last segment of an SDU exactly ends at the end of a PDU, the next Length Indicator, shall be placed as the first Length Indicator in the next PU and have value LI=0.

In the case where the last segment of an RLC SDU is one octet short of exactly filling the last RLC PU, and 15-bit Length Indicators are used, the next Length Indicator shall be placed as the first Length Indicator in the next PU and have value LI=111 1111 1111 1011.

A PU that has unused space, to be referred to as padding, must use a Length Indicator to indicate that this space is used as padding. A padding Length Indicator must be placed after any Length Indicators for a PU.

All unused space in a PU must be located at the end of the PDU, be a homogeneous space and is referred to as padding. Predefined values of the Length Indicator are used to indicate this. The values that are reserved for special purposes are listed in the tables below depending on the size of the Length Indicator. Only predefined Length Indicator values can refer to the padding space.

STATUS PDUs can be piggybacked on the AMD PDU by using part or all of the padding space. A Length Indicator must be used to indicate the piggybacked STATUS PDU. This Length Indicator takes space from the padding space or piggybacked STATUS PDU and not the PDU data and will always be the last Length Indicator. Where only part of the padding space is used by a piggybacked STATUS PDU then the end of the piggybacked STATUS PDU is determined by the SUFI field, NO\_MORE, thus no additional Length Indicator is required to show that there is still padding in the PDU. The padding/piggybacked STATUS PDU predefined Length Indicators shall be added after the very last (i.e. there could be more than one SDU that end within a PDU) Length Indicator that indicates the end of the last SDU segment in the PU.

If RLC PDUs always carry only one PU, 7bit indicators are used in a particular RLC PDU if the address space is sufficient to indicate all SDU segment borders. Otherwise 15bit Length Indicators are applied.

The length of the Length Indicator only depends on the size of the largest RLC PDU. The length of the Length Indicator is always the same for all PUs, for one RLC entity.

For Release 99, there is one PU in a AMD PDU.

Length: 7bit

Bit	Description
<b>000000</b>	The previous RLC PDU was exactly filled with the last segment of a RLC SDU.
<b>1111100</b>	Reserved (PDUs with this coding will be discarded by this version of the protocol).
<b>1111101</b>	Reserved (PDUs with this coding will be discarded by this version of the protocol).
<b>1111110</b>	The rest of the RLC PDU includes a piggybacked STATUS PDU.
<b>1111111</b>	The rest of the RLC PDU is padding.

Length: 15bit

Bit	Description
0000000000000000	The previous RLC PDU was exactly filled with the last segment of a RLC SDU.
111111111111011	The last segment of an RLC SDU was one octet short of exactly filling the last RLC PDU.
111111111111100	Reserved (PDUs with this coding will be discarded by this version of the protocol).
111111111111101	Reserved (PDUs with this coding will be discarded by this version of the protocol).
111111111111110	The rest of the RLC PDU includes a piggybacked STATUS PDU.
111111111111111	The rest of the RLC PDU is padding.

### 9.2.2.9 Data

RLC SDUs in transparent, unacknowledged and acknowledged mode are mapped to this field.

Transparent mode data:

The length of SDUs are is not constrained to be of a length a multiple of 8 bits.

The RLC SDUs might be segmented. If segmented, then the segmentation is performed according to a predefined pattern. The allowed size for RLC SDUs and segments shall be known. The RLC PDUs belonging to one RLC SDU shall be sent in one transmission time interval. Only one RLC SDU is segmented in one transmission time interval.

Unacknowledged mode data and Acknowledged mode data:

The length of SDUs are is constrained to be of a length a multiple of 8 bits.

RLC SDUs might be segmented. If possible, the last segment of a SDU shall be concatenated with the first segment of the next SDU in order to fill the data field completely and avoid unnecessary padding. The length indicator field is used to point the borders between SDUs.

### 9.2.2.10 Padding (PAD)

Padding has a length such that the PDU has the required predefined total length.

Padding may have any value and the receiving entity shall disregard it.

### 9.2.2.11 SUFI

Length: variable number of bits

The SUFI (Super-Field) includes three sub-fields: type information (type of super-field, e.g. list, bitmap, acknowledgement, etc), length information (providing the length of a variable length field within the following value field) and a value.

Figure 9.7 shows the structure of the super-field. The size of the type sub-field is non-zero but the size of the other sub-fields may be zero.

Type
Length
Value

**Figure 9.7: The Structure of a Super-Field**

The length of the type field is 4 bits and it may have any of following values.

Bit	Description
0000	No More Data ( <b>NO_MORE</b> )
0001	Window Size ( <b>WINDOW</b> )
0010	Acknowledgement ( <b>ACK</b> )
0011	List ( <b>LIST</b> )
0100	Bitmap ( <b>BITMAP</b> )
0101	Relative list ( <b>Rlist</b> )
0110	Move Receiving Window ( <b>MRW</b> )
0111	Move Receiving Window and ignore first LI ( <b>MRW_N_IFL</b> )
1000-1111	Reserved (PDUs with this encoding are invalid for this version of the protocol)

The length sub-field gives the length of the variable size part of the following value sub-field and the length of it depends on the super-field type. The value sub-field includes the value of the super-field, e.g. the bitmap in case of a BITMAP super-field, and the length is given by the length of the type sub-field.

#### 9.2.2.11.1 The No More Data super-field

The 'No More Data' super-field indicates the end of the data part of a STATUS PDU and is shown in Figure 9.8 below. It shall always be placed as the last SUFI if it is included in a STATUS PDU. All data after this SUFI shall be regarded as padding and shall be neglected.

Type= <b>NO_MORE</b>
----------------------

**Figure 9.8: NO\_MORE field in a STATUS PDU**

#### 9.2.2.11.2 The Acknowledgement super-field

The 'Acknowledgement' super-field consists of a type identifier field (ACK) and a sequence number (LSN) as shown in Figure 9.9 below. The acknowledgement super-field is also indicating the end of the data part of a STATUS PDU. Thus, no 'NO\_MORE' super-field is needed in the STATUS PDU when the 'ACK' super-field is present. The ACK SUFI shall always be placed as the last SUFI if it is included in a STATUS PDU. All data after this SUFI shall be regarded as padding and shall be neglected.

Type = <b>ACK</b>
LSN

**Figure 9.9: The ACK fields in a STATUS PDU**

#### LSN

Length: 12 bits

Acknowledges the reception of all PUs with sequence numbers < LSN (Last Sequence Number) that are *not* indicated to be erroneous in earlier parts of the STATUS PDU. The LSN should not be set to a value > VR(H). This means that if the LSN is set to a different value than VR(R) all erroneous PUs must be included in the same STATUS PDU and if the LSN is set to VR(R) the erroneous PUs are split into several STATUS PDUs. At the receiver, if the value of the LSN =< the value of the first error indicated in the STATUS PDU VT(A) will be updated according to the LSN, otherwise VT(A) will be updated according to the first error indicated in the STATUS PDU.

#### 9.2.2.11.3 The Window Size super-field

The 'Window Size' super-field consists of a type identifier (WINDOW) and a window size number (WSN) as shown in Figure 9.10 below. The receiver is always allowed to change the window size during a connection.

Type = <b>WINDOW</b>
WSN

**Figure 9.10: The WINDOW fields in a STATUS PDU**

**WSN**

Length: 12 bits

The allowed window size to be used by the transmitter. The range of the window size is  $[0, 2^{12}-1]$ . The Tx\_Window\_Size parameter is set equal to WSN.

**9.2.2.11.4 The List super-field**

The List Super-Field consists of a type identifier field (LIST), a list length field (LENGTH) and a list of LENGTH number of pairs as shown in Figure 9.11 below:

Type = <b>LIST</b>
LENGTH
SN <sub>1</sub>
L <sub>1</sub>
SN <sub>2</sub>
L <sub>2</sub>
...
SN <sub>LENGTH</sub>
L <sub>LENGTH</sub>

**Figure 9.11: The List fields in a STATUS PDU for a list**

**LENGTH**

Length: 4 bits

The number of (SN<sub>*i*</sub>, L<sub>*i*</sub>)-pairs in the super-field of type LIST.

**SN<sub>*i*</sub>**

Length: 12 bits

Sequence number of PU, which was not correctly received.

**L<sub>*i*</sub>**

Length: 4 bits

Number of consecutive PUs not correctly received following PU with sequence number SN<sub>*i*</sub>.

**9.2.2.11.5 The Bitmap super-field**

The Bitmap Super-Field consists of a type identifier field (BITMAP), a bitmap length field (LENGTH), a first sequence number (FSN) and a bitmap as shown in Figure 9.12 below:

Type = <b>BITMAP</b>
LENGTH
FSN
Bitmap

**Figure 9.12: The Bitmap fields in a STATUS PDU**

**LENGTH**

Length: 4 bits

The size of the bitmap in octets (maximum bitmap size:  $2^4*8=128$  bits).

**FSN**

Length: 12 bits



The sequence number for the first bit in the bitmap.

### Bitmap

Length: Variable number of octets given by the LENGTH field.

Status of the SNs in the interval  $[FSN, FSN + LENGTH*8 - 1]$  indicated in the bitmap where each position (from left to right) can have two different values (0 and 1) with the following meaning ( $bit\_position \in [0, LENGTH*8 - 1]$ ):

1: SN = (FSN + bit\_position) has been correctly received

0: SN = (FSN + bit\_position) has not been correctly received

### 9.2.2.11.6 The Relative List super-field

The Relative List super-field consists of a type identifier field (RLIST), a list length field (LENGTH), the first sequence number (FSN) and a list of LENGTH number of codewords (CW) as shown in Figure 9.134 below.

Type = RLIST
LENGTH
FSN
CW <sub>1</sub>
CW <sub>2</sub>
...
CW <sub>LENGTH</sub>

**Figure 9.13: The RList fields in a STATUS PDU**

### LENGTH

Length: 4 bits

The number of codewords (CW) in the super-field of type RLIST.

### FSN

Length: 12 bits

The sequence number for the first erroneous PU in the RLIST.

### CW

Length: 4 bits

The CW consists of 4 bits where the three first bits are part of a number and the last bit is a status indicator and it shall be interpreted as follows.

Code Word	Description
$X_1X_2X_3 0$	Next 3 bits of the number are $X_1X_2X_3$ and the number continues in the next CW. The most significant bit within this CW is $X_1$ .
$X_1X_2X_3 1$	Next 3 bits of the number are $X_1X_2X_3$ and the number is terminated. The most significant bit within this CW is $X_1$ . This is the most significant CW within the number.

By default, the number given by the CWs represents a distance between the previous indicated erroneous PU up to and including the next erroneous PU.

One special value of CW is defined:

**000 1** 'Error burst indicator'

The error burst indicator means that the next CWs will represent the number of subsequent erroneous PUs (not counting the already indicated error position). After the number of errors in a burst is terminated with XXX 1, the next codeword will again by default be the least significant bits (LSB) of the distance to the next error.

### 9.2.2.11.7 The Move Receiving Window super-field

The 'Move Receiving Window' super-field is used to request the RLC receiver to move its receiving window, as a result of a SDU discard in the RLC transmitter. The format is given in the figure below.

Type = <b>MRW</b>
SN_MRW

**Figure 9.14: The MRW fields in a STATUS PDU**

#### SN\_MRW

Length: 12 bits

Requests the RLC receiver to discard all PUs with sequence number < SN\_MRW, and to move the receiving window accordingly. It also indicates the first data byte in the PU with sequence number SN\_MRW corresponds to the first byte of the SDU to be reassembled next.

### 9.2.2.11.8 The Move Receiving Window and Ignore First LI (MRW\_N\_IFL) super-field

The 'Move Receiving Window and ignore first LI' super-field is used to request the RLC receiver to move its receiving window, as a result of a SDU discard in the RLC transmitter. It also indicates to the receiver the presence of the trailing bytes of the discarded SDU in the PU with sequence number SN\_MRW. The format is given in the figure below.

Type = <b>MRW_N_IFL</b>
SN_MRW

**Figure 9.15: The MRW\_N\_IFL fields in a STATUS PDU**

#### SN\_MRW

Length: 12 bits

Requests the RLC receiver to discard all PUs with sequence number < SN\_MRW, and to move the receiving window accordingly. In addition, the receiver has to discard the first LI and the corresponding data bytes in the PU with sequence number SN\_MRW.

### 9.2.2.13 Reserved (R)

Length: 1 bit

This bit is used to achieve octet alignment and for this purpose it is coded as 0. Otherwise the PDU is treated as invalid and hence shall be discarded by this version of the protocol