**3GPP TSG-CT WG1 Meeting #122-eC1-200792**

**Electronic meeting, 20-28 February 2020 *revision of C1-200435***

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **24.501** | **CR** | **1917** | **rev** | **1** | **Current version:** | **16.3.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network |  | Core Network |  |

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| ***Title:*** | UE behaviour when T3447 running | | | | | | | | | |
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| ***Source to WG:*** | ZTE | | | | | | | | | |
| ***Source to TSG:*** | C1 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | 5G\_CIoT | | | | |  | ***Date:*** | | | 2020-02-20 |
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| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
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| ***Reason for change:*** | | The request type is not provided along 5GSM messages other than the PDU SESSION ESTABLISHMENT REQUEST message and the PDU SESSION MODIFICATION REQUEST message.  Additionally if the UE-requested PDU session modification is initiated to indicate a change of 3GPP PS data off UE status associated to a PDU session, then the request type is not included.  If the Request type is not included for the N1 SM, this message can be considered as exempted from NAS congestion control.  In this case, then it is reasonable for the UE to ignore the T3447 timer and send the NAS message.  The UE is allowed to trigger the UE-requested PDU session modification procedure for an emergency PDU session to report the error cases. In this case, the UE will set the Request type to “modification request”. So in this case, the UE should be allowed to send the NAS message if the T3447 timer is running.  It has been agreed that the UE is allowed to send the SR for the elevated signalling when the T3346 is running.  However it is unclear whether the UE is allowed to send the SR when the timer T3447 is running. | | | | | | | | |
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| ***Summary of change:*** | | The UE is allowed to trigger the PDU session modification procedure for the emergency service or the PS data off report if the T3447 timer is running in the connected mode.  The UE is also allowed to send the SR for the elevated signalling when T3447 timer is running. | | | | | | | | |
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| ***Consequences if not approved:*** | | The UE is not allowed to indicate the change of the PS data off if the T3447 timer is running.  This would delay the reporting of PS data off change. | | | | | | | | |
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| ***Clauses affected:*** | | 5.4.5.2.6, 5.6.1.7 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

\*\*\*\*\* First change \*\*\*\*\*

##### 5.4.5.2.6 Abnormal cases in the UE

The following abnormal cases can be identified:

a) The lower layers indicate that the access attempt is barred.

The UE shall not start the UE-initiated NAS transport procedure. The UE stays in the current serving cell and applies the normal cell reselection process.

If the access category for the access attempt is 6 due to a request from upper layers to send a mobile originated SMS over NAS and the UE is registered to the network via both 3GPP access and non-3GPP access, the UE may transmit the UL NAS TRANSPORT message via non-3GPP access, if available.

Otherwise, the UE-initiated NAS transport procedure is started, if still needed, when the lower layers indicate that the barring is alleviated for the access category with which the access attempt was associated.

aa) The lower layers indicate that access barring is applicable for all access categories except categories 0 and 2 and the access category with which the access attempt was associated is other than 0 and 2.

The UE shall proceed as specified for case a. For additional UE requirements see subclause 4.5.5.

b) If the Payload container type IE is set to "N1 SM information", the Request type IE is set to "initial request" or "MA PDU request" and registration procedure for mobility and periodic registration update is pending due to receipt by the UE of new network slicing information via the generic UE configuration update procedure with re-registration request; and an emergency PDU session exists then:

1) The UE shall not send the UL NAS TRANSPORT message; and

2) The UL NAS TRANSPORT message can be sent, if still necessary, after a successful procedure for mobility and periodic registration update.

c) Transmission failure of the UL NAS TRANSPORT message with TAI change from lower layers.

If the current TAI is not in the TAI list, the UE-initiated NAS transport procedure shall be aborted and a registration procedure for mobility and periodic registration update shall be initiated. The UL NAS TRANSPORT message can be sent, if still necessary, after a successful procedure for mobility and periodic registration update.

If the current TAI is still part of the TAI list, it is up to the UE implementation how to re-run the ongoing procedure that triggered the UE-initiated NAS transport procedure.

d) Transmission failure of the UL NAS TRANSPORT message indication without TAI change from lower layers.

It is up to the UE implementation how to re-run the ongoing procedure that triggered the UE-initiated NAS transport procedure.

e) Void.

f) Timer T3447 is running.

The UE shall not send the UL NAS TRANSPORT message unless:

1) the Payload container type IE is set to "N1 SM information" and:

i) the Request type IE is set to:

A) "initial emergency request";

B) "existing emergency PDU session"; or

C) "modification request" and the PDU session being modified is an emergency PDU session (see error cases described in subclause 6.4.1.3 and subclause 6.3.2.3); or

ii) the Request type IE is not included and the PDU session modification procedure is used to indicate a change of 3GPP PS data off UE status for a PDU session;

2) the UE is a UE configured for high priority access in selected PLMN;

3) a paging request triggered the establishment of the current NAS signalling connection; or

4) the UE in 5GMM-CONNECTED mode receives mobile terminated signaling or downlink data over the user-plane.

The UL NAS TRANSPORT message can be sent, if still necessary, when timer T3447 expires.

g) The lower layers indicate that the RRC connection has been suspended.

The UE shall abort the UE-initiated NAS transport procedure.

h) Timer T3346 is running.

The UE shall not send the UL NAS TRANSPORT message unless:

1) the Payload container type IE is set to "N1 SM information" and the Request type IE is set to:

i) "initial emergency request";

ii) "existing emergency PDU session"; or

iii) "modification request" and the PDU session being modified is an emergency PDU session; or

2) the UE is a UE configured for high priority access in selected PLMN.

The UL NAS TRANSPORT message can be sent, if still necessary, when timer T3346 expires.

\*\*\*\*\* Next change \*\*\*\*\*

#### 5.6.1.7 Abnormal cases in the UE

The following abnormal cases can be identified:

a) T3517 expired.

The UE shall enter the state 5GMM-REGISTERED.

If the UE triggered the service request procedure in 5GMM-IDLE mode and the service type of the SERVICE REQUEST message was not set to "emergency services fallback", then the 5GMM sublayer shall increment the service request attempt counter, abort the procedure and release locally any resources allocated for the service request procedure. The service request attempt counter shall not be incremented, if:

1) the service request procedure is initiated to establish an emergency PDU session;

2) the UE has an emergency PDU session established;

3) the UE is a UE configured for high priority access in selected PLMN; or

4) the service request is initiated in response to paging or notification from the network.

If the service request attempt counter is greater than or equal to 5, the UE shall start timer T3525. Additionally, if the service request was initiated for an MO MMTEL voice call or for an MO IMS registration related signalling, a notification that the service request was not accepted due to the UE having started timer T3525 shall be provided to the upper layers.

NOTE 1: This can result in the upper layers requesting implementation specific mechanisms, e.g. the MMTEL voice call being attempted to another IP-CAN, or establishment of a CS voice call (if supported and not already attempted in the CS domain).

The UE shall not attempt service request until expiry of timer T3525 unless:

1) the service request is initiated in response to paging or notification from the network;

2) the UE is a UE configured for high priority access in selected PLMN;

3) the service request is initiated to establish an emergency PDU session;

4) the UE has an emergency PDU session established;

5) the service request is initiated for emergency services fallback; or

6) the UE is registered in a new PLMN.

NOTE 2: The NAS signalling connection can also be released if the UE deems that the network has failed the authentication check as specified in subclause 5.4.1.3.7.

If the UE triggered the service request procedure in 5MM-CONNECTED mode and the service type of the SERVICE REQUEST message was not set to "emergency services fallback", the 5GMM sublayer shall abort the procedure, and stay in 5GMM-CONNECTED mode.

If the service type of the SERVICE REQUEST message was set to "emergency services fallback" and:

1) the service request procedure was triggered in 5GMM-IDLE mode, the 5GMM sublayer shall abort the procedure, release locally any resources allocated for the service request procedure, and inform the upper layers of the failure of the service request procedure (see 3GPP TS 24.229 [14]); or

2) the service request procedure was triggered in 5GMM-CONNECTED mode, the 5GMM sublayer shall abort the procedure, stay in 5GMM-CONNECTED mode, and inform the upper layers of the failure of the service request procedure (see 3GPP TS 24.229 [14]).

b) The lower layers indicate that the access attempt is barred.

The UE shall not start the service request procedure. The UE stays in the current serving cell and applies the normal cell reselection process. Receipt of the access barred indication shall not trigger the selection of a different core network type (EPC or 5GCN).

The service request procedure is started, if still needed, when the lower layers indicate that the barring is alleviated for the access category with which the access attempt was associated.

ba) The lower layers indicate that access barring is applicable for all access categories except categories 0 and 2 and the access category with which the access attempt was associated is other than 0 and 2.

If the SERVICE REQUEST message has not been sent, the UE shall proceed as specified for case b.

If the SERVICE REQUEST message has been sent:

1) the UE shall abort the service request procedure and stop timer T3517. The UE stays in the current serving cell and applies the normal cell reselection process; and

2) the service request procedure is started, if still needed, when the lower layers indicate that the barring is alleviated for the access category with which the access attempt was associated.

For additional UE requirements for both cases see subclause 4.5.5.

c) Timer T3346 is running.

The UE shall not start the service request procedure unless:

1) the UE receives a paging;

2) the UE receives a NOTIFICATION message over non-3GPP access when the UE is in 5GMM-CONNECTED mode over non-3GPP access and in 5GMM-IDLE mode over 3GPP access;

3) the UE receives a NOTIFICATION message over 3GPP access when the UE is in 5GMM-CONNECTED mode over 3GPP access and in 5GMM-IDLE mode over non-3GPP access;

4) the UE is a UE configured for high priority access in selected PLMN;

5) the UE has an emergency PDU session established or is establishing an emergency PDU session; or

6) the service request is initiated for emergency services fallback.

If the UE is in 5GMM-IDLE mode, the UE stays in the current serving cell and applies normal cell reselection process. The service request procedure is started, if still necessary, when timer T3346 expires or is stopped.

If the service request procedure was triggered for an MO MMTEL voice call (i.e. access category 4) or for an MO IMS registration related signalling (i.e. access category 9), a notification that the service request procedure was not initiated due to congestion shall be provided to the upper layers.

d) Registration procedure for mobility and periodic registration update is triggered.

The UE shall abort the service request procedure, stop timer T3517, if running and perform the registration procedure for mobility and periodic registration update. The Follow-on request indicator shall be set to "Follow-on request pending" in the REGISTRATION REQUEST message.

e) Switch off.

If the UE is in state 5GMM-SERVICE-REQUEST-INITIATED at switch off, the de-registration procedure shall be performed.

f) De-registration procedure collision.

If the UE receives a DEREGISTRATION REQUEST message from the network in state 5GMM-SERVICE-REQUEST-INITIATED, the UE shall progress the DEREGISTRATION REQUEST message and the service request procedure shall be aborted.

NOTE 3: The above collision case is valid if the DEREGISTRATION REQUEST message indicates the access type over which the service request procedure is attempted otherwise both the procedures are progressed.

g) Transmission failure of SERVICE REQUEST message indication with TAI change from lower layers.

If the current TAI is not in the TAI list, UE shall abort the service request procedure to perform the registration procedure for mobility and periodic registration update and shall include Uplink data status IE in the REGISTRATION REQUEST message. If the current TAI is part of the TAI list, the UE shall restart the service request procedure.

h) Transmission failure of SERVICE REQUEST message indication without TAI change from lower layers.

The UE shall restart the service request procedure.

i) SERVICE REJECT message received with other 5GMM cause values than those treated in subclause 5.6.1.5, and cases of 5GMM cause values #11, #22, #72, #73, #74, #75, #76 and #77 that are considered as abnormal cases according to subclause 5.6.1.5.

The UE shall enter state 5GMM-REGISTERED.

The UE shall abort the service request procedure, stop timer T3517 and locally release any resources allocated for the service request procedure.

j) The UE in 5GMM-CONNECTED mode with RRC inactive indication over the 3GPP access, and in 5GMM-CONNECTED mode over the non-3GPP access, receives a NOTIFICATION message over the non-3GPP access with access type indicating 3GPP access.

The UE shall transition from 5GMM-CONNECTED mode with RRC inactive indication to 5GMM-IDLE mode over 3GPP access and initiate the service request procedure over the 3GPP access.

k) Timer T3447 is running

The UE shall not start any service request procedure unless:

1) the UE in 5GMM-IDLE receives a paging request;

2) the UE is a UE configured for high priority access;

3) the UE has a PDU session for emergency services established or is establishing a PDU session for emergency services;

4) the service request is initiated for emergency services fallback;

5) the UE in 5GMM-CONNECTED mode receives mobile terminated signaling or downlink data over the user-plane; or

6) the service request procedure is initiated for elevated signalling.

The UE stays in the current serving cell and applies the normal cell reselection process. The service request procedure is started, if still necessary, when timer T3447 expires.

l) Lower layer failure, release of the N1 signalling connection received from lower layers or the lower layers indicate that the RRC connection has been suspended before the service request procedure is completed or SERVICE REJECT message is received.

The UE shall abort the service request procedure, stop timer T3517, locally release any resources allocated for the service request procedure and enters state 5GMM-REGISTERED.

m) Timer T3448 is running

The UE in 5GMM-IDLE mode shall not initiate the service request procedure for transport of user data via the control plane unless:

1) the UE is a UE configured for high priority access in selected PLMN;

2) the UE which is only using 5GS services with control plane CIoT 5GS optimization received a paging request; or

3) the UE in NB-N1 mode is requested by the upper layer to transmit user data related to an exceptional event and the UE is allowed to use exception data reporting (see the ExceptionDataReportingAllowed leaf of the NAS configuration MO in 3GPP TS 24.368 [17] or the USIM file EFNASCONFIG in 3GPP TS 31.102 [22]).

The UE stays in the current serving cell and applies the normal cell reselection process. The service request procedure is started, if still necessary, when timer T3448 expires.

\*\*\*\*\* End of changes \*\*\*\*\*