**­­3GPP TSG-CT WG1 Meeting #131-eC1-214054**

**E-meeting, 19-27 August 2021**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **24.301** | **CR** | **3545** | **rev** | **-** | **Current version:** | **17.3.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| ***Title:*** | Clarification of E-UTRA capability handling | | | | | | | | | |
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| ***Source to WG:*** | Vodafone, Huawei, Hisilion | | | | | | | | | |
| ***Source to TSG:*** | C1 | | | | | | | | | |
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| ***Work item code:*** | 5GProtoc17 | | | | |  | ***Date:*** | | | 2021-08-12 |
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| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) ... Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
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| ***Reason for change:*** | | The following scenario was described and discussed in the previous CT1 meetings with UE supporting N1 mode and S1 mode, and 5GS supporting EPS fallback.   1. UE initiates the EPS Attach procedure, but it failed for 5 times and attach attempt counter reaches 5 due to for instance “bad” 4G coverage such as in a tunnel. 2. The UE starts T3402 (default value 12mins), (optionally) disables its E-UTRA capability. 3. If the UE moves to the 5G coverage for instance after moving out of a tunnel, it selects the NG-RAN radio access technology and proceeds with the registration procedure. Because the UE has to indicate “S1 mode not supported” during the registration procedure as a result of disabling the E-UTRA capability, the network then indicates "IMS voice over PS over 3GPP not supported“.   Consequently, the IMS voice call relying on the EPS fallback mechanism will not work for the UE. Furthermore, since a "voice centric" UE will disable the N1 mode capability subsequently, it will not get the 5G services either.  The UE behaviour of optionally disabling the E-UTRA capability described the step 2) above is specified in TS24.301 subclause 5.5.1.2.6 “Abnormal cases in the UE” as quoted below.   --- Quote Starts---  For the cases b, c, d, l, la and m:  -    If the attach attempt counter is equal to 5:  -    the UE shall delete any GUTI, TAI list, last visited registered TAI, list of equivalent PLMNs and KSI, shall set the update status to EU2 NOT UPDATED, and shall start timer T3402. The state is changed to EMM-DEREGISTERED.ATTEMPTING-TO-ATTACH or optionally to EMM-DEREGISTERED.PLMN-SEARCH in order to perform a PLMN selection according to 3GPP TS 23.122 [6]; and  -    if A/Gb mode, Iu mode or N1 mode is supported by the UE:  -    if A/Gb mode or Iu mode is supported by the UE, the UE shall in addition handle the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI and GPRS ciphering key sequence number as specified in 3GPP TS 24.008 [13] for the abnormal case when a normal attach procedure fails and the attach attempt counter is equal to 5;  -    if the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the abnormal case when an initial registration procedure performed over 3GPP access fails and the registration attempt counter is equal to 5; and  -    the UE shall attempt to select GERAN, UTRAN or NG-RAN radio access technology and proceed with appropriate GMM or 5GMM specific procedures. Additionally, the UE may disable the E-UTRA capability as specified in subclause 4.5.  --- Quote Ends---  It worth noting that this optionality of disabling the E-UTRA capability was specified before 5GS was introduced and it has not been tailored to the 5GS launch. Considering 5GS and especially the EPS fallback deployment scenario, a NG-RAN cell is an overlay over the LTE cells. This means, in a certain location, where the UE can select a NG-RAN cell and then performs the 5GMM specific procedure towards the 5GC supporting EPS fallback, an E-UTRAN cell will also be available. Therefore, disabling the E-UTRA capability is not desirable when the UE can select a NG-RAN cell in this situation. | | | | | | | | |
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| ***Summary of change:*** | | Confine the optionality of disabling the E-UTRA capability to the case where the UE selects the GERAN or UTRAN cell. Additionally, the UE may consider the E-UTRA cell as barred when it selects a NG-RAN cell. | | | | | | | | |
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| ***Consequences if not approved:*** | | UE is unable to get 5G services including IMS voice using EPS fallback for longer time. | | | | | | | | |
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| ***Clauses affected:*** | | 5.5.1.2.6, 5.5.1.3.6, 5.5.3.2.6 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

\*\*\*\*\* First change \*\*\*\*\*

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## 4.5 Disabling and re-enabling of UE's E-UTRA capability

The UE shall only disable the E-UTRA capability when in EMM-IDLE mode.

When the UE supports both N1 mode and S1 mode then the UE's capability to access the 5GCN via E-UTRA shall not be affected, if the UE's E-UTRA capability is disabled or enabled.

When the UE is disabling the E-UTRA capability not due to redirection to 5GCN required, it should proceed as follows:

a) select another RAT (GERAN, UTRAN, or NG-RAN if the UE has not disabled its N1 mode capability for 3GPP access as specified in 3GPP TS 24.501 [54]) of the registered PLMN or a PLMN from the list of equivalent PLMNs;

b) if another RAT of the registered PLMN or a PLMN from the list of equivalent PLMNs cannot be found, or the UE does not have a registered PLMN, then perform PLMN selection as specified in 3GPP TS 23.122 [6]. As an implementation option, instead of performing PLMN selection, the UE may select another RAT of the chosen PLMN. If disabling of E-UTRA capability was not due to UE initiated detach procedure for EPS services only, the UE may re-enable the E-UTRA capability for this PLMN selection; or

c) if no other allowed PLMN and RAT combinations are available, then the UE may re-enable the E-UTRA capability and remain registered for EPS services in E-UTRAN of the registered PLMN. If the UE chooses this option, then it may periodically attempt to select another PLMN and RAT combination that can provide non-EPS services. How this periodic scanning is done, is UE implementation dependent.

When the UE is disabling the E-UTRA capability upon receiving reject cause #31 "Redirection to 5GCN required" as specified in clauses 5.5.1.2.5, 5.5.1.3.5, 5.5.3.2.5, 5.5.3.3.5 and 5.6.1.5, it should proceed as follows:

i) If the UE is in NB-S1 mode:

1) if lower layers do not provide an indication that the current E-UTRA cell is connected to 5GCN or lower layers do not provide an indication that the current E-UTRA cell supports CIoT 5GS optimizations that are supported by the UE, search for a suitable NB-IoT cell connected to 5GCN according to 3GPP TS 36.304 [21];

2) if lower layers provide an indication that the current E-UTRA cell is connected to 5GCN and the current E-UTRA cell supports CIoT 5GS optimizations that are supported by the UE then perform a core network selection to select 5GCN as specified in 3GPP TS 24.501 [54] clause 4.8.4A.1; or

3) if lower layers cannot find a suitable NB-IoT cell connected to 5GCN or there is no suitable NB-IoT cell connected to 5GCN which supports CIoT 5GS optimizations that are supported by the UE, the UE may re-enable the E-UTRA capability, and indicate to lower layers to remain camped in E-UTRA connected to EPC of the previously registered PLMN and proceed with the appropriate EMM procedure.

ii) If the UE is in WB-S1 mode:

1) if lower layers do not provide an indication that the current E-UTRA cell is connected to 5GCN or lower layers do not provide an indication that the current E-UTRA cell supports CIoT 5GS optimizations that are supported by the UE, search for a suitable E-UTRA cell connected to 5GCN according to 3GPP TS 36.304 [21];

2) if lower layers provide an indication that the current E-UTRA cell is connected to 5GCN and the current E-UTRA cell supports CIoT 5GS optimizations that are supported by the UE, then perform a core network selection to select 5GCN as specified in 3GPP TS 24.501 [54] clause 4.8.4A.1; or

3) if lower layers cannot find a suitable E-UTRA cell connected to 5GCN or there is no suitable E-UTRA cell connected to 5GCN which supports CIoT 5GS optimizations that are supported by the UE, the UE may re-enable the E-UTRA capability, and indicate to lower layers to remain camped in E-UTRA connected to EPC of the previously registered PLMN and proceed with the appropriate EMM procedure.

The UE shall re-enable the E-UTRA capability when performing a PLMN selection unless:

- the disabling of E-UTRA capability was due to UE initiated detach procedure for EPS services only; or

- the UE has already re-enabled the E-UTRA capability when performing bullets b) or c) above.

If due to handover, the UE moves to a new PLMN in A/Gb, Iu, or N1 mode which is not in the list of equivalent PLMNs and not a PLMN memorized by the UE for which E-UTRA capability was disabled, and the disabling of E-UTRA capability was not due to UE initiated detach procedure for EPS services only, the UE shall re-enable the E-UTRA capability after the RR/RRC connection is released.

If UE that has disabled its E-UTRA capability due to IMS voice not available and CS fallback not available re-enables it when PLMN selection is performed, then it should memorize the identity of the PLMNs where E-UTRA capability was disabled and use that stored information in subsequent PLMN selections as specified in 3GPP TS 23.122 [6].

The UE may support "E-UTRA Disabling for EMM cause #15" and implement the following behaviour:

- if the "E-UTRA Disabling Allowed for EMM cause #15" parameter as specified in 3GPP TS 24.368 [15A] or 3GPP TS 31.102 [17] is present and set to enabled; and

- if the UE receives an ATTACH REJECT or TRACKING AREA UPDATE REJECT message including both EMM cause #15 "no suitable cells in tracking area" and an Extended EMM cause IE with value "E-UTRAN not allowed";

then the UE shall disable the E-UTRA capability, memorize the identity of the PLMN where the E-UTRA capability was disabled and use that stored information in subsequent PLMN selections as specified in 3GPP TS 23.122 [6].

When the UE supporting the A/Gb and/or Iu mode together with the S1 mode needs to stay in A/Gb or Iu mode, in order to prevent unwanted handover or cell reselection from UTRAN/GERAN to E-UTRAN, the UE shall disable the E-UTRA capability and:

- The UE shall not set the E-UTRA support bits of the MS Radio Access capability IE (see 3GPP TS 24.008 [13], clause 10.5.5.12a), the E-UTRA support bits of Mobile Station Classmark 3 IE (see 3GPP TS 24.008 [13], clause 10.5.1.7), the PS inter-RAT HO from GERAN to E-UTRAN S1 mode capability bit and the ISR support bit of the MS network capability IE (see 3GPP TS 24.008 [13], clause 10.5.5.12) in the ATTACH REQUEST message and the ROUTING AREA UPDATE REQUEST message after it selects GERAN or UTRAN;

- the UE shall use the same value of the EPC capability bit of the MS network capability IE (see 3GPP TS 24.008 [13], clause 10.5.5.12) in the ATTACH REQUEST message and the ROUTING AREA UPDATE REQUEST message; and

- the UE NAS layer shall indicate the access stratum layer(s) of disabling of the E-UTRA capability.

When the UE supporting N1 mode together with S1 mode needs to stay in N1 mode, in order to prevent unwanted handover or cell reselection from NG-RAN to E-UTRAN, the UE shall disable the E-UTRA capability and:

- the UE shall set the S1 mode bit to "S1 mode not supported" in the 5GMM Capability IE of the REGISTRATION REQUEST message (see 3GPP TS 24.501 [54]);

- the UE shall not include the S1 UE network capability IE in the REGISTRATION REQUEST message (see 3GPP TS 24.501 [54]); and

- the UE NAS layer shall indicate the access stratum layer(s) of disabling of the E-UTRA capability.

If the UE is disabling its E-UTRA capability before selecting to GERAN, UTRAN or NG-RAN radio access technology, the UE shall not perform the detach procedure of clause 5.5.2.1.

If the UE is required to disable the E-UTRA capability and select GERAN, UTRAN or NG-RAN radio access technology, and the UE is in the EMM-CONNECTED mode:

- if the UE has a persistent EPS bearer context and the ongoing procedure is not a detach procedure, then the UE shall wait until the radio bearer associated with the persistent EPS bearer context has been released;

- otherwise, the UE shall locally release the established NAS signalling connection and enter the EMM-IDLE mode before selecting GERAN, UTRAN or NG-RAN radio access technology.

If the E-UTRA capability was disabled due to the attempt to select GERAN or UTRAN radio access technology progressing the CS emergency call establishment (see clause 4.3.1), the criteria to enable the E-UTRA capability again is UE implementation specific.

If the E-UTRA capability was disabled due to the UE initiated detach procedure for EPS services only (see clause 5.5.2.2.2), upon request of the upper layers to re-attach for EPS services the UE shall enable the E-UTRA capability again. If the E-UTRA capability was disabled due to receipt of EMM cause #14 "EPS services not allowed in this PLMN", then the UE shall enable the E-UTRA capability when the UE powers off and powers on again or the USIM is removed. If E-UTRA capability was disabled for any other reason, the UE shall enable the E-UTRA capability in the following cases:

- the UE mode of operation changes from CS/PS mode 1 of operation to CS/PS mode 2 of operation;

- the UE mode of operation changes from PS mode 1 of operation to PS mode 2 of operation; or

- the UE powers off and powers on again or the USIM is removed;

As an implementation option, the UE may start a timer for enabling E-UTRA when the UE's attach attempt counter or tracking area updating attempt counter reaches 5 and the UE disables E-UTRA capability for cases described in clauses 5.5.1.2.6, 5.5.1.3.4.3, 5.5.1.3.6, 5.5.3.2.6, 5.5.3.3.4.3 and 5.5.3.3.6. The UE should memorize the identity of the PLMNs where E-UTRA capability were disabled. On expiry of this timer:

- if the UE is in Iu mode or A/Gb mode and is in idle mode as specified in 3GPP TS 24.008 [13] on expiry of the timer, the UE should enable the E-UTRA capability;

- if the UE is in Iu mode or A/Gb mode and an RR connection exists, the UE shall delay enabling E-UTRA capability until the RR connection is released;

- if the UE is in Iu mode and a PS signalling connection exists but no RR connection exists, the UE may abort the PS signalling connection before enabling E-UTRA capability;

- if the UE is in N1 mode and is in 5GMM-IDLE mode as specified in 3GPP TS 24.501 [54], on expiry of the timer, the UE should enable the E-UTRA capability; and

- if the UE is in N1 mode and is in 5GMM-CONNECTED mode as specified in 3GPP TS 24.501 [54], on expiry of the timer, the UE shall delay enabling the E-UTRA capability until the N1 NAS signalling connection is released.

If the UE attempts to establish an emergency bearer service in a PLMN where the E-UTRA capability was disabled due to the UE's attach attempt counter or tracking area updating attempt counter have reached 5, the UE may enable the E-UTRA capability for that PLMN memorized by the UE.

If the UE selects a NR cell in a PLMN where the E-UTRA capability was disabled due to the UE's attach attempt counter or tracking area updating attempt counter have reached 5, the UE shall enable the E-UTRA capability for that PLMN memorized by the UE.

For other cases, it is up to the UE implementation when to enable the E-UTRA capability.

NOTE: If the UE is not operating in CS/PS mode 1 operation, the value of the timer for enabling E-UTRA capability is recommended to be not larger than the default value of T3402.

\*\*\*\*\* Next change \*\*\*\*\*

##### 5.5.1.2.6 Abnormal cases in the UE

The following abnormal cases can be identified:

a) Access barred because of access class barring, EAB, ACDC or NAS signalling connection establishment rejected by the network without "Extended wait time" received from lower layers

In WB-S1 mode, if access is barred for "originating signalling" (see 3GPP TS 36.331 [22]), the attach procedure shall not be started. The UE stays in the current serving cell and applies the normal cell reselection process. The attach procedure is started as soon as possible, i.e. when access for "originating signalling" is granted on the current cell or when the UE moves to a cell where access for "originating signalling" is granted.

In NB-S1 mode, if access is barred for "originating signalling" (see 3GPP TS 36.331 [22]), the attach procedure shall not be started. The UE stays in the current serving cell and applies the normal cell reselection process. Further UE behaviour is implementation specific, e.g. the attach procedure is started again after an implementation dependent time.

In NB-S1 mode, if access is barred for "originating signalling" (see 3GPP TS 36.331 [22]), a request for an exceptional event is received from the upper layers, then the attach procedure shall be started.

NOTE 1: In NB-S1 mode, the EMM layer cannot receive the access barring alleviation indication from the lower layers (see 3GPP TS 36.331 [22]).

If access is barred because of access class barring for "originating signalling" (see 3GPP TS 36.331 [22]), ACDC is applicable to the request from the upper layers and the UE supports ACDC, then the attach procedure shall be started.

If access is barred for a certain ACDC category (see 3GPP TS 36.331 [22]), a request with a higher ACDC category is received from the upper layers and the UE supports ACDC, then the attach procedure shall be started.

If an access request for an uncategorized application is barred due to ACDC (see 3GPP TS 36.331 [22]), a request with a certain ACDC category is received from the upper layers and the UE supports ACDC, then the attach procedure shall be started.

b) Lower layer failure or release of the NAS signalling connection without "Extended wait time" and without "Extended wait time CP data" received from lower layers before the ATTACH ACCEPT or ATTACH REJECT message is received

The attach procedure shall be aborted, and the UE shall proceed as described below.

c) T3410 timeout

The UE shall abort the attach procedure. The NAS signalling connection, if any, shall be released locally.

NOTE 2: The NAS signalling connection can also be released if the UE deems that the network has failed the authentication check as specified in clause 5.4.2.7.

The UE shall proceed as described below.

d) ATTACH REJECT, other EMM cause values than those treated in clause 5.5.1.2.5, and cases of EMM cause values #22, #25 and #31, if considered as abnormal cases according to clause 5.5.1.2.5

Upon reception of the EMM cause #19 "ESM failure", if the UE is not configured for NAS signalling low priority and the ESM cause value received in the PDN CONNECTIVITY REJECT message is not #54 "PDN connection does not exist", the UE may set the attach attempt counter to 5. Subsequently, if the UE needs to retransmit the ATTACH REQUEST message to request PDN connectivity towards a different APN, the UE may stop T3411 or T3402, if running, and send the ATTACH REQUEST message. If the UE needs to attempt EPS attach to request transfer of a PDN connection for emergency bearer services by including a PDN CONNECTIVITY REQUEST message with request type set to "handover of emergency bearer services", the UE shall stop T3411 or T3402, if running, and send the ATTACH REQUEST message.

NOTE 3: When receiving EMM cause #19 "ESM failure", coordination is required between the EMM and ESM sublayers in the UE to determine whether to set the attach attempt counter to 5.

If the attach request is neither for emergency bearer services nor for initiating a PDN connection for emergency bearer services with attach type not set to "EPS emergency attach", upon reception of the EMM causes #95, #96, #97, #99 and #111 the UE should set the attach attempt counter to 5.

The UE shall proceed as described below.

e) Change of cell into a new tracking area

If a cell change into a new tracking area occurs before the attach procedure is completed, the attach procedure shall be aborted and re-initiated immediately. If a tracking area border is crossed when the ATTACH ACCEPT message has been received but before an ATTACH COMPLETE message is sent, the attach procedure shall be re-initiated. If a GUTI was allocated during the attach procedure, this GUTI shall be used in the attach procedure.

f) Mobile originated detach required

The attach procedure shall be aborted, and the UE initiated detach procedure shall be performed.

g) Detach procedure collision

If the UE receives a DETACH REQUEST message from the network in state EMM-REGISTERED-INITIATED and the detach type indicates "re-attach not required" and no EMM cause IE, or "re-attach not required" and the EMM cause value is not #2 "IMSI unknown in HSS", the detach procedure shall be progressed and the attach procedure shall be aborted. If the UE receives a DETACH REQUEST message from the network in state EMM-REGISTERED-INITIATED and the detach type indicates "re-attach required", the detach procedure shall be progressed and the UE shall locally release the NAS signalling connection, before re-initiating the attach procedure. Otherwise the attach procedure shall be progressed and the DETACH REQUEST message shall be ignored.

h) Transmission failure of ATTACH REQUEST message indication from lower layers

The UE shall restart the attach procedure immediately.

i) Transmission failure of ATTACH COMPLETE message indication from lower layers

If the current TAI is not in the TAI list, the UE shall restart the attach procedure.

If the current TAI is still in the TAI list, it is up to the UE implementation how to re-run the ongoing procedure. The EMM sublayer notifies the ESM sublayer that the ESM message in the ESM message container IE of the ATTACH COMPLETE has failed to be transmitted.

j) If EMM-REGISTERED without PDN connection is not supported by the UE or the MME, and the ACTIVATE DEFAULT EPS BEARER CONTEXT REQUEST message combined with the ATTACH ACCEPT is not accepted by the UE due to failure in the UE ESM sublayer, then the UE shall initiate the detach procedure by sending a DETACH REQUEST message to the network. Further UE behaviour is implementation specific.

If EMM-REGISTERED without PDN connection is supported by the UE and the MME, and the ACTIVATE DEFAULT EPS BEARER CONTEXT REQUEST message combined with the ATTACH ACCEPT is not accepted by the UE due to failure in the UE ESM sublayer, then the UE shall either send an ATTACH COMPLETE message together with an ACTIVATE DEFAULT EPS BEARER CONTEXT REJECT contained in the ESM message container information element to the network or initiate the detach procedure by sending a DETACH REQUEST message. Further UE behaviour is implementation specific.

k) Indication from the lower layers that an S101 mode to S1 mode handover has been cancelled (S101 mode only)

The UE shall abort the attach procedure and enter state EMM-DEREGISTERED.NO-CELL-AVAILABLE.

l) "Extended wait time" from the lower layers

If the ATTACH REQUEST message contained the low priority indicator set to "MS is configured for NAS signalling low priority", the UE shall start timer T3346 with the "Extended wait time" value and reset the attach attempt counter.

If the ATTACH REQUEST message did not contain the low priority indicator set to "MS is configured for NAS signalling low priority", the UE is operating in NB-S1 mode and the UE is not a UE configured to use AC11 – 15 in selected PLMN, then the UE shall start timer T3346 with the "Extended wait time" value and reset the attach attempt counter.

In other cases the UE shall ignore the "Extended wait time".

The UE shall abort the attach procedure, stay in the current serving cell, change the state to EMM-DEREGISTERED.ATTEMPTING-TO-ATTACH and apply the normal cell reselection process.

The UE shall proceed as described below.

la) "Extended wait time CP data" from the lower layers

If the UE is operating in NB-S1 mode, the UE shall start the timer T3346 with the "Extended wait time CP data" value and reset the attach attempt counter.

In other cases the UE shall ignore the "Extended wait time CP data".

The UE shall abort the attach procedure, stay in the current serving cell, change the state to EMM-DEREGISTERED.ATTEMPTING-TO-ATTACH and apply the normal cell reselection process.

The UE shall proceed as described below.

m) Timer T3346 is running

The UE shall not start the attach procedure unless:

- the UE is a UE configured to use AC11 – 15 in selected PLMN;

- the UE needs to attach for emergency bearer services;

- the UE in NB-S1 mode is requested by the upper layer to transmit user data related to an exceptional event and

i) the UE is allowed to use exception data reporting (see the ExceptionDataReportingAllowed leaf of the NAS configuration MO in 3GPP TS 24.368 [15A] or the USIM file EFNASCONFIG in 3GPP TS 31.102 [17]); and

ii) timer T3346 was not started when NAS signalling connection was established with RRC establishment cause set to "MO exception data"; or

- the UE needs to attach without the NAS signalling low priority indication and if the timer T3346 was started due to rejection of a NAS request message (e.g. ATTACH REQUEST, TRACKING AREA UPDATE REQUEST or EXTENDED SERVICE REQUEST) which contained the low priority indicator set to "MS is configured for NAS signalling low priority".

The UE stays in the current serving cell and applies the normal cell reselection process.

NOTE 4: It is considered an abnormal case if the UE needs to initiate an attach procedure while timer T3346 is running independent on whether timer T3346 was started due to an abnormal case or a non successful case.

The UE shall proceed as described below.

n) If EMM-REGISTERED without PDN connection is supported by the UE and the MME, an ESM DUMMY MESSAGE is included in the ESM message container information element of the ATTACH REQUEST message and the UE receives the ATTACH ACCEPT message combined with a PDN CONNECTIVITY REJECT message, the UE shall send an ATTACH COMPLETE message together with an ESM DUMMY MESSAGE contained in the ESM message container information element to the network. Further UE behaviour is implementation specific.

o) Timer T3447 is running

The UE shall not start the attach procedure unless:

- the UE is a UE configured to use AC11 – 15 in selected PLMN;

- the UE attempts to attach for emergency bearer services; or

- the UE attempts to attach without PDN connection request.

The UE stays in the current serving cell and applies the normal cell reselection process. The attach request procedure is started, if still necessary, when timer T3447 expires.

For the cases b, c, d, l, la and m:

- Timer T3410 shall be stopped if still running.

- For the cases b, c, d, l when the "Extended wait time" is ignored, and la when the "Extended wait time CP data" is ignored, if the attach request is neither for emergency bearer services nor for initiating a PDN connection for emergency bearer services with attach type not set to "EPS emergency attach", the attach attempt counter shall be incremented, unless it was already set to 5.

- If the attach attempt counter is less than 5:

- for the cases l, la and m, the attach procedure is started, if still necessary, when timer T3346 expires or is stopped;

- for the cases b, c, d, l when the "Extended wait time" is ignored, and la when the "Extended wait time CP data" is ignore, if the attach request is neither for emergency bearer services nor for initiating a PDN connection for emergency bearer services with attach type not set to "EPS emergency attach", timer T3411 is started and the state is changed to EMM-DEREGISTERED.ATTEMPTING-TO-ATTACH. When timer T3411 expires the attach procedure shall be restarted, if still required by ESM sublayer.

- If the attach attempt counter is equal to 5:

- the UE shall delete any GUTI, TAI list, last visited registered TAI, list of equivalent PLMNs and KSI, shall set the update status to EU2 NOT UPDATED, and shall start timer T3402. The state is changed to EMM-DEREGISTERED.ATTEMPTING-TO-ATTACH or optionally to EMM-DEREGISTERED.PLMN-SEARCH in order to perform a PLMN selection according to 3GPP TS 23.122 [6]; and

- if A/Gb mode, Iu mode or N1 mode is supported by the UE:

- if A/Gb mode or Iu mode is supported by the UE, the UE shall in addition handle the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI and GPRS ciphering key sequence number as specified in 3GPP TS 24.008 [13] for the abnormal case when a normal attach procedure fails and the attach attempt counter is equal to 5;

- if the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the abnormal case when an initial registration procedure performed over 3GPP access fails and the registration attempt counter is equal to 5; and

- if at least 3 times the attempt counter was incremented due to case b) the UE shall request RRC to treat the active cell as barred (see 3GPP TS 36.304 [21]); and

- the UE shall attempt to select GERAN, UTRAN or NG-RAN radio access technology and proceed with appropriate GMM or 5GMM specific procedures. Additionallyif the UE attempts to select GERAN or UTRAN radio access technology, the UE shall disable the E-UTRA capability as specified in clause 4.5;

\*\*\*\*\* Next change \*\*\*\*\*

##### 5.5.1.3.6 Abnormal cases in the UE

The UE shall proceed as follows:

1) if the UE requested the combined attach for EPS services and "SMS only" and the ATTACH ACCEPT message indicates a combined attach successful for EPS and non-EPS services, the UE shall behave as if the combined attach was successful for EPS services and "SMS only";

NOTE: In this case the UE can ignore the CS SERVICE NOTIFICATION message or the Paging with CN domain indicator set to "CS", as specified in clause 5.6.2.3.2.

2) if the combined attach was successful for EPS services only and the ATTACH ACCEPT message contained an EMM cause value not treated in clause 5.5.1.3.4.3 or the EMM cause IE is not included in the message, the UE shall proceed as follows:

a) The UE shall stop timer T3410 if still running, and shall enter state MM IDLE. The tracking area updating attempt counter shall be incremented, unless it was already set to 5;

b) If the tracking area updating attempt counter is less than 5:

- the UE shall start timer T3411, shall set the EPS update status to EU1 UPDATED and shall enter state EMM-REGISTERED.ATTEMPTING-TO-UPDATE-MM. When timer T3411 expires the combined tracking area updating procedure indicating "combined TA/LA updating with IMSI attach" is triggered;

c) If the tracking area updating attempt counter is equal to 5:

- a UE operating in CS/PS mode 2 of operation and a UE operating in CS/PS mode 1 of operation with "IMS voice available" shall start timer T3402, shall set the EPS update status to EU1 UPDATED and shall enter state EMM-REGISTERED.ATTEMPTING-TO-UPDATE-MM. When timer T3402 expires the combined tracking area updating procedure indicating "combined TA/LA updating with IMSI attach" is triggered; and

- if at least 3 times the attempt counter was incremented due to case b) the UE shall request RRC to treat the active cell as barred (see 3GPP TS 36.304 [21]); and

- a UE operating in CS/PS mode 1 of operation with "IMS voice not available" shall attempt to select GERAN UTRAN or NG-RAN radio access technology and proceed with appropriate MM GMM or 5GMM specific procedures and if the UE attempts to select GERAN or UTRAN radio access technology, disable the E-UTRA capability (see clause 4.5); and

d) If there is a CS fallback emergency call pending or CS fallback call pending, the UE shall attempt to select GERAN or UTRAN radio access technology. If the UE finds a suitable GERAN or UTRAN cell, it then proceeds with the appropriate MM and CC specific procedures; otherwise the EMM sublayer shall indicate the abort of the EMM procedure to the MM sublayer; and

3) otherwise, the abnormal cases specified in clause 5.5.1.2.6 apply with the following modification.

If the attach attempt counter is incremented according to clause 5.5.1.2.6 the next actions depend on the value of the attach attempt counter:

- if the attach attempt counter is less than 5, the UE shall set the update status to U2 NOT UPDATED but shall not delete any LAI, TMSI, ciphering key sequence number and list of equivalent PLMNs; or

- if the attach attempt counter is equal to 5, then the UE shall delete any LAI, TMSI, ciphering key sequence number and list of equivalent PLMNs and set the update status to U2 NOT UPDATED.

If at least 3 times the attempt counter was incremented due to case b) the UE shall request RRC to treat the active cell as barred (see 3GPP TS 36.304 [21]) and the UE shall attempt to select GERAN, UTRAN or NG-RAN radio access technology and proceed with appropriate MM, GMM or 5GMM specific procedures. Additionally, if the UE attempts to select GERAN or UTRAN radio access technology, the UE shall disable the E-UTRA capability as specified in clause 4.5;

If there is a CS fallback emergency call pending or CS fallback call pending, the UE shall attempt to select GERAN or UTRAN radio access technology. If the UE finds a suitable GERAN or UTRAN cell, it then proceeds with the appropriate MM and CC specific procedures; otherwise the EMM sublayer shall indicate the abort of the EMM procedure to the MM sublayer.

\*\*\*\*\* Next change \*\*\*\*\*

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##### 5.5.3.2.2 Normal and periodic tracking area updating procedure initiation

The UE in state EMM-REGISTERED shall initiate the tracking area updating procedure by sending a TRACKING AREA UPDATE REQUEST message to the MME,

a) when the UE detects entering a tracking area that is not in the list of tracking areas that the UE previously registered in the MME, unless the UE is configured for "AttachWithIMSI" as specified in 3GPP TS 24.368 [15A] or 3GPP TS 31.102 [17] and is entering a tracking area in a new PLMN that is neither the registered PLMN nor in the list of equivalent PLMNs;

b) when the periodic tracking area updating timer T3412 expires;

c) when the UE enters EMM-REGISTERED.NORMAL-SERVICE and the UE's TIN indicates "P-TMSI";

d) when the UE performs an inter-system change from S101 mode to S1 mode and has no user data pending;

e) when the UE receives an indication from the lower layers that the RRC connection was released with cause "load balancing TAU required";

f) when the UE deactivated EPS bearer context(s) locally while in EMM-REGISTERED, because it could not establish a NAS signalling connection, and then returns to EMM-REGISTERED.NORMAL-SERVICE and no EXTENDED SERVICE REQUEST message, CONTROL PLANE SERVICE REQUEST message or DETACH REQUEST message with detach type is "EPS detach" or "combined EPS/IMSI detach" is pending to be sent by the UE;

g) when the UE changes any one of the UE network capability information, the MS network capability information or the N1 UE network capability information;

h) when the UE changes the UE specific DRX parameter (in WB-S1 mode or NB-S1 mode);

i) when the UE receives an indication of "RRC Connection failure" from the lower layers and has no signalling or user uplink data pending (i.e. when the lower layer requests NAS signalling connection recovery);

j) when the UE enters S1 mode after 1xCS fallback or 1xSRVCC;

k) when due to manual CSG selection the UE has selected a CSG cell whose CSG identity and associated PLMN identity are not included in the UE's Allowed CSG list or in the UE's Operator CSG list;

l) when the UE reselects an E-UTRAN cell while it was in GPRS READY state or PMM-CONNECTED mode;

m) when the UE supports SRVCC to GERAN or UTRAN or supports vSRVCC to UTRAN and changes the mobile station classmark 2 or the supported codecs, or the UE supports SRVCC to GERAN and changes the mobile station classmark 3;

n) when the UE changes the radio capability for GERAN, or cdma2000® or both;

o) when the UE's usage setting or the voice domain preference for E-UTRAN change in the UE;

NOTE 1: For the change of UE's usage setting or the voice domain preference for E-UTRAN which results in disabling UE's E-UTRA capability, the UE can skip sending TRACKING AREA UPDATE REQUEST message and directly perform disabling of UE's E-UTRA capability.

p) when the UE activates mobility management for IMS voice termination as specified in 3GPP TS 24.008 [13], annex P.2, and the TIN indicates "RAT-related TMSI";

q) when the UE performs an inter-system change from A/Gb mode to S1 mode and the TIN indicates "RAT-related TMSI", but the UE is required to perform tracking area updating for IMS voice termination as specified in 3GPP TS 24.008 [13], annex P.4;

r) upon reception of a paging indication using S-TMSI and the UE is in state EMM-REGISTERED.ATTEMPTING-TO-UPDATE;

s) when the UE needs to update the network with EPS bearer context status due to local de-activation of EPS bearer context(s) as specified in clause 6.5.1.4A;

t) when the UE needs to request the use of PSM or needs to stop the use of PSM;

u) when the UE needs to request the use of eDRX or needs to stop the use of eDRX;

v) when a change in the eDRX usage conditions at the UE requires different extended DRX parameters;

w) when a change in the PSM usage conditions at the UE requires a different timer T3412 value or different timer T3324 value;

NOTE 2: A change in the PSM or eDRX usage conditions at the UE can include e.g. a change in the UE configuration, a change in requirements from upper layers or the battery running low at the UE.

x) when the CIoT EPS optimizations the UE needs to use, change in the UE;

y) when the Default\_DCN\_ID value changes, as specified in 3GPP TS 24.368 [15A] or in USIM file NASCONFIG as specified in 3GPP TS 31.102 [17];

NOTE 3: The tracking area updating procedure is initiated after deleting the DCN-ID list as specified in annex C.

z) when the UE performs inter-system change from N1 mode to S1 mode in EMM-IDLE mode, the UE operates in single-registration mode, and conditions specified in 3GPP TS 24.501 [54] apply;

za) when the UE in EMM-IDLE mode changes the radio capability for E-UTRAN;

zb) when the UE needs to request new ciphering keys for ciphered broadcast assistance data;

zc) when the UE in EMM-IDLE mode changes the radio capability for NG-RAN;

zd) when the UE performs inter-system change from N1 mode to S1 mode in EMM-CONNECTED mode;

ze) in WB-S1 mode, when the applicable UE radio capability ID for the current UE radio configuration changes due to a revocation of the network-assigned UE radio capability IDs by the serving PLMN;

zf) when the UE needs to use the WUS assistance, stop to use the WUS assistance, or change the conditions for using the WUS assistance; or

zg) when the MUSIM capable UE needs to request an IMSI Offset value as specified in 3GPP TS 23.401 [10] that is used for deriving the paging occasion as specified in 3GPP TS 36.304 [21].

If case b) is the only reason for initiating the normal and periodic tracking area updating procedure, the UE shall indicate "periodic updating" in the EPS update type IE; otherwise the UE shall indicate "TA updating".

For cases n, za and zc, the UE shall include a UE radio capability information update needed IE in the TRACKING AREA UPDATE REQUEST message.

If the UE is in the EMM-CONNECTED mode and the UE changes the radio capability for E-UTRAN or for NG-RAN, the UE may locally release the established NAS signalling connection and enter the EMM-IDLE mode. Then, the UE shall initiate the tracking area updating procedure including a UE radio capability information update needed IE in the TRACKING AREA UPDATE REQUEST message.

For case l, if the TIN indicates "RAT-related TMSI", the UE shall set the TIN to "P-TMSI" before initiating the tracking area updating procedure.

For case r, the "active" flag in the EPS update type IE shall be set to 1. If a UE is only using EPS services with control plane CIoT EPS optimization, the "signalling active" flag in the Additional update type IE shall be set to 1.

If the UE is using only control plane CIoT EPS optimization, the case i only applies to the case that the UE has indicated to the network that subsequent to the uplink data transmission a downlink data transmission is expected during the transport of uplink user data via the control plane procedure (see clause 6.6.4).

If the UE has to request resources for ProSe direct discovery or Prose direct communication (see 3GPP TS 36.331 [22]), then the UE shall set the "active" flag to 1 in the TRACKING AREA UPDATE REQUEST message.

If the UE does not have any established PDN connection, and the inter-system change from N1 mode to S1 mode is not due to emergency services fallback, the "active" flag in the EPS update type IE shall be set to 0.

When the UE has user data pending and performs an inter-system change from S101 mode to S1 mode to a tracking area included in the TAI list stored in the UE, the UE shall perform a service request procedure instead of a tracking area updating procedure.

When initiating a tracking area updating procedure while in S1 mode, the UE shall use the current EPS NAS integrity key to integrity protect the TRACKING AREA UPDATE REQUEST message, unless the UE is performing inter-system change from N1 mode to S1 mode.

For the case zd, the UE shall stop timer T3402 upon successfully camping on the E-UTRAN cell.

In order to indicate its UE specific DRX parameter for WB-S1 mode while in E-UTRAN coverage, the UE shall send the TRACKING AREA UPDATE REQUEST message containing the UE specific DRX parameter in the DRX parameter IE to the network, with the exception of the case if the UE had indicated its DRX parameter for WB-S1 mode (3GPP TS 24.008 [13]) to the network while in GERAN or UTRAN coverage. In this case, when the UE enters E-UTRAN coverage and initiates a tracking area updating procedure, the UE shall not include the UE specific DRX parameter in the DRX parameter IE in the TRACKING AREA UPDATE REQUEST message.

In NB-S1 mode, a UE that wishes to use or change a UE specific DRX parameter in NB-S1 mode shall include its requested value in every TRACKING AREA UPDATE REQUEST message except when initiating the periodic tracking area updating procedure.

If the UE supports eDRX and requests the use of eDRX, the UE shall include the extended DRX parameters IE in the TRACKING AREA UPDATE REQUEST message.

If the UE supports PSM and requests the use of PSM, the UE shall include the T3324 value IE with a requested timer value in the TRACKING AREA UPDATE REQUEST message. When the UE includes the T3324 value IE and the UE indicates support for extended periodic timer value in the MS network feature support IE, it may also include the T3412 extended value IE to request a particular T3412 value to be allocated.

If a UE supporting CIoT EPS optimizations in NB-S1 mode initiates the tracking area updating procedure for EPS services and "SMS only", the UE shall indicate "SMS only" in the Additional update type IE and shall set the EPS update type IE to "TA updating".

If the UE supports S1-U data transfer and multiple user plane radio bearers (see 3GPP TS 36.306 [44], 3GPP TS 36.331 [22]) in NB-S1 mode, then the UE shall set the Multiple DRB support bit to "Multiple DRB supported" in the UE network capability IE of the TRACKING AREA UPDATE REQUEST message.

If the UE is in NB-S1 mode, then the UE shall set the Control plane CIoT EPS optimization bit to "Control plane CIoT EPS optimization supported" in the UE network capability IE of the TRACKING AREA UPDATE REQUEST message. If the UE is capable of NB-N1 mode, then the UE shall set the Control plane CIoT 5GS optimization bit to "Control plane CIoT 5GS optimization supported" in the N1 UE network capability IE of the TRACKING AREA UPDATE REQUEST message.

If the UE supports control plane MT-EDT, then the UE shall set the CP-MT-EDT bit to "Control plane Mobile Terminated-Early Data Transmission supported" in the UE network capability IE of the TRACKING AREA UPDATE REQUEST message.

If the UE supports user plane MT-EDT, then the UE shall set the UP-MT-EDT bit to "User plane Mobile Terminated-Early Data Transmission supported" in the UE network capability IE of the TRACKING AREA UPDATE REQUEST message.

If the UE has to request resources for V2X communication over PC5 (see 3GPP TS 23.285 [47]), then the UE shall set the "active" flag to 1 in the TRACKING AREA UPDATE REQUEST message.

After sending the TRACKING AREA UPDATE REQUEST message to the MME, the UE shall start timer T3430 and enter state EMM-TRACKING-AREA-UPDATING-INITIATED (see example in figure 5.5.3.2.2.1). If timer T3402 is currently running, the UE shall stop timer T3402. If timer T3411 is currently running, the UE shall stop timer T3411. If timer T3442 is currently running, the UE shall stop timer T3442.

For all cases except cases z and zd:

1) if the UE supports neither A/Gb mode nor Iu mode, the UE shall include a valid GUTI in the Old GUTI IE in the TRACKING AREA UPDATE REQUEST message. In addition, the UE shall include Old GUTI type IE with GUTI type set to "native GUTI"; or

2) if the UE supports A/Gb mode or Iu mode or both, the UE shall handle the Old GUTI IE as follows:

- If the TIN indicates "P-TMSI" and the UE holds a valid P-TMSI and RAI, the UE shall map the P-TMSI and RAI into the Old GUTI IE, and include Old GUTI type IE with GUTI type set to "mapped GUTI". If a P-TMSI signature is associated with the P-TMSI, the UE shall include it in the Old P-TMSI signature IE. Additionally, if the UE holds a valid GUTI, the UE shall indicate the GUTI in the Additional GUTI IE.

NOTE 4: The mapping of the P-TMSI and RAI to the GUTI is specified in 3GPP TS 23.003 [2].

- If the TIN indicates "GUTI" or "RAT-related TMSI" and the UE holds a valid GUTI, the UE shall indicate the GUTI in the Old GUTI IE, and include Old GUTI type IE with GUTI type set to "native GUTI".

If a UE has established PDN connection(s) and uplink user data pending to be sent via user plane when it initiates the tracking area updating procedure, or uplink signalling not related to the tracking area updating procedure when the UE does not support control plane CIoT EPS optimization, it may set the "active" flag in the TRACKING AREA UPDATE REQUEST message to indicate the request to establish the user plane to the network and to keep the NAS signalling connection after the completion of the tracking area updating procedure.

If a UE is using EPS services with control plane CIoT EPS optimization and has user data pending to be sent via control plane over MME but no user data pending to be sent via user plane, or uplink signalling not related to the tracking area updating procedure, the UE may set the "signalling active" flag in the TRACKING AREA UPDATE REQUEST message to indicate the request to keep the NAS signalling connection after the completion of the tracking area updating procedure.

For all cases except cases z and zd, if the UE has a current EPS security context, the UE shall include the eKSI (either KSIASME or KSISGSN) in the NAS Key Set Identifier IE in the TRACKING AREA UPDATE REQUEST message. Otherwise, the UE shall set the NAS Key Set Identifier IE to the value "no key is available". If the UE has a current EPS security context, the UE shall integrity protect the TRACKING AREA UPDATE REQUEST message with the current EPS security context. Otherwise the UE shall not integrity protect the TRACKING AREA UPDATE REQUEST message.

When the tracking area updating procedure is initiated in EMM-IDLE mode to perform an inter-system change from A/Gb mode or Iu mode to S1 mode and the TIN is set to "P-TMSI", the UE shall include the GPRS ciphering key sequence number applicable for A/Gb mode or Iu mode and a nonceUE in the TRACKING AREA UPDATE REQUEST message.

When the tracking area updating procedure is initiated in EMM-CONNECTED mode to perform an inter-system change from A/Gb mode or Iu mode to S1 mode, the UE shall derive the EPS NAS keys from the mapped K'ASME using the selected NAS algorithms, nonceMME and KSISGSN (to be associated with the mapped K'ASME) provided by lower layers as indicated in 3GPP TS 33.401 [19]. The UE shall reset both the uplink and downlink NAS COUNT counters of the mapped EPS security context which shall be taken into use. If the UE has a non-current native EPS security context, the UE shall include the KSIASME in the Non-current native NAS key set identifier IE and its associated GUTI, as specified above, either in the Old GUTI IE or in the Additional GUTI IE of the TRACKING AREA UPDATE REQUEST message. The UE shall set the TSC flag in the Non-current native NAS key set identifier IE to "native security context".

For the case z, if upper layers have indicated that IMS signalling or IMS emergency signalling was already ongoing in N1 mode before performing the inter-system change from N1 mode to S1 mode, or if the inter-system change from N1 mode to S1 mode is due to emergency services fallback, the "active" flag in the EPS update type IE shall be set to 1.

For the case z, the TRACKING AREA UPDATE REQUEST message shall be integrity protected using the 5G NAS security context available in the UE. If there is no valid 5G NAS security context available in the UE, the TRACKING AREA UPDATE REQUEST message shall be sent without integrity protection. The UE shall include a GUTI, mapped from 5G-GUTI (see 3GPP TS 23.501 [58] and 3GPP TS 23.003 [2]), in the Old GUTI IE in the TRACKING AREA UPDATE REQUEST message. In addition, the UE shall include Old GUTI type IE with GUTI set to "Native GUTI", and the UE shall include a UE status IE with a 5GMM registration status set to "UE is in 5GMM-REGISTERED state". Additionally, if the UE holds a valid GUTI, the UE shall indicate the GUTI in the Additional GUTI IE.

NOTE 5: The value of the EMM registration status included by the UE in the UE status IE is not used by the MME.

For the case zd, the TRACKING AREA UPDATE REQUEST message shall be integrity protected using the mapped EPS security context as derived when triggering the handover to E-UTRAN (see clause 4.4.2.2). The UE shall include a GUTI, mapped from 5G-GUTI (see 3GPP TS 23.501 [58] and 3GPP TS 23.003 [2]), in the Old GUTI IE in the TRACKING AREA UPDATE REQUEST message. In addition, the UE shall include Old GUTI type IE with GUTI set to "Native GUTI", and the UE shall include a UE status IE with a 5GMM registration status set to "UE is in 5GMM-REGISTERED state". Additionally, if the UE holds a valid GUTI, the UE shall indicate the GUTI in the Additional GUTI IE. If the UE has a non-current native EPS security context, the UE shall include the KSIASME in the Non-current native NAS key set identifier IE of the TRACKING AREA UPDATE REQUEST message. The UE shall set the TSC flag in the Non-current native NAS key set identifier IE to "native security context".

NOTE 6: The value of the EMM registration status included by the UE in the UE status IE is not used by the MME.

When the tracking area updating procedure is initiated in EMM-IDLE mode, the UE may also include an EPS bearer context status IE in the TRACKING AREA UPDATE REQUEST message, indicating which EPS bearer contexts are active in the UE. The UE shall include the EPS bearer context status IE in TRACKING AREA UPDATE REQUEST message:

a) for the case f;

b) for the case s;

c) for the case z;

d) if the UE has established PDN connection(s) of "non IP" or Ethernet PDN type; and

e) if the UE:

1) locally deactivated at least one dedicated EPS bearer context upon an inter-system mobility from WB-S1 mode to NB-S1 mode in EMM-IDLE mode;

2) locally deactivated at least one dedicated EPS bearer context upon an inter-system change from WB-N1 mode to NB-S1 mode in EMM-IDLE mode for the UE operating in single-registration mode (see clause 6.4.2.1); or

3) locally deactivated at least one default EPS bearer context upon an inter-system change from N1 mode to NB-S1 mode in EMM-IDLE mode for the UE operating in single-registration mode (see clause 6.5.0).

If the UE initiates the first tracking area updating procedure following an attach in A/Gb mode or Iu mode, the UE shall include a UE radio capability information update needed IE in the TRACKING AREA UPDATE REQUEST message.

If the UE initiates the first tracking area updating procedure following an initial registration in N1 mode and the UE is operating in the single-registration mode, the UE shall include a UE radio capability information update needed IE in the TRACKING AREA UPDATE REQUEST message.

For all cases except case b, if the UE supports SRVCC to GERAN/UTRAN, the UE shall set the SRVCC to GERAN/UTRAN capability bit in the MS network capability IE to "SRVCC from UTRAN HSPA or E-UTRAN to GERAN/UTRAN supported".

For all cases except case b, if the UE supports vSRVCC from S1 mode to Iu mode, then the UE shall set the H.245 after handover capability bit in the UE network capability IE to "H.245 after SRVCC handover capability supported" and additionally set the SRVCC to GERAN/UTRAN capability bit in the MS network capability IE to "SRVCC from UTRAN HSPA or E-UTRAN to GERAN/UTRAN supported" in the TRACKING AREA UPDATE REQUEST message.

For all cases except case b, if the UE supports ProSe direct discovery, then the UE shall set the ProSe bit to "ProSe supported" and set the ProSe direct discovery bit to "ProSe direct discovery supported" in the UE network capability IE of the TRACKING AREA UPDATE REQUEST message.

For all cases except case b, if the UE supports ProSe direct communication, then the UE shall set the ProSe bit to "ProSe supported" and set the ProSe direct communication bit to "ProSe direct communication supported" in the UE network capability IE of the TRACKING AREA UPDATE REQUEST message.

For all cases except case b, if the UE supports acting as a ProSe UE-to-network relay, then the UE shall set the ProSe bit to "ProSe supported" and set the ProSe UE-to-network relay bit to "acting as a ProSe UE-to-network relay supported" in the UE network capability IE of the TRACKING AREA UPDATE REQUEST message.

If the UE supports NB-S1 mode, Non-IP or Ethernet PDN type, N1 mode, or if the UE supports DNS over (D)TLS (see 3GPP TS 33.501 [24]), then the UE shall support the extended protocol configuration options IE.

NOTE 7: Support of DNS over (D)TLS is based on the informative requirements as specified in 3GPP TS 33.501 [24].

For all cases except case b, if the UE supports the extended protocol configuration options IE, then the UE shall set the ePCO bit to "extended protocol configuration options supported" in the UE network capability IE of the TRACKING AREA UPDATE REQUEST message.

For all cases except case b, if the UE supports V2X communication over E-UTRAN-PC5, then the UE shall set the V2X PC5 bit to "V2X communication over E-UTRAN-PC5 supported" in the UE network capability IE of the TRACKING AREA UPDATE REQUEST message.

For all cases except case b, if the UE supports V2X communication over NR-PC5, then the UE shall set the V2X NR-PC5 bit to "V2X communication over NR-PC5 supported" in the UE network capability IE of the TRACKING AREA UPDATE REQUEST message.

For all cases except case b, if the UE supports the restriction on use of enhanced coverage, then the UE shall set the RestrictEC bit to "Restriction on use of enhanced coverage supported" in the UE network capability IE of the TRACKING AREA UPDATE REQUEST message.

For all cases except case b, if the UE supports the control plane data back-off timer T3448, the UE shall set the CP backoff bit to "backoff timer for transport of user data via the control plane supported" in the UE network capability IE of the TRACKING AREA UPDATE REQUEST message.

For all cases except case b, if the UE supports dual connectivity with NR, then the UE shall set the DCNR bit to "dual connectivity with NR supported" in the UE network capability IE of the TRACKING AREA UPDATE REQUEST message and shall include the UE additional security capability IE in the TRACKING AREA UPDATE REQUEST message.

For all cases except case b, if the UE supports SGC, then the UE shall set the SGC bit to "service gap control supported" in the UE network capability IE of the TRACKING AREA UPDATE REQUEST message.

For all cases except case b, if the UE supports signalling for a maximum number of 15 EPS bearer contexts, then the UE shall set the 15 bearers bit to "Signalling for a maximum number of 15 EPS bearer contexts supported" in the UE network capability IE of the TRACKING AREA UPDATE REQUEST message.

For all cases except cases b and zb, if the UE supports ciphered broadcast assistance data and the UE needs to obtain new ciphering keys, the UE shall include the Additional information requested IE with the CipherKey bit set to "ciphering keys for ciphered broadcast assistance data requested" in the TRACKING AREA UPDATE REQUEST message.

For case ee, the UE shall include the Additional information requested IE with the CipherKey bit set to "ciphering keys for ciphered broadcast assistance data requested" in the TRACKING AREA UPDATE REQUEST message.

For case a, if the UE supports ciphered broadcast assistance data and the UE detects entering a tracking area for which one or more ciphering keys stored at the UE is not applicable, the UE should include the Additional information requested IE with the CipherKey bit set to "ciphering keys for ciphered broadcast assistance data requested" in the TRACKING AREA UPDATE REQUEST message.

For case b, if the UE supports ciphered broadcast assistance data and the remaining validity time for one or more ciphering keys stored at the UE is less than timer T3412, the UE should include the Additional information requested IE with the CipherKey bit set to "ciphering keys for ciphered broadcast assistance data requested" in the TRACKING AREA UPDATE REQUEST message.

For all cases except case b, if the UE supports N1 mode, the UE shall set the N1mode bit to "N1 mode supported" in the UE network capability IE of the TRACKING AREA UPDATE REQUEST message and shall include the UE additional security capability IE in the TRACKING AREA UPDATE REQUEST message.

For all cases except case b, in WB-S1 mode, if the UE supports RACS the UE shall set the RACS bit to "RACS supported" in the UE network capability IE of the TRACKING AREA UPDATE REQUEST message.

For cases n, za and zc, in WB-S1 mode, if the UE supports RACS and the UE has an applicable UE radio capability ID for the new UE radio configuration in the selected PLMN, the UE shall set the URCIDA bit to "UE radio capability ID available" in the UE radio capability ID availability IE of the TRACKING AREA UPDATE REQUEST message.

For all cases except cases b, n, za and zc, in WB-S1 mode, if the UE has an applicable UE radio capability ID for the current UE radio configuration in the selected PLMN, the UE shall set the URCIDA bit to "UE radio capability ID available" in the UE radio capability ID availability IE of the TRACKING AREA UPDATE REQUEST message.

For all cases except case b, if the UE supports WUS assistance, then the UE shall set the WUSA bit to "WUS assistance supported" in the UE network capability IE, and if the UE is not attaching for emergency bearer services, the UE may include its UE paging probability information in the Requested WUS assistance information IE in the TRACKING AREA UPDATE REQUEST message.

For all cases, for a MUSIM capable UE if the UE needs to indicate an IMSI offset value to the network and the network has indicated to the UE that it supports paging timing collision control, the UE shall include the IMSI offset value in the Requested IMSI offset IE in the TRACKING AREA UPDATE REQUEST message.

Editor's note: The indication of supporting paging timing collision control as a capability for MUSIM is FFS and is waiting for SA2 conclusion.

If the UE supports MUSIM and requests the network to release the NAS signalling connection, the UE shall set Request type to "NAS signalling connection release" in the UE request type IE and may set the paging restriction preferences in the Paging restriction IE in the TRACKING AREA UPDATE REQUEST message. In addition, the UE shall

- set the "active" flag to 0 in the EPS update type IE; and

- set the "signalling active" flag to 0 in the Additional update type IE, if the Additional update type IE is included.

Editor's Note [MUSIM]: What is meant by "If the UE supports MUSIM" and all such statements in the specification is for FFS and will be specified subsequently



Figure 5.5.3.2.2.1: Tracking area updating procedure

\*\*\*\*\* Next change \*\*\*\*\*

##### 5.5.3.3.2 Combined tracking area updating procedure initiation

The UE operating in CS/PS mode 1 or CS/PS mode 2, in state EMM-REGISTERED, shall initiate the combined tracking area updating procedure:

a) when the UE that is attached for both EPS and non-EPS services detects entering a tracking area that is not in the list of tracking areas that the UE previously registered in the MME, unless the UE is configured for "AttachWithIMSI" as specified in 3GPP TS 24.368 [15A] or 3GPP TS 31.102 [17] and is entering a tracking area in a new PLMN that is neither the registered PLMN nor in the list of equivalent PLMNs;

b) when the UE that is attached for EPS services wants to perform an attach for non-EPS services. In this case the EPS update type IE shall be set to "combined TA/LA updating with IMSI attach";

c) when the UE performs an intersystem change from A/Gb mode to S1 mode and the EPS services were previously suspended in A/Gb mode;

d) when the UE performs an intersystem change from A/Gb or Iu mode to S1 mode, and the UE previously either performed a combined GPRS attach procedure, an IMSI attach procedure, a location area updating procedure or a combined routing area updating procedure, in A/Gb or Iu mode, or moved to A/Gb or Iu mode from S1 mode through an SRVCC handover or moved to Iu mode from S1 mode through an vSRVCC handover. In this case the EPS update type IE shall be set to "combined TA/LA updating with IMSI attach";

e) when the UE enters EMM-REGISTERED.NORMAL-SERVICE and the UE's TIN indicates "P-TMSI";

f) when the UE receives an indication from the lower layers that the RRC connection was released with cause "load balancing TAU required";

g) when the UE deactivated EPS bearer context(s) locally while in EMM-REGISTERED, because it was not able or not allowed to establish a NAS signalling connection, and then returns to EMM-REGISTERED.NORMAL-SERVICE and no EXTENDED SERVICE REQUEST message, CONTROL PLANE SERVICE REQUEST message or DETACH REQUEST message is pending to be sent by the UE;

h) when the UE changes any one of the UE network capability information, the MS network capability information or the N1 UE network capability information;

i) when the UE changes the UE specific DRX parameter;

j) when the UE receives an indication of "RRC Connection failure" from the lower layers and has no signalling or user uplink data pending (i.e. when the lower layer requests NAS signalling connection recovery);

k) when due to manual CSG selection the UE has selected a CSG cell whose CSG identity and associated PLMN identity are not included in the UE's Allowed CSG list or in the UE's Operator CSG list;

l) when the UE reselects an E-UTRAN cell while it was in GPRS READY state or PMM-CONNECTED mode;

m) when the UE supports SRVCC to GERAN or UTRAN or supports vSRVCC to UTRAN, and changes the mobile station classmark 2 or the supported codecs, or the UE supports SRVCC to GERAN and changes the mobile station classmark 3;

n) when the UE changes the radio capability for GERAN or cdma2000® or both;

o) when the UE's usage setting or the voice domain preference for E-UTRAN change in the UE;

p) when the UE activates mobility management for IMS voice termination as specified in 3GPP TS 24.008 [13], annex P.2, and the TIN indicates "RAT-related TMSI";

q) when the UE performs an intersystem change from A/Gb mode to S1 mode and the TIN indicates "RAT-related TMSI", but the UE is required to perform tracking area updating for IMS voice termination as specified in 3GPP TS 24.008 [13], annex P.4;

r) upon reception of a paging indication, if the UE is in state EMM-REGISTERED.ATTEMPTING-TO-UPDATE and the paging indication uses S-TMSI or it uses IMSI with domain indicator set to ″CS″;

s) when the UE needs to update the network with EPS bearer context status due to local de-activation of EPS bearer context(s) as specified in clause 6.5.1.4A;

t) when the UE performs an intersystem change from A/Gb or Iu mode to S1 mode, and the UE has previously performed the MM connection establishment for CS fallback emergency calls (see 3GPP TS 24.008 [13], clause 4.5.1.5a) without performing a location area updating procedure or combined routing area updating procedure while camping on a location area which is different from the stored location area. In this case, the EPS update type IE shall be set to "combined TA/LA updating with IMSI attach";

u) when the UE performs an intersystem change from A/Gb or Iu mode to S1 mode, and the MM update status is U2 NOT UPDATED. In this case the EPS update type IE shall be set to "combined TA/LA updating with IMSI attach";

v) when the UE needs to request the use of PSM or needs to stop the use of PSM;

w) when the UE needs to request the use of eDRX or needs to stop the use of eDRX;

x) when a change in the eDRX usage conditions at the UE requires different extended DRX parameters;

y) when a change in the PSM usage conditions at the UE requires a different timer T3412 value or different timer T3324 value;

NOTE 1: A change in the PSM or eDRX usage conditions at the UE can include e.g. a change in the UE configuration, a change in requirements from upper layers or the battery running low at the UE.

z) when the CIoT EPS optimizations the UE needs to use, change in the UE;

za) when the Default\_DCN\_ID value changes, as specified in 3GPP TS 24.368 [15A] or in USIM file NASCONFIG as specified in 3GPP TS 31.102 [17];

NOTE 2: The tracking area updating procedure is initiated after deleting the DCN-ID list as specified in annex C.

zb) when the UE performs inter-system change from N1 mode to S1 mode in EMM-IDLE mode, the UE operates in single-registration mode, and conditions specified in 3GPP TS 24.501 [54] apply;

zc) when the UE in EMM-IDLE mode changes the radio capability for E-UTRAN;

zd) when the UE performs inter-system change from N1 mode to S1 mode in EMM-CONNECTED mode;

ze) when the UE in EMM-IDLE mode changes the radio capability for NG-RAN;

zf) in WB-S1 mode, when the applicable UE radio capability ID for the current UE radio configuration changes due to a reselection to a new PLMN or a revocation of the network-assigned UE radio capability IDs by the serving PLMN; or

zg) when the UE needs to use the WUS assistance, stop to use the WUS assistance, or change the conditions for using the WUS assistance.

For case c, if the TIN indicates "RAT-related TMSI" and the EPS services were not resumed before returning to S1 mode, the UE shall set the TIN to "P-TMSI" before initiating the combined tracking area updating procedure.

For cases n, zc, ze and zf, the UE shall include a UE radio capability information update needed IE in the TRACKING AREA UPDATE REQUEST message.

For the case zd, the UE shall stop timer T3402 upon successfully camping on the E-UTRAN cell.

If the UE is in the EMM-CONNECTED mode and the UE changes the radio capability for E-UTRAN or for NG-RAN, the UE may locally release the established NAS signalling connection and enter the EMM-IDLE mode. Then, the UE shall initiate the combined tracking area updating procedure including a UE radio capability information update needed IE in the TRACKING AREA UPDATE REQUEST message.

For case l, if the TIN indicates "RAT-related TMSI", the UE shall set the TIN to "P-TMSI" before initiating the combined tracking area updating procedure.

For case r, the "active" flag in the EPS update type IE shall be set to 1. If the paging is received for CS fallback, the UE shall send the EXTENDED SERVICE REQUEST message to the MME by using the existing NAS signalling connection after the completion of the tracking area updating procedure. If the TRACKING AREA UPDATE ACCEPT message includes a UE radio capability ID deletion indication IE set to "Network-assigned UE radio capability IDs deletion requested", the UE shall proceed with sending the EXTENDED SERVICE REQUEST message.To initiate a combined tracking area updating procedure the UE sends the message TRACKING AREA UPDATE REQUEST to the network, starts timer T3430 and changes to state EMM-TRACKING-AREA-UPDATING-INITIATED. The value of the EPS update type IE in the message shall indicate "combined TA/LA updating" unless explicitly specified otherwise.

If the UE initiates the combined tracking area updating procedure for EPS services and "SMS only", the UE shall indicate "SMS only" in the additional update type IE.

The UE shall include the TMSI status IE if no valid TMSI is available. Furthermore, if the UE has stored a valid location area identification, the UE shall include it in the Old location area identification IE in the TRACKING AREA UPDATE REQUEST message.

If the UE has stored a valid TMSI, the UE shall include the TMSI based NRI container IE in the TRACKING AREA UPDATE REQUEST message.

The UE shall include the EPS bearer context status IE in TRACKING AREA UPDATE REQUEST message:

a) for the case g;

b) for the case s;

c) for the case zb;

d) if the UE has established PDN connection(s) of "non IP" or Ethernet PDN type; and

e) if the UE:

1) locally deactivated at least one dedicated EPS bearer context upon an inter-system mobility from WB-S1 mode to NB-S1 mode in EMM-IDLE mode;

2) locally deactivated at least one dedicated EPS bearer context upon an inter-system change from WB-N1 mode to NB-S1 mode in EMM-IDLE mode for the UE operating in single-registration mode (see clause 6.4.2.1); or

3) locally deactivated at least one default EPS bearer context upon an inter-system change from N1 mode to NB-S1 mode in EMM-IDLE mode for the UE operating in single-registration mode (see clause 6.5.0).

In WB-S1 mode, if the UE supports RACS the UE shall set the RACS bit to "RACS supported" in the UE network capability IE of the TRACKING AREA UPDATE REQUEST message.

For cases n, zc and ze, in WB-S1 mode, if the UE supports RACS and the UE has an applicable UE radio capability ID for the new UE radio configuration in the selected PLMN, the UE shall set the URCIDA bit to "UE radio capability ID available" in the UE radio capability ID availability IE of the TRACKING AREA UPDATE REQUEST message.

For all cases except cases n, zc and ze, in WB-S1 mode, if the UE has an applicable UE radio capability ID for the current UE radio configuration in the selected PLMN, the UE shall set the URCIDA bit to "UE radio capability ID available" in the UE radio capability ID availability IE of the TRACKING AREA UPDATE REQUEST message.

\*\*\*\*\* Next change \*\*\*\*\*

##### 5.5.3.2.6 Abnormal cases in the UE

The following abnormal cases can be identified:

a) Access barred because of access class barring, EAB, ACDC or NAS signalling connection establishment rejected by the network without "Extended wait time" received from lower layers

In WB-S1 mode, if the tracking area updating procedure is started in response to a paging request from the network, access class barring, EAB or ACDC is not applicable.

In NB-S1 mode, if the tracking area updating procedure is started in response to a paging request from the network, access barring is not applicable.

In WB-S1 mode, if access is barred for "originating signalling" (see 3GPP TS 36.331 [22]), the tracking area updating procedure shall not be started. The UE stays in the current serving cell and applies the normal cell reselection process. The tracking area updating procedure is started as soon as possible and if still necessary, e.g. when access for "originating signalling" is granted on the current cell or when the UE moves to a cell where access for "originating signalling" is granted.

In NB-S1 mode, if access is barred for "originating signalling" (see 3GPP TS 36.331 [22]), the tracking area updating procedure shall not be started. The UE stays in the current serving cell and applies the normal cell reselection process. Further UE behaviour is implementation specific, e.g. the tracking area updating procedure is started again after an implementation dependent time.

In NB-S1 mode, if access is barred for "originating signalling" (see 3GPP TS 36.331 [22]), a request for an exceptional event is received from the upper layers, then the tracking area updating procedure shall be started.

NOTE 1: In NB-S1 mode, the EMM layer cannot receive the access barring alleviation indication from the lower layers (see 3GPP TS 36.331 [22]).

If access is barred because of access class barring for "originating signalling" (see 3GPP TS 36.331 [22]) and if:

- one of the MO MMTEL voice call is started, MO MMTEL video call is started or MO SMSoIP is started conditions is satisfied;

- the upper layers request to send a mobile originated SMS over NAS or SMS over S102; or

- the upper layers request user plane radio resources, ACDC is applicable to the request and the UE supports ACDC.

then the tracking area updating procedure shall be started according to clause 5.5.3.2.2. The call type used shall be per annex D of this document.

NOTE 2: If more than one of MO MMTEL voice call is started, MO MMTEL video call is started or MO SMSoIP is started conditions are satisfied, it is left to UE implementation to determine the call type based on Annex D of this document.

If access is barred for a certain ACDC category (see 3GPP TS 36.331 [22]), and if the upper layers request user plane radio resources for a higher ACDC category and the UE supports ACDC, then the tracking area updating procedure shall be started according to clause 5.5.3.2.2.

If an access request for an uncategorized application is barred due to ACDC (see 3GPP TS 36.331 [22]), and if the upper layers request user plane radio resources for a certain ACDC category and the UE supports ACDC, then the tracking area updating procedure shall be started according to clause 5.5.3.2.2.

If the trigger for the tracking area updating procedure is the response to a paging request from the network and the NAS signalling connection establishment is rejected by the network, the tracking area updating procedure shall not be started. The UE stays in the current serving cell and applies normal cell reselection process. The tracking area updating procedure may be started if it is still necessary when access for "terminating calls" is granted or because of a cell change.

b) Lower layer failure or release of the NAS signalling connection without "Extended wait time" and without "Extended wait time CP data" received from lower layers before the TRACKING AREA UPDATE ACCEPT or TRACKING AREA UPDATE REJECT message is received

The tracking area updating procedure shall be aborted, and the UE shall proceed as described below.

c) T3430 timeout

The UE shall abort the procedure. The NAS signalling connection, if any, shall be released locally.

NOTE 3: The NAS signalling connection can also be released if the UE deems that the network has failed the authentication check as specified in clause 5.4.2.7.

The UE shall proceed as described below.

d) TRACKING AREA UPDATE REJECT, other causes than those treated in clause 5.5.3.2.5, and cases of EMM cause values #22, #25 and #31, if considered as abnormal cases according to clause 5.5.3.2.5

If the tracking area updating request is not for initiating a PDN connection for emergency bearer services, upon reception of the EMM causes #95, #96, #97, #99 and #111 the UE should set the tracking area updating attempt counter to 5.

The UE shall proceed as described below.

e) Change of cell into a new tracking area

If a cell change into a new tracking area occurs before the tracking area updating procedure is completed, the tracking area updating procedure shall be aborted and re-initiated immediately. The UE shall set the EPS update status to EU2 NOT UPDATED.

The UE shall proceed as described below.

f) Tracking area updating and detach procedure collision

EPS detach containing detach type "re-attach required" or "re-attach not required":

If the UE receives a DETACH REQUEST message before the tracking area updating procedure has been completed, the tracking area updating procedure shall be aborted and the detach procedure shall be progressed. If the DETACH REQUEST message contains detach type "re-attach not required" and EMM cause #2 "IMSI unknown in HSS", the UE will follow the procedure as described below for the detach type "IMSI detach".

EPS detach containing detach type "IMSI detach":

If the UE receives a DETACH REQUEST message before the tracking area updating procedure has been completed, the DETACH REQUEST message shall be ignored and tracking area updating procedure shall be progressed.

The UE shall proceed as described below.

g) Tracking area updating and GUTI reallocation procedure collision

If the UE receives a GUTI REALLOCATION COMMAND message before the tracking area updating procedure has been completed, this message shall be ignored and the tracking area updating procedure shall be progressed.

h) Transmission failure of TRACKING AREA UPDATE REQUEST message indication from lower layers

The tracking area updating procedure shall be aborted and re-initiated immediately. The UE shall set the EPS update status to EU2 NOT UPDATED.

i) Transmission failure of TRACKING AREA UPDATE COMPLETE message indication with TAI change from lower layers

If the current TAI is not in the TAI list, the tracking area updating procedure shall be aborted and re-initiated immediately. The UE shall set the EPS update status to EU2 NOT UPDATED.

If the current TAI is still part of the TAI list, it is up to the UE implementation how to re-run the ongoing procedure.

j) Transmission failure of TRACKING AREA UPDATE COMPLETE message indication without TAI change from lower layers

It is up to the UE implementation how to re-run the ongoing procedure.

k) "Extended wait time" from the lower layers

If the TRACKING AREA UPDATE REQUEST message contained the low priority indicator set to "MS is configured for NAS signalling low priority", the UE shall start timer T3346 with the "Extended wait time" value and reset the tracking area updating attempt counter.

If the TRACKING AREA UPDATE REQUEST message did not contain the low priority indicator set to "MS is configured for NAS signalling low priority", the UE is operating in NB-S1 mode and the UE is not a UE configured to use AC11 – 15 in selected PLMN, then the UE shall start timer T3346 with the "Extended wait time" value and reset the tracking area updating attempt counter.

In other cases the UE shall ignore the "Extended wait time".

The UE shall abort the tracking area updating procedure, stay in the current serving cell, set the EPS update status to EU2 NOT UPDATED, change the state to EMM-REGISTERED.ATTEMPTING-TO-UPDATE and apply the normal cell reselection process.

If the UE had used eDRX before initiating tracking area updating procedure, then the UE shall continue to use the eDRX with the extended DRX parameters IE received during the last attach or tracking area updating procedure.

The UE shall proceed as described below.

ka) "Extended wait time CP data" from the lower layers

If the UE is operating in NB-S1 mode and supports the timer T3448, the UE shall start the timer T3448 with the "Extended wait time CP data" value. If the UE is operating in NB-S1 mode and does not support the timer T3448, the UE shall start the timer T3346 with the "Extended wait time CP data" value and reset the tracking area updating attempt counter.

In other cases the UE shall ignore the "Extended wait time CP data".

The UE shall abort the tracking area updating procedure, stay in the current serving cell, set the EPS update status to EU2 NOT UPDATED, change the state to EMM-REGISTERED.ATTEMPTING-TO-UPDATE and apply the normal cell reselection process.

If the UE had used eDRX before initiating tracking area updating procedure, then the UE shall continue to use the eDRX with the extended DRX parameters IE received during the last attach or tracking area updating procedure.

The UE shall proceed as described below.

l) Timer T3346 is running

The UE shall not start the tracking area updating procedure unless:

- the UE is in EMM-CONNECTED mode;

- the UE received a paging;

- the UE is a UE configured to use AC11 – 15 in selected PLMN;

- the UE has a PDN connection for emergency bearer services established or is establishing a PDN connection for emergency bearer services;

- the UE is requested by the upper layer for a CS fallback for emergency call or a 1xCS fallback for emergency call;

- the UE in NB-S1 mode is requested by the upper layer to transmit user data related to an exceptional event and

i) the UE is allowed to use exception data reporting (see the ExceptionDataReportingAllowed leaf of the NAS configuration MO in 3GPP TS 24.368 [15A] or the USIM file EFNASCONFIG in 3GPP TS 31.102 [17]); and

ii) timer T3346 was not started when NAS signalling connection was established with RRC establishment cause set to "MO exception data"; or

- the UE has a PDN connection established without the NAS signalling low priority indication or is establishing a PDN connection without the NAS signalling low priority indication, the timer T3402 and the timer T3411 are not running and the timer T3346 was started due to rejection of a NAS request message (e.g. ATTACH REQUEST, TRACKING AREA UPDATE REQUEST or EXTENDED SERVICE REQUEST) which contained the low priority indicator set to "MS is configured for NAS signalling low priority".

The UE stays in the current serving cell and applies the normal cell reselection process.

NOTE 4: It is considered an abnormal case if the UE needs to initiate a tracking area updating procedure while timer T3346 is running independent on whether timer T3346 was started due to an abnormal case or a non successful case.

If the TAI of the current serving cell is not included in the TAI list or the TIN indicates "P-TMSI", the UE shall set the EPS update status to EU2 NOT UPDATED and change to state EMM-REGISTERED.ATTEMPTING-TO-UPDATE.

If the tracking area updating procedure needs to be initiated for an MO MMTEL voice call or an MO MMTEL video call is started, then a notification that the procedure was not initiated due to network congestion shall be provided to upper layers.

NOTE 5: This can result in the upper layers requesting establishment of the originating voice call on an alternative manner e.g. requesting establishment of a CS voice call (see 3GPP TS 24.173 [13E]).

The UE shall proceed as described below.

la) Timer T3448 is running

The UE shall not start the tracking area updating procedure with the "signalling active" flag set, unless:

- the UE is a UE configured to use AC11 – 15 in selected PLMN;

- the UE which is only using EPS services with control plane CIoT EPS optimization received a paging; or

- the UE in NB-S1 mode is requested by the upper layer to transmit user data related to an exceptional event and the UE is allowed to use exception data reporting (see the ExceptionDataReportingAllowed leaf of the NAS configuration MO in 3GPP TS 24.368 [15A] or the USIM file EFNASCONFIG in 3GPP TS 31.102 [17]).

The UE stays in the current serving cell and applies the normal cell reselection process.

The UE shall proceed as described below.

m) Mobile originated detach required

Detach due to removal of USIM or due to switch off:

The tracking area updating procedure shall be aborted, and the UE initiated detach procedure shall be performed.

Detach not due to removal of USIM and not due to switch off:

The UE initiated detach procedure shall be initiated after successful completion of the tracking area updating procedure.

o) Timer T3447 is running

The UE shall not start the tracking area updating procedure with the "signalling active" flag set or the "active" flag set, unless:

- the UE received a paging;

- the UE is a UE configured to use AC11 – 15 in selected PLMN;

- the UE has a PDN connection for emergency bearer services established or is establishing a PDN connection for emergency bearer services;

The UE stays in the current serving cell and applies the normal cell reselection process. The tracking area update request procedure is started, if still necessary, when timer T3447 expires.

p) Tracking area updating and paging procedure collision

If the UE receives a CS SERVICE NOTIFICATION message before the tracking area updating procedure has been completed, the UE shall progress the tracking area updating procedure and respond to the CS SERVICE NOTIFICATION upon successful completion of the tracking area updating procedure.

For the cases b, c, d, e, f with detach type "re-attach required" or "re-attach not required" with EMM cause other than #2 "IMSI unknown in HSS", k and ka, the UE shall stop any ongoing transmission of user data.

For the cases b, c, d, k, ka, l and la, the UE shall proceed as follows:

Timer T3430 shall be stopped if still running.

For the cases b, c, d, la k when the "Extended wait time" is ignored, and ka when the "Extended wait time CP data" is ignored, if the tracking area updating request is not for initiating a PDN connection for emergency bearer services, the tracking area updating attempt counter shall be incremented, unless it was already set to 5.

If the tracking area updating attempt counter is less than 5, the TAI of the current serving cell is included in the TAI list, the EPS update status is equal to EU1 UPDATED, the TIN does not indicate "P-TMSI" and the tracking area updating procedure is performed not due to an inter-system change from N1 mode to S1 mode and the tracking area updating procedure is not performed due to cases g, m, n, za, zc in clause 5.5.3.2.2:

the UE shall keep the EPS update status to EU1 UPDATED and enter state EMM-REGISTERED.NORMAL-SERVICE. The UE shall start timer T3411.

If in addition the TRACKING AREA UPDATE REQUEST indicated "periodic updating" or if tracking area updating procedure was initiated to recover NAS signalling connection due to "RRC Connection failure" from the lower layers, none of the other reasons for initiating the tracking area updating procedure listed in clause 5.5.3.2.2 was applicable, and the TRACKING AREA UPDATE REQUEST message did not include T3324 value IE, T3412 extended value IE or Extended DRX parameters IE, the timer T3411 may be stopped when the UE enters EMM-CONNECTED mode.

If timer T3411 expires the tracking area updating procedure is triggered again.

If the tracking area updating attempt counter is less than 5, and the TAI of the current serving cell is not included in the TAI list or the EPS update status is different to EU1 UPDATED or the TIN indicates "P-TMSI" or the tracking area updating procedure is performed due to an inter-system change from N1 mode to S1 mode or if the tracking area updating procedure is performed due to cases g, m, n, za, zc in clause 5.5.3.2.2:

- for the cases k and l, the tracking area updating procedure is started, if still necessary, when timer T3346 expires or is stopped.

- for the case ka, if timer T3346 is started, the tracking area updating procedure is started, if still necessary, when timer T3346 expires or is stopped.

- for the case ka, if timer T3448 is started and the "signalling active" flag is set in the TRACKING AREA UPDATE REQUEST message, the tracking area updating procedure is started, if still necessary, when timer T3448 expires or is stopped.

- for the case la, if the "signalling active" flag is set in the TRACKING AREA UPDATE REQUEST message, the tracking area updating procedure is started, if still necessary, when timer T3448 expires or is stopped.

- for the cases b, c, d, k when the "Extended wait time" is ignored, and ka when the "Extended wait time CP data" is ignored, if the tracking area updating request is not for initiating a PDN connection for emergency bearer services, the UE shall start timer T3411, shall set the EPS update status to EU2 NOT UPDATED and change to state EMM-REGISTERED.ATTEMPTING-TO-UPDATE. When timer T3411 expires the tracking area updating procedure is triggered again.

If A/Gb mode or Iu mode is supported by the UE, the UE shall in addition handle the GPRS update status as specified in 3GPP TS 24.008 [13] for the abnormal case when a normal or periodic routing area updating procedure fails and the routing area updating attempt counter is less than 5 and the GPRS update status is different from GU1 UPDATED.

If the UE is operating in single-registration mode, the UE shall in addition handle the 5GS update status as specified in 3GPP TS 24.501 [54] for the abnormal cases when a registration procedure for mobility and periodic registration fails and the registration attempt counter is less than 5 and the 5GS update status is different from 5U1 UPDATED.

If the tracking area updating attempt counter is equal to 5:

- the UE shall start timer T3402, shall set the EPS update status to EU2 NOT UPDATED;

- the UE shall delete the list of equivalent PLMNs and shall change to state EMM-REGISTERED.ATTEMPTING-TO-UPDATE or optionally to EMM-REGISTERED.PLMN-SEARCH in order to perform a PLMN selection according to 3GPP TS 23.122 [6]; and

- if A/Gb mode, Iu mode or N1 mode is supported by the UE:

- if A/Gb mode or Iu mode is supported by the UE, the UE shall in addition handle the GPRS update status as specified in 3GPP TS 24.008 [13] for the abnormal case when a normal or periodic routing area updating procedure fails and the routing area updating attempt counter is equal to 5;

- if the UE is operating in single-registration mode, the UE shall in addition handle the 5GS update status as specified in 3GPP TS 24.501 [54] for the abnormal case when a registration procedure for mobility or periodic registration update performed over 3GPP access fails and the registration attempt counter is equal to 5; and

- if the UE does not change to state EMM-REGISTERED.PLMN-SEARCH, the UE shall

- if at least 3 times the attempt counter was incremented due to case b) the UE shall request RRC to treat the active cell as barred (see 3GPP TS 36.304 [21]); and attempt to select GERAN, UTRAN or NG-RAN radio access technology. if the UE attempts to select GERAN or UTRAN radio access technology, shall

If a GERAN or UTRAN cell is selected:

- a UE in PS mode 1 or PS mode 2 of operation shall proceed with appropriate GMM specific procedures;

- a UE in CS/PS mode 1 or CS/PS mode 2 of operation shall proceed with appropriate MM or GMM specific procedures.

If an NG-RAN cell is selected, the UE shall proceed with appropriate 5GMM specific procedures.