**3GPP TSG-CT WG1 Meeting #128bis-eC1-210773**

**Electronic meeting, 25 February – 5 March 2021**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **24.501** | **CR** | **2494** | **rev** | **5** | **Current version:** | **17.1.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network |  | Core Network |  |

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| ***Title:*** | Correct behavior for 5GSM failure during transfer of existing emergency PDU session | | | | | | | | | |
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| ***Source to WG:*** | BlackBerry UK Ltd. | | | | | | | | | |
| ***Source to TSG:*** | C1 | | | | | | | | | |
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| ***Work item code:*** | 5GProtoc17 | | | | |  | ***Date:*** | | | 2021-02-04 |
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| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17)* | |
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| ***Reason for change:*** | | A network may reject a request to transfer an emergency session.  The currently specified behavior allows the UE:  - to automatically terminate the emergency session and initiate another emergency call attempt even if the emergency session was active when the transfer request was rejected:  A newly initiated emergency call need not be routed to the same PSAP or to the same PSAP call taker. This will cause delay as the user will have to explain the emergency again.  More importantly, there are no requirements for the UE to re-establish an ongoing emergency call when a failure is indicated by the network.  - Finally, the 5GSM layer does not know if the user has terminated the emergency call normally, prior to transfer (and the emergency PDU session is no longer needed).  - to automatically perform emergency registration.  A UE that is emergency registered cannot receive a PSAP callback. There is no need to prevent the user from receiving a PSAP callback. A voice capable UE should attempt to be available for voice calls or retry the transfer. | | | | | | | | |
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| ***Summary of change:*** | | Correct NOTE:  - prevent a UE from automatically terminating an active emergency session and automatically initiating another emergency call attempt.  Correct BEHAVIOR:  - prevent a UE from being unavailable for PSAP callback if a transfer of an emergency call was rejected.  - forbid a UE from locally releasing the bearer related to a PDN connection for emergency bearer services when it fails to transfer. | | | | | | | | |
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| ***Consequences if not approved:*** | | UE permitted to terminate an active emergency session, without user consent.  The UE is unavailable for PSAP call back (following emergency transfer failure) due to being emergency registered. | | | | | | | | |
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| ***Clauses affected:*** | | 6.4.1.4.1, 6.4.1.6 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | Made note 2 positive (Ivo)  Bullet b) reworded (Osama)  Added same changes to 6.4.1.6 (inspired by Sung)  Addressed concerns by Roland | | | | | | | | |

\*\*\* First change \*\*\*

#### 6.4.1.4 UE requested PDU session establishment procedure not accepted by the network

##### 6.4.1.4.1 General

If the connectivity with the requested DN is rejected by the network, the SMF shall create a PDU SESSION ESTABLISHMENT REJECT message.

The SMF shall set the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message to indicate the reason for rejecting the PDU session establishment.

The 5GSM cause IE typically indicates one of the following SM cause values:

#8 operator determined barring;

#26 insufficient resources;

#27 missing or unknown DNN;

#28 unknown PDU session type;

#29 user authentication or authorization failed;

#31 request rejected, unspecified;

#32 service option not supported;

#33 requested service option not subscribed;

#35 PTI already in use;

#38 network failure;

#39 reactivation requested;

#46 out of LADN service area;

#50 PDU session type IPv4 only allowed;

#51 PDU session type IPv6 only allowed;

#54 PDU session does not exist;

#57: PDU session type IPv4v6 only allowed;

#58: PDU session type Unstructured only allowed;

#61: PDU session type Ethernet only allowed;

#67 insufficient resources for specific slice and DNN;

#68 not supported SSC mode;

#69 insufficient resources for specific slice;

#70 missing or unknown DNN in a slice;

#82 maximum data rate per UE for user-plane integrity protection is too low; or

#95 – 111 protocol errors.

If the PDU SESSION ESTABLISHMENT REQUEST message includes a PDU session type IE set to "IPv6", and the subscription, the SMF configuration, or both, are limited to IPv4 only for the requested DNN, the SMF shall include the 5GSM cause value #50 "PDU session type IPv4 only allowed" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message includes a PDU session type IE set to "IPv6", and the subscription, the SMF configuration, or both, support none of "IPv4" and "IPv6" PDU session types for the requested DNN, the SMF shall include the 5GSM cause value #28 "unknown PDU session type" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message includes a PDU session type IE set to "IPv4", and the subscription, the SMF configuration, or both, are limited to IPv6 only for the requested DNN, the SMF shall include the 5GSM cause value #51 "PDU session type IPv6 only allowed" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message includes a PDU session type IE set to "IPv4", and the subscription, the SMF configuration, or both, support none of "IPv4" and "IPv6" PDU session types for the requested DNN, the SMF shall include the 5GSM cause value #28 "unknown PDU session type" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message includes a PDU session type IE set to "IPv4v6", and the subscription, the SMF configuration, or both, support none of "IPv4v6", "IPv4" and "IPv6" PDU session types for the requested DNN, the SMF shall include the 5GSM cause value #28 "unknown PDU session type" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message includes a PDU session type IE set to "Unstructured" or "Ethernet", and the subscription, the SMF configuration, or both, do not support the PDU session type for the requested DNN, the SMF shall include the 5GSM cause value #28 "unknown PDU session type" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message is to establish an MA PDU session and includes a PDU session type IE set to "Unstructured", and the SMF configuration does not support the PDU session type, the SMF shall include the 5GSM cause value #28 "unknown PDU session type" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message contains the SSC mode IE indicating an SSC mode not supported by the subscription, the SMF configuration, or both of them, and the SMF decides to rejects the PDU session establishment, the SMF shall include the 5GSM cause value #68 "not supported SSC mode" in the 5GSM cause IE and the SSC modes allowed by SMF in the Allowed SSC mode IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message is to establish an MA PDU session and MA PDU session is not allowed due to operator policy and subscription, and the SMF decides to reject the PDU session establishment, the SMF shall include the 5GSM cause value #33 "requested service option not subscribed" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

In 3GPP access, if the operator's configuration requires user-plane integrity protection for the PDU session and, the maximum data rate per UE for user-plane integrity protection supported by the UE for uplink or the maximum data rate per UE for user-plane integrity protection supported by the UE for downlink, or both, are lower than required by the operator's configuration, the SMF shall include the 5GSM cause value #82 "maximum data rate per UE for user-plane integrity protection is too low" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the UE requests a PDU session establishment for an LADN when the UE is located outside of the LADN service area, the SMF shall include the 5GSM cause value #46 "out of LADN service area" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the DN authentication of the UE was performed and completed unsuccessfully, the SMF shall include the 5GSM cause value #29 "user authentication or authorization failed" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message and shall set the EAP message IE of the PDU SESSION ESTABLISHMENT REJECT message to an EAP-failure message as specified in IETF RFC 3748 [34], provided by the DN.

Based on the local policy and user's subscription data, if a PDU session is being established with the request type set to "existing PDU session" and the SMF determines the UE has:

a) moved between a tracking area in NB-N1 mode and a tracking area in WB-N1 mode;

b) moved between a tracking area in NB-S1 mode and a tracking area in WB-N1 mode; or

c) moved between a tracking area in WB-S1 mode and a tracking area in NB-N1 mode,

the SMF may reject the PDU SESSION ESTABLISHMENT REQUEST message and:

a) include the 5GSM cause value #39 "reactivation requested" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message; or

b) include a 5GSM cause value other than #39 "reactivation requested" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

NOTE 1: The included 5GSM cause value is up to the network implementation.

If the PDU session cannot be established due to resource unavailability in the UPF, the SMF shall include the 5GSM cause value #26 "insufficient resources" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

The network may include a Back-off timer value IE in the PDU SESSION ESTABLISHMENT REJECT message.

If the 5GSM cause value is #26 "insufficient resources", #67 "insufficient resources for specific slice and DNN", or #69 "insufficient resources for specific slice" and the PDU SESSION ESTABLISHMENT REQUEST message was received from a UE configured for high priority access in selected PLMN or the request type provided during the PDU session establishment is set to "initial emergency request" or "existing emergency PDU session", the network shall not include a Back-off timer value IE.

If the 5GSM cause value is #29 "user authentication or authorization failed ", the network should include a Back-off timer value IE.

If the Back-off timer value IE is included and the 5GSM cause value is different from #26 "insufficient resources", #28 "unknown PDU session type", #46 "out of LADN service area", "#50 "PDU session type IPv4 only allowed", #51 "PDU session type IPv6 only allowed", #54 "PDU session does not exist", #57 "PDU session type IPv4v6 only allowed", #58 "PDU session type Unstructured only allowed", #61 "PDU session type Ethernet only allowed", #67 "insufficient resources for specific slice and DNN", #68 "not supported SSC mode", and #69 "insufficient resources for specific slice", the network may include the Re-attempt indicator IE to indicate whether the UE is allowed to attempt a PDN connectivity procedure in the PLMN for the same DNN in S1 mode, and whether another attempt in S1 mode or in N1 mode is allowed in an equivalent PLMN.

If the 5GSM cause value is #50 "PDU session type IPv4 only allowed", #51 "PDU session type IPv6 only allowed", #57 "PDU session type IPv4v6 only allowed", #58 "PDU session type Unstructured only allowed", or #61 "PDU session type Ethernet only allowed", the network may include the Re-attempt indicator IE without Back-off timer value IE to indicate whether the UE is allowed to attempt a PDU session establishment procedure in an equivalent PLMN in N1 mode using the same PDU session type for the same DNN (or no DNN, if no DNN was indicated by the UE) and the same S-NSSAI (or no S-NSSAI, if no S-NSSAI was indicated by the UE).

The SMF shall send the SM PDU SESSION ESTABLISHMENT REJECT message.

Upon receipt of a PDU SESSION ESTABLISHMENT REJECT message and a PDU session ID, using the NAS transport procedure as specified in subclause 5.4.5, the UE shall stop timer T3580 shall release the allocated PTI value and shall consider that the PDU session was not established.

If the PDU SESSION ESTABLISHMENT REQUEST message was sent with request type set to "initial emergency request" or "existing emergency PDU session" and the UE receives a PDU SESSION ESTABLISHMENT REJECT message, then the UE may:

a) inform the upper layers of the failure of the procedure; or

NOTE 2: If the PDU SESSION ESTABLISHMENT REQUEST message was sent with request type set to "initial emergency request", this can result in the upper layers requesting another emergency call attempt using domain selection as specified in 3GPP TS 23.167 [6].

b) if not de-registered already, de-register locally. And if the PDU SESSION ESTABLISHMENT REQUEST message was sent with request type set to "initial emergency request", attempt initial registration for emergency services.

If the UE receives a PDU SESSION ESTABLISHMENT REJECT message due to an attempt to transfer a PDN connection for emergency bearer services using a PDU SESSION ESTABLISHMENT REQUEST message with request type set to "existing emergency PDU session", the UE shall not locally release the radio bearer associated with the PDN connection for emergency bearer services. The UE may attempt to transfer the PDN connection to another PLMN (see subclause 4.8).

NOTE 3: If the transfer attempt is due to an inter-system change from S1 mode to N1 mode, the other PLMN is a PLMN for which the "interworking without N26 interface supported" indication is valid (see 3GPP TS 23.501 [8]).

If the PDU SESSION ESTABLISHMENT REJECT message includes 5GSM cause #39 "reactivation requested" and the PDU session is being transferred from EPS to 5GS and established with the request type set to "existing PDU session", the UE should re-initiate the UE-requested PDU session establishment procedure as specified in subclause 6.4.1 for:

a) the PDU session type associated with the transferred PDU session;

b) the SSC mode associated with the transferred PDU session;

c) the DNN associated with the transferred PDU session; and

d) the S-NSSAI associated with (if available in roaming scenarios) a mapped S-NSSAI if provided in the UE-requested PDU session establishment procedure of the transferred PDU session.

\*\*\* Next change \*\*\*

#### 6.4.1.6 Abnormal cases in the UE

The following abnormal cases can be identified:

a) Expiry of timer T3580

The UE shall, on the first expiry of the timer T3580:

- if the PDU SESSION ESTABLISHMENT REQUEST message was sent with request type set to "initial emergency request" or "existing emergency PDU session", then the UE may:

a) inform the upper layers of the failure of the procedure; or

NOTE 1: If the PDU SESSION ESTABLISHMENT REQUEST message was sent with request type set to "initial emergency request", this can result in the upper layers requesting another emergency call attempt using domain selection as specified in 3GPP TS 23.167 [6].

b) if not de-registered already, de-register locally. And if the PDU SESSION ESTABLISHMENT REQUEST message was sent with request type set to "initial emergency request", attempt initial registration for emergency services.

- if the PDU SESSION ESTABLISHMENT REQUEST message was sent with request type set to "existing emergency PDU session" and due to an attempt to transfer a PDN connection for emergency bearer services, the UE shall not locally release the radio bearer associated with the PDN connection for emergency bearer services. The UE may attempt to transfer the PDN connection to another PLMN (see subclause 4.8).

NOTE 2: If the transfer attempt is due to an inter-system change from S1 mode to N1 mode, the other PLMN is a PLMN for which the "interworking without N26 interface supported" indication is valid (see 3GPP TS 23.501 [8])

- otherwise, retransmit the PDU SESSION ESTABLISHMENT REQUEST message and the PDU session information which was transported together with the initial transmission of the PDU SESSION ESTABLISHMENT REQUEST message and shall reset and start timer T3580, if still needed. This retransmission can be repeated up to four times, i.e. on the fifth expiry of timer T3580, the UE shall abort the procedure, release the allocated PTI and enter the state PROCEDURE TRANSACTION INACTIVE.

b) Upon receiving an indication that the 5GSM message was not forwarded due to routing failure along with a PDU SESSION ESTABLISHMENT REQUEST message with the PDU session ID IE set to the same value as the PDU session ID that was sent by the UE, the UE shall stop timer T3580 and shall abort the procedure. If the UE sent the PDU SESSION ESTABLISHMENT REQUEST message in order for the handover of an existing non-emergency PDU session between 3GPP access and non-3GPP access, the UE shall consider that the PDU session is associated with the source access type.

b1) Upon receiving an indication that the 5GSM message was not forwarded due to service area restrictions along with a PDU SESSION ESTABLISHMENT REQUEST message with the PDU session ID IE set to the same value as the PDU session ID that was sent by the UE, the UE shall stop timer T3580 and shall abort the procedure.

c) Collision of UE-requested PDU session establishment procedure and network-requested PDU session release procedure.

If the UE receives a PDU SESSION RELEASE COMMAND message after sending a PDU SESSION ESTABLISHMENT REQUEST message to the network, and the PDU session ID in the PDU SESSION RELEASE COMMAND message is the same as the PDU session ID in the PDU SESSION ESTABLISHMENT REQUEST message, the UE shall ignore the PDU SESSION RELEASE COMMAND message and proceed with the UE-requested PDU session establishment procedure.

d) Inter-system change from N1 mode to S1 mode triggered during UE-requested PDU session establishment procedure.

If the UE-requested PDU session establishment procedure is triggered for handover of an existing PDU session from non-3GPP access to 3GPP access, and the inter-system change from N1 mode to S1 mode is triggered by the NG-RAN and the UE did not receive response to PDU session establishment request, then the UE shall abort the procedure, stop timer T3580, and notify the upper layer of the handover failure.

NOTE 3: This can result in the upper layer requesting re-initiation of handover from non-3GPP access to 3GPP access after the inter-system change is completed, if still required.

e) For an MA PDU session established on a single access, upon receipt of a PDU SESSION ESTABLISHMENT ACCEPT message over the other access, if any value of the selected PDU session type, selected SSC mode, 5GSM cause, PDU address, S-NSSAI, DNN IEs in the PDU SESSION ESTABLISHMENT ACCEPT message is different from the corresponding stored value, the UE shall perform a local release of the MA PDU session, and perform the registration procedure for mobility and periodic registration update with a REGISTRATION REQUEST message including the PDU session status IE over both accesses.