3GPP TSG CN Plenary Meeting #13 Beijing, China, 19^{th –}21st September 2001

Source: CN5 (OSA)

Title: CRs 29.198-03 Rel-4

Agenda item: 8.5

Document for: Approval

Doc-	Doc-	Spec	CR	Rev	Phas	Subject	Cat	Version	Version	Meeting	Workite
1st- Level	2nd- Level				е			-Current	-New	-2nd- Level	m
NP-	N5-	29.198-	002		Rel-4	Changing references to IAINI	F	4.1.0	4.2.0	N5-12	OSA1
	010676		002		Rei-4	Changing references to JAIN	-	4.1.0	4.2.0	N9-12	USAT
NP-	N5-	29.198-	UU3		Rel-4	Update to the definitions of method	F	4.1.0	4.2.0	N5-12	OSA1
	010534		003		1101-4	svcUnavailableInd	'	4.1.0	4.2.0	143-12	OSAI
NP-	N5-	29.198-	004		Rel-4	Only one subject per method invocation for	F	4.1.0	4.2.0	N5-12	OSA1
	010537		00.		1101 1	fault and load management	ľ	1.1.0	1.2.0	110 12	00/11
NP-	N5-	29.198-	005		Rel-4	Fault management is missing some *Err	F	4.1.0	4.2.0	N5-12	OSA1
010466	010538	03				methods					
NP-	N5-	29.198-	006		Rel-4	Method balance on Fault management	F	4.1.0	4.2.0	N5-12	OSA1
	010539					interfaces					
NP-	N5-	29.198-	007		Rel-4	Change "TpString" into "TpOctetSets" in	F	4.1.0	4.2.0	N5-12	OSA1
	010673					authentication and access	_				
NP-	N5-	29.198-	800		Rel-4	Replacement of	F	4.1.0	4.2.0	N5-12	OSA1
010466 NP-	010686 N5-	29.198-	000		Rel-4	register/unregisterLoadController Redundant Framework Heartbeat	F	4.1.0	4.2.0	N5-12	OSA1
	010688		009		Rei-4	Mechanism	F	4.1.0	4.2.0	N5-12	USAT
NP-	N5-	29.198-	010		Rel-4	Add a releaseInterface() method to	F	4.1.0	4.2.0	N5-12	OSA1
	010689		0.0		1101 1	IpAccess	ľ	1.1.0	1.2.0	110 12	00/11
NP-	N5-	29.198-	011		Rel-4	Removal of serviceID from	F	4.1.0	4.2.0	N5-12	OSA1
010466	010691	03				queryAppLoadReq()					
NP-	N5-	29.198-	012		Rel-4	Addition of listInterfaces() method	F	4.1.0	4.2.0	N5-12	OSA1
	010695										
NP-	N5-	29.198-	013		Rel-4	Introduction and use of new Service	F	4.1.0	4.2.0	N5-12	OSA1
	010697					Instance ID	_				
NP-	N5-	29.198-	014		Rel-4	P_UNAUTHORISED_PARAMETER_VALU	F	4.1.0	4.2.0	N5-12	OSA1
010466	010699	03				E thrown if non-accessible serviceID is provided					
NP-	N5-	29.198-	015		Rel-4	Introduction of Service Instance Lifecycle	F	4.1.0	4.2.0	N5-12	OSA1
	010703		010		I CI T	Management Management	'	4.1.0	7.2.0	140-12	COAT
NP-	N5-	29.198-	016		Rel-4	Add support for multi-vendorship	F	4.1.0	4.2.0	N5-12	OSA1
010466	010708	03						-	-		
NP-	N5-	29.198-	017		Rel-4	Removal of P_SERVICE_ACCESS_TYPE	F	4.1.0	4.2.0	N5-12	OSA1
	010712										
NP-	N5-	29.198-	018		Rel-4	Confusing meaning of prescribedMethod	F	4.1.0	4.2.0	N5-12	OSA1
	010713										
NP-	N5-	29.198-	019		Rel-4	A client should only have one instance of a	F	4.1.0	4.2.0	N5-12	OSA1
	010714		000		D-L4	given service	_	4.4.0	4.0.0	NE 40	0014
NP-	N5- 010715		020		Rel-4	Some methods on the IpApp interfaces should throw exceptions	F	4.1.0	4.2.0	N5-12	OSA1

N5-010676

CHANGE REQUEST												rm-v4			
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Other sp			ж <mark>х</mark>	Τe	ther core specest specification &M Specification	ns	ons	ж	All	othe	er parts of TS	29.19	98 Rel-4	-	
Other co	mments	S.:	¥												

How to create CRs using this form:

- Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

1 Scope

The present document is Part 3 of the Stage 3 specification for an Application Programming Interface (API) for Open Service Access (OSA).

The OSA specifications define an architecture that enables application developers to make use of network functionality through an open standardised interface, i.e. the OSA APIs. The concepts and the functional architecture for the OSA are contained in 3GPP TS 23.127 [3]. The requirements for OSA are contained in 3GPP TS 22.127 [2].

The present document specifies the Framework aspects of the interface. All aspects of the Framework are defined in the present document, these being:

- Sequence Diagrams;
- Class Diagrams;
- Interface specification plus detailed method descriptions;
- State Transition diagrams;
- Data definitions;
- IDL Description of the interfaces.

The process by which this task is accomplished is through the use of object modelling techniques described by the Unified Modelling Language (UML).

This specification has been defined jointly between 3GPP TSG CN WG5, ETSI SPAN 12 and the Parlay Consortium, in co-operation with a number of JAINTM Community member companies the JAIN consortium.

3GPP TSG_CN5 (Open Service Access – OSA) Meeting #12, Sophia Antipolis, FRANCE, 16 – 19 July 2001

	CHANGE REQUEST									
¥ 29	9.198-03 CR 003 # ev -	# Current version: 4.1.0 #								
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Proposed change affects: # (U)SIM ME/UE Radio Access Network Core Network X										
Title:	Update to the definitions of method svcUnava	ailableInd								
Source: #	CN5									
Work item code: ₩	OSA1	Date: 30/08/2001								
Category: 第	Use one of the following categories: F (correction) A (corresponds to a correction in an earlier re B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900.	Release: # REL-4 Use one of the following releases: 2 (GSM Phase 2) lease) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) REL-4 (Release 4) REL-5 (Release 5)								
Reason for change	Reason for change: The descriptions of the svcUnavailableInd method contain inconsistent use of the terms service and service instance when referring to the service instance that becomes unavailable. Within the method description, both terms are used interchangeably as if they were the same thing. In the context of the method, it is understood that the method is meant to refer to an instance of a service, rather than a service. Therefore, some rewording is required to rectify this inconsistency.									
Summary of chang	in the supporting interfaces (IpAppFaultI consistent use of the terms, and therefore descriptions of the methods in each of the	in the supporting interfaces (IpAppFaultManager and IpFaultManager) lack consistent use of the terms, and therefore should be corrected. Within the descriptions of the methods in each of the interfaces, Lucent proposes to change the word service to service instance where the service in question is meant to be								
Consequences if not approved:	terms service and service instance. 29.198-3 will be ambiguous and difficult to be jeopardised.	29.198-3 will be ambiguous and difficult to implement correctly – interworking will								
	Failure to adopt this CR would result in di specification and the ETSI/Parlay specific									
Clauses affected:	第 8.3.1, 8.3.2									
Other specs affected:	Other core specifications Test specifications O&M Specifications									
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How to create CRs using this form:

- 1) Fill out the above form. The symbols above marked **#** contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

Resultant changes

8.3.1 Interface Class IpAppFaultManager

Method

svcUnavailableInd()

The framework invokes this method to inform the client application that it can no longer use <u>its instance of</u> the indicated service. On receipt of this request, the client application must act to reset its use of the specified service (using the normal mechanisms, such as the discovery and authentication interfaces, to stop use of this service instance and begin use of a different service instance).

8.3.2 Interface Class IpFaultManager

Method

svcUnavailableInd()

This method is used by the client application to inform the framework that it can no longer use its instance of the indicated service (either due to a failure in the client application or in the service instance itself). On receipt of this request, the framework should take the appropriate corrective action. The framework assumes that the session between this client application and service instance is to be closed and updates its own records appropriately as well as attempting to inform the service instance and/or its administrator. Attempts by the client application to continue using this session should be rejected.

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Reason for change: In the method descriptions for the lpLoadManager and lpFaultManager										
Reason for Chang	e. a	interfaces, it is state services. However,	d that the subi the definition o	ect of the of the of the para	method can b imeters only a					
Summary of chan	ge: ₩	subject/entity at a tir	ne. Lucent als	o propose	s to modify th	used for one ne sequence diagram in longing to that client				
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Other specs affected: Other comments:	¥	Other core specification O&M Specification	ications # is							

How to create CRs using this form:

- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

Resulting changes

Lucent would like to make the following changes to the method descriptions for the IpLoadManager and IpFaultManager and IpAppFaultManager interfaces to clarify that two invocations must be made if the results are required for both the framework and services.

8.3.1 Interface Class IpAppFaultManager

Method

genFaultStatsRecordRes()

This method is used by the framework to provide fault statistics to a client application in response to a genFaultStatsRecordReq method invocation on the IpFaultManager interface.

Parameters

faultStatistics: in TpFaultStatsRecord

The fault statistics record.

serviceIDs : in TpServiceIDList

Specifies the framework and/or services that are included in the general fault statistics record.-<u>If the serviceIDs</u> parameter is an empty list, then the fault statistics are for the framework.

The framework is designated by a null value.

8.3.2 Interface Class IpFaultManager

Method

activityTestReq()

The application invokes this method to test that the framework or <u>its instance of a service</u> is operational. On receipt of this request, the framework must carry out a test on itself or on the <u>client's instance of the specified service</u>, to check that it is operating correctly. The framework reports the test result by invoking the activityTestRes method on the IpAppFaultManager interface.

Parameters

activityTestID : in TpActivityTestID

The identifier provided by the client application to correlate the response (when it arrives) with this request.

svcID : in TpServiceID

Identifies either the framework or a service for testing. The framework is designated by a null value.

Raises

TpCommonExceptions,P_INVALID_SERVICE_ID

Method

genFaultStatsRecordReq()

This method is used by the application to solicit fault statistics from the framework. On receipt of this request the framework must produce a fault statistics record, for <u>either</u> the framework and/or for <u>the client's instances of the</u> specified services during the specified time interval, which is returned to the client application using the genFaultStatsRecordRes operation on the IpAppFaultManager interface.

Parameters

timePeriod: in TpTimeInterval

The period over which the fault statistics are to be generated. A null value leaves this to the discretion of the framework.

serviceIDs : in TpServiceIDList

Specifies <u>either</u> the framework <u>and/or</u> services to be included in the general fault statistics record. The framework is <u>designated by a null value.</u> If this parameter is not an empty list, the fault statistics records of the client's instances of the <u>specified services are returned</u>, otherwise the fault statistics record of the framework is returned.

Raises

TpCommonExceptions-, P_INVALID_SERVICE_ID

8.3.8 Interface Class IpLoadManager

Method

queryLoadReq()

The client application uses this method to request the framework to provide load statistic records for the framework or for its instances of the individual services used by the application.

Parameters

serviceIDs : in TpServiceIDList

Specifies the framework or the services for which load statistics records should be reported. If this parameter is not an empty list, <u>the load statistics records of the client's instances of the specified services are returned</u>, otherwise the load statistics record of the framework is returned.

timeInterval : in TpTimeInterval

Specifies the time interval for which load statistics records should be reported.

Raises

TpCommonExceptions,P_INVALID_SERVICE_ID,P_SERVICE_NOT_ENABLED

Method

registerLoadController()

The client application uses this method to register to receive notifications of load level changes associated with <u>either</u> the framework <u>and/</u>or with <u>its instances of the</u> individual services used by the application.

Parameters

serviceIDs : in TpServiceIDList

Specifies the framework and or SCFs to be registered for load control. To register for framework load control-only, the serviceIDs is nullparameter must be an empty list.

Raises

TpCommonExceptions, P_INVALID_SERVICE_ID

Method

unregisterLoadController()

The client application uses this method to unregister for notifications of load level changes associated with <u>either</u> the framework <u>and/</u>or with <u>its instances of the</u> individual services used by the application.

Parameters

serviceIDs : in TpServiceIDList

Specifies the framework and/or the services for which load level changes should no longer be reported. <u>To unregister for framework load control</u>, the serviceIDs parameter must be an empty list. The framework is designated by a null value.

Raises

TpCommonExceptions,P_INVALID_SERVICE_ID

Method

resumeNotification()

The client application uses this method to request the framework to resume sending it load management notifications associated with <u>either</u> the framework <u>and/</u>or with <u>its instances of the individual services used by the application; e.g. after a period of suspension during which the application handled a temporary overload condition.</u>

Parameters

serviceIDs : in TpServiceIDList

Specifies the framework and/or the services for which the sending of notifications of load level changes by the framework should be resumed. <u>To resume notifications for the framework, the serviceIDs parameter must be an empty list.</u> The framework is designated by a null value.

Raises

TpCommonExceptions, P_INVALID_SERVICE_ID, P_SERVICE_NOT_ENABLED

Method

suspendNotification()

The client application uses this method to request the framework to suspend sending it load management notifications associated with <u>either</u> the framework <u>and/or</u> with <u>its instances of the individual services used by the application; e.g. while the application handles a temporary overload condition.</u>

Parameters

serviceIDs : in TpServiceIDList

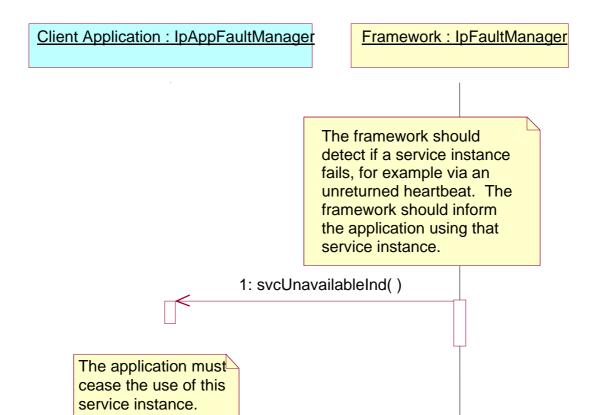
Specifies the framework and/or the services for which the sending of notifications by the framework should be suspended. To suspend notifications for the framework, the serviceIDs parameter must be an empty list. The framework is designated by a null value

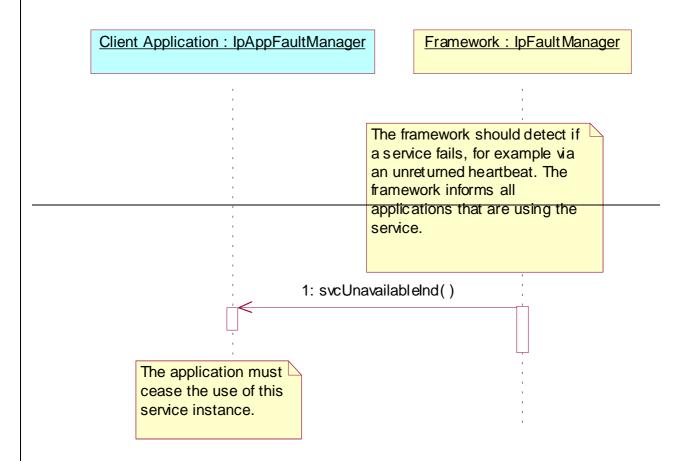
Raises

TpCommonExceptions, P_INVALID_SERVICE_ID, P_SERVICE_NOT_ENABLED

6.2.7 Fault Management: Framework detects a Service failure

The framework has detected that the <u>a</u> service <u>instance</u> has failed (probably by the use of the heartbeat mechanism). The framework updates its own records and informs any the client applications that are using the service <u>instance</u> to stop.





1: The framework informs each the client application that is using the service instance that the service is unavailable. The client application is then expected to abandon use of this service instance and access a different service instance via the usual means (e.g. discovery, selectService etc.). The client application should not need to reauthenticate in order to discover and use an alternative service instance. The framework will also need to make the relevant updates to its internal records to make sure the service instance is removed from service and no client applications are still recorded as using it.

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How to create CRs using this form:

- Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be

- downloaded from the 3GPP server under $\underline{\text{ftp://ftp.3gpp.org/specs/}}$ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

Resulting changes

The following data type needs to be added to section 15.4:

15.4.21 TpFaultStatisticsError

<u>Name</u>	<u>Value</u>	<u>Description</u>
P_FAULT_INFO_ERROR_UNDEFINED	<u>0</u>	<u>Undefined error</u>
P_FAULT_INFO_UNAVAILABLE	<u>1</u>	Fault statistics unavailable

8.3.1 Interface Class IpAppFaultManager

<<Interface>>

IpAppFaultManager

 $activity Test Res \ (activity Test ID: in \ TpActivity Test ID, \ activity Test Result: in \ TpActivity Test Result: in \ TpActivi$

activityTestErr (activityTestID : in TpActivityTestID) : TpResult

appActivityTestReq (activityTestID : in TpActivityTestID) : TpResult

fwFaultReportInd (fault : in TpInterfaceFault) : TpResult

fwFaultRecoveryInd (fault : in TpInterfaceFault) : TpResult

svcUnavailableInd (serviceId : in TpServiceID, reason : in TpSvcUnavailReason) : TpResult

genFaultStatsRecord, serviceIDs: in TpFaultStatsRecord, serviceIDs: in TpServiceIDList): TpResultStatsRecord, serviceIDList): TpResultStatsRecord, serviceIDList): TpResultStatsRecord, serviceIDList): TpResultStatsRecord, serviceIDList): TpResultStatsRecord, serviceIDList, s

<u>genFaultStatsRecordErr (faultStatisticsError : in TpFaultStatisticsError, serviceIDs : in TpServiceIDList) :</u>

TpResult

fwUnavailableInd (reason : in TpFwUnavailReason) : TpResult

Method

activityTestErr()

The framework uses this method to indicate that an error occurred during an application-initiated activity test.

Parameters

activityTestID : in TpActivityTestID

Used by the application to correlate this response (when it arrives) with the original request.

Method

genFaultStatsRecordErr()

This method is used by the framework to indicate an error fulfilling the request to provide fault statistics, in response to a genFaultStatsRecordReq method invocation on the IpFaultManager interface.

Parameters

faultStatisticsError : in TpFaultStatsError

The fault statistics error.

serviceIDs : in TpServiceIDList

<u>Specifies the framework or services that were included in the general fault statistics record request.</u> If the serviceIDs parameter is an empty list, then the fault statistics were requested for the framework.

8.3.2 Interface Class IpFaultManager

<<Interface>>
IpFaultManager

activityTestReq (activityTestID : in TpActivityTestID, svcID : in TpServiceID) : TpResult

appActivityTestRes (activityTestID : in TpActivityTestID, activityTestResult : in TpActivityTestRes) : TpResult

appActivityTestErr (activityTestID : in TpActivityTestID) : TpResult

svcUnavailableInd (serviceID : in TpServiceID) : TpResult

genFaultStatsRecordReq (timePeriod : in TpTimeInterval, serviceIDs : in TpServiceIDList) : TpResult

Method

appActivityTestErr()

The client application uses this method to indicate that an error occurred during a framework-requested activity test.

Parameters

activityTestID : in TpActivityTestID

Used by the framework to correlate this response (when it arrives) with the original request.

Raises

TpCommonExceptions, P_INVALID_ACTIVITY_TEST_ID

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How to create CRs using this form:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/. For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3)	 With "track changes" disabled, paste the entire CR form (u the clause containing the first piece of changed text. Delet the change request. 	se CTRL-A to select it) into the specification just in front of e those parts of the specification which are not relevant to

The proposals put forward by this contribution make references to IpFw and IpSvc interfaces that are not currently within the scope of 3GPP but are within the scope of ETSI and Parlay. N5-010705 proposes the introduction of these interfaces into 29.198-3. This CR problem description and proposed solution must be read with the above in mind.

Problem

The fault management interfaces currently are not very consistent in two respects, firstly there seems to be an inconsistent use of a method naming convention, and secondly the presence of methods is not completely consistent across interfaces, a few seem to be missing. The following details the problem discussion.

- 1. Lack of method naming convention there are a few methods across the interfaces that convey a common behaviour, yet are named differently. For instance, method svcUnavailableInd on interface IpAppFaultManager, method svcRemovalInd on interface IpFwFaultManager, method svcUnavailableInd on interface IpFaultManager, and method svcUnavailableInd on interface IpSvcFaultManager all share common logical behaviour. However, svcRemovalInd in this case is not named in accordance with a pattern used by the others.
- 2. Missing methods some methods on an interface relate directly to other methods on another interface to correlate to define a system-wide behaviour. For example, method svcUnavailableInd on interface IpSvcFaultManager is invoked as a result of method svcUnavailableInd on interface IpFaultManager being invoked. There are two methods in the interfaces that should have corresponding methods as such, but do not. Namely, appUnavailableInd on IpSvcFaultManager, and appRemovalInd on interface IpSvcFaultManager.

The table below summarises the methods on the fault management interfaces that are the subject of the problems discussed. The underline highlights the methods not named in a corresponding way to the others. "***" refers to a missing method, with arrows indicating direction of correlation between methods from different interfaces.

IpAppFaultManagement	IpFaultManagement	<i>IpFwFaultManagement</i>	<i>IpSvcFaultManagement</i>
SvcUnavailableInd ←		svc <u>Removal</u> Ind	
*** ←		appUnavailableInd	
	svcUnavailableInd		-→ svcUnavailableInd
	***		-→ app <u>Removal</u> Ind

Proposal

With regard to naming convention, Lucent proposes to

- Rename method svcRemovalInd on interface IpFwFaultManagement to svcUnavailableInd. According to the description to the method, we believe that *unavailable* is more correctly related to the method's behaviour, as it states "... used by the service to inform the framework that it is about to become *unavailable* for use. ...", while removal refers that the service is removed which is not true.
- Rename method appRemovalInd on IpSvcFaultManagement to appUnavailableInd. Similarly, according to the description to the method, we believe that *unavailable* is more correctly related to the method's behaviour, as it states "... to inform the service that a client application is ceasing its current use of the service ...", while removal refers more toward saying that the application is removed.

With regard to method consistency, Lucent proposes to

- Add method appUnavailableInd to interface IpAppFaultManagement, this method is to correspond to the existing method appUnavailableInd on interface IpFwFaultManagement.
- Add method appUnavailableInd to interface IpFaultManagement, this method is to correspond to the existing method appUnavailableInd (was appRemolvalInd before the renaming) on interface IpSvcFaultManagement.

Resultant changes

8.3.1 Interface Class IpAppFaultManager

<<Interface>>

IpAppFaultManager

activityTestRes (activityTestID : in TpActivityTestID, activityTestResult : in TpActivityTestRes) : TpResult

appActivityTestReq (activityTestID : in TpActivityTestID) : TpResult

fwFaultReportInd (fault : in TpInterfaceFault) : TpResult fwFaultRecoveryInd (fault : in TpInterfaceFault) : TpResult

svcUnavailableInd (serviceId : in TpServiceID, reason : in TpSvcUnavailReason) : TpResult

genFaultStatsRecordRes (faultStatistics: in TpFaultStatsRecord, serviceIDs: in TpServiceIDList): TpResult

fwUnavailableInd (reason : in TpFwUnavailReason) : TpResult

appUnavailableInd (): TpResult

Method

appUnavailableInd()

The framework invokes this method to indicate to the application that the service instance has detected that it is not responding. On receipt of this indication, the application must end its current session with the service instance.

Parameters

No parameters were identified for this method.

8.3.2 Interface Class IpFaultManager

<<Interface>>
IpFaultManager

activityTestReq (activityTestID : in TpActivityTestID, svcID : in TpServiceID) : TpResult

 $app Activity TestRes \ (activity TestID : in Tp Activity TestID, activity TestResult : in Tp Activity TestRes) : \\$

TpResult

svcUnavailableInd (serviceID : in TpServiceID) : TpResult

genFaultStatsRecordReq (timePeriod : in TpTimeInterval, serviceIDs : in TpServiceIDList) : TpResult

appUnavailableInd (serviceID : in TpServiceID) : TpResult

Method

appUnavailableInd()

This method is used by the application to inform the framework that the it is ceasing its use of the service instance. This may be a result of the application detecting a failure. The framework assumes that the session between this

client application and service instance is to be closed and updates its own records appropriately as well as attempting to inform the service instance and/or its administrator.

Parameters

serviceID : in TpServiceID Identifies the affected application.

Raises

TpCommonExceptions

N5-010673

	CHANGE REQUEST										
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For <u>HELP</u> on using this form, see bottom of this page or look at the pop-up text over the % symbols.											
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Title: 第 Ch	nange "TpString" into "TpOctets	tSets" in authentication and access									
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Deta	e one of the following categories: F (correction) A (corresponds to a correction in B (addition of feature), C (functional modification of feat D (editorial modification)) ailed explanations of the above caround in 3GPP TR 21.900.	R97 (Release 1997) ature) R98 (Release 1998) R99 (Release 1999)									
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Summary of change: #	Datatypes of data to be sent TpString to TpOctetSet.	nt transparently over the API will be changed from									
Consequences if # not approved:	Authentication and service le	level agreement signing will not work.									
Clauses affected: #	8.1.1, 8.1.2, 8.1.5, 8.1.6										
Other specs # affected:	Other core specifications Test specifications O&M Specifications	S #									
Other comments: #											

How to create CRs using this form:

- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3)	With "track changes" disabled, paste the entire CR form (the clause containing the first piece of changed text. Del the change request.	(use CTRL-A to select it) into the specification just in front of ete those parts of the specification which are not relevant to

4.1.1 Interface Class IpAppAPILevelAuthentication

Inherits from: IpInterface.

<<Interface>>

IpAppAPILevelAuthentication

authenticate (prescribedMethod : in TpAuthCapability, challenge : in TpStringOctetSet, response : out

TpStringOctetSetRef): TpResult

authenticationSucceeded (): TpResult

abortAuthentication (): TpResult

Method

authenticate()

This method is used by the framework to authenticate the client application using the mechanism indicated in prescribedMethod. The client application must respond with the correct responses to the challenges presented by the framework. The number of exchanges and the order of the exchanges is dependent on the prescribedMethod. (These may be interleaved with authenticate() calls by the client application on the IpAPILevelAuthentication interface. This is defined by the prescribedMethod.)

Parameters

prescribedMethod : in TpAuthCapability

see selectEncryptionMethod() on the IpAPIILevelAuthentication interface. This parameter contains the agreed method for authentication. If this is not the same value as returned by selectEncryptionMethod(), then an error code (P_INVALID_AUTH_CAPABILITY) is returned.

challenge : in TpStringOctetSet

The challenge presented by the framework to be responded to by the client application. The challenge mechanism used will be in accordance with the IETF PPP Authentication Protocols - Challenge Handshake Authentication Protocol [RFC 1994, August1996]. The challenge will be encrypted with the mechanism prescribed by selectEncryptionMethod().

response : out TpStringOctetSetRef

This is the response of the client application to the challenge of the framework in the current sequence. The response will be based on the challenge data, decrypted with the mechanism prescribed by selectEncryptionMethod().

Method

abortAuthentication()

The framework uses this method to abort the authentication process. This method is invoked if the framework wishes to abort the authentication process, (unless the application responded incorrectly to a challenge in which case no further communication with the application should occur.) If this method has been invoked, calls to the requestAccess operation on IpAPILevelAuthentication will return an error code (P_ACCESS_DENIED), until the client application has been properly authenticated.

Parameters

No Parameters were identified for this method

Method

authenticationSucceeded()

The Framework uses this method to inform the client application of the success of the authentication attempt.

Parameters

No Parameters were identified for this method

4.1.2 Interface Class IpAppAccess

Inherits from: IpInterface.

The Access client application interface is used by the Framework to perform the steps that are necessary in order to allow it to service access.

<<Interface>> IpAppAccess

signServiceAgreement (serviceToken : in TpServiceToken, agreementText : in TpString, signingAlgorithm : in TpSigningAlgorithm, digitalSignature : out TpStringOctetSetRef) : TpResult

terminateServiceAgreement (serviceToken : in TpServiceToken, terminationText : in TpString, digitalSignature : in TpStringOctetSet) : TpResult

terminateAccess (terminationText : in TpString, signingAlgorithm : in TpSigningAlgorithm, digitalSignature : in TpStringOctetSet) : TpResult

Method

signServiceAgreement()

This method is used by the framework to request that the client application sign an agreement on the service. It is called in response to the client application calling the selectService() method on the IpAccess interface of the framework. The framework provides the service agreement text for the client application to sign. The service manager returned will be configured as per the service level agreement. If the framework uses service subscription, the service level agreement will be encapsulated in the subscription properties contained in the contract/profile for the client application, which will be a restriction of the registered properties. If the client application agrees, it signs the service agreement, returning its digital signature to the framework.

Parameters

serviceToken: in TpServiceToken

This is the token returned by the framework in a call to the selectService() method. This token is used to identify the service instance to which this service agreement corresponds. (If the client application selects many services, it can determine which selected service corresponds to the service agreement by matching the service token.) If the serviceToken is invalid, or not known by the client application, then an error code (P_INVALID_SERVICE_TOKEN) is returned.

agreementText : in TpString

This is the agreement text that is to be signed by the client application using the private key of the client application. If the agreementText is invalid, then an error code (P_INVALID_AGREEMENT_TEXT) is returned.

signingAlgorithm : in TpSigningAlgorithm

This is the algorithm used to compute the digital signature. If the signingAlgorithm is invalid, or unknown to the client application, an error code (P_INVALID_SIGNING_ALGORITHM) is returned.

digitalSignature : out TpStringOctetSetRef

The digitalSignature is the signed version of a hash of the service token and agreement text given by the framework.

Method

terminateServiceAgreement()

This method is used by the framework to terminate an agreement for the service.

Parameters

serviceToken: in TpServiceToken

This is the token passed back from the framework in a previous selectService() method call. This token is used to identify the service agreement to be terminated. If the serviceToken is invalid, or unknown to the client application, an error code (P_INVALID_SERVICE_TOKEN) is returned.

terminationText : in TpString

This is the termination text that describes the reason for the termination of the service agreement.

digitalSignature : in TpStringOctetSet

This is a signed version of a hash of the service token and the termination text. The signing algorithm used is the same as the signing algorithm given when the service agreement was signed using signServiceAgreement(). The framework uses this to confirm its identity to the client application. The client application can check that the terminationText has been signed by the framework. If a match is made, the service agreement is terminated, otherwise an error code (P_INVALID_SIGNATURE) is returned.

Method

terminateAccess()

The terminateAccess operation is used by the framework to end the client application's access session.

After terminateAccess() is invoked, the client application will no longer be authenticated with the framework. The client application will not be able to use the references to any of the framework interfaces gained during the access session. Any calls to these interfaces will fail. If at any point the framework's level of confidence in the identity of the

client becomes too low, perhaps due to re-authentication failing, the framework should terminate all outstanding service agreements for that client application, and should take steps to terminate the client application's access session WITHOUT invoking terminateAccess() on the client application. This follows a generally accepted security model where the framework has decided that it can no longer trust the application and will therefore sever ALL contact with it.

Parameters

terminationText : in TpString

This is the termination text describes the reason for the termination of the access session.

signingAlgorithm: in TpSigningAlgorithm

This is the algorithm used to compute the digital signature. If the signingAlgorithm is invalid, or unknown to the client application, an error code (P_INVALID_SIGNING_ALGORITHM) is returned.

digitalSignature : in TpStringOctetSet

This is a signed version of a hash of the termination text. The framework uses this to confirm its identity to the client application. The client application can check that the terminationText has been signed by the framework. If a match is made, the access session is terminated, otherwise an error code (P_INVALID_SIGNATURE) is returned.

4.1.3 Interface Class IpAPILevelAuthentication

Inherits from: IpAuthentication.

The API Level Authentication Framework interface is used by client application to perform its part of the mutual authentication process with the Framework necessary to be allowed to use any of the other interfaces supported by the Framework.

<<Interface>>

IpAPILevelAuthentication

selectEncryptionMethod (authCaps : in TpAuthCapabilityList, prescribedMethod : out TpAuthCapabilityRef) : TpResult

authenticate (prescribedMethod : in TpAuthCapability, challenge : in TpStringOctetSet, response : out

TpStringOctetSetRef): TpResult

abortAuthentication (): TpResult

authenticationSucceeded (): TpResult

Method

selectEncryptionMethod()

The client application uses this method to initiate the authentication process. The framework returns its preferred mechanism. This should be within capability of the client application. If a mechanism that is acceptable to the framework within the capability of the client application cannot be found, the framework returns an error code (P_NO_ACCEPTABLE_AUTH_CAPABILITY).

Parameters

authCaps : in TpAuthCapabilityList

This is the means by which the authentication mechanisms supported by the client application are conveyed to the framework.

prescribedMethod : out TpAuthCapabilityRef

This is returned by the framework to indicate the mechanism preferred by the framework for the authentication process. If the value of the prescribedMethod returned by the framework is not understood by the client application, it is considered a catastrophic error and the client application must abort.

Raises

TpCommonExceptions, P_ACCESS_DENIED, P_NO_ACCEPTABLE_AUTH_CAPABILITY

Method

authenticate()

This method is used by the client application to authenticate the framework using the mechanism indicated in prescribedMethod. The framework must respond with the correct responses to the challenges presented by the client application. The clientAppID received in the initiateAuthentication() can be used by the framework to reference the correct public key for the client application (the key management system is currently outside of the scope of the OSA APIs). The number of exchanges and the order of the exchanges is dependent on the prescribedMethod.

Parameters

prescribedMethod : in TpAuthCapability

see selectEncryptionMethod(). This parameter contains the method that the framework has specified as acceptable for authentication. If this is not the same value as returned by selectEncryptionMethod(), then the framework returns an error code (P_INVALID_AUTH_CAPABILITY).

challenge : in TpOctetSetString

The challenge presented by the client application to be responded to by the framework. The challenge mechanism used will be in accordance with the IETF PPP Authentication Protocols - Challenge Handshake Authentication Protocol [RFC 1994, August1996]. The challenge will be encrypted with the mechanism prescribed by selectEncryptionMethod().

response : out TpStringOctetSetRef

This is the response of the framework to the challenge of the client application in the current sequence. The response will be based on the challenge data, decrypted with the mechanism prescribed by selectEncryptionMethod().

Raises

TpCommonExceptions, P_ACCESS_DENIED, P_INVALID_AUTH_CAPABILITY

Method

abortAuthentication()

The client application uses this method to abort the authentication process. This method is invoked if the client application no longer wishes to continue the authentication process, (unless the application responded incorrectly to a challenge in which case no further communication with the application should occur.) If this method has been invoked,

calls to the requestAccess operation on IpAPILevelAuthentication will return an error code (P_ACCESS_DENIED), until the client application has been properly authenticated.

Parameters

No Parameters were identified for this method

Raises

TpCommonExceptions, P_ACCESS_DENIED

Method

authenticationSucceeded()

The client application uses this method to inform the framework of the success of the authentication attempt.

Parameters

No Parameters were identified for this method

Raises

TpCommonExceptions, P ACCESS DENIED

4.1.4 Interface Class IpAccess

Inherits from: IpInterface.

<<Interface>>

obtainInterface (interfaceName: in TpInterfaceName, fwInterface: out IpInterfaceRefRef): TpResult

obtainInterfaceWithCallback (interfaceName : in TpInterfaceName, appInterface : in IpInterfaceRef, fwInterface : out IpInterfaceRefRef) : TpResult

selectService (serviceID: in TpServiceID, serviceToken: out TpServiceTokenRef): TpResult

signServiceAgreement (serviceToken : in TpServiceToken, agreementText : in TpString, signingAlgorithm : in TpSigningAlgorithm, signatureAndServiceMgr : out TpSignatureAndServiceMgrRef) : TpResult

terminateServiceAgreement (serviceToken : in TpServiceToken, terminationText : in TpString, digitalSignature : in TpStringOctetSet) : TpResult

endAccess (endAccessProperties : in TpEndAccessProperties) : TpResult

Method

obtainInterface()

This method is used to obtain other framework interfaces. The client application uses this method to obtain interface references to other framework interfaces. (The obtainInterfacesWithCallback method should be used if the client application is required to supply a callback interface to the framework.)

Parameters

interfaceName : in TpInterfaceName

The name of the framework interface to which a reference to the interface is requested. If the interfaceName is invalid, the framework returns an error code (P INVALID INTERFACE NAME).

fwInterface : out IpInterfaceRefRef

This is the reference to the interface requested.

Raises

TpCommonExceptions, P ACCESS DENIED, P INVALID INTERFACE NAME

Method

obtainInterfaceWithCallback()

This method is used to obtain other framework interfaces. The client application uses this method to obtain interface references to other framework interfaces, when it is required to supply a callback interface to the framework. (The obtainInterface method should be used when no callback interface needs to be supplied.)

Parameters

interfaceName : in TpInterfaceName

The name of the framework interface to which a reference to the interface is requested. If the interfaceName is invalid, the framework returns an error code (P_INVALID_INTERFACE_NAME).

appInterface: in IpInterfaceRef

This is the reference to the client application interface, which is used for callbacks. If an application interface is not needed, then this method should not be used. (The obtainInterface method should be used when no callback interface needs to be supplied.) If the interface reference is not of the correct type, the framework returns an error code (P INVALID INTERFACE TYPE).

fwInterface : out IpInterfaceRefRef

This is the reference to the interface requested.

Raises

TpCommonExceptions,P_ACCESS_DENIED,P_INVALID_INTERFACE_NAME,P_INVALID_INTERFACE TYPE

Method

selectService()

This method is used by the client application to identify the service that the client application wishes to use. If the client application is not allowed to access the service, then an error code (P_SERVICE_ACCESS_DENIED) is returned.

Parameters

serviceID: in TpServiceID

This identifies the service required. If the serviceID is not recognised by the framework, an error code (P_INVALID_SERVICE_ID) is returned.

serviceToken: out TpServiceTokenRef

This is a free format text token returned by the framework, which can be signed as part of a service agreement. This will contain operator specific information relating to the service level agreement. The serviceToken has a limited lifetime. If the lifetime of the serviceToken expires, a method accepting the serviceToken will return an error code (P_INVALID_SERVICE_TOKEN). Service Tokens will automatically expire if the client application or framework invokes the endAccess method on the other's corresponding access interface.

Raises

TpCommonExceptions, P_ACCESS_DENIED, P_INVALID_SERVICE_ID

Method

signServiceAgreement()

This method is used by the client application to request that the framework sign an agreement on the service, which allows the client application to use the service. If the framework agrees, both parties sign the service agreement, and a reference to the service manager interface of the service is returned to the client application. The service manager returned will be configured as per the service level agreement. If the framework uses service subscription, the service level agreement will be encapsulated in the subscription properties contained in the contract/profile for the client application, which will be a restriction of the registered properties. If the client application is not allowed to access the service, then an error code (P_SERVICE_ACCESS_DENIED) is returned.

Parameters

serviceToken: in TpServiceToken

This is the token returned by the framework in a call to the selectService() method. This token is used to identify the service instance requested by the client application. If the serviceToken is invalid, or has expired, an error code (P_INVALID_SERVICE_TOKEN) is returned.

agreementText : in TpString

This is the agreement text that is to be signed by the framework using the private key of the framework. If the agreementText is invalid, then an error code (P_INVALID_AGREEMENT_TEXT) is returned.

signingAlgorithm: in TpSigningAlgorithm

This is the algorithm used to compute the digital signature. If the signingAlgorithm is invalid, or unknown to the framework, an error code (P_INVALID_SIGNING_ALGORITHM) is returned.

signatureAndServiceMgr : out TpSignatureAndServiceMgrRef

This contains the digital signature of the framework for the service agreement, and a reference to the service manager interface of the service.

The digitalSignature is the signed version of a hash of the service token and agreement text given by the client application.

The serviceMgrInterface is a reference to the service manager interface for the selected service.

Raises

TpCommonExceptions,P_ACCESS_DENIED,P_INVALID_AGREEMENT_TEXT,P_INVALID_SER VICE_TOKEN,P_INVALID_SIGNING_ALGORITHM,P_SERVICE_ACCESS_DENIED

Method

terminateServiceAgreement()

This method is used by the client application to terminate an agreement for the service.

Parameters

serviceToken: in TpServiceToken

This is the token passed back from the framework in a previous selectService() method call. This token is used to identify the service agreement to be terminated. If the serviceToken is invalid, or has expired, an error code (P INVALID SERVICE TOKEN) is returned.

terminationText : in TpString

This is the termination text describes the reason for the termination of the service agreement.

digitalSignature : in TpStringOctetSet

This is a signed version of a hash of the service token and the termination text. The signing algorithm used is the same as the signing algorithm given when the service agreement was signed using signServiceAgreement(). The framework uses this to check that the terminationText has been signed by the client application. If a match is made, the service agreement is terminated, otherwise an error code (P_INVALID_SIGNATURE) is returned.

Raises

TpCommonExceptions,P_ACCESS_DENIED,P_INVALID_SERVICE_TOKEN,P_INVALID_SIGN ATURE

Method

endAccess()

The endAccess operation is used by the client to request that its access session with the framework is ended. After it is invoked, the client application will no longer be authenticated with the framework. The client application will not be able to use the references to any of the framework interfaces gained during the access session. Any calls to these interfaces will fail.

Parameters

endAccessProperties : in TpEndAccessProperties

This is a list of properties that can be used to tell the framework the actions to perform when ending the access session (e.g. existing service sessions may be stopped, or left running). If a property is not recognised by the framework, an error code (P_INVALID_PROPERTY) is returned.

Raises

TpCommonExceptions, P_ACCESS_DENIED, P_INVALID_PROPERTY

CHANGE REQUEST										
ж <mark>29</mark>	98-03 CR 008 # ev - # Current version: 4.1.0	æ								
For <u>HELP</u> on using this form, see bottom of this page or look at the pop-up text over the # symbols.										
Proposed change affects: (U)SIM ME/UE Radio Access Network Core Network ▼ X										
Title: 第	eplacement of register/unregisterLoadController									
Source: #	CN5									
Work item code: ₩	DSA1 Date: 第 30/08/2001									
Category: 署	Release: # REL-4 se one of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) etailed explanations of the above categories can found in 3GPP TR 21.900.	2) 6) 7) 8)								
Reason for change	# The lpLoadManager interface contains methods called registerLoadCon	troller and								
reason for unange	unregisterLoadController. When the description of these methods is loo can be seen that these methods are concerned with the creation and de of requests for load control change notifications.	ked at, it								
Summary of chang	** Lucent proposes to rename these methods as createLoadLevelNotification destroyLoadLevelNotification, following a naming convention set for notification FW event notification and also for service-based notifications.									
Consequences if not approved:	# The method names will remain inconsistent with the other notification moving within the framework and in the service APIs. 29.198-3 will be ambiguous and difficult to implement correctly – interwork.									
	be jeopardised.									
	Failure to adopt this CR would result in divergence between the 3GPP R specification and the ETSI/Parlay specifications.	4								
Clauses affected:	₩ 6.2.5, 8.3.8, and 9.3.3									
Other specs affected:	# Other core specifications # Test specifications O&M Specifications									
Other comments:	x									

How to create CRs using this form:

¹⁾ Fill out the above form. The symbols above marked \$\mathbb{H}\$ contain pop-up help information about the field that they are closest to.

- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

Resultant Changes

As a result of these changes, and also the introduction of loadLevelNotification in a previous meeting, the sequence diagram 6.2.5 and the State Transition Diagram in 9.3.3 are changed.

8.3.8 Interface Class IpLoadManager

Inherits from: IpInterface.

The framework API should allow the load to be distributed across multiple machines and across multiple component processes, according to a load management policy. The separation of the load management mechanism and load management policy ensures the flexibility of the load management services. The load management policy identifies what load management rules the framework should follow for the specific client application. It might specify what action the framework should take as the congestion level changes. For example, some real-time critical applications will want to make sure continuous service is maintained, below a given congestion level, at all costs, whereas other services will be satisfied with disconnecting and trying again later if the congestion level rises. Clearly, the load management policy is related to the QoS level to which the application is subscribed. The framework load management function is represented by the IpLoadManager interface. Most methods are asynchronous, in that they do not lock a thread into waiting whilst a transaction performs. To handle responses and reports, the client application developer must implement the IpAppLoadManager interface to provide the callback mechanism. The application supplies the identity of this callback interface at the time it obtains the framework's load manager interface, by use of the obtainInterfaceWithCallback operation on the IpAccess interface.

<<Interface>>

IpLoadManager

reportLoad (loadLevel : in TpLoadLevel) : TpResult

queryLoadReq (serviceIDs: in TpServiceIDList, timeInterval: in TpTimeInterval): TpResult

queryAppLoadRes (loadStatistics : in TpLoadStatisticList) : TpResult

queryAppLoadErr (loadStatisticsError : in TpLoadStatisticError) : TpResult

 $\underline{\textit{registerLoadController}}\ create Load Level Notification (service IDs: in TpService IDL ist): TpResult$

unregisterLoadController destroyLoadLevelNotification(serviceIDs: in TpServiceIDList): TpResult

resumeNotification (serviceIDs : in TpServiceIDList) : TpResult suspendNotification (serviceIDs : in TpServiceIDList) : TpResult

Method

registerLoadControllercreateLoadLevelNotification()

The client application uses this method to register to receive notifications of load level changes associated with the framework and/or with individual services used by the application.

Parameters

serviceIDs : in TpServiceIDList

Specifies the framework and SCFs to be registered for load control. To register for framework load control only, the serviceIDs is null.

Raises

TpCommonExceptions, P INVALID SERVICE ID

Method

unregisterLoadControllerdestroyLoadLevelNotification()

The client application uses this method to remove its request for notifications of load level changes associated with the framework and/or with individual services used by the application.

Parameters

serviceIDs : in TpServiceIDList

Specifies the framework and/or the services for which load level changes should no longer be reported. The framework is designated by a null value.

Raises

TpCommonExceptions, P_INVALID_SERVICE_ID

6.2.5 Load Management: Application callback registration and load control

This sequence diagram shows how an application registers itself and the framework invokes load management function based on policy.

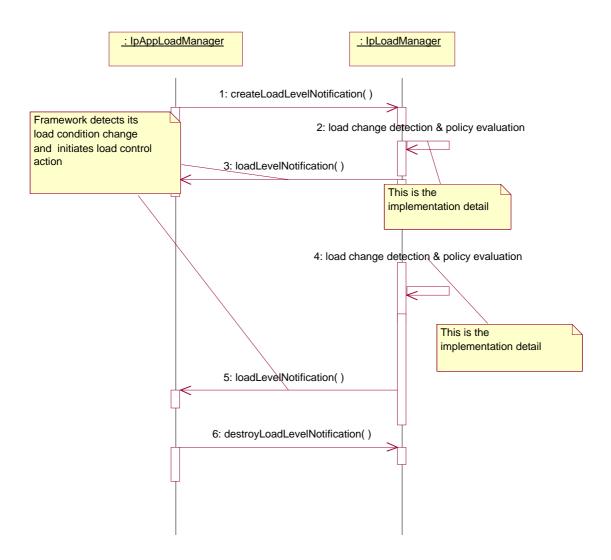


Figure 1

9.3.3 State Transition Diagram for IpLoadManager

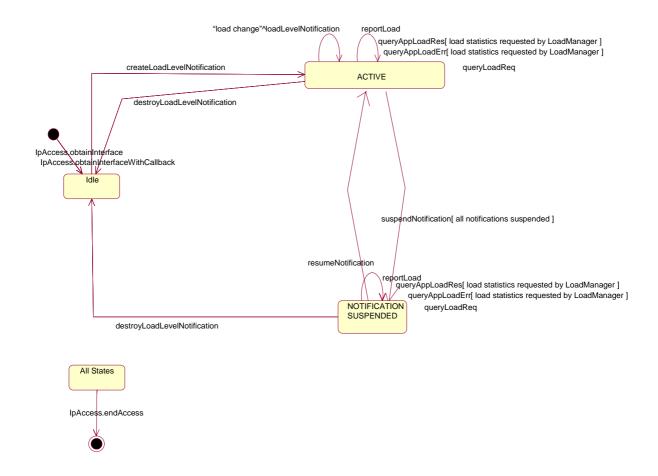


Figure 2

IDLE

In this state the application has obtained an interface reference to the IpLoadManager from the IpAccess interface.

ACTIVE

In this state the application has indicated its interest in notifications by performing a createLoadLevelNotification() invocation on the IpLoadManager. The load manager can now request the application to supply load statistics information (by invoking queryAppLoadReq()). Furthermore the LoadManager can request the application to control its load (by invoking loadLevelNotification(), resumeNotification() or suspendNotification() on the application side of interface). In case the application detects a change in load level, it reports this to the LoadManager by calling the method reportLoad().

NOTIFICATION SUSPENDED

Due to, e.g. a temporary load condition, the application has requested the load manager to suspend sending the load level notification information.

3GPP TSG_CN5 (Open Service Access – OSA) Meeting #12, Sophia Antipolis, FRANCE, 16 – 19 July 2001

N5-010688

CHANGE REQUEST						
¥ 2	9.198-03 CR 009 # ev - # Current version: 4.1.0	æ				
For <u>HELP</u> or	using this form, see bottom of this page or look at the pop-up text over the ♯ symb	ols.				
Proposed change	eaffects: 第 (U)SIM ME/UE Radio Access Network Core Network	vork X				
Title:	Redundant Framework Heartbeat Mechanism					
Source:	CN5					
Work item code:	OSA1 Date: 第 30/08/2001					
Category:	Release: # REL-4 Use one of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900. REL-4 Use one of the following release 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) REL-4 Use one of the following release 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1999) R99 (Release 1999) REL-5 (Release 5)	ses:				
Reason for chang	re: Current mechanism is redundant					
Summary of change: # The mechanism proposed involves requesting a heartbeat, and then monitoring for that heartbeat. If the beat is not received then it can be decided that the subject of the monitor is no longer running. Policies will then determine the behaviour.						
Consequences if not approved:	# The heartbeat mechanism will remain redundant and confusing. Failure to adopt this CR would result in divergence between the 3GPP R4 specification and the ETSI/Parlay specifications.					
Clauses affected	₩ 8.3 and 6.2.6					
Other specs affected:	# Other core specifications # Test specifications O&M Specifications					
Other comments	≋					

Problem

The current heartbeat mechanism seems unintuitive. In the current mechanism, a service or client application, if it doesn't trust it's availability, can request the framework to monitor it. The result of this is that the framework sends a message to the service/client application at a specified interval. If the service/client application does not respond to this message, they are deemed to have failed the heartbeat and consequently are probably no longer running.

This is effectively a polling mechanism and seems to be redundant. There are already activityTestReq methods on the Ip*FaultManager interfaces which perform exactly this functionality. This leaves two options:

- · Remove the heartbeat mechanism from the specification; or
- Rework the heartbeat mechanism into something different and useful.

Also, it doesn't really seem sensible for something to request the monitoring of itself. Why would it do this? If an interface believes that it is not going to perform correctly, then it should use other means of informing the other party, rather than requesting them to keep on checking it periodically.

One of the parameters to the enable*Heartbeat() methods is a duration. This is supposed to represent the interval between heart beats. It is confusing for this parameter to be of type TpDuration, as the definition of TpDuration states that if a -2 value is passed in, then the duration is infinite (definitely no use within a heartbeat mechanism)!

Proposal

Lucent proposes to modify the existing heartbeat functionality so that it is no longer redundant. The mechanism we propose involves requesting a heartbeat, and then monitoring for that heartbeat. If the beat is not received then it can be decided that the subject of the monitor is no longer running. Policies will then determine the behaviour.

We would also like to propose removing the session ID from these interfaces. It doesn't seem to make sense for an entity to have parallel heartbeat sessions with the same framework/service/client application. A method exists to change the heartbeat interval. An entity can request a long interval for a steady background heartbeat, shorten the interval if it desires more frequent pulses, and then restore the previous interval afterwards. There seems to be no need for parallel heartbeat sessions, and the interleaving of heartbeats that would result.

Also, the relationship between an IpHeartBeat(Mgmt) interface and an application is a 1-2-1 relationship (no client application ID is passed across the interface). Every time a new heartbeat interface is obtained using obtainInterfaceWithCallBack() a new interface instance will be created.

Resulting changes

Lucent would like to modify the description of the heartbeat process.

Lucent would like to rename the send() method on IpHeartBeat and IpAppHeartBeat to something more representative of its function. We would propose renaming it to pulse().

We would like to change the duration parameter in the enable*Heartbeat() methods to "interval" of type TpInt32.

Lucent would also like to remove the sessionID parameter from the interfaces.

We feel that the State Transition Diagrams given in sections 9.3.1 and 9.3.2 of 29.198-3 do not add any extra information and that these interfaces are not really state-based, anyway. We therefore propose removing these interfaces from the specification.

8.3.3 Interface Class IpAppHeartBeatMgmt

Inherits from: IpInterface.

This interface allows the initialisation of a heartbeat supervision of the Framework client application by the Client application framework. Since the OSA APIs are inherently synchronous, the heartbeats themselves are synchronous for

efficiency reasons. The return of the TpResult is interpreted as a heartbeat response.

<<Interface>>

IpAppHeartBeatMgmt

enableAppHeartBeat (duration-interval: in TpDurationTpInt32, fwInterface: in IpHeartBeatRef, session: in TpSessionID): TpResult

disableAppHeartBeat (session : in TpSessionID) : TpResult

 $\frac{changeTimePeriod_changeInterval_(duration_interval_: in \ TpDuration_TpInt32, \ session: in \ TpSessionID): TpResult$

Method

enableAppHeartBeat()

With this method, the framework registers at instructs the client application for heartbeat supervision of itself to begin sending its heartbeat to the specified interface at the specified interval.

Parameters

duration interval : in TpDurationTpInt32

The time interval in milliseconds between the heartbeats.

fwInterface : in IpHeartBeatRef

This parameter refers to the callback interface the heartbeat is calling.

session : in TpSessionID

Identifies the heartbeat session.

Method

disableAppHeartBeat()

Allows Instructs the stop of the heartbeat supervision of the application to cease the sending of its heartbeat.

Parameters

session: in TpSessionID

Identifies the heartbeat session. None identified.

Method

changeTimePeriodchangeInterval()

Allows the administrative change of the heartbeat periodinterval.

Parameters

duration interval: in TpDurationTpInt32

The time interval in milliseconds between the heartbeats.

session : in TpSessionID

Identifies the heartbeat session.

8.3.4 Interface Class IpAppHeartBeat

Inherits from: IpInterface.

The Heartbeat Application interface is used by the Framework to <u>supervise-send</u> the <u>client aApplication its heartbeat</u>. The return of the TpResult is interpreted as a heartbeat response.

The return of the TpResult is interpreted as a heartbeat response.

</Interface>>

IpAppHeartBeat

send-pulse (session : in TpSessionID) : TpResult

Method

sendpulse()

This is the method the framework uses in case it supervises the client application. The sender must raise an exception if no result comes back after a certain, user defined time.. this method to send its heartbeat to the client application. The application will be expecting a pulse at the end of every interval specified in the parameter to the IpHeartBeatMgmt.enableHeartbeat() method. If the pulse() is not received within the specified interval, then the framework can be deemed to have failed the heartbeat.

Parameters

session: in TpSessionID

Identifies the heartbeat session. None identified.

8.3.5 Interface Class IpHeartBeatMgmt

Inherits from: IpInterface.

This interface allows the initialisation of a heartbeat supervision of the elient application framework by a client application. Since the APIs are inherently synchronous, the heartbeats themselves are synchronous for efficiency reasons. The return of the TpResult is interpreted as a heartbeat response.

Method

enableHeartBeat()

With this method, the client application registers at instructs the framework for heartbeat supervision of itself to begin sending its heartbeat to the specified interface at the specified interval.

Parameters

duration interval: in TpDurationTpInt32

The duration time -interval in milliseconds between the heartbeats.

appInterface : in IpAppHeartBeatRef

This parameter refers to the callback interface the heartbeat is calling.

session : out TpSessionIDRef

Identifies the heartbeat session. In general, the application has only one session. In case of framework supervision by the client application (see the application interfaces), the application may maintain more than one session.

Raises

TpCommonExceptions_P_INVALID_SESSION_ID

Method

disableHeartBeat()

Allows the stop of the heartbeat supervision of the application Instructs the framework to cease the sending of its heartbeat.

Parameters

session : in TpSessionID

Identifies the heartbeat session. None identified.

Raises

TpCommonExceptions, P_INVALID_SESSION_ID

Method

changeTimePeriodchangeInterval()

Allows the administrative change of the heartbeat periodinterval.

Parameters

duration interval: in TpDurationTpInt32

The time interval in milliseconds between the heartbeats.

session: in TpSessionID

Identifies the heartbeat session.

Raises

TpCommonExceptions, P_INVALID_SESSION_ID

8.3.6 Interface Class IpHeartBeat

Inherits from: IpInterface.

The Heartbeat Framework interface is used by the client application to supervise the Frameworksend its heartbeat.

<<Interface>>

IpHeartBeat

send-pulse (session : in TpSessionID) : TpResult

Method

sendpulse()

This is the method the client application uses in case it supervises the framework. The sender must raise an exception if no result comes back after a certain, user defined time. The client application uses this method to send its heartbeat to the framework. The framework will be expecting a pulse at the end of every interval specified in the parameter to the IpAppHeartBeatMgmt.enableAppHeartbeat() method. If the pulse() is not received within the specified interval, then the framework can be deemed to have failed the heartbeat.

Parameters

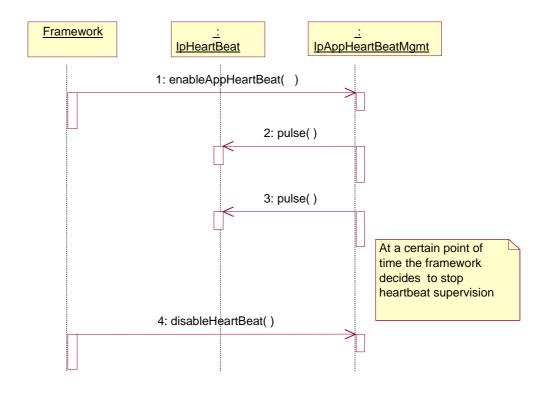
session: in TpSessionID

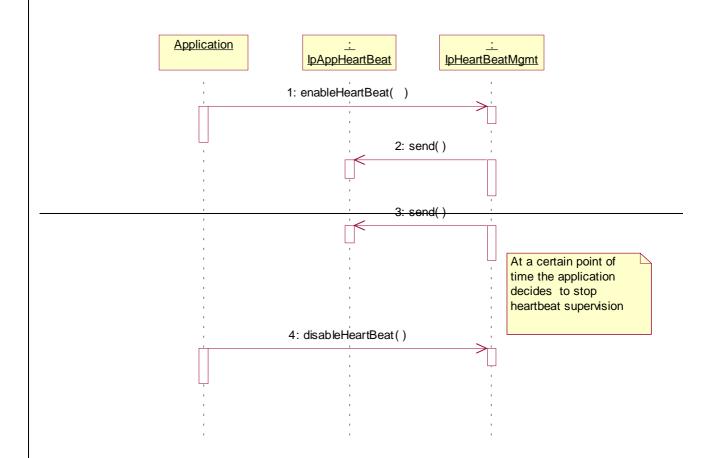
Identifies the heartbeat session. In general, the application has only one session. None identified.

Raises

TpCommonExceptions

6.2.6 Heartbeat Management: Start/perform/end heartbeat supervision of of the application





In this sequence diagram, the framework has decided that it wishes to monitor the application, and has therefore requested the application to commence sending its heartbeat. The application responds by sending its heartbeat at the specified interval. The framework then decides that it is satisfied with the application's health and disables the heartbeat mechanism. If the heartbeat was not received from the application within the specified interval, the framework can decide that the application has failed the heartbeat and can then perform some recovery action.

9.3.1__State Transition Diagrams for IpHeartBeatMgmt

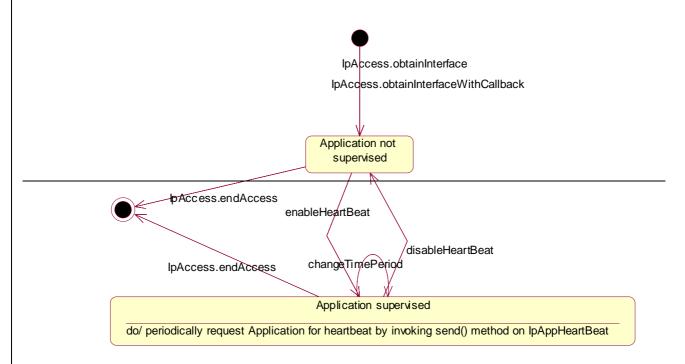


Figure : State Transition Diagram for IpHeartBeatMgmg

9.3.1.1 Application not supervised State

In this state the application has not registered for heartbeat supervision by the Framework.

9.3.1.2 Application supervised State

In this state the application has registered for heartbeat supervision by the Framework. Periodically the Framework will request for the application heartbeat by calling the send method on the IpAppHeartBeat interface.

9.3.2_State Transition Diagrams for IpHeartBeat

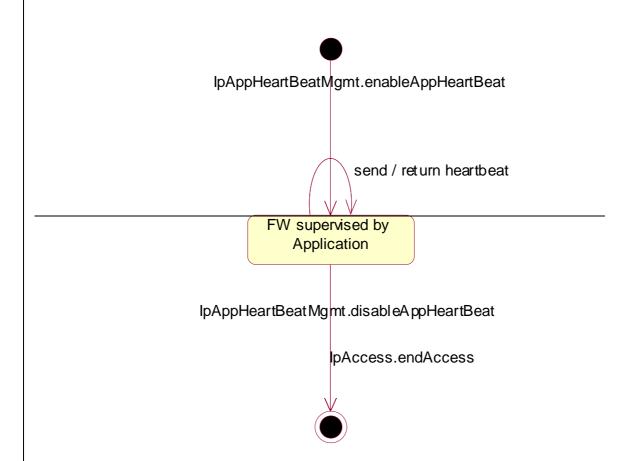


Figure: State Transition Diagram for IpHeatBeat

9.3.2.1FW supervised by Application State

In this state the Framework has requested the application for heartbeat supervision on itself. Periodically the application calls the send() method and the Framework returns it's heartbeat result.

3GPP TSG_CN5 (Open Service Access – OSA) Meeting #12, Sophia Antipolis, FRANCE, 16 – 19 July 2001

N5-010689

CHANGE REQUEST							
[#] 29	.198-03 CR 010 # ev - # C	Current version: 4.1.0 **					
For <u>HELP</u> on u	sing this form, see bottom of this page or look at the p	oop-up text over the # symbols.					
Proposed change	affects: 第 (U)SIM ME/UE Radio Acce	ess Network Core Network X					
Title: ♯	Add a releaseInterface() method to IpAccess						
Source: #	CN5						
Work item code: ₩	OSA1	Date: 第 30/08/2001					
Category:	Use one of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900.	Release: # REL-4 Use one of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) REL-4 (Release 4) REL-5 (Release 5)					
Reason for change: An entity using the framework currently uses obtainInterface or obtainInterfaceWithCallback to obtain interfaces such as the load management interfaces, or the heartbeat interfaces. Currently the only way in which these interfaces can be released is by ending the access session. When the access session is ended, all interface references given out during the session are no longer accessible. However, an entity using the framework may not need or desire to keep ALL of the interfaces it has obtained for the entire lifetime of an access session, which could last quite some time. This is holding framework resources unnecessarily.							
Summary of chang	Lucent proposes the addition of a releaseInterfal IpFwAccess interfaces. This will allow the entity release any of the interfaces it has obtained at a session, thus removing the need to hold the interesources) unnecessarily.	vusing the framework to be able to any point during the access					
Consequences if not approved:	# Framework resources will be retained unnecess session. Failure to adopt this CR would result in divergen specification and the ETSI/Parlay specifications.	nce between the 3GPP R4					
Clauses affected:	第 8.1.6						
Other specs affected:	# Other core specifications Test specifications O&M Specifications						
Other comments:	*						

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at: http://www.3gpp.org/3G_Specs/CRs.htm. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

Resulting changes

One new method and its description should be added to the IpAccess interface.

8.1.6 Interface Class IpAccess

Inherits from: IpInterface.

<<Interface>>

IpAccess

obtainInterface (interfaceName: in TpInterfaceName, fwInterface: out IpInterfaceRefRef): TpResult

 $obtain Interface With Callback\ (interface Name: in\ TpInterface Name, appInterface: in\ IpInterface Ref, appInterface (interface Name) appInterface (inte$

fwInterface : out IpInterfaceRefRef) : TpResult

selectService (serviceID: in TpServiceID, serviceToken: out TpServiceTokenRef): TpResult

signServiceAgreement (serviceToken : in TpServiceToken, agreementText : in TpString, signingAlgorithm : in TpSigningAlgorithm, signatureAndServiceMgr : out TpSignatureAndServiceMgrRef) : TpResult

 $terminate Service Agreement\ (service Token: in\ Tp Service Token,\ termination Text: in\ Tp String,$

digitalSignature : in TpString) : TpResult

endAccess (endAccessProperties : in TpEndAccessProperties) : TpResult

releaseInterface(interfaceName: in TpInterfaceName): TpResult

Method

releaseInterface()

The client application uses this method to release a framework interface that was obtained during this access session.

Parameters

interfaceName : in TpInterfaceName

This is the name of the framework interface which is being released. If the interfaceName is invalid, the framework throws the P INVALID INTERFACE NAME exception. If the interface has not been given to the client application during this access session, then the P_TASK_REFUSED exception will be thrown.

<u>Raises</u>

TpCommonExceptions, P_ACCESS_DENIED, P_INVALID_INTERFACE_NAME

CHANGE REQUEST								
[#] 29.1	98-3 CR 011	₩ ev -	# Current version: 4.1.0 #					
For <u>HELP</u> on using	For <u>HELP</u> on using this form, see bottom of this page or look at the pop-up text over the \$\mathbb{K}\$ symbols.							
Proposed change affects: (U)SIM								
Title: # Re	emoval of serviceID from	queryAppLoadR	Req()					
Source: # CN	N5							
Work item code: ₩ ○	SA1		Date: 第 30/08/2001					
Deta	e one of the following categ F (correction) A (corresponds to a corre B (addition of feature), C (functional modification) ailed explanations of the atound in 3GPP TR 21.900.	ection in an earlier	R97 (Release 1997) R98 (Release 1998) R99 (Release 1999)					
Reason for change: #	serviceIDs of type TpS representing "the appli should be reported". However, there is no notes a property of the request is using. The requester of the reques	eerviceIDList, which cation and/or the eed for this parar an APL to provided information wo ay, and therefore this information the	adReq() in IpAppLoadManager interface is sich the specification currently states as a services for which load statistic records ameter as it makes little sense for the de Load statistics records for the services it ould have been passed to the APL via the e the Framework must already be aware of then it would be a better approach for it to be instances directly.					
	ServiceIDs parameter function and confuses	in IpAppLoadMar the API. R would result in	n IpAppLoadManager.queryAppLoadReq(). anager.queryAppLoadReq() is has no a divergence between the 3GPP R4 iffications.					
Clauses affected: ₩	8.3.7							
Other specs # affected:	Other core specific Test specifications O&M Specification							
Other comments: #								

How to create CRs using this form:
Comprehensive information and tips about how to create CRs can be found at: http://www.3gpp.org/3G_Specs/CRs.htm. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

Resultant changes

8.3.7 Interface Class IpAppLoadManager

<<Interface>>

IpAppLoadManager

queryAppLoadReq (serviceIDs: in TpServiceIDList, timeInterval: in TpTimeInterval): TpResult

queryLoadRes (loadStatistics : in TpLoadStatisticList) : TpResult

queryLoadErr (loadStatisticsError : in TpLoadStatisticError) : TpResult loadLevelNotification (loadStatistics : in TpLoadStatisticList) : TpResult

resumeNotification (): TpResult suspendNotification (): TpResult

Method

queryAppLoadReq()

The framework uses this method to request the application to provide load statistic records for the application and/or for individual services used by the application.

Parameters

serviceIDs : in TpServiceIDList

Specifies the application and/or the services for which load statistic records should be reported. The application is designated by a null value.

timeInterval : in TpTimeInterval

Specifies the time interval for which load statistic records should be reported.

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N5-010695

CHANGE REQUEST						
* 2 9	198-03 CR 012					
For <u>HELP</u> on t	ring this form, see bottom of this page or look at the pop-up text over the ₩ symbols.					
Proposed change	ffects: 第 (U)SIM ME/UE Radio Access Network Core Network					
Title:	Addition of listInterfaces() method					
Source: #	CN5					
Work item code: ₩	OSA1 Date: # 30/08/2001					
	## Release: ## REL-4 ## Release: ## REL-4 ## Use one of the following categories: ## Correction ## Assuming a client is aware of the interfaces that the framework supports, then the client is able to obtain the interface reference using ## REL-4 ## Use one of the following releases: ## Correction ## Use one of the following releases: ## Correction ##					
method interface	obtainInterface()/obtainInterfaceWithCallback with the interfaceName as the parameter. However, the client only has knowledge of the availability of the prearranged interfaces and currently has no way to discover dynamically which interfaces are actually supported by the framework. method listInterfaces() along with its description are added to IpAccess. TpInterfaceNameList type is also introduced.					
Consequences if not approved:	A client application is not able to discover interfaces at run time, and therefore has access to only pre-arranged interfaces. Failure to adopt this CR would result in divergence between the 3GPP R4 specification and the ETSI/Parlay specifications.					
Clauses affected:	第 Chapter 8.1.6 and 15.1					
Other specs affected:	Cother core specifications Test specifications O&M Specifications					
Other comments:	lpha					

How to create CRs using this form:

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- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

Proposal

The proposal is to add a listInterfaces() method to the IpAccess interface, which would enable the client to obtain a list of the interfaces supported by the framework. This prevents the client from having to invoke obtainInterface() for the interface they want in the hope that it is available to them.

Resultant Changes

The effect of this proposal is a change to the IpAccess interface and Framework Data Definitions. One new method and description are added. One new data definition is added.

8.1.6 Interface Class IpAccess

Interface Class IpAccess

<<Interface>>

IpAccess

obtainInterface(interfaceName: in TpInterfaceName, fwInterface: out IparlayInterfaceRefRef): TpResult

obtainInterfaceWithCallback(interfaceName: in TpInterfaceName, appInterface: in IparlayInterfaceRef, fwInterface: out IparlayInterfaceRefRef): TpResult

selectService(serviceID: in TpServiceID, serviceToken: out TpServiceTokenRef): TpResult

signServiceAgreement(serviceToken: in TpServiceToken, agreementText: in TpString, signingAlgorithm: in TpSigningAlgorithm, signatureAndServiceMgr: out TpSignatureAndServiceMgrRef): TpResult

terminateServiceAgreement(serviceToken: in TpServiceToken, terminationText: in TpString, digitalSignature: in TpString): TpResult

endAccess(endAccessProperties: in TpEndAccessProperties): TpResult

listInterfaces(frameworkInterfaces: out TpInterfaceList): TpResult

Method

listInterfaces()

The client application uses this method to obtain the names of all interfaces supported by the framework. It can then obtain the interfaces it wishes to use using either obtainInterface() or obtainInterfaceWithCallback().

Parameters

frameworkInterfaces : out TpInterfaceNameList

The frameworkInterfaces parameter contains a list of interfaces that the framework makes available.

Raises

TpCommonExceptions, P_ACCESS_DENIED

15.1.33 TpInterfaceNameList

This data type defines a Numbered Set of Data Elements of type TpInterfaceName.

3GPP TSG_CN5 (Open Service Access – OSA) Meeting #12, Sophia Antipolis, FRANCE, 16 – 19 July 2001

CR-Form-v4 CHANGE REQUEST																
CHANGE REQUEST																
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For <u>HELF</u>	on u	sing t	his for	m, se	e bottoi	m of thi	s pag	e or	look a	at the	e pop-up	text	over	the 🖁 :	symb	ols.
Proposed cha	ange a	affec	!s: ₩	(U)	SIM	ME	/UE		Radi	io Ac	cess Ne	twork		Core	Netv	ork X
Title:	ж	Intro	ductio	n and	use of	new Se	rvice	Insta	ance	ID						
Source:	ж	CN	5													
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Category:	**	Deta	F (corr A (corr B (add C (fund D (edit iled exp	rection, respon dition o ctional torial n) ds to a f feature modificat	ation of it ion) ne above	on in a	e)		elease	2 e) R96 R97 R98 R98	<u>ne</u> of t 6 7 8 9 L-4	(GSN (Rele (Rele (Rele (Rele (Rele	L-4 llowing 1 Phase ase 199 ase 199 ase 199 ase 4) ase 5)	2) 96) 97) 98)	ses:
Reason for C	Reason for change: The individual service instances need to set up access sessions with the Framework and obtain separate Integrity Management interfaces. This then allows them to provide load, fault and heartbeat information to the Framework on an individual basis. Currently, this is not possible, and the specification is confused over what should be performed on a per-service basis and what should be performed on a per-service instance basis.							ın								
Summary of change: # This requires that a new serviceInstanceID is introduced. The serviceInstanceID generated by the Framework and passed to the Service Factory. It is used when the service instance exchanges integrity management interfaces with the framework. This service instance ID will be used by the framework to correlate requests for service integrity management statistics with the service instance for that client					when											
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How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at: http://www.3gpp.org/3G Specs/CRs.htm. Below is a brief summary:

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

Proposal to introduce a new Service Instance ID data type and use it in place of serviceID for authentication and access

Problem Description

It is unclear from the specification what the nature of the relationship is between service instances and the Framework.

One view is that the Framework is unaware of the existence of the instances themselves and only has knowledge of the "service". Essentially this means that an entity representing the service (and identified by the serviceID) sets up an access session with the Framework and obtains all of the Integrity Management interfaces.

The problem is that the Framework cannot then identify which instance of a service an invocation of Integrity Management methods belongs on and therefore information such as load level and fault statistics can only apply collectively to all instances of a service. In addition, if an application wants to indicate that it can't use an instance and therefore wants to end its session the "service" has to determine which instance is being terminated as the Framework has no way of doing this.

The other view is that the individual instances set up access sessions with the Framework and obtain separate Integrity Management interfaces. This allows them to provide load, fault and heartbeat information to the Framework on an individual basis.

Solution

We believe that the Integrity Management functionality must happen on a per-instance basis for useful information to be available to the application that is using the service instance. Therefore the instance needs to have an access session available when it is created.

To do this it will need to authenticate with the Framework (using keys that perhaps have been passed to it by the Factory on creation) and then requestAccess. It will need to identify itself to the Framework in order that the Framework can determine which interfaces belong to which instance.

This requires that a new serviceInstanceID is introduced. The serviceInstanceID is generated by the Framework and passed to the Service Factory.

It could either be used in the intiateAuthentication call in place of serviceID, or it could be added to either the requestAccess or obtainInterface* methods, as outlined in the options below.

Option 1 – Use serviceInstanceID in initiateAuthentication

The Framework would need to correlate the serviceInstanceID to a key in order to complete the authentication process. This could possibly be done by only assigning keys at the serviceID domain level, thus using the same key for all instances.

Option 2 – Add serviceInstanceID to requestAccess

In other words, the serviceID is used to authenticate but then the access session being started by the service instance is identified to the Framework using the instance ID. From then on, any interfaces obtained by the instance can be correlated by the Framework.

It is possible that a single entity representing all of the interfaces could do the authentication (eg the Factory) and then pass the authentication interface reference to each of the instances it creates.

One drawback to this approach is that the method signature for requestAccess changes and the new version is only appropriate on the FW-SVC side. Another possible issue is what happens if the single entity becomes no longer authenticated and all of the access sessions are killed? In that case the single entity would need to be able to inform the instances.

Option 3 - Add serviceInstanceID to obtainInterface*

Again, the serviceID is used to authenticate but requestAccess is effectively done under the guise of the serviceID. The instance ID is then only used as each required interface is obtained.

It would be possible for a single entity to set up a single access session and provide a reference to the FW interface to each instance (again at creation of the instance). Again a problem arises if the access session is lost, as all interfaces obtained during that session are also lost. The single entity would require the ability to inform the instances and give them a new access interface reference.

Again a drawback is the need to update the method signatures.

Recommendation

Our recommendation is that Option 1 is chosen as having the smallest impact on the API.

Resultant Changes

The following changes are required: -

- 1. A new data type TpServiceInstanceID
- 2. A new parameter of this type added to createServiceManager()
- 3. A new TpDomainIDType value

Note that the definition of TpServiceID is currently misleading and it is suggested that the update shown below is made.

15.1.15 TpServiceID

This data type is identical to a TpString, and is defined as a string of characters that uniquely identifies \underline{a} registered an instance of a SCF interface. The string is automatically generated by the Framework

15.1.33 TpServiceInstanceID

This data type is identical to a TpString, and is defined as a string of characters that uniquely identifies an instance of a registered SCF interface. The string is automatically generated by the Framework

15.1.4 TpDomainIDType

Defines either the framework or the type of entity attempting to access the framework

Name	Value	Description
P_FW	0	The framework
P_CLIENT_APPLICATION	1	A client application
P_ENT_OP	2	An enterprise operator
P_SERVICE_INSTANCEREGISTERED_SERVICE	3	A registered service
P_SERVICE_SUPPLIER	4	A service supplier

12.2 Service Factory Interface Classes

The IpSvcFactory interface allows the framework to get access to a service manager interface of a service. It is used during the signServiceAgreement, in order to return a service manager interface reference to the application. Each service has a service manager interface that is the initial point of contact for the service. E.g., the generic call control service uses the IpCallControlManager interface.

12.2.1 Interface Class IpSvcFactory

Inherits from: IpInterface.

<<Interface>>
IpSvcFactory

dreateServiceManager (application : in TpClientAppID, <u>instanceID : in TpServiceInstanceID</u>, serviceProperties : in TpServicePropertyList, serviceManager : out IpServiceRefRef) : TpResult

Method

createServiceManager()

This method returns a new service manager interface reference for the specified application. The service instance will be configured for the client application using the properties agreed in the service level agreement.

Parameters

application : in TpClientAppID

Specifies the application for which the service manager interface is requested.

instanceID : in TpServiceInstanceID

Specifies the Service Instance ID to be associated with the instance of the service. The Service Factory should pass this ID to the instance it creates.

serviceProperties : in TpServicePropertyList

Specifies the service properties and their values that are to be used to configure the service instance. These properties form a part of the service level agreement. An example of these properties is a list of methods that the client application is allowed to invoke on the service interfaces.

serviceManager : out IpServiceRefRef

Specifies the service manager interface reference for the specified application ID.

Raises

TpCommonExceptions, P_INVALID_PROPERTY

CHANGE REQUEST								
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For <u>HELP</u> on	sing this form, see bottom of this page or look at the pop-up text over the \ s	ymbols.						
Proposed change	affects: 第 (U)SIM ME/UE Radio Access Network Core N	Network X						
Title: 3	P_UNAUTHORISED_PARAMETER_VALUE thrown if non-accessible service provided	eID is						
Source: 3	CN5							
Work item code: ₩	OSA1 Date: # 30/08/2001							
Category:	F Use one of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900. REL-4 REL-4 REL-4 REL-4 REL-4 REL-5 (Release 1998 REL-4 (Release 4) REL-5 (Release 5)	2) 5) 7) 3)						
Reason for chang	Reason for change: A number of methods on the IpLoadManager and IpFaultManager interfaces take either a single serviceID or a list of serviceIDs as a parameter. An APL should only be allowed to invoked these methods if it has access to the specified service(s) (signed a service agreement), as it is insecure to allow an APL to query the fault or load statistics for someone else's instance of a service.							
Summary of chan	It is proposed that any method on the IpLoadManager and IpFaultManager interfaces that accepts either a single serviceID or a list of serviceIDs as parameter should check that the APL has signed a service agreement for specified service(s). If not, then the P_UNAUTHORISED_PARAMETER exception should be thrown, with the string field of the exception indicating serviceID at fault.	a or the R_VALUE						
Consequences if not approved:	Applications would be able to query information on other people's instan service.	ces of a						
	Failure to adopt this CR would result in divergence between the 3GPP R specification and the ETSI/Parlay specifications.	4						
Clauses affected:	策 8.3.2, 8.3.8							
Other specs affected:	# Other core specifications Test specifications O&M Specifications							
Other comments:	¥							

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- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

Problem

A number of methods on the IpLoadManager and IpFaultManager interfaces take either a single serviceID or a list of serviceIDs as a parameter. An APL should only be allowed to invoked these methods if it has access to the specified service(s) (signed a service agreement), as it doesn't seem to make sense to allow an APL to query the fault or load statistics for someone else's instance of a service.

Proposal

When a service agreement has been signed, that is when the FW operator agrees to provide a service to the application. This agreement most likely includes some kind of quality of service parameters (e.g. the maximum number of faults allowed before the agreement is to be terminated). It is only then that an APL should have access to this data.

Lucent proposes that any method on the IpLoadManager and IpFaultManager interfaces that accepts either a single serviceID or a list of serviceIDs as a parameter should check that the APL has signed a service agreement for the specified service(s). If not, then the

P_SERVICE_ACCESS_DENIED_UNAUTHORISED_PARAMETER_VALUE exception should be thrown, with the string field of the exception indicating the serviceID at fault.

Resulting changes

8.3.2 Interface Class IpFaultManager

Method

activityTestReq()

The application invokes this method to test that the framework or a service is operational. On receipt of this request, the framework must carry out a test on itself or on the specified service, to check that it is operating correctly. The framework reports the test result by invoking the activityTestRes method on the IpAppFaultManager interface. If the application does not have access to a service instance with the specified serviceID, the

P_UNAUTHORISED_PARAMETER_VALUE exception shall be thrown. The extraInformation field of the exception shall contain the corresponding serviceID.

Parameters

activityTestID : in TpActivityTestID

The identifier provided by the client application to correlate the response (when it arrives) with this request.

svcID : in TpServiceID

Identifies either the framework or a service for testing. The framework is designated by a null value.

Raises

TpCommonExceptions,P_INVALID_SERVICE_ID, P_UNAUTHORISED_PARAMETER_VALUE

Method

svcUnavailableInd()

This method is used by the client application to inform the framework that it can no longer use the indicated service (either due to a failure in the client application or in the service). On receipt of this request, the framework should take the appropriate corrective action. The framework assumes that the session between this client application and service instance is to be closed and updates its own records appropriately as well as attempting to inform the service instance and/or its administrator. Attempts by the client application to continue using this session should be rejected. If the application does not have access to a service instance with the specified serviceID, the

P_UNAUTHORISED_PARAMETER_VALUE exception shall be thrown. The extraInformation field of the exception

Parameters

serviceID: in TpServiceID

Identifies the service that the application can no longer use.

Raises

TpCommonExceptions ,P_INVALID_SERVICE_ID, P_UNAUTHORISED_PARAMETER_VALUE

Method

genFaultStatsRecordReq()

This method is used by the application to solicit fault statistics from the framework. On receipt of this request the framework must produce a fault statistics record, for the framework and/or for specified services during the specified time interval, which is returned to the client application using the genFaultStatsRecordRes operation on the IpAppFaultManager interface. If the application does not have access to a service instance with the specified serviceID, the P_UNAUTHORISED_PARAMETER_VALUE exception shall be thrown. The extraInformation field of the exception shall contain the corresponding serviceID.

Parameters

timePeriod : in TpTimeInterval

The period over which the fault statistics are to be generated. A null value leaves this to the discretion of the framework.

serviceIDs : in TpServiceIDList

Specifies the framework and/or services to be included in the general fault statistics record. The framework is designated by a null value.

Raises

TpCommonExceptions-, P_INVALID_SERVICE_ID, P_UNAUTHORISED_PARAMETER_VALUE

8.3.8 Interface Class IpLoadManager

Method

queryLoadReq()

The client application uses this method to request the framework to provide load statistic records for the framework or for individual services used by the application.——If the application does not have access to a service instance with the specified serviceID, the P_UNAUTHORISED_PARAMETER_VALUE exception shall be thrown. The extraInformation field of the exception shall contain the corresponding serviceID.

Parameters

serviceIDs : in TpServiceIDList

Specifies the framework or the services for which load statistics records should be reported. If this parameter is not an empty list, load statistics records of the specified services are returned, otherwise the load statistics record of the framework is returned.

timeInterval: in TpTimeInterval

Specifies the time interval for which load statistics records should be reported.

Raises

TpCommonExceptions,_P_INVALID_SERVICE_ID,_P_SERVICE_NOT_ENABLED,
P UNAUTHORISED PARAMETER VALUE

Method

registerLoadController()

The client application uses this method to register to receive notifications of load level changes associated with the framework and/or with individual services used by the application. <u>If the application does not have access to a service instance with the specified serviceID</u>, the P_UNAUTHORISED_PARAMETER_VALUE exception shall be thrown. The extraInformation field of the exception shall contain the corresponding serviceID.

Parameters

serviceIDs : in TpServiceIDList

Specifies the framework and SCFs to be registered for load control. To register for framework load control only, the serviceIDs is null.

Raises

TpCommonExceptions, P_INVALID_SERVICE_ID, P_UNAUTHORISED_PARAMETER_VALUE

Method

unregisterLoadController()

The client application uses this method to unregister for notifications of load level changes associated with the framework and/or with individual services used by the application. <u>If the application does not have access to a service instance with the specified serviceID</u>, the P_UNAUTHORISED_PARAMETER_VALUE exception shall be thrown. The extraInformation field of the exception shall contain the corresponding serviceID.

Parameters

serviceIDs : in TpServiceIDList

Specifies the framework and/or the services for which load level changes should no longer be reported. The framework is designated by a null value.

Raises

TpCommonExceptions, P_INVALID_SERVICE_ID, P_UNAUTHORISED_PARAMETER_VALUE

Method

resumeNotification()

The client application uses this method to request the framework to resume sending it load management notifications associated with the framework and/or with individual services used by the application; e.g. after a period of suspension during which the application handled a temporary overload condition. If the application does not have access to a service instance with the specified serviceID, the P UNAUTHORISED PARAMETER VALUE exception shall be thrown. The extraInformation field of the exception shall contain the corresponding serviceID.

Parameters

serviceIDs : in TpServiceIDList

Specifies the framework and/or the services for which the sending of notifications of load level changes by the framework should be resumed. The framework is designated by a null value.

Raises

TpCommonExceptions,_P_INVALID_SERVICE_ID,_P_SERVICE_NOT_ENABLED,
P UNAUTHORISED PARAMETER VALUE

Method

suspendNotification()

The client application uses this method to request the framework to suspend sending it load management notifications associated with the framework and/or with individual services used by the application; e.g. while the application handles a temporary overload condition. If the application does not have access to a service instance with the specified serviceID, the P_UNAUTHORISED_PARAMETER_VALUE exception shall be thrown. The extraInformation field of the exception shall contain the corresponding serviceID.

Parameters

serviceIDs : in TpServiceIDList

Specifies the framework and/or the services for which the sending of notifications by the framework should be suspended. The framework is designated by a null value

Raises

TpCommonExceptions,_P_INVALID_SERVICE_ID,_P_SERVICE_NOT_ENABLED,
P_UNAUTHORISED_PARAMETER_VALUE

CHANGE REQUEST							
[#] 29.1	98-03 CR 015	₩ ev	# Current version: 4.1.0				
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Proposed change affo	ects: 第 (U)SIM	ME/UE Radio	Access Network Core Network X				
Title: Ж In	troduction of Service Instar	nce Lifecycle Mana	agement				
Source: # (CN5						
Work item code: 第 (OSA1		Date: 第 30/08/2001				
De	se <u>one</u> of the following categor F (correction) A (corresponds to a correction) B (addition of feature), C (functional modification) D (editorial modification) etailed explanations of the above found in 3GPP TR 21.900.	ection in an earlier rel	Release: # REL-4 Use one of the following releases: 2 (GSM Phase 2) lease) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) REL-4 (Release 4) REL-5 (Release 5)				
Reason for change:	indicate that the Session IpSvcFaultManager inte shouldn't be using those be terminated because	n has been termina erface. Even if that e methods if, for ex the contract govern	an invoke on the Service instance to ated unless the Service implements the interface is supported the Framework cample, the Service Agreement should ning its terms expires. There needs to be to terminate the service instance.				
Summary of change:	introduced. This interfact method on IpSvcFactor	ce will replace the I y (createServiceMa	iceInstanceLifecycleManager, is IpSvcFactory interface. The existing anager) is carried over to the new royServiceManager – is supported.				
Consequences if not approved:	fault management interf terminated and will there	faces. This means efore hold resource would result in div	vergence between the 3GPP R4				
Clauses affected:	第 6.4, 10.1, 10.2, 12.2, 1	3.2					
Other specs affected:	MOther core specifications O&M Specifications	ations #					
Other comments:	*						

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

Current Situation

A service instance is brought into existence when the Application invokes signServiceAgreement on the Framework. The Framework uses a Service Factory to create the instance, and a reference to it is returned to the Application.

It could be considered then that a Session between the Application and Service instance has been started.

This Session is considered to be ended under the following conditions:-

The Application invokes IpAccess.terminateServiceAgreement()

The Application invokes IpFaultManager.svcUnavailableInd()

The Service instance invokes IpFwFaultManager.appUnavailableInd()

The Service instance invokes IpFwFaultManager.svcRemovalInd()

The Framework invokes IpSvcFaultManager.svcUnavailableInd() – as a result of item 2 above

The Framework invokes IpSvcFaultManager.appRemovalInd() – possibly as a result of item 2 above

(If the service instance invokes either of the above IpFwFaultManager methods the Framework needs to pass that information on to the application, which can then decide whether to end the service agreement or not. Currently, the IpAppFaultManager interface is lacking an appRemovalInd method so this cannot be done. Likewise, the IpFaultManager is lacking a svcUnavailableInd which the application could invoke to inform the framework that it is having trouble with the service.)

Issue

There is currently no method that the Framework can invoke on the Service instance to indicate that the Session has been terminated unless the Service implements the IpSvcFaultManager interface. Even if that interface is supported the Framework shouldn't be using those methods if, for example, the Service Agreement should be terminated because the contract governing its terms expires. There needs to be a method that the framework can invoke to terminate the service instance.

Proposal

The original proposal in N5-010577 is superceded as a result of discussions held at the meeting in Sophia Antipolis. The new proposal follows.

It is proposed that a new interface, IpServiceInstanceLifecycleManager, is introduced. This interface will replace the IpSvcFactory interface.

The existing method on IpSvcFactory (createServiceManager) is carried over to the new interface. In addition a new method - destroyServiceManager - is supported.

When an Application wants to end the session it has with a Service it invokes terminateServiceAgreement() on the Framework's IpAccess interface and the Framework will invoke destroyServiceManager() on the Service's Service Instance Lifecycle manager.

Resultant Changes

- □ New IpServiceInstanceLifecycleManager interface
- □ IpSvcFactory interface removed
- ☐ The announceServiceAvailability method must be updated

In addition numerous sequence diagrams, class diagrams and text which include the IpSvcFactory interface or refer to the service factory need to be updated.

Note: The service factory is referenced in the sequence diagram in section 10.2.1. This has been changed in this contribution to reference the service instance lifecycle manager, but the change will not show up properly.

Note that N5-010697 introduces the service instance id to the createServiceManager method of IpSvcFactory so this should be carried over into the new IpServiceInstanceLifecycleManager.

12.2.1 Interface Class IpSvcFactory

Inherits from: IpInterface.

<<Interface>>

IpSvcFactory

reateServiceManager (application : in TpClientAppID, serviceProperties : in TpServicePropertyList, serviceManager : out IpServiceRefRef) : TpResult

Method

createServiceManager()

This method returns a new service manager interface reference for the specified application. The service instance will be configured for the client application using the properties agreed in the service level agreement.

Parameters

application : in TpClientAppID

Specifies the application for which the service manager interface is requested.

serviceProperties : in TpServicePropertyList

Specifies the service properties and their values that are to be used to configure the service instance. These properties form a part of the service level agreement. An example of these properties is a list of methods that the client application is allowed to invoke on the service interfaces.

serviceManager : out IpServiceRefRef

Specifies the service manager interface reference for the specified application ID.

Raises

TpCommonExceptions, P_INVALID_PROPERTY

12.2 Service Factory Instance Lifecycle Manager Interface Classes

The <u>IpSvcFactory IpServiceInstanceLifecycleManager</u> interface allows the framework to get access to a service manager interface of a service. It is used during the signServiceAgreement, in order to return a service manager interface reference to the application. Each service has a service manager interface that is the initial point of contact for the service. E.g., the generic call control service uses the IpCallControlManager interface.

12.2.1 Interface Class IpServiceInstanceLifecycleManager

Inherits from: IpInterface.

The IpServiceInstanceLifecycleManager interface allows the Framework to create and destroy Service Manager Instances.

<<Interface>>

IpServiceInstanceLifecycleManager

<u>createServiceManager (application : in TpClientAppID, serviceProperties : in TpServicePropertyList, serviceInstanceID : in TpServiceInstanceID, serviceManager : out IpServiceRefRef) : TpResult</u>

destroyServiceManager (serviceInstanceID : in TpServiceInstanceID) : TpResult

Method

createServiceManager()

This method returns a new service manager interface reference for the specified application. The service instance will be configured for the client application using the properties agreed in the service level agreement.

Parameters

application : in TpClientAppID

Specifies the application for which the service manager interface is requested.

serviceProperties : in TpServicePropertyList

Specifies the service properties and their values that are to be used to configure the service instance. These properties form a part of the service level agreement. An example of these properties is a list of methods that the client application is allowed to invoke on the service interfaces.

serviceInstanceID : in TpServiceInstanceID

Specifies the Service Instance ID that the new Service Manager is to be identified by.

serviceManager : out IpServiceRefRef

Specifies the service manager interface reference for the specified application ID.

Raises

TpCommonExceptions, P_INVALID_PROPERTY

Method

destroyServiceManager()

This method destroys an existing service manager interface reference. This will result in the client application being unable to use the service manager any more.

Parameters

serviceInstance : in TpServiceInstanceID

Identifies the Service Instance to be destroyed.

Raises

TpCommonExceptions

6.4 Trust and Security Management Sequence Diagrams

6.4.1 Service Selection

The following figure shows the process of selecting an SCF.

After discovery the Application gets a list of one or more SCF versions that match its required description. It now needs to decide which service it is going to use; it also needs to actually get a way to use it.

This is achieved by the following two steps:

1: Service Selection: first step - selectService

In this first step the Application identifies the SCF version it has finally decided to use. This is done by means of the serviceID, which is the agreed identifier for SCF versions. The Framework acknowledges this selection by returning to the Application a new identifier for the service chosen: a service token, that is a private identifier for this service between this Application and this network, and is used for the process of signing the service agreement. Input is:

in serviceID

This identifies the SCF required.

And output:

out serviceToken

This is a free format text token returned by the framework, which can be signed as part of a service agreement. It contains operator specific information relating to the service level agreement.

2: Service Selection: second step - signServiceAgreement

In this second step an agreement is signed that allows the Application to use the chosen SCF version. And once this contractual details have been agreed, then the Application can be given the means to actually use it. The means are a reference to the manager interface of the SCF version (remember that a manager is an entry point to any SCF). By calling the createServiceManager operation on the <u>lifecycle managerservice factory</u> the Framework retrieves this interface and returns it to the Application. The service properties suitable for this application are also fed to the SCF (via the <u>service factory lifecycle manager</u> interface) in order for the SCS to instantiate an SCF version that is suitable for this application.

Input:

in serviceToken

This is the identifier that the network and Application have agreed to privately use for a certain version of SCF.

in agreementText

This is the agreement text that is to be signed by the Framework using the private key of the Framework.

in signingAlgorithm

This is the algorithm used to compute the digital signature.

Output:

out signatureAndServiceMgr

This is a reference to a structure containing the digital signature of the Framework for the service agreement, and a reference to the manager interface of the SCF.

10.1 Service Registration Sequence Diagrams

10.1.1 New SCF Registration

The following figure shows the process of registering a new Service Capability Feature in the Framework. Service Registration is a two step process:

1: Registration: first step - register service

The purpose of this first step in the process of registration is to agree, within the network, on a name to call, internally, a newly installed SCF version. It is necessary because the OSA Framework and SCF in the same network may come from different vendors. The goal is to make an association between the new SCF version, as characterized by a list of properties, and an identifier called serviceID.

This service ID will be the name used in that network (that is, between that network's Framework and its SCSs), whenever it is necessary to refer to this newly installed version of SCF (for example for announcing its availability, or for withdrawing it later).

The following input parameters are given from the SCS to the Framework in this first registration step:

in serviceTypeName

This is a string with the name of the SCF, among a list of standard names (e.g. "P_MPCC").

in servicePropertyList

This is a list of types TpServiceProperty; each TpServiceProperty is a triplet (ServicePropertyName, ServicePropertyValueList, ServicePropertyMode).

- ServicePropertyName is a string that defines a valid SFC property name (valid SCF property names are listed in the SCF data definition).
- ServicePropertyValueList is a numbered set of types TpServicePropertyValue; TpServicePropertyValue is a string that describes a valid value of a SCF property (valid SCF property values are listed in the SCF data definition).
- ServicePropertyMode is the value of the property modes (e.g. "mandatory", meaning that all properties of this SCF must be given values at service registration time).

The following output parameter results from service registration:

out serviceID

This is a string, automatically generated by the Framework of this network, based on the following:

- a string that contains a unique number, generated by the Framework;
- a string that identifies the SCF name (e.g. "P MPCC");
- · a concatenation of strings that identify the SCF specialization, if any.

This is the name by which the newly installed version of SCF, described by the list of properties above, is going to be identified internally in this network.

2: Registration: second step - announce service availability

At this point the network's Framework is aware of the existence of a new SCF, and could let applications know - but they would have no way to use it. Installing the SCS logic and assigning a name to it does not make this SCF available. In order to make the SCF available an "entry point", called service factorylifecycle manager, is used. The role of the lifecycle managerservice factory is to control the life cycle of an interface, or set of interfaces, and provide clients with the references that are necessary to invoke the methods offered by these interfaces. The starting point for a client to use an SCF is to obtain an interface reference to a lifecycle managerservice factory of the desired SCF.

A Network Operator, upon completion of the first registration phase, and once it has an identifier to the new SCF version, will instantiate a <u>lifecycle managerservice factory</u> for it that will allow client to use it. Then it will inform the Framework of the value of the interface associated to the new SCF. After the receipt of this information, the Framework makes the new SCF (identified by the pair [serviceID, <u>serviceInstanceLifecycleManagerserviceFactory</u>Ref]) discoverable.

The following input parameters are given from the SCS to the Framework in this second registration step:

in serviceID

This is the identifier that has been agreed in the network for the new SCF; any interaction related to the SCF needs to include the serviceID, to know which SCF it is.

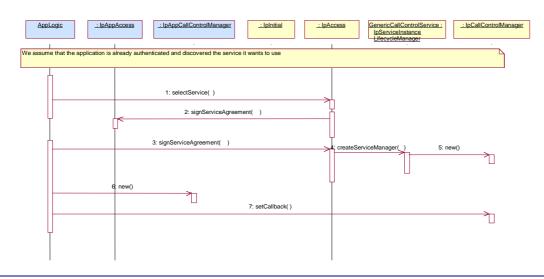
in serviceFactoryRefserviceInstanceLifecycleManagerRef

This is the interface reference at which the <u>service factorylifecycle manager</u> of the new SCF is available. Note that the Framework will have to invoke the method createServiceManager() in this interface, any time between now and when it accepts the first application requests for discovery, so that it can get the service manager interface necessary for applications as an entry point to any SCF.

10.2 Service Factory Instance Lifecycle Manager Sequence Diagrams

10.2.1 Sign Service Agreement

This sequence illustrates how the application can get access to a specified service. It only illustrates the last part: the signing of the service agreement and the corresponding actions towards the service. For more information on accessing the framework, authentication and discovery of services, see the corresponding sections.



- 1: The application selects the service, using a serviceID for the generic call control service. The serviceID could have been obtained via the discovery interface. A ServiceToken is returned to the application.
- 2: The framework signs the service agreement.
- 3: The client application signs the service agreement. As a result a service manager interface reference (in this case of type IpCallControlManager) is returned to the application.
- 4: Provided the signature information is correct and all conditions have been fulfilled, the framework will request the service identified by the serviceID to return a service manager interface reference. The service manager is the initial point of contact to the service.
- 5: The <u>service factorylifecycle manager</u> creates a new manager interface instance (a call control manager) for the specified application. It should be noted that this is an implementation detail. The service implementation may use other mechanism to get a service manager interface instance.
- 6: The application creates a new IpAppCallControlManager interface to be used for callbacks.
- 7: The Application sets the callback interface to the interface created with the previous message.

13.2 Service Factory Instance Lifecycle Manager State Transition Diagrams

There are no State Transition Diagrams defined for the Service Factory Instance Lifecycle Manager.

N5-010708

		CR-Form-v4			
CHANGE REQUEST					
[#] 29.	198-03 CR 016 # ev - # C	Current version: 4.1.0 [%]			
For <u>HELP</u> on us	ing this form, see bottom of this page or look at the	pop-up text over the # symbols.			
Proposed change affects: (U)SIM ME/UE Radio Access Network Core Network ▼					
Title:	Title:				
Source: #	CN5				
Work item code: ₩	OSA1	Date: 第 30/08/2001			
i de la company.	Use one of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900.	Release: REL-4 Use one of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) REL-4 (Release 4) REL-5 (Release 5)			
Reason for change:	By having specified only the interfaces for registrati specification does not allow multi-vendorship as it is TS 29.198 from one vendor to work with the FW im this moment there is e.g. no support for obtaining ac integrity management, etc between an SCS and the I is no way to fulfill multi-vendorship. In addition, in order to support e.g. registration of ne the same domain as the Framework it is required to SCSs obtain access to the OSA Framework. At this not clear on how trusted entities would gain access there is currently also no support for multi-vendorship.	s not possible for an SCS based on the aplementation of another vendor. At cress to the OSA framework, the FW in the TS 29.198. Therefore there we Service Capabilities that are within unambigously specify on how trusted moment, however, the specification is to the OSA Framework. Therefore,			
Summary of change	The multi-vendorship requirement can be fulfilled when the complete set of interfaces between Framework and Services, as present in the scope of ETSI SPAN 12 and Parlay 3.0, is adopted in the TS 29.198. Therefore in this CR the complete set of interfaces between SCS and FW is introduced. This allows support for multi-vendorship (in multiple domains). For the multi-vendorship within a single domain a new sequence in the Trust and Security Management is introduced (section 10.1.1) to show how trusted parties obtain access to the OSA Framework.				
Consequences if not approved:	* No support for multi-vendorship.				
Clauses affected:	₩ 10				
Other specs affected:	Other core specifications Test specifications O&M Specifications				

Other comments:

How to create CRs using this form:

 \mathfrak{R}

Comprehensive information and tips about how to create CRs can be found at: http://www.3gpp.org/3G Specs/CRs.htm. Below is a brief summary:

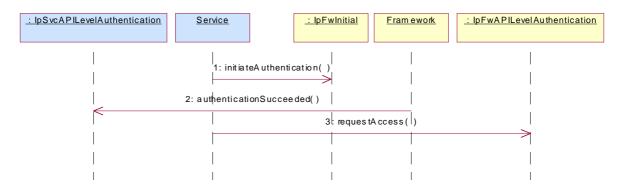
- 1) Fill out the above form. The symbols above marked \$\mathbb{X}\$ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

10 Framework-to-Service Sequence Diagrams

10.1 <u>Trust and Security Management Sequence Diagrams</u>

10.1.1 Initial Access for trusted parties

The following figure shows a trusted party (SCS), typically within the same domain as the Framework, accessing the OSA Framework for the first time. Trusted parties don't need to be authenticated and after contacting the Initial interface the Framework will indicate that no further authentication is needed and that the SCS can immediately gain access to other framework interfaces. This is done by invoking the requestAccess method.



1: Initiate Authentication

The Application invokes initiate Authentication on the Framework's "public" (initial contact) interface to initiate the authentication process. It provides in turn a reference to its own authentication interface. The Framework returns a reference to its authentication interface.

2: Authentication Succeeded

Based on the domainID information that was supplied in the Iniate Authentication step, the Framework knows it deals with a trusted party and no further authentication is needed. Therefore the Framework provides the authentication succeeded indication.

3: Request Access

The Application invokes requestAccess on the Framework's API Level Authenticaiton interface, providing in turn a reference to its own access interface. The Framework returns a reference to its access interface.

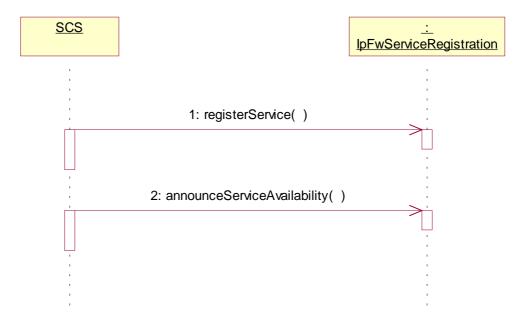
10.2 <u>Service Discovery Sequence Diagrams</u>

No Sequence Diagrams exist for Service Discovery

10.110.3 Service Registration Sequence Diagrams

10.1.1 New SCF Registration

The following figure shows the process of registering a new Service Capability Feature in the Framework. Service Registration is a two step process:



1: Registration: first step - register service

The purpose of this first step in the process of registration is to agree, within the network, on a name to call, internally, a newly installed SCF version. It is necessary because the OSA Framework and SCF in the same network may come from different vendors. The goal is to make an association between the new SCF version, as characterized by a list of properties, and an identifier called serviceID.

This service ID will be the name used in that network (that is, between that network's Framework and its SCSs), whenever it is necessary to refer to this newly installed version of SCF (for example for announcing its availability, or for withdrawing it later).

The following input parameters are given from the SCS to the Framework in this first registration step:

· in serviceTypeName

This is a string with the name of the SCF, among a list of standard names (e.g. "P_MPCC").

· in servicePropertyList

This is a list of types TpServiceProperty; each TpServiceProperty is a triplet (ServicePropertyName, ServicePropertyValueList, ServicePropertyMode).

- · ServicePropertyName is a string that defines a valid SFC property name (valid SCF property names are listed in the SCF data definition).
- · ServicePropertyValueList is a numbered set of types TpServicePropertyValue; TpServicePropertyValue is a string that describes a valid value of a SCF property (valid SCF property values are listed in the SCF data definition).
- · ServicePropertyMode is the value of the property modes (e.g. "mandatory", meaning that all properties of this SCF must be given values at service registration time).

The following output parameter results from service registration:

· out serviceID

This is a string, automatically generated by the Framework of this network, based on the following:

- · a string that contains a unique number, generated by the Framework;
- · a string that identifies the SCF name (e.g. "P_MPCC");

· a concatenation of strings that identify the SCF specialization, if any.

This is the name by which the newly installed version of SCF, described by the list of properties above, is going to be identified internally in this network.

2: Registration: second step - announce service availability

At this point the network's Framework is aware of the existence of a new SCF, and could let applications know - but they would have no way to use it. Installing the SCS logic and assigning a name to it does not make this SCF available. In order to make the SCF available an "entry point", called service factory, is used. The role of the service factory is to control the life cycle of an interface, or set of interfaces, and provide clients with the references that are necessary to invoke the methods offered by these interfaces. The starting point for a client to use an SCF is to obtain an interface reference to a factory of the desired SCF.

A Network Operator, upon completion of the first registration phase, and once it has an identifier to the new SCF version, will instantiate a factory for it that will allow client to use it. Then it will inform the Framework of the value of the interface associated to the new SCF. After the receipt of this information, the Framework makes the new SCF (identified by the pair [serviceID, serviceFactoryRef]) discoverable.

The following input parameters are given from the SCS to the Framework in this second registration step:

· in serviceID

This is the identifier that has been agreed in the network for the new SCF; any interaction related to the SCF needs to include the serviceID, to know which SCF it is.

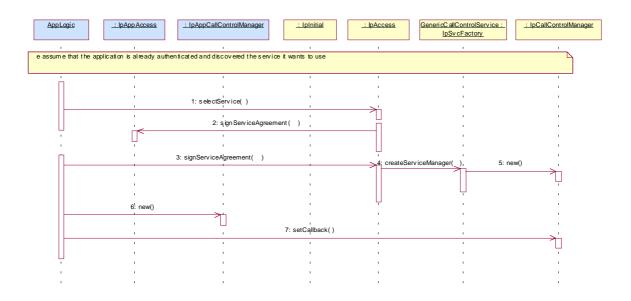
· in serviceFactoryRef

This is the interface reference at which the service factory of the new SCF is available. Note that the Framework will have to invoke the method createServiceManager() in this interface, any time between now and when it accepts the first application requests for discovery, so that it can get the service manager interface necessary for applications as an entry point to any SCF.

Service Factory Sequence Diagrams

10.2.110.4.1 Sign Service Agreement

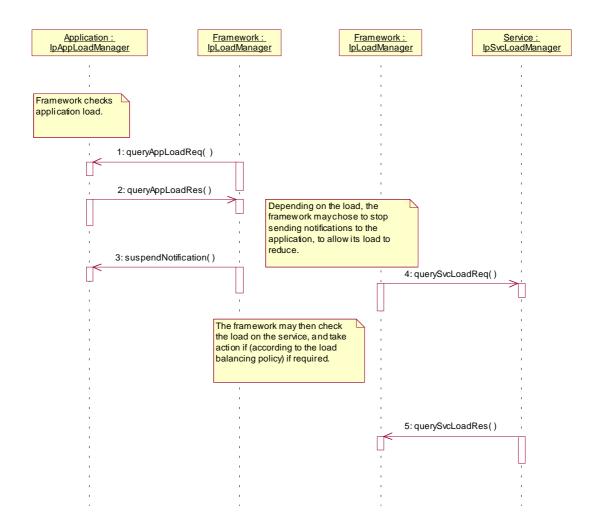
This sequence illustrates how the application can get access to a specified service. It only illustrates the last part: the signing of the service agreement and the corresponding actions towards the service. For more information on accessing the framework, authentication and discovery of services, see the corresponding sections.



- 1: The application selects the service, using a serviceID for the generic call control service. The serviceID could have been obtained via the discovery interface. A ServiceToken is returned to the application.
- 2: The framework signs the service agreement.
- 3: The client application signs the service agreement. As a result a service manager interface reference (in this case of type IpCallControlManager) is returned to the application.
- 4: Provided the signature information is correct and all conditions have been fulfilled, the framework will request the service identified by the serviceID to return a service manager interface reference. The service manager is the initial point of contact to the service.
- 5: The service factory creates a new manager interface instance (a call control manager) for the specified application. It should be noted that this is an implementation detail. The service implementation may use other mechanism to get a service manager interface instance.
- 6: The application creates a new IpAppCallControlManager interface to be used for callbacks.
- 7: The Application sets the callback interface to the interface created with the previous message.

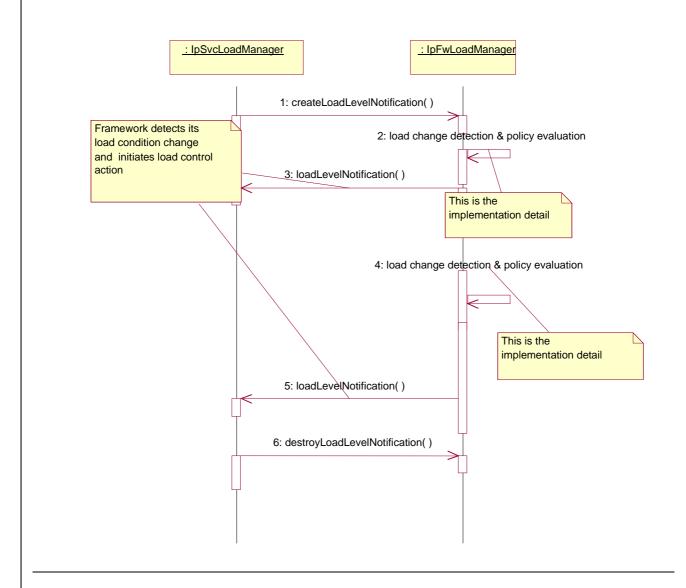
10.5 Integrity Management Sequence Diagrams

10.5.1 Load Management: Client and Service Load Balancing

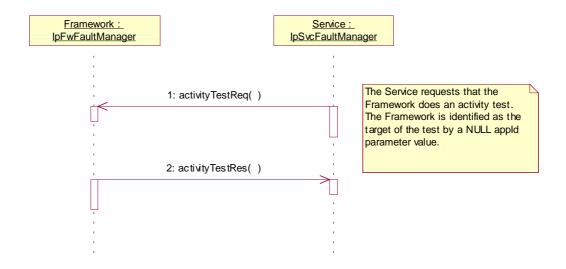


10.5.2 Load Management: Service callback registration and load control

This sequence diagram shows how a service creates a load level notification request for itself and the framework invokes load management function based on policy.

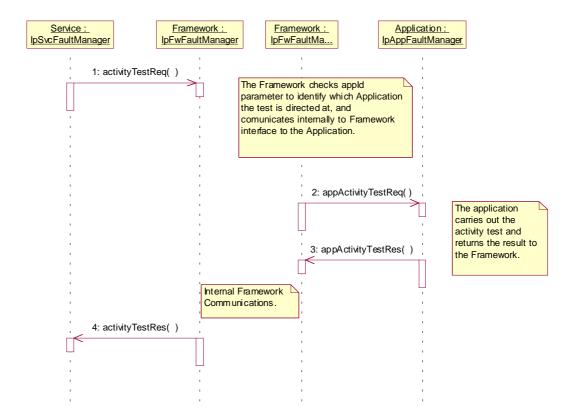


10.5.210.5.3 Fault Management: Service requests Framework activity test



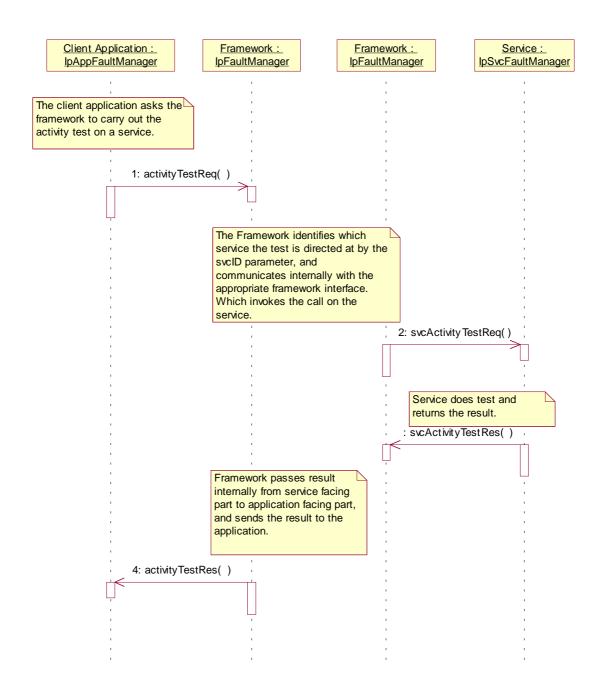
- 1: The service asks the framework to carry out its activity test. The service denotes that it requires the activity test done for the framework, rather than an application, by supplying a NULL value for the appID parameter.
- 2: The framework carries out the test and returns the result to the service.

10.5.310.5.4 Fault Management: Service requests Application activity test



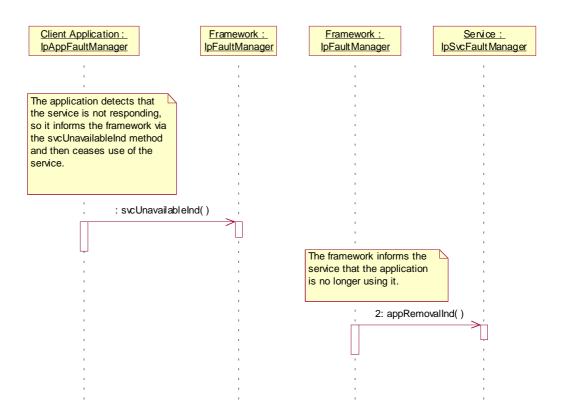
- 1: The service asks the framework to invoke an activity test on a client application, the application is identified by the appld parameter.
- 2: The framework asks the application to do the activity test. It is assumed that there is internal communication between the service facing part of the framework (i.e IpFwFaultManager interface) and the part that faces the client application.
- 3: The application does the activity test and returns the result to the framework.
- 4: The framework internally passes the result from its application facing interface (IpFaultManager) to its service facing side, and sends the result to the service.

10.5.410.5.5 Fault Management: Application requests Service activity test



- 1: The client application asks the framework to invoke an activity test on a service, the service is identified by the svcId parameter.
- 2: The framework asks the service to do the activity test. It is assumed that there is internal communication between the application facing part of the framework (i.e IpFaultManager interface) and the part that faces the service.
- 3: The service does the activity test and returns the result to the framework.
- 4: The framework internally passes the result from its service facing interface (IpFwFaultManager) to its application facing side, and sends the result to the client application.

10.5.510.5.6 Fault Management: Application detects service is unavailable

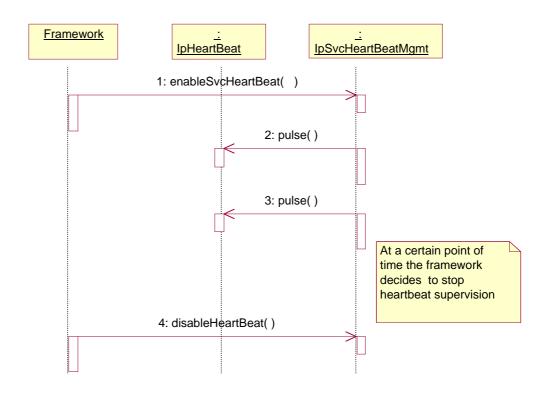


- 1: The client application detects that the service instance is currently not available, i.e. the service instance is not responding to the client application in the normal way, so it informs the framework and takes action to stop using this service instance and change to a different one (via the usual mechanisms, such as discovery, selectService etc.). The client application should not need to re-authenticate in order to discover and use an alternative service instance.
- 2: The framework informs the service instance that the client application was unable to get a response from it and has ceased to be one of its users. The framework and service instance must carry out the appropriate updates to remove the client application as one of the users of this service instance. The service or framework may then decide to carry out an activity test to see whether there is a general problem with the service instance that requires further action.

10.6 <u>Event Notification Sequence Diagrams</u>

No Sequence Diagrams exist for Event Notification

10.7 Heartbeat Management: Start/perform/end heartbeat supervision of the service



In this sequence diagram, the framework has decided that it wishes to monitor the service, and has therefore requested the service to commence sending its heartbeat. The service responds by sending its heartbeat at the specified interval. The framework then decides that it is satisfied with the service's health and disables the heartbeat mechanism. If the heartbeat was not received from the service within the specified interval, the framework can decide that the service has failed the heartbeat and can then perform some recovery action.

Framework-to-Service Class Diagrams



Figure: Trust and Security Management Package Overview

<Interface>>
IpFwServiceDiscovery
(from Framework interfaces)

IlistServiceTypes()
IlistServiceType()
IlistRegisteredServices()

Figure: Service Discovery Package Overview

Figure: Service Registration Package Overview

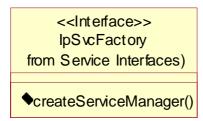


Figure: Service Factory Package Overview

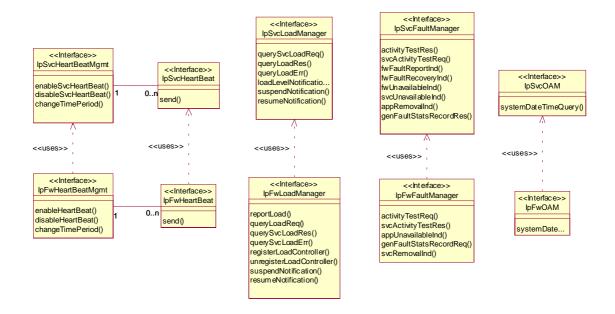


Figure: Integrity Management Package Overview

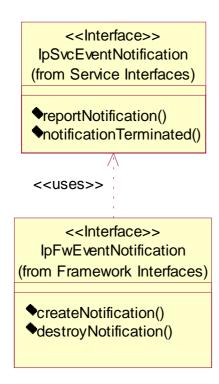


Figure: Event Notification Package Overview

12 Framework-to-Service Interface Classes

12.1 Trust and Security Management Interface Classes

12.1.1 Interface Class IpFwInitial

Inherits from: IpInterface.

The service entity gains a reference to the IpFwInitial interface for the Framework provider that it wishes to access. This may be gained through a URL, a stringified object reference, etc. At this stage, the service entity has no guarantee that this is a reference to the Framework provider. The service entity uses this interface to initiate the authentication process with the Framework provider. The IpFwInitial interface supports the initiateAuthentication operation to allow the authentication process to take place. This operation must be the first invoked by the service entity. Invocations of other operations will fail until authentication has been successfully completed.

< <interface>> IpFwInitial</interface>	
initiateAuthentication (svcDomain : in TpAuthDomain, authType : in TpAuthType) : TpAuthDomain	

Method

initiateAuthentication()

The service entity uses this method to initiate the authentication process.

Returns < TwDomain>: Inis provides the	service entity with a framework identifier, and a reference to call the	
authentication interface of the framework	ζ.	
structure TpAuthDomain {		
domainID:	TpDomainID;	
authInterface:	IpInterface;	
1.		The

domainID parameter is an identifier for the framework (i.e. TpFwID). It is used to identify the framework to the service entity. The authInterface parameter is a reference to the authentication interface of the framework. The type of this interface is defined by the authType parameter. The service entity uses this interface to authenticate with the framework.

Parameters

svcDomain: in TpAuthDomain

This identifies the service entity to the framework, and provides a reference to the entity's authentication interface.	
structure TpAuthDomain {	
domainID:TpDomainID;	
authInterface; IpInterface;	
};	

The domainID parameter is an identifier either for an existing registered service (i.e. TpServiceID) or for a service supplier (i.e. TpServiceSupplierID). It is used to identify the service (supplier) to the framework, (see authenticate() on IpFwAPILevelAuthentication). If the framework does not recognise the domainID, the framework throws the P_INVALID_DOMAIN_ID exception. The authInterface parameter is a reference to call the authentication interface of

the service (supplier). The type of this interface is defined by the authType parameter. If the interface reference is not of the correct type, the framework throws the P INVALID INTERFACE TYPE exception.

authType : in TpAuthType

This identifies the type of authentication mechanism requested by the service entity. It provides the opportunity to use an alternative to the OSA Authentication interface, e.g. an implementation specific authentication mechanism like CORBA Security, using the FwAuthentication interface, or Operator specific Authentication interfaces. OSA Authentication is the default authentication mechanism (P OSA AUTHENTICATION). If P_OSA_AUTHENTICATION is selected, then the svcDomain and fwDomain authInterface parameters are references to interfaces of type IpSvc/FwAPILevelAuthentication. If P_AUTHENTICATION is selected, the authInterface parameters are references to interfaces of type IpSvc/FwAuthentication which is used when an underlying distibution technology authentication mechanism is used.

Returns

TpAuthDomain

Raises

TpCommonExceptions, P_INVALID_DOMAIN_ID, P_INVALID_INTERFACE_TYPE,
P_INVALID_AUTH_TYPE

12.1.2 <u>Interface Class IpFwAuthentication</u>

Inherits from: IpInterface.

The Authentication Framework interface is used by client to request access to other interfaces supported by the Framework. The mutual authentication process should in this case be done with some underlying distribution technology authentication mechanism, e.g. CORBA Security.

<<Interface>>
IpFwAuthentication

requestAccess (accessType: in TpAccessType, svcAccessInterface: in IpInterfaceRef): IpInterfaceRef

Method

requestAccess()

Once service entity and framework are authenticated, the service entity invokes the requestAccess operation on the IpFwAuthentication or IpFwAPILevelAuthentication interfaces. This allows the service entity to request the type of access it requires. If it requests P OSA ACCESS, then a reference to the IpFwAccess interface is returned. (Operators can define their own access interfaces to satisfy service requirements for different types of access.)

If this method is called before the service entity and framework have successfully completed the authentication process, then the request fails, and the P ACCESS DENIED exception is thrown.

 $\underline{Returns < \!\! fwAccess interface \!\!> : This \ provides \ the \ reference \ for \ the \ service \ entity \ to \ call \ the \ access \ interface \ of \ the \ framework.}$

Parameters

accessType : in TpAccessType

This identifies the type of access interface requested by the service entity. If the framework does not provide the type of access identified by accessType, then the P_INVALID_ACCESS_TYPE exception is thrown.

svcAccessInterface : in IpInterfaceRef

This provides the reference for the framework to call the access interface of the service entity. If the interface reference is not of the correct type, the framework throws the P_INVALID_INTERFACE_TYPE exception.

Returns

IpInterfaceRef

Raises

TpCommonExceptions, P_ACCESS_DENIED, P_INVALID_ACCESS_TYPE,
P_INVALID_INTERFACE_TYPE

12.1.3 Interface Class IpFwAPILevelAuthentication

Inherits from: IpFwAuthentication.

Once the service entity has made initial contact with the provider, authentication of the service entity and Framework provider may be required. The API supports multiple authentication techniques. The procedure used to select an appropriate technique for a given situation is described below. The authentication mechanisms may be supported by cryptographic processes to provide confidentiality, and by digital signatures to ensure integrity. The inclusion of cryptographic processes and digital signatures in the authentication procedure depends on the type of authentication technique selected. In some cases strong authentication may need to be enforced by the framework provider to prevent misuse of resources. In addition it may be necessary to define the minimum encryption key length that can be used to ensure a high degree of confidentiality. The service entity must authenticate with the framework before it will be able to use any of the other interfaces supported by the framework. Invocations on other interfaces will fail until authentication has been successfully completed

- 1. The service entity calls initiateAuthentication on the provider's IpFwInitial interface. This allows the service entity to specify the type of authentication process. This authentication process may be specific to the Framework provider, or to the implementation technology used. The initiateAuthentication operation can be used to designate the specific process, (e.g. CORBA security could be used in a CORBA-based implementation of OSA). OSA defines a generic authentication interface (IpFwAPILevelAuthentication), which can be used to perform the authentication process. The initiateAuthentication operation allows the service entity to pass a reference to its IpSvcAPILevelAuthentication interface to the Framework, and receive a reference to the IpFwAPILevelAuthentication interface supported by the framework, in return.
- 2. The service entity invokes the selectEncryptionMethod on the framework's IpFwAPILevelAuthentication interface. This includes the encryption capabilities of the service entity. The framework then chooses an encryption method based on the encryption capabilities of the service entity and the framework. If the service entity is capable of handling more than one encryption method, then the framework chooses one option, the prescribedMethod. In some instances, the encryption capability of the service entity may not fulfil the demands of the framework, in which case, the authentication will fail.
- 3. The service entity and framework interact to authenticate each other. For an authentication type of P OSA ACCESS, this procedure may consist of a number of messages e.g. a challenge/ response protocol. This authentication protocol is performed using the authenticate operation on the IpFwAPILevelAuthentication interface. P_OSA_ACCESS is based on CHAP, which is primarily a one-way protocol. Mutual authentication is achieved by the framework invoking the authenticate method on the service entity's APILevelAuthentication interface.

NOTE: At any point during the access session, either side can request re-authentication. Re-authentication does not have to be mutual.

<<Interface>>

IpFwAPILevelAuthentication

selectEncryptionMethod (encryptionCaps : in TpEncryptionCapabilityList) : TpEncryptionCapability

authenticate (challenge: in TpOctetSet): TpOctetSetRef

abortAuthentication (): void

authenticationSucceeded (): void

Method

selectEncryptionMethod()

The service entity uses this method to initiate the authentication process. The framework returns its preferred mechanism. This should be within the capability of the service entity. If a mechanism that is both acceptable to the framework and within the capability of the service entity cannot be found, then the throws the P_NO_ACCEPTABLE ENCRYPTION_CAPABILITY exception. Once the framework has returned its preferred mechanism, it will wait for a predefined unit of time before invoking the service entity's authenticate() method (the wait is to ensure that the service entity can initialise any resources necessary to use the prescribed encryption method)

Returns cribedmethod> : This is the mechanism preferred by the framework for the encryption process. If the service entity does not understand the value of the prescribedMethod returned by the framework, it is considered a catastrophic error and the service entity must abort the authentication process.

Parameters

encryptionCaps: in TpEncryptionCapabilityList

This is the means by which the encryption mechanisms supported by the service entity are conveyed to the framework.

Returns

TpEncryptionCapability

Raises

TpCommonExceptions, P_NO_ACCEPTABLE_ENCRYPTION_CAPABILITY

Method

authenticate()

The service entity uses this method to authenticate the framework. The challenge will be encrypted using the mechanism prescribed by selectEncryptionMethod. The framework must respond with the correct responses to the challenges presented by the service entity. The serviceID received in the initiateAuthentication() can be used by the framework to reference the correct public key for the service entity (the key management system is currently outside of the scope of the OSA API specification). The number of exchanges is dependent on the policies of each side. The whole authentication process is deemed successful when the authenticationSucceeded method is invoked. The

invocation of this method may be interleaved with authenticate() calls by the framework on the service entity's APILevelAuthentication interface.

<u>Returns < response></u>: This is the response of the framework to the challenge of the service entity in the current sequence. The response will be based on the challenge data, decrypted with the mechanism prescribed by the <u>selectEncryptionMethod()</u> method.

Parameters

challenge : in TpOctetSet

The challenge presented by the service entity to be responded to by the framework. The challenge mechanism used will be in accordance with the IETF PPP Authentication Protocols - Challenge Handshake Authentication Protocol [RFC 1994, August 1996]. The challenge will be encrypted with the mechanism prescribed by selectEncryptionMethod().

Returns

TpString

Raises

TpCommonExceptions

Method

abortAuthentication()

The service entity uses this method to abort the authentication process. This method is invoked if the service entity no longer wishes to continue the authentication process, (unless the application responded incorrectly to a challenge in which case no further communication with the application should occur.) If this method has been invoked, calls to the requestAccess operation on IpFwAPILevelAuthentication will result in the P_ACCESS_DENIED exception will be thrown, until the service entity has been properly authenticated.

Parameters

No Parameters were identified for this method

Raises

TpCommonExceptions, P_ACCESS_DENIED

Method

authenticationSucceeded()

The service entity uses this method to inform the Framework of the success of the authentication attempt.

Parameters

No Parameters were identified for this method

12.1.4 Interface Class IpFwAccess

Inherits from: IpInterface.

Once the service entity has authenticated with the framework provider, the service entity can gain access to other framework interfaces. After authentication, the service entity can gain access to the framework's functions, by invoking the requestAccess method on the IpFwAPILevelAuthentication or IpFwAuthentication interfaces. This allows the service entity to request the type of access they require. If they request P_OSA_ACCESS, then a reference to the IpFwAccess interface is returned. (Operators can define their own access interfaces to satisfy service entity requirements for different types of access.) The service entity must also provide the framework with a reference to a 'callback' interface to allow the framework to initiate interactions during the access session. If the service entity has requested P_OSA_ACCESS, then they must provide a reference to a IpSvcAccess interface to the framework. The IpFwAccess interface allows the service entity to gain references to other interfaces offered by the framework. References to these framework interfaces are gained by invoking the obtainInterface, or obtainInterfaceWithCallback operations. The latter is used when a callback interface is supplied to the framework. For example, a service registration interface reference is returned when invoking obtainInterface with "registration" as the interface name. The endAccess operation is used to end the service entity's session with the framework. After it is invoked, the service entity will no longer be authenticated with the framework. The service entity will not be able to use the references to any of the framework interfaces gained during the access session. Any calls to these interfaces will fail. The IpSvcAccess interface is offered by the service entity to the framework to allow the framework to initiate interactions during the access session. It can be used to terminate the access session and request that the service entity re-authenticate.

<<Interface>>

IpFwAccess

obtainInterface (interfaceName : in TpInterfaceName) : IpInterfaceRef

<u>obtainInterfaceWithCallback (interfaceName : in TpInterfaceName, svcinterface : in IpInterfaceRef) :</u> IpInterfaceRef

endAccess (endAccessProperties : in TpEndAccessProperties) : void

<u>listInterfaces(frameworkInterfaces: out TpInterfaceList) : void releaseInterface(interfaceName : in TpInterfaceName) : void </u>

Method

obtainInterface()

This method is used to obtain other framework interfaces. The service entity uses this method to obtain interface references to other framework interfaces. (The obtainInterfacesWithCallback method should be used if the service entity is required to supply a callback interface to the framework.)

Returns < fwInterface>: This is the reference to the interface requested.

Parameters

interfaceName : in TpInterfaceName

The name of the framework interface for which a reference is requested. If the interfaceName is invalid, the framework throws the P_INVALID_INTERFACE_NAME exception.

Returns

IpInterfaceRef

Raises

TpCommonExceptions, P ACCESS DENIED, P INVALID INTERFACE NAME

Method

obtainInterfaceWithCallback()

This method is used to obtain other framework interfaces. The service entity uses this method to obtain interface references to other framework interfaces, when it is required to supply a callback interface to the framework. (The obtainInterface method should be used when no callback interface needs to be supplied.)

Returns < fwInterface>: This is the reference to the interface requested.

Parameters

interfaceName : in TpInterfaceName

The name of the framework interface for which a reference is requested. If the interfaceName is invalid, the framework throws the P_INVALID_INTERFACE_NAME exception.

svcinterface : in IpInterfaceRef

This is the reference to the service entity interface, which is used for callbacks. If the interface reference is not of the correct type, the framework throws the P INVALID INTERFACE TYPE exception.

Returns

IpInterfaceRef

Raises

TpCommonExceptions, P_ACCESS_DENIED, P_INVALID_INTERFACE_NAME,
P_INVALID_INTERFACE_TYPE

Method

endAccess()

The service entity uses this method to end its access session with the framework. After it is invoked, the service entity will no longer be authenticated with the framework. The service entity will not be able to use the references to any of the framework interfaces gained during the access session. Any calls to these interfaces will fail.

Parameters

endAccessProperties : in TpEndAccessProperties

This is a list of properties that can be used to tell the framework the actions to perform when ending the access session (e.g. existing application sessions may be stopped, or left running). If a property is not recognised by the framework, the P_INVALID_PROPERTY exception is thrown.

Raises

TpCommonExceptions, P_ACCESS_DENIED, P_INVALID_PROPERTY

Method

listInterfaces()

The service entity uses this method to obtain the names of all interfaces supported by the framework. It can then obtain the interfaces it wants to use using either obtainInterface() or obtainInterfaceWithCallback().

Parameters

frameworkInterfaces : out TpInterfaceNameList

The frameworkInterfaces parameter contains a list of interfaces that the framework makes available.

Raises

TpCommonExceptions, P_ACCESS_DENIED

Method

releaseInterface()

The service entity uses this method to release a framework interface that was obtained during this access session.

Parameters

interfaceName : in TpInterfaceName

This is the name of the framework interface which is being released. If the interfaceName is invalid, the framework throws the P INVALID INTERFACE NAME exception. If the interface has not been given to the service entity during this access session, then the P TASK REFUSED exception will be thrown.

Raises

TpCommonExceptions, P_ACCESS_DENIED, P_INVALID_INTERFACE_NAME

12.1.5 Interface Class IpSvcAPILevelAuthentication

Inherits from: IpInterface.

< <interface>></interface>
IpSvcAPILevelAuthentication

authenticate (challenge : in TpOctetSet) : TpOctetSet

abortAuthentication (): void

authenticationSucceeded (): void

Method

authenticate()

The framework uses this method to authenticate the service entity. The challenge will be encrypted using the mechanism prescribed in selectEncryptionMethod. The service entity must respond with the correct responses to the challenges presented by the framework. The number of exchanges is dependent on the policies of each side. The whole authentication process is deemed successful when the authenticationSucceeded method is invoked. The invocation of this method may be interleaved with authenticate() calls by the service entity on the IpFWAPILevelAuthentication interface.

<u>Returns < response></u>: This is the response of the service entity to the challenge of the framework in the current sequence. The response will be based on the challenge data, decrypted with the mechanism prescribed by <u>selectEncryptionMethod()</u>.

Parameters

challenge : in TpString

The challenge presented by the framework to be responded to by the service entity. The challenge mechanism used will be in accordance with the IETF PPP Authentication Protocols - Challenge Handshake Authentication Protocol [RFC 1994, August 1996]. The challenge will be encrypted with the mechanism prescribed by selectEncryptionMethod().

Returns

TpString

Raises

TpCommonExceptions

Method

abortAuthentication()

The framework uses this method to abort the authentication process. This method is invoked if the framework wishes to abort the authentication process, (unless the service entity responded incorrectly to a challenge in which case no further communication with the service entity should occur.) If this method has been invoked, calls to the requestAccess operation on IpFwAPILevelAuthentication will result in the P ACCESS DENIED exception being thrown until the service entity has been properly authenticated.

Parameters

No Parameters were identified for this method

Raises

TpCommonExceptions

Method

authenticationSucceeded()

The Framework uses this method to inform the service entity of the success of the authentication attempt.

Parameters

No Parameters were identified for this method

Raises

<u>TpCommonExceptions</u>

12.1.6 <u>Interface Class IpSvcAccess</u>

Inherits from: IpInterface.

<<Interface>>

IpSvcAccess

<u>terminateAccess</u> (terminationText : in TpString, signingAlgorithm : in TpSigningAlgorithm, digitalSignature : in TpString) : void

Method

terminateAccess()

This method is used to end the service entity's access session with the framework. The service entity must reauthenticate if it wishes to continue its association with the framework. The service entity will not be able to use the references to any of the framework interfaces gained during the access session. Any method invocations associated with these interfaces will fail. If at any point the framework's level of confidence in the identity of the service entity becomes too low, perhaps due to re-authentication failing, the framework should terminate all outstanding service agreements for that entity and should take steps to terminate the entity's access session WITHOUT invoking terminateAccess() on the service entity. This follows a generally accepted security model where the framework has decided that it can no longer trust the service entity and will therefore sever ALL contact with it.

Parameters

terminationText : in TpString

This is the termination text that describes the reason for the termination of the access session.

signingAlgorithm : in TpSigningAlgorithm

This is the algorithm used to compute the digital signature. If the signing Algorithm is invalid, or unknown to the service entity, the P INVALID SIGNING ALGORITHM exception is thrown.

digitalSignature : in TpString

This is a signed version of a hash of the termination text. The framework uses this to confirm its identity to the service entity. The service entity can check that the framework has signed the terminationText. If a match is made, the access session is terminated, otherwise the P_INVALID_SIGNATURE exception is thrown.

Raises

TpCommonExceptions, P_INVALID_SIGNING_ALGORITHM, P_INVALID_SIGNATURE

12.112.2 Service Registration Interface Classes

Before a service can be brokered (discovered, subscribed, accessed, etc.) by an enterprise, it has to be registered with the Framework. Services are registered against a particular service type. Therefore service types are created first, and then services corresponding to those types are accepted from the Service Suppliers for registration in the framework. The framework maintains a repository of service types and registered services.

In order to register a new service in the framework, the service supplier must select a service type and the "property values" for the service. The service discovery functionality described in the previous section enables the service supplier to obtain a list of all the service types supported by the framework and their associated sets of service property values.

The Framework service registration-related interfaces are invoked by third party service supplier's administrative applications. They are described below. Note that these methods cannot be invoked until the authentication methods have been invoked successfully.

12.1.112.2.1 Interface Class IpFwServiceRegistration

Inherits from: IpInterface.

The Service Registration interface provides the methods used for the registration of network SCFs at the framework.

<<Interface>> IpFwServiceRegistration

registerService (serviceTypeName : in TpServiceTypeName, servicePropertyList : in TpServicePropertyList, serviceID : out TpServiceIDRef) : TpResult

announceServiceAvailability (serviceID: in TpServiceID, serviceFactoryRef: in IpSvcFactoryRef): TpResult

unregisterService (serviceID : in TpServiceID) : TpResult

describeService (serviceID: in TpServiceID, serviceDescription: out TpServiceDescriptionRef): TpResult

unannounceService (serviceID: in TpServiceID): TpResult

Method

registerService()

The registerService() operation is the means by which a service is registered in the Framework, for subsequent discovery by the enterprise applications . A service-ID is returned to the service supplier when a service is registered in

the Framework. The service-ID is the handle with which the service supplier can identify the registered service when needed (e.g. for withdrawing it). The service-ID is only meaningful in the context of the Framework that generated it.

Parameters

serviceTypeName : in TpServiceTypeName

The "serviceTypeName" parameter identifies the service type and a set of named property types that may be used in further describing this service (i.e., it restricts what is acceptable in the servicePropertyList parameter). If the string representation of the "type" does not obey the rules for identifiers, then an P_ILLEGAL_SERVICE_TYPE exception is raised. If the "type" is correct syntactically but the Framework is able to unambiguously determine that it is not a recognised service type, then a P_UNKNOWN_SERVICE_TYPE exception is raised.

servicePropertyList : in TpServicePropertyList

The "servicePropertyList" parameter is a list of property name and property value pairs. They describe the service being registered. This description typically covers behavioral, non-functional and non-computational aspects of the service. Service properties are marked "mandatory" or "readonly". These property mode attributes have the following semantics:

a. mandatory - a service associated with this service type must provide an appropriate value for this property when registering.

b. readonly - this modifier indicates that the property is optional, but that once given a value, subsequently it may not be modified.

Specifying both modifiers indicates that a value must be provided and that subsequently it may not be modified. An example of such properties are those which form part of a service agreement and hence cannot be modified by service suppliers during the life time of service.

If the type of any of the property values is not the same as the declared type (declared in the service type), then a P_PROPERTY_TYPE_MISMATCH exception is raised. If an attempt is made to assign a dynamic property value to a readonly property, then the P_READONLY_DYNAMIC_PROPERTY exception is raised. If the "servicePropertyList" parameter omits any property declared in the service type with a mode of mandatory, then a P_MISSING_MANDATORY_PROPERTY exception is raised. If two or more properties with the same property name are included in this parameter, the P_DUPLICATE_PROPERTY_NAME exception is raised.

serviceID: out TpServiceIDRef

This is the unique handle that is returned as a result of the successful completion of this operation. The Service Supplier can identify the registered service when attempting to access it via other operations such as unregisterService(), etc. Enterprise client applications are also returned this service-ID when attempting to discover a service of this type.

Raises

TpCommonExceptions,P_ILLEGAL_SERVICE_ID,P_UNKNOWN_SERVICE_ID,P_PROPERTY_T YPE MISMATCH,P DUPLICATE PROPERTY NAME,

P_ILLEGAL_SERVICE_TYPE,P_UNKNOWN_SERVICE_TYPE,P_MISSING_MANDATORY_PROPERTY

Method

announceServiceAvailability()

The registerService() method described previously does not make the service discoverable. The announceServiceAvailability() method is invoked after the service is authenticated and its service factory is instantiated at a particular interface. This method informs the framework of the availability of "service factory" of the previously registered service, identified by its service ID, at a specific interface. After the receipt of this method, the framework makes the corresponding service discoverable.

There exists a "service manager" instance per service instance. Each service implements the IpSvcFactory interface. The IpSvcFactory interface supports a method called the createServiceManager(application: in TpClientAppID, serviceManager: out IpServiceRefRef). When the service agreement is signed for some serviceID (using signServiceAgreement()), the framework calls the createServiceManager() for this service, gets a serviceManager and returns this to the client application.

Parameters

serviceID: in TpServiceID

The service ID of the service that is being announced. If the string representation of the "serviceID" does not obey the rules for service identifiers, then an P_ILLEGAL_SERVICE_ID exception is raised. If the "serviceID" is legal but there is no service offer within the Framework with that ID, then an P_UNKNOWN_SERVICE_ID exception is raised.

serviceFactoryRef : in IpSvcFactoryRef

The interface reference at which the service factory of the previously registered service is available.

Raises

TpCommonExceptions,P_ILLEGAL_SERVICE_ID,P_UNKNOWN_SERVICE_ID,P_INVALID_IN
TERFACE TYPE

Method

unregisterService()

The unregisterService() operation is used by the service suppliers to remove a registered service from the Framework. The service is identified by the "service-ID" which was originally returned by the Framework in response to the registerService() operation. The service must be in the SCF Registered state. All instances of the service will be deleted.

Parameters

serviceID: in TpServiceID

The service to be withdrawn is identified by the "serviceID" parameter which was originally returned by the registerService() operation. If the string representation of the "serviceID" does not obey the rules for service identifiers, then an P_ILLEGAL_SERVICE_ID exception is raised. If the "serviceID" is legal but there is no service offer within the Framework with that ID, then an P_UNKNOWN_SERVICE_ID exception is raised.

Raises

TpCommonExceptions,P ILLEGAL SERVICE ID,P UNKNOWN SERVICE ID

Method

describeService()

The describeService() operation returns the information about a service that is registered in the framework. It comprises, the "type" of the service, and the "properties" that describe this service. The service is identified by the "service-ID" parameter which was originally returned by the registerService() operation.

The SCS may register various versions of the same SCF, each with a different description (more or less restrictive, for example), and each getting a different serviceID assigned.

Parameters

serviceID : in TpServiceID

The service to be described is identified by the "serviceID" parameter which was originally returned by the registerService() operation. If the string representation of the "serviceID" does not obey the rules for object identifiers, then an P_ILLEGAL_SERVICE_ID exception is raised. If the "serviceID" is legal but there is no service offer within the Framework with that ID, then a P_UNKNOWN_SERVICE_ID exception is raised.

serviceDescription : out TpServiceDescriptionRef

This consists of the information about an offered service that is held by the Framework. It comprises the "type" of the service, and the properties that describe this service.

Raises

TpCommonExceptions, P_ILLEGAL_SERVICE_ID, P_UNKNOWN_SERVICE_ID

Method

unannounceService()

This method results in the service no longer being discoverable by applications. It is, however, still registered and the service ID is still associated with it. Applications currently using the service can continue to use the service but no new applications should be able to start using the service. Also, all unused service tokens relating to the service will be expired. This will prevent anyone who has already performed a selectService() but not yet performed the signServiceAgreement() from being able to obtain a new instance of the service.

Parameters

serviceID: in TpServiceID

The service ID of the service that is being unannounced. If the string representation of the "serviceID" does not obey the rules for service identifiers, then an P_ILLEGAL_SERVICE_ID exception is raised. If the "serviceID" is legal but there is no service offer within the Framework with that ID, then an P_UNKNOWN_SERVICE_ID exception is raised.

Raises

TpCommonExceptions, P_ILLEGAL_SERVICE_ID, P_UNKNOWN_SERVICE_ID

12.212.3 Service Factory Interface Classes

The IpSvcFactory interface allows the framework to get access to a service manager interface of a service. It is used during the signServiceAgreement, in order to return a service manager interface reference to the application. Each service has a service manager interface that is the initial point of contact for the service. E.g., the generic call control service uses the IpCallControlManager interface.

12.2.112.3.1 Interface Class IpSvcFactory

Inherits from: IpInterface.

createServiceManager()

This method returns a new service manager interface reference for the specified application. The service instance will be configured for the client application using the properties agreed in the service level agreement.

Parameters

application: in TpClientAppID

Specifies the application for which the service manager interface is requested.

serviceProperties : in TpServicePropertyList

Specifies the service properties and their values that are to be used to configure the service instance. These properties form a part of the service level agreement. An example of these properties is a list of methods that the client application is allowed to invoke on the service interfaces.

serviceManager : out IpServiceRefRef

Specifies the service manager interface reference for the specified application ID.

Raises

TpCommonExceptions, P_INVALID_PROPERTY

12.4 <u>Service Discovery Interface Classes</u>

This API complements the Service Registration functionality described in another section.

Before a service can be registered in the framework, the service supplier must know what "types" of services the Framework supports and what service "properties" are applicable to each service type. The "listServiceType()" method returns a list of all "service types" that are currently supported by the framework and the "describeServiceType()" method returns a description of each service type. The description of service type includes the "service-specific properties" that are applicable to each service type. Then the service supplier can retrieve a specific set of registered services that both belong to a given type and possess a specific set of "property values", by using the "discoverService()" method.

Additionally the service supplier can retrieve a list of all registered services, without regard to type or property values, by using the "listRegisteredServices()" method. However the scope of the list will depend upon the framework implementation; e.g. a service supplier may only be permitted to retrieve a list of services that the service supplier has previously registered.

12.4.1 <u>Interface Class IpFwServiceDiscovery</u>

Inherits from: IpInterface.

<<Interface>>
IpFwServiceDiscovery

listServiceTypes () : TpServiceTypeNameList

<u>describeServiceType (name : in TpServiceTypeName) : TpServiceTypeDescription</u> <u>discoverService (serviceTypeName : in TpServiceTypeName, desiredPropertyList : in TpServiceTypeName) : TpServiceTypeName : in </u>

TpServicePropertyList, max: in TpInt32): TpServiceList

<u>listRegisteredServices (): TpServiceList</u>

Method

listServiceTypes()

This operation returns the names of all service types that are in the repository. The details of the service types can then be obtained using the describeServiceType() method.

<u>Returns < listTypes> : The names of the requested service types.</u>

Parameters

No Parameters were identified for this method

Returns

TpServiceTypeNameList

Raises

TpCommonExceptions

Method

describeServiceType()

This operation lets the caller obtain the details for a particular service type.

Returns <serviceTypeDescription>: The description of the specified service type. The description provides information about: the service properties associated with this service type: i.e. a list of service property {name, mode and type} tuples, the names of the super types of this service type, and whether the service type is currently enabled or disabled.

Parameters

name : in TpServiceTypeName

The name of the service type to be described. If the "name" is malformed, then the P_ILLEGAL_SERVICE_TYPE exception is raised. If the "name" does not exist in the repository, then the P_UNKNOWN_SERVICE_TYPE exception is raised.

Returns

TpServiceTypeDescription

Raises

TpCommonExceptions, P_ILLEGAL_SERVICE_TYPE, P_UNKNOWN_SERVICE_TYPE

Method

discoverService()

The discoverService operation is the means by which the service supplier can retrieve a specific set of registered services that both belong to a given type and possess a specific set of "property values". The service supplier passes in a list of desired service properties to describe the service it is looking for, in the form of attribute/value pairs for the service properties. The service supplier also specifies the maximum number of matched responses it is willing to accept. The framework must not return more matches than the specified maximum, but it is up to the discretion of the Framework implementation to choose to return less than the specified maximum. The discoverService() operation returns a serviceID/Property pair list for those services that match the desired service property list that the service supplier provided.

<u>Returns < serviceList></u>: This parameter gives a list of matching services. Each service is characterised by its service <u>ID</u> and a list of service property {name, mode and value list} tuples associated with the service.

Parameters

serviceTypeName : in TpServiceTypeName

The name of the required service type. If the string representation of the "type" does not obey the rules for service type identifiers, then the P_ILLEGAL_SERVICE_TYPE exception is raised. If the "type" is correct syntactically but is not recognised as a service type within the Framework, then the P_UNKNOWN_SERVICE_TYPE exception is raised. The framework may return a service of a subtype of the "type" requested. A service sub-type can be described by the properties of its supertypes.

desiredPropertyList : in TpServicePropertyList

The "desiredPropertyList" parameter is a list of service property {name, mode and value list} tuples that the required services should satisfy. These properties deal with the non-functional and non-computational aspects of the desired service. The property values in the desired property list must be logically interpreted as "minimum", "maximum", etc. by the framework (due to the absence of a Boolean constraint expression for the specification of the service criterion). It is suggested that, at the time of service registration, each property value be specified as an appropriate range of values, so that desired property values can specify an "enclosing" range of values to help in the selection of desired services.

max : in TpInt32

The "max" parameter states the maximum number of services that are to be returned in the "serviceList" result.

<u>Returns</u>

TpServiceList

Raises

TpCommonExceptions, P_ILLEGAL_SERVICE_TYPE, P_UNKNOWN_SERVICE_TYPE,
P_INVALID_PROPERTY

listRegisteredServices()

Returns a list of services so far registered in the framework.

Returns <serviceList>: The "serviceList" parameter returns a list of registered services. Each service is characterised by its service ID and a list of service property {name, mode and value list} tuples associated with the service.

Parameters

No Parameters were identified for this method

Returns

TpServiceList

Raises

TpCommonExceptions

12.5 <u>Integrity Management Interface Classes</u>

12.5.1 <u>Interface Class IpFwFaultManager</u>

Inherits from: IpInterface.

This interface is used by the service instance to inform the framework of events which affect the integrity of the API, and request fault management status information from the framework. The fault manager operations do not exchange callback interfaces as it is assumed that the service instance has supplied its Fault Management callback interface at the time it obtains the Framework's Fault Management interface, by use of the obtainInterfaceWithCallback operation on the IpFwAccess interface.

<<Interface>>

<u>IpFwFaultManager</u>

activityTestReq (activityTestID: in TpActivityTestID, testSubject: in TpSubjectType): void

svcActivityTestRes (activityTestID : in TpActivityTestID, activityTestResult : in TpActivityTestRes) : void

svcActivityTestErr (activityTestID : in TpActivityTestID) : void

appUnavailableInd (): void

genFaultStatsRecordReq (timePeriod : in TpTimeInterval, recordSubject : in TpSubjectType) : void

svcUnavailableInd (reason : in TpSvcUnavailReason) : void

activityTestReq()

The service instance invokes this method to test that the framework or the client application is operational. On receipt of this request, the framework must carry out a test on itself or on the application, to check that it is operating correctly. The framework reports the test result by invoking the activityTestRes method on the IpSvcFaultManager interface.

Parameters

activityTestID : in TpActivityTestID

The identifier provided by the service instance to correlate the response (when it arrives) with this request.

testSubject : in TpSubjectType

<u>Identifies the subject for testing.</u> (framework or client application).

Raises

TpCommonExceptions

Method

svcActivityTestRes()

The service instance uses this method to return the result of a framework-requested activity test.

Parameters

activityTestID : in TpActivityTestID

Used by the framework to correlate this response (when it arrives) with the original request.

activityTestResult : in TpActivityTestRes

The result of the activity test.

Raises

TpCommonExceptions, P_INVALID_ACTIVITY_TEST_ID

Method

svcActivityTestErr()

The service instance uses this method to indicate that an error occurred during a framework-requested activity test.

Parameters

activityTestID : in TpActivityTestID

Used by the framework to correlate this response (when it arrives) with the original request.

Raises

TpCommonExceptions, P_INVALID_ACTIVITY_TEST_ID

appUnavailableInd()

This method is used by the service instance to inform the framework that the client application is not responding. On receipt of this indication, the framework must act to inform the client application that it should cease use of this service instance.

Parameters

No parameters were identified for this method.

Raises

TpCommonExceptions

Method

genFaultStatsRecordReq()

This method is used by the service instance to solicit fault statistics from the framework. On receipt of this request, the framework must produce a fault statistics record, for the framework or for the application during the specified time interval, which is returned to the service instance using the genFaultStatsRecordRes operation on the IpSvcFaultManager interface.

Parameters

timePeriod : in TpTimeInterval

The period over which the fault statistics are to be generated. A null value leaves this to the discretion of the framework.

testSubject: in TpSubjectType

Specifies the subject to be included in the general fault statistics record. (framework or application).

Raises

TpCommonExceptions

Method

svcUnavailableInd()

This method is used by the service instance to inform the framework that it is about to become unavailable for use. The framework should inform the client application that is currently using this service instance that it is unavailable for use (via the svcUnavailableInd method on the IpAppFaultManager interface).

Parameters

reason: in TpSvcUnavailReason

<u>Identifies</u> the reason for the service instance's unavailability.

Raises

TpCommonExceptions

12.5.2 Interface Class IpSvcFaultManager

Inherits from: IpInterface.

This interface is used to inform the service instance of events that affect the integrity of the Framework, Service or Client Application. The Framework will invoke methods on the Fault Management Service Interface that is specified when the service instance obtains the Fault Management Framework interface: i.e. by use of the obtainInterfaceWithCallback operation on the IpFwAccess interface

<<Interface>>

IpSvcFaultManager

activityTestRes (activityTestID: in TpActivityTestID, activityTestResult: in TpActivityTestRes): void

activityTestErr (activityTestID : in TpActivityTestID) : void

svcActivityTestReq (activityTestID : in TpActivityTestID) : void

fwFaultReportInd (fault : in TpInterfaceFault) : void

<u>fwFaultRecoveryInd (fault : in TpInterfaceFaultRef) : void</u> <u>fwUnavailableInd (reason : in TpFwUnavailReason) : void</u>

svcUnavailableInd () : void
appUnavailableInd () : void

genFaultStatsRecordRes (faultStatistics : in TpFaultStatsRecord, recordSubject : in TpSubjectType) : void
genFaultStatsRecordErr (faultStatisticsError : in TpFaultStatisticsError, recordSubject : in TpSubjectType) :
 void

Method

activityTestRes()

The framework uses this method to return the result of a service-requested activity test.

Parameters

activityTestID : in TpActivityTestID

Used by the service to correlate this response (when it arrives) with the original request.

activityTestResult : in TpActivityTestRes

The result of the activity test.

Raises

TpCommonExceptions, P_INVALID_ACTIVITY_TEST_ID

Method

activityTestErr()

The framework uses this method to indicate that an error occurred during a service-requested activity test.

activityTestID : in TpActivityTestID

Used by the service instance to correlate this response (when it arrives) with the original request.

Raises

TpCommonExceptions, P_INVALID_ACTIVITY_TEST_ID

Method

svcActivityTestReq()

The framework invokes this method to test that the service instance is operational. On receipt of this request, the service instance must carry out a test on itself, to check that it is operating correctly. The service instance reports the test result by invoking the svcActivityTestRes method on the IpFwFaultManager interface.

Parameters

activityTestID : in TpActivityTestID

The identifier provided by the framework to correlate the response (when it arrives) with this request.

Raises

TpCommonExceptions

<u>Method</u>

fwFaultReportInd()

The framework invokes this method to notify the service instance of a failure within the framework. The service instance must not continue to use the framework until it has recovered (as indicated by a fwFaultRecoveryInd).

Parameters

fault : in TpInterfaceFault

Specifies the fault that has been detected by the framework.

Raises

<u>TpCommonExceptions</u>

Method

fwFaultRecoveryInd()

The framework invokes this method to notify the service instance that a previously reported fault has been rectified. The service instance may then resume using the framework.

fault : in TpInterfaceFaultRef

Specifies the fault from which the framework has recovered.

Raises

TpCommonExceptions

Method

fwUnavailableInd()

The framework invokes this method to inform the service instance that it is no longer available.

Parameters

reason : in TpFwUnavailReason

Identifies the reason why the framework is no longer available

Raises

TpCommonExceptions

Method

svcUnavailableInd()

The framework invokes this method to inform the service instance that the client application has reported that it can no longer use the service instance (either due to a failure in the client application or in the service instance itself). The service instance should assume that the client application is leaving the service session and should act accordingly to terminate the session from its own end too.

Parameters

No parameters were identified for this method.

Raises

TpCommonExceptions

Method

appUnavailableInd()

The framework invokes this method to inform the service instance that the client application is ceasing its current use of the service. This may be a result of the application reporting a failure. Alternatively, the framework may have detected that the application has failed: e.g. non-response from an activity test, failure to return heartbeats.

None identified for this method.

Raises

TpCommonExceptions

<u>Method</u>

genFaultStatsRecordRes()

This method is used by the framework to provide fault statistics to a service in response to a genFaultStatsRecordReq method invocation on the IpFwFaultManager interface.

Parameters

faultStatistics : in TpFaultStatsRecord

The fault statistics record.

recordSubject : in TpSubjectType

Specifies the entity (framework or applications) whose fault statistics record has been provided.

Raises

TpCommonExceptions

Method

genFaultStatsRecordErr()

This method is used by the framework to indicate an error fulfilling the request to provide fault statistics, in response to a genFaultStatsRecordReq method invocation on the IpFwFaultManager interface.

Parameters

faultStatisticsError : in TpFaultStatsError

The fault statistics error.

recordSubject : in TpSubjectType

Specifies the entity (framework or application) whose fault statistics record was requested.

Raises

TpCommonExceptions

12.5.3 <u>Interface Class IpFwHeartBeatMgmt</u>

Inherits from: IpInterface.

This interface allows the initialisation of a heartbeat supervision of the framework by a service instance.

<<Interface>>

IpFwHeartBeatMgmt

enableHeartBeat (interval : in TpInt32, svcInterface : in IpSvcHeartBeatRef) : void

disableHeartBeat (): void

changeInterval (interval: in TpInt32, session: in TpSessionID): void

Method

enableHeartBeat()

With this method, the service instructs the framework to begin sending its heartbeat to the specified interface at the specified interval.

Parameters

interval : in TpInt32

The duration in milliseconds between the heartbeats.

svcInterface : in IpSvcHeartBeatRef

This parameter refers to the callback interface the heartbeat is calling.

Raises

TpCommonExceptions, P_INVALID_INTERFACE_TYPE

Method

disableHeartBeat()

<u>Instructs the framework to cease the sending of its heartbeat.</u>

<u>Parameters</u>

None identified.

Raises

TpCommonExceptions

<u>Method</u>

changeInterval()

Allows the administrative change of the heartbeat interval.

Parameters interval: in TpInt32 The time interval in milliseconds between the heartbeats.
Raises TpCommonExceptions
12.5.4 Interface Class IpFwHeartBeat
Inherits from: IpInterface.
The service side framework heartbeat interface is used by the service instance to send the framework its heartbeat.
< <interface>></interface>
<u>IpFwHeartBeat</u>
pulse (): void
Method pulse() The service instance uses this method to send its heartbeat to the framework. The framework will be expecting a pulse at the end of every interval specified in the parameter to the IpSvcHeartBeatMgmt.enableSvcHeartbeat() method. If the pulse() is not received within the specified interval, then the service instance can be deemed to have failed the heartbeat. Parameters None. Raises TpCommonExceptions
12.5.5 <u>Interface Class IpSvcHeartBeatMgmt</u>
Inherits from: IpInterface.
This interface allows the initialisation of a heartbeat supervision of the service instance by the framework.
< <interface>></interface>
lpSvcHeartBeatMgmt

enableSvcHeartBeat (interval : in TpInt32, fwInterface : in IpFwHeartBeatRef) : void

disableSvcHeartBeat (): void

changeInterval (interval: in TpInt32, session: in TpSessionID): void

Method

enableSvcHeartBeat()

With this method, the framework instructs the service instance to begin sending its heartbeat to the specified interface at the specified interval..

Parameters

interval : in TpInt32

The time interval in milliseconds between the heartbeats.

fwInterface : in IpFwHeartBeatRef

This parameter refers to the callback interface the heartbeat is calling.

Raises

TpCommonExceptions, P_INVALID_INTERFACE_TYPE

Method

disableSvcHeartBeat()

Instructs the service instance to cease the sending of its heartbeat.

Parameters

None identified.

Raises

TpCommonExceptions

Method

changeInterval()

Allows the administrative change of the heartbeat interval

Parameters

interval : in TpInt32

The time interval in milliseconds between the heartbeats.

Raises

TpCommonExceptions

12.5.6 Interface Class IpSvcHeartBeat

Inherits from: IpInterface.

The service heartbeat interface is used by the framework to send the service instance its heartbeat.

< <<lnterface>></lnterface> IpSvcHeartBeat
pulse () : void

Method

pulse()

The framework uses this method to send its heartbeat to the service instance. The service will be expecting a pulse at the end of every interval specified in the parameter to the IpFwHeartBeatMgmt.enableHeartbeat() method. If the pulse() is not received within the specified interval, then the framework can be deemed to have failed the heartbeat.

<u>Parameters</u> None identified.

Raises

TpCommonExceptions

12.5.7 <u>Interface Class IpFwLoadManager</u>

Inherits from: IpInterface.

The framework API should allow the load to be distributed across multiple machines and across multiple component processes, according to a load management policy. The separation of the load management mechanism and load management policy ensures the flexibility of the load management services. The load management policy identifies what load management rules the framework should follow for the specific service. It might specify what action the framework should take as the congestion level changes. For example, some real-time critical applications will want to make sure continuous service is maintained, below a given congestion level, at all costs, whereas other services will be satisfied with disconnecting and trying again later if the congestion level rises. Clearly, the load management policy is related to the QoS level to which the application is subscribed. The framework load management function is represented by the IpFwLoadManager interface. To handle responses and reports, the service developer must implement the IpSvcLoadManager interface to provide the callback mechanism.

< <inte< th=""><th>erface>></th></inte<>	erface>>
IpFwLoa	adManager

reportLoad (loadLevel : in TpLoadLevel) : void

queryLoadReq (querySubject : in TpSubjectType, timeInterval : in TpTimeInterval) : void

querySvcLoadRes (loadStatistics : in TpLoadStatisticList) : void

querySvcLoadErr (loadStatisticError : in TpLoadStatisticError) : void
createLoadLevelNotification (notifSubject : in TpSubjectType) : void
destroyLoadLevelNotification (notifSubject : in TpSubjectType: void

suspendNotification (notifSubject : in TpSubjectType) : void
resumeNotification (notifSubject : in TpSubjectType) : void

Method

reportLoad()

The service instance uses this method to report its current load level (0,1, or 2) to the framework: e.g. when the load level on the service instance has changed.

At level 0 load, the service instance is performing within its load specifications (i.e. it is not congested or overloaded). At level 1 load, the service instance is overloaded. At level 2 load, the service instance is severely overloaded.

Parameters

loadLevel : in TpLoadLevel

Specifies the service instance 's load level.

Raises

TpCommonExceptions

Method

queryLoadReq()

The service instance uses this method to request the framework to provide load statistics records for the framework or for the application that uses the service instance.

Parameters

querySubject : in TpSubjectType

Specifies the entity (framework or applications) for which load statistics records should be reported

timeInterval : in TpTimeInterval

Specifies the time interval for which load statistics records should be reported.

Raises

TpCommonExceptions

Method

querySvcLoadRes()

The service instance uses this method to send load statistic records back to the framework that requested the information; i.e. in response to an invocation of the querySvcLoadReq method on the IpSvcLoadManager interface.

Parameters

loadStatistics : in TpLoadStatisticList

Specifies the service-supplied load statistics.

Raises

TpCommonExceptions

Method

querySvcLoadErr()

The service instance uses this method to return an error response to the framework that requested the service instance 's load statistics information, when the service instance is unsuccessful in obtaining any load statistic records; i.e. in response to an invocation of the querySvcLoadReq method on the IpSvcLoadManager interface.

Parameters

loadStatisticError : in TpLoadStatisticError

Specifies the error code associated with the failed attempt to retrieve the service instance 's load statistics.

Raises

TpCommonExceptions

Method

createLoadLevelNotification()

The service uses this method to register to receive notifications of load level changes associated with the framework or with the application that uses the service instance.

Parameters

notifSubject : in TpSubjectType

Specifies the entity (framework or application) for which load level changes should be reported.

Raises

TpCommonExceptions

Method

destroyLoadLevelNotification()

The service uses this method to unregister for notifications of load level changes associated with the framework or with the application that uses the service instance.

Parameters

notifSubject : in TpSubjectType

Specifies the entity (framework or application) for which load level changes should no longer be reported.

Raises

TpCommonExceptions

Method

suspendNotification()

The service instance uses this method to request the framework to suspend sending it notifications associated with the framework or with the application that uses the service instance; e.g. while the service instance handles a temporary overload condition.

Parameters

notifSubject : in TpSubjectType

Specifies the entity (framework or application) for which the sending of notifications by the framework should be suspended.

Raises

TpCommonExceptions

Method

resumeNotification()

The service instance uses this method to request the framework to resume sending it notifications associated with the framework or with the application that uses the service instance; e.g. after a period of suspension during which the service instance handled a temporary overload condition.

notifSubject : in TpSubjectType

Specifies the entity (framework or application) for which the sending of notifications of load level changes by the framework should be resumed.

Raises

TpCommonExceptions

12.5.8 Interface Class IpSvcLoadManager

Inherits from: IpInterface.

The service developer supplies the load manager service interface to handle requests, reports and other responses from the framework load manager function. The service instance supplies the identity of its callback interface at the time it obtains the framework's load manager interface, by use of the obtainInterfaceWithCallback() method on the IpFwAccess interface.

<<Interface>>

IpSvcLoadManager

<u>querySvcLoadReq (timeInterval : in TpTimeInterval) : void</u> <u>queryLoadRes (loadStatistics : in TpLoadStatisticList) : void</u>

<u>queryLoadErr (loadStatisticsError : in TpLoadStatisticError) : void loadLevelNotification (loadStatistics : in TpLoadStatisticList) : void loadLevelNotification (loadStatistics : in TpLoadStatisticList) : void loadLevelNotification (loadStatisticSerror : in TpLoadStatisticError) : void loadLevelNotification (loadStatisticSerror : in TpLoadStatisticSerror : in TpLoadStatisticSerror : void loadLevelNotification (loadStatisticSerror : in TpLoadStatisticSerror : void | </u>

suspendNotification () : void
resumeNotification () : void

Method

querySvcLoadReq()

The framework uses this method to request the service instance to provide its load statistic records.

Parameters

timeInterval : in TpTimeInterval

Specifies the time interval for which load statistic records should be reported.

Raises

TpCommonExceptions

Method

queryLoadRes()

The framework uses this method to send load statistic records back to the service instance that requested the information; i.e. in response to an invocation of the queryLoadReq method on the IpFwLoadManager interface.

Parameters

loadStatistics : in TpLoadStatisticList

Specifies the framework-supplied load statistics

Raises

TpCommonExceptions

Method

queryLoadErr()

The framework uses this method to return an error response to the service-instance that requested the framework's load statistics information, when the framework is unsuccessful in obtaining any load statistic records; i.e. in response to an invocation of the queryLoadReq method on the IpFwLoadManager interface.

Parameters

loadStatisticsError : in TpLoadStatisticError

Specifies the error code associated with the failed attempt to retrieve the framework's load statistics.

<u>Raises</u>

TpCommonExceptions

Method

loadLevelNotification()

<u>Upon detecting load condition change, (e.g. load level changing from 0 to 1, 0 to 2, 1 to 0, for the applications or framework which have been registered for load level notifications) this method is invoked on the SCF.</u>

Parameters

loadStatistics : in TpLoadStatisticList

Specifies the framework-supplied load statistics, which include the load level change(s).

Raises

TpCommonExceptions

Method

suspendNotification()

The framework uses this method to request the service instance to suspend sending it any notifications: e.g. while the framework handles a temporary overload condition.

Parameters

No Parameters were identified for this method

Raises

TpCommonExceptions

Method

resumeNotification()

The framework uses this method to request the service instance to resume sending it notifications: e.g. after a period of suspension during which the framework handled a temporary overload condition.

Parameters

No Parameters were identified for this method

Raises

TpCommonExceptions

12.5.9 Interface Class IpFwOAM

Inherits from: IpInterface.

The OAM interface is used to query the system date and time. The service and the framework can synchronise the date and time to a certain extent. Accurate time synchronisation is outside the scope of this API.

<<Interface>> IpFwOAM

<u>systemDateTimeQuery (clientDateAndTime : in TpDateAndTime) : TpDateAndTime</u>

systemDateTimeQuery()

This method is used to query the system date and time. The client (service) passes in its own date and time to the framework. The framework responds with the system date and time.

<u>Returns <systemDateAndTime></u>: This is the system date and time of the framework.

Parameters

clientDateAndTime : in TpDateAndTime

This is the date and time of the client (service). The P_INVALID_DATE_TIME_FORMAT exception is thrown if the format of the parameter is invalid.

Returns

TpDateAndTime

Raises

TpCommonExceptions, P_INVALID_TIME_AND_DATE_FORMAT

12.5.10 Interface Class IpSvcOAM

Inherits from: IpInterface.

<<Interface>>

IpSvcOAM

systemDateTimeQuery (systemDateAndTime : in TpDateAndTime) : TpDateAndTime

Method

systemDateTimeQuery()

This method is used by the framework to send the system date and time to the service. The service responds with its own date and time.

<u>Returns <clientDateAndTime></u>: This is the date and time of the client (service).

systemDateAndTime : in TpDateAndTime

This is the system date and time of the framework. The P_INVALID_DATE_TIME_FORMAT exception is thrown if the format of the parameter is invalid.

Returns

TpDateAndTime

Raises

TpCommonExceptions, P_INVALID_TIME_AND_DATE_FORMAT

12.6 Event Notification Interface Classes

12.6.1 <u>Interface Class IpFwEventNotification</u>

Inherits from: IpInterface.

The event notification mechanism is used to notify the service of generic events that have occurred.

<<Interface>>

IpFwEventNotification

<u>createNotification (eventCriteria : in TpFwEventCriteria) : TpAssignmentID</u>

destroyNotification (assignmentID : in TpAssignmentID) : void

Method

createNotification()

This method is used to install generic notifications so that events can be sent to the service.

Returns <assignmentID>: Specifies the ID assigned by the framework for this newly installed event notification.

Parameters

eventCriteria : in TpFwEventCriteria

Specifies the event specific criteria used by the service to define the event required.

Returns

TpAssignmentID

Raises

TpCommonExceptions, P_INVALID_EVENT_TYPE, P_INVALID_CRITERIA

Method

destroyNotification()

This method is used by the service to delete generic notifications from the framework.

Parameters

assignmentID: in TpAssignmentID

Specifies the assignment ID given by the framework when the previous createNotification() was called. If the assignment ID does not correspond to one of the valid assignment IDs, the framework will throw the P INVALID ASSIGNMENT ID exception.

Raises

TpCommonExceptions, P_INVALID_ASSIGNMENT_ID

12.6.2 <u>Interface Class IpSvcEventNotification</u>

Inherits from: IpInterface.

This interface is used by the framework to inform the service of a generic event. The Event Notification Framework will invoke methods on the Event Notification Service Interface that is specified when the Event Notification interface is obtained.

<<Interface>>

IpSvcEventNotification

reportNotification (eventInfo: in TpFwEventInfo, assignmentID: in TpAssignmentID): void

notificationTerminated (): void

Method

reportNotification()

This method notifies the service of the arrival of a generic event.

eventInfo : in TpFwEventInfo

Specifies specific data associated with this event.

assignmentID : in TpAssignmentID

Specifies the assignment id which was returned by the framework during the createNotification() method. The service can use the assignment id to associate events with event specific criteria and to act accordingly.

Raises

TpCommonExceptions, P_INVALID_ASSIGNMENT_ID

Method

notificationTerminated()

This method indicates to the service that all generic event notifications have been terminated (for example, due to faults detected).

Parameters

No Parameters were identified for this method

Raises

TpCommonExceptions

13 Framework-to-Service State Transition Diagrams

13.1 Trust and Security Management State Transition Diagrams

There are no State Transition Diagrams defined for Trust and Security Management

13.113.2 Service Registration State Transition Diagrams

13.1.1 State Transition Diagrams for IpFwServiceRegistration

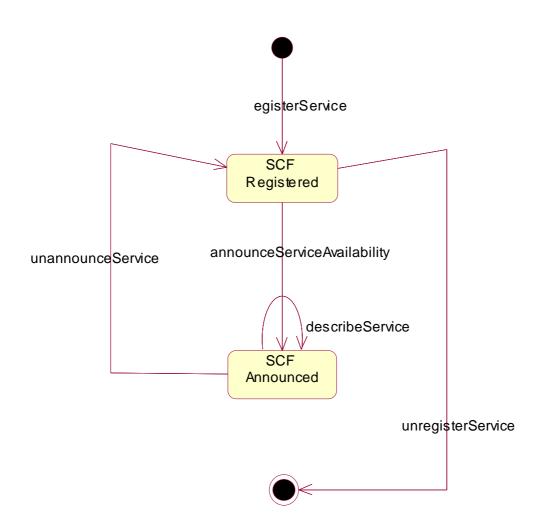


Figure: State Transition Diagram for IpFwServiceRegistration

13.1.1.1 SCF Registered State

This is the state entered when a Service Capability Server (SCS) registers its SCF in the Framework, by informing it of the existence of an SCF characterised by a service type and a set of service properties. As a result the Framework associates a service ID to this SCF, that will be used to identify it by both sides.

An SCF may be unregistered, the service ID then being no longer associated with the SCF.

13.1.1.2 SCF Announced State

This is the state entered when the existence of the SCF has been announced, thus making it available for discovery by applications. The SCF can be unannounced at any time, taking it back into the SCF Registered state where it is no longer available for discovery.

13.213.3 Service Factory State Transition Diagrams

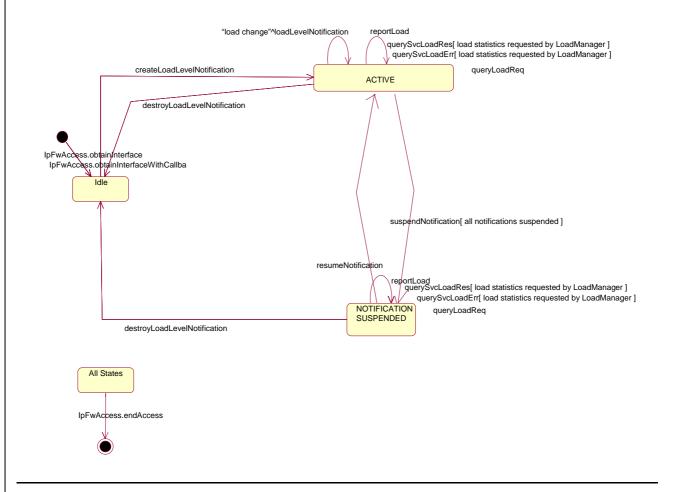
There are no State Transition Diagrams defined for Service Factory

13.4 <u>Service Discovery State Transition Diagrams</u>

There are no State Transition Diagrams defined for Service Discovery

13.5 <u>Integrity Management State Transition Diagrams</u>

13.5.1 <u>State Transition Diagram for IpFwLoadManager</u>



IDLE

In this state the service has obtained an interface reference to the IpFwLoadManager from the IpFwAccess interface.

<u>ACTIVE</u>

In this state the service has indicated its interest in notifications by performing a createLoadLevelNotification() invocation on the IpFwLoadManager. The load manager can now request the service to supply load statistics information (by invoking querySvcLoadReq()). Furthermore the LoadManager can request the service to control its load (by invoking loadLevelNotification(), resumeNotification() or suspendNotification() on the service side of interface). In case the service detects a change in load level, it reports this to the LoadManager by calling the method reportLoad().

NOTIFICATION SUSPENDED

Due to, e.g. a temporary load condition, the service has requested the load manager to suspend sending the load level notification information.

13.6 Event Notification State Transition Diagrams

There are no State Transition Diagrams defined for Event Notification

14

CHANGE REQUEST				
* 29	0.198-03 CR 017 # ev - # Curr	ent version: 4.1.0 *		
For <u>HELP</u> on u	using this form, see bottom of this page or look at the pop-	-up text over the 業 symbols.		
Proposed change a	affects: # (U)SIM ME/UE Radio Access	Network Core Network X		
Title: भ्र	Removal of P_SERVICE_ACCESS_TYPE			
Source: #	CN5			
Work item code: ₩	OSA1	Date: 第 <mark>30/08/2001</mark>		
Category: 第	Use one of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can	ease: # REL-4 e one of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) REL-4 (Release 4) REL-5 (Release 5)		
Reason for change	29.198-3 contains a P_SERVICE_ACCESS_TYPE identical description to that of P_INVALID_ACCES framework is capable of throwing this exception, a Parlay 2.1.	SS TYPE. No method in the		
Summary of chang	To remove the P_SERVICE_ACCESS_TYPE exc	eption from 29.198-3.		
Consequences if not approved:	# P_SERVICE_ACCESS_TYPE exception is left redu Failure to adopt this CR would result in divergence specification and the ETSI/Parlay specifications.			
Clauses affected:	第 16			
Other specs affected:	# Other core specifications # Test specifications O&M Specifications			
Other comments:	×			

Resulting changes

16 Exception Classes

The following are the list of exception classes which are used in this interface of the API.

Name	Description
P_ACCESS_DENIED	The client is not currently authenticated with the framework
P_APPLICATION_NOT_ACTIVATED	An application is unauthorised to access information and request services with regards to users that have deactivated that particular application.
P_DUPLICATE_PROPERTY_NAME	A dupilcate property name has been received
P_ILLEGAL_SERVICE_ID	Illegal Service ID
P_ILLEGAL_SERVICE_TYPE	Illegal Service Type
P_INVALID_ACCESS_TYPE	The framework does not support the type of access interface requested by the client.
P_INVALID_ACTIVITY_TEST_ID	ID does not correspond to a valid activity test request
P_INVALID_AGREEMENT_TEXT	Invalid agreement text
P_INVALID_AUTH_CAPABILITY	Invalid authentication capability
P_INVALID_AUTH_TYPE	Invalid type of authentication mechanism
P_INVALID_CLIENT_APP_ID	Invalid Client Application ID
P_INVALID_DOMAIN_ID	Invalid client ID
P_INVALID_ENT_OP_ID	Invalid Enterprise Operator ID
P_INVALID_PROPERTY	The framework does not recognise the property supplied by the client
P_INVALID_SAG_ID	Invalid Subscription Assignment Group ID
P_INVALID_SERVICE_CONTRACT_ID	Invalid Service Contract ID
P_INVALID_SERVICE_ID	Invalid service ID
P_INVALID_SERVICE_PROFILE_ID	Invalid service profile ID
P_INVALID_SERVICE_TOKEN	The service token has not been issued, or it has expired.
P_INVALID_SERVICE_TYPE	Invalid Service Type
P_INVALID_SIGNATURE	Invalid digital signature
P_INVALID_SIGNING_ALGORITHM	Invalid signing algorithm
P_MISSING_MANDATORY_PROPERTY	Mandatory Property Missing
P_NO_ACCEPTABLE_AUTH_CAPABILITY	An authentication mechanism, which is acceptable to the framework, is not supported by the client
P_PROPERTY_TYPE_MISMATCH	Property Type Mismatch
P_SERVICE_ACCESS_DENIED	The client application is not allowed to access this service.
P_SERVICE_ACCESS_TYPE	The framework does not support the type of access interface requested by the client.
P_SERVICE_NOT_ENABLED	The service ID does not correspond to a service that has been enabled
P_UNKNOWN_SERVICE_ID	Unknown Sevice ID

CHANGE REQUEST				
ж <mark>29.1</mark>	98-03 CR 018 ** ev - ** Current version: 4.1.0 **			
For <u>HELP</u> on usin	g this form, see bottom of this page or look at the pop-up text over the ₩ symbols.			
Proposed change affe	ects: # (U)SIM ME/UE Radio Access Network Core Network X			
Title: # C	Confusing meaning of prescribedMethod			
Source: # C	CN5			
Work item code: ₩ C	Date: 第 30/08/2001			
De	Release: # REL-4 to e one of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) tailed explanations of the above categories can found in 3GPP TR 21.900. Release: # REL-4 Use one of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1999) R89 (Release 1999) R81-4 R81-4 R81-4 R81-5 (Release 5)			
Passan for abanga.				
Reason for change:	Various sections of the specification convey confusion over the role of authentication methods and authentication capabilities (encryption method)			
Summary of change:	It is proposed to remove references to the authentication capabilities and replace them with references to encryption methods to clarify the roles. The STD for IpAPILevelAuthentication has been reworked. It is also proposed to remove the prescribedMethod parameter from the authenticate() methods.			
Consequences if not approved:	Confusion over the role and types of authentication capabilities will remain in the specification. The TS will be ambiguous and difficult to implement correctly – interworking will be jeopardised. Failure to adopt this CR would result in divergence between the 3GPP R4 specification and the ETSI/Parlay specifications.			
Clauses affected:	# 4, 6.4.2, 6.4.4, 8.1.5, 9.1.2, 15.3.3, 15.3.4, and 16			
affected:	Other core specifications Test specifications O&M Specifications			
Other comments:	¥			

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at: http://www.3gpp.org/3G_Specs/CRs.htm. Below is a brief summary:

Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.

- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

Problem

The concept of authentication capabilities seems to be muddled within the specification. The method which is used to select these capabilities has already been renamed to selectEncryptionMethod(), because that is what the method is actually used for. None, RSA512, RSA1024, DES56 and DES128 are the values for TpAuthCapability. These are methods used for encrypting the challenge and are therefore encryption capabilities, not authentication capabilities. Although RSA can be used as an authentication capability (due to its support for digital signatures) it is not used as such within the framework.

However, there are many places in the specification which state that the prescribedMethod (returned from selectEncryptionMethod) is used to determine how many challenge/response exchanges have to occur. The values for the authentication capabilities do not specify the number of challenge/response exchanges that need to be executed, only the method of encryption for the challenge.

Whether challenge/response exchanges are used for authentication or not is dependent on the method of authentication decided at initiateAuthentication time. The authentication method of P_OSA_AUTHENTICATION is based on CHAP, and can therefore utilise several challenge/response exchanges (until the side initiating the challenge is satisfied that the far side has authenticated correctly).

CHAP authentication is one-way, but mutual authentication (two-way) can be used if the party being authenticated decides to authenticate the other. There is no requirement within CHAP, and therefore should be no requirement within the P_OSA_AUTHENTICATION authentication type, that mutual authentication must be performed.

Proposal

Lucent believes that various sections of the specification need to be updated to remove any confusion over the role of authentication types and authentication capabilities (encryption method). We would also like to remove references to the authentication capabilities and replace them with references to encryption methods to clarify the roles.

The STD for IpAPILevelAuthentication will need to be reworked as it currently shows a failed selectEncryptionMethod resulting in the object moving to the sink state. We don't feel that the object should automatically move to the sink state just because no matching encryption method could be found in the first invocation of selectEncryptionMethod. There is no reference to this in the text for selectEncryptionMethod. Moving back to the IDLE state would allow the application to re-evaluate its encryption capabilities before either trying again or invoking abortAuthentication (it is assumed that there is a guard timer to hold against the application holding the object indefinitely). Besides which, the exception shown for this case is incorrect in the STD.

Any text referencing one-way or two-way authentication will need to be modified as CHAP (which the authentication method used within IpAPILevelAuthentication is based on) is primarily a one-way protocol which allows for mutual authentication.

Text should be added that states that re-authentication can be requested at any time, by either party, and does not have to be mutual authentication.

Lucent would also like to propose the removal of the prescribedMethod parameter from the authenticate() methods. We feel that this parameter is redundant, as each side is already aware of the prescribedMethod. In fact, the presence of this parameter can interfere with the authentication process, as the entity receiving the authenticate() request needs to check that the prescribedMethod passed as a parameter matches the method returned in the selectEncryptionMethod. If it does not match, then an exception has to be thrown.

Note: In removing this parameter, it was found that there is no use of P_INVALID_AUTH_CAPABILITY. Should this be removed?

The framework overview section at the start of section 4 mentions that the application MUST authenticate the framework. Lucent feels that mutual authentication should not be enforced by the API, and that it should be the client application's responsibility to decide if it needs to authenticate the framework. As long as the framework has authenticated the application, and therefore trusts it, there seems to be no reason why the

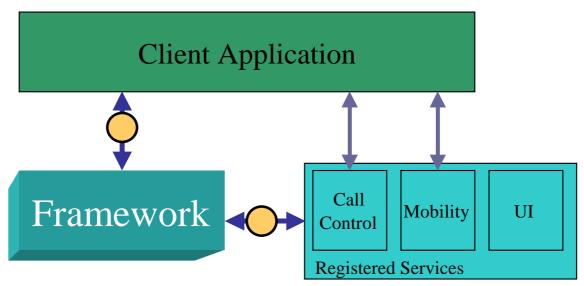
framework should deny an application's requests just because it hasn't yet tried to authenticate the framework.

Resulting changes

4. Overview of the Framework

This subclause explains which basic mechanisms are executed in the OSA Framework prior to offering and activating applications.

The Framework API contains interfaces between the Application Server and the Framework, and between Network Service Capability Server (SCS) and the Framework (these interfaces are represented by the yellow circles in the diagram below). The description of the Framework in this document separates the interfaces into these two distinct sets: Framework to Application interfaces and Framework to Service interfaces.



Some of the mechanisms are applied only once (e.g. establishment of service agreement), others are applied each time a user subscription is made to an application (e.g. enabling the call attempt event for a new user). Basic mechanisms between Application and Framework:

- Authentication: Once an off-line service agreement exists, the application can access the authentication interface. The authentication model of OSA is a peer-to-peer model, but authentication does not have to be mutual. The application must authenticate the framework and vice versa. The application must be authenticated before it is allowed to use any other OSA interface. It is a policy decision for the application whether it must authenticate the framework or not. It is a policy decision for the framework whether it allows an application to authenticate it before it has completed its authentication of the application.

6.4.2 Initial Access

2: Select Encryption Method

The Application invokes selectEncryptionMethod on the Framework's API Level Authentication interface, identifying the <u>authentication encryption</u> methods it supports. The Framework prescribes the method to be used.

- 3: Authenticate
- 4: The application provides an indication if authentication succeeded.
- 5: The Application and Framework authenticate each other using the prescribed method. The sequence diagram illustrates one of a series of one or more invocations of the authenticate method on the Framework's API Level Authentication interface. In each invocation, the Application supplies a challenge and the Framework returns the correct response. Alternatively or additionally the Framework may issue its own challenges to the Application using the authenticate method on the Application's API Level Authentication interface.

6.4.4 API Level Authentication

This sequence diagram illustrates the two-way mechanism by which the client application and the framework mutually

authenticate one another.

The OSA API supports multiple authentication techniques. The procedure used to select an appropriate technique for a given situation is described below. The authentication mechanisms may be supported by cryptographic processes to provide confidentiality, and by digital signatures to ensure integrity. The inclusion of cryptographic processes and digital signatures in the authentication procedure depends on the type of authentication technique selected. In some cases strong authentication may need to be enforced by the Framework to prevent misuse of resources. In addition it may be necessary to define the minimum encryption key length that can be used to ensure a high degree of confidentiality.

The application must authenticate with the Framework before it is able to use any of the other interfaces supported by the Framework. Invocations on other interfaces will fail until authentication has been successfully completed.

- 1) The application calls initiateAuthentication on the OSA Framework Initial interface. This allows the application to specify the type of authentication process. This authentication process may be specific to the provider, or the implementation technology used. The initiateAuthentication method can be used to specify the specific process, (e.g. CORBA security). OSA defines generic a authentication interface (API Level Authentication), which can be used to perform the authentication process. The initiateAuthentication method allows the application to pass a reference to its own authentication interface to the Framework, and receive a reference to the authentication interface preferred by the client, in return. In this case the API Level Authentication interface.
- This includes the authentication encryption capabilities of the application. The framework then chooses an encryption authentication method based on the encryption authentication capabilities of the application and the Framework. If the application is capable of handling more than one encryption authentication method, then the Framework chooses one option, defined in the prescribedMethod parameter. In some instances, the encryption authentication capability of the application may not fulfil the demands of the Framework, in which case, the authentication will fail.
- The application and Framework interact to authenticate each other. For an authentication method of P OSA ACCESS, Depending on the method prescribed, this procedure may consists of a number of messages e.g. a challenge/ response protocolexchanges. This authentication protocol is performed using the authenticate method on the API Level Authentication interface. Depending on the authentication method selected, the protocol may require invocations on the API Level Authentication interface supported by the Framework; or on the application counterpart; or on both.P OSA ACCESS is based on CHAP, which is primarily a one-way protocol. Mutual authentication is achieved by the framework invoking the authenticate method on the application's APILevelAuthentication interface.

NOTE: At any point during the access session, either side can request re-authentication. Re-authentication does not have to be mutual.

8.1.1 Interface Class IpAppAPILevelAuthentication

Inherits from: IpInterface.

<<Interface>> IpAppAPILevelAuthentication

authenticate (prescribedMethod: in TpAuthCapability, challenge: in TpString, response: out TpStringRef): TpResult

abortAuthentication (): TpResult authenticationSucceeded (): TpResult

Method

authenticate()

This method is used by the framework to authenticate the client application. The challenge will be encrypted using the mechanism indicated in prescribedMethodprescribed by selectEncryptionMethod. The client application must respond with the correct responses to the challenges presented by the framework. The number of exchanges is dependent on the

policies of each side. The whole authentication process is deemed successful when the authenticationSucceeded method is invoked, and the order of the exchanges is dependent on the prescribedMethod. (These-The invocation of this method may be interleaved with authenticate() calls by the client application on the IpAPILevelAuthentication interface. This is defined by the prescribedMethod.)

Parameters

prescribedMethod : in TpAuthCapability

see selectEncryptionMethod() on the IpAPIILevelAuthentication interface. This parameter contains the agreed method for authentication. If this is not the same value as returned by selectEncryptionMethod(), then an error code (P_INVALID_AUTH_CAPABILITY) is returned.

challenge: in TpString

The challenge presented by the framework to be responded to by the client application. The challenge mechanism used will be in accordance with the IETF PPP Authentication Protocols - Challenge Handshake Authentication Protocol [RFC 1994, August1996]. The challenge will be encrypted with the mechanism prescribed by selectEncryptionMethod.

response : out TpStringRef

This is the response of the client application to the challenge of the framework in the current sequence. The response will be based on the challenge data, decrypted with the mechanism prescribed by selectEncryptionMethod().

8.1.5 Interface Class IpAPILevelAuthentication

Inherits from: IpAuthentication.

The API Level Authentication Framework interface is used by client application to perform its part of the mutual authentication process with the Framework necessary to be allowed to use any of the other interfaces supported by the Framework.

<<Interface>>

IpAPILevelAuthentication

 $select Encryption Method \ (auth Caps-encryption Caps: in \ \overline{TpAuth CapabilityList}\underline{TpEncryption CapabilityList}, \\ prescribed Method: out \ \overline{TpAuth CapabilityRef}\underline{TpEncryption CapabilityRef}): TpResult$

authenticate (prescribedMethod : in TpAuthCapability, challenge : in TpString, response : out TpStringRef) : TpResult

abortAuthentication (): TpResult

authenticationSucceeded (): TpResult

Method

selectEncryptionMethod()

The client application uses this method to initiate the authentication process. The framework returns its preferred mechanism. This should be within capability of the client application. If a mechanism that is acceptable to the framework within the capability of the client application cannot be found, the framework returns an error code (throws the P_NO_ACCEPTABLE_AUTHENCRYPTION_CAPABILITY)—exception. Once the framework has returned its preferred mechanism, it will wait for a predefined unit of time before invoking the client's authenticate() method (the wait is to ensure that the client can initialise any resources necessary to use the prescribed encryption method)

Parameters

encryptionauthCaps: in TpAuthCapabilityListTpEncryptionCapabilityList

This is the means by which the <u>authentication encryption</u> mechanisms supported by the client application are conveyed to the framework.

prescribedMethod : out TpEncryptionAuthCapabilityRef

This is returned by the framework to indicate the mechanism preferred by the framework for the authentication encryption process. If the value of the prescribedMethod returned by the framework is not understood by the client application, it is considered a catastrophic error and the client application must abort.

Raises

TpCommonExceptions,_P_ACCESS_DENIED,
P_NO_ACCEPTABLE_ENCRYPTIONAUTH_CAPABILITY

Method

authenticate()

This method is used by the client application to authenticate the framework. The challenge will be encrypted using the mechanism indicated in prescribed Method prescribed by selectEncryptionMethod. The framework must respond with the correct responses to the challenges presented by the client application. The clientAppID received in the initiateAuthentication() can be used by the framework to reference the correct public key for the client application (the key management system is currently outside of the scope of the OSA APIs). The number of exchanges is dependent on the policies of each side. The whole authentication process is deemed successful when the authenticationSucceeded method is invoked. The invocation of this method may be interleaved with authenticate() calls by the framework on the client's APILevelAuthentication interface.

The number of exchanges and the order of the exchanges is dependent on the prescribedMethod.

Parameters

prescribedMethod : in TpAuthCapability

see selectEncryptionMethod(). This parameter contains the method that the framework has specified as acceptable for authentication. If this is not the same value as returned by selectEncryptionMethod(), then the framework returns an error code (P_INVALID_AUTH_CAPABILITY).

challenge: in TpString

The challenge presented by the client application to be responded to by the framework. The challenge mechanism used will be in accordance with the IETF PPP Authentication Protocols - Challenge Handshake Authentication Protocol [RFC 1994, August1996]. The challenge will be encrypted with the mechanism prescribed by selectEncryptionMethod().

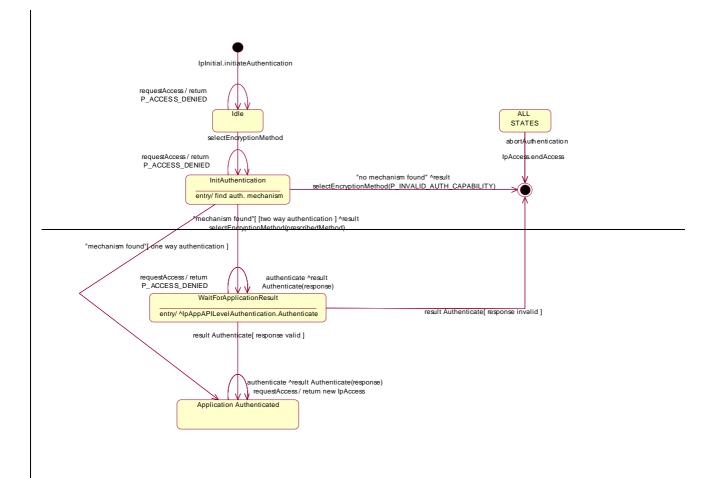
response : out TpStringRef

This is the response of the framework to the challenge of the client application in the current sequence. The response will be based on the challenge data, decrypted with the mechanism prescribed by selectEncryptionMethod().

Raises

TpCommonExceptions, P_ACCESS_DENIED, P_INVALID_AUTH_CAPABILITY

9.1.2 State Transition Diagrams for IpAPILevelAuthentication



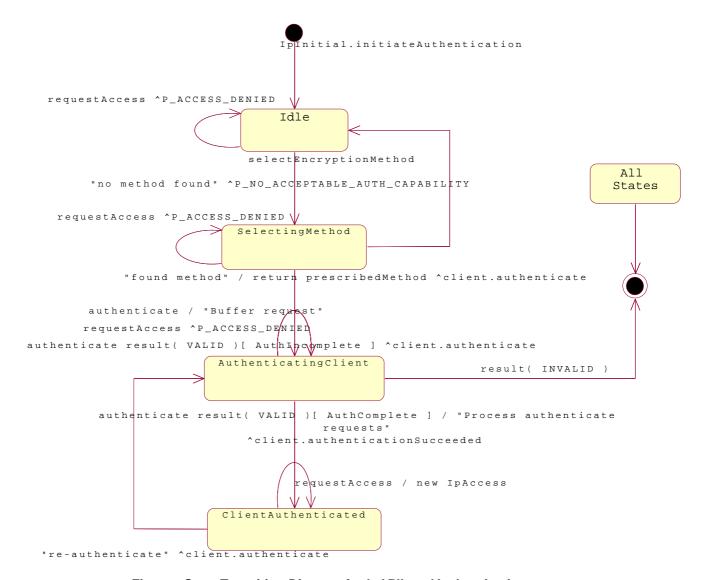


Figure : State Transition Diagram for IpAPILevelAuthentication

9.1.2.1 Idle State

When the <u>application client</u> has <u>requested invoked</u> the IpInitial <u>interface for</u> initiateAuthentication <u>method</u>, an object implementing the IpAPILevelAuthentication interface is created. The <u>application client</u> now has to provide its <u>authentication encryption</u> capabilities by invoking <u>the SelectEncryptionMethod method</u>.

9.1.2.2 InitAuthentication SelectingMethod State

In this state the Framework selects the preferred authentication encryption mechanism within the capability of the application client. It is a policy of the framework (perhaps agreed off-line with the enterprise operator) whether the client has to be When a proper mechanism is found, the Framework can decide that the application doesn't have to be authenticated (one way authentication) or that the application has to be authenticated authenticated or not. In case no mechanism can be found the error code P_INVALID_AUTHP_NO_ACCEPTABLE_ENCRYPTION_CAPABILITY exception is returned thrown and the Authentication object is destroyed moves back to the IDLE state. This implies that the application has to re-initiate the authentication by calling once more the initiate Authentication method on the IpInitial interface The client can now revisit its list of supported capabilities to identify whether it is complete. If it has no more encryption capabilities to use, then it must invoke abort Authentication.

9.1.2.3 WaitForApplicationResult AuthenticatingClient State

When entering this state, the Framework requests the application client to authenticate itself by invoking the Authenticate method on the applicationclient. In case the application client requests the Framework to authenticate itself by invoking Authenticate on the IpAPILevelAuthentication interface, the Framework provides the correct response to the challenge of the applicationwill either buffer the requests and respond when the client has been authenticated, or respond immediately, depending on policy. When the Framework has processed the response to from the Authenticate request on the client, the response is analysed. If and in case the response is valid but the authentication process is not yet complete, then another Authenticate request is sent to the client. If the response is valid and the

authentication process has been completed, then a transition to the state Application—Client Authenticated is made, the client is informed of its success by invoking authenticationSucceeded, then the framework begins to process any buffered authenticate requests. In case the response is not valid, the Authentication object is destroyed. This implicates that the application—client has to re-initiate the authentication by calling once more the initiateAuthentication method on the IpInitial interface.

9.1.2.4 Application Client Authenticated State

In this state the application client is considered authenticated and is now allowed to request access to the IpAccess interface. In case the application client requests the Framework to authenticate itself by invoking Authenticate on the IpAPILevelAuthentication interface, the Framework provides the correct response to the challenge of the application. If the framework decides to re-authenticate the client, then the authenticate request is sent to the client and a transition back to the AuthenticatingClient state occurs.

19.3.3 TpAuthCapability15.3.3 TpEncryptionCapability

This data type is identical to a TpString, and is defined as a string of characters that identify the authentication encryption capabilities that could be supported by the OSA framework. Other Network operator specific capabilities may also be used, but should be preceded by the string "SP_". Capabilities may be concatenated, using commas (,) as the separation character. The following values are defined .

String Value	Description
NULL	An empty (NULL) string indicates no client capabilities.
P_DES_56	A simple transfer of secret information that is shared between the client application and the framework with protection against interception on the link provided by the DES algorithm with a 56bit shared secret key
P_DES_128	A simple transfer of secret information that is shared between the client entity and the framework with protection against interception on the link provided by the DES algorithm with a 128bit shared secret key
P_RSA_512	A public-key cryptography system providing authentication without prior exchange of secrets using 512 bit keys
P_RSA_1024	A public-key cryptography system providing authentication without prior exchange of secrets using 1024bit keys

19.3.4 TpAuthCapabilityList 15.3.4 TpEncryptionCapabilityList

This data type is identical to a TpString. It is a string of multiple TpAuthCapability TpEncryptionCapability concatenated using a comma (,)as the separation character.

16 Exception Classes

The following are the list of exception classes which are used in this interface of the API.

Name	Description				
P_ACCESS_DENIED	The client is not currently authenticated with the framework				
P_APPLICATION_NOT_ACTIVATED	An application is unauthorised to access information and request services with regards to users that have deactivated that particular application.				
P_DUPLICATE_PROPERTY_NAME	A dupilcate property name has been received				
P_ILLEGAL_SERVICE_ID	Illegal Service ID Illegal Service Type				
P_ILLEGAL_SERVICE_TYPE					
P_INVALID_ACCESS_TYPE	The framework does not support the type of access interface requested by the client.				
P_INVALID_ACTIVITY_TEST_ID	ID does not correspond to a valid activity test request				
P_INVALID_AGREEMENT_TEXT	Invalid agreement text				
P_INVALID_ AUTH ENCRYPTION_CAPABILITY	Invalid authentication encryption capability				
P_INVALID_AUTH_TYPE	Invalid type of authentication mechanism				
P_INVALID_CLIENT_APP_ID	Invalid Client Application ID				
P_INVALID_DOMAIN_ID	Invalid client ID				
P_INVALID_ENT_OP_ID	Invalid Enterprise Operator ID				

	Name	Description				
	P_INVALID_PROPERTY	The framework does not recognise the property supplied by the client				
	P_INVALID_SAG_ID	Invalid Subscription Assignment Group ID				
	P_INVALID_SERVICE_CONTRACT_ID	Invalid Service Contract ID				
	P_INVALID_SERVICE_ID	Invalid service ID Invalid service profile ID				
I	P_INVALID_SERVICE_PROFILE_ID					
	P_INVALID_SERVICE_TOKEN	The service token has not been issued, or it has expired. Invalid Service Type Invalid digital signature Invalid signing algorithm				
ı	P_INVALID_SERVICE_TYPE					
	P_INVALID_SIGNATURE					
Ī	P_INVALID_SIGNING_ALGORITHM					
	P_MISSING_MANDATORY_PROPERTY	Mandatory Property Missing				
	P_NO_ACCEPTABLE_ AUTH <u>ENCRYPTION_</u> CAPAB ILITY	An authentication encryption mechanism, which is acceptable to the framework, is not supported by the client				
	P_PROPERTY_TYPE_MISMATCH	Property Type Mismatch The client application is not allowed to access this service.				
	P_SERVICE_ACCESS_DENIED					
	P_SERVICE_ACCESS_TYPE	The framework does not support the type of access interface requested by the client.				
	P_SERVICE_NOT_ENABLED	The service ID does not correspond to a service that has been enabled				
	P_UNKNOWN_SERVICE_ID	Unknown Sevice ID				
	P_UNKNOWN_SERVICE_TYPE	Unknown Service Type				

CHANGE REQUEST									
ж <mark>29</mark>	.19	8-03	CR <mark>019</mark>	ж	ev	¥	Current versi	ion: 4.1.0	*
For <u>HELP</u> on u	ısing	this forr	m, see bottom	n of this pag	ge or loo	k at the	e pop-up text	over the % syr	nbols.
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Source: #	CN	15							
Work item code: ₩	OS	SA1					Date: ℜ	30/08/2001	
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Other specs affected:	*	Te:	ner core spec st specificatio M Specificati	ns	*				
Other comments:	ж								

Problem

In the IpAppFaultManager interface, there is a svcUnavailableInd() method. This method is invoked when the framework needs to inform the client that it can no longer use a service (perhaps due to a heartbeat failure). The parameter to this method is a single serviceID.

However, there is currently nothing in the specification that states that an application cannot have more than one instance of the same service. If the application has two instances of call control, and it receives this svcUnavailableInd telling it that its call control is going down, how does it know which instance is no longer available?

Its perfectly conceivable that the other call control instance is still running perfectly, so Lucent doesn't believe that this method can be said to apply to ALL instances of the service that were allocated to this application. To do so would be dictating the implementation style of the service and its factory, which is not within the remit of the framework.

As a more general note, Lucent cannot see the value for an application to obtain two identical instances of the same service. One argument is that the application might wish to have two instances of the same service so that it can perform load balancing between them. However, load balancing, in this case, should be performed by the service instance transparently to the application.

Proposal

Lucent believes that some text should be placed in the description of selectService which states that an exception will be thrown if the client application attempts to select a service when it already has a live instance of that service. This would prevent the application from having two instances of the same service and would address the problem above.

Resulting changes

8.1.6 Interface Class IpAccess

Method

selectService()

This method is used by the client application to identify the service that the client application wishes to use. If the client application is not allowed to access the service, then an error code (the P_SERVICE_ACCESS_DENIED) is returned exception is thrown. The P_SERVICE_ACCESS_DENIED exception is also thrown if the client attempts to select a service for which it has already signed a service agreement for, and therefore obtained an instance of.

Parameters

serviceID : in TpServiceID

This identifies the service required. If the serviceID is not recognised by the framework, an error code (P INVALID SERVICE ID) is returned.

serviceToken: out TpServiceTokenRef

This is a free format text token returned by the framework, which can be signed as part of a service agreement. This will contain operator specific information relating to the service level agreement. The serviceToken has a limited lifetime. If the lifetime of the serviceToken expires, a method accepting the serviceToken will return an error code (P_INVALID_SERVICE_TOKEN). Service Tokens will automatically expire if the client application or framework invokes the endAccess method on the other's corresponding access interface.

Raises

TpCommonExceptions, P_ACCESS_DENIED, P_INVALID_SERVICE_ID, P_SERVICE_ACCESS_DENIED

3GPP TSG_CN5 (Open Service Access – OSA) Meeting #12, Sophia Antipolis, FRANCE, 16 – 19 July 2001

N5-010715

CHANGE REQUEST							
ж <mark>29</mark>	198-03 CR 020 # ev - # Current version: 4.1.0 #						
For <u>HELP</u> on using this form, see bottom of this page or look at the pop-up text over the % symbols.							
Proposed change affects:							
Title: ♯	Some methods on the IpApp interfaces should throw exceptions						
Source: #	CN5						
Work item code: ₩	OSA1 Date: # 30/08/2001						
Category: 第	## Release: ## REL-4 ## Use one of the following categories: ## Use one of the following releases: ## (correction) ## A (corresponds to a correction in an earlier release) ## (Release 1996) ## (Release 1996) ## (Release 1997) ## C (functional modification of feature) ## Detailed explanations of the above categories can be found in 3GPP TR 21.900. ## REL-4 ## REL-4 ## REL-4 ## (Release 1996) ## Release 1999) ## REL-4 ## REL-4 ## (Release 4) ## REL-5 ## REL-4 ## REL-5 ## REL-4 ## REL-4 ## REL-5 ## REL-4 ## REL-5 ## REL-4 ## REL-4 ## REL-4 ## REL-5 ## REL-4 ##						
Reason for change	A decision was taken by CN5 that the methods on IpApp* interfaces should not able to throw exceptions. For the framework, the situation is different from mos as there is a dialogue that occurs between the framework and its user. This dialogue can include mutual authentication and the signing of an agreement to a service. Client applications are not able to throw exceptions in response to errors occurr during a dialogue between themselves and the Framework.	use					
Summary of chang	CN5 proposes that methods that form a part of the dialogue referenced above, methods that are not called in direct response to a method invocation on the framework, form a separate group of methods that should be allowed to throw exceptions.						
Consequences if not approved:	Client applications are not able to throw exceptions in response to errors occurr during a dialogue between themselves and the Framework. Failure to adopt this CR would result in divergence between the 3GPP R4 specification and the ETSI/Parlay specifications.	ing					
Clauses affected:	₩ 8.1.2						
Other specs affected:	Cother core specifications Test specifications O&M Specifications						
Other comments:	∺						

Problem

A decision was taken during the recent restructuring of the exception handling in 29.198 that the methods on the IpApp* interfaces should not be capable of throwing exceptions. However, for the framework, the situation is different from most. For the framework, there is a dialogue that occurs between the framework and its user. This dialogue can include mutual authentication and the signing of an agreement to use a service.

Proposal

Lucent feels that methods that form a part of the dialogue referenced above, methods that are not called in direct response to a method invocation on the framework, form a separate group of methods that should be allowed to throw exceptions.

Lucent proposes that this group of methods consists of: IpAppAccess.signServiceAgreement; IpAppAccess.terminateServiceAgreement; IpAppAccess.terminateAccess.

For example, if the FW passes in invalid information (signing algorithm, service token or agreement text) to the IpAppAccess.signServiceAgreement() method, then the method should be able to indicate to the server side that it cannot do anything. We feel that simply returning a null digital signature is not really an acceptable mode of indicating the failure.

Note: If the IpApp and IpSvc interfaces are aligned as per contribution N5-010445, then some of this work might already be done as methods on the IpSvc interfaces are allowed to throw exceptions.

Resulting changes

Note: The method description for IpAppOAM.systemDataAndTimeQuery still states that the method can throw a P_INVALID_DATE_TIME_FORMAT exception. It should be considered by the editor of 29.198-3 whether this method is ACTUALLY capable of throwing this exception

The following method descriptions should be updated to specify the exceptions that may be thrown. These exceptions are the same as those for the corresponding methods on the framework interfaces with the sole difference being that no ACCESS_DENIED exceptions may be thrown by the IpApp* interfaces.

The description of the IpAppAccess interface has been updated as the previous description did not appear to be complete.

8.1.2 Interface Class IpAppAccess

Inherits from: IpInterface.

<u>IpAppAccess</u> interface is offered by the client application to the framework to allow it to initiate interactions during the access session. The Access client application interface is used by the Framework to perform the steps that are necessary in order to allow it to service access.

<<Interface>>

IpAppAccess

signServiceAgreement (serviceToken : in TpServiceToken, agreementText : in TpString, signingAlgorithm : in TpSigningAlgorithm, digitalSignature : out TpStringRef) : TpResult

terminateServiceAgreement (serviceToken : in TpServiceToken, terminationText : in TpString, digitalSignature : in TpString) : TpResult

 $terminate Access \ (termination Text: in \ TpString, signing Algorithm: in \ TpSigning Algorithm, \ digital Signature: in \ TpSigning Algorithm \ digital \ digita$

in TpString) : TpResult

Method

signServiceAgreement()

This method is used by the framework to request that the client application sign an agreement on the service. It is called in response to the client application calling the selectService() method on the IpAccess interface of the framework. The framework provides the service agreement text for the client application to sign. The service manager returned will be configured as per the service level agreement. If the framework uses service subscription, the service level agreement will be encapsulated in the subscription properties contained in the contract/profile for the client application, which will be a restriction of the registered properties. If the client application agrees, it signs the service agreement, returning its digital signature to the framework.

Parameters

serviceToken: in TpServiceToken

This is the token returned by the framework in a call to the selectService() method. This token is used to identify the service instance to which this service agreement corresponds. (If the client application selects many services, it can determine which selected service corresponds to the service agreement by matching the service token.) If the serviceToken is invalid, or not known by the client application, then an error code (the P_INVALID_SERVICE_TOKEN exception is thrown) is returned.

agreementText : in TpString

This is the agreement text that is to be signed by the client application using the private key of the client application. If the agreementText is invalid, then an error code (the P_INVALID_AGREEMENT_TEXT_exception is thrown) is returned.

signingAlgorithm: in TpSigningAlgorithm

This is the algorithm used to compute the digital signature. If the signingAlgorithm is invalid, or unknown to the client application, an error code (the P_INVALID_SIGNING_ALGORITHM) is returned exception is thrown.

digitalSignature : out TpStringRef

The digitalSignature is the signed version of a hash of the service token and agreement text given by the framework.

Raises

TpCommonExceptions, P_INVALID_AGREEMENT_TEXT, P_INVALID_SERVICE_TOKEN, P_INVALID_SIGNING_ALGORITHM

Method

terminateServiceAgreement()

This method is used by the framework to terminate an agreement for the service.

Parameters

serviceToken: in TpServiceToken

This is the token passed back from the framework in a previous selectService() method call. This token is used to identify the service agreement to be terminated. If the serviceToken is invalid, or unknown to the client application, an error code (the P_INVALID_SERVICE_TOKEN) is returned exception will be thrown.

terminationText : in TpString

This is the termination text that describes the reason for the termination of the service agreement.

digitalSignature : in TpString

This is a signed version of a hash of the service token and the termination text. The signing algorithm used is the same as the signing algorithm given when the service agreement was signed using signServiceAgreement(). The framework uses this to confirm its identity to the client application. The client application can check that the terminationText has been signed by the framework. If a match is made, the service agreement is terminated, otherwise an error codethe (P_INVALID_SIGNATURE) is returned exception is thrown.

Raises

TpCommonExceptions, P_INVALID_SERVICE_TOKEN, P_INVALID_SIGNATURE

Method

terminateAccess()

The terminateAccess operation is used by the framework to end the client application's access session.

After terminateAccess() is invoked, the client application will no longer be authenticated with the framework. The client application will not be able to use the references to any of the framework interfaces gained during the access session. Any calls to these interfaces will fail. If at any point the framework's level of confidence in the identity of the client becomes too low, perhaps due to re-authentication failing, the framework should terminate all outstanding service agreements for that client application, and should take steps to terminate the client application's access session WITHOUT invoking terminateAccess() on the client application. This follows a generally accepted security model where the framework has decided that it can no longer trust the application and will therefore sever ALL contact with it.

Parameters

terminationText : in TpString

This is the termination text describes the reason for the termination of the access session.

signingAlgorithm : in TpSigningAlgorithm

This is the algorithm used to compute the digital signature. If the signingAlgorithm is invalid, or unknown to the client application, an error code (the P_INVALID_SIGNING_ALGORITHM) is returned exception will be thrown.

digitalSignature : in TpString

This is a signed version of a hash of the termination text. The framework uses this to confirm its identity to the client application. The client application can check that the terminationText has been signed by the framework. If a match is made, the access session is terminated, otherwise an error code (the P_INVALID_SIGNATURE) is returned exception is thrown.