



3GPP Radio Access Networks LTE-Advanced Status

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3GPP TSG-RAN Standardisation Activities





Technology Evolution path in 3GPP Standards



Release 99 Release 4 Release 5 Release 6 Release 7 Release 8 Release 9 Release 10 25 series **HSDPA HSUPA WCDMA** HSPA+ **36** series LTE LTE-A



LTE and HSPA Evolutions









LTE Release 8 & 9









High spectral efficiency

- OFDM in Downlink
 - Robust against multipath interference
 - High affinity to advanced techniques
 - Frequency domain channel-dependent scheduling
 - MIMO
- DFTS-OFDM("Single-Carrier FDMA") in Uplink
 - Low PAPR
 - User orthogonality in frequency domain
- Multi-antenna application
- Very low latency
 - Short setup time & Short transfer delay
 - Short HO latency and interruption time
 - Short TTI
 - RRC procedure
 - Simple RRC states
- Support of variable bandwidth
 - 1.4, 3, 5, 10, 15 and 20 MHz



Rel-9 LTE features



Small enhancements from LTE Release 8 mainly for higher layer

- HeNB (Home eNode B)
 - HeNB Access Mode
 - Rel-8: Closed Access Mode
 - Rel-9: Open and Hybrid Mode
 - HeNB Mobility between HeNB and macro
 - Rel-8: Out-bound HO
 - Rel-9: in-bound and inter-CSG HO
- SON (self-organizing networks)
 - Rel-8: Self configuration, Basic self-optimization
 - Rel-9: RACH optimization, etc
- MBMS
 - Rel-8: Radio physical layer specs
 - Rel-9: Radio higher layer and NW interface specs
- LCS (Location Services)
 - Rel-8: U-Plane solutions
 - Rel-9: C-Plane solutions, e.g. OTDOA





LTE Release 10 (LTE-Advanced)







IMT-Advanced standardisation process in ITU-R
Additional IMT spectrum band identified in WRC07
Further evolution of LTE Release 8 and 9 to meet:

- Requirements for IMT-Advanced of ITU-R
- Future operator and end-user requirements



Key Requirements for LTE-Advanced



A GLOBAL INITIATIVE

I TF-Advanced



An LTE-Advanced terminal can work in an LTE Rel-8 cell

An LTE Rel-8 terminal can work in an LTE-Advanced cell

LTE-Advanced backward compatibility with LTE Rel-8

LTE Asia, 6th September 2011



Target performance of

LTE-Advanced



A GLOBAL INITIATIVE

| | | Rel. 8 LTE | LTE-Advanced | IMT-Advanced | |
|--------------------------|----|------------|--------------|-----------------------|--|
| Dook data rata | DL | 300 Mbps | 1 Gbps | 1 Gbps ^(*) | |
| Peak data rate | UL | 75 Mbps | 500 Mbps | | |
| Peak spectrum efficiency | DL | 15 | 30 | 15 | |
| [bps/Hz] | UL | 3.75 | 15 | 6.75 | |

| | | Antenna configuration | Rel. 8 LTE*1 | LTE-Advanced* ² | IMT-Advanced ^{*3} |
|---|--------|-----------------------|--------------|----------------------------|----------------------------|
| Average | DL | 2-by-2 | 1.69 | 2.4 | - |
| efficiency | | 4-by-2 | 1.87 | 2.6 | 2.2 |
| [bps/Hz/cell] | | 4-by-4 | 2.67 | 3.7 | - |
| | UL | 1-by-2 | 0.74 | 1.2 | - |
| | | 2-by-4 | - x 1.4 | -1.7 2.0 | 1.4 |
| Cell edge user | DL | 2-by-2 | 0.05 | 0.07 | - |
| [bps/Hz/cell/user] | | 4-by-2 | 0.06 | 0.09 | 0.06 |
| | | 4-by-4 | 0.08 | 0.12 | - |
| | UL | 1-by-2 | 0.024 | 0.04 | - |
| | 2-by-4 | 2-by-4 | - | 0.07 | 0.03 |
| *1 See TR25.912(Case 1 scenario) *2 See TR36.913(Case 1 scenario) | | | | | |

*3 See ITU-R.M.2135(Base Coverage Urban scenario)

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Key Features in LTE Release 10



Support of Wider Bandwidth(Carrier Aggregation)

- Use of multiple component carriers(CC) to extend bandwidth up to 100 MHz
- Common physical layer parameters between component carrier and LTE Rel-8 carrier
- Improvement of peak data rate, backward compatibility with LTE Rel-8

Advanced MIMO techniques

- Extension to up to 8-layer transmission in downlink
- Introduction of single-user MIMO up to 4-layer transmission in uplink
- Enhancements of multi-user MIMO
- Improvement of peak data rate and capacity

Heterogeneous network and

elCIC(enhanced Inter-Cell Interference Coordination)

- Interference coordination for overlaid deployment of cells with different Tx power
- → Improvement of cell-edge throughput and coverage

Relay

- Type 1 relay supports radio backhaul and creates a separate cell and appear as Rel. 8 LTE eNB to Rel. 8 LTE UEs
- Improvement of coverage and flexibility of service area extension

Coordinated Multi-Point transmission and reception (CoMP)

- Support of multi-cell transmission and reception
- Improvement of cell-edge throughput and coverage







3GPP LTE-Advanced Self-Evaluation



The self-evaluation results shows:

- For LTE Release 10, FDD RIT and TDD RIT Component meets the minimum requirements of all 4 required test environments, individually.
- Baseline configuration exceeding ITU-R requirements with minimum extension
 - LTE release 8 fulfills the requirements in most cases (no extensions needed)
 - Extensions to Multi-user MIMO from Release 8 fulfills the requirements in some scenarios (Urban Macro/Micro DL)



Spectrum Efficiency: FDD DL, Indoor (InH)



LTE-Advanced Experiments in NTT DOCOMO



DOCOMO developed LTE-Advanced experimental system aligned with 3GPP LTE Release 10 specifications

Laboratory experiments Total throughput of 1 Gbps for 2 Mobile stations in downlink was achieved by applying 4x2 multi-user MIMO technique

Field experiments Throughput of 600 Mbps in downlink and 200 Mbps in uplink was achieved by applying 2x2 single-user MIMO technique

Laboratory



LTE-Advanced key technologies, e.g., carrier aggregation and enhanced MIMO



NTT DOCOMO Field Trial





10 km/h





LTE Release 11 (LTE-Advanced)





Release 11



Work Items/Study Items

- Release 11 works for further enhancements of LTE-Advanced and HSPA have been started to complete by Sep. 2012
- So many Work Items and Study Items are proposed based on various requirements for further enhancements

| | WI/SI for LTE or LTE&HSPA |
|----|--|
| 1 | Further Enhanced Non CA-based ICIC for LTE |
| 2 | LTE Carrier Aggregation Enhancements |
| 3 | Study on Coordinated Multi-Point Operation for LTE |
| 4 | Study on Enhanced Uplink Transmission for LTE |
| 5 | Study on further Downlink MIMO enhancements for LTE-Advanced |
| 6 | Study on Further Enhancements to LTE TDD for DL-UL Interference Management and Traffic Adaptation |
| 7 | Coordinated Multi-Point Operation for LTE |
| 8 | Provision of low-cost MTC UEs based on LTE |
| 9 | Proposed SI on LTE Coverage Enhancements |
| 10 | Improvements to LTE Relay Backhaul |
| 11 | Study on LTE Device to Device Discovery and Communication - Radio Aspects |
| 12 | Network-Based Positioning Support for LTE |
| 13 | Service continuity and location information for MBMS for LTE |
| 14 | LTE RAN Enhancements for Diverse Data Applications |
| 15 | Study on signaling and procedure for interference avoidance for in-device coexistence |
| 16 | Study on HetNet mobility enhancements for LTE |
| 17 | Study on RAN improvements for Machine-Type Communications |
| 18 | RAN overload control for Machine-Type Communications |
| 19 | Study Item on Further RAN Improvements for Machine-type Communications |
| 20 | study item proposal for LTE and HSDPA Carrier Aggregation |
| 21 | Enhancement of Minimization of Drive Tests for E-UTRAN and UTRAN |
| 22 | Signalling and procedure for interference avoidance for in-device coexistence |
| 23 | Study Item Proposal for Opportunistic Carrier Aggregation across 3GPP-LTE and WLAN |
| 24 | Carrier based HetNet ICIC for LTE |
| 25 | Study on further enhancements for HNB and HeNB |
| 26 | LIPA Mobility and SIPTO at the Local Network RAN Completion |
| 27 | Further Self Optimizing Networks (SON) Enhancements |
| 28 | SI: Mobile Relay for E-UTRA |

| 30 | UE Over the Air (Antenna) conformance testing methodology- Laptop Mounted Equipment Free Space test |
|----|---|
| 31 | UE demodulation performance requirements under multiple-cell scenario for 1.28Mcps TDD |
| 32 | Uplink Transmit Diversity for HSPA – Open Loop |
| 33 | Non-contiguous 4C-HSDPA operation |
| 34 | Study on Measurement of Radiated Performance for MIMO and multi-antenna reception for HSPA and LTE terminals |
| 35 | Study on Inclusion of RF Pattern Matching Technologies as a positioning method in the E-UTRAN |
| 36 | Relays for LTE (part 2) |
| 37 | Enhanced performance requirement for LTE UE |
| 38 | Electromagnetic Compatibility (EMC) Requirements for Multi-Standard Mobile Terminals and Ancillary Equipment |
| 39 | SI: Passive InterModulation (PIM) handling for Base Stations |
| 40 | E-UTRA medium range and MSR medium range/local area BS class requirements |
| 41 | SI: Study of RF and EMC Requirements for Active Antenna Array System (AAS) Base Station |
| 42 | RF Requirements for Multi-band and Multi-standard Radio (MB-MSR) Base Station |
| | |

| | WI/SI for HSPA |
|----|---|
| 1 | Eight carrier HSDPA |
| 2 | Uplink Transmit Diversity for HSPA – Closed Loop |
| 3 | Study on Uplink MIMO |
| 4 | Study on HSDPA multipoint transmission |
| 5 | Study item on HSPA enhancement for LCR TDD |
| 6 | Four Branch MIMO transmission for HSDPA |
| 7 | Uplink MIMO with 64QAM for HSUPA |
| 8 | Further Enhancements to CELL_FACH |
| 9 | HSDPA Multiflow Data Transmission |
| 10 | Single Radio Voice Call Continuity from UTRAN/GERAN to E-UTRAN/HSPA |
| 11 | SID: Introduction of Hand phantoms for UE OTA antenna testing |

29 Network Energy Saving for E-UTRAN © 3GPP 2011



Spectrum Explosion in 3GPP



E-UTRA operating bands in the latest 3GPP spec

| E-UTRA | Uplink (UL) operating band | Downlink (DL) operating band | Duplex |
|----------------|----------------------------|--|--------|
| Operating | BS receive | BS transmit | Mode |
| Band | UE transmit | UE receive | |
| | Ful_low - Ful_high | F _{DL_low} – F _{DL_high} | |
| 1 | 1920 MHz – 1980 MHz | 2110 MHz – 2170 MHz | FDD |
| 2 | 1850 MHz – 1910 MH | z 1930 MHz – 1990 MHz | FDD |
| 3 | 1710 MHz – 1785 MHz | 1805 MHz – 1880 MHz | FDD |
| 4 | 1710 MHz – 1755 MHz | 2110 MHz – 2155 MHz | FDD |
| 5 | 824 MHz – 849 MHz | 869 MHz – 894MHz | FDD |
| 6 ¹ | 830 MHz – 840 MHz | 875 MHz – 885 MHz | FDD |
| 7 | 2500 MHz – 2570 MHz | 2620 MHz – 2690 MHz | FDD |
| 8 | 880 MHz – 915 MHz | 925 MHz – 960 MHz | FDD |
| 9 | 1749.9 MHz – 1784.9 MH | z 1844.9 MHz – 1879.9 MHz | FDD |
| 10 | 1710 MHz – 1770 MHz | 2110 MHz – 2170 MHz | FDD |
| 11 | 1427.9 MHz – 1447.9 MH | z 1475.9 MHz – 1495.9 MHz | FDD |
| 12 | 699 MHz – 716 MHz | 729 MHz – 746 MHz | FDD |
| 13 | 777 MHz – 787 MHz | 746 MHz – 756 MHz | FDD |
| 14 | 788 MHz – 798 MHz | 758 MHz – 768 MHz | FDD |
| 15 | Reserved | Reserved | FDD |
| 16 | Reserved | Reserved | FDD |
| 17 | 704 MHz – 716 MHz | 734 MHz – 746 MHz | FDD |
| 18 | 815 MHz – 830 MHz | 860 MHz – 875 MHz | FDD |
| 19 | 830 MHz – 845 MHz | 875 MHz – 890 MHz | FDD |
| 20 | 832 MHz – 862 MHz | 791 MHz – 821 MHz | FDD |
| 21 | 1447.9 MHz - 1462.9 MH | z 1495.9 MHz – 1510.9 MHz | FDD |
| | | | |
| 23 | 2000 MHz – 2020 MHz | 2180 MHz – 2200 MHz | FDD |
| 24 | 1626.5 MHz - 1660.5 MH | z 1525 MHz – 1559 MHz | FDD |
| 25 | 1850 MHz – 1915 MHz | z 1930 MHz – 1995 MHz | FDD |
| | | | |
| 33 | 1900 MHz – 1920 MHz | 1900 MHz – 1920 MHz | TDD |
| 34 | 2010 MHz – 2025 MHz | 2010 MHz – 2025 MHz | TDD |
| 35 | 1850 MHz – 1910 MHz | 1850 MHz – 1910 MHz | TDD |
| 36 | 1930 MHz – 1990 MHz | 1930 MHz – 1990 MHz | TDD |
| 37 | 1910 MHz – 1930 MHz | 1910 MHz – 1930 MHz | TDD |
| 38 | 2570 MHz – 2620 MHz | 2570 MHz – 2620 MHz | TDD |
| 39 | 1880 MHz – 1920 MHz | 1880 MHz – 1920 MHz | TDD |
| 40 | 2300 MHz – 2400 MHz | 2300 MHz - 2400 MHz | TDD |

Spectrum band to be standardized by Sep. 2011

- UMTS/LTE 3500MHz
- Extending 850 MHz Upper Band (814 849 MHz)

Spectrum band to be standardized by Sep. 2012

- LTE-Advanced Carrier Aggregation of Band 3 and Band 7
- LTE Advanced Carrier Aggregation of Band 4 and Band 17
- LTE Advanced Carrier Aggregation of Band 4 and Band 13
- LTE Advanced Carrier Aggregation of Band 4 and Band 12
- LTE Advanced Carrier Aggregation of Band 5 and Band 12
- LTE Advanced Carrier Aggregation of Band 20 and Band 7
- LTE Advanced Carrier Aggregation Band 2 and Band 17
- LTE Advanced Carrier Aggregation Band 4 and Band 5
- LTE Advanced Carrier Aggregation Band 5 and Band 17
- LTE Advanced Carrier Aggregation in Band 41
- LTE Advanced Carrier Aggregation in Band 38
- LTE Downlink FDD 716-728MHz
- LTE E850 Lower Band for Region 2 (non-US)
- LTE for 700 MHz digital dividend
- Study on Extending 850MHz
- Study on Interference analysis between 800~900 MHz bands
- Study on UMTS/LTE in 900 MHz band



Conclusions



🔊 LTE Release 8

- Specification completed and stable
- Commercially deployed and committed to deploy by many operators all over the world

🔊 LTE Release 9

- Small enhancements of LTE Release 8
- Specification completed and stable

🔊 LTE Release 10

- Stage 3 frozen and stabilized
- Accepted as a technology of IMT-Advanced by ITU-R WP5D
- Materials and specifications submitted to ITU-R WP5D for ITU-R recommendation M.[IMT.RSPEC]

🔊 LTE Release 11

 Started with many Work Items and Study Items for further enhancements of LTE Release 10







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