**3GPP TSG-CT WG1 Meeting #135-eC1-223085**

**E-Meeting, 6th – 12th April 2022**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **24.554** | **CR** | **0042** | **rev** | **1** | **Current version:** | **17.0.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
|  | | | | | | | | |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network | **x** |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | | | | | | | | | | |
| ***Title:*** | Relay Service Code Privacy Protection | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Qualcomm Incorporated | | | | | | | | | |
| ***Source to TSG:*** | C1 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | 5G\_ProSe | | | | |  | ***Date:*** | | | 2022-03-30 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) ... Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | SA3 has specified in TS 33.503 Clause 6.3.5 and A.5 the use of privacy protection for Direct Communication Request in 5G ProSe UE-to-Network Relay Communication.   * to encrypt RSC and PRUK ID in DCR message using the provisioned discovery security materials (DUCK or DUSK). * Since UTC-based counter is used for encryption, UTC-based counter LSB needs to be included in DCR message (see A.5). * It is mandatory if restricted discovery is used and either DUCK or DUSK is provisioned. * To include the encrypted one in the Direct Link Establishment message. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Adding security protection operation for the 5G ProSe direct link establishment procedure | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | Privacy protection for RSC and PRUK ID cannot be implmented | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 7.2.2.2, 7.2.2.3, 7.2.2.6.2, 10.3.1.1, 10.3.1.X, 11.4.2.12 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | In rev1, changes for PRUK ID were removed due to collision with other CR. | | | | | | | | |

\* \* \* First Change \* \* \* \*

#### 7.2.2.2 5G ProSe direct link establishment procedure initiation by initiating UE

The initiating UE shall meet the following pre-conditions before initiating this procedure:

a) a request from upper layers to transmit the packet for ProSe application over PC5;

b) the communication mode is unicast mode (e.g., pre-configured as specified in clause 5.2.4 or indicated by upper layers);

c) the link layer identifier for the initiating UE (i.e., layer-2 ID used for unicast communication) is available (e.g., pre-configured or self-assigned) and is not being used by other existing 5G ProSe direct links within the initiating UE;

d) the link layer identifier for the destination UE (i.e., the unicast layer-2 ID of the target UE or the broadcast layer-2 ID) is available to the initiating UE (e.g., pre-configured, obtained as specified in clause 5.2 or known via prior ProSe direct communication);

NOTE 1: In the case where different ProSe applications are mapped to distinct default destination layer-2 IDs, when the initiating UE intends to establish a single unicast link that can be used for more than one ProSe identifiers, the UE can select any of the default destination layer-2 ID for unicast initial signalling.

e) the initiating UE is either authorised for 5G ProSe direct communication over PC5 in NR-PC5 in the serving PLMN, has a valid authorization for 5G ProSe direct communication over PC5 in NR-PC5 when not served by NG-RAN, or is authorized to use a 5G ProSe UE-to-network relay UE. The UE considers that it is not served by NG-RAN if the following conditions are met:

1) not served by NG-RAN for ProSe direct communication over PC5;

2) in limited service state as specified in 3GPP TS 23.122 [14], if the reason for the UE being in limited service state is one of the following;

i) the UE is unable to find a suitable cell in the selected PLMN as specified in 3GPP TS 38.304 [15];

ii) the UE received a REGISTRATION REJECT message or a SERVICE REJECT message with the 5GMM cause #11 "PLMN not allowed" as specified in 3GPP TS 24.501 [11]; or

iii) the UE received a REGISTRATION REJECT message or a SERVICE REJECT message with the 5GMM cause #7 "5GS services not allowed" as specified in 3GPP TS 24.501 [11]; or

3) in limited service state as specified in 3GPP TS 23.122 [14] for reasons other than i), ii) or iii) above, and located in a geographical area for which the UE is provisioned with "non-operator managed" radio parameters as specified in clause 5.2;

f) there is no existing 5G ProSe direct link for the pair of peer application layer IDs, or there is an existing 5G ProSe direct link for the pair of peer application layer IDs and:

1) the network layer protocol of the existing 5G ProSe direct link is not identical to the network layer protocol required by the upper layer in the initiating UE for this ProSe application;

2) the security policy (either signalling security policy or user plane security policy) corresponding to the ProSe identifier is not compatible with the security policy of the existing 5G ProSe direct link; or

3) in case of the 5G ProSe direct link establishment procedure is for direct communication between the remote UE and the UE-to-network relay UE, the existing 5G ProSe direct link for the peer UE is established with a different RSC or without an RSC;

g) the number of established 5G ProSe direct links is less than the implementation-specific maximum number of established 5G ProSe direct links allowed in the UE at a time; and

h) timer T5088 is not associated with the link layer identifier for the destination UE or timer T5088 associated with the link layer identifier for the destination UE has already expired or stopped.

After receiving the service data or request from the upper layers, the initiating UE shall derive the PC5 QoS parameters and assign the PQFI(s) for the PC5 QoS flows(s) to be established as specified in clause 7.2.7.

If the 5G ProSe direct link establishment procedure is for direct communication between the 5G ProSe remote UE and the 5G ProSe UE-to-network relay UE, then the UE shall apply the DUIK, DUSK, or DUCK with the associated encrypted bitmask used for UE-to-network relay discovery along with the UTC-based counter for security protection of the relay service code and the 5G PRUK ID, if available, (see clause 6.3.5.2 of 3GPP TS 33.503 [34]) and the UE shall use the security protected relay service code or the security protected 5G PRUK ID for creating a PROSE DIRECT LINK ESTABLISHMENT REQUEST message .

In order to initiate the 5G ProSe direct link establishment procedure, the initiating UE shall create a PROSE DIRECT LINK ESTABLISHMENT REQUEST message. The initiating UE:

a) shall include the source user info set to the initiating UE's application layer ID received from upper layers;

b) shall include the ProSe identifier(s) received from upper layer if the 5G ProSe direct link establishment procedure is not for 5G ProSe direct communication between the remote UE and the UE-to-network relay UE;

c) shall include the target user info set to the target UE's application layer ID if received from upper layers, or to the identity of the 5G ProSe UE-to-network relay UE obtained during the 5G ProSe UE-to-network relay discovery procedure, or if the destination layer-2 ID is the unicast layer-2 ID of target UE;

d) shall include the key establishment information container if the UE PC5 unicast signalling integrity protection policy is set to "Signalling integrity protection required" or "Signalling integrity protection preferred", and may include the key establishment information container if the UE PC5 unicast signalling integrity protection policy is set to "Signalling integrity protection not needed";

NOTE 2: The key establishment information container is provided by upper layers.

e) shall include a Nonce\_1 set to the 128-bit nonce value generated by the initiating UE for the purpose of session key establishment over this 5G ProSe direct link if the UE PC5 unicast signalling integrity protection policy is set to "Signalling integrity protection required" or "Signalling integrity protection preferred";

f) shall include its UE security capabilities indicating the list of algorithms that the initiating UE supports for the security establishment of this 5G ProSe direct link;

g) shall include the most significant 8 bits (MSB) of KNRP-sess ID chosen by the initiating UE as specified in 3GPP TS 33.503 [34] if the UE PC5 unicast signalling integrity protection policy is set to "Signalling integrity protection required" or "Signalling integrity protection preferred";

h) may include a KNRP ID if the initiating UE has an existing KNRP for the target UE;

i) shall include its UE PC5 unicast signalling security policy. In the case where the different ProSe applications are mapped to the different PC5 unicast signalling security policies, when the initiating UE intends to establish a single unicast link that can be used for more than one ProSe application, each of the signalling security polices of those ProSe applications shall be compatible, e.g., "Signalling integrity protection not needed" and "Signalling integrity protection required" are not compatible. In case the 5G ProSe direct link establishment procedure is for direct communication between 5G ProSe layer-3 remote UE and 5G ProSe layer-3 UE-to-network relay UE, the Signalling integrity protection policy shall be set to "Signalling integrity protection required";

j) shall include the Relay service code IE set to the relay service code of the target relay UE if the 5G ProSe direct link establishment procedure is for direct communication between the 5G ProSe remote UE and the 5G ProSe UE-to-network relay UE;

k) shall include the UTC-based counter LSB set to the four least significant bits of the UTC-based counter if the 5G ProSe direct link establishment procedure is for direct communication between the 5G ProSe remote UE and the 5G ProSe UE-to-network relay UE;

h) shall include the UE identity IE set to the SUCI of the initiating UE if:

1) the 5G ProSe direct link establishment procedure is for direct communication between the 5G ProSe layer-3 remote UE and the 5G ProSe layer-3 UE-to-network relay UE; and

2) the security for 5G ProSe layer-3 relay use the security procedure over control plane as specified in 3GPP TS 33.503 [34].

Editor's note: It is FFS how the UE determines whether the security for 5G ProSe layer-3 relay uses the security procedure over control plane or the security procedure over user plane as specified in 3GPP TS 33.503 [34].

After the PROSE DIRECT LINK ESTABLISHMENT REQUEST message is generated, the initiating UE shall pass this message to the lower layers for transmission along with the initiating UE's layer-2 ID for unicast communication and:

a) the destination layer-2 ID used for unicast initial signalling; or

b) the destination layer-2 ID set to the source layer-2 ID of the selected 5G ProSe UE-to-network relay UE during the 5G ProSe UE-to-network relay discovery procedure as defined in clause 8.2.1;

and start timer T5080.

The UE shall not send a new PROSE DIRECT LINK ESTABLISHMENT REQUEST message to the same target UE identified by the same application layer ID while timer T5080 is running. If the target user info IE is not included in the PROSE DIRECT LINK ESTABLISHMENT REQUEST message (i.e., ProSe application oriented 5G ProSe direct link establishment procedure), the initiating UE shall handle multiple PROSE DIRECT LINK ESTABLISHMENT ACCEPT messages, if any, received from different target UEs for the establishment of multiple 5G ProSe direct links before the expiry of timer T5080.

NOTE 3: In order to ensure successful 5G ProSe direct link establishment, T5080 should be set to a value larger than the sum of T5089 and T5092.



Figure 7.2.2.2.1: UE oriented 5G ProSe direct link establishment procedure



Figure 7.2.2.2.2: ProSe service oriented 5G ProSe direct link establishment procedure

\* \* \* Next Change \* \* \* \*

#### 7.2.2.3 5G ProSe direct link establishment procedure accepted by the target UE

Upon receipt of a PROSE DIRECT LINK ESTABLISHMENT REQUEST message, if the target UE accepts this request, the target UE shall uniquely assign a PC5 link identifier, create a 5G ProSe direct link context.

If the PROSE DIRECT LINK ESTABLISHMENT REQUEST message is not used for 5G ProSe direct communication between the remote UE and the UE-to-network relay UE, the target UE assigns a layer-2 ID for this 5G ProSe direct link. The newly assigned layer-2 ID replaces the target layer-2 ID as received on the PROSE DIRECT LINK ESTABLISHMENT REQUEST message. Then the target UE shall store this assigned layer-2 ID and the source layer-2 ID used in the transport of this message provided by the lower layers in the 5G ProSe direct link context.

If the PROSE DIRECT LINK ESTABLISHMENT REQUEST message is for 5G ProSe direct communication between the remote UE and the UE-to-network relay UE, the target UE decrypts the encrypted relay service code and 5G PRUK ID, if received, using the DUIK, DUSK, or DUCK with the associated encrypted bitmask used for 5G ProSe UE-to-network relay discovery and verifies if the relay service code matches with the one that the target UE has sent during 5G ProSe UE-to-network relay discovery procedure.

The target UE may initiate 5G ProSe direct link authentication procedure as specified in clause 7.2.12 and shall initiate 5G ProSe direct link security mode control procedure as specified in clause 7.2.10.

NOTE 1: It is possible for the target UE to reuse the target UE’s layer-2 ID used in the transport of the PROSE DIRECT LINK ESTABLISHMENT REQUEST message provided by the lower layers in case that the target UE’s layer-2 ID has been used in previous 5G ProSe direct link with the same peer.

If:

a) the target user info IE is included in the PROSE DIRECT LINK ESTABLISHMENT REQUEST message and this IE includes the target UE’s application layer ID; or

b) the target user info IE is not included in the PROSE DIRECT LINK ESTABLISHMENT REQUEST message and the target UE is interested in the ProSe application(s) identified by the ProSe identifier IE in the PROSE DIRECT LINK ESTABLISHMENT REQUEST message;

then the target UE shall either:

a) identify an existing KNRP based on the KNRP ID included in the PROSE DIRECT LINK ESTABLISHMENT REQUEST message; or

b) if KNRP ID is not included in the PROSE DIRECT LINK ESTABLISHMENT REQUEST message, the target UE does not have an existing KNRP for the KNRP ID included in PROSE DIRECT LINK ESTABLISHMENT REQUEST message or the target UE wishes to derive a new KNRP, derive a new KNRP. This may require performing one or more 5G ProSe direct link authentication procedures as specified in clause 7.2.12.

NOTE 2: How many times the 5G ProSe direct link authentication procedure needs to be performed to derive a new KNRP depends on the authentication method used.

After an existing KNRP was identified or a new KNRP was derived, the target UE shall initiate a 5G ProSe direct link security mode control procedure as specified in clause 7.2.10.

Upon successful completion of the 5G ProSe direct link security mode control procedure, in order to determine whether the PROSE DIRECT LINK ESTABLISHMENT REQUEST message can be accepted or not, in case of IP communication, the target UE checks whether there is at least one common IP address configuration option supported by both the initiating UE and the target UE.

Before sending the PROSE DIRECT LINK ESTABLISHMENT ACCEPT message to the remote UE, the target UE acting as a 5G ProSe layer-3 UE-to-network relay UE shall inform the lower layer to initiate the UE requested PDU session establishment procedure as specified in 3GPP TS 24.501 [11] if:

1) the PDU session for relaying the service associated with the RSC has not been established yet; or

2) the PDU session for relaying the service associated with the RSC has been established but the PDU session type is Unstructured.

If the target UE accepts the 5G ProSe direct link establishment procedure, the target UE shall create a PROSE DIRECT LINK ESTABLISHMENT ACCEPT message. The target UE:

a) shall include the source user info set to the target UE’s application layer ID received from upper layers;

b) shall include PQFI(s), the corresponding PC5 QoS parameters and optionally the ProSe identifier(s) that the target UE accepts, if the target UE is not acting as a 5G ProSe layer-2 UE-to-network relay UE;

c) may include the PC5 QoS rule(s) if the target UE is not acting as a 5G ProSe layer-2 UE-to-network relay UE;

d) shall include an IP address configuration IE set to one of the following values if IP communication is used and the target UE is not acting as a 5G ProSe layer-2 UE-to-network relay UE:

1) "DHCPv4 server" if only IPv4 address allocation mechanism is supported by the target UE, i.e., acting as a DHCPv4 server; or

2) "IPv6 router" if only IPv6 address allocation mechanism is supported by the target UE, i.e., acting as an IPv6 router; or

3) "DHCPv4 server & IPv6 Router" if both IPv4 and IPv6 address allocation mechanism are supported by the target UE; or

4) "address allocation not supported" if neither IPv4 nor IPv6 address allocation mechanism is supported by the target UE and the target UE is not acting as a 5G ProSe layer-3 UE-to-network relay UE;

NOTE: The UE doesn't include an IP address configuration IE nor a link local IPv6 address IE, if Ethernet or Unstructured data unit type is used for communication.

e) shall include a link local IPv6 address IE formed locally based on IETF RFC 4862 [16] if IP address configuration IE is set to "address allocation not supported", the received PROSE DIRECT LINK SECURITY MODE COMPLETE message included a link local IPv6 address IE and the target UE is neither acting as a 5G ProSe layer-2 UE-to-network relay UE nor acting as a 5G ProSe layer-3 relay UE; and

f) shall include the configuration of UE PC5 unicast user plane security protection based on the agreed user plane security policy, as specified in 3GPP TS 33.503 [34].

After the PROSE DIRECT LINK ESTABLISHMENT ACCEPT message is generated, the target UE shall pass this message to the lower layers for transmission along with the initiating UE's layer-2 ID for unicast communication and the target UE's layer-2 ID for unicast communication, and shall start timer T5090 if at least one of ProSe identifiers for the 5G ProSe direct links satisfies the privacy requirements as specified in clause 5.2.

After sending the PROSE DIRECT LINK ESTABLISHMENT ACCEPT message, the target UE shall provide the following information along with the layer-2 IDs to the lower layer, which enables the lower layer to handle the coming PC5 signalling or traffic data:

a) the PC5 link identifier self-assigned for this 5G ProSe direct link;

b) PQFI(s) and its corresponding PC5 QoS parameters, if available; and

c) an indication of activation of the PC5 unicast user plane security protection for the 5G ProSe direct link, if applicable.

If the target UE accepts the 5G ProSe direct link establishment request and the 5G ProSe direct link is established not for 5G ProSe direct communication between the 5G ProSe remote UE and the 5G ProSe UE-to-network relay UE, then the target UE may perform the PC5 QoS flow establishment over 5G ProSe direct link as specified in clause 7.2.7. If the 5G ProSe direct link is established for 5G ProSe direct communication between the 5G ProSe layer-3 remote UE and the 5G ProSe layer-3 UE-to-network relay UE, then the target UE may perform the PC5 QoS flow establishment over 5G ProSe direct link as specified in clause 8.2.6.

\* \* \* Next Change \* \* \* \*

##### 7.2.2.6.2 Abnormal cases at the target UE

For a received PROSE DIRECT LINK ESTABLISHMENT REQUEST message from a source layer-2 ID (for unicast communication), if the target UE already has an existing link established to the UE known to use the same source layer-2 ID, the same source user info, the same type of data (IP or non-IP) and the same security policy, the UE shall process the new request. However, the target UE shall only delete the existing 5G ProSe direct link context after the new link establishment procedure succeeds.

NOTE: The type of data (e.g., IP or non-IP) is indicated by the optional IP address configuration IE included in the corresponding PROSE DIRECT LINK SECURITY MODE COMPLETE message, i.e., the type of data for the requested link is IP type if this IE is included, and the type of data for the requested link is non-IP if this IE is not included.

In case of the PROSE DIRECT LINK ESTABLISHMENT REQUEST message is for 5G ProSe direct communication between the 5G ProSe remote UE and the 5G ProSe UE-to-network relay UE, after the target UE decrypts the encrypted relay service code using the DUIK, DUSK, or DUCK with the associated encrypted bitmask used for 5G ProSe UE-to-network relay discovery, if the relay service code does not match with the one that the target UE has sent during 5G ProSe UE-to-network relay discovery procedure, then the target UE shall abort the 5G ProSe direct link establishment procedure.

\* \* \* Next Change \* \* \* \*

#### 10.3.1.1 Message definition

This message is sent by a UE to another peer UE to establish a direct link. See table 10.3.1.1.1.

Message type: PROSE DIRECT LINK ESTABLISHMENT REQUEST

Significance: dual

Direction: UE to peer UE

Table 10.3.1.1.1: PROSE DIRECT LINK ESTABLISHMENT REQUEST message content

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| IEI | Information Element | Type/Reference | Presence | Format | Length |
|  | PROSE DIRECT LINK ESTABLISHMENT REQUEST message identity | ProSe PC5 signalling message type  11.3.1 | M | V | 1 |
|  | Sequence number | Sequence number  11.3.2 | M | V | 1 |
|  | Source user info | Application layer ID  11.3.4 | M | LV | 2-256 |
|  | UE security capabilities | UE security capabilities  11.3.11 | M | LV | 3-9 |
|  | UE PC5 unicast signalling security policy | UE PC5 unicast signalling security policy  11.3.12 | M | V | 1 |
| 7B | ProSe identifiers | ProSe identifier  11.3.3 | O | TLV-E | 21-65538 |
| 74 | Key establishment information container | Key establishment information container  11.3.9 | O | TLV-E | 4-65538 |
| 56 | Nonce\_1 | Nonce  11.3.10 | O | TV | 17 |
| 5C | MSB of KNRP-sess ID | MSB of KNRP-sess ID  11.3.13 | O | TV | 2 |
| 28 | Target user info | Application layer ID  11.3.4 | O | TLV | 3-257 |
| 58 | KNRP ID | KNRP ID  11.3.14 | O | TV | 5 |
| 54 | Relay service code | Relay service code  11.3.26 | O | TV | 4 |
| 7D | UE identity | 5GS mobile identity  11.3.30 | O | TLV-E | 4-n |
|  | UTC-based counter LSB | UTC-based counter LSB  11.2.14 | O | TV | 2 |

\* \* \* Next Change \* \* \* \*

#### 10.3.1.X UTC-based counter LSB

The UE shall include this IE if the 5G ProSe direct link establishment procedure is for direct communication between the 5G ProSe remote UE and the 5G ProSe UE-to-network relay UE.

\* \* \* Next Change \* \* \* \*

#### 11.4.2.12 UTC-based counter

This parameter is used to indicate the UTC time associated with the discovery transmission opportunity in which a PROSE PC5 DISCOVERY message is sent. This parameter is also used to indicate the UTC time associated with the security protected relay service code for 5G ProSe UE-to-network relay when PROSE DIRECT LINK ESTABLISHMENT is sent. It is expressed in unit of seconds and coded in binary format as the 32 least significant bits of the coordinated universal time as defined in 3GPP TS 38.331 [13].

\* \* \* End of Changes \* \* \* \*